



1 **Understanding the Role of Contrails and Contrail Cirrus in Climate**
2 **Change: A Global Perspective**

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9 **Abstract**

10 Globally emissions from aviation affect the Earth's climate via a complex set of processes.
11 Contrail cirrus and carbon dioxide emissions are the largest factors from aviation in the radiative
12 forcing on climate. Although contrail cirrus enhances the impact of natural clouds on the climate,
13 there are still unresolved questions concerning their characteristics and life cycle. Despite
14 extensive research in studying contrails, significant uncertainties persist. Contrail cirrus
15 encompasses linear contrails and the associated cirrus clouds; these are characterized by ice
16 particle properties, e.g., size, concentration, extinction, ice water content, optical depth,
17 geometrical depth, and cloud coverage. The climate impact of contrails may intensify due to
18 projected increases in air traffic. The radiative forcing from global contrail cirrus has the potential
19 to triple and could reach as much as 160 mW m^{-2} by 2050. This projection is based on anticipated
20 growth in air traffic and a potential shift to higher altitudes. The future climate impact of contrail
21 cirrus is influenced by factors like the magnitude and geographical spread in air traffic,
22 advancements in fuel efficiency, the effects from use of alternative fuels, and the effects of the
23 changing climate on the background atmosphere. This study reviews the microphysical processes
24 affecting contrail formation and the aging of contrails and contrail-cirrus. Furthermore, the study
25 explores global observational datasets for contrails, current analyses, and future projections and
26 will aid in evaluating the effectiveness and trade-offs associated with various mitigation strategies.
27 The research highlights gaps in knowledge and uncertainties while outlining research priorities for
28 the future.

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30 **Keywords:** Aviation, contrails, contrail cirrus, radiative forcing, climate model, climate change

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41 1 Introduction

42 The increase in the atmospheric carbon dioxide (CO₂) concentration has had the most substantial
43 impact on human-induced climate change over recent decades (IPCC 2021). Emissions from
44 aviation are a minor but significant contributor to these changes in climate, especially because of
45 emissions of CO₂ and the effects of contrail formation from emitted water vapor (H₂O). Aviation
46 expansion is outpacing economic growth, with projections indicating that over the next two
47 decades, the demand for aviation could grow to about 3 times its present level (Wuebbles et al.,
48 2007), with the International Civil Aviation Organization having previously projected a 4.3%
49 annual growth to 2050 (ICAO 2012). Recent analyses by Boeing and Airbus suggest slightly lower
50 rates of increase of 3.6-3.8% (Boeing 2022; Airbus 2023). These analyses suggest that aviation
51 could become an even more important contributor to climate change in the future. Taking both
52 CO₂ and non-CO₂ effects into account, The global aviation industry comprises about 3.5-4% of
53 the current human-made (anthropogenic) activities forcing on climate (Lee et al., 2020; Klöwer et
54 al., 2021; Grewe et al., 2021).

55 Contrails and resulting contrail clouds formation is one of the most significant radiative forcing
56 contributors from the aviation sector. (Lee et al., 2009, 2021). However, considerable ambiguities
57 persist, stemming from various origins, such as our incomplete understanding of cirrus cloud
58 characteristics, their geographical distribution, and their life span. (Kärcher 2018; Burkhardt et al.,
59 2018; Schumann and Heymsfield 2017). With current aircraft largely burning fossil fuel, CO₂
60 emissions are the other major forcing. Additionally, NO_x (oxides of nitrogen) emission also has a
61 notable impact through the production of tropospheric ozone, but this is partially counteracted by
62 chemical feedback effects on concentrations of atmospheric methane (CH₄). Fig. 1 (Lee et al.,
63 2021) provides the most current assessment of the climate-forcing effects resulting from different
64 aviation emissions on a global scale, spanning from 1940 to 2018; these effects are shown in terms
65 of radiative forcing (RF) and effective radiative forcing (ERF). Radiative forcing in the context of
66 contrails is due to the net radiative energy flux at the top of the atmosphere (TOA) caused by the
67 presence of contrails in the atmosphere (Fuglestvedt et al., 2010). A positive radiative forcing (RF)
68 signifies an increase in atmospheric warming, as depicted by the red bars in Figure 1. ERF, on the
69 other hand, adjusts the RF by accounting for rapid responses occurring within the Earth's climate
70 system.

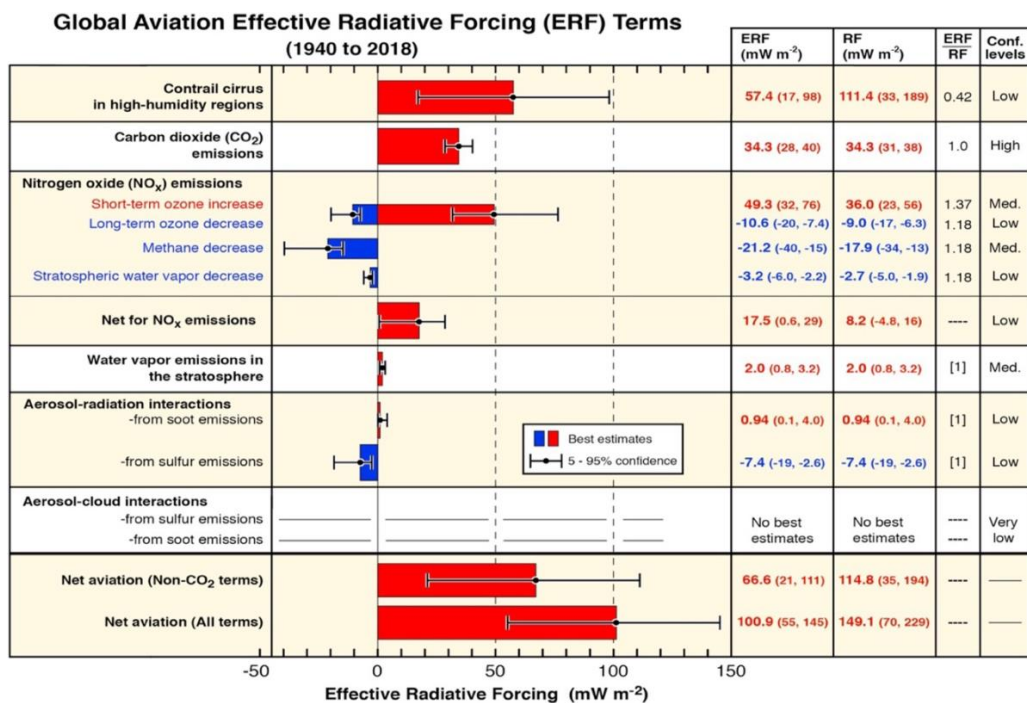
71 In the scientific literature, contrail cirrus is defined as encompassing both linear contrails and the
72 resulting formation of cirrus clouds. Figure 2 provides an overview of aviation exhaust plume
73 emissions and factors influencing contrail formation. The characteristics of contrail cirrus include
74 average ice particle sizes and concentrations, extinction, ice water content, optical depth,
75 geometrical depth, and contrail coverage. Integral contrail properties involve parameters such as
76 contrail cirrus volume, total number of ice particles, overall ice water content, and total extinction
77 (area integral of extinction) per contrail length.

78 Many global climate chemistry models used to study the physics and chemistry affecting the
79 Earth's climate system typically do not incorporate the impacts Models that do account for
80 contrails estimate contrail cirrus coverage based on simplified treatments of contrail aging and
81 spreading mechanisms in ice-supersaturated regions (ISSRs) (Burkhardt et al., 2010; Burkhardt
82 and Kärcher 2011; Bock and Burkhardt 2016; Bier and Burkhardt 2022). The generation of
83 persistent contrails depends on coming across ice-supersaturated conditions along a flight path,
84 and these conditions show variability in both space and time within the troposphere and tropopause
85 region (Irvine et al., 2013). Estimating the RF from contrail cirrus requires knowledge of complex



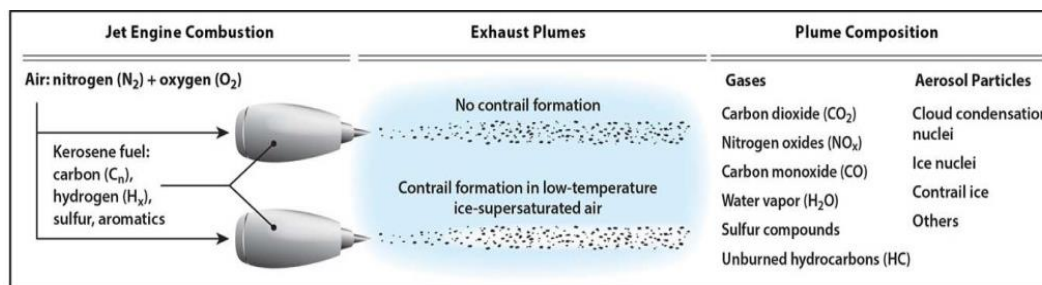
86 microphysical processes, radiative transfer, and the interaction of contrails with background
 87 cloudiness (Burkhardt et al., 2010).

88



89 **Figure 1.** Current best evaluation of the climate forcing between 1940 and 2018 from commercial
 90 aviation for different types of emissions. ERF accounts for short-term feedback in the climate
 91 system not accounted for in the traditional RF evaluation. Figure adapted with permission from
 92 Lee et al. (2021). (The license is provided by Elsevier and the Copyright Clearance Center; License
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96 **Figure 2.** Schematic overview of exhaust plumes (contrail formation in low temperature) and their
 97 composition. Figure adapted with permission from Lee et al. (2021). (The license is provided by
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100 The aim of this review article is to provide a comprehensive overview of our understanding of
101 contrails, encompassing their formation, progression, and the consequent repercussions on Earth's
102 climate system. In the process, the microphysical processes underlying contrail formation are
103 explored along with their representation in the simulation of contrails in current climate-chemistry
104 models of the global atmosphere. Furthermore, we address the uncertainties surrounding this topic
105 and identify areas requiring further research in the future.

106 **2 Microphysics of Contrail Formation, Aging, And Transition to Contrail Cirrus**

107 Microphysical processes play a pivotal role in the formation of contrails and their evolution in the
108 atmosphere. This section is aimed at discussing these processes, their effects on the lifetime of
109 contrails, and the possible transition of contrails to contrail cirrus in the upper troposphere.

110 **2.1 The Physics of Contrail Formation and Ageing**

111 The fundamental principles for determining the formation of contrails were independently
112 developed by E. Schmidt in 1941 and H. Appleman in 1953. Contrails appear when the hot and
113 moist exhaust from aircraft quickly mixes with the cold and humid surrounding air, causing the
114 humidity in the exhaust gases to exceed the saturation point for liquid water (Appleman 1953;
115 Schmidt 1941; Schumann 1996). A schematic representation of the Schmidt-Appleman criterion
116 for contrail formation is shown in Figure 3. In this diagram, the two solid curves depict saturation
117 levels concerning liquid water (upper curve) and ice (lower curve). The phase trajectory of the
118 mixture, consisting of exhaust gases and ambient air, follows a straight line from the upper right
119 to the lower left in the e - T diagram, where ' e ' represents the partial pressure of water vapor in the
120 mixture, and ' T ' represents its absolute temperature (as denoted by the dashed lines). The path that
121 lines up with the water saturation curve (dotted) specifies the highest temperatures at which
122 contrail formation can take place. When the trajectory terminates in an ice-supersaturated state,
123 the persisting contrails have the potential to disperse and transform into contrail cirrus.

124 In cases where the conditions do not meet the criteria, the contrail formed will have a brief lifespan,
125 lasting only a few minutes. The mixing process is presumed to occur isobarically, resulting in a
126 straight-line mixing (phase) trajectory on an e - T diagram. The Schmidt-Appleman criterion
127 (SAC), which is primarily based on thermodynamics, establishes the threshold temperature for
128 contrail formation. This threshold is determined by several factors, such as ambient air pressure,
129 humidity, the amount of water and heat released by the aircraft per unit of fuel, and the overall
130 efficiency of the aircraft engine's propulsion system. (Jensen et al., 1998; Schumann, 1996).
131 Accurate assessments of contrails necessitate precise data on ambient meteorological conditions.
132 The persistence of contrails is contingent on meeting a specific humidity threshold, as defined
133 below.

134 The persistence of contrails hinges on the atmospheric specific humidity. Temperature and vertical
135 motions within the atmosphere play key roles in determining relative humidity. Additionally, the
136 optical characteristics and lifespan of contrails are significant dynamics of contrail formation and
137 are influenced by various meteorological factors, especially turbulence, vertical motions, wind
138 shear, and the presence of ambient cirrus clouds. Furthermore, these factors are influenced by the
139 soot emissions from the aircraft engine. The size and duration of the ice supersaturated region, the
140 availability of water vapor for ice crystal growth, and consequently, the sedimentation of these ice
141 crystals within the contrail are also essential considerations (Bock and Burkhardt, 2016). Crucially,
142 the radiative forcing (RF) and the ensuing climate impacts are contingent upon a multitude of



143 meteorological parameters. Additionally, factors such as the albedo and the brightness temperature
144 of the atmosphere in the absence of contrails play a significant role, as outlined by Schumann et
145 al. (2012).

146 Upon reaching the ambient temperature through the process of mixing with the surrounding air,
147 contrails undergo changes in size depending on the ambient humidity. When the ambient relative
148 humidity remains supersaturated concerning ice ($RH_{ice} > 100\%$), contrails experience growth in
149 ice water content and can remain detectable for up to 5 hours or even longer, as highlighted in
150 various studies (Gierens and Vázquez-Navarro 2018; Schumann and Heymsfield 2017; Bier et al.
151 2017). This extended persistence contrasts with the mean lifetime of contrails, typically noted to
152 be around 2-3 hours (Schumann et al. 2015; Vázquez-Navarro et al. 2015). Contrails, under such
153 conditions, have the potential to disperse and transform into thin cirrus layers. On the other hand,
154 when the ambient relative humidity is not supersaturated concerning ice, contrail ice particles
155 sublimate and dissipate. The timescale of this sublimation and dissipation process is contingent on
156 the sizes of the contrail ice particles and the ambient air RH_{ice} (Schumann 2012).

157 Combinations of satellite information and ground-based lidar measurements, such as in the Atlas
158 et al. (2006) case study, showed a lifetime of more than 2 hours and a mean optical thickness
159 (integrated extinction coefficient over a vertical column of unit cross section) of 0.35. Duda et al.
160 (2004) studied the development of contrail clusters over the Great Lakes and derived optical
161 thickness (optical depth, τ) from 0.1 to 0.6 for contrails that lasted several hours (Kärcher et al.,
162 2009). Graf et al. (2012) studied the cirrus cover cycle and observed timescales between 2.3 to
163 4.1 hours for contrail cirrus and 1.4 to 2.4 hours for linear contrails.

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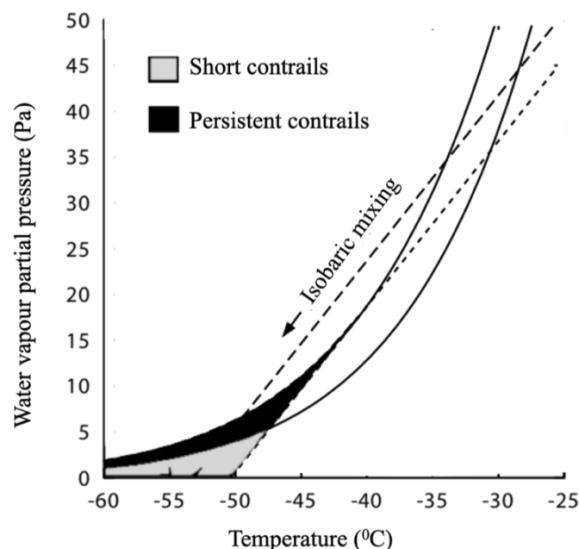
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174 **Figure 3.** Phase diagram with mixing lines for aircraft exhaust Adapted from Gierens et al. (2008).

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176 There has been ongoing uncertainty regarding whether persistent contrails exclusively form in
177 cloud-free supersaturated areas or if they can also develop within existing cirrus clouds (Burkhardt
178 et al. 2008). Subsequent modeling work (Tesche et al. 2016) suggested that persistent contrails
179 indeed have the potential to form within cirrus clouds. While the formation of contrails is
180 commonly observed in clear skies, they also emerge in conditions where the sky is covered with
181 thin or even subvisible cirrus clouds (Immler et al. 2008).

182 The temperature threshold for contrail formation, known as the SAC threshold, is slightly higher
183 in cirrus clouds compared to clear air (Gierens, 2012). This difference is attributed to the additional
184 humidity introduced by the ice water content (IWC) from cirrus clouds (Verma and Burkhardt
185 2022). In situ measurements have indicated high ice supersaturation both inside and outside cirrus
186 clouds (Comstock et al. 2004). Moreover, observational evidence suggests that contrails embedded
187 within cirrus clouds are not significantly thinner than contrails forming in clear air (Poellot et al.
188 1999).

