

# Assessment of the 11-year solar cycle signals in the middle atmosphere during boreal winter with

Wenjuan Huo<sup>1</sup>, Tobias Spiegl<sup>2</sup>, Sebastian Wahl<sup>1</sup>, Katja Matthes<sup>1</sup>, Ulrike Langematz<sup>3</sup>, Holger Pohlmann<sup>4</sup>, and Jürgen Kröger<sup>4</sup>

<sup>1</sup>GEOMAR Helmholtz Centre for Ocean Research Kiel, 24148 Kiel, Germany

<sup>2</sup>Alfred-Wegener-Institut, Helmholtz-Zentrum für Polar- und Meeresforschung, 27570, Bremerhaven, Germany

<sup>3</sup>Freie Universität Berlin, 12165 Berlin, Germany

<sup>4</sup>Max Planck Institute for Meteorology, 20146 Hamburg, Germany

**Correspondence:** Wenjuan Huo (whuo@geomar.de)

**Abstract.** To better understand possible reasons for the diverse modeling results and large discrepancies of the detected solar fingerprints, we took one step back and assessed the "initial" solar signals in the middle atmosphere based on a set of ensemble historical simulations with multiple climate models — FOCI, EMAC, and MPI-ESM-HR. Consistent with previous work, we find that the 11-year solar cycle signals in the short wave heating rate (SWHR) and ozone anomalies are robust and statistically significant in all three models. These initial solar cycle signals in the SWHR, ozone, and temperature anomalies are sensitive to the strength of the solar forcing. Correlation coefficients of the solar cycle with the SWHR, ozone, and temperature anomalies linearly increase along with the enhancement of the solar cycle amplitude. This reliance becomes more complex when the solar cycle amplitude — indicated by the standard deviation of the December-January-February mean F10.7 — is larger than 40. In addition, the cold bias in the tropical stratopause of EMAC dampens the subsequent results of the initial solar signal. The warm pole bias in MPI-ESM-HR leads to a weak polar night jet (PNJ), which may limit the top-down propagation of the initial solar signal. Although FOCI simulated a so-called top-down response as revealed in previous studies in a period with large solar cycle amplitudes, its warm bias in the tropical upper stratosphere results in a positive bias in PNJ and can lead to a "reversed" response in some extreme cases. We suggest a careful interpretation of the single model result and further re-examination of the solar signal based on more climate models.

*Copyright statement.* TEXT

## 1 Introduction

Significant effects of the 11-year solar cycle on the middle-atmospheric temperature and constituents have been found in many observational and model studies in recent decades (e.g., see either Gray et al. (2010) or Ward et al. (2021) for a review). However, the modeled responses of the nitrogen dioxide (Hood and Soukharev, 2006; Wang et al., 2020), ozone (Soukharev and

Hood, 2006; Swartz et al., 2012; Hood et al., 2015; Maycock et al., 2018), and stratospheric temperature (Mitchell et al., 2015; Matthes et al., 2017) in the middle atmosphere still show discrepancies among various models and observations. Enhanced absorption of solar UV radiation by ozone and oxygen in the middle atmosphere, with direct solar heating effect during the solar maximum years, can increase the tropical stratopause temperature. Kodera and Kuroda (2002) first proposed that the increase  
25 of the tropical stratopause temperature can strengthen the meridional temperature gradient and lead to an intensified polar night jet (PNJ) during wintertime. Anomalous westerly winds associated with the strengthened PNJ can propagate downward via interactions with the upward-propagating planetary waves. This proposed "top-down" mechanism was confirmed in subsequent studies with additional observational/reanalysis data (Kuroda et al., 2022) or with the aid of idealized simulations based on climate models (Matthes et al., 2006; Thiéblemont et al., 2015; Mitchell et al., 2015; Drews et al., 2022) but with a various  
30 timing (Kodera and Kuroda, 2002; Drews et al., 2022; Kuroda et al., 2022). The solar signal may not be stationary (Thejll et al., 2003) and is modulated by internal climate variability, such as enhanced ozone and warming responses in the tropical lower stratosphere under the solar maximum are only found in the Quasi-Biennial Oscillation (QBO) east phase (Labitzke, 2005; Matthes and Walters, 2010), while the top-down propagation of the solar signal and hence an intensified polar vortex at the surface is much stronger in the negative phase of the Pacific Decadal Oscillation (Guttu et al., 2021).

35 Large discrepancies between the observed solar imprints and the modeling results (Li et al., 2016; Wang et al., 2020; Scaife et al., 2013; Andrews et al., 2015), as well as the inconsistent responses in climate models (Drews et al., 2022; Chiodo et al., 2019; Spiegl et al., 2023), diminish the robustness of the detected "solar signal" and call into question the proposed "top-down" mechanism and its surface response. The "top-down" mechanism, whereby the solar responses in the middle atmosphere trigger the downward coupling processes, was widely used to explain the solar influences on the Northern Hemisphere (NH) winter  
40 climate, especially the modulation on the North Atlantic Oscillation (NAO) (Kodera and Kuroda, 2005; Scaife et al., 2013; Andrews et al., 2015; Gray et al., 2016; Thiéblemont et al., 2015; Drews et al., 2022; Kuroda et al., 2022). However, the diverse modeling results, shown in the publications of Drews et al. (2022), Chiodo et al. (2019) and Spiegl et al. (2023), reduce the confidence level of the solar-NAO connection and the underlying mechanism. The uncertainties of the simulated solar responses in the middle atmosphere may partly explain the discrepancy of the solar surface imprints. In this study, we will use  
45 multiple-model ensemble simulations to evaluate the "initial" solar signals in the middle atmosphere with a consideration of the model stratospheric biases.

Kunze et al. (2020) quantified uncertainties of the 11-year solar signals in the annual mean shortwave heating rates (SWHR), temperature, and ozone anomalies in the middle atmosphere based on two chemistry-climate models (CCMs)—ECHAM/MESSy Atmospheric Chemistry (EMAC) and Community Earth System Model and Whole Atmosphere Chemistry Climate Model  
50 (CESM-WACCM). They found that the uncertainties of the solar responses in the SWHR, temperature, and ozone anomalies in the upper stratosphere–lower mesosphere arise mainly from the used solar spectral irradiance (SSI) dataset, but solar responses in the lower stratosphere also depend on the CCM used. Recent studies demonstrated that uncertainties of the solar-related dynamical responses in the stratosphere and troposphere, as well as the surface responses, are much larger than of the initial solar signals in the middle atmosphere (Drews et al., 2022; Spiegl et al., 2023). A large spread in the dynamical responses,  
55 even with an opposite sign, has been found among individual ensemble members based on the same model and with identical

solar forcing data (Spiegl et al., 2023). Besides, the stratospheric dynamic variability during the respective winter seasons in both hemispheres can also strongly influence the solar response in total column ozone at high latitudes in the models (Kunze et al., 2020). An evaluation of the 11-year solar signal in multiple-model ensemble simulations will update the information on the robustness and significance of solar imprints in Earth’s atmosphere and also be helpful for the development of CCMs.

60 Although many studies show the importance of the middle atmosphere for the troposphere and surface climate, model representations of all the chemical, physical, and dynamic processes in the middle atmosphere are still a very big challenge (Scaife et al., 2022; Lawrence et al., 2022). Recently, longer predictability timescales of the stratosphere as compared to the troposphere have been identified (Tripathi et al., 2015; Butler et al., 2019; Domeisen et al., 2020a). The stratosphere and its coupling with the troposphere could be a source for the predictability of wintertime surface weather on subseasonal-to-  
65 seasonal (S2S) timescales (Hardiman et al., 2011; Jia et al., 2017; Domeisen et al., 2020b; Scaife et al., 2022). During the solar maximum years, the strong solar radiative effects in the upper stratosphere/lower mesosphere (direct heating and absorption of solar UV radiation) could change its thermal and dynamical features and hence influence the planetary wave propagation and reflection conditions in the lower stratosphere (Kodera and Kuroda, 2002; Matthes et al., 2006; Drews et al., 2022; Kuroda et al., 2022). Therefore, the quasi-decadal solar forcing is recognized as a potential source for near-term climate prediction  
70 (Scaife et al., 2022; Kushnir et al., 2019). However, climate models and forecast systems often struggle to correctly represent all stratospheric variability and their downward coupling processes (Scaife et al., 2016; Lawrence et al., 2022). The inclusion of the solar response in the middle atmosphere in a forecast system would not only improve the prediction of stratospheric events but also may help improve surface prediction skills.

Herein, we first assess the initial solar signals in the middle atmosphere (subsection 3.1) as well as the top-down mechanism  
75 in multiple model ensemble simulations (subsection 3.2). Furthermore, we investigate the uncertainties of the dynamical responses in climate models with a consideration of the model biases (subsection 3.3). In Section 2 we describe all three models and the setup of the experiments used in this study. In Section 3 we show the results and in Section 4 we provide the conclusions and discussions.

## 2 Data and Methods

### 80 2.1 Climate Models

Three climate models are used in this study to assess the 11-year solar cycle signals in the middle atmosphere. They are the Flexible Ocean Climate Infrastructure (hereafter FOCI, Matthes et al. (2020)), the ECHAM/MESSy Atmospheric Chemistry (hereafter EMAC, Jöckel et al. (2016)), and the Max Planck Institute for Meteorology Earth System Model in high-resolution configuration (hereafter MPI-ESM-HR, Müller et al. (2018)). A brief introduction of the three models is given below.

85 FOCI is a fully coupled CCM that includes the European Centre Hamburg General Circulation Model, 6th generation (ECHAM6.3) (Stevens et al., 2013) describing tropospheric and middle-atmospheric processes, coupled to the European Modelling of the Ocean (NEMO3.6) ocean model (Madec, 2016), the JSBACH (Reick et al., 2013) land module as well as the Louvain-la-Neuve Sea Ice Model (LIM2) (Fichefet and Maqueda, 1997). In the vertical, ECHAM6 consists of 95 hybrid

sigma-pressure levels up to the model top at 0.01 hPa, and the Model for Ozone and Related Chemical Tracers (MOZART3; Kinnison et al. (2007)) is implemented in ECHAM6 (ECHAM6-HAMMOZ; Schultz et al. (2018)) to simulate the chemical processes in the atmosphere. The horizontal resolution of the atmosphere is approximately  $1.8^\circ$  by  $1.8^\circ$  and the QBO can be internally generated. More details of FOCI can be found in Matthes et al. (2020).

The EMAC (ECHAM5 Version 5.3.02, MESSy Version 2.52) CCM integrates modules for tropospheric and middle atmospheric processes, including interactions with the ocean, land surfaces, and anthropogenic factors (Jöckel et al., 2010). Built on the Modular Earth Submodel System (MESSy2), EMAC links software codes from various institutes and its core atmospheric model is the ECHAM5 (Roeckner et al., 2006). For the SOLCHECK project<sup>1</sup>, EMAC was operated in T42L47MA — with a spherical truncation of T42 (corresponding to a quadratic Gaussian grid of approx.  $2.8^\circ$  by  $2.8^\circ$  and a vertical resolution of 47 layers — with the model top at 0.01 hPa. Key submodels for SOLCHECK include MECCA (Sander et al., 2011) for atmospheric chemistry, J-values for photolysis rates (Sander et al., 2014), Freie Universität Berlin Radiation (FUBRAD) for radiation transfer (Dietmüller et al., 2016), QBO (Giorgetta and Bengtsson, 1999), Upper Boundary Condition NO<sub>x</sub> (UBC-NO<sub>x</sub>) for auroral influence (Funke et al., 2016) and MPIOM (Jungclaus et al., 2006) as an ocean component, operating at GR15L40 (Grid  $1.5^\circ$  and 40 Levels) resolution. To enhance spectral resolution in the UV – VIS range, the sub-submodel FUBRAD (Kunze et al., 2014) is utilized. This model is applied in the stratosphere and mesosphere at pressure levels below 70 hPa. Within this framework, FUBRAD uses a detailed subdivision of 81 spectral bands. These bands span from the Lyman- $\alpha$  line (121.5 nm) and include key spectral features such as the Schumann–Runge bands and continuum (125.5 – 205 nm), the Herzberg continuum (206.2 – 243.9 nm), the Hartley bands (243.9 – 277.8 nm), the Huggins bands (277.8 – 362.5 nm), and the Chappuis bands (407.5 – 690 nm).

The MPI-ESM-HR (Müller et al., 2018) consists of the atmosphere model European Centre Hamburg Model of version 6.3 (ECHAM6.3; Stevens et al. (2013)) at approximately 100 km horizontal resolution and with 95 levels in the vertical up to the top at 0.01 hPa (T128L95). It is coupled to the Max Planck Institute Ocean Model of version 1.6.3 (MPIOM; Jungclaus et al. (2013)) with a tripolar grid of about  $0.4^\circ$  and 40 vertical levels. Additional components of MPI-ESM-HR are the ocean bio-geo-chemical model Hamburg Model of the Ocean Carbon Cycle (HAMOCC; Ilyina et al. (2013)) and the land surface model Jena Scheme for Biosphere Atmosphere Coupling in Hamburg (JSBACH; Reick et al. (2013)). It is worth noticing that the MPI-ESM-HR is not a CCM. Ozone concentrations from the CMIP6 are used in this model and the ozone is treated inactively.

## 115 2.2 Experimental design

Two sets of CMIP6 historical-like ensemble simulations are performed with the three climate models (i.e., FOCI, EMAC, and MPI-ESM-HR) driven by identical external forcing as recommended for CMIP6 (Eyring et al., 2016), except for the solar forcing. The first ensemble, named *FULL* in this study, includes full solar variability by using the CMIP6 solar forcing dataset (Matthes et al., 2017). The second ensemble called *FIX* serves as a reference experiment, in which the solar forcing is fixed to 1850 preindustrial conditions. All ensemble simulations based on the three models have been integrated over the historical period 1850 – 2014 and the individual ensemble members have been initialized from different model years of a multi-centennial

---

<sup>1</sup><https://romic2.iap-kborn.de/projekte/solcheck.html>

pre-industrial control simulation. 9 members of the *FULL* ensemble are performed with FOCI, 6 members with EMAC, and 10 members with MPI-ESM-HR. Thus, a total of 25 members and 4125 model years of the *FULL* ensemble have been analyzed in this study. The number of ensemble members of the *FIX* is identical to the *FULL* ensemble, except for 8 members with  
125 MPI-ESM-HR. In this study, we mainly focus on assessing the 11-year solar signal in the middle atmosphere by using the *FULL* ensemble and comparing it to the *FIX* ensemble at some points.

To validate our model results, 3D temperature and zonal wind from the ECMWF Reanalysis v5 (ERA5; Hersbach et al. (2020)) covering 1950 to the present are used. The annual mean and December-January-February mean (DJF-mean) F10.7 radio flux in the solar flux units ( $1 \text{ sfu} = 10^{-22} \text{ Wm}^{-2} \text{ Hz}^{-1}$ ) from the CMIP6 solar forcing dataset (Matthes et al., 2017) is  
130 used as an index for solar variability.

### 2.3 Analysis methods

To investigate the stability of the solar imprints, we calculate correlation coefficients between the solar index F10.7 and several meteorological variables (e.g., SWHR, temperature, ozone volume mixing ratio, and zonal wind) within a 45-year window moving from 1850 to 2014 (121 windows in total), indicated by CCR. in the figures in this study. We have to notice that this  
135 method will reduce the overall signal as only 4 adjacent solar cycles are involved in each window. A standard deviation of the annual mean and DJF-mean F10.7 index in the 45-year running window are used to indicate the mean strength of solar variability in that "time" window (called solar cycle amplitude hereafter). A scatter diagram of the correlation coefficients and the solar cycle amplitudes in all windows is used to demonstrate the possible reliance of the solar imprint on the strength of solar variability. Similarly, the models' biases of wind speed and temperature in the 45-year running windows are scattered  
140 together with the correlation coefficients to show the possible dependence of the solar imprint on the model bias in the middle atmosphere. The models' biases are defined by the differences between the model climatology averaged in the 45-year running window and the ERA5 climatology — an average of 1950 – 2014. Here, we must notice that the climate states in the 45-year windows could shift among the different historical periods and, thereby, a large uncertainty in the model biases for the earlier period by being compared to the ERA5 climatology of 1950 – 2014, more discussion can be found in Section 3.3. The 95%  
145 significance level for the correlation coefficient was calculated based on a two-tailed Student's t-test and the effective degrees of freedom in a 45-year window are calculated following the method used in the work of Pyper and Peterman (1998) and simplified as only the autocorrelation coefficients at lag 1 are considered. More details of this method are also described in the work of Huo et al. (2023). In addition, when 80% of ensemble members agreed on the sign of the correlation coefficient, we interpreted it as a robust response.

150 Composites of the meteorological variables based on the 11-year solar cycle are generated by calculating differences between the average values in all solar maximum years and minimum years. Here the solar maximum (minimum) years are defined following the method used in the work of Drews et al. (2022), i.e., the solar maximum (minimum) for each solar cycle includes three years — the year of the peak (valley) and two years around it. A 1000-fold bootstrapping test with replacement (Diaconis and Efron, 1983) is used to estimate the 90% statistical significance of the ensemble mean composites. The  
155 meridional temperature gradient is calculated by performing a centered finite difference operation on the latitude dimension.

