



1 **What Are the Key Soil Hydrological Processes to Control Soil Moisture Memory?**

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39 **Key Points:**

40 Van-Genuchten soil hydraulics significantly affect the long-term Soil Moisture Memory  
41 (SMM) of topsoil.

42 Surface ponding enhances surface soil moisture in both topsoil and the root zone.

43 Enhanced infiltration through preferential pathways improves both short-term and long-term  
44 SMM in both topsoil and the root zone.



45      **Abstract**

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47      Soil moisture memory (SMM), which refers to how long a perturbation in Soil Moisture (SM) can  
48      last, is critical for understanding climatic, hydrologic, and ecosystem interactions. Most land  
49      surface models (LSMs) tend to overestimate surface soil moisture and its persistency, sustaining  
50      unexpectedly large soil surface evaporation. In general, LSMs show an overestimation of long-  
51      term SMM and an underestimation of short-term SMM. This study aims to 1) identify key soil  
52      hydrological/hydraulic processes that contribute to the amount and persistence of SM and 2)  
53      improve the physical representations of soil hydrology in the widely-used Noah-MP LSM with  
54      optional schemes of soil hydrology/hydraulics. We test the effects of different processes on SMM,  
55      including soil water retention characteristics (or soil hydraulics), soil permeability, and surface  
56      ponding. We compare SMMs computed from various Noah-MP configurations against that  
57      derived from the Soil Moisture Active Passive (SMAP) Level 3 soil moisture and in-situ  
58      measurements from the International Soil Moisture Network (ISMN) from year 2015 to 2019 over  
59      the contiguous United States (CONUS). The results suggest that 1) soil hydraulics plays a  
60      dominant role, and the Van-Genuchten hydraulic scheme reduces the overestimation of the long-  
61      term surface SMM produced by the Brooks-Corey scheme, which is commonly used in LSMs; 2)  
62      explicitly representing surface ponding improves SMM accuracy for both the surface layer and the  
63      root zone; and 3) enhanced permeability through macropores improves the overall representation  
64      of soil hydraulic dynamics. The combination of schemes introduced in this study can significantly  
65      improve the long-term memory overestimation and short-term memory underestimation issues in  
66      LSMs.

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95 **Plain Language Summary**

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97 Land surface models (LSMs) represent the physical and bio-geochemical exchanges of mass and  
98 energy between surface and atmosphere. Such exchanges are extensively dependent on the surface  
99 soil moisture amount and its persistence. This study explores the key hydrological processes that  
100 can improve the representation of soil water holding and release capacity in land surface models,  
101 which are important for weather and climate predictions. Through experiments with state-of-the-  
102 art model, we found that soil hydraulics (representing how efficiently soil can hold/release water  
103 under variable pressure) is particularly effective in sustaining soil moisture. Additionally, we  
104 found that allowing water to pond on the soil surface helps improve the model's soil moisture  
105 persistency. Furthermore, enhanced soil permeability representation through soil macropores also  
106 regulates the water movement hence improving the soil moisture persistency. Overall, the  
107 combination of the above-mentioned approaches significantly improves the model's accuracy in  
108 representing how quickly the soil dries out and how efficiently it retains the moisture.  
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## 110 1. Introduction

111

112 LSM efficacy in simulating climate feedback mechanisms critically depends on the soil retention  
113 capacity and soil moisture persistency. Rainwater that rapidly infiltrates into deeper subsoil strata  
114 is unavailable to be returned to the atmosphere through evaporation, thereby preventing potential  
115 atmospheric feedback loops (Mccoll et al., 2019). The influence of soil moisture on climate  
116 predictions at seasonal-to-sub-seasonal (S2S) scales is well-recognized due to its role in the  
117 exchange of surface energy and water fluxes with the atmosphere (Koster et al., 2002, 2010;  
118 Koster, Guo, et al., 2009; Koster & Suarez, 2001). Water stored in soil and aquifers, which variably  
119 persists from seasons to years, is known to affect precipitation variability (Koster & Suarez, 1999,  
120 2001). This impact is particularly pronounced in regions transitioning from dry to wet conditions,  
121 where evapotranspiration (ET) is highly sensitive to soil moisture levels (Guo et al., 2006; Koster  
122 et al., 2004; Koster & Suarez, 2001; Seneviratne, Koster, et al., 2006). While the nature and scale  
123 of soil moisture-precipitation feedback are still being debated (Findell et al., 2011; Taylor et al.,  
124 2013), numerous studies have emphasized the importance of soil moisture initialization and its  
125 persistency for accurate climate predictions (Dirmeyer, 2011; Mei & Wang, 2012; Tuttle &  
126 Salvucci, 2016; Zeng et al., 2010). The degree of soil moisture-precipitation coupling widely varies  
127 across different climate models (Koster et al., 2004; Koster & Suarez, 1999, 2001; Moghisi et al.,  
128 2024; Seneviratne & Koster, 2012; Taylor et al., 2012), and discrepancies in the modeled soil  
129 moisture by Land Surface Models (LSMs) for climate modeling are notable (A. Boone, 2004).

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131 Refinement of soil moisture-precipitation feedback in LSMs is hindered by the lack of large-scale  
132 observational data, challenging the improvement and validation of simulations (Koster et al., 2010;  
133 Koster & Mahanama, 2012; Koster & Suarez, 1999, 2001; Seneviratne & Koster, 2012). This  
134 shortfall highlights the necessity for more detailed representations of land-atmosphere feedback  
135 mechanisms that are crucial for extreme weather event predictions, yet are typically parameterized  
136 rather than explicitly resolved in models (Mccoll et al., 2019; Pastorello et al., 2020). Integrating  
137 extensive observational data is vital for simulating the intricacies of climate and weather and  
138 improving model predictive skill (Koster et al., 2017; Koster, Schubert, et al., 2009a; Mccoll et  
139 al., 2019; Shellito et al., 2018). Recent advancements in remote sensing observations have enabled  
140 analyses of interactions between near-surface soil and the atmosphere. Nonetheless, the paucity of  
141 root zone data complicates the investigation of deep soil dynamics. Numerous studies have utilized  
142 satellite soil moisture products to evaluate and refine models, focusing on the spatial and temporal  
143 patterns of soil moisture variability (Koster, Schubert, et al., 2009b; K. Yang et al., 2020). In  
144 particular, the Soil Moisture Active Passive (SMAP) mission has been extensively employed to  
145 assess model performance (Mccoll et al., 2019; McColl, Wang, et al., 2017a, 2017b; Shellito et  
146 al., 2016, 2018).

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148 The concept of Soil Moisture Memory (SMM)—the duration required for a perturbation, such as  
149 rainfall, to dissipate—becomes essential for understanding the land-atmosphere interactions.  
150 SMM encapsulates the temporal variations of soil moisture, reflecting the exchange of fluxes  
151 between land and atmosphere. Therefore, SMM is an important metric for evaluating LSMs, since  
152 one of their functions is to provide flux exchange and boundary conditions for atmospheric models  
153 (Guo et al., 2006; Koster et al., 2004; Koster, Schubert, et al., 2009a; Seneviratne, Koster, et al.,  
154 2006). SMM also facilitates the comparison of how quickly soil loses water between observations  
155 and various models, providing insights into the mechanisms within LSMs and their



156 hydrometeorological responses. Moreover, analyzing SMM can yield valuable data on the  
157 configurations and hydrological parameterizations of specific LSMs, thus improving our  
158 understanding of how different configurations impact model performance, particularly in soil  
159 moisture representation. Shellito et al. (2018) measured the drying rate of surface soil moisture,  
160 which they considered as soil moisture memory, using SMAP data and the Noah model during the  
161 initial 1.8 years following SMAP's launch. They concluded that SMAP has faster drying rate  
162 compared with Noah.

