# Impact of mineral dust on the global nitrate aerosol direct and indirect radiative effect

Alexandros Milousis<sup>1</sup>, Klaus Klingmüller<sup>2</sup>, Alexandra P. Tsimpidi<sup>1</sup>, Jasper F. Kok<sup>3</sup>, Maria
 Kanakidou<sup>4,5,6</sup>, Athanasios Nenes<sup>5,7</sup>, and Vlassis A. Karydis<sup>1</sup>

<sup>1</sup>Institute of Climate and Energy Systems: Troposphere (ICE-3), Forschungszentrum Jülich GmbH, Jülich, Germany
 <sup>2</sup>Max Planck Institute for Chemistry, Mainz, Germany

<sup>3</sup>Department of Atmospheric and Oceanic Sciences, University of California Los Angeles, Los Angeles, CA, USA.

<sup>4</sup>Environmental Chemical Processes Laboratory, Department of Chemistry, University of Crete, Heraklion, Greece
 <sup>5</sup>Center for the Study of Air Quality and Climate Change, Foundation for Research & Technology Hellas, Patras,

Greece

<sup>6</sup>Institute of Environmental Physics, University of Bremen, Bremen, Germany

12 <sup>7</sup>Laboratory of Atmospheric Processes and Their Impacts, Ecole Polytechnique Fédérale de Lausanne, Switzerland

13 Correspondence to: Vlassis A. Karydis (v.karydis@fz-juelich.de)

#### 14 Abstract

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15 Nitrate  $(NO_3)$  aerosol is projected to increase dramatically in the coming decades and may become the dominant inorganic particle species. This is due to the continued strong decrease in  $SO_2$  emissions, which 16 is not accompanied by a corresponding decrease in  $NO_x$  and especially  $NH_3$  emissions. Thus, the radiative 17 effect (RE) of  $NO_3^-$  aerosol may become more important than that of  $SO_4^{2-}$  aerosol in the future. The 18 physicochemical interactions of mineral dust particles with gas and aerosol tracers play an important role 19 in influencing the overall RE of dust and non-dust aerosols but can be a major source of uncertainty due to 20 their lack of representation in many global climate models. Therefore, this study investigates how and to 21 22 what extent dust affects the current global  $NO_3^-$  aerosol radiative effect through both radiation (RE<sub>ari</sub>) and cloud interactions (RE<sub>aci</sub>) at the top of the atmosphere (TOA). For this purpose, multi-year simulations 23 nudged towards the observed atmospheric circulation were performed with the global atmospheric 24 25 chemistry and climate model EMAC, while the thermodynamics of the interactions between inorganic aerosols and mineral dust were simulated with the thermodynamic equilibrium model ISORROPIA-lite. 26 The emission flux of the mineral cations  $Na^+$ ,  $Ca^{2+}$ ,  $K^+$  and  $Mg^{2+}$  is calculated as a fraction of the total 27 28 aeolian dust emission based on the unique chemical composition of the major deserts worldwide. Our 29 results reveal positive and negative shortwave and longwave radiative effects in different regions of the 30 world via aerosol-radiation interactions and cloud adjustments. Overall, the NO<sub>3</sub><sup>-</sup> aerosol direct effect 31 contributes a global cooling of  $-0.11 \text{ W/m}^2$ , driven by fine-mode particle cooling at short wavelengths. Regarding the indirect effect, it is noteworthy that  $NO_3^-$  aerosol exerts a global mean warming of +0.17 32  $W/m^2$ . While the presence of NO<sub>3</sub><sup>-</sup> aerosol enhances the ability of mineral dust particles to act as cloud 33 34 condensation nuclei (CCN), it simultaneously inhibits the formation of cloud droplets from the smaller 35 anthropogenic particles. This is due to the coagulation of fine anthropogenic CCN particles with the larger 36 nitrate-coated mineral dust particles, which leads to a reduction in total aerosol number concentration. This 37 mechanism results in an overall reduced cloud albedo effect and is thus attributed as warming.

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39 Keywords: direct radiative effect, indirect radiative effect, nitrate aerosols, mineral dust

### 41 **1.** Introduction

42 Atmospheric aerosols are among the most complex components of the Earth's climate system. This is due not only to the diversity of their origins, with many natural and anthropogenic emission 43 sources, but also to their extremely varied chemical composition and properties. The many 44 mechanisms by which they interact with each other and with physical entities such as radiation, 45 clouds, land, and oceans add to their complexity and play a critical role in the energy balance of 46 the planet (Arias et al., 2021). The most direct way in which aerosols affect the Earth's energy 47 balance is through their interactions with solar shortwave (SW) and terrestrial longwave (LW) 48 radiation (IPCC, 2013). Overall, the radiative effect due to aerosol-radiation interactions (RE<sub>ari</sub>) is 49 mainly dominated by the scattering of SW radiation back to space (negative radiative effect, 50 generating a cooling of the climate system) and the absorption of LW radiation (positive radiative 51 effect, generating a warming of the climate system) (Gao et al., 2018; Tsigaridis and Kanakidou, 52 2018). Aerosols belonging to the black and/or brown carbon family, together with mineral dust 53 particles, contribute to absorption (Kanakidou et al., 2005; Zhang et al., 2017; Wong et al., 2019), 54 55 while the main inorganic aerosol components, such as sulfate and nitrate, as well as a significant amount of organic carbon contribute mainly to scattering (Kirchstetter et al., 2004; (Bond and 56 Bergstrom, 2006; Klingmüller et al., 2019; Zhang, 2020). However, mineral dust can also 57 influence the behavior of the REari of anthropogenic pollution. Dust particles alter the 58 anthropogenic radiative effect of aerosol-radiation interactions by reducing the loading of 59 anthropogenic aerosols (either by coagulating with them or by adsorption of their precursor 60 61 inorganic trace gases), leading to less scattering of solar radiation and thus a warming effect (Kok et al., 2023). 62

Atmospheric aerosols can also indirectly affect the Earth's energy balance by forming clouds, 63 64 controlling cloud optical thickness and scattering properties, and altering their precipitation and 65 lifetime (IPCC, 2013). Atmospheric aerosols act as cloud condensation nuclei (CCN), providing a suitable surface for water vapor to condense, leading to the formation of liquid droplets that 66 67 develop into a corresponding liquid cloud (Lance et al., 2004). Such clouds are referred to as warm 68 clouds and are typically found in the lower troposphere (Khain and Pinsky, 2018). However, there 69 is constant competition between small and large particles for the available amount of water vapor (Barahona et al., 2010; Morales and Nenes, 2014). Under the same humidity conditions, the 70 presence of small particles will lead to the formation of small droplets with high number 71 concentrations, while the presence of larger particles will lead to the formation of large droplets 72 but with lower number concentrations. Depending on the size characteristics of its particle 73 population, a warm cloud will exhibit different optical properties, with a population dominated by 74 75 smaller particles generally being more reactive in the SW spectrum. The change in cloud reflectivity due to the presence of aerosols is referred to as the first radiative effect due to aerosol-76 77 cloud interactions (REaci) and was first described by Twomey (1977). The small size of anthropogenic aerosols results in an overall smaller cloud droplet size, which reduces precipitation 78 79 efficiency and thus increases cloud lifetime. This contributes to cloud reflectivity and is referred to as the second radiative effect of aerosol cloud-interactions, first described by Albrecht (1989). 80 These two indirect effects are considered equally important for the total indirect radiative effect of 81 82 aerosols (Lohmann and Feichter, 2005). Atmospheric aerosols exert a net cooling effect that can partially mask the warming effect of greenhouse gases, therefore, the recent decline in 83

anthropogenic aerosol concentrations may accelerate global warming (Urdiales-Flores et al.,
2023). Overall, the radiative effect due to aerosol-cloud interactions is considered the main source
of existing uncertainty in the effective (total) radiative effect of aerosols in the atmosphere (Myhre
et al., 2014; Seinfeld et al., 2016).

Mineral dust influences the anthropogenic radiative effect through aerosol-cloud interactions in 88 89 several ways that can result in either a net warming or net cooling effect. Dust particles can increase the of cloud droplet number concentrations (CDNC) in remote areas since through chemical aging 90 by pollutants (Nenes et al., 2014; Karydis et al., 2017), dust particles become more hygroscopic 91 and require lower supersaturation thresholds for activation (Karydis et al., 2011). This is caused 92 by the transfer of anthropogenic pollutants towards remote desert regions which enhances the 93 solubility of dust particles. In such regions, this mostly results in increased cloud albedo and a net 94 cooling effect. However, dust particles also tend to reduce the availability of smaller anthropogenic 95 96 CCN. This is due to intrusions of aged dust particles into polluted environments which reduce the numbers of smaller aerosols through increased coagulation with them. This results in lower cloud 97 reflectivity (albedo) and thus a net warming effect (Klingmüller et al., 2020). Furthermore, when 98 99 dust is above or below low-level clouds, the resulting effect of local heating is an increase in total cloud cover due to enhanced temperature inversion or enhanced upward vertical motion, 100 respectively (Kok et al., 2023). On the other hand, when dust is present inside low-level clouds, 101 local heating enhances in-cloud evaporation, resulting in an overall decrease in cloud cover. Kok 102 et al. (2023) showed that the amount of desert dust in the atmosphere has increased since the mid-103 19<sup>th</sup> century, causing an overall cooling effect on the Earth that masks up to 8% of the warming 104 caused by greenhouse gases. If the increase in dust were halted, the previously hidden additional 105 warming potential of greenhouse gases could lead to slightly faster climate warming. 106