Here we use annual mean data to examine the direct solar signal in the SWHR, temperature, and ozone volume mixing ratio anomalies. Then we focus on the NH extended winter season (from November to March) to investigate the possible resulting dynamic responses. For each meteorological variable from individual ensemble members and the observation, its least squares quadratic trend and the mean value of the whole data period are removed to get its detrended anomaly.

## 160 3 Results

### 3.1 Initial 11-year solar signals in the middle atmosphere

The incoming solar radiation absorbed by the Earth's middle and upper atmosphere directly influences the SWHR, temperature, and ozone production in the sunlit region with the strongest effects in the tropics. Although solar UV radiation accounts for only a small part of the solar spectrum at the top of the atmosphere (approximately 8.73%) (Gueymard, 2004), it has a larger variation  
165 (of up to 6%) between the solar maximum and minimum of the 11-year solar cycle than the total solar irradiance (be about 0.07%) (Gray et al., 2010). Figure 1 shows the composite difference between the solar maximum and minimum of the annual mean SWHR, temperature, and ozone anomalies. All three models used in this study can simulate significant responses of the SWHR, temperature, and ozone in the tropical upper stratosphere to the 11-year solar cycle. However, maximum responses of the ensemble mean SWHR, temperature, and ozone anomalies in this study (solid lines in Fig. 1) are a quarter smaller than  
170 the previous found in Matthes et al. (2017). Different chemistry schemes (as described in section 2.1) and shortwave radiation codes implemented in FOCI and EMAC could be one of the potential reasons for the various SWHR and temperature responses in the two CCMs. Although the parameterization of radiative transfer in the Mesosphere (layers at pressures lower than 70 hPa) could be improved by increasing the spectral resolution of Lyman- $\alpha$  from 1 band to 81 bands in the RAD-FUBRAD submodule of EMAC (Nissen et al., 2007), the maximum response of SWHR at the tropical stratopause (Fig. 1 a) is dominated by the  
175 Hartley bands and Huggins bands (Sukhodolov et al., 2014). Differences in the radiation codes of ECHAM5 (Version 5.3.02, used in EMAC) and ECHAM6 (Version 6.3, used in FOCI) can be one of the reasons for the different responses in these two CCMs (Sukhodolov et al., 2014). Besides, the composite method used in our study (as described in section 2.3) and the ensemble mean of multiple transient simulations reduce part of the aliasing of solar signal with the high-frequency inter-annual variability and internal variability, leading to an "underestimated" solar signal in this study compared with previous works with  
180 idealized sensitivity simulations (e.g., Matthes et al. (2017); Kunze et al. (2020)) or a multiple linear regression method (e.g., Spiegl et al. (2023); Mitchell et al. (2015)). The large spread of the response over the period (shadow regions) — various responses among different solar cycles, suggests the detected solar signal could be very different when focusing on different solar cycles.

Figure 2 shows a scatter diagram of the annual mean SWHR (Fig. 2a) and temperature (Fig. 2b) anomalies at the tropical  
185 stratopause (around 1 hPa) in the *FULL* experiment vs. annual mean F10.7. Here, we should note that the direct solar signal in the SWHR and ozone anomalies in MPI-ESM-HR are not shown here because the ozone response is prescribed and the SWHR output is not available. As expected, the SWHR, temperature, and O3 increase along with the enhancement of solar radiation in all models and most ensemble members, indicated by linear trend lines in Fig. 2. Please notice that the anomaly of

the meteorological variable (i.e., SWHR and temperature here) is defined as its deviation from the detrended mean value of the whole period. So the 0 value of the y-axis of Fig. 2 indicates that the value of that data point has no deviation from the mean value. More positive anomalies of the SWHR and temperature at approximate  $F10.7 \geq 140$  sfu suggest a possible solar impact when solar radiation is large. Most members from FOCI and EMAC show positive SWHR and temperature anomalies with fluctuating deviations at  $F10.7 \geq 180$  sfu. A very similar characteristic is also found in the ozone response at 10 hPa (as shown in Fig. 2c). The above responses of the SWHR, temperature, and ozone anomalies to the solar radiative forcing are absent in the *FIX* experiment (as shown in Fig. A1). The waved positive responses in the tropical stratopause (SWHR and temperature) at  $F10.7 \geq 180$  sfu may imply a nonlinear response when the solar forcing is strong enough. However, compared with the *FIX* experiments, the analogous fluctuation in the SWHR and temperature responses at the  $F10.7 \geq 180$  sfu, also implies a robust fingerprint of other external forcings in the tropical stratopause, in addition to the solar forcing. The large spread and fewer samples of the strong solar cycles should be noticed (e.g., indicated by  $F10.7 \geq 180$  sfu in Fig. 2).

The different behavior of the SWHR, temperature, and ozone anomalies in the *FULL* and *FIX* experiments (Fig. 2 and Fig. A1) indicate significant solar fingerprints in the tropical upper stratosphere. To examine the solar influence in the middle atmosphere at the decadal timescale (i.e., the signal of the 11-year solar cycle) as well as its stability, we calculate the correlation coefficients of the F10.7 index with SWHR, temperature, and ozone anomalies in a 45-year running window from 1850 to 2014. As shown in Fig. A2a, a significant and robust 11-year solar signal exists in the SWHR anomalies averaged over the tropical stratopause with a very small ensemble spread for all models. However, the resulting temperature anomalies in the tropical stratopause for all models have a weaker positive correlation with the F10.7 in the 45-year windows than the SWHR anomalies, which is significant in the later period after 1920 (Fig. A2b). The larger ensemble spread in the earlier period (before 1920) indicates a strong disturbance of the internal variability on solar imprints in the tropical stratopause temperature. The 11-year solar signal in the tropical ozone anomalies at 10 hPa is significant and robust in all the 45-year running windows for both FOCI and EMAC (Fig. A2c).

Figure 3a shows some reliance of the detected solar signal on the solar cycle amplitude by a scatter distribution of the correlation coefficients of the F10.7 index and the SWHR anomalies vs. the standard deviation (SD) of the F10.7 index in all 45-year windows. A significant and robust 11-year solar signal in the SWHR anomalies (i.e., positive correlation coefficients) can be achieved in all members and all models in all 45-year windows (Fig. 3a). However, the positive responses in the temperature anomalies at 1 hPa (Fig. 3b) and ozone anomalies at 10 hPa (Fig. 3c), indicated by the positive correlation coefficients, are only significant and robust when the SD of F10.7 index is larger than 28. These positive responses in SWHR, temperature, and ozone anomalies linearly increase along with the enhancement of the solar cycle amplitude when the solar cycle amplitude is smaller than 40. This linear reliance of the detected solar signal on the solar cycle amplitude turns into non-linear features for all the models when the solar cycle amplitude is larger than 40, i.e., although the solar cycle amplitudes are identical in some 45-year windows, different correlation coefficients between the F10.7 index and the SWHR/temperature/ozone anomalies in these windows could be achieved. All the correlation coefficients are above the 95% significance level at  $SD \geq 40$ , and the solar signal is more prominent in the ensemble mean. Please notice that the ensemble mean in this study (e.g., large dots in

Fig. 3) is the correlation of the ensemble mean temperature (also SWHR and ozone) anomalies with the F10.7 index, not the ensemble mean of correlations of single ensemble members with the solar index.

225 We performed a similar analysis for the December temperature anomalies in the middle stratosphere (i.e., temperature anomaly at 10 hPa), which is partly related to solar-induced ozone anomalies. Although all three models simulated a positive temperature response in the ensemble mean (large dots in Fig. 4, none of them passes the 95% significance level in all the 45-year windows. The temperature anomalies at 10 hPa in FOCI and MPI-ESM-HR only have robust positive correlations (i.e., 80% of the ensemble members show positive correlation coefficients) with the DJF-mean F10.7 index when the solar  
230 cycle amplitude is larger than 30, while no apparent reliance exists in EMAC. Therefore, different from the direct solar signals in the tropical stratopause, the temperature response in the middle stratosphere (i.e., 10 hPa in this study) is much weaker. It seems model-dependent and could be influenced by strong internal variability, like QBO and El Niño–Southern Oscillation.

### 3.2 The top-down mechanism in multiple-model ensemble simulations

According to previous work, the tropical upper stratospheric warm response to the solar cycle is expected to lead to an increased  
235 meridional temperature gradient and hence a so-called top-down dynamical response during the NH winter season (Kodera and Kuroda, 2002; Matthes et al., 2006; Drews et al., 2022; Kuroda et al., 2022). However, inconsistent results of the solar UV-forcing have been demonstrated in previous single-model studies, including various timings in the middle atmospheric response and an unstable solar-NAO connection. In this subsection, we re-examine the top-down mechanism with our multiple-model ensemble simulations.

240 Figure 5 shows composite differences between the solar maximum and minimum of the ensemble mean zonal-mean temperature anomalies and the anomalous poleward temperature gradients in the *FULL* experiment. All three models used in this study capture the significant warm response in the tropical and subtropical lower mesosphere and upper stratosphere (color shading contours in Fig. 5) during the extended winter season (i.e., from November to March). The solar-induced tropical stratopause warming is stronger in FOCI (first row of Fig. 5) than in EMAC (second row of Fig. 5), which is highly related  
245 to the SWHR response in the atmosphere layers above approximately 4 hPa (as shown in Fig. A3). Besides, a non-significant lower-stratosphere warm response around 70 hPa is observed in FOCI (first row of Fig. 5) and MPI-ESM-HR (third row of Fig. 5), but it disappears in EMAC (second row of Fig. 5). Previous work suggested the lower-stratosphere warm response is an indirect effect of the maximum solar forcing, involving changes in the ozone chemistry and the Brewer–Dobson circulation (Kodera and Kuroda, 2002; Mitchell et al., 2015), and nonlinear interference with internal variability (e.g. the QBO). However,  
250 this secondary weak warming could also be an aliasing of a residual volcanic signal or some surface signals (Chiodo et al., 2014; Kuchar et al., 2017).

Although the upper stratospheric warm response appears in the tropics and subtropics for all three models, the resulting changes in the meridional temperature gradient are quite different, as shown by the contours in Fig. 5. The anomalous poleward meridional temperature gradients increase in the lower mesosphere and upper stratosphere subtropics during all winter months  
255 in FOCI (first row of Fig. 5), which are weaker in EMAC (second row of Fig. 5) due to its weaker responses of the tropical stratospheric SWHR and temperature anomalies. The meridional temperature gradient anomalies in the NH high latitude and



polar region increase in FOCI during the November-December-January with a poleward and downward movement. A similar and weaker response of the temperature gradient anomaly also shows up in the *FULL* experiment with MPI-ESM-HR (third row of Fig. 5) but not in EMAC (second row of Fig. 5). As a result of the enhancement of the poleward meridional temperature gradient, anomalous westerly winds in the NH high latitude and polar region can be found during November-December-January in both FOCI and MPI-ESM-HR (Fig. 6). It is worth noting that the enhanced meridional temperature gradient in the stratosphere in March (contours in the right column of Fig. 5) leads to a stronger stratospheric polar night jet for all three models (right column of Fig. 6). The conformity of the meridional temperature gradient anomalies and zonal-mean zonal wind anomalies, suggests a dominant role of the thermal-wind relationship in the middle atmosphere. The downward movements of the zonal-mean meridional temperature gradient anomalies and the zonal-mean zonal wind anomalies in the polar vortex region from November to January could be a result of the interaction between the mean flow and upward planetary waves (Kodera and Kuroda, 2002; Matthes et al., 2006).

Drews et al. (2022) proposed that the statistically significant top-down propagation of the 11-year solar cycle signal and its surface imprints can only be detected in an epoch with strong solar cycle amplitude (1932 – 2014) based on the CESM-WACCM. However, this point could not be confirmed in the study of Spiegl et al. (2023) who analyzed a set of historical simulations forced by CMIP5 external forcings with the MPI-ESM-HR model w.r.t the MPI-ESM-HR contribution in this study. As the solar signals in the *FULL* experiment with FOCI seem to be sensitive to the magnitude of solar cycle forcing (Fig. 3 and Fig. 4a), we repeated the above composite analysis for FOCI for the same weak and strong epochs as defined in the work of Drews et al. (2022). As shown in Figs. A4 and A5, both the zonal-mean ozone and temperature anomalies show a larger response in the strong epoch than in the weak epoch for the extended winter season. The significant enhancements of the meridional temperature gradient anomalies are confined in the middle atmosphere (above the tropopause) and no clear top-down migration of the signals is found in the weak epoch (contours in the first row of Fig. A5). The top-down mechanism is confirmed in the strong epoch such that both the positive meridional temperature gradient anomalies (contours in the second row of Fig. A5) and the resulting zonal-mean zonal wind anomalies (second row of Fig. A6) are transferred from the stratosphere to the surface during the extended winter season (November – February). The different responses in the weak and strong epochs with FOCI are in line with the work of Drews et al. (2022). However, this feature cannot be found in the *FULL* experiment with EMAC and MPI-ESM-HR, implying a model dependence of the simulated solar response. Previous studies show that it is necessary to group the data according to the QBO phases to find a clear 11-year solar signal in the middle to lower stratosphere (Labitzke and van Loon, 2000; Labitzke, 2005; Matthes and Walters, 2010). In this study, both FOCI and MPI-ESM-HR have an internally generated QBO, thereby the aliasing of QBO with the solar signal is expected to be largely reduced in the ensemble mean composite based on the solar cycle. However, the QBO-like signal still can be observed in FOCI (first row of Fig. 6) with the average of 9 ensemble members, which is much weaker in MPI-ESM-HR (third row of Fig. 6). This residual QBO signal can influence the presentation and propagation of solar signals in the middle to lower stratosphere. An interesting feature is that the observed QBO west phase in the composite of zonal-mean zonal wind in FOCI (first row of Fig. 6) is more significant and pronounced during the weak epoch (first row of Fig. A6) and very less remains in the strong epoch (second row

of Fig. A6). Except for the weaker solar forcing in the weak epoch than in the strong epoch, the QBO-west "residual" signal in FOCI also results less net ozone and temperature changes during the weak epoch.

### 3.3 Model-dependent dynamical responses to the 11-year solar cycle forcing

295 As demonstrated by Fig. 6, responses in the stratospheric zonal-mean zonal wind anomalies show a large diversity between multiple models. To further explore possible reasons for the simulated "inconsistent" dynamical responses in the middle atmosphere, we use here the zonal-mean zonal wind anomalies averaged over  $60^{\circ}\text{N} - 65^{\circ}\text{N}$  at 1 hPa and 10 hPa to approximately indicate the PNJ anomalies in the upper and middle stratosphere. Figure 7 shows the scatter plots of correlation coefficients between December PNJ anomalies and the F10.7 index vs. solar cycle amplitudes in all 45-year running windows. Although  
300 none of the correlation coefficients is above the 95% significant level, most of the ensemble members as well as the ensemble mean show positive correlation coefficients of the PNJ anomalies with the F10.7 index when the solar cycle amplitude is larger than 28. This result suggests the significant warming response in the tropical stratopause (Figs. 3b) could result in a strengthened PNJ and the solar signal is much weaker compared to the internal variability. We should also notice the manifestly negative correlation coefficients in FOCI and MPI-ESM-HR (Figs. 7a and c) but positive correlation in EMAC (Fig. 7b) when  
305 the solar cycle amplitude is very small (e.g.,  $\text{SD} = 20$ ). These opposing model results suggest that weak solar activity leading to a weak temperature response is no longer dominant in the correlation between the PNJ and the solar cycle, and the effects of other internal variability become visible, leading to a very large model spread. The positive correlation coefficients of the PNJ anomalies and the F10.7 also show a nonlinear reliance on the solar cycle amplitude at  $\text{SD} \geq 40$  for all three models, which is consistent with the significant and multiplex positive temperature response at the  $\text{SD} \geq 40$  (Fig. 3b).

310 As supposed in Spiegl et al. (2023), the background states of the middle atmosphere may play a role in the initial solar signal transfer. To explore this point, we plotted the correlation coefficients between the December PNJ anomalies and the F10.7 index vs. the PNJ strengths, as shown in Fig. 8. Compared to the mean wind speed from the ERA5, both EMAC and MPI-ESM-HR have a weaker PNJ (the negative biases in the zonal wind speed in Figs. 8b and c), while it is stronger in FOCI (positive biases in the zonal wind speed in Fig. 8a). The positive and negative correlation coefficients from all ensemble members and all 45-  
315 year windows in FOCI and MPI-ESM-HR are distributed evenly regardless of the wind speed, showing very little sensitivity of the PNJ response to the PNJ strength in these two models. The negative correlation coefficients of ensemble mean zonal wind anomalies in FOCI (black dots) and MPI-ESM-HR (blue dots) indicate a weakened PNJ response, which is opposite to the proposed top-down mechanism. These "unexpected" dynamical responses under a too-strong (or too-weak) PNJ background condition with FOCI (MPI-ESM-HR) imply that the model bias may lead to a false representation of the solar signal. The  
320 model-dependence of the PNJ response is also revealed in EMAC (Fig. 8b) where a robust and strengthened PNJ response appears when the simulated wind speed is close to the observed value (i.e., small model biases). Here we must notice that the model biases, estimated by comparisons of the model climatology of the 45-year running window with the ERA5 climatology of 1950 – 2014, have large uncertainty in the early history periods. Figures A7.d – f show the models' biases of the stratospheric zonal mean zonal wind in the common period of 1950 – 2014. The negative bias of the zonal wind in the polar vortex region

325 in EMAC and MPI-ESM-HR (Figs. A7.e and f) confirms the weaker PNJ found in most 45-year windows (Fig. 8b and c) and the negative bias of PNJ is smaller in the earlier period than in the late period (figure is not shown here).