189 A reliable estimation of the radiative effects stemming from contrail cirrus relies heavily on their
190 optical properties, which are intricately linked to their microphysical properties and age, as well
191 as their geographical distribution. The microphysical characteristics of contrail cirrus at various
192 plume ages, as observed in diverse airborne campaigns, have been systematically compiled and
193 detailed (Schröder et al. 2000; Schumann et al. 2017; Chauvigné et al. 2018). Fresh contrails,
194 typically observed in plumes around 2 minutes old, exhibit distinct features, including an ice
195 crystal number concentration reaching thousands per cubic centimeter and ice crystals measuring
196 up to a few micrometers in diameter. This characterization is consistent with observations (Bräuer
197 et al. 2021a; Petzold et al. 1997). Contrails in their initial 2-5 minutes of existence have been
198 frequently measured (Voigt et al. 2011; Gayet et al. 2012). The distinctive feature of these young
199 contrails lies in their notably high ice crystal number concentration of small ice particles, making
200 them easily discernible from natural cirrus formations. However, contrails often coexist with
201 natural cirrus and may be incorporated into thin or subvisible cirrus (Kübbeler et al. 2011; Gierens
202 2012; Unterstrasser et al. 2017). This coexistence poses a challenge in distinguishing between aged
203 contrails and natural cirrus, complicating efforts to clarify the contribution of contrail cirrus to the
204 radiative balance. Contrail cirrus is characterized by a low ice water content (IWC) ranging from
205 0.1 to about 10 mg m^{-3} , a feature it shares with natural cirrus of in-situ origin, as observed by
206 Schumann et al. (2017). Ice crystals within these clouds have formed and enlarged in an ice-cloud
207 environment (Luebke et al., 2016; Krämer et al. 2020). In contrast to contrail cirrus and in situ-
208 origin cirrus, cirrus clouds initiating from liquid often yield a higher IWC since their ice crystals
209 originally form as liquid drops (Krämer et al., 2016, 2020) in a warmer atmosphere with an ambient
210 temperature exceeding 235 K (-38°C), particles undergo freezing as they are lifted into the cirrus
211 temperature region of the atmosphere.

212 Chauvigné et al. (2018) applied a method based on principal component analysis to differentiate
213 between particles in contrail cirrus at various stages and those observed in natural cirrus during
214 the CONCERT 2008 campaign (Voigt et al., 2010). The success of the campaign stemmed from
215 the fact that contrails sampled during the CONCERT initiative were relatively young and exhibited
216 greater distinctiveness compared to natural cirrus formations. Despite this success, the
217 comprehensive acquisition of all necessary optical and microphysical parameters proved
218 challenging during single aircraft campaigns, limiting the widespread application of this method.
219 The CONCERT dataset is relatively small, encompassing approximately 4.0 hours of sampling
220 time in total (Kübbeler et al. 2011). A commonly held assumption regarding the formation and



221 evolution conditions of contrail cirrus is that it tends to persist particularly in ice-supersaturated
222 regions (ISSRs) (Kärcher 2018).

223 The collective presence of commercial, military, and other aircraft contributes to a global increase
224 in cloudiness, primarily facilitated by the formation of persistent contrails when the surrounding
225 atmosphere reaches supersaturation. Contrail cirrus exhibits both cooling and warming effects,
226 with the nighttime impact being predominantly warming. Previous assessments of aviation's
227 influence on climate (IPCC 1999; Lee et al. 2009; Brasseur et al. 2016) were limited by the
228 challenge of accurately quantifying the role of cloudiness arising from aging and spreading
229 contrails (Minnis et al., 2013). The formation of a persistent contrail necessitates ice-
230 supersaturated conditions along the aircraft's flight path. The life cycles of contrail cirrus are
231 contingent upon the temporal and spatial scales of ice-supersaturated regions, which exhibit high
232 variability in the troposphere and tropopause region (e.g., Lamquin et al., 2012; Irvine et al., 2013;
233 Bier et al., 2017). Estimating the impact of contrail cirrus on upper tropospheric cloudiness
234 requires the simulation of complex microphysical processes, contrail spreading, overlap with
235 natural clouds, radiative transfer, and interaction with background cloudiness (Burkhardt et al.,
236 2010).

237 The ERF of contrail cirrus was estimated for 2011 (relative to an atmosphere without contrails) as
238 50 mWm^{-2} by Boucher et al. (2013), with uncertainty ranging from $20\text{-}150 \text{ mWm}^{-2}$. Lee et al.
239 (2021) presented a new estimate derived from the outcomes of global climate models
240 implementing process-based contrail cirrus parameterizations. Recent analyses by Lee et al. (2021)
241 of the current aviation fleet emissions evaluate the ERF through 2018 as 57 mWm^{-2} , with an
242 uncertainty range of $17\text{-}98 \text{ mWm}^{-2}$. Given the constrained number of independent estimates, it
243 becomes necessary to gauge uncertainty by relying on the sensitivities of pertinent processes and
244 considering uncertainties associated with the underlying parameters and fields. Due to the small
245 number of independent estimates, the uncertainty must be estimated from the sensitivities of the
246 respective processes and the uncertainty in the underlying parameters and fields. Kärcher et al.
247 (2015) observed that contrails are created in the early exhaust plumes of airplanes during cruising.
248 This occurs when a large number of supercooled water droplets are activated and freeze into ice
249 particles. At cruising altitudes, the atmospheric relative humidity (RH) typically falls too low to
250 support the presence of liquid water droplets. However, it is conducive to the existence of ice-
251 phase particles (Gettelman et al., 2006; Lamquin et al., 2012). Therefore, freezing must occur
252 shortly after droplet formation within the moist exhaust plume to produce persistent contrails.
253 Appleman (1953) proposed a critical requirement for contrail formation, suggesting that a
254 significant amount of aerosol particles in the plume act as centers for water condensation (referred
255 to as the "water-saturation constraint"). The formation of contrails also has a visibility constraint,
256 which estimates a minimum number of nucleated ice particles in the contrails at around 10^4 cm^{-3}
257 within a plume age of 0.3s for a small-scale research aircraft (Kärcher et al., 1996). These are the
258 crucial constraints for contrail formation.

259 **2.2 Transition of contrails into contrail cirrus and contrail-cirrus-soot interaction**

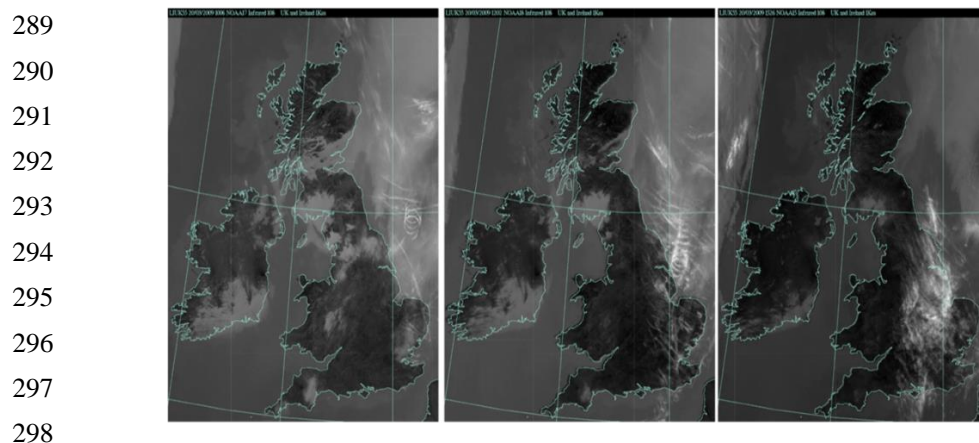
260 Contrail cirrus consists of elongated contrails trailing high-altitude aircraft and thin cirrus patches
261 formed by the dispersion of persistent contrails. The morphology of contrails evolves based on
262 factors such as humidity, shear, stratification, waves, turbulence, and radiative heating. Contrails,
263 individually and collectively, interact with other cirrus formations, giving rise to what is termed
264 "contrail cirrus" (Schumann and Wendling, 1990). The total extinction is less pronounced when
265 contrails overlap due to humidity competition. Consequently, the climate impact does not always



266 exhibit a linear correlation with air traffic density (Unterstrasser and Sölch, 2012; Bickel et al.,
267 2020). The transformation of a single contrail into a contrail cirrus is observable during aircraft
268 spiral flights, as depicted in Figure 4 (Haywood et al., 2009). "Contrail outbreaks" describe
269 scenarios where numerous aged and young contrails coexist, often spanning expansive areas within
270 the same airspace (Duda et al., 2001).

271 Soot emissions, composed of black carbon particles from aviation, have the potential to alter cirrus
272 properties independently of contrail processing, leading to the formation of "soot cirrus" (Lee et
273 al., 2010). Climate impact assessments of aviation-induced soot cirrus remain inconclusive due to
274 uncertainties in soot abundance and their ice-nucleating properties (Gettelman and Chen, 2013;
275 Zhou and Penner, 2014; Righi et al., 2021). Increased concentrations of small-sized cirrus particles
276 resembling aviation soot emissions have been detected in cirrus regions with dense air traffic
277 (Kristensson et al., 2000). It remains uncertain whether the soot enters the ice during the initial
278 nucleation process or at a later stage through scavenging.

279 The sublimation of cirrus particles containing soot and sulfate may lead to the formation of soot
280 aggregates that can potentially act as efficient ice nuclei. A cirrus pattern observed near Munich,
281 Germany, on November 3, 2012, displayed characteristics suggesting an association with aged
282 soot plumes. The cirrus observed between 9.1 and 9.5 km in height, 8-10 km wide, and 35-50 km
283 long, exhibited a distinctive pattern resembling parallel line clouds. Back trajectories indicated that
284 soot was emitted upstream approximately 12 hours before the event by aircraft. The air ascended,
285 forming a cirrus about 4 hours before the event, lasting for about 1-2 hours before subsiding or
286 sublimating. Analyses propose that this could be cirrus formed on preactivated aircraft soot, but
287 certainty is limited, and it cannot be ruled out that the same pattern might have formed without air
288 traffic.



299 **Figure 4.** Evolution of contrail cirrus over 1, 3, and 6.5 hours. Contrail cirrus is identified by bright
300 white areas with low infrared (10.8 mm) brightness temperature. The satellite scenes are from
301 NOAA AVHRR for 3 UTC times: (left) 1006 (age after emission = 1 hour), (middle) at 1202
302 (elapsed time: 3 hours), and (on the right) 1526 (elapsed time: 6.5 hours). Figure adapted with
303 permission from Haywood et al. (2009). The license is provided by John Wiley and Sons and the
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305 Urbanek et al. (2018) conducted measurements of ice clouds over Europe using an airborne remote
306 sensing instrument. They observed a particular group of clouds characterized by elevated
307 depolarization ratios, suggesting altered crystal habits, and lower ice supersaturation, indicating
308 modified ice formation. The researchers demonstrated that these changes were not caused by
309 condensation trails within the clouds, temperature variations, or atmospheric dynamics.
310 Interestingly, these clouds were found to have formed in the air originating from heavily trafficked
311 aviation routes. As a result, their findings could potentially represent the initial evidence of this
312 indirect process.

313 Kärcher et al. (2021) applied an advanced cirrus column model to investigate the impact of aircraft-
314 emitted soot particles on the formation of cirrus clouds. These particles are released when ice
315 crystals sublimate at the end of the lifespan of contrails and contrail cirrus. By incorporating cloud
316 simulations with a measurement-based representation of soot-induced ice formation, the
317 researchers noticed that only a small fraction (less than 1%) of the soot particles were able to
318 contribute to the formation of cloud ice through homogeneous freezing of liquid aerosol droplets.
319 Subsequently, cirrus clouds impacted by soot and those formed homogeneously presented no
320 significant differences in terms of their optical depth. These outcomes suggest that climate models
321 may have overestimated the global radiative forcing resulting from interactions between aircraft
322 soot and large-scale cirrus clouds. The insights gained from Kärcher et al.'s research provide a
323 more comprehensive understanding of the underlying processes, offering a valuable basis for
324 improving climate model parameterizations and guiding specific field observations to enhance
325 accuracy in climate modeling.

326 Natural cirrus clouds and contrails collectively cover around 30% of the Earth's mid-latitudes and
327 up to 70% of the tropics (Wolf et al., 2023). Given their widespread presence, cirrus clouds
328 significantly impact the Earth's energy budget, resulting in a warming net radiative effect,
329 encompassing both solar and thermal infrared radiation. However, whether the instantaneous
330 radiative effect (RE), at times equivalent to radiative forcing, is positive or negative depends on
331 several factors, including microphysical, macrophysical, and optical properties, as well as the
332 radiative characteristics of the surrounding environment. The complexity is further heightened by
333 the often-unknown shape of actual ice crystals, rendering ice clouds one of the least understood
334 components in the Earth's radiative budget. Wolf et al. (2023) explored the dependency of cirrus
335 RE on eight parameters: solar zenith angle, ice water content, ice crystal effective radius, cirrus
336 temperature, surface albedo, surface temperature, cloud optical thickness of an underlying liquid
337 water cloud, and three different ice crystal shapes.

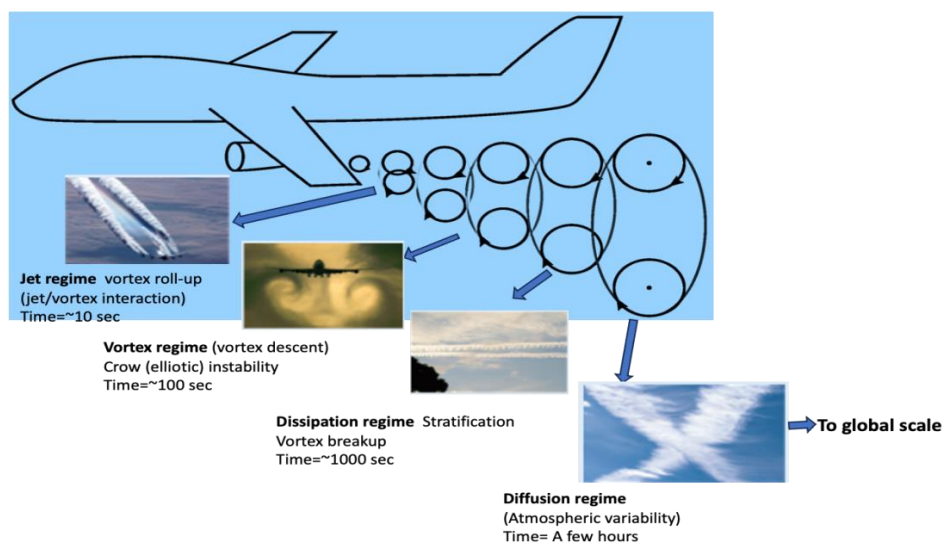
338 **2.3 Evolution of contrails and stages of aviation-induced cloud evolution**

339 The review by Paoli and Shariff (2016) examined the primary physical processes and simulation
340 efforts involved in four distinct phases of contrail evolution. These phases are commonly
341 categorized as the jet, vortex, vortex dissipation, and diffusion phases. Contrail evolution is
342 conveniently divided into these four regimes for clarity (Gerz et al., 1998) (see Fig.5).

343 In the initial seconds after emission (the jet regime), the vortex sheet shed by the wings rolls up
344 into a pair of counter-rotating vortices known as the primary wake. Simultaneously, newly formed
345 ice crystals in the engine exhaust become entrapped around the cores of these vortices. Following
346 this, in the minutes that follow (the vortex regime), the vortices descend into the atmosphere due
347 to their mutually induced downward velocity. The descent of vortices effects in a contrast in
348 density between the air contained within the vortices (within an oval-shaped region) and the



349 ambient air. This process leads to the generation of vortices with opposite signs (in a stable
350 stratified atmosphere) along the oval boundary. The vorticity shed in the upward direction gives
351 birth to a "secondary wake." Within this secondary wake, a portion of the exhaust gases and ice
352 particles becomes incorporated.
353



354
355 **Figure 5.** Classification of aircraft wakes evolution into four regimes. Adapted from Paoli and
356 Shariff (2016).

357 Within the regime of vortex dissipation, the primary vortex pair and secondary vorticity
358 disintegrate and disperse, releasing both exhaust and ice crystals that eventually endure
359 sublimation. Ice crystals released in the secondary wake can endure for a longer duration due to
360 the lower temperature. In the fourth regime, known as the diffusion regime, the horizontal and
361 vertical spreading of the contrail is influenced by atmospheric turbulence, particle sedimentation,
362 radiative processes, and wind shear until complete mixing takes place, typically within a few hours.
363 Figure 6 illustrates the processes that influence the various stages of contrail formation. Exhaust
364 plumes, generated by burning fuel-air mixtures at high temperatures and pressure within turbofan
365 jet engines, contain both gaseous and particulate matter. In the freely expanding and cooling
366 plumes (jet regime), particle types include emitted soot particles and aqueous aerosol particles
367 formed within the exhaust, along with entrained ambient aerosol particles. In scenarios where
368 turbulent mixing occurs, leading to cooling and generating plume supersaturation over liquid
369 water, a significant number of plume particles undergo transformation into water droplets
370 (depicted as gray circles in Fig. 6).

