Formation and temperature dependence of Highly Oxygenated Organic Molecules (HOM) from Δ³-carene ozonolysis

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Abstract.

Δ³-carene is a prominent monoterpene in the atmosphere, contributing significantly to secondary organic aerosol (SOA) formation. However, knowledge about Δ³-carene oxidation pathways, particularly regarding its ability to form highly oxygenated organic molecules (HOM), is still limited. In this study, we present HOM measurements during Δ³-carene ozonolysis under various conditions in two simulation chambers. We identified numerous HOM (monomers: $C_{7-10}H_{10-18}O_{6-14}$, dimers: $C_{17-20}H_{24-34}O_{6-18}$) using a chemical ionization mass spectrometer (CIMS). Δ³-carene ozonolysis yielded higher HOM concentrations than α-pinene, with a distinct distribution, indicating differences in formation pathways. All HOM signals decreased considerably at lower temperatures, reducing the estimated molar HOM yield from ~3 % at 20 °C to ~0.5 % at 0 °C. Interestingly, temperature change altered the HOM distribution, increasing the observed dimer-to-monomer ratios from roughly 0.8 at 20 °C to 1.5 at 0 °C. HOM monomers with 6 or 7 O-atoms condensed more efficiently onto particles at colder temperatures, while monomers with nine or more O-atoms and all dimers condensed irreversibly even at 20 °C. Using the gas- and particle-phase chemistry kinetic multilayer model ADCHAM, we were also able to reproduce the experimentally observed HOM composition, yields and temperature dependence.

1. Introduction

Secondary organic aerosol (SOA), formed through gas-to-particle conversion in the atmosphere, constitutes a major contributor to the global submicron aerosol mass (Hallquist et al., 2009). Atmospheric SOA formation has important implications for the climate and human health (Shiraiwa et al., 2017; Cohen et al., 2017; Shrivastava et al., 2017; Jimenez et al., 2009). The largest precursor of SOA is biogenic volatile organic compounds (BVOCs), which are emitted naturally by vegetation and dominate global VOC emissions (Guenther et al., 2012). In the ambient air, BVOCs can react with a variety of oxidants, such as ozone (O_3), hydroxyl radical (OH), nitrate radical (NO_3), to produce more functionalized organic products. Highly oxygenated organic molecules (HOM) are a recently identified group of VOC oxidation products formed through rapid autoxidation processes in the atmosphere (Ehn et al., 2014; Bianchi et al., 2019). Containing six or more oxygen atoms, HOM are typically highly oxidized and functionalized species with low volatilities, making them crucial in SOA formation through condensation or reactive uptake (Ehn et al., 2014; Bianchi et al., 2019; Bianchi et al., 2016).

Monoterpenes ($C_{10}H_{16}$), a vital class of BVOCs, account for approximately 15% of the annual global BVOC emissions (Guenther et al., 2012). Previous studies have reported a broad range of SOA yields resulting from monoterpene oxidation, with values spanning from less than 1% to over 60% (Saathoff et al., 2009; Warren et al., 2009; Ehn et al., 2014; Hallquist et al., 1999; Kristensen et al., 2020; Thomsen et al., 2022). This variation highlights the significant disparities in monoterpene oxidation mechanisms and the potential of their products to form SOA under different conditions. The most abundantly emitted monoterpene, α-pinene, has been the subject of numerous laboratory and field studies (e.g. Ehn et al. (2014); Berndt et al. (2003); Zhao et al. (2023); Molteni et al. (2019); Tillmann et al. (2010); Kristensen et al. (2020)). Many modellers have also employed α-pinene as a representative compound for endocyclic monoterpenes in the regional or global aerosol budget (Boy et al., 2013; Pye et al., 2010). To date, however, the fate of other monoterpenes in the atmosphere remains less understood, and evaluating the variability of their impact on SOA formation continues to be a challenge.

Δ^3-carene is a bicyclic unsaturated monoterpene (Scheme 1), distinguished from α-pinene's structure primarily by its 3-membered ring. Despite being predicted to have lower emissions than α-pinene at a global scale (Sindelarova et al., 2014), Δ^3-carene has been measured in equivalent proportions in ambient air in certain regions (Fry et al., 2013; Kim et al., 2013; Geron et al., 2000; Bäck et al., 2012). SOA yields from photochemical oxidation of Δ^3-carene have been determined to be 2 - 38% (Hoffmann et al., 1997; Griffin et al., 1999; Lee et al., 2006), and D'ambro et al. (2022) detected both gas- and particle-phase products from OH oxidation of Δ^3-carene and developed a mechanism for the initial stage of carene-OH oxidation with the support of computational chemistry. Recently, experimental and theoretical research on HOM formation from NO_3 oxidation of Δ^3-carene has also been carried out (Dam et al., 2022; Draper et al., 2019; Liu et al., 2022; Day et al., 2022), and it has been suggested that the higher SOA yield from NO_3-initiated oxidation of Δ^3-carene (15%-65%) compared with α-pinene (0-16%) (Hallquist et al., 1999; Fry et al., 2014) might be due to differences in the potential for further radical propagation and oxidation of the first-generation radicals from early unimolecular processes. The ozonolysis of Δ^3-carene is also of particular importance as this process can contribute to both SOA and OH formation in the atmosphere. Previous studies have reported that Δ^3-carene

60   ozonolysis has similar or slightly higher SOA yields compared to α-pinene ozonolysis under similar conditions (Thomsen et al., 2021; Thomsen et al., 2022). Several studies have measured various less-oxidized products (e.g. organic acids) from Δ^3-carene ozonolysis and explored their possible formation pathways (Wang et al., 2019; Glasius et al., 2000; Baptista et al., 2014; Ma et al., 2009). However, very little is currently known about the HOM formation resulting from O_3-initiated Δ^3-carene oxidation (Li et al., 2019; Mentel et al., 2015), the only source providing HOM spectra from Δ^3-carene ozonolysis is the study

65   by Li et al. (2019).

In this study, we conducted a series of chamber experiments to investigate the HOM formation from Δ^3-carene ozonolysis with and without the presence of an OH scavenger. We present the differences in HOM formation between Δ^3-carene and α-pinene ozonolysis concerning potential pathways, molar yield, and composition. Additionally, we estimate the relative volatilities of the detected HOM species to qualitatively assess their contributions to SOA formation. We also explored the impact of

70   temperature on the composition and distribution of HOM. Finally, the aerosol dynamics and gas- and particle-phase chemistry kinetic multilayer model ADCHAM (Roldin et al., 2019; Roldin et al., 2014) was utilized to simulate the HOM formation from Δ^3-carene ozonolysis to compare to our experimental results.

2. Materials and Methods

2.1. Chamber facilities and instrumentation

75   Δ^3-carene ozonolysis experiments were performed in two different set-ups: (a) the COALA chamber at University of Helsinki, Finland, to assess HOM compositions and their potential formation pathways, and (b) the AURA chamber at Aarhus University in Denmark, to investigate the impact of temperature and relative humidity (RH) on HOM formation.

The COALA chamber is a 2 m³ Teflon reactor maintained at room temperature (25 ± 1℃) under dry conditions (RH < 1%). During this campaign, the chamber was run in continuous mode with a total inflow of 40 L min⁻¹ (average residence time: ~

80   50 min). We conducted eleven Δ^3-carene ozonolysis experiments under different oxidation conditions (Table S1). A proton transfer reaction time-of-flight mass spectrometer (PTR-TOF 8000, Ionicon Analytik Gmbh) was deployed to measure VOC concentrations, while a chemical ionization atmospheric pressure interface time-of-flight mass analyser (CIMS, Tofwerk AG/Aerodyne Research, Inc.) with nitrate (NO_3^-) as the reagent ion (hereafter NO_3-CIMS) was employed to probe oxygenated products from Δ^3-carene ozonolysis, with a primary focus on HOM.

85   The AURA chamber is a 5 m³ Teflon chamber situated in a temperature-controlled room (temperature range: -16 – 26 ℃). Throughout the campaign, the AURA chamber was run in batch mode, meaning the sources and sinks for products were progressively accumulated from the beginning of each experiment. As shown in Table S2, the HOM formation of Δ^3-carene ozonolysis was examined under dry conditions (RH < 15%) at 20 ℃ (20A & B), 10 ℃ (10A & B), and 0 ℃ (0A), and twice under humid conditions (RH = 80%) at 10 ℃ with two different Δ^3-carene loadings (10D: 10 ppb and 10 E: 20 ppb). The

90   experiment commenced with the introduction of Δ^3-carene into the chamber, marking the time as experiment time = 0 min.

Instruments for both gas phase and particle phase measurements were deployed. For HOM measurement, a NO_3-CIMS with a long TOF analyser (Tofwerk AG/Aerodyne Research, Inc.) was utilized.

Note that in this paper we, in accordance with most previous studies, term the set of compounds that we observe with the NO_3-CIMS that match the Bianchi et al. (2019) criteria as HOM, while acknowledging that some compounds will not be detected,
95   or are detected with lower sensitivity. The schematic of these two chambers is shown in Figure S1, and more details of the set-ups and instruments are provided in Section S1. Importantly, the differences between batch- and continuous-mode chamber experiments are described there.