Here we calculated the zonal mean meridional temperature gradient ( $\Delta T$ ) at 1 hPa and 10 hPa by using the mean value of the tropical box ( $25^{\circ}\text{S} - 25^{\circ}\text{N}$ ) minus the mean value of the polar box ( $65^{\circ}\text{N} - 90^{\circ}\text{N}$ ) for all three models (Table 1). The simulated meridional temperature gradients at 1 hPa in all three models (first row of Table 1) are weaker than the ERA5 ( $\Delta T$  = 19.1 K), especially for the MPI-ESM-HR model where  $\Delta T$  is just 7.8 K. The  $\Delta T$  at 10 hPa in FOCI and EMAC (second row of Table 1) are close to the value in ERA5 ( $\Delta T$  = 23.6 K) but it is still too weak in MPI-ESM-HR. The negative biases of the meridional temperature gradients in EMAC and MPI-ESM-HR could be a reason for the weak PNJs in these models.

To further explore the possible causes of the model biases in the meridional temperature gradient and their influences on the solar fingerprints in the PNJ anomalies, we show scatter plots of the correlation coefficients between the PNJ anomalies at 1 hPa and the F10.7 index for all 45-year windows vs. model temperature biases at 1 hPa averaged over the polar box (Fig. 9) and tropical box (Fig. 10). As shown in Fig. 9, all three models have a warm bias in the pole region ( $65^{\circ}\text{N} - 90^{\circ}\text{N}$ ) compared to the ERA5, which is much larger in MPI-ESM-HR. This warm pole bias in general is the main reason for the weak poleward meridional gradient ( $\Delta T$ ) for all three models. The simulated temperature in the tropical stratopause (i.e. the tropical box at 1 hPa) shows a slight positive bias in FOCI and a negative bias in EMAC (Figs. 10a and b as well as Figs. A8 a and b). The negative bias of the tropical stratopause in EMAC is partly responsible for the weak  $\Delta T$  in this model. Both cold and warm biases of the tropical stratopause appear in MPI-ESM-HR (Fig. 10c). Further examination indicates that the warm bias appearing in the early period (before 1960) could be just an "overestimation" due to the very different climate states of the earlier period from the ERA5 climatology (the figure is not shown here). The positive correlation coefficients of the ensemble mean December PNJ anomalies with the 11-year solar cycle are replaced by negative correlation coefficients in FOCI and MPI-ESM-HR when the warm biases in the tropical box (Figs. 10a and c) reach their maxima. In addition, the positive responses in the ensemble mean PNJ anomalies in all three models are not significant. However, the positive response of the upper stratospheric PNJ anomalies (1 hPa) in EMAC increases and is more robust when the cold bias in the tropical stratopause decreases (Fig. 10b). No significant (or robust) PNJ responses appear in the middle stratosphere (i.e., at 10 hPa here). The approximately even distribution of the positive and negative correlation coefficients between zonal mean zonal wind anomalies at 10 hPa and the F10.7 index in FOCI and MPI-ESM-HR, suggests the PNJ responses in the middle stratosphere in these two models are not sensitive to the mean flow strength, or to the temperature of both the pole and the tropical regions (figures are not shown here). However, a robust positive PNJ response can be found in EMAC, and it is enhanced when the PNJ strength increases (the figure is not shown here).

To summarize, a strengthened PNJ response to the 11-year solar cycle only shows up in the upper stratosphere (1 hPa) in FOCI and MPI-ESM-HR when the warming response in the tropical stratopause is robust and significant. In EMAC, the strengthened PNJ response exists in both the upper and middle stratosphere, which is not sensitive to the magnitude of the solar variability but is influenced by the background states (e.g., wind speed of the mean flow). The cold bias in the tropical stratopause of EMAC likely dampens the initial solar signal, and together with the warm bias in the polar region, they lead to a weak meridional temperature gradient and a weak PNJ in this model. FOCI has a warm bias in both the tropics and the north

360 pole region of the upper and middle stratosphere, which may be responsible for the sensitivity of the initial solar signals to the magnitude of the solar forcing. However, the too-strong PNJ in FOCI possibly dampens the upward propagation of planetary waves and hence influences the downward propagation of the initial solar signal in the winter season.

#### 4 Conclusions

This study aimed to assess the 11-year solar cycle signal in the middle atmosphere since the detected solar imprints in previous studies still show large discrepancies among different climate models, and the solar signal in a relatively short period with reliable observations is hard to distinguish from other signals or internal variability. For this purpose, two sets of CMIP6 historical-like ensemble simulations — the *FULL* and the *FIX* experiments — were performed with two CCMs (i.e., FOCI and EMAC) and one high-top climate model without interactive chemistry (i.e., MPI-ESM-HR). Each set of simulations is forced by identical CMIP6 external forcings and a different solar forcing — full solar variability in the *FULL*, and no solar variability in the *FIX*. To avoid any artificial signals, our statistical analysis is mainly based on the *FULL* ensemble and compared the result with the *FIX* at some points to derive the possible impacts of solar forcing. Besides, the ensemble mean of the *FULL* simulations can extract the solar signal to some extent.

Our results show a robust and significant solar imprint on the SWHR and temperature anomalies in the upper stratosphere, as well as in the ozone anomalies in the middle stratosphere (in the two CCMs). FOCI simulated stronger 11-year solar cycle signals in the SWHR and temperature anomalies in the upper stratosphere above 4 hPa and a larger ozone response than EMAC. When the solar cycle amplitude, indicated by the standard deviation of the DJF-mean F10.7 in the 45-year running window, is smaller than 40, the responses of the SWHR, temperature, and ozone anomalies in the tropical upper stratosphere with all three models show linear reliance on the solar cycle amplitude (i.e., their positive correlation coefficients increase along with the solar cycle amplitude). However, the reliance of the detected solar signals in the SWHR, temperature, and ozone anomalies on the strength of solar activity is more complex at  $SC \geq 40$ . The middle stratospheric temperature response to the 11-year solar cycle simulated by FOCI is sensitive to the solar cycle amplitude, which is not the case for EMAC and MPI-ESM-HR.

Although all three models simulated a warm response in the tropical upper stratosphere to the 11-year solar cycle forcing, the responses in the poleward meridional temperature gradient as well as the zonal-mean zonal wind anomalies are quite different between the models. The top-down mechanism that has been claimed to explain the downward propagation of the initial solar signals transport from the middle atmosphere to the troposphere can be found in the ensemble mean of the *FULL* with FOCI, and the responses are more significant in a strong epoch with large solar cycle amplitude. However, the top-down response in the ensemble mean of *FULL* is much weaker in MPI-ESM-HR and has no clear downward propagation in EMAC. These diverse results from multiple climate models suggest the 11-year solar cycle signal and its transport in the atmosphere are more complex than expected. The linear methods used in this study (i.e., the ensemble mean and the composite difference between solar maximum and minimum) may not be able to extract the 11-year solar cycle signal from the background noise (e.g., large internal variability in the zonal mean zonal wind in the PNJ region).

Our further analysis with a particular focus on the December PNJ dynamical response suggests that model biases can influence the imprints of the 11-year solar cycle. A strengthened PNJ response (but not significant) to the solar cycle in the

upper stratosphere (1 hPa) can be found in all three models when the warming response in the tropical stratopause is robust and significant. No robust December PNJ response can be found in the middle stratosphere (10 hPa) in FOCI and MPI-ESM-HR. However, the simulated PNJ response in EMAC is not sensitive to the solar cycle amplitude but is influenced by the biases of the PNJ strength and tropical stratopause temperature. The warm bias in the pole region and cold bias in the tropical stratopause of EMAC lead to a large negative bias in the meridional temperature gradient, which dampens the initial 11-year solar cycle signal in the tropical upper stratosphere and thereby limits the zonal-mean zonal wind responses in the high latitude and pole region. The large warm pole bias in the middle and upper stratosphere of the MPI-ESM-HR leads to a weak PNJ and may be responsible for the weak dynamical responses in this model. In addition, the too-strong zonal wind in the polar vortex region in FOCI may lead to a reversed (weakened) PNJ response.

*Code and data availability.* The simulation data produced for this study (i.e. outputs of the experiments listed in Table 1) are publicly available on DOKU at DKRZ (Wahl et al., 2023). The MPI-ESM-HR historical "FULL" simulations are available from the CMIP6 archive of the Earth System Grid Federation (<https://esgf-data.dkrz.de/projects/cmip6-dkrz/>) and the MPI-ESM-HR historical simulations with fixed solar forcing ("FIX") from the World Data Center for Climate at DKRZ (Pohlmann, 2021). The ERA5 dataset (Hersbach et al., 2020) produced by the Copernicus Climate Change Service (C3S) at ECMWF, is available on the Climate Data Store (CDS). The solar index and all solar forcing data used in this study (Matthes et al., 2017) can be downloaded from <https://solarisheppa.geomar.de/cmip6>. Codes to reproduce the analysis and figures are archived at Zenodo (doi: 10.5281/zenodo.14492405) and are available from the corresponding author upon reasonable request.

*Author contributions.* WH did the analysis and wrote the paper with input from all co-authors. TS performed the model simulations with EMAC. SW performed the FOCI simulation. HP and JK performed the simulations based on MPI-ESM-HR. KM and UL initiated the study and assisted with the interpretation of the results. All authors commented on the manuscript

*Competing interests.* The corresponding author has declared that none of the authors has any competing interests.

415 *Disclaimer.* TEXT

*Acknowledgements.* We would like to thank all the scientists, software engineers, and administrators who contributed to the development of the climate models used in this study (i.e. FOCI, EMAC, and MPI-ESM-HR). We are grateful for the computing support and resources provided by the Deutsche Klimarechenzentrum (DKRZ) in Hamburg, Germany, as well as the computing time granted by the Resource Allocation Board and provided on the supercomputer Lise and Emmy at NHR@ZIB and NHR@Göttingen as part of the NHR infrastructure.

420 This research has been funded by the Federal Ministry of Education and Research in Germany (ROMIC II-SOLCHECK project (grant no. 01LG1906A, 01LG1906B, 01LG1906C)).

## References

- Andrews, M. B., Knight, J. R., and Gray, L. J.: A simulated lagged response of the North Atlantic Oscillation to the solar cycle over the period 1960–2009, *Environmental Research Letters*, 10, <https://doi.org/https://doi.org/10.1088/1748-9326/10/5/054022>, 2015.
- 425 Butler, A. H., Perez, A. C., Domeisen, D. I. V., Simpson, I. R., and Sjoberg, J.: Predictability of Northern Hemisphere final stratospheric warmings and their surface impacts, *Geophysical Research Letters*, 43, 10 578–10 588, <https://doi.org/https://doi.org/10.1029/2019GL083346>, 2019.
- Chiodo, G., Marsh, D. R., Garcia-Herrera, R., Calvo, N., and García, J. A.: On the detection of the solar signal in the tropical stratosphere, *Atmospheric Chemistry and Physics*, 14, 5251–5269, <https://doi.org/10.5194/acp-14-5251-2014>, 2014.
- 430 Chiodo, G., Oehrlein, J., Polvani, L. M., Fyfe, J. C., and Smith, A. K.: Insignificant influence of the 11-year solar cycle on the North Atlantic Oscillation, *Nature Geosci*, 12, 94–99, <https://doi.org/https://doi.org/10.1038/s41561-018-0293-3>, 2019.
- Diaconis, P. and Efron, B.: Computer-Intensive Methods in Statistics, *Scientific American*, 248, 116–131, 1983.
- Dietmüller, S., Jöckel, P., Tost, H., Kunze, M., Gellhorn, C., Brinkop, S., and Hendricks, J.: A new radiation infrastructure for the Modular Earth Submodel System (MESSy, based on version 2.51), *Geoscientific Model Development*, 9, 2209–2222, <https://doi.org/10.5194/gmd-9-2209-2016>, 2016.
- 435 Domeisen, D. I. V., Butler, A. H., Charlton-Perez, A. J., Ayarzaguena, B., Baldwin, M. P., Dunn-Sigouin, E., Furtado, J. C., Garfinkel, C. I., Hitchcock, P., Karpechko, A. Y., Kim, H., Knight, J., Lang, A. L., Lim, E.-P., Marshall, A., Roff, G., Schwartz, C., Simpson, I. R., a. S. S.-W., and Taguchi, M.: The role of stratosphere-troposphere coupling in sub-seasonal to seasonal prediction. 1. Predictability in the Stratosphere, *J. Geophys. Res.*, 125, <https://doi.org/https://doi.org/10.1029/2019JD030923>, 2020a.
- 440 Domeisen, D. I. V., Butler, A. H., Charlton-Perez, A. J., Ayarzaguena, B., Baldwin, M. P., Dunn-Sigouin, E., Furtado, J. C., Garfinkel, C. I., Hitchcock, P., Karpechko, A. Y., Kim, H., Knight, J., Lang, A. L., Lim, E.-P., Marshall, A., Raoff, G., Schwartz, C., Simpson, I. R., Son, S.-W., and Taguchi, M.: The role of stratosphere-troposphere coupling in sub-seasonal to seasonal prediction. 2. Predictability arising from stratosphere-troposphere coupling, *J. Geophys. Res.*, 125, <https://doi.org/https://doi.org/10.1029/2019JD030923>, 2020b.
- Drews, A., Huo, W., Matthes, K., Kodera, K., and Kruschke, T.: The Sun’s role in decadal climate predictability in the North Atlantic, *Atmospheric Chemistry and Physics*, 22, 7893–7904, <https://doi.org/10.5194/acp-22-7893-2022>, 2022.
- 445 Eyring, V., Bony, S., Meehl, G. A., Senior, C. A., Stevens, B., Stouffer, R. J., and Taylor, K. E.: Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organization, *Geoscientific Model Development*, 9, 1937–1958, <https://doi.org/10.5194/gmd-9-1937-2016>, 2016.
- Fichefet, T. and Maqueda, M. A. M.: Sensitivity of a global sea ice model to the treatment of ice thermodynamics and dynamics, *Journal of Geophysical Research: Oceans*, 102, 12 609–12 646, <https://doi.org/10.1029/97JC00480>, 1997.
- 450 Funke, B., López-Puertas, M., Stiller, G. P., Versick, S., and von Clarmann, T.: A semi-empirical model for mesospheric and stratospheric NO<sub>y</sub> produced by energetic particle precipitation, *Atmospheric Chemistry and Physics*, 16, 8667–8693, <https://doi.org/10.5194/acp-16-8667-2016>, 2016.
- Giorgetta, M. A. and Bengtsson, L.: Potential role of the quasi-biennial oscillation in the stratosphere-troposphere exchange as found in water vapor in general circulation model experiments, *Journal of Geophysical Research: Atmospheres*, 104, 6003–6019, <https://doi.org/10.1029/1998JD200120>, 1999.
- 455