371 Water droplets rapidly freeze and increase in size by absorbing water vapor from their
372 surroundings, eventually leading to the formation of a visible contrail. This occurs when the
373 ambient temperature drops below the formation threshold. In exhaust rich in soot, most droplets
374 contain soot inclusions. While droxtals, frozen water droplets with faceted surfaces, and hexagonal
375 prisms and columns likely emerging from them may offer a realistic depiction of small ice crystals
376 in fresh contrails, there is currently no direct observational evidence for their specific shapes.

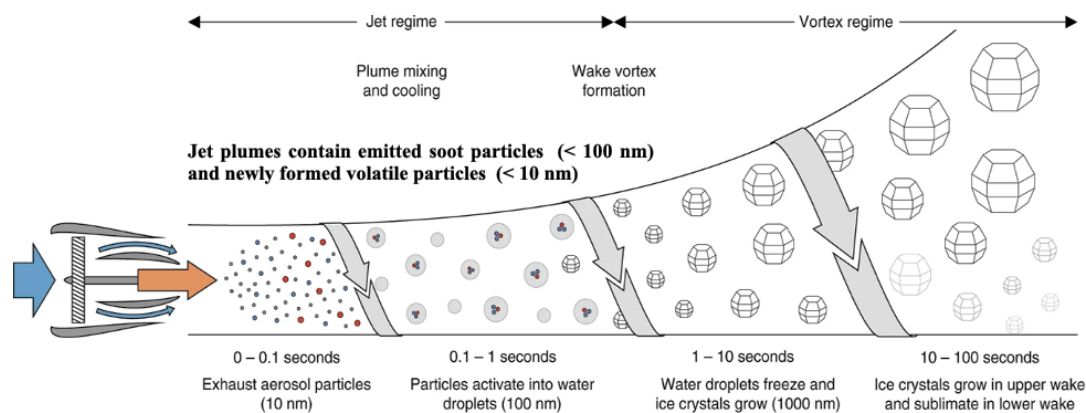


377 Plumes from multiple aircraft engines combine with the two wingtip vortices, creating an
378 inhomogeneous wake. The subsequent evolution of ice crystals is contingent upon fluid-dynamical
379 processes, particularly in the vortex regime. The downward movement of the vortex pair warms
380 the surrounding air, resulting in the sublimation of ice crystals in the lower portion of the wake. A
381 small fraction of contrail is amplified by the detrainment of air from the lower wake. Ice crystals
382 present in the upper wake continue to grow by the uptake of entrained ice-supersaturated ambient
383 water vapor. A few minutes past emission, the organized flow pattern collapses and mixes with
384 ambient air (dissipation regime).

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390 **Figure 6.** Processes influencing the contrail formation stage. Figure adopted with permission from
391 Kärcher (2018). (Licensed under CC BY 4.0)

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393 2.4 Contrail coverage

394 The radiative forcing (RF) for contrails, as determined by Lee et al. (2010), is defined by the
395 product of contrail coverage and optical depth. For a single contrail segment, this product equates
396 to total extinction. However, defining contrail coverage presents challenges for several reasons.
397 Assessing contrail coverage separately from optical depth introduces difficulties. The
398 identification of young contrails versus other cirrus clouds often relies on their distinctive line
399 shape. Yet, using geometric characteristics for contrail coverage classification introduces
400 uncertainty, particularly when contrails undergo deformation or shape changes (Mannstein et al.,
401 1999). Only a small fraction of all linear contrails is detectable from satellites (Kärcher et al., 2009;
402 Mannstein et al., 2010; Minnis et al., 2013).

403 Earlier studies examined global contrail coverage using regional satellite observations, estimating
404 potential contrail coverage based on specific temperature and humidity data and traffic density
405 (Sausen et al., 1998). The integration of observations to achieve hemispheric coverage has only
406 recently become available (Duda et al., 2013). The coverage by contrail cirrus may be significantly
407 higher than determined by line-shaped contrails, and uncertainty factors around an order of 10



408 have been reported (Burkhardt and Kärcher, 2011). However, this ratio is highly uncertain due to
409 detection issues. Burkhardt and Kärcher (2011) conducted a quantification of contrail cirrus using
410 the ECHAM contrail cirrus global climate model (CCmod), which incorporates a subgrid-scale
411 cloud class representing young contrails. This model captures the life cycle of artificial clouds and
412 simulates their global coverage, along with the changes in natural cloudiness they induce. Their
413 calculations revealed contrail cirrus coverage to be approximately 0.23%. Similar results were
414 obtained from recent attempts to quantify contrail cirrus using MODIS data, accounting for more
415 diffuse contrail contributions (Minnis et al., 2013).

416 Schumann (2012) developed the Contrail Cirrus Prediction (CoCiP) tool based on a Lagrangian
417 approach. In this model, global contrail coverage is computed by combining the optical depth (τ)
418 contributions from individual contrails and ambient cirrus, counting fractions of areas where
419 contrails cause the optical depth of the total cirrus to surpass a specific threshold (Schumann 2012).

420 Contrails not only augment cloud coverage but also thicken existing cirrus, consequently
421 influencing coverage (Minnis et al., 2013; Schumann and Graf, 2013). This thickening occurs by
422 generating more ice particles with smaller effective radii at constant ice water content (Kristensson
423 et al., 2000). While it is acknowledged that contrails consume humidity and may reduce natural
424 cirrus (Burkhardt and Kärcher 2011; Unterstrasser and Görsch 2014; Schumann et al., 2015; Bickel
425 et al., 2020), the prevailing evidence suggests that the thickening effect caused by numerous
426 additional small ice crystals tends to dominate.

427

428 **2.5 Contrail ice crystal nucleation**

429 Kärcher and Yu (2009) systematically investigated the relationship between nucleated contrail ice
430 crystal numbers and soot particle emissions. In exhaust rich in soot, there is a nearly proportional
431 increase in both ice crystal and soot particle numbers. Close to the contrail threshold, the formation
432 of ice crystals is limited as the low plume supersaturation hinders the activation of water on soot
433 particles, resulting in only a few ice crystals forming. Under conditions rich in soot, the quantity
434 of ice crystals reduces by about one hundred times compared to soot-poor conditions, reaching
435 constant low levels regulated by the number of particles present in the contrail environment. In
436 soot-poor exhaust at low ambient temperatures, there is an increase in ice crystal numbers due to
437 the activation of water and subsequent freezing of abundant aqueous plume particles. Figure 7
438 illustrates the ice crystal number emission index (per kilogram of fuel burnt) in the jet regime,
439 correlating with the number emission index of emitted soot particles as simulated by a parcel model
440 (Kärcher and Yu, 2009). Two sets of results are presented, one for an ambient temperature (T)
441 close to a contrail formation threshold temperature, $\Theta \approx 225$ K (-48°C), commonly encountered in
442 extratropical cruise conditions, and another for a lower temperature of $\Theta -12$ K ≈ 213 K (-60°C).
443 At intermediate ambient temperatures, nucleated ice crystal numbers increase due to enhanced
444 water activation of either soot or ultrafine aqueous plume particles.

445 The hatched area in Figure 7 represents the approximate range of current in-flight soot emission
446 indices. In exhaust rich in soot, the number of soot particles capable of water activation and
447 freezing increases with decreasing ambient temperature. This, in turn, raises plume cooling rates
448 and levels of plume supersaturation over liquid water. As the ambient temperature (T) approaches
449 the contrail formation threshold (Θ) in soot-poor exhaust, ambient aerosol particles mixed into
450 exhaust plumes become the sole source of contrail ice crystals. This is because fewer plume



451 particles can be activated due to declining plume supersaturation. The number concentrations of
452 ambient particles in contrails are significantly lower than current levels of soot emissions.

453 The plume cooling rate plays a crucial role in determining the timing of water activation of
454 entrained ambient particles and, consequently, the number of ice crystals derived from them
455 (Kärcher 2015). In soot-poor exhaust, a substantial number of ultrafine aqueous plume particles
456 are formed in the fresh exhaust, especially if the fuel contains condensable vapors besides water
457 vapor. These small particles are anticipated to contribute significantly to ice crystal formation at
458 low ambient temperatures well below average values at cruise levels ($T \approx 218$ K in the extratropic
459 and $T \approx 228$ K in the tropics). If the formation of ultrafine particles cannot be mitigated, ice crystal
460 numbers are expected to be lowest in an intermediate range of soot emissions, specifically between
461 10^{13} and 10^{14} (kg-fuel)⁻¹.

462 The ice crystal number (Ni) holds significant importance in the context of contrails formation. Ni
463 quantifies the concentration of ice crystal particles present in the surrounding atmosphere. The
464 significance of Ni in contrail formation lies in its direct influence on various contrail properties.
465 Key aspects such as contrail persistence, the extent of spreading, and the optical characteristics of
466 contrails are closely tied to the ice crystal number (Ni). In essence, Ni serves as a fundamental
467 factor in understanding and characterizing contrail behavior and its impact on the atmosphere. A
468 higher Ni value implies a higher concentration of ice particles in the contrail, which can have
469 several implications, for instance, contrails with a higher Ni tend to persist for longer periods of
470 time. This means they remain visible in the sky for extended durations before dissipating or
471 spreading. Higher Ni values are associated with greater contrail spreading. As the ice particles in
472 the contrail interact with the surrounding atmospheric conditions, they can spread out and cover a
473 larger area, which may lead to contrail cirrus. The optical properties of contrails, for instance, their
474 brightness and ability to scatter sunlight, are affected by the ice crystal number. Higher Ni values
475 can result in brighter and more reflective contrails, which can contribute to increased cloudiness
476 and affect the Earth's energy balance.

477 The signs of variation in the number of ice crystals refers to the alteration in the ice particle
478 concentration within a contrail, such as increased ice particle concentration in the contrail, greater
479 persistence, and spreading of the contrail. Increased optical effects, such as increased brightness
480 and reflectivity. The decreased ice crystal particle concentration in the contrail leads to reduced
481 persistence and spreading of the contrail, and weaker optical effects. These variations in Ni may
482 occur due to several factors, comprising changes in atmospheric conditions, aircraft engine
483 emissions, and the presence of ice nuclei or other particles that impact ice particle formation.

484 **2.6 Stages of aviation-induced cloud evolution and spreading stage**

485 The microphysical and optical characteristics of ice crystals within contrails undergo alterations
486 as they disperse or transform into contrail cirrus, contingent upon the prevailing meteorological
487 conditions and microphysical processes (Fig. 8). Over time, persistent contrails undertake a
488 transformation from their initial linear form to become contrail cirrus. In regions of heavy air
489 traffic, these contrail cirrus formations overlap and merge, giving rise to extended layers of ice
490 clouds characterized by alterations in shape, depth, and longevity. These patterns differ from
491 natural cirrus clouds in terms of microphysical, optical, Additionally, geometric properties also
492 play a role. The ice supersaturated layers that sustain these properties exhibit variations in both
493 vertical structure and horizontal extent, impacting the exchange of water molecules between vapor
494 and ice phases within them. Collectively, these factors contribute to the radiative forcing (RF)



495 potential of aviation-induced cirrus (AIC). Figure 8 illustrates the augmentation in cloud coverage
496 area attributed to the vertical shear of horizontal wind components.

497 The turbulent mixing or entrainment processes result in a gradual reduction (dilution) of ice crystal
498 concentrations over time. The sizes of ice crystals grow through the absorption (deposition) of
499 water vapor from layers that are supersaturated with ice. Continuous deposition growth causes
500 deviations in the shapes (habits) of ice crystals from their initial isometric forms.

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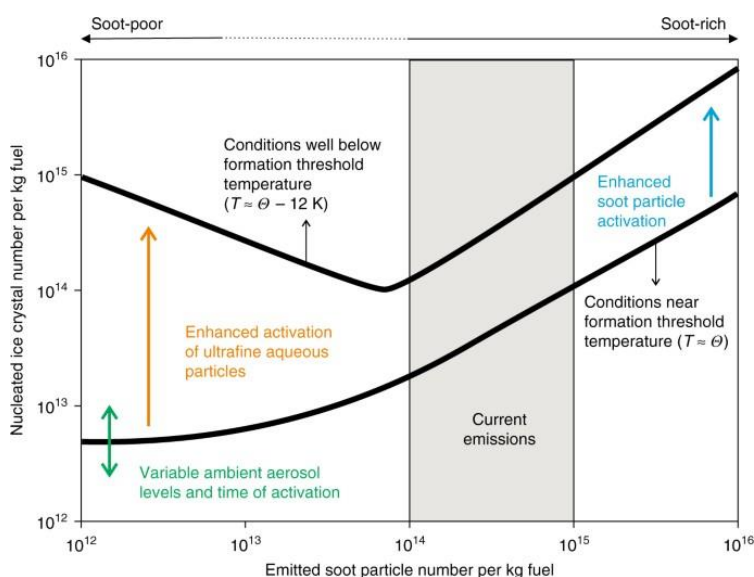
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514 **Figure 7.** Nucleation of ice crystals in jet aircraft exhaust plumes. Figure adapted with permission
515 from Kärcher (2018). (Licensed under CC BY 4.0)

516 The shapes and sizes of ice crystals in cirrus clouds significantly impact their growth rates, fall
517 speeds, and optical properties. Larger ice crystals (>30 micrometers) tend to settle due to gravity
518 with fall speeds exceeding 100 meters per hour and are more likely to sublimate in warmer or drier
519 environments. Smaller ice crystals remain suspended around the flight levels due to their negligible
520 fall speeds, provided that some degree of supersaturation can be maintained, which depends on the
521 prevailing meteorological conditions.

522 The efficiency of sedimentation, the process by which ice crystals fall from clouds, is influenced
523 by the depositional growth rate, which in turn is affected by ice supersaturation, the rate of air
524 cooling, and the characteristics of the ice crystals themselves, including their size, shape, and
525 number concentration. Sedimentation increases the vertical extent of cirrus clouds, enhancing their
526 spreading rate and coverage under sheared flow conditions.

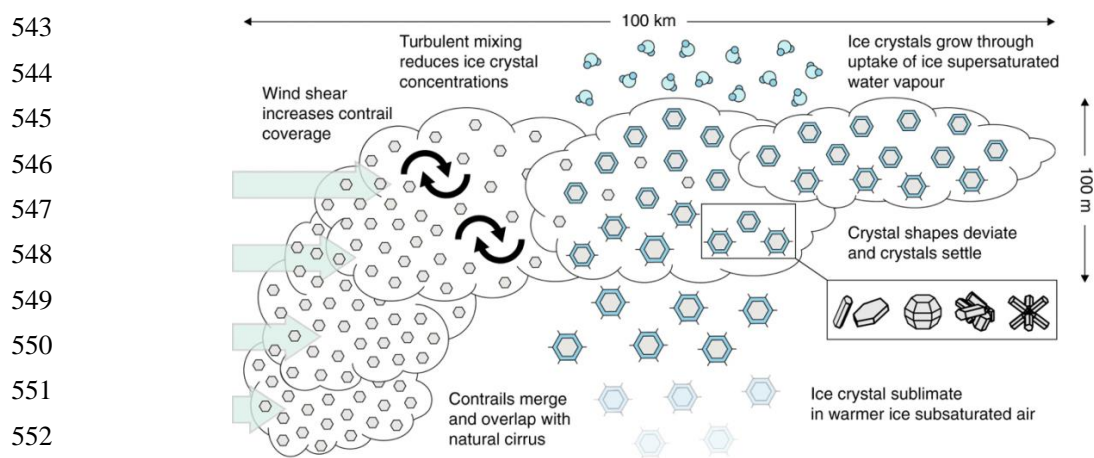
527 2.7 Lessons learned from observations of contrails and their properties

528 Contrails, as well as cirrus clouds, are frequently observed in air that lacks saturation (Kübbeler et
529 al., 2011; Krämer et al., 2009). The prevailing explanation suggests that these clouds consist of
530 large ice particles formed under supersaturated conditions, which then descend to lower and drier



531 levels, undergoing slow sublimation. Notably, instances of contrails and contrail cirrus have been
532 documented in ice-sub-saturated air across various scenarios, extending beyond dedicated contrail
533 research flights (Schumann et al., 2017; Voigt et al., 2011; Kübbeler et al., 2011; Gayet et al.,
534 2012; Chauvigné et al., 2018). Such observations have been derived not only from contrail-specific
535 research endeavors but also from In-service Aircraft for a Global Observing System (IAGOS)
536 commercial aircraft data collected in the North Atlantic region (Petzold et al., 2017).

537 Apart from a high number of small contrail ice particles, large particles (ice particle diameter
538 greater than 100 μm) were also detected but at relatively low concentrations (Voigt et al., 2010).
539 Such large ice crystals were also observed in contrail cirrus during the ML-CIRRUS (Midlatitude
540 Cirrus experiment) campaign (Voigt et al., 2017). However, attention to contrail cirrus in ice-sub-
541 saturated environments and the role that large ice particles play in contrail cirrus was raised by
542 Kübbeler et al. (2011) and Schumann (2012).



553

554 **Figure 8.** Influential factors in aircraft-induced cloud formation. Figure adopted with permission
555 from Kärcher (2018). (Licensed under CC BY 4.0)

556

557 Kübbeler et al. (2011) suggested that the subsaturation observed in contrail cirrus during the
558 CONCERT campaign is caused by the sublimation of large ice particles that may have fallen from
559 higher altitudes after forming under ice supersaturated regions (ISSRs). However, due to the
560 limited contrail cirrus data available, it is difficult to confirm whether contrail cirrus commonly
561 occurs in ice-sub-saturated environments.

