1 Title:

- 2 Enhancing environmental models with a new downscaling method
- 3 for global radiation in complex terrain

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#### 15 Abstract:

Global radiation is a key climate input in forest process-based models (PBM) as it determines photosynthesis, transpiration and the canopy energy balance. While radiation is 17 highly variable at fine spatial resolution in complex terrain due to shadowing effects, data required for PBM currently available over large extents are generally at spatial resolution 20 coarser than ~9 km. Downscaling radiation from large-scale to high resolution available from digital elevation models is therefore of potential importance to refine global radiation 21 22 estimates and improve PBM estimations. In this study, we introducedintroduce a new downscaling model that aims to refine sub-daily global radiation data obtained from climate reanalysis or projection at large scales to the resolution of a given digital elevation model. 24 First, downscaling involves splitting radiation into direct and diffuse fraction. Then, the 25 influence of surrounding mountains' shade on direct radiation and the "bowl" (deep valley) 26 effect (or skyview factor) on diffuse radiation is considered. The model was evaluated by comparing simulated and observed radiation at the Mont Ventoux mountain study site 28 (southeast of France) using the recent ERA5-Land hourly data available at 9 km resolution 29 as input and downscaled at different spatial resolution (from 1 km to 30 m resolution) using a digital elevation model. The downscaling algorithm improved the reliability of radiation at the study site in particular at scales below 150 m. Finally, by using two different process based 32 models (CASTANEACastanea, a process-based model simulating tree growth, and SurEau, 33 a plant-hydraulic model simulating hydraulic failure risk), we showed that accounting for fine 34 resolution radiation can have a great impact on predictions of forest functioning, functions 36 and climatic risks.

#### 37 **short summary**:

- 38 Accurate radiation data are essential for the understanding of ecosystem functioning and
- 39 dynamicsunderstanding ecosystem growth. Traditional large-scale data lack the precision
- 40 needed for complex terrains, e.g. mountainous regions. This study introduces a new model
- 41 to enhance radiation data resolution using elevation maps, which accounts for sub-daily
- 42 direct and diffuse radiation effects caused by terrain features. Tested on a mountainous
- 43 <u>areaMont Ventoux</u>, this method significantly <u>improvedimproves</u> radiation estimates,
- 44 benefiting predictions of forest functioningforest growth and climate risk models.

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#### 45 1. INTRODUCTION

Studies assessing the impacts of climate change on forest ecosystem functions increasingly 46 rely on high resolution spatial and temporal climate data. For example, process-based 47 models that aim to evaluate the effect of climate on forest functions and services require 48 daily or sub-daily temporal resolution meteorology as input (e.g., Davi et al., 2006; De 49 Cáceres et al., 2023; Granier et al., 2007; Ruffault et al., 2013, 2022, 2023) to simulate key 50 ecophysiological processes (transpiration, photosynthesis or water potential). Yet, even 51 relatively fine-grained (i.e., 1 km) historical or projected climate products (Hijmans et al., 2005; Brun et al., 2022) do not correspond to the "topographic scale" and cannot reproduce fine-scale patterns observed in heterogeneous landscapes. Moreover, employing spatially-54 coarse climatic projections can lead to biasedbiassed and irrelevant inferences of local 55 ecological patterns (Bedia et al., 2013) or to substantial errors in impact studies (e.g., Patsiou et al., 2014; Randin et al., 2009). Improving methodologies to provide climatic data at high spatio-temporal resolution variation is therefore crucial to better understand and 58 predictforecast the spatial heterogeneity in forest structure and functions. 59

Among climate variables, radiation is a key driver of plant functioning and productivity 61 globally (Churkina and Running, 1998), acting through two main mechanisms. On one hand, global radiation determines the photosynthetically active radiation (PAR), i.e., the available energy for photosynthesis and thus plant productivity. Numerous studies have shown the relationship between the amount of solar radiation and the distribution of plant species or communities worldwide (Dirnbock et al., 2003; Franklin, 1998; Meentemeyer et 65 al., 2001; Tappeiner et al., 1998; Zimmermann and Kienast, 1999). On the other hand, the 66 radiation reaching a vegetation surface is an important component of the canopy energy 67 balance, driving surface temperature and vapour pressure deficit (Monteith, 1981). Radiation 68 is thus a key driver of evapotranspiration which enters in most potential evapotranspiration 69 formulations (Fisher et al., 2011) and water balance models (Granier 1999; Ruffault et al. 2013; De Cáceres et al., 2015). Through its effect on leaf -temperature and vapour pressure deficit, radiation also influences the water\_-status of the leaves which in turn will drive many plant functions including growth, stomatal aperture and desiccation (Martin-StPaul et al., 73 2023). 74

In regions with a complex orography, climatic variations can occur over distances ranging from a few metres to a few kilometres. This phenomenon, referred to as topoclimate (Bramer et al., 2018), can play a crucial role in shaping flora and fauna habitat as well as a multitude of ecosystem processes related to climatic variability (Austin, 2002; Piedallu & Gégout,

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79 2008; Randin et al., 2009). Accounting for topographic effects on spatial radiation patterns
80 has been well studied with the purpose, for instance, of improving niche models (that predict
81 the distribution of plants as a function of environmental variables) in mountainous areas
82 (Piedallu & Gégout, 2008; Randin et al., 2009). So far, such radiation data are measured or
83 computed from local meteorological stations, or from coarse-scale global meteorological
84 products such as reanalyses, or geostationary satellite data products at few kilometer
85 resolution (e.g. De Cáceres et al., 2018, Roerink et al. 2012).

Direct radiation is a primary driver of topoclimate variations, as it can undergo changes at a very local scale due to several processes. At the scale of a massif, the surrounding topography can cast shadows on a given point because the sun rays can be physically interrupted. In other words, the presence of nearby high peaks will impact the rays directly coming from the sun. At the scale of a point in space, the slope and aspect (azimuth), will in addition modify the direct radiation intensity received. In the northern hemisphere, a south face will receive more radiation than a north face, and this will be modulated by the angle between the sun rays and the slope at the point. Similarly, the surrounding topography will affect diffuse radiationluminosity (e.g., on cloudy days) isotropicallyanisotropically (at 360°), leading to lower radiationluminosity in valley bottoms (i.e., the skyview factor or the "bowl effect").

Historically, the primary method for accounting for the effects of topography on radiation has 97 been to rely on slope or aspect. Indeed, these parameters are relatively simple to measure (e.g., through GIS) and the global radiation flux at the surface can be easily derived from those (Austin et al., 1990; Carroll et al., 1999; Clark et al., 1999; Pierce et al., 2005). 100 However, this downscaling approach overlooks a significant portion of the processes involved in radiation attenuation due to sky obstruction by surrounding topography. Shading 103 and the skyview were taken into account at a later stage, in particular in the radiation parameterization scheme (Müller & Scherer, 2005) and in several of its applications (e.g. 104 Senkova et al., 2007; Buzzi, 2008). Regional climate models (RCMs), on the other hand, calculate radiation by accounting for atmospheric processes in relation to land-surface 107 processes (energy balance etc...). Nevertheless, they typically operate on fixed grids, 108 usually at scales of several kilometres (Bailey et al., 2023), which is not precise enough for operational use at point level. More recently, another method employed is statistical downscaling, which is empirical and based on regressions (Davy & Kusch, 2021; Fealy & 111 Sweeney, 2008) or machine learning techniques (Hernanz et al., 2023). However, this requires a lot of field data in different contexts to elaborate an empirical model.

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113 Piedallu & Gégout (2008) proposed one method using the slope and the aspect of the point 114 to compute the sun intensity and taking into account the surrounding topography to compute radiation accounting for direct shadowing. They produced a fine scale map (50 \* 50 m) over France which is dedicated to statistical niche modelling or mortality risk assessment (Piedallu & Gégout, 2008). However, in the case of process-based vegetation models this has several limitations. Firstly, their approach relies on interpolated meteorological station 119 data to compute the radiation correction at a monthly time step and is thus limited in terms of 120 temporal and spatial accuracy, leading to significant biases in vegetation growth or the smoothing of climatic extremes. Secondly, they do not separate diffuse and direct radiation using clouds but only use an empirical correction of the total radiation using cloud cover. 123 Finally, the skyview factor on diffuse radiation is not taken into account. This 124 method based on measurements is thus limited for projection purposes and requires a large network of equipped stations, resulting in uncertainty. Moreover, it has been applied only to 126 France and has not been generalised to other regions or periods.

127 In this study we present a process-based method to downscale coarse resolution (0.1° at best in general for reanalysis or meteorological models) or geostationary products (2 km 129 resolution at best) -global radiation data (such as global reanalysis or climate projections) 130 made on flat surfaces down to the level of 1 km to 30 m resolution Digital Elevation Model 131 (DEM) by accounting for slope, aspect, and the shadowing effect on direct radiation and for 132 the skyview factorbowl effect on diffuse radiation. The method can be applied at any resolution, depending on the choice of the DEM. Moreover, it can be applied to relies on any type of radiation data, making it applicable to any region in the world and to historical periods 135 as well as future projections. The possibility to use reanalyses-derived radiation furtherfurthermore ensures physical consistency between the different climate variables 137 used in process-based models. The algorithm was tested on the Mont Ventoux and 138 compared with PAR measurements recorded during 2 years at 7 sites on this complex topographic area. Finally we evaluated how this new radiation product can impact ecological 139 predictionspatterns by simulating the gross primary productivity (GPP) and the risk of drought-induced mortality for the European beech (Fagus sylvatica) hydraulic failure for Fagus sylvatica using two process-based models.

