



## Impact of host climate model on contrail cirrus effective radiative forcing estimates

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**Abstract.** Aviation is currently estimated to contribute  $\sim 3.5\%$  of the net anthropogenic effective radiative forcing (ERF) of Earth's atmosphere. The largest component of this forcing comes from contrail cirrus (also with a large associated uncertainty of  $\sim 70\%$ ), estimated to be two times larger than the contribution from aviation CO<sub>2</sub> emissions. Here we implement the contrail parameterisation previously developed for the USA NCAR (National Center for Atmospheric Research) Community Atmosphere Model (CAM) in the UK Met Office Unified Model (UM). By using for the first time the same contrail parameterisation in two different host climate models, this work investigates the impact of key features of the host climate model on quantifying contrail cirrus radiative impacts. We find that differences in the background humidity (in particular ice supersaturation) in the two climate models lead to substantial differences in simulated contrail fractions, with UM values being two to three times as large as those from CAM. We also find contrasting responses in overall global cloud fraction due to air traffic, with contrails causing increases and decreases in total cloud fraction in the UM and in CAM, respectively. The different complexity of the two models' cloud microphysics schemes (i.e. single and double-moment cloud schemes in the UM and CAM, respectively) results in significant differences in the simulated changes in cloud ice water content due to aviation. When accounting for the difference in cloud microphysics complexity, we estimate the contrail cirrus ERF of the year 2018 to be  $40.8 \text{ mWm}^{-2}$  in the UM and  $60.1 \text{ mWm}^{-2}$  in CAM. While these two estimates are not entirely independent, they indicate a substantial (i.e. factor of  $\sim 2$ ) uncertainty in contrail cirrus ERF from differences in the microphysics and radiation schemes of the two host climate models. We also find a factor of 8 uncertainty in contrail cirrus ERF due to existing uncertainty in contrail cirrus optical depth. We suggest that future work on the contrail cirrus climate impact should focus on better representing the microphysical and radiative contrail characteristics in different climate models and on improved observational constraints.



## 35 1 Introduction

The aviation sector has been growing rapidly over the last six decades, except for a temporary decrease in traffic caused by the measures to limit the spread of the COVID-19 pandemic (ICAO, 2023). The steady growth of civil aviation has resulted in an average increase in CO<sub>2</sub> emissions of 2% yr<sup>-1</sup> between 1970 and 2012, further accelerating to 5% yr<sup>-1</sup> from 2013 to 2018 (Lee et al., 2021). According to International Civil Aviation Organization (ICAO) monthly monitor, passenger air traffic on most routes has reached pre-pandemic revenue passenger kilometer (RPK) level by the end of 2023 (ICAO, 2024). Furthermore, predictions based on various economic and future aircraft emission scenarios estimate that aviation fuel usage and associated CO<sub>2</sub> emissions could experience 2-3 fold increases by 2050 (Dray et al., 2022).

As recently reviewed by Lee et al. (2021), global aviation in 2018 contributed 3.5% to the total anthropogenic effective radiative forcing (ERF). While a third of the aviation ERF is estimated to be caused by carbon dioxide (CO<sub>2</sub>) emissions, the majority (i.e. 2/3) is associated with non-CO<sub>2</sub> effects, including aviation induced cloudiness (contrails and contrail cirrus) and emissions of nitrogen oxides (NO<sub>x</sub>), water vapour, and aerosols (in particular, soot and sulphate). Of the known aviation climate forcing contributors, contrails and contrail cirrus (spreading contrails that are no longer line-shaped) are estimated to be the largest, responsible for almost twice as much as the CO<sub>2</sub> contribution (Burkhardt and Kärcher, 2011). Contrails are line-shaped high clouds that form as a result of the mixing between the warm and moist jet engine exhaust and the cool ambient air under liquid water saturation conditions (Schumann, 1996). Schmidt (1941) and Appleman (1953) described the contrail formation process based on the thermodynamic theory. Particle emissions from the aircraft engines are not necessary at the contrail formation stage as particles from the ambient air could entrain into the exhaust plume and act as condensation nuclei. When the ambient air is supersaturated with respect to ice, contrails can persist and may last for minutes up to several hours (Minnis et al., 1998). Persistent contrails may evolve into extended cirrus clouds (i.e., contrail cirrus) due to spreading and shearing (Kärcher, 2018).

Like natural cirrus, contrail cirrus changes the radiative balance of the Earth in two ways. First, their ice crystals can scatter shortwave radiation back to space leading to a reduction in solar radiation reaching the Earth's surface (shortwave cooling effect). Second, contrails absorb infrared (longwave) radiation from the Earth and re-emit at lower temperatures (longwave warming effect). For contrails, the longwave warming effect dominates on average, causing a net positive (warming) radiative forcing (RF) (Burkhardt and Kärcher, 2011; Rap et al., 2010a; Chen and Gettelman, 2013). Contrail cirrus can also have an impact on natural clouds as their presence changes the water budget of the surrounding atmosphere, which may partially offset the direct climate impact of contrail cirrus (Burkhardt and Kärcher, 2011).

The global climate impact from aviation, including contrails and contrail cirrus, has been reviewed over the past decades. Initial assessments (e.g. Minnis et al. (1999) and Lee et al. (2009)) only considered the effect of linear contrails. Simulating and quantifying the effect of ageing and spreading contrails is challenging for models as this requires simulating the whole contrail cirrus life cycle, including complex cloud microphysical processes, spreading, and the interaction with background cloudiness (Burkhardt and Kärcher, 2009). A best estimate for contrail cirrus ERF (including the natural cloud feedback) was



only available in the latest two Intergovernmental Panel on Climate Change (IPCC) Assessment Reports (ARs), i.e., Boucher et al. (2013) and Lee et al. (2021). In the IPCC 5<sup>th</sup> AR, the ERF of contrail cirrus was estimated for 2011 as 50 (5–95% likelihood range of (20, 150))  $\text{mW m}^{-2}$  (Boucher et al., 2013). This was derived from scaling and averaging two studies, i.e., Schumann and Graf (2013) and Burkhardt and Kärcher (2011). More recently, Lee et al. (2021) provided estimates of contrail cirrus ERF of 57  $\text{mW m}^{-2}$  (5–95% likelihood range of (17,98)) for 2018, calculated by scaling and averaging updated contrail cirrus RF and ERF estimates (Bock and Burkhardt, 2016; Burkhardt and Kärcher, 2011; Chen and Gettelman, 2013; Bickel et al., 2020; Schumann et al., 2015). Therefore, in the IPCC 6<sup>th</sup> AR, the uncertainty of the contrail cirrus ERF has been reduced compared with the IPCC 5<sup>th</sup> AR to 70% due to the development of process-based approaches simulating contrail cirrus in recent years. However, this uncertainty remains large, with the low confidence in the current best estimates of contrail cirrus ERF, reflecting the incomplete knowledge (e.g., spreading rate, optical depth, and radiative transfer) (Lee et al., 2021). The 70% uncertainty results from the combined process uncertainties, simulated in a small number of available studies (Lee et al., 2021). There are three main sources of this uncertainty: (i) Upper tropospheric humidity and clouds. This largely originates from the high variability in the temporal and spatial scales of upper tropospheric ice supersaturation. (ii) The treatment of contrail cirrus and the interactions with natural clouds, and in particular the contrail ice crystal microphysical properties, contrail cirrus lifecycle and natural cloud adjustments. (iii) The radiative transfer response to contrail cirrus. This is largely due to differences in the radiation schemes across climate models, background cloud fields and their vertical overlap with contrail cirrus, homogeneity assumptions of the contrail cirrus field, and, furthermore, the presence of very small ice crystals and unknown ice crystal habits. In addition to this 70% quantified uncertainty, there is also the unquantified uncertainty due to the impact of contrails forming within natural clouds or the change in radiative transfer due to soot aerosols in contrail cirrus ice crystals.