 $NO_3^{-1}$  is expected to dominate the global aerosol composition in the coming decades due to the 107 predicted limited availability of SO<sub>4</sub><sup>-2</sup> following the abrupt decline in SO<sub>2</sub> emissions, which will 108 not necessarily be accompanied by proportional reductions in NO<sub>x</sub> and NH<sub>3</sub> emissions (Bellouin 109 et al., 2011; Hauglustaine et al., 2014). Excess NO<sub>3</sub><sup>-</sup> is expected to exert a cooling RE<sub>ari</sub> by 110 scattering SW radiation (Bauer et al., 2007a; Xu and Penner, 2012; Myhre et al., 2013; IPCC, 111 2013; Li et al., 2015), but the RE<sub>aci</sub> is much more complex and complicated and can lead to both 112 cooling and warming. Mineral dust thus becomes a key factor, as it is one of the main promoters 113 of NO<sub>3</sub><sup>-</sup> aerosol formation, providing a very suitable surface for gaseous HNO<sub>3</sub> condensation to 114 the aerosol phase (Karydis et al., 2011; Trump et al., 2015). In addition to HNO<sub>3</sub> adsorption, 115 heterogeneous reactions on the surface of dust particles are known to promote nitrate formation 116 (Krueger et al., 2004; Hodzic et al., 2006). The most important pathway through which this occurs 117 is N<sub>2</sub>O<sub>5</sub> hydrolysis with a yield for aerosol nitrate of ~2 (Seisel et al.,2005; Tang et al.,2012). At 118 the same time, other reactions, such as NO<sub>2</sub> oxidation, contribute to much slower nitrate production 119 120 and are of major importance mainly during short periods of dust pollution events (Li et al., 2024). These processes affect not only the optical properties of dust aerosols, which will influence their 121 overall RE<sub>ari</sub>, but also how they can alter cloud formation and microphysics. NO<sub>3</sub><sup>-</sup> aerosols increase 122 the hygroscopicity of mineral dust (Kelly et al., 2007) by providing layers of soluble material on 123 their surface, thus increasing their ability to act as CCN (Karydis et al., 2017). In doing so, they 124 also increase the size of dust particles through hygroscopic growth and therefore their coagulation 125 efficiency. Thus, nitrate-dust interactions are a complex mechanism that ultimately affects 126

climatology in a variety of ways. The role of mineral dust in modifying the influence of  $NO_3^$ aerosols in the global RE<sub>aci</sub> is not yet well understood. This study aims to focus on the extent of the RE<sub>ari</sub> and RE<sub>aci</sub> of  $NO_3^-$  aerosols and on how interactions with mineral dust regulate both on a global scale.

131 This study is organized as follows: in Section 2, details of the modeling setup for conducting 132 the global simulations as well as the treatment of dust-nitrate interactions in the model are discussed and the methodology for calculating the global RE<sub>ari</sub> and RE<sub>aci</sub> of NO<sub>3</sub><sup>-</sup> aerosols is 133 explained. Section 3 presents the main results for the global RE<sub>ati</sub> for coarse and fine NO<sub>3</sub><sup>-</sup> aerosols 134 for the base case simulation and the sensitivity cases listed in Table 1. Section 4 presents the results 135 for the global RE<sub>aci</sub> of total NO<sub>3</sub><sup>-</sup> aerosols, while section 5 includes the feedback mechanism of 136 dust-nitrate interactions with cloud microphysics. Finally, the main conclusions and a general 137 discussion on the scope of the study are presented in section 6. 138

### 139 **2.** Methodology

### 140 **2.1 Model Setup**

The simulations were performed with the global atmospheric chemistry and climate model 141 EMAC (ECHAM/MESSy) (Jockel et al., 2006), which includes several submodels describing 142 atmospheric processes and their interactions with oceans, land, and human influences. These 143 submodels are linked through the Modular Earth Submodel System (MESSy) (Jockel et al., 2005) 144 to a base model, the 5<sup>th</sup> Generation European Center Hamburg General Circulation Model 145 (ECHAM) (Roeckner et al., 2006). The submodel system used in this work includes the MECCA 146 147 submodel, which performs the gas phase chemistry calculations (Sander et al., 2019). The SCAV submodel is responsible for the in-cloud liquid-phase chemistry and wet deposition processes (Tost 148 et al., 2006; Tost et al., 2007b), while DRYDEP and SEDI are used to compute the dry deposition 149 150 of gases and aerosols and gravitational settling, respectively (Kerkweg et al., 2006). All aerosol microphysical processes are calculated by the GMXe submodel (Pringle et al., 2010a; Pringle et 151 al., 2010b), where aerosols are divided into 4 lognormal size modes (nucleation, Aitken, 152 accumulation and coarse). Each mode is defined in terms of aerosol number concentration, number 153 mean dry radius, and geometric standard deviation (sigma). The mean dry radius for each mode is 154 155 allowed to vary within fixed bounds (0.5 nm - 6 nm for nucleation, 6 nm - 60 nm for Aitken, 60 156 nm - 700 nm for accumulation, and above 700 for coarse) and the sigma is fixed and equal to 1.59 157 for the first three size modes and 2 for the coarse mode. The coagulation of aerosols is also handled 158 by GMXe, following Vignati et al. (2004) and the coagulation coefficients for Brownian motion are calculated according to Fuchs and Davies (1964). The partitioning between the gas and aerosol 159 phases is calculated using the ISORROPIA-lite thermodynamic module (Kakavas et al., 2022) as 160 implemented in EMAC by Milousis et al. (2024). The optical properties of the aerosols and the 161 radiative transfer calculations are simulated by the submodels AEROPT (Dietmuller et al., 2016) 162 and RAD (Dietmuller et al., 2016), respectively. AEROPT can be called several times within a 163 model time step with different settings for the aerosol properties. More details are given in section 164 2.3.1. All cloud properties and microphysical processes are simulated by the CLOUD submodel 165 (Roeckner et al., 2006) using the two-moment microphysical scheme of Lohmann and Ferrachat 166 (2010) for liquid and ice clouds. The activation processes of liquid cloud droplets and ice crystals 167 follow the physical treatment of Morales and Nenes (2014) and Barahona and Nenes (2009), 168

respectively, as described by Karydis et al. (2017) and Bacer et al. (2018). More details are givenin Section 2.3.2.

The meteorology for each of the simulations was nudged by ERA5 reanalysis data (C3S, 2017), thus this study estimates the radiative effect of nitrate aerosols with respect to RE<sub>ari</sub> and RE<sub>aci</sub> separately, rather than the effective (total) radiative effect, as this would require multiple free-run simulations with prescribed sea surface temperatures for each case separately. The spectral resolution used for each simulation was T63L31, which corresponds to a grid resolution of 1.875° × 1.875° and 31 vertical layers up to 25 km in height. The period covered by the simulations is from 2007 to 2018, with the first year representing the model spin-up period.

178 Anthropogenic aerosol and trace gas emissions were taken from the CMIP6 database (O'Neill 179 et al., 2016) according to the SSP370 scenario. Natural NH<sub>3</sub> emissions (from land and ocean) were based on the GEIA database (Bouwman et al., 1997), and natural volcanic SO<sub>2</sub> emissions were 180 taken from the AEROCOM database (Dentener et al., 2006). Biogenic NO emissions from soils 181 were calculated online according to the algorithm of Yienger and Levy (1995), while lightning-182 produced NO<sub>x</sub> was also calculated online by the LNOx submodel (Tost et al., 2007a) using the 183 parameterization of Grewe et al. (2001). DMS emissions from the oceans are calculated online by 184 the AIRSEA submodel (Pozzer et al., 2006). Sea salt emissions are based on the AEROCOM 185 database (Dentener et al., 2006) following the chemical composition reported by Seinfeld and 186 Pandis (2016), i.e. 30.6% Na<sup>+</sup>, 3.7% Mg<sup>+</sup>, 1.2% Ca<sup>2+</sup>, 1.1% K<sup>+</sup>, and 55% Cl<sup>-</sup>. Dust emissions are 187 calculated online using the parameterization of Astitha et al. (2012). In this scheme, while the 188 surface friction velocity is the most important parameter for the amount of the emitted dust flux, 189 the meteorological information for each grid cell is also taken into account. Dust particles are 190 emitted in the accumulation and coarse size modes of the insoluble fraction but can be transferred 191 to the soluble fraction after either coagulation with other soluble species and/or by condensation 192 of soluble material on their surface. Both processes are treated and calculated by GMXe and 193 ISORROPIA-lite. The emissions of mineral ions (Ca<sup>2+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, and Na<sup>+</sup>) are estimated as a 194 fraction of the total dust emission flux based on the soil chemical composition of each grid cell. 195 This is done using desert soil composition maps from Klingmüller et al. (2018) which are based 196 on the mineral ion fractions from Karydis et al. (2016). These mineral ions are treated as individual 197 species that are part of the aerosol in each size mode and are assumed to be well mixed with the 198 rest of the aerosol species considered (i.e., dust, black carbon, organics, inorganic ions). The 199 aerosol composition within each of the seven modes considered is uniform in size (internally 200 mixed), but may vary between modes (externally mixed). 201

To assess the impact of changes in mineral dust chemistry and emissions on the global  $NO_3^{-1}$ 202 aerosol RE<sub>ari</sub> and RE<sub>aci</sub>, four additional sensitivity simulations were performed (Table 1). In the 203 first sensitivity simulation, mineral dust is described only by a bulk, chemically inert species. In 204 205 this case, there is no uptake of HNO<sub>3</sub> by the dust particles due to acid-base interactions with the non-volatile cations (NVCs), and so it remains in the gas phase. In the second sensitivity case, the 206 207 chemical composition of the mineral dust was assumed to be spatially uniform, with a percentage distribution for bulk dust, Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup> and Mg<sup>2+</sup> particles assumed to be 94%, 1.2%, 1.5%, 2.4% 208 209 and 0.9% respectively according to Sposito (1989). Finally, two additional simulations were 210 performed to assess the impact of the global mineral dust budget on the results, where the dust emission fluxes were first halved and then increased by 50% to account for the historical increase 211

in global dust mass load since pre-industrial times, as reconstructed by Kok et al. (2023). The
 particle size distribution of the emitted dust mass remained unchanged in all sensitivity
 simulations.

