# A climatological characterisation of North Atlantic winter jet streaks and their extremes

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**Abstract.** The jet stream is a hemispheric-wide mid-latitude band of westerly wind. Jet streaks, which are regions of enhanced wind speed within the jet stream, characterize it locally. Jet streaks are frequent upper-tropospheric flow features that accompany troughs and ridges and form in tandem with surface cyclones. Upper level divergence in their right entrance and left equatorward entrance and poleward exit regions couples them to surface weather via vertical motion and are regions prone to

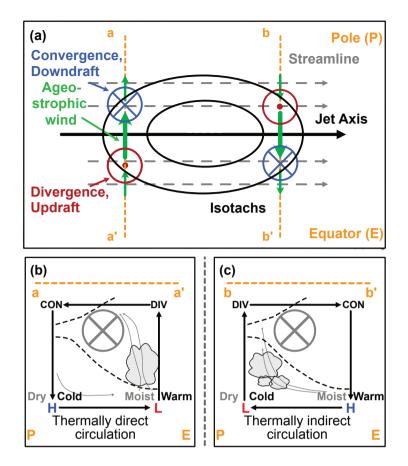
- 5 precipitation formation, which feeds back on the strength of upper level divergence and wind speed via diabatic heat release. This reanalysis-based study presents a systematic characterisation of the life cycle of jet streaks and extreme jet streaks over the North Atlantic during winter, their occurrence during three different regimes of the eddy-driven jet, and their relation to Rossby wave breaking (RWB) from a PV gradient perspective. Extreme jet streaks are most frequent when the North Atlantic jet is in a zonal regime, while they are least common when the jet is in a poleward shifted regime. Maximum wind speed on average
- 10 occurs on the 329330 K isentrope and the peak intensity of jet streaks, defined as the maximum wind speed throughout their evolution scales with the strength of the PV gradient, with mean values of 2.41.7 PVU (100 km)<sup>-1</sup> for wind speeds exceeding 100 m s<sup>-1</sup>. The peak intensity of jet streaks also increases with their lifetime and extreme jet streaks exhibit a prolonged intensification period rather than increased deepening as well as increased acceleration rates. A positive trend in jet streak intensity appears to be emerging since 1979, but decadal variability still dominates the 43-year time series. A self-organising
- 15 map technique <u>Clustering jet streak events</u> identifies typical Rossby wave patterns in which jet streaks reach peak intensity and their preferred location and orientation within the large-scale environment. In case of anticyclonic RWB, the jet streak sits upstream of the ridge axis, while in case of no RWB the jet streak is zonally oriented and locates slightly downstream of the ridge axis. In some cases, the jet streak is found farther downstream of the ridge axis but <u>surprisingly no no case of</u> well-marked cyclonic RWB is <u>identified found</u> at maximum jet streak intensity. As expected, the presence of an extreme jet streak is asso-
- 20 ciated with a meridionally aligned pair of surface cyclones and anticyclones. More specifically, a cyclone is located poleward of an anticyclone plus, in some cases, a mesoscale cyclone upstream of both, which is associated with intense precipitation. This motivates a detailed follow-up study on the relative roles of diabatic and adiabatic processes in the formation of extreme jet streaks.

#### 1 Introduction

- The jet stream is a band of enhanced westerly winds in the mid- and upper troposphere <u>found in both hemispheres</u>. It steers large-scale weather systems and influences daily-to-weekly weather patterns with its meanderings (Randall, 2015). This makes the jet an important and longstanding research entity (see Palmén and Newton (1969), Davies (1997) and Hartmann (2007) and references therein for a detailed review of early studies). Contemporary atmospheric dynamics distinguishes two primary types of jet streams based on their key driving mechanisms (Woollings et al., 2010; Hartmann, 2007; Li and Wettstein, 2012).
- 30 The subtropical jet, which is also referred to as the shallow jet, arises from angular momentum conservation within the Hadley circulation which causes westerly acceleration of the poleward moving air in the upper branch of the Hadley cell (Eichelberger and Hartmann, 2007; Li and Wettstein, 2012). Eddy momentum flux convergence in turn drives the tropospheric-deep eddy-driven jet (Hoskins et al., 1983; Li and Wettstein, 2012). Over the North Atlantic, the two jets are typically well separated. The subtropical jet is located over North Africa, while the eddy-driven jet is situated over the principal oceanic storm
- 35 track. This jet is centred over the US East Coast and the Gulf Stream sector of the Atlantic Ocean and extends towards the southern tip of Greenland and further downstream into Europe (Koch et al., 2006). A merging of the two jets is an exception, although it has been observed in the past<del>(Harnik et al., 2014a)., on seasonal (Harnik et al., 2014a, e.g.) timescales. Studies investigating subtropical-polar jet superposition (e.g. Winters and Martin, 2014; Winters et al., 2020) on synoptic timescales showed that such events can be associated with extreme wind speeds and heavy precipitation. The mean position of the eddy-</del>
- 40 driven jet is partly a result of the prevailing orientation of Rossby wave breaking (RWB) because cyclonic RWB pushes the jet equatorward, while anticyclonic RWB pushes the jet poleward. (Hoskins et al., 1983; Chang et al., 2002; Hartmann, 2007; Woollings et al., 2008, 2010; Rivière, 2011). Thus, periods with preferred cyclonic, anticyclonic, or combined RWB lead to the manifestation of preferred North Atlantic jet positions (Benedict et al., 2004; Woollings et al., 2008). These poleward, equatorward, and zonal jet regimes can be identified by statistical clustering methods (Woollings et al., 2010; Frame et al., 2011)
- 45 (Woollings et al., 2010; Frame et al., 2011; Wilks, 2019). The response of the North Atlantic jet stream to tropical Pacific sea surface temperatures, for example, is thus partly a result of a change in the preferred orientation of RWB (Schemm et al., 2018).

The jet stream is not a homogeneous wind band but has a substructure which is characterised by local regions with increased wind speed termed jet streaks (Palmén and Newton, 1969, p. 206). Jet streaks are ubiquitous features in the jet, locally modifying horizontal and vertical wind shear and couple to surface cyclones via transversal vertical motion in their entrance and

- 50 exit regions. Jet streaks influence air travel times and safety (Karnauskas et al., 2015; Williams, 2016), because the strong horizontal and vertical shear in their vicinity can foster clear-air turbulence (Reiter and Nania, 1964; Williams and Joshi, 2013; Storer et al., 2017; Lane et al., 2012), which is expected to become more frequent and intense in a warming climate (Williams and Joshi, 2013; Storer et al., 2017; Williams, 2017). The accurate representation of jet streaks is also vital for reliable weather forecasting, as small-scale errors in these regions can quickly grow into large-scale forecast uncertainty (Gray et al., 2014;
- 55 Saffin et al., 2017). Foundational studies linked jet streak dynamics to extratropical cyclogenesis and the release of convective instability –(Riehl, 1948; Beebe and Bates, 1955). Numerous studies have since investigated the link between jet streaks and surface weather events, such as explosive cyclogenesis (Riehl, 1948; Riehl and Sidney Teweles, 1953; Uccellini et al., 1984;



**Figure 1.** Schematics adapted from (a) Beebe and Bates (1955), their Figure 4, and (b,c) Uccellini and Kocin (1987), their Figure 3B. Panel (a) shows an idealised straight jet streak with the associated upper-level convergence and divergence and induced updraft (red dotted circles) and downdraft (blue circles with cross). Green arrows show the direction of ageostrophic wind for a straight jet streak (upward in the panel being poleward). Orange dashed lines show the cross-sections whose transverse circulation is depicted in panels (b) and (c). In (b) and (c), black arrows show ageostrophic transverse motion around the jet. Grey areas indicate clouds, where transverse motion can induce condensation, the intensification or genesis of cyclones, and convective processes. Thin grey arrows show exemplary streamlines in such a transverse motion, and the blue **H** and red **L** indicate where transverse motion can support the formation of surface high- and low-pressure systems, respectively.

Uccellini and Kocin, 1987; Velden and Mills, 1990; Clark et al., 2009), frontogenesis (Sanders and Bosart, 1985), severe precipitation (Riehl, 1948; Uccellini and Kocin, 1987; Armenakis and Nirupama, 2014), cold temperature extremes (Uccellini, 1977; Uccellini et al., 1984; Uccellini and Kocin, 1987; Armenakis and Nirupama, 2014; Winters, 2021), and extreme wind

(Bluestein and Thomas, 1984; Wernli et al., 2002; Rose et al., 2004), too name only a few.

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The relation between upper-level jet streaks and surface weather development is conveniently summarized in the conceptual four-quadrant-model (4Q-model), pioneered by Namias and Clapp (1949), Riehl and Sidney Teweles (1953) and Beebe and

Bates (1955). In the 4Q-model, the flow is decomposed into geostrophic and ageostrophic wind components. In case of a

- 65 straight jet streak (Fig. 1), the acceleration of air parcels in the jet streak entrance imply poleward ageostrophic wind and horizontal divergence/convergence in the rightequatorward/left poleward entrance of the jet streak (Beebe and Bates, 1955; Cunningham and Keyser, 2000, 2004) - (Fig. 1a). This causes rising/sinking motion below the rightequatorward/left poleward entrance quadrants of the jet streak and vice versa for the jet exit, where equatorward ageostrophic wind prevails --at jet level (Fig. 1 b for the jet entrance and Fig. 1 c for the jet streak exit). Mass continuity then implies lifting and convergence
- 70 beneath the right entrance and left equatorward entrance and polarward exit quadrants of the jet streak. The former is part of a thermally direct transverse circulation in the jet entrance. Thermally indirect (Uccellini and Kocin, 1987) motion in the jet exit transports warm moist air into the left exit quadrant exit quadrant on the polar side of the jet streak, where it undergoes lifting. This mechanism aids cyclogenesis and rapid intensification below the left poleward exit quadrant of jet streaks, which fosters moist convection and thereby further lifting (Beebe and Bates, 1955). In an anticyclonically curved jet streak, quasi-
- 75 geostrophic theory suggests that only lifting in the right equatorward jet entrance and sinking in the right equatorward exit prevail, while cyclonically curved jet streaks show only sinking in the left poleward entrance and lifting in the right poleward exit (Cunningham and Keyser, 2004; Clark et al., 2009). The 4Q-model has proven useful in numerous case studies (Uccellini, 1977; Uccellini et al., 1984; Sanders and Bosart, 1985; Uccellini and Kocin, 1987; Velden and Mills, 1990; Clark et al., 2009). The potential vorticity (PV) perspective provides a powerful framework to study jet streaks due to (i) PV conservation under
- 80 adiabatic flow (Ertel and Rossby, 1942) and (ii) the invertibility property (Hoskins et al., 1985), which allow to study the role of adiabatic and diabatic processes during the life cycle of jet streaks. The PV perspective (Hoskins and James, 2014) has been employed to study the dynamics of extratropical cyclones and accompanying jet streaks (see, for example, Gyakum (1983); Boyle and Bosart (1986); Wernli et al. (2002); Binder et al. (2016); Martínez-Alvarado et al. (2016)

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- 85 and specifically the influence of diabatic processes on extratropical cyclone dynamics (Hoskins et al., 1985; Hoskins and Berrisford, 1988; Davis and Emanuel, 1991; Grams et al., 2011; Schemm et al., 2013; Davies and Didone, 2013; Schemm and Wernli, 2014; Saffin et al., 2021; Attinger et al., 2021) including the formation of blocking (Pfahl et al., 2015; Steinfeld et al., 2020). Davies and Rossa (1998) established a quantitative link between isentropic wind speed and PV gradients and analyzed the dynamics of jet streaks, viewed as regions of enhanced PV gradients, as PV-frontogenesis under the assumption of adiabatic
- 90 flow. Considering the utility of the PV gradient as a proxy for the jet, Bukenberger et al. (2023) expanded upon Davies and Rossa (1998) by using a three-dimensional Lagrangian PV gradient perspective to quantify the influence of diabatic processes on jet streak evolution. A key finding of Bukenberger et al. (2023) is the prominent role of diabatic PV gradient modification in case of strong jet streaks, which is consistent with the climatological study by Winters (2021) on extreme North Atlantic winter jet streaks. Regions of enhanced PV gradients are linked to Rossby waveguidability (Martius et al., 2010; Manola et al., 2013;
- 95 Branstator and Teng, 2017; Wirth, 2020; Polster and Wirth, 2023), enabling the study of Rossby wave dynamics by means of the PV gradient perspective.

This study employs a PV gradient framework in conjunction with a jet streak identification and tracking algorithm and statistical clustering techniques to provide a comprehensive quantification of the life cycle properties of North Atlantic jet streaks. More specifically, the following research question are addressed

- 100 1. Is there a relationship between the intensity, lifetime and other characteristics such as their area, the maximum PV gradient, and isentropic level of jet streaks?
  - 2. Is there an archetypal jet streak life cycle over the North Atlantic?
  - 3. What is the large-scale flow situation as jet streaks reach their peak intensity?
  - 4. What is the role of diabatic processes in jet streak evolution? Is it different for extreme vs. non-extreme jet streaks?
- 105 The methods to identify, track, and cluster jet streaks are presented in Sections 2.1.2 Sect. 2.1.2 and 2.2. In Sect. 2.6, the fundamental link between isentropic PV gradient and horizontal wind speed is revisited. Section 3 Sect. 3 presents the results, the core of which is a systematic composite study based on jet streaks clustered into different categories according to their large-scale dynamical environment in Sect. 3.4. Finally, we summarise our findings and provide an outlook to future research in Sect. 4.

#### 110 2 Methods and Data

This study uses 6-hourly ERA5 data for the winter (DJF) period in the Northern Hemisphere between 1979 and 2023 interpolated in the horizontal on a  $0.5^{\circ}$  latitude-longitude grid (Hersbach and Bell, 2020; Hersbach et al., 2023). In the vertical, the data is interpolated onto  $\frac{16-26}{16-26}$  isentropic levels between 310 and  $\frac{340360}{260}$  K in steps of 2 K. <u>Global satellite coverage</u> began contributing to ERA5 from 1979 onward. This addition improved reanalysis quality and made upper-level winds and

115 extreme speeds more reliable, especially over oceans like the North Atlantic. Hence, using data from 1979 onward ensures a high-quality and consistent basis for our analysis.

To effectively use the PV gradient as a proxy for wind speed, theory requires a spatial lowpass filtering for both PV and wind data (Bukenberger et al., 2023). The here used low-pass filtering involves transforming the PV and wind fields into spherical harmonics space and applying a triangular truncation at spherical harmonics of degree 80. Instead of a sharp cutoff, we use a Gaussian decay to smoothly suppress modes of higher degree. More precisely, if the spherical expansion of the field is given

by

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$$f(\phi,\lambda) = \sum_{l=0}^{N} \sum_{m=-l}^{l} f_l^m Y_l^m(\phi,\lambda),\tag{1}$$

where  $Y_l^m(\phi, \lambda)$  is the spherical harmonic of degree l and order m and  $f_l^m$  are the corresponding coefficients, the filtered field

is

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$$\tilde{f}(\phi,\lambda) = \sum_{l=0}^{N} e^{-(l/\sigma)^2} \sum_{m=-l}^{l} f_l^m Y_l^m(\phi,\lambda),$$
 (2)

with  $\sigma = 80$ . The zonal wavenumber is m and the meridional wavenumber is l - m, thus, for a zonal wavenumber m = 0 and  $l = \sigma$  and the earth circumference  $2\pi r_0 \approx 40,000$  km, this yields a meridional wavelength of approximately 500 km. Hence, the filtering gives only little weight to wavelengths smaller than 500 km<del>but with no cut off.</del> We refer to lowpass filtered wind as wind and lowpass filtered PV as PV from now on, unless stated otherwise.

# 130 2.1 Jet streak identification and tracking

To identify and track jet streaks, we use a time series of jet streak centre locations,  $\mathbf{r}_{js}(t) = [\theta_{js}(t), \phi_{js}(t), \lambda_{js}(t)]^T$ , where the isentrope  $\theta_{js}(t)$  is the isentrope with maximal wind speed at time *t*. Further,  $\phi_{js}(t)$  in degrees east is the jet streak centre longitude, and  $\lambda_{js}(t)$  in degrees north is the latitude of the jet streak centre.

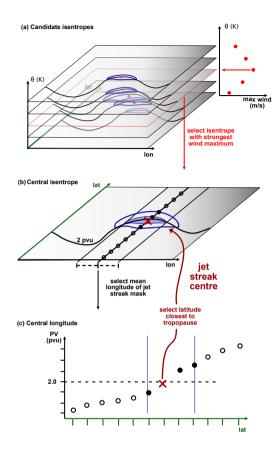
# 2.1.1 Identification

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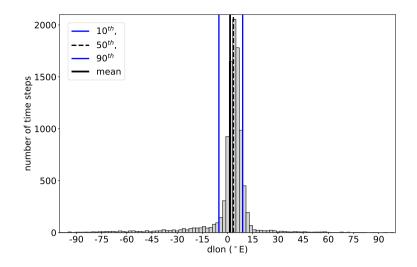
- 135 The algorithm to determine  $\mathbf{r}_{js}(t)$  uses isentropic horizontal wind speed and PV. The three central steps of the algorithm, which are illustrated also in Fig. 2 are:
  - 1. **Central isentrope.** The isentrope that exhibits maximum wind speed is identified (Fig. 2a). The search includes isentropes between 310 K and 340360 K, with intervals of 2 K. The maximum wind speed is denoted jet streak intensity and the corresponding isentrope as the central isentrope.
- 140 2. Central longitude. First, a percentile threshold (99.25%) of the instantaneous wind speed on the central isentrope is used to create a coherent area of high wind speeds around the wind speed maximum that is not sensitive to its exact location. The median of the mask's longitudinal extent is defined as the central longitude (see Fig. 2b). If the wind speed maximum is positioned close to the boundary of the North Atlantic (±2.5°E), we compute the central longitude at this distance to the domain boundary<sup>1</sup>.
- 3. Central latitude. The central latitude is the latitude with the minimum distance between the dynamical tropopause (2 PVU) and the PV at the location of the central longitude (see Fig. 2c). In the present implementation, we require the central latitude to lie at least within  $\pm 5^{\circ}$ N around the maximum wind along the central longitude.

