



Vertical distribution of ice nucleating particles over the boreal forest of Hyytiälä, Finland

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Abstract.

Ice nucleating particles (INPs) play a crucial role in initiating ice crystal formation in clouds, influencing the dynamics and optical properties of clouds and their impacts on precipitation and the climate system. Despite their importance, there is limited knowledge about the vertical distribution of INPs. This study focuses on aircraft measurements conducted during spring 2018 above the boreal forest of Hyytiälä, Finland. Similarities between INP concentrations, activated fractions, particle concentrations and size distributions observed at ground-level and in the boundary layer aloft indicate that surface particles and INPs are efficiently transported and mixed within the boundary layer. INP concentrations observed in the boundary layer are successfully predicted by a parameterization describing near-surface INP concentrations driven by the abundance of biogenic aerosol in the Finnish boreal forest, suggesting that biogenic INPs are dominant in the boundary layer above the same environment. Most of the INP concentrations and activated fractions observed in the free troposphere are notably lower than in the boundary layer, and the distinct particle size distributions suggest that different aerosol populations, likely resulting from long-range transport, are present in the free troposphere. However, we show one case where higher INP concentrations are observed in the free troposphere and where a homogeneous particle population exists from the surface to the free troposphere. This indicates that surface particles and INPs from the boreal forest can occasionally reach the free troposphere, which is particularly important as the INPs in the free troposphere can further travel horizontally and/or vertically and impact cloud formation.



1 Introduction

35 Clouds are a key element of the Earth's climate system because they influence the hydrological cycle and the Earth's radiative budget. However, cloud processes, and especially the interactions between aerosols and clouds, remain highly uncertain in weather forecasting and climate projections (Forster et al., 2021). Ice nucleating particles (INPs) are a rare subset of atmospheric aerosol particles which can trigger the formation of ice crystals in clouds (Pruppacher and Klett, 2010). INPs can influence precipitation, cloud microphysical and optical properties, and the lifetime of clouds (Hoose and Möhler, 2012), and
40 thus strongly influence the Earth's radiative balance. However, the mechanisms responsible for ice formation and evolution in clouds are poorly understood, partly due to our lack of knowledge concerning the identity, sources, abundance, transport patterns and therefore global spatial distribution of INPs in the atmosphere (Murray et al., 2021). The sources of INPs in the atmosphere are complex and include natural sources, such as land and ocean emissions, as well as anthropogenic sources such as agricultural and industrial activities and biomass burning. INPs from different sources may exhibit distinct ice nucleation
45 activities due to differences in their chemical compositions, sizes, phases, and morphologies (Kanji et al., 2017). For example, desert dust is one of the most important sources of atmospheric INPs active at temperatures below -15°C (Hoose and Möhler, 2012; Vergara-Temprado et al., 2017; Kanji et al., 2017; Sanchez-Marroquin et al., 2023). Biological aerosols are considered another widely present type of INPs (O'Sullivan et al., 2015; O'Sullivan et al., 2018; Wex et al., 2019; Dreischmeier et al., 2017; Morris et al., 2004), and they can form ice at relatively higher temperatures than dust, although their global emissions
50 are lower (Després et al., 2012).

Over the past decades, a large number of INP field observations have been carried out at ground level around the world (e.g., Belosi et al., 2014; Schrod et al., 2020; Welti et al., 2020), with fewer studies conducted at higher altitudes in the atmosphere (e.g., Rogers et al., 2001a, b; DeMott et al., 2003a; Lacher, 2018). However, given that clouds form at high altitudes in the natural environment, conducting INP measurements there and investigating the vertical distribution of INPs in the atmosphere
55 is crucial. There has been no consistent conclusion on the vertical distribution of INPs in the atmosphere so far, partly because such distribution varies greatly depending on several factors such as orography, underlying surface, local sources and sinks of INPs, influence of long-range transport of particles, and overall atmospheric stratification and weather conditions. For example, Patade et al. (2014) reported that INP concentrations measured over India during the monsoon season were the highest over inland continental regions, and that the concentrations generally decreased with altitude in response to decreasing
60 aerosol particle concentrations. Vychuzhanina et al. (1988; 1996) showed that INP concentrations measured over Eastern Europe generally decreased with height and that concentrations measured below 4 km were essentially dependent on the type of underlying surface and the presence of local sources of pollution. Twohy et al. (2016) reported that INP concentrations measured in the boundary layer over a forested site in western US were about the same or slightly lower than concentrations observed at ground-level and at the top of the forest canopy, while INP concentrations measured primarily in the free
65 troposphere were much lower. Such decrease in INP concentrations was linked to decreasing fluorescent biological aerosol particle and total particle concentrations, suggesting that the canopy was likely the source of INPs. Seifried et al. (2021)



sampled INPs above the canopy of a birch forest in the Alps of Upper Austria using a drone and found that the INP concentrations were significantly lower compared to ground-level samples, concluding that the INP emitted from the forest vegetation were diluted in the ambient air when transported above the forest canopy. On the other hand, DeMott et al. (2003), Stith et al. (2009) and Schrod et al. (2017) observed increased INP concentrations in elevated layers due to the presence of dust plumes, and concluded that transported dust could be a major source of INPs in the troposphere. He et al. (2023) showed how a cold front passage introduced aged or coated mineral dust INPs in the troposphere, leading to increased INP concentrations at relatively high altitudes (4-5 km), while INPs were mostly concentrated in the boundary layer before the cold front passage. Aircraft observations carried out in China reported that INP concentration generally decreased with height, although larger particles ($> 0.5 \mu\text{m}$) present in the upper troposphere, which were likely dust particles transported from distant deserts, exhibited better ice-nucleating abilities compared to those near the surface (He et al., 2021). Some studies show no clear trend(s) in the vertical distribution of INPs concentrations (Rosinski, 1967; Hobbs and Deepak, 1981; Rogers et al., 2001b). Prenni et al. (2009) conducted airborne measurements in northern Alaska and found that INP concentrations were generally higher above the boundary layer and were likely influenced by long-range transport. However, they also show some cases with increased INP concentrations within the boundary layer and concluded that local and regional sources were then contributing more to the measured INPs. Overall, these varying results indicate that the vertical distribution of INPs is sometimes closely related to underlying surface conditions, while, in other instances, long-range transport of particles seems to dominate. Overall, the vertical distribution of INPs highly depends on the environment where the measurements are conducted, and therefore it is important to investigate the vertical distribution over various environments, especially over those that have been understudied in the past.

Boreal forests constitute one such underrepresented environment, and very little is known concerning the vertical distribution of INPs over this environment. Boreal forests represent one-third of all forested land and cover 15 million square kilometers of land (Tunved et al., 2006). They are primarily located in the Arctic and sub-Arctic regions of the continental Northern Hemisphere, and are therefore generally far from anthropogenic and dust sources. Boreal forests are characterized by high concentrations of biogenic aerosol (Tunved et al., 2006; Kulmala et al., 2013) and their vegetation is among the strongest emitters of primary biological aerosol particles (Després et al., 2012). A recent study from Schneider et al. (2021) showed that Finnish boreal forests are also an important source of biogenic INPs which may contribute substantially to the total INP population in such environment. Their observations were, however, carried out at ground level and the transport of such INPs to higher altitudes in the atmosphere remains to be examined. In addition, to our knowledge, no INP measurements have been conducted at higher altitudes above a boreal forest environment. The aforementioned study from Seifried et al. (2021) was conducted in an alpine forest with similar vegetation to boreal forests, but their observations were limited to an altitude of 45 m. The importance of boreal forests as a source of INPs, together with the lack of knowledge concerning the overall vertical distribution of INPs above this environment, emphasize the need for measurements at higher altitudes in these specific regions.



In this study, we present filter-based measurements of INPs conducted at ground level and aloft in the boundary layer and free troposphere (up to an altitude of 3.5 km) in and above a Finnish boreal forest during spring 2018. The measurements were organized in the framework of a larger ice nucleation measurement campaign, called HyICE-2018, which took place from February to June 2018 at the Station for Measuring Ecosystem–Atmosphere Relations (SMEAR II; Hari and Kulmala, 2005) in Hyytiälä, Finland. An introduction to the HyICE-2018 campaign, its setting and objectives, as well as a description of the ice nucleation instruments used for ground-based measurements, are presented in Brasseur et al. (2022). Some results from the HyICE-2018 are presented in Paramonov et al. (2020), who showed ground-based INP measurements with the Portable Ice Nucleation Chamber (PINC) during the first part of the campaign. The study from Schneider et al. (2021), who extended their measurements for more than one year after the HyICE-2018 campaign, focused on immersion freezing INPs measured with the Ice Nucleation Spectrometer of the Karlsruhe Institute of Technology (INSEKT) and showed that the surface INP concentrations have a clear seasonal cycle that appears linked to the abundance of boreal biogenic aerosol. Building from these previously published results, the objective of the study presented here is to describe the vertical variability in INP concentrations from ground level to the free troposphere above the Finnish boreal forest environment. To do so, we use the comprehensive instrumentation installed both onboard the measurement aircraft and at the SMEAR II measurement site, which allows for comparison between INP measurements and simultaneous measurements of many particle and meteorological variables.

2 Methods

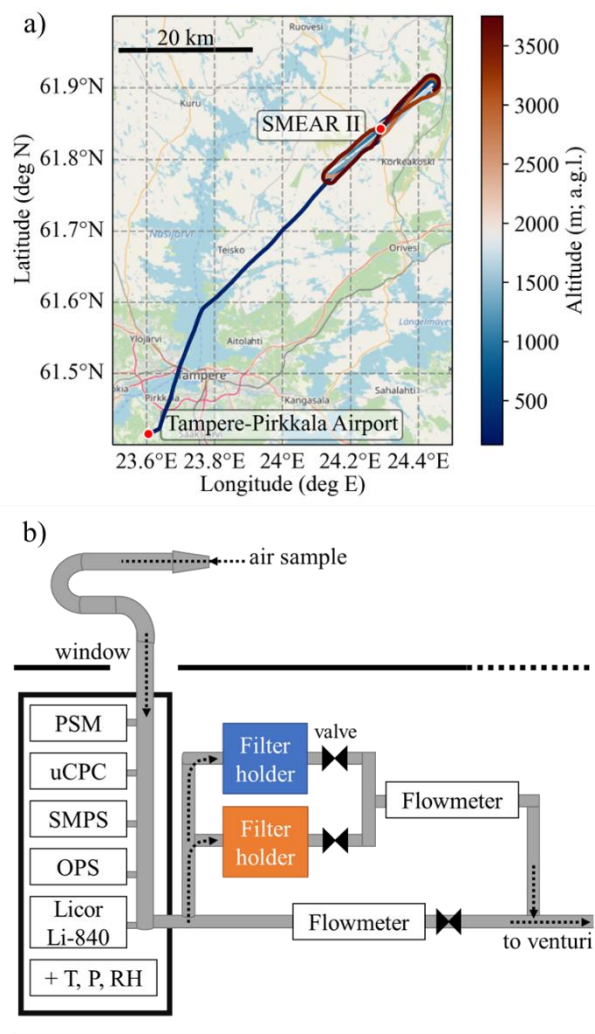
The data presented here was collected during an aircraft measurement campaign organized in spring 2018 above the boreal forest at the SMEAR II station in Hyytiälä, southern Finland (61°51' N, 24°17' E; 181 m above sea level). Data from 19 flights conducted between 20 April and 19 May 2018 are presented together with continuous ground-based measurements from SMEAR II.

2.1 Overview of the flight measurements

The airborne measurements were conducted onboard a Cessna 172 aircraft, and each flight started and ended at the Tampere-Pirkkala airport (61°25' N, 23°35' E, 119 m above sea level) located approximately 60 km south-west from SMEAR II (Figure 1a). A typical flight lasted approximately 3 hours and consisted of a 30-minute transit to the measurement area above SMEAR II at an altitude of 300 m above ground level (a.g.l.) followed by a single vertical profile from 300 to 3500 m a.g.l realized over approximately 20-kilometer-long segments above the measurement site, as shown in Figure 1a. In this way, the measurements covered the boundary layer and the lowest part of the free troposphere (Figure 2). Profiles were always flown perpendicular to the mean wind direction to avoid contamination from the airplane's engine exhaust. The airspeed was kept at 130 km.h⁻¹ during the measurement flights.



