



- 1 Exploring implications of input parameter uncertainties on GLOF modelling
- 2 results using the state-of-the-art modelling code, r.avaflow
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- 8 Abstract

9 Modelling complex mass flow processes like glacial lake outburst floods (GLOFs) for hazard and risk assessments involves substantial data and computational resources, often leading 10 researchers to use low-resolution, open-access data and parameters based on plausibility 11 rather than direct measurement, which, although effective in back analysis, introduces 12 significant uncertainties in forward modelling. To determine the sensitivity of the model outputs 13 14 stemming from input parameter uncertainties in the forward modelling, we selected nine parameters relevant to GLOF modelling and performed a total of 78 simulations in the 15 physically-based r.avaflow model. Our results indicate that GLOF modelling outputs are 16 notably sensitive to six parameters, which are, in order of importance: 1) volume of mass 17 movements entering lakes; 2) DEM datasets; 3) the origin of mass movements; 4) mesh size; 18 5) basal frictional angle; and 6) entrainment coefficient. The volume of mass movement 19 20 impacting lakes has the greatest impact on GLOF output, with an average coefficient of 21 variation (CV) = 47%, while the internal friction angle had the least impact (CV=0.4%). We recommend that future GLOF modelling should carefully consider the output uncertainty 22 stemming from the sensitive input parameters identified here, some of which cannot be 23 constrained before a GLOF and must be considered only statistically. 24





25 1 Introduction

26 Glacial lakes can store millions of cubic meters of water: as of 2015, it is estimated that glacial 27 lakes (>=0.05 km²) store about ~105.7 km³ of water globally (Zhang et al., 2023a; Shugar et 28 al., 2020; Zheng et al., 2021b). Although glacial lakes in High Mountain Asia (HMA) contribute 29 only 4.6 km³ to this total volume, they have experienced the greatest expansion (46%) between 1990 and 2018 (Shugar et al., 2020). Furthermore, over 28% of glacial lakes in the 30 HMA are dammed by loose/destabilizing moraines (Fujita et al., 2013; Zheng et al., 2021b) 31 and the majority of glacial lakes (70%) are exposed to mass inputs, in the form of ice/snow 32 33 avalanches, rockfalls and landslides (Dubey et al., 2023). Although there is no substantial evidence for an increasing trend in glacial lake outburst floods (GLOF) within existing data 34 (between 850 and 2022 CE) (Shrestha et al., 2023; Lützow et al., 2023; Veh et al., 2022; Veh 35 et al., 2023), the GLOF frequency is expected to increase in the future (Zheng et al., 2021) 36 because the glaciers and permafrost in HMA are extremely sensitive to rising temperatures 37 (Gruber et al., 2017; Kääb et al., 2018). Meltwater resulting from the shrinkage of glaciers 38 39 leads to the formation of new glacial lakes and the expansion of existing ones (Zhang et al., 40 2015; Wang et al., 2020). This process sometimes exposes them to mass movement from the slopes above and increases the total volume of stored water (Rounce et al., 2016). 41 Additionally, the degradation of permafrost destabilizes the slopes surrounding the glacial 42 lakes, increasing the likelihood of mass movements into lakes (Huggel, 2009). 43

44 Recent work has documented 3151 GLOF events between 850 and 2022 C.E. globally (Lützow et al., 2023) and 682 GLOF events in HMA between 1833 and 2022 (Shrestha et al., 45 2023). In the HMA alone, these GLOF events have resulted in 6907 human deaths, caused 46 damage to more than 2200 buildings, 71 km² of agricultural land, 163 MW capacity of 47 hydropower, 2000 livestock and numerous other structures, including bridges and roads 48 (Shrestha et al., 2023). However, these reported deaths and damages are significantly 49 underestimated because of patchy documentation (Carrivick and Tweed, 2016). Unfortunately, 50 the risk from GLOF is expected to rise in the future with the anticipated expansion of glacial 51 52 lakes (Zheng et al., 2021b; Zhang et al., 2023b) compounded by a growing population and the construction of structures in areas prone to GLOFs (Taylor et al., 2023; Nie et al., 2023). 53

Most GLOF events in HMA start with mass movements entering the lake from surrounding slopes, leading to the displacement of water and waves overtopping the dam (Shrestha et al., 2023; Lützow et al., 2023; Nie et al., 2018). Rock- or ice-avalanches and landslides entering the lake constitute 70% of known causes of HMA historical GLOF events (Shrestha et al., 2023). The overtopping waves cause moraine dam incision and dam failure, resulting in a sudden discharge of lake water. To a lesser extent, GLOF events are also triggered by factors





60 such as increased hydrostatic pressure from runoff snow and ice melt, intense rainfall and 61 cloud outbursts, and dam settling caused by the melting of ice cores or internal piping. As the 62 flood propagates further downstream, it can transform into a debris flow and/ or a hyper-63 concentrated flow/debris flood depending on the geologic and topographic characteristics of 64 the river channel (Gaphaz, 2017; Schneider et al., 2014; Westoby et al., 2015; Westoby et al., 2014). These complex GLOF process chains are difficult to accurately capture in numerical 65 models, given the large number of processes and parameters, and the phase transformations 66 67 during the event, which limits our ability to model the impacts of the hazard cascade as a 68 whole.

69 1.1 Numerical modelling of GLOFs

70 Previous studies have used various modelling codes such as HEC-RAS (Sattar et al., 2021b), BASEMENT (Worni et al., 2013; Worni et al., 2012; Byers et al., 2018), FLO-2D (Somos-71 72 Valenzuela et al., 2015), RAMMS (Lala et al., 2018), and r.avaflow (Mergili et al., 2020b). Most 73 all these models, however, cannot model the evolution of the GLOF process chain through interaction at the boundary of different processes involved (e.g. the interaction of mass 74 movements with the lake) and dynamic transformation of flow through entrainment and 75 deposition. To address this limitation, some of the studies modelled each component 76 77 separately and then fed the results of each modelling component into the next stage (Lala et al., 2018; Schneider et al., 2014; Frey et al., 2018). For example, Lala et al (2018) have used 78 79 RAMMS to model mass movement from the surrounding slope into the lake, Heller-Hager and 80 BASEMENT to model wave propagation across the lake surface and BASEMENT to model the subsequent downstream hydrodynamic evolution of GLOF. In contrast, the r.avaflow model 81 (Mergili et al., 2017; Mergili and Pudasaini, 2024) enables the integration of all components of 82 the GLOF process chain and their interactions and transformation without the need to combine 83 the results of different models. It enables the detailed modelling of the GLOF process chain, 84 covering everything from the initial trigger to the downstream propagation. r.avaflow is an 85 open-source, GIS-based tool for simulating mass flows over arbitrary terrain. Furthermore, 86 87 r.avaflow is open source and allows modification of all input parameters, making it suitable for conducting GLOF parameter sensitivity analysis (Mergili et al., 2017; Mergili and Pudasaini, 88 89 2024).

r.avaflow utilizes the total variation diminishing non-oscillatory central differencing (NOC-TVD)
 numerical scheme (Wang et al., 2004) to solve an enhanced version of the Pudasaini multi phase flow model (Pudasaini and Mergili, 2019). It also offers added features for entrainment,
 deposition, dispersion, and phase transformation. Because of these features, r.avaflow can
 model the full process chain of a GLOF and flow transformation due to erosion of bed material





95 and deposition of entrained material (Mergili et al., 2017; Mergili and Pudasaini, 2024). 96 However, the precision of this model output depends on the accuracy of various input 97 parameters and initial conditions, including the release height of mass, the resolution and 98 vertical accuracy of the digital elevation model (DEM), density, entrainment, and frictional 99 parameters (Mergili et al., 2017). The difficulty involved in getting accurate measurements of 100 these parameters introduces substantial uncertainty in the modelling results.

