

1 **Simulated Particle Evolution within a Winter Storm: Contributions of Riming to Radar**
2 **Moments and Precipitation Fallout**

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Abstract

Remote sensing radars from air- and spaceborne platforms provide critical observations of clouds to estimate precipitation rates across the globe. Capability of these radars to detect changes in precipitation properties is advanced by Doppler measurements of particle fall speed. Within mixed-phase clouds, precipitation mass and its fall characteristics are especially sensitive to the effects of riming. In this study, we quantified these effects and investigated the distinction of riming from aggregation in Doppler radar vertical profiles using quasi-idealized particle-based model simulations. Observational constraints of a control simulation were determined from airborne in situ and remote sensing measurements collected during the Investigation of Microphysics and Precipitation for Atlantic Coast-Threatening Snowstorms (IMPACTS) for a wintry-mixed precipitation event over the northeast United States on 04 February 2022. From the upper boundary of a one-dimensional column, particle evolution was simulated through vapor deposition, aggregation, and riming processes, producing realistic Doppler radar profiles. Despite a modest observed amount of supercooled liquid water (0.05 g m^{-3}), riming accounted for 55% of the ice-phase precipitation mass, cumulatively increasing reflectivity by ~~44%~~6.1 dB and Doppler velocity by ~~68%~~ 0.9 m s^{-1} . Independent evaluation of process-based sensitivities showed that while radar reflectivity is comparably sensitive to either riming- or aggregation-based particle morphology, the Doppler velocity profile is uniquely sensitive to particle density changes during riming. Thus, Doppler velocity profiles advance the diagnosis of riming as a dominant microphysical process in stratiform clouds from single-wavelength radars, which has implications for quantitative constraints of particle properties in remote sensing applications.

63

64 1. Introduction

65 Ice crystals within precipitating winter storms evolve through an inherently stochastic
66 sequence of microphysical processes which uniquely affect their physical properties and fall
67 characteristics. This continuous and process-based evolution of ice-phase particles remains
68 poorly represented by many numerical models and remote sensing retrieval algorithms. A
69 fundamental limitation is that cloud and precipitation processes occur on physical scales that are
70 several orders of magnitude smaller than typical cloud-scale model grids or the remote sensing
71 instrument sampling volume. Nevertheless, realistic representation of varied particle populations
72 within clouds is necessary to accurately estimate precipitation rates.

73 Commonly, a populations of particles within some volume isare expressed by a particle
74 size distribution (PSD), and weighted integrals (i.e., moments) of the PSD are sensitive to the
75 microphysical evolution of ice-phase particles (Morrison et al. 2020). Ice-phase precipitation
76 mass is proportional to the second moment of the PSD. Because radar reflectivity, Z , is
77 proportional to the square of the mass (i.e., the fourth moment of the PSD), the precipitation
78 mass directly affects power returned to a radar. However, because of the physical complexity
79 arising from diversity in initial ice crystal habits and their unique process-based morphologies
80 with time, assumptions about the particle properties and the PSD are often necessary to derive
81 remote sensing precipitation rate estimates. For example, ice crystals are commonly assumed to
82 be spherical (e.g., Iguchi et al. 2018) and the population may be constrained to a prescriptive
83 PSD shape or snow density (e.g., Grecu et al. 2016). A consequence of such a priori assumptions
84 is that process-based variations cannot be expressed and retrieved precipitation rate estimates are
85 inherently constrained, leading to snowfall rate underestimation and increased error compared to
86 liquid phase (e.g., Speirs et al. 2017). To advance the utility of radar remote sensing
87 measurements of ice-phase precipitation, it is important to understand the quantitative effects of
88 process-based evolution on the intrinsic physical properties of precipitation in natural clouds and
89 their implications for the radar measurements.

90 A remarkable property of precipitating clouds is that liquid water droplets are frequently
91 present at sub-freezing temperatures alongside ice crystals. A region of cloud containing both ice
92 and sub-freezing (i.e., supercooled) liquid water (SLW) is described as a mixed-phase layer. One
93 implication of the mixed phase particle population is that depositional ice growth occurs at the

94 expense of liquid water due to differences in saturation vapor pressures over ice and liquid
95 surfaces, a process commonly referred to as the Wegener-Bergeron-Findeisen process
96 (Pruppacher and Klett 1997). Additionally, upon contact with falling ice crystals, the SLW
97 droplets freeze and are accreted by the crystal (i.e., riming), initiating a physical morphology of
98 the particle. Natural ice crystals demonstrate tremendous variability in shape and complexity
99 depending on growth habits (e.g., Magono and Lee 1966; Pruppacher and Klett 1997; Bailey and
100 Hallet 2009). Because of this diversity, it is often convenient to define the crystal size along
101 major and minor axes while the major axis is assumed to be along the maximum dimension of
102 the crystal and the minor axis is along an orthogonal orientation. The aspect ratio defines the
103 ratio between the crystal dimensions along the minor and major axes (Jensen and Harrington
104 2015). One commonly adopted conceptual description for the change in particle properties
105 during riming is the “fill-in” model (Heymsfield 1982) whereby the liquid water will initially fill
106 open voids, while largely maintaining the initial dimensions of the crystal axes. During later
107 stages of the “fill-in” riming model, rime accumulates on the underside of the falling crystal,
108 increasing the minor dimension of the crystal while the major dimension remains unchanged.
109 With increasing riming, aspect ratio approaches unity, which is expected for heavily rimed
110 graupel particles. Consequently, riming results in increasing particle density and, therefore, fall
111 velocity. The adjustments in particle geometry and fall characteristics with rime accumulation
112 are relative to, and dependent on, the initial ice crystal geometry and accreted rime but further
113 dependent on prior and concurrent processes including vapor depositional growth and
114 aggregation (e.g., Jensen and Harrington 2015).

115 Ice-phase particle growth by deposition of vapor-phase water directly increases the ice water
116 content (IWC) and therefore, yields direct increases in Z (Field et al. 2005, 2007). However,
117 depositional mass accumulation occurs at a relatively slow rate, thus, gradual increases in Z are
118 expected from depositional growth alone. Aggregation of two or more particles does not
119 explicitly alter the IWC of the particles, but rather redistributes the mass to a larger size particle.
120 Despite unchanging IWC, increased particle diameters, D , during aggregation enhances radar
121 scattering at a rate proportional to D^4 and consequently, Z may be significantly increased by
122 effects of aggregation. Through accumulation of liquid-phase water which yields increases in
123 IWC, similar, rapid adjustments in Z are also possible during riming. Evaluation of process-
124 based effects on the evolution of the PSD moments and their implications for precipitation

125 fallout from natural clouds is challenging because specific processes cannot be readily isolated,
126 even if observations are collected in situ. In general, observationally-consistent numerical
127 modeling simulations are necessary to determine such effects.

128 The physical scales of processes that govern the formation and evolution of falling ice
129 crystals are not resolved by most numerical models. In bulk- and bin-microphysics schemes, ice-
130 phase processes are commonly expressed implicitly through conversion processes whereby
131 precipitation is exchanged among predefined categories (e.g., ice, snow, graupel, hail; Thompson
132 et al. 2004; Morrison et al. 2005). However, prior studies (e.g., Colle et al. 2005; Morrison and
133 Milbrandt 2011; van Weverberg et al. 2012) have demonstrated that the precipitation evolution
134 and fallout is sensitive to a priori thresholds that define category conversions (e.g., snow to
135 graupel during riming). For rimed growth, Lagrangian particle-based model simulations indicate
136 that bulk particle density can undergo rapid evolution in response to small variations in the
137 background SLW concentration, significantly modulating the particle fall velocity and surface
138 precipitation rate (DeLaFrance et al. 2024). For remote sensing retrievals of mixed-phase
139 precipitation, the effects of rime accumulation are constrained by the a priori assumptions about
140 the particle's mass, geometry, or fall characteristics. Recently, diverse methodologies leveraging
141 multi-frequency, dual-polarization, and Doppler radar measurements have been proposed for
142 retrieving some properties of ice-phase particles that would otherwise be prescribed (e.g.,
143 Leinonen and Szyrmer 2015; Kneifel et al. 2016; Moisseev et al. 2017; Oue et al. 2018;
144 Leinonen et al. 2018; Mason et al. 2019, Chase et al. 2021). Among these methods, leveraging
145 radar Doppler data has shown promise in inferring the onset of riming and, subsequently, the
146 riming-based modulations of retrieved particle property estimates. Mason et al. (2018)
147 demonstrated that the addition of Doppler radar measurements provides constraint on the bulk
148 ice density parameter in retrievals of snowfall. Furthermore, as shown by Kalesse et al. (2016),
149 rimed snow occupies a unique region of Doppler spectra distinct from unrimed snow. One-
150 dimensional (1D) spectral bin microphysics modeling simulations have shown promise in
151 reproducing the Doppler spectra moments of riming but demonstrate sensitivity to particle
152 property assumptions (Kalesse et al. 2016).

153 The 1D columnar modeling approach offers a framework for simulating explicit
154 microphysical processes and detailed particle properties that are computationally prohibitive in a
155 three-dimensional (3D) dynamic model. The 1D construction is therefore well suited to advanced

156 bin and Lagrangian particle-based microphysics schemes. One challenge for such simulation
157 designs, however, is constraining the model in a way that minimizes assumptions and, as a result,
158 ambiguity in the attributing physical process for adjustments in the cloud's radar and
159 precipitation characteristics (e.g., Kalesse et al. 2016; Bringi et al. 2020). Some assumptions can
160 be constrained by coincident in situ and remote sensing radar measurements.

161 Data collected during winters of 2020, 2022, and 2023 from the Investigation of
162 Microphysics and Precipitation for Atlantic Coast Threatening Snowstorms (IMPACTS)
163 campaign (McMurdie et al. 2022) provide those constraints. Midlatitude cyclones over the
164 United States East Coast and Midwest regions were comprehensively sampled by coordinated
165 aircraft- and ground-based platforms to better understand the precipitation microphysics within
166 regions of snowfall that organize into elongated regions commonly recognized as snowbands
167 (e.g., Novak et al. 2004). Consistent with IMPACTS's goal to support improved numerical
168 modeling and remote sensing retrievals of winter precipitation, in the present study we
169 investigate the process-based effects of riming in a sampled storm that produced moderate rates
170 of wintry-mixed precipitation for a prolonged period over the Northeast. Our overarching
171 approach is to combine these observations with numerical modeling simulations to describe the
172 process-based particle evolution and contributions of riming to the observed radar properties and
173 precipitation rates. Here, we use an observationally-constrained, sophisticated Lagrangian
174 particle-based model within a 1D columnar framework to address the following questions:

- 175 1. Can primary ice processes (i.e., deposition, aggregation, riming) within a simplified
176 1D simulation reasonably reproduce the observed evolution of particles within the
177 natural cloud?
- 178 2. What were the quantitative contributions of riming to the observed Doppler radar
179 vertical profiles and to the surface precipitation rate?
- 180 3. Do simulated Doppler radar vertical profiles yield characteristic responses to the
181 onset or degree of riming that is distinct from other ice-phase processes (e.g.,
182 aggregation)?

