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2	DALROMS-NWA12 v1.0, a coupled circulation-ice-
3	biogeochemistry modelling system for the northwest Atlantic
4	Ocean: Development and validation
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Abstract. This study presents DalROMS-NWA12 v1.0, a coupled ocean circulation-sea ice-13 14 biogeochemistry modelling system for the northwest Atlantic Ocean (NWA) in which the circulation and biogeochemistry modules are based on ROMS (Regional Ocean Modeling 15 System). The circulation module is coupled to a sea ice module based on the Community Ice 16 17 CodE (CICE), and the physical ocean state simulated by the circulation module drives the 18 biogeochemical module. Study of the biological carbon pump in the NWA is one of the main 19 intended applications of this model. Global atmospheric and ocean reanalyses are used respectively to force DalROMS-NWA12 at the sea surface and as part of its lateral boundary 20 21 input. The modelling system is also forced by tides, riverine freshwater input, and continental runoff. The physical ocean state and sea ice from two simulations of the period 2015-2018, with 22 23 and without nudging of the simulated temperature and salinity towards a blend of observations 24 and reanalysis, are examined in this study. Statistical comparisons between model results and 25 observations or reanalyses show the control (nudged) simulation outperforms the prognostic (un-26 nudged) simulation in reproducing the paths of the Gulf Stream and the West Greenland Current, 27 as well as propagation of the estuarine plume in the Gulf of St. Lawrence. The prognostic simulation performs better in simulating the sea ice concentration. The biogeochemical module, 28 which is run only in the control simulation, performs reasonably well in reproducing the 29 30 observed spatiotemporal variations of oxygen, nitrate, alkalinity, and total inorganic carbon. To 31 examine the effects of tides and sea ice on the physical fields in the study area, results of 32 simulations from which either component is absent are compared to results of the prognostic 33 simulation. In the absence of tides, Ungava Bay in summer experiences a simulated surface salinity that is higher by up to ~7 psu than in the simulation with tides, as well as changes in 34 horizontal distributions of surface temperature and sea ice. Without coupling to the sea ice 35 36 module, the circulation module produces summertime sea surface temperatures that are higher by up to ~5°C in Baffin Bay. 37

1 Introduction

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The northwest North Atlantic Ocean (hereafter NWA) is characterized by interactions among 39 40 physical and biogeochemical processes that affect the global atmosphere-ocean system. Air-to-41 sea flux of CO₂ per unit area is estimated to be largest in the world in the Atlantic Ocean north of 50° N, due to factors such as strong winds in winter and high primary production in spring 42 (Takahashi et al., 2009). The sinking of particles formed during primary production has the effect 43 of transporting atmospheric CO2 to the deep ocean and is referred to as the biological carbon 44 pump (BCP; Volk and Hoffert, 1985). The BCP is influenced by various physical processes over 45 an annual cycle. The presence of sea ice in winter can, on one hand, drive upward transport of 46 nutrients through brine rejection-induced vertical mixing (Jin et al., 2018) but on the other hand 47 48 can reduce wind-induced mixing (Rainville et al., 2011) and attenuate the solar radiation 49 (Legendre et al., 1992) by isolating the water column from the atmosphere. Seasonal changes of 50 the mixed layer depth is another physical process that governs the BCP. Shoaling of the layer in 51 spring, driven by freshwater input from runoff and sea ice, promotes primary production (Wu et 52 al., 2007 and 2008; Frajka-Williams and Rhines, 2010), while deepening of the layer in winter 53 can result in entrainment of dissolved inorganic carbon and respiratory CO2 that had been in 54 shallow sub-surface waters (Körtzinger et al., 2008). In the Labrador Sea, deep convection in winter is thought to be an additional pathway for removal of carbon from near-surface waters 55 56 (Tian et al., 2004). Several field programs have been conducted to quantify the major processes at work in the 57 58 NWA, such as the Labrador Sea Deep Convection Experiment (The Lab Sea Group, 1998), which focused on atmospheric and physical oceanographic processes, and the Atlantic Zone 59 Monitoring Program (Pepin et al., 2005) and its off-shelf counterpart (e.g., Yashayaev and Loder, 60 61 2017), which have made regular shipboard measurements of physical and biogeochemical (BGC) 62 fields at fixed locations. Simultaneous measurements of physical and BGC fields at moorings (e.g., Martz et al., 2009; Strutton et al., 2011) and by profiling floats (e.g., Yang et al., 2020; 63 64 Wang and Fennel 2022) have expanded the coverage of observations, which is crucial given the spatiotemporal variability in the processes that govern the BCP (Garçon et al., 2001). 65

66 Process-based numerical models can complement observations of oceanic processes by 67 providing four-dimensional estimates of relevant fields and by enabling experiments in which the 68 effects of key inputs are isolated or the future state of oceans under various climate scenarios are simulated (Fennel et al., 2022). Early numerical studies of the NWA using coupled ocean 69 70 circulation-sea ice models focused mainly on specific processes, such as climatological sea ice 71 conditions (Mysak et al., 1991), sea ice variabilities on the interannual (Ikeda et al., 1996) and 72 intra-seasonal (Yao et al., 2000) time scales, and changes in sea ice and mixed layer properties under different atmospheric conditions (Tang et al., 1999). As process-based numerical models 73 74 grew in complexity they yielded new insights, such as the role of sea ice's heat capacity in the 75 timing of ice melt (Zhang et al., 2004). Advances in computational power have led to realistic 76 simulations spanning a decade or more covering limited areas, such as the Canadian Arctic 77 Archipelago and Davis Strait (Lu et al., 2014) or the Labrador and Newfoundland Shelves (Ma et al., 2016). Other ocean-ice models of areas within the NWA include that of the Gulf of St. 78 79 Lawrence and surrounding waters (Urrego-Blanco and Sheng, 2014; Wang et al., 2020), Hudson 80 Bay (Saucier et al., 2004), and the Labrador Sea (Pennelly and Myers, 2020). Canadian government agencies have developed coupled ocean-ice or atmosphere-ocean-ice models to 81 82 support activities such as hazard management, with domains ranging from the regional (e.g., Smith et al., 2013 for the Gulf of St. Lawrence) to basin-wide (Dupont et al., 2015; Wang et al., 83 84 2018). Other modelling studies have focused on hydrodynamics in coastal and shelf waters of the 85 NWA, such as Han et al. (1997) for the Scotian Shelf, Wu et al. (2012) for the area between the Gulf of Maine and Baffin Bay, and Chen and He (2015) for the Mid-Atlantic Bight and the Gulf 86 87 of Maine. 88 As for coupled physical-BGC modelling studies, three-dimensional models with high resolutions 89 have generally focused on the shelf and slope areas of the NWA. Pei (2022) used a simple 90 oxygen model to study seasonal changes in dissolved oxygen over the Scotian Shelf, while more 91 complex models have been used to study the biogeochemistry and plankton dynamics of the 92 Scotian Shelf and surrounding waters (Laurent et al., 2021, Rutherford and Fennel, 2022) and the 93 Gulf of St. Lawrence (LeFouest et al., 2010; Lavoie et al., 2021). Ross et al. (2023) developed a 94 coupled physical-BGC model for the North Atlantic Ocean from the Caribbean Sea to the

southern Labrador Sea, designed primarily for marine resource management.

As coupled simulations that include more processes and cover larger extents of space and time become feasible, they are expected to enhance our understanding of how the ocean functions as an integrated system, as well as how this system might change under various scenarios of the future climate. In this study, we present and assess a coupled ocean circulation-sea ice-BGC model that has been developed recently with the primary goal of studying the interactions between physical and BGC processes in the NWA, including the BCP. Advantages of this model's configuration include: (a) a domain that spans the area from the Mid-Atlantic Bight to Baffin Bay, allowing for a wide range of oceanographic processes that can be examined; (b) a horizontal grid size of O(1 km) that decreases with latitude, such that the first baroclinic Rossby radius of deformation (Chelton et al., 1998) is spanned by about four grid boxes everywhere; (c) the use of a terrain-following vertical coordinate system, which can produce more realistic nearbottom vertical mixing and bottom boundary layer structures than the step-wise bottom topography of z-level grids (Ezer and Mellor, 2004); (d) the inclusion of tides (as one of the model inputs) and sea ice (through coupling between the circulation and sea ice modules), both of which are important elements of the ocean system in this region, and (e) the inclusion of a BGC module, which enables the study of how processes such as the BCP are driven by the coupled ocean circulation-sea ice system. This paper provides an assessment of the coupled model's performance as well as sensitivity studies designed to elucidate the role of two physical processes, tides and sea ice. The components of the coupled model and the simulations are described in the next section. In Sect. 3, the results of two simulations, with and without nudging of the temperature and salinity towards a blend of observations and reanalysis (referred to respectively as the control and prognostic simulations), are described and quantitatively compared to observations or reanalysis. In addition, depth vs. time plots of simulated temperature are used to qualitatively assess the model's performance in reproducing the effects of winter convection in the Labrador Sea. In Sect. 4, the roles of tides and sea ice in the physical fields of the NWA are examined by comparing the results of two additional simulations, one without tidal forcing and the other without the simulation of sea ice, to results of the prognostic simulation described in Sect. 3. A summary of our findings is presented in the concluding section.

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2 Model setup and forcing

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127 The coupled circulation-sea ice-BGC modelling system used in this study consists of three 128 modules: an ocean circulation module based on ROMS (Regional Ocean Modeling System, 129 version 3.9; Haidvogel et al., 2008), a sea ice module based on CICE (Community Ice CodE, 130 version 5.1; Hunke et al., 2015), and a BGC module within ROMS based on the work of Fennel 131 et al. (2006, 2008) with updates as described by Laurent et al. (2021). The circulation and sea ice 132 modules are coupled using the software MCT (Model Coupling Toolkit, version 2.10; Jacob et al., 2005; Larson et al., 2005) in a manner similar to Kristensen et al. (2017). Yang et al. (2023) 133 134 found good agreement between simulated and observed values of tides and storm surges 135 simulated by a barotropic version of the ocean circulation module. ROMS is a three-dimensional (3D) numerical circulation model with a free surface and the 136 137 terrain-following S-coordinate system (originally developed by Song and Haidvogel (1994)) in 138 the vertical. The vertical layers are placed more densely near the surface and bottom in deep 139 waters, and more uniformly in shallow waters. In this study ROMS has 40 vertical S-layers, 140 whose configuration is described in Appendix A. ROMS and CICE use the same horizontal grid 141 and bathymetry, with the domain covering the area between ~81° W and ~39° W and between 142 ~33.5° N and ~76° N (Fig. 1a). The grid resolution in the east-west direction is 1/12°, resulting in grid box dimensions of ~8 km on each side near the grid's southern boundary and ~2 km on 143 each side near the northern boundary. 144 The model bathymetry is derived from the 1/240°-resolution data set GEBCO 2019 (GEBCO 145 Compilation Group, 2019). After the GEBCO data were linearly interpolated onto the model 146 grid, the Shapiro filter (Shapiro, 1975) was applied to seamounts in deep waters from ~67.5° W 147 148 to ~42° W and from ~34° N to ~48° N to reduce currents caused by spurious pressure gradients. No other smoothing was applied to the bathymetry. To avoid model instability caused by strong 149 currents entering the model domain at an angle, the model bathymetry and land-sea mask in the 150 151 first four grid boxes from each lateral boundary were set to the same values as in the fifth grid 152 box from the boundary. 153 The advection schemes used in ROMS for physical fields are: (a) the third-order upstream 154 scheme for horizontal advection of physical tracers and 3D momentum and (b) the fourth-order

centered scheme for horizontal advection of two-dimensional momentum and for vertical advection of physical tracers and 3D momentum. The horizontal eddy viscosity and diffusivity in ROMS are set to zero because the third-order upstream scheme generates some numerical diffusion which is large enough to eliminate small-scale features associated with numerical noise. Vertical mixing is parameterized using the "2.5-level" scheme of Mellor and Yamada (1982) with modifications as described by Allen et al. (1995). The time step is 6 seconds for the external (barotropic) mode and 120 seconds for the internal (baroclinic) mode.

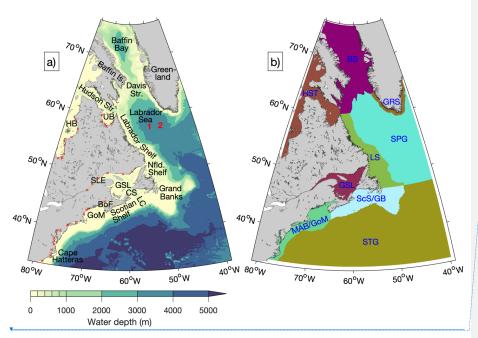
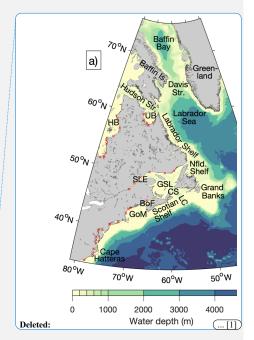


Figure 1. (a) Model domain and bathymetry. Locations of river mouths are indicated by red X marks. Locations for which depth profiles of simulated temperature are shown in Fig. 16 are indicated by numbers in red. Abbreviations are used for: Island (Is.), Strait (Str.), Hudson Bay (HB), Ungava Bay (UB), Newfoundland (Nfd.), St. Lawrence Estuary (SLE), Gulf of St. Lawrence (GSL), Cabot Strait (CS), Laurentian Channel (LC), Bay of Fundy (BoF), and Gulf of Maine (GoM). (b) Regions in which metrics of model performance are calculated. The regions are: GRS (Greenland Shelf), HST (Hudson Strait), BB (Baffin Bay), LS (Labrador Shelf), SPG (Subpolar Gyre), GSL (Gulf of St. Lawrence), ScS/GB (Scotian Shelf/Grand Banks), MAB/GoM (Mid-Atlantic Bight/Gulf of Maine), and STG (Subtropical Gyre). Areas within 10 grid points of lateral boundaries are excluded from the error metric calculations.

