Luminescence and a New Approach for Detecting Heat Treatment of Geuda Sapphire

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18 Abstract. For decades, unravelling heat treatment of sapphire has been a challenging issue. The present 19 study offers new aspects that support the detection of heat treatment of sapphire. Natural geuda sapphire 20 exhibits orange to red luminescence under long-wave ultraviolet (LWUV, 365 nm) light, while heated 21 geuda sapphire shows blue luminescence under short-wave ultraviolet (SWUV, 225 nm) light. UV-excited 22 photoluminescence reveals a connection between the broad emission spectrum of unheated sapphire, which 23 appears orange to red under LWUV illumination, and the emission spectrum of heated sapphire, which 24 appears blue under SWUV illumination. The presence of melt inclusions in dissolved silks serves as an 25 indicator of sapphire heat treatment. Fourier-transform infrared (FTIR) spectroscopy alone is insufficient 26 for distinguishing unheated from heated sapphire. By combining orange to red luminescence with blue 27 luminescence and melt inclusions, we provide a practical method for accurately differentiating natural gem-28 quality sapphire and heated gem-quality sapphire.

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30 Keywords: gem; sapphire; heat treatment; luminescence

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32 1 Introduction

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Since the 1970s, Sri Lanka has been renowned for its gemstone resources, particularly geuda sapphire, a milky or silky corundum variety that is frequently heat-treated to enhance color and clarity (Soysa and Fernando, 1992; Ediriweera and Perera, 1989; Perera et al., 1991). High-temperature treatment of corundum (including ruby and sapphire) can significantly alter its milkiness, asterism, color, and internal features such as mineral inclusions (Nassau, 1981; Ediriweera and Perera, 1989; Hughes, 1997, 2017; Kyi
et al., 1999; Pisutha-Arnond, 2017; Themelis, 2018). Key factors in these transformations include the
temperature, duration, and atmospheric conditions of the heating process (Nassau, 1981; Emmett and
Douthit, 1993; Peiris, 1993; Emmett et al., 2003; Hughes, 2017; Pisutha-Arnond, 2017; Soonthorntantikul
et al., 2019).

43 One of the first-rank challenges encountered by gemologists nowadays is the precise and 44 reliable identification of heat-treated ruby and sapphire. Blue luminescence under SWUV light, observed 45 in heated sapphire for over 50 years (Crowningshield 1966, 1970), can extend into the green region 46 (Nassau, 1981) and has been extensively studied (Evans, 1994; Wong et al., 1995a; Wong et al., 1995b; 47 Hughes 1997; McClure and Smith, 2000; Page et al., 2010; Alombert-Goget et al., 2016a; Alombert-Goget 48 et al., 2016b; Hughes, 2017; Vigier et al., 2021a, b, 2023). This luminescence may relate to rutile inclusions 49 commonly found in natural blue sapphire (Hughes, 2017). During heating at around 1600 °C, rutile 50 decomposes, incorporating Ti⁴⁺ ions into the sapphire structure (Sutthirat et al., 2006). Blue luminescence 51 serves as a key indicator of heat treatment in sapphire (Crowningshield, 1966; McClure and Smith, 2000; 52 Hughes, 2017; Hughes and Perkins, 2019). However, changes in original brown silk inclusions and orange 53 luminescence in natural unheated sapphire, relative to blue luminescence in heated sapphire, have not been 54 thoroughly explored. The present study provides a novel approach by observing the transformations in silk 55 inclusions and luminescence in sapphire before and after heating

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57 2 Materials and Methods

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Natural unheated geuda sapphire (A–type) samples (as described by Vertriest et al., 2019) were separated based on the appearance of silk inclusions into three distinctive groups, i. e., high-density-silk (HS), lowdensity-silk (LS), and silk-free (SF) specimens (Fig. 1). Using an Enraf–Nonius Kappa single-crystal X-ray diffractometer (sXRD) with a charge–coupled device (CCD) area detector, these samples were oriented (based on 10 frames at a crystal detector distance of 35 mm), cut and polished into wafers with surfaces parallel to the *c*-axis. For chemical analysis using an electron probe micro–analyser (EPMA) system, slabs were coated with carbon for conductivity.

66 Heating experiments were conducted using a high-temperature electric furnace, Linn-67 HT-1800-Vac. Heating was performed under ambient atmospheric conditions without any additional 68 oxygen buffer. Experimental conditions involved the maximum temperature of 1650 °C, which was 69 maintained for 10 hours, prior to natural cooling down in the furnace. A heating rate of 300 °C per hour 70 was set to reach the maximum temperature. To minimize surface contamination, the samples were placed 71 into a highly purified alumina (Al₂O₃) crucible.

The refractive index of samples was measured by a gemological refractometer (KRUSS, model ER605) with 1.81 refractive index liquid. Specific gravity was determined by a hydrostatic weighing balance, by weighing samples in water (with a drop of dishwashing detergent added to reduce surface tension) and in air. 76 Micro-inclusions in all samples were imaged using an Olympus BX-series microscope 77 equipped with Olympus DP27 digital camera. The camera was operated using the Olympus Stream micro-78 imaging software. Raman spectra of inclusions were acquired using a confocal micro-Raman spectrometer 79 Horiba Jobin Yvon LabRAM-HR Evolution. Using 473 nm laser excitation (15 mW at the sample) and a 50×0.50 objective lens, a spectral range of 100-1350 cm⁻¹ Raman shift was recorded. Wavenumber 80 81 calibration was done using the Rayleigh line, resulting in wavenumber accuracy of better than 0.5 cm⁻¹. A 82 spectral resolution of ca. 1.2 cm⁻¹ resulted from 800 mm focal length and an 1800 grooves/mm optical 83 grating in the monochromator system. For more details see Zeug et al. (2018).

84 Chemical compositions of the samples were determined using a JEOL JXA 8100 EPMA. Analytical conditions were set to 15 kV accelerating voltage and a probe current of about 2.5×10^{-8} A with 85 86 electron beam focussed to $<1 \mu m$. Natural mineral and synthetic oxide references were selected suitably 87 for calibration, including fayalite (Fe₂SiO₄) for Fe, wollastonite (CaSiO₃) for Ca, synthetic corundum 88 (Al₂O₃) for Al, synthetic periclase (MgO) for Mg, synthetic quartz (SiO₂) for Si, potassium titanyl 89 phosphate (KTiOPO₄) for K and Ti, synthetic manganosite (MnO) for Mn, synthetic eskolaite (Cr₂O₃) for 90 Cr, synthetic gadolinium gallium garnet (Gd₃Ga₅O₁₂) for Ga, and synthetic lead vanadium germanium 91 oxide for V. Counting times were 600 s peak and 300 s background for all elements. The K- α line was 92 analysed for all elements except for Ga where the $L-\alpha$ line was measured. Analytical crystals were selected 93 appropriately including thallium acid phthalate (TAP) crystal for Si and Al; pentaerythriol (PET) crystal 94 for Ti, Mg, K, and Ca; lithium fluoride (LIF) crystal for V, Cr, Ga, Fe, and Mn. The detection limit 95 (estimated from threefold background noise) is approximated at 0.005 wt% or 50 ppm. Three spots in each 96 sample were analyzed.