The aviation industry has been under increasing pressure in recent years to substantially reduce its climate effect. Contrail cirrus is currently the largest aviation short-lived climate forcer and is therefore an important target for mitigation, which would make an immediate impact to the Earth's radiation budget. The decreases in the contrail occurrence and contrail cirrus ERF due to the reduction in air traffic resulting from pandemic restrictions in early 2020 have been demonstrated in several modelling and observation-based studies (Quaas et al., 2021; Digby et al., 2021; Schumann et al., 2021; Gettelman et al., 2021). A number of mitigation options have been explored to reach the aviation industry's commitment of achieving net zero CO<sub>2</sub> emissions by 2050, including technical improvements, operational management, and the use of alternative fuels (Aviation, 2020). However, the large uncertainties and poor scientific understanding in the contrail climate forcing could undermine the effectiveness of these mitigation strategies and create unintended consequences of increasing the overall climate forcing.

To date, there are only two global climate models able to simulate contrail cirrus, i.e. ECHAM (Burkhardt and Kärcher, 2009; Burkhardt and Kärcher, 2011) and the NCAR (National Center for Atmospheric Research) Community Atmosphere Model (CAM) (Chen et al., 2012; Chen and Gettelman, 2013). These models include different physical parametrisations for cloud microphysics in general and contrail cirrus parameterisations in particular, with ECHAM simulating a separate contrail cirrus



cloud class, and CAM integrating contrail ice with other ice clouds. This limits our ability to constrain the contrail cirrus ERF uncertainty.

The main aim of this study is to investigate the impact of key host climate model characteristics on contrail cirrus simulations by adapting the Chen et al. (2012) contrail cirrus CAM parameterisation for the UK Met Office Unified Model (UM) (Sellar et al., 2019). By using the same contrail parameterisation in two different host climate models, we are able to directly compare contrail cirrus estimates, therefore contributing to improving the understanding of main sources of uncertainty in simulated contrail cirrus microphysical and optical properties, as well as the associated natural cloud responses.

## 2 Methodology

### 2.1 The host climate models

In this study, contrail cirrus simulations were performed with two atmospheric models: UM and CAM. Despite their different cloud microphysics and radiation schemes, both UM and CAM compare well with satellite observations in terms of simulated cloud microphysical, macrophysical and optical properties (e.g. ice and liquid water path and specific humidity) (Jiang et al., 2012; Medeiros et al., 2023; Vignesh et al., 2020; Delanoë et al., 2011; Williams and Bodas-Salcedo, 2017).

The UM is a numerical model of the atmosphere which is used for both weather and climate applications. The UM is coupled as the atmospheric component of past and current generations of the UK climate models, i.e., the Hadley Centre Coupled Model (HadCM), the Hadley Centre Global Environmental Model (HadGEM), and the UK Earth System Model (UKESM), which are part of the Climate Model Intercomparison Project (CMIP) and have provided input to the IPCC ARs over the years. Cloud microphysics in the UM is parameterised by the Wilson and Ballard (1999) single-moment large-scale precipitation scheme, with extensive modifications. For instance, ice cloud parameterisations use the universal particle size distribution (PSD) of Field et al. (2007) built on a large amount of in situ measured PSDs and mass-diameter relations of Cotton et al. (2013). Cloud fraction and condensate is addressed by the PC2 large-scale cloud scheme (Wilson et al., 2008). The impact of convective cloudiness is represented by source terms that couple the convection scheme to PC2, based on Tiedtke (1993) and (Wilson et al., 2008). Radiative transfer is calculated with the Suite of Community Radiative Transfer Codes Based on Edwards and Slingo (SOCRATES) scheme (Edwards and Slingo, 1996; Manners, 2018), using six shortwave and nine longwave radiation bands. The radiation scheme treats cloud ice crystals following Baran et al. (2016), assuming a maximum-random overlap for the vertical cloud layers.

CAM (Bogenschutz et al., 2018) is the atmospheric component of Community Earth System Model (CESM) (Danabasoglu et al., 2020). CAM version 6 (CAM6) used here employs a double-moment cloud microphysics scheme (Gettelman and Morrison (2015), Gettelman (2015)) and has been recently updated to include rimed ice (Gettelman et al. (2019)). The scheme is coupled to an aerosol microphysics and chemistry model (MAM4, (Liu et al., 2016)), and driven by a unified turbulence scheme for the boundary layer, shallow convection and large scale condensation (Bogenschutz et al., 2013; Larson, 2017). The number concentration of aerosols is connected to ice/warm cloud microphysics accounting for ice and liquid activation of cloud crystals



and drops (Liu et al., 2016). In CAM6 the convective cloud scheme is based on the description of Zhang and Mcfarlane (1995) and Zhang et al. (1998). The model radiation code has been updated to the Rapid Radiative Transfer Model for General  
135 Circulation Models (RRTMG) (Iacono et al., 2008). RRTMG divides the solar spectrum into 14 bands and the thermal infrared into 16 bands, with varying number of quadrature points (g points) in each of the bands. The cloud overlap is treated using the maximum-random cloud overlap assumption in RRTMG, similar to the SOCRATES radiative transfer scheme in the UM.

## 2.2 The contrail parameterisation

Previous studies have simulated the climate impact of contrails in the UM using a linear contrail scheme (Rap et al., 2010a;  
140 Rap et al., 2010b). In order to allow the UM to also simulate the spreading of linear contrails into contrail cirrus and the associated impacts on natural cirrus clouds (Burkhardt and Kärcher, 2011), here we adapt the Chen et al. (2012) CAM contrail cirrus parameterisation for the UM. This contrail parameterisation has been used in several studies on aviation climate impacts (e.g., Chen and Gettelman (2013), Gettelman et al. (2021), and Lee et al. (2021)).

In this contrail cirrus parameterisation, contrails form according to the Schmidt–Appleman criterion (Schumann, 1996) and  
145 persist in ice supersaturated regions. An empirical formula giving the critical temperature ( $T_c$ , in degrees Celsius) for contrail formation, as described by Schumann (1996), is

$$T_c = -46.46 + 9.43 \ln(G - 0.053) + 0.72[\ln(G - 0.053)]^2, \quad (1)$$

with  $G$ , in units of  $\text{Pa K}^{-1}$ , is defined as

$$G = \frac{EI_{H_2O} \cdot c_p p}{\varepsilon Q(1-\eta)}, \quad (2)$$

150 where  $EI_{H_2O}$  is the emission index of water vapour in  $\text{kg}_{H_2O}/\text{kg}_{fuel}$ ,  $c_p$  is the specific heat of air at constant pressure in  $\text{J}/\text{kg}_{air}/\text{K}$ ,  $p$  is the atmospheric pressure in  $\text{Pa}$ ,  $\varepsilon$  is the ratio of molecular masses of water and air in  $(\text{kg}_{H_2O}\text{mol}^{-1})/(\text{kg}_{air}\text{mol}^{-1})$ ,  $Q$  is the specific combustion heat in  $\text{J}/\text{kg}_{fuel}$ , and  $\eta$  is the propulsion efficiency of the jet engine.

The critical relative humidity  $RH_c$  for contrail formation depends on  $G$ ,  $T_c$  and the ambient temperature  $T$  and is expressed, as  
155 in Ponater et al. (2002)

$$RH_c(T) = \frac{[G \cdot (T - T_c) + e_{sat}^L(T_c)]}{e_{sat}^L(T)}, \quad (3)$$

where  $e_{sat}^L$  is the saturation pressure of water vapour with respect to the liquid phase.