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177 Atmospheric fields used to drive the coupled model are derived from the hourly reanalysis data 178 set known as ECMWF Reanalysis v5 (ERA5; Hersbach et al., 2018) which has a horizontal grid 179 spacing of 1/4°. Within ROMS, the bulk flux scheme of Fairall et al. (1996a, 1996b) is used to 180 calculate the surface fluxes of heat and fresh water. Lateral open boundary conditions are 181 specified using the explicit scheme of Chapman (1985) for sea surface elevation, the Shchepetkin 182 scheme (Mason et al., 2010) for the normal component and the implicit scheme of Chapman 183 (1985) for the tangential component of depth-averaged currents, and the adaptive scheme of 184 Marchesiello et al. (2001) for the normal and tangential components of depth-varying currents as 185 well as all tracers. In the adaptive boundary condition, the nudging time scale is three days for 186 inflow and 360 days for outflow. The values of currents, temperature, salinity, and sea surface 187 elevation specified at the lateral boundaries are derived from the daily fields of Copernicus 188 global 1/12° oceanic and sea ice reanalysis (GLORYS12V1, hereafter GLORYS; Lellouche et 189 al., 2021) for the simulation period. In order to ensure that the simulated ocean states near lateral 190 open boundaries are as realistic as possible, the lateral boundary conditions of currents, 191 temperature, and salinity are supplemented by nudging the simulated values near boundaries towards GLORYS values. The nudging time scale is three days at the grid point closest to a 192 193 lateral boundary and decreases linearly to zero over ten grid points moving away from the 194 boundary. Tidal elevation and currents are specified at the lateral boundaries from the global tidal 195 model solution TPXO9v2a (an updated version of the model by Egbert and Erofeeva (2002)), 196 with a horizontal grid size of 1/6° and 15 tidal constituents. 197 Riverine freshwater input from 35 rivers (Table 1) is specified as volume flux through the bottom 198 of a model grid cell (www.myroms.org/forum/viewtopic.php?t=5156), Each river is represented 199 by a channel normal to the model's coastline, at the head of which the surface elevation, vertical 200 velocity, and tracer values are adjusted according to the river discharge. The river water has a 201 salinity of 0.4 psu (salinity in the Practical Salinity Scale is dimensionless but we use the unit 202 "psu" for clarity) and a temperature equal to that of the GLORYS sea surface temperature at the 203 grid point closest to the river mouth. For the St. Lawrence River, we use the monthly-mean

discharge at Quebec City estimated by the St. Lawrence Global Observatory (2023) using the

mean data set of Dai (2017) that was updated in May 2019, substituting climatological values

regression model of Bourgault and Koutitonsky (1999). For all other rivers, we use the monthly-

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calculated over the period 1900–2018 for months with no data. Freshwater flux across coastlines due to the melting of ice and snow over land is specified as an addition to the sea surface height and the surface freshwater flux at the appropriate model grid boxes. This freshwater flux is derived from the monthly data set of Bamber et al. (2018), who combined satellite observations of glaciers with the output of a regional climate model. A monthly climatology of this data set, which covers the period 1958–2016, is used in simulations of the period after December 2016. Both the riverine and continental freshwater fluxes are converted to "pseudo-means" (monthly

Table 1. Names and discharge locations of rivers in the coupled model.

River	Lon.	Lat.
	(° W)	(° N)
Innuksuac	78.06	58.42
Nastapoca	76.56	56.91
Great Whale	77.81	55.28
Roggan	79.56	54.37
La Grande + Sakami	79.22	53.78
Eastmain	78.72	52.23
Rupert	78.89	51.56
Nottaway	78.89	51.51
Harricana	79.89	51.30
Arnaud	69.64	60.04
Leaf	69.39	58.90
Koksoak (Caniapiscau	68.14	58.55
+ Mélèzes)		
False + Whale	67.64	58.20
George	66.14	58.77
Petit Mécatina	59.39	50.62
Natashquan	61.89	50.19
Moisie	65.97	50.24
Manicouagan +	68.22	49.17
Outardes		

River	Lon.	Lat.
	(° W)	(° N)
Saguenay	69.72	48.06
St. Lawrence	70.81	46.94
Saint John	66.14	45.32
Androscoggin	69.89	43.78
Saco	70.31	43.54
Merrimack +	70.81	42.87
Pemigewasset		
Connnecticut	72.31	41.26
Hudson	74.06	40.63
Passaic (Ramapo)	74.14	40.50
Delaware + Beaver Kill	75.47	39.42
Susquehanna	76.22	39.35
Potomac	76.47	38.05
Rapidan +	76.39	37.59
Rappahannock		
James	76.31	36.99
Roanoke	76.64	35.99
Neuse (Contentnea)	76.64	35.04
Cape Fear	78.14	33.87

225 means that are adjusted such that daily-mean values temporally interpolated from them, when 226 summed over a month, results in the true monthly means) following Killworth (1996). Another 227 source of salt/freshwater flux at the sea surface is sea ice, which is a source of salt through brine 228 rejection at the time of freezing and a source of fresh water at the time of melting. Lateral 229 movement of sea ice results in these two surface fluxes occurring at different locations. 230 The sea ice model CICE consists of four main components: (a) a thermodynamic component that 231 calculates local growth or decay of sea ice due to snowfall and heat fluxes (Bitz and Lipscomb, 232 1999; Briegleb and Light, 2007); (b) a dynamic component that calculates the material properties 233 of the ice (Hunke and Dukowicz, 1997; Bouillon et al., 2013); (c) a transport component that 234 calculates the horizontal advection of the ice (Lipscomb and Hunke, 2004); and (d) a component 235 that calculates the distribution of ice among thickness categories due to ridging and mechanical 236 processes (Hunke et al., 2015). There are seven ice layers and five ice thickness categories. We 237 implemented the clamped boundary condition, in which GLORYS-derived values of sea ice 238 concentration (as a fraction of the model grid box area) and thickness are specified at the model's 239 lateral open boundaries. The sea ice specified at the lateral boundaries is uniformly covered with 240 snow of 0.2-m thickness. The time step in CICE is 1200 seconds. 241 Coupling between ROMS and CICE via MCT occurs every 1200 seconds, equivalent to every 10 internal time steps in ROMS and every time step in CICE. At each coupling step, ROMS sends 242 243 CICE the ERA5-derived atmospheric fields that drive both modules, as well as ROMS-simulated 244 values of currents, sea surface tilt, and sea-surface values of temperature and salinity. CICE 245 sends ROMS the ice-attenuated value of shortwave radiation and ice-ocean fluxes of stress, heat, 246 and salt or freshwater. 247 The BGC module includes the nitrogen cycle (Fennel et al., 2006), the carbonate system (Fennel 248 et al., 2008), and oxygen (Fennel et al., 2013). Particulate organic matter variables (phytoplankton, zooplankton, and detritus) are split into small and large size classes, and rates of 249 250 biological processes are temperature-dependent (Laurent et al., 2021). The HSIMT advection scheme (Wu and Zhu, 2010), which ensures no spurious negative values occur, is used for both 251 252 horizontal and vertical advection of BGC tracers. Initial and boundary conditions for nitrate, 253 phosphate, dissolved inorganic carbon, alkalinity, and oxygen are interpolated from the

254 climatology of GLODAP (Global Ocean Data Analysis Project; Lauvset et al., 2021) and set to a small constant value for all other biogeochemical variables. 255 256 Four simulations will be examined in this paper. In the control simulation (hereafter Ctrl), the 257 ocean temperature and salinity at all grid points are nudged with a restoring time scale of 60 days 258 toward the monthly data set of in situ observations known as CORA (COriolis dataset for Re-259 Analysis; Cabanes et al., 2013) above the 2000-m depth and GLORYS below 2000 m (where 260 CORA data are not available). The control simulation includes biogeochemistry. The second simulation is a prognostic one (hereafter Prog), i.e., without any nudging of the simulation. There 261 262 are three reasons for presenting these simulations: 1) the ways in which either simulation 263 outperforms the other can shed light on potential ways in which the model can be improved; 2) 264 Ctrl, by including nudging of the temperature and salinity, produces a physical state of the ocean that is generally realistic and acts as a foundation for the biogeochemical simulation; and 3) this 265 modelling system is being used in regional climate simulations (Renkl et al., in prep.), and the 266 267 lack of an option to nudge simulations of future conditions necessitates assessment of a 268 prognostic simulation. The performances of Ctrl and Prog will be evaluated in the next section. 269 Two more simulations are carried out for the sensitivity studies discussed in Sect. 4. Both are 270 identical to Prog but one is made without the specification of tidal elevation and currents at the 271 lateral boundaries (hereafter NoTides) and the other is made without coupling of ROMS to CICE 272 (hereafter NoIce). Configurations of the simulations are summarized in Table 2. All simulations 273 are made from 1 September 2013 to 31 December 2018 and are initialized with an ice-free ocean 274 in which the ocean's state consists of GLORYS fields for 1 September 2013 interpolated to the model grid. The simulation results of January 2015 onwards (December 2014 onwards in the 275 276 case of seasonal averages) will be discussed in the following sections.

Table 2. Descriptions of the simulations discussed in this study.

Simulation	Description	Temperature &	Tidal forcing at	Coupling to
name		salinity nudging	lateral boundaries	sea ice model
Ctrl	Control	On	On	On
Prog	Prognostic	Off	On	On
NoTides	No tidal forcing	Off	Off	On
NoIce	No sea ice simulation	Off	On	Off

3 Model results and evaluation

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3.1 Simulated currents, temperature, and salinity

We first examine four-year (1 January 2015–31 December 2018) averages of currents, salinity, and temperature produced by DalROMS-NWA12 v1.0 in runs Ctrl and Prog (Figs. 2 and 3 respectively). Both model runs reproduce the major features of the circulation in this region. They include: (a) the East and West Greenland Currents forming a clockwise flow around the southern tip of Greenland; (b) bifurcation of the West Greenland Current, with one branch continuing northward along the west coast of Greenland and the other flowing westward across the Labrador Sea; and (c) the westward flow across the Labrador Sea merging with the southward Baffin Island Current out of Baffin Bay and southeastward flow out of Hudson Strait to form the Labrador Current, the equatorward limb of the North Atlantic Subpolar Gyre. This current has branches along the Labrador coast and the shelf break. Near the Grand Banks, the Labrador Current meets the poleward Gulf Stream, the poleward limb of the North Atlantic Subtropical Gyre. Both simulations also reproduce the relatively cold and fresh water over continental shelves, with especially low values of salinity in Hudson Bay and the St. Lawrence Estuary. The three major differences between the simulations are that: (a) the bifurcation of the West Greenland Current has a stronger northward branch in Prog; (b) the Gulf Stream in Prog is closer to the continental shelf; and (c) the Gulf of St. Lawrence is warmer and saltier in Prog, both at the surface and in model results interpolated to the 100-m depth. As discussed below, comparison of model results to observations or reanalysis suggests the results of Ctrl are more realistic than those from Prog. Seasonal-means of these simulated fields, shown in Appendix B, indicate that differences between the simulations are more prominent in summer than in winter.