Overall, the EMAC model is well established in the literature for its ability to accurately predict 215 216 organic and inorganic aerosol concentrations and compositions, aerosol optical depth, acid 217 deposition, gas-phase mixing ratios, cloud properties, and meteorological parameters (de Meij et al., 2012; Pozzer et al., 2012, 2022; Tsimpidi et al., 2016, 2017; Karydis et al., 2016, 2017; Bacer 218 et al., 2018; Milousis et al., 2024), factually replicate dust emissions (Astitha et al., 2012; 219 Abdelkader et al., 2015; Klingmüller et al., 2018), and provide realistic estimates for CCN and 220 CDNC (Chang et al., 2017; Karydis et al., 2017; Fanourgakis et al., 2019). Here, a comparison of 221 the performance of the model in estimating the surface mass concentrations of PM<sub>2.5</sub> NO<sub>3</sub><sup>-</sup> and 222 total  $PM_{10}$  aerosols is provided in the supplemental material (Figures S2, S3 and Tables S1, S2). 223 224 In addition, the ability of the model to estimate CDNCs is evaluated (Figure S4 and Table S3). The comparison is made with observations of PM<sub>2.5</sub> nitrate aerosols from regional networks in the 225 polluted northen hemisphere covering the regions of East Asia (EANET, The Acid Deposition 226 Monitoring Network in East Asia), Europe (EMEP, European Monitoring and Evaluation 227 Programme) and the USA for urban (EPA-CASTNET, U.S. Environmental Protection Agency 228 Clean Air Status and Trends Network) and rural (IMPROVE, Interagency Monitoring of Protected 229 Visual Environments) locations. The comparison with observations of surface mass PM<sub>10</sub> aerosols 230 231 also covers the above mentioned monitoring networks, with the exception of the EPA. Finally, the CDNCs estimated by the base case simulation are compared with the CDNCs observed in different 232 regions of the planet (continental, polluted and clean marine) over different time periods, but also 233 altitudes, as found in Karydis et al., (2017) and all relevant references therein. 234

**Table 1:** Differences between the base case and all sensitivity simulations performed.

Simulation Name	<b>Conditions Applied</b>	
Base Case	Mineral dust ion composition according to Karydis et al. (2016) <sup>1</sup>	
Sensitivity 1: Chemically Inert Dust	Mineral dust emitted exclusively as a chemically inert bulk particle	
Sensitivity 2: Homogeneous Ion Composition	Global homogeneous ionic composition of mineral dust particles according to Sposito (1989) <sup>2</sup>	
Sensitivity 3: Half Dust Scenario	50% reduced dust emission flux	
Sensitivity 4: Increased Dust Scenario	50% increased dust emission flux	

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### 239 2.2 Treatment of Dust-Nitrate Interactions

The interactions between mineral dust and nitrate aerosols play a crucial role in altering the size 240 distribution and optical properties of both species and can also strongly influence cloud 241 242 microphysical processes (Fig. 1). Therefore, these interactions affect both the RE<sub>ari</sub> and the RE<sub>aci</sub> of both nitrate and dust aerosols. First, the adsorption of HNO<sub>3</sub> onto the surface of dust particles 243 244 is a process that strongly promotes the formation of nitrate aerosols on dust (Karydis et al., 2016). 245 We treat this condensation process using the GMXe submodel. Specifically, the amount of gas phase species that kinetically condenses within a model time step (equal to 10 minutes in this 246 study) is calculated according to the diffusion-limited condensation theory of Vignati et al. (2004). 247 The diffusive flux of gas on a single particle surface for each size mode *i* is described by the 248

<sup>&</sup>lt;sup>1</sup> The ionic composition of the dust particles with respect to the mineral ions Ca<sup>2+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, and Na<sup>+</sup> depends on the chemical composition of the soil in each grid cell, which is estimated from the desert soil composition maps of Klingmüller et al. (2018) based on the fraction of mineral ions present found in Karydis et al. (2016).

<sup>&</sup>lt;sup>2</sup> The ionic composition of the dust particles is homogeneous and held constant in all grid cells where dust is present. The dust particles are a mixture of bulk species and the mineral ions Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup> and Mg<sup>2+</sup> with mass fraction of 94%, 1.2%, 1.5%, 2.4% and 0.9% respectively.

condensation coefficient  $C_i$  according to Fuchs and Davies (1964) and is estimated from the following function as found in Vignati et al. (2004).

251 
$$C_i = \frac{4\pi D r_{gi}}{\frac{4D}{svr_{gi}} + \frac{r_{gi}}{r_{gi} + \Delta}}$$

Where  $r_{gi}$  is the geometric mean radius of the size mode *i*, D is the diffusion coefficient, s is an 252 accommodation coefficient for each gas species treated and has the assigned values of 1 for  $H_2SO_4$ 253 (Vignati et al. 2004), 0.1 for HNO<sub>3</sub>, 0.064 for HCl and 0.09 for NH<sub>3</sub> (Pringle et al., 2010a; Pringle 254 et al., 2010b). v is the mean thermal velocity of the molecule and  $\Delta$  is the mean free path length of 255 the gas molecule (the distance from which the kinetic regime applies with respect to the 256 particle). This information is then passed to the ISORROPIA-lite thermodynamic module to 257 calculate the gas/aerosol partitioning. Specifically, the module receives as input the ambient 258 temperature and humidity along with the diffusion-limited concentrations of H<sub>2</sub>SO<sub>4</sub>, NH<sub>3</sub>, HNO<sub>3</sub>, 259 and HCl, the concentrations of the non-volatile cations (NVCs) Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup> and Mg<sup>2+</sup>, and the 260 concentrations of the ions  $SO_4^{2-}$ ,  $NO_3^{-}$ ,  $NH_4^{+}$ , and  $Cl^{-}$  present in the aerosol phase from the previous 261 time step. The module then calculates the equilibrium reactions of the NO<sub>3</sub><sup>-</sup> anion with the NVCs. 262 depending on their abundance with respect to the SO4<sup>2-</sup> anion, taking into account mass 263 conservation, electroneutrality, water activity equations and precalculated activity coefficients for 264 specific ionic pairs (Fountoukis and Nenes, 2007; Kakavas et al., 2022). Therefore, in all cases 265 where mineral dust is considered chemically active, all reactions of nitrate aerosols with NVC are 266 treated. The salts that may be formed are assumed to be completely deliquesced as follows: 267 268  $Ca(NO_2)_2 \rightarrow Ca^{2+}_{(ac)} + 2NO_2^{-}$ 

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$$Ca(NO_3)_2 \to Ca^{2+}_{(aq)} + 2NO^{-}_{3(aq)}$$

$$269 \qquad NaNO_3 \rightarrow Na^+_{(aq)} + NO^-_{3(aq)}$$

$$270 \qquad KNO_3 \to K^+_{(aq)} + NO^-_{3(aq)}$$

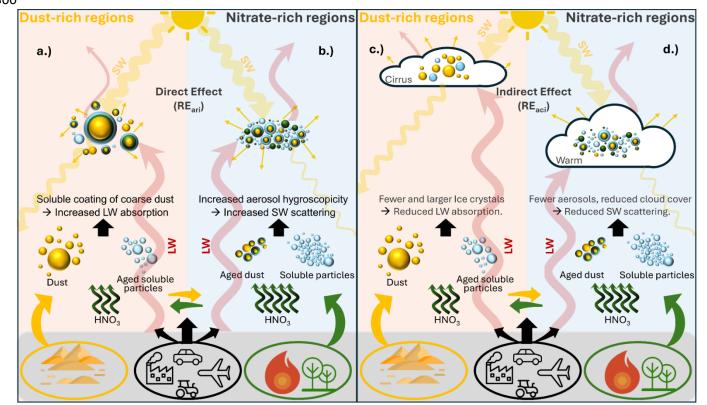
271 
$$Mg(NO_3)_2 \to Mg^{2+}_{(aq)} + 2NO^-_{3(aq)}$$

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Salt deliquescence over a range of relative humidities is treated by the Mutual Deliquescence 273 Relative Humidity (MDRH) approach of Wexler and Seinfeld (1991). In a multicomponent salt 274 mixture, the MDRH determines the humidity value above which all salts are considered to be 275 saturated. In this study, if the wet aerosol is below the MDRH, it does not crystalize and remains 276 in a supersaturated aqueous solution (Kakavas et al., 2022), with all salts completely deliquesced. 277 More information on equilibrium reactions and equilibrium constants as well as the corresponding 278 thermodynamic equilibrium calculations can be found in Fountoukis and Nenes (2007). It should 279 be noted that in this study nitrate production on dust particles does not only occur via the 280 thermodynamic equilibrium between gas-phase HNO<sub>3</sub> and particulate nitrate, but also via 281 heterogeneous chemistry by hydrolysis of N<sub>2</sub>O<sub>5</sub> on the dust surface. This chemical formation 282 pathway is the most dominant for heterogeneous nitrate production (Seisel et al., 2005; Tang et 283 al., 2012), while others, such as NO<sub>2</sub> oxidation during dust pollution events over polluted regions 284 (Li et al., 2024), do not show such high yields under normal conditions. On the other hand, 285 consideration of sulphate production by heterogeneous chemistry on dust would theoretically 286 result in slightly reduced amounts of particulate nitrate in some cases due to acidification of dust 287

particles inhibiting partitioning of HNO<sub>3</sub> to the aerosol phase (Nenes et al., 2020). Overall, full
consideration of heterogeneous chemistry on dust could change simulated nitrate aerosol
concentrations only slightly and episodically, and therefore changes to radiative effect estimates
are not expected to be critical.

292 The coating of dust particles by nitrate aerosols during gas/aerosol partitioning calculations is 293 an important process that leads to an increase in dust solubility and hygroscopicity (Laskin et al., 294 2005). Therefore, after these processes have taken place, a large fraction of the originally insoluble dust particles has become soluble (Fig. 1a), which leads to changes in their optical properties, as 295 their increased ability to absorb water makes them more efficient in extinguishing SW radiation 296 297 and absorbing and emitting LW radiation (Fig. 1a, 1b) (Kok et al., 2023). The transfer to the soluble fraction after coating with soluble material is handled by the GMXe submodel, which also provides 298 299 key aerosol attributes necessary for the calculation of the dust optical properties (see Section 2.3). 300



301 **Figure 1:** Conceptual illustration of how dust-nitrate interactions affect the total  $NO_3^-$  (left) RE<sub>ari</sub> and 302 (right) RE<sub>aci</sub>. a) In dust-rich environments, nitric acid transported from anthropogenic pollution and biomass burning regions interacts with mineral cations to form a soluble coating on the surface of dust particles. The 303 dominant effect of these interactions is an enhanced LW absorption (warming REari) by the coarse dust 304 305 particles. b.) In nitrate-rich environments, the intrusion of dust particles and their subsequent interaction 306 with freshly formed nitric acid leads to an overall increase in aerosol hygroscopicity and thus a stronger SW reflection (cooling  $RE_{ari}$ ). c.) In dust-rich environments, the number of ice crystals in cirrus clouds is 307 308 reduced while their size is increased due to the interaction of dust particles with the transported HNO<sub>3</sub>. This 309 results in an optical thinning of the ice clouds, which leads to less trapping of outgoing LW radiation (cooling REaci). d.) In nitrate-rich environments, the increased wet radius of aged dust particles leads to 310 311 enhanced coagulation with smaller particles, resulting in a decrease in the number of smaller aerosols and,

312 in turn, a decrease in the number of activated particles in cloud droplets by smaller aerosols, which 313 ultimately leads to a reduction in the backscattering of SW radiation by warm clouds (warming RE<sub>ari</sub>).