#### 143 **2. METHODS**

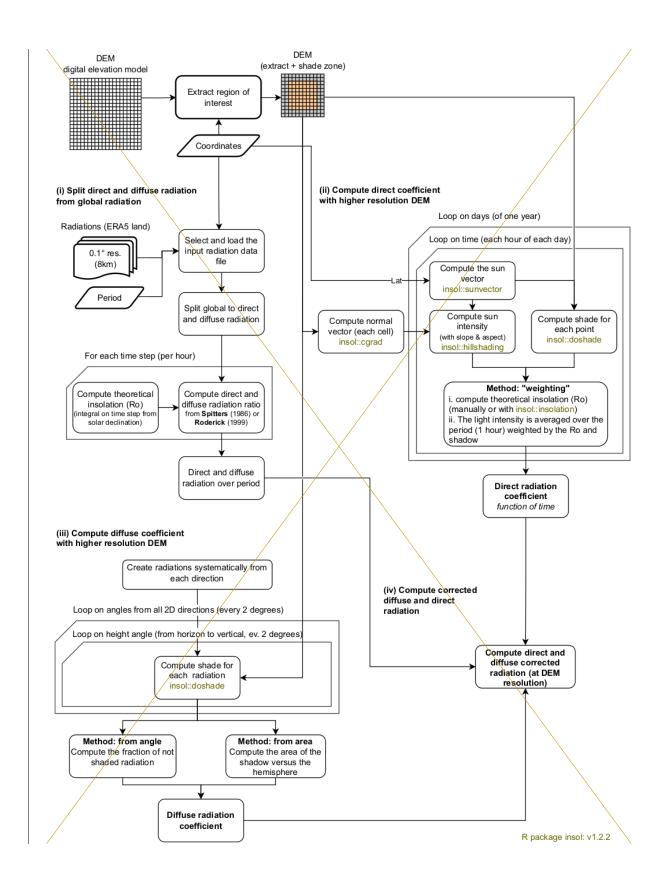
# 144 2.1. Radiation downscaling model

145 The proposed radiation downscaling model aims to refine sub-daily global radiation data 146 obtained from reanalysis at large scales to the resolution of a given DEM. This process-

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- 147 based method can be adapted depending on the input dataset and accounts for the
- 148 shadowing effect on direct radiation and the skyview factorbowl effect on diffuse radiation. In
- 149 order to ensure its versatility and applicability, we reduced the need for external data that
- 150 can be challenging to obtain at the local scale, such as cloudiness (Dubayah and Loechel,
- 151 1997; Piedallu and Gégout, 2007). The only required input is a DEM whose resolution <u>will</u>
- 152 determine the must match the desired final spatial resolution of the radiation data.
- 153 Our methodology involves four distinct steps, outlined as follows (see Fig. 1 for
- 154 visualisation):
- 155 i. Splitting direct and diffuse radiation from a large-scale global radiation dataset (optional if
- 156 the data already contain direct and diffuse radiation).
- 157 ii. Downscaling direct radiation by considering local topography and shadowing effects.
- 158 iii. Downscaling diffuse radiation by estimating the proportion of diffuse radiation that
- 159 reaches the target point relative to the surrounding topography.
- 160 iv. Summing the downscaled direct and diffuse radiation components.
- 161 These steps are described in detail in the subsequent sections.

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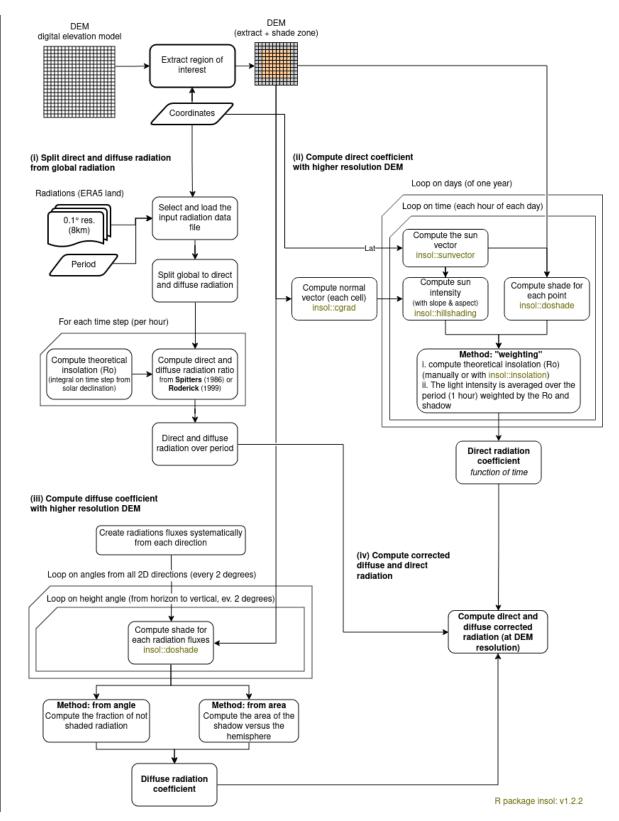


Figure 1: Simplified workflow of radiation downscaling, showing the four different steps of the procedure. The bold boxes at the top left show the data required as inputs (DEM, coordinates, period and large scale radiation), the green boxes show the functions of the external R package used (insol), the truncated boxes show the loops and the rounded boxes show the various stages.

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#### 2.1.1. Splitting direct and diffuse radiation

168 In cases where only global radiation is available from the input dataset, as in products like 169 ERA5-Land (Muñoz-Sabater et al., 2021), a first step involves extracting hourly direct and diffuse radiation (Fig. 1.i). Various methods exist for this purpose (Oliphant & Stoy, 2018). In 171 this study, we adopted the approach proposed by Spitters et al. (1986). This choice was 172 driven by the relative simplicity of this approach and the fact that it was originally developed for European landscapes. Additionally, we explored other methods, such as the one 173 proposed by Roderick (1999) and the one proposed by Bird and Hulstrom (1981). Results obtained using the Roderick (1999) method align consistently with those presented herein (results not displayed). Unlike the method by Spitters et al. (1986), the method by Bird and 176 177 Hulstrom (1981) does not rely on global radiation values but instead aims to derive the values of direct and diffuse radiation from theoretical radiation, temperature, humidity, 179 among other factors. However, the outcomes generated by this model significantly deviated 180 from those obtained using the Spitters et al. (1986) method and exhibited inconsistency with available measurements (not shown).

- The method of Spitters et al. (1986) that was used in this study is an empirical computation technique based on the ratio between theoretical extraterrestrial irradiance ( $R_0$ ) and the observed value of global radiation ( $R_g$ ). Specifically, it operates on the assumption that as the ratio of  $R_g$  to  $R_0$  decreases, the proportion of diffuse radiation ( $R_{diff}$ ) relative to direct
- 186 radiation ( $R_{dir}$ ) increases an effect attributed to cloud cover.
- 187 To compute  $R_0$  (in  $\underline{WJ}$ .m<sup>-2.s-4</sup>), a common physically-based approach involves using the
- 188 radiation incident on a plane parallel to the Earth's surface and the sine of solar elevation
- 189 (which is dependent on latitude and solar time), as follows (Spitters et al., 1986; Widén &
- 190 Munkhammar, 2019):

a. 
$$R_0 = R_{sc} [1 + 0.033 \times \cos(doy \times 360/365)] \times \sin(\beta)$$

191 b. 
$$\sin(\beta) = \sin(\lambda) \times \sin(\delta) + \cos(\lambda) \times \cos(\delta) \times \cos(15 \times (t_h - 12))$$
 (1)

c. 
$$\delta = \frac{\pi \times 23.45}{180} \times \sin\left(2 \times \pi \times \frac{doy + 284}{365}\right)$$

- 192 With R<sub>sc</sub> representing the solar constant (1 361 W370 J.m<sup>-2</sup>, Coddington et al., 2016-s<sup>-4</sup>,
- 193 I.E.A., 1978), doy the day of the year,  $\sin(\beta)$  the sine of the solar elevation angle,  $\lambda$  the
- 194 latitude of the site (in radian),  $\delta$  the solar declination angle (in degrees) approximated using
- 195 the Fletcher method as described in Eq. (1.c) and  $t_h$  the hour (in solar time).
- 196 It's important to note that in this study, global radiation is not treated as a singular value but
- 197 rather as an average accumulation over a short period of time (e.g., between  $h_t$  and  $h_{t+1}$ ,

167

198 using an hourly time step with ERA5-Land). Thus,  $sin(\beta)$  needs to be integrated:

199 
$$\int_{h_{t}}^{h_{t+1}} \sin(\beta) = \sin(\lambda) \times \sin(\delta) +$$

$$\cos(\lambda) \times \cos(\delta) \times \frac{15 \times \pi}{180} \times \left[ \sin\left(\frac{\pi}{180} \times 15 \times (h_{t+1} - 12)\right) - \sin\left(\frac{\pi}{180} \times 15 \times (h_{t} - 12)\right) \right]$$