562 Li et al. (2023) explored an extended dataset derived from 14.7 hours of cirrus cloud sampling
563 conducted at a frequency of 1 Hz, with a maximum speed of approximately 290 m s^{-1} , obtained
564 during the ML-CIRRUS 2014 campaign. Utilizing readily available parameters that characterize
565 cirrus microphysical properties-including ice number concentration, ice crystal sizes, and ice water
566 content (IWC)-they employed a more straightforward statistical method to distinguish aviation-
567 induced cirrus from natural cirrus, in contrast to the approach adopted by Chauvigné et al. (2018).
568 It consists of the SAC, covering the most common aircraft cruising altitude range, and a recently
569 developed algorithm for detecting aircraft exhaust plumes (Mahnke et al., 2022) to identify
570 matured contrail cirrus ($>0.5 \text{ h}$ lifetime; Voigt et al., 2017; Schumann et al., 2017) and natural



571 cirrus. Contrail cirrus showed sharp differences from natural cirrus during the stages of formation
 572 and in the corresponding microphysical properties and occurrence conditions.

573 **3 Modeling of Contrails and their Impacts**

574 Local-scale process and fluid-dynamic models systematically explore how plume turbulence and
 575 wake dynamics affect nucleation and properties of contrail ice crystals and how predictions of
 576 nucleated ice numbers and sublimation losses relate to aircraft measurements. Cloud-resolving and
 577 regional models driven with meteorological boundary conditions and combined with optical
 578 parameterizations capturing the short-wave response of μm -sized ice crystals analyze large
 579 atmospheric regions based on collocated aircraft and satellite measurements to better judge AIC
 580 RF and the radiative significance of natural cirrus perturbed by contrails (Kärcher 2018). For ice
 581 cloud parameterizations, instrumental challenges pose significant limitations in the measurements
 582 of the microphysical and optical properties of contrail cirrus. This heightens the role of models
 583 (Table 1) in providing RF estimates. For simulating ice nucleation and sublimation robust
 584 predictions of climate changes that result from aircraft-induced clouds rely significantly on the
 585 ability to transfer the understanding of the formation and evolution process of AIC outlined above
 586 into models that are not capable of treating the formation stage explicitly due to a lack of spatial
 587 or temporal resolution. This goal can be reached by allying findings from observations with local-
 588 scale, process-oriented models.

589

590 **Table 1.** Modeling the complexities of aircraft-induced cloud radiative effects (Adapted from
 591 Kärcher 2018).

Model scale	Spatial resolution		Contrail stages	Major challenges	Approach/solution
	Horizontal	Vertical			
Local	<10 m	<10 m	Formation stage	Ice crystal number and size distribution	Turbulence microphysics coupling
Regional	<1000 m	<100 m	Spreading stage	Radiative flux changes and interaction with natural clouds	Contrail to contrail cirrus transition
Global	<1000km	<1km	Full life cycle	Ice crystal formation and ice supersaturation	Parameterization and high resolution

Improvements in a hierarchy of local- to global-scale models to be realized in conjunction with observations providing data for cloud and radiation parameterization development and overall model validation.

592

593

594 **3.1 Modeling of individual contrails**

595 Individual contrails have been studied by various authors through approaches ranging from
 596 simplified parameterizations to more complex numerical models. Parameterization approaches
 597 normally depend on simplified assumptions to estimate contrail properties based on simplified
 598 atmospheric and emission conditions. On the contrary, more sophisticated numerical models, such
 599 as the Contrail Cirrus Prediction model (CoCiP), utilize detailed representations of atmospheric
 600 processes, ice particle growth, and radiative transfer to simulate the formation and evolution of
 601 contrails.

602 **3.1.1 Contrail Cirrus Prediction Tool (CoCiP) for individual contrails**



603 Schumann et al. (2017) compiled a dataset of contrail properties from various sources, including
604 previous publications, additional information gathered from experimenters, reanalysis of existing
605 data, and comparisons with the CoCiP database. The dataset expands upon the work of Schumann
606 and Heymsfield (2016) by incorporating data from the ML-CIRRUS campaign (Voigt et al., 2016).
607 The data includes both in-situ measurements of contrails, such as ice particle size spectra obtained
608 using optical particle spectrometers (Baumgardner et al., 2011; Wendisch and Brenguier, 2013),
609 and remote sensing data from ground-based and airborne lidar, spectroradiometers, satellites,
610 cameras, and visual observations. Remote sensing data provides information on contrail properties
611 such as width and optical depth (Spinhirne et al., 1998; Duda et al., 2004).

612 **3.1.2 MIT Aircraft Plume Chemistry, Emissions, and Microphysics Model (APCEMM)**

613 APCEMM is applied to assess the impact of non-linear plume chemistry and to deliver an initial
614 estimate of the effects of contrails on atmospheric chemistry. Accurately determining the intricate
615 relationship between contrail microphysics and chemistry often necessitates the use of costly large
616 eddy simulations (LESSs). APCEMM, through simplified assumptions about plume dynamics,
617 strives to close the disparity between Gaussian plume models and LESSs, as outlined by Fritz et al.
618 (2020). APCEMM simulates the growth and chemical progression of an individual aircraft plume.
619 It computes chemical concentrations and aerosol characteristics for a two-dimensional cross-
620 section of the plume, angled perpendicular to the flight path. Dynamics, chemistry, and
621 microphysics are explicitly modeled within the plume, using two different approaches depending
622 on the age of the plume.

623

624 **3.1.3 NASA's global model for evaluation of individual linear contrails**

625 The NASA Ames Research Center developed a computationally efficient aircraft contrail model
626 designed to simulate aircraft-induced contrail formation. This model relies on the Appleman
627 criterion and operates under static atmospheric conditions (Sridhar et al., 2010; Neil et al., 2010).
628 Subsequently, researchers from NASA Ames extended this model to simulate the dynamic
629 transport of contrails by incorporating a Lagrangian dispersion model and a cloud microphysics
630 model (Li et al., 2013). The computational methods employed are grounded in well-established
631 approaches utilized in other aircraft contrail models (Pruppacher and Klett, 2000; Schumann et al.,
632 1995). In comparison with models from Stanford (Naiman et al., 2009; Naiman et al., 2011;
633 Jacobsen et al., 2011) and DLR (Burkhardt and Kärcher, 2009; Burkhardt and Kärcher, 2011; Bier
634 and Burkhardt, 2022), this dynamic contrail model diverges primarily in two aspects: (1) It
635 excludes the initial contrail ice particles down-wash process caused by airplane wake vortex
636 turbulence. This process, typically lasting less than a minute, is crucial in determining contrail ice
637 nucleation, initial contrail ice particle sizes, and displacements through a complex fluid dynamic
638 process dependent on atmospheric, aircraft, and fuel parameters. In this study, the average initial
639 ice particle size is predefined, and the initial contrail location is set based on the cell where contrail
640 formation conditions are met. (2) The results from this model do not yet include additional
641 radiative forcing caused by aircraft contrails. The researchers are in the process of adding a contrail
642 radiative forcing module capable of computing the total aircraft contrail radiative forcing using
643 inputs from the model, such as ice particle size and linear contrail cloud cover area.

644 **3.1.4 DLR's ICON-LEM regional model**

645 Verma and Burkhardt (2022) developed and implemented a model for contrail formation into the
646 ICON-LEM (ICOsahedral Non-hydrostatic Large-Eddy Model; Zängl et al., 2014; Dipankar et al.,



647 2015). This model includes parameterizations for ice nucleation in the jet phase and ice crystal
648 loss during the contrail's vortex phase. It facilitates the investigation of modifications to cirrus
649 clouds resulting from contrail formation. ICON is the new German Numerical Weather
650 Prediction/Climate Model co-developed by DWD and MPI. It solves a set of equations on an
651 unstructured triangular grid based on successive refinement of a spherical icosahedron (Zängl et
652 al., 2014). The model asserts high horizontal resolution coupled with a vertical resolution of
653 approximately 150 m in the upper troposphere, enabling the resolution of pertinent cloud
654 processes. Within ICON-LEM, a contrail scheme has been developed and implemented,
655 incorporating the parameterization of contrail ice nucleation as proposed by Kärcher et al. (2015)
656 and accounting for the survival of ice crystals within the vortex phase. (Unterstrasser, 2016), to
657 study changes in cloud variables due to contrail formation within cirrus. Contrail formation,
658 dependent on atmospheric as well as aircraft and fuel parameters, is calculated, and contrail ice
659 nucleation and ice crystal loss in the contrail's vortex phase are estimated.

660 **3.1.5 Large-eddy simulations covering the entire life cycle, from initiation to termination**

661 Lewellen et al. (2014) outlined the utilization of large-eddy simulations with size-resolved
662 microphysics to model persistent aircraft contrails and the resulting contrail-induced cirrus clouds.
663 These simulations aim to depict the dynamic evolution of contrails, spanning from a few wing
664 spans behind the aircraft to their dissipation over an extended period. The emphasis of the study
665 lies in the modeling approach, discussing the development of schemes for efficient numerical
666 computation. The authors introduced dynamic local ice binning and updating, along with coupled
667 radiation, to accurately capture microphysical processes and radiative properties within individual
668 columns. The paper also addresses the challenge of maintaining realistic ambient turbulence over
669 extended simulation times, proposing a "quasi 3D" approach as a computationally feasible
670 approximation of the full dynamics, allowing for exploration across a broader parameter space.

671 Key insights from the simulations highlight the notable impact of ice crystal number loss resulting
672 from competition among different crystal sizes, influencing both young contrails and aging contrail
673 cirrus. The sensitivity of contrail properties to the initial number of ice crystals decreases over
674 time, highlighting the importance of uncertainties in ice crystal deposition coefficients and the
675 Kelvin effect. The influence of atmospheric turbulence on contrail properties and lifetime is also
676 emphasized. Additionally, the paper discusses the effects of ice crystal shape, coupled radiation,
677 precipitation dynamics, and the role of water from fuel consumption in reducing ice crystal loss in
678 colder contrails.

679 Lewellen (2014) provides an extensive analysis encompassing over 200 instances of long-lived
680 contrails, spanning from their formation at several seconds old to their termination. The study
681 investigated the complete lifespan of long-lived contrails originating from a single aircraft. Various
682 factors were explored, including the effective ice crystal number emission index, temperature,
683 relative humidity concerning ice, stratification, shear, supersaturated-layer depth,
684 uplift/subsidence, and coupled radiation. The analysis delved into the scaling behaviors of contrail
685 lifetime, width, ice mass, and surface area. The simulations unveiled contrail lifetimes exceeding
686 40 hours, widths surpassing 100 kilometers, and ice masses exceeding 50 kg per meter of the flight
687 path. The paper identified distinct behavioral regimes influenced by radiative forcing and proposed
688 a simplified model to predict these regimes.



689 Lewellen's simulations provide valuable insights into contrail behavior, shedding light on the
690 significance of crystal number loss mechanisms, the interaction between shear and ice
691 sedimentation, the depth of the supersaturated layer, and the potential impact of "cold" subvisible
692 contrails. The findings from these simulations contribute to estimating the effects of intricate
693 contrail scenarios, formulating mitigation strategies, and enhancing our comprehension of the
694 dynamics of natural cirrus clouds.

695 **3.2 Treatment of contrails in global models**

696 The consideration of contrail cirrus in global climate models has greatly improved in recent years.
697 Despite these advancements, notable uncertainties persist, particularly in the depiction of contrail
698 microphysics and the interaction between contrail-cirrus and cirrus-radiation. As mentioned
699 earlier, the characteristics of young contrail cirrus diverge from those of natural cirrus primarily
700 due to the elevated ice crystal number concentration typical in contrails. Consequently,
701 microphysical process rates in contrail cirrus, influencing its lifespan, can exhibit significant
702 deviations from those observed in natural cirrus. In this section, we examine the treatments of
703 contrail and contrail cirrus in global models. Very few models account for contrails, so our focus
704 is on those models that have been published extensively on contrail impacts, including modeling
705 studies done by the Institute for Atmospheric Physics (DLR), the National Center for Atmospheric
706 Research (NCAR), and Stanford University. The focus is on their modeling approaches and
707 findings. We start with a description of the approach used to treat contrails in these models.

708 **3.2.1 DLR Contrail Cirrus Prediction Model (CoCiP)**

709 CoCiP can be implemented within a GCM and used to study the global distributions of contrails
710 and contrail cirrus (Schumann et al., 2015). The model is specifically crafted for the estimation of
711 contrail cirrus coverage and the analysis of contrail climate impact, particularly in the context of
712 aviation system optimization processes. It is engineered to simulate the entire life cycle of
713 contrails. Contrail segments arise between waypoints along individual aircraft tracks in air masses
714 that are cold and humid enough. The initial characteristics of contrails are contingent upon the
715 specific aircraft involved. The advection and progression of contrails adhere to a Lagrangian
716 Gaussian plume model. This model treats the contrail life cycle using bulk contrail ice physics,
717 incorporating several simplifying assumptions. Notably, the model demonstrates efficiency in
718 handling mixing and cloud processes in a quasi-analytical manner (Schumann, 2012). Mixing and
719 bulk cloud processes are handled by using a quasi-analytical approach or an effective numerical
720 scheme. Contrails become extinct when the bulk ice content endures sublimation or precipitation.

721 The model takes into consideration the impact of both aircraft properties and ambient
722 meteorological conditions. This encompasses established contrail formation thresholds, the effects
723 of advection, turbulent mixing, and the formation of ice mass from both emitted and ambient
724 humidity. The number of ice crystals is contingent upon the quantity of soot particles emitted. The
725 model incorporates simplified approximations for the survival of ice particles in adiabatically
726 sinking wake vortices and the loss of particles in aged contrails (Schumann, 2012).

727 CoCiP (Contrail Cirrus Prediction) depicted in Figure 9 simulates the formation of contrails under
728 specific meteorological conditions, either regionally or globally. Numerical weather prediction
729 data are utilized to determine ambient meteorological conditions through linear interpolation at
730 given positions and times. The model has been effectively employed to simulate contrails in both
731 global and specific cases, and its results have been compared with outcomes from other models
732 and in-situ measurements (Schumann, 2012).



733 3.2.2 DLR version of the ECHAM5-HAM model

734 The global climate model ECHAM5-HAM (European Center for Medium-Range Weather
735 Forecasts (ECMWF) and Hamburg) was developed at the Max Planck Institute (MPI) for
736 Meteorology in Hamburg, Germany (Roekner et al., 2003, 2006; Stier et al., 2005), and adapted
737 to study of aviation effects on climate, including the modeling of the effects from contrails. The
738 aerosol-climate modeling system ECHAM5-HAM is based on a flexible microphysical approach,
739 and it predicts the evolution of an ensemble of microphysically interacting internally and externally
740 mixed aerosol populations as well as their size distribution and composition. Bier and Burkhardt
741 (2022) applied the ECHAM5-HAM model, incorporating a two-moment microphysical scheme
742 that was expanded to introduce a novel cloud category-contrail cirrus. The contrail cirrus scheme
743 encompasses a parameterization addressing contrail ice nucleation, the loss of ice crystals during
744 the vortex phase, plume dilution, the spreading of contrails influenced by vertical wind shear, and
745 inclusive microphysical and macrophysical processes aligned with the natural cloud scheme.

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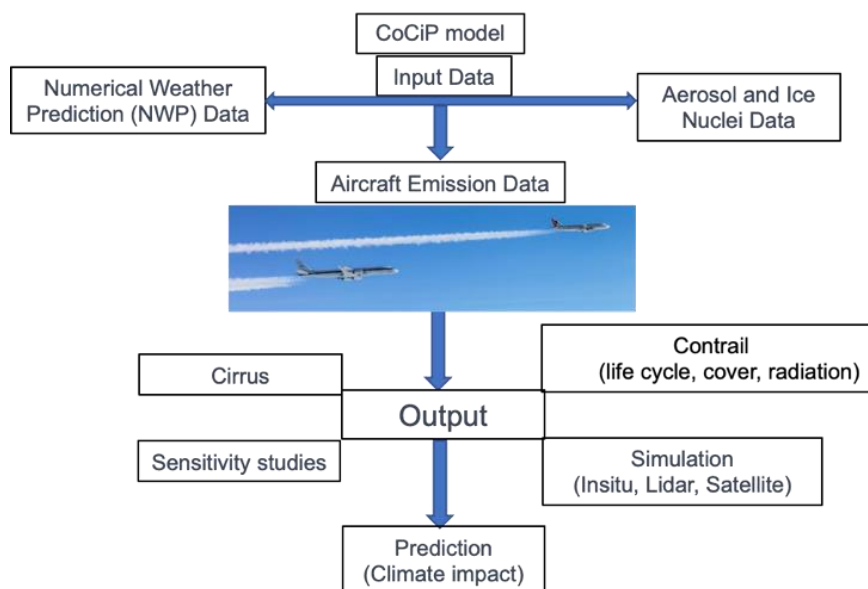
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Figure 9. Schematic of the CoCiP model. Adapted from Schumann (2012).