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In general, the changes in the properties of dust particles through their interactions with nitrate 316 aerosols will result in more efficient removal rates, mainly through wet deposition, due to their 317 higher hygroscopicity and increased size (Fan et al., 2004). The reduced number of dust particles 318 that can act as ice nuclei (IN) and their increased size can lead to an optical thinning of cirrus 319 clouds (Fig. 1c) (Kok et al., 2023). Furthermore, the changes induced by dust-nitrate interactions 320 321 reduce the activation of smaller aerosols in warm clouds (Fig. 1d). In particular, the enhanced hygroscopicity of dust particles will lead to a faster depletion of the available supersaturation, as 322 they act as giant CCN that absorb large amounts of water vapor to activate into cloud droplets 323 (Karydis et al., 2017). In addition, the population of smaller aerosols will also be depleted by 324 increased coagulation with the large dust particles. As a consequence of the different degrees of 325 complexity of the dust-nitrate interactions, it is very important to note that they do not always 326 result in a linear response in terms of how they affect climate through their subsequent interactions 327 328 with radiation, clouds, or both.

329

#### **Radiative Effect Calculation** 2.3 330

To calculate the global RE<sub>ari</sub> and RE<sub>aci</sub> of NO<sub>3</sub><sup>-</sup> aerosols, the optical properties from the 331 AEROPT submodel and the radiative transfer calculations from the RAD submodel were used. 332 First, AEROPT provides the aerosol extinction (absorption and scattering) coefficients, the single 333 scattering albedo, and the aerosol asymmetry factor for each grid cell with a vertical distribution 334 analogous to the vertical resolution used. The GMXe submodel is used to provide input of aerosol 335 336 attributes for the calculation of aerosol optical properties, which is done online using 3D look-up tables. The tables provide information on the real and imaginary parts of the refractive index and 337 the Mie size parameter per size mode (Dietmuller et al., 2016). Then, the radiative scheme of RAD 338 uses the particle number weighted average of the extinction cross section, the single scattering 339 albedo, and the asymmetry factor as input for the radiative transfer calculations. In addition to 340 AEROPT, RAD takes input from the submodels ORBIT (Earth orbital parameters), CLOUDOPT 341 (cloud optical properties) (Dietmuller et al., 2016), and IMPORT (import of external datasets) to 342 calculate the radiative transfer properties for longwave and shortwave radiation fluxes separately. 343 Both the AEROPT and RAD submodels can be invoked multiple times within a model time step, 344 each time with different settings for the aerosol optical properties, allowing radiative transfer 345 estimates for identical climatological conditions. This is of paramount importance for the 346 calculation of the REari of aerosols since any effects due to possibly different climatological 347 conditions must be eliminated. Henceforth, all references to RE estimates, as well as net, 348 longwave, and shortwave flux quantities, will refer to the top of the atmosphere (TOA) only. 349

350

### 2.3.1 Radiative Effect from Aerosol-Radiation Interactions (REari)

351 To estimate the global RE<sub>ari</sub> of all aerosols as well as that of total, coarse, and fine NO<sub>3</sub><sup>-</sup> aerosols, 3 simulations were performed for each sensitivity case in Table 1. In the first simulation all aerosol 352 species are present. In the second simulation  $NO_3^-$  aerosols are completely removed by turning off 353 their formation by removing the pathway of HNO<sub>3</sub> formation through both NO<sub>2</sub> oxidation and 354

 $N_2O_5$  hydrolysis, leaving no available HNO<sub>3</sub> to condense on the aerosol via equilibrium 355 356 partitioning and form nitrate. In the third simulation, coarse mode NO<sub>3</sub><sup>-</sup> aerosols are removed by 357 allowing  $HNO_3$  to condense only on the fine mode (i.e., the sum of the three smaller lognormal size modes: nucleation, Aitken, and accumulation). For each of these three simulations, the 358 359 radiative transfer routines are called twice for each time step. One call uses the normal aerosol 360 optical properties of the existing population, and the other call uses an aerosol optical depth equal to 0 to emulate an atmosphere without aerosols. Essentially, the global RE<sub>ari</sub> of each simulation 361 can be calculated by taking the difference between the net fluxes between the two calls. More 362 specifically, the first simulation will yield the  $RE_{ari}$  of the total aerosol load (F<sub>1,ari</sub> hereafter), the 363 second simulation will yield the REari of all aerosols except NO3<sup>-</sup> (F<sub>2,ari</sub> below), and the third 364 simulation will yield the REari of all aerosols except the coarse mode NO<sub>3</sub><sup>-</sup> (F<sub>3,ari</sub> below). Since the 365 above estimates of the radiative effect were computed using the exact same climatology, its effect 366 367 was effectively eliminated. However, in order to isolate the NO3<sup>-</sup> aerosol radiative effect, it is also essential to disable any aerosol-cloud interactions, otherwise the cooling effect would be severely 368 underestimated because cloud scattering would make aerosol scattering less relevant (Ghan et al., 369 2012). For this purpose, the simplest cloud scheme available in the EMAC model is used, which 370 calculates the cloud microphysics according to Lohmann and Roeckner (1996) who empirically 371 relate the cloud droplet number concentration to the sulfate aerosol mass (Boucher and Lohmann 372 1995) and specifically to its monthly mean values as derived from the sulfur cycle of the ECHAM5 373 374 circulation model (Feichter et al., 1996). The cloud coverage is estimated according to Tompkins (2002) with the use of prognostic equations for the water phases and the distribution moments. To 375 disable aerosol-cloud interactions, no aerosol activation routines are used to avoid coupling with 376 the activation schemes. Overall, the global RE<sub>ari</sub> of total, coarse, and fine NO<sub>3</sub><sup>-</sup> aerosols are 377 obtained as follows: 378

$$\bullet \quad F_{NO3,ari}(F_{N,ari}) = F_{1,ari} - F_{2,ar}$$

380

•  $F_{coarseNO3,ari}(F_{cN,ari}) = F_{1,ari} - F_{3,ari}$ 

$$\bullet \quad F_{fineNO3,ari}(F_{fN,ari}) = F_{3,ari} - F_{2,ari}$$

### 382 2.3.2 Radiative Effect from Aerosol-Cloud Interactions (REaci)

383 In this work we estimate the effect of total  $NO_3^-$  aerosols on the calculated global RE<sub>aci</sub>. Climatology plays a crucial role in aerosol-cloud interactions and simulating a "fine-only NO<sub>3</sub>-384 atmosphere", as done for the REari calculations, would produce an unrealistic climatological 385 scenario, since coarse-mode  $NO_3^-$  is strongly associated with cations in mineral dust particles 386 387 (Karydis et al., 2016), making them quite effective as CCN (Karydis et al., 2017). Therefore, the REaci calculations require 2 additional simulations for each sensitivity case separately: one with all 388 aerosols present and one with the entire NO<sub>3</sub><sup>-</sup> aerosol load removed by turning off their formation 389 as described in the previous section. The global RE<sub>aci</sub> is then given by: 390

$$\bullet \quad F_{NO3,aci}(F_{N,aci}) = FF_N - F_{N,ari}$$

where  $FF_N$  is the total  $NO_3^-$  aerosol feedback radiative effect. Since  $F_{N,ari}$  is calculated using the methodology described in Section 2.3.1, it is only necessary to estimate  $FF_N$ . This is equal to the difference in net fluxes between the two additional simulations. There is no need to emulate an aerosol-free atmosphere here since any differences induced by different climatologies must be

included. The two simulations performed for the calculation of  $FF_N$  use the cloud formation 396 397 scheme as described in Lohmann and Ferrachat (2010), which uses prognostic equations for the 398 water phases and the bulk cloud microphysics. In addition, the empirical cloud cover scheme of Sundqvist et al. (1989) is used. For aerosol activation, the CDNC activation scheme of Morales 399 400 and Nenes (2014) is used, which includes the adsorption activation of mineral dust as described in 401 Karydis et al. (2017). The effect of dust-nitrate interactions on clouds presented here refers to the lowest level of cloud formation at 940 hPa. For the ICNC activation, the scheme of Barahona and 402 Nenes (2009) is used, which calculates the ice crystal size distribution through heterogeneous and 403 homogeneous freezing as well as ice crystal growth. 404

### **3.** Radiative Effect from Aerosol-Radiation Interactions (RE<sub>ari</sub>)

### 406 **3.1 Base Case**

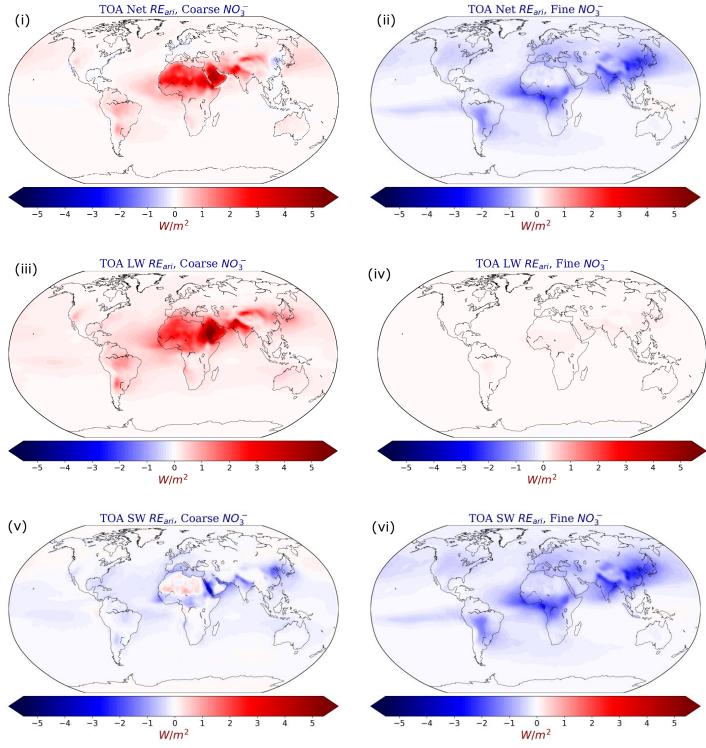
The global average  $RE_{ari}$  of total NO<sub>3</sub><sup>-</sup> aerosols at the top of the atmosphere was found to be -407  $0.11 \text{ W/m}^2$ , which is within the reported range of the estimated present day all-sky direct radiative 408 effect of total NO<sub>3</sub><sup>-</sup> aerosols by other studies (Liao et al., 2004; Bauer et al., 2007a; Bauer et al., 409 2007b; Bellouin et al., 2011; Xu and Penner, 2012; Heald et al., 2014) (Table S4). The NO<sub>3</sub><sup>-</sup> 410 cooling of the RE<sub>ari</sub> calculated by EMAC is driven by the scattering of SW radiation (equal to -411  $0.34 \text{ W/m}^2$ ), which outweighs the warming due to absorption of LW radiation (equal to +0.23) 412  $W/m^2$ ) (Table 2). The RE<sub>ari</sub> of the total NO<sub>3</sub><sup>-</sup> aerosol shows a clearly contrasting behavior with 413 respect to the size mode considered (Table 2; Figure 2). 414