301 To assess the model's performance in simulating currents, temperature, and salinity, we divide 302 the model domain into nine regions (Fig. 1b) and calculate metrics in each region for model 303 results at the sea surface and interpolated to the 100-m depth. Within a given region, each model 304 grid point is weighted by its horizontal area when regional averages are calculated. The areas 305 along the model's lateral boundaries in which the simulated tracers and currents are nudged 306 towards GLORYS are not included in the calculations. 307 To quantify model performance for temperature and salinity at the sea surface, root-mean-square 308 errors (RMSE) of monthly-mean model results are calculated with respect to monthly means of 309 observations that are linearly interpolated to the model grid. Temperature and salinity at the 310 surface are compared to 1/4°-grid analysed data sets that combine satellite and in situ observations: the daily data set OISST (Optimum Interpolation Sea Surface Temperature, v2.0 311 312 for 2015-2017 and v2.1 for 2018; Huang et al., 2021) for temperature and the weekly data set 313 SMOS (Soil Moisture Ocean Salinity; Buongiorno Nardelli et al., 2016) for salinity. For model 314 results interpolated to the 100-m depth, where gridded observational data sets are not available, root-mean-square differences (RMSD) of temperature and salinity are calculated with respect to 315 316 their respective GLORYS values. It should be noted that GLORYS is based on simulations that 317 do not include tides (Lellouche et al., 2018), which may affect the accuracy of its temperature 318 and salinity distributions in addition to that of its currents, particularly over areas with strong 319 tidal currents. It should also be noted that the oceanographic observations used in generating 320 GLORYS are highly sparse in both time and space. As a result, data assimilation cannot Deleted: ould 321 eliminate biases associated with the exclusion of tidal forcing in the monthly mean fields of 322 GLORYS. . Deleted: 323 The RMSE and RMSD of temperature from the two simulations (Figs. 4-5) are similar over the Deleted: 324 northern part of the model domain in that the largest errors tend to occur at the surface in GRS 325 (Greenland Shelf) throughout the year, and in HST (Hudson Strait) and BB (Baffin Bay) during 326 the summer. Within these three areas, the largest values of RMSE/RMSD occur in HST at the surface (about 3.5°C in Ctrl and 2.9°C in Prog, both in July). The corresponding biases of surface 327 temperatures (not shown) indicate a tendency for overestimation (+0.3°C-+2.2°C in GRS, and -328 329 0.4°C-+1.9°C and -0.5°C-+1.0°C during summer in HST and BB respectively for Prog). Thus,

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3.2 Model performance for currents, temperature, and salinity

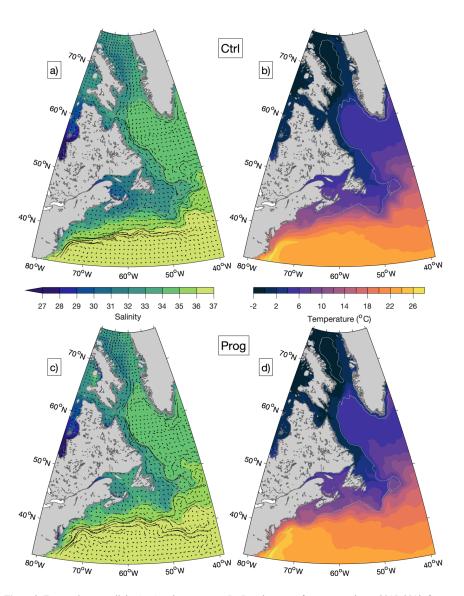


Figure 2. Temporal-mean salinity (a, c) and temperature (b, d) at the sea surface, averaged over 2015–2018, from runs Ctrl (a, b) and Prog (c, d). Also shown in panels (a) and (c) are trajectories representing displacement over five days due to currents at the sea surface averaged over 2015–2018, shown at every 24 model grid points. The gray contour line represents the 1000-m water depth.

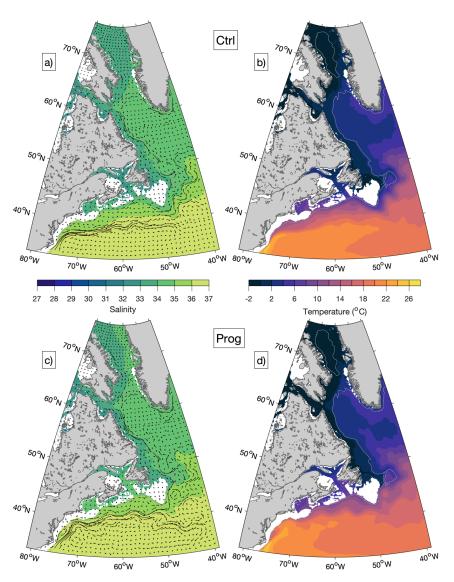


Figure 3. Similar to Fig. 2 but for model results interpolated to the 100-m depth.

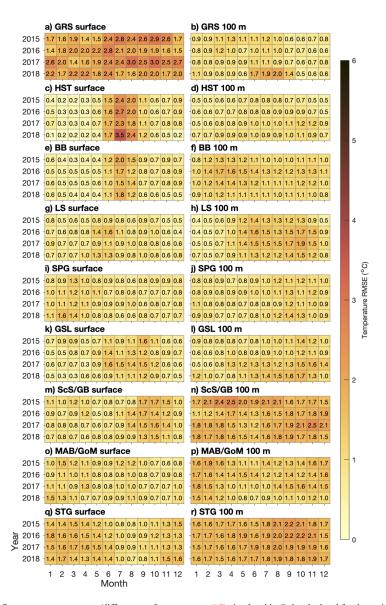


Figure 4. Root-mean-square-errors/differences of temperatures (C) simulated in Ctrl, calculated for the regions shown in Fig. 1b with respect to the observation-derived OISST data set at the surface and GLORYS reanalysis for model results interpolated to the 100-m depth.

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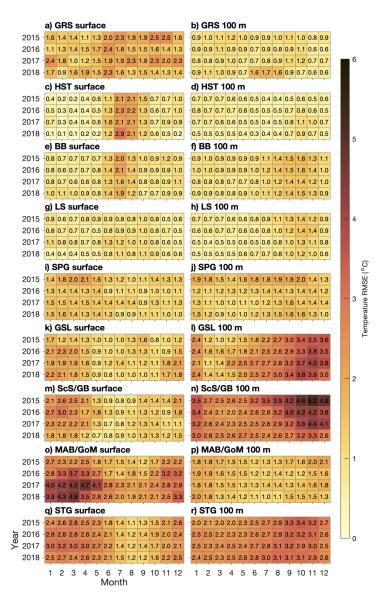


Figure 5. Similar to Fig. 4 but for temperatures simulated in Prog.

the largest errors occur near the model's lateral open boundaries, during periods when sea ice 352 (which would tend to keep the temperature near freezing) is less in HST and BB, and at the 353 surface where the performance metrics are calculated with respect to an independent 354 observational data set instead of GLORYS which is also used as lateral boundary input. This 355 suggests GLORYS as a possible source of model errors, although a detailed examination is 356 beyond the scope of this study. The slightly larger RMSE of the simulated surface temperature in 357 Ctrl than in Prog over these areas may be related to the larger underestimation of sea ice in Ctrl, which will be discussed in Sect. 3.3. 358 359 Further south, in SPG (Subpolar Gyre), the RMSE/RMSD are smaller in Ctrl than in Prog. The 360 RMSE at the surface has the range of 0.6–1.6°C in Ctrl and 0.9–2.1°C in Prog, and the RMSD for 361 model results interpolated to the 100-m depth has the range of 0.7-1.4°C in Ctrl and 0.9-2.0°C in 362 Prog. This suggests the West Greenland Current simulated in Ctrl, in which the branch of the 363 current that separates from the Greenland coast dominates, and its associated temperature distribution are more realistic. The RMSE/RMSD in LS (Labrador Shelf) are similar between the 364 365 simulations, ranging from 0.4 to 1.9°C in Ctrl and from 0.4 to 1.4°C in Prog. 366 The results of Ctrl clearly outperform those of Prog over the southern part of the model domain, 367 with a maximum RMSE/RMSD of ~2.5°C in the former and ~5.2°C in the latter, both occurring 368 in ScS/GB (Scotian Shelf/Grand Banks) for model results interpolated to the 100-m depth. This 369 indicates the Gulf Stream simulated in Ctrl, flowing further from the coast than in Prog (Figs. 2-370 3), is more realistic. In addition to STG (Subtropical Gyre) where the Gulf Stream itself flows, 371 the RMSE/RMSD in Ctrl are smaller both at the surface and the 100-m depth in ScS/GB, 372 MAB/GoM (Mid-Atlantic Bight/Gulf of Maine), and GSL (Gulf of St. Lawrence), all of which are influenced by the warm and salty slope water of which the Gulf Stream water is one 373 component (Gatien, 1976). The influence of the slope water extending into the GSL at the 100-m 374 375 depth (which can also be seen in Fig. 3) is consistent with the observed (e.g., Richaud et al., 2016) intrusion of slope water into the Gulf of St. Lawrence along the Laurentian Channel. 376 377 The RMSE and RMSD of salinity for both simulations (Figs. 6–7) in the northern part of the 378 domain are similar to those of temperature in that they tend to be largest at the surface in 379 summer, especially in HST where the RMSE has maximum values of ~2.7 psu in Ctrl and ~3.7

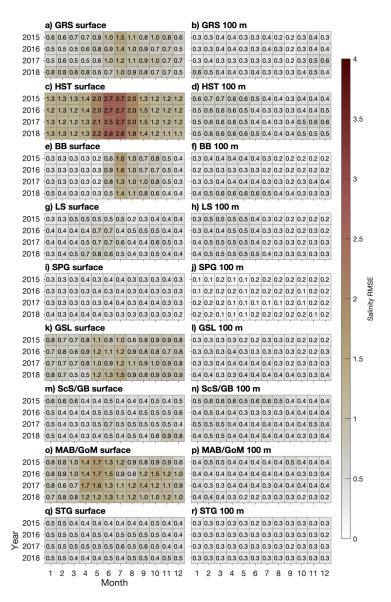


Figure 6. Root-mean-square-errors/differences of salinity (psu) simulated in Ctrl, calculated for the regions shown in Fig. 1b with respect to the observation-derived SMOS data set at the surface and GLORYS reanalysis for model results interpolated to the 100-m depth.

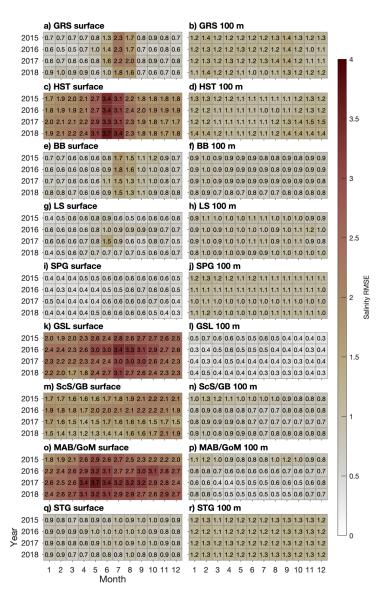


Figure 7. Similar to Figure 6 but for salinity simulated in Prog.

389	psu in Prog. In contrast to the temperature metrics, the surface salinity metrics in GRS undergo		
390	an annual cycle similar to those in HST and BB, being larger during summer and fall than during		
391	the rest of the year. During the months when the RMSE are largest, the surface salinity biases for		
392	Prog are negative in GRS and BB (~-1.0 psu) and positive in HST (up to ~+1.5 psu). In SPG and		
393	LS the RMSE/RMSD are generally smaller in Ctrl than in Prog (0.1–0.8 psu for Ctrl and 0.4–1.5		
394	psu in Prog for the two regions combined), which is consistent with the metrics for temperatures		
1 395	discussed above.		
396	In the southern part of the domain, Ctrl has much smaller RMSE than Prog in GSL, ScS/GB, and		
397	MAB/GoM (e.g., the maximum value is $\sim 1.7 \underline{\text{psu}}$ for Ctrl and $\sim 3.7 \underline{\text{psu}}$ for Prog in MAB/GoM).		
398	The corresponding biases for Prog in these areas are consistently positive (up to ~+3.3 <u>psu</u> in		
399	GSL), indicating overestimation. However, within GSL, the 2015–2018 mean of summer surface		
400	salinity simulated by Prog is lower than its counterpart from Ctrl by up to ~3.5 psu in the St.		
401	Lawrence Estuary, but higher by ~2.0 psu further downstream in the Gulf of St. Lawrence (not		
402	shown). This suggests the model is not able to fully reproduce the propagation of low-salinity		
403	water from the St. Lawrence Estuary (where the salinity is underestimated) to areas downstream		
404	of it (where the salinity is overestimated).		
405	A possible cause of this discrepancy between observed and simulated salinity values in the St.		
406	Lawrence Estuary-Gulf system is spurious diapycnal mixing generated by the third-order		
407	upstream advection scheme used for tracers in this study (Marchesiello et al., 2009). We found		
408	that switching to the fourth-order Akima scheme (with a horizontal eddy diffusivity of 5 m ² s ⁻¹)		
409	leads to more realistic simulations of three-dimensional salinity distributions and sea ice		Deleted: produced
410	distributions in the Gulf, but this option was not pursued further because the scheme is prone to		Deleted: that were generally more realistic
411	over- or under-shooting, which resulted in patches of unrealistic tracer values in areas such as the		
412	Grand Banks where strong horizontal gradients occur. The same problem was reported by	en e	Deleted: (
413	Naughten et al. (2017) in simulating circulation in the Southern Ocean using ROMS and CICE.		Deleted: is
414	A potential solution is a fourth-order advection scheme with a flux limiter to eliminate the		Deleted: has been Deleted: , who
415	spurious over- and under-shooting, as demonstrated by Sheng (2002) for a z-level ocean model.		Deleted: ed
			Deleted:)
416	For currents, the model performance is evaluated using a metric known as ϵ^2 (Schwab et al.,		Deleted: in which
417	1989; Urrego-Blanco and Sheng, 2014):	/	Deleted: values are reduced with a flux limiter Deleted: s has been