- Gray, L. J., Beer, J., Geller, M., Haigh, J. D., Lockwood, M., Matthes, K., Cubasch, U., Fleitmann, D., Harrison, G., Hood, L., Luterbacher, J., Meehl, G. A., Shindell, D., van Geel, B., and White, W.: SOLAR INFLUENCES ON CLIMATE, *Reviews of Geophysics*, 48, RG4001, <https://doi.org/10.1029/2009RG000282>, 2010.
- 460 Gray, L. J., Woollings, T. J., Andrews, M., and Knight, J.: Eleven-year solar cycle signal in the NAO and Atlantic/European blocking, *Quarterly Journal of the Royal Meteorological Society*, 142, 1890–1903, <https://doi.org/https://doi.org/10.1002/qj.2782>, 2016.
- Gueymard, C. A.: The sun’s total and spectral irradiance for solar energy applications and solar radiation models, *Solar Energy*, 76, 423–453, <https://doi.org/https://doi.org/10.1016/j.solener.2003.08.039>, 2004.
- Guttu, S., Orsolini, Y., Stordal, F., Otterå, O. H., and Omrani, N.-E.: The 11 year solar cycle UV irradiance effect and its dependency on the Pacific Decadal Oscillation, *Environmental Research Letters*, 16, 064 030, <https://doi.org/10.1088/1748-9326/abfe8b>, 2021.
- 465 Hardiman, S. C., Butchart, N., Charlton-Perez, A. J., Shaw, T. A., Akiyoshi, H., Baumgaertner, A., Bekki, S., Braesicke, P., Chipperfield, M., Dameris, M., Garcia, R. R., Michou, M., Pawson, S., Rozanov, E., and Shibata, K.: Improved predictability of the troposphere using stratospheric final warmings, *Journal of Geophysical Research: Atmospheres*, 116, <https://doi.org/https://doi.org/10.1029/2011JD015914>, 2011.
- 470 Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., Nicolas, J., Peubey, C., Radu, R., Schepers, D., Simons, A., Soci, C., Abdalla, S., Abellan, X., Balsamo, G., Bechtold, P., Biavati, G., Bidlot, J., Bonavita, M., De Chiara, G., Dahlgren, P., Dee, D., Diamantakis, M., Dragani, R., Flemming, J., Forbes, R., Fuentes, M., Geer, A., Haimberger, L., Healy, S., Hogan, R. J., Hólm, E., Janisková, M., Keeley, S., Laloyaux, P., Lopez, P., Lupu, C., Radnoti, G., de Rosnay, P., Rozum, I., Vamborg, F., Villaume, S., and Thépaut, J.-N.: The ERA5 global reanalysis, *Quarterly Journal of the Royal Meteorological Society*, 146, 1999–2049, <https://doi.org/https://doi.org/10.1002/qj.3803>, 2020.
- 475 Hood, L., Misios, S., Mitchell, D., Rozanov, E., Gray, L., Tourpali, K., Matthes, K., Schmidt, H., Chiodo, G., Thiéblemont, R., Shindell, D., and Krivolutsky, A.: Solar signals in CMIP-5 simulations: the ozone response, *Q.J.R. Meteorol. Soc.*, 141, 2670–2689, <https://doi.org/https://doi.org/10.1002/qj.2553>, 2015.
- Hood, L. L. and Soukharev, B. E.: Solar induced variations of odd nitrogen: Multiple regression analysis of UARS HALOE data, *Geophys. Res. Lett.*, 33, <https://doi.org/10.1029/2006GL028122>, 2006.
- 480 Huo, W., Xiao, Z., and Zhao, L.: Phase-Locked Impact of the 11-Year Solar Cycle on Tropical Pacific Decadal Variability, *Journal of Climate*, 36, 421–439, <https://doi.org/https://doi.org/10.1175/JCLI-D-21-0595.1>, 2023.
- Ilyina, T., Six, K. D., Segschneider, J., Maier-Reimer, E., Li, H., and Núñez-Riboni, I.: Global ocean biogeochemistry model HAMOCC: Model architecture and performance as component of the MPI-Earth system model in different CMIP5 experimental realizations, *J. Adv. Model. Earth Syst.*, 5, 287–315, <https://doi.org/https://doi.org/10.1029/2012MS000178>, 2013.
- 485 Jia, L., Yang, X., Vecchi, G., Gudgel, R., Delworth, T., Fueglistaler, S., Lin, P., Scaife, A. A., Underwood, S., and Lin, S.-J.: Seasonal Prediction Skill of Northern Extratropical Surface Temperature Driven by the Stratosphere, *Journal of Climate*, 30, 463 – 4475, <https://doi.org/https://doi.org/10.1175/JCLI-D-16-0475.1>, 2017.
- Jöckel, P., Kerkweg, A., Pozzer, A., Sander, R., Tost, H., Riede, H., Baumgaertner, A., Gromov, S., and Kern, B.: Development cycle 2 of the modular earth submodel system (MESSy2), *Geoscientific Model Development*, 3, 717–752, <https://doi.org/https://doi.org/10.5194/gmd-3-717-2010>, 2010.
- 490 Jöckel, P., Tost, H., Pozzer, A., Kunze, M., Kirner, O., Brenninkmeijer, C. A. M., Brinkop, S., Cai, D. S., Dyroff, C., Eckstein, J., Frank, F., Garny, H., Gottschaldt, K.-D., Graf, P., Grewe, V., Kerkweg, A., Kern, B., Matthes, S., Mertens, M., Meul, S., Neumaier, M., Nützel, M., Oberländer-Hayn, S., Ruhnke, R., Runde, T., Sander, R., Scharffe, D., and Zahn, A.: Earth System Chemistry integrated Modelling (ES-



- 495 CiMo) with the Modular Earth Submodel System (MESSy) version 2.51, *Geosci. Model Dev.*, 9, 1153–1200, <https://doi.org/10.5194/gmd-9-1153-2016>, 2016.
- Jungclaus, J. H., Keenlyside, N., Botzet, M., Haak, H., Luo, J.-J., Latif, M., Marotzke, J., Mikolajewicz, U., and Roeckner, E.: Ocean circulation and tropical variability in the coupled model ECHAM5/MPI-OM, *Journal of Climate*, 19, 3952–3972, <https://doi.org/10.1175/JCLI3815.1>, 2006.
- 500 Jungclaus, J. H., Fischer, N., Haak, H., Lohmann, K., Marotzke, J., Matei, D., Mikolajewicz, U., Notz, D., and Von Storch, J. S.: Characteristics of the ocean simulations in the Max Planck Institute Ocean Model (MPIOM) the ocean component of the MPI-Earth system model, *J. Adv. Model. Earth Syst.*, 5, 422–446, <https://doi.org/https://doi.org/10.1002/jame.20023>, 2013.
- Kinnison, D. E., Brasseur, G. P., Walters, S., Garcia, R. R., Marsh, D. R., Sassi, F., Harvey, V. L., Randall, C. E., Emmons, L., Lamarque, J.-F., et al.: Sensitivity of chemical tracers to meteorological parameters in the MOZART-3 chemical transport model, *Journal of Geophysical*
- 505 *Research: Atmospheres*, 112, <https://doi.org/10.1029/2006JD007879>, 2007.
- Kodera, K. and Kuroda, Y.: Dynamical response to the solar cycle, *J. Geophys. Res.*, 107, ACL 5–1–ACL 5–12, <https://doi.org/10.1029/2002JD002224>, 2002.
- Kodera, K. and Kuroda, Y.: A possible mechanism of solar modulation of the spatial structure of the North Atlantic Oscillation, *Journal of Geophysical Research: Atmospheres*, 110, <https://doi.org/https://doi.org/10.1029/2004JD005258>, 2005.
- 510 Kuchar, A., Ball, W. T., Rozanov, E. V., Stenke, A., Revell, L., Miksovsky, J., Pisoft, P., and Peter, T.: On the aliasing of the solar cycle in the lower stratospheric tropical temperature, *Journal of Geophysical Research: Atmospheres*, 122, 9076–9093, <https://doi.org/https://doi.org/10.1002/2017JD026948>, 2017.
- Kunze, M., Godolt, M., Langematz, U., Grenfell, J., Hamann-Reinus, A., and Rauer, H.: Investigating the early Earth faint young Sun problem with a general circulation model, *Planetary and Space Science*, 98, 77–92, <https://doi.org/https://doi.org/10.1016/j.pss.2013.09.011>, 2014.
- 515 Kunze, M., Kruschke, T., Langematz, U., Sinnhuber, M., Reddmann, T., and Matthes, K.: Quantifying uncertainties of climate signals in chemistry climate models related to the 11-year solar cycle – Part 1: Annual mean response in heating rates, temperature, and ozone, *Atmos. Chem. Phys.*, 20, 6991–7019, <https://doi.org/https://doi.org/10.5194/acp-20-6991-2020>, 2020.
- Kuroda, Y., Kodera, K., Yoshida, K., Yukimoto, S., and Gray, L.: Influence of the solar cycle on the North Atlantic Oscillation, *Journal of Geophysical Research: Atmospheres*, 127, <https://doi.org/doi.org/10.1029/2021JD035519>, 2022.
- 520 Kushnir, Y., Scaife, A. A., Arritt, R., Balsamo, G., Boer, G., Doblas-Reyes, F., Hawkins, E., Kimoto, M., Kolli, R. K., Kumar, A., Matei, D., Matthes, K., Müller, W. A., O’Kane, T., Perlwitz, J., Power, S., Raphael, M., Shimpo, A., Smith, D., Tuma, M., and Wu, B.: Towards operational predictions of the near-term climate, *Nature Clim Change*, 9, 94–101, <https://doi.org/https://doi.org/10.1038/s41558-018-0359-7>, 2019.
- Labitzke, K.: On the solar cycle–QBO relationship: a summary, *Journal of Atmospheric and Solar-Terrestrial Physics*, 67, 45–54, <https://doi.org/https://doi.org/10.1016/j.jastp.2004.07.016>, 2005.
- 525 Labitzke, K. and van Loon, H.: The QBO effect on the solar signal in the global stratosphere in the winter of the Northern Hemisphere, *Journal of Atmospheric and Solar-Terrestrial Physics*, 62, 621–628, [https://doi.org/https://doi.org/10.1016/S1364-6826\(00\)00047-X](https://doi.org/https://doi.org/10.1016/S1364-6826(00)00047-X), 2000.
- Lawrence, Z. D., Abalos, M., Ayarzagüena, B., Barriopedro, D., Butler, A. H., Calvo, N., de la Cámara, A., Charlton-Perez, A., Domeisen, D. I. V., Dunn-Sigouin, E., García-Serrano, J., Garfinkel, C. I., Hindley, N. P., Jia, L., Jucker, M., Karpechko, A. Y., Kim, H., Lang, A. L.,
- 530 Lee, S. H., Lin, P., Osman, M., Palmeiro, F. M., Perlwitz, J., Polichtchouk, I., Richter, J. H., Schwartz, C., Son, S.-W., Statnaia, I., Taguchi, M., Tyrrell, N. L., Wright, C. J., and Wu, R. W.-Y.: Quantifying stratospheric biases and identifying their potential sources in subseasonal forecast systems, *Weather Clim. Dynam.*, 3, 977–1001, <https://doi.org/https://doi.org/10.5194/wcd-3-977-2022>, 2022.

- Li, K.-F., Zhang, Q., Tung, K.-K., and Yung, Y. L.: Resolving a long-standing model-observation discrepancy on ozone solar cycle response, *Earth and Space Science*, 3, 431–440, <https://doi.org/10.1002/2016EA000199>, 2016.
- 535 Madec, G.: NEMO ocean engine, Note du Pôle modélisation, Inst, Pierre-Simon Laplace, p. 406, 2016.
- Matthes, K., D. R. M. R. R. G. D. E. K. F. S. and Walters, S.: Role of the QBO in modulating the influence of the 11 year solar cycle on the atmosphere using constant forcings, *J. Geophys. Res.*, 115, D18 110, <https://doi.org/10.1029/2009JD013020>, 2010.
- Matthes, K., Kuroda, Y., Kodera, K., and Langematz, U.: Transfer of the solar signal from the stratosphere to the troposphere: Northern winter, *J. Geophys. Res.*, 111, <https://doi.org/10.1029/2005JD006283>, 2006.
- 540 Matthes, K., Funke, B., Andersson, M. E., Barnard, L., Beer, J., Charbonneau, P., Clilverd, M. A., Dudok de Wit, T., Haberreiter, M., Hendry, A., Jackman, C. H., Kretzschmar, M., Kruschke, T., Kunze, M., Langematz, U., Marsh, D. R., Maycock, A. C., Misios, S., Rodger, C. J., Scaife, A. A., Seppälä, A., Shangguan, M., Sinnhuber, M., Tourpali, K., Usoskin, I., van de Kamp, M., Verronen, P. T., and Versick, S.: Solar forcing for CMIP6 (v3.2), *Geosci. Model Dev.*, 10, 2247–2302, <https://doi.org/https://doi.org/10.5194/gmd-10-2247-2017>, 2017.
- Matthes, K., Biastoch, A., Wahl, S., Harlaß, J., Martin, T., Brücher, T., Drews, A., Ehlert, D., Getzlaff, K., Krüger, F., et al.: The flexible ocean and climate infrastructure version 1 (FOCI1): Mean state and variability, *Geoscientific Model Development*, 13, 2533–2568, <https://doi.org/10.5194/gmd-13-2533-2020>, 2020.
- 545 Maycock, A. C., Matthes, K., Tegtmeier, S., Schmidt, H., Thiéblemont, R., Hood, L., Akiyoshi, H., Bekki, S., Deushi, M., Jöckel, P., Kirner, O., Kunze, M., Marchand, M., Marsh, D. R., Michou, M., Plummer, D., Revell, L. E., Rozanov, E., Stenke, A., Yamashita, Y., and Yoshida, K.: The representation of solar cycle signals in stratospheric ozone – Part 2: Analysis of global models, *Atmos. Chem. Phys.*, 18, 11 323–11 343, <https://doi.org/https://doi.org/10.5194/acp-18-11323-2018>, 2018.
- 550 Mitchell, D., Misios, S., Gray, L., Tourpali, K., Matthes, K., Hood, L., Schmidt, H., Chiodo, G., Thiéblemont, R., Rozanov, E., Shindell, D., and Krivolutsky, A.: Solar signals in CMIP-5 simulations: the stratospheric pathway, *Q.J.R. Meteorol. Soc.*, 141, 2390–2403, <https://doi.org/https://doi.org/10.1002/qj.2530>, 2015.
- Müller, W. A., Jungclaus, J. H., Mauritsen, T., Baehr, J., Bittner, M., Budich, R., Bunzel, F., Esch, M., Ghosh, R., Haak, H., Ilyina, T., Kleine, T., Kornbluh, L., Li, H., Modali, K., Notz, D., Pohlmann, H., Roeckner, E., Stemmler, I., Tian, F., and Marotzke, J.: A higher-resolution version of the max planck institute earth system model (MPI-ESM1. 2-HR), *J. Adv. Model. Earth Syst.*, 10, 1383–1413, <https://doi.org/https://doi.org/10.1029/2017MS001217>, 2018.
- 555 Nissen, K. M., Matthes, K., Langematz, U., and Mayer, B.: Towards a better representation of the solar cycle in general circulation models, *Atmospheric Chemistry and Physics*, 7, 5391–5400, <https://doi.org/10.5194/acp-7-5391-2007>, 2007.
- 560 Pohlmann, H.: SOLCHECK MPI-M MPI-ESM1-2-HR CMIP6 historical simulation without solar and ozone variability, [https://doi.org/10.26050/WDCC/SOLCHECK\\_MPI-ESM-HR\\_C6\\_hist](https://doi.org/10.26050/WDCC/SOLCHECK_MPI-ESM-HR_C6_hist), 2021.
- Pyper, B. J. and Peterman, R. M.: Comparison of methods to account for autocorrelation in correlation analyses of fish data, *Canadian Journal of Fisheries and Aquatic Sciences*, 55, 2127–2140, <https://doi.org/10.1139/f98-104>, 1998.
- 565 Reick, C. H., Raddatz, T., Brovkin, V., and Gayler, V.: The representation of natural and anthropogenic land cover change in MPI-ESM, *J. Adv. Model. Earth Syst.*, 5, 459–482, <https://doi.org/https://doi.org/10.1002/jame.20022>, 2013.
- Roeckner, E., Brokopf, R., Esch, M., Giorgetta, M. A., Hagemann, S., Kornbluh, L., Manzini, E., Schlese, U., and Schulzweida, U.: Sensitivity of simulated climate to horizontal and vertical resolution in the ECHAM5 atmosphere model, *Journal of Climate*, 19, 3771–3791, <https://doi.org/10.1175/JCLI3824.1>, 2006.