Because of the significant logistic challenges associated with collecting field data and the 101 financial costs involved in acquiring high-resolution remote sensing data, many of the 102 103 parameters in GLOF modelling are derived from open-access data, leading to considerable uncertainties in the resultant discharge, inundation extent, and arrival times. Also, certain 104 105 factors such as the volume of mass movement entering the lake are impossible to measure accurately before a GLOF event. For example, the global-scale DEM, SRTM GL1, with a 106 ground resolution of 30 m, is commonly employed in GLOF modelling without adequately 107 considering the inherent uncertainty due to horizontal and vertical inaccuracies in this DEM 108 109 (Rinzin et al., 2023). Similarly, the origin of avalanches and other mass movements is 110 determined using low to medium-resolution remote sensing imagery and DEM, often supplemented by secondary datasets like permafrost data (Obu et al., 2019), which can 111 introduce notable uncertainties (Sattar et al., 2023; Allen et al., 2016). When estimating the 112 volume of avalanches entering lakes, DEM differencing between pre- and post-event 113 conditions can be advantageous for reconstructing historical events (Baggio et al., 2021; 114 Zheng et al., 2021a), although the accuracy is contingent upon the vertical and horizontal 115 accuracy and resolution of the data, and the temporal interval between data accusation. 116 117 Likewise, when ice is considered the sole source of avalanches, ice thickness is employed to calculate the avalanche volume (Allen et al., 2022), for which the accuracy of computed 118 volume relies on the resolution and availability of data in the region of interest. Under the 119 120 circumstances when the depth of landslides and avalanches are not known, conservative 121 thicknesses of 10, 30, and 50 m based on past events (Dubey et al., 2023) are often utilised 122 for forward modelling, further contributing to significant uncertainties in the modelling results (Rounce et al., 2017; Rounce et al., 2016; Dubey and Goyal, 2020). 123

Moreover, the flow parameters in r.avaflow are adjusted and optimised based on the fit of the model's results to well-documented past events (Mergili et al., 2017; Mergili et al., 2020a; Vilca et al., 2021) and the physically plausible range suggested by Mergili et al. (2017), Mergili et al. (2018b) and Mergili et al. (2018a). Efforts to fine-tune parameters to fit with historical events of varying magnitude, temporality and spatiality have led to the use of wide-ranging values. For example, Mergili et al. (2020b) used an internal solid friction angle of 28° to reconstruct





130 the 1941 GLOF process chain of Lake Palcacocha in the Cordillera Blanka, Peru. In contrast, 131 Vilca et al. (2021) used 45° to model the 2020 glacial lake outburst process chain of Lake 132 Salkantycocha located in Cordillera Vilcabamba of Peru. Likewise, the value of the basal 133 friction angle ranges between 6-18° (Baggio et al., 2021; Mergili et al., 2020a) (Supplementary 134 Figure 1 (Fig. S1)). Because each GLOF event is inherently distinct, even when originating from the same glacial lake (Emmer and Cochachine, 2013; Lala et al., 2018), employing 135 reconstructed values from past events for forward modelling introduces substantial 136 137 uncertainties (Gaphaz, 2017; Mergili et al., 2020b). Finally, r.avaflow model outputs are extremely sensitive to parameters like entrainment coefficient value, basal friction angle and 138 139 initial release volume (Mergili et al., 2018b; Mergili et al., 2018a; Baggio et al., 2021). However, 140 to our knowledge, how changes in the values of these input parameters affect the model output 141 (for example, peak and total flow, flow depth, flow velocity and arrival time) is not known.

To determine the relative contribution of uncertainties in different input parameters to variability 142 in GLOF extent, we identified nine out of 38 input parameters and initial conditions relevant to 143 144 GLOF flow modelling that have been previously identified as the most important in the 145 literature: digital elevation model; mesh size; the volume of mass movement impacting the lake; the origin of mass movement impacting the lake; grain density of mass movement 146 impacting the lake; entrainment coefficient; internal friction angle; basal friction angle; and, 147 fluid friction number (Table S1). We assessed the sensitivity of the model output to each of 148 these parameters by conducting up to 10 r.avaflow simulations per parameter and varying 149 their values within the range determined from the literature that employed r.avaflow modelling 150 (Fig. S1). We investigated the impact of variation in these parameter values on the model 151 outputs and used the following diagnostic variables: peak discharge; total discharge; flow 152 153 arrival time; flow height; flow velocity and reach distance. We then calculated the coefficient 154 of variation for each parameter and ranked them based on this metric.

155 2 Study site

Here, our sensitivity analysis is conducted on Thorthormi Tsho located at 28.10° N, 90.27° E 156 in the Lunana region of the Bhutan Himalaya (Fig. 1). The area of Thorthormi Tsho has 157 expanded by ~192% since 1990, evolving into the largest proglacial lake (area = 4.35 km²) 158 in Bhutan by 2020 (Rinzin et al., 2023) (Fig. 1B and 1E). Although the lake level was lowered 159 by 5m by artificially draining out the water between 2008 and 2012 (Nchm, 2019a), 160 Thorthormi Tsho is marked as the most dangerous glacial lake (Nchm, 2019a; Rinzin et al., 161 162 2021) (Fig. 1B). In recent years, Thorthormi Tsho has produced two GLOF events (Nchm, 2023); the first one occurred on June 20, 2019 (Nchm, 2019b), the latest on October 30, 163 164 2023. Also, modelling of future predicted GLOF from Thorthormi Tsho shows it can produce

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a flood with flow volume up to 300 × 10⁶ m³ of water with a peak discharge of up to 75000
 m³ s⁻¹, affecting more than 16000 people and various infrastructures downstream of this
 glacial lake (Rinzin et al., 2023). This high outburst susceptibility and potential make
 Thorthormi Tsho an ideal candidate for GLOF modelling to improve our modelling output
 GLOF uncertainty..



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Figure 1: Study area. The map (a) location of Thorthormi Tsho and its downstream condition in Bhutan. The map (b) shows elevation and the overview of glacial lakes in Lunana and settlements along the Phochu and Punatsangchu basins, downstream of Thorthormi Tsho. The downstream settlement is divided into 17 zones (1-17), each 10 km long. (c) Area of Thorthormi Tsho between 1960 and 2020, and the surrounding slope with topography potential





(TPP) for mass movement entering Thorthormi Tsho. (d and e) the downstream settlements
in the (d) Lunana and (d) Punakha and Wangdue Phodrang regions. The bar graphs are (f)
the change in the area of Thorthormi Tsho between 1960 and 2020 and (g) the buildings
(count) and road (km) within the 1 km on either side of the river centreline as per the latest
OpenStreetMap.

Additionally, the Phochu and Punatsangchu basins, located downstream of Thorthormi Tsho, 181 are the most populated basins in Bhutan. The latest updated OpenStreetMap, 182 183 (https://www.openstreetmap.org) although it does not have 100% coverage, shows that there 184 are over 7000 buildings, 50 bridges, 4 schools, 687 km of road and a large area of agricultural 185 land within the 1 km radius of the Phochu and Punatsangchu rivers. 202 buildings are located within the immediate 10 km downstream of Thorthormi Tsho (Fig. 1c.1d.1f). Besides, located 186 downstream are the two biggest hydropower plants (Punatsangchu-1 and Punatsangchu-2) 187 in Bhutan nearing the commission and poised to become key contributors to the nation's GDP. 188 Also, the Punakha Dzong, great historical and cultural significance is located downstream of 189 Thorthormi Tsho. This high downstream exposure to GLOF hazard further highlights the 190 191 importance of understanding GLOF characteristics from Thorthormi Tsho for GLOF modelling 192 (Fig. 1).

193 3 Methods

194 3. 1 r.avaflow model framework

195 r.avaflow is a comprehensive GIS-based open-source computational framework for modelling mass movement from one or more release areas over the defined basal topography (Mergili 196 197 et al., 2017; Mergili and Pudasaini, 2024). It can model the entire GLOF process chain starting 198 from the release of avalanches, through the dynamic interaction of the avalanche and lake 199 water, then the overtopping and retrogressive moraine dam erosion, and finally the 200 downstream evolution of the resulting flow (Mergili et al., 2020b; Vilca et al., 2021; Sattar et 201 al., 2023). It can also robustly consider the interactions between the phases as well as erosion 202 and deposition (Mergili et al., 2017). Furthermore, it is equipped with a built-in function for 203 visualization and validation. Because of this capability, r.avaflow has been widely used to 204 model process chains such as GLOF in the high mountains all over the world, mostly to reconstruct past events (Zheng et al., 2021a; Mergili et al., 2020b; Vilca et al., 2021) and to a 205 206 lesser extent to predict future hazards (Sattar et al., 2023; Allen et al., 2022).