Contrails form when the ambient temperature is below the critical temperature and the ambient relative humidity is above the critical relative humidity. Furthermore, a contrail persistence condition requires that the ambient air is supersaturated with  
160 respect to ice. In addition to the water vapour emitted by aircraft engines, ambient water vapour above ice supersaturation within the volume swept by aircraft is also added to the formation of contrails. This aircraft swept volume is a product of the



flight path distance  $d$  in  $m$  and cross-sectional area  $C$  in  $m^2$  (Chen et al., 2012). The contrail ice mass mixing ratio is therefore calculated as

$$\mathcal{M} = q_t \Delta_t + \frac{d \cdot C}{V} (x - x_{sat}^i), \quad (4)$$

165 where  $q_t$  is the aviation water vapour emission mixing ratio tendency in  $kg/kg/s$ ,  $V$  is the volume of the given grid cell in  $m^3$ ,  $x$  is the ambient specific humidity in  $kg/kg$ , and  $x_{sat}^i$  is the saturation specific humidity with respect to ice under the ambient temperature and pressure in  $kg/kg$ . The contrail fraction is calculated as the ratio of contrail ice mass mixing ratio and an empirical value for the in-cloud ice water content (ICIWC):

$$\Delta A = \frac{M}{ICIWC / \rho_a}, \quad (5)$$

170 where  $\rho_a$  is the density of air and ICIWC is calculated as a function of temperature:

$$ICIWC (gm^{-3}) = e^{(6.97 + 0.103T(^{\circ}C))} \times 10^{-3}, \quad (6)$$

The contrail parameterisation is described in detail in Chen et al. (2012), where the contrail model simulation results have been evaluated against observations.

In both host climate models (i.e. UM and CAM), contrail cirrus and natural clouds compete for water vapour available for  
175 condensation, and contrails feedback on natural cirrus cloudiness due to contrail-induced changes in moisture and temperature. If ice supersaturation persists, the contrail cloud will take up ambient water vapour and grow. The subsequent evolution of contrails is determined by the model state, and the contrail cloud is treated no differently than any other ice cloud in the models. The contrail ice mass and fraction are added to the large-scale ice and mass as increments at the end of each time step.

An important difference between UM and CAM consists in their cloud microphysics schemes. For CAM, this is a double-  
180 moment scheme (Gettelman and Morrison, 2015; Gettelman, 2015), where contrails are described by their fraction, ice water mixing ratio and ice crystal number concentration. In contrast, the UM has a one-moment cloud microphysics scheme (Wilson and Ballard, 1999), where contrails are described by their fraction and ice water mixing ratio only. This means that in the UM, the same prescribed ice number concentration is applied to both natural cirrus and contrails. The effects of the UM one-moment scheme on contrail cirrus simulations are discussed in section 3. By explicitly simulating ice crystal number concentration  
185 changes in its double-moment cloud scheme, CAM is able to overcome the contrail optical depth underestimation simulated by single-moment schemes (Kärcher et al., 2010). This underestimation remains an issue that needs to be accounted for in the UM. Another difference between the two climate models consists in the fact that while there are separate ice and snow categories as in CAM, there is only one ice category in the UM (containing both ice and snow), represented by a single generic ice distribution based on a large dataset (Field et al., 2007).

190 In terms of air traffic inventory, we use the Aviation Environmental Design Tool (AEDT) dataset for both our UM and CAM simulations, including monthly mean distance flown and water vapour emission from air traffic for the year 2006 (Wilkerson et al., 2010). The initialised ice particles within contrails in CAM are assumed to be spherical and have a radius of  $3.75 \mu m$



195 based on contrails aged for 20–30 min (Schröder et al., 2000). In the UM, given its one-moment cloud scheme, we have to specify the same PSD for contrail ice than for natural cloud ice. The cross-sectional area of the initial volume of contrails is assumed to be 100 m × 100 m for both CAM and UM simulations, similar to the latest CAM contrail study (Gettelman et al., 2021).

### 2.3 Simulations setup

200 The CAM simulations in this study were run using CAM6 at 1.25° longitude × 0.9° latitude horizontal resolution, 56 vertical levels (~40 hPa/~1000 m in the upper troposphere and lower stratosphere (UTLS)) from the surface to about 45 km, using a model time step of 30 min. The UM simulation uses the Global Atmosphere 8.0 configuration (Walters et al., 2019) at UM version 12.0, a model timestep of 20 min and a N96L85 resolution, equating to a 1.9° longitude × 2.5° latitude horizontal resolution and 85 levels (~18 hPa/~500 m in the UTLS) in the vertical with a ~85 km top.

205 We run 20 ensemble member simulations for each of the two models, with the imposed perturbations resulting in a slightly different atmospheric evolution for each ensemble member to represent model uncertainty. For CAM, small, random perturbations were imposed to the initial temperature fields. In the UM, ensemble parameters from different physical parameterisations were perturbed with the random-parameter method following Bowler et al. (2008) and McCabe et al. (2016). All members in the CAM simulation are initialized on 1 January 2006 and run for one year. The UM was run for 1 year and 4 months starting from 1 September 2005 to 31 December 2006, and the first 4 months were discarded and considered to be a spin-up period. We use the Student's t-test across the ensembles to test the significance of the results.

210 To allow both models to capture the relatively small contrail perturbations (compared to the model internal variability in clouds and radiation) and to enhance the signal-to-noise ratio, the winds and temperature fields were nudged to a prescribed climatology, thus maintaining the simulated model atmosphere in a 'similar' weather state across all members and consequently reducing the model internal variability. Winds in the UM were nudged towards the ERA5 reanalysis (Hersbach et al., 2020) on a six-hourly basis. CAM6 was run in a nudging configuration using the NASA MERRA-2 reanalysis (Gelaro et al., 2017) winds with a relaxation time scale of 24 hours, which is close to the simulation setups in Gettelman et al. (2021) to produce a similar cloud climatology as the free running CAM.

## 3 Results

### 3.1 Ice supersaturation in the UM and CAM6

220 The ice supersaturation generated by the host climate model is key for determining both the microphysical properties and lifetime of the simulated contrail cirrus. Previous evaluation studies show good agreement between simulated UM and CAM ice supersaturation and observations (Chen et al., 2012; Irvine and Shine, 2015). The models' humidity has also been validated against observations and intercompared with other CMIP5 climate models (Jiang et al., 2012).





To evaluate the differences in the UM and CAM background meteorology, we analyse the frequency of background ice supersaturation simulated by the two models between the 100 hPa and 400 hPa pressure levels in the control simulations (i.e. without aviation contrails) based on single deterministic runs (Fig. 1). The ice supersaturation frequency pattern is similar in both models, with relatively high frequencies over mid and high latitudes below the tropopause and over the tropics in the UTLS. There is a hemispheric asymmetry in ice supersaturation frequency (larger in the Southern Hemisphere) shown in both the UM and CAM simulations. The maxima in upper tropospheric ice supersaturation in the UM are shifted upwards compared to the CAM simulations, leading to the relatively higher ice supersaturation frequency in the UM compared to CAM throughout much of the UTLS. In regions with intense air traffic (coloured contour lines in Fig. 1), the higher ice supersaturation frequency in the UM than CAM creates the potential for more persistent contrails in the UM.