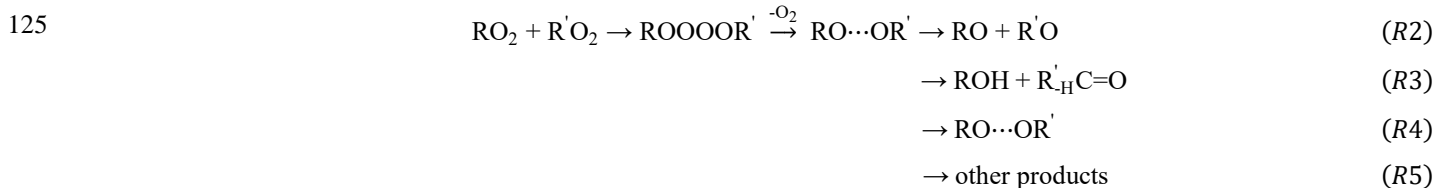
2.2. Δ^3-carene ozonolysis chemistry

For the purpose of interpreting our mass spectral observations, we here provide a brief overview of the main reaction pathways
100   from the ozonolysis of Δ^3-carene. An initial addition of O_3 to the double bond (Scheme 1) results in Criegee Intermediates (CI) that undergo unimolecular isomerization followed by OH loss and O_2 addition, forming "primary" RO_2 (peroxy radical) with an elemental composition of $C_{10}H_{15}O_4$. With a suitable structure, RO_2 intramolecular H-shifts and O_2 addition (i.e. autoxidation) can take place, leading to high oxygen content of the formed RO_2 ($C_{10}H_{15}O_{even}$). The reaction between Δ^3-carene and O_3 also produces OH, as depicted in Scheme 1, and the OH yields were reported to be 0.56-1.1 by previous studies (Wang
105   et al., 2019; Hantschke et al., 2021; Atkinson et al., 1992; Aschmann et al., 2002). OH can react with Δ^3-carene as well, where it can either attach to the double bond, resulting in an initial RO_2 as $C_{10}H_{17}O_3$, or abstract a hydrogen atom to form an RO_2 as $C_{10}H_{15}O_2$. Subsequent autoxidation is expected to produce RO_2 with the formulas of $C_{10}H_{17}O_{odd}$ and $C_{10}H_{15}O_{even}$ from addition and abstraction, respectively. However, it should be noted that for VOCs with double bonds, the OH-abstraction pathway is not typically significant (Atkinson and Arey, 2003), and the dominant source of $C_{10}H_{15}O_{even}$ will be the ozone reactions.
110   Highly oxidized RO_2 can terminate to closed-shell compounds, i.e., HOM monomers or HOM dimers via either unimolecular decomposition (typically R1) or by bimolecular reactions with other RO_2 (R2-R5) and hydroperoxyl radicals (HO_2 (R6-R8) in our system.

$$RO_2 \rightarrow R'_{-H}=O + OH \qquad\qquad (R1)$$

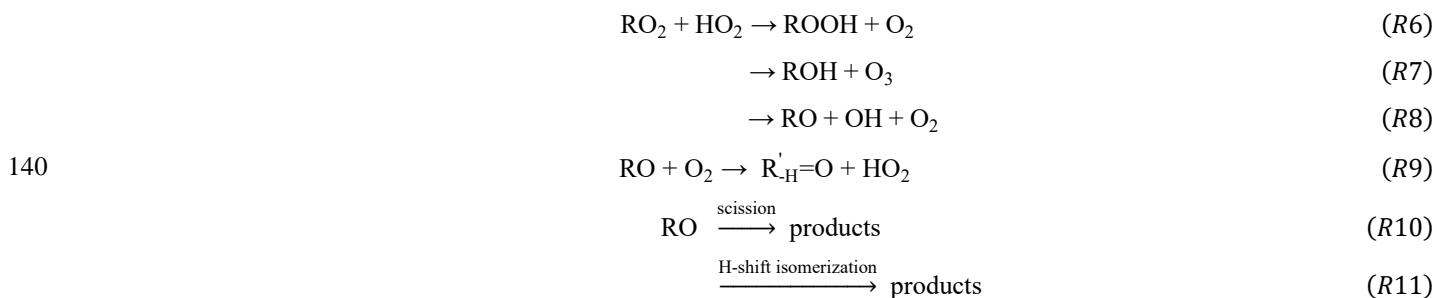
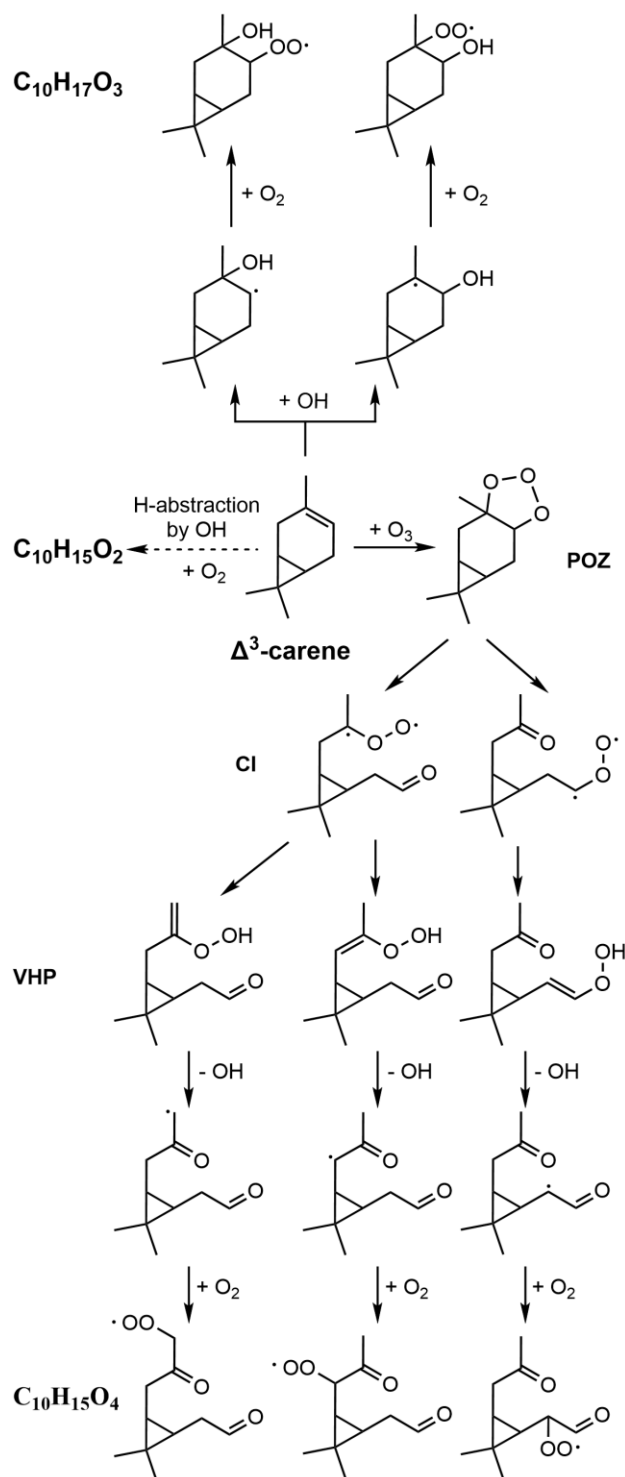
When RO_2 reacts with other RO_2, a tetroxide intermediate is formed and rapidly decomposes to a complex of two alkoxy
115   radicals (RO) and releases an oxygen molecule. The RO complex can then undergo different processes resulting in various products. First, the complex can directly decompose to two RO (R2), which subsequently generate closed-shell species after HO_2-loss (R9) or alkyl radicals via channels R10,11. The alkyl radicals related to R10,11 pathways can either terminate unimolecularly, or ultimately reform a new RO_2. For example, $C_{10}H_{15}O_{odd}$ and $C_{10}H_{17}O_{even}$ can be formed from reactions of $C_{10}H_{15}O_{even}$ and $C_{10}H_{17}O_{odd}$ via reactions R2 + R10,11. Second, the RO complex can decompose to a carbonyl and an alcohol,
120   as simplified in Reaction R3 (Vereecken and Peeters, 2009). Third, two RO of the complex can recombine into a ROOR' accretion product (i.e., HOM dimer) (Hasan et al., 2020) after intersystem crossing (R4). To illustrate, the reactions between O_3-initiated RO_2 $C_{10}H_{15}O_{even}$ and OH-initiated RO_2 $C_{10}H_{17}O_{odd}$ would produce $C_{20}H_{30,34}O_{even}$ and $C_{20}H_{32}O_{odd}$ dimers,

depending on the RO_2 combinations. Finally, the RO complex could also undergo other untypical reactions (R5) which are exclusive for RO with suitable structures.

125
$$RO_2 + R'O_2 \rightarrow ROOOOR' \xrightarrow{-O_2} RO \cdots OR' \rightarrow RO + R'O \tag{R2}$$
$$\rightarrow ROH + R'_{-H}C{=}O \tag{R3}$$
$$\rightarrow RO \cdots OR' \tag{R4}$$
$$\rightarrow \text{other products} \tag{R5}$$

130 Similar to the reactions of two RO_2, the reactions of RO_2 with HO_2 can lead to both termination (R6,7) (Groß et al., 2014; Praske et al., 2015; Schwantes et al., 2015) and radical propagation (R8) (Hasson et al., 2005) as well. It is generally expected that HO_2 reactions terminate autoxidation following the reaction channel R6. However, other reaction channels also play a significant role for more complex RO_2, e.g. reaction channel R8 was found to have a high yield for acylperoxy radicals (Groß et al., 2014; Hasson et al., 2005). Therefore, for example, it is theoretically possible that O_3-initiated RO_2 $C_{10}H_{15}O_{even}$ can form

135 closed-shell monomers $C_{10}H_{16}O_x$ or generate new RO_2 $C_{10}H_{15}O_{odd}$ via different reactions with HO_2. Note that in this study, the reactions of RO_2 with HO_2 were expected to prevail only when CO was injected into the chamber.

$$RO_2 + HO_2 \rightarrow ROOH + O_2 \tag{R6}$$
$$\rightarrow ROH + O_3 \tag{R7}$$
$$\rightarrow RO + OH + O_2 \tag{R8}$$

140
$$RO + O_2 \rightarrow R'_{-H}{=}O + HO_2 \tag{R9}$$
$$RO \xrightarrow{\text{scission}} \text{products} \tag{R10}$$
$$\xrightarrow{\text{H-shift isomerization}} \text{products} \tag{R11}$$

Scheme 1. Simplified formation mechanism of the different primary RO₂ from ozone and OH initiated oxidation of Δ³-carene. POZ: cyclic primary ozonide; CI: Criegee intermediates; VHP: vinyl hydroperoxides.