Additionally, the jet streak centre is only accepted if the wind speeds at its position is larger than 35 m s<sup>-1</sup>. The This ensures that the jet streak centre is embedded in the jet stream, and our threshold is in the range of thresholds typically used to define in-jet wind speeds, as in Hartmann (2007); Eichelberger and Hartmann (2007) and Messori et al. (2021) for zonally averaged zonal wind speeds and Winters (2021) and Simmons (2022) for typical wind speeds in the North Atlantic jet stream. The percentile threshold of 99.25% was determined empirically with the goal of creating a mask of very high wind speed that consists of a single connected patch containing the location of maximum wind speed for most time steps. Additionally, the mask should be large enough to ensure that the position of the jet streak centre is robust toward small-scale wind speed variations close to the

<sup>&</sup>lt;sup>1</sup>The sensitivity to this choice was tested and is small. See limitations at the end



**Figure 2.** Schematic illustrating the jet streak centre identification algorithm. Panel (a) illustrates the identification of the central isentrope. The 2d-fields on different isentropes show the (black contours) 2 PVU isoline (with PVU being the unit of potential vorticity (PV), i.e.,  $1 PVU = 10^{-6} K \cdot m^2 kg^{-1}$ ) and the (blue contours) horizontal wind speed,  $35 m s^{-1}$  isotach. The red circles on each isentrope indicate the position of wind speed maximum per isentrope. Scatters to the right show the (red dots) max wind on each isentrope and the red arrow points to the central jet streak isentrope. Panel (b) shows the calculation of central longitude. Contours show the same variables as in (a) on the central isentrope. The blue hashing masks the 99.25 percentile of instantaneous wind on the central isentrope, black lines surrounding blue hashing indicate the outermost longitudes of the 99.25 percentile wind speed mask and the black line in the middle of the blue hashing indicates the central longitude of 99.25 percentile wind mask. Panel (c) demonstrates the selection of the latitude closest to the tropopause on the central jet streak isentrope and longitude. It shows the (dashed line) 2 PVU isoline, the (blue vertical lines) outermost latitudes of 35 m s<sup>-1</sup> wind speed mask on the central isentrope. Black markers show the PV at (open circles) each gridpoint on the central isentrope and longitude and pV at (red cross) the jet streak centre.



**Figure 3.** Histogramm of 6-hourly differences in longitudinal position of jet streak <u>centerscentres</u>, calculated with the method detailed in Sect. 2.1.1. The vertical lines show the (black solid) mean, (black dashed) median, and  $\frac{20 \text{ the } (blue) 10^{\text{th}}}{10^{\text{th}}}$  and  $90^{\text{th}}$  percentiles of 6-hourly differences in longitudinal position of jet streak <u>centerscentres</u>.

155 <u>maximum wind speed. The</u> projection onto the intersection with the tropopause in the last step is done to achieve tropopausecentred composites at a later stage.

The algorithm identifies exactly one jet streak centre within the North Atlantic domain per time step. In case of multiple jet streaks within the domain, it selects the most intense one. Hence, if a jet streak is missed, typically early in its life cycle, the algorithm accounts for it once it intensifies and eventually matures into the most intense jet streak in the North Atlantic sector.

160 All weaker jet streaks are ignored. This implies that the mature phase of strong jet streaks is robustly captured, while results on the genesis and lysis phases must be interpreted more carefully.

# 2.1.2 Tracking

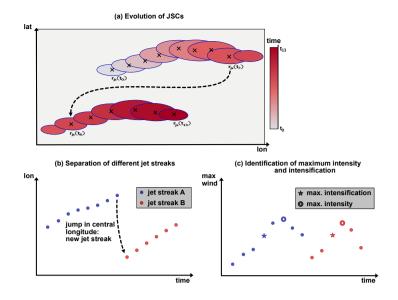
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The zonal propagation speed of jet streaks exhibits only a weak case-to-case variability (Fig. 3). Roughly 7085% of all six hourly distances between two consecutive time steps are within  $4.5^{\circ}(6h)^{-1} \pm 7.5^{\circ}(6h)^{-1}$  or  $[-3, +12]^{\circ}(6h)^{-1}$ . Hence, two jet streak centres are linked to the same life cycle if the zonal distance between both can be covered by a zonal velocity from

the above velocity range and within a time period of 24 h. A jet streak life cycle must have a minimum duration of 18 h (3 steps) and gaps of up to 24 h (4 steps) are allowed, whereby each fragment of the life cycle must be at least 6 h (1 step) long.

# 2.1.3 Life cycle characteristic

After calculating jet streak centres for each time step and assigning event labels, characteristic properties of jet streak life cycles can be analyzed. To this end, the following definitions are used:



**Figure 4.** Schematic illustrating the algorithms (a, b) tracking jet streak events and (c) identifying their times of strongest peak intensification and intensity. Panel (a) shows the (blue contours) wind speed on central isentropes for 14 consecutive time steps,  $35 \text{ m}^{-1}$ , the (black crosses) jet streak centres, and the (colours from white to red) time step. The dashed arrow indicates the longitudinal jump in the jet streak centre between end of first and beginning of second jet streak. Panel (b) shows jet streak centre longitude against time and jet streak centres of the (blue dots) first and second (red dots) jet streak (before longitudinal jump). Panel (c) shows the wind speed maximum on central isentrope against time for the wind speed maximum of (blue markers) first and (red markers) second jet streak. Stars and open circles indicate the time steps of peak jet streak intensification and intensity, respectively.

- 1. The first time step assigned to a jet streak event is called genesis.
- 2. The last time step assigned to a jet streak event is called the lysis.
- 3. The maximum jet streak intensity (i.e., maximum wind speed) during a jet streak event is defined as its peak intensity. The corresponding isentrope is used to compute the intensification rate throughout the life cycle.
- 175 4. The time between genesis and peak intensity is called intensification phase.

The identification of peak intensity and intensification is illustrated in Fig. 4c. The jet streak intensification rate is defined as the six hourly difference between the wind speed maxima in a jet streak object. It should be noted that the intensification rate is calculated on a fixed isentropic level, i.e. the isentropic level exhibiting the maximum wind speed throughout the entire life cycle and therefore denotes the acceleration of the wind at the level on which the maximum wind speed throughout the life cycle acceleration. The maximum rate is denoted as the peak intensification rate.

180 cycle occurs. The maximum rate is denoted as the peak intensification rate.

The streak tracking algorithm allows to identify the evolution of the central isentrope throughout jet streak evolution and shows that for 80% of jet streaks, the central isentrope at time of peak jet streak intensification is within a 5K distance or less

relative to the isentrope at peak intensity (Fig. A1). While for our analysis, using the central isentrope at time of peak jet streak intensity is useful for showing the dynamical evolution on this level (Sect. 3.4), following the instantaneous isentropic level throughout the jet streak evolution might be more appropriate for other research questions.

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# 2.2 Clustering of jet streak events

# 2.2.1 Jet stream regimes

To establish the connection between connect jet streak life cycle characteristics and with the state of the eddy-driven jet stream, we use a jet stream regime definition similar to that introduced by Frame et al. (2011). The regime definition relies on zonallay zonally averaged but meridionally varying jet profiles, denoted as  $U(t, \lambda)$ , which are calculated computed by zonally and vertically averaging the zonal wind between 60°W and 0°W, and between the 700 hPa and 900 hPa. Next, a The North Atlantic winter jet stream is known to have three preferred meridional positions (Woollings et al., 2010, their Figure 1.). Since this discovery, the three jet regimes and transitions between them are discussed in terms of an oscillator model of the North Atlantic jet stream (Frame et al., 2011; Ambaum and Novak, 2014), adding physical meaning to the statistical prevalence of

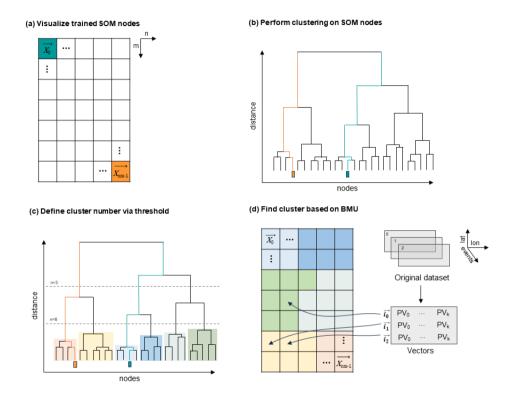
- 195 these positions. Therefore, we apply K-means clustering (Jain, 2010) with three degrees of freedom is applied to six hourly data to the jet profiles  $U(t, \lambda)$ . These K-means is a non-supervised clustering method that separates input data into k clusters by minimizing within-cluster variance and maximizing between-cluster variance. The three clusters, which are denoted as S (Southern), M (Middle), and N (Northern), correspond to different states of the North Atlantic jet stream (Frame et al., 2011). Similar jet regimes for the North Atlantic (NA) jet stream have been identified in other studies, such as Woollings et al. (2010)
- 200 . Each jet streak Each time step can now be associated with one of the three regimes. Jet streaks that reach their peak intensity at a time associated with an S, M, or N regime are called S-, M-, or N-regime jet streaks, respectively.

# 2.2.2 SOM clustering/ Clustering upper-level flow regimes

# To classify the synoptic situations in which-

To classify synoptic situations where jet streaks intensify, the we use a self-organizing map (SOM) method (Kohonen, 1995) is-, Kohonen (1995)) combined with agglomerative clustering. The SOM algorithm, based on an unsupervised neural network for clustering high-dimensional data , requires no prior knowledge of the resulting patterns, making it suitable for investigating synoptic circulation clusters (Liu and Weisberg, 2011)SOM is well established to study synoptic-scale circulation features (see, e.g. Liu and Weisberg, 2011) and organizes data into a 2-dimensional grid of  $k = m \times n$  nodes, each representing one of k reference vectors.

210 As illustrated in a, the SOM output is a two-dimensional SOM clustering places similar nodes closer together and dissimilar nodes farther apart on its  $m \times n$  node map, with each node representing a reference vector and SOM nodes positioned in grid, using a distance metric and neighbourhood function to calculate the references vectors represented by each node (Hewitson and Crane, 2002). We refer the reader to Sect. E for a detailed description of the SOM clustering method. SOM, while not strictly minimizing within-cluster variance or maximizing between-cluster variance, as is the case for k-means



**Figure 5.** Visualisation of the clustering process: Panel (a) shows the 2d-SOM map generated through the algorithm's training with PV vectors from two different time steps, placing dissimilar nodes, which represent PV vectors, far away from each other; (b) The dendrogram, a visual result visualisation the merging pattern of hierarchical agglomerative clustering on the of SOM nodes, which groups those nodes and visualizes their merging pattern; (c) Altering thresholds of cophenetic distance produces various cluster numbers; (d) Assign each original PV vector to a reference vector of the SOM and thus to a cluster. Within each vector PV<sub>0</sub> is the normalized PV value on the first grid-point for the time step of maximum peak jet streak intensification and PV<sub>k</sub> is the normalized PV value on the last grid-point for at the time step of maximum speedpeak jet streak intensity.

- 215 clustering, provides a highly interpretable 2D map (Kohonen, 2013). The map thereby illustrates high-density regions of in the input data space, allowing us to estimate an appropriate number of clusters without prior knowledge. SOM-clustering therefore requires less knowledge about the ideal number of clusters in advance, is why we choose it as complementary approach.. Nodes closer together signify greater similarity (Hewitson and Crane, 2002). The map's shape determines the balance between accurately representing synoptic features (more nodes) a substantial reduction in dimensionality (fewer nodes).
- 220 The input data classifies upper-level jet streak dynamics based on We apply SOM clustering to isentropic PV fields. The central isentrope at peak jet streak intensity serves as the vertical level and PV fields at peak at peak intensification and intensity as inputson the central jet streak isentrope for all jet streaks. After remapping the jet streakcenter a jet streak's centre to (0.0°E, 0.0°N) with an area-preserving coordinate transformation (Sect. 2.3), PV fields are limited to  $\phi \in [50^{\circ}W, 50^{\circ}E]$ and  $\lambda \in [30^{\circ}S, 25^{\circ}N]$ , then and normalized to [0,1] using minimum-maximum scaling, before SOM clustering is performed.
- 225 Several SOM sizes were tested, The map's size determines the balance between accurately representing synoptic features (more nodes) and a substantial reduction in dimensionality (fewer nodes) with respect to the input data space. After manually testing several SOM shapes and evaluating standard metrics like quantitative and topographical error. A quantization and topographic error (See Kohonen, 1995; ?, for definition and usage of those quantities.), we chose a 7 × 5 map was chosen to classify jet streak events as the basis of our agglomerative clustering (see Sect. E for details).
- 230 Hierarchical agglomerative clustering This step (Fig. 5b) further reduces the number of clusters A dendrogram based on SOM nodes representing by using a dendrogram of SOM nodes based on normalized PV mapsserves as the basis. The dendrogram's y-axis indicates the shows the increase in within-cluster variance increase after merging clusters, visualizing cluster similarityand progressive merging via the default Ward-linkage algorithm. Nodes far apart on the SOM map exhibit large dendrogram distances. A distance threshold defines clusters, controlling with each merge, indicating cluster similarity.
- 235 We use a distance threshold to control maximum within-cluster variance (Gentleman, 2023)and the number/size of elusters. Lower thresholds yield morenumerous, smaller , and more homogeneous clusters, while higher thresholds produce , where lower thresholds produce more, smaller clusters, and higher thresholds yield fewer, larger, and more heterogeneous clusters. The threshold balances two aims: keeping distinct synoptic patterns separate and isolating clusters with high fractions rates of extreme jet streak events. Through exploration a configuration of six clusters is identified for representing synoptic feature
- 240 evolution After testing, we selected six clusters to capture the evolution of synoptic features between peak intensification and intensityassigns each. Each jet streak event to one is assigned to a cluster based on its best-matching SOM unit. Composite, and the composite analyses based on jet streak-centered fields are performed for each cluster (See appear in Sect. 3).

# 2.3 Jet streak-centred composites

To obtain jet streak-centred composite, the input coordinate system is rotated to position the jet streak centre at the centre for each event and time step of interest using CDO's <u>area-preserving</u> remapping function for regular grids (Schulzweida, 2023). A composite analysis using jet streak centre-centred fields for non-extreme and extreme jet streaks <del>represents a significant</del> <del>component of the presented analysis. This analysis</del> is employed to investigate the large-scale circulation patterns in which jet streaks evolve (Sect. 3).

# 2.4 Bootstrap analysis

- A key part of this work is the comparison of non-extreme with extreme jet streaks. Since extreme events are a small subset, bootstrapping helps testing the significance of their characteristics. Bootstrapping (introduced in Efron, 1979) is frequently used to infer the probability distribution of a statistical measure of a dataset, if a small but representative sample is at hand and is particularly useful for minimizing the impact of outliers. For some applications of bootstrapping in atmospheric and climate science, see Mason and Mimmack (1992); Downton and Katz (1993). This method assumes each sample member is
- equally likely, and that therefore the sample is just one plausible realisation of drawing the number of members that are part of it. Resampling with replacement then allows to approximate the probability distribution of, for example, the mean, median, or variance of the underlying dataset.

This study uses bootstrapping to determine whether differences between extreme and non-extreme jet streaks are robust. We generate 1,000 resamples of the extreme jet streaks, each sample containing the same number of events as the original

260 extreme set and repeat this for non-extreme jet streaks. We use those resamples to estimate the distributions of basic jet streak characteristics and the frequency of Frame jet regimes for extreme and non-extreme jet streaks (Sect. 3.1). To determine robust differences in the flow associated with extreme vs. non-extreme jet streaks, we calculate the mean and standard deviation of composite means based on the resamples. We mark the difference between extreme and non-extreme jet streaks as robust if it exceeds the combined standard deviation of both sets (Sect. 3).

#### 265 2.5 Normalized jet streak occurence

To analyze the evolution and occurence frequency of jet streaks, we compute a normalized jet streak centre probability density function (PDF). It represents the likelihood of a jet streak centre to occur over a given region. The normalized PDF is obtained by fitting a Gaussian PDF to selected jet streak centres on the regular ERA5 grid to give equal weight to each event. Afterwards, the Guassian-smoothed field is divided by the total number of identified jet streaks. Finally, the field is weighted by the inverse area of each grid point. The integral of this field over the Earth's surface evaluates to one. This method ensures comparability

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of the normalized PDF between selected subsets of all jet streaks (e.g., non-extreme vs. extreme event climatologies).