163

164 Determining SMM is not straightforward due to the variety of calculation methods proposed by  
165 researchers (Ghannam et al., 2016; Katul et al., 2007; Koster et al., 2002, 2004; Koster, Guo, et  
166 al., 2009; Koster & Suarez, 1999, 2001; Mao et al., 2020; McColl, Alemohammad, et al., 2017;  
167 Mccoll et al., 2019; McColl, Wang, et al., 2017a; Seneviratne, Koster, et al., 2006; Shellito et al.,  
168 2016), each introducing its own level of uncertainty. Traditionally, soil moisture has been  
169 conceptualized as a red noise process, forming the basis for SMM calculations (T. L. Delworth &  
170 Manabe, 1988). This approach has led to the definition of SMM as the e-folding autocorrelation  
171 timescale within such a process (T. Delworth & Manabe, 1989). SMM has also been characterized  
172 using various other autocorrelation-based methods, such as the integral timescale (Ghannam et al.,  
173 2016; Nakai et al., 2014), soil moisture variance spectrum (Katul et al., 2007; Nakai et al., 2014),  
174 and the constant time lag autocorrelation (Koster & Suarez, 2001; Seneviratne, Lüthi, et al., 2006).  
175 These methods provide insights into the magnitudes of water and energy flux exchanges between  
176 land surface and atmosphere, indicating that shorter SMM durations can lead to more intense  
177 feedback and larger flux exchanges. Traditionally, these models were applied to monthly datasets.  
178 However, this approach risks overlooking dynamic processes governed by limitations in water and  
179 energy (Mccoll et al., 2019). Consequently, there has been a shift away from their use towards  
180 recent high-resolution observational and modeling data. Therefore, there is a need for further  
181 research to refine SMM measurement that can then be used as a benchmark for assessing LSMs  
182 (Mccoll et al., 2019).

183

184 McColl et al. (2019) categorized soil water loss into two main categories: water-limited (long-  
185 term) and energy-limited (short-term). The energy-limited regime is a process where water loss is  
186 constrained by available energy and lasts from hours to a few days. In contrast, the water-limited  
187 regime is a process where water loss depends on the available water and spans longer periods, such  
188 as weeks, months, and seasons. McColl et al. (2019) specified that ET and drainage are the main  
189 controllers of long-term and short-term memories, respectively. Utilizing a two-year dataset from  
190 the SMAP mission and simulations from the Goddard Earth Observing System Model, Version 5  
191 (GEOS-5), McColl et al. (2019) conducted a global analysis under various climatic and land  
192 conditions. Their analysis revealed that GEOS-5 tends to overpredict the duration of water-limited  
193 memory and underpredicts energy-limited memory compared to SMM inferred from SMAP data,  
194 while the results were not affected by the SMAP sampling frequency of 3 days. Building on this,  
195 He et al. (2023) employed the hybrid memory approach proposed by McColl et al. (2019) to assess  
196 the hydrometeorological response of various LSMs, including GLDAS-CLSM, GLDAS-Noah,  
197 MERRA2, NCEP, ERA5, and JRA55, against SMAP observations for 2015 – 2020. The authors  
198 observed that LSMs generally overestimate memory in water-limited regime and significantly  
199 underestimate it in energy-limited regime. Moreover, their study suggested that discrepancies in  
200 SMM representation within LSMs are more attributable to the physical processes incorporated  
201 rather than factors such as soil layer depth or the nature of model simulations (online/offline).



202

203 Based on the works of McColl et al. (2019) and He et al. (2023), this study examines subsurface  
204 processes to enhance the Noah-MP model's parametrization, focusing on SMM as a key metric.  
205 We aim to optimize soil hydraulics within the model by evaluating various parametrizations, such  
206 as those by Brooks and Corey (1964), Clapp and Hornberger, and Van-Genuchten, along with  
207 considering preferential flow and surface ponding depth. Our analysis investigates the impact of  
208 these configurations on soil moisture consistency across different ET regimes and drainage, so it  
209 provides insight into physical processes affecting SMM. By comparing SMM in Noah-MP with  
210 SMAP Level 3 data and ISMN observations from 2015 to 2019 over the CONUS, we seek to refine  
211 parametrization schemes and address prevalent SMM overestimations in LSMs.  
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## 213 2. Materials and Methods

214

215 SMM denotes the duration required for a perturbation to dissipate, or the period from the start to  
216 the end of a perturbation. For instance, following precipitation, the change in near-surface soil  
217 moisture marks the beginning of the perturbation. This excess moisture gradually diminishes due  
218 to flux exchange or percolation to deeper soil layers. The moisture level of soil plays a critical  
219 role in influencing water loss patterns. Following rainfall, the upper layer of soil initially holds  
220 more moisture than its field capacity ( $\theta_{fc}$ ), causing runoff and drainage (see Figure 1a).  
221 Subsequently, as the soil gradually dries, its moisture content reduces to a range between  $\theta_{fc}$  and  
222 the critical threshold ( $\theta_c$ ). This phase leads to consistent water loss at the maximum  
223 evapotranspiration rate, known as Stage-I ET. As this process continues, the soil moisture falls  
224 below  $\theta_c$  (Figure 1a), at which stage evapotranspiration becomes limited by the available water,  
225 termed Stage-II ET or ET at water-limited regime (illustrated in Figure 1a & b). Ultimately, when  
226 the soil moisture drops below the wilting point ( $\theta_w$ ), water no longer leaves the soil. Therefore, the  
227 whole process of water loss depends on the soil's moisture level and falls into two main types:  
228 energy-limited including unresolved drainage, and Stage-I ET, and water-limited including Stage-  
229 II ET (Figure 1b) (Mccoll et al., 2019; He et al. 2023). Energy-limited, green strips, and water-  
230 limited regimes, dotted-lines, are shown in soil moisture times series at the Tonzi Ranch station  
231 (Figure 1c).  
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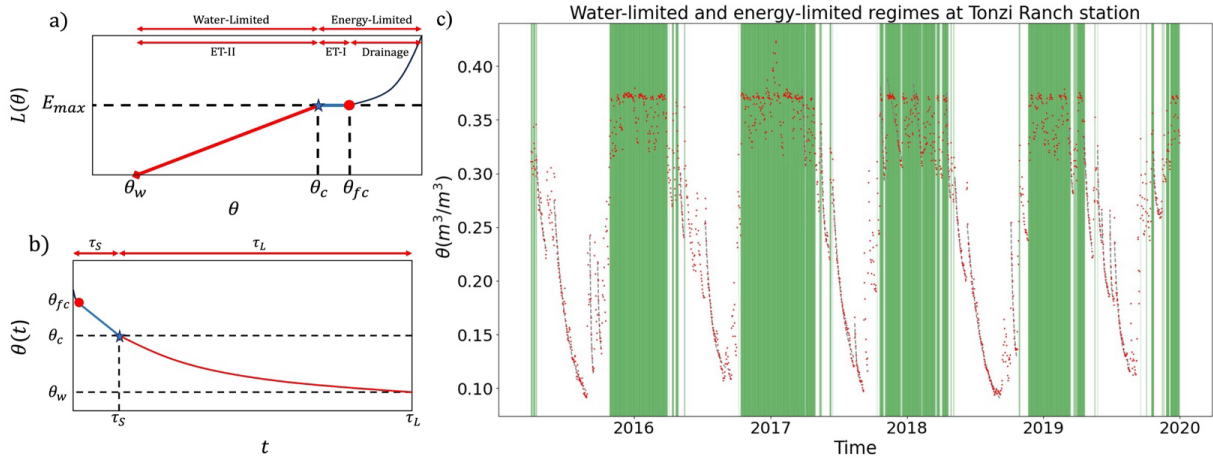