The majority of instruments were built into a rack located behind the front row seats. The instruments were supplied with sample air collected through an inlet mounted outside the aircraft. The inlet's design was adopted from the University of Hawaii's shrouded solid diffuser inlet originally presented in McNaughton et al. (2007) for use aboard a DC-8 aircraft. The sample air was transported to the instruments inside the aircraft's cabin through a stainless-steel tube (22 mm inner diameter), and the exhaust air exited through a venturi mounted on the right main gear leg. The forward movement of the aircraft during the flight together with the suction from the venturi provided the necessary sample air flow.



140 **Figure 1:** a) Example of a flight track from Tampere-Pirkkala airport to SMEAR II, adapted from Beck et al. (2022). The distance from the airport to the station is approximately 60 km. The color of the flight track indicates the flight altitude. For clarity, only the flight track of the first half of the flight, corresponding to the ascent, is shown here. © OpenStreetMap contributors. b) Instrumental setup viewed from above inside the Cessna 172, described in detail in section 2.1.1.



2.1.1 Particle measurements

In flight monitoring of aerosol microphysical properties was conducted using a particle size magnifier (PSM; Airmodus model
145 A10) operated with a condensation particle counter (CPC; TSI model 3010) measuring the > 1.5 nm particle number
concentration at a 1 s time resolution, an ultrafine CPC (TSI model 3776) measuring the > 3 nm particle number
concentration at a 1 s time resolution, a custom-built scanning mobility particle sizer (SMPS) comprised of a short Hauke-type differential
mobility analyzer (DMA) and a CPC (TSI model 3010) measuring the aerosol number size distribution in the size range of 10-
150 400 nm at a time resolution of approximately 2.2 min, and an optical particle sizer (OPS; TSI model 3330) measuring the
aerosol number size distribution in the size range of 0.3-10 μm . The shrouded solid diffuser inlet used has a 5.0 μm
aerodynamic diameter cutoff, thus particle concentrations and number size distributions from 1.5 nm to 5.0 μm were measured
with this setup. The flow rate going through the main sampling line was recorded using a flow meter (TSI, model 4000) and
adjusted manually using a valve (Fig 1b, bottom flow meter) to keep it constant at 47 L min^{-1} . The instruments drew air from
the main sampling line using core sampling inlets.

155 In addition, meteorological data (relative humidity, temperature, and pressure) were measured with a Rotronic HygroClip-S
and a PT1-100 temperature sensor, and water vapor concentration was measured with a LI-COR Li-840 gas analyzer. The
aircraft's GPS receiver also recorded latitude, longitude, and flight altitude. Additional information concerning the
instrumentation and the layout used in the Cessna 172 can be found in Schobesberger et al. (2013), Väänänen et al. (2016),
Leino et al. (2019) and Lampilahti et al. (2021).

160 2.1.2 INP filter sampling and analysis with the INSEKT

To determine the INP concentration in the ambient air, aerosol particles were collected on 47 mm Whatman nuclepore track-
etched polycarbonate membrane filters with a pore size of 0.2 μm . Before sampling, the filters were prepared by pre-cleaning
them with 10 % H_2O_2 . Afterwards, they were rinsed with deionized water that was passed through a 0.1 μm Whatman syringe
filter. After drying the prepared filters, they were placed in filter holders made of stainless steel. For each flight, two filter
165 holders were connected to the sampling line onboard the aircraft, as shown in Figure 1b, and the objective was to sample one
filter in the boundary layer and the other filter in the free troposphere. The boundary-layer depth was estimated during the
flights using the real-time particle concentration, water vapor concentration and potential temperature monitoring, and ranged
between 500 and 2500 m approximately. More information concerning the estimation of the boundary-layer depth is given in
section 2.3. A third filter holder was installed at SMEAR II to sample ambient aerosol particles at ground level for the same
170 duration as the flight (≈ 3 hours).

During the flight, both sampling lines going to the filter holders were kept closed until the aircraft was approximately 30 km
from Tampere to avoid urban contamination. Then the sampling lines were opened and closed alternately, depending on the
atmospheric layer sampled. The volumetric flow rate going through the filters was recorded (Figure 1b, top flow meter) and
kept at the highest rate possible while maintaining the main flow rate at 47 L min^{-1} . The average flow rate going through the



175 filter sampled in the boundary layer was 9 L min^{-1} with an average sampling time of 70 minutes, while the average flow rate
going through the filter sampled in the free troposphere was 7 L min^{-1} with an average sampling time of 1 hour. At SMEAR
II, the ground-level filter was sampled from a vertical sampling line connected to a total aerosol inlet, and the average flow
rate through the filter was 15 L min^{-1} with an average sampling time of 3 hours. After sampling, the filters were placed in
sterile petri dishes which were wrapped in aluminum foil and stored frozen until the samples were analyzed for their INP
180 content, (typically within a week after the sampling).

To analyze the INP content of the collected aerosol samples, the INSEKT instrument was used. The INSEKT is based on an
ice spectrometer developed at the Colorado State University (Hill et al., 2016) and is described in more detail in Schiebel
(2017). With the INSEKT, INP concentration are measured as a function of the activation temperature in the immersion
freezing mode between -5 and $-26 \text{ }^\circ\text{C}$. The INP analysis applied to the aerosol samples collected for this study mostly followed
185 the experimental procedure described in Schneider et al. (2021). First, the sampled aerosol particles were washed from the
filter membrane into a solution using Milli-Q purified water ($18.2 \text{ M}\Omega\cdot\text{cm}$), which was passed through a $0.1 \text{ }\mu\text{m}$ Whatman
syringe filter. As the sampling times on board the Cessna aircraft were shorter than those in the study of Schneider et al. (2021),
the INP content on each collected filter was expected to be lower. For this reason, and to enhance the INP content in the sample
solution, the volume of filtered nanopure water was reduced from 8 to 5 ml. Furthermore, the resulting aerosol suspensions
190 were diluted only one time with 15- or 10-fold volumes of filtered nanopure water. The resulting aerosol suspensions were
then analyzed with the INSEKT and INP concentrations were determined as a function of the activation temperature, as
described in Schneider et al. (2021).

The INP concentrations reported here have been corrected for both the background freezing levels of filtered nanopure water
and the INP concentration derived from handling blank filters, which were collected without ambient air flowing through the
195 membranes. More information concerning the background subtraction can be found in Schneider et al. (2021). In addition, as
the INP concentrations measured from the collected filters were rather low and close to the background signal derived from
the handling blank filters (Fig. A1), only the INP concentrations that were at least twice as high as the average background
INP concentrations were considered significant and used in this study. Finally, the concentration was converted to INP
concentration per standard liter of sampled air using standard conditions of 273.15 K and 1013 hPa .

200 **2.2 Aerosol and meteorological measurements at SMEAR II**

Comprehensive atmospheric measurements have been ongoing at the SMEAR II station since 1996 (Hari and Kulmala, 2005).
The station is surrounded by boreal coniferous forests dominated by Scots pine trees, and the conditions at the site are typical
for a background location, with the main pollution sources being the city of Tampere and the activity and buildings at the
station.

205 In this study, we use data from the SMEAR II differential mobility particle sizer (DMPS; Aalto et al., 2001) and aerodynamic
particle sizer (APS; TSI model 3321). The DMPS and the APS measure aerosol number size distributions in the size ranges 3-
1000 nm in mobility diameters and $0.5\text{-}20 \text{ }\mu\text{m}$ in aerodynamic diameters, respectively. The data from the DMPS and the APS



were combined by converting the aerodynamic diameters measured with the APS to electrical mobility diameters, which are used with the DMPS. To do so, the aerodynamic diameter was divided by the square root of the effective density of the aerosol particles which was estimated to be 1.5 g cm^{-3} from previous studies (Stein et al., 1994; McMurry et al., 2002; Khlystov et al., 2004; Kannosto et al., 2008; Järvi et al., 2009). More information concerning the operation and sampling conditions of the DMPS and APS at the time of the HyICE-2018 campaign can be found in Brasseur et al. (2022).

We also use global shortwave solar radiation data which was measured above the forest canopy at 67.2 m a.g.l. in the SMEAR II mast using a four-component net radiometer (Kipp & Zonen model CNR4), as well as ambient air temperatures recorded at 4.2 and 67.2 m a.g.l. in the mast using radiation shielded and ventilated platinum-wire thermistors (PT-100), and air pressure measured at ground level (180 m above sea level) using a barometer (Druck DPI 260).

2.3 Boundary layer estimation

The atmospheric boundary layer is defined as the lowest part of the troposphere that is directly influenced by the planetary surface and as such is prone to turbulence and strong vertical mixing. Its structure consists of several sub-layers that are formed due to diurnal variations of temperature and heat transfer (Stull, 2017). During spring and summer at SMEAR II, surface-driven convection is the main cause of mixing in the boundary layer during the day (Manninen et al., 2018), and therefore most boundary layers are convective. A schematic diagram of the diurnal evolution of the convective boundary layer over land is presented in Figure 2. During daytime, a mixed layer is formed via convective turbulence. At the top of the mixed layer, there is a stable layer called the entrainment zone where less turbulent air from above is entrained into the mixed layer below, contributing to the growth of the mixed layer. At times, this stable layer is strong enough to be classified as an inversion (i.e., temperature increases with height). At night, this capping inversion can remain at the top of the residual layer, which contains the pollutants and moisture from the previous mixed layer, even though the turbulence below has weakened. The free troposphere, sometimes called free atmosphere, comprises the air between the top of the boundary layer and the tropopause. In contrast to the boundary layer, the free troposphere is mostly unperturbed by turbulence related to heat transfer.

Boundary layer dynamics directly influence the vertical distribution of atmospheric particles, including INPs. For example, convective mixing occurring in the boundary layer can lift particles originating from near the surface to higher altitudes where they can then be transported to other regions via long-distance transport. Depending on the aging and mixing processes that they undergo in the atmosphere, the physical and chemical properties of the particles, as well as their ice nucleating abilities, can be altered (Després et al., 2012).

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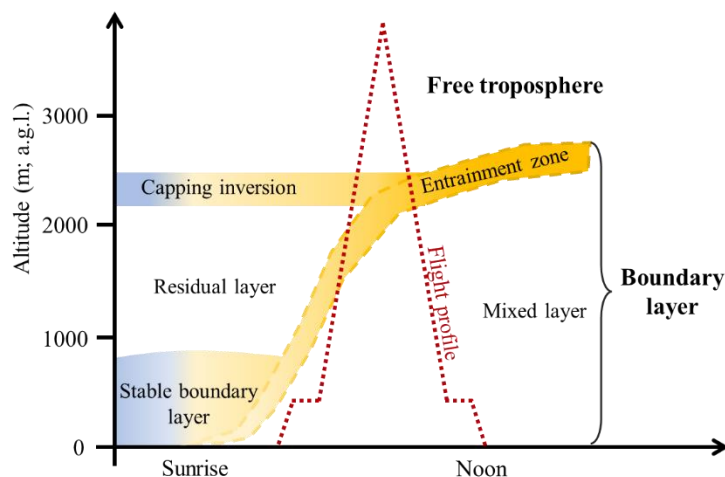


Figure 2: Schematic diagram of the boundary layer diurnal development adapted from Stull (2017) and Lampilahti et al. (2021), overlaid with an example flight profile. The actual layer heights may vary from the values depicted on the vertical axis.

In this study, we use the term boundary layer to represent the layer that encompasses all of the aforementioned lower atmospheric layers (mixed, residual layer, stable boundary layers, capping inversion, and entrainment zone), and we are interested in comparing the INP concentrations measured in the boundary layer to those measured in the free troposphere. As mentioned previously, the boundary-layer depth was estimated subjectively during the flights by monitoring the real-time measurements of particle and water vapor concentration and potential temperature. Indeed, the limit between the boundary layer and free troposphere can usually be identified by a temperature inversion and a drop in the water vapor and particle concentrations (Stull, 2017). After the flights, we used data from a Halo Photonics Stream Line scanning Doppler lidar located at SMEAR II (e.g., Hellén et al., 2018) to estimate the limit between the boundary layer and the free troposphere and compare with the aircraft measurements. Halo Doppler lidar data was post-processed following Vakkari et al. (2019), and turbulent kinetic energy (TKE) dissipation rate profiles were calculated according to the method by O'Connor et al. (2010). Finally, the mixed layer height was estimated from the TKE dissipation rate profiles using a threshold of $10^{-4} \text{ m}^2 \text{ s}^{-3}$, similar to what was done in Hellén et al. (2018). Note that, in some cases, the mixed layer height estimated from the lidar is a lower limit estimate, as the lidar signal can be fully attenuated before first non-turbulent measurements.