 $\varepsilon^{2} = \frac{\sum_{i=1}^{N} \left[\left(V_{i}^{O} - U_{i}^{O} - U_{i}^{M} + U_{i}^{M} \right)^{2} + \left(V_{i}^{O} - V_{i}^{O} - V_{i}^{M} + V_{i}^{M} \right)^{2} \right]}{\sum_{i=1}^{N} \left[\left(U_{i}^{O} - U_{i}^{O} \right)^{2} + \left(V_{i}^{O} - V_{i}^{O} \right)^{2} \right]},$ 429 (1) 430 where the superscripts O and M denote observed and simulated values respectively, overbars 431 denote spatial averaging over each validation region, and the summation is made over the 432 validation region. Thus, this metric combines errors for the zonal and meridional current 433 components and assesses model performance in terms of spatial averages as well as at individual 434 points, with a value of zero corresponding to perfect agreement between model results and observations. The metric is calculated with respect to GLORYS both at the surface and for model 435 results interpolated to the 100-m depth. 436 For both simulations, values of ε^2 (Figs. 8–9) in the southern part of the model domain are 437 generally smaller in Ctrl than in Prog (e.g., about 0.7-1.3 for Ctrl vs. 1.0-2.2 for Prog in STG), 438 consistent with the more realistic simulation of the Gulf Stream due to the nudging of salinity 439 440 and temperature in Ctrl. In SPG and LS, values of ε^2 are similar between the regions and smaller 441 in Ctrl than in Prog (about 0.4–1.1 in Ctrl and 0.5–1.6 in Prog for the two regions combined). This suggests the Labrador Current is more realistic in Ctrl than in Prog, which is consistent with 442 443 the conclusion drawn from the temperature metrics that the separation of the West Greenland 444 Current from the Greenland coast is simulated more accurately in Ctrl. The model errors for both runs are largest in HST, mostly due to the southeastward flow along 445 the south side of Hudson Strait being stronger in the model than in GLORYS (not shown). 446 Taking as an example the 2015–2018 mean of monthly-mean currents produced by Prog in 447 September, the southeastward flow is stronger than that in GLORYS by ~0.25 m s⁻¹ at the surface 448 and $\sim 0.15 \text{ m s}^{-1}$ at the 100-m depth. One possible reason for this large discrepancy is that the 449 450 model is likely to be unable to accurately simulate the circulation in Hudson Bay, which is the 451 source of the southeastward flow through Hudson Strait. Circulation in the Bay consists of 452 several gyres and is sensitive to river discharge (Ridenour et al., 2019). Our model domain includes only the eastern part of the Bay (Fig. 1a) and, due to a lack of observations, we use 453 climatological discharge (mostly calculated from observations in the 1960s or 1970s) for all but 454 one of the ten rivers emptying into the eastern Bay; these factors cast doubt on the model's 455

ability to realistically simulate the flow within and out of the Bay.

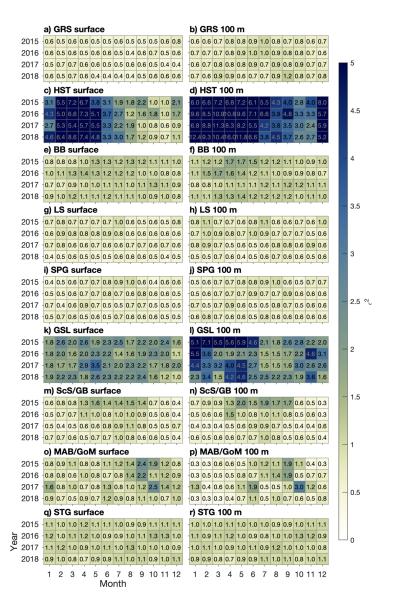


Figure 8. ϵ^2 of currents simulated in Ctrl, calculated for the regions shown in Fig. 1b with respect to GLORYS reanalysis. See Equation 1 for the definition of ϵ^2 .

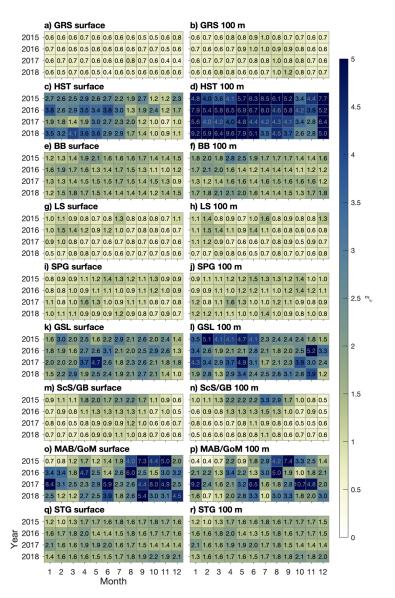


Figure 9. Similar to Fig. 8 but for currents simulated in Prog.

465 It should also be noted that Hudson Strait is characterized by tides of typically 3-6 m in 466 amplitude (Drinkwater, 1988). While our model includes tidal forcing, GLORYS, as stated 467 above, does not. This raises questions about how appropriate GLORYS is as a basis of evaluating 468 simulated currents in this area. Drinkwater (1988) deployed an array of current meters across 469 Hudson Strait between August and October of 1982. While exact coordinates of this array are not 470 available, the grid point in our model closest to the southwestern end of the array (station HS1) 471 can be approximated as (69.47° W, 61.15° N), with a water depth of 272 m, from Figs. 1-2 of 472 Drinkwater (1988). The eight-week average of residual current speeds at this location was 473 observed to be about 0.29 m s⁻¹ and 0.12 m s⁻¹ at the 30-m and 100-m depths respectively. The 474 2015-2018 average of September-mean current speeds simulated by Prog and from GLORYS at 475 the corresponding model grid point are similar to each other and somewhat less than the observed value at the 30-m depth (about 0.24 m s⁻¹ and 0.23 m s⁻¹ respectively vs. 0.29 m s⁻¹). 476 477 However, at the 100-m depth, the simulated mean current speed (0.10 m s⁻¹) is more similar to 478 the observation (0.12 m s⁻¹) than the GLORYS value (0.03 m s⁻¹). Although we need to keep in 479 mind the existence of interannual variability and long-term trends which limit the conclusions we 480 can derive, these comparisons point to the possibility that the inclusion of tides in our model may 481 result in a more realistic vertical structure of currents in areas where both tides and baroclinity 482 play significant roles. The role of tides in the NWA is explored further in Sect. 4.1. 483 The temperature and salinity simulated in Prog have also been compared to observations made 484 along transects from the Atlantic Zone Monitoring Program and its off-shelf counterpart (not 485 shown). RMSE along the AR7W transect, which spans the Labrador Sea between southern 486 Labrador and southern Greenland, are largest near the surface, reaching ~2°C for temperature 487 and ~0.5 for salinity. The errors are larger in transects across Cabot Strait and across the Scotian 488 Shelf (up to ~4°C for temperature and ~3 for salinity), reflecting the difficulty Prog has in 489 reproducing the estuarine circulation in the Gulf of St. Lawrence and the positions of the Gulf 490 Stream and slope waters. 491 The preceding description and evaluation of the simulated circulation and hydrography have 492 highlighted two features in which the nudging of temperature and salinity in Ctrl leads to 493 improved model performance: (a) the separation of currents (the Gulf Stream and West Greenland Current) from their respective coasts and (b) propagation of the low-salinity plume 494

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496 from the St. Lawrence Estuary. Chassignet and Xu (2017) and Pennelly and Myers (2020) 497 showed respectively that increasing the horizontal resolution of their model grids from 1/12° to 1/50° or 1/60° resulted in more realistic representations of the Gulf Stream or the West Greenland 498 499 Current. Given the computational costs of making coupled physical-biogeochemical simulations 500 with a finer horizontal grid than what we currently use, a possible way to improve our modelling 501 system's performance in prognostic simulations would be to nest a finer-resolution grid covering 502 an area of particular interest (e.g., the Labrador Sea) within the existing 1/12° grid. As discussed 503 earlier, a fourth-order horizontal advection scheme with a flux limiter is a possible way to 504 improve our model's simulation of estuarine plumes in prognostic simulations. 505 3.3 Sea ice 506 February-mean values of sea ice cover and effective sea ice thickness (sea ice cover multiplied 507 by thickness), averaged over 2015–2018, are shown in Fig. 10. Model results from Ctrl and Prog 508 are similar in that the ice cover spans Hudson Strait and adjoining areas to its west as well as 509 most of Baffin Bay, and the thickest ice (thickness >~3.0 m) occurs along the coasts of those 510 areas. The two runs are different in that Ctrl produces more ice along the west coast of Greenland 511 and in the northwest Gulf of St. Lawrence, while Prog produces more ice along the north side of 512 Hudson Strait and on the Labrador Shelf. The larger sea ice production by Ctrl for the west coast 513 of Greenland and the northwest GSL is consistent with the lower sea-surface salinity and 514 temperature in this simulation due to the nudging (Fig. 2). For northern Hudson Strait and the 515 Labrador Shelf, a possible factor in the larger sea ice production by Prog is the fact that, in these 516 areas, offshore winds tend to cause ice divergence, which in turn leads to new ice formation (Babb et al., 2021; Prinsenberg and Peterson, 1992). The cycle of open water formation, 517 518 freezing, and ice divergence implies changes in the surface temperature and salinity over 519 relatively small spatiotemporal scales, which could be dampened by the nudging of Ctrl to the monthly CORA data set which has a horizontal resolution of 0.2°-0.5° in our study area 520 521 (Szekely, 2023). The role of sea ice on the physical oceanography of our study area is studied 522 further in Sect. 4.2. The ice model's performance is evaluated in terms of RMSE with respect to daily AMSR2 523 524 (Advanced Microwave Satellite Radiometer) observations, available on a 6.5-km grid

(Melsheimer and Spreen, 2019). The model errors in HST, BB, and LS are generally larger in 526 Ctrl (Fig. 11) than in Prog (Fig. 12), consistent with the smaller sea ice production in these areas by the former. In HST, the increase in model error during May for both runs is mostly due to 527 528 underestimation, indicating a too-early melting of the ice. Given that this seasonal increase in 529 model error occurs in both runs, the cause of the underestimation may be related to ice advection 530 instead of thermodynamics. Examination of sea ice budgets for areas within the NWA is a 531 possible topic of future studies. 532 3.4 Biogeochemistry 533 Snapshots of surface nitrate and subsurface oxygen in the Labrador Sea and surrounding areas at the time of the Atlantic Zone Off-Shelf Monitoring Program (AZOMP) cruise in May 2015 are 534 535 shown in Fig. 13. The simulation indicates that nitrate starts to be depleted in the northern Labrador Sea and along the Labrador shelf at this time but remains high in the deep central 536 537 Labrador Sea. Surface and shelf waters are well oxygenated and subsurface conditions along the 538 AR7W transect are characteristic of the water masses: oxygenated Labrador Sea Water 539 (depth<2000 m), lower-oxygen Northeast Atlantic Deep Water (2000–3000 m), and the more oxygenated Denmark Strait Overflow Water (>3000 m), which is in line with the observations 540 541 along the AR7W transect (Fig. 14a). Simulated nitrate is also characteristic of the three water 542 masses (Fig. 14b). As also shown in Fig. 13, surface nitrate remains high in the central Labrador Sea but is low or depleted on the West Greenland and Labrador Shelves, respectively. These 543 patterns agree with the observations. The spatial variability in alkalinity (Fig. 14c) and total 544 inorganic carbon (TIC; Figure 14d) along the AR7W transect is also well represented. The largest 545 546 mismatch occurs for TIC which is underestimated in the subsurface layers (depths>200 m). 547 Comparison of simulated oxygen, nitrate, alkalinity, and TIC to AZMP/AZOMP in situ 548 observations, at locations ranging from the Gulf of Maine to the Labrador Shelf, was carried out 549 for the period 2014-2018 (Fig. 15). The model simulates reasonably well the spatial and temporal variability in biogeochemical variables (0.65<r2<0.81). Simulated oxygen has a small 550 551 positive bias (10.8 mmol m⁻³, Fig. 15a) but otherwise agrees with observations. Nitrate has the best match with observations (r²=0.81) but with a small positive bias (Fig. 15b), possibly driven 552 553 by excess vertical mixing or by a delay in the seasonal uptake. The small bias at low TIC (i.e.,

surface) is likely to have the same source (Fig. 15d).

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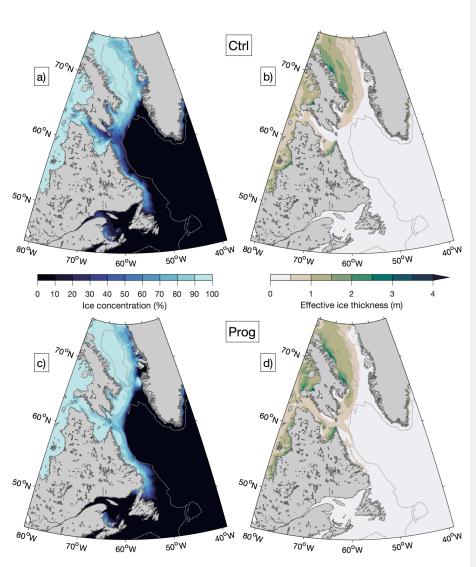


Figure 10. Monthly-mean simulated sea ice concentration (a, c) and effective sea ice thickness (sea ice cover multiplied by thickness) (b, d) for February, averaged over 2015–2018, from Ctrl (a, b) and Prog (c, d). The gray contour line represents the 1000-m water depth.