In r.avaflow, the evolution of the flow in space and time is solved by using an enhanced version
 of the Pudasaini multiphase flow model (Pudasaini and Mergili, 2019; Pudasaini, 2012). The
 flow is computed through depth-averaged conservation of mass and momentum equations for





210 solid and fluid components. These equations involve six differential equations accounting for 211 solid (D_s) and fluid (D_f) flow depths, solid (M_{sx}) and fluid (M_{fx}) momentum in x direction (M_{sx} = 212 $D_{s.}v_{sx}$, $M_{fx} = D_{f.}v_{fx}$, and M_{sy} and M_{fy} in y direction ($M_{sy} = D_{s.}v_{sy}$, $M_{fy} = D_{f.}v_{fy}$), where v is the 213 flow velocity (Mergili et al., 2017). Mohr-Coulomb plasticity is used to compute solid stress 214 while fluid is subjected to solid volume-fraction-gradient-enhanced non-Newtonian viscous 215 stress. r.avaflow also considers other factors like virtual mass force, viscous drag, and 216 buoyancy. These factors collectively facilitate momentum transfer between the solid and fluid 217 phases, enabling simultaneous deformation, separation, and mixing of phases as they 218 propagate across the mountain topography (Pudasaini and Mergili, 2019; Pudasaini and 219 Krautblatter, 2014a; Mergili et al., 2020b; Pudasaini, 2012). To numerically solve these 220 differential equations and propagate flow over time and space, r.avaflow uses a high-resolution 221 total variation diminishing non-oscillatory central differencing (TVD-NOC) scheme, a 222 commonly used numerical scheme to handle the advection of quantities, whilst minimising 223 numerical artefacts like oscillations (Wang et al., 2004). The internal friction angle and basal friction angle, which are crucial factors governing the frictional forces influencing flow rheology, 224 225 are scaled with a solid fraction of the flow material (Mergili et al., 2018b; Mergili et al., 2017; Pudasaini and Mergili, 2019). This scaling effectively accounts for the reduced interaction 226 227 between solid particles and the basal surface within flows rich in fluid (Mergili et al., 2018b; Mergili et al., 2017). 228

229 r.avaflow has three different models, namely, a single-phase shallow water model with Voellmy 230 friction relation, an enhanced version of the multi-phase-flow of Pudasaini and Mergili (2019) and an equilibrium-of-motion model for the slow-flow process (Mergili et al., 2017). Here, we 231 232 chose an enhanced version of the multi-phase-flow model considering an erodible moraine 233 dam and rock-ice avalanche as the solid component and lake water as the fluid component. 234 The multi-phase mass flow model can simulate the propagation of three different elements: 235 solid (coarse material including boulders, cobbles and gravel), fine solid (including sand and 236 particles larger than clay and silt), and fluid (including water and very fine particle including 237 clay, silt and colloids), and assign each of them with distinct flow rheology (Pudasaini and Mergili, 2019). 238

Furthermore, r.avaflow has six specific optional functions including conversion of release height to depth, diffusion control, surface control, entrainment, stopping and dynamic adaption of friction parameters (Mergili and Pudasaini, 2024). The latest version of r.avaflow has four options to compute erosion and entrainment, (i) calculated by multiplying the entrainment coefficient with flow momentum, (ii) simplified entrainment-deposition numerical model of Pudasaini and Krautblatter (2014b), (iii) a combination of (i) and (ii), and (iv) acceleration-





deceleration entrainment and deposition model. Since models (ii) to (iv) are at the experimental phase, here, we used model (i), where the amount of entrainment is computed dynamically by multiplying with the user-defined entrainment coefficient (CE) with the total momentum of the flow at the given raster cell and time step (Mergili et al., 2017) (equations 1 and 2).

$$q_{\rm E,s} = C_{\rm E} |M_s + M_f| \, \alpha_{\rm s, Emax} \tag{1}$$

$$q_{\rm E,f} = C_{\rm E} |M_{\rm s} + M_{\rm f}| (1 - \alpha_{\rm s,Emax})$$
⁽²⁾

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- 251 Where q_{Es} and q_{Ef} are the entrainment rates of solid and fluid respectively
- 252 C_E is user user-defined entrainment coefficient (kg⁻¹)
- 253 $\alpha_{s, Emax}$ is using user-defined solid entertainable material height (m)



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Figure 2: Schematic view of Thorthormi Tsho, surrounding terrain (study area) and input

- 256 parameters employed for investigating r.avaflow model output sensitivity used in this study. 1-
- 257 6 shows the location of the mass movement areas into the lake.





258 We utilized r.avaflow direct (Mergili and Pudasaini, 2024), a web-based tool, to initially 259 generate the sample model script. We modified it by inputting parameters relevant to each 260 experimental set-up and wrote a bash shell script for all simulations in each experiment to test 261 various parameter values within our predefined range. We developed one master bash script 262 for each experiment that allowed us to run all experiments in parallel leveraging the Rocket 263 High Performance Computing (HPC) facilities at Newcastle University. All the GLOF 264 simulations were done for Thorthormi Tsho and were run for 1500 seconds when the flow 265 reaches up to ~24 km downstream of the lake depending on values of various parameters defined here. The flow propagation beyond this point and its interaction with the downstream 266 267 component are beyond the scope of this study.

Table 2: Key parameters tested in this study to investigate model output sensitivity. Detailed
 parameters for r.avaflow modelling are provided in Table S1.

Parameter	Value range	No. of	Constant	
		simulations	value	
Topographic data (DEM)	High Mountain Asia DEM (HMA-	12 (3×4)	HMA-DEM	
and Mesh size	DEM) (8m), AW3D30 (30m),			
	NASADEM (30m), SRTM GL3 (90 m)			
Avalanche origin location	Left (2), Right (2), Headwall (2)	6	Loc-1	
Avalanche volume	$1 - 10 \times 10^6 \text{ m}^3$	10	5 × 10 ⁶ m ³	
Grain density	1000 – 2700 kg/m³	10	2700	
Entrainment coefficient	-5.85 – -6.95 kg ⁻¹	10	-6.35	
Basal friction angle	10 – 14°	10	10	
Internal friction angle	25 – 35°	10	28	
Fluid friction number	0.027 – 0.050	10	0.05	

270 **3.2 Model inputs parameterisation and experimental setups**

r.avaflow has a large choice of parameters and initial conditions, such as a DEM representing
initial basal topography, the volume of the solid and liquid phase, entrainment and stopping
parameters and density and friction parameters (Mergili and Pudasaini, 2024) (Table S1). The
values specified for these parameters influence crucial aspects of modelled GLOF flow,
including impacted area, travel distance, travel time, and volume of sediment deposited at the





276 various downstream locations (Mergili et al., 2017). In this study we selected a total of nine 277 parameters which are identified as important in the previous studies (e.g. Mergili et al. 278 (2020a)): 1) DEM dataset, 2) mesh size; 3) the origin of mass movement into the lake; 4) 279 volume of mass movement entering the lake; 5) grain density of mass movement entering the 280 lake; 6) entrainment coefficient; 7) basal friction angle; 8) internal friction angle; 9) fluid 281 frictional number. To investigate the impact of DEM dataset variation (1) and mesh size 282 variations (2), we modelled GLOF by employing freely available global and regional DEM 283 datasets with differing spatial resolution and vertical accuracy (Table 2). For the origin of the mass movement entering lake (3), we first computed the topographic potential for slope 284 285 movement into the lake (Allen et al., 2019) (Fig. 1B) and selected six different sites by 286 considering the topographic potential values and direction of the lake (Fig. 2). The volume of 287 mass movement entering lake (4) was varied between 1×10^6 m³ and 10×10^6 m³. The avalanche grain density (pS) (5) value range was considered based on assumed combinations 288 289 of rock and ice avalanche parts following the approach used in the earlier studies (Allen et al., 290 2022; Sattar et al., 2023). For parameters 6-9, we gathered various values employed in previous studies (Allen et al., 2022; Mergili et al., 2020a; Mergili et al., 2020b; Vilca et al., 291 292 2021) and established the conservative range. In doing so, we computed descriptive statistics 293 and established the median, upper quantile value, and lower quantile for each parameter using these collated values (Fig. S1). We then varied these parameter values between the 294 calculated upper guartile and lower guartile, to give 10 equally spaced values in total. This 295 range of 10 values was utilised in our 10 experiments for the respective parameter, whilst 296 297 holding other parameter values constant at the median value. For example, for the internal 298 friction angle (ϕ) experiment, the ϕ was varied between the upper and lower quantiles, with 10 299 increments in total, whilst holding constant the other parameter values (Table 1). An overview 300 of employed parameters and workflow is shown in Fig. 2 and Table 1, while further details on the parameter range used for each experiment are provided in the following section. 301

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308 **Table 2:** Characteristics of DEM datasets employed in this study to investigate the impact of