97 Polarized optical absorption (UV-VIS-NIR) spectra of samples were recorded on double-sided polished crystal slabs in the spectral range of 35000–3500 cm⁻¹, covering the near ultraviolet 98 (UV), the visible (VIS) and the near infrared (NIR) ranges. The measurements were performed in the 99 100 sample chamber of a Bruker Vertex 80 FTIR spectrometer at 2 mm measuring spot, using a calcite Glan-101 prism polarizer and appropriate combinations of light sources (Xe or W lamp), beam splitters (CaF₂-102 VIS/UV or CaF₂–NIR), and detectors (GaP, Si or InGaAs diodes) to cover the desired spectral range. 103 Hence, each full spectrum was combined from three partial spectra: 1) 35000–18000 cm⁻¹ with 40 cm⁻¹ 104 spectral resolution and averaged from 256 scans; 2) 18000–9500 cm⁻¹ with 20 cm⁻¹ resolution and 256 scans; 3) 9500–3500 cm^{-1} with 10 cm^{-1} resolution and 128 scans. 105

Fourier-transform infrared spectra were acquired by means of a Bruker Tensor 27 FTIR
spectrometer attached to a Bruker Hyperion microscope in the spectral range from 4000 cm⁻¹ to 1600 cm⁻¹.
A glowbar light source, a KBr beamsplitter, and a deuterated L-alanine doped triglycene sulphate
(dLATGS; Tensor27) or Hg-Cd-telluride (MCT) detector (Hyperion) were employed. The spectral
resolution was 4 cm⁻¹, sample and reference spectra were averaged from 128 scans.

111 Luminescence phenomena were observed and photo–captured both before and after heat 112 treatment. The images were obtained under LWUVillumination using ZEISS microscope model stemi 508 113 with 0.63x magnification. The images were captured in a darkened room using CANON digital single lens 114 reflex (DSLR) camera model EOS 80D (24.2 MP resolution), which was mounted on top of the microscope. 115 The SUPERFIRE UV (365 nm) mini flashlight model S11-H, 3W (max), DC 3.7 V, was held approx. 15 116 cm above the samples. The camera settings involved an exposure time of 5 s, an exposure bias of 0 steps, 117 and an ISO speed of 200. The aperture was adjusted to f/0, and the focal length of 0 nm.For SWUV 118 illumination (approximately 225 nm), a DiamondViewTM device was used. The parameter settings for 119 DiamondViewTM were established as follows: Integration duration: 2.83 s; minimum excitation status: Off. 120 Power settings ranged from 50% to 80%, contingent upon the intensity of luminescence. A gain of 13.85 121 dB is measured. The aperture was set to 80% and the field stop to 67%. Gamma was disabled. 122 Photoluminescence (PL) spectra in the visible and near-infrared ranges were acquired using a confocal 123 Horiba Jobin Yvon LabRAM-HR 800 spectrometer. Spectra were excited using the 325 nm emission of a He-Cd laser (ca. 10 mW at the sample surface). The system was calibrated using emission lines of a Kr 124 125 lamp. The spectral resolution was in the range 0.07 nm (violet) to 0.02 nm (NIR range). All the spectra 126 were acquired at the same position both before and after heating experiments.

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128 3 Results

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130 **3.1 Heating-induced property changes and alteration**

131 Representatives of natural unheated and their heated counterparts of all groups are shown in Fig. 1. All the 132 samples ranged from a specific gravity of 3.83 to 4.08, and refractive indices of 1.760 to 1.770 falling well 133 within the range of corundum properties. Before heating, samples showed varying natural appearances 134 based on inclusion density. Geuda samples with HS inclusions (e.g., G03HS and G04HS, Fig. 1) exhibited 135 brown silk and brown color banding or zoning, while a few samples also displayed a natural blue color. Samples with LS inclusions (e.g., G18LS and G21LS, Fig. 1) generally appeared milky with yellowish or 136 137 brownish tints. After heating, most samples turned blue, ranging from pale to dark shades, with the milky appearance and yellowish or brownish tints significantly reduced. On the other hand, the SF group usually 138 139 showed a slightly yellowish appearance (Fig. 1, samples G11SF and G12SF). After the heating experiment, 140 they had changed slightly to a very pale blue color.

Sam	ples	Unheated	Heated	
High- density-	G03HS			
silk (HS)	G04HS			
Low- density- silk (LS)	G18LS		5	
	G21LS			
Silk- free	G11SF	000		
(SF)	G12SF			

Figure 1. Representatives of natural unheated geuda sapphire samples within three separate groups, i.e.,

HS (G03HS, G04HS), LS (G18LS, G21LS), and SF (G11SF, G12SF) groups, and their appearances after
heating. Sizes of stones range between 4 and 12 mm.

3.2 Mineral chemistry

- 148Chemical compositions of samples in the three distinct groups are summarized in Tables 1 to 3. The Al2O3149contents range between 98.2 and 99.5 wt%. Other elements are found as trace contents only, particularly150Fe, Ti, and Ga. Fe and Ti are essential coloring elements in sapphire. The HS group contained the highest151Fe contents of 0.32-0.36 wt% FeO, together with 0.02-0.04 wt% TiO2 and <0.7 wt% Ga2O3. The LS group152had a high Ti content of 0.02-0.51 wt% TiO2 with ≤ 0.06 wt% FeO and ≤ 0.8 wt% Ga2O3. The SF group153contained 0.06-0.26 wt% FeO, ≤ 0.04 wt% TiO2 and <1 wt% Ga2O3.

155 **Table 1.** Representative chemical compositions (EPMA results) and calculated mineral formulae of HS

156 sapphire samples.

Samples	G01HS	G02HS	G03HS	G04HS
Major oxides (wt	%):			
SiO ₂	0.00	0.00	0.45	0.40
TiO ₂	0.02	0.02	0.04	0.03
Al ₂ O ₃	99.0	98.7	98.9	98.7
V ₂ O ₃	0.01	0.00	0.00	0.03
Cr ₂ O ₃	0.00	0.02	0.03	0.00
Ga ₂ O ₃	0.62	0.66	0.00	0.39
FeO _{total} *	0.32	0.33	0.36	0.36
MnO	0.02	0.00	0.00	0.02
MgO	0.00	0.00	0.01	0.01
K ₂ O	0.00	0.00	0.00	0.00
CaO	0.01	0.01	0.02	0.01
Total	100.0	99.8	99.8	100.0
Mineral formulae	(apfu)**:			
Si	0.000	0.000	0.008	0.007
Ti	0.000	0.000	0.001	0.000
Al	1.990	1.989	1.985	1.982
V	0.000	0.000	0.000	0.000
Cr	0.000	0.001	0.000	0.000
Ga	0.007	0.007	0.000	0.004
Fe	0.013	0.014	0.005	0.005
Mn	0.000	0.000	0.000	0.000
Mg	0.000	0.000	0.000	0.000
К	0.000	0.000	0.000	0.000
Ca	0.000	0.000	0.000	0.000
Sum	2.010	2.011	1.999	2.000

* FeO_{total} = total Fe oxide, assuming all Fe to be ferrous

158 ** Calculated based on 3 O atoms per formula unit

160 **Table 2.** Representative chemical compositions (EPMA results) and calculated mineral formulae of LS

161 sapphire samples.