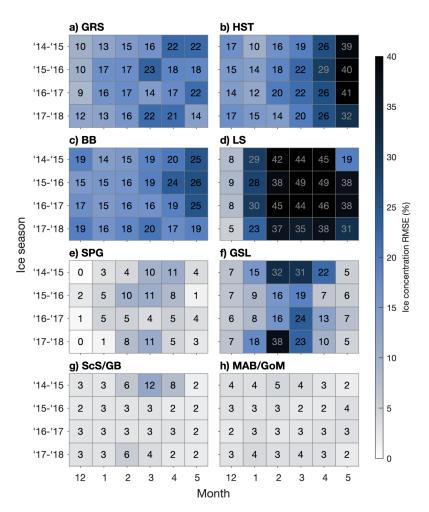


Figure 11. Root-mean-square-errors of ice concentration simulated in Ctrl, calculated for the regions shown in Fig. 1b with respect to AMSR2 satellite observations.

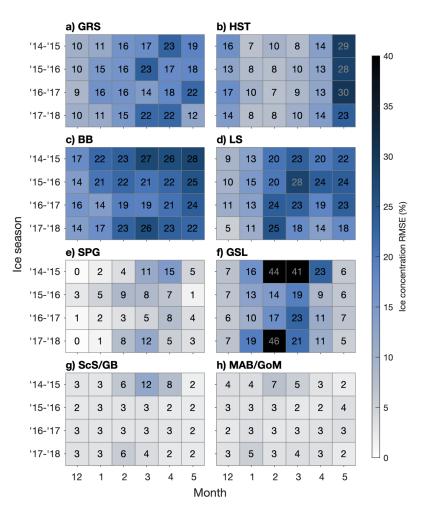


Figure 12. Similar to Fig. 11 but for ice concentration simulated in Prog.

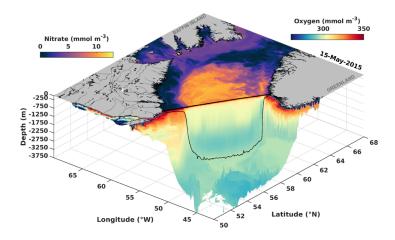
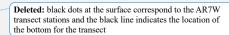


Figure 13. Three-dimensional view of simulated surface nitrate and subsurface oxygen for May 15, 2015. The thick black line at the sea surface denotes the position of the AR7W transect, and the thin black line represents the model's bottom topography along the transect.



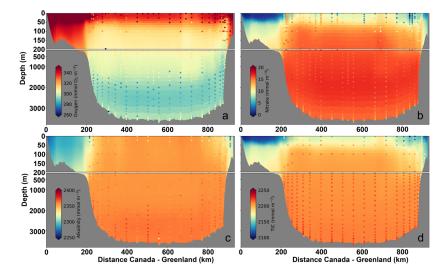


Figure 14. Comparison of simulated (background) versus observed (dots) for: oxygen **(a)**, nitrate **(b)**, alkalinity **(c)**, and total inorganic carbon **(d)** during the AR7W transect in May 2015. Note: the y-axis has higher resolution in the upper 200 m.

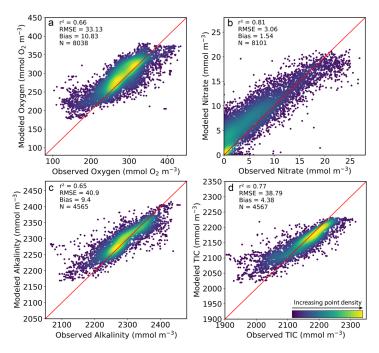


Figure 15. Comparison between simulated values and AZMP/AZOMP bottle observations during 2014–2018 for: oxygen (a), nitrate (b), alkalinity (c), and total inorganic carbon (d). N is the number of observations used for the comparison.

3.5 Simulation of deep convection in the Labrador Sea

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One of the important hydrodynamic features of the NWA is the occurrence of deep winter convection (DWC) in the Labrador Sea and a few other areas. DWC ventilates the deep ocean, contributes to the removal of anthropogenic carbon from near-surface waters, and is thought to influence the larger-scale Atlantic Meridional Overturning Circulation (e.g., Rhein et al., 2011). In this section, we assess the model performance in reproducing the effects of DWC at two

locations (indicated by numbers in Fig. 1): location 1 (54.31° W, 58.29° N) which is near the centre of the "convective region" identified by Luo et al. (2014), and location 2 (50.72° W, 58.29° N) which is on the AR7W transect and is within the area ("Central Labrador Sea") for

Deleted: Vertical temperature profiles

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Deleted: The horizontal grid size of our model (O(km)) is too large to resolve convective plumes, which have horizontal scales of O(100 m)) (e.g., The Lab Sea Group, 1998), nor does our model include parameterization of deep convection. The model's turbulent vertical mixing scheme, however, responds to the atmospheric input and water column conditions that lead to deep convection, and thus can be expected to produce vertical profiles of tracers whose temporal evolution is generally consistent with that of profiles resulting from deep convection. \P

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619	which Yashayaev (2024, hereafter Y24) composited the available observations to form time		
620	series of ocean properties from the surface to the 2000-m depth. (Location 1 is near the western		
621	edge of the Central Labrador Sea as defined by Y24.) The output of Prog will be used here so		
622	that the model's response to the conditions that trigger DWC can be assessed. Because the time		Deleted: convection
623	series of Y24 indicated that conditions in 2014 were markedly different from those of subsequent		
624	years, the model results of 2014 will be included here even though they were excluded from the		
625	preceding discussions of model performance.		
626	Time series of temperature profiles at the two locations are shown in Fig. 16. The time series at		Deleted: ¶
627	location 1 is generally more similar to the observation-based, area-composite time series of Y24		
628	(his Fig. 3) than at location 2. This is consistent with the fact that location 1 is at the centre of the		
629	area where DWC occurred in the modelling study of Luo et al. (2014), which they found to agree		Deleted: deep convection
630	with areas of convection observed by, e.g., Lavender et al. (2000). The time series of simulated		
631	temperature profiles at location 1 includes several features that appear in Y24, such as: 1) the		
632	turbulent vertical mixing/convection being much stronger in 2015 than in 2014, with the 3.4°C		
633	contour of simulated temperatures extending down to the ~1600-m depth in 2015 but just to the		
634	~1000-m depth in 2014; 2) temperatures below 3°C occurring from the surface to the ~200-m		
635	depth from late 2015 to early 2016; and 3) temperatures above 6°C extending to a maximum of		
636	~100 m below the surface during the summer. Given that: 1) our model does not directly		
637	simulate or parameterize deep convection and 2) we are comparing the temporal evolution of	,	Deleted: (
638	simulated temperatures at one location against a composite of observations over an area with a	1/2	Deleted:)
639	diameter of $O(100 \text{ km})$ in Y24, we find these similarities encouraging.	// /	Deleted: A model
		1//	Deleted: s
640	It should be noted that the horizontal grid size of our model is O(1 km), which is much coarser	///	Deleted:
641	than the typical horizontal scale of $O(100 \text{ m})$ for the convective plumes (e.g., The Lab Sea	/ /	Moved (insertion) [1]
642	Group, 1998). Furthermore, our circulation model does not use an explicit winter advection		Deleted: < Deleted: km)
			Deleted: and
643	scheme, instead it uses large vertical mixing coefficients produced by the modified "2.5-level"		Deleted: for
644	scheme of Mellor and Yamada (1982) to mimic the intense convective mixing associated with	₩//	Moved up [1]: nested within DALROMS-NWA12 v1.0 and
645	DWC. A fine-resolution model with a horizontal grid size of O(100 m) nested within	[*] //	is a possible direction of future research.
646	DALROMS-NWA12 v1.0 will be used in our future research to develop better parameterizations		Deleted: ,
647	of DWC over the NWA and to examine how the model simulates deep ocean ventilation and		Deleted: and is a possible direction of future research.the capability to simulate or parameterize convection is necessary to pursue this topic further. Such a model can be nested
648	near-surface carbon removal due to DWC,		within DALROMS-NWA12 v1.0 and is a possible direction of future research

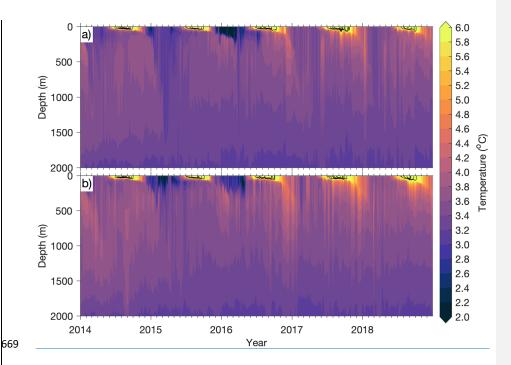


Figure 16. Daily-mean simulated temperatures from Prog, vertically interpolated to 5-m depth intervals between the 0- and 2000-m depths, at location 1 (54.31° W, 58.29° N) (a) and location 2 (50.72° W, 58.29° N) (b), indicated as "1" and "2" respectively in Fig. 1. Major tick marks correspond to 1 January of each year and minor tick marks correspond to the first days of February–November. Temperature values between 6°C and 11°C are shown with black contour lines at 1°C intervals.

4 Sensitivity studies

The ocean circulation and sea ice modules of DalROMS-NWA12 v1.0 are used in this section to examine the roles of tides and sea ice in the hydrodynamics of the NWA. This is done by comparing the model results from Prog to those from two additional simulations that are identical to Prog but with the tidal forcing absent from one (NoTides) and sea ice absent from the other (NoIce). In NoIce, the net surface heat flux is set to zero if it would cool the ocean and the sea surface temperature is already at or below the local freezing temperature. The difference

683 between surface temperatures simulated in Prog and in NoTides (Prog minus NoTides) will be denoted ΔT_{sfc}^{P-NT} and the difference in bottom temperatures will be denoted ΔT_{btm}^{P-NT} . Similar 684 notations will be used for differences in salinity (e.g., ΔS_{sfc}^{P-NT}) and current speed (e.g., 685 $\Delta |V|_{cfc}^{P-NT}$) and for differences between model results from Prog and NoIce (e.g., ΔT_{sfc}^{P-NI}). 686 4.1 The effect of tides 687 688 Differences between Prog and NoTides (Prog minus NoTides) in sea surface salinity, currents, 689 and temperature over Baffin Bay and the Labrador Sea, averaged over the winters (December-690 February) and summers (June-August) of December 2014-August 2018, are shown in Fig. 17. In Deleted: 6 winter (Fig. 17a-b), differences between the simulations of temperature and salinity over this 691 Deleted: 6 area are relatively small – generally within ±1 for salinity, and up to ~+1.5°C for temperature. In 692 summer (Fig. 17c-d), ΔS_{sfc}^{P-NT} is positive along most of the Baffin Island coast, in Ungava Bay, Deleted: 6 693 and on the northern Labrador Shelf (up to ~7 in Ungava Bay) while ΔT_{sfc}^{P-NT} is mostly negative 694 throughout the area but especially over shelves (~-1.0°C). These differences between Prog and 695 696 NoTides are consistent with the presence of sea ice over large portions of this area during winter, given that sea ice can modulate tidal mixing and thus tend to reduce the differences between the 697 698 ocean states simulated with and without tides. In summer, the presence of tidal mixing in Prog 699 contributes to vertical mixing over shelf areas, resulting in surface waters that are saltier and 700 colder than if there were no tides and the water column were more highly stratified. There are, however, areas in which the inclusion of tides results in positive ΔT_{sfc}^{P-NT} during the summer, 701 notably along the coast of Ungava Bay. Given that ΔS_{sfc}^{P-NT} is positive throughout the Bay, the 702 contrast between positive ΔT_{sfc}^{P-NT} along the coast and negative ΔT_{sfc}^{P-NT} near the Bay's mouth 703 704 suggests air-sea fluxes might differ between the two parts of the Bay. 705 The effect of tides on water temperature within Ungava Bay is explored in Figs. 18 and 19. In Deleted: 7 Deleted: 8 706 winter, the models results from Prog and NoTides are similar not only in terms of the surface temperature (Fig. 1\lambda), but also in terms of bottom temperature ($|\Delta T_{btm}^{P-NT}| < 1.0^{\circ}$ C, Fig. 1\lambda) Deleted: 7 707 Deleted: 7 and current speeds at both the surface and bottom $(\Delta |\vec{V}|_{sfc}^{P-NT})$ and $\Delta |\vec{V}|_{btm}^{P-NT} < 0.1 \text{ m s}^{-1}$, Fig. 708 18a-b). In summer at the sea surface (Fig. 18c), both $\Delta |V|_{sfc}^{P-NT}$ and ΔT_{sfc}^{P-NT} are positive along Deleted: 7 709 Deleted: 7