DEM dataset	Acquisition techniques	Spatial resolution	Vertical accuracy	Coverage	Survey date
AW3D30	Optical stereo images	~30 m	6.84 m (RMSE relative to ICESat in HMA) (Liu et al., 2019)	Global	2006 to 2011
NASADEM	SAR Interferometry	~30 m	5.3 m (RMSE for USA) (Liu et al., 2019)	Global	2000
SRTM GL3	SAR Interferometry	~90 m	9.51 m (RMSE relative to ICESat in HMA) (Buckley et al., 2020)	Global	2000
HMA 8m DEM	Optical stereo images	8 m	2-m (depending on the type of sensor) (Shean, 2017a)	High Mountain Asia (HMA)	2002 to 2016

309 DEM dataset variation on GLOF modelling results.

310 **3.2.1 Digital elevation (1) model and mesh size (2)**

Here our goal is to constrain model output uncertainty stemming from the use of freely 311 312 available global and regional DEM datasets. We performed a series of GLOF simulations 313 using four open-access DEM data of various resolutions, vertical accuracy and elevation 314 derivation methods, namely, High Mountain Asia DEM (HMA-DEM; 8 m) (Shean, 2017b), ALOS Global Digital Surface Model (AW3D30; 30 m) (Jaxa, 2021), NASADEM (~30 m) (Nasa-315 316 Jpl, 2021), and SRTM GL3 (~90 m) ((Srtm), 2013). Further to investigate the impact of mesh size variation in each DEM dataset, we performed three simulations for each DEM data by 317 changing mesh size to 20 m, 30 m, and 40 m. The GLOF simulations for all other parameter 318 319 experiments were done using HMA-DEM at 8 m resolution (Table 2).

320 3.2.2 Volume of lake and avalanche entering lake (4)

r.avaflow has the option to define the initial release volume of different phases involved in the 321 GLOF process chain. Here, we assume GLOF was initiated by rock-ice mixed mass 322 323 movement entering into the lake followed by a tsunami wave hitting the moraine damming the 324 lake and causing moraine dam failure. Accordingly, we defined the frontal moraine damming 325 Thorthormi Tsho as phase-1 (rock component with ρ = 2700 kg m³), mass movement entering Thorthormi Tsho as phase-2 (rock-ice component) and Thorthormi Tsho as phase-3 (fluid part). 326 Conducting a bathymetry survey of Thorthormi Tsho is highly challenging as the lake is filled 327 with debris and icebergs. Therefore, we considered the volume by considering the mean value 328 (294×10⁶ m³) of all the volumes estimated from a total of eight area-volume scaling equations 329 (Table S2). This same calculated volume is used as constant fluid volume across all the GLOF 330 simulation experiments we conducted here and was not considered for sensitivity analysis. 331





332 However, r.avaflow requires spatially varying lake bathymetry to be used as fluid release 333 height rather than the absolute value of lake volume. Fortunately, Thorthormi being a recently 334 formed lake, has ice thickness data covering the extent of the lake (Farinotti et al., 2019). 335 Therefore, we computed the bathymetry of Thorthormi Tsho by subtracting ice thickness data 336 from the surface DEM (Linsbauer et al., 2016; Linsbauer et al., 2017). Assuming that the present-day lake has been formed by filling the over-deepening, this ice-thickness-derived 337 bathymetry was adjusted to match the volume we calculated from the empirical equation 338 339 (Table S2).

The volume of the avalanche entering the lake serves as a fundamental parameter for defining various scenarios in the forward modelling of a GLOF (for example, Allen et al. (2022) and Sattar et al. (2023)). However, for the forward modelling purpose, it is difficult to predict how big or small the avalanche will be. Considering these uncertainties, to test the effect of mass movement of various volumes, we conducted a series of 10 experiments considering volumes ranging from 1×10⁶ to 10×10⁶ m³ (Table 1).

346 3.2.3 Origin of mass movement into the lake

347 To account for uncertainties in the exact origin of mass movement into the lake, we identified 348 a total of six mass movement areas, each characterised by different directions, distances, and angles to the lake (Fig. 1 and Fig. 2). To do this, we first computed topographic potential for 349 ice/rock avalanche and landslide movement into the lake based on slope and run-out trajectory 350 351 criteria (Allen et al., 2019). Based on this first-order estimate, we identified the six potential avalanche source areas: Loc-1 (slope at ~900 m away from the headwall), Loc-2 (headwall), 352 Loc-3 (slope at the ~900 m from right moraine dam), Loc-4 (right moraine dam), Loc-5 (slope 353 at ~900 m from left moraine dam), Loc-6 (left moraine dam) (Fig. 1 and Fig. 2). We then ran 354 one scenario for each potential avalanche input location we identified. 355

356 3.2.4 Grain density of mass movement entering lake (5)

357 Our goal here is to assess the impact of the grain density of the mass movement entering the lake, which serves as a proxy for the ice-to-rock ratio. Accordingly, we consistently set the 358 grain density of phase-1 (moraine) at 2700 kg m³ across all the experiments, whilst the fluid 359 density of phase-3 was also held constant at 1000 kg m³. In the earlier studies, the grain 360 density of mass movement entering the lake has been used as a proxy of the portion of an 361 ice-rock component of mass movement into the lake, which is highly uncertain (Vilca et al., 362 363 2021; Allen et al., 2022). The phase separation of rock and ice components of the mass movement with different densities is not well established in r.avaflow (Vilca et al., 2021). 364 365 Therefore, in this study, following Sattar et al. (2023), a portion of snow and ice in the





avalanche is considered fluid by adjusting the material density of the phase-2 represented by
the avalanche (Table S3). In our experiment set-up, this is executed by varying the density
value between 2700 kg m⁻³ (representing 100% rock) to 1000 kg m⁻³ (representing 100%
water) (Table 1).

370 3.2.5 Entrainment coefficient (6)

Material entrainment due to bed erosion can make the flow more concentrated and thus 371 372 increase the volume, resulting in spatial and temporal variation of flow. In the r.avaflow model, the user must define entrainment height in the form of a raster covering the entire model 373 374 domain, which can be either identified using remote sensing imagery or fieldwork (Mergili and 375 Pudasaini, 2024). However, here, we considered frontal moraine damming the lake as the only 376 entrainment height (Fig. 1). The amount of entrainment itself is dependent on the user-defined entrainment coefficient (C_E). In r.avaflow the logarithm with base 10 of the C_E must be entered 377 (Mergili et al., 2018a; Mergili et al., 2017). Here, we modelled 10 scenarios of GLOF by varying 378 C_E between 10^{-6.95} to 10^{-5.85} kg⁻¹ (Table 1). 379

380 3.2.6 Frictional parameters (7-9)

The internal friction angle (ϕ), basal friction angle (δ) and fluid friction number (C_{FF}) 381 382 mechanically control the basal shear stress, internal deformation, anisotropy of the stresses, and hydraulic pressure gradient of the solid constituents (Pudasaini and Krautblatter, 2014a), 383 which are essential attributes influencing flow runout distance and time. Within the r.avaflow 384 model set-up, a user can either use spatially varying values for these frictional parameters 385 386 using a raster map or one absolute value (Mergili and Pudasaini, 2024; Mergili et al., 2017). 387 In this study, we computed 10 experiments for each of these frictional parameters. Specifically, by varying the ϕ between 25° to 35°, δ between 10° and 14° and C_{FF} between 0.027 to 0.050 388 (Table 1). 389

390 3.3 Sensitivity Analysis

391 Here we use sensitivity analysis, to determine how variations in the initial values for key impact the model outputs (Saltelli et al., 2004). Thus, our goal is not to determine the 'correct' value 392 for each parameter but to determine the r.avaflow input parameter(s) that cause the most 393 394 variation in the model output. To constrain this variability, we mainly focused on examining the 395 peak discharge, total discharge, and flow arrival time as the output metrics. The flow for all the 396 experiments was measured from the profile immediately beneath the moraine dam (profile-1 397 in Fig. 2). We calculated the peak and total discharge based on the flow data obtained from the same profile (Fig. S2). The flow arrival time was considered as the average value across 398





399 the time recorded from the profiles located 3 km, 6 km and 9 km downstream of the Thorthormi 400 Tsho (profile-2, 3, 4 in Fig. 2). All input parameters were standardized within a percentile range 401 of 0 to 100 for comparative analysis of their effects on the resultant outputs. For the scalable 402 parameters, we also computed simple linear regression considering input parameters as the 403 independent variable and model output as the dependent variable. To ascertain the sensitivity of the model output to variations in value across all parameters, we computed the coefficient 404 405 of variation (CV) for individual parameters and subsequently ranked them based on this metric. 406 The CV is a statistical measurement of the dispersion of data points around the mean, 407 regardless of the units used to measure it. CV is deemed suitable here since the r.avaflow 408 output variability is caused by input parameters that are measured in different units. To 409 calculate CV, we took the standard deviation of the output value range of a particular 410 experiment (e.g. peak discharge) and divided it by the mean of the same output range (Abdi, 411 2010).