Samples	G06LS	G16LS	G18LS	G20LS	G21LS
Major oxides (wr	t%):				
SiO ₂	0.00	0.00	0.11	0.00	0.00
TiO ₂	0.04	0.27	0.37	0.51	0.02
Al ₂ O ₃	99.0	98.5	98.4	98.3	98.6
V ₂ O ₃	0.02	0.03	0.01	0.02	0.01
Cr ₂ O ₃	0.00	0.06	0.00	0.02	0.00
Ga ₂ O ₃	0.10	0.37	0.58	0.81	0.59
FeO _{total} *	0.00	0.06	0.06	0.06	0.05
MnO	0.01	0.00	0.00	0.00	0.01
MgO	0.02	0.02	0.01	0.01	0.02
K ₂ O	0.00	0.00	0.00	0.00	0.00
CaO	0.00	0.00	0.01	0.01	0.00
Total	99.2	99.3	99.6	99.7	99.3
Mineral formulae	e (apfu)**:				
Si	0.000	0.000	0.002	0.000	0.000
Ti	0.001	0.003	0.005	0.007	0.000
Al	1.997	1.989	1.984	1.981	1.992
V	0.000	0.000	0.000	0.000	0.000
Cr	0.000	0.002	0.000	0.001	0.000
Ga	0.001	0.004	0.006	0.009	0.007
Fe	0.000	0.002	0.002	0.002	0.002
Mn	0.000	0.000	0.000	0.000	0.000
Mg	0.001	0.001	0.001	0.000	0.001
К	0.000	0.000	0.000	0.000	0.000
Ca	0.000	0.000	0.000	0.000	0.000
Sum	2.001	2.003	2.000	2.000	2.002

* FeO_{total} = total Fe oxide, assuming all Fe to be ferrous

163 ** Calculated based on 3 O atoms per formula unit

165 **Table 3.** Representative chemical compositions (EPMA results) and calculated mineral formulae of SF

166 sapphire samples.

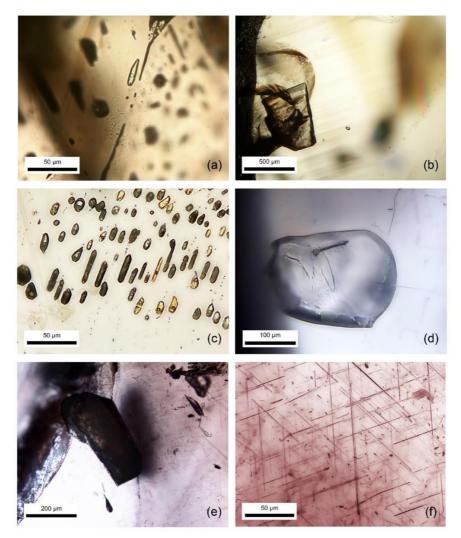
Samples	G07SF	G11SF	G12SF	G14SF	G22SF	G23SF
Major oxides (wt%	%):					·
SiO ₂	0.01	0.13	0.06	0.00	0.00	0.00
TiO ₂	0.03	0.04	0.03	0.00	0.04	0.01
Al ₂ O ₃	98.7	98.7	98.8	99.5	98.2	98.7
V ₂ O ₃	0.00	0.02	0.00	0.00	0.00	0.00
Cr ₂ O ₃	0.00	0.00	0.01	0.00	0.00	0.00
Ga ₂ O ₃	0.71	0.00	0.00	0.15	0.78	0.94
FeO _{total} *	0.06	0.26	0.08	0.10	0.22	0.13
MnO	0.01	0.02	0.00	0.01	0.02	0.00
MgO	0.00	0.02	0.00	0.00	0.00	0.00
K ₂ O	0.00	0.01	0.00	0.00	0.00	0.00
CaO	0.02	0.00	0.02	0.01	0.01	0.01
Total	99.6	99.2	99.0	99.8	99.3	99.8
Mineral formulae	(apfu)**:		•		•	
Si	0.000	0.002	0.001	0.000	0.000	0.000
Ti	0.000	0.001	0.000	0.000	0.001	0.000
Al	1.991	1.993	1.997	1.997	1.988	1.988
V	0.000	0.000	0.000	0.000	0.000	0.000
Cr	0.000	0.000	0.001	0.000	0.000	0.000
Ga	0.008	0.000	0.000	0.002	0.009	0.010
Fe	0.002	0.011	0.003	0.004	0.009	0.005
Mn	0.000	0.000	0.000	0.000	0.000	0.000
Mg	0.000	0.001	0.000	0.000	0.000	0.000
К	0.000	0.000	0.000	0.000	0.000	0.000
Ca	0.000	0.000	0.000	0.000	0.000	0.000
Sum	2.002	2.009	2.003	2.003	2.007	2.004

* $FeO_{total} = total Fe oxide, assuming all Fe to be ferrous$

168 ** Calculated based on 3 O atoms per formula unit

170 **3.3 Microscopic features**

- 171 Negative crystals, with or without CO₂ gas bubbles, were commonly observed alongside mineral inclusions,
- such as oligoclase feldspar, calcite, and muscovite, in these sapphire samples. Brown silk inclusions were
- 173 prominent in both HS and LS groups, as shown in Fig. 2 (G22SF, G18LS, and G04HS for Fig. 2a, Fig. 2b-
- 174 c, and Fig. 2d-f, respectively). Micro-Raman spectroscopy was used to identify CO₂ and mineral
- 175 inclusions. Although brown silk inclusions, typically needle-shaped and aligned with color banding (Fig.
- 176 3a), were often less than 1 µm in diameter and difficult to identify, irregular or flaky platelet forms (Fig.
- 177 3c) were also noted.3c) were also noted.



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Figure 2. Transmitted-light photomicrographs of inclusions including CO₂-containing negative crystals
(a), calcite (b), cluster of negative crystals (c), oligoclase (d), muscovite (e), and brown silks (f) in natural
unheated sapphire.

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After high-temperature heating, molten surfaces (Fig. 3e) and decomposed crystal inclusions were commonly observed in these samples. The most notable alteration was also detected in the initial area of brown silks (Fig. 3a), which exhibited distinct bluish color banding/zoning (Fig. 3b) after heating.