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764 The contrail cirrus parameterization implemented in ECHAM5-HAM follows the framework
765 established by Burkhardt and Kärcher (2009), who introduced contrail cirrus as a distinct cloud
766 class alongside natural cirrus clouds within the model's natural cloud scheme. The water and heat
767 budgets are balanced, with natural cirrus and contrails competing for available water vapor.
768 Prognostic variables, including ice water content, contrail coverage, and the length of contrail
769 cirrus, are computed based on factors such as persistence, advection, spreading, and
770 deposition/sublimation. Bock and Burkhardt (2016) extended the contrail cirrus parameterization
771 (CCMod) initially proposed by Burkhardt and Kärcher (2009) by incorporating a microphysical
772 two-moment scheme (Lohmann et al., 2008).



773 In this extended parameterization, contrail cirrus ice crystal number concentration and volume are
774 introduced as additional prognostic variables. This enhancement provides a more accurate
775 representation of microphysical processes, proving essential for studies addressing the impact of
776 aircraft particle number emissions on contrail cirrus properties and their overall climate influence.
777 The cloud water content in ECHAM5 typically undergoes growth assuming saturation adjustment.
778 For the initialization of contrail cirrus, a fixed ice crystal number based on observations over
779 Europe was employed (Bock and Burkhardt, 2016). The initialization of contrails occurs at an age
780 of 450 seconds, representing half of a model time step. The incorporated parameterizations and
781 the contrail initialization time are depicted in a simplified scheme presented in Figure 10.

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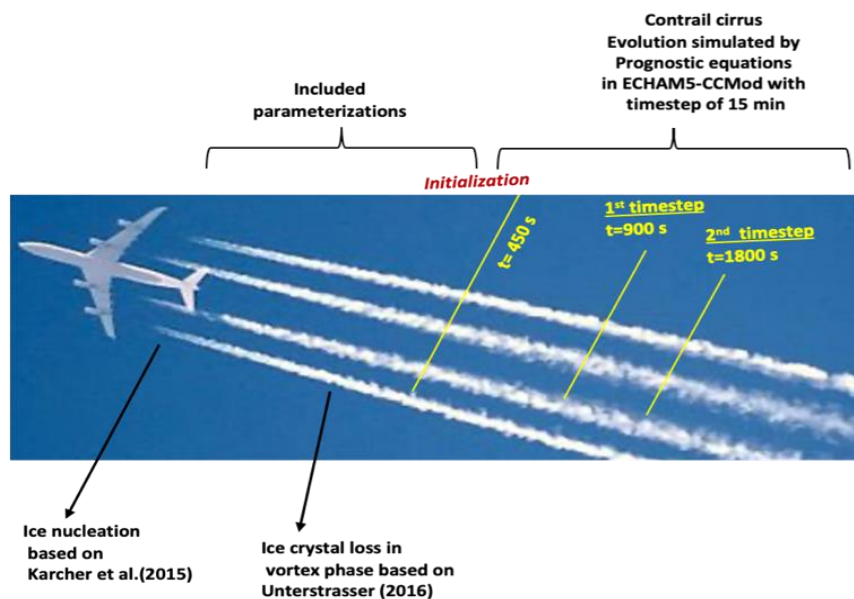
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797 **Figure 10.** Stages of contrails and their corresponding treatment into the global climate model
798 ECHAM5-CCMod. Adapted from Bier and Burkhardt (2022).

799 In instances of contrail cirrus volumes exhibiting very low ice crystal number concentrations, as
800 observed in aged contrails associated with heightened ice crystal sedimentation, the deposition of
801 ice water is constrained, aligning with the depositional growth of ice crystals (Bock and Burkhardt,
802 2016). In more recent iterations of the model, specifically ECHAM5-CCMod, Bier and Burkhardt
803 (2019) incorporated the contrail ice nucleation parameterization proposed by Kärcher et al. (2015).
804 In their model approach, they suggest that the plume cools over time due to continuous mixing of
805 the exhaust with ambient air, eventually becoming water-supersaturated when the SAC criterion
806 is met. The number of activated aerosol particles, stemming from soot and ambient particles, is
807 computed based on ambient conditions, fuel/engine characteristics, and exhaust particle properties.
808 Additionally, Bier and Burkhardt (2022) introduced a parameterization for ice crystal loss during
809 the vortex phase and conducted a thorough evaluation of their model

810 3.2.3 NCAR CAM6 model with Contrails



811 In the first NCAR study of the radiative forcing of linear contrails and contrail cirrus, Chen and
812 Gettelman (2013) used the Community Atmosphere Model version 5 (CAM5), the atmospheric
813 component of the National Center for Atmospheric Research (NCAR) Community Earth System
814 Model (CESM). More recently, they have used the updated atmospheric component from the new
815 version of CESM2 (Danabasoglu et al., 2020). The current atmospheric model implemented in
816 CESM2 is CAM, version 6.2 (CAM6; Gettelman et al., 2020). CAM6 incorporates a detailed two-
817 moment cloud microphysics scheme (Gettelman and Morrison, 2015) coupled with an aerosol
818 microphysics and chemistry model (Liu et al., 2016; Gettelman et al., 2019). The latest version of
819 the contrail parameterization (Chen et al., 2012) was utilized with CAM6 (Gettelman et al., 2021).
820 While the ice cloud microphysics and aerosols exhibit minimal differences between CAM5 and
821 CAM6, the aerosol activation in CAM6 significantly differs, impacting natural cirrus clouds but
822 not contrails. The assumed emission ice particle diameter was adjusted from the original
823 parameterization (10 μm) to 7.5 μm to better align with observations (e.g., Lee et al., 2021).

824 For contrail studies at NCAR, the standard version of CESM with 32 levels (to 3 hPa) vertical and
825 $\sim 1^\circ$ horizontal resolution was employed. Winds and optional temperatures were relaxed to
826 NASA's data assimilation analyses, specifically the Modern-Era Retrospective analysis for
827 Research and Applications, version 2 (MERRA2; et al., 2015), with wind nudging (et al., 2020,
828 2021). CESM2 features a fully interactive land surface model (the Community Land Model,
829 version 5; et al., 2020). Sea surface temperatures (SSTs) are fixed to MERRA2 SST, and there is
830 no interactive ocean.

831 These simulations allow for adjustments in atmospheric and surface temperatures, resulting in
832 radiative flux perturbations representing an Effective Radiative Forcing (ERF). Sensitivity tests
833 were conducted, with temperatures nudged to MERRA2. The results were compared with previous
834 contrail simulations using this model and others, as well as observational data. The pattern of
835 contrail-induced changes to cloud fraction closely resembled the previous model documented in
836 CAM5 (Chen and Gettelman 2013), with peak effects observed in the Northern Hemisphere at
837 mid-latitudes. The radiative forcing in the CAM6 simulations exceeded that of the earlier Chen
838 and Gettelman (2013) study due to a smaller initial contrail area (100 vs. 300 m) and smaller initial
839 ice crystal sizes (7.5 vs. 10 μm diameter). The radiative forcing pattern and magnitude were
840 qualitatively and quantitatively consistent with the analysis conducted by Lee et al. (2021),
841 aligning with the intercomparison between contrail simulation models.

842 **3.2.4 Stanford global model for contrail evaluation**

843 Although it is no longer actively utilized in aviation studies, Stanford University developed a low-
844 order contrail model and a Large Eddy Simulation (LES) model (Naiman et al., 2009; Naiman et
845 al., 2011; Jacobsen et al., 2011). In their work, Jacobsen et al. (2011) assessed mass-conservative,
846 positive-definite, unconditionally stable, and non-iterative numerical techniques for simulating the
847 evolution of discrete, size, and composition-resolved aerosol and contrail particles within
848 individual aircraft exhaust plumes. This simulation was conducted in a global or regional 3-D
849 atmospheric model, incorporating the coupling of subgrid exhaust plume information to the grid
850 scale (see Fig. 11). This approach represents a distinct method for simulating the impacts of aircraft
851 on climate, contrails, and atmospheric composition.

852 The microphysical processes addressed within each plume include size-resolved coagulation
853 among and between aerosol and contrail particles, aerosol-to-hydrometeor particle ice and liquid
854 nucleation, deposition/sublimation, and condensation/evaporation. Each plume is characterized by



855 its own emission and supersaturation, and the spreading and shearing of each plume's cross-section
856 are calculated over time. Aerosol and contrail-particle core compositions are tracked for each size
857 and affect optical properties within each plume. When linear contrails sublimate or evaporate, their
858 size and composition-resolved aerosol cores and water vapor are introduced to the grid scale,
859 where they influence large-scale clouds. The model's algorithmic properties were analyzed, and
860 the final model was evaluated against in situ and satellite data.

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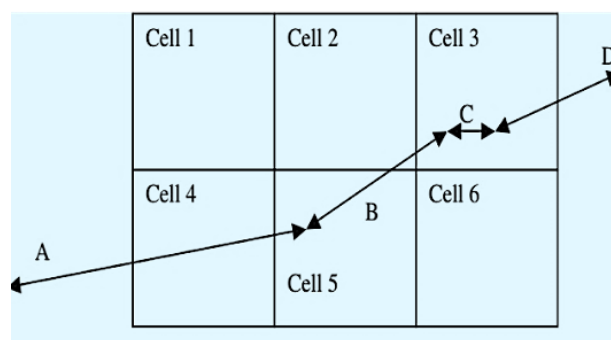
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869 **Figure 11.** Example of how flight segments cross grid cell boundaries in the Jacobsen et al., model.
870 Segments A, B, C, and D are original segments. These are partitioned or aggregated into individual
871 model grid cells 2, 3, 4, and 5 to form “new” segments. Adapted from Jacobsen et al. (2011).

872

873 **4 Radiative Forcing for Contrail Cirrus in Global Models**

874 **4.1 Contrail radiative forcing and efficacy**

875 Contrails during the day can warm or cool depending on optical depth, zenith angle, and ice crystal
876 shape (Stuber et al. 2006; Newinger and Burkhardt (2012). Stuber et al. (2006) suggested that
877 moving all air traffic to the day decreases RF. Newinger and Burkhardt (2012) stated that we need
878 to consider the lifetime of the contrails since daytime air traffic can cause a large contrail coverage
879 at night. After all, it is the long-lived contrail cirrus outbreaks that are responsible for a large part
880 of the climate impact (Burkhardt et al. 2018). As illustrated in Fig. 1, the overall effect reveals a
881 positive net radiative forcing (RF), signifying a warming impact. The examination of this
882 phenomenon is undertaken here. In contrast to the comprehensive RF, the local RF (RF') can be
883 defined as the instantaneous change in net incoming radiation for 100% contrail coverage in a
884 specific location.

885 Contrail RF comprises both a long-wave (LW) and a short-wave (SW) component, with each
886 influenced by flight characteristics and the time of day. Unlike the systematic dependency on
887 optical depth (τ), the relationship between RF and ice water path (IWP) is less consistent (De Leon
888 et al., 2012; Schumann et al., 2012). The LW RF is positive both day and night, with the largest
889 impact observed for a cold contrail (located near the tropopause) over a warm, cloud-free Earth
890 surface. The shortwave radiative forcing (RF) is predominantly negative, with the greatest impact
891 observed for contrails over darker surfaces, such as cloud-free oceans (Meerkötter et al., 1999).
892 Studies conducted by Schumann et al. (2012), utilizing both their model and observational
893 evaluations, suggest the existence of substantial regional RF' values. The calculation of contrail



894 RF within a global model is contingent on factors such as the representation of contrails, the
895 atmospheric conditions, and the radiation transfer model employed (Myhre et al., 2009).

896 Bickel et al. (2020) analyzed the feedback processes and quantified the effective radiative forcing
897 (ERF) of contrail cirrus, which refers to the cloud formations produced by aircraft engine exhaust.
898 They highlighted that feedback analysis is a valuable tool for understanding climate sensitivity and
899 the differences in efficacies between various climate-forcing agents. Previous climate model
900 simulations have suggested a relatively low efficacy of contrails in forcing global mean surface
901 temperature changes. They employed a climate model that incorporates a state-of-the-art
902 representation of contrail cirrus and conducted the simulations with fixed sea surface temperatures
903 to determine the ERF resulting from contrail cirrus.

904 Bickel et al. (2020) noticed that significant scaling up of aviation density is necessary to obtain
905 statistically significant results from the simulations. Their study found that the ERF of contrail
906 cirrus is less than 50% of the respective instantaneous or stratosphere-adjusted radiative forcings.
907 The best estimate of contrail cirrus ERF is approximately 35%. In comparison, the reduction of
908 ERF is more substantial for contrail cirrus than for a similar magnitude increase in CO₂
909 concentrations. They identified the main factor contributing to the reduction in contrail cirrus ERF
910 as a compensating effect of natural clouds that provide negative feedback. Additionally, they
911 observed that the combined water vapor and lapse rate adjustment, which affects the distribution
912 of water vapor in the atmosphere and the lapse rate (temperature decrease with altitude), has a less
913 positive impact on contrail cirrus ERF compared to the reference case of CO₂ forcing.
914 Nevertheless, the negative feedback provided by natural clouds has a more pronounced effect in
915 reducing contrail cirrus ERF compared to these adjustments.

916 Overall, Bickel et al. (2020) suggested that contrail cirrus has a lower climate impact than initially
917 thought, with the reduction in ERF attributed to the compensating effect of natural clouds.
918 Understanding the specific feedback processes and quantifying the ERF of different climate
919 forcing agents, such as contrail cirrus, is crucial for accurately assessing their contributions to
920 surface temperature changes and overall climate dynamics.

921 Long-lasting contrails contribute to global climate change. These contrails can form cirrus clouds,
922 which are a type of high-altitude cloud that can trap heat in the atmosphere. This trapping of heat
923 is known as radiative forcing. While the exact contrail contribution to radiative forcing remains
924 uncertain, it is thought to be a significant factor in climate change (Brasseur et al., 2016). The RF
925 metric is a backward-looking measure of the effect of emissions on the radiative flux balance and
926 is commonly used to compare changes in climate forcings (Wuebbles et al., 2010). The RF linked
927 to non-CO₂ aviation emissions arises from processes occurring over different time scales. Contrails
928 generally exist for a few hours after an aircraft emissions occur, but other emissions can last much
929 longer. Effects on the distribution of aerosols and on ozone produced from NO_x emissions can
930 remain for a few days to months, while changes in CH₄ can be affected for longer than a decade.
931 The limitations of using RF as a comprehensive metric for global non-CO₂ aviation climate
932 impacts are well-recognized due to such associated spatiotemporal variations (Wuebbles et al.,
933 2007). The nonlinear interactions involved make it imprecise to represent the sum of RF for various
934 non-CO₂ components as a single value. Similarly, distinct climate responses are observed for
935 different forcing mechanisms.

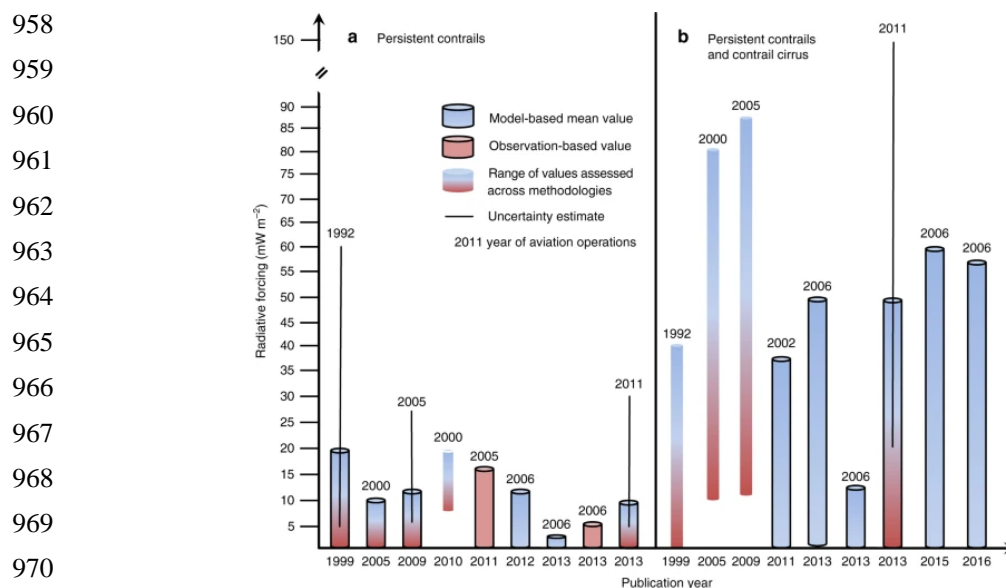
936 In 2016, Brasseur et al. investigated the radiative forcing (RF) through analyses involving seven
937 global models (CAM4, CAM5, IGSM, GISS-E2, GEOSCCM, GATOR-GCMOM, and GEOS-



938 CHEM) as part of the Federal Aviation Administration's (FAA) Aviation Climate Change
939 Research Initiative (ACCRI) program. The assessment covered climate impacts for 2006, and the
940 initial five models projected impacts for 2050 scenarios, specifically focusing on selected aircraft
941 emission components. In a separate study, Chen and Gettelman (2016) used the CAM5 model to
942 estimate RF for contrails and contrail cirrus in the 2050 future scenario, employing the 2006 AEDT
943 dataset. Notably, the research highlighted the tendency to overestimate global linear contrail (LC)
944 net RF when using the natural ice cloud optical property parameterization as a stand-in for contrail
945 counterparts in modeling studies. Furthermore, the distribution of regional RF indicated that in
946 densely trafficked airspaces, such as the United States, RF could be up to ten times higher than the
947 global average (Brasseur et al., 2016).