2.3. ADCHAM modelling

We used the ADCHAM model to simulate the gas-phase chemistry, HOM formation and SOA formation during the AURA and COALA experiments. The general model setup, aerosol dynamics and predicted SOA formation during the experiments are described by Thomsen et al. (2024). Here we only describe the new Δ^3-carene gas phase oxidation mechanism that was implemented in ADCHAM. ADCHAM incorporates a comprehensive model for autoxidation and HOM formation for α-pinene, serving as the foundational basis for carene ozonolysis model. In this study, we updated the ADCHAM model drawing upon prior researches on the ozonolysis and OH-oxidation of Δ^3-carene (D'ambro et al., 2022; Hantschke et al., 2021; Wang et al., 2019), alongside HOM and SOA data from our campaign. The first generation reaction rates and branching ratios of the implemented Δ^3-carene gas-phase chemistry mechanism is based on the theoretical work on ozonolysis of Δ^3-carene by Wang et al. (2019) and the experimental work on ozonolysis and OH-oxidation of Δ^3-carene by Hantschke et al. (2021). According to the theoretical work by Wang et al. (2019) four different Criegee intermediate (CI) conformers are formed during the ozonolysis of Δ^3-carene. These CIs undergo prompt unimolecular reactions to form secondary ozonides (SOZs), vinyl hydroperoxides (VHPs), dioxiranes (DIOs) and stabilized CIs (SCIs). The VHPs decomposes rapidly and form RO_2 and OH while the SOZs can isomerize promptly to 3-caronic acid. According to the calculations by Wang et al. (2019) the VHP and OH yield during the ozonolysis of Δ^3-carene is ~56 %, the SOZs yield (3-caronic acid yield) is ~24 %, the DIOs yield is 16 % and the SCIs yield is 4 %. The theoretically derived OH yield from Wang et al. (2019) is in reasonable agreement with the experimentally derived OH yield of 65 % by Hantschke et al. (2021). Since the existence and fate of dioxiranes are largely unknown (Hantschke et al., 2021) we exclude the proposed reaction pathways leading to these products in the present work. Instead, we assume that the initial CIs exclusively decomposes to 65 % VHPs and 35 % SOZs, which result in final first-generation ozonolysis product yields of 65 % RO_2 + OH and 35 % 3-caronic acid.

The formed first-generation RO_2 are distributed between 4 different isomers, one major RO_2 isomer with a molar yield (branching ratio) of 96.2 % that are assumed to be an analogue to the first-generation α-pinene ozonolysis product C109O2 in MCMv3.3.1, and thus named D3C109O2, and three other isomers that represents C_{10} RO_2 that can undergo peroxy radical autoxidation and form HOM (Table S6). In addition, we have included a minor route to C_9 RO_2 that can undergo peroxy radical autoxidation. These C_9 RO_2 are expected to be formed as a second-generation bimolecular reaction product when D3C109O2 reacting with other RO_2 or NO. To capture the observed profound impact of CO on the HOM mass spectrum evolution in COALA, the lower RO_2+RO_2 reaction rates (on the order of ~5 times lower) for Δ^3-carene than in earlier PRAM (Roldin et al., 2019; Nie et al., 2023) were utilized. Otherwise, the RO_2+RO_2 termination reactions are still dominating over the RO_2+HO_2 reactions after the CO addition in COALA, when assigning the MCM generic rate coefficient KRO2HO2 ≈ 2x10^{-11} molec^{-1} cm^3 s^{-1} for all RO_2+HO_2 reactions in the model. Additionally, we accounted for different RO_2+HO_2 reaction pathways (R6-R8) in this presented Δ^3-carene mechanism while in previous monoterpene PRAM (Roldin et al., 2019; Nie et al., 2023), all RO_2+HO_2 reactions resulted in closed shell HOM ROOH ($C_{10}H_{16}O_x$) (R6).The molar yield of the 4 RO_2 that can undergo autoxidation are summarized in Table S3. Table S4 provide the Δ^3-carene ozone chemistry mechanism, excluding the peroxy

180 radical autoxidation mechanism (PRAM), and Table S5 the Δ^3-carene OH-oxidation mechanism. Table S6 and Table S7 list all PRAM reactions. The full mechanism, in a format compatible with the kinetic pre-processor, will be provided upon publication in an open access repository at Zenodo.

3. Results and Discussion

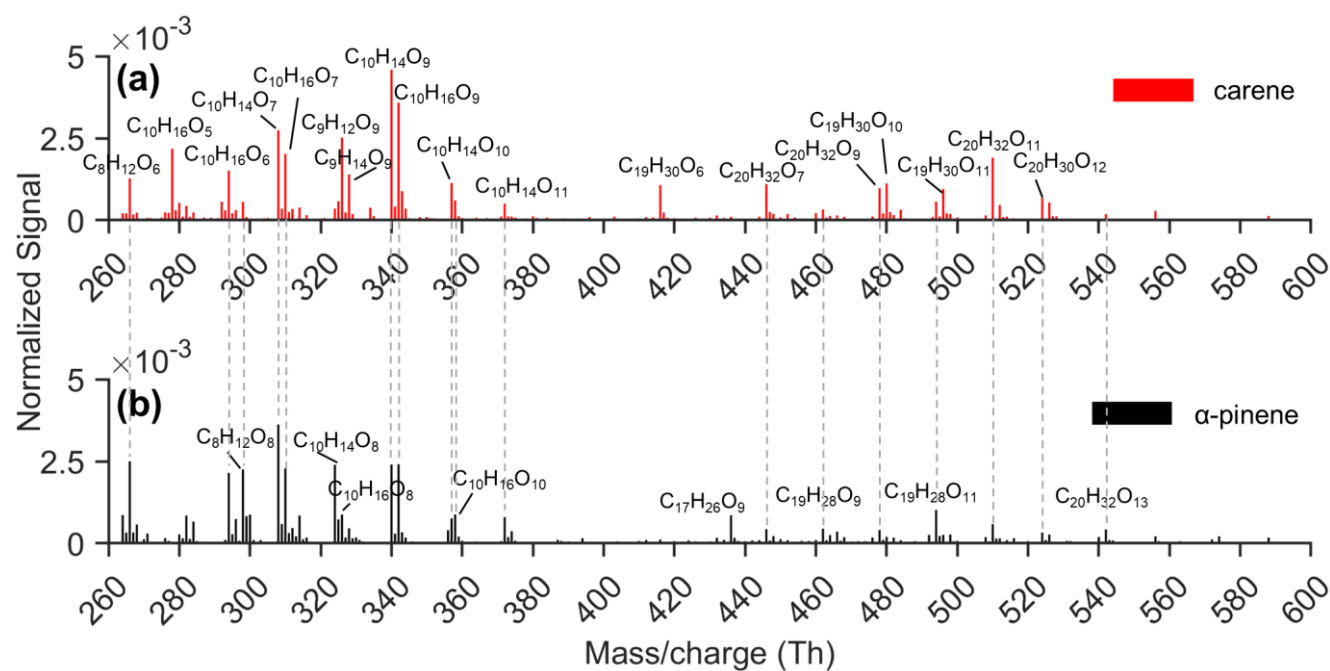
3.1. HOM formation and general features

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Figure 1. UMR (unit-mass resolution) mass spectra from Δ^3-carene (a) and α-pinene (b) ozonolysis in the COALA chamber under the same conditions (VOC = 20 ppb, O_3 = 30 ppb). All peaks labelled were detected as a cluster with NO_3^-, and the gray dashed lines mark some of the products with the same formulas detected both in Δ^3-carene and α-pinene ozonolysis experiments.

During our experiments in the COALA chamber, numerous HOM with a broad oxygenation pattern were observed as nitrate
190 adducts in the mass range from 260 Th to 600 Th as illustrated in Figure 1(a). The spectra observed in our study bear a resemblance to those reported by Li et al. (2019), wherein the predominant monomers were identified as $C_{10}H_{14,16}O_{7,9}$ in both spectra, and the most abundant dimer groups were $C_{20}H_{32}O_{7,9,11}$ and $C_{19}H_{30}O_{6,10,11}$. However, the relative abundances of the dominant species differed slightly. For instance, $C_{20}H_{32}O_{11}$ exhibited greater abundance in our study, whereas the reverse was true in Li et al. (2019). These disparities could stem from variations in experimental conditions and instrumentation. The HOM
195 distributions from Δ^3-carene ozonolysis showed many similarities with the corresponding α-pinene HOM under the identical conditions (Figure 1(b) &2 (a)), as was expected considering their structural similarity. However, also clear differences were observed. Although $C_{10}H_{14,16}O_{odd}$ were the dominant monomer groups in both systems, the largest peaks in Δ^3-carene

ozonolysis had a higher oxygen content ($C_{10}H_{14,16}O_9$) than those in α-pinene ozonolysis ($C_{10}H_{14,16}O_7$). Furthermore, $C_9H_{12,14}O_9$ were exclusively abundant in the Δ^3-carene ozonolysis system. The differences in the main dimers were even more pronounced:

200    $C_{20}H_{32}O_m$ and $C_{19}H_{30}O_n$ were the most abundant dimer groups in Δ^3-carene ozonolysis, whereas in the α-pinene system, larger $C_{19}H_{28}O_n$ signals instead of $C_{19}H_{30}O_n$ were observed.