412 4 Results

413 4.1 Effect of DEM dataset

When the GLOF is modelled employing freely available global and regional DEM datasets 414 (HMA-DEM, AW3D30, NASADEM, SRTM GL3), our results showed a variation of peak and 415 total discharge of GLOF from the Thorthormi Tsho by almost 100% and 400%, respectively 416 417 (Fig. 3). Specifically, HMA-DEM consistently produced the lowest GLOF magnitude, while SRTM GL3 consistently produced the highest. The peak flow fluctuates between 10-115% and 418 the total discharge between 55-400% (Fig. 3). Although NASADEM and AW3D30 have a 419 420 similar spatial resolution, notable differences (65%) in peak discharge emerged between 421 simulations done using these datasets (Fig. 3b and 3c).

422 We observed a significant fluctuation in the mean flow height (82%) and velocity (65%) along the flow path resulting from the change in DEM datasets (Fig. 3). For instance, the mean flow 423 height along the river centreline ranged from 39 m (HMA-DEM) to 54 m (SRTM GL3) (Table 424 425 3) and the flow reach distance increased from 15.5 km (HMA-DEM) to 24.2 km (SRTM GL3). Once again, NASADEM and AW3D30 yielded significantly different maximum flow heights 426 427 (8.5%) and reach distances (72%) (Fig. 3b and 3c). The use of various sources of DEM 428 datasets led to variations in total flow arrival time by around 16%. Flows derived from SRTM 429 data always arrived earlier, while those using HMA-DEM consistently showed the latest arrival times (Table 3). For example, at 5 km downstream, SRTM GL3 showed the earliest arrival at 430 3.46 min while HMA-DEM resulted in the latest arrival at 4.37. The portion of the solid 431





- 432 component of the flow did not exhibit significant fluctuations in response to changes in input
- 433 DEM datasets (Fig. 3).



434

Figure 3: Hydrographs (right panels) and maximum flow height along the river centerline (left
panels) generated by conducting a sequence of r.avaflow simulations, employing different
types of DEM datasets and varying the mesh resolution.

438 4.2 Effect of mesh size variations





439 When mesh size was increased from 20 m to 30 m and 40 m across all the DEM datasets, 440 we noted a substantial increase in peak and total discharge, although changes in resulting 441 flow characteristics like flow velocity were minimal (Fig. 3). For instance, in the case of the 442 experiment with HMA-DEM, the peak discharges increased to 20% and 38%, respectively 443 (Fig. 3). However, the mean flow velocity increased only by 6% when the mesh size was increased from 20 to 40 m (Table 3). Likewise, there was no significant difference in the flow 444 445 reach distance emerging from changing mesh size (Fig. 3). For instance, flow with all three 446 mesh sizes for HMA-DEM resulted in to flow reach distance of about 15 km (Fig. 3a). Mesh size variation resulted in arrival flow time variation of about 20%, with 40 m leading to earliest 447 448 arrival and 20 m the latest (Table 3).

449 Table 3. Percentage change in flow velocity, depth and arrival time resulting from variation in values of different input parameters we employed in this study. The total percentage (%) 450 change represents the output variation between the maximum and minimum values used in 451 the experiment. The average percentage (%) change is calculated as the mean change across 452 453 all incremental steps employed in setting up the experiment. The arrival time average of the 454 record from three locations, Profile-2, -3, and -4) (Fig. 2). Flow velocity and depth are mean values taken from the river centreline. The detail flow pattern is provided in Fig. S3, Fig. S4 455 and Fig. S5. 456

SL no.	Parameter	Velocity (% change)		Depth (% change)		Time (% change)	
		Average	Total	Average	Total	Average	Total
1	DEM dataset	16.25	65	20.5	82	4	16
2	Mesh Resolution	2	6	3	9	4	12
3	Volume of mass	9.2	92	92.3	923	-14.3	-143
	movement entering lake						
4	Density of mass	0.2	2	3.1	31	6	6
	movement entering lake						
5	Location of origin of	3.7	37	8.2	82	8	8
	mass movement entering lake						
6	Entrainment coefficient	1	10	4.9	49	3	3
7	Basal friction angle	2.3	23	4.2	42	6.8	68
8	Internal friction angle	0.1	1	3.8	38	0	0
9	Fluid friction number	5.5	55	7	70	0.8	8





457 **4.3 Effect of origin of mass movement entering the lake**

Our study found that the GLOF process chain initiated by mass movements from various 458 locations (Loc-1 to Loc-6) results in a significant fluctuation in the GLOF output (Fig. 4). The 459 peak discharge varied by approximately 200% and the total discharge by 55% (Fig. 4). 460 461 Likewise, the mean flow height and velocity also fluctuated by 65% and 82%, respectively (Table 3). By comparison, the flow resulting from the GLOF initiated by mass entering from 462 the Loc-1 (Fig. 4a) (900 m from the headwall) and Loc-5 (Fig. 4e) produced the highest 463 magnitude GLOF and that from the loc-4 (Fig. 4d) was the lowest. For example, the highest 464 peak (18 ×10³ m³) and total discharge (11 ×10⁶ m³) occurred from Loc-1, while the lowest peak 465 $(6,000 \text{ m}^3)$ and total discharge $(7 \times 10^6 \text{ m}^3)$ were from Loc-4 (right lateral moraine) (Fig. 4a 466 and 4d). The longest flow reach distance (25 km) was produced by loc-1 and loc-5, while the 467 shortest was from minimum from loc-3 (10 km) (Fig. 4c). Arrival times vary approximately by 468 20%, where the flow from Loc-5 arrives earlier while Loc-1 arrives at the latest (Table 3 and 469 470 Fig. 4). Solid volumetric portion did not exhibit significant fluctuation, with concentration 471 ranging from 4% (Loc-4) to 5% (Loc-2) (Fig. 4).







472 473

Figure 4: Flow rate and depth resulting from mass movement into the lake from different locations: loc-1 (a) to loc-6 (f).

475 **4.4 Effect of volume and grain density of mass movement entering the lake**

To separate the effect of variation in volume and density (ρ S) of mass movement entering the 476 lake, we simulated all 10 scenarios of the GLOF event using the mass movement initiated 477 from loc-1. Here we observed that only volume variation in mass movement leads to a very 478 large variation in the resulting peak (1160%) (Fig. 5a) and total flow (2500%) (Fig. 6a). 479 Subsequently, this resulted in maximum variation in flow characteristics such as mean flow 480 height (923%) and flow arrival time (50%) (Table 3, Fig. S3, Fig S5). Conversely, the pS 481 482 variation showed the least impact on both peak (5%) and total discharge (24%) (Fig. 5b, Fig. 6b, and Fig. 7b) and subsequent characteristics such as flow height (3%) and velocity (2%) 483 484 (Table 3 and Fig. S5). Both volume and density variation did not result in significant fluctuation 485 in the solid-volumetric concentration of the flow (Fig. S3).







486

Figure 5: Linear regression between input parameter value variation and resulting peak discharge. All input parameter values are normalized between 0 to 100. The linear regression is computed only for the volume of mass movement into the lake (a), grain density (b), entrainment coefficient (c), basal friction angle (d), internal friction angle (e) and fluid friction number (f).







492

Figure 6: Linear regression between input parameter value variation and resulting total discharge. All input parameter values are normalized between 0 to 100. The linear regression is computed only for the volume of mass movement into the lake (a), grain density (b), entrainment coefficient (c), basal friction angle (d), internal friction angle (e) and fluid friction number (f).





498



Figure 7: Linear regression between input parameter value variation and flow arrival time. All input parameter values are normalized between 0 to 100. The linear regression is computed only for the volume of mass movement into the lake (a), grain density (b), entrainment coefficient (c), basal friction angle (d), internal friction angle (e) and fluid friction number (f)

503 4.5 Effect of entrainment coefficient

Variations in the entrainment coefficient substantially impact the resulting GLOF output, causing fluctuations in peak discharge and volume by 13% and 123%, respectively (Fig. 5c and 6c). These changes also affect the flow characteristics including mean depth (49%) and reach distance (20%) (Table 3) but had minimal effect on arrival time (3%) (Fig. 7c). Most notably, unlike other parameters, entrainment variation also affected the solid concentration of the flow (Fig. S3). An increase in the entrainment coefficient from 10^{-6.95} to 10^{-5.85} kg⁻¹ led to a 30% increase in the mean solid volumetric concentration of the flow.