200 Then, we used the relationship between the fraction of diffuse radiation ( $R_{dift}$ ) compared to 201 global radiation data ( $R_g$ ) and the fraction of global radiation data ( $R_g$ ) compared to 202 theoretical radiation ( $R_0$ ), as recommended by de Jon (1980) for hourly radiation (described 203 in Spitters et al., 1986, including values for daily radiation):

$$204 \quad \frac{R_{diff}}{R_g} = 1 \qquad \qquad \text{for} \qquad \frac{R_g}{R_0} \le 0.22$$

$$205 \quad \frac{R_{diff}}{R_g} = 1 - 6.4 \times \left(\frac{R_g}{R_0} - 0.22\right)^2 \quad \text{for} \qquad 0.22 < \frac{R_g}{R_0} \le 0.35$$

$$206 \quad \frac{R_{diff}}{R_g} = 1.47 - 1.66 \times \frac{R_g}{R_0} \qquad \qquad \text{for} \qquad 0.35 < \frac{R_g}{R_0} \le K$$

$$207 \quad \frac{R_{diff}}{R_g} = L \qquad \qquad \text{for} \qquad K < \frac{R_g}{R_0}$$

208 With 
$$L = 0.847 - 1.61 \times \sin(\beta) + 1.04 \times \sin^2(\beta)$$
 and  $K = \frac{1.47 - L}{1.66}$ .

209 Following Spitters et al. (1986), the final step involves subtracting the circumsolar

210 component ( $R_{circum}$ ) of diffuse radiation from the direct flux: Under clear skies, diffuse

211 irradiance is anisotropic, due to the presence of aerosols in the atmosphere, and the

212 intensity is therefore higher in the direction of the sun. It is thus necessary to attribute the

213 excess diffuse irradiance observed near the direction of global radiation to direct radiation.

214 
$$R_{circum} = \cos^2\left(\frac{\pi}{2} - \beta\right) \times \cos^3(\beta)$$
 (4)

215 To determine the corresponding fraction of diffuse radiation under intermediate sky 216 conditions, <u>clear to cloudy skies</u>, we adopt the interpolation method introduced by Klucher 217 (1978):

218 
$$\frac{R_{diff}}{R_g} = \frac{R_{diff}}{R_g} \div \left[ 1 + \left( 1 - \left( \frac{R_{diff}}{R_g} \right)^2 \right) \times R_{circum} \right]$$
 (5)

219 Finally, considering that global radiation ( $R_g$ ) comprises the sum of both diffuse ( $R_{diff}$ ) and 220 direct ( $R_{dir}$ ) radiation components, the value of  $R_{dir}$  can be directly inferred from the other two 221 components.

### 2.1.2. <u>Downscaling direct radiation</u>

To downscale direct radiation (Fig. 1.ii.), two distinct processes were considered. Firstly, the path of sun rays was examined to determine if any obstruction in the topography may block them. Secondly, if unobstructed, the slope and aspect of the pixel are used to compute the radiation intensity relative to a horizontal surface.

227 For both processes, the initial step involved computing the sun vector in three dimensions. This was achieved using the R package "insol" (version 1.2.2, Corripio, 2020) and 228 specifically the "sunvector" function, which defines the vector based on longitude, latitude, 229 230 and time (day, hour, minute). To assess whether radiation is obstructed by a summit, the close topography derived from a DEM is computed using the "doshade" function within the 231 "insol" package. To determine sun intensity, the "hillshading" function from the same 232 233 package is utilised, requiring both the sun vector and the topography (previously normalised 234 into unit vectors using the "cgrad" function). Note that the same package is now available for 235 python (on https://pypi.org/project/insolation/ and https://www.meteoexploration.com/insol/). 236 Considering that the input radiation is accumulated over a specific period (e.g., 1 hour in ERA5-Land), and to account for spatial variations in radiation intensity (primarily due to the 238 angle of the sun rays) and shadow projections, several time steps are employed for 239 downscaling the direct radiation. In this study, the default value of three time steps per hour 240 (n = 3) was adopted. Additionally, to aggregate the values while considering temporal 241 variations in radiation intensity, each value is weighted by the theoretical extraterrestrial 242 irradiance ( $R_0$  in Eq. (1)). This yields a corrected direct radiation ( $R_{dir\_cor}$ ):

243 
$$R_{dir_{cor}} = R_{dir} \times \frac{\sum_{t_1}^{t_n} \left( R_0 \times S \times \frac{I_{slope}}{I_{vert}} \right)}{\sum_{t_1}^{t_n} R_0}$$
 (6)

Where S represents the shadow parameter (with a value of 0 indicating shadow and 1 indicating no shadow), and  $I_{slope}$  and  $I_{vert}$  denote the illumination intensity over the slope and a vertical surface, respectively, to derive the relative intensity of sunlight over the slope.

# 2.1.3. <u>Downscaling diffuse radiation</u>

Diffuse radiation is independent of the sun's inclination. It emanates uniformly from all directions within the skydome, limited in this study to the top half-sphere. Therefore, its downscaling (Fig. 1.iii) relies on the <u>surrounding topography</u> in all 360° horizontal directions surrounding topography, particularly the proportion of diffuse radiation from all directions that can reach the point under study.

253 Various methods exist to compute this fraction, including employing numerous random rays

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- 254 or determining, for regular 3D distributed vectors, the level of shadow. In this study, a
- 255 specific method was devised. It involves computing, for each azimuth angle (with fixed steps
- 256 of 2°), the minimum unshaded radiation using the "doshade" R function described previously
- 257 and a DEM.
- 258 Subsequently, these values are utilised to calculate the shaded area of the top half-sphere
- 259 and thus the proportion of diffuse radiation reaching the focal point. Finally, this proportion is
- 260 applied to the diffuse radiation computed in Sect. 2.1.1 to derive the corrected diffuse
- 261 radiation ( $R_{diff\_cor}$ ).
- 262 The corrected diffuse and direct radiation can then be directly employed or recombined into
- 263 corrected global radiation ( $R_{q corr}$ ), e.g., to serve as input to a model of forest function or
- 264 dynamics.

# 265 **2.1.4.** <u>Digital elevation model data</u>

- 266 In various steps of the radiation downscaling, the utilisation of a DEM is imperative (Sect.
- 267 2.1.2 and 2.1.3). In this study, we evaluated radiation downscaling using different DEMs
- 268 characterised by varying resolutions.
- 269 The first dataset is the DEM provided by the Shuttle Radar Topography Mission (SRTM,
- 270 2013), offering a resolution of 1 arc-second (approximately 30 m). In order to clarify the
- 271 impact of using different resolutions, the resolution of the SRTM product was downgraded to
- 272 obtain products with resolutions of 60, 90, 125, 185, 250 and 500 metres using the
- 273 aggregate function (R, terra 1.7.23 library).
- 274 An additional series of DEMs was employed: the Global Multi-resolution Terrain Elevation
- 275 Data 2010 (GMTED2010, Danielson and Gesch, 2011), which encompasses spatial
- 276 resolutions of 30, 15, and 7.5 arc-seconds, corresponding approximately to resolutions of 1
- 277 km, 500 m, and 250 m, respectively. These datasets were compiled from diverse sources.
- 278 However, for the metropolitan France region, the primary source of the dataset was the 1
- 279 arc-second SRTM DEM.
- 280 The interest of these DEMs lies in their applicability beyond the geographic scope covered in
- 281 this study. Their availability at a global terrestrial scale renders them suitable for use in
- 282 various locations worldwide (with the exception of SRTM, which is limited to latitudes
- 283 between 60° north and 56° south).

### 284 **2.2**. **Study area**

285 The study area wasis Mont Ventoux, a mountain located in southeastern France, with its

286 highest point reaching an elevation of 1912 metres (44.174° N - 5.27794° E) (Fig. 2). While

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Mont Ventoux is predominantly oriented in an east-west direction, it exhibits notable variations in slopes and <u>aspectsorientations</u>. The southern flank is characterised by gradual inclines, whereas steeper slopes are evident on its northern side. Mont Ventoux presents a predominantly wooded landscape, featuring a mixed beech-fir forest on its northern side, and a mixed European beech-black pine forest on its southern side, particularly above an elevation of 800 metres (Jean et al., 2023). Below this elevation, the dominant species are more typical of the Mediterranean biome and include coppices of <u>downy oak (Quercus pubescens)</u>, evergreen oak (Quercus ilex), Aleppo pine (Pinus halepensis)Quercus pubescens, Quercus ilex, Pinus halepensis as well as as natural regeneration of <u>Atlas cedar (Cedrus atlantica)Cedrus atlantica</u> from old plantation trials of the early 20th century.