Figure 1 Schematic diagrams of (a) surface water loss process and (b) soil moisture memory at different soil moisture regimes [adapted from (McColl, Wang, et al., 2017b)]. Note that the x-axis in (a) refers to soil moisture ( $\text{m}^3\text{m}^{-3}$ ), and y-axis refers to surface water loss rate,  $L(\theta)$  ( $\text{mm/s}$ );  $E_{\max}$  is the maximum evaporation rate ( $\text{mm/s}$ ). In (b), x-axis refers to time (e.g., days) and y-axis to SM content ( $\text{m}^3\text{m}^{-3}$ ). Panel (c) shows the SM time series for the Tonzi Ranch station, with green periods indicating energy-limited regime and dotted lines representing water-limited regime.  $\theta_c$ , and  $\theta_{fc}$  refer to the wilting point, critical point, and field capacity, respectively.

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### 236 1.1 Soil Moisture Memory of Water-Limited Regime ( $\tau_L$ ) and Energy-Limited Regime ( $\tau_S$ )

237

238 McColl et al. (2019) considered the SMM concept as it relates to two regimes: a) the memory of  
 239 water-limited regime ( $\tau_L$ ), specified by 'L' abbreviation of Long-term, b) the memory of energy-  
 240 limited regime ( $\tau_S$ ), specified by 'S' abbreviation of Short-term. Their model incorporates a  
 241 deterministic equation to represent water-limited processes during soil moisture drydown periods.  
 242 However, energy-limited processes occur over shorter timescales and present a challenge for  
 243 current satellite technologies to provide precise observations. McColl et al. (2019) highlighted that  
 244 drainage is not a completely resolved process by satellite observations. To address this gap,  
 245 McColl et al. (2019) proposed a stochastic equation to capture the unresolved nature of energy-  
 246 limited processes.

247

248 The hybrid model is formulated by McColl et al. (2019) as follows:

$$\frac{d\theta(t)}{dt} = \begin{cases} \frac{-\theta(t) - \theta_w}{\tau_L}, P = 0 \\ \frac{-\theta(t) - \bar{\theta}}{\tau_S} + \varepsilon(t), P > 0 \end{cases} \quad (1)$$

249 where,  $\theta$  is the volumetric soil moisture,  $P$  indicates precipitation,  $\theta_w$  is the minimum soil moisture,  
 250  $\bar{\theta}$  is the time-averaged SM, and  $\varepsilon(t)$  is a random variable with a mean of zero.  $\tau_L$  and  $\tau_S$  are SMM



251 for the water-limited and energy-limited regimes, respectively. McColl et al. (2019) solved these  
252 equations, demonstrating that the memories can be expressed as:

$$\theta(t) = \Delta\theta \exp\left(\frac{-t}{\tau_L}\right) + \theta_w P = 0 \quad (2)$$

$$\tau_S = \frac{-\frac{\Delta t}{2}}{\log} \quad (3)$$

253

254  $\Delta\theta$  represents the soil moisture changes during drydown,  $\Delta t$  is the temporal resolution of the soil  
255 moisture data,  $\alpha$  is the precipitation intensity,  $\Delta z$  is soil layer thickness, and  $\overline{\Delta\theta_+} = \theta(t) - \theta(t - \Delta t)$   
256 represents a positive increment in soil moisture. McColl et al. (2017a) defined  $\frac{\Delta z [\overline{\Delta\theta_+}]}{\alpha}$  as stored  
257 fraction of precipitation, indicating the average proportion of water that still exists in soil layer  $\Delta t$   
258 days after rainfall. McColl et al. (2019) declared that the short-term memory in their hybrid model  
259 is dominated by drainage when the sampling is relatively high (as in the case of SMAP's sampling  
260 frequency of 3 days). This approach and its rationale are further elaborated in McColl et al. (2017a)  
261 and McColl et al. (2019).

262

263 In the analysis of water-limited memory, we fitted Equation 2 to the soil moisture time series  
264 during specific drydown intervals. Then,  $\tau_L$  was extracted as a parameter from the fitting curve  
265 (black dotted lines in Figure 1c). In contrast, short-term memory was determined directly using  
266 Equation 3, as indicated by the green periods in Figure 1c. Further information about the criteria  
267 for calculating memories can be found in McColl et al. (2019).

268

## 269 1.2 Description of Datasets

270

271 We use high-resolution atmospheric forcing datasets to drive the Noah-MP LSM. This model is  
272 set up to simulate soil moisture dynamics, featuring advanced infiltration and water retention  
273 processes. Additionally, it includes a precise parameterization for ponding depth. This setup  
274 facilitated five distinct experiments. Then, we used surface and root zone soil moisture data derived  
275 from the Noah-MP experiments, SMAP Level 3 surface soil moisture measurements, and root zone  
276 soil moisture measurements from the International Soil Moisture Network (ISMN) to calculate the  
277 hybrid SMM. The rest of this section describes in detail the forcing and observational datasets, the  
278 Noah-MP LSM configurations, the employed infiltration and water retention schemes, and the  
279 ponding depth threshold criterion.

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281

### 282 1.2.1 Atmospheric Forcing, Soil and Vegetation Parameters

283

284 For modeling purposes, this study utilized the North American Data Assimilation System Phase 2  
285 (NLDAS-2) near-surface meteorological data at an hourly interval and 0.125° spatial resolution.





286 This dataset encompasses a range of variables including air temperature, specific humidity, wind  
287 speed, surface pressure, shortwave and longwave radiation, and precipitation (Xia et al., 2012).  
288 We also used precipitation data from the Integrated Multi-satellite Retrievals for Global  
289 Precipitation Measurement (IMERG-Final) dataset (Huffman et al., 2020), which offers half-  
290 hourly measurements across a  $0.1^\circ$  grid extending from  $60^\circ\text{S}$  to  $60^\circ\text{N}$ . Subsequently, the IMERG-  
291 Final data were mapped to the  $0.125^\circ$  resolution of NLDAS-2 using bilinear interpolation. These  
292 precipitation data sources were integrated into the short-term SMM computation process.

293 To ascertain soil and vegetation parameters, the hybrid State Soil Geographic Database  
294 (STATSGO) with a 1-km resolution and the United States Geological Survey's (USGS) 24-  
295 category vegetation classification were employed. The datasets were aggregated to align with a  
296  $0.125^\circ$  resolution, which is consistent with the NLDAS-2 forcing data. This process included  
297 determining the dominant soil and vegetation types for each grid cell. Subsequently, the lookup  
298 tables within the Noah-MP model (G. Niu et al., 2020) were used to assign the relevant parameters  
299 to the corresponding soil and vegetation categories.