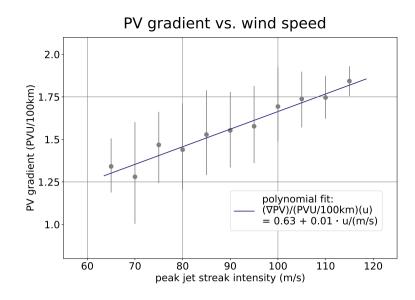
# 2.6 A PV gradient perspective on jet streaks

PV on an isentropic surface is defined as

$$PV = \frac{\zeta + f}{\sigma},\tag{3}$$

275 where  $\zeta$  is the isentropic relative vorticity,  $f = 2\Omega \sin(\phi)$  represents the Coriolis parameter, and the isentropic density  $\sigma = -(1/g)\partial_{\theta}p$  is a measure of stratification. PV is given in units of  $\frac{1 \text{PVU} = 10^{-6}\text{K} \cdot \text{m}^2\text{kg}^{-1}}{1 \text{PVU} = 10^{-6}\text{K} \cdot \text{m}^2\text{kg}^{-1}\text{s}^{-1}}$ throughout this work. As shown in Martius et al. (2010) and Bukenberger et al. (2023), the isentropic PV gradient ( $\|\nabla_{\theta}\text{PV}\|$ ) can be considered a proxy for the horizontal wind speed curvature Laplacian of wind speed under certain flow conditions, i.e.

$$\|\nabla_{\theta} \mathrm{PV}\| \approx -\frac{1}{\sigma_0} \Delta U,\tag{4}$$



**Figure 6.** Relationship between the PV gradient at the jet streak centre and the maximum wind speed at the time of strongest peak jet streak intensity for. The blue dashed line shows a gaussian fit to a polynomial of first degree of the norm of PV gradient at jet streak centre depending on maximum wind speed, based on the 1120-times of peak jet streak intensity for all 1050 jet streaks. The correlation between maximum wind speed and norm of PV gradient at jet streak centre for this time is 0.320.28. The position on the y-axis of each grey marker indicates the mean norm of the PV gradient at the jet streak centre for jet streaks with maximum wind speed within 2.5 m s<sup>-1</sup> of its position on the x-axis. Each grey vertical bar spans the  $20^{\text{th}}$ - $80^{\text{th}}$  percentile of PV gradient at jet streak centre depending for jet streaks with maximum wind speed within 2.5 m s<sup>-1</sup> of its position on the x-axis.

280 where  $\sigma_0$  is the isentropic density of the background flow and U is the horizontal wind speed. If variations in wind speed are dominated by a mode of wavelength  $\lambda$ , a direct proportionality between wind speed and PV gradient emerges:

$$|\nabla_{\theta} \mathrm{PV}\| \approx \frac{4\pi^2}{\lambda^2 \sigma_0} U.$$
(5)

In flow situations where Eq. (4) is a good approximation, the PV gradient serves as an analytical tool to connect jet streak evolution to diabatic processes. For wind speeds representing a superposition of multiple modes, shorter wavelengths dominate the eurvature. Hence, the PV gradientis PV gradient, which is therefore best used after applying a low-pass filter to PV and wind fields. The following paragraph presents the analytical approach and assumptions underlying the quantitative link between PV gradient and wind speed curvature variations in horizontal relative vorticity insofar as they are important for the understanding of this study. A-Bukenberger et al. (2023) presents a detailed version of the analysisean be found in Bukenberger et al. (2023) . The basic idea is to consider a perturbation to a background flow characterised by constant wind, Coriolis parameter, and

290 stratification.

Developing the Taylor polynomial of the PV gradient (Equation (4)) up to second order in perturbations that we assume to be much smaller than the background flow, and under the condition that variations in the perturbation of stratification are much

smaller than wind speed curvature the horizontal Laplacian of wind speed, i.e. for slowly varying stability and in case of large wind speed curvature  $\Delta U$  common in jet streaks, the PV gradient is well approximated by

295 
$$\|\nabla_{\theta} \mathrm{PV}\| \approx -\frac{1-\frac{\sigma'}{\sigma_0}}{\sigma_0} \bigtriangleup U.$$
 (6)

Following an identical approach to the gradient of the logarithm of PV,  $\|\nabla_{\theta} \ln(PV)\|$ , which is also a good proxy for Rossby wave guidability (Polster and Wirth, 2023), yields – under the same conditions

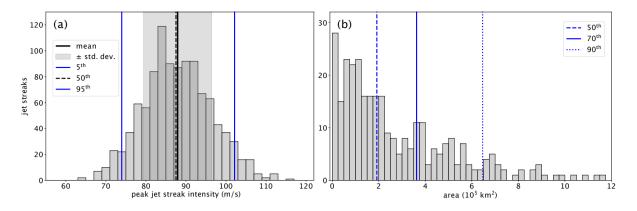
$$\|\nabla_{\theta} \ln\left(\mathrm{PV}\right)\| \approx -\frac{1 - \frac{f' + \zeta'}{f_0}}{f_0} \bigtriangleup U.$$
(7)

If perturbations in stability are much smaller than the background stability, Eq. (6) simplifies to Eq. (4). In the case of small vorticity perturbations, Eq. (8) becomes

$$\|\nabla_{\theta} \ln\left(\mathrm{PV}\right)\| \approx -\frac{1}{f_0} \,\Delta U. \tag{8}$$

Observations reveal a systematic stratospheric displacement of bands with high PV gradient compared to regions of maximum wind speed. This displacement is elucidated by the sharp increase in stability when transitioning from the tropospheric to the stratospheric side of the jet, leading to an increase in  $1 - \frac{\sigma'}{\sigma_0}$ . The relationship between  $\|\nabla_{\theta} \ln(\text{PV})\|$  and wind speed

- 305 curvature  $\Delta U$  is less sensitive to perturbations in stability near the tropopause. If wind speed curvature is variations in the relative vorticity are not too large, bands of high  $|\nabla_{\theta} \ln(PV)| \|\nabla_{\theta} \ln(PV)\|$  are well aligned with jet maxima. This makes  $|\nabla_{\theta} \ln(PV)| \|\nabla_{\theta} \ln(PV)\|$  a superior diagnostic for jet strength and waveguidability, as long as the jet is not excessively strong or narrow. For extreme jet streaks, often associated with bands of negative PV on the tropospheric side of the jet, the PV gradient becomes the superior diagnostic.
- In flow situations in which Eq. (4) is a good approximation, which corresponds to balanced flow situations, the PV gradient serves as a proxy variable to connect jet streak evolution to diabatic processes. Although PV is materially conserved in adiabatic flow situations, the PV gradient can be modified by deformation and shear. A Lagrangian perspective on PV gradient evolution, disentangling diabatic from adiabatic contributions to changes in  $\|\nabla_{\theta}(PV)\|$  as demonstrated in Bukenberger et al. (2023), offers a unique opportunity to quantify the influence of diabatic processes on upper-level jet dynamics.
- 315 Even if a direct link between PV gradients and wind speeds cannot be established, regions of high PV gradient still indicate significant variations in both wind and thermal stratification on tropopause-intersecting isentropic surfaces. This is particularly likely in flow situations far from geostrophic balance, such as those occurring in regions of enhanced diabatic activity or during strong jet streak intensification. In these situations, the PV gradient perspective remains meaningful for studying jet streak dynamics, especially when considering jet streaks in close connection to upper-level frontogenesis and changes in stratification.
- 320 Figure 6 illustrates the relationship between jet streak intensity and PV gradient at the jet streak centre at time of peak jet streak intensity. A linear fit describes this relationship. The correlation between maximum wind speed and PV gradient at jet streak centre at time of peak jet streak intensity is 0.320.28. Due to the considerable variability in jet streak width and tropopause stability, the observed limited correlation and imperfect fit are expected. Despite these complicating factors, the



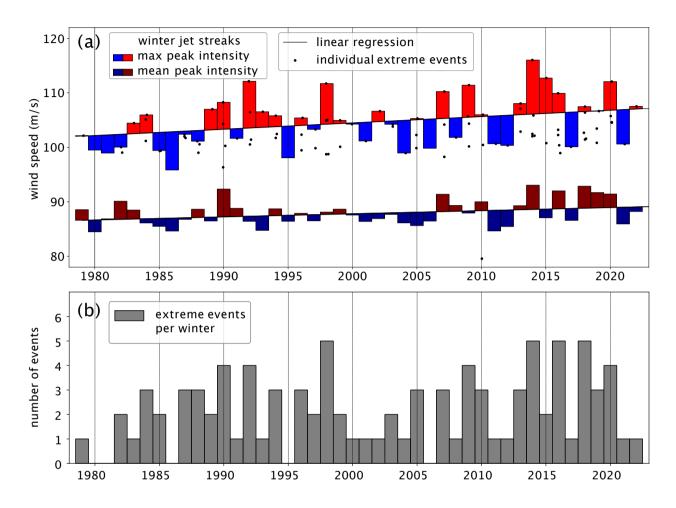
**Figure 7.** Jet streak characteristics at time of peak intensity. (a) Histogram of peak intensity for all <u>1120-1050</u> jet streaks with a bin width of 2 m s<sup>-1</sup>. Light-grey areas indicate The light-grey area indicates the width of the standard deviation around the mean wind speed and black vertical lines the mean (thick-black solid), median (thick-black dashed), 5<sup>th</sup> percentile(thin grey solid), and 95<sup>th</sup> percentile (thin grey solid) of the distribution. (b) Histogram of extreme area with wind speed area exceeding 92.5 m s<sup>-1</sup> at peak jet streak intensity for cases for which it is non-zero intensities exceeding 92.5 m s<sup>-1</sup>, with a bin width of  $0.15 - 10^5 0.25 \cdot 10^5$  km<sup>2</sup>, with black and blue vertical lines indicating the 50<sup>th</sup> (soliddashed), 70<sup>th</sup> (dashedsolid), and 90<sup>th</sup> (dotted) percentiles.

PV gradient proves to be a reliable indicator of jet streak intensity. This analysis underscores the utility of the PV gradient 325 framework for investigating jet streak dynamics. Notably, at the highest maximum wind speeds, exceeding 104 m s<sup>-1</sup>, the PV gradient exhibits a slower growth with maximum wind speeds, potentially influenced by substantial stability variations across the tropopause in the most extreme jet streak cases.

#### **3** Results

### 3.1 Characteristics of North Atlantic winter jet streaks

- We begin with an examination of basic jet streak life cycle characteristics. The distribution of peak intensity of all <u>1120-1050</u> jet streaks exhibits a nearly symmetric shape with a standard deviation of <u>8.88.4</u> m s<sup>-1</sup> around a mean value of <u>86.788.1</u> m s<sup>-1</sup> (solid black line and grey box in Fig. 7a). Closer <u>inspectiona inspection</u> reveals a mild skew towards higher peak intensities. The median peak jet streak intensity is <u>86.187.5</u> m s<sup>-1</sup>, while the 5<sup>th</sup> and 95<sup>th</sup> percentiles are <u>72.773.9</u> m s<sup>-1</sup> and <u>101.6102.1</u> m s<sup>-1</sup>, respectively.
- For a robust definition of extreme jet streaks, we choose extreme events to combine high wind speed and large areas, also to avoid detection of single grid points exceeding a wind threshold. We define the wind speed threshold based on the wind speed distribution over the North Atlantic. A wind speed exceeding the 99.9<sup>th</sup> percentile for at least 90% of North Atlantic grid points on all isentropes is  $92.5 \text{ m s}^{-1}$ , which we therefore set as the wind speed threshold. Figure 7b shows the distribution of area covered by wind speeds exceeding  $92.5 \text{ m s}^{-1}$  at time of peak intensity on the central isentrope for the 310 jet streaks for which



**Figure 8.** Temporal evolution of North Atlantic winter (extreme) jet streaks. (a) Mean and maximum peak North Atlantic winter jet streak intensity. Black dots: individual extreme jet streak events. The dotted line indicates the threshold for extreme wind speeds at  $92.5 \text{ m s}^{-1}$ . Dark red and dark blue bars indicate winter means of peak jet streak intensities (m s<sup>-1</sup>), with red or blue bars plotted according to whether they are above or below the least squares fit linear regression for the period 1979–2023. Light red and blue bars indicate winter maxima of peak jet streak intensities (m s<sup>-1</sup>), i.e. the peak intensity of the strongest jet streak event of each year. (b) Yearly number of extreme Extreme events per winter across ERA5 time span. Grey bars: Number of extreme jet streak events per winter.

Regime	Events	Mean	±	5 <sup>th</sup>	50 <sup>th</sup>	95 <sup>th</sup>
		${\rm m~s^{-1}}$	$m s^{-1}$	$m s^{-1}$	$m s^{-1}$	m s <sup>-1</sup>
All	<del>1147-<u>1050</u></del>	<del>86.1-87.3</del>	<del>8.8-</del> 8.6	<del>72.7-</del> 7 <u>3.5</u>	<del>86.7-86.8</del>	<del>101.6</del> 101.9
S	<del>520-<u>263</u></del>	<del>85.7-87.4</del>	<del>8.4-8.1</del>	<del>73.6-</del> 75.2	<del>86.4-87.1</del>	<del>100.5-100.8</del>
М	<del>295-500</del>	<del>88.1-</del> 89.5	<del>9.0-8.8</del>	<del>72.9-</del> 7 <u>5.5</u>	<del>88.5-89.2</del>	<del>104.3</del> - <u>104.4</u>
Ν	<del>295-287</del>	<del>84.2-85.4</del>	<del>8.2</del> - <u>7.8</u>	<del>71.6-</del> 72.2	<del>84.1-</del> 84.8	<del>96.9-</del> 97.9

**Table 1.** Characteristic properties of the distribution of peak jet streak intensity, for all jet streaks and jet streaks reaching their peak intensity in an S, M or N regime. Shown are the mean, the standard deviation  $(\pm)$ ,5<sup>th</sup>, 50<sup>th</sup>, and 95<sup>th</sup> percentile of peak jet streak intensity <u>based on</u> 1000 bootstrapping resamples as described in Sec. 2.

peak intensities exceed this threshold. The 50<sup>th</sup> percentile of area with wind speed exceeding 92.5 m s<sup>-1</sup> for those jet streaks is  $1.91 \cdot 10^5$  km<sup>2</sup>, while the 70<sup>th</sup> and 90<sup>th</sup> percentiles are  $3.62 \cdot 10^5$  km<sup>2</sup> and  $6.46 \cdot 10^5$  km<sup>2</sup>, respectively. We define extreme jet streaks as those events for which the area on which wind speeds exceed 92.5 m s<sup>-1</sup> is larger than  $3.62 \cdot 10^5$  km<sup>2</sup> at time of their peak intensity, a definition yielding 91 extreme events.

# **Relationship with jet regimes**

- We find some variations in jet streak characteristics between the three large-scale jet regimes of Frame et al. (2011). The M-regime jet streak distribution, comprising 520 500 jet streak maxima, exhibits increased variability and slightly slightly but robustly higher peak intensities compared to the total climatology. The N regime, involving 295 287 jet streaks, features weaker jet streaks and smaller variability, and the distribution of S-regime jet streak maxima closely mirrors the overall climatology but displays decreased variability (Tab. 1). While those distinct mean peak jet streak intensities in different Frame regimes
  are consistently reproduced in a bootstrap analysis (not shown), they are well within one standard deviation of each other.
- The results of stronger upper-level jet streak intensity for M-regime jets align with the findings of Frame et al. (2011) and Woollings et al. (2010), who showed that M-regime jets skew towards higher wind speeds compared to those in the S and N regimes. Figure 7b shows the distribution of extreme wind speed area at time of peak intensity on the central isentrope for the 293 jet streaks for which peak intensities exceed 92.5 m s<sup>-1</sup>. Of those, 64 are S-, 173 are M-, and 44 are N-regime jet streaks.
- 355 The 50<sup>th</sup> percentile of extreme wind speed area for those jet streaks is 1.95 · 10<sup>5</sup>km<sup>2</sup>, while the 70<sup>th</sup> and 90<sup>th</sup> percentiles are 3.58 · 10<sup>5</sup>km<sup>2</sup> and 6.58 · 10<sup>5</sup>km<sup>2</sup>, respectively. We define extreme jet streaks as those events for which the area on which wind speeds exceed 92.5 m s<sup>-1</sup> is larger than 3.58 · 10<sup>5</sup>km<sup>2</sup> at time of their peak intensity, a definition yielding 85 extreme events.

#### Variability and trends (1979-2023)

Figure 8 shows the evolution of the winter mean and maximum peak jet streak intensities over DJF 1979–2023 in ERA5.
The winter-mean peak jet streak intensity (dark red and blue bars) shows an increase at a rate of 0.0640.057 m s<sup>-1</sup> per year, starting at 85.386.6 m s<sup>-1</sup> in 1979. The winter maximum peak jet streak intensity shows at trend of 0.110.12 m s<sup>-1</sup>, starting at

102.2102.0 m s<sup>-1</sup> in 1979. Notably, seven of the ten strongest extreme jet streak events occurred after 2005. The number of extreme events per winter also shows an upward trend over the ERA5 period, although interannual and interdecadal variability are larger than the trend.

- 365 Both the winter-mean and winter maximum peak jet streak intensities show smaller trends than the interdecadal variability. These results are well in line with Simmons (2022), who also found a slight upward trend in monthly maximum wind speed  $(0.067 \pm 0.048 \text{ m s}^{-1} \text{ per year})$  over North America and the Atlantic (see their Figure 16a). To evaluate the statistical significance and methodolical sensitivity of those trends, it would be beneficial to compare different reanalysis datasets (e.g. JRA-55 (Kobayashi et al., 2015) and NASA MERRA-2 (Gelaro et al., 2017)) and also include a dataset using a fixed observational
- 370 basis, for example the NCEP-20C (Compo and Worley, 2011) reanalysis. The trend in the maximum peak jet streak intensity is almost twice as large as the trend in mean peak jet streak intensity. This is consistent with the findings of ? and future scenario studies by Shaw and Miyawaki (2023), who observed that extreme wind speeds are increasing at a faster rate than average wind speeds. Although this trend has not yet reached statistical significance, it is expected to do so by 2050. We also observe a correlation between the interannual variability of mean and maximum peak jet streak intensity. In winters with a 375 mean jet streak intensity above the linear trend, the maximum jet streak intensity tends to show positive anomalies, and vice
  - versa in winters with a mean below the trend.