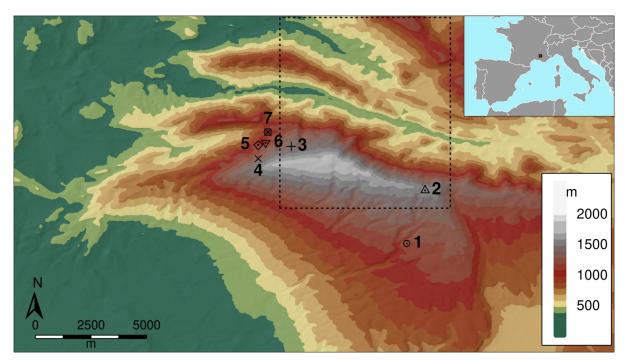


Figure 2: Map of the study area (Mont Ventoux). Mont Ventoux is located in southeastern France (see in the inset). Observation points (one symbol with associated number) and the ERA5-Land tile (in dotteddottle line) used in this study are indicated.

# 2.3. RGlobal radiation measurements

On June, 27 2016, we installed seven mini-weather stations at different strategic elevations and locations on the north face of Mont Ventoux (Table 1), each equipped with loggers (YBdesign) and sensors for photosynthetically active radiation (PAR, 400-700 nm), temperature and relative humidity. The sensors were installed on a vertical pole and positioned horizontally (levelled with a spirit level). The PAR sensors (CBE80, brand Solems) and the thermo-hydrometers (EE07-PFT, brand E+E) were calibrated using a

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reference weather station at the INRAEe campus of Avignon before the beginning of the experiment. The mini-weather stations were positioned in clearings with forest edges extending beyondat a distance minimum of 30 m from the station. The data were recorded at one hour timestep. The photosynthetic flux density delivered by the sensors were converted into W.m<sup>-2</sup> of global radiation using an empirical relationship calibrated on the ICOS Font-Blanche experimental site (Moreno et al., 2021).

N°	Site	Latitude (°)	Longitude (°)	Elevation (m)	Slope (°)	Aspect(°)
1	Les Tournières	44.129646	5.320524	1159	5.5	250.1
2	Col de la fache	44.157819	5.331975	1575	6.2	201.2
3	Mont Serein	44.182886	5.257725	1413	4.0	234.1
4	dvx5	44.176758	5.238861	1320	20.8	347.2
5	Tc2	44.184014	5.239161	1116	33.1	351.0
6	dvx2	44.185142	5.243383	1074	28.0	355.1
7	142	44.190856	5.244869	1050	23.0	188.4

Table 1. List and main characteristics of the observation sites in Mont Ventoux where radiation measurements were performed. Slope and aspect (azimuth) was computed from a 30 m resolution SRTM digital elevation model.

The observed radiation wasis compared with the radiation from ERA5-Land before and after downscaling using DEMs at different resolutions. In order to facilitate the comparison between the ERA5-Land reanalysis dataset and observations, which may contain some gaps due to power failure, we aggregated radiation data over various periods (annually or seasonally). This approach involvedinvolves excluding time steps with missing data, separately for each site. Moreover, to compare with these observations, the correction of the light intensity due to the angle of the direct light rays in relation to the slope and aspecterientation (Sect. 2.1.2, the 'hillshading' function) was deactivated (in Sect. 3.1), as the measurements were carried out on a device placed horizontally.

#### 2.4. Modelling the effect of radiation downscaling on plant functions

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To quantify the influence of downscaled radiation on specific applications, we assessed the impact of radiation downscaling on beech (*Fagus sylvatica*) forest functioning using process-based vegetation modelling on the mountainous area of the Mont Ventoux massif (where radiation measurements were located).

331 We employed two complementary forest vegetation models to quantify how radiation

downscaling affects the spatial patterns of both Gross Primary Productivity (GPP) and drought-induced <u>risk of hydraulic failure</u>. These models are, respectively, the forest growth model CASTANEA (Dufrêne et al., 2005) and the plant hydraulic model SurEau (Cochard et al., 2021; Ruffault et al., 2022).

336 CASTANEA is a comprehensive forest soil-vegetation-atmosphere model coupled with a 337 growth module. It simulates carbon (photosynthesis and respiration) and water fluxes 338 (transpiration, soil water content, soil water potential) at a half-hourly to daily time step for an average tree in a homogeneous forest stand. A carbon allocation module assigns a proportion of the daily Net Primary Productivity (NPP) toward various plant compartments 340 341 (stem, roots, fine roots, flowers, acorn, leaves, and storage) using empirical coefficients. 342 Carbon and water fluxes, including gross and net ecosystem photosynthesis, respiration, transpiration, latent heat fluxes, soil water content, and plant water potential, have been 344 validated on different species and sites, including beech on Mont Ventoux (Davi et al., 2005; 345 Cailleret et al., 2011; Delpierre et al., 2012). In this study, the canopy Gross Primary Productivity (GPP) was used to demonstrate the effects of radiation downscaling on potential 347 productivity.

348 SurEau is a plant-hydraulic model that is dedicated to simulate the risk of drought-induced 349 hydraulic failure due to xylem embolism, a leading mechanism of plant mortality under 350 drought (Cochard et al 2021; Ruffault et al 2022). The model simulates water fluxes and simulates water fluxes and water potential through various compartments of the soil-plant 352 hydraulic continuum (Cochard et al 2021). At each time step (typically 30 minutes), the 353 model computes leaf stomatal and cuticular transpiration as the product between leaf-to-air 354 vapour pressure deficit (VPD) and stomatal and cuticular conductance. Then, stomatal and 355 cuticular fluxes are used to compute water potential along the soil-plant hydraulic continuum 356 at a half hourly time step, and considers leaf stomata and its regulation, and cuticular 357 transpiration plant organ capacitance anin the different plant compartments, while accounting for the symplasmic capacitance and the hydraulic conductance losses due to 358 359 xylem embolism. Stomatal closure is regulated in a feedback manner based on leaf water 360 potential through empirical relationships (Klein, 2014; Martin-StPaul et al., 2017). Soil water 361 potential and hydraulic conductance are also computed from soil water content. The model 362 is parameterized with various measurable plant traits previously collected for the target 363 species (Ruffault et al., 2022). In this study, drought-induced risk of hydraulic 364 failureembolism (or the percentage loss of hydraulic conductance) in the vascular system 365 was used as a proxy for hydraulic risk during a given summer.

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We conducted spatial simulations for one pixel at 0.1° resolution (~ 11 km \* 8 km at these 367 coordinates), covering a large part of the Mont Ventoux northern face where the 368 measurements were conducted. The simulations covered the years 2016 and 2017, 369 encompassing the same geographical area as outlined in Sect. 2.3, spanning a segment of 370 Mont Ventoux ranging from 5.25° W to 5.35° W and from 44.15° N to 44.25° N.

371 Climate data were directly sourced from the ERA5-Land hourly dataset (Muñoz-Sabater et 372 al., 2021), including temperature, precipitation, wind speed, relative humidity, and global radiation. The latter was downscaled using the method presented in Sect. 2.1, employing one of the DEMs discussed in Sect. 2.1.4. 375 To maintain consistency and avoid introducing uncertainty from disparate datasets, all other 376 non-climatic inputs were set constant across the study area, as described hereafter. The species selected, Fagus sylvatica (European beech), is one of the most common species present on Mont Ventoux (Lander et al., 2021) and its traits are already available for the two 378 379 models (Cailleret & Davi, 2011; Cailleret et al., 2013-; Davi & Cailleret, 2017; Ruffault et al., 2022), with a Leaf Area Index set at 3.5. The soil characteristic corresponded corresponds to the median value extracted from the whole studied area from the SoilGrids database (Poggio 382 et al., 2021).

#### 383 **3**. **RESULTS**

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#### 3.1. Comparison between simulated and observed global radiation

The comparison of ERA5-Land global radiation, both uncorrected and corrected, with

observed global radiation across the 7 studied sites showedshows the benefit of our 387 downscaling method in accurately estimating local global radiation (Figs. 3, 4 and Table 2Fig. 3). 388 389 Specifically, the correlation between observed and simulated yearly mean global radiation increased increases from  $r^2 = 0.59$  to  $r^2 = 0.93$ , while the RMSE decreased decreases from 33.5 to 8.6 W.m<sup>-2Wh.m2</sup>, for the raw ERA5-Land radiation and ERA5-Land radiation corrected with a 30 m resolution DEM, respectively (Fig. 3 and Table 2Figs 3a and 3b). However, this increase in the performance of estimating global radiation diddees not progress consistently as the resolution of our downscaling approach increases. We observedebserve a slight and 395 heterogeneous improvement in the corrected radiation from 1 km to 250 m resolution 396 compared to the raw ERA5-Land resolution (around 9 km). It is not until the resolution 397 reaches around 200 metres that a significant and continuous improvement wasis observed 398 (decrease in RMSE, increase in r<sup>2</sup>) until 30 m resolution (Fig. 43 e).