### 300 **1.2.2 SMAP L3 Surface Soil Moisture**

301  
302 Since its successful deployment on January 31, 2015, the Soil Moisture Active Passive (SMAP)  
303 observatory has consistently provided global volumetric soil moisture estimates every two or three  
304 days (Entekhabi et al., 2010). Its onboard radiometer, operating in the L-band frequency of the  
305 microwave spectrum, senses the top five centimeters of the soil column. In this study, we selected  
306 the SMAP Level 3 morning overpass due to the greater likelihood of air and surface temperature  
307 equilibrium during these hours, a critical condition for the SMAP retrieval algorithm. The L3  
308 SMAP data used here span from 2015 to 2020, have a spatial resolution of 9 kilometers and are  
309 instrumental in calculating SMM across the Continental United States (CONUS).  
310

311 In line with established methodologies from previous research (He et al., 2023; Mccoll et al.,  
312 2019), a quality control protocol was deemed necessary to refine soil moisture data in regions  
313 affected by dense vegetation, bodies of water, and permafrost, thereby mitigating noise present in  
314 satellite measurements (He et al., 2023; Mccoll et al., 2019; McColl, Wang, et al., 2017b).  
315 However, this study is conducted to determine SMM to deepen our knowledge of physical  
316 processes and to get closer to optimal soil hydraulic parametrizations within Noah-MP. This is  
317 achieved through a comparative analysis of SMM derived from SMAP and Noah-MP datasets.  
318 Given that a specific parametrization within Noah-MP has a pronounced impact on the eastern  
319 region of the Continental United States (CONUS)—a region that also corresponds with a  
320 significant portion of SMAP's low-quality data—we chose not to filter SMAP data to fully capture  
321 the parametrization effects within our study's geographical focus. This approach was intended to  
322 maintain consistency across figures and enhance the presentation of our findings. Furthermore, our  
323 objective is to showcase the physical process involved in SMM, rather than focusing on model  
324 accuracy in comparison with SMAP data. Note that the SMM maps from McColl et al (2019) and  
325 He et al (2023) demonstrated the effect of removing SMAP low-quality data, and hence we did  
326 not include the map of locations with high-quality SMAP data. Given that the surface water  
327 balance is sensitive to the temporal resolution of the analyzed surface soil moisture data, the SMAP  
328 L3 soil moisture data are resampled to achieve a consistent sampling frequency of one per three  
329 days at each pixel (He et al., 2023; McColl, Wang, et al., 2017a).  
330



### 331 1.2.3 International Soil Moisture Network (ISMN)

332

333 In evaluating the Noah-MP model's parametrization for the root zone soil moisture, SMM is  
334 computed using both the model's outputs and in situ observations across the CONUS. We obtained  
335 the in situ soil moisture data from the International Soil Moisture Network (ISMN) portal (Dorigo  
336 et al., 2011), which compiles quality-controlled measurements from various sensors across  
337 multiple networks, Figure 2. We exclude stations with less than 90% of their data rated as “good”  
338 quality. Despite the diversity of sensor types within ISMN, its stringent quality assurance protocols  
339 suggests that it is a reliable benchmark for validating soil moisture products (Colliander et al.,  
340 2017; Shellito et al., 2016). For the representation of root zone soil moisture, we select only the  
341 data from the top 1 meter of soil flagged as “good” quality. These measurements are averaged, i.e.,  
342 hourly data aggregated to daily means, and the daily time series are used to compute both long-  
343 term and short-term SMM.

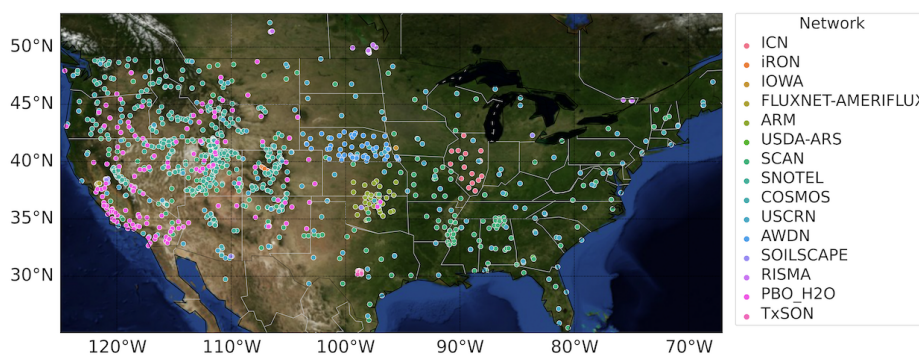


Figure 2 ISMN in-situ locations and networks over CONUS.

344

### 345 1.3 Noah-MP with Advanced Soil Hydrology

346

347 In this study, we choose Noah-MP (G. Y. Niu et al., 2011; Z.-L. Yang et al., 2011) for its extensive  
348 use within the Weather Research and Forecasting (WRF) model, the Unified Forecast System  
349 (UFS) for weather and short-term climate projections, and the National Water Model (NWM) for  
350 streamflow and water resource forecasting. The "semi-tile" sub-grid methodology of Noah-MP  
351 enables detailed calculation of surface energy and fluxes, differentiating effectively between bare  
352 and vegetated terrains to precisely compute variables such as latent and sensible heat fluxes  
353 (Agnihotri et al., 2023).

354

355 The Noah-MP version used in this study includes additional developments in plant hydraulics that  
356 explicitly represent plant water storage supplied by root water uptake driven by the hydraulic  
357 gradient between the soil and roots (G. Niu et al., 2020) and advanced soil hydrology that solves  
358 mixed-form Richards' equation and thus explicitly represents surface ponding, infiltration of  
359 surface ponded water, and preferential flow (Niu et al, 2024). As such, current Noah-MP accounts  
360 for water flow driven by the hydraulic gradients from the soil to the vegetation canopy to meet the



361 plant transpiration demand. It also accounts for subgrid variability in infiltration capacity through  
 362 a fractional area of preferential flow pathways caused by soil macropores in the fields.  
 363

364 **The Mixed-Form Richards' Equation:** Most LSMs solve the mass-based (or  $\theta$ -based) Richards'  
 365 Equation (RE) for unsaturated soils (Chen & Dudhia, 2001; Oleson et al., 2010) and thus are not  
 366 adequate to represent saturated conditions, e.g., surface ponding and groundwater dynamics. The  
 367 current Noah-MP adopts the methodology of Celia et al. (1990) to solve the mass-pressure ( $\theta$ - $h$ )  
 368 mixed-form RE (MF). The new solver solves pressure head,  $h$ , and conserves mass due to the mass  
 369 ( $\theta$ ) constraint. To achieve a more accurate solution of  $h$  and mass balance, the solver takes an  
 370 adaptive time stepping scheme.

371 Surface ponding occurs when the pressure head of the surface layer is greater than the air entry  
 372 pressure, and the upper boundary condition (BC) shifts from flux BC to head BC. Infiltration-  
 373 excess runoff occurs when the surface ponding depth,  $H_{top}$ , surpasses a predefined threshold,  
 374  $H_{top,max}$ , at which the surface ponded water at local depressions of a model grid starts to be  
 375 connected and runs off. The model extends its vertical domain to the bedrock depth (Pelletier et  
 376 al., 2016) at which the lower boundary condition is set up as zero-flux. Groundwater discharge is  
 377 represented using the TOPMODEL concept as a function of water table depth, which is determined  
 378 by the modeled pressure head and interpolated.

379 **Optional Soil Hydraulics Schemes:** The current Noah-MP provides optional hydraulics schemes  
 380 of the Van Genuchten-Mualem (VGM) and the Brooks-Corey with Clapp-Hornberger (BC/CH)  
 381 parameters. To facilitate quicker convergence, particularly near saturation, we smoothed the  
 382 BC/CH water retention curve using a polynomial function following Bisht et al. (2018).