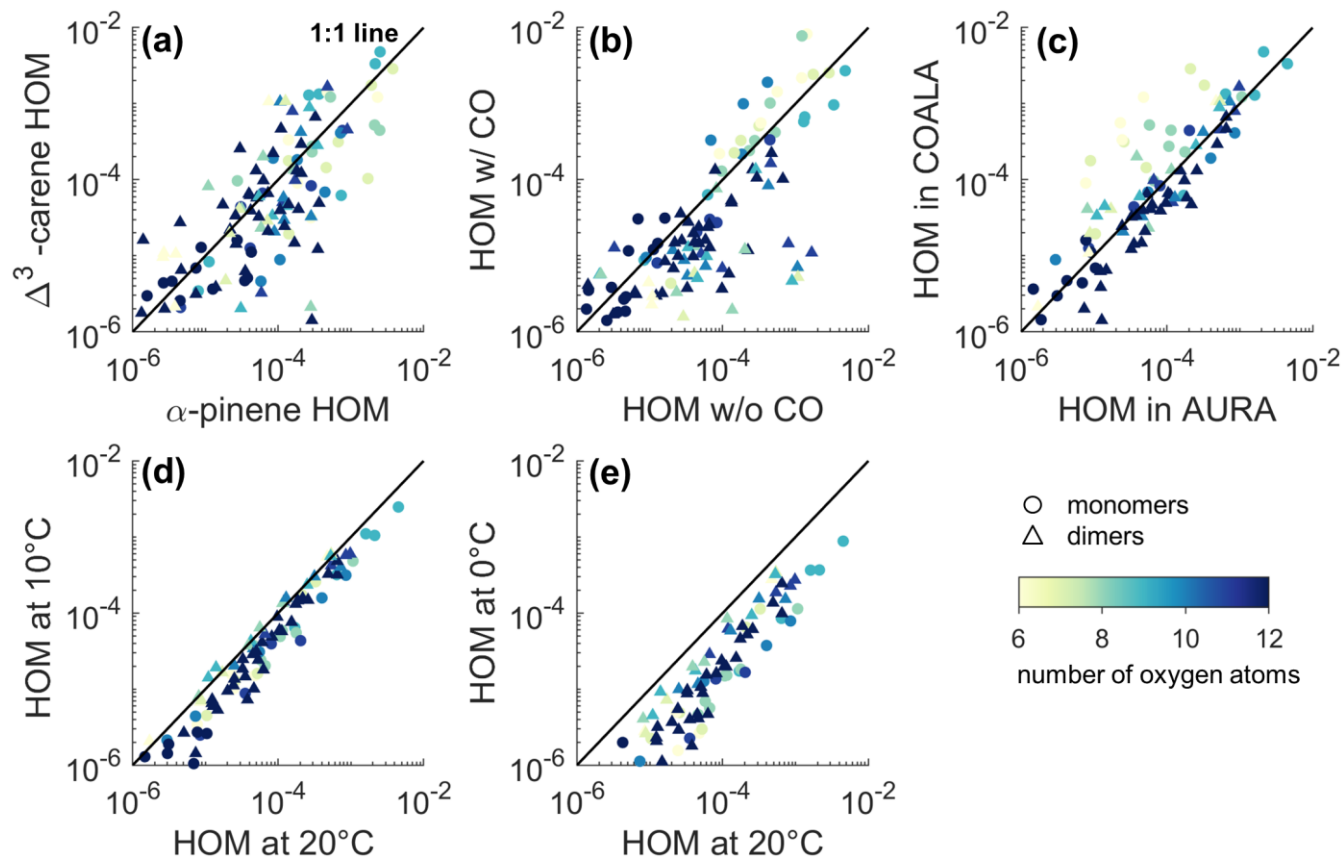



Figure 2. Scatter plots of the HOM normalized signal intensity from different experiments. Each marker corresponds to a single detected composition. The subplots depict comparisons between Δ^3-carene ozonolysis (Experiment 10) and α-pinene ozonolysis

205   **(Experiment 19) in the COALA chamber (a), Δ^3-carene ozonolysis in COALA chamber and experiment 20B in the AURA chamber (b), Δ^3-carene ozonolysis without CO injection (Experiment 10) and with CO injection (Experiment 11) in the COALA chamber (c), Δ^3-carene ozonolysis in the AURA chamber at 10 ºC (10B) and at 20 ºC (20B, panel (d)), Δ^3-carene ozonolysis in the AURA chamber at 0 ºC (0A) and at 20 ºC (20B, panel (e)). The colour indicates the O-atom content in the identified species, and markers distinguish monomers and dimers. The solid lines shown in all subplots are the 1:1 lines.**

210   By grouping the detected HOM based on C-atoms and O-atoms numbers, we can see different contributions of HOM groups between the Δ^3-carene and α-pinene ozonolysis systems (Figure 3). A substantially higher fraction of C_{10} compounds and a slightly higher fraction of C_9 compounds were observed for Δ^3-carene ozonolysis, while C_8 and C_5 compounds were nearly twice as large for α-pinene ozonolysis HOM. The most significant difference within the C_9 and C_{10} groups in these two systems was attributed to the O_9 species, which contributed more than two-fold higher to the total HOM in the Δ^3-carene system. Dam

215   et al. (2022) also observed a larger contribution of C_9 species during the NO_3 radical oxidation of Δ^3-carene (~27%) compared to α-pinene (~10%). However, no significant C_9 signal was detected during OH oxidation of Δ^3-carene by D'ambro et al. (2022). Since the ring opening is the first step in both NO_3 and O_3 initiated oxidation but not in OH oxidation, it can be assumed that the open ring favours the subsequent decomposition, potentially of the RO formed from $RO_2 + RO_2$ reactions. In addition, the concentration-weighted number of O-atoms for C_{10} HOM were similar; however, in the Δ^3-carene system, C_9 had a slightly

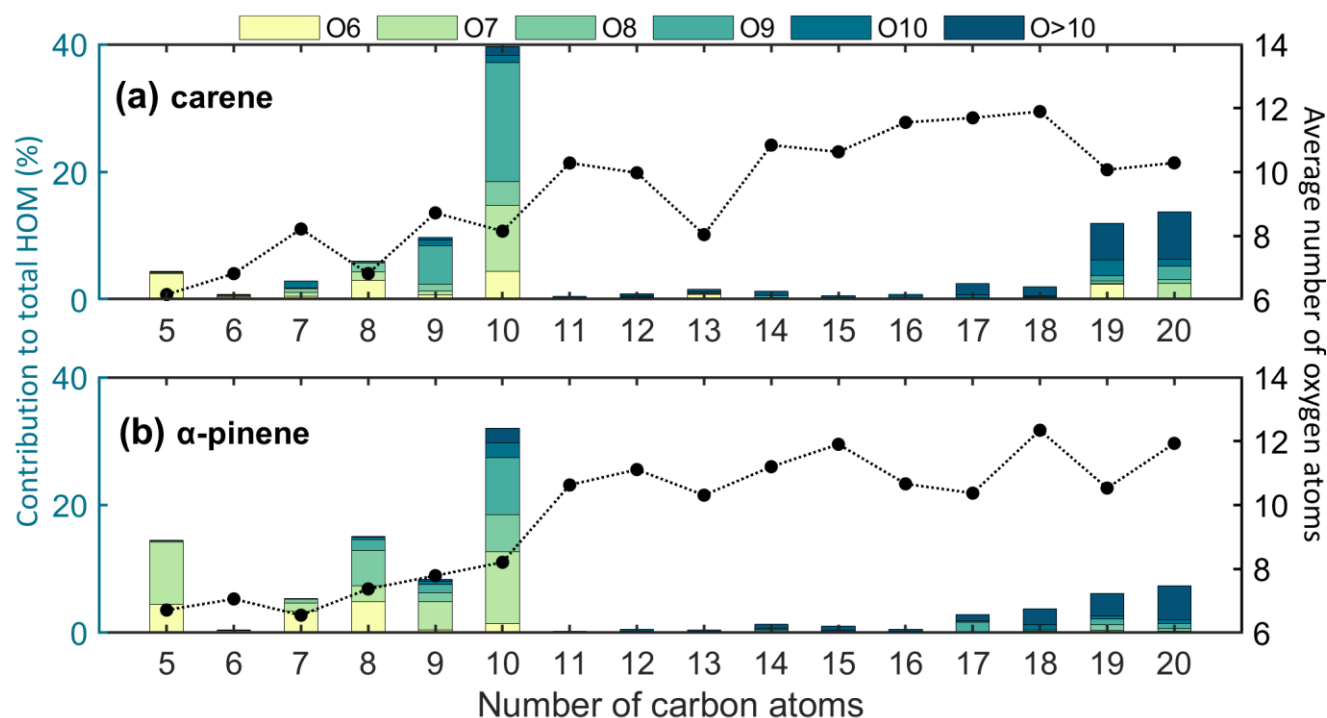
220   higher concentration-weighted number of O-atoms, indicating the C_9 monomers were more oxidized. C_{17-20} dimers constituted approximately 33% and 21% of the total HOM from Δ^3-carene and α-pinene ozonolysis, respectively. Interestingly, $C_{19,20}$ predominated the HOM dimers from Δ^3-carene ozonolysis, while the dimer contributions from α-pinene ozonolysis exhibited a steady increasing trend as the C-atoms increased from 17 to 20. Moreover, due to the higher fractions of $O_{6,7}$ species in $C_{19,20}$ groups, the concentration-weighted numbers of O-atoms in the Δ^3-carene system were lower.

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Figure 3. Fractions (left-hand y-axis) of different HOM to the total detected HOM as a function of the C-atom and O-atom number formed from carene (a) and α-pinene (b) ozonolysis in the COALA chamber under the same conditions (VOC = 20 ppb, O_3 = 30 ppb). The dots (right-hand y-axis) show the average concentration-weighted number of oxygen atoms in each HOM group with the same number of C-atoms.

230   Most HOM monomers shown in Figure 1(a) can be explained by the standard RO_2 chemistry described in Section 2.2. For instance, $C_{10}H_{14}O_{7,9,11}$ are likely derived from the O_3-initiated RO_2 ($C_{10}H_{15}O_{even}$) after unimolecular (R1) or bimolecular (R3, R8+9) terminations, while $C_{10}H_{16}O_{6,8,10}$ can be formed via the same termination reactions from the OH-initiated RO_2 $C_{10}H_{17}O_{odd}$. The latter can also form from $C_{10}H_{15}O_{even}$ terminating with HO_2 (R6), highlighting the complexity of determining

exact mechanisms solely from elemental composition measurements. $C_{10}H_{16}O_{7,9,11}$ HOM might be explained by $C_{10}H_{15}O_{even}$
235 terminating via R3 or R7 channel. In the case of the $C_9H_{12,14}O_9$ HOM which stood out in the monomer region, they have
undergone a fragmentation reaction, which may be associated with an alkoxy decomposition pathway like R10. Extremely
rapid RO scissions were recently shown to be highly competitive in the α-pinene ozonolysis system (Peräkylä et al., 2023),
losing formaldehyde to become C_9 radicals, though in that case they always seemingly ended up forming dimer species. The
reason why C_9 monomers were abundantly observed in only Δ^3-carene ozonolysis remains undetermined.