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Our results further showed that the absolute performance of radiation models (in terms of r<sup>2</sup>) and their relative differences remained consistent across the different studied seasons (Fig. 3a and 3bTable 2), despite some particularities. During winter, ERA5-Land raw data showeds weak relationship correlation with observations (r<sup>2</sup> at 0.37 and RMSE at 38 Wh.m<sup>-2</sup>), 402 which substantially improvesd with correction (r<sup>2</sup> = 0.90, RMSE = 11 Wh.m<sup>2</sup>). Similarly, but more pronounced, in autumn correlations and RMSE arewere considerably enhanced (respectively r<sup>2</sup> from 0.21 to 0.914 and RMSE from 45 to 9 Wh.m<sup>-2</sup>). In summer, the correlation iswas almost zero with the ERA5-Land data, whereas it exceedsed 0.5 with the corrected radiations. In contrast, the correlation iswas stable and high (0.85) in spring but did not improve with downscaling high (at 0.85), while RMSE is improved with correction (325 to 23 Wh.m<sup>-2</sup>). Further analysis also revealeds that, the uncorrected (Fig. 3.a) and corrected (Fig. 3.b) seasonal data showed different behavior and socontrary to Fig. 3 (a), the equations of the seasonal curves for corrected ERA5-Land radiation closely aligned with the 1/1 line, in accordance with an important decrease in RMSE (Fig. 3 b). It is noteworthy that 413 most of the improvement cameomes from points located on northern slopes (points 4, 5 and 6, Fig. 3). Accordingly, the daily bias from those points iswas reduced compared to uncorrected data, while points located on flat surfaces or southern slopes showed low and not significant similar limited bias (not shown).

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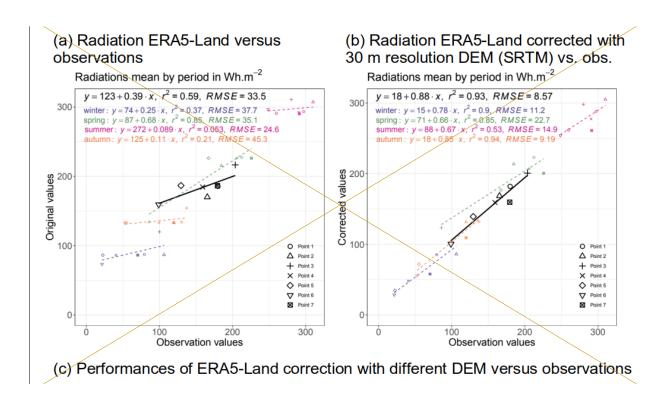
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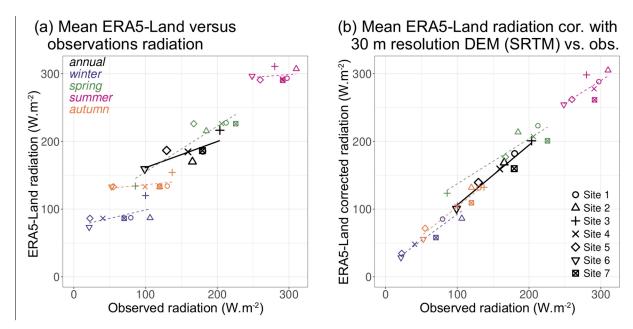
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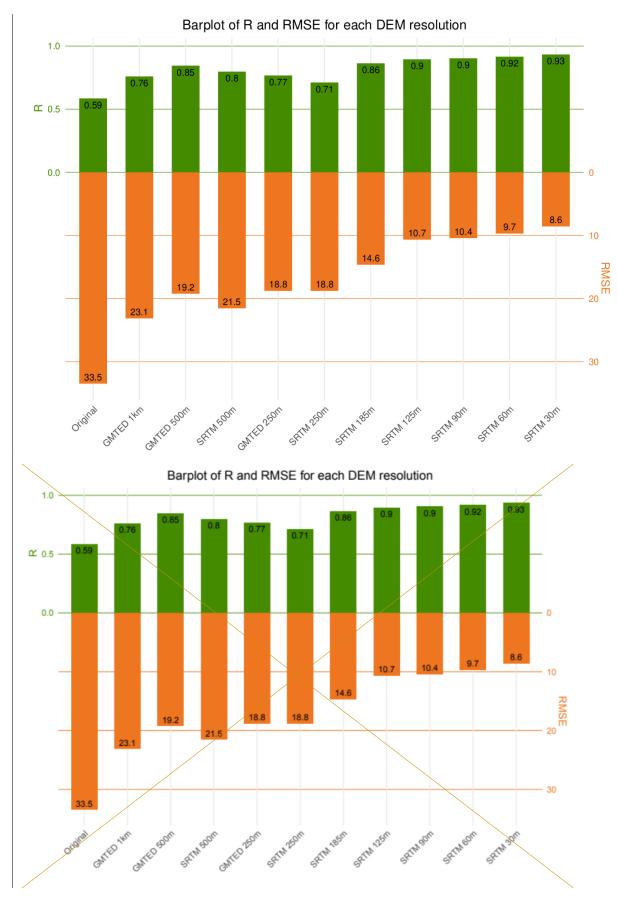


417 Figure 3: Comparison of the observed radiation with the ERA5-Land product (a) and
418 with corrected radiation from ERA5-Land using 30 m resolution different DEMs. (a)
419 and (b). For each of the 7 points studied, the annual (in black) and seasonal (in
420 colours) mean radiation (W.m<sup>-2</sup>) are shown, as well as the linear regression line
421 (equation, r<sup>2</sup> and RMSE, see table 2).represent the annual and seasonal correlation r<sup>2</sup>
422 and RMSE (Wh.m<sup>2</sup>) for each point. (c) shows the annual r<sup>2</sup> and RMSE (in black in (a)
423 and (b)), for the original ERA5-Land data and each of the corrections obtained with
424 the different DEMs

	ERA5-Land vs.	. observ	ations	ERA5-Land corrected with 30 m resolution DEM vs. obs.		
	equation	<u>r</u> ²	RMSE	equation	<u>r</u> ²	RMSE
annual	y = 123 + 0.39 x	0.59	<u>33.5</u>	y = 18 + 0.89 x	0.93	8.6
winter	y = 74 + 0.25 x	0.37	<u>37.7</u>	y = 15 + 0.78 x	0.90	<u>11.1</u>
spring	y = 87 + 0.68 x	0.85	<u>35.1</u>	y = 71 + 0.67 x	0.85	<u>22.7</u>
summer	y = 272 + 0.09 x	0.05	<u>24.6</u>	y = 90 + 0.67 x	0.53	<u>14.8</u>
<u>autumn</u>	<u>y = 125+ 0.11 x</u>	0.21	<u>45.3</u>	y = 18 + 0.86 x	0.94	9.1

425 <u>Table 2. Linear regression parameters and statistics (r² and RMSE, W.m²) for</u>
426 <u>comparison of the observed radiation with the ERA5-Land product and with corrected</u>
427 <u>radiation from ERA5-Land using 30 m DEM (see Fig. 3).</u>

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428 Figure 43: Comparison of the <u>performances of observed radiation with the ERA5-Land</u>
429 product and <u>with corrected radiation from ERA5-Land using different DEMs\_with</u>

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observed radiation. The annual (a) and (b) represent the annual and seasonal correlation r<sup>2</sup> is represented in green and the RMSE (W.m<sup>2</sup>) in orange.and RMSE (Wh.m<sup>2</sup>) for each point. (c) shows the annual r<sup>2</sup> and RMSE (in black in (a) and (b)), for the original ERA5-Land data and each of the corrections obtained with the different DEMs

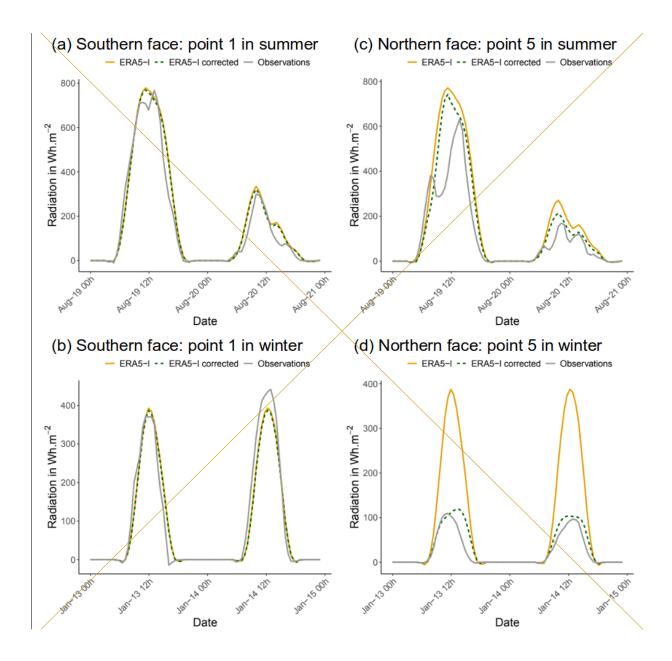
435 Figure 54 depicts the global radiation values for two distinct sites during two different 436 periods. Site 1 (refer to Table 1) represents a slightly south-facing location with little shade from topographical features, particularly evident in winter. Site 5, on the other hand, is 438 situated on a north-facing slope (slightly west-facing) affecting sunlight exposure, especially 439 during winter months. Two threetwe-day periods were selected for analysis: one in summer 440 (19-21 and 20 August 2016) to observe the impact during peak sun exposure (on the 21st), a cloudyand a rainy day (the 2020 August), and an intermediate day (the 19); and another in winter (12 to 13 and 14 January 2017, cloudless days) to assess the effect of the 442 downscaling on low-inclination radiation in a mountainous region. Three types of radiation values are presented: observed values (Sect. 2.3), original ERA5-Land values (9 km 445 resolution, tile indicated on Fig. 2), and values following the application of the radiation 446 downscaling with the SRTM DEM (~30 m resolution) (as described in Sect. 2.1, but without 447 "hillshading" function to be comparable with measurements which are made with sensors set 448 horizontal). The presence of clouds was assessed with data combining high-resolution cloud 449 information is directly inferred from satellite observations, such as the Copernicus Atmosphere Monitoring Service (CAMS) solar radiation time-series data (available on 451 https://ads.atmosphere.copernicus.eu/stac-browser/collections/cams-solar-radiation-452 timeseries, last access the 22/10/2024), and are represented on Fig. S1. The difference 453 between sky-view and all sky radiation indicates the presence of clouds.