In particular, the coarse particles show a net warming effect of +0.17 W/m<sup>2</sup> (Fig. 2i) and 415 contribute to 96% of the LW warming of the total nitrate, while only contributing 15% of the 416 radiative cooling in the SW spectrum (-0.05  $W/m^2$ ). The LW warming is strongest over the dust 417 belt zone and especially over the Sahara, the Middle East and the northern face of the Himalayan 418 419 plateau, while the contribution over other arid regions such as the Atacama, Gobi, Taklimakan and Mojave deserts is significant. These regions are characterized by moderate to high concentrations 420 421 of coarse NO<sub>3</sub><sup>-</sup> aerosols due to the adsorption of HNO<sub>3</sub> on desert soil particles (Karydis et al., 2016; 422 Milousis et al., 2024). Therefore, the warming due to absorption of terrestrial LW radiation by coarse-mode nitrates interacting with mineral dust is the strongest over these areas (see Fig. 1a), 423 ranging from  $+1.5 \text{ W/m}^2$  to  $+5 \text{ W/m}^2$  (Fig. 2iii). On the other hand, the cooling exerted by coarse 424 nitrate aerosol through the SW RE<sub>ari</sub> is more pronounced over areas where it interacts strongly 425 426 with high concentrations of mineral dust particles (see Fig. 1b). Such areas include the Congo 427 Basin, where HNO<sub>3</sub> from tropical forest biomass burning interacts with Saharan mineral dust particles; the Middle East and North Indian regions, where anthropogenic HNO<sub>3</sub> emissions interact 428 with mineral dust particles from the Sahara and Taklimakan deserts, respectively; and the East 429 Asian region, where HNO<sub>3</sub> emissions from Chinese megacities interact with mineral dust particles 430 from the Gobi Desert. These regions can lead to an average cooling of up to  $-3.5 \text{ W/m}^2$  (Fig. 2v). 431 Interestingly, there is no significant cooling from SW interactions over the Sahara for the coarse 432 mode. This phenomenon can be attributed to two factors, the first related to nitrate-dust 433

433 mode. This phenomenon can be attributed to two factors, the first related to nitrate-dust 434 interactions and the second related to the characteristics of the region. Specifically, because the 435 underlying desert surface is very bright, its absorption in this part of the spectrum is less than that 436 of the particles above it, which means that the desert surface can scatter radiation more effectively 437 than the particles above it. This is further enhanced by the growth of coarse mode particles there 438 (see Fig. 4x and section 5.1) which increases the absorption cross section of the particles. All this

leads to an overall attenuation of the cooling effect over this region and sometimes even to localwarming (Fig. 2v).

- In contrast to the radiative effect of coarse  $NO_3^-$  particles, the RE<sub>ari</sub> of fine  $NO_3^-$  particles is an
- 442 overall cooling of -0.28  $W/m^2$  (Fig. 2ii). Fine nitrates have a negligible 4 % contribution to the
- 443 warming in the LW spectrum (Fig. 2iv) but account for 85 % of the net cooling of the total nitrate
- 444 aerosols (Fig. 2vi). The cooling induced by fine  $NO_3^-$  aerosols from scattering of SW radiation is
- stronger (up to -5  $W/m^2$ ) over regions of high anthropogenic activity, particularly the East Asian
- and Indian regions, where fine nitrates dominate the total nitrate aerosol load. The regions of West
- 447 Africa and the Amazon Basin are characterized by moderate fine nitrate concentrations, and the
- 448 cooling observed there is enhanced by HNO<sub>3</sub> associated with biomass burning interacting with
- fresh and aged Saharan dust particles, respectively, which are dominated by accumulation mode
- sizes in the absence of coarse mode nitrates. Finally, other polluted regions such as North America
- and Europe also show SW cooling up to  $-2 \text{ W/m}^2$ .





453 **Figure 2:** Global mean TOA net RE<sub>ari</sub> for (i) coarse and (ii) fine NO3<sup>-</sup> aerosols; longwave RE<sub>ari</sub> for (iii)

454 coarse and (iv) fine NO3<sup>-</sup> aerosols; shortwave  $RE_{ari}$  for (v) coarse and (vi) fine NO3<sup>-</sup> aerosols, as calculated 455 by EMAC from the base case simulation. 456 <u>**Table 2:**</u> Net, longwave, and shortwave global mean TOA RE<sub>ari</sub> of total, coarse, and fine  $NO_3^-$ 457 aerosols for the base case and each sensitivity case simulations.

Simulation	Aerosol Component	TOA RE <sub>ari</sub> (W/m <sup>2</sup> )		
		Net	LW	SW
- Base Case	Total NO <sub>3</sub> <sup>-</sup>	- 0.11	+ 0.23	- 0.34
	Coarse NO <sub>3</sub> -	+ 0.17	+ 0.22	- 0.05
	Fine NO <sub>3</sub> <sup>-</sup>	- 0.28	+0.01	- 0.29
Chemically Inert Dust	Total NO <sub>3</sub> -	- 0.09	+ 0.11	- 0.20
	Coarse NO <sub>3</sub> <sup>-</sup>	+0.07	+ 0.10	- 0.03
	Fine NO <sub>3</sub> <sup>-</sup>	- 0.16	+ 0.01	- 0.17
Homogeneous Ion Composition	Total NO <sub>3</sub> -	- 0.09	+ 0.18	- 0.27
	Coarse NO <sub>3</sub> -	+ 0.13	+ 0.17	- 0.04
	Fine NO <sub>3</sub> -	- 0.22	+ 0.01	- 0.23
Half Dust Scenario	Total NO <sub>3</sub> -	- 0.08	+ 0.19	- 0.27
	Coarse NO <sub>3</sub> -	+ 0.15	+ 0.18	- 0.03
	Fine NO <sub>3</sub> -	- 0.23	+ 0.01	- 0.24
Increased Dust Scenario	Total NO <sub>3</sub> -	- 0.10	+ 0.27	- 0.37
	Coarse NO <sub>3</sub> -	+ 0.20	+ 0.26	- 0.06
	Fine NO <sub>3</sub> -	- 0.30	+ 0.01	- 0.31

#### 461 **3.2 Sensitivity of RE**ari Estimates

The comparison of the calculated total NO<sub>3</sub><sup>-</sup> radiative effect due to interactions with net, LW, 462 and SW radiation for the sensitivity cases listed in Table 1 can be found in Table 2, which shows 463 each of the estimates. Consideration of nitrate interactions with mineral dust cations can greatly 464 affect the NO<sub>3</sub><sup>-</sup> RE<sub>ari</sub> estimates. Assuming that mineral dust particles are inert, the estimated 465 warming due to LW radiation interactions for total nitrate aerosols is 52% weaker than in the base 466 case where dust reactivity is considered. Similarly, the cooling effect exerted by all nitrate aerosols 467 through interactions with SW radiation is estimated to be 41% weaker under the assumption that 468 mineral dust is non-reactive. Both estimates are lower when mineral dust is assumed to be 469 470 chemically inert, since HNO<sub>3</sub> is no longer effectively adsorbed on dust particles. However, since both the estimated warming and cooling are weaker, the effects partially cancel each other out, 471 resulting in a net cooling effect (-0.09 W/m<sup>2</sup>) that is 18% weaker compared to the base case 472 473 calculations. Assuming a homogeneous ionic composition for the dust, results in SW cooling and 474 LW warming for total nitrate aerosols being 21% and 22% lower, respectively, weakening the estimate for the net cooling RE<sub>ari</sub> by 18% (-0.09 W/m<sup>2</sup>). The net direct radiative effect of total NO<sub>3</sub><sup>-</sup> 475 is the same for the cases where dust is assumed to have a homogeneous chemical composition and 476 477 where it has no chemical identity, indicating the importance of both aspects for the impact of dust-478 nitrate interactions on the direct radiative effect.