511 4.6 Effect of frictional parameters

512 Among the frictional parameters, the variation in basal friction angle (δ) resulted in a significant 513 fluctuation in GLOF magnitude and resulting flow characteristics (Fig. 5d, 6d and 7d). While 514 the variation of fluid friction angle had minimal impact on the resulting peak and total flow (Fig. 515 5e, 6e), it notably altered other flow characteristics, such as flow velocity (55%) and depth





516 (70%) (Table 3). The δ angle increase from 10 to 14° resulted in a peak and total discharge 517 decrease of 36% (Fig. 5d) and 32% (Fig. 6d), respectively. Likewise, the flow velocity 518 decreased by 23% resulting into delay in flow arrival by 18% (Table 3). Conversely, the peak 519 flow decreased by 2% only in response to an increase in the internal frictional angle from 25-520 35° (Fig. 5f). The variation in all frictional parameter values did not result in a significant change 521 in the solid volumetric concentration of the flow (Fig. S4).



Figure 8: The coefficient of variation for (a) peak flow, (b)volume, (c) time, (d) average flow height along the river centreline, (e) flow velocity along the river centreline and (f) average across all these output parameters.

526





527 4.7 Comparison of the effect of all parameters

528 To compare output sensitivity resulting from all parameters and initial conditions considered 529 here, we calculated the coefficient of variation (CV) for peak flow, total discharge, arrival time, 530 flow height and flow velocity. We further computed the average coefficient of variation (avg. 531 CV) across all these output variables and examined the overall impact of each input parameter variation. Comparing all these output indicators, mass movement entering the lake had the 532 greatest impact (avg. CV = 47%), followed by DEM datasets (avg. CV = 35%) and the origin 533 of mass movement (avg. CV = 21%). Other input parameters like mesh size, basal friction 534 535 angle (δ), and entrainment coefficient also caused significant variation in resulting GLOF. Notably, fluid friction number had a significant impact on flow height with its CV = 16% despite 536 having minimal impact on other flow characteristics. 537

For the six scalable parameters, we computed linear regression (Fig. 5 to Fig. 7). The linear 538 539 regression analysis unveiled that the four parameters, namely volume (R²=0.99) of mass movement into lake, ρ S of mass movement into lake (R²=0.96), basal friction angle (δ) (R² = 540 0.96) and C_{FF} ($R^2 = 0.83$) offer strong explanatory power regarding the variability observed in 541 resulting GLOF peak discharge (Fig. 5). Among these sets of parameters, volume (m = 1.6) 542 and ρS (m = 0.085) of mass movement entering lake indicated a positive relationship while δ 543 (m =-0.347) and C_E (m=-0.091) exhibited a negative relationship (Fig. 5). By contrast, the 544 internal friction angle ($R^2 = 0.24$) and entrainment coefficient (C_E) ($R^2 = 0.22$) exhibited a weak 545 546 relationship with the peak discharge. All six parameters ($R^2 > 0.9$) except for the internal friction 547 angle ($R^2 = 0.59$) indicated a high level of explanatory power regarding the variation of resulting total discharge. Across all six parameters, the volume of the avalanche exhibited the highest 548 R² value, signifying a strong explanatory power regarding the modelled discharge volume 549 compared to the other parameters. Additionally, the substantial magnitude of the slope (m=1.6 550 551 and m=0.53 for peak and total discharge, respectively) associated with the volume of avalanche further underscores its high magnitude relationship with the modelled GLOF flow. 552 surpassing that of the other parameters (Fig. 5a and 6a). 553

Basal friction angle δ and C_{FF} demonstrated a high level of explanatory power concerning the variability in flow arrival time, as evidenced by their R² values of 0.98 and 0.97, respectively. Avalanche volume variation also exhibited a high explanatory power with a negative relationship, supported by an R² of 0.81 and a slope (m) of -0.019, although the linearity became less pronounced within the volume range of 4 × 10⁶ m³ to 10 × 10⁶ m³. In contrast, other parameters, including C_E, and ϕ , did not exhibit a definitive linear relationship. (Fig. 7). However, CE variation showed a threshold effect on arrival time; increasing the CE from 10⁻





561 $^{5.95}$ to $10^{-6.42}$ kg⁻¹ decreased arrival time, while further increases towards $10^{-5.85}$ kg⁻¹ led to a

562 linear increase in arrival time.

563 5 Discussion

Our primary aim was to investigate the sensitivity of the model GLOF outputs from r.avaflow 564 to a range of values for key model input parameters. Previous studies have underscored the 565 sensitivity of r.avaflow model outputs to various input parameters, including basal friction 566 567 angle, entrainment coefficient and volume of avalanche entering the lake (Baggio et al., 2021; 568 Mergili et al., 2018b; Mergili et al., 2020a). This study advances our understanding of GLOF 569 modelling by conducting a comprehensive sensitivity analysis across nine parameters and multiple GLOF simulations. As a result, we have for the first time, ranked these nine GLOF 570 571 input parameters based on their contributions to model output variabilities. Our results showed that modelled GLOF output parameters are substantially sensitive to six of the nine 572 573 parameters we tested here (DEM dataset, mesh size, volume of mass movement into the lake, 574 origin of mass movement into the lake, entrainment coefficient, and basal friction angle) suggesting that GLOF modelling results are subject to uncertainty from the multiple sources. 575 The findings offer valuable perspectives on the uncertainty of GLOF modelling results and 576 complexities inherent in modelling the GLOF process chain within the rugged mountain terrain 577 such as in the Himalaya. 578

579 5.1 DEM datasets and mesh size variations

DEM is one essential data for GLOF and other flood modelling (Hawker et al., 2018; Saksena 580 581 and Merwade, 2015; Schumann and Bates, 2018; Westoby et al., 2014). The impact of DEM resolution is even more pronounced in the steep and complex topographic conditions 582 583 prevalent in high mountain regions like the Himalaya (Liu et al., 2019). Our study provides the 584 quantification of the effect of DEM in such environments for the first time. Our results suggest that the use of global and regional DEM datasets ranging from HMA-DEM (8 m) to SRTM GL3 585 (90 m) leads to over two-fold and four-fold variations in peak and total discharge, respectively, 586 587 and cause successive significant fluctuations in flood height, reach distance and flow arrival time. This likely results from the low-resolution DEMs not fully resolving the river channel 588 589 compared to higher resolution DEM, leading to reduced river channel conveyance (Fig. 9 and 590 Fig. S7) (Muthusamy et al., 2021). This was supported by a comparison of the DEM profile 591 and flow height along the river centreline (Fig. 9) and across the multiple vertical crosssections along the river channel (Fig. S6). The analysis showed that GLOF output from SRTM 592 GL3, where river channels are poorly resolved, was comparatively higher than that from the 593 HMA-DEM with the better resolved channel. Also, DEM datasets were acquired at different 594

(Schumann and Bates, 2018).



597



times, meaning the topographic features they captured might also differ depending on natural
 geomorphological change or human-made alteration of the earth's surface over time



598

Figure 9: A comparison of the elevation profiles from four DEM datasets and the corresponding flow depths along the river centreline, generated through r.avaflow modelling. panels (a) and (b) show the flow depths and elevation profiles along the river centreline. Panels (c) and (d) illustrate elevation profiles for two specific sections. DEM and flow height profiles from the vertical cross sections at various distances are also provided in the supplementary figure (Fig. S6). The DEM datasets were co-registered using Shean et al. (2016).

Overestimation of flood maps stemming from reductions in DEM resolution has been reported in urban flood modelling (Muthusamy et al., 2021; Mcclean et al., 2020). However, the impact of DEM data on GLOF modelling, especially in a complex topographic setting such as in the Himalaya has been rarely documented (Wang et al., 2011). Our results show the substantial variation in GLOF model output stemming from DEM dataset variation, even when employing DEM with comparable spatial resolutions, which underscores the criticality of high-quality DEM data in GLOF modelling (Fig. 9). DEM datasets covering rugged high mountain terrain, where





613 GLOFs typically occur are likely to have more errors due to geometric distortion and data loss 614 due to challenges involved in data acquisition for DEM production (Hugonnet et al., 2021; Liu 615 et al., 2019). Therefore, using global scale DEMs, such as SRTM and ASTER, for GLOF modelling due to the absence of high-resolution alternatives (Wang et al., 2011) may only be 616 617 suitable for first-order assessment of GLOFs at large scales (Zhang et al., 2023b). This is important as uncertainty stemming from DEM datasets is often overlooked and/or not well 618 619 addressed in the previous basin-specific GLOF modelling work (Rinzin et al., 2023; Sattar et 620 al., 2023; Sattar et al., 2021b).