454 At site 1 (Fig. 5.a-c4.a-b), where surrounding topographical features have minimal impact on 455 radiation, the values from ERA5-Land wereare close to the observations and there wasis no significant change after radiation downscaling. These trends heldhold for both clear and 457 cloudy days, and for both winter and summer periods. At site 5, disparities between original 458 and corrected ERA5-Land values were are more significant due to topographical influences 459 than at site 1. In summer (Fig. 5.b4.e), discrepancies existedexist between original and 460 corrected ERA5-Land values. Corrected values accurately depict the evolution measured, 461 especially the 21 August, and constantly more closely representedrepresent measured values, but still struggledstruggle to replicate sub-daily variations. Particularly, a dip in the 463 curve around 10am appearedappears to be present on the 19both days, possibly indicating 464 a shadow or the presence of localized clouds or fog, but not represented in the original and

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the corrected radiations corrected radiation. In winter (Fig. 54.d), downscaling markedly impacted impacts radiation values, with corrected values nearly four times lower than ERA5-tand values at the northern site, closely aligning with observed values.

Note that if the effect of the slope and <u>aspect on radiation intensity were activated in the</u>
scriptorientation were activated, the effect of the light intensity <u>could increase in would be to</u>
potentially increase the corrected radiation on the south faces, mainly on clear days and in
winter (e.g. +10 % for point 1). By <u>contrast it could</u>, and on the <u>contrary to considerably</u>
reduce the corrected radiation on cloud-free <u>daysday</u> (e.g. by <u>a factor</u> two for <u>2119</u> August at
point 5).



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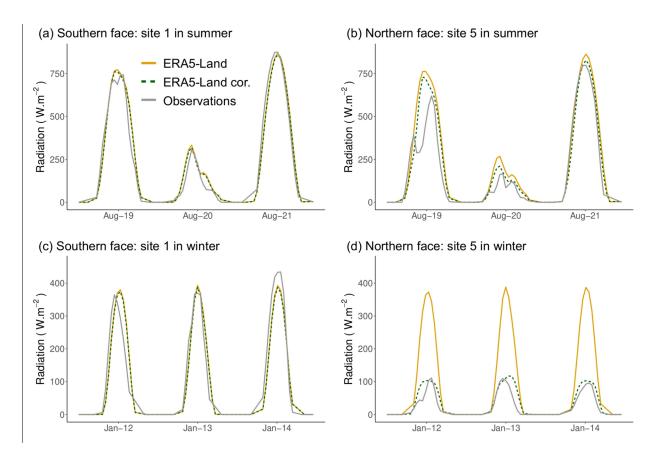


Figure 54: Radiation of original ERA5-Land data in orange, after downscaling with the SRTM DEM (30 m resolution) in dotted dark green and the observations in grey, for site 1 (a and b) and site 5 (c and d) and for two different dates: one in summer (19-477 2120 August 2016 in a and c) and one in winter (1243-14 January 2017 in b and d)

#### 3.2. Application on Mont Ventoux massif

## 3.2.1. Heterogeneity of global radiation

Applying our approach across a heterogeneous geographical area illustrates the spatial and temporal variability in global radiation introduced by downscaling (Fig. 65).

Radiation downscaling <u>exertedexerts</u> a clear impact in the mountainous region under study, halving original ERA5-Land global radiation. An evident differentiation <u>emergedemerges</u> between south-facing slopes, which <u>receivedreceive</u> more radiation, and north-facing slopes, which <u>exhibitedexhibit</u> minimal radiation levels in winter (approaching zero). Mean radiation values <u>decreaseddecrease</u> with increasing resolution of the three DEM used, indicating an average decrease of 10.7 % on 13 January 2017 and 5.9 % on 19 August 2016 when transitioning from the GMTED DEM at approximately 500 metres to the SRTM at approximately 30 metres resolution. Conversely, standard deviation <u>increasedincreases</u> with resolution, rising by 13.5 % and 30.0 %, respectively. <u>During However, during</u> winter, the standard deviation <u>was similar in mirrors the magnitude</u> of the mean due to low radiation values, whereas in summer, it <u>accounted accounts</u> for 20 to 25 % of the mean.

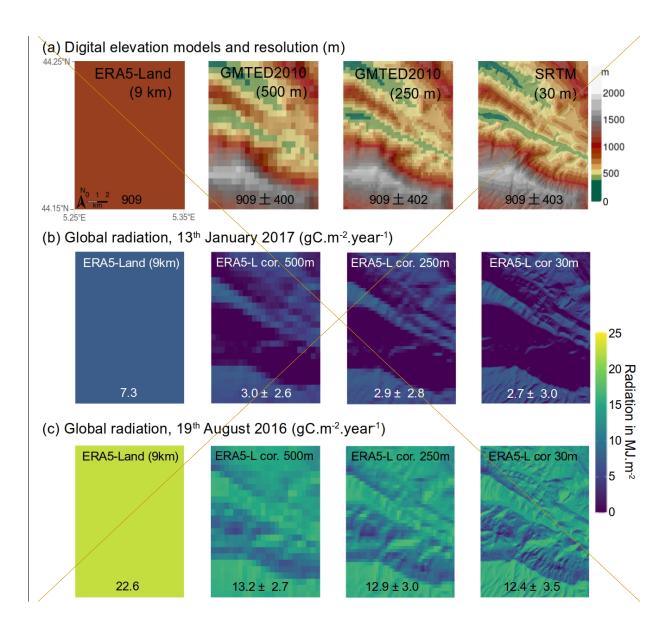
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493 These differences in standard deviation due to topography impliedimply significant differences between the different DEMs, as well as with the original ERA5-Land values. For 495 instance, the daily accumulation of maximum radiation value recorded on January 13th wastotals 7.3 MJ.m<sup>-2</sup> in the reanalysis, whereas the maximum daily radiation reached # 497 reaches 9.3 MJ.m<sup>-2</sup> in the ERA5-Land tile with downscaling conducted using the 250 m DEM. Similarly, on January 13th (Fig. 65.b), the spatial pattern representing a denser "line" 498 denoting stronger radiation values around 5.3° E and 44.19° N wasis relatively narrow with the 30 m DEM (approximately 200 meters wide), whereas it doubleddoubles in width with the 500 m DEM.

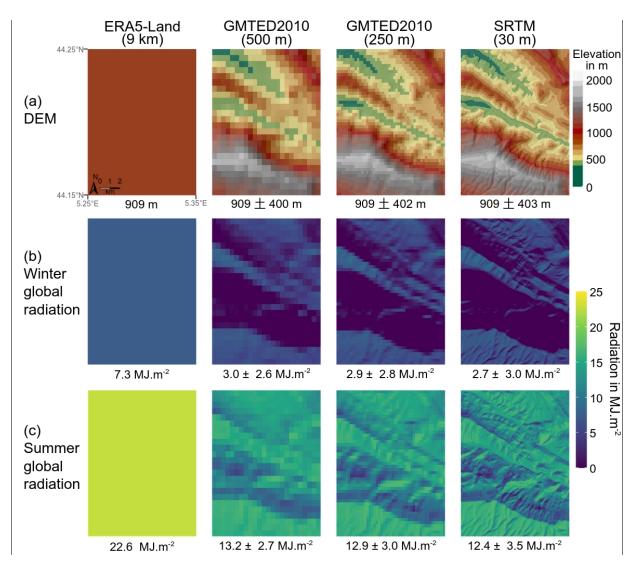
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502 Figure 65: Global radiation from ERA5-Land and resulting from downscaling obtained 503 from different resolution DEMs. (a) ERA5-Land tile (left) and DEM resolution (500, 250, 504 and 30 metres, from left to right). Daily global Global radiation for two distinct dates, 505 (b) in winter (13 January 2017) and (c) in summer (19 August 2016). Regional mean 506 values and standard deviations are indicated on the bottom of each map.