Data from the 94 GHz FMCW Doppler cloud radar (RPG-FMCW-94-DP) was used to check the presence of clouds during the flight measurements.

2.4 Trajectory models

To identify the origin of the air masses sampled in the free troposphere and investigate potential links between air mass trajectories and INP concentrations, backward trajectories were calculated with the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPPLIT) model. The model was used with Global Data Assimilation System (GDAS) meteorological fields (Stein et al., 2015; Rolph et al., 2017) and one 72-hour backward trajectory was computed for each flight, with a release



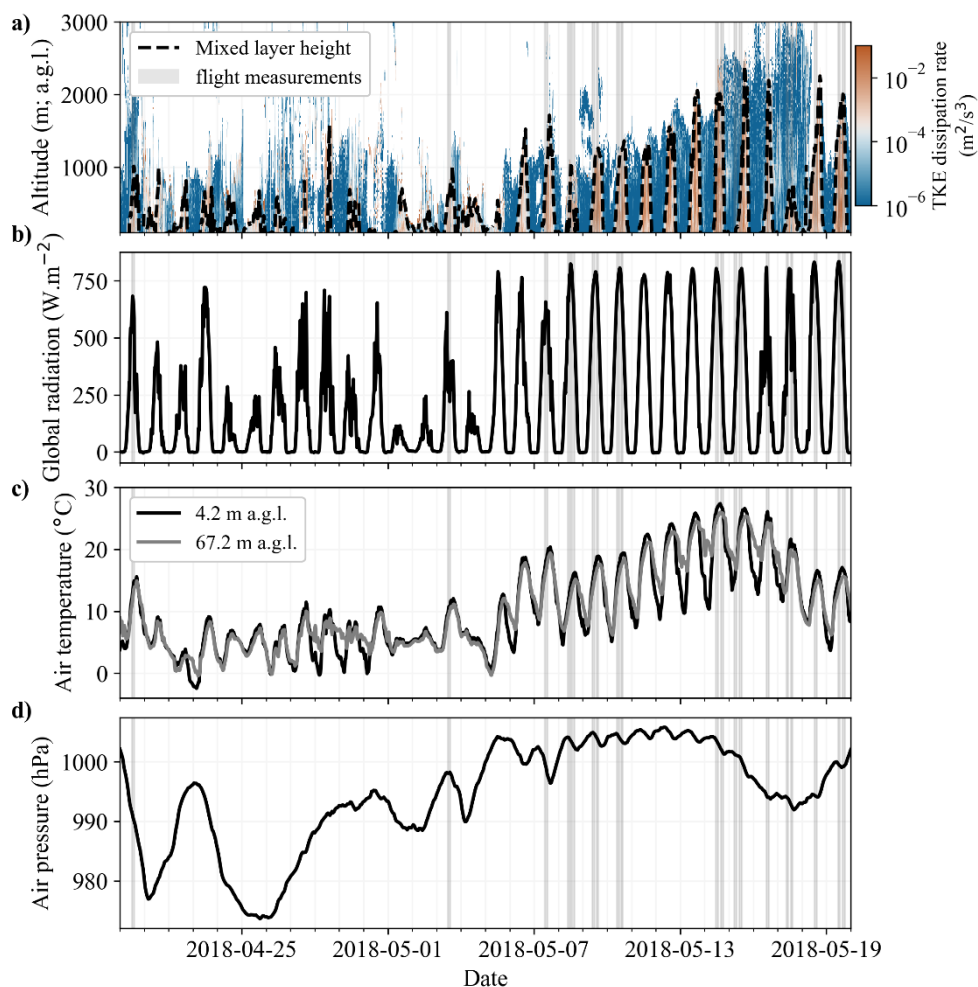
altitude of 3500 m a.g.l. and a starting time corresponding to the time during the flight when the aircraft first reached the free
260 troposphere.

In addition to the HYSPLIT trajectories, we used the Lagrangian FLEXible PARTicle (FLEXPART v10.4) dispersion model
to investigate one particular flight where higher INP concentrations were observed in the free troposphere. We ran FLEXPART
with increased temporal, horizontal and vertical resolutions compared to the HYSPLIT trajectories to allow for further
characterization of this event. FLEXPART was used to calculate the potential emission sensitivity (PES) fields, where PES is
265 proportional to the residence time of the air mass in a specific grid cell and was calculated in units of seconds (Seibert and
Frank, 2004; Stohl et al., 2005; Pisso et al., 2019). European Center for Medium-Range Weather Forecasts (ECMWF) ERA5
reanalysis meteorology with 137 height levels, 1 hour temporal and 0.5° x 0.5° spatial resolution was used as an input to
FLEXPART (Hersbach et al., 2018b, a). The air mass history was simulated 3 days backwards in time and arriving at SMEAR
II, every hour, with a release at the average altitude of the flight in the free troposphere (3 km a.g.l.). The output resolution
270 was set to 41 height levels spanning from 50 m to 10 km with a vertical resolution of 250 m.

3 Results

3.1 Campaign overview

The meteorological conditions at SMEAR II during the aircraft measurement campaign are presented in Figure 3, where the
19 flights are highlighted (grey vertical bands in Figure 3). A summary of the flight dates and times is available in Table A1.



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Figure 3: Overview of a) the mixed layer height, b) global radiation, c) air temperature, and d) air pressure at SMEAR II for the duration of the flight campaign. The mixed layer height was estimated using the TKE dissipation rate from the Doppler lidar. The global shortwave solar radiation was measured from the SMEAR II mast at 67.2 m a.g.l., while the air temperature is shown for measurements at both 4.2 and 67.2 m a.g.l. (at ground level and above the forest canopy). The air pressure was measured at ground level in SMEAR II (180 m above sea level). The flight measurement windows are highlighted with the vertical grey bands.

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There is a clear seasonal change from spring to summer seen in the air temperature measurements (Figure 3c). During the first period of the flight campaign (from 20 April 2018 to 04 May 2018) ground-level temperatures were relatively cool with an average temperature of 5.3 °C (SD = 3.1 °C) compared to the second period of the flight campaign (from 05 May 2018 to 19 May 2018), when the average ground-level temperature was 14.8 °C (SD = 6.1 °C). Note that May 2018 was exceptionally warm in Finland, and monthly averaged temperature anomalies greater than +4 °C were recorded at several locations (Sinclair et al., 2019). There is also a clear increase in the global shortwave solar radiation during the second period of the campaign (Figure 3b). Moreover, increased cloud cover in April often disrupts the measured shortwave radiation, while May 2018 had relatively few cloudy days, as illustrated by the clear and consistent sinusoidal diurnal radiation cycle. The seasonal change

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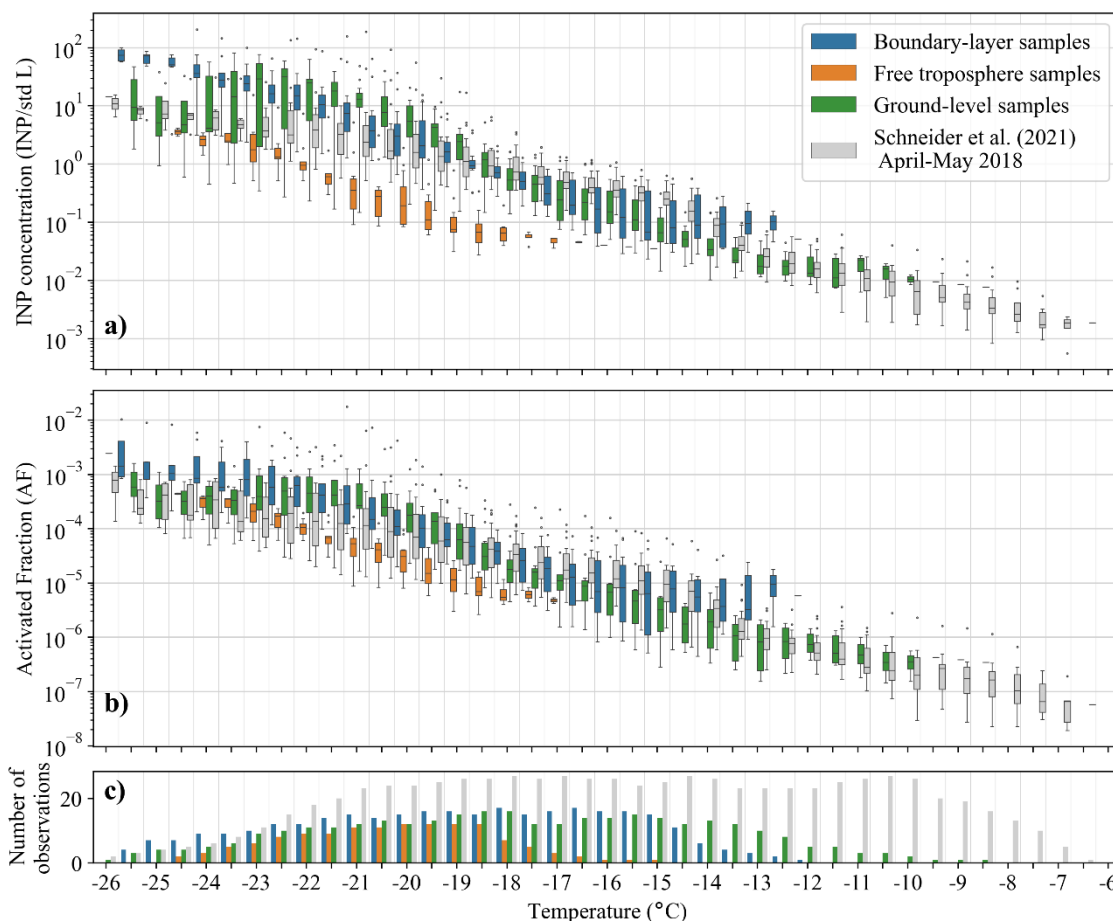


also affects the day length with an increase of approximately 2 hours and 45 minutes of daylight between 20 April and 19 May
290 2018 (Table A1).

Because variations in temperature and heat transfer influence the boundary layer and its diurnal cycle, the seasonal change is
also noticeable in the mixed layer height estimated from the SMEAR II lidar measurements (Figure 3a). There is a rapid
increase in the daytime mixed layer height during the second period of the flight campaign, with higher peaks and stronger
diurnal cycles than in the first period of the campaign. This agrees well with long-term observations at the SMEAR II station,
295 which show that the deepest boundary layers of all months usually occur in May (Sinclair et al., 2022). In Fig. 3d, the air
pressure fluctuates between 975 and 1000 hPa at the beginning of the campaign before increasing to approximately 1004 hPa
after 04 May 2018. The second half of the campaign, when most measurements flights were organized, is therefore
characterized by relatively warm temperatures, increased solar radiation and air pressure, and deep boundary layers. The
relatively high pressures, together with clear skies and high solar radiation, means that winds were low and long-range transport
300 might have been minimal during this part of the campaign.

3.2 Vertical distribution of INPs above Hyytiälä

The INP concentrations extracted from the ground-level, boundary-layer and free troposphere samples are shown in Figure 4a
together with the ground-based 24-hour measurements from Schneider et al. (2021) also conducted at SMEAR II. Only the
data from Schneider et al. (2021) collected between 20 April and 19 May 2018 is used here in order to cover the same period
305 as the flight campaign. The raw INP temperature spectra used to produce the box plots can be found in Fig. A2. The INP
concentrations measured at ground level range from 10^{-2} to 10^{-1} std L^{-1} at the highest temperatures and in the range 10^0 -
 10^2 std L^{-1} at the lowest temperatures. Overall, these concentrations coincide with the INP concentrations reported by
Schneider et al. (2021) for the 24-hour samples collected between 19 April and 20 May 2018, although they have a 3 °C colder
ice onset temperature (temperature at which the first ice nucleation event is observed). This is likely due to the shorter sampling
310 time used for the ground-level samples presented here (limited to approximately 3 hours to match the flight duration), which
decreased the upper temperature detection limit of INSEKT. The INP concentrations measured in the boundary layer range
from about 10^{-2} to 10^0 std L^{-1} at the highest temperatures and in the range 10^1 - 10^2 std L^{-1} at the lowest temperatures. These
concentrations are within the same order of magnitude as the ground-level and 24-hour measurements from Schneider et al.
(2021), although they also have a colder ice onset temperature (approximately 2.5 °C and 5.5 °C colder than the ice onset
315 temperatures of the ground-level and 24-hour measurements, respectively) likely due to shorter sampling times as well (\approx 70
minutes for the boundary-layer samples). On the other hand, the INP concentrations measured in the free troposphere range
from 10^{-2} to 10^{-1} std L^{-1} at the highest temperatures and from 10^{-1} to 10^1 std L^{-1} at the lowest temperatures, and they are
significantly lower than the INP concentrations measured in the boundary layer and at ground level. They also have an ice
onset temperature colder than any other measurements shown in this study (approximately 4.5°C, 7°C and 10°C colder than
320 the ice onset temperatures of the boundary layer, ground-level and 24-hour measurements from Schneider et al. (2021),
respectively).