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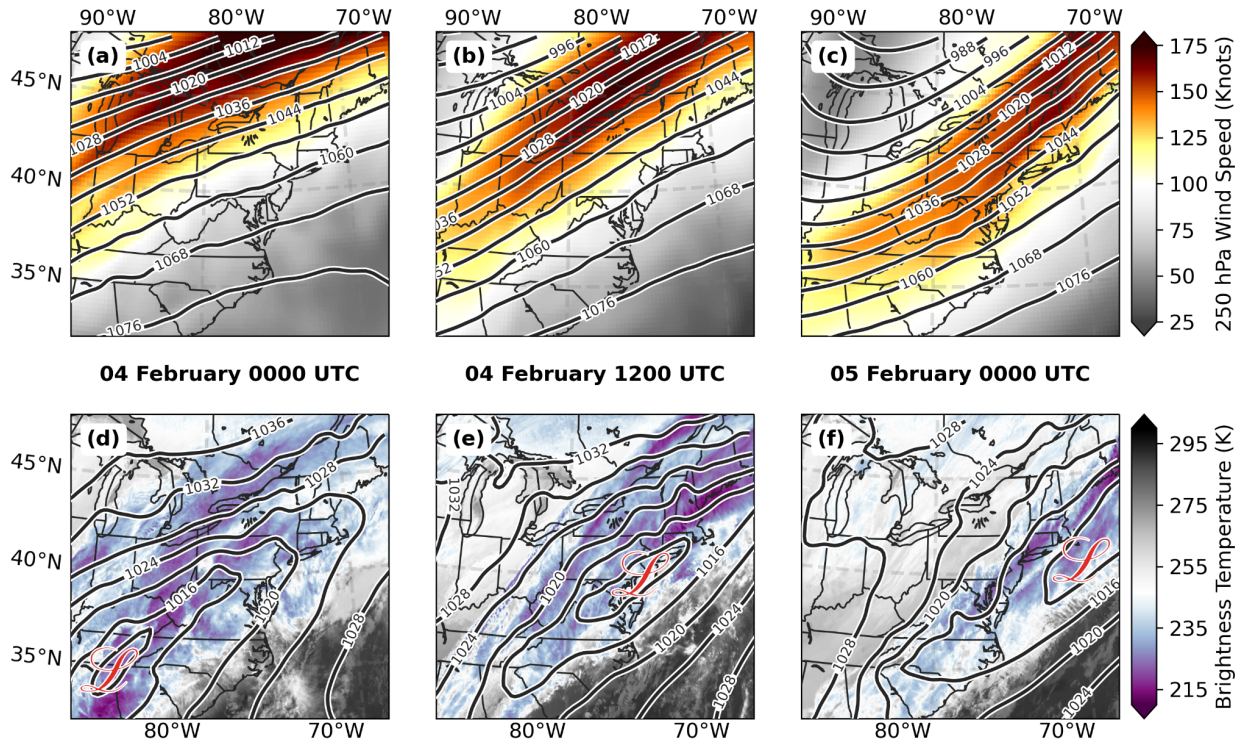
184 **2. Winter Storm Observations**

185 **2.1. 04 February 2022 Case Study**

186 For this analysis, we will use IMPACTS observations collected during the 04 February 2022
187 event that delivered wintry-mixed precipitation across a broad region of the northeast US.
188 IMPACTS deployed an in situ (P-3) and remote sensing (ER-2) aircraft. The P-3 aircraft was
189 equipped with instrumentation to measure the in situ cloud microphysical properties and the
190 high-altitude ER-2 aircraft was equipped with nadir-viewing remote sensing instrumentation
191 analogous to those onboard satellite-based platforms (e.g., Skofronick-Jackson et al. 2017). The
192 two aircraft targeted the storm over the coastal New England area where, as an example of the
193 surface precipitation characteristics during this event, the Boston, MA (KBOS) Automated
194 Surface Observing System (ASOS; Brodzik 2022a) reported nearly 32 mm of precipitation in 24
195 hours. Precipitation initially accumulated in the form of light to heavy rain before transitioning to
196 freezing rain at about 1300 UTC, ice pellets by 1600 UTC, and back to freezing rain at about
197 1930 UTC. A transition to snow and continued accumulation occurred on 05 February at KBOS
198 and over most of the New England area.

199 Winter storms that impact the northeast US are commonly described according to the track of
200 the low-pressure center, with implications for their precipitation characteristics. From these
201 tracks, Zaremba et al. (2024) classified twenty-six IMPACTS events in one of six categories,
202 which varied in, for example, rates and regions of cyclogenesis, frontal forcing, and precipitation
203 intensity and distribution. Six of the events were classified as cold fronts and had relatively weak
204 and expansive low-pressure areas which yielded widespread rain and snow along, and extending
205 to the cold side of, the front. As one of these cold front events, the 04 February case had, a
206 broad frontal boundary that extended from the Gulf of Mexico to Maine. The prolonged period
207 of wintry-mixed precipitation over the northeast US was sustained by isentropic lifting of
208 moisture-rich low-level flow along this front and overrunning a surface layer which, for many
209 areas, remained subfreezing. Over the eastern US, a mean southwesterly flow developed ahead
210 of an initially positively tilted 250-hPa trough at 0000 UTC 04 February that developed to nearly
211 neutral tilt by 0000 UTC 05 February (Fig. 1a-c). An associated jet streak exceeding 150 kts was
212 situated over northern New England such that between about 1200 UTC 04 and 0000 UTC 05
213 February, upper-level divergence in the right entrance region further supported lifting within the
214 atmospheric column (Bjerknes 1951; Uccellini and Kocin 1987; Holton and Hakim 2012).
215 During this time period, a modest elongated southwest-northeast oriented low-pressure minimum

216 of approximately 1010 hPa was maintained over a broad region of coastal New England (Fig. 1d-
 217 f).
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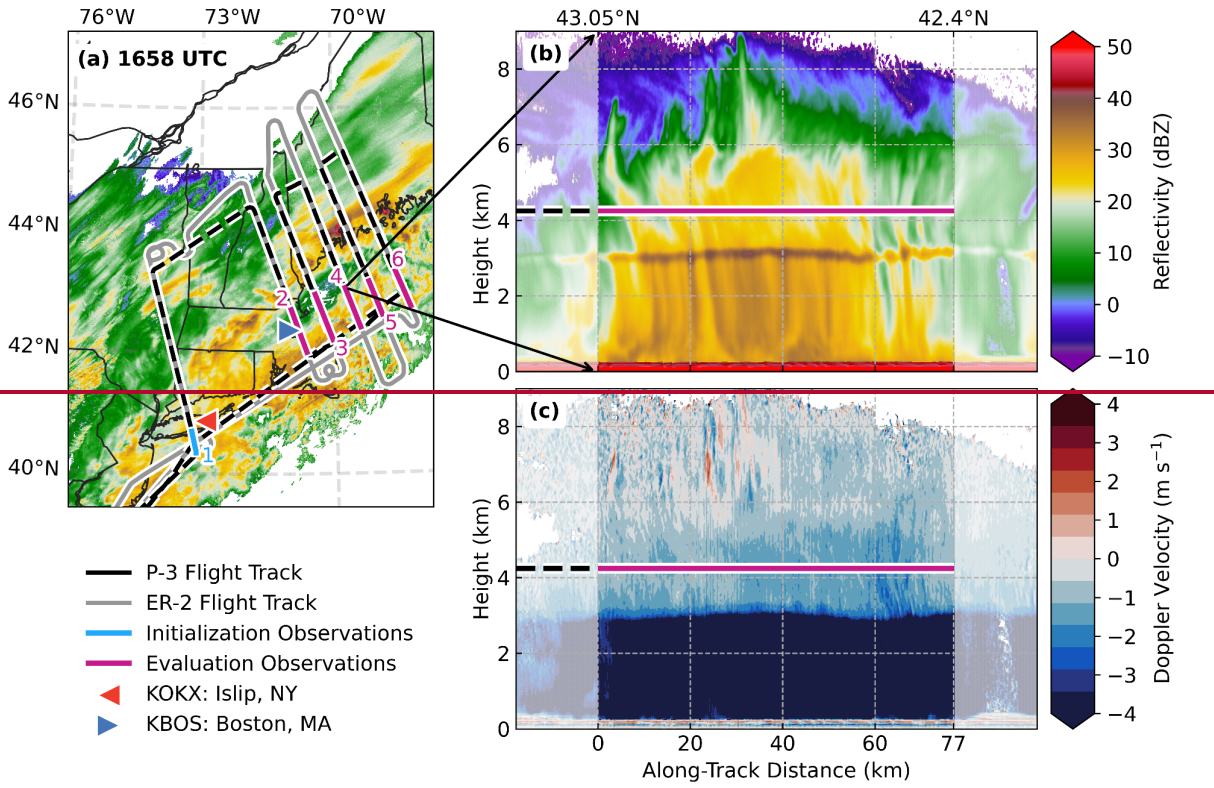


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 220 **Figure 1:** Synoptic evolution of the winter storm that impacted the northeast US: (a-c) 250 hPa
 221 geopotential heights (dam) and wind speeds (knots) and (d-f) mean sea level pressure (MSLP,
 222 hPa) and cloud brightness temperature (K) for the times 0000 UTC 4 February (a, c); 1200 UTC
 223 4 February (b, e) and 0000 UTC 5 February 2022 (c, f) . The 250-hPa and MSLP data are from
 224 the European Center for Medium-Range Weather Forecast Reanalysis v5 (ERA5; Hersbach et
 225 al. 2020) and the brightness temperature data are from the Geostationary Operational
 226 Environmental Satellites (GOES) 10.3 μm channel (Brodzik 2022b).
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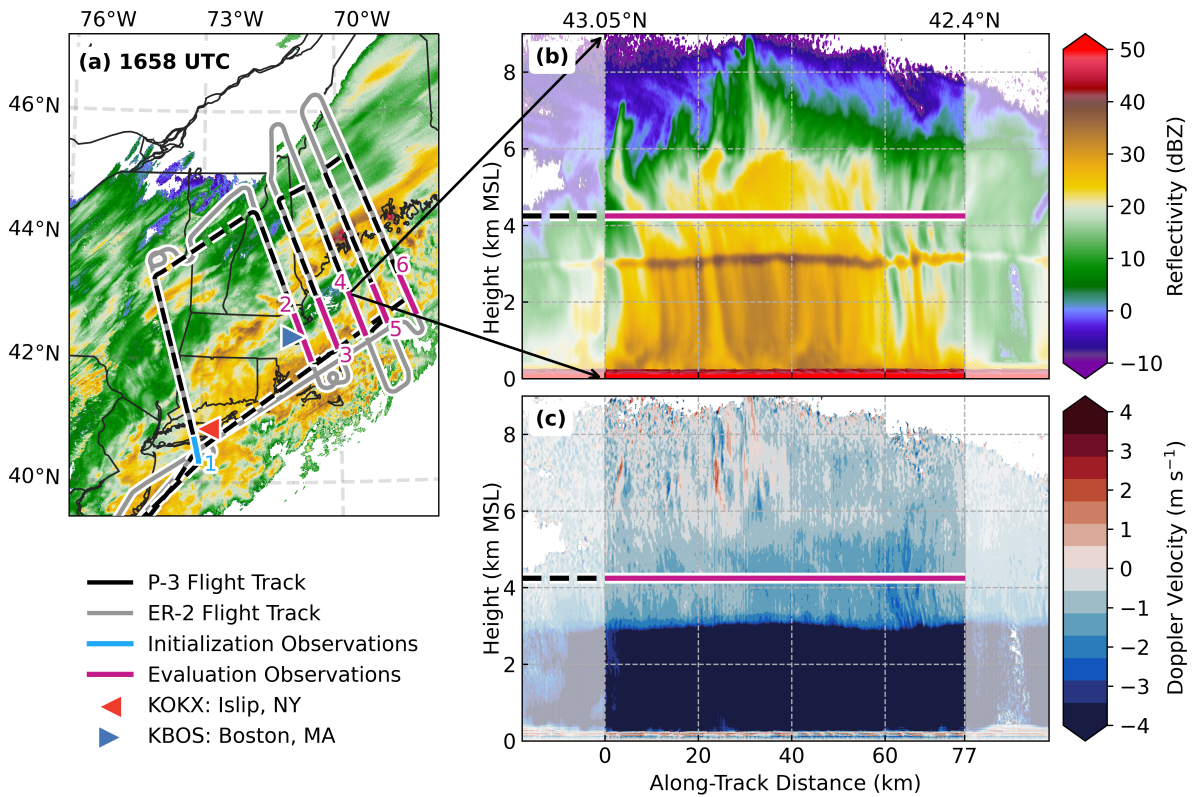
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 229 Between about 1300 and 1800 UTC, the P-3 and ER-2 aircrafts flew a “lawnmower-style”
 230 pattern orthogonal to the long axis of an enhanced region of reflectivity while translating
 231 subsequent flight legs to the northeast, such that the storm was sampled in an approximately
 232 Lagrangian manner (Fig. 2a). The P-3 flew its initial flight leg south to north beginning at about
 233 1340 UTC briefly at 6.5 km MSL before descending to a constant altitude of about 6.2 km MSL.
 234 At the southern end, this initial flight leg was near the NWS rawinsonde launch site at Islip, NY

235 (KOKX). The P-3 descended on each subsequent flight leg to sample different layers of the
236 cloud reaching an altitude of 3.0 km MSL on the final north-to-south flight leg, which transected
237 the 0°C melting level. The two enhanced regions of reflectivity, on either side of the surface
238 frontal boundary, exhibited differing cloud and precipitation properties. At the surface, the
239 northern region of enhanced reflectivity was dominated by snowfall whereas the southern region
240 was dominated by rain during the period of aircraft sampling then transitioning to wintry-mixed
241 precipitation. As we describe in Section 2.2, in situ measurements are used to indicate riming,
242 which was commonly observed over the southern region of enhanced reflectivity but absent over
243 the northern region. Therefore, to address our science questions, our present analysis is
244 constrained to measurements of the southern portions of flight legs (Fig. 2a).