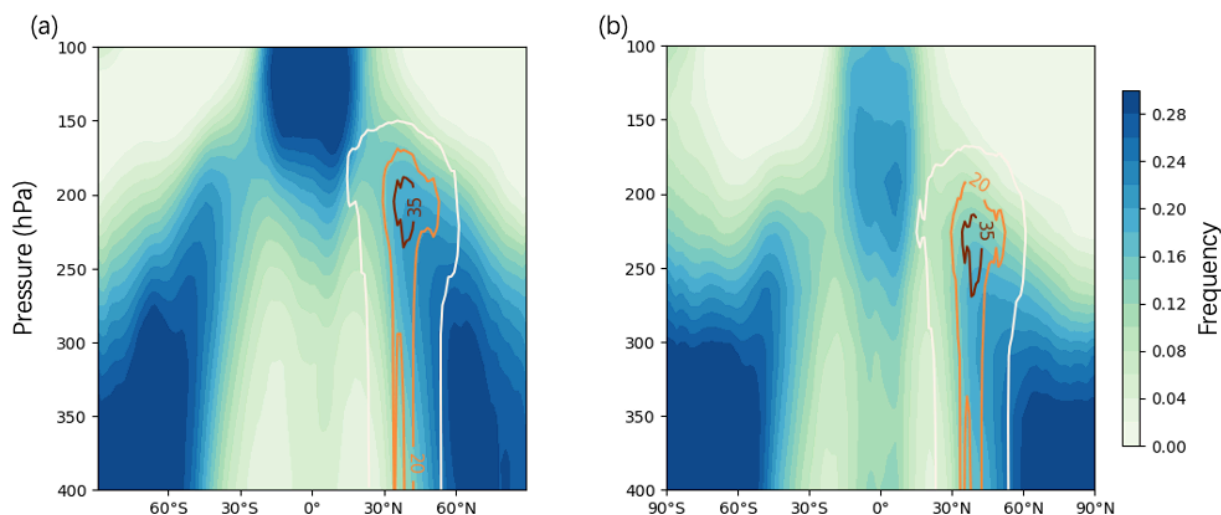
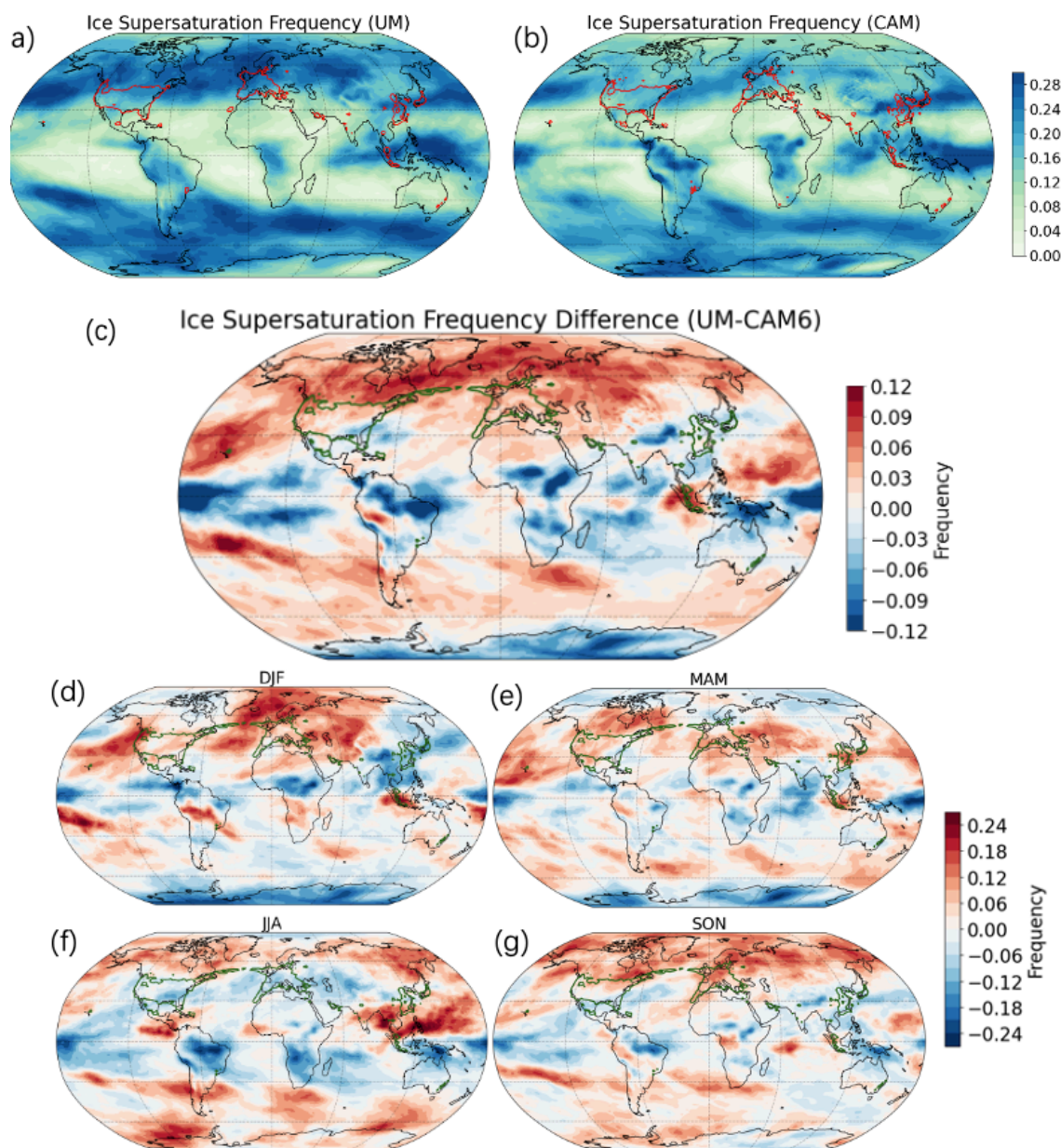


Figure 1. Annual zonal mean frequency of background ice supersaturation simulated by the (a) UM and (b) CAM. The ice supersaturation frequency is calculated on a model time step basis. The coloured contour lines represent the annual zonal means of the flight distance (in m/s) in the AEDT air traffic inventory interpolated on the host model resolution.

Figure 2 shows the geographical distribution of averaged background ice supersaturation frequency between the 200 hPa and 300 hPa pressure levels (i.e. around the flight cruising levels) in the UM and CAM. The frequency of ice supersaturation in both the UM and CAM has a similar distribution pattern. There are however some important differences (illustrated in Fig. 2c), with the UM exhibiting higher and more widespread maxima than CAM, especially in large air traffic density regions in the Northern Hemisphere, e.g. Europe and continental USA, which again can potentially facilitate persistent contrail formation. Over East Asia, which is another air traffic hotspot, the ice supersaturation frequency between the UM and CAM6 is similar. The seasonal cycle of ice supersaturation frequency difference between the UM and CAM varies spatially as shown in Fig. 2d, e, f, and g. The UM in general has higher ice supersaturation frequency except for June-July-August over Europe and



continental USA. Over East Asia, the UM has lower ice supersaturation frequency apart from March–April–May. This seasonal cycle indicates a potential seasonal cycle of the differences in contrail cirrus estimates between the two models.