325 **Figure 4: (a) INP temperature spectra and (b) activated fraction as a function of the activation temperature for all samples collected**
during the aircraft measurement campaign together with the ground-level data from Schneider et al. (2021) collected in Hyytiälä
from 20 April to 19 May 2018. The activated fraction was calculated as the ratio between the INP concentration and the number
concentration of particles larger than 300 nm using the data from the OPS and the combined DMPS-APS for the aircraft and the
ground-level samples, respectively. In a) and b), the point markers indicate outlier observations. (c) Number of observations for each
 330 **sample type as a function of temperature.**

In Figure 4b, the activated fraction, calculated as the ratio of the INP concentration to the number concentration of particles larger than 300 nm, is presented. This size range was selected based on previous studies showing a relationship between INP concentration and aerosol number concentration for particles larger than 300 nm in diameter (e.g., DeMott et al., 2003b; Richardson et al., 2007). Although there is more overlap between the sample types compared to the INP concentrations, the
 335 activated fraction from the ground-level and boundary-layer samples are within the same order of magnitude while the activated fraction from the free troposphere samples is overall lower, suggesting that the particles sampled in the free troposphere are less efficient INPs.



3.3 Particle concentrations and size distributions above Hyttiälä

The median particle concentrations and size distributions measured at ground level, in the boundary layer and in the free troposphere calculated from the 19 flights are shown in Figure 5. The submicron size distribution measured at ground level (green data points in Figure 5a) exhibits the characteristic modal structure found at the SMEAR II station (Dal Maso et al., 2005), with a nucleation mode observed in the size range of 3-25 nm, and an Aitken mode (25-100 nm) growing into an accumulation mode (100-500 nm). The size distribution measured aloft in the boundary layer (blue data points in Figure 5a) shows very similar features. The lack of observed sub-10 nm nucleation mode in the boundary layer is likely due to the higher cut-off size of the aircraft SMPS (10 nm) compared to the ground-level DMPS (3 nm). In addition, very low concentrations of coarse mode particles above 1000 nm are measured both at ground level and in the boundary layer. These results agree with previous measurements conducted at SMEAR II, which show that the aerosol size distribution measured at 300 m a.g.l. compared well to ground-level observations (Schobesberger et al., 2013). In addition, the concentration of particles > 300 nm measured in the boundary layer (median of $\approx 26.6 \text{ cm}^{-3}$) is very similar to the concentration measured at ground level (median of $\approx 28.8 \text{ cm}^{-3}$), as seen in Figure 5b, and agrees well with previous aircraft measurements conducted at SMEAR II over relatively similar size ranges (Väänänen et al., 2016).

The free troposphere is characterized by a much lower concentration of particles > 300 nm (median of $\approx 10.0 \text{ cm}^{-3}$) compared to the ground-level and boundary-layer observations (Figure 5b). This agrees well with previous aircraft measurements conducted above SMEAR II, which also indicate a sharp decrease in particle concentration when the free troposphere is reached (Schobesberger et al., 2013; Väänänen et al., 2016; Lampilahti et al., 2021; Beck et al., 2022). Furthermore, the particles in the free troposphere have a very different particle number size distribution pattern (orange data points in Figure 5a). There are no apparent nucleation mode particles below 25 nm, and the Aitken mode growing into the accumulation mode has much lower concentrations than observed at ground level and in the boundary layer. In addition, the particle concentration in the coarse mode (> 1000 nm) is systematically lower than what is observed in the boundary layer.

Note that, in Figure 5a, we observe that higher concentrations of particles > 2000 nm are measured with the OPS in the boundary layer and free troposphere compared to ground-level measurements conducted with the APS. This deviation, which was observed for each flight measurements (e.g., also in Figure 9j-l), is likely due to instrumental differences and has been observed in a previous laboratory study where the OPS and APS were compared (Zerrath et al., 2011). As explained in Zerrath et al. (2011), particle sizing deviates between the OPS, which uses optical diameters, and the APS, which uses aerodynamic diameter (which was converted to mobility diameter here when combining the APS and DMPS data shown in Fig. 5). Such deviation is especially true for diameters > 1000 nm where the refractive index of the aerosol can significantly affect the intensity of light scattered (Szymanski et al., 2009) and detected by the optical sizer. Although Mie correction can be applied to size distributions of known particles, correcting ambient aerosol data is not trivial and thus we do not explore it further in this study.

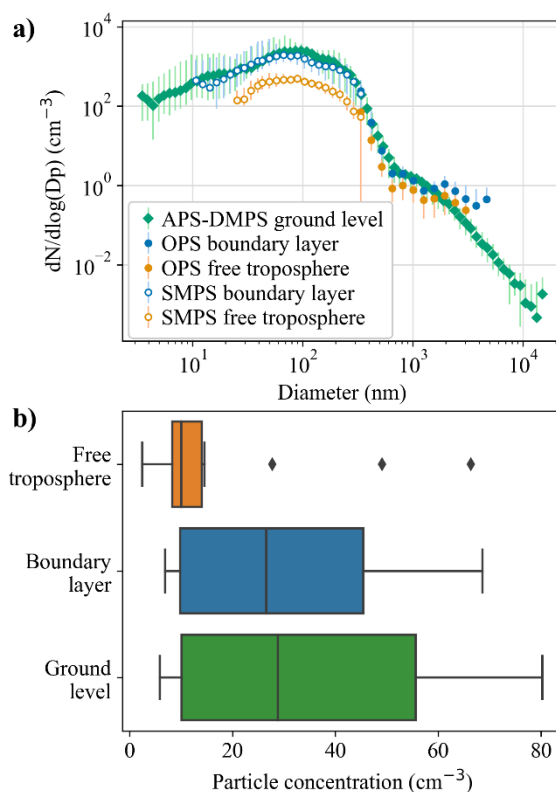


370 The similarities between the size distributions and particle concentrations measured at ground level and in the boundary layer, together with the similar INP concentrations and activated fractions, suggest a similar aerosol population is sampled between the surface and the boundary layer aloft. In other words, it appears that the boundary layer was well-mixed during the aircraft measurements and that particles from the surface were efficiently transported and mixed within the boundary layer, which is consistent with the TKE dissipation rate profiles from the SMEAR II Doppler lidar (Figure 3a). Thus, we hypothesize that the

375 INPs encountered in the boundary layer above the boreal forest are dominated by local and regional sources at the surface, at least during the spring/summer season. Moreover, because the INP concentrations and activated fractions measured at ground level and in the boundary layer are similar to those reported by Schneider et al. (2021) for the same time period, it is possible that similar INPs were sampled in both studies, which Schneider et al. (2021) relate to local biogenic particles rather than long-range transported particles. This is further discussed in section 3.5 where the INP concentrations measured in the boundary

380 layer are compared with predictions from the Schneider et al. (2021) ground-level parameterization. On the other hand, the lower INP concentrations measured in the free troposphere are most likely due to the lower particle concentrations encountered there and the fact that the free tropospheric particles seem to be less efficient INPs, as suggested by the lower activated fractions (Figure 4b). In addition, the differences observed in the size distribution pattern suggest that the aerosol populations present in the free troposphere are different than those encountered in the boundary layer and at ground

385 level. It is likely that these particles, and thus the INPs, were transported from distant regions via long-range transport, as discussed in section 3.4.



390 **Figure 5:** a) Median particle number size distributions calculated from ground-level measurements (SMEAR II APS and DMPS) as well as boundary layer and free troposphere measurements (aircraft SMPS and OPS) over the 19 flights of the campaign. The error bars represent the 25th and 75th percentiles. The size distribution shown with a linear scale can be seen in Fig. A3. b) Box plots of the concentration of particles > 300 nm measured at ground level, in the boundary layer and in the free troposphere calculated over all the flights.

395 3.4 Origin of the air masses in the free troposphere

In Figure 6a, we show the HYSPLIT 72-hour backward trajectories of the air masses arriving at 3500 m a.g.l. in the free troposphere at the time when the aircraft reached the free troposphere, together with the altitude of the trajectories over time (Figure 6b) and the INP temperature spectra of the corresponding free troposphere samples (Figure 6c).

Most of the free tropospheric air masses originate from the west and remain above 3500 m a.g.l. for the duration of the simulation. Two groups of air masses can be differentiated based on their trajectories. The first group of air mass trajectories, corresponding to the first and last days of the measurement period in May 2018 shown in Fig. 6, are longer and cover large distances (> 3000 km), some coming from as far as the Hudson Bay in northeastern Canada (light green trajectory from 8 May 2018 in Figure 6a). Some of these air masses cross the North Atlantic Ocean before reaching Northern Europe and are therefore mostly maritime (e.g., green and purple trajectories from 8 and 18 May 2018 in Fig. 6a), while others cover slightly shorter distances and travel over both continents and seas (e.g., dark blue and brown lines from 3 and 19 May 2018 in Figure 6a, respectively). This group of longer air mass trajectories have very similar INP concentrations, which correspond to the lowest



concentrations in the INP temperature spectra presented in Figure 6c. The fact the INP concentrations vary over a narrow range (less than one order of magnitude) makes it difficult to identify possible links between air mass trajectory and INP concentrations for this specific group of air masses.

410 The second group of air mass trajectories, between 10 and 16 May 2018, are shorter (<1000 km) and more regional, circulating mostly over Northeastern Europe. Some of these trajectories have clear anticyclonic paths (e.g., oranges lines from 14 and 15 May 2018 in Figure 6a). These observations coincide with the high pressures observed at the same time over SMEAR II (Figure 3d), where long-range transport is expected to be minimal. Most of the INP concentrations corresponding to these air masses fall in the same range of low concentrations as the longer trajectories discussed above. However, two of the air masses, 415 on 15 and 16 May 2018, correspond to the highest INP concentrations measured in the free troposphere during the flight campaign. These specific measurements will be further discussed in section 3.7.

Overall, the results presented in this section show that the limited number of free troposphere samples, whose INP concentrations vary mostly over a narrow range, makes it difficult to identify distinct patterns between air mass trajectories and corresponding INP concentrations. Previous work showed that the frequency distribution of measured INP concentrations 420 could be used to investigate the effect of transport in terms of aging and dilution (Welti et al., 2018, 2020; Schrod et al., 2020), where a lognormal frequency distribution indicates that the INP concentration has undergone a series of random dilutions while being transported from its source (Welti et al., 2018). However, such analysis could not be performed due to the limited amount of data. Therefore, additional measurements would be necessary to draw conclusions on the distribution of INP concentrations in the free troposphere above a Finnish boreal forest and the influence of the air mass origin(s) on the observed 425 concentrations.

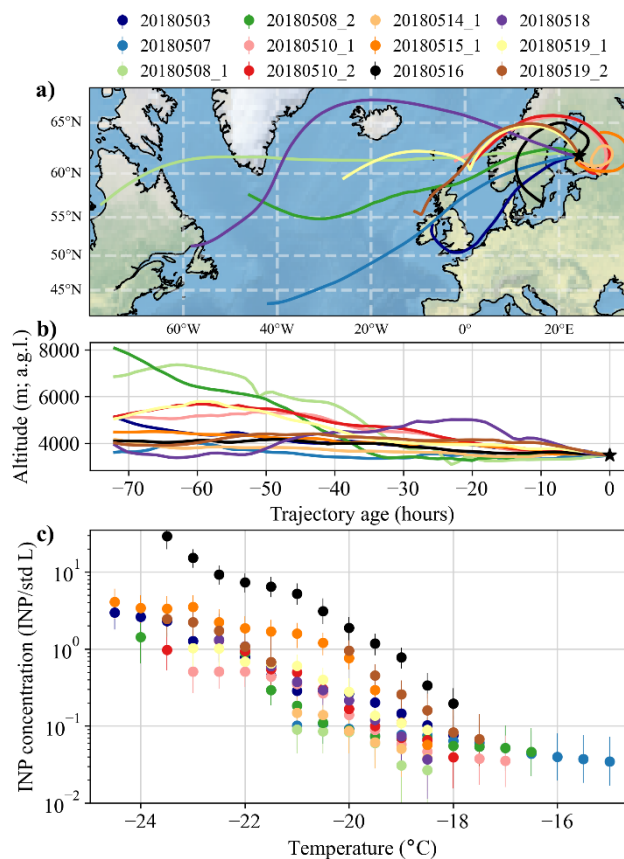


Figure 6. a) HYSPLIT 72-hour backward trajectories arriving at 3500 m a.g.l. at SMEAR II. b) Trajectory altitude over time. c) INP temperature spectra of the free troposphere samples. In each plot, the color represents a specific flight/sample, as indicated in the legend above panel a). Note that there were sometimes two flights per day, and each flight is identified by a number (_1 or _2) in the legend above panel a). In a) and b), the black star represents the measurement location in the free troposphere above SMEAR II, at 61°51' N, 24°17' E and 3500 m a.g.l.