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247

248 **Figure 2:** *IMPACTS operations on 04 February 2022 over the northeast US targeting regions of*
249 *enhanced reflectivity that persisted for several hours in the operational National Weather*
250 *Service (NWS) Multi-Radar Multi-Sensor (MRMS; Zhang et al. 2011) product. Shown are (a) the*
251 *coordinated P-3 and ER-2 flight tracks and MRMS composite reflectivity at approximately mid-*
252 *flight (1658 UTC) with subsets for each numbered flight leg at the southern enhanced region of*
253 *reflectivity indicating data used for this study. Also indicated in (a) are the NWS rawinsonde*
254 *launch site at Islip, NY (KOKX) and ground verification site at Boston, MA (KBOS). Ku-band*
255 *reflectivity (b) and Doppler velocity (c) vertical profiles as measured by the ER-2 aircraft from*
256 *1628 (north) to 1634 UTC (south) depict the vertical cloud profile across the region of enhanced*
257 *reflectivity (between transparent regions) for the fourth flight leg while the P-3 aircraft sampled*
258 *in situ at ~4.3 km MSL altitude (magenta line in b, c), ending the flight leg at ~42.4°N.*
259

260

261 **2.2. Observations: Surface Based, Remote Sensing, and In Situ**

262 The initial ER-2 and P-3 flight leg approximately overflew the NWS operational Islip, NY
263 (KOKX) rawinsonde launch site (Fig. 2a). Because of the relatively steady-state nature of the
264 storm during the aircraft sampling period, the KOKX 1200 UTC rawinsonde (Waldstreicher and
265 Brodzik 2022) is used to estimate the atmospheric properties in the southern portion of the flight
266 legs. Because these southern portions of the flight legs were mostly offshore, we use the nearest
267 ASOS measurements at KBOS between 1300 and 1800 UTC to estimate the mean surface
268 precipitation rate for model comparison. The ER-2 aircraft flew well above the storm at
269 approximately 20 km MSL and operated two nadir-viewing radars on 04 February: the dual-band
270 13.9 GHz (Ku-band) and 35.6 GHz (Ka-band) High-Altitude Wind and Rain Airborne Profiler
271 (HIWRAP; Li et al. 2016; McLinden et al. 2022a) and the 94 GHz (W-band) Cloud Radar System
272 (CRS; McLinden et al. 2022b). For radar reflectivity and Doppler velocity measurements of the
273 precipitation, we use HIWRAP measurements, which have a vertical resolution of 150 m and a
274 surface footprint of 1 km. At Ku-band, HIWRAP has a minimum sensitivity of approximately -
275 10 dB at an altitude of 10 km MSL (Li et al. 2016).

276 Of the numerous instruments onboard the P-3 aircraft, those of relevance to this study
277 include cloud Optical Array Probes (OAPs) and those that measure Liquid Water Content
278 (LWC) and vertical air motion. The OAPs provide measurements of the two-dimensional
279 projected sizes, shapes, and concentrations of particles. Data from a Two-Dimensional Stereo
280 (2D-S; Lawson et al. 2006), which is commonly used for measurements of particles smaller than
281 about 1 mm in diameter, are unavailable for the 04 February flight. However, a vertically
282 oriented High-Volume Precipitation Spectrometer (HVPS; Lawson et al. 1993) provided particle

283 measurements at sizes greater than 0.5 mm which were used to construct PSDs. Measurements of
284 LWC were obtained from a Fast Cloud Droplet Probe (FCDP; Lawson et al. 2017) which
285 operated as part of the Hawkeye combination probe. The FCDP uses Mie light scattering
286 principles to size and count liquid water droplets from 2 to 50 μm in diameter, from which
287 number and mass concentrations can be derived. Processing of the OAP and FCDP data was
288 performed by the National Center for Atmospheric Research (NCAR; Bansemer et al. 2022) and
289 is used at a 1 Hz frequency. Vertical air motion measurements were provided by the Turbulent
290 Air Motion Measurement System (TAMMS), which uses several sensors at different locations on
291 the aircraft to estimate the 3D components of the ambient wind (Thornhill et al. 2003). For
292 TAMMS configured to the P-3, the accuracy of vertical winds measurements is estimated to be
293 0.2 m s^{-1} (Thornhill 2022).

294

295 **3. Simulation Design and Validation**

296 **3.1. Model Description**

297 Several bulk microphysics schemes have been developed to more realistically represent the
298 observed continuous evolution of ice-phase particle populations during riming (e.g., Morrison
299 and Milbrandt 2015; Jensen et al. 2017; Cholette et al. 2023). Recently, this modeling approach
300 has been extended to a Lagrangian particle-based scheme in the novel McSnow model (Brdar
301 and Seifert 2018). The particle-based approach affords some advantages over the bulk approach,
302 namely that evolution of a population of particles occurs independent of an Eulerian grid cell
303 structure and is not constrained by assumptions about the PSD. The McSnow model was
304 developed in a 1D columnar configuration and was expressly designed to simulate the evolution
305 of an initial particle population during sedimentation through the column (Brdar and Seifert
306 2018). The notion of a particle in McSnow follows the super-droplet principle (Shima et al.
307 2009) whereby a multiplicity of real particles having commonality among physical properties
308 and location are represented by a single super-particle. These super-particles are continuously
309 introduced in the upper boundary of the model such that initially prescribed PSD characteristics
310 are maintained and then evolve by vapor deposition and aggregation, with an option for riming to
311 occur within a user-defined mixed-phase layer. From 2D simulations using McSnow,
312 DeLaFrance et al. (2024) demonstrated that mixed-phase layer depth significantly modulates
313 surface precipitation rates, varying up to 50% in response to a depth change of 750 m and that in

314 situ measurements of SLW content provide a constraint on the layer’s vertical extent. Following
 315 riming, melting of the particles occurs as its surface temperature exceeds 0°C, and collision-
 316 coalescence processes may then occur, but no additional precipitation mass is generated by
 317 warm-rain processes. The thermodynamic profile is prescribed and there are no mechanisms of
 318 feedback on the ambient environment based on the microphysical processes.

319 At any point in the column, detailed information about individual particle properties are
 320 directly accessible. In general, however, there is greater utility in the description of a population
 321 of particles in the form of a binned PSD expressed as the number concentration, N , of particles
 322 with diameter, D . We use a construction of 200 bins linearly spaced from 2 μm to 10 cm. From
 323 the PSD, radar quantities associated with moments of the PSD are computed by using a forward
 324 operator to estimate the radar scattering properties. Several scattering models have previously
 325 been adopted to radar scattering from ice crystals, principally differing in the complexity of the
 326 scattering particle’s geometry. A population of ice crystals may be treated as spheres and
 327 scattering computed directly from Mie theory (Bohren and Huffman 1983); however, this
 328 approach vastly simplifies the irregular geometry of natural ice crystals. Scattering estimates
 329 based on the T-matrix method (Mishchenko et al. 1996) support nonsphericity of particles using
 330 a spheroidal shape. Furthermore, the orientation of the spheroids relative to the radar beam may
 331 be specified or randomized (Mishchenko and Travis 1998). A more sophisticated approach
 332 termed discrete-dipole approximation (DDA) accounts for the complex scattering interactions of
 333 irregular crystal geometry (Purcell and Pennypacker 1973) and is therefore a compelling method
 334 to estimate scattering of natural crystals. However, for our simulations, crystal habits or detailed
 335 properties of particle geometry are not predicted and thus, T-matrix is an apt method of
 336 estimating radar scattering. Specifically, we use the PyTMatrix software (Leinonen 2014) to
 337 estimate the radar backscattering cross section, σ , and compute Z , defined as:

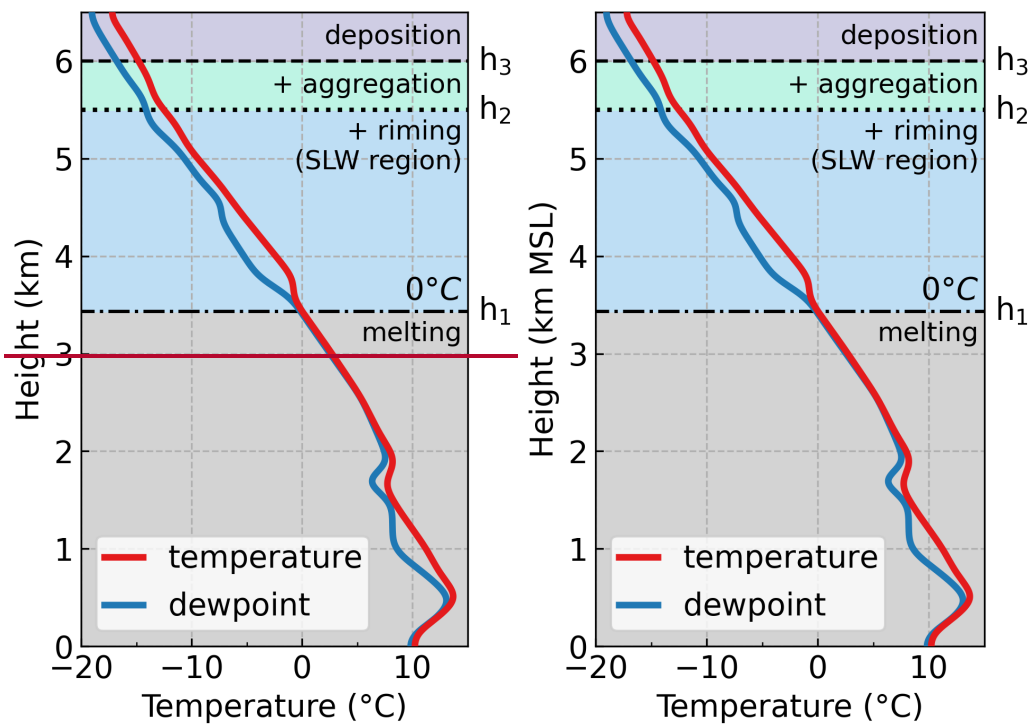
$$338 \quad Z = \frac{\lambda^4}{\pi^5 |K|^2} 10^{18} \int_0^\infty \sigma(D) N(D) dD, \quad (1)$$

339 where λ is the radar wavelength and K is the dielectric factor. From the simulations, we also
 340 estimate Doppler velocity, V_D , which is the reflectivity-weighted fall velocity, v , of the particles,
 341 defined as:

$$342 \quad V_D = \frac{\int_0^\infty v(D) \sigma(D) N(D) dD}{\int_0^\infty \sigma(D) N(D) dD}. \quad (2)$$

343 For a mixed-phase cloud, Tridon et al. (2019) demonstrated a degradation of skill in T-matrix Z
 344 estimates at higher radar frequencies (i.e., Ka- and W-band). To minimize uncertainties
 345 associated with non-Rayleigh radar scattering effects (e.g., Matrosov 2007; Liu 2004, 2008), we
 346 specify $\lambda = 25$ mm for all calculations, which is comparable to the Ku channel on the HIWRAP
 347 radar. Additionally, for consistency with the HIWRAP measurements, a two-way correction for
 348 attenuation due to precipitation particles was applied following methodology described in
 349 Williams (2022).

350



351

352 **Figure 3:** Schematic of the one-dimensional columnar configuration of the McSnow model with
 353 prescriptive process-based layers for evolution of new particles initiated at the column's upper
 354 boundary. Static temperature and dew point vertical profiles are derived from the 04 February
 355 1200 UTC KOKX rawinsonde.
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358 3.2. Control Simulation Design

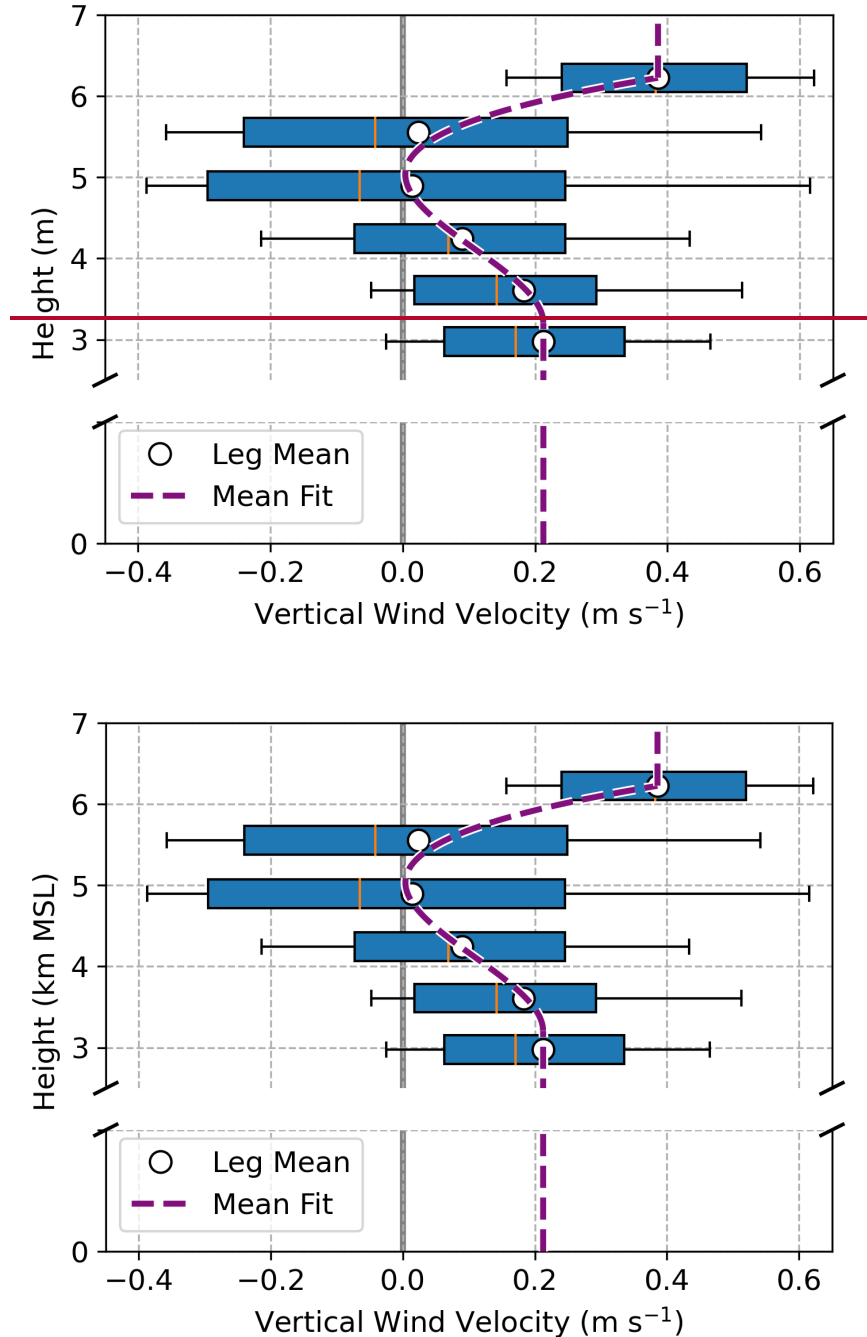
359 We use the in situ measurements combined with rawinsonde data to construct a quasi-
 360 idealized cloud profile that is representative of the mean state of the 04 February storm which we
 361 apply prescriptively in the 1D columnar McSnow model. The process-based model design is