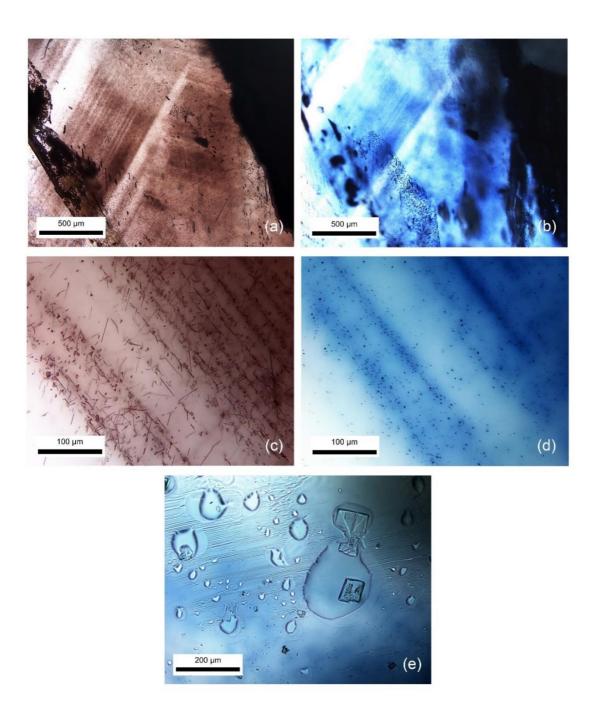


Figure 3. Transmitted–light photomicrographs showing that brown banding (a) with irregular platy brownish flakes and tiny needles (c) in natural unheated sapphire samplesample G04HS turned into blue color banding (b) with blue dots (d) upon heating. Melted surface (e) was also observed after heat treatment.

- 193 The brown silks (Fig. 3c) experienced a transformation upon heating into blue dots (Fig. 194 3d). Additionally, melt inclusions among blue dots were likely developed by melting of brown silks with 195 collaborative reaction of the sapphire host, which have never been reported elsewhere, becoming 196 significantly noticeable and useful for indicating heat treatment of sapphire (Fig. 4).
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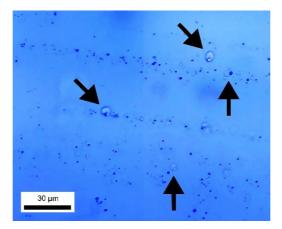


Figure 4. Transmitted–light photomicrograph showing melt inclusions (arrows) among blue dotstransformed from silk inclusions in sapphire after heating (sample G04HS).

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202 3.4 Optical (UV-VIS-NIR) spectroscopy

203 The optical spectra of representative sapphire samples are presented in Fig. 5. Absorption peaks at 374, 204 388, and 450 nm, as well as bands around 580 and 880 nm, were observed. Optical spectra have been studied on unheated and heated sapphire by numerous previous researchers (e.g., Ediriweera and Perera, 205 206 1989; Perera et al., 1991; Emmett and Douthit, 1993; Hughes, 1997; Kyi et al., 1999; Emmett et al., 2003; 207 Sripoonjan et al., 2014; Hughes, 2017; Pisutha-Arnond, 2017; Themelis, 2018; Palke et al., 2019; 208 Soonthorntantikul et al., 2019; Dubinsky et al., 2020). The 374, 388, and 450 nm peaks as well as the 880 209 nm band were proposed to be attributed to Fe, the 580 nm band to the Fe-Ti pair. After heating, all samples showed a significant increase in the main Fe-Ti pair related absorption band at around 580 nm (Figs. 5a-210 c), whereas Fe-Fe related absorption at around 880 nm was obviously increased in some samples (i.e., 211 212 Figs. 5a and 5c). The intensified absorption of the 580 nm band in these samples is referred to an increase 213 of Fe-Ti pairs after heating which leads to enhanced blue coloration in heated sapphires.

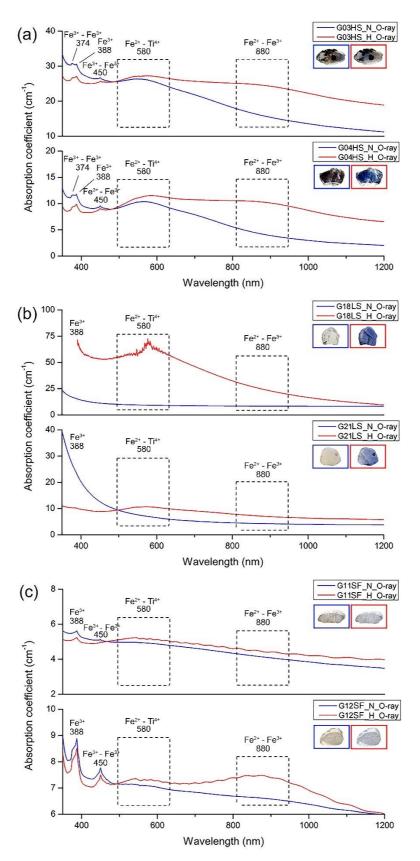


Figure 5. Optical absorption spectra of untreated (blue lines) and heated (red lines) samples: (a) HS group (G03HS, G04HS); (b) LS group (G18LS, G21LS); (c) SF group (G11SF, G12SF). Sizes of stones range between 4 and 12 mm.

Spectral characteristics of corundum containing Fe³⁺ ions exhibit a high degree of 219 complexity. It is noteworthy that Fe^{3+} has electron configuration d^5 resulting in a crystal field spectrum 220 with ground state ⁶A₁ (Ferguson and Fielding, 1972). Small peaks at 374 nm (⁴E^b) and 450 nm (⁴A₁, ⁴E^a) 221 should be attributed to the enhanced absorption of Fe³⁺–Fe³⁺ pairs (McClure, 1962; Ferguson and Fielding, 222 223 1971; Krebs and Maisch, 1971; Ferguson and Fielding, 1972) as well as a weak broadband absorption at $540 \text{ nm} (^4\text{T}_2)$ which could not be seen in this work. The distinct peak observed at a wavelength of 388 nm 224 225 $({}^{4}T_{2}{}^{b})$ (Krebs and Maisch, 1971) is linked to the individual Fe³⁺ ions. This, however, does not rule out the possibility of a higher-order cluster with extra ions or other point defects (Emmett et al., 2003). 226 Additionally, there is also a broad band at a wavelength of 330 nm $({}^{4}T_{1}{}^{b})$ which is interpreted as a Fe³⁺-227 Fe³⁺ pair absorption (Ferguson and Fielding, 1972). This is also present in the spectra of heated samples 228 G03HS and G04HS, as well as in all spectra of sample G12SF in this study. In trace contents both Fe²⁺ (d⁶) 229 and $Ti^{4+}(d^0)$ ions alone do not exhibit any absorption in corundum in the visible range (Townsend, 1968); 230 on the other hand, $Fe^{2+}-Ti^{4+}$ pairs ($t_2 \rightarrow {}^2E$) (Ferguson and Fielding, 1971) may yield a broad band 231 absorption around 580 nm (E1c), or 700 nm (E||c) (Dubinsky et al., 2020). The Fe²⁺-Fe³⁺ pair gives rise to 232 233 the broad absorption band at ca. 880 nm (Fig. 5; Ferguson and Fielding, 1972).