719	the coast but generally negative in the outer bay. Along the bottom in summer (Fig. 18d),	Deleted: 7
720	ΔT_{btm}^{P-NT} is positive and as large as $\sim +4^{\circ}$ C along the coast, while in the outer bay ΔT_{btm}^{P-NT} is small	
721	$(\Delta T_{btm}^{P-NT} < 1.0^{\circ}\text{C})$ and the currents are generally weak in both simulations. The patterns of	
722	mean summer sea ice concentration are also different between the two simulations, with the ice	
723	cover produced in Prog (Fig. 19a) being highest over the outer bay (up to ~40%) and low near	Deleted: 8
724	the coast, while NoTides (Fig. 19b) produces a wide area of high ice cover along the coast (up to	Deleted: 8
725	\sim 90%). The patterns of mean summer sea surface temperature from the two simulations (Fig.	
726	12c-d) correspond to those of the sea ice cover, with areas of higher (lower) ice cover	Deleted: 8
727	corresponding to lower (higher) temperatures. Given that the only difference between the Prog	
728	and NoTides simulations is the inclusion of tides in the former, these results suggest that tides	
729	along the coast of Ungava Bay promotes an earlier disappearance of ice there during the summer,	
730	and this in turn leads to a larger flux of solar radiation into the ocean and a less impeded flow.	
704		
731	The effect of tides is also evident in the region surrounding two other areas with large tidal	
732	ranges, the St. Lawrence Estuary and the Bay of Fundy. In both winter and summer, ΔS_{sfc}^{P-NT} in	
	4 C. I	(
733	the St. Lawrence Estuary (Fig. $\underline{20}$ a,c) is positive (up to \sim 6.0 in most of the Estuary but $>$ 10 in	Deleted: 19
733 734	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-	Deleted: 19
		Deleted: 19 Deleted: 19
734	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-	
734 735	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-salinity subsurface water towards the surface. In summer (Fig. 20c), the influence of this higher	
734 735 736	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-salinity subsurface water towards the surface. In summer (Fig. 20c), the influence of this higher salinity due to tidal mixing spreads into the northwest Gulf of St. Lawrence due to the	
734 735 736 737	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-salinity subsurface water towards the surface. In summer (Fig. 20c), the influence of this higher salinity due to tidal mixing spreads into the northwest Gulf of St. Lawrence due to the propagation of the estuarine plume. In the Bay of Fundy, the salinity difference is also positive in	
734 735 736 737 738	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-salinity subsurface water towards the surface. In summer (Fig. 20c), the influence of this higher salinity due to tidal mixing spreads into the northwest Gulf of St. Lawrence due to the propagation of the estuarine plume. In the Bay of Fundy, the salinity difference is also positive in both seasons. In winter, ΔS_{sfc}^{P-NT} ranges from ~0.4 in most of the Bay to ~2.4 near the Saint John	
734 735 736 737 738 739	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-salinity subsurface water towards the surface. In summer (Fig. 20¢), the influence of this higher salinity due to tidal mixing spreads into the northwest Gulf of St. Lawrence due to the propagation of the estuarine plume. In the Bay of Fundy, the salinity difference is also positive in both seasons. In winter, ΔS_{sfc}^{P-NT} ranges from ~0.4 in most of the Bay to ~2.4 near the Saint John River's mouth and in summer, it ranges from ~1.2 in the upper Bay to ~3.6 near the Saint John	
734 735 736 737 738 739 740	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-salinity subsurface water towards the surface. In summer (Fig. 20c), the influence of this higher salinity due to tidal mixing spreads into the northwest Gulf of St. Lawrence due to the propagation of the estuarine plume. In the Bay of Fundy, the salinity difference is also positive in both seasons. In winter, ΔS_{sfc}^{P-NT} ranges from ~0.4 in most of the Bay to ~2.4 near the Saint John River's mouth and in summer, it ranges from ~1.2 in the upper Bay to ~3.6 near the Saint John River's mouth. The role of tidal mixing is also evident in the patterns of sea surface temperatures	Deleted: 19
734 735 736 737 738 739 740	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-salinity subsurface water towards the surface. In summer (Fig. 20c), the influence of this higher salinity due to tidal mixing spreads into the northwest Gulf of St. Lawrence due to the propagation of the estuarine plume. In the Bay of Fundy, the salinity difference is also positive in both seasons. In winter, ΔS_{sfc}^{P-NT} ranges from ~0.4 in most of the Bay to ~2.4 near the Saint John River's mouth and in summer, it ranges from ~1.2 in the upper Bay to ~3.6 near the Saint John River's mouth. The role of tidal mixing is also evident in the patterns of sea surface temperatures (Fig. 20b,d), with ΔT_{sfc}^{P-NT} positive in winter (up to ~1.5°C in both the St. Lawrence Estuary and	Deleted: 19
734 735 736 737 738 739 740 741	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings highersalinity subsurface water towards the surface. In summer (Fig. 20c), the influence of this higher salinity due to tidal mixing spreads into the northwest Gulf of St. Lawrence due to the propagation of the estuarine plume. In the Bay of Fundy, the salinity difference is also positive in both seasons. In winter, ΔS_{sfc}^{P-NT} ranges from ~0.4 in most of the Bay to ~2.4 near the Saint John River's mouth and in summer, it ranges from ~1.2 in the upper Bay to ~3.6 near the Saint John River's mouth. The role of tidal mixing is also evident in the patterns of sea surface temperatures (Fig. 20b,d), with ΔT_{sfc}^{P-NT} positive in winter (up to ~1.5°C in both the St. Lawrence Estuary and the Bay of Fundy) and negative in summer (as low as ~4.5°C in both areas). Differences	Deleted: 19
734 735 736 737 738 739 740 741 742	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings higher-salinity subsurface water towards the surface. In summer (Fig. 20c), the influence of this higher salinity due to tidal mixing spreads into the northwest Gulf of St. Lawrence due to the propagation of the estuarine plume. In the Bay of Fundy, the salinity difference is also positive in both seasons. In winter, ΔS_{sfc}^{P-NT} ranges from ~0.4 in most of the Bay to ~2.4 near the Saint John River's mouth and in summer, it ranges from ~1.2 in the upper Bay to ~3.6 near the Saint John River's mouth. The role of tidal mixing is also evident in the patterns of sea surface temperatures (Fig. 20b,d), with ΔT_{sfc}^{P-NT} positive in winter (up to ~1.5°C in both the St. Lawrence Estuary and the Bay of Fundy) and negative in summer (as low as ~-4.5°C in both areas). Differences between the simulations are also visible over the open ocean for all three fields. As Wang et al.	Deleted: 19
734 735 736 737 738 739 740 741 742 743	parts of the Upper Estuary during the summer), suggesting that tidal mixing brings highersalinity subsurface water towards the surface. In summer (Fig. 20c), the influence of this higher salinity due to tidal mixing spreads into the northwest Gulf of St. Lawrence due to the propagation of the estuarine plume. In the Bay of Fundy, the salinity difference is also positive in both seasons. In winter, ΔS_{sfc}^{P-NT} ranges from ~0.4 in most of the Bay to ~2.4 near the Saint John River's mouth and in summer, it ranges from ~1.2 in the upper Bay to ~3.6 near the Saint John River's mouth. The role of tidal mixing is also evident in the patterns of sea surface temperatures (Fig. 20b,d), with ΔT_{sfc}^{P-NT} positive in winter (up to ~1.5°C in both the St. Lawrence Estuary and the Bay of Fundy) and negative in summer (as low as ~4.5°C in both areas). Differences between the simulations are also visible over the open ocean for all three fields. As Wang et al. (2020) have suggested, this may be caused by internal tides that are generated near the shelf	Deleted: 19

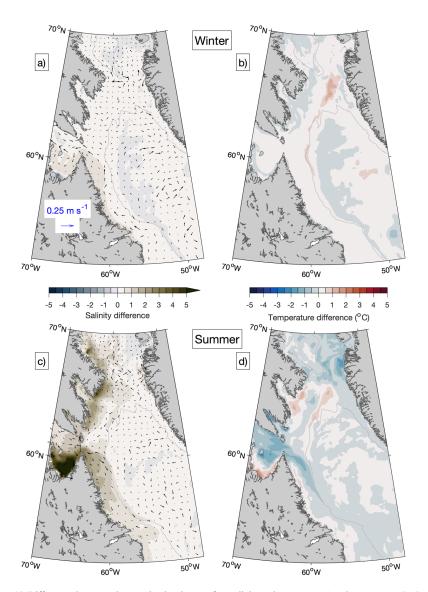


Figure 17 Differences in seasonal-mean simulated sea surface salinity, and currents (a, c) and temperature (b, d) over Baffin Bay and the Labrador Sea when model results from NoTides are subtracted from those from Prog, averaged over winters (a, b) and summers (c, d) of 2015–2018. Difference vectors are shown at every 12th model grid point. The gray contour line represents the 1000-m water depth.

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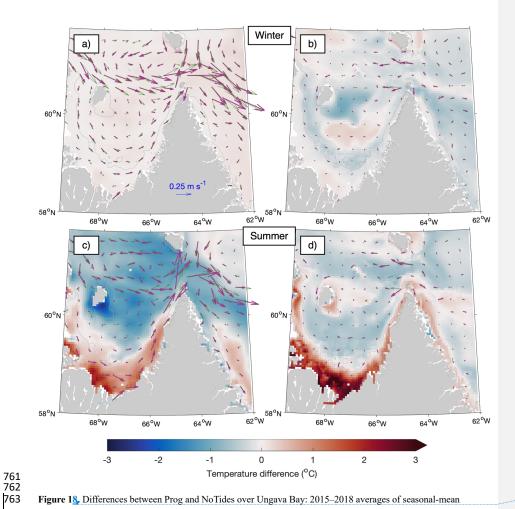


Figure 18. Differences between Prog and NoTides over Ungava Bay: 2015–2018 averages of seasonal-mean simulated currents (thick magenta arrows: Prog, thin green arrows: NoTides) and temperature difference (Prog minus NoTides) at the sea surface (a, c) and bottom layer (b, d) in winter (a–b) and summer (c–d). Current vectors are shown at every sixth model grid point. The gray contour line represents the 100-m water depth.

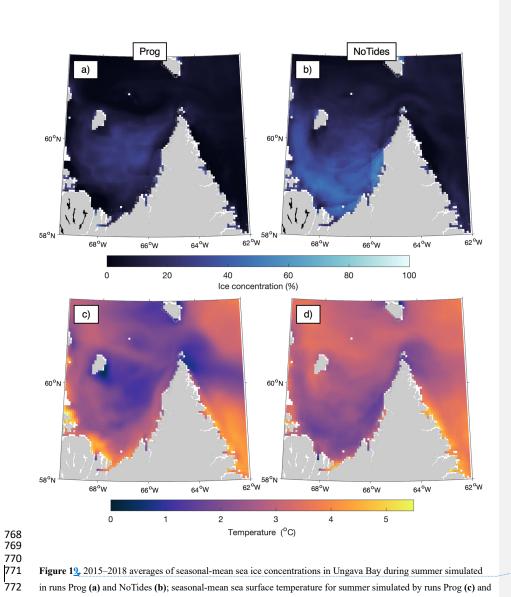


Figure 19, 2015–2018 averages of seasonal-mean sea ice concentrations in Ungava Bay during summer simulated in runs Prog (a) and NoTides (b); seasonal-mean sea surface temperature for summer simulated by runs Prog (c) and NoTides (d).

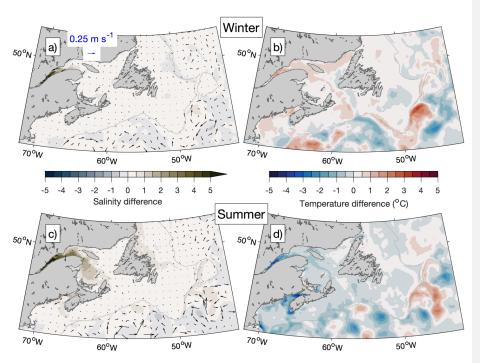


Figure 20. Similar to Fig. 18, but for the area between the Gulf of Maine and the southern Labrador Sea.

4.2 The effect of sea ice

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786 787 from the simulation in which ROMS is run without coupling to CICE (NoIce). A prominent feature in winter is the horizontal gradient in ΔS_{sfc}^{P-NI} , approximately aligned with the 1000-m isobath, in western Baffin Bay (Fig. 21a). ΔS_{sfc}^{P-NI} is positive on the shelf (~0.2) but negative offshore of the shelf break (~-0.3). In the zone where ΔS_{sfc}^{P-NI} changes signs, $\Delta |\vec{V}|_{sfc}^{P-NI}$ is positive (up to ~0.3 m s⁻¹). The area on the shelf where ΔS_{sfc}^{P-NI} is positive coincides with the highest average sea ice thickness in the domain (Fig. 10d), which makes the higher salinity in Prog consistent with brine rejection at the time of sea ice formation. Values of $|\Delta T_{sfc}^{P-NI}|$ in winter (Fig.