362 illustrated by the schematic in Fig. 3. Introduction of new particles from a prescribed PSD occurs
363 at 6.5 km MSL, which approximately corresponds to the uppermost height of in situ
364 observations. Dominant particle types observed at this height were side planes and bullet
365 rosettes. As newly introduced particles undergo sedimentation, growth occurs initially by vapor
366 deposition only. Aggregation is introduced at 6 km MSL (-15°C) since aggregate particles,
367 mostly side planes and other planar crystals, were present in observations below 6 km MSL.
368 Riming is introduced at 5.5 km MSL, which we approximate as an upper extent of the mixed-
369 phase layer based on the presence of SLW droplets and rimed particles beginning at flight leg 3
370 (4.9 km MSL) and, subsequently, on legs 4 and 5 (4.3 and 3.6 km MSL). The onset of melting is
371 determined by the thermodynamic profile which is obtained from the 1200 UTC KOKX
372 rawinsonde. Although model processes are largely independent of an Eulerian grid (see
373 discussion in Brdar and Seifert 2018, Section 2), model output and analysis occurs on a gridded
374 column with 500 vertical levels, which yields a vertical resolution of 13 m. Additionally, we
375 specify a time step of 5 s and total run duration of ten hours; results are analyzed as averages
376 over the final five hours, after the system has reached a steady state.

377 As a constraint on the observational data used for simulation construction, we approximate
378 the horizontal extent of the southern region of enhanced reflectivity by visually assessing its
379 lateral edges during each flight leg using the Ku-band radar vertical profiles. An example of this
380 approach is provided in Fig. 2b, c for the fourth flight leg in which data used is from the center
381 portion of the figure. The boundaries (opaque regions) varied for each flight leg, adapting to the
382 northeastward progression of the storm and translation of each flight leg. The initial PSD
383 characteristics are derived from an average of the measurements on the uppermost height flight
384 leg at ~6.5 km MSL between the southern end point of the leg and 40.7°N latitude (see Fig. 2a).
385 Because measurements are unavailable for particles smaller than 0.5 mm, we fit a Gamma
386 distribution to the mean PSD from HVPS measurements and then extend the fitted distribution to
387 a lower size limit of 112.5 μm to estimate an IWC of 0.14 g m⁻³ and total number concentration,
388 N , of 23×10^3 m⁻³. For all simulations, an initial super-particle multiplicity of 10^5 in the upper
389 boundary is specified. We assume that newly initialized particles at 6.5 km MSL have a mass-
390 dimension relationship of $m = 0.00294D^{1.94}$ (cgs units) following Brown and Francis (1995), for
391 unrimed aggregate ice crystals in a stratiform cloud. From analysis of four IMPACTS events
392 during the preceding 2020 deployment, Heymsfield et al. (2023) showed that Z calculated from a

393 PSD using the Brown and Francis (1995) mass-dimension relationship and a T-matrix approach
394 yielded an agreement with observations at Ku band within 1.15 dB.

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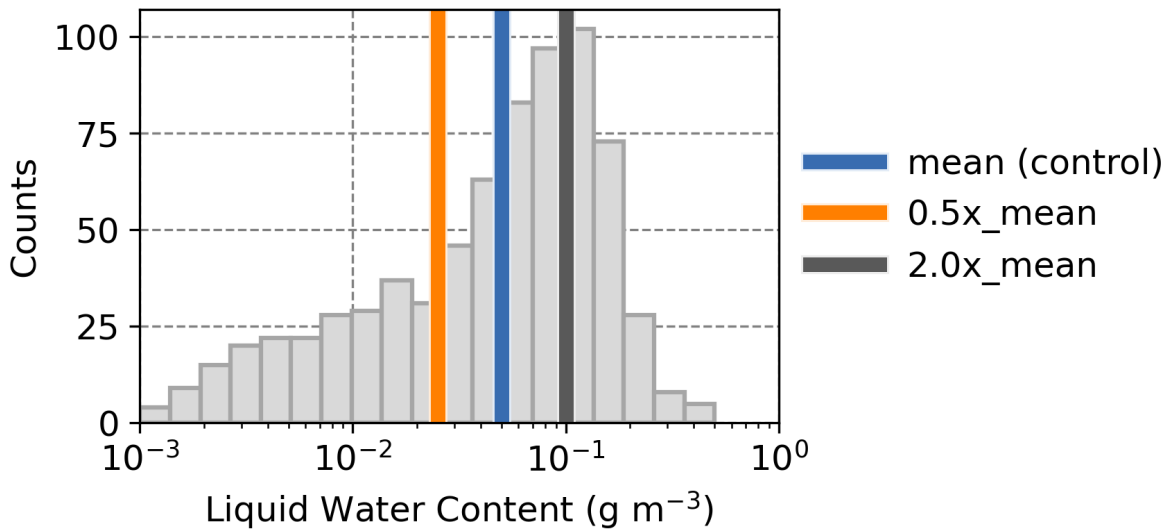
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398 **Figure 4:** Vertical wind velocity measurements from the Turbulent Air Motion Measurement
399 System (TAMMS) during P-3 flight legs indicating lower to upper quartiles in the boxed regions,

400 *10th and 90th percentiles at the whiskers, and medians at the vertical lines. A mean profile is*
 401 *fitted to the flight-level mean values (white markers).*
 402

403
 404 Falling particles are subject to an updraft. We estimate a mean-state vertical wind profile by
 405 fitting a third-degree polynomial curve to the mean measurements from each flight leg and
 406 extending the upper- and lower-most measurements as a constant value to heights beyond the
 407 observation altitudes (violet curve in Fig. 4). Within the mixed-phase layer (h_2 to h_1 in Fig. 3),
 408 SLW properties are derived collectively using FCDP measurements on flight legs 3, 4, and 5. We
 409 uniformly prescribe the mean values for SLW concentration of 0.05 g m^{-3} (Fig. 5) and a
 410 characteristic droplet diameter of $22 \text{ }\mu\text{m}$ within the mixed-phase layer.
 411



412
 413 **Figure 5:** *Histogram of liquid water content (LWC) measurements from the Fast Cloud Droplet*
 414 *Probe (FCDP) during P-3 flight legs through mixed phase cloud (4.9 to 3.6 km MSL). Vertical*
 415 *bars indicate mean (0.05 g m^{-3}) and perturbed-state values used for sensitivity simulations scaled*
 416 *from the mean by factors of 0.5 and 2.0.*
 417

418
 419 Although we prioritize the use of observations for model constraint, several decisions are
 420 necessary regarding the parameterizations of modeled processes. With two exceptions, these
 421 parameterization decisions follow those discussed in DeLaFrance et al. (2024, see Section 2.3
 422 and Appendix A). The first difference regards the aggregation process. Upon collision of two or

423 more particles, a sticking efficiency parameter which scales from 0 to 1 is used to describe the
424 probability of the particles merging, where an efficiency of 1 will always yield a union. The
425 sticking efficiency parameterization follows Connolly et al. (2012), which is dependent on
426 temperature and maximizes at -15°C . In testing, however, we found that the maximum likelihood
427 estimate (MLE) values of Connolly et al. (2012; see Fig. 14b) yielded lower concentrations of
428 large particles than were observed. Alternatively, use of a higher efficiency value inspired by the
429 upper extent of their confidence interval yielded a more observationally-consistent PSD
430 evolution and maximum particle sizes. Therefore, aggregation is introduced at 6 km MSL (Fig.
431 3) with a sticking efficiency of 0.9 at -15°C and linearly decreases to 0.5 at -10°C , remaining
432 constant at 0.5 between -10° and 0°C . The second parameterization decision which differs from
433 DeLaFrance et al. (2024) regards riming where a continuous approach was used in favor of a
434 stochastic approach, although they describe only minor differences between the two approaches.
435 In the present analysis, we find a slightly reduced collection of rime mass using the continuous
436 parameterization when compared to the stochastic parameterization. Applying the continuous
437 parameterization approach, particles accumulate a mean rime fractional mass of 0.49 by the time
438 they reach 3.6 km MSL (flight leg 5, immediately above the melting level), whereas applying the
439 stochastic parameterization, a rime fractional mass of 0.55 is accumulated. Visual assessment of
440 the in situ particle imagery indicated that the stochastic method produces a more observationally
441 consistent riming evolution. Therefore, the stochastic riming parameterization is used in all
442 simulations.