#### 3.2.2. Modelling the influence of radiation downscaling on vegetation functioning-

Modifying radiation across the entire area according to each DEM hadhas a tangible impact on the predictions of vegetation processes as presented on models output as shown in Fig. 76. In general, the simulations remainedremain consistent across the studied area, despite potential variations introduced by the different topographies used during downscaling. With the three different downscaling (from 8 km to 500 m, 250 m and 30 m), downscaling, there is 514 a discernible reduction in Gross Primary Productivity (GPP) ranging between 5 % and 8 %,

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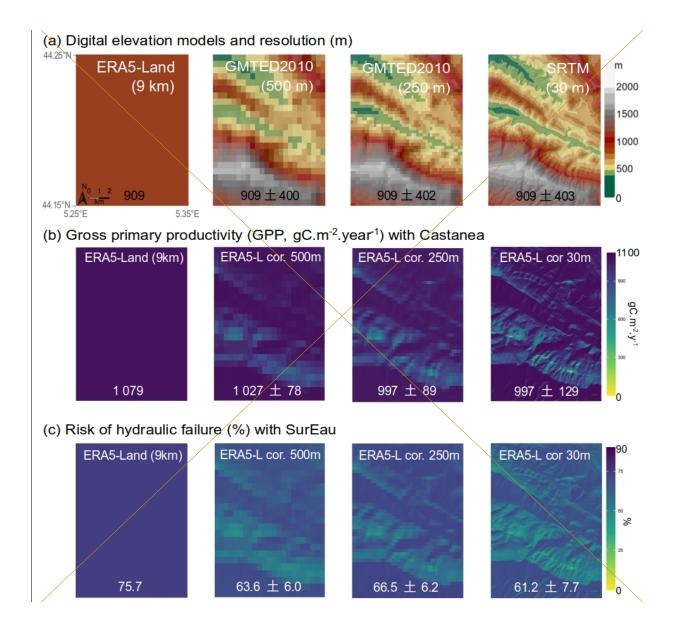
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23 24/41 as well as in the risk of hydraulic failure, which decreases between 14 % and 23 %. Moreover, the standard deviation introduced between the values <u>wasis</u> quite significant, varying between 8 % and 13 % for the two outputs studied.

518 Upon comparing the patterns obtained with the corresponding DEMs, <u>we observed</u> it 519 <u>becomes evident</u> that south-facing slopes <u>tendedtend</u> to exhibit higher annual productivity 520 (Fig. <u>7</u>6.b) but <u>wereare</u> susceptible to greater hydraulic stress (as indicated by darker colours in Fig. <u>7</u>6.c). Conversely, north-facing slopes generally <u>manifested</u> lower 521 GPP as simulated by the <u>CASTANEACastanea</u> model, yet <u>exhibited</u> a reduced risk of hydraulic failure.

524 To evaluate the potential impact of these discrepancies on drought-induced mortalitymortality risk, we computed the risk of hydraulic failure from the SurEauembolism 526 simulations. The relationship between mortality due to and embolism level water stress and 527 risk of hydraulic failure is often conceptualised as a threshold effect (Choat et al., 2018), 528 although this notion is occasionally questioned (Hammond et al., 2021). Setting at 50% 529 thethe threshold at which mortality occurs to 50 % of risk of hydraulic failure threshold at 530 which trees die, we obtained drought-induced obtain-mortality percentages in term of surface 531 of 100 %, and 97 %, 98 % and 89 % for the original ERA5-Land tile, and the data 532 downscaled to 500 m, 250 m and 30 m, respectively. Given that the total soil available water 533 accessible for the trees useful reserve used in this study camecomes from a single value taken from the median over the area of the SoilGrids database (Poggio et al., 2021), and that this value is subject to uncertaintyopen to question, these results must be compared 535 536 relatively to each other.

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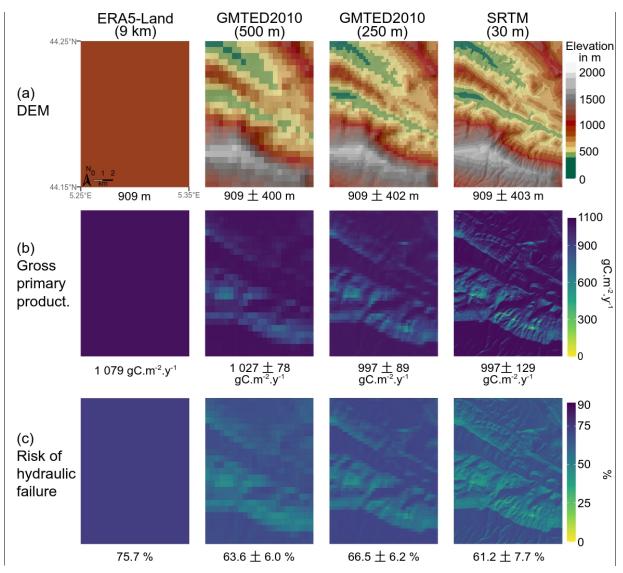


Figure 76: Gross primary productivity and risk of hydraulic failure simulated with, respectively, CASTANEACastanea and SurEau, from ERA5-Land and resulting from global radiation downscaling obtained from different resolution DEMs. (a) ERA5-Land tile (left) and DEM resolution (500, 250, and 30 metres, from left to right). (b) Gross primary productivity simulated with CASTANEACastanea. (c) Risk of hydraulic failure simulated with SurEau. Regional mean values and standard deviations are indicated 543 on the bottom of each map.

#### 4. DISCUSSION

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#### 4.1. Performance of the downscaling method

The radiation downscaling method we present in this study significantly improved radiation predictions in mountainous regions compared to those provided by reanalysis products. More specifically, we demonstrated that accounting for the impact of topography and

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569 Our analysis showed a clear but non-uniform improvement in radiation estimates as the 570 resolution of our downscaling method increased. While no continuous improvement was observed at resolutions coarser than 250 m, a gradual improvement emerged for finer 571 572 resolutions, down to 30 m. This suggests that topoclimatic processes, such as the effects of 573 topography on local radiation patterns, operate at these finer spatial scales, highlighting the 574 importance of high-resolution estimations for accurately representing the influence of terrain 575 on local climate. On the other hand, our results for resolutions coarser than 250 m, suggest that insufficient improvement in resolution during the downscaling introduces some variance (due to the inherent uncertainty of the method and additional processing of the variable) 577 578 which can mitigate improvements in radiation representation at the site level. 579 The results of our study indicate that the radiation downscaling method developed in this 580 study effectively captures the overall trend of radiation distribution across mountainous 581 regions. The daily patterns are effectively represented, however sub-daily variations may not 582 fully account for microclimatic variations, especially considering the spatial heterogeneity 583 within a grid pixel at the resolution of climate data. This is the case for example with cloud

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cover, which can be highly variable in mountainous regions.

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As we clearly observed in our study area, the impact of radiation downscaling was primarily 586 observed in regions with significant shadow casting, whose effect becomes more pronounced as the Sun's zenithal angle decreases. Due to energy equilibrium and 587 588 conservation at large scale, this implies that an increase in radiation was observed on south-589 facing slopes or on mountaintops. This effect was particularly pronounced when the angle 590 between the incoming direct radiation and the aspect of the relief (slope and azimuth) 591 approaches perpendicularity relative to a flat surface. However, it's worth noting that our 592 study area is not characterised by extremely steep mountains, so these effects are primarily observed on moderate slopes. In regions with much steeper terrain, we would expect the 593 594 impact of topography on radiation to be even more pronounced, especially in valley bottoms, 595 where shading effects could remain significant even on south-facing slopes. However, our findings suggest that, overall, radiation downscaling significantly reduces radiation levels on the north-facing side, particularly during winter, as a result of the 597 598 obstruction of direct radiation by surrounding mountains. Conversely, this radiation decrease 599 on north-facing slopes is compensated by an increase on south-facing slopes. Consequently our method improves methods already available to downscale radiation (Piedallu & Gégout,

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2008).

602 An important source of uncertainty in our radiation downscaling method likely stems from the way global radiation is split into direct and diffuse components using the ratio of  $R_0$  to  $R_0$  as a 604 proxy for cloud cover. This approach cannot capture the spatial and temporal heterogeneity 605 of cloud cover, which can be especially significant in mountainous regions (Buzzi, 2008). 606 This explains why, while daily patterns were effectively estimated, sub-daily variations were 607 more difficult to capture. For instance, in Figure 5.b, the dip around 10 a.m. the 19 August 608 may suggest the presence of microclimatic conditions, such as clouds or fog, an effect not 609 considered in our downscaling method. Actually, the original ERA5-Land data cannot depicts the presence of isolated clouds as it happens on the day presented for summer in Figure 5 610 as they provide averaged values of incoming radiation over the whole mesh area. Such occurrences could be tracked by using higher resolution solar radiation products such as 612 those obtained from satellite imagery and in particular geostationary satellites with a spatial 613 614 resolution in the order of 2 to 3 km and a time resolution between 5 and 15 minutes (ex. 615 Roerink et al. 2012, Bojanowski et al. 2014). Indeed, this dip may be associated with the 616 presence of small clouds or fog capping Mont Ventoux during morning, signalled by the 617 drop-out between the CAMS clear-sky and all sky (Fig. S1). Similarly, the small dip observed 618 shortly after in Figure 5.a is actually related to the presence of small clouds of fog capping 619 Mont Ventoux during morning, moving from one site to another. Further analyses with such

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data could help quantify the extent to which this effect contributes to overall uncertainty of 621 our methodology.

622 The results of our radiation downscaling method reveal a significant improvement of the 623 representation of radiation from 9 km reanalysis, for all seasons, but especially on northfacing slopes and more pronounced in winter. The impact of radiation downscaling is 625 therefore primarily observed in regions with significant shadow casting, and it becomes more 626 pronounced with the sun's zenithal angle. This emphasises the necessity to correct the radiation to accurately depict the dynamics of radiation in mountainous regions. 627 Nevertheless, the method has its limitations, as it is linked to the quality of DEM and does not take into account climatic heterogeneity, which can explain cloud cover on a smaller 629 630 scale than the reanalysis data. Thus in Figure 4.c, the dip at around 10am may indicate the 631 presence of micro-climatic conditions, such as fog, an effect that was not considered in our 632 downscaling method.