383 **Representing Preferential Flow:** To represent preferential flow, current Noah-MP adopts a dual-  
 384 permeability model (DPM) approach, partitioning the model grid into two domains: one  
 385 representing rapid flow with reduced suction head (macropores) and the other for slower matrix  
 386 flow, following Simunek and van Genuchten (2008) and Gerke and van Genuchten (1993a,b,  
 387 1996). This approach represents subgrid variability in infiltration capacity through a fractional area  
 388 of soil macropores in the fields,  $F_a$ , (or volumetric fraction of macropores). DPM also represents  
 389 water transfer between the two pore domains, which can either be positive (lateral infiltration  
 390 during rapid downward flow) or negative (diffusion from micropores to drier macropores). It also  
 391 accounts for lateral movement of surface ponded water from the matrix to macropore domains at  
 392 the soil surface. The aggregated water content ( $\theta$ ) and vertical water flux ( $q$ ) for a grid cell are  
 393 given by  $\theta = F_a \theta_a + (1 - F_a) \theta_i$ , and  $q = F_a q_a + (1 - F_a) q_i$ , respectively, where  $q$  denotes a water  
 394 flux and the subscripts  $a$  and  $i$  respectively indicate macropore and micropore domains. This  
 395 approach also extends to other water fluxes, such as  $E_{soil}$  and groundwater recharge.  
 396

397 Table 1 Noah-MP Options used in this study.

Process	Options	Schemes
Dynamic vegetation	DVEG = 2	Dynamic vegetation
Canopy stomatal resistance	OPT_CRS = 1	Ball-Berry type
Moisture factor for stomatal resistance	OPT_BTR = 1	Plant water stress



Runoff and groundwater	OPT_RUN = 1	TOPMODEL with groundwater
Surface layer exchange coefficient	OPT_SFC = 1	Monin-Obukhov similarity theory (MOST)
Radiation transfer	OPT_RAD = 1	Modified two-stream
Ground snow surface albedo	OPT_ALB = 3	Two-stream radiation scheme (Wang et al., 2022)
Precipitation partitioning	OPT_SNF = 5	Wet bulb temperature (Wang et al., 2019)
Lower boundary condition for soil temperature	OPT_TBOT = 2	2-m air temperature climatology at 8m
Snow/soil temperature time scheme	OPT_STC = 1	Semi-implicit
Surface evaporation resistance	OPT_RSF = 1	Sakaguchi and Zeng (2009)
Root profile	OPT_ROOT = 1	Dynamic root (Niu et al., 2020)

398

#### 399 1.4 Model Experiments

400

401 We conducted five experiments using the current Noah-MP driven by the hourly NLDAS-2 forcing  
 402 data at a spatial resolution of 0.125 degree, starting with the same uniform initial conditions—  
 403 namely, soil moisture at 0.3 m<sup>3</sup>m<sup>-3</sup> and soil temperature at 287K—spanning 2014 to 2019 for six  
 404 iterations. The initial five iterations were dedicated to the model's spin-up phase, and the resulting  
 405 surface and root zone soil moisture from the last iteration were used for SMM analysis. Parameters  
 406 were adopted per the updates by Niu et al. (2020), with adjustments to the dynamic vegetation  
 407 module to align with Moderate Resolution Imaging Spectroradiometer (MODIS) leaf area index  
 408 observations. This study refrained from parameter calibration related to dual-domain schemes for  
 409 preferential flow (Šimůnek & Genuchten, 2008) and ponding depth.

410

411 The five experiments are conducted with Noah-MP configurations with different water retention  
 412 and infiltration schemes. Table 1 lists optional schemes that were the same for all these  
 413 experiments. for other processes, including surface layer turbulent exchange, radiation transfer,  
 414 phase changes between snow and rain, and the permeability of frozen soil. For this study, we  
 415 selected only those schemes that have a direct impact on the simulation of soil moisture dynamics  
 416 (as detailed in Table 2). All these experiments are set with the same number of soil layers, which  
 417 vary spatially from 5 – 15 vertical layers with fixed layer thicknesses:  $\Delta z_i = 0.05, 0.3, 0.6, 1.0, 2.0,$   
 418  $2.0, 4.0, 4.0, 5.0, 5.0, 5.0, 5.0, 5.0, 5.0,$  and 5.0 m down to 49.0 m to match the maximum bedrock  
 419 depth data of Pelletier et al. (2016) with a minimum bedrock depth of 4.0 m. The model was  
 420 customized using a combination of three soil moisture solver variants, two soil hydraulics schemes,  
 421 and two ponding depth thresholds.

422

423 To explore the influence of surface ponding on SMM, we designed two distinct experimental  
 424 conditions. The first condition, designated as MF\_VGM0, excluded the ponding effect by setting  
 425  $H_{top,max}$  to 0 mm. Conversely, the second condition, identified as MF\_VGM200, incorporated a  
 426 significant ponding depth of 200 mm. Both conditions utilized the mixed-form RE solver alongside  
 427 the Van-Genuchten (VGM) model (refer to Table 2). Furthermore, we conducted comparative  
 428 analyses to assess the role of soil hydraulic properties by conducting experiments with the Brooks-



429 Corey/Clapp-Hornberger (BC/CH) model (MF\_CH) and the VGM model (MF\_VGM), each with  
430 a ponding depth threshold of  $H_{top,max} = 50$  mm.

431 An additional experiment employs the Dual-Permeability model (DPM) within the VGM  
432 framework, maintaining the same ponding threshold of  $H_{top,max} = 50$  mm, referred to as  
433 DPM\_VGM (see Table 2). The comparison of DPM\_VGM with the MF\_VGM setup aimed to  
434 shed light on the effects of preferential flow channels on soil moisture forecasting, and runoff  
435 forecasting in future studies, thereby enhancing our comprehension of the complexities inherent  
436 in hydrological modeling.

437

438 To define the macropore volume fraction, we used the modeled Soil Organic Matter (SOM), which  
439 is computed from Noah-MP with a microbial-enzyme model (Zhang et al., 2014) prior to the major  
440 experiments conducted in this study through a long-term (120 years) spin-up simulation from 1980  
441 – 2019 driven by the NLDAS data. The modeled SOM shows a pattern of more SOM in wet  
442 regions and less in arid regions due to more active microbial activities (decomposition and  
443 respiration) in wetter regions. The resulting macropore volume fraction ranges from 0.05 – 0.15  
444 changing with spatially-varying SOM.

445

446 Table 2 Model experiment configuration.

Experiment ID	Models	$H_{top,max}$ (mm)	Soil Hydraulics
MF_VGM0	Mixed Form RE	0	Van-Genuchten
MF_VGM200	Mixed Form RE	200	Van-Genuchten
MF_CH	Mixed Form RE	50	Brooks-Corey/Clapp-Hornberger
MF_VGM	Mixed Form RE	50	Van-Genuchten
DPM_VGM	DPM	50	Van-Genuchten

447

## 448 2 Results

449

450 In Sections 2.1 and 2.2 of our study, we focus on computing the SMM for both the surface (5 cm)  
451 and root zone (up to 1m) layers, respectively. This dual-layer analysis is fundamental to our  
452 experiments as it allows us to understand the differential impacts of various parameterizations on  
453 soil moisture. By comparing and analyzing the SMM values across these two distinct layers, we  
454 can identify specific physical processes that influence soil moisture dynamics. This comparative  
455 approach not only elucidates how these processes affect SMM but also helps in understanding the  
456 interaction between surface characteristics and subsurface moisture dynamics, which are critical  
457 for improving hydrological modeling and prediction.