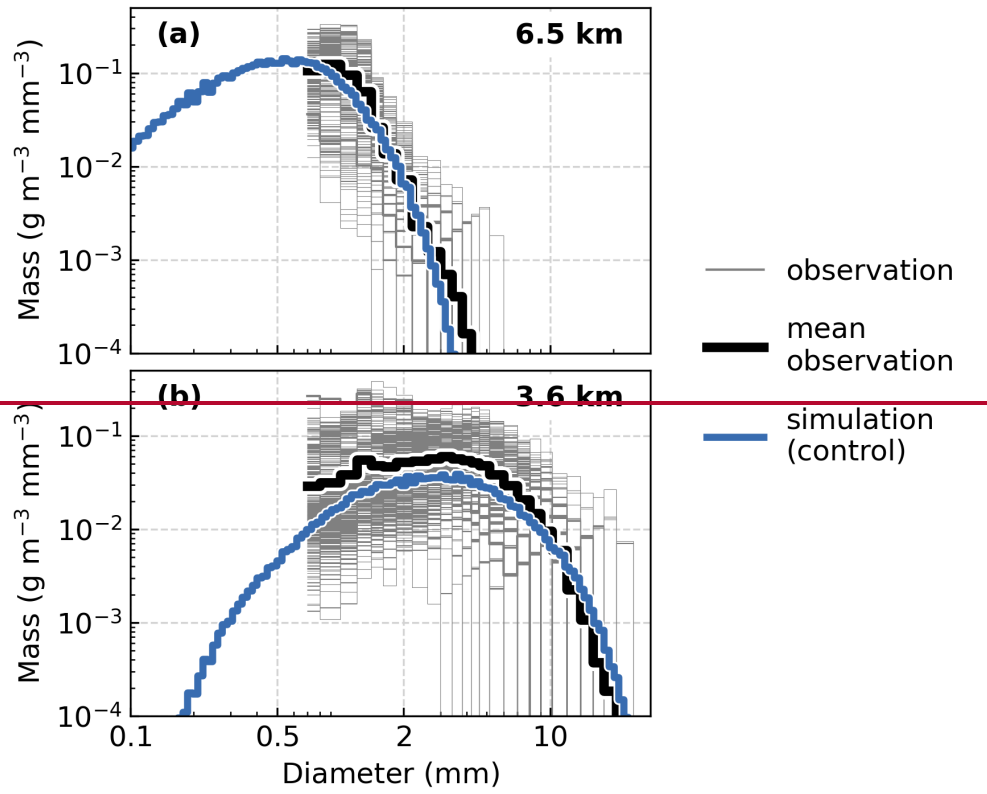
443

444 **3.3. Control Simulation Assessment**

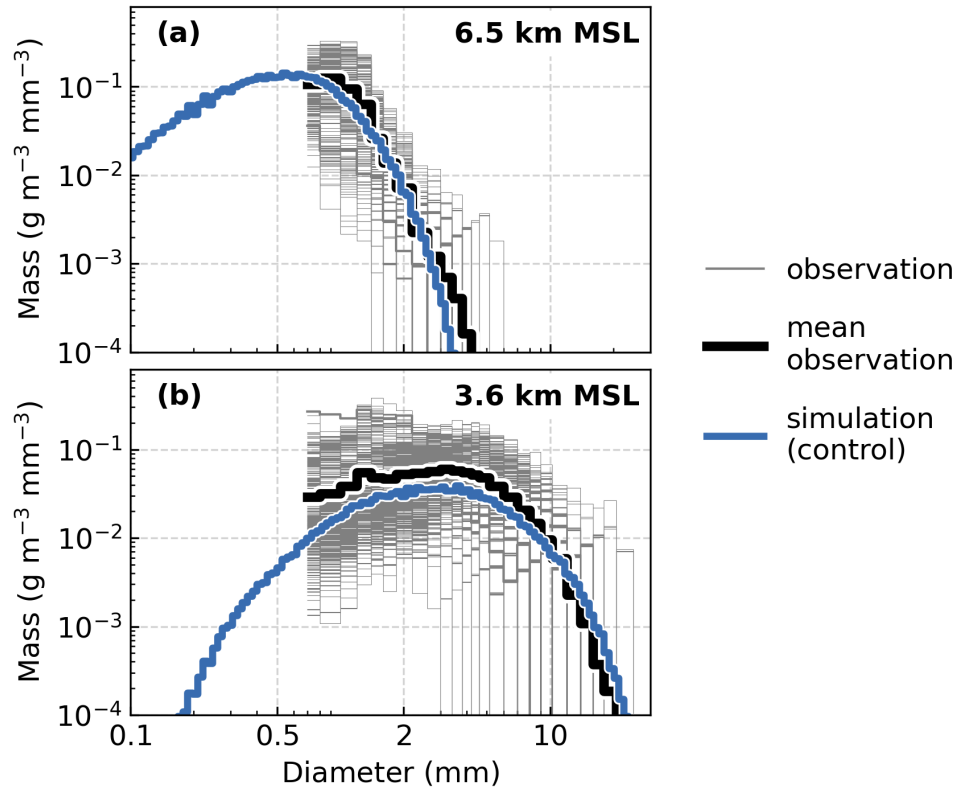
445 The objective for a control simulation is to produce an evolution of a population of particles
446 within a vertical column that is physically consistent with the observed cloud profile. In Fig. 6,
447 we compare the control simulation PSD to the mean observed PSD ($D \geq 0.7$ mm). Although PSD
448 measurements at smaller particle sizes are unavailable for this flight, the approximately
449 Lagrangian aircraft sampling yielded a temporally consistent evolution of the PSD at larger sizes.
450 Measurements from flight leg 1 are used to assess the simulation during the particle initialization
451 stages within the uppermost region of the model, whereas measurements collected downstream
452 on flight legs 2 through 6 are used to assess simulation performance during the later stages of
453 particle evolution. The model produces an initial particle population at 6.5 km MSL (Fig. 6a) that

454 is consistent with the mean observations at large particle sizes and follows the assumed Gamma
455 distribution form at small sizes. Flight leg 5 (Fig. 2a), at approximately 3.6 km MSL, was the
456 lowest altitude flown before reaching the melting level. At this altitude, evaluation of the
457 simulation shows skill in evolving this initial particle population by deposition, aggregation, and
458 riming processes throughout a nearly 3 km-deep cloud layer.

459 Particle growth between 6.5 km (Fig. 6a) and 3.6 km MSL (Fig. 6b) through aggregation and
460 to a lesser extent, depositional growth, is expressed in the shift of the observed PSD to larger
461 particle sizes. This evolutionary characteristic is reproduced by the control simulation although
462 slightly larger maximum particle sizes are generated, and the ice mass may be underrepresented
463 among particles smaller than about 2 mm in diameter. We note, however, that sizing uncertainty
464 in the observed measurements is greater at these small sizes owing to the relatively coarse pixel
465 resolution of 150 μm for the HVPS probe (Bansemer et al. 2022). To further validate the control
466 simulation and to assess the continuous particle evolution throughout the vertical profile, Z is
467 estimated from the simulated PSD and compared to the HIWRAP Ku-band measurements.
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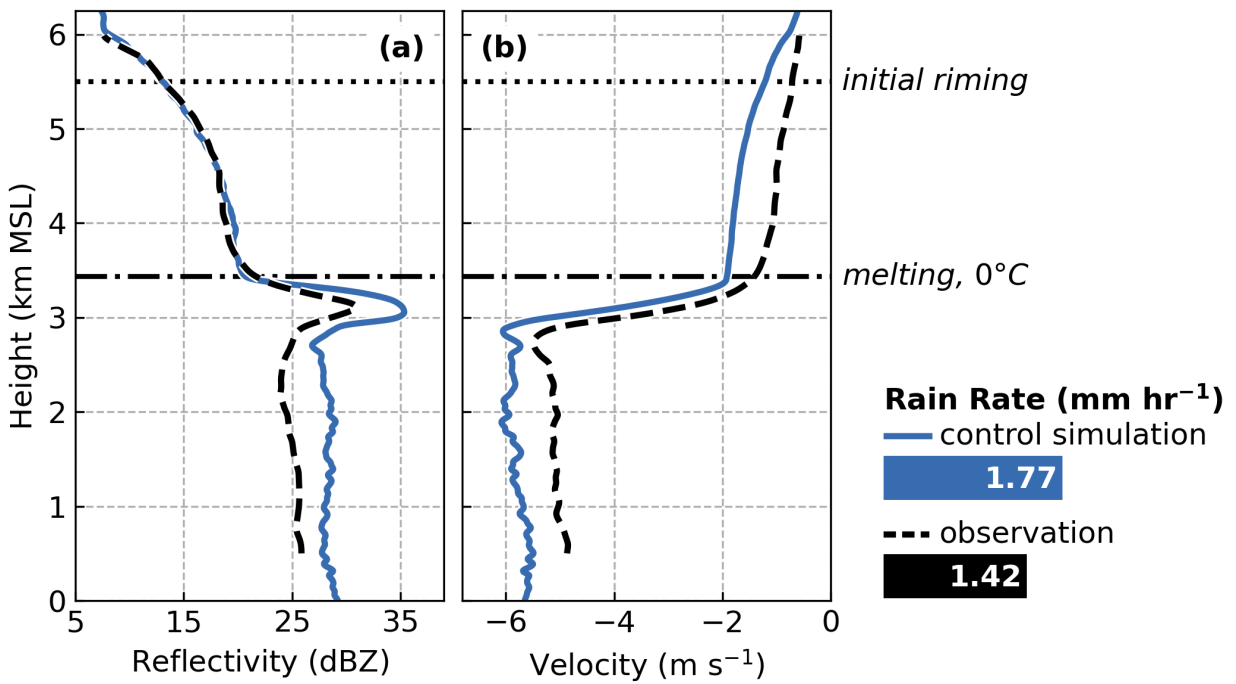
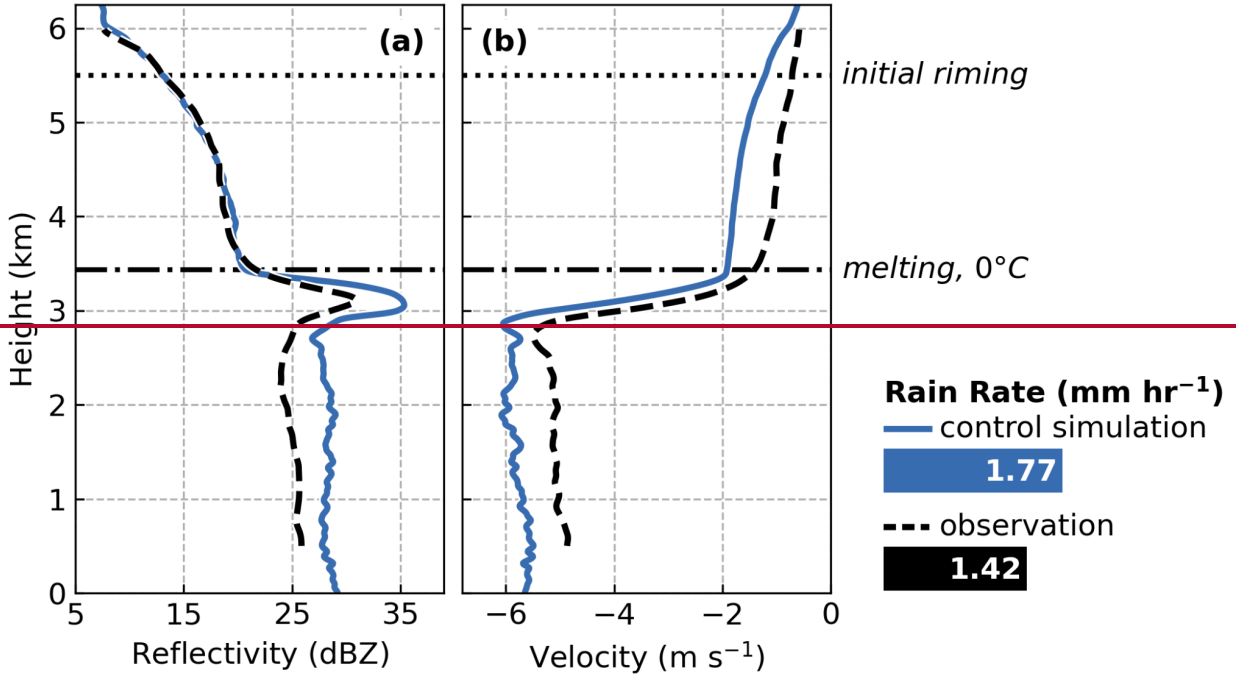
471 **Figure 6:** Particle size distributions (PSDs) of ice mass for observed 1 Hz and mean values
 472 derived from (a) P-3 flight leg 1 at 6.5 km MSL and (b) flight leg 5 at 3.6 km MSL (see Fig. 2)
 473 and for the control simulation at equivalent altitudes.
 474

475

476 Figure 7 shows the median observed vertical profile of Z and V_D computed from the
 477 downstream flight legs 2 through 6, as indicated in Fig. 2a. Data from the lowest 500 m were
 478 removed due to noise from ground clutter. From the observed vertical profiles, several inferences
 479 are made about the microphysical processes. Beginning at 6 km MSL, Z rapidly increases with
 480 descent, which is expected with an onset of aggregation. The rate of increase in Z with
 481 descending height reaches a relative maximum near 5.5 km MSL (Fig. 7a), coincident with an
 482 apparent acceleration of V_D . Within the subsequent 1 km (5.5 km to 4.5 km MSL), V_D becomes
 483 increasingly negative~~increases from~~ (-0.72 m s⁻¹ to -1.00 m s⁻¹) as particle fall speeds increase
 484 (Fig. 7b). This effect is assumed to be associated with the onset of riming, and subsequently,
 485 changes in particle densities. Particle melting begins near 3.4 km MSL, at which point a bright

486 band signature is apparent and V_D rapidly accelerates ~~increases~~. Below the bright band, Z remains
 487 nearly constant at about 25 dBZ and V_D is about -5 m s^{-1} .