3.5 Comparison to existing parameterizations

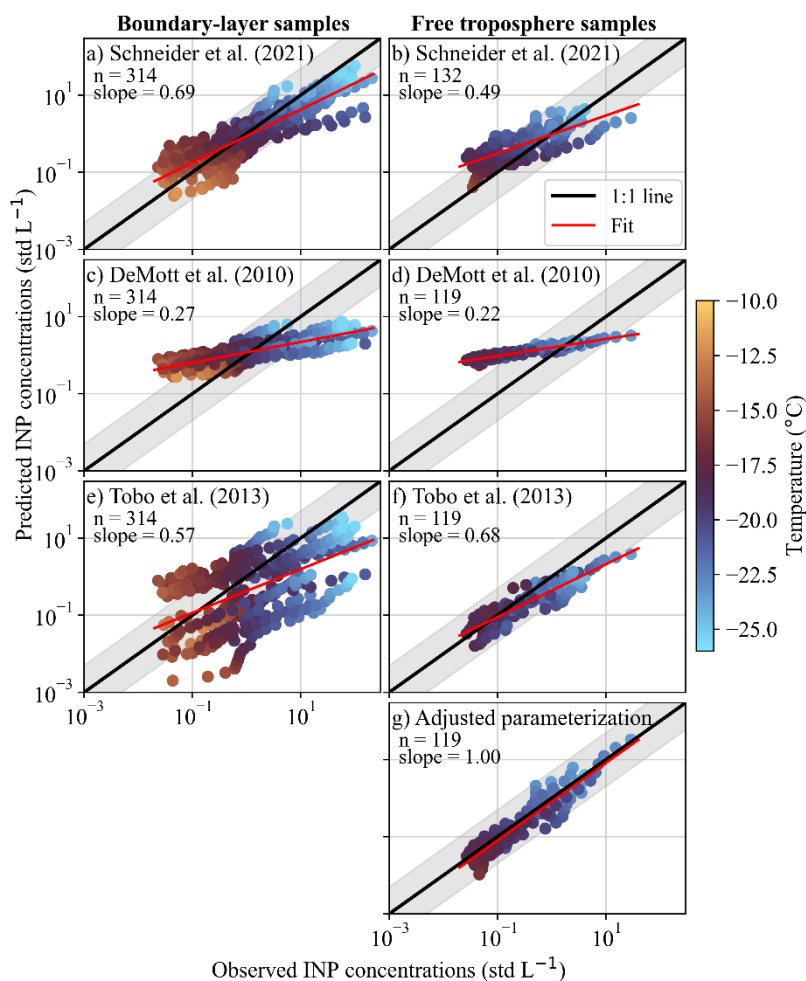
In Figure 7 a-f, the measured INP concentrations are compared to INP concentrations predicted by three existing parameterizations from Schneider et al. (2021), DeMott et al. (2010), and Tobo et al. (2013), which are presented in Table 1. Schneider et al. (2021) used 15 months of measurements in Hyytiälä (from March 2018 to May 2019 with a time resolution between 24 and 144 hours) to investigate the seasonal cycle of INP concentration in the Finnish boreal forest and concluded that variations were driven by the abundance of biogenic aerosols emitted from vegetation in the forest. They developed a new non-aerosol-specific parameterization using ground-level ambient air temperature as a proxy for the seasonal change. For the boundary-layer samples (Figure 7a), the Schneider et al. (2021) parameterization is calculated using the ground-level ambient air temperature measured at 4.2 m a.g.l., while for the free troposphere samples (Figure 7b), the parameterization is calculated using the ambient air temperature measured onboard the aircraft in the free troposphere. In both cases, the ambient temperature was averaged over the sampling time of each sample. The parameterization by DeMott et al. (2010) was developed by



combining observations from nine different field studies (in Colorado, eastern Canada, the Amazon, Alaska, and the Pacific basin) collected via aircraft measurements using a CFDC. It is considered as a global aerosol type-independent parameterization for atmospheric particles of nonspecific composition and uses the total number concentration of particles with diameters larger than 0.5 μm . Tobo et al. (2013) proposed a modified version of the parameterization from DeMott et al. (2010) using observations from a ponderosa pine forest in Colorado. The total number concentration of particles with diameters larger than 0.5 μm used in these two parameterizations was calculated using the SMEAR II APS for the boundary-layer samples (Figure 7c, e, and g) and the aircraft OPS for the free troposphere samples (Figure 7d, f, and h), and was averaged over the sampling time of each sample.

Table 1: Overview of the INP parameterizations used in this study together with the ice nucleation mode, the temperature range for which they have been developed and the input parameters used in each parameterization.

Reference	Temperature range	Equation	Input parameters
Schneider et al. (2021)	-25 to -12 °C	$n_{INP} = 0.1 \cdot \exp(a1 \cdot T_{amb} + a2) \cdot \exp(b1 \cdot T + b2)$ with $a1 = 0.074 \text{ K}^{-1}$, $a2 = -18$, $b1 = -0.504 \text{ K}^{-1}$, and $b2 = 127$	Ground-level ambient air temperature T_{amb} (K) Activation temperature T (K)
DeMott et al. (2010)	-35 to -9 °C	$n_{INP} = a(273.16 - T)^b (n_{AP, > 0.5 \mu\text{m}})^{c(273.16 - T) + d}$ with $a = 0.0000594$, $b = 3.33$, $c = 0.0264$, and $d = 0.0033$	Number concentration of particles with diameters larger than 0.5 μm $n_{AP, > 0.5 \mu\text{m}}$ (cm^{-3})
Tobo et al. (2013)	-34 to -9 °C	$n_{INP} = \exp(\gamma(273.16 - T) + \delta) (n_{AP, > 0.5 \mu\text{m}})^{\alpha(273.16 - T) + \beta}$ with $\gamma = 0.414$, $\delta = -6.671$, $\alpha = -0.074$, and $\beta = 3.8$	Activation temperature T (K)



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Figure 7. Comparison between the INP concentrations observed in the boundary layer (left side) and the free troposphere (right side) and the INP concentrations predicted using the parameterizations from a) and b) Schneider et al. (2021), c) and d) DeMott et al. (2010), and e) and f) Tobo et al. (2013). The black solid line represents the 1:1 line while the grey shaded area indicates a range of a factor of 5 from the 1:1 line. The red solid lines show a linear regression fit through the logarithmically transformed data points. The slope of the fit and the number of data points used is shown in each panel.

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Among all the investigated parameterizations, Schneider et al. (2021) reproduce most of the boundary layer data points by predicting 91 % and 44 % of the measurements within a factor 5 and 2, respectively. Therefore, even though it was developed to represent the concentration of INPs in Finnish boreal forests near the surface, the Schneider et al. (2021) parameterization also reproduces INP concentrations in the boundary layer over the same environment. Our aircraft measurements are however limited to the spring/summer season, and additional measurements conducted at different times of year would be necessary to determine the ability of the parameterization to predict INP concentrations in the boundary layer above Hyytiälä. DeMott et al. (2010) reproduce 62 % and 31 % of the data points within a factor of 5 and 2, respectively, but the slope of its linear regression fit is more shallow, and it does not match the temperature trend. It overestimates the INP concentrations measured at temperatures warmer than about $-18\text{ }^{\circ}\text{C}$ where the concentrations are less than $\approx 1\text{ L}^{-1}$, likely due to the fact that the

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470 parameterization was based on CFDC measurements without using an aerosol concentrator where high uncertainty is expected
for the detection of low INP concentrations, as discussed in Tobo et al. (2013). On the other hand, the DeMott et al. (2010)
parameterization underestimates the INP concentrations greater than $\approx 1 \text{ L}^{-1}$, which suggest some differences in the INP
population sampled during our study compared to the samples studied in DeMott et al. (2010). Lastly, the parameterization
from Tobo et al. (2013) only reproduces 47 % and 16 % of the data points within a factor of 5 and 2, respectively, and
475 underestimates a large part of the INP concentrations measured in the boundary layer. Based on these results, we conclude that
the Schneider et al. (2021) parameterization performs best and can successfully predict the concentration of INPs in the
boundary layer above a Finnish boreal forest environment. This further supports our hypothesis that the INPs measured in the
boundary layer could be local biogenic particles rather than long-range transported particles.

Concerning the free troposphere samples, Schneider et al. (2021) reproduce 75 % and 43 % of the measurements within a
480 factor of 5 and 2, respectively (Fig. 7b). It overestimates most of the INP concentrations measured, which is not necessarily
surprising considering that the parameterization is based on near-surface observations. Thus the Schneider et al. (2021)
parameterization can successfully represent the well-mixed boundary layer, but not the more remote free troposphere where
INPs can be more scarce and originate from distant sources.

On the other hand, the DeMott et al. (2010) parameterization is considered to be suitable for representing a mixture of
485 continental aerosol such as anthropogenic haze, biomass burning smoke, biological particles, soil and road dust (Mamouri and
Ansmann, 2016), and one could therefore expect that it would successfully predict INP concentrations observed in the free
troposphere. However, DeMott et al. (2010) only reproduce 55 % and 28 % of the measurements within a factor of 5 and 2,
respectively. As observed previously, the parameterization overestimates the INP concentrations lower than $\approx 1 \text{ L}^{-1}$ and
underestimates the concentrations greater than $\approx 1 \text{ L}^{-1}$.

490 The Tobo et al. (2013) parameterization reproduces 92 % and 59 % of the measurements within a factor of 5 and 2, respectively.
Even though it tends to underestimate the INP concentrations, especially for the colder temperatures (Fig. 7f), it is the
parameterization that performs best at predicting the INP concentrations measured in the free troposphere. This is somewhat
surprising since Tobo et al. (2013) is considered to be a composition-specific INP parameterization, while our results suggest
that the free tropospheric particles and INPs are likely long-range transported and therefore are likely a mixture of various
495 particles. Despite this, it seems that the number concentration of particles with diameters larger than $0.5 \mu\text{m}$ and temperature
dependence described in the Tobo et al. (2013) parameterization successfully represents the free troposphere measurements
presented in this study. It is possible that the equation form used in Tobo et al. (2013), which differs slightly from the one used
in DeMott et al. (2010) due to the exponential dependence on temperature of the first term (Table 1), is a better fit for the free
troposphere data presented here.