458

### 459 2.1 Long- and Short-Term Soil Moisture Memory of the Surface Layer

460

461 Figure 3 illustrates the spatial distribution of median long-term memory, derived from the five-  
462 year soil moisture dataset. Analysis of the SMAP data revealed that long-term memory ( $\tau_l$ ) is



463 significantly higher in the energy-limited and humid regions of the eastern US, and lower in the  
464 arid western regions. These findings are consistent with those of He et al. (2023) and McColl et  
465 al. (2019).

466 The MF\_CH experiment displays a spatial pattern that contrasts with the SMAP data, with a longer  
467 memory in the arid western regions but a shorter memory in the wet northeastern regions. Further  
468 examination reveals that models using the Van-Genuchten scheme reflect SMAP's patterns.  
469 Specifically, the eastern regions display higher  $\tau_L$  values, while the western regions show lower  
470 values (see Figure 3b-f). DPM\_VGM demonstrates a lower memory in the eastern CONUS  
471 compared to MF\_VGM (refer to Figures 3c, d, and S1). VGM scenario with zero ponding depth  
472 shows shorter memory compared with MF\_VGM200 in the eastern United States (Figures 3e and  
473 f), where surface ponding happens more frequently and with greater depth. Figure S2 shows a  
474 better match of data points with the agreement line in the DPM\_VGM versus SMAP scatterplot.  
475 In contrast, the MF\_CH versus SMAP scatterplot lacks this alignment, a correlation of -0.10. The  
476 correlation values have risen from -0.10 to 0.15 with VGM, a sign of progress, but they are still  
477 not strong.

478

479

480

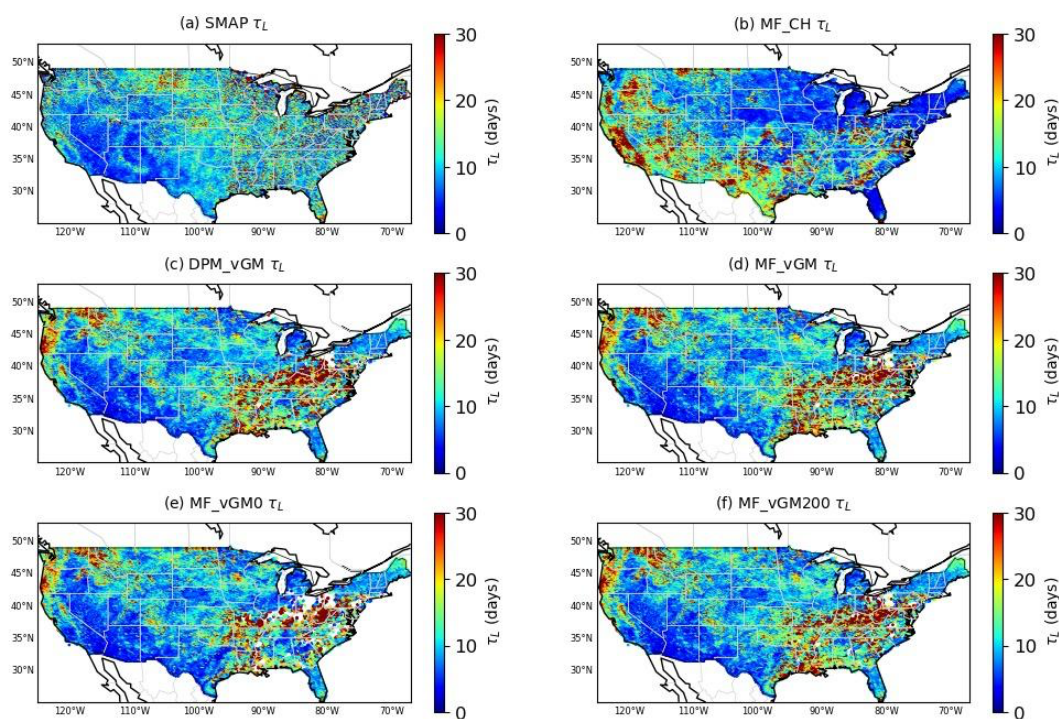


Figure 3 Long-term SMM derived from various datasets from 2015 – 2019 for soil surface layer:  
(a) SMAP; (b) MF\_CH; (c) DPM\_VGM; (d) MF\_VGM; (e) MF\_VGM0; and (f) MF\_VGM200.  
SMM = Soil Moisture Memory

481



482 To assess the influence of plant water storage on SMAP soil moisture data and the resultant SMM,  
 483 we employed the MODIS NDVI to categorize the entire CONUS into wet (NDVI > 0.45) and dry  
 484 regions (NDVI < 0.45). In the dry areas (see Figure 4a), the probability distribution function (PDF)  
 485 of the surface SMM from MF\_CH differs from that of SMAP and exhibits a higher median of  
 486 10.53 days compared to SMAP's 8.47 days (overestimation). Other model scenarios using van  
 487 Genuchten (VG) hydraulics, with an SMM median of around 8.6 days, show a distribution PDF  
 488 like SMAP. Note that the VGM scenarios effectively tackle the problem of long-term memory  
 489 overestimation, a point emphasized by He et al. (2023). This improvement is due to the refined  
 490 parametrization of physical processes within the VGM experiments.

491

492 In the wet regions with dense vegetation (refer to Figure 4b), the SMM PDF of MF\_CH (median  
 493 of 8.03 days) significantly varies from SMAP PDF (median of 10.71 days), showing an  
 494 underestimation of  $\tau_L$ . However, due to plant water storage affecting SMAP's soil moisture  
 495 retrieval (commonly on eastern CONUS), our focus here is on model sensitivity to process  
 496 representations rather than on model accuracy relative to SMAP data. Other models with van  
 497 Genuchten (VG) scheme display greater variability among themselves in wet areas than in dry  
 498 ones (Figure 4b). MF\_VGM0 (with a zero ponding depth threshold) shows a decreased long-term  
 499 SMM, with a median of 10.72 days, compared to MF\_VGM200 (with a 200 mm threshold), with  
 500 median of 12.05 days, and MF\_VGM (with 50 mm ponding threshold), with a median of 12.03.  
 501 Changing the ponding depth threshold from 50 mm (MF\_VGM) to 200 mm (MF\_VGM200), has  
 502 a marginal effect on  $\tau_L$ , suggesting that the response does not proportionally increase with higher  
 503 values. With the same 50 mm ponding threshold, DPM\_VGM produces a shorter SMM, with a  
 504 median of 11.73 days, than MF\_VGM.