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235 **3.5 FTIR spectroscopy**

FTIR spectra of most samples yielded identical patterns within the range of 1600–4000 cm⁻¹ (Fig. 6). They 236 237 usually showed CO_2 peaks (at 2339 and 2360 cm⁻¹), as well as C–H stretching related peaks (at 2856 and 2925 cm⁻¹), likely from artefacts (Fig. 6, blue lines), in accordance with Hughes (2017) and 238 239 Soonthorntantikul et al. (2021). However, O-H stretching of boehmite/diaspore peaks (at 1975 and 2105 240 cm⁻¹) (Delattre et al., 2012; Sun et al., 2015; Choi et al., 2017; Filatova et al., 2021; Soonthorntantikul et 241 al., 2021) was only observed in sample G03HS (Fig. 7a, blue line). Weak absorption features of O-H stretching from H₂O (broad band at ca. 3400 cm⁻¹) and OH groups (ca. 3600–3700 cm⁻¹) were only found 242 243 in the untreated samples (blue lines), see Fig. 6a.

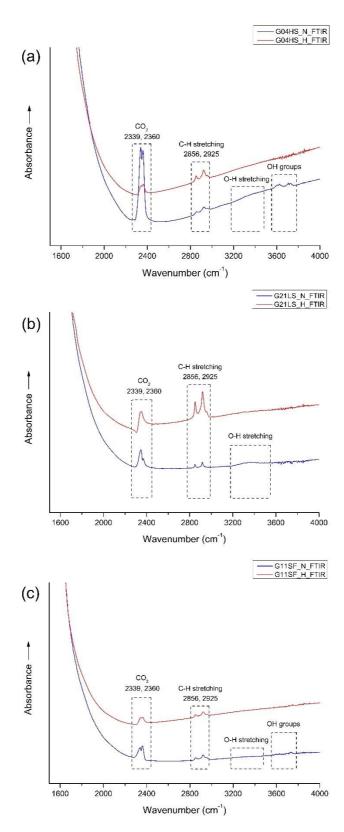




Figure 6. FTIR spectra obtained before (blue lines) and after (red lines) heating experiments of representative samples G04HS (a), G21LS (b), and G11SF (c), respectively.

After heating, boehmite/diaspore-related absorption peaks (only observed in sample G03HS, Fig. 7a) at 1975 and 2105 cm⁻¹ disappeared. In contrast, the 3309 cm⁻¹ hydroxyl (O-H) absorption, which was not present in any natural sample before heating, appeared only in sample G18LS after heating (Fig. 7b, red line).

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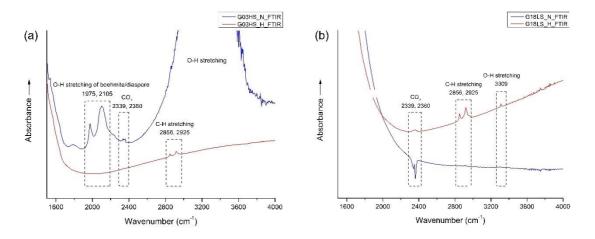




Figure 7. FTIR spectra obtained before (blue lines) and after (red lines) heat treatment of samples G03HS(a) and G18LS (b).

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In this study, an absence of O–H absorption in the 3100–3600 cm⁻¹ range in all natural geuda sapphire samples, together with the development of a weak absorption at 3309 cm⁻¹ upon heating in only one of the samples (see Fig. 7b, red line), address the limitation to differentiate unheated and heated sapphire by FTIR spectroscopy. Furthermore, heat treatment employed in this study did not involve the use of any additional gases, such as hydrogen, to create a reducing atmosphere within the furnace. Despite this, the 3309 cm⁻¹ absorption band was seen after the heating process. This might be in accordance with an explanation proposed earlier by Notari et al. (2018).

The controversy of the presence of an O–H peak in the FTIR spectrum in unheated and heated sapphire could be attributed to an inherent hydrogen content of the corundum. Hydrogen was found in corundum, primarily in the form of alumina hydrates (Notari et al., 2018). These hydrates could release hydrogen through de–hydroxylation at temperatures as low as approx.approx. 450 °C. Additionally, hydrogen was present in the air as H₂O, which can be split at temperatures around 900 °C to produce hydrogen gas (H₂) and oxygen gas (O₂) through the reaction $2H_2O \rightarrow 2H_2 + O_2$ (Notari et al., 2018).

271 272

3.6 Photoluminescence imaging and spectroscopy

Photos presenting luminescence of some samples both before and after heat treatment are shown in Fig. 8. Before heating, all natural sapphire samples were inert to SWUV light; moreover, all LS and SF samples exhibited orange to red luminescence under LWUV light (Fig. 8). After heating, all LS and SF samples, exhibited intense blue luminescence under SWUV light whereas their initial orange to red luminescence under LWUV light turned into a strong purplish red luminescence (Fig. 8, samples G06LS and G20LS in particular). In summary, the HS samples were all inert to SWUV and LWUV light both

- 279 before and after heating. Notably under LWUV light, an initial orange to red luminescence of a few samples
- 280 from the SF group was drastically reduced after heating (e.g., G23SF in Fig.8).
- 281

Samples		Unheated			Heated		
		White light (LED)	LWUV (365 nm)	SWUV (225 nm)	White light (LED)	LWUV (365 nm)	SWUV (225 nm)
High- density-	G01HS			1 m			A CAR
silk (HS)	G02HS			a fer			and the
Low- density-	G06LS						
silk (LS)	G20LS						
Silk- free	G14SF	and a second		E par			6
(SF)	G23SF			()		Ø	

Figure 8. Representative images of HS (G01HS, G02HS), LS (G06LS, G20LS), and SF (G14SF, G23SF)
groups obtained under LWUV and SWUV illumination before and after heating. Sizes of stones range
between 4 mm and 12 mm.

286

The UV-excited PL PLspectra showed that all the unheated and heated sapphire samples 287 have an identical feature of two narrow peaks of trace Cr³⁺ lines at around 692.8 and 694.2 nm (Fig. 9) that 288 are assigned to the spin-forbidden ${}^{2}E \rightarrow {}^{4}A_{2}$ relaxation of trace Cr^{3+} (Nelson and Sturge, 1965). However, 289 the Cr^{3+} lines of some samples (Fig. 9c) are too weak to be visible within the noise of a broad and strong 290 291 emission band. All unheated sapphire samples showed a similar emission band in the orange to red region 292 centered around 630-650 nm (Fig. 9a-c, blue line). Remarkably, this appears to be associated with orange 293 to red luminescence under LWUV light, as noted by (Segura, 2013; Vigier et al., 2021a, b, c; Vigier and 294 Fritsch, 2022). Despite having the emission band around 630-650 nm, only unheated sapphire from HS 295 group appeared inert under LWUV illumination while the others revealed orange to red luminescence.

After heating, significant alteration in the emission band was observed, as depicted by the red lines in Fig. 9a–c. The photoluminescence spectra of sample G03HS exhibited a notable reduction in the emission band through the visible region (Fig. 9a, red line). This went along with a lack of luminescence both under SWUV and LWUV excitation, whereas sample G12SF demonstrated a slight increase of the emission band in the orange to red region (Fig. 9c, red line). More details are given in the discussion part below.