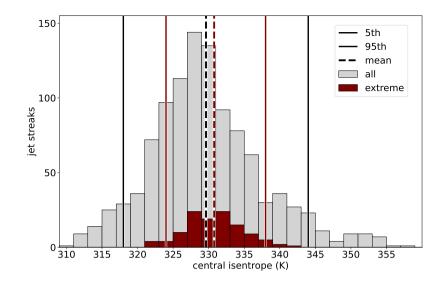
# Height of jet streaks Central isentropes at peak jet streak intensity

The distribution of  $\theta_{peak}$  of all jet streaks (grey bars in Fig. 9) appears bimodal, with peaks shows a first peak at 328 K and is skewed toward higher isentropes, with a several events peaking at or above 340 K. Jet streaks with high central isentropes tend to be peak more southerly and weaker than at lower intensities the average jet streak, suggesting that the maximum at high 380 isentropes is due to represents shallow subtropical jet streaks (not shown). Second, while While the set of all jet streaks has central isentropes down to 310 K, the minimum central isentrope for jet streaks with peak wind speeds above 92.5 m s<sup>-1</sup>(dark red in ) and for extreme jet streaks (light red in Fig. 9, wind speeds on the central isentrope exceeding 92.5 m s<sup>-1</sup> on an area of at least 3.58-10<sup>5</sup> km<sup>2</sup>) are higher than 310 K, at 318 K and ) is 322 K, respectively. Furthermore, the mean central isentropes at time of peak jet intensity increase with jet streak intensity, reaching 330. Overall, the distribution of central isentropes 385 shows a smaller range not exceeding 342 K for jet streaks with extreme peak intensities and 331.5 K for extreme jet streaks. The trend towards higher central isentropes for more extreme jet streaks, suggesting that purely subtropical jet streaks rarely turn extreme. The bulk of extreme jet streaks suggests the importance of thermodynamics and possibly diabatic processes in

- iet streak dynamics, centred around 330K suggests that some of them are associated with superpositions of the polar and subtropical jet, a result in line with previous research on merged jet regimes (Harnik et al., 2014b; Winters et al., 2020).
- 390

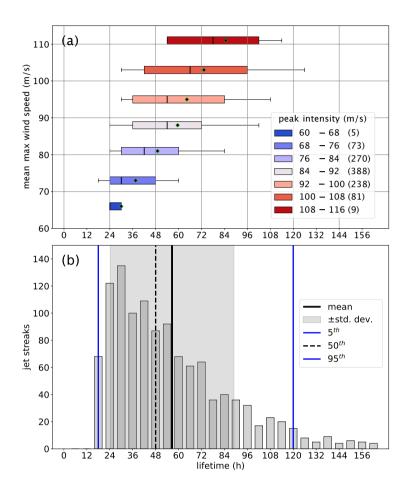
### Jet streak lifetime and intensification phase

We proceed with the duration of different stages in jet streak evolution, examining climatologies of all and extreme jet streaks. Figure 10 presents the distributions of lifetimes for all, extreme, and selected subsets of all jet streaks selected based on the peak intensity. For all 1120 jet streaks(grey box and whiskers in a)For all 1050 jet streaks, the median and mean jet streak



**Figure 9.** Histogram of central isentropes at peak jet streak intensity ( $\theta_{peak}$ ; K) for all jet streaks (grey), those with peak intensities above 92.5m s<sup>-1</sup> (dark red), and extreme jet streaks(light red, i.e. cases with wind speeds on the central isentrope exceeding 92.5 m s<sup>-1</sup> on an area of at least 3.583.62 · 10<sup>5</sup> km<sup>2</sup>) at time of peak jet streak intensity (dark red), and vertical lines indicating the mean (dashed) and the 5<sup>th</sup> (solid) and 95<sup>th</sup> percentile (solid) percentile of the distribution distributions.

- lifetimes are 48 h and 56 h, respectively. The 5<sup>th</sup> percentile (18 h) and 95<sup>th</sup> percentile distribution (114120 h) together indicate substantial variability and a skew toward longer lifetimes. Stratifying the dataset based on jet streak intensity in Fig. 10a presents the distributions of lifetimes for all, extreme, and subsets of all jet streaks selected based on the peak intensity and shows that the median and mean lifetime consistently increase with increasing peak intensity, as does its-lifetime variability. The median lifetime is 24 h for jet streaks with peak intensity between 5560 m s<sup>-1</sup> and 6568 m s<sup>-1</sup> and reaches up to 6678 h for jet streaks with peak intensity between 100108 m s<sup>-1</sup> and 110116 m s<sup>-1</sup>. Mean lifetimes are 12 h longer than medians for both groups. Despite a discernible trend toward longer lifetimes for more intense jet streaks, the median and mean lifetimes remain within the interquartile range of all jet streaks (30 to 72 h), for all subsetsbut the weakest (peak intensities below 68 m s<sup>-1</sup>) and strongest (peak intensities exceeding 108 m s<sup>-1</sup>) events. The distribution of lifetimes for all jet streaks (Figure 10b) provides additional context to the correlation between jet streak lifetimes and peak intensities. It illustrates an exponential decrease in the occurrence as lifetimes increase. This figure also shows the mean and the 5<sup>th</sup> and 95<sup>th</sup> percentile of The jet streak lifetime
- distributions for M-, N-, and S-regime jet streaks . The 5<sup>th</sup> percentiles is 18 h for jet streaks in all regimes and the mean lifetime of jet streaks in each regime remains within 2 h of the mean lifetime of (not shown) are all qualitatively similar to that based on the set of all jet streaks. The 95<sup>th</sup> percentile of jet streak lifetimes is, at 120 h, half a day longer for S- than for N-regime jet streaks.
- 410 The insights from suggest, suggesting that the lifetime of jet streaks does not exhibit a strong dependency on the three jet stream jet regimes of Frame et al. (2011). Stronger jet streaks are long lived independent of the jet regime. However, while



**Figure 10.** Analysis of jet streak lifetime. (a) Box-plots for lifetime stratified for peak jet streak intensity for (wide grey box) all jet streaks, (light red uppermost box) extreme jet streaks, and (dark red blue to dark bluered) jet streaks with increasing peak intensities. The position on the y-axis indicates the mean peak intensity for all jet streaks of in the respective category, and the width in y-direction is equal to the standard deviation. In the box plots, the left boundary of the box indicates the 25<sup>th</sup>, the black vertical line within the box the 50<sup>th</sup>, and the right boundary the 75<sup>th</sup> percentile. Whiskers to the left and right indicate the 5<sup>th</sup> and 95<sup>th</sup> percentile. The black square inside the box marks the mean of the distribution. (b) Histogram of jet streak lifetime for all jet streaks in dark grey bars, bins every 6 h, with the light grey area representing the width of the standard deviation around the mean lifetime, and vertical lines indicating the mean (black solid), 5<sup>th</sup>(left, grey solid95<sup>th</sup> (blue), and 50<sup>th</sup> (black dashed) and 95<sup>th</sup> (right, grey dotted) percentile of the lifetime distribution. Colored vertical lines indicate the mean (solid) and 95<sup>th</sup> percentile (dotted) of lifetimes for M- (red), N- (blue), and S-regime (green) jet streaks.

stratifying jet streaks by intensity reduces the variability in lifetimes somewhat for weak jet streaks, very intense jet streaks show particularly large variability, with interquartile ranges as large as the median lifetime. This hints at a substantial variability in the dynamics driving jet streak development, especially for strong jet streaks.

- The average jet streak intensity at genesis is  $7376.6 \pm 10.07.3$  m s<sup>-1</sup>. The average time between genesis and peak intensification amounts to 18 h (with a median of 612 h), and it takes on average 33 h (median 24 h) for jet streaks to reach their peak intensity ( $8787.9 \pm 98.5$  m s<sup>-1</sup>). Jet streaks last on average 57 h (median 48 h), and exhibit a mean intensity of  $7579.1 \pm 117.9$  m s<sup>-1</sup> at their lysis, very close to the mean intensity at genesis. Note that in the mean, the intensification phase lasts about as long as the time between peak jet streak intensity and lysis. As for lifetime (Figure 10b), the duration of jet streak intensification 420 and the time between peak jet streak intensity and lysis are heavily skewed toward longer time spans. The mean intensification
- 420 and the time between peak jet streak intensity and lysis are heavily skewed toward longer time spans. The mean intensification phase lasts about 1.3-1.2 times as long for extreme, compared to all-non-extreme jet streaks, and the lifetime of extreme jet

streaks is on average  $\frac{1.4}{1.3}$  times as long.

For extreme jet streaks, the mean intensity at genesis is  $82 \pm 1385 \pm 7.7$  m s<sup>-1</sup>,  $104 \pm 9102.8 \pm 3.5$  m s<sup>-1</sup> at peak intensity, and  $83 \pm 1185.6 \pm 7.8$  m s<sup>-1</sup> at lysis. It is noteworthy that the distribution of the mean intensification rate of all jet

425 streaks is fairly similar to that of extreme jet streaks, with  $0.55 \pm 0.38$  The acceleration rates are  $0.41 \pm 0.3$  (m s<sup>-1</sup>) h<sup>-1</sup> for all and  $0.62 \pm 0.39$  slightly higher, i.e.  $0.62 \pm 0.41$  (m s<sup>-1</sup>) h<sup>-1</sup> for extreme jet streaks. The same holds true for the decay rate , with  $-0.65 \pm 0.45$  between peak jet streak intensity and lysis, with  $-0.4 \pm 0.43$  (m s<sup>-1</sup>) h<sup>-1</sup> for all and

 $-0.79 \pm 0.44 - 0.65 \pm 0.43$  (m s<sup>-1</sup>) h<sup>-1</sup> for extreme jet streaks.

To summarize, the intensification rate <u>alone</u> does not determine whether a jet streak evolves into an extreme. Rather, it is

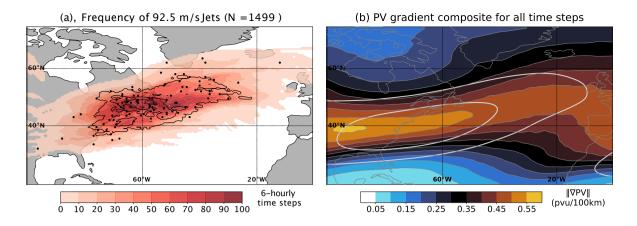
430 the prolonged duration of the intensification period that the combination of prolonged and stronger intensification is crucial. However, this result must be interpreted with caution, taking into account the large variability in intensification rates and durations. It raises the question of what processes sustain the intensification periodsustains the intense acceleration of extreme jet streaks.

#### 3.2 North Atlantic jet streak climatology

- 435 Examining the mean PV gradient and occurrence of extreme wind speeds wind speeds exceeding 92.5 m s<sup>-1</sup> based on low-pass filtered wind over the North Atlantic domain (Figure 11), we find 1405 time steps with extreme such high wind speeds over the North Atlantic in the winters 1979–2023 in the 6-hourly ERA5 data (Fig. 11a). Wind speeds above 92.5 m s<sup>-1</sup> Such high wind speeds occur over the eastern US, the Gulf Stream, Canada and from the Labrador Sea northeastward past Greenland downstream towards the UK. The highest frequencies form a southwest-to-northeast-oriented band centred over the western
- 440 North Atlantic and extending into the central North Atlantic. This is in qualitative agreement with the regions in which Winters (2021) finds large frequencies of extreme windswind speeds exceeding  $92.5 \text{ m s}^{-1}$ .

The centres of extreme jet streaks at time of peak intensity (black dots in Fig. 11a) are mainly concentrated located over the US East Coast - Gulf Stream sector. However, they also exhibit large variability, with some jet streaks reaching peak intensity over eastern North America or north of 55°N. Figure 11b shows the mean North Atlantic winter PV gradients and wind speeds.

445 Key observations from the wind and jet streak climatologies are:



**Figure 11.** North Atlantic winter (DJF 1979–2020) climatologies based on 6-hourly ERA5 data. (a) Total number of 6-hourly time steps with extreme-wind speeds exceeding 92.5 m s<sup>-1</sup> on at least one isentrope in red colours over the North Atlantic domain  $(100^{\circ}W-0^{\circ}E \times 20^{\circ}N-75^{\circ}N)$  in red colours. Contours show the number of peak jet streak intensity time steps for extreme jet streak events with extreme with wind speeds exceeding 92.5 m s<sup>-1</sup> on at least one isentropeover the North Atlantic domain, contours every 5 time steps. Jet Black dots show jet streak centres of extreme jet streak events at time of peak intensity in black dots. (b) PV gradient climatology using 6-hourly ERA5 data at 329330 K (the mean of all central isentropes at time of peak jet streak intensity) in colours. Thin Contours; thin greycontours: climatology of the PV gradient with contours every 0.10.05 PVU (100 km)<sup>-1</sup>, starting at 0.05 PVU (100 km)<sup>-1</sup>. Thick light, and thick grey contours: Wind speed climatology at 328K of wind speed with contours every 10at 20 m s<sup>-1</sup>, starting at and 30 m s<sup>-1</sup>.

- Climatologically, highest mean PV gradients are found over the Great Plains. High wind speed and largest PV gradient are co-located at each longitude, but the PV gradient maximum is located upstream of the maximum wind speed and upstream of the region with highest jet streak density (black dots in Fig. 11a).
- The region of enhanced PV gradients extends from the US East Coast across the western North Atlantic in a southwest-to-northeast-oriented southwest-to-northeast oriented band (Fig. 11b).

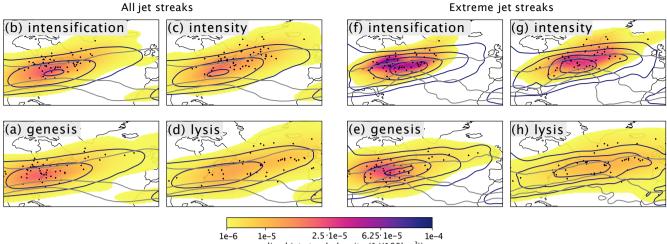
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- Over the eastern North Atlantichigh PV gradients occure, large PV gradients occur in a broader region, compared to the confined band over the storm track entrance region upstream, indicating higher variability in the position and shape of the tropopause, which is in agreement with higher frequencies of Rossby wave breaking there.

It is noteworthy that at individual time steps, local regions of high PV gradient correlate with the regions of highest wind speed. Consequently, the climatological mean of the PV gradient reaches high values in regions with low variability in the PV gradient and the position of the tropopause. This phenomenon explains the peak in the mean PV gradient over the central United States, where the Rocky Mountains exert a downstream influence that reduces the variability of the troposphere position (Brayshaw et al., 2009).

Figure 12 illustrates the evolution of the North Atlantic jet streaks during the four stages of a jet streak lifetime. At jet streak 460 genesis (Fig. 12ea), most centres are located upstream of the Gulf Stream sector and above the continental US. As jet streaks

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normalised jet streak density (1/(100km<sup>2</sup>))

Figure 12. Propagation of (a-d) all and (e-h) extreme North Atlantic jet streaks. Normalised jet streak centre probability density function (PDF) in  $(100 \text{ km}^2)^{-1}$  in colours (see Sect. 2.1 for a description of how this is computed). Mean PV field (computed like the mean PV gradient fields in Fig. F1, based on PV fields on the 328330 K isentrope for all jet streaks and on the 332 K isentrope for extreme jet streaks) in thick grey contours every at 1, 2, and 5 PVUstarting at 0 PVU, and mean wind speed computed like the PV fields explained above in thick purple contours every 10 m s<sup>-1</sup> starting at 30 m s<sup>-1</sup>. Black dots represent the centres of extreme jet streaks and are identical in (a-d) and (e-h). For each group of jet streaks, the four panels show the times of (a,e) genesis – (b,f) peak intensification – (c,g) peak intensity – (d,h) lysis clockwise starting with the bottom left. This order was chose to have the time of peak intensification and intensity in the top row.

intensify, they generally propagate northeastward (Figure 12a,bb,c). At peak intensification, the variability in jet streak centre positions remains comparatively low and a marked maximum in their occurrence frequency is over the US East coast and the Gulf Stream. Despite the northeastward propagation of jet streaks during their life cycle, the averaged wind speed and PV exhibit minimal change during the four stages of a jet streak life cycle (grey and red contours in Figs 12a–d). This indicates a significant case-to-case variability in the large-scale dynamic surrounding the during jet streak life eycle cycles and motivates

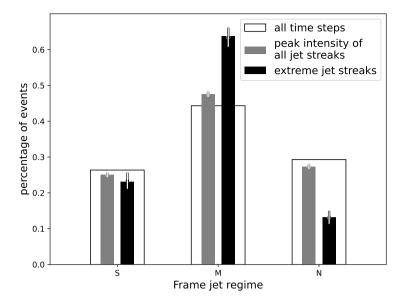
a jet streak-centred analysis, which is presented in a following section.

Before we continue with a jet streak-centered analysis, consideration is given to extreme jet streaks and jet stream regimes. Reducing the sample to extreme jet streaks leads to greater consistency between the evolution of jet streak centre positions, the mean PV contours and the mean wind speed (Figs 12e-h). Extreme jet streak centres are more spatially concentrated compared

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to those of all jet streaks, especially during intensification (Figs 12a-c,e-g). They propagate northeastward and the maximum of the mean wind field increases during the intensification phase (see emergence of third red fourth purple contour, corresponding to  $60 \text{ m s}^{-1}$ , in Fig. 12e, ff,g) and a small PV ridge at time of peak intensification emerges in the composite (grey contour in Fig. 12ef). Nevertheless, the large sample variability complicates the interpretation and necessitates an object-centred analysis.



**Figure 13.** Frequency of times with the low-level jet residing in the S, M, and N regime. Wide white bars: Based Bars show the frequencies based on (wide white) all 6-hourly DJF time steps between 1979 and 2023. Light grey bars: As for the white bars2023, but based on (grey) bootstrapping of time steps of peak jet streak intensity (in total 1120-1050 time steps). Black bars: As for the white bars, but based on and (black) bootstrapping of time steps of peak jet streak intensity for extreme jet streaks (in total 85-92 time steps). The height of the grey and black bars indicate the mean frequency of all resamples, and the vertical grey/white lines indicate the standard deviation of the frequency.