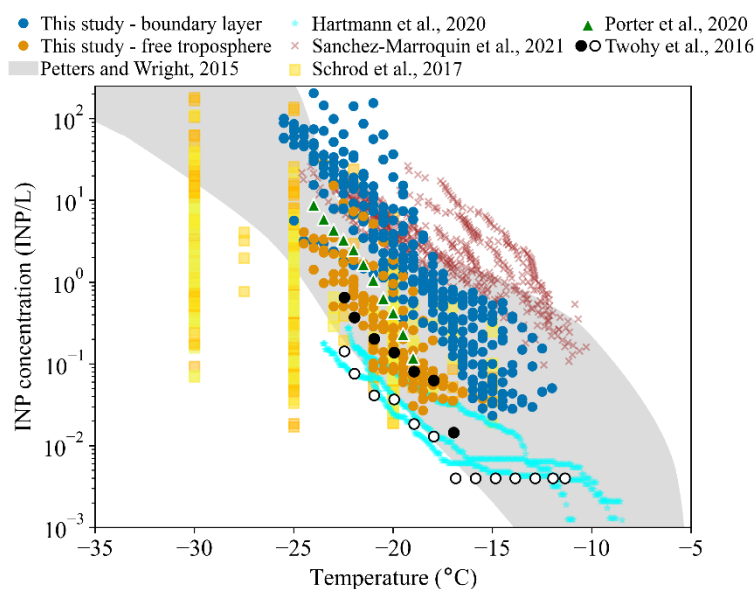
500 Using the in situ observations of number concentration of particles with diameters larger than $0.5 \mu\text{m}$ and INP concentrations
measured in the free troposphere, we could adjust the parameterization from Tobo et al. (2013) to better fit our data. The
adjusted parameterization follows the same mathematical form as the Tobo et al. (2013) parameterization presented in Table
1, with the adjusted coefficients: $\gamma = 0.7841$, $\delta = -16.9941$, $\alpha = 0.3187$, and $\beta = -4.1788$. As shown in Fig. 7g, the adjusted



parameterization reproduces 99 % and 75 % of the data points within a factor of 5 and 2, respectively, and therefore
 505 successfully represents the free troposphere INP measurements. This parameterization with the adjusted coefficients should
 however be used with caution as the number of observations is very limited, and more measurements conducted in the free
 troposphere would be necessary to efficiently represent the variations of INP concentrations. Moreover, the fact that none of
 the pre-established parameterizations presented here perfectly represent the trend in the INP concentrations measured in the
 free troposphere further stresses the need for additional measurements and characterization of the free tropospheric INPs above
 510 the Finnish boreal forest to properly predict the INP concentrations encountered there.

3.6 Comparison to previous studies

INP concentrations vary significantly across the world depending on, among other things, the location, time of the year and
 altitude of the measurements (Kanji et al., 2017). In Figure 8, we compare our data to literature data collected mostly from
 aircrafts in different environments.



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**Figure 8. INP concentrations from the present study compared with literature data. The Porter et al. (2020) data shown here
 corresponds to the sum of the size resolved (between 0.25 and 10.0 μm) INP concentrations measured in Hyytiälä, which was
 calculated following the method described in the same study. For the Schrod et al. (2017) data, the measurement height varies
 between approximately 500 m a.g.l. (light yellow squares) and 2500 m a.g.l. (dark yellow squares). For the Twohy et al. (2016)
 520 the black circles represent a filter sample taken within the boundary layer (1067 m a.g.l.), while the white circles represent a filter
 sample sampled primarily in the free troposphere (from 3638 to 897 m a.g.l.).**

Most of the data presented in this study fall within the mid-latitude data range given by Petters and Wright (2015; grey band
 in Figure 8) derived from precipitation samples collected around the world, except for the highest INP concentrations measured
 between -18 and -24 °C in the boundary layer. Most of the INP concentrations presented in this study are also higher than
 concentrations measured in the marine boundary layer in the Arctic during winter (Hartmann et al., 2020; cyan data points in
 525 Figure 8), although the ice onsets of our measurements are approximately 4 °C colder. Compared to INP measurements

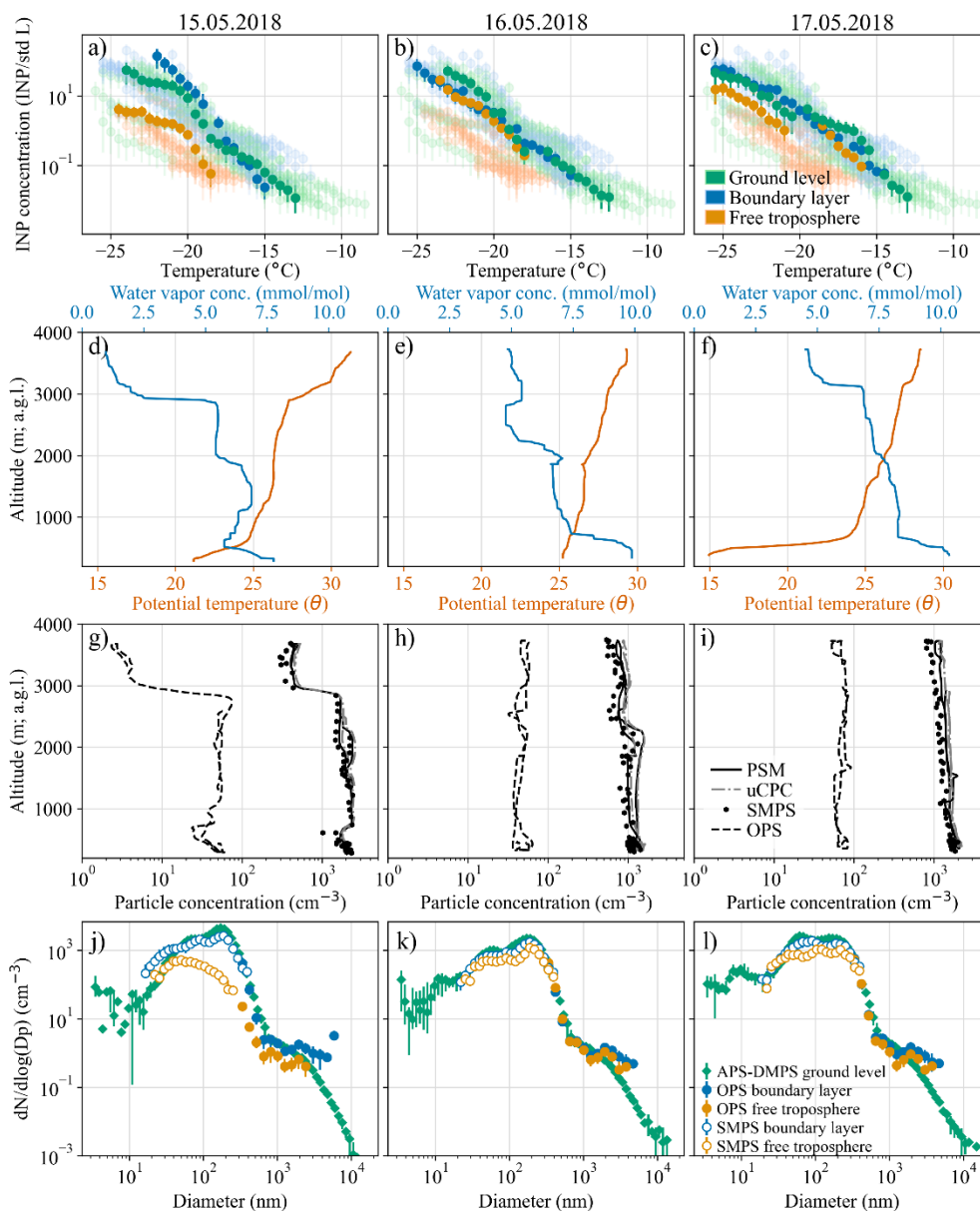


conducted in the South East of the British Isles (Sanchez-Marroquin et al. 2021), the INP concentrations we measured in the boundary layer are about one order of magnitude lower for temperatures above approximately $-18\text{ }^{\circ}\text{C}$, but are within the same order of magnitude for temperatures below approximately $-18\text{ }^{\circ}\text{C}$. There is also some overlap with the measurements from Schrod et al. (2017) who sampled Saharan dust plumes over the Eastern Mediterranean. The INP concentrations reported by Schrod et al. (2017) were, however, measured using the FRankfurt Ice Deposition freezinG Experiment (FRIDGE), which covers temperatures and INP concentrations ranges that were not accessible with the INSEKT in the study presented here. INP concentrations measured in the boundary layer and in the free troposphere over a forested site in the western United States (Twohy et al., 2016) are about one order of magnitude lower than the corresponding concentrations reported in the present study. Surprisingly, the INP concentrations reported in Porter et al. (2020), which were measured at ground level in Hyytiälä using the aerosol sampler described in the same study, are relatively low compared to our boundary-layer samples and closer to our free troposphere measurements. This difference in INP concentrations could be due to the fact that the measurements presented in Porter et al. (2020) were carried out in March 2018, which is one of the months with the lowest INP concentrations measured at ground level in Hyytiälä (Schneider et al. 2021).

Overall, the INP concentrations measured in the boundary layer are relatively high compared to the literature, while the concentrations measured in the free troposphere fall within the same range as previous measurements. These observations illustrate that the boundary layer above the Finnish boreal forest is an environment rich in INPs with concentrations comparable to other environments. However, the impact of the INPs on cloud formation might be minor, at least locally, as the INPs are not generally transported into the free troposphere, as shown by the lower INP concentrations measured there. Nevertheless, Figure 8 shows some cases where INP concentrations measured in the free troposphere were higher and within the same range as the concentrations measured in the boundary layer.

3.7 Case study: higher concentrations of INPs in the free troposphere

During specific flights from 16 afternoon and 17 morning May 2018, INP concentrations measured in the free troposphere were higher than usually reported during the flight campaign. These two flights are compared to the early morning flight of 15 May 2018 which is chosen to illustrate a measurement flight with a more typical vertical distribution of INP concentrations (cf., Figure 4a). In Figure 9a, b, and c, the INP temperature spectra of these three consecutive flight days are shown. As mentioned previously, the early morning flight on 15 May 2018 (Figure 9a) is characterized by similar INP concentrations between ground level and boundary layer and lower INP concentrations in the free troposphere, as were most flights during this campaign. Conversely, the afternoon flight on 16 May 2018 shows relatively high INP concentrations in the free troposphere, which are within the same order of magnitude as the INP concentrations measured at ground level and in the boundary layer (Figure 9b). Likewise, the next flight, during the morning of 17 May 2018, also shows higher INP concentrations in the free troposphere. Note that the discontinuity observed in the free troposphere sample from 17 May 2018 occurs at the dilution step and is nonphysical. It is likely related to the shorter sampling time used for this filter (≈ 45 minutes) or inhomogeneity in the suspension caused by particle settling (Harrison et al., 2018).



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Figure 9. a), b) and c) INP temperature spectra for the three flights of the case study (15, 16 and 17 May 2018), plotted on top of the complete dataset (transparent data points) as in Fig. A2. d), e) and f) Potential temperature and water vapor concentration plotted as a function of altitude during the ascents, which occurred between 05:30 and 06:20 (UTC+2) on 15 May; between 13:40 and 14:20 (UTC+2) on 16 May; and between 08:40 and 09:20 (UTC+2) on 17 May 2018. g), h) and i) Particle concentrations as a function of altitude. j), k) and l) Median particle number size distributions for the three consecutive flights of the case study. The size ranges of the particle counters used onboard the aircraft are > 1.5 nm for the PSM, > 3 nm for the uCPC, > 10 nm for the SMPS and > 300 nm for the OPS.

To better understand the differences between these three days, we examine profiles of meteorological and particle variables measured during the flights. For the flight on 15 May 2018, which took place very early in the morning (starting around 05:00 UTC+2), Fig. 9d shows that there is a sharp decrease in the water vapor concentration and an increase in the potential

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temperature at approximately 2800 m a.g.l., indicating the transition between the residual layer and the free troposphere. This agrees relatively well with the lidar data (Figure 10a), which shows a residual layer up to approximately 2600 m a.g.l., above a very shallow mixed layer (under 200 m a.g.l.) which had just started developing and was not sampled at the time of the flight, and therefore is not visible in (Figure 9d). The limit between the boundary layer and the free troposphere is also clearly visible

575 from the measurements of particle concentrations with a sudden decrease in the concentration around 2800 m a.g.l. (Figure 9g), which could explain the lower INP concentrations measured in the free troposphere. On the afternoon flight of 16 May 2018, however, it is difficult to estimate the limit between the boundary layer and the free troposphere using the aircraft measurements. Indeed, the particle concentration remains relatively high ($\approx 40 \text{ cm}^{-3}$ for particles $> 300 \text{ nm}$) and homogeneous from 300 to 3500 m a.g.l. (Figure 9h). Only a small decrease in the particle concentration and water vapor concentration

580 (Figure 9e), observed at approximately 2400 m a.g.l., hints at a change of atmospheric layer. This is confirmed when looking at the SMEAR II lidar data presented in Figure 10b, which also shows a limit between the boundary layer and the free troposphere between 2000 and 2400 m a.g.l. during the flight window. Hence the higher INP concentrations measured in the free troposphere on 16 May 2018 are likely due to the high particle concentrations encountered there. Similarly, on the morning flight of 17 May 2018, the particle concentration is also high ($\approx 70 \text{ cm}^{-3}$ for particles $> 300 \text{ nm}$) and homogeneous between

585 300 and 3500 m a.g.l., as shown in Figure 9i. The real-time measurements of potential temperature and water vapor concentration (Figure 9f) show a low mixed layer at approximately 500 m a.g.l. and a deep residual layer up to approximately 3000 m a.g.l., which are also visible in the lidar data (Figure 10c). As for 16 May 2018, it seems like the higher INP concentrations observed in the free troposphere are due to the high particle concentrations present in the free troposphere. It is however unclear from where these particles and INPs originate. One possibility is that the particles and INPs have been

590 transported from remote sources to the free troposphere above SMEAR II by long-range transport. Another possibility is that the particles and INPs have been ventilated out of the boundary layer to the free troposphere locally.