240 For all Δ^3-carene ozonolysis experiments, we observed $C_{10}H_{15}O_{8,10}$ and $C_9H_{13}O_{10}$ as the three largest signals of radicals,
consistent with the detected closed-shell HOM. Although the COALA chamber is a steady state chamber, we can examine the
rates at which different species appear when we start adding reagents to the chamber. Selected time series of closed shell HOM
and radicals are shown in Figure 4, and we can see that $C_{10}H_{14}O_9$ began to rise immediately once $C_{10}H_{15}O_{10}$ was formed,
suggesting that $C_{10}H_{14}O_9$ was primarily formed from the unimolecular termination pathway (R1). However, $C_9H_{12,14}O_9$ and
245 $C_{10}H_{16}O_9$, of which the formation process was expected to involve at least one step of $RO_2 + RO_2$ reactions, started to increase
~3 min later. This reinforces our speculation on the potential formation pathways of the most abundant HOM
monomers. $C_{20}H_{30,32,34}O_x$ dimers could originate from reactions between $C_{10}H_{15}O_{even}$ and $C_{10}H_{17}O_{odd}$, depending on the oxidant
combinations. Similarly, the formation of $C_{19}H_{30}O_n$ and $C_{19}H_{28}O_m$ dimers can be formed from combinations of the two C_{10}
radicals with the $C_9H_{13}O_x$ radicals that were potentially formed via the alkoxy scissions as described above.

250 The relative impact of OH for HOM formation was investigated by injecting around 200 ppm CO into the COALA chamber,
resulting in over 90% of OH reacting with CO instead of Δ^3-carene, forming a significant amount of HO_2 in the process
(Gutbrod et al., 1997). The differences in HOM formation with and without CO presence are illustrated in Figure S5(a) and
Figure 2(b). Evidently, almost all dimer signals decreased significantly after CO addition, as the elevated HO_2 level increased
the competitiveness of $HO_2 + RO_2$ reactions relative to $RO_2 + RO_2$ reactions. However, the HOM monomers responded
255 differently upon the CO addition (Figure S6(a)). $C_{10}H_{14}O_{odd}$ and $C_{10}H_{16}O_{odd}$ decreased, while $C_{10}H_{16}O_{even}$ increased. The latter
is easily explained by increased HO_2 termination of the O_3-derived RO_2, while the $C_{10}H_{16}O_{odd}$ decrease was expected since
their major source was believed to be OH-derived RO_2. Interestingly, $C_{10}H_{14}O_{even}$ and $C_9H_{12,14}O_{even}$ monomers, of which the
formation pathways were also expected to involve bimolecular reactions between RO_2, did not decline. One possible
explanation is that $C_{10}H_{15}O_{even} + HO_2$ reactions yield a considerable amount of RO via R8. In contrast, no similar trends of
260 $C_{10}H_{14}O_{even}$ and $C_9H_{12,14}O_{even}$ monomers were observed in the α-pinene system (Figure S6(b)). All trends observed in the α-
pinene system can be explained by the decrease of OH-initiated RO_2 and the reduced likelihood of $RO_2 + RO_2$ reactions. These
findings again emphasize the difference in oxidation pathways between Δ^3-carene and α-pinene systems.

Figure 2(c) displays the scatter diagram of the relationship between Δ^3-carene ozonolysis HOM observed in the AURA
chamber at 20 °C and in the COALA chamber at room temperature (25 ± 1°C). HOM monomers with 9 or more O-atoms and
265 most HOM dimers with decent signal intensities agreed very well. However, HOM monomers with 6-8 O-atoms were observed
at higher concentrations in the COALA chamber. This discrepancy could result from various factors, including differences in
the experimental conditions of the two chambers, as well as variations in the configuration of the NO_3-CIMS used. Moreover,

the COALA chamber spectrum was measured during the steady stage, while the data from the AURA chamber were collected
at experiment time = 10 min. The HOM monomers with 6-8 O-atoms are expected to be close to semi-volatile, leading to more
270   complex behaviour in terms of wall interactions and possible accumulation during an experiment. This topic is discussed in
more detail in the next section.

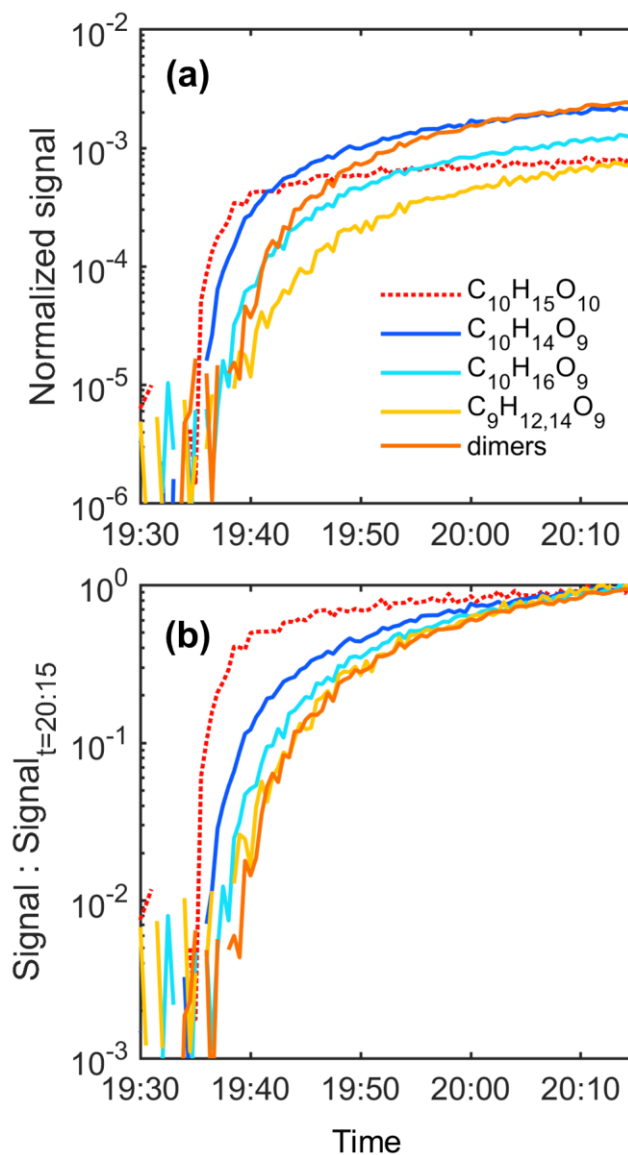



**Figure 4. (a) Time series of the selected HOM and radical in experiment 10 after the injection of Δ^3-carene started. (b) The same
data as in panel (a) but with the signal for each trace normalized to the corresponding signal at 20:15 (the final data point in panel
275   a) to show the relative change rate of the selected species. The yellow solid line is the sum of the normalized signal intensities of
$C_9H_{12,14}O_9$. The red solid line is the sum of the normalized signal intensities of the eight highest dimers. The time resolution of data
shown in this figure is 30s.**

3.2. Relative volatilities

For Δ^3-carene ozonolysis experiments conducted in the AURA chamber, a typical time series of key compounds are shown in
Figure S7(b). HOM were formed via autoxidation and accumulated within the first 10 min following Δ^3-carene injection
(experiment time = 0 min), but concentrations began to decrease after this due to the increasing condensation sink caused by
the newly formed particles. The lifetime of HOM in the chamber was on the timescale of minutes, which meant that as long
as the source (rate of Δ^3-carene oxidation) and loss (condensation onto walls or particles) changed on longer timescales, the
HOM concentration could be considered to be balanced by the instantaneous source and loss terms for most of the experiment.
This was at least true for the least volatile HOM, which do not accumulate in the chamber over time. The ability of HOM to
condense is linked to their volatilities, with dimers generally classified as extremely low-volatility organic compounds
(ELVOCs) that can irreversibly condense on particles (Peräkylä et al., 2020). Thus, we used dimer $C_{20}H_{32}O_{11}$ as a reference to
probe the relative volatility of different HOM compared to dimers. All other ELVOC with a similar formation pathway should
behave similarly to the dimers, while more volatile products were expected to accumulate in the chamber as their removal
through condensation was less efficient. Hence, in each experiment, the change in the ratio of each HOM to $C_{20}H_{32}O_{11}$ indicate
the relative volatility of that molecule, though it is crucial to recognize that also differences in the formation pathways can
influence the ratios.

Assuming all dimers are ELVOCs at 20 °C, the normalized ratios of the eight largest dimers to $C_{20}H_{32}O_{11}$ displayed a range of
approximately 0.5-1.2 at experiment time = 70 min (Figure S8(b)), which can be considered to be within the uncertainties of
this approach, though it may suggest slightly different formation pathways for some dimers. For HOM monomers with 9 or
more oxygen atoms, the normalized ratios ranged from around 0.9 to 4 (Figure 5(b)), comparable to the ratios of the HOM
dimers, indicating that those monomers have identity condense behaviour as dimers, but potentially with minor accumulation
over time, or changes in formation pathways. However, for HOM monomers with 8 oxygen atoms e.g., $C_{10}H_{16}O_8$, the ratio
was one order of magnitude higher than those for HOM with ≥ 9 oxygen atoms (e.g., $C_9H_{12}O_9$), indicating that HOM monomers
with 8 oxygen atoms from Δ^3-carene ozonolysis are still to some extent semi-volatile. For HOM with 6 or 7 O-atoms, the
accumulation over the experiment was considerably higher, in the order of 10-100 times more, suggesting clearly higher
volatilities compared to dimers. Interestingly, the ratios of HOM with 6-8 O-atoms exhibited a significant decrease with
decreasing temperature (Figure 5(b)-(d)) suggesting their lower volatilities at colder temperatures.