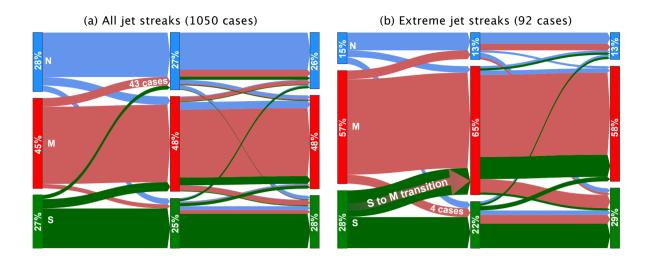
Finally, the above We expand the analysis of the mean large-scale flow during the four stages of jet streak life cycle is repeated
with cycles by an additional separation into the three jet regimes according to the regime at jet streak genesis Sect. 2.2.1. Figure B1 indeed results in shows are clearer separation of the large-scale flow, but the case-to-case jet streak variability within each regime remains large. This indicates that the separation into the three jet regimes is not an effective method for effective in reducing case-to-case variability to that a point that allows to identify different jet streak life cycles. However, the evolution and occurrence frequency of the different jet regimes during the four stages of a jet streak life cycle warrants further investigation;
which is presented in the next section.

#### 3.3 Jet regime occurrence during jet streak life cycles

To explore the link between the jet regimes and the jet streak life cycle, in particular with respect to the intensification of extreme jet streaks, we first analyse the prevalence of the three regimes at the time of peak jet streak intensity. To contextualise the prevalence of each regime, we also evaluate the likelihood of the jet regimes climatologically (white bars in Fig. 13). We also examine the climatological transition probabilities between regimes and compare it to the transition probabilities found

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during the life cycle of jet streaks.



**Figure 14.** Occurrence of <u>and transitions between</u> the three jet regimes (green S, red M, and blue N) as jet streaks evolve from genesis (left vertical bar), to maximum intensity (middle bar) and lysis (right vertical bar) for (a) all jet streaks and (b) extreme jet streakstreaks. For example, we find an S regime for 28 % of all jet streaks are at genesis in , the <u>S-M</u> regime , for 47 % in the <u>M</u>, and 28 % in the N regime for 28 % of all jet streaks are steps. Arrows indicate the transitions between different jet regimes and the <del>likelihood for a transition is larger for wider width of the</del> arrows , which are scaled according to scales with the number of jet streaks that undergo the corresponding regime transition. For example, from of all jet streaks with genesis in the M regime, in 52 43 cases the jet regime shifts shift to the <u>S-N</u> regime at maximum intensity of by the time of peak jet streak intensity (panel (a)). The colour of each arrow is set by <u>Arrow colours correspond to</u> the genesis.

Based on all jet streaks (grey bars in Fig. 13), we find a slight under-representation of S- and N-regime jet streaks and a slight over-representation of M-regime jet streaks compared to the climatological frequencies. This tendency is accentuated for extreme jet streaks (black bars in Fig. 13), of which 6365% peak in the M regime, while the likelihood of N-regime extreme
490 jet streaks is roughly halved compared to all jet streaks. A bootstrap analysis using 1000 resamples of all as well as extreme events (dots and vertical lines in Fig. 13) shows that the increased prevalence of the M regime is a robust result of the analysis. While the same is true for the under-representation of N regime, the climatological likelihood of the S regime is not altered significantly compared to climatology for both all and extreme jet streaks.

Figure 14a shows occurrence and transition probabilities between jet regimes at three key moments during the life cycle of

- 495 a jet streak. Persistence is a key feature in both extreme and non-extreme jet streak evolution, meaning that the eddy-driven jet typically remains within the Frame regime of jet streak genesis (Fig. 14a, b). For jet streaks during whose evolution different Frame regimes occur, the following results are worth noting: Starting with the regime at genesis (27%, 45%, and 28% in S, M and N regimes, 47% in Mrespectively) there is a larger tendency for the S regime to shift to the M regime and from M to the N regime during the intensification period of a jet streak, which is in agreement with the storm track life cycle argument presented
- 500 in Ambaum and Novak (2014). At lysis, the relative numbers are fairly similar to the values at genesis, with M being and M is

the most persistent regime. For the extreme jet streaks, a notable difference is found (Fig. 14b). The probability of a jet streak originating in the S regime and subsequently transitioning to subsequently transition into the M regime during intensification is 4846 %. This is more than approximately double the climatological transition probability. Additionally, extreme jet streaks are most likely to occur in the M regime, with the likelihood of extreme jet streaks occurring in a N regime being reduced by a

505 factor of two. During the decay period, there is a greater number of cases where the S regime transitions to the M regime and vice versa, although there is a relatively low number of instances where the N regime is reached. The increased occurrence of the M regime and increased S-to-M transitions during jet streak intensification align with the oscillator model for the North Atlantic storm track life cycle (Ambaum and Novak, 2014). According to this model, baroclinicity is accumulated when the jet is in the S regime, thereby fuelling the potential for strong baroclinic growth. Consequently, baroclinic growth results in

typically strongest in the M regime, which increases the likelihood of the occurrence of extreme jet streaks.

an increased eddy momentum transport, thereby intensifying the eddy-driven jet as it transitions into the M regime. The jet is

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#### 3.4 Jet streak-centred composites

This section explores composites of jet streak-centred wind and PV fields. We start with PV gradient and wind speed at time of peak intensity for non-extreme (Fig. 15a) and extreme jet streaks (Fig. 15b). The PV gradient fields in both displays display a Rossby wave with a wavelength of about 6,700 km. The jet streak centre is located at a ridge crest and is anticyclonically 515 curved. Extreme jet streaks (Fig. 15b) display an advanced stage of PV streamer formation downstream. Maximum wind speeds speed for extremes is on average  $\frac{103}{102.9}$  m s<sup>-1</sup> compared to  $\frac{8587.3}{20}$  m s<sup>-1</sup> for non-extreme cases.

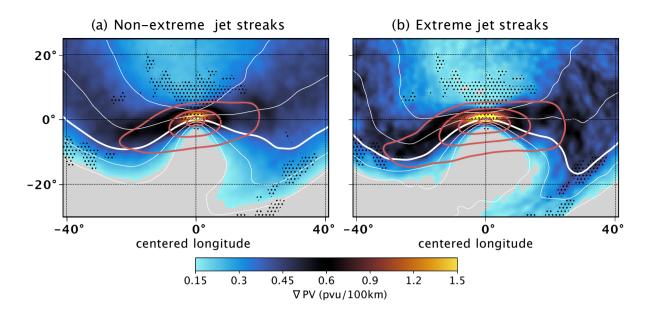
The maximum PV gradient is located slightly poleward of the wind speed maximum (Fig. 15a,b), in accordance with the theoretical predictions set forth in Ref. (Bukenberger et al., 2023). Bukenberger et al. (2023). The PV gradient maximum is

- flanked by a minimum on the tropospheric side and a local minimum on the stratospheric side, which is more pronounced in 520 extreme cases. While the standard deviation in the PV gradient composite is relatively small compared with the mean value in the centre (Fig. C1a), both are of a similar order of magnitude up- and downstream of it. This indicates that the intensity of jet streaks is at its maximum when centred on the ridge erest of a Rossby wave. However, the exact positions along the ridge and the shape of the Rossby wave can vary considerably (Fig. C1b). This extensive variability in the composites, which is observed on a case-to-case basis, motivates the implementation of a more detailed clustering approachbased on the isentropic PV.
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#### 3.4.1 Jet streak-centred SOM clusters

We cluster jet streaks based on jet-streak-centred PV fields at the times of peak intensification and intensity, as detailed in Sect. 2.2. We aim for low similarity between clusters, which imposes an upper limit on the number of clusters and at the same time to keep clusters with clearly different Rossby wave patterns and concentrations of extreme jet streak events separate.

530 Balancing these two goals yields sex six clusters, composites of which are shown in Sect. G. The cluster have All clusters share some interesting commonalities (Fig. G4):



**Figure 15.** Jet streak-centred composites for based on bootstrapping of (a) non-extreme jet streaks and (b) extreme jet streaks at time of peak intensity. Shown are the (colours) mean of the norm of the PV gradient on central jet streak isentrope, values smaller than 0.15  $PVU (100 \text{ km})^{-1}$  are white. Contours show the (white) mean PV for [0.5, 1.0, 4.0, 6.0] PVU on the central isentrope and (bold white contour) 2PVU on the central isentrope, and the (redeontours) mean of wind speed on central isentrope, every 20 m s<sup>-1</sup>, starting at 40 m s<sup>-1</sup>. Stippling shows where the difference between the means of the mean of the norm of the PV gradient on central jet streak isentrope of non-extreme and extreme jet streaks based on 1000 resamples is larger than the standard deviation of means of both categories combined.

cluster	events	extreme jet streaks	S	М	Ν	jet isentrope	peak intensity
all	<del>1120-<u>1050</u></del>	<del>85 (7.591 (8.7</del> %)	25%	47%	28%	$329.4329.5 \pm 6.47.9$ K	$88.1 \pm 8.4 \text{ m s}^{-1}$
<del>C0-C1</del>	<del>113-<u>1</u>26</del>	<del>15 (12.024 (19.0</del> %)	<mark>87</mark> %	<del>53<u>60</u>%</del>	<del>39</del> <u>33</u> %	$327.6328.9 \pm 5.45.1$ K	$92.8 \pm 8.4 \text{ m s}^{-1}$
<del>C1-C2</del>	<del>216-<u>197</u></del>	<del>34 (16.029 (14.7</del> %)	<del>2030</del> %	<mark>49<u>39</u>%</mark>	31%	$330.6336.6 \pm 5.08.0$ K	$90.6 \pm 8.0 \text{ m s}^{-1}$
<del>C2-C3</del>	<del>161-127</del>	2 ( <del>1.2</del> 1.6%)	33%	44%	23%	$325.0 \pm \frac{4.84.7}{}$ K	$83.4 \pm 6.9 \text{ m s}^{-1}$
<del>C3-C4</del>	<del>186-166</del>	1-(0.54(2.4%))	<mark>-16<u>18</u>%</mark>	<b>44<u>50</u>%</b>	<del>39</del> <u>32</u> %	$322.1 \pm \frac{5.85.6}{5.85}$ K	$\underbrace{84.3 \pm 8.1 \text{ m s}^{-1}}_{\bullet$
<del>C4-C5</del>	<del>214-<u>155</u></del>	<del>18 (8.8<u>12</u> (7.7</del> %)	<del>20<u>14</u>%</del>	<del>57<u>58</u>%</del>	<del>23<u>28</u>%</del>	$329.6327.8 \pm 5.64.8$ K	$89.5 \pm 7.0 \text{ m s}^{-1}$
<del>C5-</del> <u>C6</u>	<del>113-279</del>	<del>7 (6.5<u>19</u> (6.8</del> %)	<mark>41<u>36</u>%</mark>	<del>4042</del> %	<del>19<u>22</u>%</del>	$333.0 \pm \frac{5.46.8}{5.46.8}$ K	$87.6 \pm 8.0 \text{ m s}^{-1}$

**Table 2.** Characteristic properties of the different SOM Clusters. The number of jet streaks as well as extreme jet streaks in each cluster is mentioned and besides the percentage they constitute within the cluster (for example, 12% for cluster  $\theta$ -1 containing a total of 113 and 15 extreme events).

- 1. <u>All clusters Their composites</u> show either no or anticyclonic Rossby wave breaking at both the time of peak jet streak intensification and intensity. The tropopause is either straight or anticyclonically curved at the jet streak centre at time of peak jet streak intensity –(Second, fourth, and sixth row in Fig. G4).
- 535 2. At time of peak jet streak intensity, all cluster composites show the jet streak centre in the ridge of the Rossby wave, never in a trough -(Second, fourth, and sixth row in Fig. G4).
  - 3. Between the times of peak intensification and intensity, the jet streak centre either remains stationary with respect to the Rossby wave or propagates downstream. Moreover, the amplitude of the composite Rossby wave grows along with the jet streak intensity between the time of peak jet streak intensification and intensity (First vs. second, third vs. fourth, and fifth vs. sixth row in Fig. G4).

Clusters for which this growth is most pronounced (Cluster 0 and Cluster 11 and Cluster 2, referred to as C0 and C1 and C2 in the following, compare temporal evolutions in Fig. G4a–h to those in Fig. G4i–x) feature the largest fractions of extreme jet streaks: 15 out of 116 24 out of 126 anticyclonically oriented jet streaks (12.019.0%) and 34 out of 216-29 out of 197 zonally oriented jet streaks (1614.7%) are extreme cases. Complementary to that, the clusters with the smallest change in Rossby wave amplitude (C2 and C3 and C4, see Fig. G4 i–o) have the smallest mean peak jet streak intensity and each contains a fraction of less than 25% of all extreme jet streaks.

Comparing the climatological occurrence frequency of the three Frame jet regimes with their frequency in each cluster shows that strong anticyclonic wave breaking coincides with high frequencies of M and N regimes (for example  $\underbrace{C0C1}$ ) and more zonal Rossby waves with more frequent S regimes (for example  $\underbrace{C2 \text{ and } C5C3}_{C3}$  and  $\underbrace{C6}_{C6}$ ) (Tab. 2).

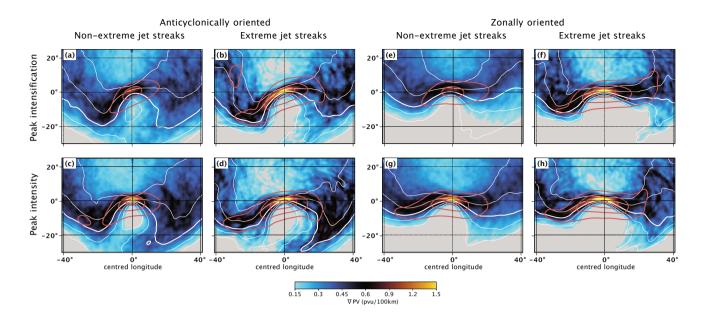
- 550 The clusters differ in the position of the jet streak centre along the Rossby wave ridge and consequently in the orientation of the jet streak .-In C0, C3, and C4 (Fig. G4). In C1, C4, and C5 (red in Tab. 2), the jet streak centre is positioned upstream of the ridge axis .-(Fig. G4a-d, m-t). The jet streaks in those clusters are oriented SW-to-NE, while the composite Rossby wave shows signs of anticyclonic wave breaking. The stronger the wave breaking of a cluster, the higher is the fraction of extreme jet streaks (e.g., 12% in C0 vs. 0.5% in C319% in C1 vs. 2.4% in C4). Two clusters (C1, C2, C3) have the centre of the jet streak positioned at maximum intensity-jet streak centre positioned slightly downstream of the ridge axis at peak intensity
- and the streaks are either zonal (extremes in C1C2) or have a NW to SE orientation (C2 extremes). Cluster C1C3 extremes) (Fig. G4e–1). Cluster C2, contains the plurality of extreme jet streaks with 34 out of 85 events (1629 out of 91 events (14.7% of the jet streaks in C1-C2 are extreme). Finally, C5-C6 shows a weak Rossby wave with the centre of the straight and zonally oriented jet streak at the Rossby wave crest and with little intensification between the times of peak intensification and intensity
- 560 -(Fig. G4u-x).

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The large prevalence of SW-to-NE oriented jet streaks and clusters is consistent with Clark et al. (2009), who showed that over the North Atlantic, most jet streaks are southwesterly. Studies of jet streaks in other regions, particularly the eastern North Pacific, show more northwesterly jet streaks, so our clusters are domain- and season-specific to the North Atlantic winter.

We focus in the following on <del>C0 and C1 and C2</del>, who both have the largest frequencies of extreme jets and clearly distinct large-scale circulations. <del>Moreover, C0 and C1 are most robust with respect to the SOM clustering parameters.</del> We refer to the



**Figure 16.** Jet streak centred composites based on (a-d) anticyclonically oriented jet streaks for and (e-h) zonally oriented jet streaks for. Panels (a,b) and (e,f) show composites at the time of peak intensification for (a,e) non-extreme and (b,f) extreme jet streaks. Panels (c,d) and (g,h) show the time of peak jet streak intensity for (c,g) non-extreme and (d,h) extreme jet streaks. Colours: PV gradient  $(PVU(100 \text{ km})^{-1})$ , values smaller than  $0.15 \text{ PVU}(100 \text{ km})^{-1}$  are grey. Contours: White: mean PV on central isentrope, thick: 2 PVU; thin: [0.5, 1.0, 4.0, 6.0] PVU; red: wind speed on central isentrope, every 10 m s<sup>-1</sup>, starting at 40 m s<sup>-1</sup>.

former as mostly anticyclonic cases and to the later-latter as zonal cases. For completeness, an analysis of all clusters is shown in Sect. G.

# Zonally and anticyclonically oriented jet streak clusters

We start with the Rossby wave patterns in Fig. 16. All anticyclonically oriented jet streaks (COC1) intensify upstream of the crest of the Rossby wave, downstream of which we find a pronounced PV streamer. Higher PV gradients at the jet streak centre of extreme cases are a robust feature in bootstrapping analysis (see stippling in Fig. G5 a vs. b, c vs. d). The PV streamer in the extreme jet streak composite grows and bends more anticyclonically between the times of peak jet streak intensification and intensity -(also robustly, see stippling in Fig. G5 a vs. b, c vs. d). This indicates stronger anticyclonic wave breaking for extreme jet streaks (Figure 16a–d). Non-extreme C1 jet streaks tilt southwest-to-northeast at both times , while extreme jet

575 streaks and turn to be more zonal as they approach the Rossby wave crest at time of peak intensity (Figure 16c vs. d).