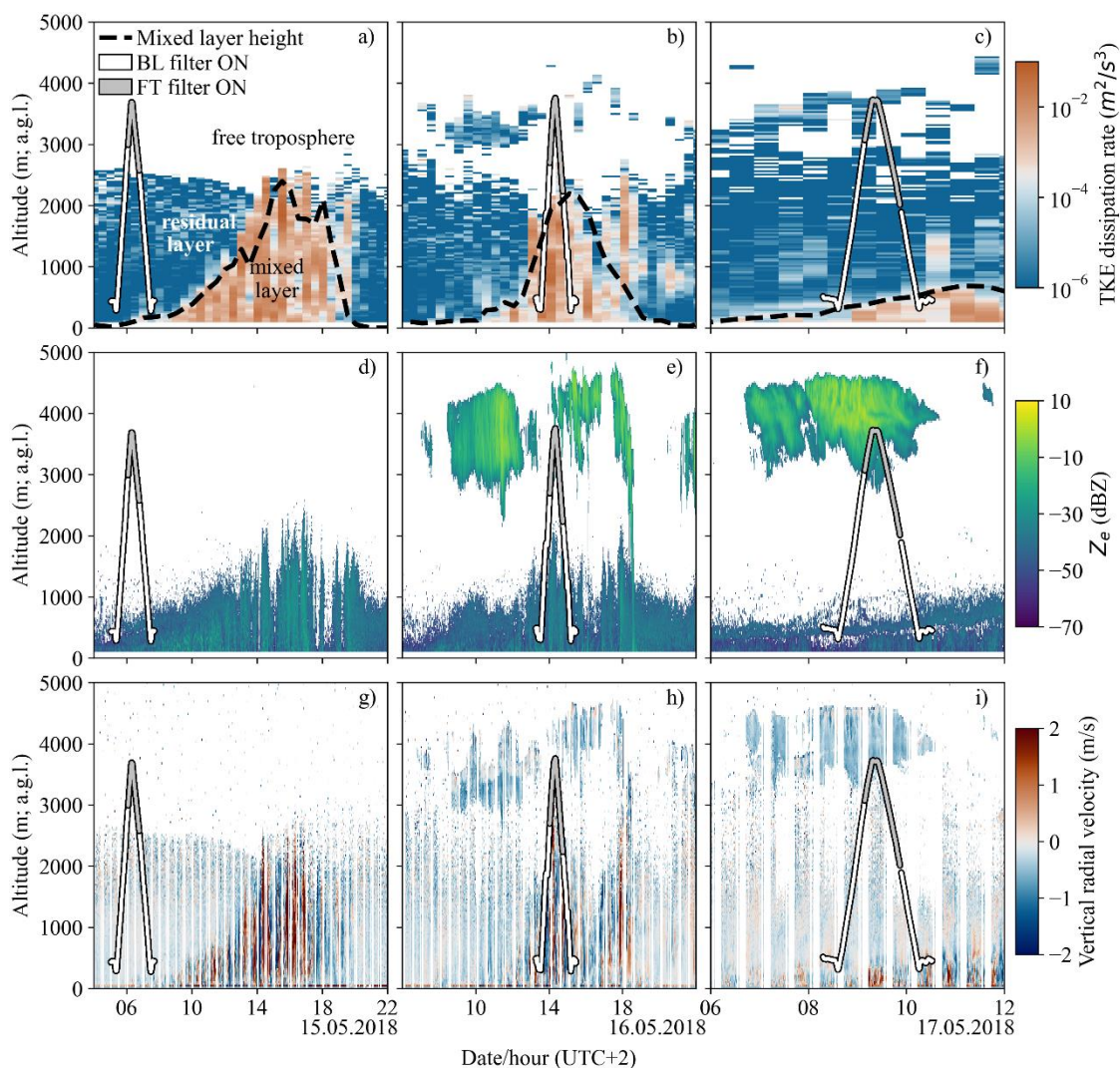
To further investigate the source(s) of these high particle concentrations (and thus INPs) encountered in the free troposphere, we first compare the particle number size distribution measured between ground level, the boundary layer, and the free troposphere for each flight (Figure 9j, k, and l). On 15 May 2018, there is a clear difference between the size distribution

595 measured in the boundary layer and in the free troposphere (Figure 9j). This is similar to what was reported for most flights in the study (Figure 5a) and suggests that two distinct aerosol populations were sampled between the boundary layer and the free troposphere. However, the size distribution measured in the free troposphere on 16 May 2018 is very similar to those measured at ground level and in the boundary layer on the same day (Figure 9k). All three median size distributions show similar features and concentrations; they have a clear Aitken mode around 40 nm, an accumulation mode around 200 nm and rather low concentrations of coarse mode particles above 1000 nm. This implies that a single aerosol population was sampled from ground

600 level to the free troposphere. On 17 May 2018, the size distribution measured in the free troposphere is still relatively similar to those observed at ground level and in the boundary layer. There is however a small deviation in the free troposphere size distribution between 30 and 90 nm, where the concentration decreases compared to what is observed at ground level and in the boundary layer. This depletion of particles could be due to cloud processing, which agrees well with the presence of a



605 cloud between approximately 3000 and 4500 m a.g.l. during the flight on 17 May 2018, as seen from the SMEAR II Doppler
 cloud radar data (Figure 10f). Note that because the limit between the boundary layer and the free troposphere was difficult to
 estimate during the flight of 17 May 2018, it is possible that part of the free troposphere sample was sampled in the residual
 layer (Figure 10c). This situation makes it challenging to understand if the relatively high INP concentrations measured in this
 sample are related to the higher particle concentration encountered in the free troposphere or to a ‘contamination’ from the
 610 residual layer. However, this should matter little if one single aerosol population is present from ground level to the free
 troposphere, as suggested by Figure 9l. Moreover, when comparing the size distributions measured in the free troposphere and
 in the first meters of the residual layer (2000 m a.g.l.), rather similar distributions are observed, especially for particle diameters
 above 100 nm (Fig. A4).



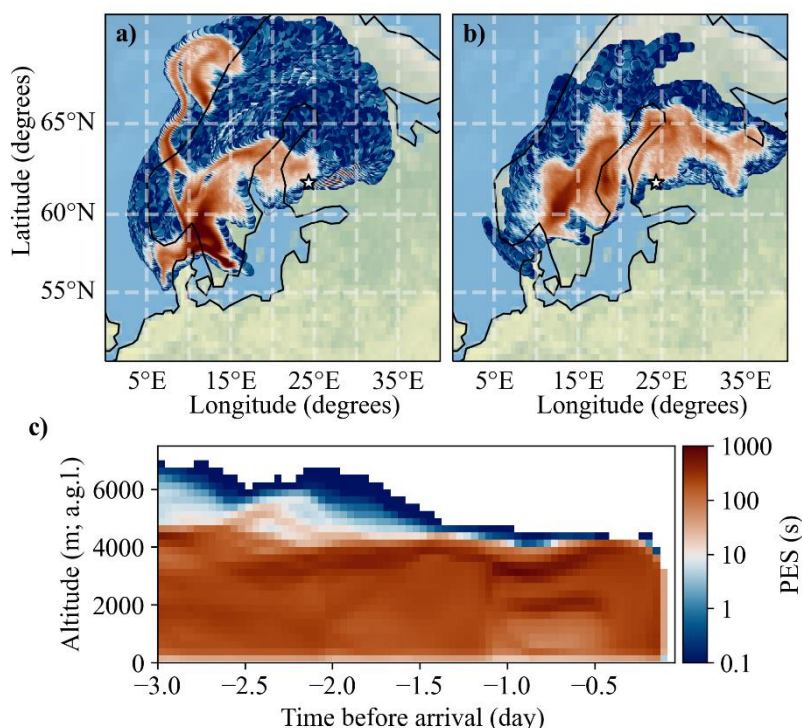
615 **Figure 10.** Evolution of a), d) and g) the boundary layer height estimated from the TKE dissipation rate measured with the SMEAR II lidar (dashed black line), b), e) and h) the equivalent reflectivity Z_e obtained from the SMEAR II Doppler cloud radar during the



three consecutive days of the case study, and c), f) and i) the vertical radial velocity from the SMEAR II lidar. The flight tracks are highlighted together with the status of the filters (BL = boundary layer, FT = free troposphere). All times are given in East European Time (UTC+2).

620 Based on the similarities in particle and INP concentrations and size distributions between the ground-level, boundary layer and free troposphere measurements on 16 May 2018, we hypothesize that the particles and INPs sampled in the free troposphere are local particles transported from the surface to the free troposphere via local vertical mixing rather than long-range transport. This hypothesis is supported by examining the air mass history of the free troposphere layer sampled during the flight simulated with FLEXPART. In Figure 11a and b, we present the horizontal distribution of the vertically integrated
625 PES above 3 km and in the lowest 1 km a.g.l., respectively, for air masses arriving at 3 km a.g.l. at SMEAR II on 16 May 2018 at 14:00 (UTC+2). In both cases, the air masses covered short distances and circulated over Northeastern Europe, similarly to what was observed in Figure 6a. Figure 11c displays the vertical distribution of the PES during the 3-day backward simulation for 41 height levels spanning from 50 m to 10 km with a vertical resolution of 250 m. Results show that the elevated layer spent very little time below 200 m a.g.l., and therefore is less likely to have accumulated surface particles in transit. However,
630 Figure 11c shows that the elevated layer did spend most of its time in the boundary layer, even on the same day as the aircraft measurements. This, together with the similarities in the aerosol population, suggests that the elevated aerosol sampled in the free troposphere originate in the boundary layer. Note that, as a result of the boundary layer influence on the free troposphere, the Schneider et al. (2021) parameterization performs better at reproducing the free tropospheric INP measurements from 16 and 17 May 2018 (Fig. A5).

635 The process that would cause the ventilation of particles from the boundary layer to the free troposphere remains unclear for now. The presence of clouds during the aircraft measurements on 16 May 2018 (Figure 10e) could have altered the vertical potential temperature profile (Figure 9e) and led to radiative cooling at the cloud top, which could in turn drive turbulence. Such turbulence can be seen in the vertical radial velocity data from the SMEAR II lidar on 16 May 2018 as well as on 15 May 2018 (Figure 10g and h), but do not seem to extend to the free troposphere. There is much less turbulence on 17 May
640 2018, although the particle concentration and size distribution observed in the free troposphere on that day suggest that the elevated layer is still influenced by the boundary layer.



645 **Figure 11. Air mass origin for the elevated layer at 3 km a.g.l. observed on 16.05.2018 at 14 (UTC+2) in SMEAR II. a) PES summed up for all heights above 3 km a.g.l. for 3 days before arrival at SMEAR II. b) Sum of PES in the lowest 1 km a.g.l. for 3 days before arrival at SMEAR II. c) Vertical distribution of PES for 3 days before arrival at SMEAR II.**

4. Conclusions

In this study, we present filter-based measurements of INP concentrations carried out during spring 2018 above the boreal forest at the SMEAR II station in Hyytiälä, southern Finland. In total, 19 flights were conducted between 20 April and 19 May 2018, with the objective to compare INP concentrations measured at ground level, in the boundary layer and in the free troposphere in order to investigate the vertical distribution of INPs above the Finnish boreal forest.

650 Results reveal similar INP concentrations, activated fractions, particle number size distributions and concentrations observed at ground level and in the boundary layer, suggesting that surface particles and INPs are efficiently transported and mixed within the boundary layer above the boreal forest. The INP concentrations and activated fractions observed in the boundary layer are within the same order of magnitude as ground-level concentrations reported by Schneider et al. (2021) for the same time period. In addition, the INP concentrations measured in the boundary layer are successfully predicted by the Schneider et al. (2021) parameterization developed to describe near-surface INP concentrations in the Finnish boreal forest. This suggests that similar INPs were sampled in both studies, which Schneider et al. (2021) identified as biogenic particles originating from the boreal forest environment. In conclusion, we suggest that the Finnish boreal forest is the main source of INPs observed in



660 the boundary layer above this environment rather than long-range transport of particles, at least during the spring/summer season.