621 5.2 Mass movement origin variation

Our study indicated that different locations of avalanche initiation produced GLOFs with 622 approximately two-fold variations in their peak discharge, volume, and reach distance (Fig. 4). 623 These variations can be explained based on the lake geometry and the direction/ angle at 624 which the mass movement enters the lake. r.avaflow model provides detailed output 625 626 parameters such as kinetic energy associated with the flow, and flow height map for each time step, which allowed us to better understand the cause of this variation. For example, the 627 avalanche mass originating from loc-1, which is located at the slope above the headwall, 628 directly impacts the head end of the lake with the highest kinetic energy (50714 GJ) among all 629 other source avalanches. This head-end impact, coupled with its high energy, facilitates the 630 direct forward propagation of waves toward the frontal outlet, causing the lake water to overtop 631 632 the frontal moraine and resulting in a higher peak and total discharge (Fig. S7). Thorthormi Tsho is roughly crescentic in shape and curves toward the west, with its maximum curvature 633 634 facing the mass movement origin of loc-6. This shape also allows the impact wave generated 635 from mass movement from loc-6 to move almost unimpeded along the flow line, resulting in greater GLOF discharge. In contrast, the direct wave of impact generated by the mass 636 movement from loc-3, located on the slope above the right moraine dam, is deflected towards 637 the left lateral moraine, and only a secondary wave proceeds towards the lake outlet, resulting 638 in a comparatively lower peak and total discharge (Fig. S7). This finding implies that the 639 640 geometry of the glacial lake and the surrounding source slope plays a vital role in GLOF output. Thus, we underscore the importance of considering catchment shape in GLOF modelling, 641 642 although we cannot assume that two identical basins will have the same flood properties due 643 to the influence of other factors, such as the involved volume of solid and fluid parts.

Earlier studies (Mergili et al., 2017; Mergili et al., 2020b) have explained the interaction between landslides and reservoirs (lakes) and their influence on the resulting hydrograph. However, these studies did not consider the variables such as directions and angles from which the mass impacts the lake. To fill this gap, here we enhanced our understanding of the





648 interplay between the resulting GLOF magnitude and avalanche mass attributes including the 649 direction and angle from which the avalanche mass enters the lake, the amount of kinetic 650 energy the avalanche mass possesses and the geometry of the lake. Our results emphasize 651 the significant impact on the resulting GLOF events caused by the uncertainty in pinpointing 652 the specific location of origin of mass movement into the lake. Thawing of permafrost and destabilization of the slope surrounding the lake due to climate warming (Gruber et al., 2017; 653 654 Kääb et al., 2018) combined with the expansion of the glacial lake towards the mountain flank 655 (Rounce et al., 2016) are likely to increase the frequency of mass movement into the lake, further exacerbating this uncertainty. Therefore, our finding here will be useful to further 656 657 improve the development of scenario-based approaches to GLOF modelling (Gaphaz, 2017; 658 Sattar et al., 2021a) including, high, medium, small and worst-case scenarios (Allen et al., 659 2022; Gaphaz, 2017).

5.3 Mass movement volume, grain density, and entrainment coefficient

Our investigation revealed that variation in GLOF magnitude is most sensitive to the volume 661 of avalanches entering the lakes. It also exhibits a significant level of sensitivity to the 662 entrainment coefficient whilst the grain density (pS) exhibits negligible impact. For example, 663 the variation of avalanche volume between 1×10^6 m³ and 10×10^6 m³ leads to peak and total 664 discharge fluctuation of 1160% and 2500%, respectively, and subsequent variation in 665 maximum flow height and arrival time (Fig. 5 to Fig. 7). The dominant impact of avalanche 666 667 volume and entrainment coefficients on GLOF magnitude could be due to their direct influence on the overall magnitude and intensity of flood events. The total discharge during the GLOF 668 cascade event is a function of the volume of the avalanche entering the lake. This is further 669 corroborated by the near-perfect linear relationship between peak discharge ($R^2 = 0.99$) and 670 total discharge ($R^2 = 1$) with the volume of avalanches entering the lake observed here. 671 672 Likewise, the volume of solid content in the flow is solely contributed by the entrainment of frontal moraine material, primarily determined by the entrainment coefficient ($C_{\rm F}$). Additionally, 673 this correlation could be attributed to the amount of energy and associated momentum of the 674 675 flow, which changes significantly with corresponding variations in avalanche volume. Also, it could be due to the longer timing and duration of the flow as evident in Fig. S4. Most GLOF 676 677 events in high mountains across the HMA and other alpine regions are caused by moraine 678 dam breaches triggered by mass movement entering the lake from the surrounding mountain 679 flank (Shrestha et al., 2023; Lützow et al., 2023; Emmer and Vilímek, 2014). As a result, mass 680 movement volume is considered a primary basis for scenario development (Allen et al., 2022). 681 Thus, we believe this finding provides useful insights towards improving the developing of





682 different scenarios of GLOFs with higher confidence, or is a basis for ensemble testing, with 683 the caveat that the range of outputs may be too wide to be of practical use.

684 **5.4 Frictional parameters variations**

Among the frictional parameters, our result showed that GLOF magnitude is most sensitive to 685 the δ . For example, the variation of total discharge (47.5%) resulting from fluctuation of δ within 686 the conservative range was 30 times greater than that of internal friction angle (ϕ) and over 687 688 four times greater than that of fluid friction angle (C_{FF}). δ plays a dominant role in flow dynamics 689 and the interaction between the flowing material and the channel bed. This direct contact 690 means that even minor changes in δ can have substantial effects on the resistance encountered by the flowing material, thereby influencing the mobility of the flow (Pudasaini 691 and Krautblatter, 2014b; Mergili et al., 2018a; Mergili et al., 2018b). ϕ on the other hand 692 primarily affects particle interactions within the flowing material, whilst CFF is a coefficient which 693 694 quantifies the overall flow resistance within the flow path mainly depending on surface 695 roughness. Our findings indicate that prioritizing the consideration of δ over the other two frictional parameters is advisable. This can be done by determining spatially variable values 696 through field data or conducting a statistically substantial sensitivity analysis. Nonetheless, 697 despite the relatively low overall impact on GLOF magnitude, the CFF notably increased the 698 flow's mobility, especially beyond 12 km downstream, when the flow became fluid-dominant 699 (Fig. S4). This because CFF is controls the mobility of the fluid part (Mergili and Pudasaini, 700 701 2024; Mergili et al., 2017). This suggests that C_{FF} could exert a substantial influence, 702 particularly in modelling scenarios encompassing longer flow distances.

703 5.5 Key points from the comparison of all parameters and the way forward

704 Identifying the most accurate parameter values or optimal datasets can be achieved through 705 validation with well-constrained historical events (Zheng et al., 2021a; Schneider et al., 2014; Mergili et al., 2020b; Shugar et al., 2021), but there are limitations in the transferability of these 706 707 findings due to the unique characteristics and initial conditions of each GLOF, such as varying 708 volumes of solid and liquid. These specific conditions mean that the results of one modelled GLOF event might not accurately predict the behaviour of GLOFs in different regions or under 709 710 different circumstances (Mergili et al., 2018a; Mergili et al., 2020b). Therefore, while these 711 back-analysed parameter values can provide valuable insights, they need to be applied with 712 caution and adapted to the specific context of each new GLOF scenario. This is emphasized by our finding that the characteristics of the modelled GLOF are substantially impacted by 713 714 various parameters. As a result of these multiple sources of uncertainty in modelled GLOF, it 715 could pose challenges in effectively communicating risks with communities and other





stakeholders (Thompson et al., 2020). We highlight that more sensitive parameters should be
treated carefully in future GLOF modelling works by robustly considering associated
uncertainties.