In contrast to the other groups, after heating, sample G21LS (Fig. 9b, red line) exhibited
 a significant emission band in the green region at around 525 nm. Note that this broad emission is excited

with the 325 nm laser (Fig. 9) but does not seem to affect significantly the emission colors observed under
SWUV (225 nm) and LWUV (365 nm) excitation (Fig. 8). For a discussion of the possibly strong
dependence of emission intensity (and color) on the excitation wavelength see for instance Zeug et al.
(2022). Likewise heated sapphire has been proposed to have an emission band in the blue region, which
corresponds to blue luminescence under SWUV light (Nassau, 1981; Hughes, 2017; Vigier et al., 2023).

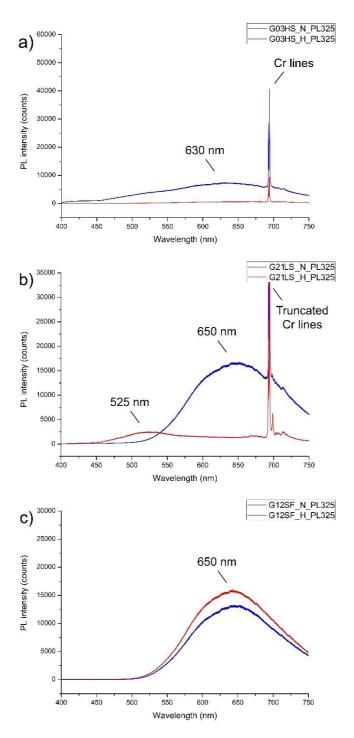


Figure 9. Representative photoluminescence (UV–excited) spectra obtained before (blue lines) and after

312 (red lines) heating of sample G03HS (a), sample G21LS (b), and sample G12SF (c).

314 4 Discussion

315

316 4.1 Generalities

After Ti⁴⁺ being exposed to SWUV light, they yield luminescence (Nasdala and Fritsch, 317 318 2024). However, blue luminescence was not observed in both unheated and heated basaltic sapphire, 319 possibly due to the abundant presence of Fe^{2+} of basaltic origin that may strongly quench such blue 320 luminescence (Soonthorntantikul et al., 2019). More details will be discussed in this report. Furthermore, 321 even though microscopic inclusions have been the distinguishing characteristics of heated sapphire, 322 identifying heat-treated sapphire remains challenging (Crowningshield, 1966; Hughes, 2017). FTIR 323 spectroscopy has also been applied to detect heated sapphire. In some cases, the presence or absence of 324 specific FTIR features in the O-H absorption region (3100-3600 cm⁻¹) may serve as an indicator of heat 325 treatment (Smith, 1995; Beran and Rossman, 2006; Saeseaw et al., 2018); however, it is probably not a 326 conclusive evidence (Ediriweera and Perera, 1989; Perera, 1993; Sutthirat et al., 2006; Cartier, 2009; Jaliya 327 et al., 2020). For example, the presence of the 3309 cm⁻¹ FTIR absorption peak was used as an indicator of 328 heated corundum (Hughes and Perkins, 2019; Soonthorntantikul et al., 2021). However, recent discoveries 329 show that this peak may also be found in unheated sapphire, suggesting that it is not a reliable indication 330 of heat treatment (Hughes, 1997, 2017; Hughes and Perkins, 2019; Soonthorntantikul et al., 2021).

331

332 4.2 Silk inclusions and coloration of sapphire

333 Studies addressing brown silk inclusions in corundum are scarce. Soonthorntantikul et al. (2021) reported 334 a mix of whitish silk and irregular/flaky/platelet-like brownish silk inclusions in corundum from Mogok. 335 Brown silk was ascribed as presumable ilmenite (FeTiO₃) which is noticeable in high–Fe sapphire, whereas white silk was suggested to consist of rutile (TiO_2). The brown silks seen in our sapphire samples are likely 336 337 ilmenite, which is supported by their irregular/flaky/platelet-like brownish appearance and their high Fe 338 and Ti contents (note that the highest quantity of Fe was found in the HS group). Ilmenite decomposition 339 upon heat treatment does result in Fe and Ti migration into the host sapphire and subsequently causes blue 340 coloration. In particular, the decomposition of brown silks during heat treatment induces the formation of blue dots, which is a result of the Fe²⁺-Ti⁴⁺ pairing formation. Upon closer inspection using a high-341 resolution microscope, these blue dots reveal distinct micro-inclusions as melt inclusions (with size of ≤ 1 342 343 μ m, see Fig. 4), which have never been documented before. However, it should be noted that these melt 344 inclusions are possibly derived from the decomposition of silks.

This work focuses only on blue coloration in sapphire which mainly relates to the Fe^{2+} -Ti⁴⁺ pair, as initially noted by Townsend (1968), followed by Mattson and Rossman (1988), Moon and Phillips (1994), and Emmett et al. (2003). Ti exhibits electron–donor properties, whereas Fe may function as an electron acceptor. When occupying neighboring Al³⁺ positions, absorption due to intervalence charge transfer between such donor–acceptor pairs may occur (details reported by Emmett et al., 2003 and Monarumit et al., 2023).

It should also be mentioned that Ti⁴⁺ ions do not exhibit any absorption characteristics in
 the visible spectrum when considered individually. The Ti⁴⁺ ion has a closed-shell electron configuration,

whereas the Fe^{2+} ion mainly absorbs wavelengths within the near infrared and low–energy visible regions. 353 In contrast, when Fe²⁺ and Ti⁴⁺ ions are situated on neighboring structural sites, notable absorption bands 354 develop across the visible and near-infrared spectral regions. These Fe²⁺-Ti⁴⁺ pairs exhibit a band center at 355 around 580 nm (see Fig. 5) when the electric field vector E is perpendicular to the crystallographic c-axis 356 357 $(E \perp c)$, but a peak at 700 nm is seen when the electric field vector E is parallel to the crystallographic c-axis 358 (E||c) (Dubinsky et al., 2020). Although the theory of the energy levels of an individual transition metal ion 359 inside a crystal has been extensively explored, the corresponding theory for ion pairs or clusters within a 360 crystal remains underdeveloped (Dubinsky et al., 2020).