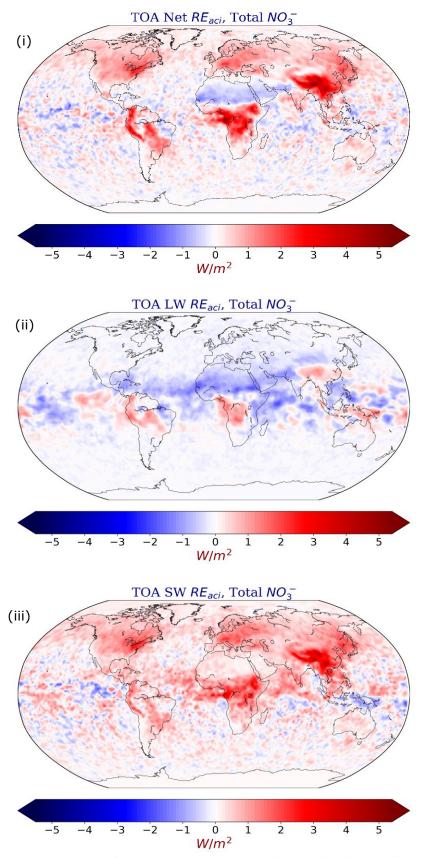
479 In the Half Dust scenario, the total nitrate aerosol LW warming estimate is 17% weaker than in the base case, while the total nitrate aerosol SW estimate is even more so (21%), resulting in a 480 lower net cooling estimate of -0.08 W/m<sup>2</sup>. Finally, the Increased Dust scenario shows the strongest 481 total nitrate aerosol LW warming effect (17% increase over the base case) due to an increase in 482 coarse mode nitrate. At the same time, the cooling effect of total nitrate aerosols due to interactions 483 484 with SW radiation shows a smaller increase of 9%. Thus, accounting for the historical increase in mineral dust emissions results in a net cooling estimate of  $-0.10 \text{ W/m}^2$ , which is smaller than the 485 base case. Interestingly, the behavior of the global total NO<sub>3</sub><sup>-</sup> RE<sub>ari</sub> does not exhibit linearity with 486 respect to the global dust load. This is not surprising since the nitrate-dust interactions themselves 487 are not linearly correlated, and a given increase or decrease in dust emissions does not lead to an 488 analogous change in nitrate aerosol levels. For example, Karydis et al. (2016) have shown that 489 moving from a scenario in which nitrate-dust chemistry is not considered to one in which it is, but 490 with half dust emissions, resulted in a 39% increase in the tropospheric burden of nitrate aerosols. 491 However, moving from a scenario with half to full dust emissions, the corresponding increase was 492 only 9%. In our case, moving from the chemically inert dust scenario to the half dust scenario led 493 to an 18% increase in atmospheric nitrate aerosol burden, while moving from the half dust scenario 494 to the base case led to an additional 8% increase, and finally moving from the base case to the 495 increased dust scenario led to an even smaller increase of 5%. 496

There are several reasons for this non-linearity between changes in dust load and nitrate production. Firstly, since the adsorption of HNO<sub>3</sub> onto dust particles is the main driver of nitrate production on dust, over desert areas (where the change in dust load takes place) the amount of nitric acid present is the limiting factor for such production, rather than the amount of dust itself. Secondly, when more dust is present in the atmosphere, the combination of its increased coating with the higher aerosol numbers, tends to result in its more efficient removal by wet deposition as well as coagulation. This inherently affects nitrate production, which does not increase inproportion to the increase in dust.

### **4 Radiative Effect from Aerosol-Cloud Interactions (RE**aci)

### 506 4.1 Base Case

The global average  $RE_{aci}$  of total NO<sub>3</sub><sup>-</sup> aerosols at the top of the atmosphere was found to be 507 +0.17 W/m<sup>2</sup>. In contrast, an estimate of the RE<sub>aci</sub> of nitrate aerosols by Xu and Penner (2012) 508 showed only a trivial cooling effect for particulate  $NO_3^-$  (-0.01 W/m<sup>2</sup>). Similar to the RE<sub>ari</sub>, the net 509 RE<sub>aci</sub> estimated by EMAC is driven by the effect on the SW part of the spectrum, which causes a 510 warming effect of  $+0.27 \text{ W/m}^2$ , while the effect on the LW radiation causes an average cooling of 511 -0.10 W/m<sup>2</sup> (Table 3). Overall, the net RE<sub>aci</sub> of total NO<sub>3</sub><sup>-</sup> aerosols is reversed compared to the net 512 REari, i.e. REaci exerts a strong cooling effect over regions where REari exerts a warming effect and 513 vice versa (Fig. 3i). The reason for this is that the regions contributing to a cooling RE<sub>ari</sub> are 514 dominated by smaller sized nitrate aerosols and vice versa. Therefore, the size characteristics of 515 the dominant nitrate aerosol population lead to different effects on the cloud optical properties as 516 discussed in section 1. For example, as the dominance of smaller nitrate aerosols decreases over a 517 particular region, the optical thinning of low-level clouds will have an opposite effect on the RE<sub>aci</sub> 518 519 (Fig. 1d). Details of the mechanism by which nitrate-dust interactions affect cloud microphysical processes are discussed in section 5. Over North America and Europe, RE<sub>aci</sub> causes a warming 520 effect of up to  $+3 \text{ W/m}^2$ , driven solely by the effect on SW radiation (Fig. 3iii). Over the regions 521 of East Asia and the Amazon and Congo basins,  $RE_{aci}$  reaches a maximum of +5 W/m<sup>2</sup>, driven by 522 both the effect on the SW (up to  $+4 \text{ W/m}^2$ ) and LW (up to  $+1.5 \text{ W/m}^2$ ) parts of the radiation 523 spectrum. The cooling effect of RE<sub>aci</sub> (up to  $-2 \text{ W/m}^2$ ) extends mainly between the equatorial line 524 and the Tropic of Cancer, mainly due to the interaction of nitrate aerosols with desert dust particles 525 (e.g. from the Sahara) and their effect on the terrestrial spectrum (LW) (Figs. 1c & 3ii). The cooling 526 effect of dust interactions with anthropogenic particles in the LW spectrum corroborates the 527 findings of Klingmüller et al. (2020) and is attributed to the reduced ice-water path due to the 528 529 depletion of small aerosols, which in turn leads to less trapped outgoing terrestrial radiation. In addition, Kok et al. (2023) note how the presence of dust particles leads to an optical thinning of 530 cirrus clouds by reducing the number of ice crystals while increasing their size, which also leads 531 to less trapping of outgoing LW radiation and thus a cooling effect (Fig. 1c). On the other hand, 532 533 the warming effect of dust interactions with anthropogenic particles in the SW spectrum requires 534 further investigation and is therefore discussed in more detail in Section 5.



**Figure 3:** Global mean TOA  $RE_{aci}$  for total  $NO_3^-$  aerosols. Estimates for (i) net, (ii) longwave, and (iii) 536 shortwave, as calculated by EMAC from the base case simulation.

Simulation		TOA REaci (W/m <sup>2</sup> )	)	
	Net	LW	W SW	
Base Case	+ 0.17	- 0.10	+0.27	
<b>Chemically Inert Dust</b>	+ 0.11	- 0.06	+0.17	
Homogeneous Ion Composition	+ 0.13	- 0.09	+ 0.22	
Half Dust Scenario	+ 0.15	- 0.08	+ 0.23	
Increased Dust Scenario	+ 0.14	- 0.11	+ 0.25	

537 <u>**Table 3:**</u> Net, longwave, and shortwave global mean TOA RE<sub>aci</sub> of total NO<sub>3</sub><sup>-</sup> aerosols for the 538 base case and each sensitivity case simulations.

539

### 540 4.2 Sensitivity of REaci Estimates

Table 3 shows the comparison of the net, LW, and SW contributions of total NO<sub>3</sub><sup>-</sup> to the RE<sub>aci</sub> 541 at the top of the atmosphere as calculated by the base case simulation and all sensitivity cases 542 considered. By assuming a chemically inert dust, the calculated net REaci of nitrate decreases by 543 35%, resulting in a net warming of +0.11 W/m<sup>2</sup>. As with the RE<sub>ari</sub> estimate, this sensitivity case 544 produces the largest deviation from the base case among all sensitivity simulations, for both the 545 SW (37% less warming) and LW (40% less cooling) estimates. This is due to the fact that the 546 absence of dust-nitrate interactions does not have such a large impact on the population of both 547 aerosols and activated particles (see also Section 5). The assumption of a homogeneous ionic 548 composition of the mineral dust leads to a weakened LW cooling estimate of 10% and a weakened 549 SW warming estimate of 19% resulting in a net  $NO_3^- RE_{aci}$  of +0.13 W/m<sup>2</sup> (24% lower than in the 550 base case). 551

The reduced dust emissions result in a 15% weaker warming in the SW spectrum and a 20% 552 weaker cooling in the LW spectrum, leading to an overall  $NO_3^- RE_{aci}$  of +0.15 W/m<sup>2</sup> (12% weaker 553 than the base case scenario). This is because the reduced loading of nitrate aerosols, especially in 554 the coarse mode, in the half dust scenario results in less absorption of LW radiation (Fig. 1c) (hence 555 556 less cooling). Similarly, the effect of dust-nitrate interactions on the activation of smaller particles (Fig. 1d) is less drastic and results in a weaker inhibition of SW radiation scattering (hence less 557 warming, see also Section 5). Finally, increased dust emissions in the increased dust scenario show 558 a 10% increase in the LW cooling and an 8% decrease in the SW warming effect, surprisingly 559 resulting in a net warming  $(+0.14 \text{ W/m}^2)$  that is lower than in the half dust scenario. The reason 560 that this scenario results in more LW cooling than the base case is that the increased amount of 561 dust particles leads to even more optical thinning of the ice clouds, and therefore even less trapping 562 563 of LW radiation (more cooling). However, the reason why the SW warming estimate is lower than the base case is more complicated. First, the transition from the half dust scenario to the base case 564 565 and then to the increased dust scenario does not lead to an analogous increase in the nitrate aerosol

burden (see Section 3.2). Moreover, since the number of aerosols has increased from the increased 566 567 dust scenario to the base case, but the relative humidity has remained largely the same, there is 568 more competition for water vapor because it is now distributed over a larger population. As a result, the wet radius increase in the presence of nitrates is not as strong in the increased dust 569 scenario compared to the base case, and the depletion of smaller sized particles is also not as strong 570 571 (not shown). The implications of the depletion of the aerosol population in the presence of nitrate aerosols on the microphysical processes of warm clouds, and consequently on SW warming, are 572 discussed in the next section. 573

#### 574

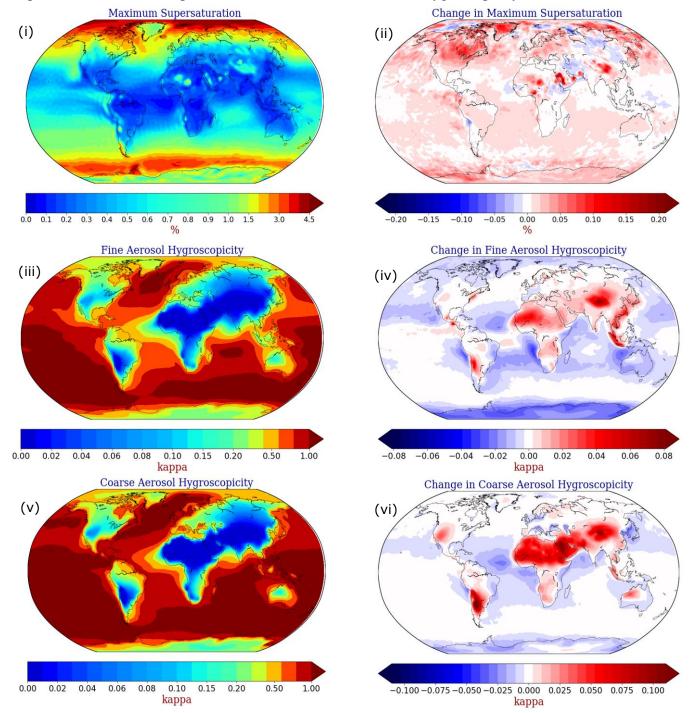
## 5 Effect Of NO<sub>3</sub><sup>-</sup> Aerosols on Cloud Microphysics