Peräkylä et al. (2020) evaluated the volatilities of HOM from α-pinene ozonolysis at room temperature and observed similar
trends of volatilities as a function of oxygen content, although the transition from semi-volatile to low-volatility VOC appears
to take place between O_8 to O_9 in our Δ^3-carene study, whereas their study on α-pinene ozonolysis had the transition between
O_7 and O_8.The methods used were different, and our method is purely qualitative, so further work is needed to determine
volatilities more quantitatively to assess if Δ^3-carene HOM indeed are slightly more volatile than α-pinene HOM with the same
elemental formulas.

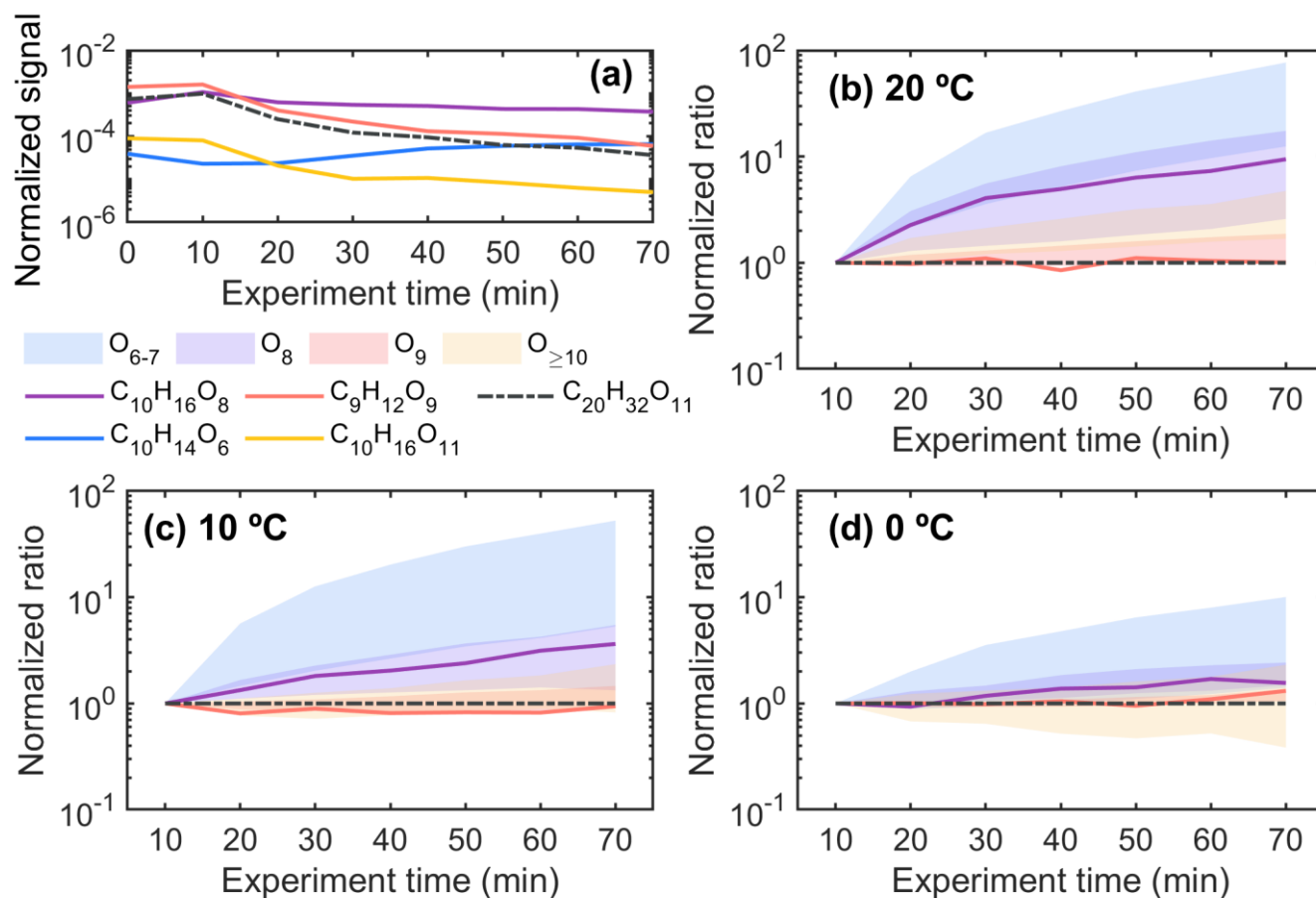



Figure 5. Normalized signal intensity of the selected HOM during the 20B experiment (a) and temporal behaviours of the ratios of different $C_{9,10}$ monomers (M) to the reference dimer ($C_{20}H_{32}O_{11}$) at 20 °C (20B, panel (b)), 10 °C(10B, panel (c)) , and 0 °C (0A, panel (d)) in the AURA chamber. The "normalized ratio" on the y-axis in panels (b)-(d) was determined by first calculating the ratio $M:C_{20}H_{32}O_{11}$ at each time point, and this ratio was then normalized by dividing by the ratio value at experiment time=10 min. While absolute concentrations may differ for different species due to varying branching ratios, the normalized ratio provides the relative change of M compared to $C_{20}H_{32}O_{11}$ as a function of time. In cases where the normalized ratio is close to unity for the entire experiment, both the formation and loss rates change similarly, meaning that their condensational loss (i.e. volatility) is equal. Larger normalized ratios indicate accumulation in the chamber over time, i.e. a higher volatility. The shaded areas represent the range of normalized ratios for different HOM groups.

3.3. Temperature impact on HOM formation

Temperature can strongly affect chemical reaction rates, in particular for unimolecular reactions (Rissanen et al., 2014; Kürten et al., 2015). In the AURA chamber, we performed Δ^3-carene ozonolysis experiments at three different temperatures, and the

325   detected HOM detected are shown in Figure 6 (a)-(c). The dominant peaks in both the HOM monomer and dimer ranges remained consistent across all temperatures with $C_{10}H_{14,16}O_9$ and $C_9H_{12,14}O_9$ as the predominant HOM monomers, and $C_{19}H_{30}O_{6,10,11}$ and $C_{20}H_{32}O_{7,9,11}$ as the largest dimers. However, HOM concentrations decreased with decreasing temperature (Figure 2(d) & (e)), in part because the initial VOC oxidation slows down, but probably in much larger part due to the autoxidation process becoming slower at the colder temperatures.

330   Figure 6 (d) indicates quite complex changes in the yields of different HOM groups. In general, the contributions of each HOM monomer group to the total HOM decreased, while the fractions of most dimer group increased at colder temperature, resulting in the increase of HOM dimers-to-monomers ratios from 0.78 at 20℃ to 1.51 at 0 ℃, which indicates that the dimers decreased at a slower rate than the monomers. The slower decrease in dimers may be due to a higher formation rate or a lower loss rate. Notably, these data were collected at experiment time = 10 min when CS and wall loss for HOM were not expected to be yet

335   significant, thus the difference of a factor of ~2 in monomer-to-dimer ratios could be primarily due to the differences in their formation. A plausible hypothesis is that a colder temperature may favour the dimerization pathway of $RO_2 + RO_2$, allowing the formed complex of two RO to remain bound for a longer time (R4). Consequently, the probability of $RO_2 + RO_2$ reactions forming dimers was higher, leading to a less significant decrease in the concentrations of dimers compared to monomers. However, in a previous study of α-pinene ozonolysis (Quéléver et al., 2019), HOM dimers were found to decrease at a faster

340   rate than monomers when temperatures cooled. Those experiments were performed at higher loadings, which might explain the difference, but it is also possible that the dimer yields are different for the two systems under different temperatures, as the dimer formation mechanism is highly structure-dependent (Hasan et al., 2020; Valiev et al., 2019; Daub et al., 2022a; Daub et al., 2022b; Hasan et al., 2021). Additionally, variations in experimental and instrumental settings between the two studies represent a notable source of uncertainty that could contribute to these differences. It is also important to note that the colder

345   sample air may cause some changes to the CIMS response, and we cannot rule out the influence of this. However, by the time the air enters the mass spectrometer itself, the air has very likely reached very close to room temperature, given the addition of a room-temperature sheath flow in the CI inlet, and thus any dramatic changes in e.g. mass-dependent transmission is not expected.

In order to estimate HOM molar yields from the Δ^3-carene ozonolysis, we need to estimate the loss rates of the HOM. For

350   species with some semi-volatile character, this was not possible, and therefore our yield calculations are limited to HOM monomers with 9 or more O-atoms and all HOM dimers with O-atoms ≥ 6 (hereafter referred to as "$HOM_{O\geq9}$"). Note that the yield of $HOM_{O\geq9}$ should be slightly lower than the total HOM yield, as we do not include all HOM. The majority of the data could from Δ^3-carene ozonolysis experiments conducted in the COALA chamber can be explained by $HOM_{O\geq9}$ yields of 3 to 6% (Figure S9). Although as noted in Section S2, the absolute calibration of the NO_3-CIMS comes with a large uncertainty,

355   we can compare the resulting yield to that of α-pinene ozonolysis in the COALA chamber under identical conditions. From our experiments, we estimate a $HOM_{O\geq9}$ yield of 2-4 % for α-pinene ozonolysis, which is in good agreement with previous studies (Jokinen et al., 2015; Ehn et al., 2014). Thus, our results suggest that the Δ^3-carene ozonolysis yields around 1.5-folder higher HOM concentrations than α-pinene under identical conditions. We also estimated the $HOM_{O\geq9}$ yield for all the Δ^3-