Composites of zonal jet streaks (C1C2) show a qualitatively different pattern, with a pronounced Rossby wave and zonally oriented composite jet streak at its crest but no PV streamer downstream at time of peak jet streak intensification. A PV streamer develops until the time of peak intensity. Extreme jet streaks feature more pronounced troughs , streamers, and PV cutoffs and PV streamers than non-extreme jet streaks at the time of peak intensity (Figure ??h), and the composite PV streamer grows

largerGle-h). However, the composite Rossby wave does not bend anticyclonically for either subset of zonally oriented jet 580 streaks. Note that for both clusters, the average increase in maximum wind speed between the times of peak intensification and intensity is similar for non-extreme and extreme jet streaks ( $\sim 20 \text{ m s}^{-1}$ ). The difference in peak intensity stems from already higher intensities of extreme jet streaks at the time of peak intensification.

Jet streak centred composites based on (a-d) anticyclonically oriented jet streaks for and (e-h) zonally oriented jet streaks for. Panels (a,b) and (e,f) show composites at the time of peak intensification for (a,e) non-extreme and (b,f) extreme (b,f) jet 585 streaks. Panels (c.d) and (g,h) show the time of peak jet streak intensity for (c.g) non-extreme and (d,h) extreme jet streaks. Colours: PV gradient (PVU(100 km)<sup>-1</sup>), values smaller than 0.15 PVU(100 km)<sup>-1</sup> are grey. Contours: White: mean PV on central isentrope, thick: 2 PVU; thin: [0.5, 1.0, 4.0, 6.0] PVU; red: wind speed on central isentrope, every 10 m s<sup>-1</sup>, starting at  $40 \text{ m s}^{-1}$ .

- The maximum of the composite PV gradient as well as the stratosphereward displacement of bands of high PV gradients with 590 respect to the wind speed maximum increase with jet streak intensity for both clusters and all jet streaks (Figure 16Fig. 16a,b vs. c.d and Fig. 16e,f vs. g,h), as expected from theory. The difference in the composite PV gradient Another robust difference between extreme and non-extreme jet streaks is larger at the time of peak intensity than intensification. We hypothesize that the weaker correlation between the wind field and the PV gradient at peak intensification is due to geostrophic imbalance of
- the rapidly accelerating flow, such that the assumptions required to directly link wind speed to PV gradients (Section 2.6) 595 are violated. the more narrow region of enhanced PV gradients close to the jet streak axis for extreme cases (Fig. 16 first vs. second and third vs. fourth row)

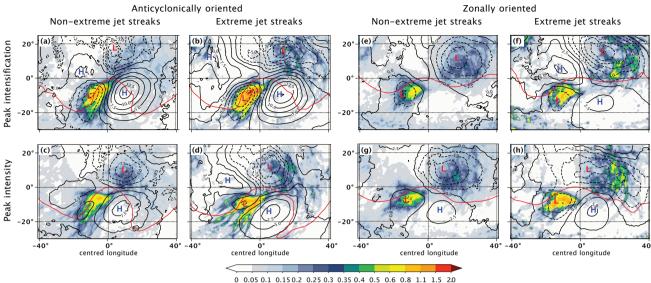
The PV gradient standard deviation shows more large-scale variability in anticyclonically than zonally oriented jet streaks (Figure ??G1a-d vs. e-h). Most of the PV gradient variability can be attributed to the variability in the exact shape of the

- 600 Rossby wave on which the jet streak evolves and the jet streak centre position relative to it. The variability increases as jet streaks reach peak intensity, especially for anticyclonically oriented jet streaks, and especially upstream of the jet streak centre (Figure ??G1). The standard deviation of PV gradients far away from the jet streak centre of extreme jet streaks is larger than that for non-extreme ones far away from the jet streak centre of non-extremes, but notably smaller close to it, for both clusters (e.g., Figure ??G1a vs. b and Fig. G1c vs. d). This reduced variability indicates that the large PV gradient at extreme jet streak 605
- centres is a robust feature.

FinallyNext, consideration is given to lower tropospheric levels for anticyclonically oriented jet streaks (Fig. 17a–d). At time of peak jet streak intensification, we find two surface the mean sea level pressure (SLP) anomaly shows a strong and anticyclonically oriented high-pressure systems on the tropospheric side of the jet: a small anticyclone upstream and an intense one system below the right jet exit. The former weakens while the latter intensifies, which weakens until peak jet streak

610 intensity. An intense and large cyclone is located to the north of the strong anticyclone, below the left jet exit. It exhibits a A second smaller negative SLP-anomaly is located below the right entrance at peak jet streak intensification, but merges with the cyclone in the left exit by the time of peak jet streak intensity, where only one cyclone remains.

It is more intense for extreme jet streaks and exhibits an SLP pattern typical of anticyclonic wave breaking (Thorncroft et al., 1993). This is consistent with the dominance of M- and N-regime jet streaks in the anticyclonic cluster (9293%). The



0.05 0.1 0.15 0.2 0.25 0.3 0.35 0.4 0.5 0.6 0.8 1.1 1. Precipitation (mm/h)

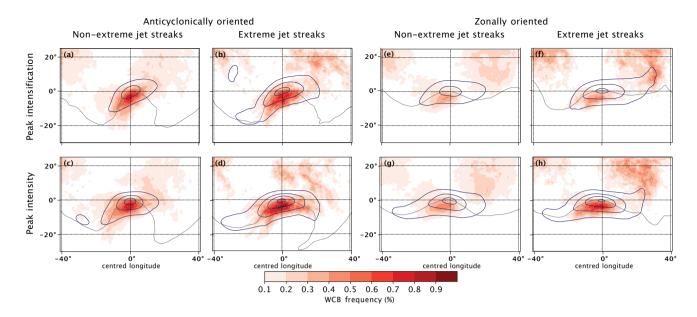
**Figure 17.** Jet streak-centred composites for (first two columns) anticyclonically oriented jet streaks and (last two columns) zonally oriented jet streaks. Top row for time of peak intensification and bottom row for peak intensity. Each panel is sub-divided into non-extreme (first and third column) and extreme (second and fourth column) jet streaks. Shading: hourly precipitation (mm h<sup>-1</sup>). Contours: black: mean sea level pressure anomaly with respect to 1979–2023 winter climatlogy, every 2.5 hPa and negative values with dashed contours; red: mean PV on central isentrope, 2 PVU.

615 composite cyclone is stronger for the non-extreme jet streak (mean SLP of 975 hPa for non-extreme compared to 985 hPa for extreme jet streaks), but the anticyclonic is notably stronger for the extreme cases(1035 hPa vs. 1015 hPa), which results in a Since both high- and low-pressure anomalies are stronger for extreme cases, the average meridional pressure gradient that is about 25between the two systems is about 30% for the extreme cases . stronger for the composite of extreme cases (Fig. G2 c vs. d). The cyclone is associated with an elongated SW-to-NE oriented band of enhanced precipitation along its cold front and the maximum in cold-frontal precipitation is located below the right jet entrance. Precipitation there is more intense for extreme jet streaks.

Jet streak-centred composites for (first two columns) anticyclonically oriented jet streaks and (last two columns) zonally oriented jet streaks. Top row for time of peak intensification and bottom row for peak intensity. Each panel is sub-divided into non-extreme (first and third column) and extreme (second and fourth column) jet streaks. Shading: hourly precipitation  $(mm h^{-1})$ . Contours: mean sea level pressure, every 5 hPa starting at 970 hPa.

625

Precipitation whose intensity changes only marginally between the times of peak intensification and intensity (Fig. 17a-d). However, the precipitation rate is markedly larger for extreme than non-extreme jet streaks, in particular a-d). The maximum in cold-frontal precipitation is located below the right jet streak entrance entrance and is robustly more intense for extreme jet streaks (compare the first vs. second column in Fig. 17). and see stippling in Fig. G2 a-d).



**Figure 18.** Jet streak-centred composites for (first two columns) anticyclonically oriented jet streaks and (last two columns) zonally oriented jet streaks. Top row for time of peak intensification and bottom row for peak intensity. Each panel is sub-divided into non-extreme (first and third column) and extreme (second and fourth column) jet streaks. Colours: WCB outflow frequency on 500 hPa. Contours: redgrey: mean PV on central isentrope, 2 PVU, blue: mean of wind speed on central isentrope, every 20 m s<sup>-1</sup>, starting at 40 m s<sup>-1</sup>.

- To relate these results to previous literature on cross-isentropic mass flux in warm conveyor belts (WCB), WCB frequencies at 400 hPa (Fig. 18a–d) complement the analysis of low-level dynamics. They show little change during the lifetime of non-extreme anticyclonically oriented jet streaks. Most WCB outflow occurs on the tropospheric side of the jet axis. The left jet exit, which exhibits slightly stronger precipitation, also shows more WCB outflow for extreme jet streaks. Consistent with the precipitation patterns, WCB outflow feeding into the Rossby wave ridge is more frequent for extreme than non-extreme jet streaks (Figure 18a,c vs. b,d) and exceeds 80% in grid points close to the Rossby wave crest as extreme jet streaks jet streaks.
- reach peak intensity. Robust differences between the WCB outflow of extreme and non-extreme C1 jet streaks are already given at time of peak jet streak intensification, but increase as they reach peak intensity -(Fig. G6 a-d)

In the cluster of zonally oriented jet streaks (C1)only a single surface anticyclone exists, which does not intensify C2), the positive SLP anomaly intensifies between peak jet streak intensification and intensity . However, it and approaches the jet

640 streak centre from s below the right jet exit on the tropospheric side (Figure 16e–g). A mature cyclone resides below the left jet exit and the cyclone-anticyclone pear pair appears more meridionally aligned for extreme cases. In the warm sector of the mature this cyclone, the precipitation rate for extreme jet streaks is approximately double that of non-extremes and this result is robust against resampling (Fig. G2 e–h). The pressure difference between within the cyclone-anticyclone pair is roughly 45 hPa during peak jet streak intensity compared to 30-20 hPa for non-extreme cases . As is the case for anticyclonically oriented jet 645 streaks, the difference in the meridional pressure gradient between extreme and non-extreme jet streaks primarily stems from the intensity of the anticyclone and is driven by both stronger cyclones and anticyclones for extreme cases (Fig. G2 e-h).

The most remarkable difference in the synoptic situation between the clusters of zonally and anticyclonically oriented jet streaks is the <u>continued</u> presence of a second, mesoscale surface low <del>upstream</del> below the right jet entrance of zonally oriented jet streaks. It <del>grows and produces more precipitation</del> weakens for non-extreme cases but grows extreme jet streaks. Precipitation

650 <u>increases</u> as jet streaks reach peak intensity, again with <u>robustly</u> more precipitation in extreme jet streak composites. For anticyclonic cases, no upstream cyclone but rather an upstream anticyclone is found at peak jet streak intensity.

Similar to the anticyclonic cluster, WCB outflow on the tropospheric side of the jet is more frequent for extreme zonally oriented jet streaks (Fig. 18a–d vs. e–h). Notably, but the difference only becomes statistically robust at time of peak jet streak intensity (Stippling in Fig. G6 e–h). By this time, WCB frequencies in this region almost doubles between the times of

655 peak intensification and intensity more than doubles for extreme jet streaks , but barely changes for non-extreme jet streaks (Fig. 18e-h). WCB outflow in the left jet exit is very rare for non-extreme zonally oriented jet streaks, but more frequent for extreme cases. The WCB frequencies indicate , indicating enhanced cross-isentropic mass flux as expected also from more intense precipitation rates.

#### 4 Conclusion and Outlook

- 660 This study analyzes the evolution of North Atlantic winter (DJF) jet streaks from January 1979 to February 2023, using 6-hourly ERA5 data. The analysis is based on an object-centred identification and tracking framework, identifying jet streaks based on the isentrope of maximum wind speed. The proposed method ensures robust identification of jet streaks, independent of their varying heights. We first investigate basic characteristics of North Atlantic winter jet streaks and later combine the results with object-centred composites to study jet streak life cycles in various flow situations. To investigate the connection between jet streaks and the eddy-driven jet stream, the results are categorized based on jet stream regimes defined by Frame et al. (2011). Furthermore, the study groups jet streaks into different clusters based on isentropic PV using a self-organising map algorithm in an attempt to establish a link between jet streaks and different phases of Rossby wave development. To the best of our knowledge, this is the first systematic comparison of extreme and non-extreme jet streaks and the results indicate that extreme jet streaks can develop in association with different weather systems but are always developing under an increased
- 670 influence of diabatic processes. The following sections discuss the most important findings of this study in more detail, point out key limitations, and lay out a possible avenue for future research.

# 4.1 Characteristic properties of extreme North Atlantic winter jet streaks

The peak intensity of North Atlantic wintertime jet streaks is  $87\pm9 \text{ m s}^{-1}$  ( $104\pm9 \text{ m s}^{-1}$  for extreme jet streaks). The maximum wind speed reached during a jet streak life cycle correlates with the maximum PV gradient and the lifetime of events, which is is 1.4–1.3 times longer for extreme than for all jet streaks. However, the intensification rates, measured in change in wind

speed per 6-hourly time step, are similar for extreme and non-extreme jet streaks. This result indicates We find that extreme jet streaks are distinguished by a prolonged strengthening period rather than as well as increased intensification rates.

Jet streaks propagate across the North Atlantic from southwest to northeast throughout their life cycle and extreme jet streaks tend to propagate larger distances during their intensification, which is in agreement with their prolonged intensification period.

- 680
- Categorising jet streaks based on the Frame jet regimes at time of peak jet streak intensity shows that the frequency of the three jet regimes, which are intricately linked to the state of the North Atlantic storm track (Frame et al., 2011; Woollings et al., 2010), are equally-similarly distributed at peak jet streak intensity as they are climatologically. With Frame et al. (2011), we follow a line of research that finds three jet regimes based on vertically and zonally averaged wind profiles using K-means clustering. The ideal number of regimes could change with the reanalysis dataset at hand, other methods for jet detection and
- 685 profile computation, as well as the validity indices chosen to evaluate the K-means clustering. Follow-up studies involving other state-of-the-art atmospheric reanalysis products such as JMA JRA-55 and NASA MERRA-2 (Kobayashi et al., 2015; Gelaro et al., 2017) and different methods to identify low-level jet regimes would help solidify our understanding of upper- and lower-level interaction in jet streak evolution. This finding suggests that a finer-grained cluster-ing of jet streaks is needed to understand their variability from case to case. A notable exception is the increased occurrence
- 690 frequency of the zonal-central jet regimes (M regimes) during times of extreme jet streaks. Also, the transition from an equatorward to a zonal-central jet regime (S to M) occurs with increased probability during extreme jet streaks. This suggests that the enhanced baroclinicity normally observed during S regimes leads to strong baroclinic development, which might be conducive to the sustained intensification period characteristic for extreme jet streaks.

#### 4.2 Large-scale flow situation during jet streak evolution

- 695 A self-organising map (SOM) algorithm <u>combined with hierarchical agglomerative</u> clustering of upper-level PV <del>at the time of</del> maximum jet streak intensity results in six clusters, which display the following main characteristics:
  - At time of peak jet streak intensity, the jet streak centre is always located close to the axis of a Rossby wave ridge. In anticyclonic Rossby waves, the This result is in line with theory, because the combination of pressure gradient and centrifugal forces balance the Coriolis force to yield a supergeostrophic wind in a ridge. The jet streak is located slightly
- 700
- upstream of the ridge crest of anticyclonic Rossby waves and at the ridge crest of zonally oriented Rossby waves axis during peak intensification and at peak intensity. In zonally oriented Rossby waves the jet streak is located at the ridge axis.
- 2. No cluster mean exhibits well-marked cyclonic Rossby wave breaking at the times of peak intensification or at the time of peak intensity.
- 705 The two clusters that contain the majority of extreme jet streaks are investigated in more detail with a focus on the time of maximum peak jet streak intensity. The first cluster is zonally oriented and contains a total of 216 (34-197 (29 extreme) events. The second cluster is anticyclonically oriented, with 15-24 extreme jet streaks out of 113-126 total events. For zonally oriented jet streaks, we find a mature Rossby wave in upper-level PV composites that intensifies along with the jet streak. The jet

streak centre is collocated with the axis of the Rossby wave ridge at time of peak jet streak intensity. At the surface, near

- 710 the left jet streak exit, a mature extratropical cyclone is identified. It is accompanied by warm-sector precipitation, which is more intense for extreme than non-extreme jet streaks. Beneath the right jet streak entrance, a small but intensifying smaller mesoscale cyclone produces intense precipitation. The warm conveyor belt outflow that is associated with this smaller lowpressure system feeds the upper-level ridge. Anticyclonically curved jet streaks form on a Rossby wave with strong anticyclonic wave breaking. The jet streak centre is located upstream of the ridge axis at peak intensity. In contrast to the two cyclones in
- 715 the right entrance and left exit of zonally oriented jet streaks, only the mature cyclone centred poleward of the left jet exit is present in the low-level composites for anticyclonically oriented jet streaks. The precipitation below the right jet streak entrance is associated with the elongated upstream extension of the cold front attending the mature extratropical cyclone in the left exit. The two clusters have different upper-level Rossby wave patterns and lower-level weather systems, but share key characteristics, particularly in the differences between extreme and non-extreme jet streaks. Composites based on extreme
- 720 events show larger Rossby wave amplitudes and higher warm conveyor belt <u>outflow</u> frequencies for both clusters. The low-level circulation is characterised by an anticyclone southwest of the jet streak centre and a strong cyclone below the left jet exit. Precipitation is more intense in extreme cases <del>, as expected from in line with</del> the increased cross-isentropic motion in the rising warm conveyor belt. The composites also indicate the important role of an anticyclone located below the right jet streak exit. The difference in the meridional pressure gradient in the jet streak exit region between extreme and non-extreme cases is primarily due to a stronger anticyclone rather than a deeper cyclone.