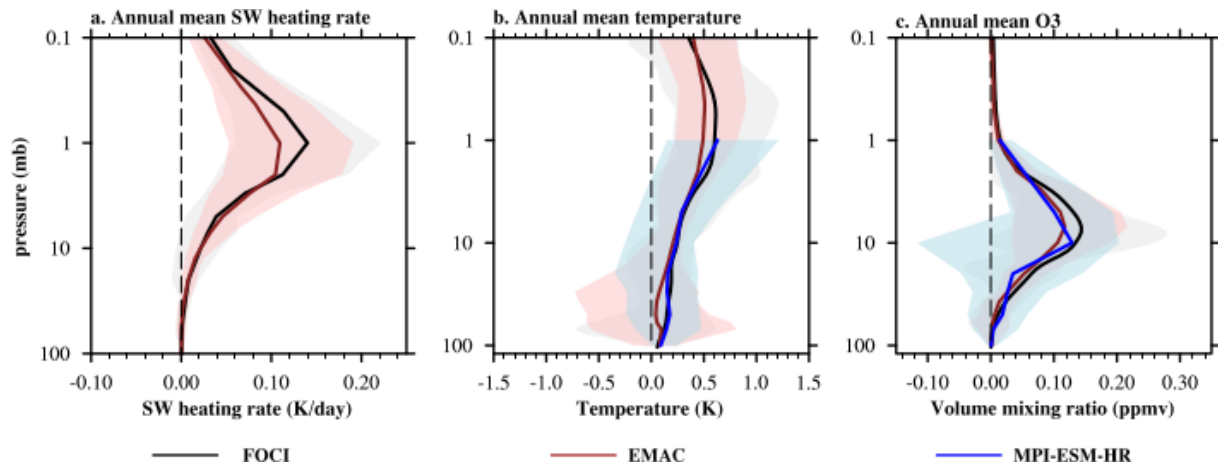
- Sander, R., Baumgaertner, A., Gromov, S., Harder, H., Jöckel, P., Kerkweg, A., Kubistin, D., Regelin, E., Riede, H., Sandu, A., et al.: The atmospheric chemistry box model CAABA/MECCA-3.0, *Geoscientific Model Development*, 4, 373–380, <https://doi.org/10.5194/gmd-4-373-2011>, 2011.
- Sander, R., Jöckel, P., Kirner, O., Kunert, A. T., Landgraf, J., and Pozzer, A.: The photolysis module JVAL-14, compatible with the MESSy standard, and the JVal PreProcessor (JVPP), *Geoscientific Model Development*, 7, 2653–2662, <https://doi.org/10.5194/gmd-7-2653-2014>, 2014.
- Scaife, A. A., Ineson, S., Knight, J. R., Gray, L., Kodera, K., and Smith, D. M.: A mechanism for lagged North Atlantic climate response to solar variability, *Geophysical Research Letters*, 40, 434–439, <https://doi.org/https://doi.org/10.1002/grl.50099>, 2013.
- Scaife, A. A., Karpechko, A. Y., Baldwin, M. P., Brookshaw, A., Butler, A. H., Eade, R., Gordon, M., MacLachlan, C., Martin, N., Dunstone, N., and Smith, D.: Seasonal winter forecasts and the stratosphere, *Atmospheric Science Letters*, 17, 51–56, <https://doi.org/https://doi.org/10.1002/asl.598>, 2016.
- Scaife, A. A., Baldwin, M. P., Butler, A. H., Charlton-Perez, A. J., Domeisen, D. I. V., Garfinkel, C. I., Hardiman, S. C., Haynes, P., Karpechko, A. Y., Lim, E.-P., Noguchi, S., Perlwitz, J., Polvani, L., Richter, J. H., Scinocca, J., Sigmond, M., Shepherd, T. G., Son, S.-W., and Thompson, D. W. J.: Long-range prediction and the stratosphere, *Atmos. Chem. Phys.*, 22, 2601–2623, <https://doi.org/https://doi.org/10.5194/acp-22-2601-2022>, 2022.
- Schultz, M. G., Stadtler, S., Schröder, S., Taraborrelli, D., Franco, B., Krefting, J., Henrot, A., Ferrachat, S., Lohmann, U., Neubauer, D., et al.: The chemistry–climate model ECHAM6.3-HAM2.3-MOZ1.0, *Geoscientific Model Development*, 11, 1695–1723, <https://doi.org/10.5194/gmd-11-1695-2018>, 2018.
- Soukharev, B. E. and Hood, L. L.: Solar cycle variation of stratospheric ozone: Multiple regression analysis of long-term satellite data sets and comparisons with models, *J. Geophys. Res.*, 111, <https://doi.org/10.1029/2006JD007107>, 2006.
- Spiegel, T. C., Langematz, U., Pohlmann, H., and Kröger, J.: A critical evaluation of decadal solar cycle imprints in the MiKlip historical ensemble simulations, *Weather and Climate Dynamics*, 4, 789–807, <https://doi.org/https://doi.org/10.5194/wcd-4-789-2023>, 2023.
- Stevens, B., Giorgetta, M., Esch, M., Mauritsen, T., Crueger, T., Rast, S., Salzmann, M., Schmidt, H., Bader, J., Block, K., Brokopf, R., Fast, I., Kinne, S., Kornbluh, L., Lohmann, U., Pincus, R., Reichler, T., and Roeckner, E.: Atmospheric component of the MPI-M Earth System Model: ECHAM6, *J. Adv. Model. Earth Syst.*, 5, 146–172, <https://doi.org/https://doi.org/10.1002/jame.20015>, 2013.
- Sukhodolov, T., Rozanov, E., Shapiro, A. I., Anet, J., Cagnazzo, C., Peter, T., and Schmutz, W.: Evaluation of the ECHAM family radiation codes performance in the representation of the solar signal, *Geoscientific Model Development*, 7, 2859–2866, <https://doi.org/10.5194/gmd-7-2859-2014>, 2014.
- Swartz, W. H., Stolarski, R. S., Oman, L. D., Fleming, E. L., and Jackman, C. H.: Middle atmosphere response to different descriptions of the 11-yr solar cycle in spectral irradiance in a chemistry-climate model, *Atmos. Chem. Phys.*, 12, 5937–5948, <https://doi.org/https://doi.org/10.5194/acp-12-5937-2012>, 2012.
- Thejll, P., Christiansen, B., and Gleisner, H.: On correlations between the North Atlantic Oscillation, geopotential heights, and geomagnetic activity, *Geophysical Research Letters*, 30, <https://doi.org/https://doi.org/10.1029/2002GL016598>, 2003.
- Thiéblemont, R., Matthes, K., Omrani, N.-E., Kodera, K., and Hansen, F.: Solar forcing synchronizes decadal North Atlantic climate variability, *Nature Communications*, 6, <https://doi.org/https://doi.org/10.1038/ncomms9268>, 2015.
- Tripathi, O. P., Charlton-Perez, A., Sigmond, M., and Vitart, F.: Enhanced long-range forecast skill in boreal winter following stratospheric strong vortex conditions, 10, 104007, <https://doi.org/10.1088/1748-9326/10/10/104007>, 2015.

- Wahl, S., Huo, W., Spiegl, T., Schmidt, F., and Langematz, U.: ROMIC-II-SOLCHECK joint database part I - CMIP6-like ensemble experiments with various solar forcings., [https://www.wdc-climate.de/ui/entry?acronym=DKRZ\\_LTA\\_519\\_ds00047](https://www.wdc-climate.de/ui/entry?acronym=DKRZ_LTA_519_ds00047), 2023.
- Wang, S., Li, K., Zhu, D., Sander, S. P., Yung, Y. L., Pazmino, A., and Querel, R.: Solar 11-Year Cycle Signal in Stratospheric Nitrogen Dioxide—Similarities and Discrepancies Between Model and NDACC Observations, *Sol Phys*, 295, <https://doi.org/https://doi.org/10.1007/s11207-020-01685-1>, 2020.
- 610
- Ward, W., Seppälä, A., Yiğit, E., Nakamura, T., Stolle, C., Laštovička, J., Woods, T. N., Tomikawa, Y., Lübken, F.-J., Solomon, S. C., Marsh, D. R., Funke, B., and Pallamraju, D.: Role Of the Sun and the Middle atmosphere/thermosphere/ionosphere In Climate (ROSMIC): a retrospective and prospective view, *Prog Earth Planet Sci*, 8, <https://doi.org/https://doi.org/10.1186/s40645-021-00433-8>, 2021.

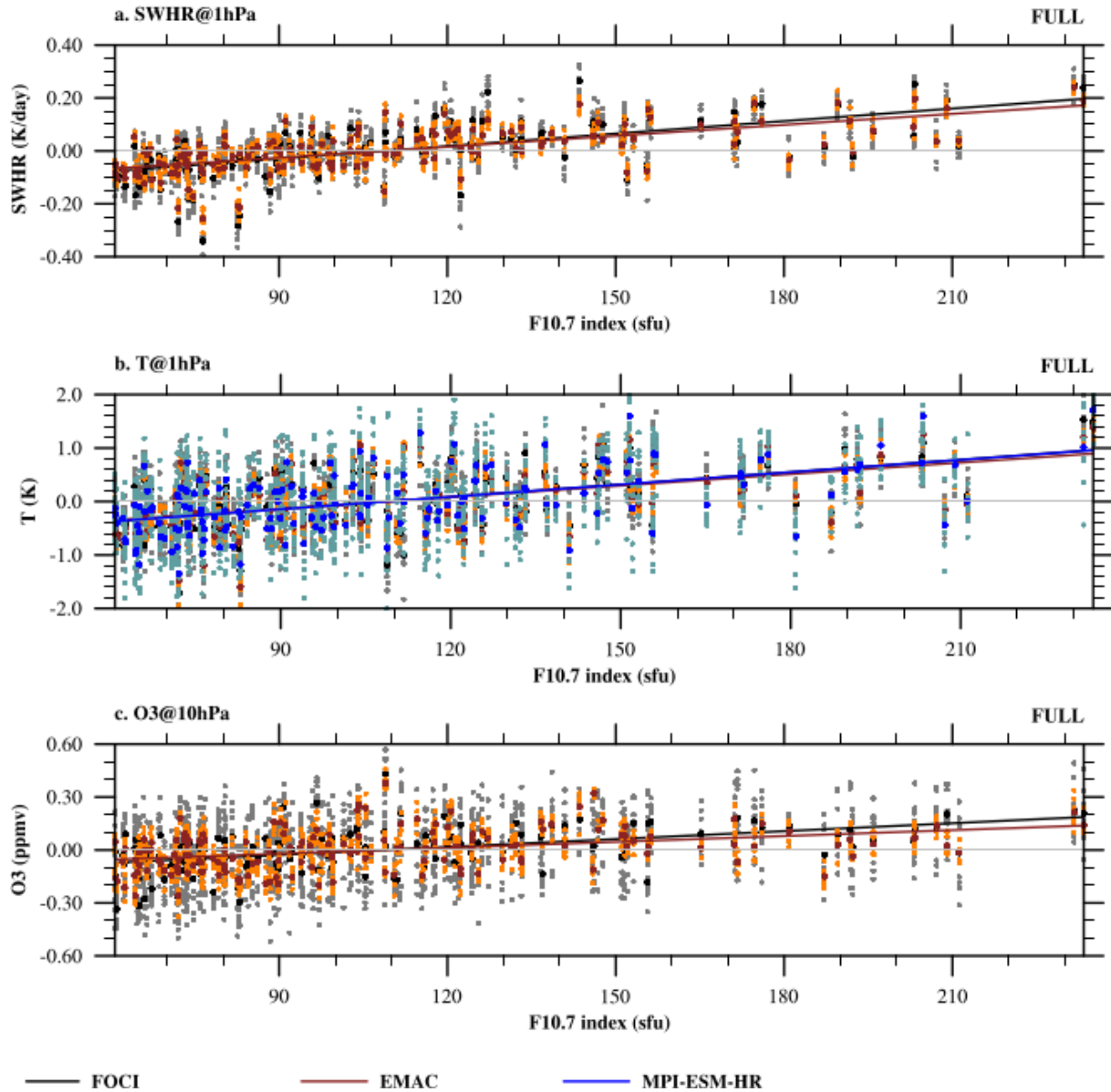
**Table 1.** Meridional temperature gradients  $\Delta T$  (units: K) in climate models and the ERA5 for a common period of 1950 – 2014.

<b>December <math>\Delta T</math></b>	<b>FOCI</b>	<b>EMAC</b>	<b>MPI-ESM-HR</b>	<b>ERA5</b>
$\Delta T$ at 1 hPa	14.5	11.4	7.8	19.1
$\Delta T$ at 10 hPa	24.4	21.6	15.4	23.6

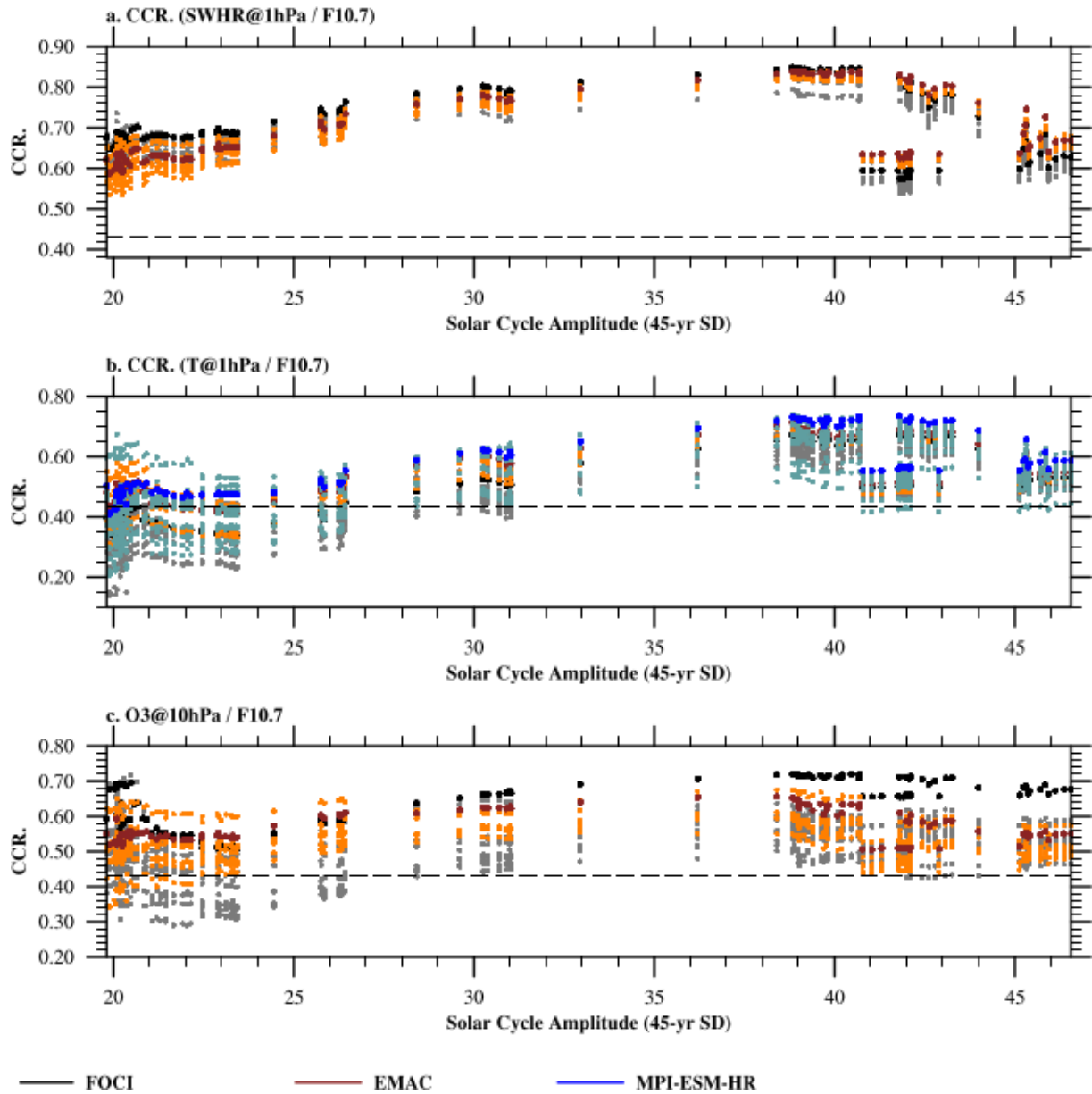
$\Delta T$  is calculated by the mean value of the zonal mean temperature in the tropics (25°S – 25°N) minus the mean value in the pole zone (65°N – 90°N).



**Figure 1.** Composite differences between solar maxima and minima of the tropical (averaged over  $25^{\circ}\text{S} - 25^{\circ}\text{N}$ ) annual (a) short wave heating rate anomalies, (b) temperature anomalies, and (c) O3 volume mixing ratio anomalies in the *FULL* ensemble mean with respect to the *FIX* ensemble mean. Here black lines indicate the ensemble mean temperature anomalies of FOCI, brown lines are EMAC and blue lines are MPI-ESM-HR. The light-gray shadow regions indicate the response spread over the period for FOCI. Light-pink and light-blue shadow regions are for EMAC and MPI-ESM-HR respectively.

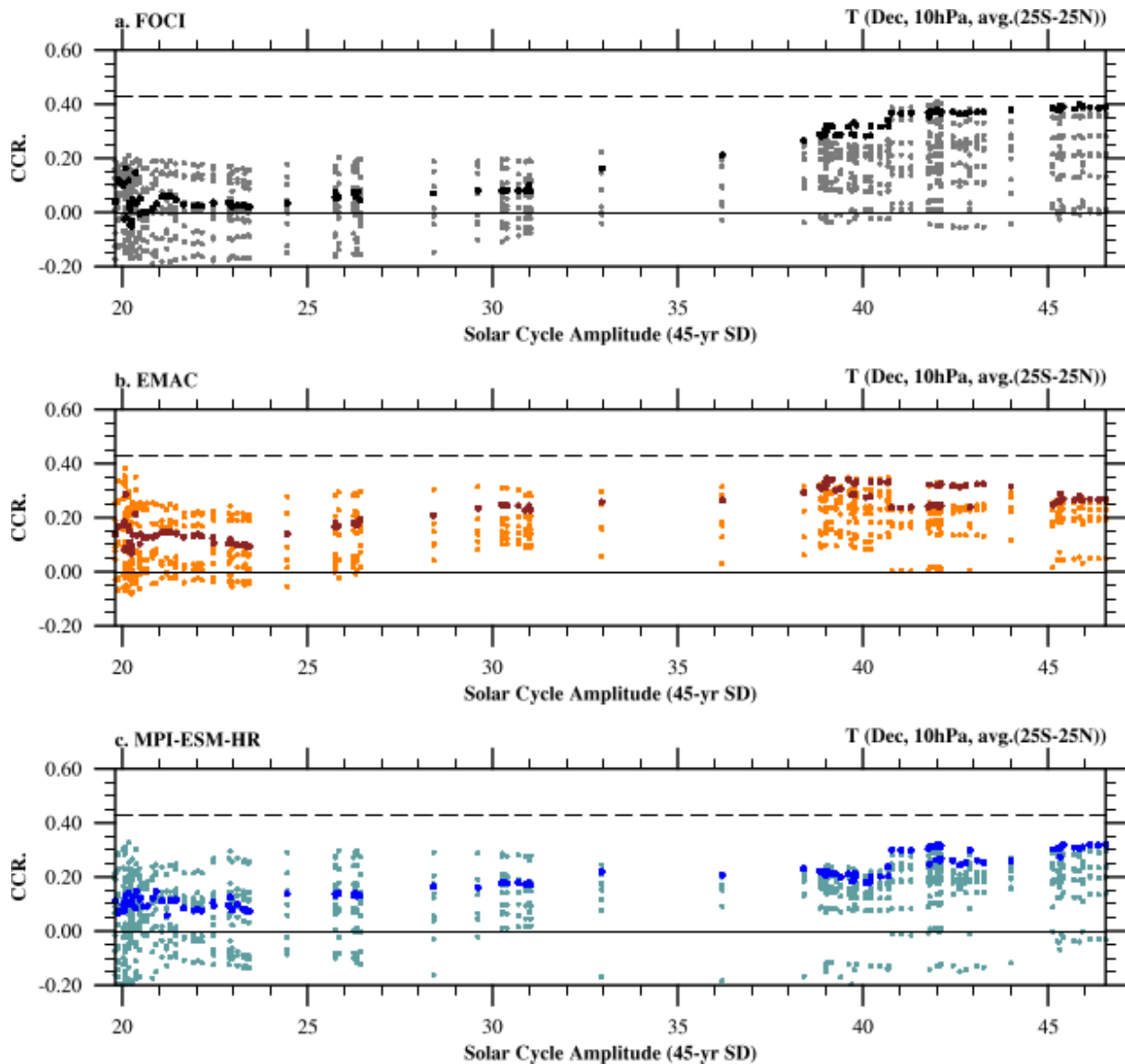


**Figure 2. Direct solar signatures in the upper stratosphere.** (a) Scatter diagram of the annual-mean shortwave heating rate (SWHR) anomalies (units:  $\text{Kday}^{-1}$ ) at the tropical stratopause (averaged over  $25^{\circ}\text{S} - 25^{\circ}\text{N}$  at 1 hPa) vs. the annual F10.7 index from the *FULL* experiment with FOCI (black: ensemble mean; Light-gray: individual members) and EMAC (brown: ensemble mean; Light-brown: individual members). (b) as (a), but for the annual temperature at 1 hPa (K) from FOCI, EMAC, and MPI-ESM-HR (blue: ensemble mean; Light-blue: individual members). (c) as (a) but for the annual ozone volume mixing ratio (ppmv) at 10 hPa from FOCI and EMAC.