505

506

507

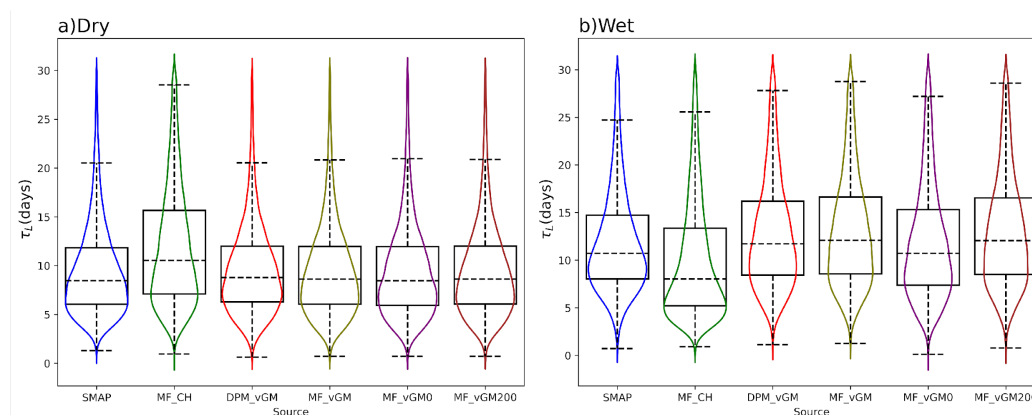


Figure 4 Violin plot of surface  $\tau_L$  estimated from SMAP and Noah-MP scenarios for dry regions with less vegetation (NDVI < 0.45) and wet regions with more vegetation (NDVI > 0.45).

508

509 For the short-term SMM, all the scenarios produce an overall spatial pattern similar to that of the  
 510 SMAP-derived  $\tau_S$ , showing a longer memory in the drier western US than in the wetter eastern  
 511 (Figure 5). However, MF\_CH shows a shorter memory in the northwestern US than that derived



512 from SMAP (Figure 5a & b). MF\_CH with a median of 1.9 days underestimates SMAP with a  
 513 median of 2.02 days, while VG scenarios have median  $\tau_s$  around 2.09 days over dry regions. This  
 514 effectively rectifies the underestimation in short-term memory by LSMs, as reported in previous  
 515 studies (He et al., 2023). He et al. (2023) highlighted that most LSMs tend to underestimate  $\tau_s$ ,  
 516 which is strongly affected by soil water drainage as specified by McColl et al. (2019). Note that  
 517 higher  $\tau_s$  values indicate slow drainage, whereas lower values suggest faster drainage; this is  
 518 exemplified by Figure 5a, which exposes a more rapid drainage in the eastern CONUS in contrast  
 519 to the western. The incorporation of surface ponding and DPM (2.08 days) has shown less effects  
 520 on short-term memory than the soil hydraulics for the dry region (more macropores are available  
 521 in wet regions and hence DPM would have more effect in those regions). The introduction of  
 522 surface ponding (comparing MF\_VGM0 (2.11 days) to MF\_VGM200 (2.108 days) in Figure 5  
 523 and Figure 6) contributes to more persistent surface soil moisture and a bit faster drainage. The  
 524 pdf of SMM from all the VGM models more closely resembles the SMAP pdf in the western  
 525 United States than in the eastern part of the country.

526

527 For wet regions, MF\_CH with a median of 1.26 days underestimate SMAP with a median of 1.56  
 528 days. DPM\_VGM with faster drainage of surface soil water produces a median  $\tau_s$  of 1.43, shorter  
 529 than does MF\_VGM with a median of 1.48 days. The DPM model accelerates the drainage of  
 530 water from the topsoil. This effect is more significant in the eastern CONUS. As a result, it lowers  
 531 the short-term memory in areas where the soil has macropores.

532

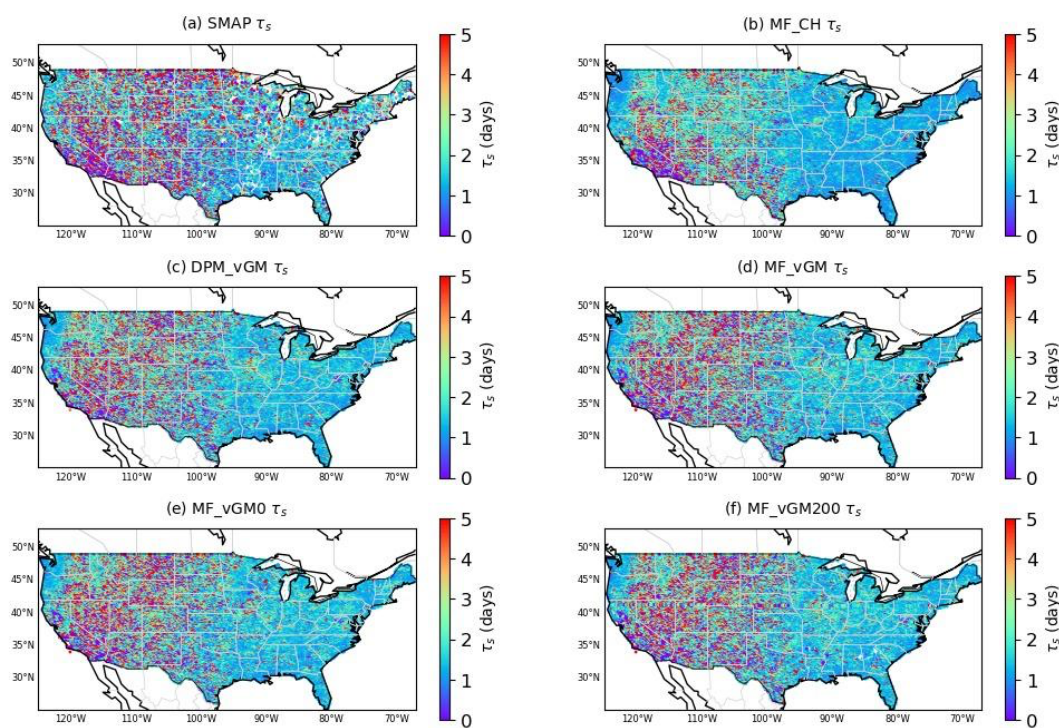


Figure 5 Short-term SMM derived from various datasets from 2015 – 2019 for soil surface layer: (a) SMAP; (b) MF\_CH; (c) DMP\_VGM; (d) MF\_VGM; (e) MF\_VGM0; and (f)





MF\_VGM200. SMM = Soil Moisture Memory.

533

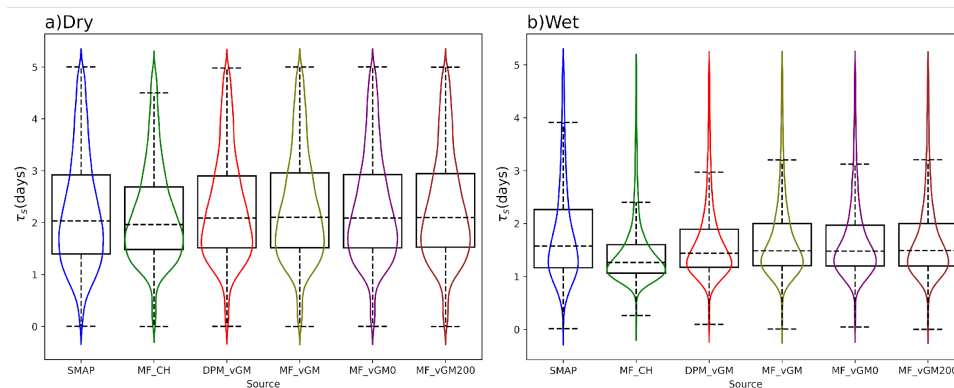


Figure 6 Same as Figure 4 for short-term memory.

534

## 535 2.2 Long- and Short-Term Soil Moisture Memory of the Root Zone Layers

536

537 We use the International Soil Moisture Network (ISMN) soil moisture dataset as the benchmark  
538 and compute SMM at the ISMN stations as illustrated in Figure 2. We compute the long-term  
539 SMM across 654 sites within CONUS for the period from 2015 – 2019. The median values of  
540 these computations indicate that the root zone SMM (Figure 7 & Figure 9) is generally higher than  
541 the surface SMM (Figure 3 & Figure 5). Analysis of ISMN data reveals that the root zone  $\tau_L$  (Figure  
542 7) generally exceeds surface  $\tau_L$  (Figure 3), particularly longer in the western US. Some eastern  
543 locations also exhibit longer  $\tau_L$ , whereas the central region demonstrates lower values.