488



490

491 **Figure 7:** Vertical profiles of (a) radar reflectivity and (b) Doppler velocity at Ku band for the
 492 control simulation (blue lines) and observed (dashed black lines) median from ER-2 HIWRAP

493 radar during flight legs 2-6 (see Fig. 2a, magenta segments). Data for the observed profile below
494 500 m MSL are omitted due to ground clutter. A dotted line at 5.5 km MSL indicates the onset of
495 riming and a dash-dotted line indicates the 0°C height. Also shown at the right are the surface
496 rain rate values from the control simulation (blue) and observed at KBOS (black) between 1300
497 and 1800 UTC on 04 February 2022; horizontal bar lengths illustrate magnitude differences.
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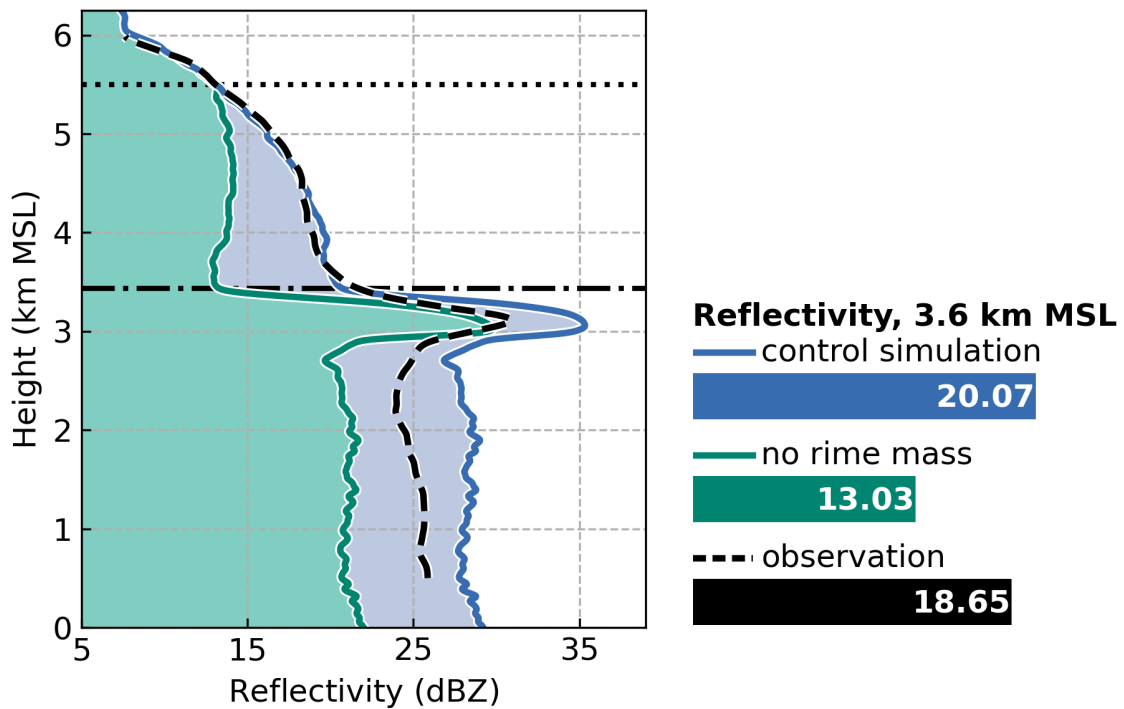
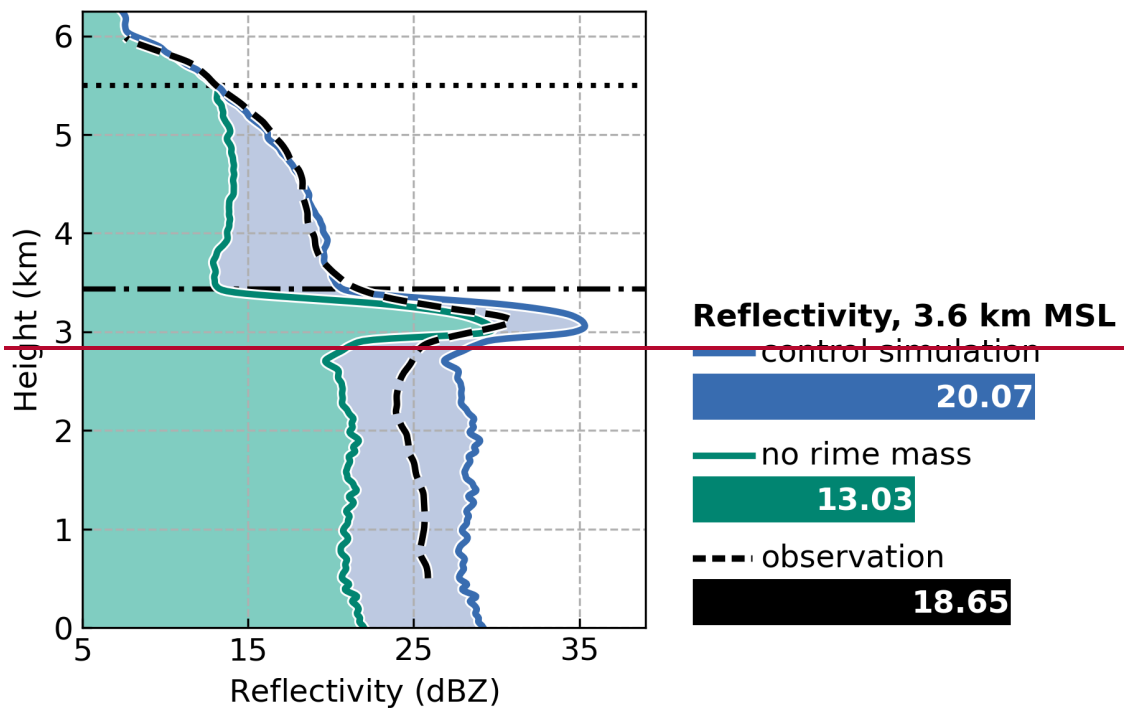
500 The vertical profile of Z is well reproduced by the control simulation, particularly above the
501 melting level (Fig. 7a), which suggests confidence in its prescriptive configuration. Upon
502 melting, Z is overestimated by the control simulation and maintains a bias of about 2 to 5 dB
503 throughout the warm layer. While an evaluation of warm-rain processes is beyond the scope of
504 the present study, it is possible that this overestimate in Z results from an incomplete
505 representation of warm-rain processes by the model, such as droplet breakup and shedding, or
506 from uncertainties in the scattering estimates. Confirmation of an attributable mechanism would
507 be challenging without in situ observations below the melting level. Rain rates at the surface are
508 one common model validation metric. Because the aircraft sampling occurred primarily offshore
509 (see Fig. 2a), an ideally situated ground site is unavailable. However, we find comparison with a
510 nearby ground site useful towards determining whether the control simulation produces
511 physically realistic estimates that are representative of the rainfall across the broader region. At
512 the surface, during aircraft sampling (1300 to 1800 UTC), the nearest ground site, KBOS,
513 reported a rain rate of 1.42 mm hr⁻¹. The control simulation produces about 25% more surface
514 rain with an average rain rate of 1.77 mm hr⁻¹.

515 Despite the confidence in Z aloft, we find that V_D is underestimated by about 0.5 to 1 m s⁻¹ in
516 the control simulation but ~~are~~ within an uncertainty range of ± 1 m s⁻¹ (Matthew McLinden,
517 personal communication, 25 April 2024) for the HIWRAP Ku-band V_D measurements. Some of
518 the uncertainty in the V_D measurements is due to corrections necessary for the aircraft motion,
519 which, although unlikely to significantly affect the relative evolution of V_D with height, may
520 yield an absolute magnitude bias. This bias between the observed and simulated V_D is consistent
521 throughout the column, suggesting that this consistent bias may be explained, to a large extent,
522 by those uncertainties in the observations. More importantly for this analysis, the relative
523 changes in V_D with height, which have process-based implications, are similar between the
524 observed and simulated profiles.

525

526 **4. Process-Based Contributions and Sensitivities on Doppler Radar Vertical Profiles**

527 A principal advantage of the particle-based design of the McSnow model is that information
528 about microphysical properties is retained by the model at the scale of the individual particles.
529 For particles in the control simulation, the onset of riming at 5.5 km MSL (h_2 in Fig. 3) initiates a
530 change in the physical evolution of the particle with subsequent sedimentation. At 3.6 km MSL,
531 the particles have accumulated a mean rime fractional mass of 0.55, increasing the total
532 precipitation mass and accelerating its fallout rate. Radar scattering by the particle, expressed
533 through Z , is also modified by rime accumulation, yet these effects are difficult to distinguish
534 from concurrent processes, including deposition and aggregation. To investigate these scattering
535 implications, we estimate the vertical profile of Z with and without contributions of rime mass.
536



539 **Figure 8:** As in Fig. 7a but with an added vertical profile (in green) for estimated reflectivity (Z)
 540 with particle rime mass removed. Shown at the right are simulated and observed Z values
 541 computed at 3.6 km MSL; horizontal bar lengths illustrate magnitude differences.
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Figure 8 compares Z from the control simulation (as in Fig. 7a) to an unrimed estimate of Z obtained by subtracting the rime mass from the particles and recomputing their scattering properties. Removal of rime mass appears to significantly impede further increases in Z with descending height below 5.5. km MSL. Near the melting level, Z is reduced from 20.07 to 13.03 dBZ between the control and simulation and the unrimed estimate, suggesting that the accumulated rime mass contributes to about 35% of the total Z (in dB units). This calculation, however, only considers the implications of riming on radar scattering; the complex interactions of concurrent processes are neglected by solely removing the rime mass from evolved particles in the control simulation. Additionally, the effects on V_D , which manifest cumulatively during riming, cannot be investigated in the same manner. To explicitly investigate the effects of riming on the radar profiles, and to distinguish these effects from concurrent processes, we introduce several sensitivity simulations which independently perturb the riming or aggregation processes.

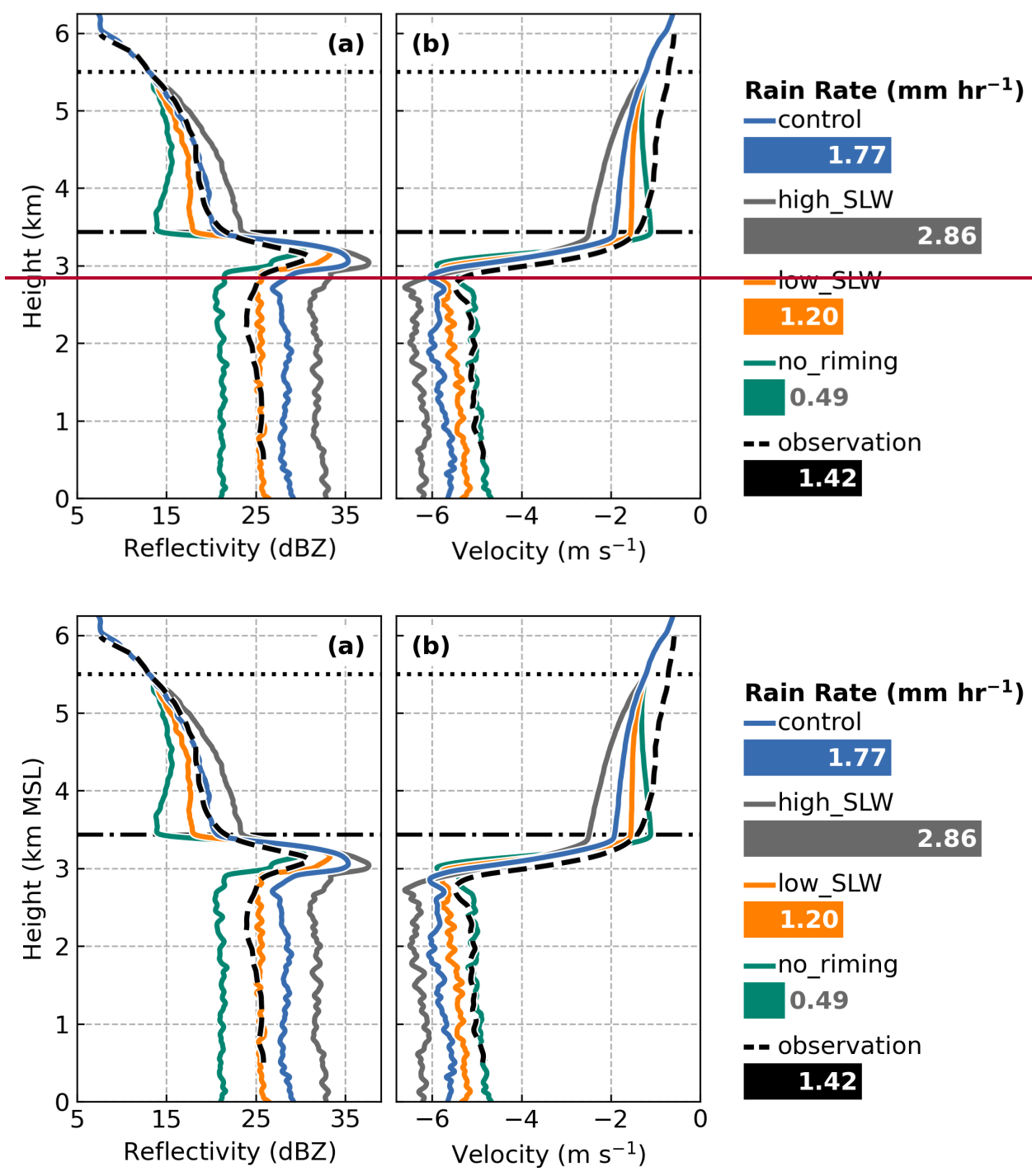
Simulation	Description	Perturbation Assignment
control	Observation-based mean-state simulation	none
high_SLW	Increase SLW by 2.0 from control	0.100 g m ⁻³ LWC
low_SLW	Reduce SLW by 0.5 from control	0.025 g m ⁻³ LWC
no_riming	Remove riming to distinguish effects from aggregation	Riming process turned off
MLE_C12_agg	Reduce aggregation from control to moderate efficiency	MLE sticking efficiency; see Fig. 14, Connolly et al. (2012)
low_C12_agg	Reduce aggregation from control to low efficiency	0.5 x MLE sticking efficiency; see Fig. 14, Connolly et al. (2012)

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Table 1: *Descriptions and perturbations relative to the control simulation applied for each simulation.*

562 Although the southern regions of the 04 February 2024 event were predominantly stratiform,
 563 variations in the mixed-phase layer LWC were observed (Fig. 5). Within sufficiently deep