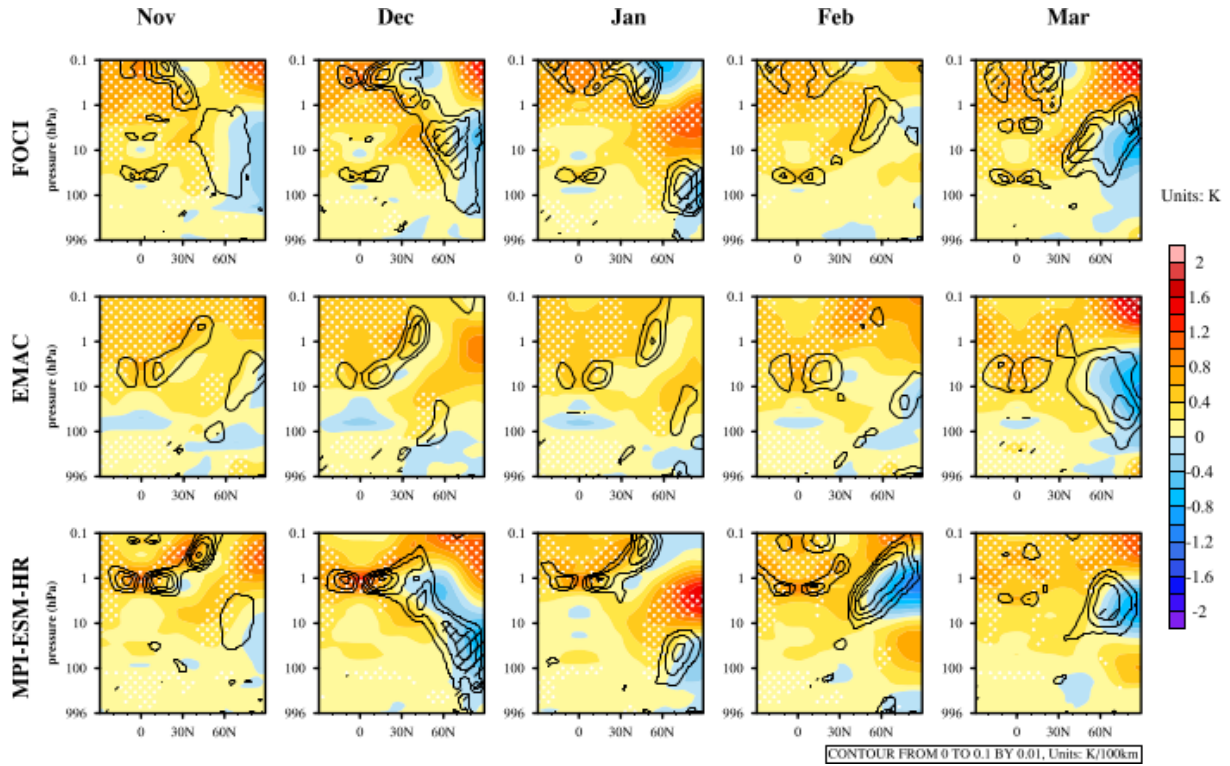


**Figure 3. Initial 11-year solar cycle signals in the upper and middle stratosphere vs. the solar cycle amplitude.** (a) Scatter plot of correlation coefficients between the annual shortwave heating rate anomalies in the tropical stratopause (averaged over 25°S – 25°N at 1 hPa) and the annual F10.7 index in all 45-year running windows vs. the solar cycle amplitude (standard deviations of the annual F10.7 index in all 45-year windows) for FOCI (ensemble mean: black; Light-gray: individual members) and EMAC (brown: ensemble mean; Light-brown: individual members) in the *FULL* experiment. The black dashed line indicates the 95% significance level. (b) is the same as (a), but for the temperature anomalies at 1 hPa from FOCI, EMAC, and MPI-ESM-HR (blue: ensemble mean; Light-blue: individual members). (c) is the same as (a), but for the O3 volume mixing ratio anomalies at 10 hPa from FOCI and EMAC.

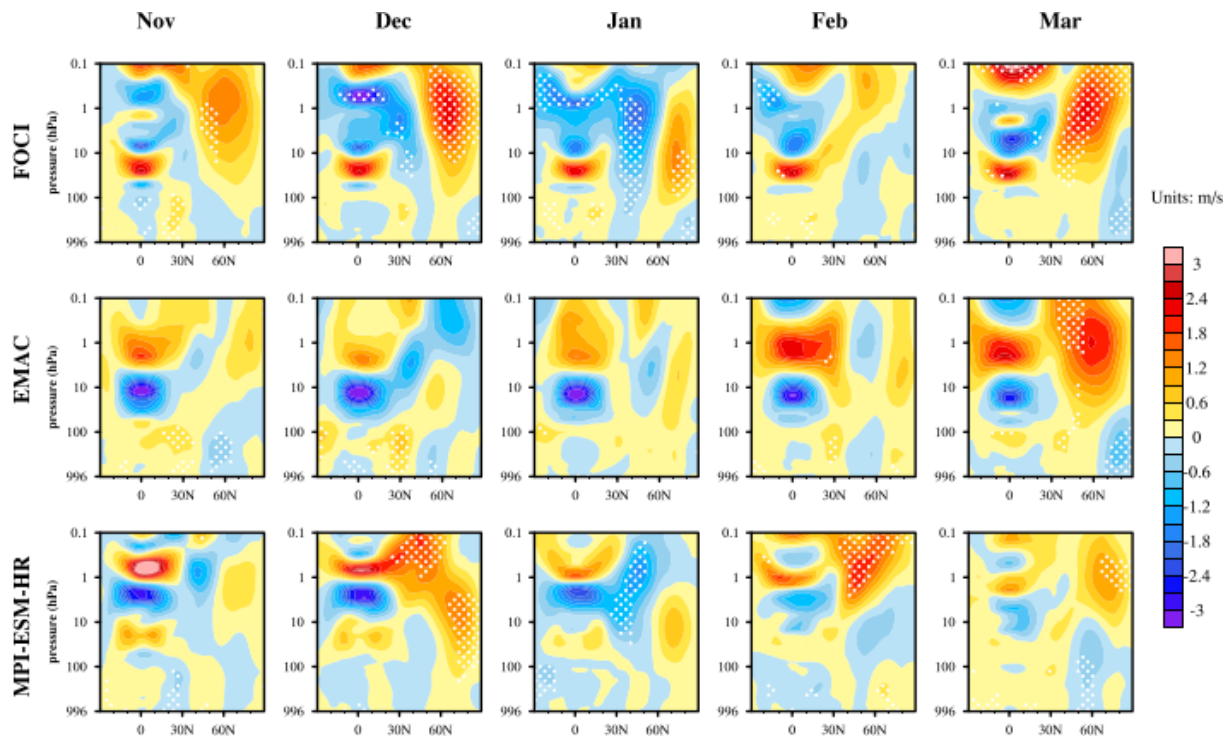




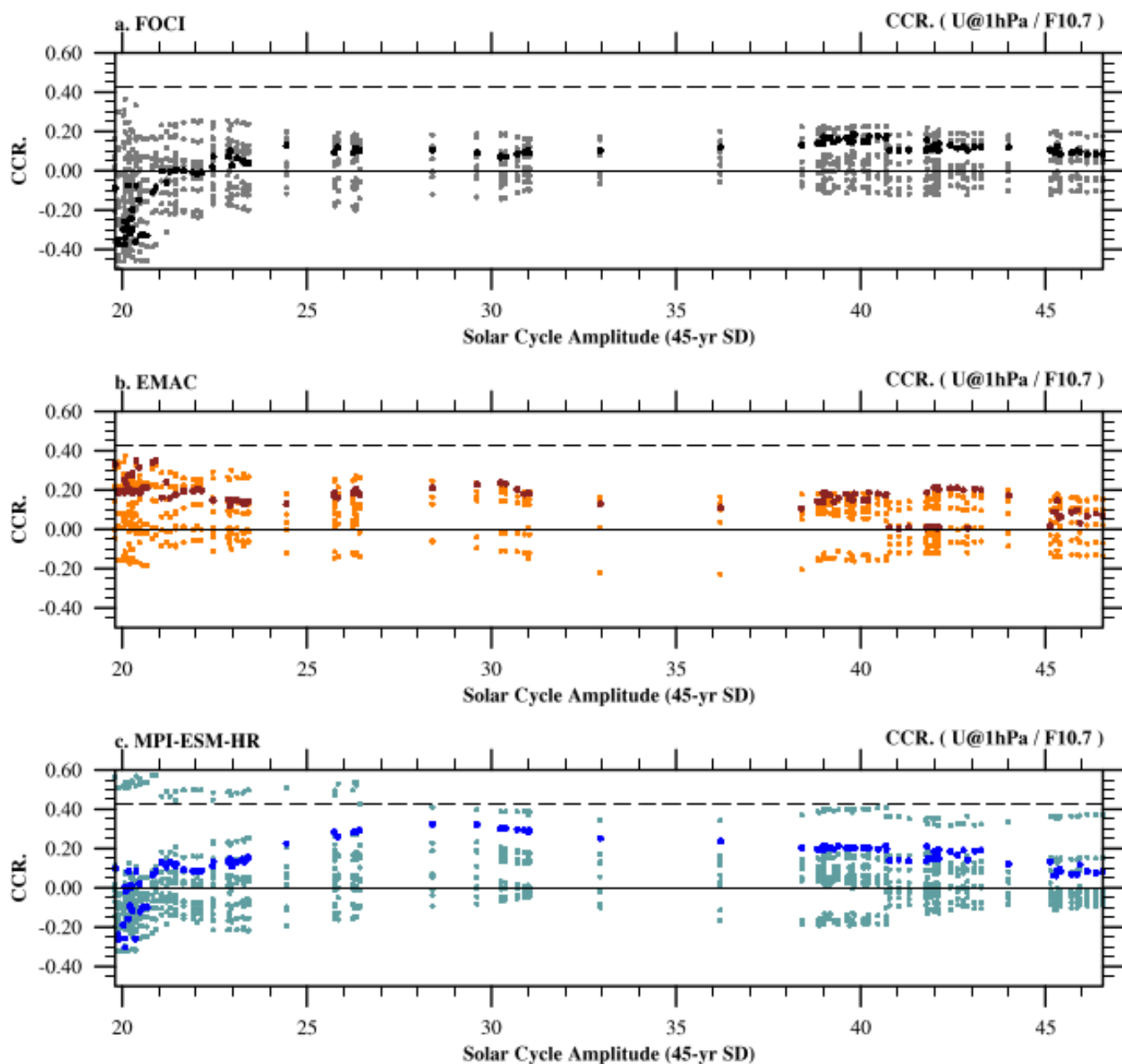
**Figure 4. 11-year solar cycle signals in the middle stratospheric temperature anomalies vs. the solar cycle amplitude.** Scatter plots of correlation coefficients between the temperature anomalies in December averaged over  $25^{\circ}\text{S} - 25^{\circ}\text{N}$  at 10 hPa and the DJF-mean F10.7 index in all 45-year running windows vs. the solar cycle amplitude for (a) FOCI (black: ensemble mean; Light-gray: individual members), (b) EMAC (brown: ensemble mean; Light-brown: individual members), and (c) MPI-ESM-HR (blue: ensemble mean; Light-blue: individual members) in the *FULL* experiment. The black dashed line indicates the 95% significance level.



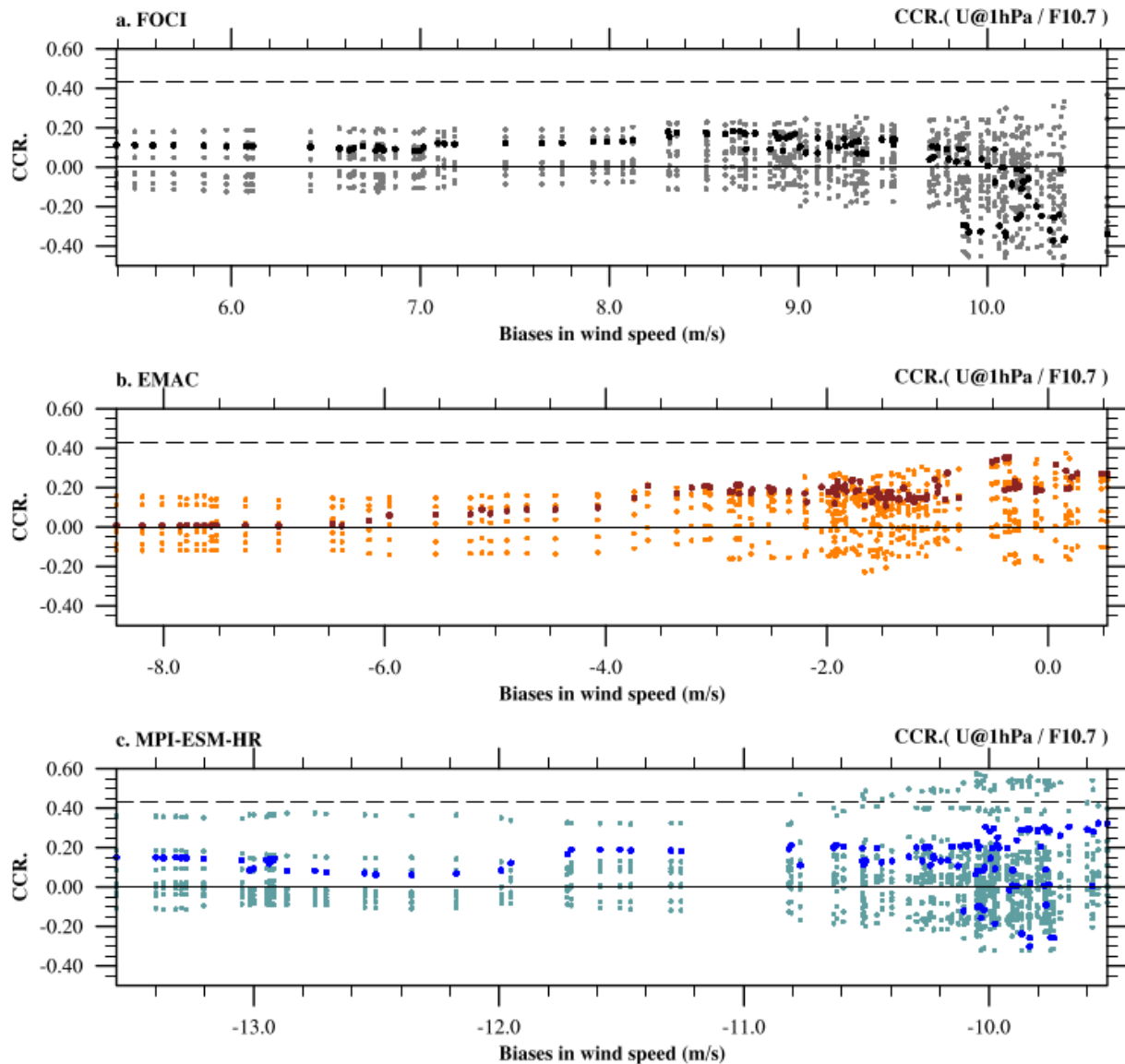
**Figure 5. Zonal-mean temperature anomalies response to the 11-year solar cycle forcing during the extended winter season (from November to March).** Composite differences between solar maximum and minimum of the ensemble mean zonal-mean temperature anomalies (Units: K, color shading contours) and the poleward meridional temperature gradients (Units:  $\text{K}(100\text{km})^{-1}$ , contours) from the *FULL* experiment with FOCI (top panels), EMAC (middle panels), and MPI-ESM-HR (bottom panels). Latitude-height cross sections are from  $30^{\circ}\text{S}$  to  $90^{\circ}\text{N}$  and 996 hPa to 0.1 hPa. Only the positive meridional temperature gradient anomalies (poleward) are shown here. The 90% significance level for the composite of temperature (meridional gradients) anomalies is indicated by white dots (black hatching) based on a 1000-fold bootstrapping test.