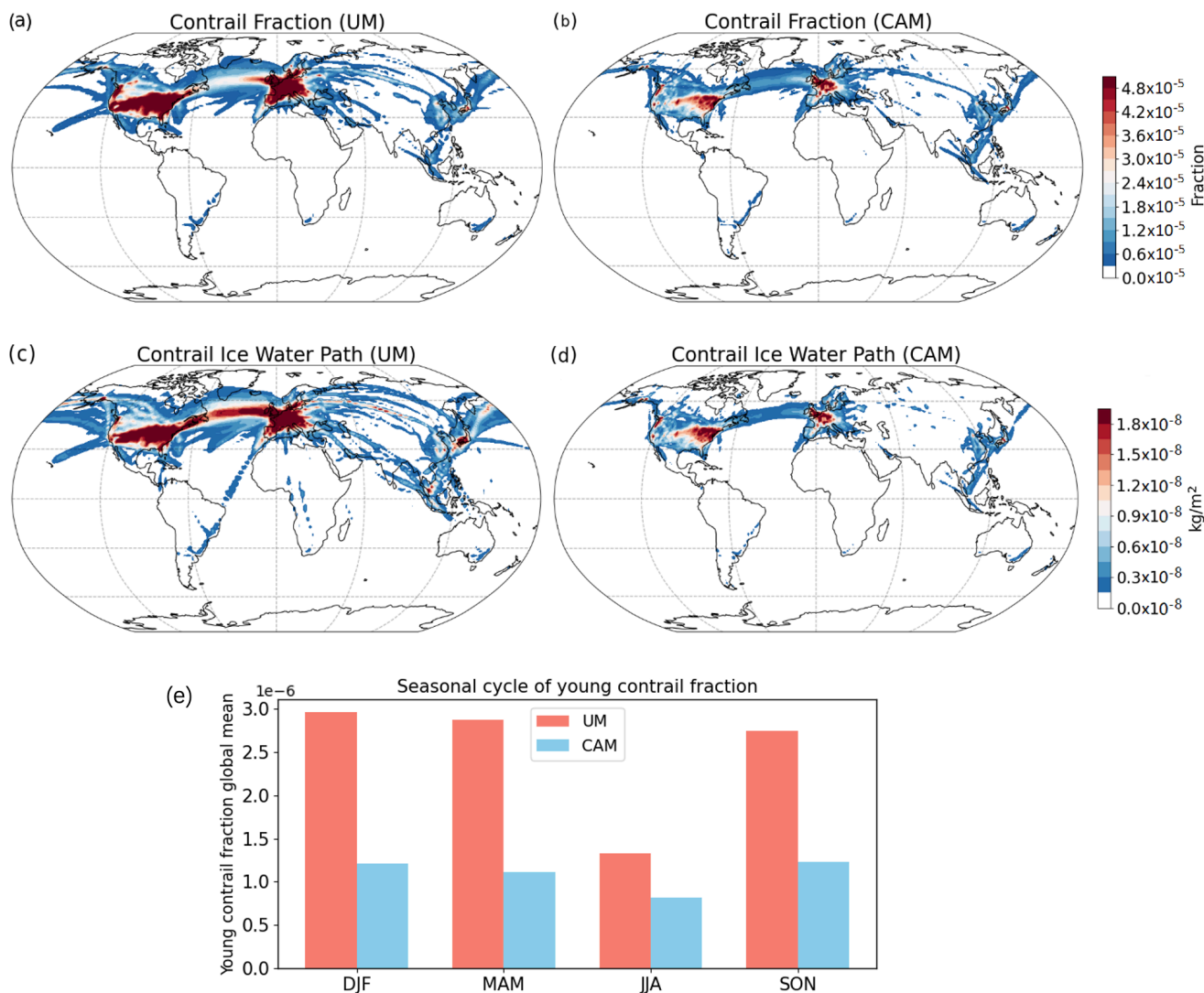


250 **Figure 2.** Maps of the annual mean background ice supersaturation frequency averaged between the 200 hPa and 300 hPa pressure  
levels for 2006, generated by the (a) UM and (b) CAM. Panel (c) shows the difference in ice supersaturation frequency between the  
UM and CAM (UM minus CAM). Panels (d)–(g) show the seasonal mean of the ice supersaturation difference between the UM and  
CAM in December–February (DJF), March–May (MAM), June–August (JJA), and September–November (SON), respectively. The  
255 green contour lines show where the mean flight distance in the AEDT air traffic inventory is over 50 meters of aggregated flight  
distance per second.



### 3.2 Simulated young contrails

The young contrail - the contrail in the first model time step of its life cycle - cover fraction and ice mass mixing ratio are diagnosed by the contrail parameterisation during each model time step. Subsequently, those contrails are added at the end of the time step as increments to the natural cloud fraction and ice mass mixing ratio. The geographical distributions of the annual mean young contrail fraction and ice water path in the UM and CAM are shown in Fig. 3. The contrail fraction geographical distribution is calculated based on the random overlap assumption over vertical layers. There are many similarities in the patterns of the young contrail fraction and ice water path simulated by the two models, since both employ the same air traffic inventory. Contrails appear mostly over the Northern Hemisphere, with maxima over the continental USA and Europe and substantial amounts over the North Atlantic corridor and East Asia. However, the magnitude of both young contrail fraction and ice water path in the UM is substantially larger than in CAM as summarized in Table 1. We estimate a global average young contrail fraction of 0.00018% in CAM and 0.00027% in the UM. Over the high air traffic European region defined here as 35° N-60° N latitude and 10° W-25° E longitude, the young contrail fraction averages are 0.0023% and 0.0048% in CAM and the UM, respectively. There are also large differences in the simulated young contrail ice water path. We note that the CAM values were normalised to 20 minutes by a factor of 0.67 (2/3) to account for the different model time step lengths (i.e. 20 minutes in the UM and 30 minutes in CAM). The larger young contrail fraction and ice water path values simulated in the UM are due to the larger frequency of ice supersaturation in the UM, compared to CAM (Fig. 1 and 2).



275 **Figure 3.** Annual mean young contrail fraction (using the random overlap assumption) from (a) UM and (b) CAM and contrail ice water path from (c) UM and (d) CAM using the AEDT air traffic inventory for 2006. Panel (e) shows the UM and CAM global seasonal mean young contrail fraction. The results of CAM are normalised to 20 minutes by a factor of 0.67 to account for the different model time step lengths (i.e. 20 minutes in the UM and 30 minutes in CAM).

280 Young contrail fractions of the UM and CAM have similar monthly variations, with minima and maxima in boreal summer and winter, respectively (Fig. 3e). This monthly variation is consistent with Chen et al. (2012) and other modelling and satellite observation-based studies (Bock and Burkhardt, 2016; Chen et al., 2012). The minima of contrail fraction in boreal summer primarily result from the high temperature in the Northern Hemisphere, which inhibits the formation of contrails. The young contrail fractions of the two models are similar to one another during boreal summer, while during the other



285 seasons the UM estimates are two to three times larger than those from CAM. The different magnitude of seasonal cycle in  
 young contrail fraction is consistent with the seasonal cycle of ice supersaturation frequency difference mentioned in section  
 3.1. The global mean of the young contrail fraction in the UM is still larger than that in CAM during boreal summer while  
 the ice supersaturation frequency in the UM is relatively lower over some of the regions with intensive air traffic (e.g.,  
 Europe and the continental USA) compared to CAM. This may be due to compensation by larger young contrail fraction in  
 290 other regions (e.g., the North Atlantic corridor and East Asia) in the UM, where the UM has higher ice supersaturation than  
 CAM.

**Table 1. Young contrail diagnostics simulated by UM and CAM using the AEDT air traffic inventory for 2006. The results of CAM  
 are normalised to 20 minutes by a factor of 0.67 to account for the different model time step lengths (20 minutes in the UM, 30  
 295 minutes in CAM).**

Model	Young Contrail Fraction (%)		Young Contrail Ice Water Path (kg/m <sup>2</sup> )	
	Europe Mean	Global Mean	Europe Mean	Global Mean
UM	0.0048	0.00027	$1.79 \times 10^{-8}$	$1.31 \times 10^{-9}$
CAM	0.0023	0.00018	$0.77 \times 10^{-8}$	$0.66 \times 10^{-9}$

However, our simulated young contrail fraction values are larger than the corresponding Chen et al. (2012) estimates, where  
 the contrail parameterisation was implemented into the earlier CAM5 version of the model (compared to CAM6 version  
 used in this study). Chen et al. (2012) reported a large dependence of the simulated young contrail fraction on the number  
 300 of vertical levels used in CAM, with a factor of around 10 difference between simulations using 30 and 82 vertical levels.  
 To account for this dependence, we compare the annual global mean young contrail fraction of 0.00018% from our CAM6  
 simulations with 56 levels overall and ~1000 m vertical level height in the UTLS with the corresponding value of 0.000061%  
 estimated in CAM5 with 30 vertical levels in total and ~1000 m vertical interval in the UTLS (Chen et al., 2012). Our  
 CAM6 simulated value is therefore ~3 times larger than CAM5 value reported in Chen et al. (2012), indicating differences  
 305 in the model physics, especially ice supersaturation frequencies in the two CAM versions.

We note that the young contrail fraction presented here for both UM and CAM only corresponds to persistent contrails within  
 one model timestep and is therefore not directly comparable with the contrail fraction reported by other models. For instance,  
 the ECHAM5 simulated contrail cirrus fraction of 0.74% for contrail cirrus with an optical depth threshold of at least 0.05  
 Bock and Burkhardt (2016) also includes contrails older than one model timestep.