In the present study, the natural unheated geuda sapphire samples were placed in 361 362 atmospheric conditions and subjected to a maximum temperature of 1650 °C for a duration of 10 h. 363 According to the examples presented in Fig. 1, samples G03HS and G04HS exhibited a noticeable increase 364 in blue coloration, particularly around the area of brown silks and brown color banding/zoning, after heating. On the other hand, the initial blue patch (e.g. samples G01HS and G02HS) became paler blue after 365 heating, which might be due to the breakage of initial Fe-Ti pairs in those areas. The other groups, which 366 have yellowish and/or milky appearances, revealed an increase in blue color after heating (samples G12SF 367 368 and G18LS, Fig. 1). This blue coloration is attributed to two distinct factors, notably the decomposition of 369 silk inclusions and a subsequent charge transfer mechanism (Emmett and Douthit, 1993; Hughes, 1997, 370 2017; Nassau, 1980, 1981; Themelis, 2018). The process of charge transfer (Ferguson and Fielding, 1972; 371 Nassau, 1981) is described as:

372

$$Fe^{2+} + Ti^{4+} \rightleftharpoons Fe^{3+} + Ti^{3+}$$

$$\tag{1}$$

373 It is important to note that the blue color observed in sapphire could also be produced 374 with the application of heat in oxidizing conditions at high temperature. Heat treatment can be classified as 375 high- or low-temperature according to the decomposition of rutile silks in corundum (Nassau, 1981; 376 Emmett and Douthit, 1993; Emmett et al., 2003; Hughes, 2017; Hughes and Perkins, 2019). The term low-377 temperature heat treatment has been used (typically referred to as below 1000 °C) when rutile particles still reveal their original structures. On the other hand, temperatures beyond 1350 °C denote high-temperature 378 379 heat treatment when rutile silks start to decompose and dissolve within the corundum host (Hughes, 2017; 380 Themelis, 2018). Consequently, internal diffusion (indicated by a colored halo surrounding the crystal 381 inclusion), molten or altered inclusions, and/or broken silk are strong indicators of high-temperature heat 382 treatment. However, low-temperature heat treatment can also produce various altered mineral inclusions (Kammerling et al., 1990; McClure and Smith, 2000; McClure et al., 2010; Pisutha-Arnond, 2017; 383 384 Soonthorntantikul et al., 2019).

In recent studies, the possibility of employing Fe²⁺-Fe³⁺ charge transfer as an alternate 385 386 method for blue coloration has also been mentioned (Nikolskaya et al., 1978; Schmetzer and Kiefert, 1990; Häger, 1992, 2001; Sripoonjan et al., 2014; Pisutha-Arnond, 2017). However, it is necessary to emphasize 387 388 that this approach was considered highly improbable (Nassau, 1981). Nevertheless, previous studies have 389 indicated that a minor proportion of geuda sapphire from Sri Lanka and geuda-like sapphire from Mogok 390 in Burma revealed an alteration in color to blue when subjected to heating in an oxidizing environment 391 (Hughes, 1997, 2017; Kyi et al., 1999), which is in complete contradiction to the treatment method 392 employed for the geuda sapphire in a reducing condition. The appearance of certain stones displaying a blue coloration under oxidizing conditions might be attributed to the presence of ilmenite silks, which is composed of Fe and Ti, with Fe in its reduced Fe^{2+} state (Hughes, 1997). Therefore, it is unnecessary to reduce Fe^{3+} to Fe^{2+} ions to generate the Fe^{2+} – Ti^{4+} pairs that are responsible for the manifestation of the blue color. Hence, the blue areas have a substantial concentration of Fe ions in form of Fe–Ti pairs, derived from the decomposed ilmenite silk inclusions.

According to Nassau (1981) and Koivula (1987), the presence of blue dots in heated sapphire is attributed to remains of dissolved silk inclusions and internal cation diffusion. The diffusion process is positively correlated with temperature and duration of heat treatment (Nassau, 1981). Despite the slow diffusion rates of Fe and Ti, the distances across are extremely short, i.e., just a few micrometers (Nassau, 1981). Consequently, a potential Fe–Ti combination within the corundum's lattice may generate the blue dots.

404 The presence of melt inclusions among the blue dots after high-temperature heating might be due to the decomposition of brown silk and its solubility into the host sapphire as demonstrated 405 406 by Jung et al. (2009). They predicted a phase relationship within the Al₂O₃-Ti₂O₃-TiO₂ system based on 407 experimental data and thermodynamic calculation. Consequently, they suggested that a liquid phase (the 408 composition of the liquid inclusion phase varies significantly between Al_2O_3 and Ti_3O_5) could possibly be 409 present at a temperature of 1600 °C and slightly below, which is close to the heating temperature (1650 °C) 410 of our experiment. Silk inclusions as represented by Ti_2O_3 -TiO₂ components may have dissolved into the 411 host sapphire (Al₂O₃ component), and produced a proper composition of solution which could be melted 412 partially at \leq 1650 °C. Some of these melts can be preserved as inclusions after cooling down.

413

414 **4.3 Luminescence of sapphire**

415 Luminescence of corundum may be assigned to two types, namely (a) emissions of 416 impurity-related centers such as Ti^{4+} (commonly known) and (b) emissions of defect-related centers, which typically involve either vacancies, such as oxygen (O) or aluminum (Al) vacancies known as F center 417 418 (color center; from the German "Farbzentrum"), or interstitials (Ali and Oi), possibly trapped at impurities 419 (less known), or both (Viger et al., 2021a-c). This means that defect-related emission centers in corundum 420 refer to an inconsistency in the atomic arrangement limited to one or a few atoms (often called color-421 centers). O vacancies (or electron holes) are sometimes called hole centers, because the holes simply 422 designate the absence of an electron. The holes are sometimes filled with one or two electrons in order to 423 maintain electroneutrality (Vigier et al., 2021a).

As presented in Fig. 8, a notable orange to red luminescence is easily noticeable under LWUV excitation in most unheated sapphire samples, except for those of the HS group, which appear inert. After heat treatment, the orange to red luminescence that is initially observed in all samples of the LS group and many samples of the SF group turns into a purplish-red luminescence. In contrast, no orange to red or purplish red luminescence is observed in any sample of the HS group both before and after heating.

The origin of orange to red luminescence in sapphire remained controversial, with varying ideas among researchers (Vigier et al., 2021a, b, 2023). The occurrence of orange luminescence has been documented in some previous studies (e.g. Spencer, 1927; Kane, 1982; Emmett et al., 2003; Fritsch et al., 2003; Nasdala and Fritsch, 2024). In the beginning, it was hypothesized that this luminescence is associated with the geographic origin of yellow sapphire from Sri Lanka (Webster, 1984). Subsequently,
Segura (2013) presented an alternative argument to this notion, suggesting the presence of orange
luminescence in various colors of corundum, regardless of treatment or synthetic origin, might be attributed
to the existence of some defects. However, the orange to red luminescence observed in our study
(characterized by a broad emission band) seems to be associated with complex defect–related centers.