564 mixed-phase layers, prior model simulations have demonstrated that small (e.g., $< 0.05 \text{ g m}^{-3}$)
565 perturbations in LWC alter particle fallout characteristics which can yield substantial increases or
566 decreases in the surface precipitation rate (DeLaFrance et al. 2024). Here, we similarly introduce
567 two sensitivity simulations perturbing LWC within the mixed-phase layer (h_1 to h_2 in Fig. 3),
568 within the range of observed LWC (Fig. 5). In the control simulation, we prescribed the mean
569 observed LWC value of 0.05 g m^{-3} . A scaling factor of two relative to the control is used to
570 prescribe a high concentration (0.1 g m^{-3}) for the “high_SLW” simulation and low concentration
571 (0.025 g m^{-3}) for “low_SLW” concentration. As a limiting case which is analogous to the
572 removal of rime mass (Fig. 8), we construct a “no_riming” simulation with the riming process
573 inactive. A brief summary of these riming sensitivity simulations is provided in Table 1.
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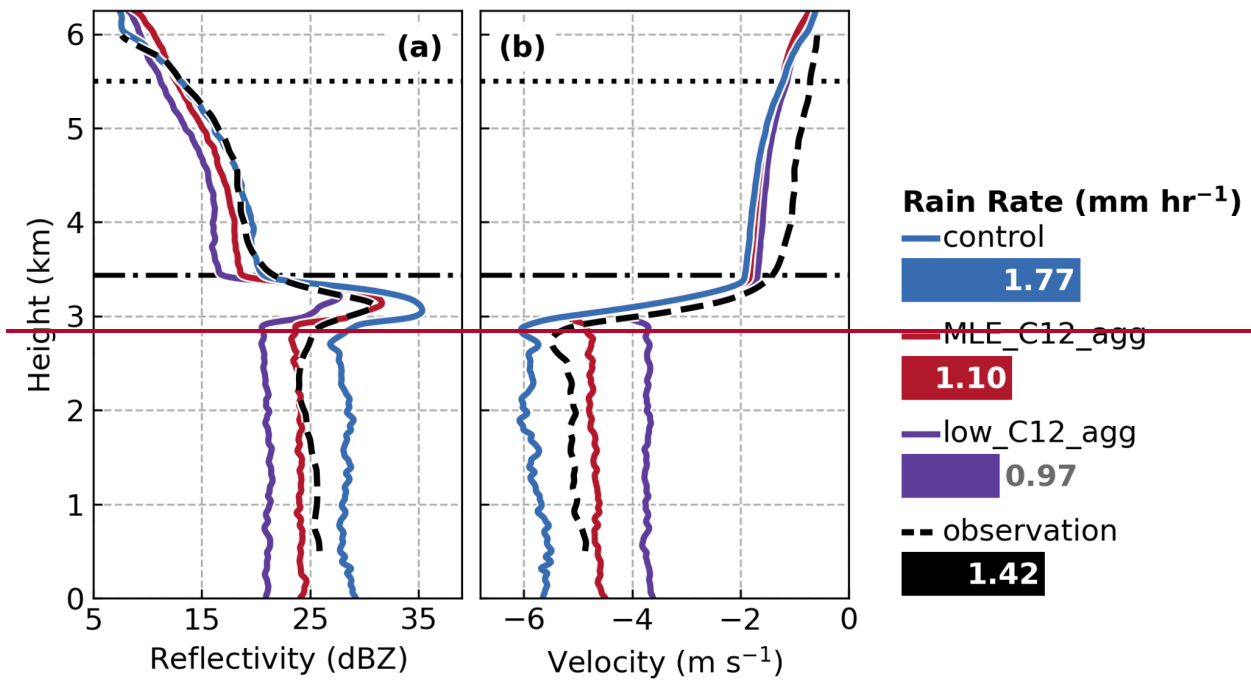
Figure 9: As in Fig. 7 but for the control and riming-based sensitivity simulations: *high_SLW*, *low_SLW*, and *no_riming*. At the right are surface simulated and observed surface rain rate values; horizontal bar lengths illustrate magnitude differences.

582 Vertical profiles of Z and V_D for the high_SLW, low_SLW, and no_riming sensitivity
583 simulations relative to the control are shown in Fig. 9. Complete removal of the riming process
584 in the no riming simulation (Fig. 9a) produces a similar Z profile as found by computing Z for
585 equivalent unrimed particles from the control simulation (Fig. 8). This result underscores the
586 significant sensitivity of Z to changes in particle mass during riming, despite concurrent
587 microphysical processes. Perturbing LWC by a factor of 2 in the high_SLW or 0.5 in the
588 low_SLW simulations relative to the control produces opposing, but similar in magnitude,
589 changes in Z (Fig. 9a), indicating a regularity in the response of Z to SLW variability. Similarly,
590 the effects of SLW variability on V_D demonstrate a regular response (Fig. 9b). We note that these
591 simulation responses in Z and V_D to SLW variability assume that the particles are well mixed
592 such that probabilistic collision of ice crystals and SLW droplets is the same throughout the
593 layer.

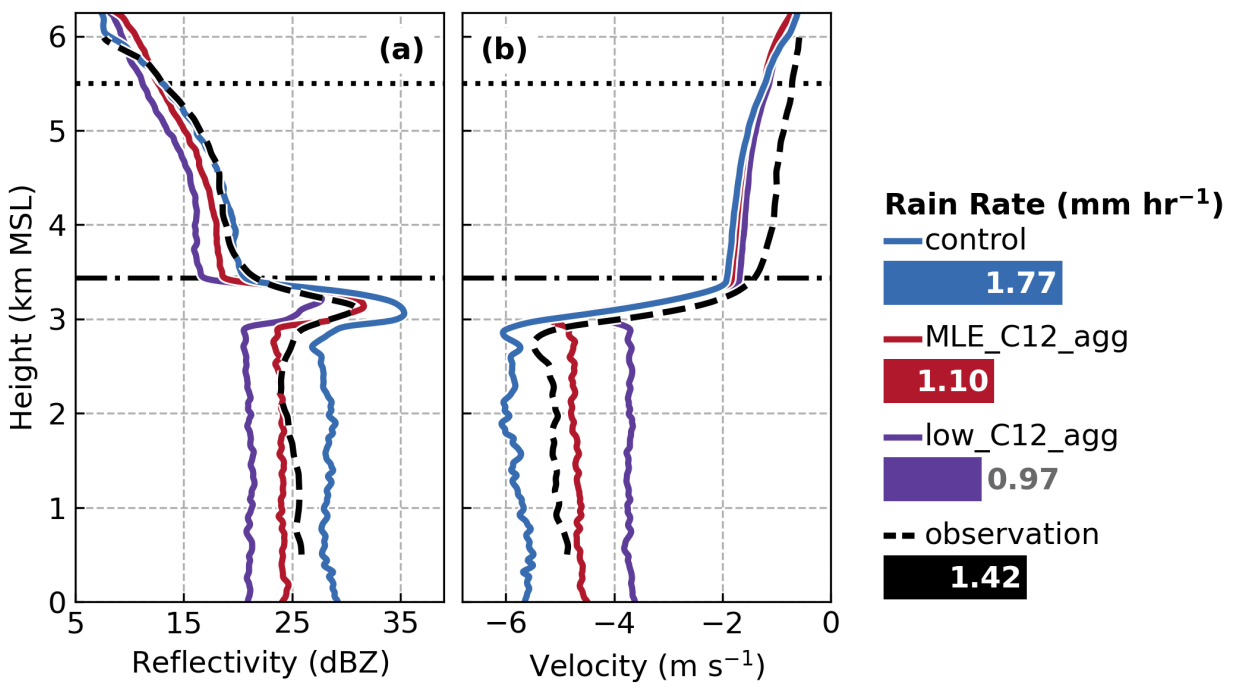
594 As discussed in Section 3.3, remote sensing measurements of V_D , including those from the
595 HIWRAP radar used throughout this study, are subject to magnitude biases. Nonetheless, as with
596 Z , the relative magnitude changes in V_D with height demonstrate a sensitivity to the riming
597 process. In the high_SLW simulation, the rate of further V_D acceleration with descent below 5.5
598 km MSL is nearly doubled relative to the control. Conversely, below about 5 km MSL, further
599 increases in V_D cease in the low_SLW simulation and decrease in V_D occurs in the no_riming
600 simulation. As a result of rime accumulation in the control simulation, V_D immediately above the
601 melting level (3.6 km MSL) increased by about 68% relative to the no_riming simulation.
602 Similarly, Z increased by about 44%. The competing effects of riming and aggregation processes
603 on V_D manifest in the low_SLW and no_riming simulations; riming accelerates the V_D with mass
604 accumulation whereas in the absence of riming, further aggregation yields larger, lower density
605 particles with reduced fall speeds. Consequently, vertical profiles of V_D may provide an insight
606 into dominant microphysical processes, which is consistent with the notion that rimed particles
607 occupy a distinct region of the Doppler spectra (Kalesse et al. 2016). To advance the
608 differentiation of particles evolved by riming, it is necessary to first consider relative effects of
609 variability in the aggregation process.

610 In our development of the control simulation for the 04 February 2022 event, the aggregation
611 process was initially assumed to follow a temperature dependent sticking efficiency identified as
612 the MLE by Connolly et al. (2012; see Fig. 14b). Comparison with in situ PSDs indicated that

613 the MLE sticking efficiency parameter was insufficient to generate observed concentrations of
614 large particles, motivating the use of an increased sticking efficiency in the control simulation.
615 However, to elucidate the effects of aggregation efficiency on radar profiles, we now consider a
616 sensitivity simulation, “MLE_C12_agg”, which follows the MLE sticking efficiency of Connolly
617 et al (2012). Additionally, analogous to the design of the riming sensitivity simulations, we
618 introduce a “low_C12_agg” simulation for which the sticking efficiency is further reduced from
619 the MLE estimate by a factor of 0.5. Relative to the control simulation, the reduction in sticking
620 efficiency in the MLE_C12_agg and low_C12_agg sensitivity simulations lack observational
621 consistency with the presently analyzed 04 February 2022 event. However, it is useful to
622 consider the implications of a realistic range of variability in the aggregation process efficiency
623 to inform general distinctions from the effects of riming within vertical profiles of Z and V_D .
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Figure 10: As in Fig. 7 but for the control and aggregation-based sensitivity simulations: MLE_C12_agg, and low_C12_agg. At the right are surface simulated and observed surface rain rate values; horizontal bar lengths illustrate magnitude differences.

632 Figure 10 shows the vertical profiles of Z and V_D for the aggregation efficiency sensitivity
633 simulations, MLE_C12_agg and low_C12_agg. Reducing aggregation efficiency suppresses the
634 generation of large particles and because of the strong dependency of radar backscatter on
635 particle size, Z decreases relative to the control (Fig. 10a). Additionally, smaller aggregate
636 particles become smaller targets for collision with SLW droplets to accumulate rime mass, which
637 also reduces Z . The latter effect manifests in the reduced surface rain rates, decreasing by 38% in
638 the MLE_C12_agg (1.10 mm hr^{-1}) and 45% in the low_C12_agg (0.97 mm hr^{-1}) simulations
639 relative to the control (1.77 mm hr^{-1}). Conversely, a reduction in aggregation efficiency has a
640 minimal effect on V_D for ice-phase particles (Fig. 10b). Above the melting level, at 3.6 km MSL,
641 V_D in the MLE_C12_agg simulation is reduced from the control simulation by ~~about 4%~~
642 ~~0.08 m s^{-1}~~ and in the low_C12_agg, reduced by ~~about 13%~~
643 ~~0.24 m s^{-1}~~ . This relative insensitivity of V_D to
644 aggregation arises despite these sensitivity simulations assessing a broad range of possible
645 sticking efficiencies. For example, at -15°C , the sticking efficiency is reduced from 0.9 in the
646 control to 0.32 in the low_C12_agg simulation and at -10°C , from 0.5 in the control to 0.12 in
647 the low_C12_agg simulation.

647 Below the melting layer, however, the effects of aggregation on V_D become significant,
648 decreasing by approximately 2 m s^{-1} between the control and low_C12_agg simulations.
649 Similarly, the surface rain rate decreases by about 45% between the control and low_C12_agg
650 simulations. Thus, despite the significant implications of the aggregation process on precipitation
651 production and its fallout, its variations are not readily perceived in vertical profiles of V_D . This
652 finding significantly differs from the robust sensitivity of V_D to variations in the riming process.
653 While variations in the aggregation and riming processes may manifest similarly in vertical
654 profiles of Z , we find that V_D is uniquely sensitive to riming. Thus, vertical profiles of V_D show
655 promise in identification of riming as a dominant ice-phase microphysical process, which is
656 ambiguous in profiles of Z , only.