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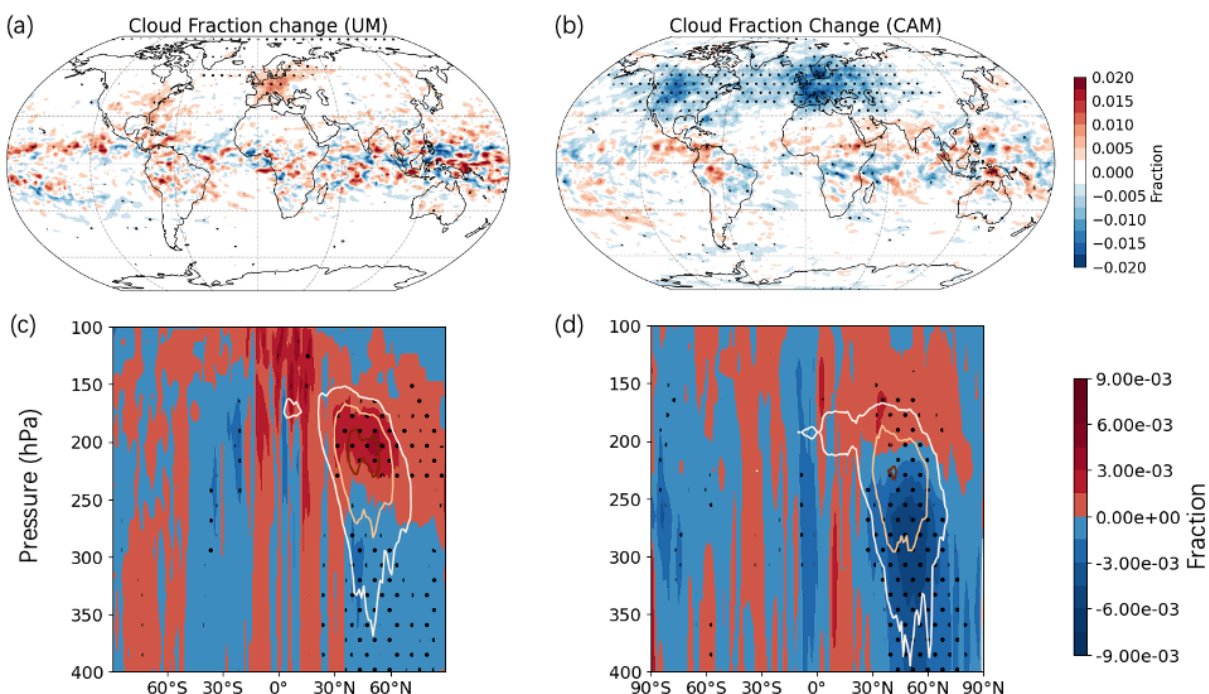




### 3.3 Simulated contrail impact on overall cloud fields

The UM and CAM employ distinct cloud and radiation schemes as mentioned in section 2.1. To investigate the response of the two models' cloud schemes to the presence of contrail cirrus, we analyse the averaged differences between the perturbed (with contrails) and the control runs (without contrails) over 20 ensemble members. We also assess the statistical significance of these differences using a student-T test, considering the change as significant when the magnitude of local perturbations exceeds two standard deviations of the 20 ensemble members (i.e. 95% confidence level).

Figure 4 shows the geographical distribution of the changes in total cloud (i.e. natural + contrail) fraction due to the presence of contrails and their feedback on natural clouds. In the UM, the presence of contrails leads to a statistically significant increase in annual global mean total cloud fraction of about 0.004%, with larger relative increases over European regions with large air traffic density, where the change amounts up to 0.4%. This overall cloud fraction response in the UM is consistent with other model studies (Bock and Burkhardt, 2016; Quaas et al., 2021). For example, the contrail cirrus simulations with the ECHAM model reported an overall increase in the total cloud fraction (natural cirrus + contrails) with a partly compensation by the decrease in natural cirrus cover (Burkhardt and Kärcher, 2011; Bickel et al., 2020). In contrast, our CAM simulations indicate a strong reduction in the overall cloud fraction: -0.06% globally and -0.7% over Europe. From the vertical cross section in CAM, the decrease in cloud fraction is mainly situated around and below the contrail formation areas (Fig. 4d). This reduction in cloud fraction in CAM has also been reported in Gettelman et al. (2021). They linked this to a reduction in relative humidity caused by the local temperature increase from added contrails ice mass.





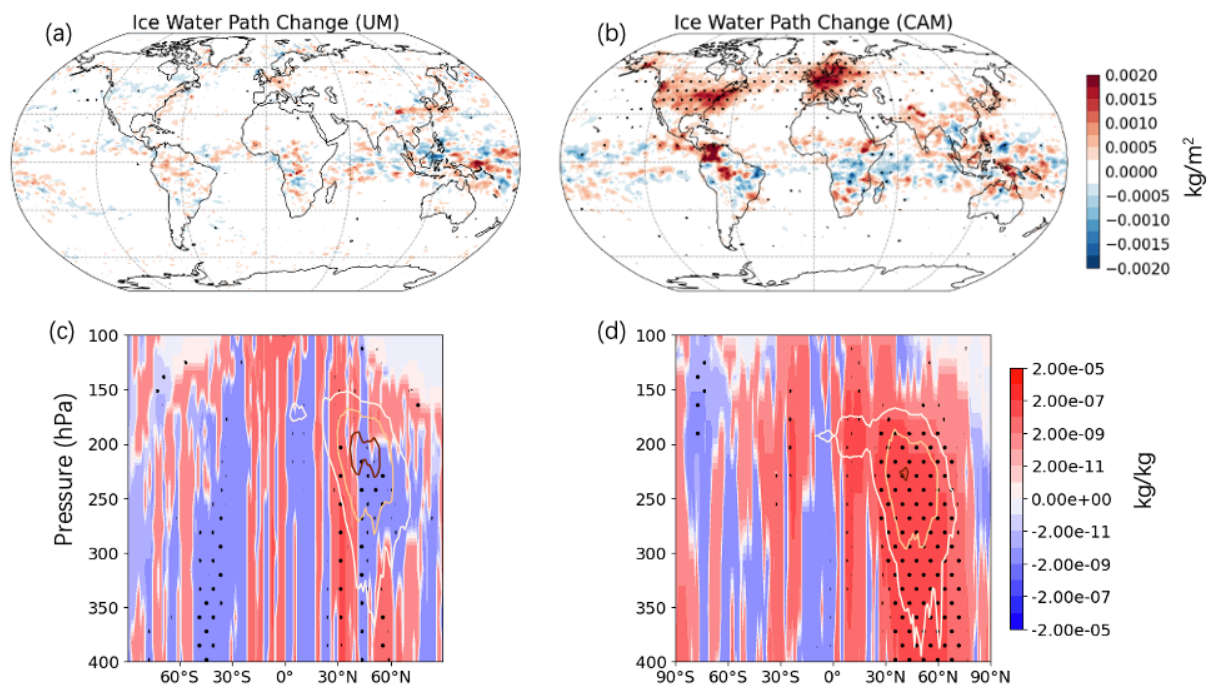
330 **Figure 4. Annual mean total cloud fraction changes at 220 hPa from the (a) UM and (b) CAM using the AEDT air traffic inventory for 2006. Annual zonal mean of cloud fraction changes caused by contrails in (c) UM and (d) CAM using the AEDT air traffic inventory for 2006. Dotted areas indicate statistically significant changes at 95% confidence level across the 20 ensemble member simulations.**

335 The simulated impact of contrails on the overall cloud ice water content in the UM is substantially smaller, compared to the CAM simulations (Fig. 5). In terms of cloud ice water path, the simulated response in the UM (Fig. 5a) only shows some slight increases due to the presence of contrails over Europe; over other regions the changes are not significant due to small signal-to-noise ratios. There are decreases in cloud ice mass mixing ratio around the contrail formation levels, likely driven by contrail longwave heating impacts (Fig. 5c), which are overcompensated by increased cloud ice mass above and below the contrail formation levels. The small response in overall cloud water content in the UM is very likely caused by the limitations of the one-moment cloud microphysics scheme used in the UM, where young contrails are assumed to have the same PSD as natural cirrus when added to the natural ice clouds. Also, all ice clouds, including contrails, are represented by a single ice category, with a PSD that spans the range from small crystals to large aggregates. Therefore, the contrail ice particles in the UM have much larger sizes and smaller number concentrations than those in CAM, which increases the sedimentation and evaporation rates of contrail ice particles. We note that sedimentation processes do not act as a sink term for cloud fraction in the UM, as long as some ice mass remains in the grid box. Therefore, while contrail cirrus ice mass may sediment and evaporate relatively quickly, the contrail cirrus fraction is able to persist longer (Fig. 4a and c). In the CAM simulations, the simulated response in cloud ice water path consists in larger increases over more of the dense air traffic regions, e.g., larger increases over the European regions, North Atlantic, and the USA (Fig. 5b). This results from increases in cloud ice mass mixing ratio both at and below contrail formation levels, likely due to the falling of contrail ice (Fig. 5d).