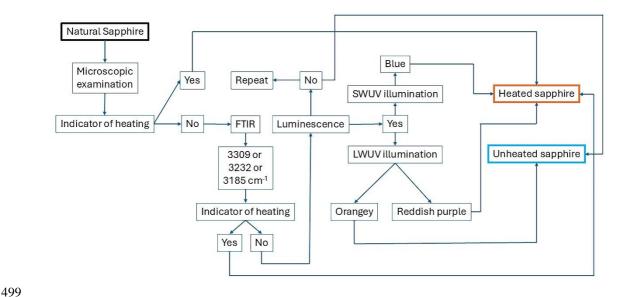
- 438 Orange to red luminescence in sapphire is not due to impurities (Vigier et al., 2021a, b). 439 HS sapphire (e.g., with ilmenite, FeTiO₃) lack noticeable luminescence, likely because Fe²⁺ suppresses 440 luminescence, contrasting with LS and SF sapphire, which display stronger luminescence both before and 441 after heating. While sample G23SF shows decreased purplish-red luminescence after heating, most display 442 increased purplish-red luminescence, potentially due to complex, defect-related centers in the sapphire lattice. Observations suggested that Fe^{2+} acts as a luminescence quencher in the orange to red range 443 (Andrade et al., 2008; Norrbo et al., 2016; Vigier et al., 2021a, b, c; Vigier and Fritsch, 2022); Orange 444 445 luminescence generally appears in colorless, low-Fe areas (Segura, 2013; Notari et al., 2003). However, a 446 definitive explanation remains unresolved. Regarding blue luminescence, it has been observed that upon 447 exposure to SWUV light, all natural unheated samples appeared inert. After heating, apart from HS 448 sapphire, a distinct blue luminescence has been detected throughout most heated sapphire samples (Fig. 8). 449 Previous studies suggest that luminescence in sapphire becomes noticeable only at heating temperatures of 450 1000 °C (Hughes and Perkins, 2019), at which point blue luminescence is linked to heat treatment detection. 451 This luminescence is believed to arise from silk inclusions composed of TiO₂, commonly found in natural 452 blue sapphire. Notably, despite the relatively low Ti concentration (0.02–0.03 wt% oxide) in comparison 453 to Fe (0.05–0.08 wt% oxide) in some samples (e.g., G12SF and G21LS), blue luminescence remains 454 detectable. In contrast, HS samples (e.g., G02HS) show an absence of blue luminescence, likely due to the 455 presence of ilmenite, supporting findings by Norrbo et al. (2016), Andrade et al. (2008), as well as Vigier et al. (2021a-c; 2023) that Fe²⁺ acts as a luminescence quencher. Blue luminescence has been associated 456 with the interaction between O^{2-} and Ti^{4+} ions (Evans, 1994; Wong et al., 1995b; Nasdala and Fritsch, 457 2024), followed by a later hypothesis of a charge transfer process involving Ti⁴⁺ ions and certain defect-458 459 related centers (Lacovara et al., 1985; Mikhailik et al., 2005). However, it was widely accepted that the 460 blue luminescence (characterized by a broad emission band at blue to green region) observed in sapphire 461 under SWUV illumination is associated with the presence of Ti impurities, which are classified as element-462 related defects (Vigier et al., 2021a, b). Thus, it is likely that the blue luminescence reported in this work 463 is associated with Ti impurities, whereas orange to red luminescence seems to be associated with complex 464 defect-related emission centers.
- 465 The correlation between the orange to red PL emission band (approx. 650 nm, Fig. 9 blue 466 lines) and orange to red luminescence in unheated sapphire (Fig. 8), as well as the emission band (approx. 467 525 nm, Fig. 9 red lines) and blue luminescence in heated sapphire (Fig. 8), is particularly evident in the LS group (Fig. 9b). In contrast, the HS group shows a reduction in emission across the visible spectrum 468 469 after heating, indicating inertness under LWUV and SWUV excitation (Fig. 9a). The SF group exhibits a 470 notable increase in the red emission band (Fig. 9c) and intense purplish-red luminescence under LWUV 471 excitation after heating. Interestingly, this group also displays strong blue luminescence under SWUV 472 excitation despite the absence of a corresponding blue emission band, likely due to the 325 nm excitation

473 laser used in our PL investigation. Variations in excitation wavelengths significantly affect observed 474 emissions, as noted by Wong et al. (1995a) and Vigier et al. (2023), who showed that their sapphire 475 emission band at 425 nm was only visible with a 254 nm excitation laser. Utilizing distinct SWUV (225 476 nm) and LWUV (365 nm) lasers, or conducting excitation spectroscopy, may yield more accurate results 477 compared to relying solely on a 325 nm laser. Thus, the presence of orange to red luminescence at approx. 478 650 nm and blue luminescence at around 525 nm are vital indicators for differentiating unheated and heated 479 sapphire.

480

481 **5** Conclusions

482 The present study demonstrates that melt inclusions ($\sim 1 \mu m$) serve as indicators of heat treatment 483 in sapphires and highlights the critical role of luminescence in distinguishing unheated from heated geuda sapphire. Under LWUV light, orange luminescence may arise from defect-related F centers, while blue 484 485 luminescence under SWUV light likely correlates with Ti impurities. Geuda sapphires with low Fe 486 concentrations exhibit distinct luminescence, whereas those with HS inclusions show minimal luminescence due to Fe^{2+} quenching effects. The presence of orange luminescence may be a helpful 487 indicator for unheated geuda sapphires, while blue luminescence is generally absent in unheated samples, 488 confirming its utility for identifying heated geuda sapphire. Although the 3309 cm⁻¹ O-H stretching band 489 490 from FTIR analysis alone is insufficient for differentiation, increased intensity around the 580 nm of an 491 optical spectra effectively indicates heat treatment, as it corresponds to higher Fe-Ti pair concentrations 492 from silk inclusion decomposition. Combining blue and/or purplish-red luminescence with additional 493 analytical techniques provides a promising strategy for accurately distinguishing between unheated and 494 heated geuda sapphires (Fig. 10). Future research should acquire emission and excitation spectra on the 495 samples before and after heat treatment. Further investigation of luminescence characteristics from various sapphire origins (and colors) and clarify the specific Fe and Ti concentrations impacting luminescence is 496 also recommended. Finally, using as low as 200-254 nm laser excitation may enhance the detection of 497 498 emission shifts towards the blue region in heated sapphires, improving gemological identification criteria.



500 Figure 10. Flowchart proposing the combined strategy for heated sapphire identification criteria. 501 502 Author Contributions. T.P., C.S., B.W., L.N. conducted conceptualization, E.G.Z. acquired samples, T.P., 503 C.S., B.W., L.N., C.C.N., M.W., E.L., G.G., T.S. conducted analyses and evaluation, T.P. wrote the 504 manuscript, all co-authors reviewed and edited the manuscript. 505 506 The corresponding author has declared that none of the authors has any competing Competing interests. 507 interests. 508 509 Financial support. This research is supported by the Second Century Fund (C2F) of Chulalongkorn 510 University (researcher number 80004543). 511 512 Data Availability Statement. Not applicable 513 514 Acknowledgments. This research is supported by the Second Century Fund (C2F) of Chulalongkorn 515 University (researcher number 80004543). We thank Andreas Wagner (Universität Wien) for sample 516 preparation and Sopit Poompeang (Chulalongkorn University, Bangkok) for assistance in EPMA analysis. 517 Finally, the first author acknowledges the use of QuillBot's artificial intelligence to facilitate grammatical 518 verification. 519 520 Conflicts of Interest. The authors declare that they have no conflict of interest.

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