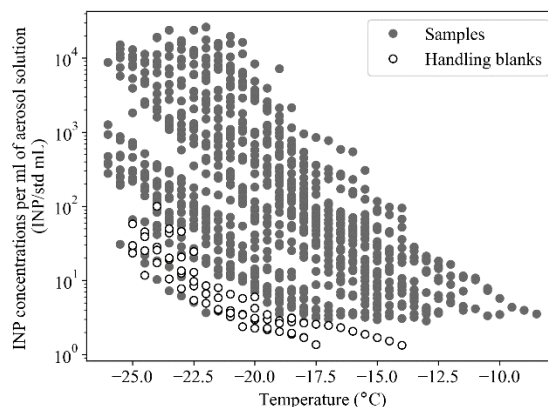
On the other hand, the free troposphere above the boreal forest is characterized by much lower INP concentrations and activated fractions, and distinct particle number size distributions compared to ground-level and boundary layer observations. This suggests that a different aerosol population, with less efficient INPs, is encountered in the free troposphere, likely resulting from the mixing of long-range transported particles from different sources. The INP concentrations measured in the free troposphere are best represented with the parameterization from Tobo et al. (2013), which we adjust to better fit our observations. We want to stress that this new adjusted parameterization should be used with caution as it was determined using a very limited number of observations in the free troposphere. Analysis of the air mass backward trajectories in the free troposphere using the HYSPLIT model did not yield conclusive results, and additional measurements would be necessary to investigate the sources of INPs in the free troposphere above Hyytiälä. For example, additional samples, with longer samplings times to increase the particle load on the filters, could be used to investigate the chemical composition and heat sensitivity of the sampled particles in a similar manner to what Sanchez-Marroquin et al. (2023), Hartmann et al. (2020) and Hill et al. (2016) have done previously.

Two consecutive flights where INP concentrations measured in the free troposphere were relatively high and similar to the concentrations measured in the boundary layer and at ground level were analyzed in detail. During both flights, the particle concentrations remained relatively high and homogeneous from 300 to 3500 m a.g.l., and the particle number size distributions measured at ground level, in the boundary layer and in the free troposphere were very similar, implying that a single aerosol population was sampled from the surface to the free troposphere. Analysis of the free tropospheric air mass PES using the FLEXPART model shows that the free troposphere was influenced by the boundary layer before reaching the measurement site. It is thus possible that the aerosol particles and INPs sampled in the free troposphere originated in the boundary layer. However, the process causing the transport of particles from the boundary layer to the free troposphere remains unclear. Based on this case study, we conclude that, under specific conditions, local INPs can be efficiently transported from the surface to the free troposphere. Although additional measurements would be necessary to further study this phenomenon, identify the processes involved and quantify how often it happens, such events show that local surface INPs can occasionally reach the free troposphere, from where they can travel further and impact cloud formation.

685



Appendix



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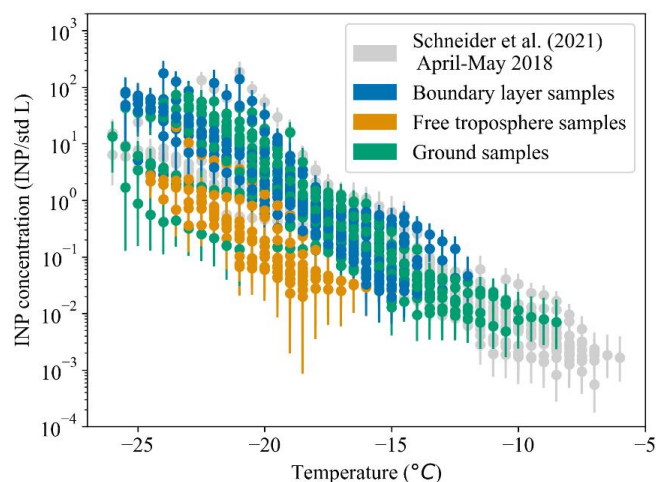
Figure A1. INP concentrations per ml of aerosol solution from the collected filters compared to the background signal derived from the handling blank filter. Note that only the INP concentrations that were at least twice as high as the background INP concentrations were considered significant and were used in this study.

Table A1: Flight campaign overview. The flight times given in the table correspond to the total flight time, including the transit from the Tampere-Pirkkala airport to SMEAR II. All times are given in East European Time (UTC+2). The times of sunrise and sunset were obtained from NOAA (<https://gml.noaa.gov/grad/solcalc/>).

Flight number	Flight date	Flight start time	Flight end time	Apparent Sunrise time	Apparent Sunset time
1	20 April 2018	11:32	14:17	04:45	20:00
2	03 May 2018	10:50	13:25	04:05	20:36
3	07 May 2018	10:22	13:23	03:53	20:47
4	08 May 2018	09:20	12:17	03:51	20:50
5	08 May 2018	13:07	15:36	03:51	20:50
6	09 May 2018	09:07	11:39	03:48	20:53
7	09 May 2018	13:10	15:44	03:48	20:53
8	10 May 2018	09:20	12:02	03:45	20:56
9	10 May 2018	13:21	16:18	03:45	20:56
10	14 May 2018	10:46	13:41	03:34	21:07
11	14 May 2018	15:43	18:37	03:34	21:07
12	15 May 2018	04:46	07:50	03:31	21:09
13	15 May 2018	10:01	12:53	03:31	21:09
14	16 May 2018	12:55	15:41	03:29	21:12
15	17 May 2018	08:02	10:43	03:26	21:15

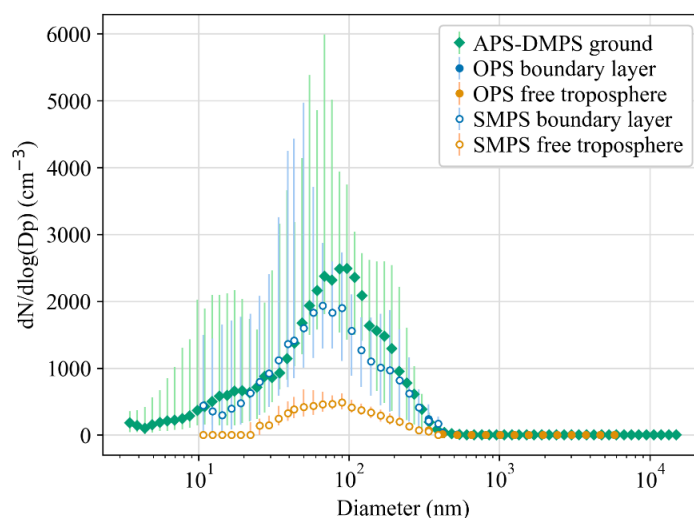


16	17 May 2018	12:27	15:05	03:26	21:15
17	18 May 2018	12:03	14:41	03:23	21:17
18	19 May 2018	11:08	13:49	03:21	21:20
19	19 May 2018	15:46	18:35	03:21	21:20



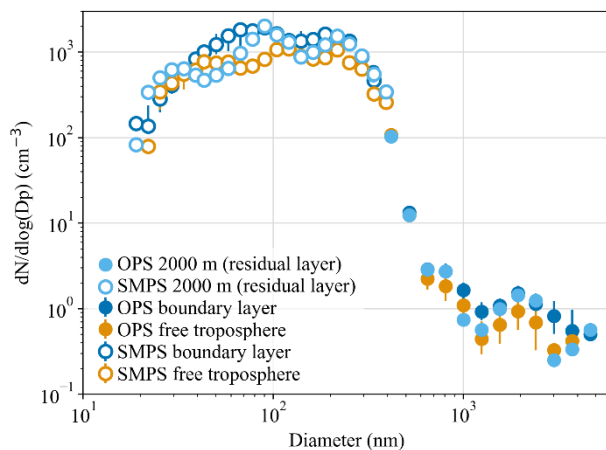
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Figure A2. INP temperature spectra of all the samples collected during the aircraft measurement campaign together with the ground-level data from Schneider et al. (2021) collected in Hyttälä during April and May 2018. The error bars represent the statistical as well as the systematic error of the INSEKT assay. More details related to the calculation of these error bars is given in Schneider et al. (2021).



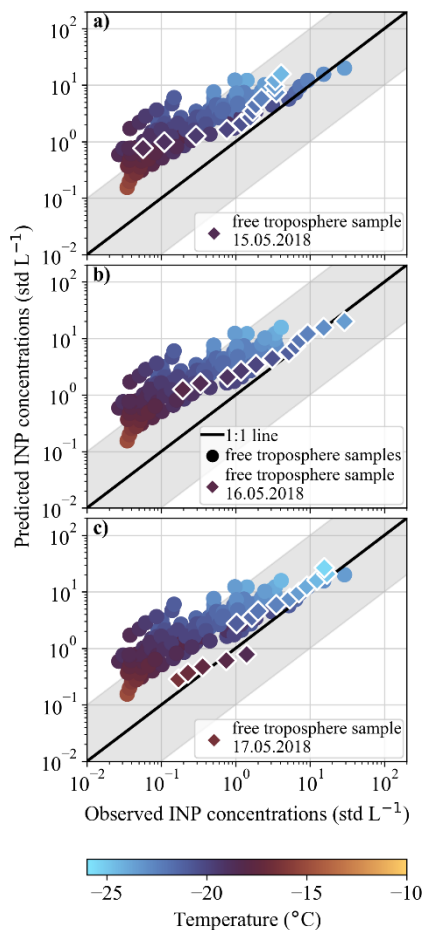
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Figure A3. Median particle number size distributions calculated from ground-level measurements (SMEAR II APS and DMPS) as well as boundary layer and free troposphere measurements (aircraft SMPS and OPS) over the 19 flights of the campaign. The error bars represent the 25th and 75th percentiles.



705

Figure A4. PNSD measured on 17 May 2018 at the highest point reached in the free troposphere and in the first meters of the residual layer.





710 **Figure A5. Comparison between the observed and the predicted INP concentrations calculated using the parameterization from**
Schneider et al. (2021) for the free troposphere samples collected on the three consecutive days of the case study. In each panel, the
diamond markers represent a specific free troposphere sample sampled on a) 15 May, b) 16 May, and c) 17 May 2018, plotted on
top of all the free troposphere samples collected during the campaign. The black solid line represents the 1:1 line while the grey
shaded area indicates a deviation of 1 order of magnitude from the 1:1 line. The parameterization from Schneider et al. (2021) was
715 **calculated using the ground-level ambient air temperature measured at 4.2 m a.g.l. and averaged over the sampling time of each**
sample.



Data availability

720 The aerosol and meteorological data from SMEAR II can be accessed at <https://smear.avaa.csc.fi/> (Junninen et al., 2009). The INP and aircraft data presented in this article will be available upon publication with the following DOI: 10.5281/zenodo.10975295.

Author contribution

Markku Kulmala and Tuukka Petäjä developed and scientifically lead the research program at SMEAR II station. Jonathan
725 Duplissy conceived the idea of the study. Zoé Brasseur, Janne Lampilahti, Markus Lampimäki and Pyry Poutanen prepared and set up the instruments onboard the aircraft and conducted part of the aircraft measurements. Julia Schneider analyzed the INP filter samples. Janne Lampilahti and Pyry Poutanen processed the aircraft data. Carlton Xavier carried out the FLEXPART simulations. Ville Vakkari analyzed the SMEAR II HALO Doppler lidar data. Dmitri Moisseev analyzed the SMEAR II Doppler cloud radar data. Zoé Brasseur and Julia Schneider conducted the data analysis. Zoé Brasseur wrote the manuscript
730 with contributions from Jonathan Duplissy, Katrianne Lehtipalo, Ottmar Möhler, Kristina Höhler, Erik S. Thomson, Markus Hartmann, Christina J. Williamson, Victoria A. Sinclair, Ville Vakkari, Dmitri Moisseev, Carlton Xavier, Pyry Poutanen, Markus Lampimäki, and Markku Kulmala.

Competing interests

At least one of the (co-)authors is a member of the editorial board of Atmospheric Chemistry and Physics

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740 used in this study to prevent visual distortion of the data and exclusion of readers with color vision deficiencies. The color maps are available at: Crameri, F. (2018), Scientific colour maps, Zenodo, doi:10.5281/zenodo.1243862.

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