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355 **Figure 5. Annual mean contrail-driven cloud ice water path changes in  $\text{kg/m}^2$  in the (a) UM and (b) CAM using the AEDT air traffic inventory for 2006. Annual zonal mean contrail-driven cloud ice water mixing ratio changes in  $\text{kg/kg}$  in (c) UM and (d) CAM using the AEDT air traffic inventory for 2006. Dotted areas indicate statistically significant changes at 95% confidence level across the 20 ensemble member simulations.**

### 3.4 Scaling the contrail cirrus radiative response in the UM

360 A key limitation of this contrail cirrus scheme in the UM comes from the inability of its one-moment cloud microphysics scheme to represent, for a given contrail ice mass, realistic contrail microphysical characteristics and associated radiative effects. To address this, we adopt a method to enhance the contrail radiative response by implementing a scaling factor for the simulated contrail ice mass in the model radiation scheme. The choice of this scaling factor is based on comparing the simulated UM contrail cirrus optical depth with other existing optical depth estimates. These include both our simulation results from CAM and the published results from ECHAM (Burkhardt and Kärcher, 2011) and (Bock and Burkhardt, 2016). We use the European region (35°N – 60°N latitude and 10°W – 25°E longitude) as benchmark due to its large air traffic and therefore larger statistical significance. The range of scaling factors needed to match the range of European mean contrail cirrus optical depth reference values (i.e. 0.01-0.08) is shown in Table 2.

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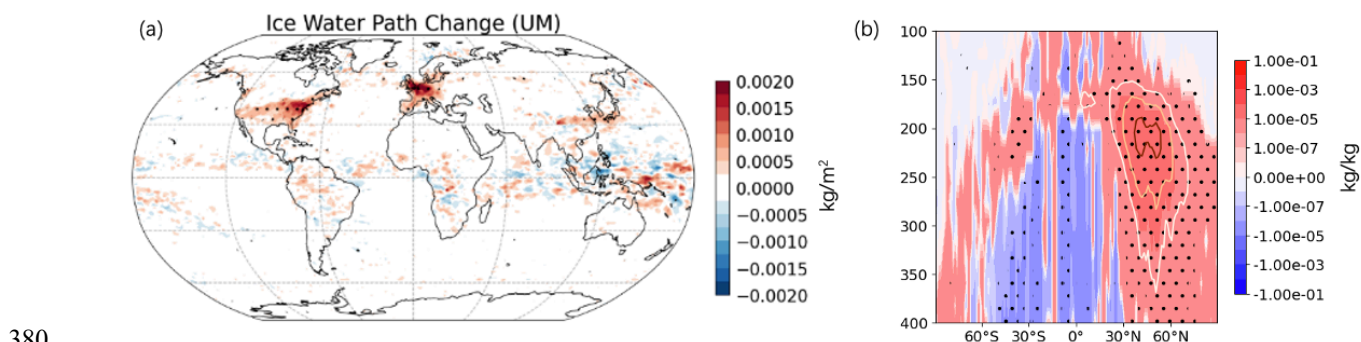




370 **Table 2. Annual global mean contrail cirrus ERFs simulated in the UM when aligning its annual European mean contrail cirrus optical depth with that of 3 different reference models. The annual mean contrail cirrus optical depths over Europe and the scaling factors used for calibrating optical depth are also included in the table.**

Reference Model	Annual Mean Contrail Cirrus Optical Depth over Europe	Scaling factor	Global ERF of UM ( $\text{mWm}^{-2}$ )
CAM6 (simulated in this study)	0.018	4900	25.7
ECHAM4 (Burkhardt and Kärcher, 2011)	0.01-0.03	2700-8100	11.1-46.0
ECHAM5 (Bock and Burkhardt, 2016)	0.05-0.08	13000-19000	76.6-91.0

To further compare the differences between the UM and CAM contrail cirrus simulations, in the remainder of the paper, we adopt the scaling factor (i.e. 4900) corresponding to matching the European mean contrail cirrus optical depth simulated in CAM (i.e. 0.018) – we refer to this as the scaled-UM simulation. We note that this scaling is only applied in the model’s radiation scheme (where the overall cloud ice mass used to determine the cloud radiative effect therefore includes the enhanced contrail ice mass) and does not affect the actual prognostic ice mass carried through the rest of the model (e.g. dynamics or microphysics).



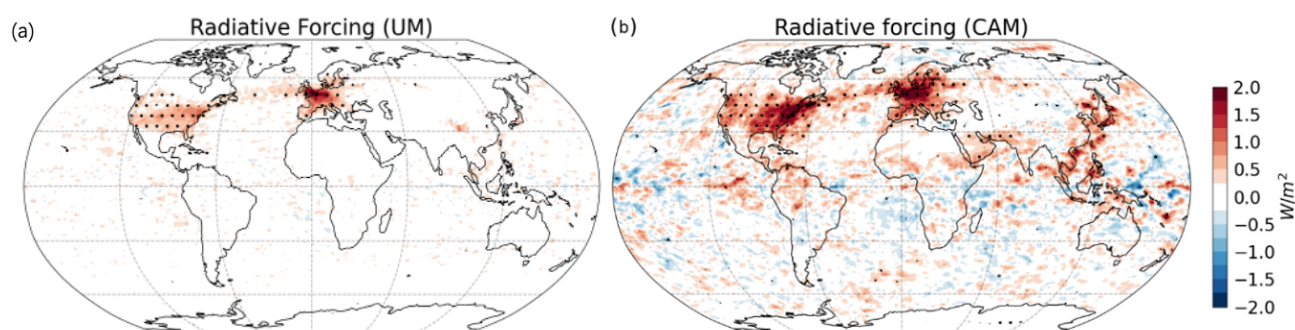
380 **Figure 6. Annual mean simulated contrail-driven changes in cloud ice water path in  $\text{kg/m}^2$  in the radiation scheme in scaled-UM (i.e. including the scaled-UM contrail mass) (a) and the annual zonal mean contrail-driven changes in cloud ice mass mixing ratio in  $\text{kg/kg}$  in the UM radiation scheme in scaled-UM (b) using the AEDT air traffic inventory for 2006. Dotted areas indicate statistically significant changes at 95% confidence level.**