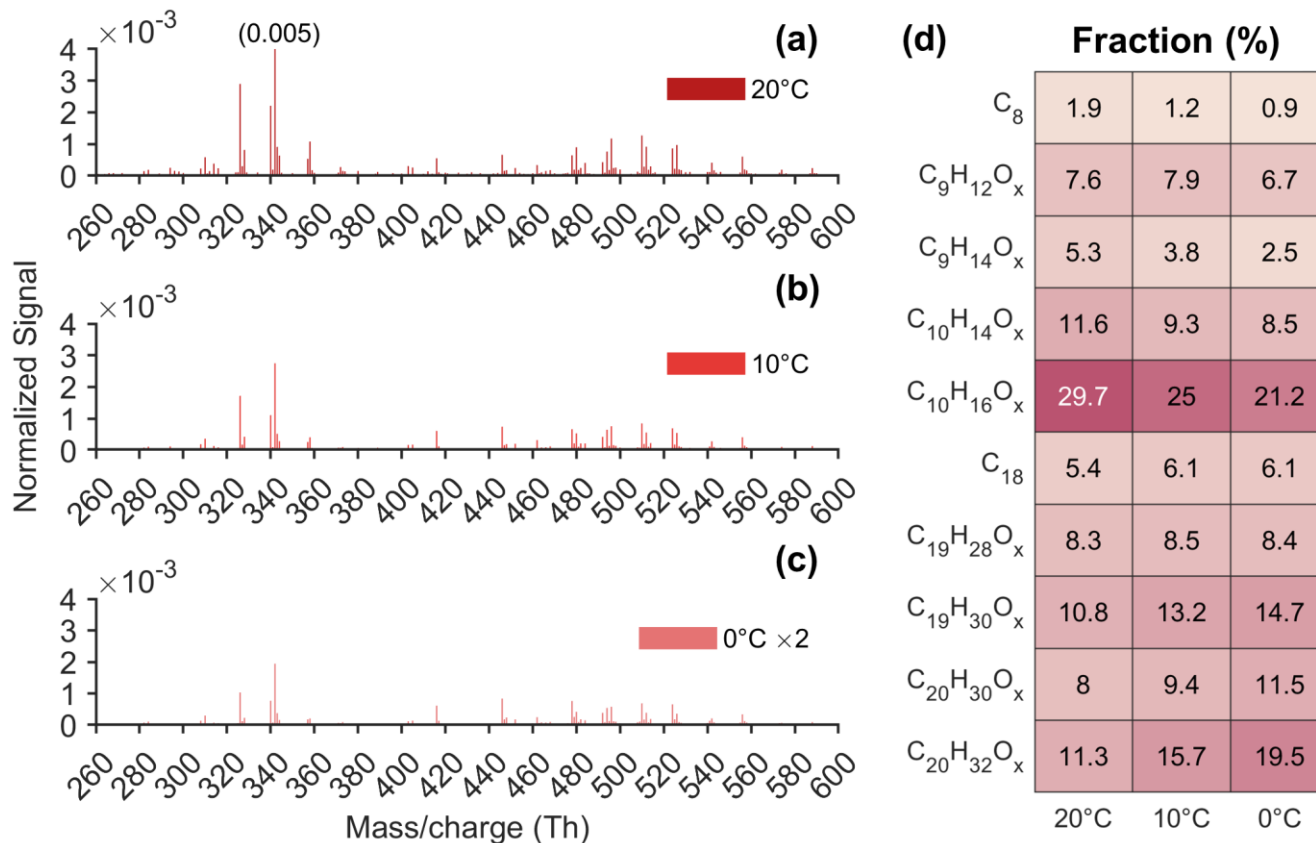
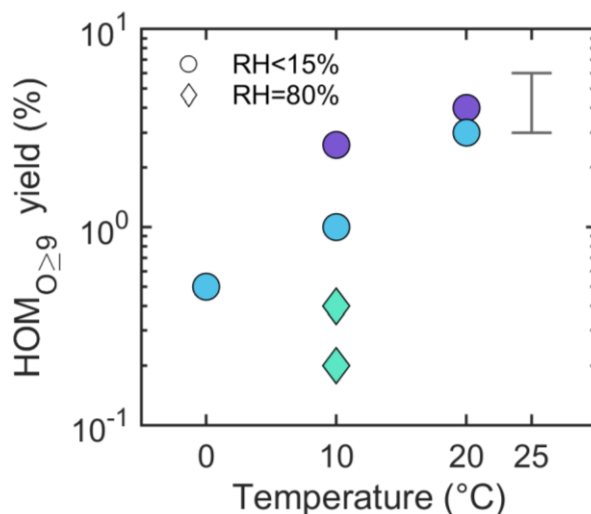
carene ozonolysis experiments performed in the AURA chamber (Figure 7), even though the calculation becomes slightly
360   more complicated (Section S2). We found that the $HOM_{O\geq9}$ yield at 20 °C was ~4% for 20A and ~3 % for 20B, which was
within the range estimated for the experiments in the COALA chamber. However, the yields for the four experiments at 10 °C
differ significantly, ranging from 0.2 to 2.6%. The large uncertainties of the yields at 10 °C might be attributed to the different
settings of the NO_3-CIMS described in Section S1. Examining only the experiments conducted with the same instrumental
settings (20B, 10B and 0A, blue circles in Figure 7), there is a clear decrease from around 3 % to below 1 % in the $HOM_{O\geq9}$
365   yields when temperature dropped from 20 °C to 0 °C. This decrease is slightly larger than that observed for α-pinene ozonolysis
by Simon et al. (2020) in the CLOUD chamber, in which the total HOM yields declined from 6.2% at 25 °C to 4.7% at 5 °C.
However, Quéléver et al. (2019) reported a much larger drop (around 50-fold) in the α-pinene system upon a temperature
decrease from 20 °C to 0 °C. Some of these differences may arise from the different conditions of the experiments, in particular
the VOC loadings used. At higher loadings, the RO_2 lifetime is shorter, and a change in RO_2 H-shift rates may have a more
370   dramatic impact when the competing reactions are faster. We hope more studies will focus on the temperature dependent HOM
yields in order to better understand these reported differences. We also again emphasize the large uncertainties (at least a factor
3) in our molar yield estimations, though the relative difference between the yields from Δ^3-carene ozonolysis and α-pinene
ozonolysis (using the same conditions and instruments) is expected to be much smaller.

375 **Figure 6. UMR (unit-mass resolution) mass spectra from Δ^3-carene ozonolysis (panels (a)-(c)) and the fractions of different HOM groups (O_X: $X \geq 6$) to the total HOM (panel (d)) at 20 ℃, 10 ℃, and 0 ℃ in the AURA chamber. The dataset at 20 ℃, 10 ℃, and 0 ℃ is from experiment 20B, 10B, and 0A (Table S2), respectively. The signal intensities were multiplied by 2 at 0 ℃ in panel (c). All normalized signals were subtracted by the background and averaged over the period from 10 min to 20 min after Δ^3-carene injection.**

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Figure 7. Estimated $HOM_{O \geq 9}$ (the sum of HOM monomers with no less than 9 O-atoms and all HOM dimers (O-atoms $\geq$ 6)) molar yields in the AURA chamber at different temperatures. Colors represent different operational conditions of the NO_3-CIMS, which correspond to the colors in Figure S2. Error bar marks the yield range estimated from Δ^3-carene ozonolysis experiments in the COALA chamber at room temperature.

385 **3.4. RH impact on HOM formation**

Previous studies on the yield and distribution of HOM have found them to not be affected significantly by RH (Li et al., 2019; Peräkylä et al., 2020), indicating that HOM formation pathways are largely water-independent. We performed two experiments (10D and 10E) in the AURA chamber under high RH condition (RH = 80%) with two different VOC loadings (Table S2) which could be used to check the RH impact on HOM formation. The mass spectra indicated that the main peaks of HOM

390 were similar under both dry and humid conditions (Figure S10(a)-(c)), although the NO_3-CIMS was also sensitive to water clusters, the detection precision of HOM with the same m/z as the water clusters (Figure S10(b)-(c)) was slightly hampered. However, the absolute signal intensities of most HOM monomers and dimers at RH = 80 % were approximately 7 times lower than that with the similar VOC and O_3 under dry condition (Figure S10(d)). Unfortunately, it is difficult to attribute this dramatic drop solely to the elevated RH, as the instrument settings were different between these two experiments (10B and 10

395 D in Table S2), although we applied a correction factor to reduce the influence of the different settings on the HOM detection. High RH can increase the CS for HOM, thereby reducing their concentrations, but this effect is expected to be quite low in this case. We observed that the HOM signal increased by a factor of ~3 when we injected twice the amount of Δ^3-carene into

the chamber at RH = 80 %, and the rise of HOM dimer signals was even more pronounced than that of monomers (Figure S10(e)). Overall, the quality of our data for this comparison is poor, and we cannot state with certainty whether the RH actually had an impact on the HOM formation pathways, but we mention these results here nevertheless, hoping to prompt further studies to quantify the RH impact on HOM formation from Δ^3-carene ozonolysis.