#### 4.3 OutlookLimitations of jet streak detection

Diabatic processes play an increased role in extreme jet streaks, a result that has important implications for numerical modelling and predictability of these events. A warmer and therefore more humid atmosphere has more potential for diabatic heating of clouds. Understanding the role of diabatic PV modification in the evolution of jet streaks is therefore also important for shaping our expectations of trends in the frequency and intensity of extreme jet streak events in a warming climate. Our next step is

- 730 our expectations of trends in the frequency and intensity of extreme jet streak events in a warming climate. Our next step is therefore to perform a detailed quantitative analysis of the influence of diabatic processes on jet streak intensification and, in particular, on extreme jet streaks. Such an analysis is the focus of the next part of this study, which uses the Lagrangian PV gradient framework for jet streak evolution. The framework has proven useful in quantifying the role of diabatic processes on jet streak dynamics in case studies (Bukenberger et al., 2023), and combining it with jet streak-centred composites over a
- 735 reanalysis data period will improve the mechanistic understanding of diabatic-adiabatic coupling in jet streak dynamics.

#### 4.4 Limitations

The jet streak tracking algorithm has two key limitations. First, its restricted domain, and second the fact that it detects only one jet streak centre at a time.

The confinement to the North Atlantic domain leads to inaccuracies in capturing the genesis and lysis of jet streaks as they reach the domain boundary. We estimated the effect of this limitation by identifying jet streaks with genesis or lysis near the boundaries of the North Atlantic domain and compared their lifetimes to jet streak that reside well within the domain throughout their evolution (Figure D1). The results indicate that primarily strong and long-lived jet streaks are affected by the domain boundaries, such that this limitation mainly affects the the longest life cycles. Moreover, jet streaks that start (end) by entering (leaving) the domain typically reach their strongest intensification and intensity well within the domain, such that this limitation does not seem to affect those key lifecycle stages.

745 limitation does not seem to affect those key lifecycle stages.

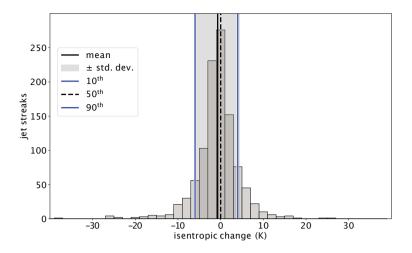
Detecting only one jet streak at a time runs the risk of missing weak jet streaks while stronger ones are present, potentially biasing weak jet streaks towards shorter durations or missing them altogether. We compared the lifetimes of jet streaks whose genesis or lysis happens directly after the lysis or before the genesis of another jet streak in the domain to the lifetimes of jet streaks for which this is not the case. Since both groups have similar lifetime distributions and mean intensities (Figure D1), the link between jet streak intensity and duration is unlikely to be caused by this method limitation.

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We believe that for the focus of this study – peak jet streak intensification and intensity – neither the domain boundaries nor the inability to track multiple concurrent jet streaks, will meaningfully impact our findings. A more flexible algorithm allowing for multiple concurrent jet streaks is currently under development and will be used in follow-up studies.

# 4.4 Outlook

- 755 Diabatic processes play an increased role in extreme jet streaks, a result that has important implications for numerical modelling and predictability of these events. A warmer and therefore more humid atmosphere has more potential for diabatic heating of clouds and increased diabatic heating has been pinpointed as key driver of the recent jet stream intensification (?). Understanding the role of diabatic PV modification in the evolution of jet streaks is therefore also important for shaping our expectations of trends in the frequency and intensity of extreme jet streak events in a warming climate. Our next step is therefore to perform
- 760 a detailed quantitative analysis of the influence of diabatic processes on jet streak intensification and, in particular, on extreme jet streaks. Such an analysis is the focus of the next part of this study, which uses the Lagrangian PV gradient framework for jet streak evolution. The framework has proven useful in quantifying the role of diabatic processes on jet streak dynamics in case studies (Bukenberger et al., 2023), and combining it with jet streak-centred composites over a reanalysis data period will improve the mechanistic understanding of diabatic-adiabatic coupling in jet streak dynamics.



**Figure A1.** Changes in the instantaneous central jet streak isentrope between the time of peak jet streak intensification and peak jet streak intensify for the 1065 North Atlantic winter jet streaks. Histogramm in grey bars, vertical lines show the (black solid) mean, (black dashed) median, and (blue)  $10^{\text{th}}$  and  $90^{\text{th}}$  percentile (-4 and +6K, respectively) of the distribution.

## 765 Appendix A: Evolution of central isentrope

## Appendix B: Jet streak paths over the North Atlantic

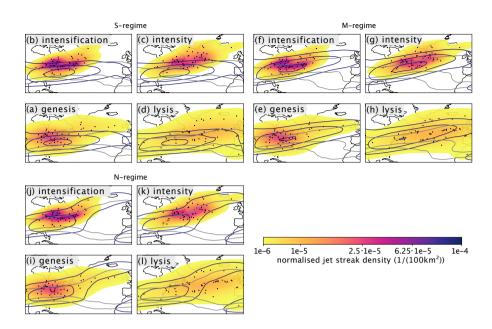
To understand the extent to which the variability in jet streak paths can be explained by the eddy-driven jet, we compiled normalized jet streak centre PDFs over the North Atlantic for S-, M- and N-regime jet streaks. Figure B1 shows that jet streaks in all regimes tend to evolve from southwest to northeast. Figure B1a–d illustrates the paths of S-regime jet streaks, which are predominantly zonal. Notably, and consistent with their longer lifetimes (Section 3.1), extreme jet streaks in this category tend to propagate farther eastward compared to average S-regime jet streaks (Figs B1b–d). The composite wind speed and PV fields remain gualitatively unaltered throughout S-regime jet streak evolution.

M-regime jet streaks propagate northeastward, and most of them reach peak intensity at their northernmost position. The composite jet stream intensifies along with them and tilts northeastward throughout their entire evolution. The concurrent intensification of the composite jet stream and M-regime jet streaks is due to a large concentration of jet streak centres in the meridional direction, especially at times of peak intensification and intensity (Fig. B1e–h). The PV composite indicates Rossby wave intensification along with jet streak intensification (grey contours in Fig. B1f.ge–g). N-regime jet streaks are either near-

stationary close to the North American East Coast or propagate northeastward across the North Atlantic. Some N-regime jet streaks turn southward between the time of peak intensity and lysis, with the PV field indicating anticyclonic wave breaking

780 (Figure B1i–l). While we find different mean jet streak centre paths for each Frame regime, the variability in jet streak paths for each remains similarly large as that of all jet streaks. While the normalized PDFs show some signatures of the different jet

regimes, the PDFs of all groups is broad, implying that the bulk of variability in jet streak evolution over the North Atlantic cannot be explained by the state of the eddy-driven jet.

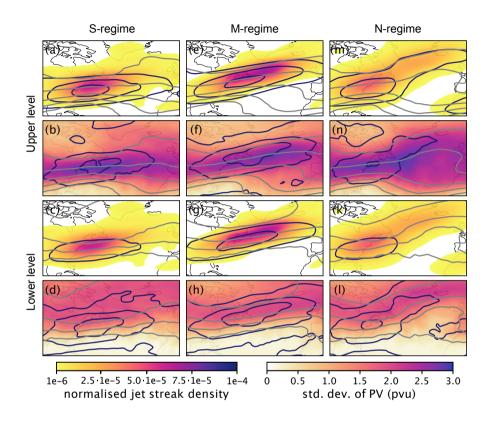


**Figure B1.** Life cycles of all jet streaks grouped by Frame regime. Like Fig. 12 (a - da - d), but now for S- (a - da - d), M- (e - he - h), and N-regime (i - li - l) jet streaks.

Another way to investigate the coupling between lower and upper levels is to compare the mean state of the upper-level jet 785 during peak jet streak intensity in different Frame jet regimes with the lower-level composites.

Figure B2 shows differences in Rossby wave patterns between upper (328 K) and mid (310 K) levels for S- and N-regime jet streaks. For S-regime jet streaks, the composite jet at upper levels exhibits a westward eompared to that displacement with respect to the jet at lower levels. The composite at lower levels shows cyclonic Rossby wave breaking but that at upper levels does not. For N-regime jet streaks, the Rossby wave pattern at upper levels is less clear compared to the anticyclonic wave breaking at lower levels, probably due to greater variability at upper levels (Figure B2j vs. 1). M-regime jet streaks show consistent Rossby wave patterns at upper and lower levels, suggesting enhanced vertical coupling. This result points toward an increased interaction between upper and lower levels for jet streaks that peak in the M regime and is consistent with the finding that most extreme jet streaks peak in the M regime and show enhanced lower-level-to-upper-level coupling. This result points toward an increased interaction between upper and lower levels for jet streaks that peak in the M regime.

and might point toward an increased likelihood of merged jet states in the M regime. It is also consistent with the finding that most extreme jet streaks peak in the M regime and show enhanced lower-level-to-upper-level coupling.

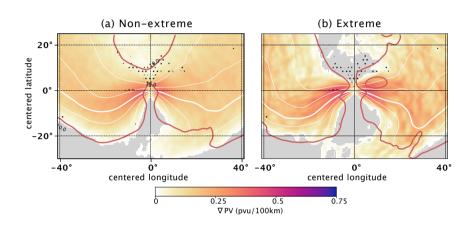


**Figure B2.** Characteristics of large-scale flow at peak jet streak intensity on the (rows 1–2) central isentrope and at (rows 3–4) 310 K, for jet streaks in the (first column) S, (second column) M and (third column) N regime. First row like (bc,fg,jk) in Fig. B1 and third row like (bc,fg,jk) in Fig. B1, but with PV and wind speed at 310 K instead of on the central jet streak isentrope. The second row shows (in colours) the standard deviation of PV on central jet streak isentropes at time of peak jet streak intensity, the (black contours) standard deviation of wind speed on central jet streak isentropes at time of peak jet streak intensity, starting at 10m s<sup>-1</sup>, at intervals of 10m s<sup>-1</sup>. Grey contours: Like grey contours in (i). The forth row shows the same variables as the second row, but with all variables on 310 K instead of the central jet streak isentrope.

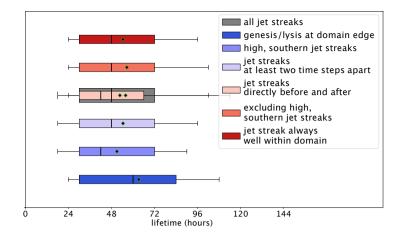
# **Appendix C: Standard deviation of PV gradients**

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Figure C1 shows the jet streak centred standard deviation of PV gradients and wind speed for (Figure C1a) non-extreme and (Figure C1b) extreme jet streaks. It illustrates the variability of the dynamical environment in which jet streaks develop, but also the utility of the centred composites, as the standard deviation of the PV gradient is low close to the composite jet streak centre. Extreme jet streaks seem to evolve in two clusters, as can be seen by the two regions of enhanced variability in wind speed southwest and northeast of the composite extreme jet streak centres (Figure C1b). The overall large variability in the large-scale dynamical environment around jet streak centres at peak jet streak intensity motivates the clustering of jet streaks into different dynamical regimes.



**Figure C1.** As for Fig. 15, but with the standard deviation of PV gradient instead of PV. Contours: White: PV on central isentrope, 2 PVU, black: standard deviation of wind speed on central isentrope, every 10 m s<sup>-1</sup>, starting at 10 m s<sup>-1</sup>, white dashed in (b): 2 PVU isolines for all central isentropes of extreme jet streaks at peak jet streak intensity.



**Figure D1.** As for Fig. 10, but now for jet streaks with different characteristics. genesis/lysis at domain edge: those that begin or end by entering/leaving the North Atlantic domain; jet streaks always well within domain: all jet streaks except for those that begin or end by entering/leaving the North Atlantic domain; jet streaks at least two time steps apart: jet streaks that begin and end at least two time steps after/before the jet streak preceding/following them ceases/begins to exist; jet streaks directly before the next jet streaks that begin directly (i.e., one time step) after the jet streak preceding them has ceased to exist and end directly before the next jet streak is generated; high, southern jet streaks: the jet streak centre remains south of 30°N and warmer than 334 K throughout its evolution.

### 805 Appendix D: Lifetimes for jet streaks with different characteristics

To test whether the limitations of the jet streak tracking algorithm of tracking only one object at a time and being limited to the North Atlantic domain cause biases that call into question the reliability of our results, especially concerning the correlation between peak intensity and lifetime of jet streaks, we extracted jet streaks with different characteristics that should be affected by those two limitations. Figure D1 shows that, first, jet streaks whose lifetime is limited by the North Atlantic domain boundary

810 tend to have longer lifetimes than those that stay within the domain throughout their entire evolution (compare dark blue and dark red boxes). The distribution of their peak intensities is also shifted to higher values (not shown). This indicates that, if anything, this limitation leads to an under-estimation of the correlation between peak jet streak intensities and lifetimes.

Jet streaks with genesis/lysis directly after/before the lysis/genesis of another jet streak tend to live longer than those that emerge without another jet streak beginning/ceasing to exist. However, the difference is marginal compared to the variability of the entire dataset. From these two results, we conclude that while the limitations of the jet streak tracking algorithm cause

an overall bias toward shorter lifetimes, the correlation between peak jet streak intensity and lifetime is robust.

### Appendix E: Description of SOM Clustering Method

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In this study, we use an unsupervised Self-Organizing-Map algorithm and subsequent hierarchical agglomerative clustering of the SOM output. The process is described in the following sections.

### E1 Data Processing 820

Our input data consists of 1120-1050 2d-PV-fields for two timesteps – maximum intensification and maximum wind intensity of the respective jet streak events. To pass this data to the algorithm, we first reshape the PV-fields for both timesteps into a 2d-array, in which axis 0 represents the 1120-1050 events and axis 1 contains PV values for each grid point. Then we merge these two arrays along axis 1. Additionally, the PV values are normalized to values between 0 and 1 using the following the description of minimum-maximum-scaling by Pedregosa et al. (2011):

$$PV_{scaled} = PV_{std} \cdot (max - min) + min = PV_{std} \cdot (1 - 0) + 0,$$
(E1)

where

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$$PV_{std} = \frac{PV - PV_{min}}{PV_{max} - PV_{min}}$$
(E2)

and 'max (=1)' and 'min(=0)' represent the value range of the scaling.

#### 830 E2 Self-Organizing-Map Algorithm

As described in Sect. 2.2, the SOM algorithm produces a map of nodes whose reference vectors represent the input data space. We follow the method described by Hewitson and Crane (2002):

- 1. Define the number of nodes via the mapsize  $(m \times n)$ .
- 2. Start initialization of the reference vectors (sometimes also referred to as 'weights') using eigenvectors and eigenvalues produced through Principal Components Analysis (PCA). This approach minimizes computational effort (Kohonen, 1995). The resulting reference vectors have the same dimensions as our input data vectors.
- 3. We now present each of our  $\frac{1120}{1050}$  input data vectors to the SOM. The algorithm compares all reference vectors with the input data vector, computes the Euclidean distance between them and chooses the reference vector with the smallest Euclidean distance as the 'best-matching-unit (BMU)'. Now, each input vector is assigned one reference vector as its BMU. Using this information, we can adjust the reference vectors to bring them closer to their associated input vectors. Following the approach by (Vesanto et al., 2000) we first compute the sum of all input vectors per BMU:

$$\mathbf{s}_j(t) = \sum_{k=1}^{n_{V_j}} \mathbf{x}_k \tag{E3}$$

where  $\mathbf{x}_k$  are all the input vectors per node j and  $n_{V_i}$  the number of input vectors per node j. After that we update all nodes:

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$$\mathbf{m}_{i}(t+1) = \frac{\sum_{j=1}^{a} h_{ij}(t) \mathbf{s}_{j}(t)}{\sum_{j=1}^{a} n_{V_{j}}(t) h_{ij}(t)}$$
(E4)

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where  $\mathbf{m}_i$  is the reference vector of the node *i* to be updated (*i* = 1, 2, ..., 35), *a* is the total number of nodes,  $h_{ij}$  is the neighbourhood function or smoothing kernel,  $\mathbf{s}_j$  is the sum of all input vectors per node *j* and  $n_{V_j}$  the number of input vectors per node *j*. In our case, the neighbourhood function is a Gaussian function:

$$h_{ij} = \exp(-\frac{\|\mathbf{r}_{i} - \mathbf{r}_{j}\|^{2}}{2\sigma^{2}(t)}),\tag{E5}$$

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where  $\mathbf{r}_i$  and  $\mathbf{r}_j$  are the location vectors of node *i* and node *j* in the map space and  $\sigma$  defines the width of the kernel. With increasing  $\|\mathbf{r}_j - \mathbf{r}_i\|$ ,  $h_{ij}$  converges towards 0, such that nodes far away from node *i* contribute only marginally to its updating.

4. This procedure loops through several iterations, during each of which the kernel width  $\sigma$  is monotonically reduced. The loop stops as soon as the reference vectors do not change anymore. Each of the resulting nodes contains a normalized PV vector approximating the mean of the associated input vectors.

To find an optimal mapsize  $m \times n$ , we assess the quantization and the topographic error for different mapsizes. The quantization error is the average euclidean distance of input vectors to their respective BMUs and thus the smaller the value the more homogeneous the clusters (Kohonen, 1995). Additionally, we can examine the topographic error, which tells us how well the SOM preserves the topology of the input data. It is the ratio of the events whose first and second BMUs are not neighbours on

the map to the number of all events. The smaller the value, the more similar adjacent nodes (Kiviluoto, 1996). We compare different map sizes in terms of their quantization and topographic errors and the number of empty nodes produced. Empty nodes do not have an associated input data vector and are understood as a sign of a map that is too large. A suitable map size with a low topographic error and no empty nodes turns out to be a  $7 \times 5$  map.