21b) tend to be largest over the parts of Baffin Bay and the northern Labrador Shelf where the

The effect of sea ice is examined next by comparing simulated surface fields from Prog to those

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heat flux is expected to result in positive ΔT_{sfc}^{pent} , given that in winter it is expected to cool the ocean surface while sea ice can insulate the ocean surface below from cold air. Another possible factor in ΔT_{sfc}^{pent} is vertical mixing, which is examined later. In summer, ΔS_{sfc}^{pent} and ΔT_{sfc}^{pent} (Fig. 21c and 21d respectively) are lowest in western Baffin Bay, with the former as low as —4.0 pau (reflecting the input of freshwater due to melting sea ice) and the latter as low as —4.0 pau (reflecting the blocking of shortwave radiation by the sea ice that remains in summer). These results suggest that, as sea ice in areas such as Baffin Bay and the Labrador Shelf decline in a warming climate, areas downstream from them such as the Scotian Shelf and the Gulf of Maine will experience changes in the temperature and salinity of the water that is brought there by the Labrador Current. The effect of changes in water masses advected into a given area, in combination with changes that occur in situ due to climate change, is another possible topic of future research. Differences in the wintertime vertical stratification and vertical mixing between Prog and NoIce are examined further using vertical profiles of four-year mean wintertime temperature, salinity, and vertical eddy viscosity produced by the two runs, as well as the squared buoyancy frequency (N^2) calculated from the mean wintertime temperature and salinity using the Gibbs-SeaWater Oceanographic Toolbox (McDougall and Barker, 2011). The profiles represent temporal averages over the same period as in Figs. 21a-b (winters of 2015–2018) and are calculated at 1-m depth intervals for two locations: location A (62.56° W, 67.60° N), indicated by the square in Fig. 21b, where ΔT_{sfc}^{pent} is small and the 2015–2018 mean of the February-mean sea ice cover is ~95% where ΔT_{sfc}^{pent} is small and the 2015–2018 mean of the February-mean sea ice cover is ~95% where ΔT_{sfc}^{pent} is small and the 2015–2018 mean of th	792	ice edge occurs in Prog (Fig. 10c). ΔT_{sfc}^{P-NI} in these areas are negative (as low as ~-1.9°). Surface	
ocean surface while sea ice can insulate the ocean surface below from cold air. Another possible factor in ΔΤ ^{p-Nl} _{sfc} sertical mixing, which is examined later. In summer, ΔS ^{p-Nl} _{sfc} and ΔΤ ^{sfc} _{sfc} (Fig. 21c and 21d respectively) are lowest in western Baffin Bay, with the former as low as ~4.0 psu (reflecting the input of freshwater due to melting sea ice) and the latter as low as ~4.7°C (reflecting the blocking of shortwave radiation by the sea ice that remains in summer). These results suggest that, as sea ice in areas such as Baffin Bay and the Labrador Shelf decline in a warming climate, areas downstream from them such as the Scotian Shelf and the Gulf of Maine will experience changes in the temperature and salinity of the water that is brought there by the Labrador Current. The effect of changes in water masses advected into a given area, in combination with changes that occur in situ due to climate change, is another possible topic of future research. Differences in the wintertime vertical stratification and vertical mixing between Prog and NoIce are examined further using vertical profiles of four-year mean wintertime temperature, salinity, and vertical eddy viscosity produced by the two runs, as well as the squared buoyancy frequency (N²) calculated from the mean wintertime temperature and salinity using the Gibbs-SeaWater Oceanographic Toolbox (McDougall and Barker, 2011). The profiles represent temporal averages over the same period as in Figs. 21a-b (winters of 2015-2018) and are calculated at 1-m depth intervals for two locations: location A (62.56° W, 67.60° N), indicated by the square in Fig. 21b, where ΔT ^{p-Nl} _{sfc} is small and the 2015-2018 mean of the February-mean sea ice cover is ~95% 12 (Fig. 10c), and location B (67.64° W, 67.60° N), indicated by the circle in Fig. 21b, where ΔT ^{p-Nl} _{sfc} has a large magnitude (~1.9°C) and the four-year mean of the February-mean sea ice cover is ~84%. The model's water depths at the two locations are similar (231 m and 218 m). Deleted: 1 Delete			
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817 A are similar between runs Prog and NoIce, with a vertical range of <1.4°C for temperature and 818 <0.8 for salinity in both runs. The profiles of N ² (Fig. 22c) are thus also similar between the runs.	815	cover is ~84%. The model's water depths at the two locations are similar (231 m and 218 m).	
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818 < 0.8 for salinity in both runs. The profiles of N^2 (Fig. 22c) are thus also similar between the runs.	817	A are similar between runs Prog and NoIce, with a vertical range of <1.4°C for temperature and	Deleted: 1
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819 with maximum values of $\sim 6 \times 10^{-5}$ s ⁻² about 40 m below the sea surface. Negative values of N ² ,	I		Deleted: 1
820 indicating instability, are limited to the top few metres of the water column. Values of the	820	indicating instability, are limited to the top few metres of the water column. Values of the	

831	Richardson number (not shown) below 0.25, including negative values, are limited to the top 5 m $$	
832	of the water column, again indicating a mostly stable water column and weak convection in both	
833	Prog and NoIce. The mean wintertime vertical mixing below the surface is very weak in both	
834	runs (Fig. 22d), with the vertical eddy diffusivity from both runs having maximum values of	Deleted: 1
835	$\sim\!\!0.02~m^2~s^{\text{-}1}$ about 10 m below the surface and having values $<\!\!0.002~m^2~s^{\text{-}1}$ in $\sim\!\!80\%$ of the water	
836	column. It should be noted that the mean wintertime stress exerted on the sea surface (by winds	
837	and/or sea ice in Prog and by winds in NoIce) differs significantly between the two runs (Fig.	
838	22d). The surface stress has a much smaller magnitude in Prog (0.02 N m ⁻²) than in NoIce (1.0 N	Deleted: 1
839	m ⁻²), which can be explained by the buffering effect of sea ice on the wind stress in Prog. Due to	
840	this buffering effect of sea ice, the wind-induced vertical mixing in the surface layer (Fig. 22d) is	Deleted: 1
841	weaker in Prog than in NoIce, as expected.	
842	In contrast to location A, the vertical profiles of mean wintertime model results at location B	
843	differ significantly between runs Prog and NoIce. The mean wintertime temperature (Fig. 2 <u>3</u> a)	Deleted: 2
844	has a vertical range of >3°C in Prog (about -0.9°C near the surface and 2.4°C near the bottom)	
845	but <1.0°C in NoIce (about 1.1°C near the surface and 1.7°C near the bottom). The mean	
846	wintertime salinity (Fig. 23b) has a vertical range of ~0.5 in Prog (about 34.0 near the surface	Deleted: 2
847	and 34.5 near the bottom) but just \sim 0.1 in NoIce (about 34.5 near the surface and 34.6 near the	
848	bottom). Values of N ² (Fig. 23c) from both runs are lower than at location A; with a maximum of	Deleted: 2
849	$\sim \! 1.9 \times 10^{\text{-}5} \ s^{\text{-}2}$ in Prog and $\sim \! 2.1 \times 10^{\text{-}6} \ s^{\text{-}2}$ in NoIce. In addition, the N^2 in NoIce is negative in the	
850	top \sim 20 m of the water column and between depths of \sim 40 and \sim 50 m, indicating unstable	
851	stratification and unrealistically strong convection. The Richardson number is $<\!0.25$ in the top $\sim\!5$	
852	m of the water column in Prog, and at approximately the same depths as the negative values of	
853	N^2 in NoIce. The maximum vertical eddy diffusivity coefficient is $\sim 0.3 \ m^2 \ s^{-1}$ in NoIce, which is	
854	much larger than the maximum values of $\sim 0.06~\text{m}^2~\text{s}^{-1}$ in Prog, while the surface stress is similar	
855	at \sim 0.1 N m ⁻² in both runs.	
856	A possible explanation for the relatively warm (>2.0°C) and salty (>34.0 psu) subsurface water	Formatted: Tab stops: Not at 5 cm
857	in the lower water column at location B in Prog (solid blue lines in Fig. 23a,b) is the horizontal	Deleted: 2
858	advection of relatively warm and salty waters from the south to this location. In Prog, the ocean-	
859	to-air heat flux in winter results in cooling of the near-surface water as well as sea ice formation,	
860	and subsurface ablation of the sea ice can be a source of fresh water that contributes to vertical	

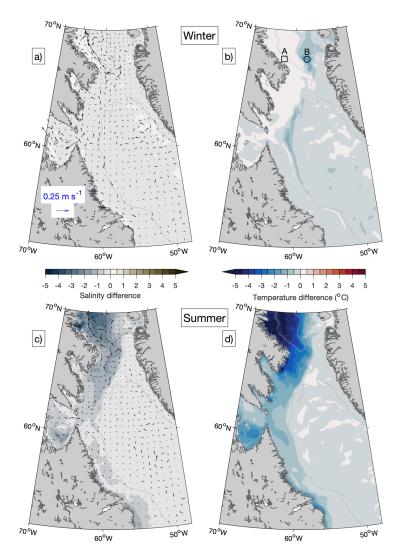


Figure 21. Differences in seasonal-mean simulated sea surface salinity, and currents (a, c) and temperature (b, d) over Baffin Bay and the Labrador Sea when results of NoIce are subtracted from those of Prog, averaged over winters (a, b) and summers (c, d) of 2015–2018. Difference vectors are shown at every 12th model grid point. The gray contour line represents the 1000-m water depth. Locations A and B, for which the vertical profiles of model variables are shown in Figs. 22, and 23, are indicated in panel (b) with a square and a circle respectively.

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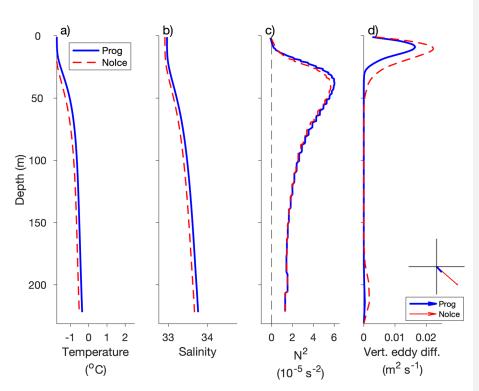


Figure 22. Vertical profiles of wintertime temperature (a), salinity (b), squared buoyancy frequency (c), and vertical eddy diffusivity (d) simulated by the model in Prog (blue solid line) and NoIce (red dashed line), averaged over 2015–2018, interpolated to 1-m depth intervals, at location A, indicated by the square in Fig. 2 Lb (62.56° W, 67.60° N). Also shown in panel (d) is the stress exerted on the sea surface by sea ice and/or winds in the Prog (thick arrow) and NoIce (thin arrow) runs, averaged over the same period as the other fields. The x- and y-axes for the surface stress range from -0.1 to 0.1 N m⁻².

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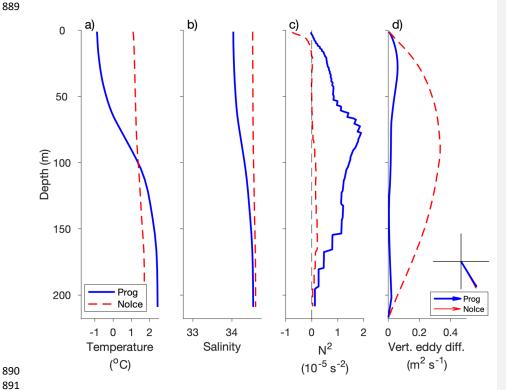


Figure 23. Similar to Fig. 22 but for location B, indicated by the circle in Fig. 21b (57.64° W, 67.60° N). Note the change from Fig. 22, in the x-axis limits for the squared buoyancy frequency (c) and the vertical eddy diffusivity (d).

stability. The advection of relatively warm, salty subsurface waters from the south would also occur in NoIce, but in this case the near-surface water would be cooled to the freezing point without an accompanying reduction in salinity, which may explain the very large vertical mixing and nearly uniform vertical profiles of temperature and salinity in this run,

5 Conclusions

In this study, a newly-developed, fully-coupled modelling system for simulating the ocean circulation, sea ice, and biogeochemistry of the northwest North Atlantic Ocean (DalROMS-

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NWA12 v1.0) was described. The model domain covers the area from Cape Hatteras to Baffin Bay with a horizontal resolution of ~2 to ~8 km, making this modelling system highly suitable for a range of research topics, including study of the biological carbon pump and quantification of the major physical and biogeochemical (BGC) processes influencing the ocean carbon cycle over the region. The results of two simulations using this modelling system, with and without nudging of the simulated temperature and salinity towards a blend of observations and reanalysis, were compared to observations and reanalysis. We found that results of the control run, which included the nudging, are more realistic than results of the prognostic (un-nudged) simulation for several important physical features observed in this region, such as separation of the Gulf Stream and the West Greenland Current from their respective coasts, as well as propagation of low-salinity waters from the St. Lawrence Estuary. These results demonstrate the utility of simple data assimilation in reducing the systematic model errors that can be attributed to model configuration (such as horizontal grid resolution in the case of currents' separation from coasts and the choice of tracer advection scheme in the case of estuarine plume propagation) and unresolved or parameterized physical and BGC processes. The prognostic simulation, while having difficulties with the above-mentioned features, was able to reproduce the general spatiotemporal patterns of the physical fields and outperformed the control run in terms of the sea ice concentration. The major differences between the simulations in the sea ice extent highlight the complex nature of interactions among the atmosphere, ocean, and sea ice. The modelling system was able to reproduce the general patterns of BGC variables over the northwest Atlantic shelves and in the Labrador Sea. Further validation will include comparisons with observations made by BGC Argo floats (Johnson and Claustre, 2016). Future work will use this modelling system to investigate the biological carbon pump in the Labrador Sea including vertical flux estimates derived from BGC Argo (Wang and Fennel, 2022 and 2023). The addition of silicate as a state variable will also be tested. As an example of application of this modelling system, sensitivity studies were made in which results of the prognostic simulation were compared to those from similar simulations from which either the tides or simulation of sea ice were excluded. The comparisons suggest that tides and sea ice strongly affect the physical oceanography of the NWA in several ways. These include the

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combined effects of tides and sea ice (in Ungava Bay) as well as individual effects (e.g., higher surface salinity in summer when sea ice is not simulated).