948 Schumann and Graf (2013) determined a more significant AIC impact by using both observational
949 data and the contrail cirrus prediction model (CoCiP; Schumann, 2012). They found an "aviation
950 fingerprint" ascribed to a daily air traffic cycle within the diurnal cycle of cirrus properties in the
951 North Atlantic region (NAR), associating with the annual mean diurnal patterns of cirrus cover
952 and outgoing longwave radiation (OLR) derived from Meteosat data (Graf et al., 2012).

953 Figure 12 shows the global average annual radiative forcing (RF) values and uncertainty ranges
954 for persistent contrails alone and together with contrail cirrus. The values are compiled from
955 selected studies and assessments published since 1999 and include a recent development by
956 Kärcher (2018). Over time, early assessments of contrail RF have been confirmed and the range
957 of uncertainty has been narrowed down considerably.



972 **Figure 12.** Global annual average amount of radiative forcing caused by aircraft-induced clouds
973 Figure adapted with permission from Kärcher (2018). (Licensed under CC BY 4.0)

974 The figure shows that the RF due to persistent contrails alone is -0.01 W/m^2 (with an uncertainty
975 range of $0.005\text{-}0.03 \text{ W/m}^2$), and the RF due to persistent contrails together with contrail cirrus is
976 $\sim 0.05 \text{ W/m}^2$ (with an uncertainty range of $0.02\text{-}0.15 \text{ W/m}^2$). (Kärcher, 2018). Figure. 12 shows



977 that analyses accounting only for linear contrails underestimate the total RF for contrails. More
 978 recent analyses tend to show an overall warming of around $\sim 45 \text{ mWm}^{-2}$ (Bier and Burkhardt, 2022).

979 The models applied by DLR and NCAR's groups are summarized in Table 2 (updated from. Bock
 980 and Burkhardt, 2016). Bock and Burkhardt (2016) evaluated the year 2002 using the AERO2k
 981 inventory and for year 2006 using the AEDT 2006 slant distance inventory. The corrected NCAR
 982 analyses and their more recent results are consistent with those from the DLR modeling studies
 983 (Lee et al., 2021). These findings further amplify the conclusions from Fig. 12.

984 4.2 Future RF projections

985 4.2.1 DLR's study for future RF projections

986 Bock and Burkhardt (2019) investigated how changes in air traffic between 2006 and 2050 would
 987 impact the properties of contrail cirrus clouds and the amount of radiative forcing they exert. They
 988 considered both the increase in air traffic volume and the upward shift in flight altitudes. As shown
 989 in Table 3, air traffic is projected to increase fourfold, while flight altitudes are expected to rise by
 990 0.3 to 1.5 km. This shift will result in the maximum flight density occurring at a lower altitude,
 991 around 200 hPa in 2050 compared to 240 hPa in 2006. They found that the maximum flight density
 992 would occur at a lower altitude in 2050, which would have implications for the climate (Bock and
 993 Burkhardt, 2019).

994 **Table 2.** Summary of existing contrail cirrus simulations and RF from the DLR and NCAR
 995 models. Adapted from of Bock and Burkhardt (2016).
 996

Model	Inventory	Flight distance	RF (mW/m^2)	References
ECHAM5-CCMod	AERO2k 2002	track	35	
ECHAM5-CCMod	AEDT 2006	track	49	<i>Burkhardt and Kärcher (2011)</i>
ECHAM5-CCMod	AEDT 2006	slant	56	
ECHAM4-CCMod	AERO2k 2002	track	38	
ECHAM4-CCMod	REACT4C 2006	track	45	<i>Schumann et al. (2015)</i>
COCIP	AEDT 2006	flight vectors	63	<i>Schumann et al. (2015)</i>
CAM5	AEDT 2006	slant	13 (57)*	<i>Chen and Gettelman (2013)</i>
CAM6	AEDT 2006	slant	62 (ERF)**	<i>Gettelman et al. (2021)</i>

* The approximation of Chen and Gettelman (2013) was revised.

**ERF (the scaling technique was derived from Lee et al.2021 for 2006 to 2018 and then 9% per year scaled (2018 to 2019) by Gettelman et al. (2021)

997

998 In Table 3, the air traffic distance is specified as the ground-projected track distance. The coverage
 999 is sketched out for all contrail cirrus, and the visibility of contrail cirrus (visible optical depth $>$
 1000 0.05) is indicated in brackets (Bock and Burkhardt, 2016). Radiative forcing estimates exist for
 1001 both track distance and slant distance within brackets (Table 3). Remarkably, Bock and Burkhardt
 1002 (2019) determined that the projected future increase in air traffic, coupled with a minor shift to
 1003 higher altitudes, outcomes in a substantial rise in contrail cirrus coverage, optical depth, and
 1004 radiative forcing. Particularly, air traffic contrail cirrus radiative forcing is estimated to increase
 1005 threefold, from 49 to 159 mW m^{-2} (Fig. 13). The findings are a result of a future air traffic
 1006 inventory, where the measurement of air traffic is signified in terms of track distance (ground
 1007 projected) rather than slant distance (3-D). Declining the initial contrail ice particle number by



1008 50% results in a substantial drop in the climate impact of contrail cirrus, leading to a global decline
 1009 in radiative forcing for the year 2050. The reduction is significant, amounting to a 14% reduction
 1010 from 160 to 137 mWm⁻². (Fig. 13).

1011 4.2.2 RF projections from the NCAR model

1012 The study conducted by Chen and Gettelman (2016) investigated the radiative forcing curbing
 1013 from aviation-induced cloudiness, using the Community Atmosphere Model Version 5 (CAM5)
 1014 for both present (2006) and future (up to 2050) scenarios. The researchers found a projected four-
 1015 fold increase in global flight distance from 2006 to 2050. In spite of this, the simulated radiative
 1016 forcing from contrail cirrus in 2050 is predicted to reach 87 mWm⁻², representing a seven-fold
 1017 rise compared to 2006. This underlines a non-linear correlation between radiative forcing and fuel
 1018 emission mass, accredited to non-uniform regional escalations in air traffic and changes in contrail
 1019 radiative forcing sensitivity through different regions.

1020 **Table 3.** Overview of the model simulations: Air traffic distance exists as ground-projected track
 1021 distance. Coverage is furnished for all contrail cirrus, with coverage for visible contrail cirrus
 1022 (visible optical depth > 0.05) shown in brackets (Bock and Burkhardt, 2016). The radiative
 1023 forcing is represented for both track distance and slant distance, with values enclosed in brackets.
 1024 (Adapted from Bock and Burkhardt (2019))

Background	Inventory	Air traffic volume (km yr ⁻¹)	Propulsion efficiency	Initial ice number concentration (cm ⁻³)	Coverage (%)	RF (mW m ⁻²)
2006	2006	3.7 × 10 ¹⁰	0.3	150	1.1 (0.7)	49 (56)
2006	2050 Baseline	15.4 × 10 ¹⁰	0.3	150	2.9 (2.0)	159 (182*)
2050 (RCP6)	2050 Baseline	15.4 × 10 ¹⁰	0.3	150	2.8 (2.0)	160 (183*)
2050 (RCP6)	2050 Scenario1	15.4 × 10 ¹⁰	0.42	75	2.98(1.7)	137 (157*)

RCP6-Representative Concentration Pathway 6.0

*Asterisks denote extrapolated values resultant from the factor resolute by the radiative forcing in 2006, which is connected to air traffic volume and computed using slant distance and track distance (Bock and Burkhardt, 2016).

1025 The CAM5 simulations also indicate that the negative radiative forcing resulting from the indirect
 1026 effect of aviation sulfate aerosols on liquid clouds in 2050 could be as large as -160 mWm⁻², a
 1027 four-fold increase from 2006. Consequently, when considering both aviation aerosols and contrail
 1028 cirrus, the total radiative forcing in 2050 could possibly employ a cooling impact on the planet.
 1029 Aerosols, particularly aviation sulfate aerosols distributed at cruise altitudes, may be transferred
 1030 to the lower troposphere. This procedure raises aerosol concentrations and consequently enhances
 1031 the cloud drop number concentration and persistence of low-level clouds. On the other hand, the
 1032 study suggests that aviation black carbon aerosols have an insignificant net forcing affect globally,
 1033 both in 2006 and 2050.

1034 The researchers focus on specific regions, for instance, Central Europe, eastern North America,
 1035 and East Asia, and recommend quantitative evaluations of the forcing in each region for several
 1036 scenarios. For example, in Central Europe, the predicted contrail cirrus radiative forcing in 2050
 1037 is anticipated to locally peak at 2 Wm⁻², marking a 2 to 3-fold increase compared to 2006. In the
 1038 eastern United States, the contrail cirrus radiative forcing could reach 800 mWm⁻² in 2050,



1039 revealing a higher percentage increase compared to 2006. The most prominent rise in contrail
 1040 cirrus radiative forcing is estimated in East Asia, where a 6-fold increase is projected for 2050,
 1041 associated with the region's anticipated significant rise in consumption of aviation fuel Inclusion
 1042 of aviation aerosols in the simulations suggests a slight reduction in positive forcing over land, as
 1043 compared to the forcing solely caused by contrail cirrus. On the other hand, over the ocean, a
 1044 negative forcing rising from aviation emissions is measured. This can be accredited to the lower
 1045 surface albedo and cleaner environment (fewer aerosols) in comparison to land areas. In the three
 1046 regions with the highest projected air traffic in 2050 (eastern United States, Central Europe, and
 1047 East Asia), aviation aerosols reduce the regionally averaged positive radiative forcing induced by
 1048 contrail cirrus by approximately 50%, as indicated by the blue boxes in Fig. 14. The peak positive
 1049 forcing within each of these regions is also reduced by 50% due to aviation aerosols. The study
 1050 provides detailed regional estimates of these effects (Table 4).

1051 The negative radiative forcing result from aviation aerosols, as detected in this study, supports
 1052 with the inferences represented by Righi et al. (2013). The extent of the cooling effect is estimated
 1053 to be affected by the background cloud drop number concentration.

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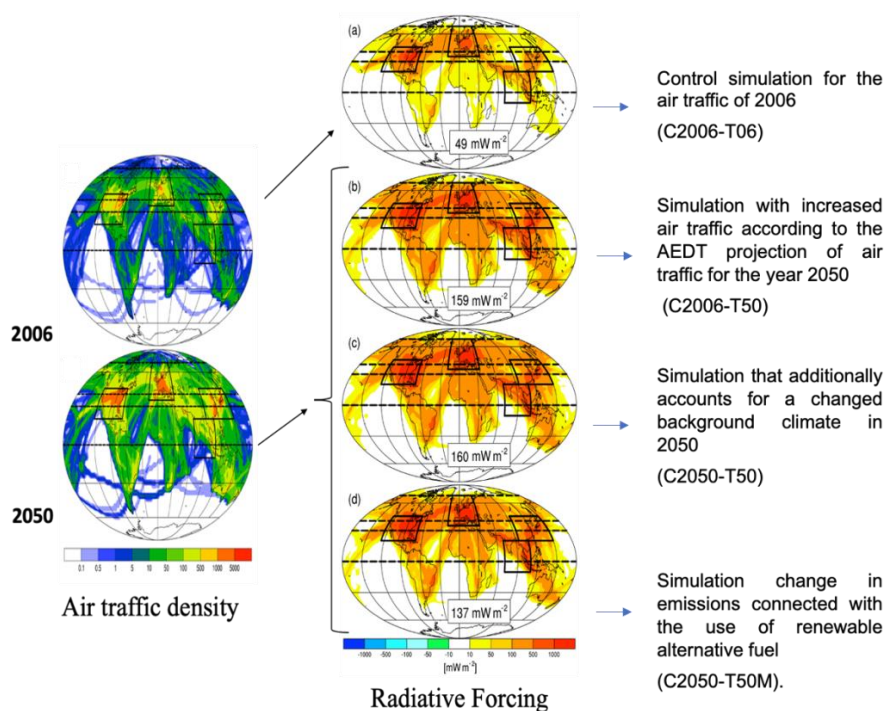
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1070 **Figure 13.** The horizontal distribution depicts the vertically incorporated air traffic density (km
 1071 $\text{m}^{-2}\text{s}^{-1}$) for the years 2006 and 2050, along with the radiative forcing in scenarios C2006-T06 (a),
 1072 C2006-T50 (b), C2050-T50 (c), and C2050-T50M (d) Adapted from Bock and Burkhardt (2019).

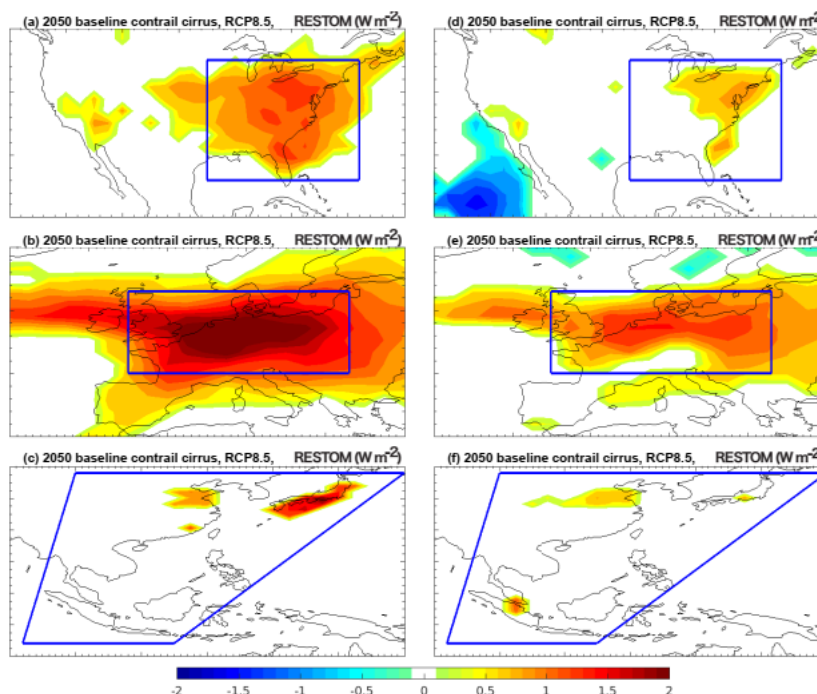
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1074 **Table 4.** Radiative forcing (mWm^{-2}) attributed to aviation H_2O emissions, with uncertainties
 1075 derived from 2 standard deviations of the four-member ensemble. (Adapted from Chen and
 1076 Gettelman, 2016)



Meteorology	Scenario	Global	North America	Central Europe	East Asia
Present	2006 AIR	12 ± 4	195 ± 30	483 ± 69	41 ± 8
2050 RCP4.5	2050 BL	87 ± 6	798 ± 152	1682 ± 535	272 ± 39
2050 RCP4.5	2050 SC1	76 ± 7	724 ± 136	1568 ± 489	231 ± 36
2050 RCP4.5	2050 SC2	76 ± 7	724 ± 136	1568 ± 489	231 ± 36
2050 RCP4.5	2050 SC3	80	681	1952	213
2050 RCP8.5	2050 BL	83 ± 3	852 ± 92	1558 ± 226	248 ± 25
2050 RCP8.5	2050 SC1	73 ± 4	777 ± 96	1442 ± 235	211 ± 24
2050 RCP8.5	2050 SC2	73 ± 4	777 ± 96	1442 ± 235	211 ± 24
2050 RCP8.5	2050 SC3	75	865	1597	221

1077



1078

1079 **Figure 14.** Ensemble of the average regional radiative forcing in Wm^{-2} , utilizing the baseline
 1080 emission scenario for the year 2050 with RCP8.5 meteorology, focusing on contrail cirrus alone
 1081 (a–c) and contrail cirrus combined with aviation aerosols (d–f). The term "RESTOM" represents
 1082 the alteration in the net residual radiative flux at the top of the model. The plot includes only
 1083 ensemble-mean perturbations that surpass 2 standard deviations of the averaged control
 1084 simulations. (Figure adopted with permission from Chen and Gettelman, 2016) (Licensed under
 1085 CC BY 4.0)



1086 **4.3 Issues representing cloud-contrail and contrail-contrail overlaps in modeling studies**

1087 A thorough examination of past methodologies for modeling cloud layer overlaps and several
1088 modeling studies recommends that overlapping with other cloud layers is prone to reduce both the
1089 shortwave (cooling) and longwave (warming) radiative forcing linked to contrails (Sanz-Morère
1090 et al., 2021). However, there is inadequate agreement on how the overlap between clouds and
1091 contrails might modify the net radiative forcing due to uncertainties regarding whether it would
1092 more strongly mitigate the shortwave or longwave components. Particularly, the precise impact of
1093 contrail-contrail overlap on global contrail radiative forcing has yet to be measured. In this section,
1094 we review previous literature referring to the treatment of multiple-layer overlap in the context of
1095 contrail radiative forcing calculations.