## E3 Hierarchical Agglomerative Clustering

- After using the SOM algorithm to reduce the dimensionality of our data set, we performed hierarchical agglomerative clustering on the resulting reference vectors, using Ward-Linkage (Ward, 1963). This clustering works as follows:
  - 1. Merge two nodes into a cluster according to the Ward criterion, i.e. such that the sum of the squared deviations about the group mean is minimized.
  - 2. Compare all newly formed clusters and use the Ward criterion to decide which clusters to merge into a larger cluster. In this way, all possible cluster combinations are compared. The pairs with the smallest increase in the sum of the squared deviations from the group mean are finally merged.
    - 3. Pairs of clusters are successively merged until all clusters have been merged into one big cluster. The result can be visualized as a dendrogram.
  - 4. Decision upon number of clusters is based on the dendrogram output. We set the maximum allowed cophenetic distance (describes the height of the dendrogram where two branches are joined together) to 25, which results in 6 clusters.

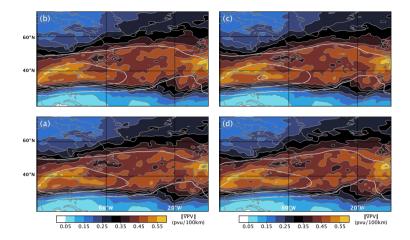


Figure F1. As for Figure 11(b), but now only for characteristic time steps of jet streak evolution. (a): Climatology across all time steps of maximal jet streak intensification. PV gradient and wind speed fields on the central isentrope of the jet streak at time of strongest intensity for each individual jet streak are considered and the resulting 1120-1050 PV gradient and wind speed fields are averaged to gain the climatology. (a, c, d): As for (b), but now for the time of strongest intensity, start and end of jet streak evolution, respectively. Note that we consider PV and wind speed fields on the central isentrope of the jet streak at time of strongest.

### Appendix G: Composite analysis of jet streak clusters

To assess how robust our results are, we present the standard deviation of jet streak centred PV gradients for jet streaks in CO and C1 (Figure ?? and C2 (Figure G1)). We find that while both clusters show substantial variability for extreme as well as non-extreme cases, the large-scale structure of the Rossby waves on which jet streaks reach their peak intensity is robust (anticyclonic RWB for CO C1 and a Rossby wave with the jet streak downstream of the ridge axis in C1C2).

Figure G2 shows the composites for low-level weather systems for all cluster to be similar for Low-level weather systems of clusters with similar upper-level RW patterns (Compare are similar (Compare panels of C1,C4, and C5 in Fig. G2 A,D, E and and Fig. G4 A,D,E as well as C2 and C6 in Fig. G2 B,C,F and Fig. G4B,C,F ). Figure G3 illustrates the large case-by-case variability in the locations of enhanced precipitation associated with the frontal regions of low-pressure systems within

each cluster. Variability is especially large for the precipitation upstream of the jet streak centre, often related to cold-front

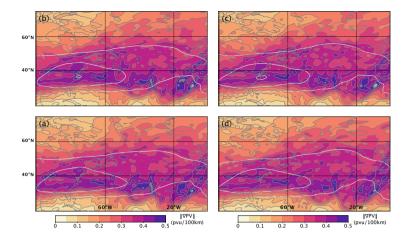
885

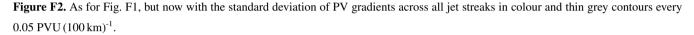
890

precipitation.

880

Jet streak centred composites based of, in Colours: standard deviation of PV gradient (PVU (100 km)<sup>-1</sup>). Contours: White: PV on central isentrope, 2 PVU, black: standard deviation of wind speed on central isentrope, every 10 m s<sup>-1</sup>, starting at 10 m s<sup>-1</sup>. As , but for jet streaks in Cluster 0 and 1 (top two rows), 2, 3 (middle two rows), and 4, 5 (lower two rows). Note that



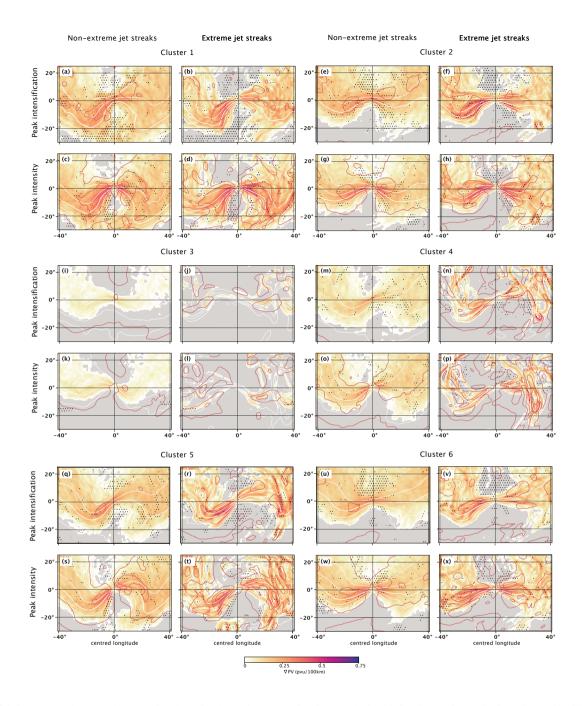


there are only two extreme events in C2 (left two columns in the middle two rows) and one extreme event in C3 (right two columns in the middle two rows).

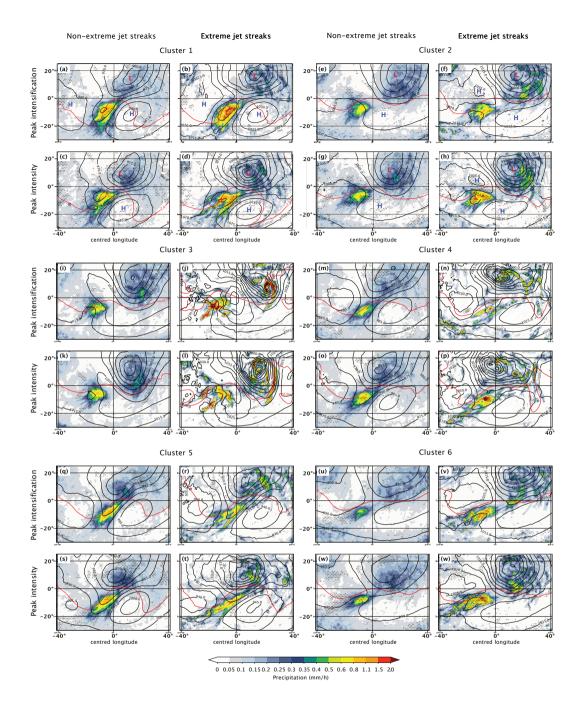
The variability in upper-level flow is smaller for clusters with fewer extreme events, compared to those with more extreme events, indicating a-more variability for large-scale flow for stronger jet streaks (Compare upper two rows to the four lower rows in Figure G1). Note especially the low variability in the RW pattern surrounding C3 jet streaks, which is also the cluster with the weakest jet streaks that contains least extreme events. Finally, WCB outflow frequencies (Figure G6) are higher for extreme jet streak events for all clusters. The difference is most pronounced for the clusters with few extreme events. Note that for C3, only extreme cases show more than 20% WCB outflow frequency in any region close to the jet streak centre.

Code and data availability. ERA5 reanalysis data can be downloaded from Hersbach et al. (2023).

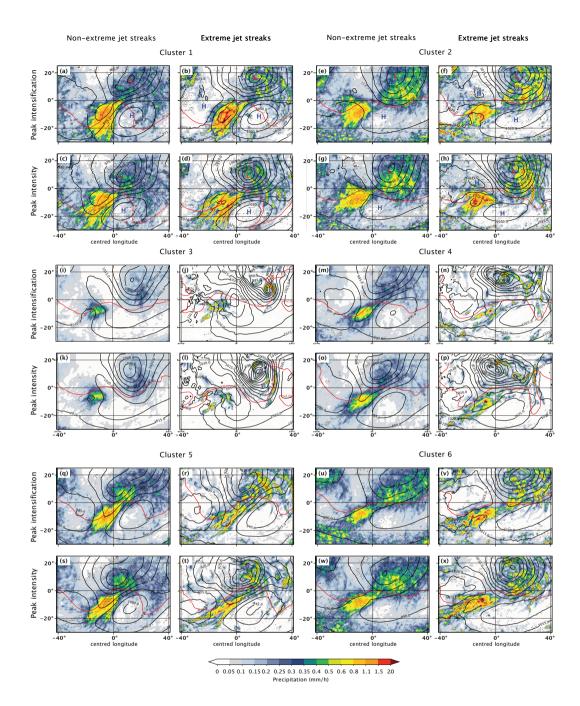
- 900 Author contributions. Sebastian Schemm administered and supervised the work on this study and developed the concept and research questions together with Mona Bukenberger. Mona Bukenberger developed the methods, conducted data curation, analysis, and visualization. The manuscript was prepared by Mona Bukenberger, Sebastian Schemm, Stefan Rüdisühli, and Lena Fasnacht. Furthermore, Stefan Rüdisühli contributed to method development and visualisation, Lena Fasnacht to the methodology, and Nora Zillibotti to data curation and conceptualization.
- 905 Competing interests. At least one of the (co-)authors is a member of the editorial board of Weather and Climate Dynamics.



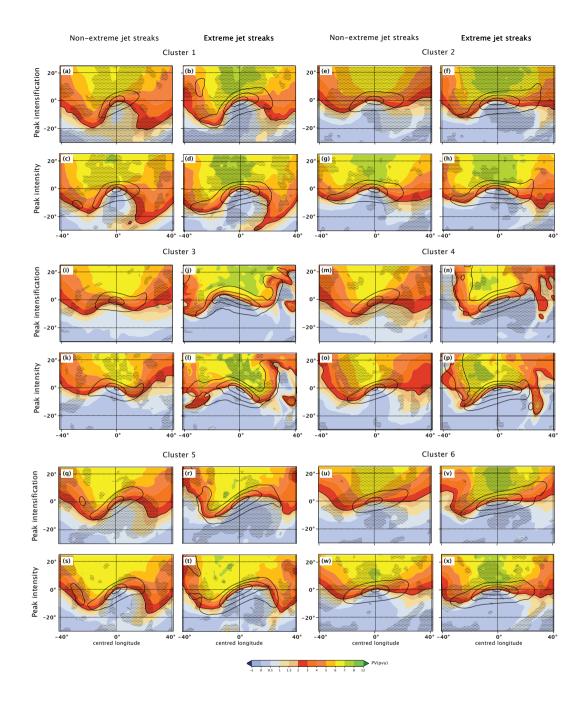
**Figure G1.** jet Jet streak centred composites based only on bootstrapping jet streaks in C0 for C1 (a–d)and C1 for, C2 (g–h), C3 (i–l), C4 (m–p), C5 (q–t), and C6 (u–x). Panels (a, b)and–, (e, f), (i, j), (m, n), (q, r), and (u, v) show composites at the time of peak intensification for non-extreme (a, e, j, m, q, u) and extreme (b, f, j, n, r, v) jet streaks. Panels (c, d)and–, (g, h), (k, l), (o, p), (s, t), and (w, x) show the time of peak jet streak intensity for non-extreme (c, g, k, o, s, w) and extreme (d, h, l, p, t, x) jet streaks. Colours: standard deviation of PV gradient (PVU (100 km)<sup>-1</sup>). Dotted areas indicate where the difference between the standard deviations of the PV gradient of non-extreme and extreme jet streaks is robust. Contours: White: PV on central isentrope, 2 PVU, blackred: standard deviation of wind speed on central isentrope, every 10-5 m s<sup>-1</sup>, starting at 10-5 m s<sup>-1</sup>. 47



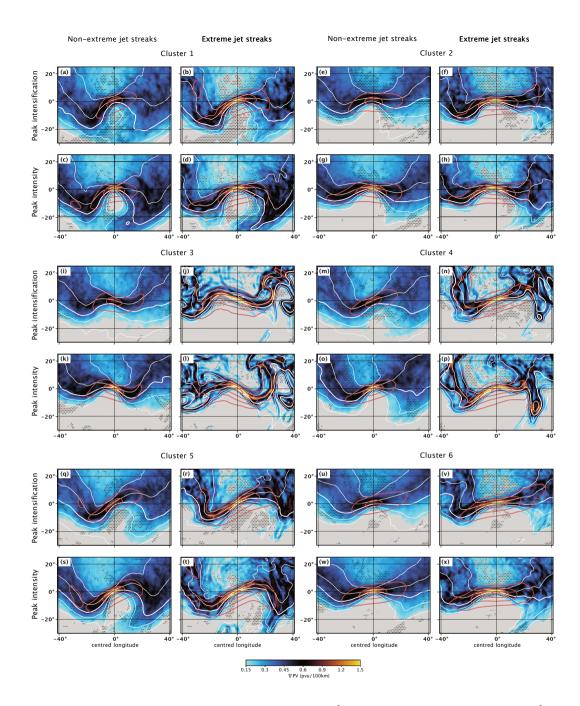
**Figure G2.** Jet streak centred composites. Colours: mean hourly precipitation. Dotted areas indicate where the difference between the mean precipitation of non-extreme and extreme jet streaks is robust. Contours: black: mean sea level pressure, every 5 hPa, starting at 970 hPa. As , but for jet streaks in Cluster 0 and 1 (top two rows)red: PV on central isentrope, 2, 3 (middle two rows), and 4, 5 (lower two rows) PVU. Note that there are only two extreme events Order of panels as in C2 (left two columns in the middle two rows) and one extreme event in C3 (right two columns in the middle two rows)Figure G1.



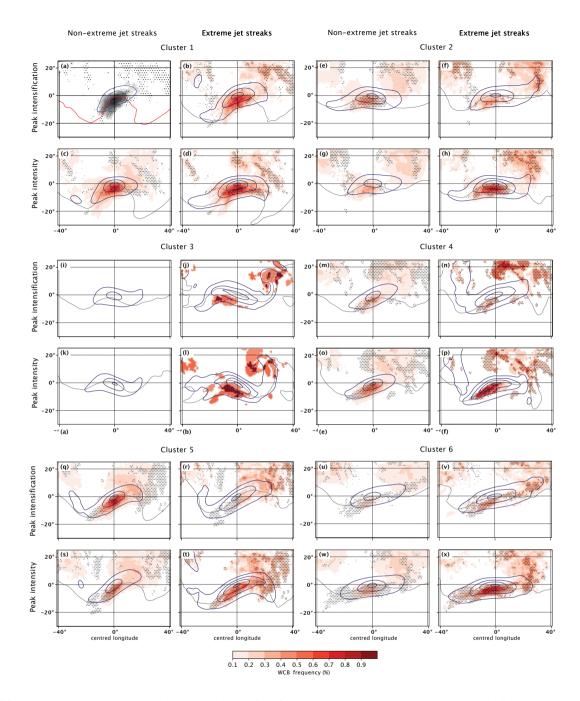
**Figure G3.** Jet streak centred composites. Colours: Standard standard deviation of hourly precipitation. Dotted areas indicate where the difference between the standard deviation of precipitation of non-extreme and extreme jet streaks is robust. Contours: black: mean sea level pressure, every 5 hPa, starting at 970 hPa. For Cluster 0 and 1 (top two rows), 2, 3 (middle two rows), and 4red: PV on central isentrope, 5 (lower two rows)2 PVU. Note that there are only two extreme events Order of panels as in C2 (left two columns in the middle two rows) and one extreme event in C3 (right two columns in the middle two rows)Figure G1.



**Figure G4.** Jet streak centred composites. ColorsColours: mean of PV on central jet streak isentrope, Contours: Dark grey: . Dotted areas indicate where the difference between the mean of geopotential height PV on 350 hPa pressure level, every 200 m, central jet streak isentrope of non-extreme and extreme jet streaks is robust. Contours: black: mean of wind speed on central isentrope, every 20 m s<sup>-1</sup>, starting at 40 m s<sup>-1</sup>. As , but for jet streaks Order of panels as in Cluster 0 and 1 (top two rows), 2, 3 (middle two rows), and 4, 5 (lower two rows)Figure G1. Note that there are only two extreme events in C2 (left two columns in the middle two rows) and one extreme event in C3 (right two columns in the middle two rows).



**Figure G5.** Jet streak centred composites. Colours: PV gradient (PVU (100 km)<sup>-1</sup>), values smaller than 0.15 PVU (100 km)<sup>-1</sup> are white. Dotted areas indicate where the difference between the mean of PV gradients on central jet streak isentrope of non-extreme and extreme jet streaks is robust. Contours: White: PV on central isentrope, 2 PVU, red: wind speed on central isentrope, every  $10 \text{ m s}^{-1}$ , starting at  $40 \text{ m s}^{-1}$ . As , but for jet streaks Order of panels as in Cluster 0 and 1 (top two rows), 2, 3 (middle two rows), and 4, 5 (lower two rows)Figure G1. Note that there are only two extreme events in C2 (left two columns in the middle two rows) and one extreme event in C3 (right two columns in the middle two rows).



**Figure G6.** Jet streak centred composites. Colours: WCB outflow frequency on 500hPa400 hPa. Dotted areas indicate where the difference between the WCB outflow frequency on 400 hPa of non-extreme and extreme jet streaks is robust. Contours: red: mean PV on central isentrope, 2 PVU, blue: mean of wind speed on central isentrope, every 20 m s<sup>-1</sup>, starting at 40 m s<sup>-1</sup>. As , but for jet streaks Order of panels as in Cluster 0 and 1 (top two rows), 2, 3 (middle two rows), and 4, 5 (lower two rows). Note that there are only two extreme events in C2 (left two columns in the middle two rows) and one extreme event in C3 (right two columns in the middle two rows) Figure G1.

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