633 Additionally, our analysis revealed intriguing results concerning the impact of different DEM resolutions. While no clear improvement was observed with a resolution greater than 250 m, a clear gradual improvement appears for resolutions finer than 250 m (up to 30 m). This 636 suggests that higher resolutions are crucial for effectively capturing the nuances of radiation 637 dynamics. We hypothesise that insufficient improvement in resolution during the 638 downscaling introduces some variance which is not adequately compensated by 639 improvements in radiation representation at the site level.

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# Implications of radiation downscaling for modelling studies and perspectives of improvements

Using downscaled radiation estimations as input in two process-based forest models provides an overview of the impact that radiation downscaling can have on different forest processes, namely Gross Primary Production (GPP) or drought-induced mortality. Overall, our results revealed that the effects of topography on local radiation patterns can have important implications on these key forest processes. For instance, when considering processes that are based on thresholds, such as the drought-induced mortality associated with risk of hydraulic failure, the mortality rate was reduced from 100 % to 89 %. As could be deduced from the results on radiation alone, the impact of downscaling on GPP and droughtinduced mortality was most pronounced in areas with significant topographic features, such as north-facing slopes due to shading or south-facing slopes due to increased radiation levels. 652

While the effect of topography on forest ecological processes is often assessed through its

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impact on temperature or precipitation patterns (Randin et al., 2009), these results suggest 655 that spatial heterogeneity of radiation, through its interaction with topography, seems also 656 crucial for accurately assessing ecological responses and potential threshold effects in 657 complex terrain. Future studies could benefit from integrating our radiation downscaling 658 method to improve predictions of forest functioning at a very local scale. 659 The application of downscaling with the SurEau and CASTANEA models provides an 660 overview of the impact that downscaling can have on different parameters, such as GPP or 661 tree mortality risk due to hydraulic failure. Mont Ventoux was used as a benchmark site for testing applications. The impact of downscaling on these parameters is most pronounced in 663 areas with significant topographic features, such as mountainous regions or canyons, with 664 lower radiation levels on north-facing slopes due to shading and higher radiation levels on 665 south-facing slopes due to sun intensity.

666 However, these findings should be interpreted with caution as, in our study, only solar 667 radiation was downscaled, leading to potential decoupling with the other forcing variables 668 (temperature, humidity, precipitation and wind). Downscaling methods exist for these 669 variables, for example the use of simple adiabatic gradient for temperature, or kriging 670 methods or high resolution radar data for rainfall (Liston and Elder 2006, Davy & Kusch, 671 2021) or dynamic models (Maraun et al., 2010), but they are not consistent with the one 672 proposed here. We therefore need to check the consistency of each of the downscaling 673 methods, or evaluate if it is possible to integrate this method or its outputs to downscale 674 other variables with other methods. Indeed, it is important to start from physically-consistent 675 data: in this case ERA5-Land, but which can be adapted, as the method can be adapted to 676 any global (or direct and indirect) radiation input. Finally, the method developed here is only 677 applicable to shortwave radiation, which is the only radiation currently required by the 678 models used. Nevertheless, the principle of the method presented here could be used as a starting point for downscaling longwave radiation. Following the principle presented in 679 680 Senkova et al (2007), the method used for diffuse radiation (based on the skyview) could be partially applicable to longwave radiation. If it is considered as isotropic, incoming 682 atmospheric radiation could be downscaled directly on the basis of the methodology used to 683 downscale diffuse incoming solar radiation. However, it would be necessary to account for 684 the radiation emitted by land surfaces in view as emitted radiation from the surface is usually 685 significantly higher than atmospheric radiation (this would be particularly true for cloudless 686 skies). This would require the knowledge of the surface temperatures of the surrounding 687 areas, but it is also important to recall that the net longwave radiation has a significantly 688 lower impact than the net shortwave radiation on the surface energy balance (ex. Mira et al. 689 2016).

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These findings have to be taken with caution as only radiation was downscaled making the other forcing variables (temperature, VPD, rainfall) decorrelated which partly limits the interpretation. However, with these limits in my mind and assuming impact models can be used to assess climate products in this context (Stephanon et al., 2015), it appears that radiation downscaling has profound implications on impact simulation (Fig. 6). In particular when considering processes that are based on threshold, such as the mortality risk associated with hydraulic failure, in our example, the mortality rate can go from 100 % to 89 %. Thus, assessing the spatial heterogeneity of radiation, through its interaction with topography, seems crucial for accurately assessing ecological responses and potential threshold effects in complex terrain. Future studies could benefit from these methods to improve the prediction of species distribution or ecosystem functions at local level.

#### 5. CONCLUSION

701

In this study, we developed a process-based method to downscale global radiation data made on flat surfaces, such as coarse spatial resolution global reanalysis data. The method builds upon existing research and goes further than traditional process-based radiation downscaling methods, by accounting for the shadowing effect on direct radiation and for the <a href="mailto:skyview factorbowl-effect">skyview factorbowl-effect</a> on diffuse radiation (Piedallu & Gégout, 2008). The recent ERA5Tor Land hourly data available at 9 km resolution was used to compare on the Mont Ventoux the impact of radiation downscaling computed from different digital elevation models.

The radiation downscaling method effectively captured captures the overall trend of radiation distribution across mountainous regions. Agreement with observations was improved for downscaled radiation Downscaled radiation is improved compared to original ERA5-Land data, especially during winter months, due to the higher zenithal angle. This improvement 713 was particularly significant and increased gradually However, the improvement is significant enly after a certain spatial resolution (~ 150 m) and gradually increases thereafter. The implications of downscaling for modelling studies was further investigated using two different process-based models representing gross primary productivity and risk of hydraulic failure. 717 The impact of downscaling on those variables wasis most pronounced in areas with significant topographic features, such as mountainous regions or canyons. Assessing the spatial heterogeneity of radiation, through its interaction with topography, is crucial for accurately addressing ecological responses and potential threshold effects in complex 721 terrain.

722 The method can be applied at any resolution, depending on the choice of the DEM.

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- 723 Moreover, it can be applied torelies on any type of radiation data, making it applicable to any
- 724 region in the world and to historical periods as well as future projections. Finally, the method
- 725 could involve other types of climatic data from the same input dataset, such as temperature
- 726 or precipitation, thereby ensuring physical consistency between the variables. In the future
- 727 such methods could be included in more generic climate downscaling tools (e.g. Meteoland,
- 728 De Cáceres et al., 2018) to facilitate the application of process based models at fine
- 729 resolution.

#### 730 Code availability

- 731 The scripts corresponding to the method developed in this article is available on GitLab at
- 732 https://forgemia.inra.fr/urfm/modeldata\_toolkit (commit afc05ed2) with the prefix
- 733 "RadDownscaling".
- 734 The SurEau model code presented in section 2.4 and whose results are presented in section
- 735 3.2 is available on GitLab at https://forgemia.inra.fr/urfm/sureau (commit ca19abfb), while the
- 736 CASTANEA version is available on the capsis platform (https://capsis.cirad.fr/, lasted access
- 737 the 12/06/2024) and can be downloaded from the "download" menu.

### 738 Data availability

- 739 Data from Mont Ventoux (2016-2017) at the seven sites are provided by URFM-INRAE
- 740 Avignon. The full dataset and site information can be accessed from
- 741 https://doi.org/10.57745/B22AUG.
- 742 DEM data are freely accessible and can be downloaded from https://earthexplorer.usgs.gov/
- 743 (last accessed 12/06/2024): the Global Multi-resolution Terrain Elevation Data 2010
- 744 (GMTED2010) (https://doi.org/10.5066/F7J38R2N) and the Shuttle Radar Topography
- 745 Mission (SRTM) 1 Arc-Second Global (https://doi.org/10.5066/F7PR7TFT).
- 746 Climate ERA5-Land data (https://doi.org/10.24381/cds.e2161bac), including global radiation,
- 747 are provided by Copernicus and can be directly downloaded from
- 748 https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land?tab=form (last
- 749 accessed 12/06/2024).

#### 750 Author contribution

- 751 Druel, A., Ruffault, J., Davi, H. and Martin-StPaul, N.K. designed the research and
- 752 performed the research. Druel, A. developed the scripts and the figures. Marloie, O. and
- 753 Martin-StPaul, N.K. collected the data on Mont Ventoux. Druel, A., Ruffault, J., Davi, H., De
- 754 Cáceres, M., Mouillot, F., François, C. and Martin-StPaul, N.K. interpreted the results. Druel,
- 755 A. led the writing of the manuscript with inputs from Ruffault, J., Chanzy, A., Marloie, O., De
- 756 Cáceres, M., Mouillot, F., François, C., Soudani, K., and Martin-StPaul, N.K. Finally, Druel,

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# 757 A., Ruffault, J., Olioso, A., and Martin-StPaul, N.K., were particularly involved in the review.

# 758 Competing interests

759 The authors declare that they have no conflict of interest.

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