575 **5.1 Maximum Supersaturation, Hygroscopicity and Wet Radius** 

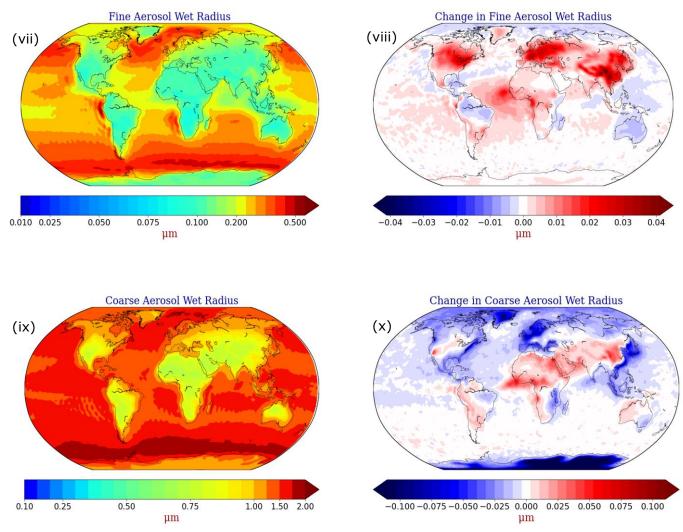
To further investigate the cause of the positive RE<sub>aci</sub> induced by the NO<sub>3</sub><sup>-</sup> aerosols, their effect 576 on the aerosol population characteristics as well as on the cloud microphysics is investigated, with 577 respect to the lowest forming cloud level of 940 hPa. For this purpose, a sensitivity simulation is 578 performed assuming a 'nitrate aerosol free' (NAF) atmosphere, in which the formation of NO3<sup>-</sup> 579 aerosols has been switched off, but an advanced cloud scheme is considered which is the same as 580 the one described in Section 2.3.2. Essentially the same setup that was used for the estimation of 581 582 the total nitrate aerosol feedback radiative effect. This simulation is used to determine whether the presence of  $NO_3^-$  aerosols has a significant effect on the hygroscopicity and size of atmospheric 583 aerosols and ultimately on the maximum supersaturation developed during cloud formation. Over 584 polluted areas affected by transported dust air masses from surrounding arid areas, the presence of 585 NO<sub>3</sub><sup>-</sup> aerosols can increase the CCN activity of the large mineral dust particles, resulting in a 586 reduction of the maximum supersaturation and inhibiting the activation of the small anthropogenic 587 particles into cloud droplets (Klingmüller et al., 2020). Results from the NAF sensitivity 588 589 simulation support this hypothesis over parts of Eastern and Central Asia, where the maximum supersaturation decreases by up to 0.05%. In contrast, the presence of  $NO_3^-$  aerosols increases 590 591 maximum supersaturation by up to 0.2% over North America, Europe, the Middle East, and parts 592 of southern Asia (Fig. 4ii). Therefore, changes in maximum supersaturation caused by the presence of NO<sub>3</sub><sup>-</sup> aerosols cannot explain their warming effect through the RE<sub>aci</sub>. 593

594 The presence of  $NO_3^{-1}$  has a significant effect on the hygroscopicity of both fine and coarse 595 aerosols and consequently on their wet radius, as shown in Figures 1a,b & 4. This is most evident 596 for coarse desert dust particles, which mix with NO3<sup>-</sup> aerosols from urban and forest regions, 597 increasing their hygroscopicity by an order of magnitude (up to 0.1), especially over the African-Asian dust belt and the Atacama Desert in South America (Fig. 4vi). Aerosol hygroscopicity is 598 similarly increased for the fine mode particles both near arid regions and over the highly 599 industrialized region of Southeast Asia (Fig. 4iv). The low values of the hygroscopic parameter of 600 the fine aerosol population, especially over the dust belt zone, are largely due to the higher 601 proportion of insoluble fine particles present over these regions (Figure S5). This is also observed 602 over other regions with similarly low fine aerosol hygroscopicity (South Africa, South America 603 and Western U.S). Nevertheless, the estimates of aerosol kappa values at 940 hPa are broadly 604 consistent with the results of Pringle et al., (2010c). On the other hand, the aerosol hygroscopicity 605 for the two size modes is only slightly reduced, by up to 0.06 (or <10%) over the oceans and coasts 606 of Europe and East Asia, due to interactions of NO3<sup>-</sup> with sea salt particles, reducing their 607

- 608 hygroscopicity. The increased ability of both coarse dust aerosols and smaller aerosols to absorb
- 609 water leads to an increase in their wet radius, but in different parts of the world. For example, fine
- 610 particle sizes increase by up to  $0.04 \ \mu m$  (up to 40%) mostly over regions of high anthropogenic
- 611 activity (North America, Europe, and East Asia) (Fig. 4viii). On the other hand, coarse mode
- bill particle sizes are increased by up to  $0.1 \,\mu m$  (up to 10%) over the forests of central Africa and the
- African-Asian dust belt zone (Fig. 4x), while showing a similar decrease near the coasts of the
- 614 polluted northern hemisphere due to the effect of  $NO_3^-$  on the hygroscopicity of sea salt.



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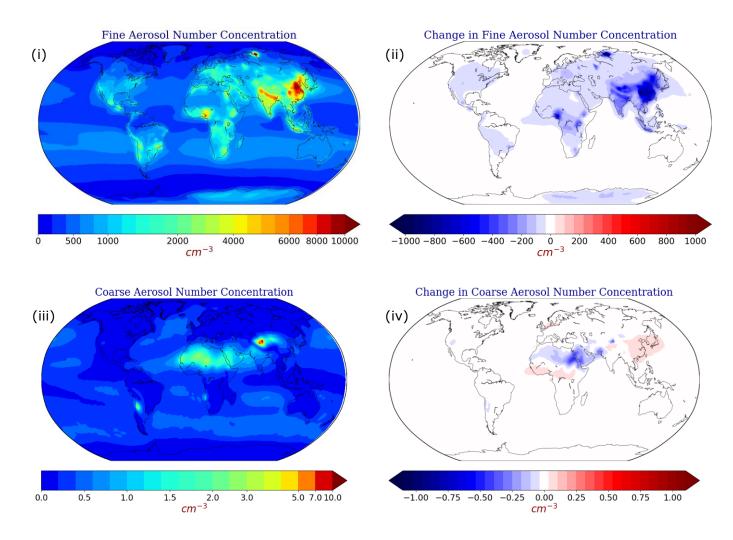
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**Figure 4:** (i) Global mean maximum supersaturation, fine aerosol (iii) hygroscopicity and (v) wet radius, and coarse aerosol (vii) hygroscopicity and (ix) wet radius, as calculated by EMAC from the base case simulation at the altitude of 940 hPa. Absolute difference between base case and Nitrate Aerosol Free (NAF) sensitivity simulation in (ii) maximum supersaturation, fine aerosol (iv) hygroscopicity and (vi) wet radius, and coarse aerosol (viii) hygroscopicity and (x) wet radius at the altitude of 940 hPa. Red indicates higher values calculated by the base case simulation in the presence of NO<sub>3</sub><sup>-</sup> aerosols.

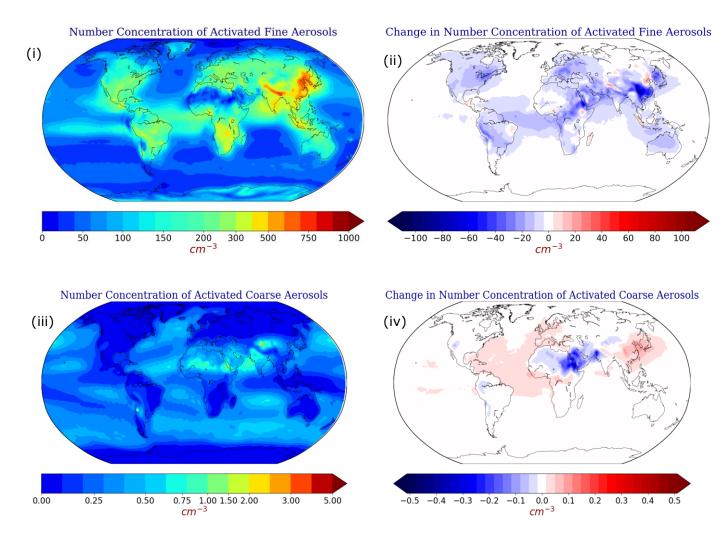
### 624 5.2 Number Concentrations of Aerosol and Activated Particles

Figure 5 shows the effect of  $NO_3^-$  on the number concentration of fine and coarse aerosols between the base case and the 'NAF' sensitivity simulation, as well as the total aerosol population. The presence of  $NO_3^-$  aerosols decreases the total aerosol number concentration over forests and polluted regions (see also Fig. 1d). This behavior is driven solely by the decrease in smaller particle sizes, as the effect is minimal for the coarser particles (Figs. 5ii & 5iv). The largest decrease is 630 calculated over East and South Asia (up to 1000 cm<sup>-3</sup> or 10%), while decreases of up to 200 cm<sup>-3</sup> 631 on average (~10%) are found over Europe, the USA, and Central Africa. This effect is directly 632 related to the increased wet radius of the aerosol population (Fig. 4viii) over these regions and thus 633 to its depositional efficiency. In addition, coarse dust particles become more hygroscopic due to 634 interactions with NO<sub>3</sub><sup>-</sup> aerosols that increase in size, resulting in increased coagulation with the 635 smaller anthropogenic particles, which reduces their abundance.