719 Due to the high sensitivity of the model output on DEM resolution, we emphasize the critical 720 importance of high-resolution and good-quality DEM (Uuemaa et al., 2020; Schumann and Bates, 2018), especially when modelling is aimed at producing hazard maps with higher 721 granularity at the specific basin scale. Specifically, DEMs should be the high spatial resolution, 722 high vertical accuracy and recently produced, especially in areas of high relief and rapid 723 724 landscape change such as in Himalaya (Schumann and Bates, 2018). Previous studies have indicated that flood modelling accuracy can be improved by correcting the effect of DEM 725 726 resolution and accuracy (Saksena and Merwade, 2015) or by merging with other highresolution and accurate DEMs (Muthusamy et al., 2021). These methods appear viable in the 727 context of highly sparse coverage of high-resolution DEMs and the unaffordability of high-728 resolution commercial DEMs, but the modelling results should still be interpreted with caution. 729 730 On the other hand, whilst it poses computational challenges, especially with high-resolution 731 DEMs, we believe that selecting a mesh size equivalent to the spatial resolution of the DEM could effectively mitigate uncertainty associated with mesh size variation. Models such as D-732 733 Claw which features patch-based adaptive mesh refinement capability can be potentially used 734 as alternative models, however, its use in GLOF modelling is limited so far (Iverson and 735 George, 2014; George et al., 2017).

736 Avalanche volume and δ exhibit a strong linear relationship with all output parameters. Whilst 737 the linear relationship does not negate the influence these parameters have on flow 738 characteristics, it suggests that model output errors resulting from uncertainties in these 739 parameters might be predictably managed. This is essential since predicting the volume of mass movement involved in the forward modelling is highly challenging and determining an 740 741 accurate value is impossible - the current challenge is rather to establish a likely envelope of volumes. However, such prediction should be bespoke to the particular events based on the 742 743 initial parameters like estimated ice thickness, slope, and presence of permafrost. 744 Furthermore, such predictions must also consider other factors, such as equifinality arising 745 from the interaction of multiple parameters (Mergili et al., 2018a; Mergili et al., 2018b; Mergili 746 et al., 2020b).

The C_E exhibits a linear relationship only with volume. This relationship with the volume is understandable, as the entrainment coefficient is a primary determinant of how much solid fraction of the flow is added due to erosions. However, the arrival time exhibits distinct thresholds at the entrainment coefficient $10^{-6.46}$ kg⁻¹. The decrease in flow arrival time





751 observed until a CE value of 10^{-6.46} kg⁻¹ may be attributed to the flow being primarily dominated 752 by the fluid component, with the contribution from erosion being negligible. However, the subsequent increase in flow arrival time as the CE value further increased from 10^{-6.46} kg⁻¹ to 753 754 10^{-5.85} kg⁻¹ could be attributed to the effect of increasing concentration resulting from a higher 755 rate of erosion. This suggests that once this threshold is surpassed, the resulting peak flow 756 and arrival time demonstrate a heightened sensitivity to variations in entrainment. 757 Consequently, this sensitivity may translate to the flow characteristics such as flow height and 758 arrival and arrival time which are essential for hazard and risk assessments. It is important to note that this threshold value may vary across different GLOF events due to the diverse 759 760 combinations of other parameters.

761 The linearity demonstrated by the initial volume of avalanches entering the lake and δ warrants further investigation into flow characteristics resulting from variations in these parameters. 762 763 Further investigation with adequate sample sizes and a reliable statistical approach would enable the establishment of accurate relationships or predictor values. The threshold effect 764 765 observed in the C_E value also warrants further investigation using statistically conclusive 766 samples to determine whether the threshold value is universal across different events or specific to individual occurrences. For factors such as internal friction angle, fluid friction 767 number, and pS, the conservative values may suffice or receive less emphasis, particularly 768 considering the numerous parameters involved in GLOF modelling. 769

770 The r.avaflow model provides comprehensive and open-source codes for simulating 771 cascading mass flow in complex topographies (Mergili and Pudasaini, 2024). Its comprehensiveness stems from the wide range of parameters it considers, making it a 772 versatile tool for various mass flow process chain simulations (Mergili et al., 2017). Past 773 774 studies have demonstrated the model's ability to accurately back-calculate historical events 775 with detail (Shugar et al., 2021). However, challenges persist in its application to forward modelling (Allen et al., 2022; Sattar et al., 2023), particularly in the context of GLOF hazard 776 777 and risk assessment (Mergili et al., 2020b). In our study, we conducted a robust sensitivity 778 analysis considering nine parameters relevant to GLOF towards addressing these challenges. Since we identified the key parameters that significantly influence the modelled GLOF output, 779 780 our result can be used as a basis for further improvement and optimization of r.avaflow 781 modelling codes.

The GLOF simulations were conducted using the r.avaflow model due to its capability to model the entire GLOF process chain (Mergili and Pudasaini, 2024; Mergili et al., 2017). While we present the uncertainty involved in the full process chain GLOF from mass movement entering the lake to downstream propagation, we specifically explored the uncertainty of the GLOF





input parameters relevant to r.avaflow modelling. Input parameters such as DEM datasets,
and the volume and density of mass movement involved in a GLOF event, might be similar
across different models. However, we caution that the parameters tested here do not
necessarily apply to all models used for GLOF modelling.

The flow arrival time was measured from the profile located 3 km, 6 and 9 km downstream of the lake since some of our modelled GLOF terminates before proceeding further downstream. This is a reasonable point as human settlement downstream of the lake is mostly concentrated around this area. The variation of flow arrival time might be underestimated if the location is farther downstream from the lake.

Here we focused on nine essential parameters in r.avaflow, which are relevant to GLOF modelling. However, including inbuilt modules, initial conditions, and all flow parameters, r.avaflow has more than 30 parameters (Mergili and Pudasaini, 2024) (Table S1). Thus, our sensitivity analysis might have potentially overlooked the complexity of r.avaflow stemming from the effect of all these parameters.

One-at-a-time sensitivity analysis we used here, inherently lacks consideration for parameter interactions and may have potentially overlooked important relationships (Saltelli et al., 2004). Moreover, due to the immense computational cost of r.avaflow, we used only 10 simulations. While this number of simulations for each parameter produced substantially conclusive results, we do not discount the robustness of global sensitivity analysis employing an adequate sampling size. Future studies should focus on testing further r.avaflow parameters and indepth model analysis by employing a statistically sufficient sampling size.

807 6 Conclusions

808 GLOFs present substantial dangers to communities residing in valleys downstream of glacial lakes. GLOFs involve complex cascading processes and typically occur across rugged 809 mountain terrains. Due to these complexities, modelling GLOFs necessitates extensive input 810 data, parameters, and complex modelling codes for accurate hazard and risk assessments, 811 812 which is inherently challenging. However, previous studies have mostly relied on open-access data and are grounded in a historical event introducing significant uncertainties to the 813 814 modelling results. In this study, we have, for the first time, conducted sensitivity analysis considering multiple GLOF parameters and ranked these inputs based on how their 815 816 uncertainties in input values apportion to the variation in modelling output, by employing cutting-edge modelling code, r.avaflow. Our results suggested GLOF modelling outputs such 817 as peak and total discharge are substantially sensitive to variation in input values of six out of 818





819 nine parameters we tested here. Specifically, the modelling outputs are the most sensitive to 820 the volume of avalanches entering lakes followed by the variation in DEM datasets and the 821 location of origin of mass movement entering the lake. Other parameters like mesh size, basal 822 frictional angle, and entrainment coefficient also showed significant sensitivity. Although 823 limited to GLOF modelling with the r.avaflow model, our study emphasizes that GLOF modelling results are influenced by uncertainties stemming from various sources, 824 825 underscoring the need for careful interpretation of the modelling results. By ranking the model 826 parameters according to their impact on model output, our study prioritizes model input 827 parameters for future modelling efforts, given the challenge of adequately constraining multiple 828 parameters. Additionally, this study lays the groundwork for a thorough investigation into the 829 most sensitive parameters, to improve our understanding of GLOF modelling.

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834 Code and data availability

The r.avaflow modelling code we used here for simulating all scenarios of GLOF can be accessed at: <u>r.avaflow | The mass flow simulation tool (landslidemodels.org).</u> The SRTM GL3, NASADEM and AW3D30 DEMS used here can be downloaded from the OpenTopogragphy at: <u>OpenTopography - Find Topography Data</u>. The HMA-DEM can be downloaded from the National Ice and Snow Data Center at: <u>High Mountain Asia 8-meter DEM Mosaics Derived</u> from Optical Imagery, Version 1 | National Snow and Ice Data Center (nsidc.org).

841 Supplement

842 The supplement related to this article is available online at:

843 Author contributions

- 844 SR, SA. and RC conceptualized the study. SR undertook the computational studies and data
- analysis. AS provided guidiance in modelling. MM revised and provide expert opinion on the
- study. SA, RC and AS supervised the work. All authors wrote and edited the manuscript.

847 Competing interests

848 The contact author has declared that none of the authors has any competing interests.

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