3.5. HOM simulation by ADCHAM
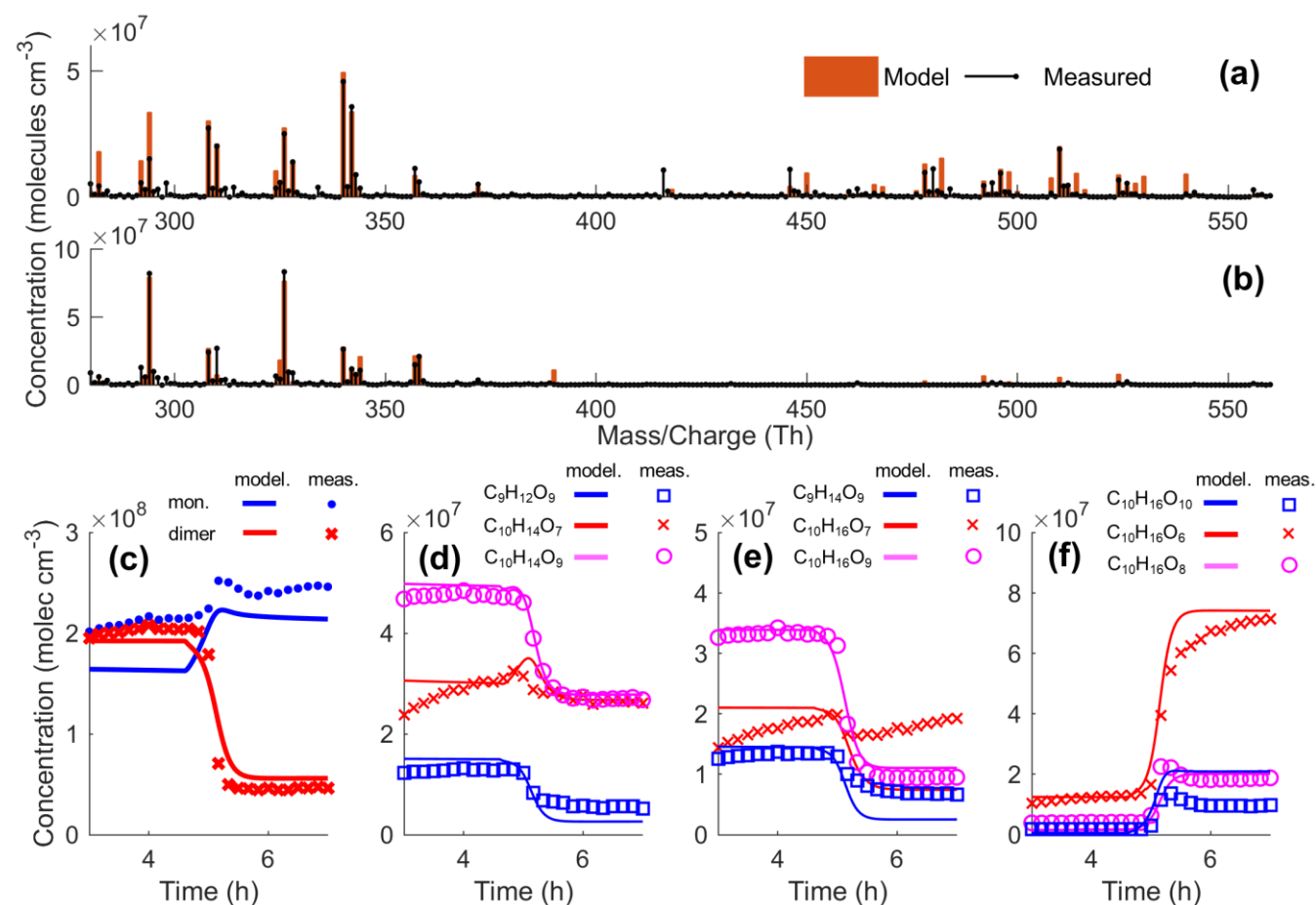
Figure 8. Evaluation of modelled HOM concentrations during an ozonolysis Δ^3-carene experiment in COALA (Experiment 10: VOC = 20 ppb, O_3 = 30 ppb), with addition of CO (Experiment 11: VOC = 20 ppb, O_3 = 30 ppb, CO= 200 ppm). Panel (a) shows the modelled and measured mass spectrum before CO addition and panel (b) after CO addition. Panel (c) shows the modelled and measured total HOM monomer (Mass/charge 312-384 Th) and HOM dimer (Mass/charge 385-600 Th) concentrations and panels (d), (e) and (f) shows the concentrations of the major closed shell HOM monomer species.

A modified peroxy radical autoxidation mechanism was developed specifically to be able to replicate the observed HOM formation during the AURA and COALA Δ^3-carene ozonolysis experiments. In figure 8 we compare the modelled and measured HOM concentrations during the COALA experiments where 200 ppm CO was added after approximately 5 hours.

The model is able to predict the observed absolute concentrations of HOM monomers and dimers and how the general patterns in the HOM observations change upon CO addition. Unimolecular termination (R1) of $C_{10}H_{15}O_8$ may explain why the $C_{10}H_{14}O_7$ concentration do not decrease substantially during the CO addition. However, the model cannot explain why the $C_{10}H_{16}O_7$

415   signal does not decrease appreciable during the CO addition. The model verifies that increasing HO_2 concentration upon CO addition can explain the observed decreasing concentrations of $C_{10}H_{14,16}O_9$, $C_9H_{12,14}O_9$ and HOM dimers, and increasing concentrations of $C_{10}H_{16}O_{8,10}$ and $C_9H_{14}O_{10}$ (Figure S11). The substantial decrease of $C_{10}H_{14,16}O_9$, but moderate increase of $C_{10}H_{16}O_{10}$, upon CO addition is captured by the model if assuming that only a minor fraction (~25%) of the $C_{10}H_{15}O_{10}$ + HO_2 reactions result in $C_{10}H_{16}O_{10}$ products via R6. For all other PRAM RO_2+HO_2 reactions, the ROOH formation via R6 was

420   considered the only production pathway.

The absolute $HOM_{O≥9}$ yields and their temperature dependences agree reasonably well with the observations in AURA (Figure 9). $HOM_{O≥9}$ account for <5%, 5-9% and 12-15% of the modelled total SOA mass in AURA at 0, 10 and 20 °C, respectively (Figure S12). The $HOM_{O≥9}$ SOA fraction depends both on the temperature and the VOC loading. The model also demonstrates how the HOM yields become higher and less sensitive to the temperature in the chamber when the VOC concentration

425   decreases to more typical atmospheric levels (<1 ppbv), as observed in previous CLOUD chamber experiments(Simon et al., 2020; Nie et al., 2023).
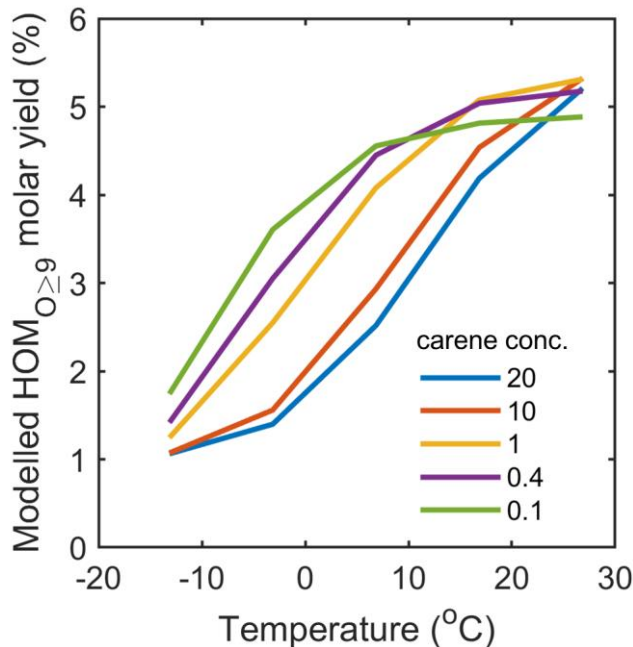
Figure 9. Modelled $HOM_{O≥9}$ molar yields for conditions with different fixed $Δ^3$-carene concentrations and an ozone concentration

430   **of 30 ppb. At low (atmospheric relevant) $Δ^3$-carene concentrations of ≤1 ppb the $HOM_{O≥9}$ yields decrease with <25 % between +25**
°C and 5 °C, while at $Δ^3$-carene concentrations ≥10 ppb the $HOM_{O≥9}$ yields decrease with >50%.

4. Conclusions

HOM formation from O_3-initiated Δ^3-carene oxidation was investigated in two simulation chambers. Our findings reveal the presence of HOM monomers ($C_{7-10}H_{10-18}O_{6-14}$) and dimers ($C_{17-20}H_{24-34}O_{6-18}$) from Δ^3-carene ozonolysis in the absence of OH scavenger. The detected HOM could mostly be explained by RO_2 from O_3-initiated ($C_{10}H_{15}O_{even}$) or OH-initiated ($C_{10}H_{17}O_{odd}$) oxidation followed by autoxidation and different termination reactions. Our study also identified that HOM monomers with 9 or more O-atoms and all dimers typically condense onto particles irreversibly. However, HOM monomers with 6-8 O-atoms behaved more similarly to semi-volatile organic species, maintaining a noticeable gas phase concentration. The $HOM_{O\geq9}$ yield at room temperature was estimated to be higher than that of α-pinene ozonolysis under the same conditions, with our best estimate being in the range 3-6 %.

We observed that HOM concentrations decreased considerably at lower temperatures. This observation is consistent with previous studies on α-pinene ozonolysis (Quéléver et al., 2019; Simon et al., 2020) , though the extent of the decrease varies considerably and warrants further investigations. In addition, our study found that the HOM spectra were similar at three different temperatures (20, 10 and 0 °C), and were dominated by $C_{10}H_{14,16}O_9$ and $C_9H_{12,14}O_9$ in the monomer range and $C_{19}H_{30}O_{6,10,11}$ and $C_{20}H_{32}O_{7,9,11}$ in the dimer range, respectively. However, all dimers decreased at a slower rate than monomers, resulting in an increasing HOM dimers-to-monomers ratio from 0.78 to 1.51 when the temperatures decreased from 20 °C to 0 °C, which is different from reported result of α-pinene ozonolysis (Quéléver et al., 2019). The ADCHAM model with a modified peroxy radical autoxidation mechanism managed to replicate the HOM formation, with the simulated composition, yield and temperature dependence all agreeing reasonably well with our observations. We also found a sharp decrease in HOM concentrations at high RH (80%), but due to large instrumental uncertainty during the high RH experiments, further work is required to verify the validity of this observation.

Taken together, our experimental results provide valuable insights into the Δ^3-carene oxidation process in the atmosphere. The characterization of HOM oxidation products and estimation of yield help to further elucidate their potential impact on SOA formation. Additionally, the comparison between the results of Δ^3-carene and α-pinene ozonolysis highlights the influence of different monoterpene precursors on the formation, distribution, and properties of HOM, consequently affecting the properties of SOA. Thus, current models that group all monoterpenes together and represent them by α-pinene may lead to inaccuracies in the predicted SOA concentrations and their ultimate impact on climate.

Data availability. Data is available upon request by contacting the corresponding authors.

Author Contributions. The experiments were conducted by YL, DT, EMI, JTS, LL, and MP. YL analysed the NO_3-CIMS and PTR-TOF data. DT, EMI, JTS, LL, and MP performed analysis of data from particle phase. PR conducted the ADCHAM model simulation. YL, DT, EMI, JTS, PR, LL, MP, PR, HBP, MH, MB, MG and ME participated in discussion of the results. YL prepared the original draft with larger contributions from PR. All authors contributed to comment on the manuscript.

Competing interests. The authors declare that they have no conflict of interest.

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