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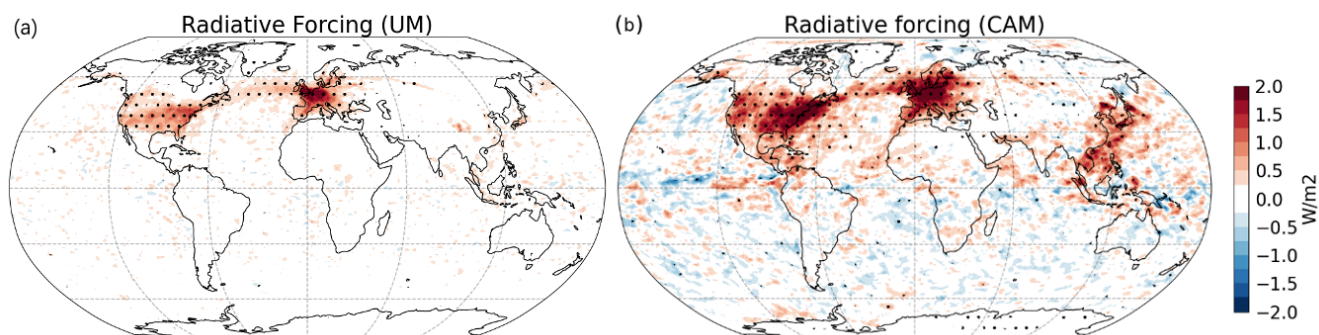
### 3.5 Simulated contrail cirrus effective radiative forcing

We estimate the contrail cirrus ERF, including the radiative effects of the natural cloud feedback, by contrasting simulations with and without contrails. Figure 7 shows the simulated annual mean contrail cirrus ERF in our scaled-UM and CAM simulations using the AEDT air traffic inventory for 2006. The pattern of contrail cirrus ERF in the scaled-UM is consistent with the cloud fraction (Fig. 4a) and cloud ice mass (Fig. 6a) change, with strong positive values over Europe and the USA. In CAM, the contrail cirrus ERF is consistent with the increase in cloud ice mass (Fig. 5b), but not with the decrease in cloud fraction (Fig. 4b). The annual global mean contrail cirrus ERF is  $25.7 \text{ mWm}^{-2}$  in the scaled-UM and  $52.5 \text{ mWm}^{-2}$  in the CAM simulations for 2006. The factor of 2 difference between these values is likely due to the different radiative transfer schemes and different cloud microphysical process rates in the UM and CAM. By considering the range of UM scaling factors in Table 2 covering existing model estimates for European contrail cirrus optical depth, we estimate a range of UM simulated contrail cirrus ERFs between  $11.1 \text{ mWm}^{-2}$  and  $91.0 \text{ mWm}^{-2}$  for 2006.



**Figure 7. Annual mean contrail cirrus ERF from (a) scaled-UM and (b) CAM using the AEDT air traffic inventory for 2006. Dotted areas indicate statistically significant changes at 95% confidence level.**

We also estimate contrail cirrus ERF for the year 2018 with both the UM and CAM to compare with the corresponding values reported in the latest IPCC AR (i.e. Lee et al. (2021)). For our 2018 simulations, we apply the 2006-2018 air traffic volume scaling factor of 1.58, based on the growth in total aircraft distance travelled reported in Lee et al. (2021), to the AEDT inventory and re-run the scaled-UM and CAM with the scaled-AEDT inventory. Our 2018 contrail cirrus ERF estimates are  $40.8 \text{ mWm}^{-2}$  and  $60.1 \text{ mWm}^{-2}$  from scaled-UM and CAM, respectively (Fig. 8), both within the Lee et al. (2021) 5-95% likelihood range of  $17\text{-}98 \text{ mWm}^{-2}$  for contrail cirrus ERF.



410 **Figure 8. Annual mean contrail cirrus ERF from (a) scaled-UM and (B) CAM using scaled AEDT air traffic inventory for 2018. Dotted areas indicate statistically significant changes at 95% confidence level.**

#### 4 Summary and conclusions

In this study, we have implemented the Chen et al. (2012) contrail cirrus parameterisation from CAM in the UM. This allows  
415 to simulate for the first time contrail cirrus formation and associated cloud and radiative feedbacks in the UM. Also, by  
analysing contrail cirrus simulations with the same contrail scheme in two different host climate models, we investigated the  
role of key model characteristics on contrail cirrus ERF uncertainty.

We found that differences in the simulated ice supersaturation frequency in the UM and CAM lead to larger young contrail  
fraction and ice water path in the UM compared to CAM (by a factor of 2 to 3). This highlights the critical impact of the  
420 climate models' ability to accurately represent ice supersaturation on young contrail simulations.

Our simulations indicate that the inclusion of contrails results in increased total cloud fraction (natural clouds + contrails) in  
the UM but decreased total cloud fraction in CAM, due to differences in the representation of microphysical processes. Also,  
while there is an increase in cloud ice water path in both models, this is much more pronounced in CAM. Our analysis indicates  
425 that this may be caused by the limitations of the one-moment cloud microphysics scheme and the single ice category in the  
UM. This results in unrealistically large sizes for contrail ice particles that affect the microphysical process rates and the life  
cycle of contrails in the UM. This highlights the importance of accounting for the difference in ice particle sizes between  
contrails and natural clouds and therefore the need for host climate models to use double-moment cloud microphysics schemes  
(Bock and Burkhardt, 2016).

To compensate for the incorrect representation of the contrail cirrus radiative effect in the UM, caused by the limitations from  
430 the one-moment cloud microphysics scheme, we scale up the contrail ice mass in the UM radiation scheme to match other  
existing European mean contrail cirrus optical depth estimates. Using this method, when matching different existing European  
mean contrail optical depth estimates, we obtain a range of  $11.1 \text{ mWm}^{-2}$  -  $91.0 \text{ mWm}^{-2}$  contrail cirrus ERF for 2006 in the  
UM. When scaling to match the Europe mean contrail cirrus optical depth simulated in CAM, the UM estimates for the contrail



435 cirrus ERF are  $25.7 \text{ mWm}^{-2}$  for the year 2006 and  $40.8 \text{ mWm}^{-2}$  for the year 2018, while the corresponding CAM estimates are  $52.5 \text{ mWm}^{-2}$  for the year 2006 and  $60.1 \text{ mWm}^{-2}$  for the year 2018. Both of the UM and CAM contrail cirrus ERF estimates for the year 2018 are within the uncertainty range reported in Lee et al. (2021).

In conclusion, we found substantial differences between the representation of contrail cirrus formation, persistence, and radiative effects in two different climate models. Our simulations indicate: (i) a factor of 2-3 uncertainty in young contrail cover fraction due to differences in ice supersaturation frequency, (ii) contrasting (increase versus decrease) responses in total cloud fraction due to contrails, (iii) a factor of 2 uncertainty in contrail cirrus ERF due to differences in the models microphysics and radiation schemes, and (iv) a factor of 8 uncertainty in contrail cirrus ERF due to current uncertainty in simulated and/or  
440 observed regional contrail cirrus optical depths.

Future work on contrail cirrus modelling in the UM should therefore focus on implementing (i) a contrail scheme coupled to the recently implemented double-moment cloud microphysics scheme (Field et al., 2023), but also (ii) a prognostic contrail scheme (e.g., Burkhardt and Kärcher (2009)) to allow for the detailed representation of the young contrail evolution to contrail  
445 cirrus. Another key area to be addressed in future work is on using observations to better constrain contrail cirrus radiative effects.

### Data availability

The UM code and its configuration files are subject to Crown Copyright. A licence for the UM can be requested from  
450 <https://www.metoffice.gov.uk/research/approach/collaboration/unified-model/partnership>. CAM6.3 used in this study is available from [https://github.com/ESCOMP/CAM/tree/cam6\\_3\\_027](https://github.com/ESCOMP/CAM/tree/cam6_3_027). Simulation output required to reproduce the main figures in this article will be available on Zenodo.

### Author contributions

WZ: Implementation of the contrail parameterisation in the UM, UM and CAM modelling, data analysis, writing (original  
455 draft preparation and editing), and conceptualisation. KVW and CJM: Implementation of the contrail parameterisation in the UM, support with UM modelling, and writing (review and editing). WF, CC, AG, and DRM: Support with CAM modelling and writing (review and editing). KF and PRF: Support with UM modelling. PMF: writing (review and editing). AR: Implementation of the contrail parameterisation in the UM, writing (review and editing), and conceptualisation.

### Competing interests

460 The contact author has declared that none of the authors has any competing interests



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