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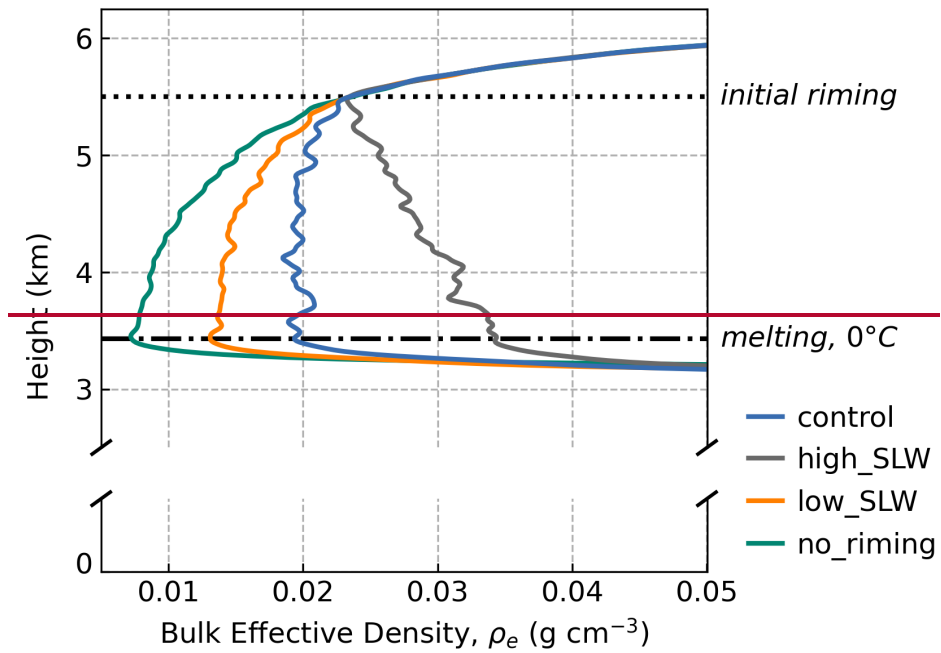
658 5. Discussion

659 Sensitivity in vertical profiles of both Z and V_D owing to rime accumulation rates were
660 previously shown by Kalesse et al. (2016) from bin model simulations by prescribing a fixed
661 vertical profile of LWC then testing two different riming efficiency parameterizations. Their two
662 simulations yielded similar vertical gradients in Z and V_D profiles but with differences in

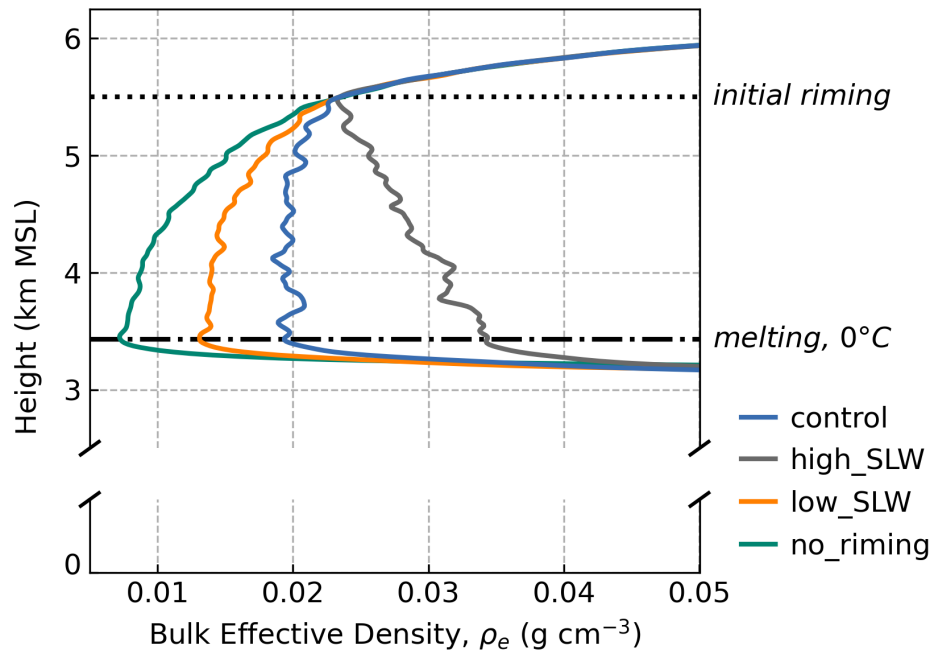
663 magnitude. They attributed these differences to assumptions about the physical morphology of
664 the ice crystals with accretion of rime mass that had implications for their scattering properties.
665 In our study, we uniquely provided an observational constraint to establish a control state
666 simulation and modeling framework for assessing impacts of riming and aggregation
667 independently. By selecting a fixed riming parameterization for all simulations using this
668 framework, we were able to assess Z and V_D sensitivities attributable to LWC perturbations
669 within the range of observed variability. We found that a small ($\leq 0.05 \text{ g m}^{-3}$) range of
670 perturbations in the LWC produced substantial changes in the surface precipitation rate and a
671 corresponding sensitivity in vertical profiles of Z and V_D .

672 The sensitivities expressed in Doppler radar profiles to LWC perturbations is tied to the
673 impact on bulk microphysical properties, especially particle density, ρ_e . In the deposition- and
674 aggregation-prescribed region above 5.5 km MSL (Fig. 11), ρ_e rapidly decreases with
675 descending height due to the efficient aggregation of increasingly open particle geometry. At 5.5
676 km MSL, riming is introduced and ρ_e approaches 0.02 g cm^{-3} , remaining nearly constant until the
677 melting level as a result of the competing effects of aggregation and riming. In the high_SLW
678 simulation, the effects of riming dominate whereby the gradient in ρ_e abruptly increases with
679 descending height. Conversely, in the low_SLW and no_riming simulations, the effects of
680 aggregation continue to dominate and ρ_e further decreases.

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684 **Figure 11:** Vertical profiles of bulk effective density, ρ_e , for the evolved particle population for
 685 the control simulation and three riming-based sensitivity simulations described in Section 4:
 686 high_SLW, low_SLW, and no_riming. Calculations of ρ_e assume equivalent spherical volumes of
 687 the particles following Heymsfield et al. (2004).
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 689

690 Despite the opposing process-based effects on the evolution of ρ_e with height, our
691 simulations suggest that the effects of aggregation and riming are not readily distinguished by Z
692 from a Ku-band radar band alone. Riming may be detectable, however, from three-wavelength
693 (Ku-, Ka-, and W-band) radar by leveraging differential attenuation effects. In prior idealized
694 modeling simulations for rimed particle growth scenarios, Leinonen and Szyrmer (2015)
695 identified unique signatures of riming by comparing dual-wavelength ratios (DWR) between Ka
696 and W bands with DWR at Ku and Ka bands. However, they found the magnitude of this
697 signature to be modest and proposed that it would likely be difficult to accurately distinguish in
698 observational data. Mason et al. (2019) later investigated the use of triple-frequency Doppler
699 radar measurements from mixed-phase clouds during wintertime snow events to constrain the
700 retrievals of bulk microphysical properties, including the PSD shape factor and ρ_e . They found
701 that triple-wavelength Z measurements effectively constrained the PSD shape parameter, but did
702 not constrain ρ_e . Rather, V_D measurements were necessary to identify transitions to rimed growth
703 cloud regions and provide constraint on ρ_e . Our findings demonstrate that this constraint on ρ_e is
704 attributable to the unique density-dependent response in V_D expressly owing to variations in the
705 riming process within mixed-phase cloud layers with concurrent riming and aggregational
706 growth. Further, our findings suggest that, when combined with Z , coincident vertical profiling
707 measurements of V_D have utility towards diagnosing riming as a dominant process within
708 stratiform clouds from a single-wavelength radar.

709

710 6. Conclusions

711 The evolution of ice-phase precipitating particles within a mixed-phase stratiform cloud was
712 simulated to evaluate the effects of riming on the PSD moments and assess the process-based
713 implications on Doppler radar vertical profiles. In situ and remote sensing airborne observations
714 collected during the IMPACTS field campaign for a prolonged wintry-mixed precipitation event
715 over the northeast US on 04 February 2022 were used to design and constrain a quasi-idealized
716 1D mean-state control simulation. Using the Lagrangian particle-based McSnow model, we
717 defined an initial population of ice particles based on in situ measurements in the upper portion
718 of the cloud. As those particles fell, initial evolution occurred by vapor deposition followed by
719 subsequent additions of aggregation and then riming within prescriptive observation-based
720 layered regions. Radar scattering properties were estimated using a T-matrix forward operator

721 and vertical profiles of Z and V_D were computed from the evolved PSD, then evaluated through
722 comparisons with the airborne radar data. The effects of riming on PSD moments expressed
723 through Z and V_D were assessed from simulations which introduce small perturbations in cloud
724 LWC within a range of observed variability. To distinguish effects of riming and aggregation,
725 two additional sensitivity simulations were introduced to determine the unique implications of
726 aggregational growth efficiency on Z and V_D . Through these approaches, we found:

727

- 728 • Ice-phase precipitation particle evolution in a mixed-phase wintertime storm cloud is well
729 constrained by the 1D quasi-idealized McSnow model.
- 730 • Despite modest supercooled liquid water concentrations, rime accumulation is estimated
731 to account for 55% of particle mass generated above the melting level, dominating ice-
732 phase contribution to precipitation rates.
- 733 • Riming cumulatively increased radar reflectivity above the melting level by an estimated
734 ~~44%~~6.1 dB and Doppler velocity by ~~68%~~0.9 m s⁻¹ and demonstrated significant
735 sensitivity to small perturbations in supercooled liquid water concentrations.
- 736 • Vertical profiles of radar reflectivity demonstrate similar sensitivities to riming and
737 aggregation, but Doppler velocity is uniquely sensitive to riming-based perturbations
738 through changes in particle density.

739

740 Constraining parameterized treatments of rimed particle evolution in numerical models is a
741 known source of uncertainty in simulations of precipitation from bulk-, bin- and Lagrangian
742 particle-based models (e.g., Lin and Colle 2011; Jensen and Harrington 2015; Jensen et al. 2017;
743 Brdar and Seifert 2018). One objective of our analysis was to address this constraining need
744 through quantifying precipitation sensitivities to riming in model simulations based on an
745 observed range of variability in LWC. We found a difference of about 6% in rime fractional
746 mass accumulation in our control simulation whether using a continuous or a stochastic
747 representation of riming with the McSnow model. This effect was expressed within a modeling
748 framework using a quasi-idealized and steady-state 1D column with a homogeneous mixed-
749 phase layer. This approach was appropriate for our intentionally selected region of the observed
750 storm because of its idealistic layered vertical structure apparent in radar observations (Fig. 2b,
751 c), along with its known presence of SLW based on in situ observations. However, in reality,

752 processes are not neatly initiated at distinct levels (e.g., in convective areas). It is expected that
753 increasing ambiguity exists in distinguishing concurrent microphysical processes in these
754 scenarios and, thus, our analysis did not assess the full natural range of complexity in mixed-
755 phase precipitation processes.

756 While model schemes have become increasingly sophisticated, it is not clear that uncertainty
757 in ice-based precipitation estimates have necessarily reduced, highlighting the need for judicious
758 use of observations to advance constraints on modeled processes (e.g., Morrison et al. 2020).
759 Because of the capacity for explicit process representation at the scale of individual particles,
760 Lagrangian models (e.g., McSnow) may be ideally suited to addressing these challenges,
761 especially when combined with datasets which prioritize observations that are consistent with the
762 evolution of particles. This observational consideration was favored during the 04 February 2022
763 event, which was sampled by IMPACTS in an approximately Lagrangian manner. In this study,
764 we focused on riming as a primary ice-phase process, but the northern region of the sampled
765 storm observed significantly less SLW and rime accumulation, presenting a unique natural
766 laboratory for evaluation of modeled aggregation. Sticking efficiencies during aggregation are
767 highly uncertain and difficult to constrain from laboratory experiments (e.g., Connolly et al.
768 2012) yet, as we demonstrated in our study, have significant implications for the accuracy of
769 simulated Z and rain rates. Ongoing work involves curating the in situ measurements of particle
770 evolution within this northern storm region to constrain Lagrangian particle-based simulations
771 and assess the ambient environmental dependencies (i.e., temperature, water supersaturation) and
772 ranges of sensitivities associated with modeled aggregation.

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774

775 **7. Data Availability Statement**

776 All field observation data from IMPACTS used in this study are accessible through the
777 NASA Distributed Active Archive Center (McMurdie et al. 2019). Readers can find a complete
778 description of the McSnow model and its availability in Brdar and Seifert (2018).

779

780 **8. Author Contributions**

781 All authors contributed to the study design and methodology decisions. Andrew DeLaFrance
782 conducted the data curation and performed the simulations and computations from model output.

783 All authors contributed to the evaluation and interpretation of the results. Andrew DeLaFrance
784 prepared the manuscript with contributions from all co-authors.

785

786 **9. Competing Interests**

787 The authors declare that they have no conflict of interest.

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789

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805 **11. References**

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