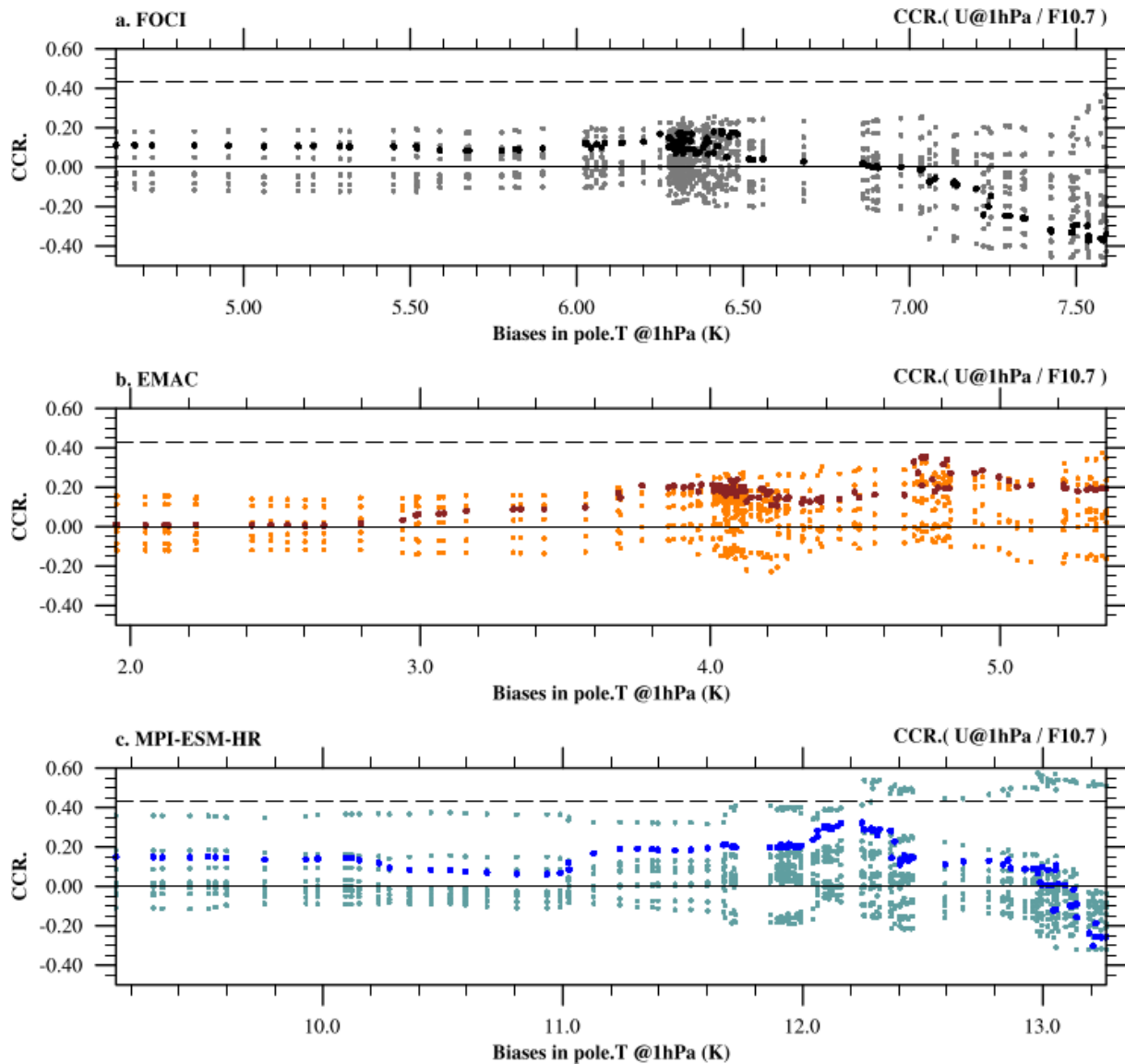
**Figure 6. Zonal-mean zonal wind anomalies response to the 11-year solar cycle forcing during the extended winter season (from November to March).** The same as Figure 5, but for the ensemble mean zonal-mean zonal wind anomalies (units:  $\text{ms}^{-1}$ ) in the *FULL* experiment for FOCI (top), EMAC (middle), and MPI-ESM-HR (bottom).



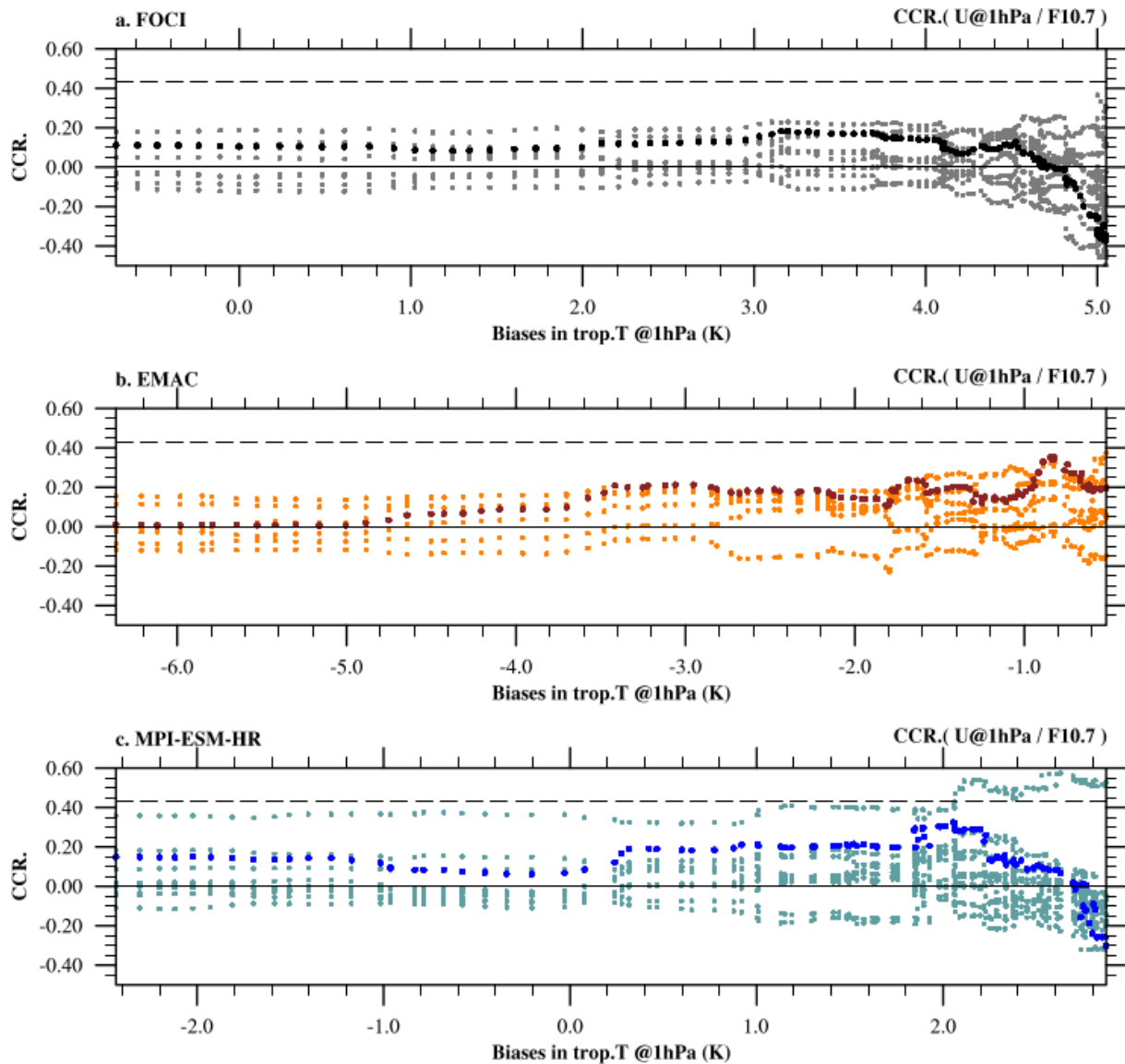
**Figure 7. Upper stratospheric polar night jet anomalies response to the solar forcing vs. the solar cycle amplitude** Scatter plots of correlation coefficients between the December zonal-mean zonal wind anomalies averaged over  $60^{\circ}\text{N} - 65^{\circ}\text{N}$  at 1 hPa and the DJF-mean F10.7 index in all 45-year running windows vs. the solar cycle amplitude for (a) FOCI (black: ensemble mean; Light-gray: individual members), (b) EMAC (brown: ensemble mean; Light-brown: individual members), and (c) MPI-ESM-HR (blue: ensemble mean; Light-blue: individual members). The black dashed line indicates the 95% significance level.



**Figure 8. The upper stratospheric polar night jet responses to the solar forcing vs. biases of the mean flow strength.** Scatter plots of correlation coefficients between the December zonal-mean zonal wind anomalies averaged over  $60^{\circ}\text{N} - 65^{\circ}\text{N}$  at 1 hPa and the DJF-mean F10.7 index vs. the biases of wind speed averaged over  $60^{\circ}\text{N} - 65^{\circ}\text{N}$  at 1 hPa in all 45-year windows for (a) FOCI (black: ensemble mean; Light-gray: individual members), (b) EMAC (brown: ensemble mean; Light-brown: individual members), and (c) MPI-ESM-HR (blue: ensemble mean; Light-blue: individual members). The black dashed line indicates the 95% significance level.



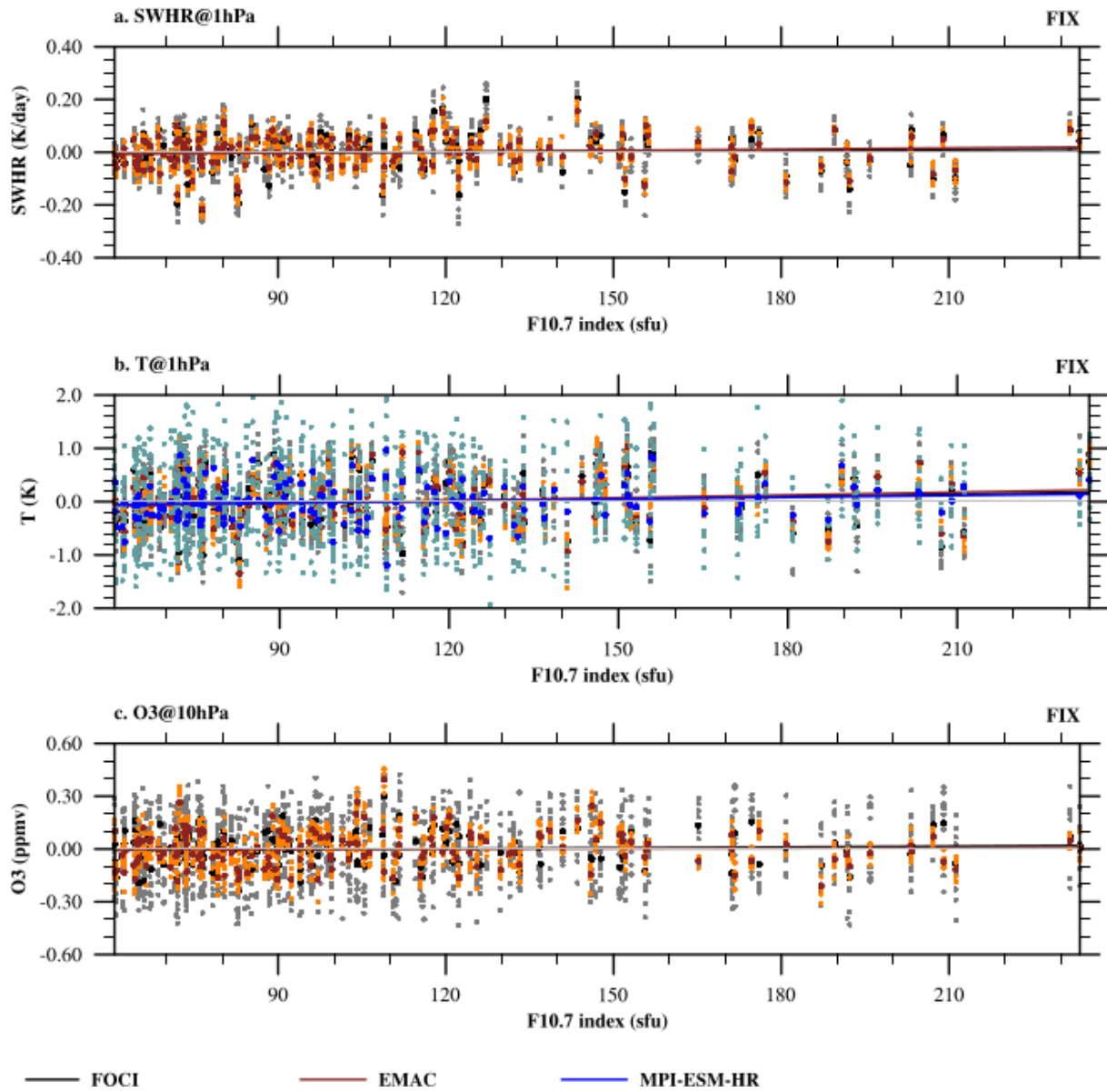
**Figure 9. The upper stratospheric polar night jet responses to solar forcing vs. biases of the polar stratopause temperature.** Scatter plots of correlation coefficients between the December zonal-mean zonal wind anomalies averaged over  $60^{\circ}\text{N} - 65^{\circ}\text{N}$  at 1 hPa and the F10.7 index vs. biases of the temperature averaged over  $65^{\circ}\text{N} - 90^{\circ}\text{N}$  at 1 hPa in all the 45-year windows for (a) FOCI (black: ensemble mean; Light-gray: individual members), (b) EMAC (brown: ensemble mean; Light-brown: individual members), and (c) MPI-ESM-HR (blue: ensemble mean; Light-blue: individual members).



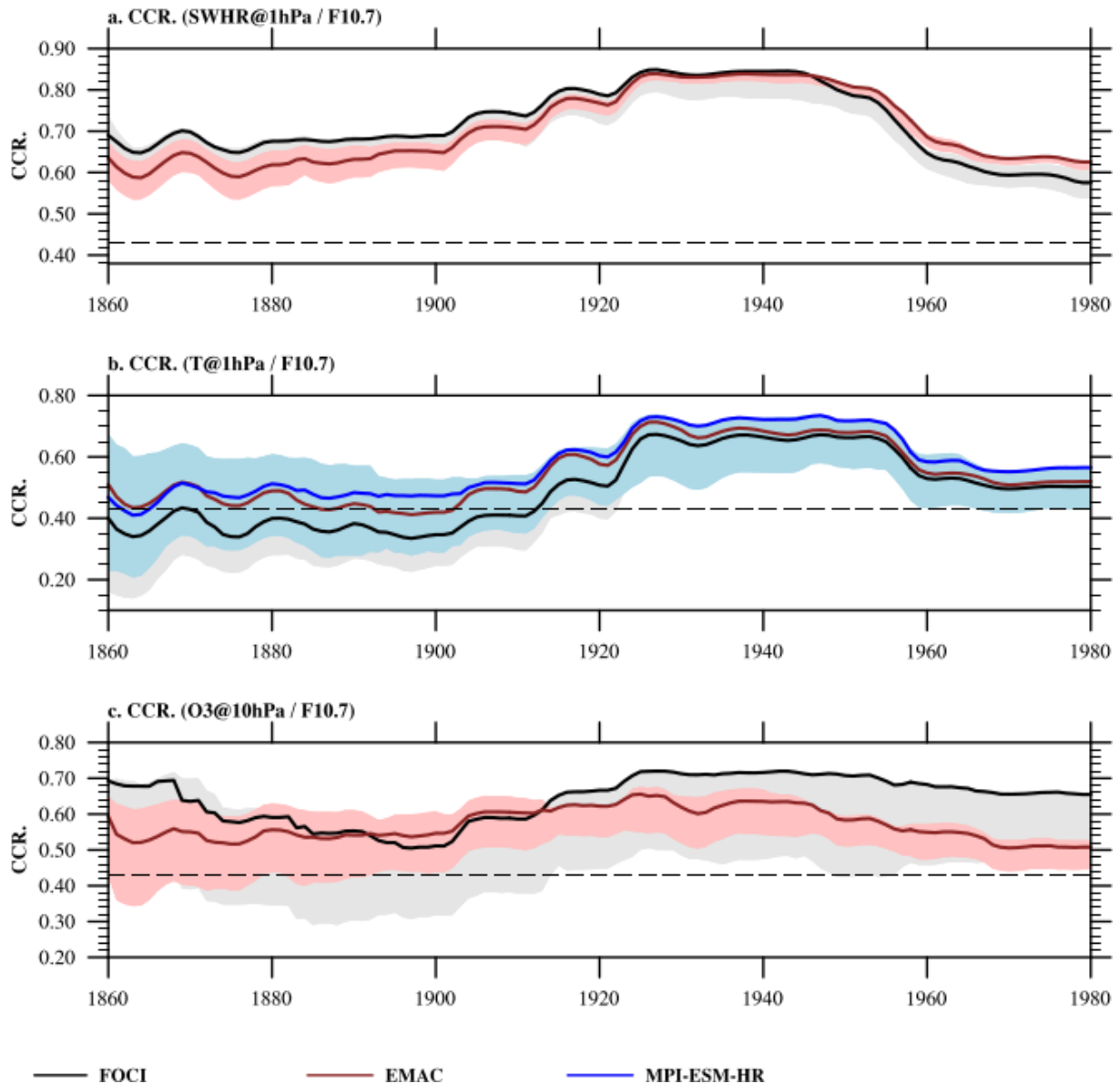
**Figure 10. The upper stratospheric polar night jet responses to solar forcing vs. biases of the tropical stratopause temperature.** Scatter plots of correlation coefficients between the December zonal-mean zonal wind anomalies averaged over  $60^{\circ}\text{N} - 65^{\circ}\text{N}$  at 1 hPa and the F10.7 index vs. biases of the tropical stratopause temperature averaged over  $25^{\circ}\text{S} - 25^{\circ}\text{N}$  at 1 hPa in all 45-year windows for (a) FOCI (black: ensemble mean; Light-gray: individual members), (b) EMAC (Brown: ensemble mean; Light-brown: individual members), and (c) MPI-ESM-HR (blue: ensemble mean; Light-blue: individual members). The black dashed line indicates the 95% significance level.