1096 Schumann et al. (2012) determined that the net radiative forcing may augment if contrails overlap
1097 with low-level clouds but could go through significant variations when passing under natural cirrus
1098 clouds. This underscores the significance of precisely modeling natural clouds in contrail
1099 simulations. Nevertheless, when utilizing this method to simulate single contrails, accounting for
1100 the radiative interactions among multiple contrails turns into a challenging task. In prior examples
1101 of modeling contrail-contrail overlap, when simulating contrails in global climate models, various
1102 treatments have been utilized, as outlined in Table 5. Contrail parametrizations have been
1103 formulated for ECHAM4 (Ponater et al., 2002; Burkhardt and Kärcher, 2009), where maximum-
1104 random overlap is presumed between contrail and cloud layers, as well as among different contrails
1105 (Burkhardt and Kärcher, 2011; Marquart et al., 2003; Bock and Burkhardt, 2016; Frömming et al.,
1106 2011). Rädcl and Shine (2008) and Rap et al. (2010) also use this parameterization, calibrating
1107 the outcomes by using satellite observations.

1108

1109 **Table 5.** Existing methods for modeling contrail–contrail overlap when estimating global contrail
1110 RF. MRO: maximum-random overlap, defined by Geleyn and Hollingsworth (1978) as assuming
1111 that clouds in adjacent layers maximally overlap, while clouds separated by one or more clear
1112 layers randomly overlap (Adapted from Sanz-Morère et al. 2021).

Source	Model used to represent contrail–contrail overlap
Minnis et al. (1999)	No overlap considered (fractional coverage from observations)
Marquart et al. (2003)	MRO in the vertical for each column
Rädcl and Shine (2008)	Random overlap
Rap et al. (2010)	Random overlap
Frömming et al. (2011)	MRO in the vertical for each column
Burkhardt and Kärcher (2011)	MRO in the vertical for each column
Chen and Gettelman (2013)	Zero contrail–contrail overlap in grid box
Schumann and Graf. (2013)	Linear RF addition
Bock and Burkhardt (2016)	MRO in the vertical for each column

1113

1114 Chen and Gettelman (2013) included contrails in the CAM5 model by portraying them as an
1115 increase in the 3-D cloud fraction, where the model presumes maximum-random overlap.
1116 Nevertheless, in their method, they considered zero overlaps between newly formed contrails when
1117 placed at the same vertical level (approximately 1 km). Finally, the CoCiP Lagrangian contrail
1118 model (Schumann, 2012) reports contrail-contrail overlaps indirectly by linearly summing the



1119 radiative forcing of all contrails, taking into consideration any observed cirrus present above the
1120 simulated contrail.. Nonetheless, this method does not clearly consider the overlap between
1121 simulated contrails. Inconsistencies rise in how contrail-contrail overlaps are modeled across
1122 various studies. The most efficient approach remains uncertain, and as of now, no analysis has
1123 measured the impact of contrail-contrail overlaps on global contrail RF. Since further expansion
1124 of the aviation sector is expected, it is anticipated that an increased number of instances of contrail
1125 overlap will occur. Hence, a more inclusive understanding of the degree and dynamics of contrail-
1126 contrail overlap is a necessity.

1127 **5 Observation Datasets for Contrail Studies**

1128 The vast majority of observations, comprised of airborne, satellite, and ground-based approaches,
1129 encompass jet aircraft exhaust contrails from 1972 onward. Nevertheless, it is remarkable that
1130 records of contrail observations date back to as early as 1915, as observed by Ettenreich in 1919.

1131 Early measurements performed behind a propeller-driven aircraft offered data supporting the
1132 concept that contrail ice formation requires liquid saturation to originate, associating with the
1133 Schmidt-Appleman criterion that is now well-established (aufm Kampe, 1943; Schumann, 1996).
1134 These remarks, which encompassed the collection of ice particles on impactors and halo
1135 observations, also furnished initial insights into the size and shape of both contrail and cirrus ice
1136 particles (Weickmann, 1945). The precise testing of models necessitates reliable quantitative data,
1137 covering not only information on contrail and plume properties but also on contrail age, the
1138 generating aircraft, atmospheric conditions during contrail formation, and the observational
1139 methods employed (Schumann et al., 2017). Mean properties of individual contrails are
1140 characterized for a wide range of jet aircraft as a function of age during their life cycle from
1141 seconds to 11.5 h (7.4-18.7 km altitude, -88 to -31°C ambient temperature), based on a compilation
1142 of about 230 in-situ and remote sensing measurements (Schumann et al., 2017). Contrails from
1143 individual aircraft can remain visible for extended periods, as reported in analyses by Minnis et al.
1144 1998 and Minnis et al. 2013) and Vázquez-Navarro et al. (2015). Schumann et al. (2017) furnished
1145 a brief description of individual datasets, integrating new analyses for their study, and combined
1146 them to create a "contrail library" (COLI). This dataset was then compared with the outcomes of
1147 the Contrail Cirrus Prediction (CoCiP) model. The observations corroborate that the quantity of
1148 ice particles in contrails is controlled by both the engine exhaust and the formation process in the
1149 jet phase. Some particle losses ensue in the wake vortex phase, with succeeding gradual diminishes
1150 over time.

1151 Publicly available air traffic data are usually limited, but certain projects have gathered flight data
1152 from sources such as air traffic control or ground-based observations (Schumann et al., 2013;
1153 Schumann et al., 2016). Distinguishable projects with air traffic subsets since 2005 are accredited.
1154 Garber et al. (2005) compiled traffic data for the United States and southern Canada for the years
1155 2000-2005. In Germany, the Deutsche Flugsicherung (DFS) has been gathering and filing away
1156 traffic data from 2006 onwards for more new projects. The Aviation and Climate Change Research
1157 Initiative (ACCRI; Basseur et al., 2016) furnished a global waypoint dataset for the year 2006 to
1158 researchers included in the ACCRI project.

1159 Particulars about aircraft assets, including size, mass, speed, fuel consumption, and propulsion
1160 efficiency, were tracked from various references, including the BADA (EUROCONTROL, 2009).
1161 Added engine properties, such as fuel consumption and emissions at surface pressure, can be



1162 attained from the ICAO Aircraft Engine Emissions Databank (EASA, 2023) and the 2006 AEDT
1163 emissions inventory (Barrett, 2010; Wilkerson, 2010)

1164 Two sets of observational-based capabilities exist that can provide the coverage needed to help
1165 evaluate the global modeling analyses for treating the effects of contrails, the Contrail Library
1166 (COLI) and the satellite-based record. The Contrail Library results are useful for evaluating limited
1167 areas of contrails but not for global analyses.

1168 **5.1 Contrail Library (COLI)**

1169 The COLI database includes 236 entries describing properties of contrails with known ages,
1170 including mean data for one hundred cases of in-situ measurements, more than 70 cases from
1171 ground-based and airborne lidar observations, 50 cases from satellites, and a few camera
1172 observations. The data come from 33 observation projects during the last 45 years. The comparison
1173 of the data from various measurements shows notable differences among the various instruments
1174 utilized across the dataset. Nevertheless, a notable accord endures among each approach, as well
1175 as uniformity between in-situ and remote sensing results, along with agreement with Schumann et
1176 al (2017) model outcomes. Moreover, in-situ data resultant from contrail measurements, the
1177 investigation integrates remote sensing data found from ground-based or airborne lidar and
1178 spectroradiometer, satellites, cameras, and visual interpretations. Table 6 summarizes some of the
1179 projects that contributed to the COLI database, including the aircraft used and the primary location,
1180 the mode of reference and a primary reference of the study.

1181 **5.2 Satellite-based datasets**

1182 Over the past two decades, detection of contrail in satellite imagery has primarily depended on the
1183 algorithm developed by Mannstein et al. (2003) (e.g., Palikonda et al., 2005; Vazquez-Navarro et
1184 al., 2010). This algorithm contains a series of convolution and thresholding operations related to
1185 brightness temperature images and, subsequently the detection of linear associated components of
1186 fitting size. While the algorithm has been fine-tuned to attain either high precision or high
1187 remembrance in contrail detections, no single model has simultaneously surpassed in both aspects.
1188 Subsequently, empirical observations of contrail coverage often need broad lower-bound/upper-
1189 bound approaches (Duda et al., 2013). Minnis et al. (2013) reported the properties of contrail cirrus
1190 clouds formed during 11 different contrail outbreaks, in the context of objectively determined
1191 linear contrails and their properties. It was observed that the ratio of contrail cirrus to linear
1192 contrails is substantially affected by the satellite analysis algorithm utilized to allocate linear
1193 contrails. Furthermore, this ratio seems to be affected by the presence of overlapping contrails,
1194 which can obscure individual contrails.

1195 The contrail cirrus optical depths were found to be 2-3 times greater than their linear contrail
1196 counterparts and the associated ice crystal particle diameters were 20% greater than the contrail
1197 particle sizes. The analyses of such satellite datasets are likely to be useful for evaluating global
1198 models of contrail radiative effects.

1199 An exception to the application of the Mannstein et al. (2013) algorithm is prominent in Kulik
1200 (2019) and Meijer et al. (2021). These analyses employed a deep learning model for pixel-level
1201 contrail detection using GOES-16 satellite imagery. Upon detecting a contrail, these studies
1202 facilitate the assessment of its lifetime impact and the ascription of potentially relevant flights
1203 (Vazquez-Navarro et al., 2010; Vazquez-Navarro et al., 2013; Vazquez-Navarro et al., 2015.
1204 Mutually, these practices furnish a means to evaluate the efficacy of flight diversions in avoiding



1205 contrail formations. McCloskey et al. (2021) officially released the first large dataset of pixel-level
1206 contrail locations in Landsat-8 satellite imagery labeled by humans. Labelers should be able to
1207 accurately differentiate contrails from naturally occurring cirrus due to Landsat-8's high spatial
1208 resolution and the advected flight history information provided. This dataset will be useful in
1209 benchmarking contrail detection models and validating contrail research in collocated
1210 geostationary satellite imagery.

1211

1212 **Table 6.** Observations from the year 2000 to 2014 incorporated into the COLI for the study of
1213 contrail (Adapted from Schumann et al. 2016).

Year	Project name	Source aircraft	Carrier/location	Measurement	Reference
2000	Cluster	airliners	Great Lakes	satellite	Duda et al.,(2004)
2001	Shutdown	B747+C fighters	Northwestern USA	satellites	Minnis et al.,(2002)
2002	CRYSTAL-FACE	WB-57	NASA WB-57	in situ	Gao et al.,(2006)
2003	Fallstreaks 2003	airliners	Goddard	lidar	Atlas and Wang (2010)
2005	PAZI-2	airliners	DLR Falcon	in situ	Febvre et al.,(2009)
2006	CR-AVE	WB-57	NASA WB-57	in situ	Flores et al.,(2006)
2008	CONCERT	airliners	DLR Falcon	in situ	Voigt et al.,(2010)
2008	ACTA	airliners	Europe andNorth Atlantic	satellite	Vázquez-Navarro et al.,(2015)
2011	CONCERT2011	airliners	DLR Falcon	in situ	Kaufmann et al.,(2014)
2011	COSIC	Bae 146	FAAM Bae-146	in situ	Jones et al.,(2012)
2012	Cameras	airliners	Munich	cameras	Schumann et al.,(2013)
2014	ML-CIRRUS	B772 or F900	HALO	in situ	Voigt et al.,(2016)

1214

1215 Ng et al. (2023) presented a human-labeled dataset named open contrails to train and evaluate
1216 contrail detection models based on GOES-16 Advanced Baseline Imager (ABI) data. Ng et al.
1217 (2023) proposed and evaluated a contrail detection model that incorporates temporal context for
1218 improved detection accuracy. The human-labeled dataset and the contrail detection outputs are
1219 publicly available on Google Cloud Storage at gs://goes_contrails_dataset.

1220 Persuading policymakers and airlines about the climate benefits of flight diversions becomes more
1221 reasonable with the availability of satellite corroboration capabilities. Geostationary satellites like
1222 GOES-16 and low-earth orbit satellites such as Landsat-8 present both complementary strengths
1223 and weaknesses when employed for the task of contrail detection (i.e., coverage versus persistence
1224 of the observations). An automated contrail detection system is essential for developing and
1225 evaluating contrail avoidance systems. Deep neural networks can be employed as an automated
1226 contrail detection system, a form of artificial intelligence (AI) and machine learning (ML), that
1227 has been advanced to connect and categorize contrails in satellite imagery or other relevant data
1228 sources. This system can effectively analyze complex patterns and features associated with
1229 contrails, by leveraging the competencies of deep neural networks. By utilizing deep neural
1230 networks and machine learning techniques, the automated contrail detection system offers an
1231 efficient and reliable solution for detecting and monitoring contrails, facilitating further research
1232 and analysis of their impact on climate and aviation.

1233 Siddiqui (2020) conducted a study where a neural network was used to distinguish contrail cirrus
1234 clouds from regular cirrus clouds on TSI images. The study found that the neural network
1235 triumphed with a high accuracy of 98.5% on the validation set, implying that the model was able
1236 to learn significant visual features of contrails that differentiate them from regular cirrus clouds.



1237 The success of this model opens various practical applications for studying contrails. For instance,
1238 the model can be used to analyze images from different months of data, agreeing for researchers
1239 to investigate the frequencies of contrail occurrences. This can impart valuable insights into air
1240 traffic trends, as well as how physical conditions like temperature and humidity vary seasonally
1241 and their potential impact on contrail formation. Researchers can better understand contrail
1242 behavior and their relationship with various environmental factors by leveraging the neural
1243 network's ability to detect and classify contrails accurately. This knowledge can be used to refine
1244 models and predictions related to contrail formation and persistence and contribute to a more
1245 comprehensive understanding of aviation's impact on the atmosphere.

1246 **6 Supersonic contrails**

1247 Contrail studies have primarily focused on subsonic aviation due to the predominance of subsonic
1248 air traffic since the retirement of the Concorde in 2003. Contrail formation and persistence for
1249 supersonic commercial aircraft pose challenges -- persistent contrails are unlikely to form in the
1250 stratosphere when these aircraft are flying at supersonic speeds but societal requirements for flying
1251 at subsonic speeds over land to avoid noise issues would still potentially produce persistent
1252 contrails. Limited literature exists, and uncertainties persist regarding parameters controlling
1253 contrail ice crystal distribution evolution for these aircraft. Consequently, the presence or absence
1254 of contrails becomes a discernible marker of the aircraft's speed regime.

1255 **7 Uncertainties and Research Gaps**

1256 **7.1 Uncertainties**

1257 There are several crucial areas of uncertainty and research gaps that require focused investigation
1258 to achieve a more comprehensive understanding of contrail formation, evolution, and their effects:

- 1259 • *Humidity Observations and Predictions*: An important uncertainty lies in the accuracy and
1260 reliability of humidity observations and predictions at different altitudes. Improved
1261 measurements and modeling of humidity are critical for assessing the conditions conducive to
1262 persistent contrail formation and their subsequent behavior. Addressing this uncertainty will
1263 lead to more precise insights into contrail persistence and its associated radiative impacts.
- 1264 • *Aircraft Plume Mixing*: Uncertainty persists in referring to how ambient air mixes with the
1265 aircraft plume during contrail formation, comprising uncertainties associated with the rate and
1266 extent of mixing.
- 1267 • *Interaction with Natural Cirrus Clouds*: The interplay between natural cirrus clouds and
1268 contrails initiates an additional level of uncertainty, fostering obscuring the understanding of
1269 their individual and mutual effects on radiative forcing (RF).
- 1270 • *Regional Variations in Atmospheric Conditions*: The sensitivity of contrail radiative impacts
1271 to regional variations in atmospheric conditions is not well understood and remains uncertain.
- 1272 • *Aerosols and Aircraft Emissions*: Uncertainties persist in understanding the role of aerosols
1273 and particles from aircraft emissions in contrail formation and properties.

1274
1275 Significant uncertainties persist regarding the radiative forcing caused by aircraft contrails. These
1276 uncertainties arise from numerous factors, starting with uncertainties in the background
1277 meteorology and specific aircraft emissions. When assessing the climate impact of contrail cirrus,
1278 the primary uncertainties lie in (1) determining upper tropospheric water vapor concentrations and
1279 regions of supersaturation; (2) adequately representing contrail cirrus processes in global models;



1280 and (3) understanding the radiative response resulting from the contrail cirrus presence, typically,
1281 only approximate assessments of uncertainties associated with these processes are furnished.