636 The reduced aerosol number concentration in the presence of  $NO_3^{-1}$  can lead to a reduction of particles that are also activated into cloud droplets. Such behavior can be seen in Figure 6, which 637 shows the effect of NO<sub>3</sub><sup>-</sup> on the number concentration of activated fine and coarse particles in 638 cloud droplets between the base case and the 'NAF' sensitivity simulation. The reduction in the 639 total number of activated cloud droplets is almost entirely due to the reduction in smaller size 640 particles (Figs. 6ii & 6iv). A reduction in the total number of activated droplets of up to 30 cm<sup>-3</sup> or 641 642 10% is observed over the USA, Amazon, Europe, Central Africa, and parts of the Middle East, while this reduction reaches up to  $100 \text{ cm}^{-3}(10\%)$  over Southeast Asia, where the largest reductions 643 in aerosol numbers are also calculated (Fig. 4ii). In turn, these are the regions where the warming 644 effect of NO<sub>3</sub><sup>-</sup> aerosols on the calculated mean RE<sub>aci</sub> is strongest (Figure 3i). The small increase in 645 activated droplets (~ 10 cm<sup>-3</sup> or 1%) over Beijing, which concerns the fine mode particles, is most 646 likely because their number concentration decreases with increasing size. The high aerosol number 647 concentration there, which is the global maximum (Figure 5i), results in a hotspot of more readily 648 649 activated particles in the presence of NO<sub>3</sub><sup>-</sup>. On the other hand, the CDNC decreases slightly over the Sahara due to the more efficient deposition capacity of coarse dust particles due to their 650 interactions with nitrate aerosols, which is also reflected in the decrease in aerosol number (Fig. 651 6iv). Overall, the lower particle number in the presence of  $NO_3^-$  aerosols hinders the ability of the 652 smaller anthropogenic particles to activate into cloud droplets, leading to a reduced cloud cover 653 and thus a reduced cloud albedo effect. Therefore, not only less LW radiation is absorbed, but 654 more importantly, less SW radiation is scattered back to space, resulting in an overall warming of 655 the net average RE<sub>aci</sub> for total NO<sub>3</sub><sup>-</sup> aerosols. 656



**Figure 5:** Global mean number concentration of (i) fine and (iii) coarse aerosols as calculated by EMAC from the base case simulation at the altitude of 940 hPa. Absolute difference between the base case and the Nitrate Aerosol Free (NAF) sensitivity simulation in the number concentration of (ii) fine and (iv) coarse aerosols at the altitude of 940 hPa. Blue indicates that number concentrations are lower in the presence of NO<sub>3</sub><sup>-</sup> aerosols.



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**Figure 6:** Global mean number concentration of activated (i) fine and (iii) coarse aerosols as calculated by EMAC from the base case simulation at the altitude of 940 hPa. Absolute difference between the base case and the Nitrate Aerosol Free (NAF) sensitivity simulation in the number concentration of activated (ii) fine and (iv) coarse aerosols at the altitude of 940 hPa. Blue indicates that number concentrations are lower in the presence of NO<sub>3</sub><sup>-</sup> aerosols.

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### 678 6. Conclusions and Discussion

This study presents the effects of interactions between mineral dust and  $NO_3^-$  aerosols on the present-day global TOA radiative effect of the latter. We investigate how the presence of dust affects the radiative effect of  $NO_3^-$  aerosols, both through aerosol interactions with radiation and separately with clouds (RE<sub>ari</sub> and RE<sub>aci</sub>, respectively). Sensitivity simulations are also performed, varying both the mineral dust composition and its emissions, to assess their effect on the calculated  $NO_3^-$  aerosol radiative effect.

It was found that the global average net  $RE_{ari}$  of total NO<sub>3</sub><sup>-</sup> aerosols is -0.11 W/m<sup>2</sup>, which is mainly due to the cooling from the shortwave part of the radiation spectrum due to scattering,

equal to -0.34 W/m<sup>2</sup>. A warming from the longwave part of the spectrum due to absorption was 687 found to be +0.23 W/m<sup>2</sup> on global average and was mainly located over regions with high 688 689 concentrations of coarse NO<sub>3</sub><sup>-</sup> aerosols. SW cooling was also observed in these regions, but also 690 over regions of high anthropogenic activity, mainly over the polluted northern hemisphere. The behavior of the RE<sub>ari</sub> was opposite when considering different sizes of NO<sub>3</sub><sup>-</sup> aerosols. Specifically, 691 692 the coarse mode was responsible for 96% of the estimated warming in the LW part of the spectrum, but 15% of the estimated cooling in the SW part of the spectrum. On the other hand, the 693 contribution of the fine mode to the LW warming was negligible, but it was the main contributor 694 to the SW cooling, accounting for 85% of the net estimate. The sensitivity experiments revealed 695 that the chemistry of the mineral dust is the most important factor in changing the estimated RE<sub>ari</sub> 696 of the total NO<sub>3</sub><sup>-</sup> aerosols. In particular, LW warming is most affected by this assumption, being 697 52% weaker after assuming chemically inert dust emissions, while the SW cooling is reduced by 698 699 41% compared to the base case simulation, amounting to a net cooling of  $-0.09 \text{ W/m}^2$ . A globally homogeneous ionic composition for mineral dust had a smaller effect in LW (22% decrease) and 700 SW (21% decrease) but resulted in the same net estimate of -0.09  $W/m^2$ . Halving the dust 701 emissions resulted in weaker estimates for LW and SW by 17% and 21%, respectively, and the 702 lowest overall net RE<sub>ari</sub> of -0.08 W/m<sup>2</sup>. On the other hand, a 50% increase in dust emissions 703 increased both LW warming and SW cooling by 17% and 9% respectively, resulting in a net 704 cooling  $RE_{ari}$  of -0.10 W/m<sup>2</sup>, indicating the strong non-linear relationship of nitrate-dust 705 interactions and how they affect the radiative effect estimates. 706

The global average net RE<sub>aci</sub> of total NO<sub>3</sub><sup>-</sup> aerosols was +0.17 W/m<sup>2</sup> due to the effect on the 707 shortwave portion of the spectrum. This was found to be  $+0.27 \text{ W/m}^2$ , while the cooling from the 708 longwave part was -0.10 W/m<sup>2</sup>. Spatially, the net RE<sub>aci</sub> is reversed compared to the net RE<sub>ari</sub> for 709 total NO<sub>3</sub><sup>-</sup> aerosols, where regions responsible for a strong SW cooling of the RE<sub>ari</sub> contribute to a 710 strong SW warming of the REaci and vice versa. This is due to the fact that nitrate-dust interactions 711 challenge the dominance of smaller particles over heavily polluted regions, reducing the 712 reflectivity of warm cloud and thus having an opposite effect on the RE<sub>aci</sub>. The sensitivity 713 experiments again showed that the consideration of the mineral dust chemistry is the most 714 important aspect for the calculation of the  $RE_{aci}$  of the total  $NO_3^-$  aerosols. When the dust was 715 assumed to be chemically inert, the LW and SW estimates were up to 40% weaker, resulting in a 716 net warming of +0.11 W/m<sup>2</sup>. Assuming a homogeneous ion composition resulted in a smaller 717 718 weakening of the estimates (up to 18%) and a net warming of +0.13 W/m<sup>2</sup>. When dust emissions 719 were halved, the LW cooling was reduced slightly more than in the base case, resulting in a net 720 warming of +0.15 W/m<sup>2</sup>. The 50% increase in dust emissions had the largest effect on LW behavior 721 (10% increase), but surprisingly the net estimate ( $+0.14 \text{ W/m}^2$ ) was smaller than in the half-dust 722 scenario. The reason for this is that the SW estimate did not increase but decreased by 8% due to 723 the fact that in this scenario the increased nitrate burden causes increased competition for the 724 available supersaturation and the effect of dust-nitrate interactions on the smaller aerosol 725 populations is not as emphasized as in the base case.

The total  $NO_3^-$  aerosol  $RE_{aci}$  shows a positive sign, which is attributed to a reduced cloud albedo effect. More specifically, although the presence or absence of  $NO_3^-$  aerosol in the atmosphere did not significantly affect the total available maximum supersaturation, it did alter both the hygroscopicity and wet radii of the aerosols. In the presence of  $NO_3^-$ , the hygroscopicity of aerosols

over deserts was increased by up to an order of magnitude, leading to an increase in their wet 730 731 radius of up to 10%, with an even larger increase of up to 40% for smaller particles over urban 732 regions. Therefore, in the presence of  $NO_3^-$  aerosols, the depletion of fine particles by coagulation 733 with coarser particles (i.e., mineral dust) is enhanced and further increases the size of the coarse 734 particles. The reduction in the number of aerosols is up to 10% in some regions, with maximum 735 reductions calculated over Southeast Asia. This reduction in the number of fine aerosols leads to 736 a reduction in the number of cloud droplets activated by fine aerosols (also up to 10%), which would otherwise have absorbed more outgoing longwave radiation and, more importantly, 737 scattered more incoming shortwave radiation. Thus, the reduced cloud albedo effect leads to a 738 cooling in the longwave part of the spectrum, which is offset by a strong warming in the shortwave 739 part, overall resulting in a net warming of the atmosphere. 740

The chemistry-climate model simulations presented here suggest that  $NO_3^-$  aerosol-radiation interactions lead to a net effect of -0.11 W/m<sup>2</sup> (cooling) driven by fine  $NO_3^-$  aerosol, while  $NO_3^$ aerosol-cloud interactions lead to a net effect of +0.17 W/m<sup>2</sup> (warming) driven mainly by coarse mode  $NO_3^-$  aerosol.

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### 746 Code and Data Availability

The usage of MESSy (Modular Earth Submodel System) and access to the source code is licensed 747 to all affiliates of institutions which are members of the MESSy Consortium. Institutions can 748 become a member of the MESSy Consortium by signing the "MESSy Memorandum of 749 Understanding". More information can be found on the MESSy Consortium website: 750 http://www.messy-interface.org (last access: 22 May 2024). The code used in this study has been 751 based on MESSy version 2.55 and is archived with a restricted access DOI 752 (https://doi.org/10.5281/zenodo.8379120, The MESSy Consortium, 2023). The data produced in 753 754 the study is available from the authors upon request.

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### 764 **Competing Interests**

At least one of the (co-)authors is a member of the editorial board of Atmospheric Chemistry andPhysics.

### 767 Author Contributions

AM and VAK wrote the paper with contributions from KK, APT, JFK, MK, and AN. VAK planned the research with contributions from APT, MK and AN. AM, KK and VAK designed the methodology for the radiative effect calculations. AM performed the simulations and analyzed the results, assisted by VAK and APT. All the authors discussed the results and contributed to the paper.

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