**Appendix A: Supplementary figures A1-A8**

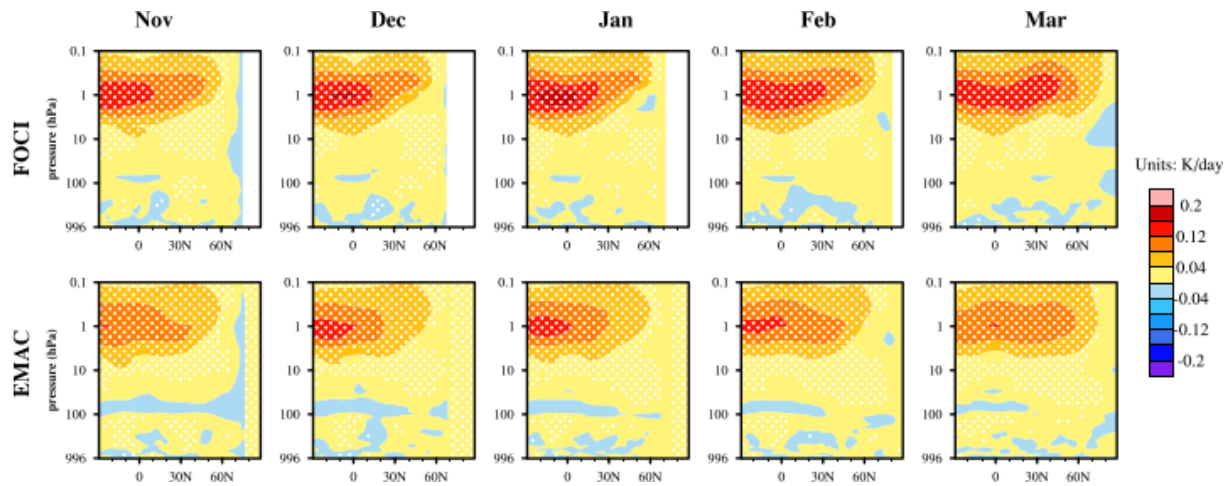




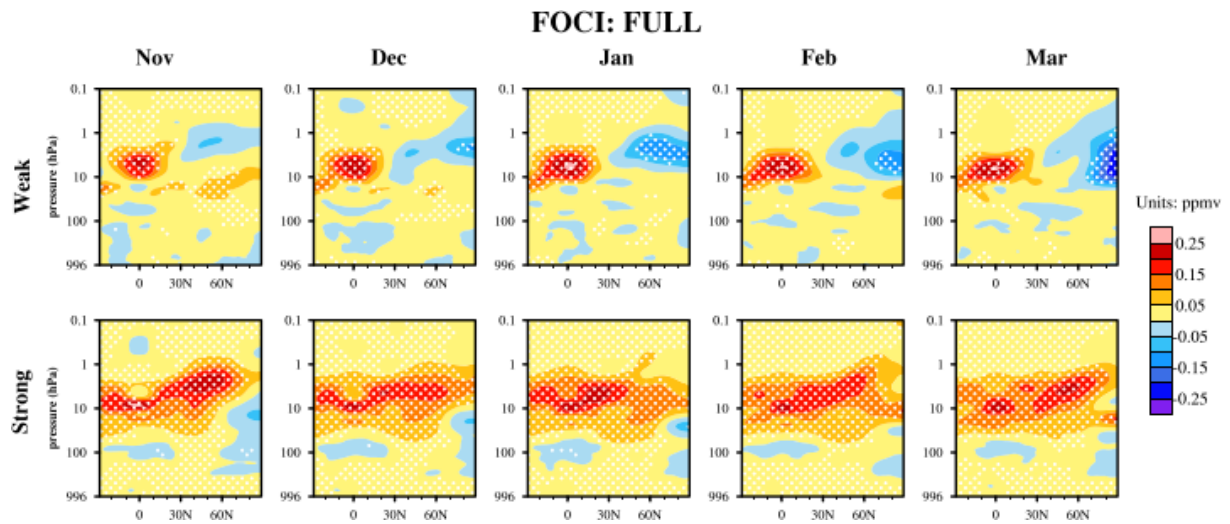
**Figure A1.** The same as Figure 2, but for the solar-fixed historical simulations (i.e. *FIX*.)



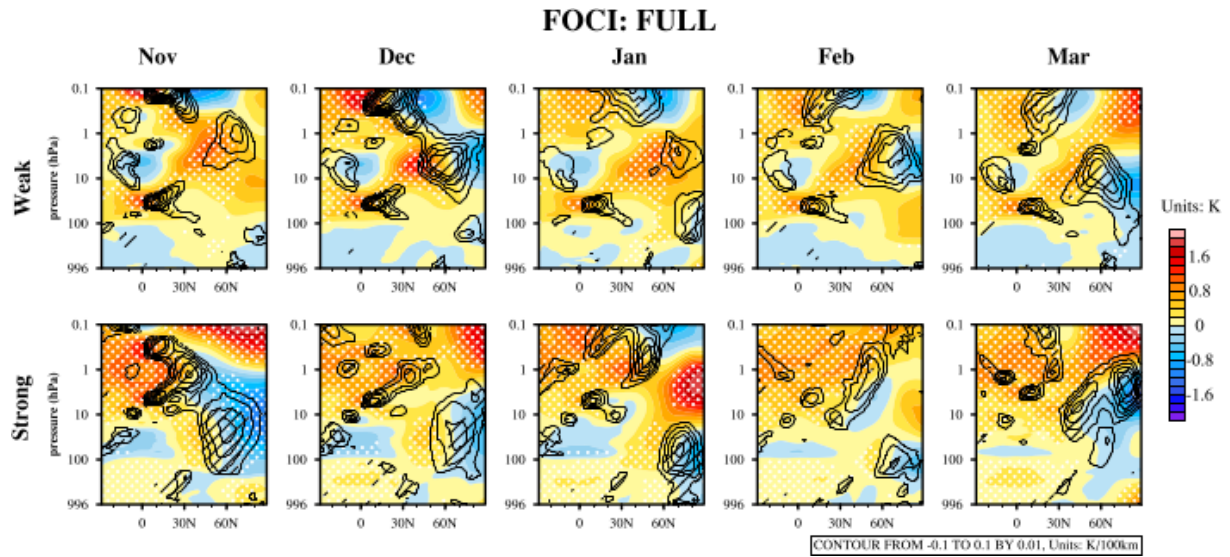
**Figure A2.** (a) Correlation coefficients between the annual shortwave heating rate in the tropical stratopause (averaged over 25°S–25°N at 1 hPa) and the annual F10.7 index in a 45-year running window for FOCI (black) and EMAC (brown). solid lines represent the correlation coefficients of the ensemble mean for each model and the shadow regions indicate the spread of correlation coefficients among individual members (between maximum and minimum). The black dashed line indicates the 95% significance level. (b) is the same as (a), but for the temperature from FOCI (black), EMAC (brown), and MPI-ESM-HR (blue). (c) is the same as (a) but for the O3 volume mixing ratio at 10 hPa from FOCI and EMAC.



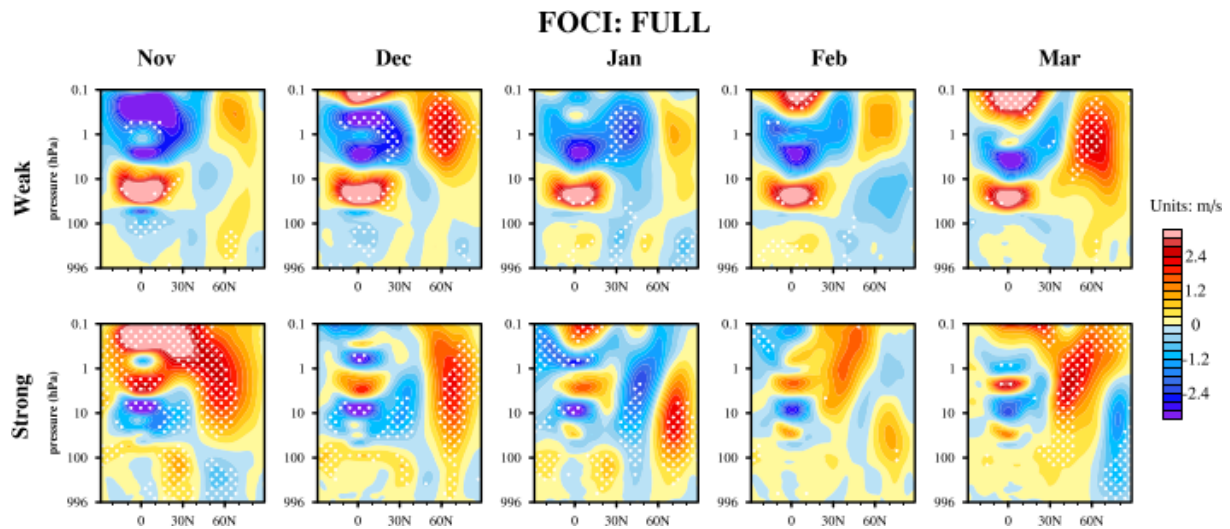
**Figure A3.** Composite differences between solar maxima and minima of the ensemble mean zonal-mean shortwave heating rate anomalies (units:  $\text{Kday}^{-1}$ ; color shading contours) in the *FULL* experiment with FOCI (top panels) and EMAC (bottom panels). Latitude-height cross sections are from  $30^{\circ}\text{S}$  to  $90^{\circ}\text{N}$  and 996 hPa to 0.1 hPa. The 90% significance level for the composite of air temperature anomalies is indicated by white dots based on a 1000-fold bootstrapping test.



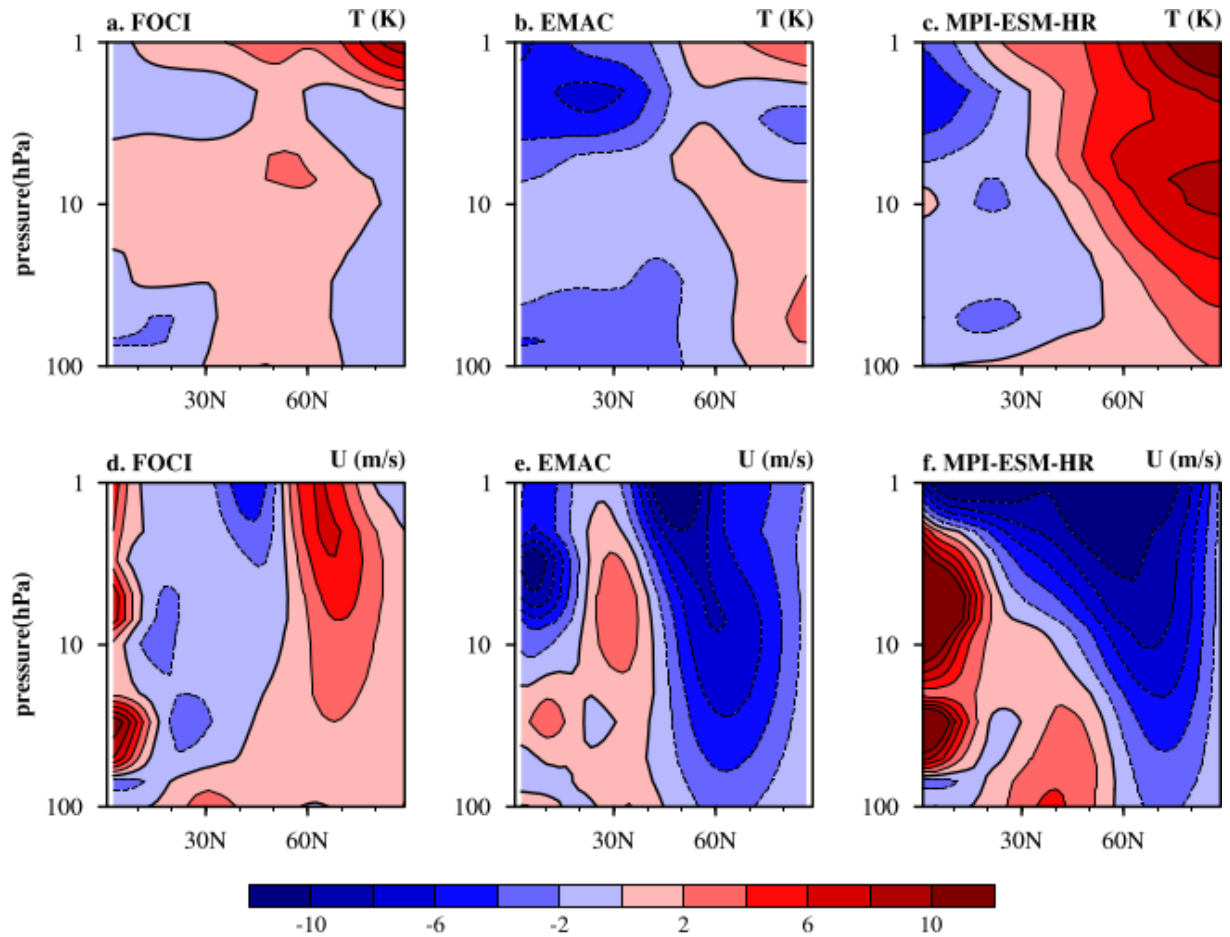
**Figure A4.** Composite differences between solar maxima and minima of the ensemble mean zonal-mean O<sub>3</sub> volume mixing ratio anomalies (units: ppmv; color shading contours) in the *FULL* experiment with FOCI during the weak (top panels) and strong solar epochs (bottom panels). Latitude-height cross sections are from 30°S to 90°N and 996 hPa to 0.1 hPa. The 90% significance level for the composite of air temperature anomalies is indicated by white dots based on a 1000-fold bootstrapping test.



**Figure A5.** Composite differences between solar maxima and minima of the ensemble mean zonal-mean air temperature anomalies (units: K; color shading contours) and the poleward meridional temperature gradients (Units:  $\text{K}(100\text{km})^{-1}$ ; contours) in the *FULL* experiment with FOCI during the weak (top panels) and strong solar epochs (bottom panels). Latitude-height cross sections are from  $30^{\circ}\text{S}$  to  $90^{\circ}\text{N}$  and 996 hPa to 0.1 hPa. Only the positive meridional temperature gradient anomalies (poleward) are shown here. The 90% significance level for the composite of air temperature (meridional gradients) anomalies is indicated by white dots (black hatching) based on a 1000-fold bootstrapping test.



**Figure A6.** Composite differences between solar maxima and minima of the ensemble mean zonal-mean zonal wind anomalies (units: m/s; color shading contours) in the *FULL* experiment with FOCI during the weak (top panels) and strong solar epochs (bottom panels). Latitude-height cross sections are from 30°S to 90°N and 996 hPa to 0.1 hPa. The 90% significance level for the composite of zonal-mean zonal wind anomalies is indicated by white dots (black hatching) based on a 1000-fold bootstrapping test.



**Figure A7. First row:** Differences in the zonal mean temperature climatology (K) between models (a. FOCI; b. EMAC; c. MPI-ESM-HR) and ERA5. **Second row:** The same as the first row, but for the zonal mean zonal wind climatology (m/s). Here, the climatology is defined by the mean value of 1950–2014 for all models and the ERA5.