1282 Assessing the radiative response of contrail cirrus involves handling several crucial uncertainties,
1283 including:

- 1284 • *Radiative Transfer Scheme*: Uncertainties exist in the radiative transfer scheme employed in
1285 the models, accounting for roughly 35% of the overall uncertainty (Myhre et al., 2009).
- 1286 • *Cloud Heterogeneity*: Uncertainties arise associated with the heterogeneity of ice clouds
1287 within the grid box of a climate model (Carlin et al., 2002; Pomroy and Illingworth, 2000),
1288 vertical cloud overlap, and the implementation of plane-parallel geometry instead of full 3D
1289 radiative transfer (Gounou and Hogan, 2007).
- 1290 • *Ice Crystal Habit*: Variations in ice crystal habit account for approximately 20% of the overall
1291 uncertainty (according to Markowicz and Witek, 2011). Evaluations of radiative transfer
1292 treatments within a global climate model, mainly in the context of very small ice crystals in
1293 young contrails, reveal uncertainties of up to 10% (Bock and Burkhardt, 2016). The degree
1294 of this uncertainty is dependent upon the ice water content of contrail cirrus.
- 1295 • *Soot Cores*: Radiative transfer uncertainties arise from the presence of soot cores within ice
1296 crystals of contrail cirrus, affecting factors such as shortwave albedo (Liou et al., 2013). When
1297 reflecting the distinct nature of various uncertainties and eliminating the influence of soot
1298 cores in ice crystals, the total uncertainty in the radiative response to contrail cirrus is valued
1299 to be around 55%.
- 1300 • *Upper Tropospheric Water Budget*: Additionally, uncertainties in contrail cirrus radiative
1301 forcing (RF) are associated with the upper-tropospheric water budget and the contrail cirrus
1302 pattern, including:
 - 1303 • Uncertainties in contrail cirrus RF are associated with upper-tropospheric ice
1304 supersaturation, reducing from the limited vertical resolution of satellite instruments
1305 (Lamquin et al., 2012) and contests in reproducing observed statistics of ice supersaturation
1306 in current models. This contributes approximately 20% to the total uncertainty.
 - 1307 • Uncertainties also arise concerning ice crystal number densities within young contrails.
1308 Lee et al. (2021) presumed a 50% uncertainty in average contrail ice crystal numbers after
1309 the vortex phase, causing an uncertainty of around 20% in contrail cirrus RF. This
1310 hypothesis is justified in simulations with ECHAM5-CCMod (Burkhardt et al., 2018).
 - 1311 • The effect of the lifetime of contrail cirrus on assessed contrail cirrus RF is relatively lesser,
1312 affecting day-night contrail cover (Chen and Gettelman, 2013; Newinger and Burkhardt,
1313 2012). The related uncertainty is valued at 5-10% (Lee et al., 2021).
 - 1314 • The estimation of RF by Chen and Gettelman (2013) faces uncertainties associated with
1315 postulations about initial ice crystal radii and contrail cross-sectional areas, accounting for
1316 approximately 33% uncertainty.
 - 1317 • Overall, substantial uncertainties persist in the radiative forcing induced by aircraft
1318 contrails, particularly following their transformation into cirrus clouds.

1319 In summary, there are numerous complexities and uncertainties associated with the radiative
1320 impacts of aircraft contrails and contrail cirrus clouds. Addressing these uncertainties through
1321 improved observations, modeling, and research is crucial for obtaining a more accurate
1322 understanding of their role in climate change.



1323 7.2 Research needs and gaps

1324 In the pursuit of a comprehensive understanding of contrail impacts on climate, there is a critical
1325 need to establish clear research needs and requirements.

1326 Improving weather models demands better predictions of humidity and clouds with enhanced
1327 resolution in time, space, and altitude. The models must also adapt to evolving weather conditions.
1328 Accurate water vapor data during cruise altitudes is crucial, emphasizing the need for small, cost-
1329 effective humidity sensors. To achieve this, there is a need for the production, testing, and
1330 evaluation of precise sensors. Lidar technology and weather model assessments play a key role in
1331 advancing observational capabilities for more accurate predictions. Enhancing cirrus cloud
1332 forecasts requires a dual focus on data and artificial intelligence. Ensemble simulations are vital
1333 for estimating uncertainties in cirrus cloud predictions. Additionally, there is an urgent need for
1334 more climate and contrail models, ensuring a comprehensive understanding and evaluation of these
1335 complex atmospheric processes.

1336 Sun and Roosenbrand (2023) reported the limitations in traditional computer vision approaches
1337 for contrail detection, particularly in handling the complexity of satellite images under varying
1338 conditions. Earlier machine learning methods relied on simpler convolutional neural network
1339 models, primarily demanding extensive labeled data for contrail presence determination. Notably,
1340 there is a gap in the existing literature, lacking research specifically tailored to machine learning
1341 approaches for contrail detection, setting it apart from other image segmentation or detection tasks.
1342 A significant challenge lies in the absence of adequate loss functions optimized for linear features
1343 like contrails during training, making detection notably difficult at lower resolutions, especially
1344 when multiple contrails are close. Addressing these gaps is crucial for advancing the efficacy of
1345 contrail detection methods (Sun and Roosenbrand, 2023).

1346 Additional research is needed before contrail effects on climate are fully understood. Here are
1347 some of the key areas of research gaps that should be explored to address existing uncertainties:

- 1348 ▪ *Properties of Contrail Particles*: Understanding contrail effects on climate requires
1349 reflection of key factors such as particle size, layer height, cloud overlap, and optical
1350 properties. Larger particles tend to have a different radiative impact than smaller ones. The
1351 altitude of contrail formation impacts their interaction with solar and terrestrial radiation.
1352 Precise climate modeling requires proper accounting for cloud overlap, impacting radiative
1353 calculations. Contrail optical properties, comprising albedo and emissivity, determine their
1354 reflective and trapping abilities for solar and terrestrial radiation. The concentration and
1355 size distribution of contrail particles affect their formation and radiative impact. Accurate
1356 estimation of contrail forcing, important for climate modeling and policy decisions,
1357 depends on understanding particle number, size, and layer height.
- 1358 ▪ *Assessment of Effective Radiative Forcing*: ERF calculates the radiative impact of contrail
1359 cirrus on climate, dependent on comprehending rapid feedback mechanisms. Contrail
1360 cirrus can instigate feedback mechanisms affecting their behavior and radiative effects. An
1361 advanced understanding of these mechanisms develops the representation of contrail cirrus
1362 in climate models, thereby augmenting the accuracy of climate change analyses.
- 1363 ▪ *Emissions and Alternative Fuels*: Corroborating a precise connection between soot and
1364 contrail ice crystal numbers is important for understanding the environmental impact of
1365 sustainable aviation fuels, such as biofuels. Biofuels are being studied as a more sustainable
1366 alternative to conventional aviation fuels, and evaluating their impact on contrail formation



1367 is crucial for assessing environmental benefits. Scientific research, notifying regulatory
1368 decisions, relies on the interpretation of the correlation between soot and ice crystal
1369 numbers. This connection is integral for complete visions of the environmental
1370 implications of alternative aviation fuels. Precise data on contrail formation, containing the
1371 impact of biofuels, is crucial for climate models predicting the impact of aviation emissions
1372 on climate. The experimental establishment of the soot-ice crystal connection gives this
1373 understanding.

- 1374 ▪ *Properties of Soot Particle*: A study into the bimodal size distributions of soot particles in
1375 aircraft emissions is critical for focusing uncertainties on contrail formation and properties,
1376 with inferences for climate science and aviation practices. In-flight measurements uncover
1377 that freshly emitted soot particles usually exhibit a single peak in number-size distributions,
1378 but some cases play a second, larger particle mode in near-field contrails and dry plumes.
1379 The source of this larger mode remains uncertain, signifying that the bimodal distribution
1380 may survive in unrefined jet engine emissions rather than be caused by contrail processing
1381 or coagulation during plume development. Precise data on soot particle properties is
1382 essential for climate models incorporating contrail outcomes, and resolving the origin of
1383 bimodal size distributions is crucial to improving model representations of contrail
1384 properties. Policymakers and regulatory bodies rely on scientific research for progressing
1385 aviation-related environmental policies, making an understanding of soot particle size
1386 distributions significant for up-to-date decision-making.
- 1387 ▪ *Remote Sensing for Contrail-Cirrus*: Organized regional campaigns are important for
1388 estimating key variables in aging contrail-cirrus and aircraft plumes. This contains in-situ
1389 and remote sensing to portray the growth, decay, and trajectories of contrail ice particles,
1390 delivering essential data for interpretation of transformation and radiative effects.
1391 Estimation of contrail particles, ambient aerosols, and gaseous aerosol precursors notifies
1392 climate modeling and environmental assessments, connecting emissions to contrail
1393 properties. Gathered data validates climate models, enhancing their accuracy and
1394 improving the representation of contrail-cirrus effects. Understanding contrail-cirrus
1395 development supports in developing mitigation strategies, informing contrail avoidance,
1396 and reducing aviation's climate impact.

1397 In addition to these research issues, there is a need to bridge the gaps with the assessment of the
1398 above-reported uncertainties and to investigate and improve the understanding of contrail
1399 avoidance and climate tradeoffs. Research should explore how to mitigate the climate impact of
1400 contrails without compromising aviation safety and efficiency.

1401 **7.3 Contrail avoidance and climate tradeoffs**

1402 To the best of our knowledge, no study has yet fully evaluated the contrail avoidance fuel and
1403 climate tradeoffs on a large scale, considering a sufficient number of routes and weather variation,
1404 while allowing full flight level optimization, quantifying the contrail impacts on an individual
1405 basis, and comparing to a fuel-optimal baseline in order to properly isolate the effects about
1406 contrail avoidance.

1407 Gierens et al. (2008) proposed several potential ways to mitigate the impact of contrails,
1408 encompassing both technical and operational approaches. Regarding the technical aspect, they
1409 recognized the challenge of completely preventing contrail formation due to its primarily
1410 thermodynamic nature. However, they suggested the exploration of new engine cycles and



1411 technical infrastructures that might help suppress contrails. Additionally, reducing the number of
1412 emitted particles was considered to mitigate contrail formation. While such evaluations would not
1413 eliminate contrails completely, they would produce thinner contrails with rarer but more ice
1414 crystals. These heavier ice crystals would incline more rapidly on average, causing shorter contrail
1415 lifetimes. The analysis also investigated operational mitigation options. Striking strict constraints,
1416 such as fixed maximum flight levels, was studied unreasonably due to the significant challenges it
1417 would pose for air traffic controllers and the resulting safety concerns. Their proposed strategies
1418 focused on addressing contrail formation both at the technical level through engine and
1419 infrastructure improvements and at the operational level by implementing flexible measures that
1420 consider real-time weather conditions. These approaches aimed to strike a balance between
1421 contrail reduction and the practical needs of aviation.

1422 In a recent study, Sausen et al. (2023) presented an experiment that aimed to avoid contrail
1423 formation during real-world operations. This experiment took place in the Maastricht Upper Area
1424 Control region, covering parts of Germany, the Benelux countries, and the North Sea, in the year
1425 2021. The researchers highlighted that contrail avoidance could serve as an effective method for
1426 mitigating the climate impact of aviation. To conduct their trial experiment, air traffic was
1427 deliberately diverted every other day by adjusting the flight altitude, either increasing or decreasing
1428 it by up to 2000 ft, whenever potential persistent contrails were predicted. The effectiveness of
1429 these deviations was assessed by analyzing satellite images of high clouds and employing a
1430 contrail detection algorithm that utilized contrail properties. Despite the ongoing challenge of
1431 accurately forecasting persistent contrails, the trial achieved a significant level of success, reaching
1432 97.5%, suggesting that on average, persistent contrails can be avoided during regular flights in the
1433 real world through minor adjustments in the vertical flight path. Contrail avoidance through minor
1434 adjustments in flight paths represents a practical approach to mitigate the formation of persistent
1435 contrails. It demonstrates the feasibility of implementing such measures within air traffic
1436 management to reduce the environmental impact of aviation. The study highlights the potential for
1437 real-world applications of contrail avoidance as a means of addressing the climate effects of
1438 contrails. The findings of this experiment mark an important milestone in implementing
1439 operational measures in air traffic management to decrease the impact of climate from aviation.

1440 In a recent Ph.D. thesis, Elmourad (2023) evaluated the fuel-climate tradeoffs arising from contrail
1441 avoidance strategies applied on a large scale. This study raises several key questions about
1442 contrails avoidance: What fraction of aviation's global total contrail length can be avoided using
1443 vertical re-routing exclusively? What is the fuel penalty of such an avoidance strategy? How does
1444 the fuel penalty or contrail reduction vary between flights or seasons? Can the fuel penalty be
1445 constrained? What effect does that have on the ability to do contrail avoidance? What net climate
1446 benefit can be achieved from contrail avoidance strategies? How does this differ from avoiding all
1447 contrails or only nighttime contrails? What are the relative orders of magnitude between the
1448 climate benefit from contrail avoidance and the climate damages from additional fuel burn? How
1449 does this difference compare with the uncertainties associated with contrail impacts?

1450 As the global volume of air traffic continues to rise, the aviation industry grapples with a
1451 significant challenge in mitigating its environmental impact on climate change. Contrails, which
1452 contribute to global warming by trapping terrestrial radiation, have the potential to counteract the
1453 benefits of reduced emissions resulting from optimized flight paths. In a study by Roosenbrand et
1454 al. (2023), the authors conducted a global assessment of flights that contribute to contrail formation
1455 and assessed the altitude adjustments required to avoid these contrail-prone areas. This analysis



1456 utilized a combination of data from the Integrated Global Radiosonde Archive (IGRA), which
1457 provides measurements from weather balloons with global coverage and high vertical resolution,
1458 and flight data from OpenSky. The study identified Mid-Western Europe, the Eastern United States
1459 of America, and Japan as regions characterized by both high air traffic volumes and a substantial
1460 percentage of flights forming contrails. Importantly, these regions offer opportunities for altitude
1461 adjustments of less than one kilometer to minimize contrail formation. The research also
1462 pinpointed other regions where relatively minor operational interventions could yield significant
1463 climate benefits.

1464 *Fuel-climate tradeoffs:* While there have been numerous studies on operational contrail avoidance,
1465 there are still some research gaps in the field when it comes to understanding the fuel-climate
1466 tradeoffs that would follow a large-scale global application of contrail avoidance strategies.

1467 *Sustainable Aviation Fuel (SAF):* Much still needs to be understood about what SAF will look like
1468 as it becomes the dominant fuel of the future. The emissions of soot and other particles from SAF
1469 are still not well understood and will have a significant effect on future contrail production and
1470 lifetimes. According to Bräuer et al. (2021,b), sustainable aviation fuels have been identified as
1471 capable of reducing both contrail ice numbers and the radiative forcing caused by contrail cirrus.
1472 Our study involved the measurement of apparent ice emission indices across different fuels with
1473 varying aromatic content at altitudes ranging from 9.1 to 9.8 km and 11.4 to 11.6 km. The data
1474 were collected during the ECLIF II/NDMAX flight experiment in January 2018, encompassing a
1475 variety of fuels differing in aromatic quantity and type. A comparison between a sustainable
1476 aviation fuel blend and a reference fuel Jet A-1 revealed a maximum reduction of 40% in apparent
1477 ice emission indices, highlighting the potential impact of sustainable aviation fuels on mitigating
1478 contrail-related environmental effects.

1479 *Alternative aircraft and new fuels:* There is a lot of ongoing discussion about the potential
1480 development of aircraft using hydrogen as fuel. Such an aircraft engine would still emit water
1481 vapor and therefore could produce contrails. However, the major reduction in particulates, e.g., no
1482 soot, could affect the lifetime of the contrail and whether it could result in contrail cirrus. Research
1483 is needed to evaluate the potential for contrail production and resulting lifetimes. Other alternative
1484 fuels would also need to be evaluated.

1485 Government research organizations and industry partners have an imperative to probe into the
1486 investigation and creation of sensor prototypes. One avenue of exploration is the development of
1487 a humidity sensor prototype with a precise range spanning from 20 parts per million by volume
1488 (ppmv) to well over 10,000 ppmv. The aim is to enhance the quality of measurements, particularly
1489 regarding temperature and relative humidity, at altitudes maintained during flight to address
1490 contrail mitigation. Equally, refining measurements at lower altitudes is crucial for enhancing
1491 weather forecasting accuracy. This accumulated data will play a pivotal role in evaluating and
1492 integrating these insights into forecast models, enhancing the robustness of these calculations. An
1493 additional area of focus is the refinement of model calculations pertaining to the impact of
1494 contrails, necessitating improved handling of variables such as clouds, aerosols, and specific
1495 aircraft characteristics.

1496 **8 Conclusions**

1497 This study reviews the current understanding of the impacts of contrail formation by aircraft on
1498 the Earth's climate system; it provides valuable insights into the current state of contrail research,
1499 offering perspectives on contrail formation, characteristics, life cycle, and potential future impacts.



1500 The study underscores the importance of confronting uncertainties in climate models and
1501 emphasizes the need for further research to enhance our understanding of contrail effects.

1502 Aviation emissions, encompassing both contrail cirrus clouds and carbon dioxide emissions, exert
1503 an important influence on the Earth's climate. Despite extensive research efforts, uncertainties
1504 persist, necessitating a deeper exploration of the microphysical processes governing contrail
1505 formation and aging. Our examination of global observational data and projections highlights the
1506 potential increase in contrail cirrus radiative forcing, as much a factor of three larger than the
1507 forcing from current emissions, by the mid-21st century, driven by many factors such as the
1508 likelihood for increased air traffic, potential enhancements in fuel efficiency, the introduction of
1509 alternative fuels, and the evolving atmospheric conditions. Addressing these complexities is
1510 imperative for the development of effective climate mitigation strategies and for identifying gaps
1511 in our knowledge.

1512

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1514

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