In addition to studies of the biological carbon pump and of the downstream effects of changes in the water transported by the Labrador Current, another possible direction of future research is to explore further the effects of model configuration, such as parameterization of deep convection or the choice of advection schemes (including the use of non-zero horizontal eddy diffusivity and viscosity with the third-order upstream scheme). In addition, we can use the ocean state simulated by this model as input for numerical particle-tracking experiments to investigate connectivity among different areas of the NWA. The resulting metrics of connectivity under current and projected future climate conditions can support decision-making processes concerning conservation measures. The model will also be used to compare approaches to reducing bias in long-term simulations (Renkl et al., in prep.).

The high air-to-sea flux of CO₂ and the subsequent downward export of fixed carbon make the NWA a key component in the global climate system, but it is a remote region where seasonal transitions can take place in just a few weeks (e.g., in terms of pCO₂; Körtzinger et al., 2008) and details of the interactions between physical and biogeochemical processes are still unknown or remain poorly integrated into models (e.g., the sea-ice carbon pump; Richaud et al., 2023). The four-dimensional ocean states produced by numerical models can aid in the interpretation of observations as well as enable experiments that elucidate the roles of various processes in the ocean and how those processes might change under future climate scenarios. As part of our future studies, this advanced coupled modelling system will be run for longer simulation periods to examine the effects of climate change on the marine conditions over the NWA. The modelling system will also be used to predict the temporal and spatial variability of future marine conditions over the region under different climate scenarios.

Appendix A: The vertical coordinate system in ROMS

ROMS uses a generalized terrain-following vertical coordinate system with several options for vertical transformation equations and vertical stretching functions. In this study the default configuration is used, with the vertical coordinate S defined as (Hedstrom, 2018):

$$z_{(x,y,\sigma,t)} = \zeta_{(x,y,t)} + [\zeta_{(x,y,t)} + h_{(x,y)}]S(x,y,\sigma)$$
(A1)

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$$S(x, y, \sigma) = \frac{h_c + h(x, y)C(\sigma)}{h_c + h(x, y)}$$
 (A2)

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$$C_{(\sigma)} = \frac{\exp(\theta_B c'(\sigma) - 1}{1 - \exp(-\theta_B)}$$
 (A3)

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$$C'(\sigma) = \frac{1 - \cosh(\theta_S \sigma)}{\cosh(\theta_S) - 1}$$
 (A4)

where σ ranges from 0 at the free surface to -1 at the ocean bottom, ζ is the free surface, h is the undisturbed water column thickness, h_c is the value of h below which the vertical layers are more uniformly spaced, and θ_b are parameters that control the vertical resolution near the surface and the bottom respectively. In this study ROMS has 40 layers and the parameters h_c , θ_s , and θ_b are set to 100 m, 5.0, and 0.5 respectively.

Appendix B: Seasonal-mean simulated fields

Seasonal means of salinity and currents in Baffin Bay and the northern Labrador Sea simulated in Ctrl and Prog, averaged over the period December 2014–December 2018, are shown in Figs. B1 and B2. Differences between the simulations are more evident in summer (June–August; Figs. B1c–d and B2c–d than in winter (December–February; Figs. B1a–b and B2a–b). The northward branch of the West Greenland Current and the Baffin Island Current are stronger in Prog by up to \sim 0.25 m s⁻¹ at the surface and \sim 0.15 m s⁻¹ for model results interpolated to the 100-m depth. In the area between the southern Labrador Sea and the Gulf of Maine (Figs. B3 and B4), the difference in salinity between the simulations is more prominent in summer, following the annual peak in freshwater discharges from the St. Lawrence and other rivers. In the St. Lawrence Estuary, the 2015–2018 mean of summer surface salinity simulated in Prog is lower than that from Ctrl by up to \sim 3.5, but further downstream in the Gulf of St. Lawrence, the salinity from Prog is higher by \sim 2.

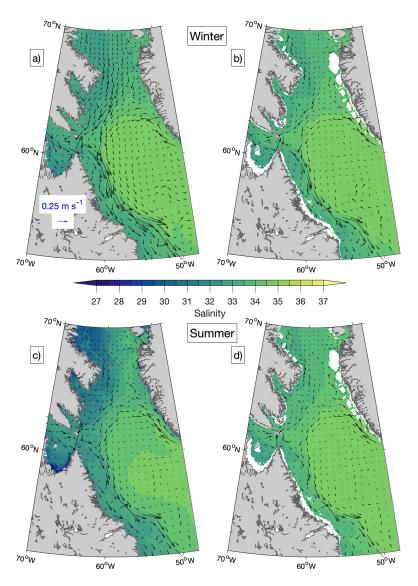


Figure B1. Seasonal-mean simulated salinity and currents at the sea surface (a, c) and interpolated to the 100-m depth (b, d) from Ctrl averaged over the winters (a, b) and summers (c, d) of 2015–2018 in Baffin Bay and the Labrador Sea. Winters are defined as December of the previous year to February of that year. Summers are defined as June to August. Current vectors are shown at every 12th grid point. The 1000-m depth contour is shown in gray.

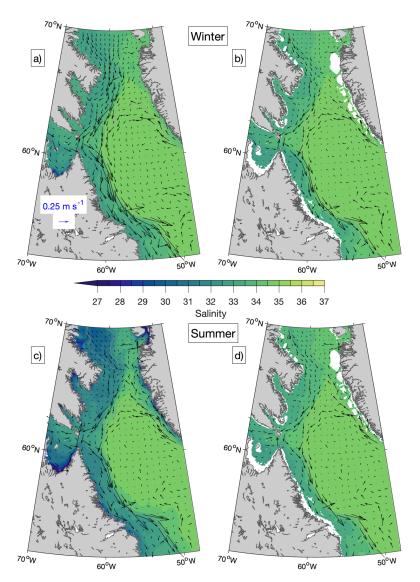


Figure B2. Similar to Figure B1 but for Prog.

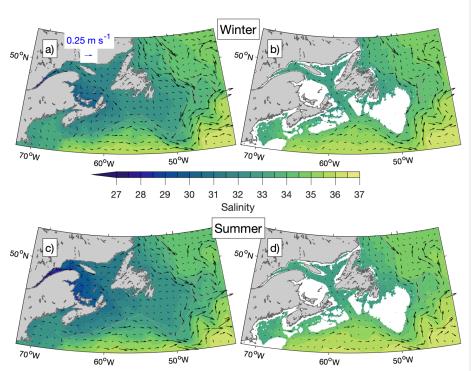


Figure B3. Seasonal-mean simulated salinity and currents at the sea surface (a, c) and interpolated to the 100-m depth (b, d) from Ctrl averaged over the winters (a, b) and summers (c, d) of 2015–2018 over the area between the Gulf of Maine and the southern Labrador Sea. Current vectors are shown at every 12th grid point. The 1000-m depth contour is shown in gray.

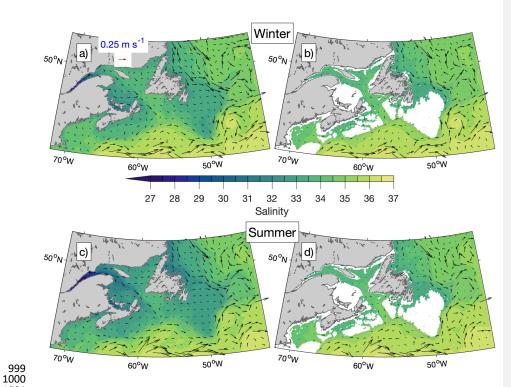


Figure B4. Similar to Fig. B3 but for Prog.

Appendix C: Datasets used in model performance assessment

Online sources of the datasets used to assess the model performance are listed below in the order they are discussed in Section 3.

- 1. <u>Sea surface temperature</u>: OISST v2.1 (Huang et al., 2021), a daily dataset on a 1/4° grid that incorporates satellite and in situ observations. A combination of v2.0 and v2.1 was used in this study; v2.0 is now retired. https://www.ncei.noaa.gov/products/optimum-interpolation-sst
- Sea surface salinity: MULTIOBS_GLO_PHY_S_SURFACE_MYNRT_015_013 (Buongiorno Nardelli et al., 2016), a dataset that incorporates satellite and in situ observations. At the time of this study, it was a weekly dataset on a 1/4° grid; now it is a daily dataset on a 1/8° grid. https://data.marine.copernicus.eu/product/MULTIOBS_GLO_PHY_S_SURFACE_MYNRT_015_013/description

- 1013 3. Currents: GLOBAL MULTIYEAR PHY 001 030, also known as GLORYS12V1
- 1014 (Lellouche et al., 2021), a daily reanalysis dataset on a 1/12° grid.
- https://data.marine.copernicus.eu/product/GLOBAL MULTIYEAR PHY 001 030/descripti
- 1016 o
- 1017 4. Shipboard observations of physical and biogeochemical variables: Atlantic Zone Monitoring
- 1018 Program (Pepin et al., 2005) cruises take place seasonally and Atlantic Zone Off-Shelf
- Monitoring Program (e.g., Yashayaev and Loder, 2017) cruises take place annually.
- https://catalogue.cioosatlantic.ca/dataset/ca-cioos 9a4bd73f-12a2-40ff-a7c7-b961a1d11311
- https://catalogue.cioosatlantic.ca/dataset/ca-cioos 15f90eab-21ed-447d-aea7-8fe98ea27fe5
- 5. Sea ice: AMSR2 ASI sea ice concentration data for the Arctic, v5.4 (Melsheimer and Spreen,
- 2019), a daily dataset on a 6.5-km grid derived from satellite observations.
- https://doi.pangaea.de/10.1594/PANGAEA.898399

1025 Code and Data Availability

- 1026 The model codes, scripts for compiling the model, and sample CPP header and runtime
- 1027 parameter files for physics-only simulations are available at
- https://doi.org/10.5281/zenodo.12752091 (Ohashi et al., 2024a). Input files used by the ocean
- 1029 circulation and sea ice modules in a simulation of September December 2013 are available at
- 1030 https://doi.org/10.5281/zenodo.12752190 (Ohashi et al., 2024b),
- 1031 https://doi.org/10.5281/zenodo.12734049 (Ohashi et al., 2024c), and
- https://doi.org/10.5281/zenodo.12735153 (Ohashi et al., 2024d). Daily-mean output files from
- the ocean circulation, sea ice, and biogeochemistry modules are available for September 2013
- 1034 (beginning of simulation period) at https://doi.org/10.5281/zenodo.12744506 (Ohashi et al.,
- 1035 2024e) and for January 2015 (beginning of model validation period) at
- https://doi.org/10.5281/zenodo.12746262 (Ohashi et al., 2024f). Input and output files for the
- 1037 remainder of the simulation period, as well as CPP header, runtime parameter, and input files for
- the biogeochemistry module, are available from the corresponding author KO upon request.

Author contributions

KO configured the ocean circulation model; prepared the model bathymetry, freshwater input files, atmospheric forcing files, and some of the lateral boundary input files; and carried out the Prog, NoTides, and NoIce runs. AL prepared input files for and configured the biogeochemical module; and carried out the Ctrl run. CR configured the sea ice model and its coupling to the ocean circulation model; prepared some of the lateral boundary input files and the pseudo-mean versions of freshwater input files; configured the regions used to evaluate model performance; processed the observations used in model evaluation; and calculated the model errors with respect to AZMP observations. AL carried out the analyses for and prepared Figs. 13–15; and wrote the text describing the biogeochemical module, the Ctrl run, and Figs.13–15. KO prepared the rest of the manuscript with advice from JS. JS, KF, and EO provided advice throughout development and evaluation of the model and provided funding to KO, AL, and CR respectively.

Competing interests

The authors declare that they have no conflicts of interest.

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- 1066 <u>using the package M_Map (Pawlowicz, 2020).</u> The colour maps used in this study are by Thyng
- 1067 et al. (2016) and Crameri (2018).

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