544

545 MF\_CH produces a shorter root-zone  $\tau_L$  across nearly all the sites in CONUS (Figure 7 & Figure  
546 8). The Van-Genuchten scheme mirrors the ISMN-derived  $\tau_L$ , albeit with slightly higher values  
547 (Figure 7 & Figure 8). An increase in surface ponding depth raises the  $\tau_L$ . This is particularly true  
548 in the eastern US, where surface ponding occurs more often, and its impact on soil moisture is  
549 more substantial. Figures S3 and S4 illustrate this effect. Additionally, DMP\_VGM (Figure 7c and  
550 Figure 8) reduces the root-zone long-term SMM across most of CONUS relative to the other  
551 models (Figure 7c, d, e, & f and Figure S3).

552

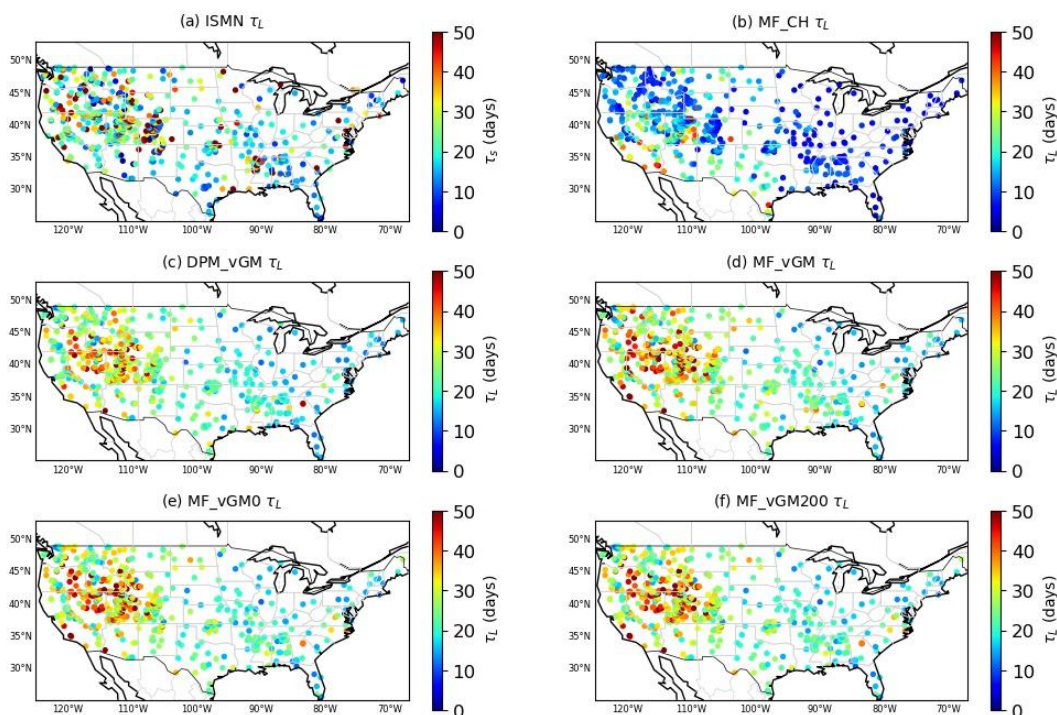


Figure 7 Long-term root-zone SMM derived from various datasets from 2015 – 2019: (a) ISMN; (b) MF\_CH; (c) DPM\_vGM; (d) MF\_vGM; (e) MF\_vGM0; and (f) MF\_vGM200. SMM = Soil Moisture Memory.

553

554

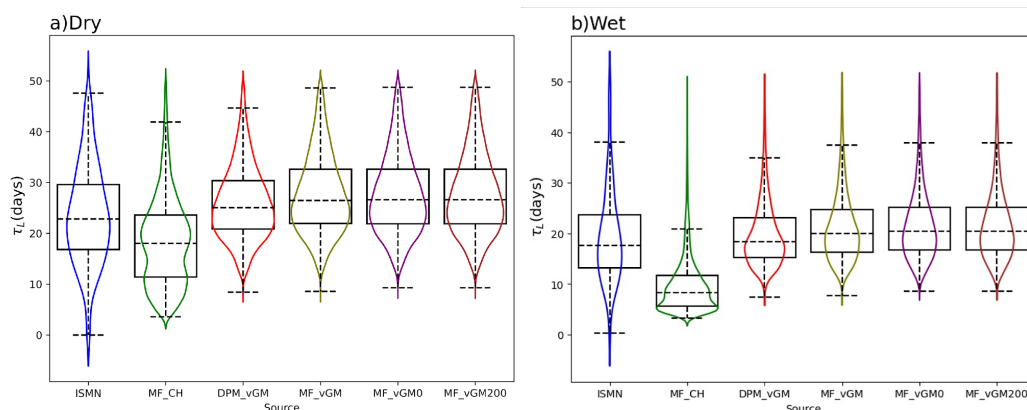


Figure 8 Violin plot of root zone  $\tau_L$  estimated from ISMN and Noah-MP scenarios for dry regions with less vegetation ( $NDVI < 0.45$ ) and wet regions with more vegetation ( $NDVI > 0.45$ ).



555 As for the surface layer, we use the MODIS NDVI to classify all the stations into wet and dry  
556 regions. In the dry regions (Figure 8a), MF\_CH has a different probability distribution function  
557 and a lower median of 19 days compared to that of ISMN (median of 23 days). All the other  
558 scenarios using VG schemes exhibit a similar SMM PDF to each other, yet they are somewhat  
559 different from the one derived from ISMN. Also, the presence of macropores reduces long-term  
560 SMM, with a median of 25 days, and results in the closest median to the ISMN (Figure 8a). ISMN,  
561 however, shows a large range of long-term SMM compared with all the Noah-MP experiments,  
562 indicating the complex nature of the observed SMM needs further investigation (Figure 8a & b).  
563 Note that the analyses were conducted at a limited number of locations, presenting challenges in  
564 fully capturing the impacts of different parameterizations on SMM.

565

566 In the wet regions, MF\_CH shows smaller  $\tau_L$  values (median of 9.8 days) than that from ISMN  
567 (median of 18 days) together with a noticeable pdf difference. The effect of dual permeability  
568 decreases the soil moisture and long-term memory compared with the other model experiments,  
569 resulting in a median (19 days) close to ISMN (18 days), Figure 8b. However, it seems that the  
570 ponding depth does not show a noticeable impact on  $\tau_L$ . It should be noted that the effect of ponding  
571 depth, which slightly increases the long-term memory in RTZ, can be observed in Figure S3 and  
572 Figure S4 when we take a close look into them.

573

574 Further investigation reveals an enhancement in the model's ability to capture soil hydraulic  
575 dynamics when shifting from the Clapp-Hornberger to the Van-Genuchten scheme, with an  
576 improvement in  $\tau_L$  values from 0.05 to 0.12 (Figure S5). Also, The Dual Permeability model with  
577 Van-Genuchten (DPM\_VGM) demonstrates superior performance with a correlation of 0.15,  
578 compared to all other scenarios tested.

579

580

581

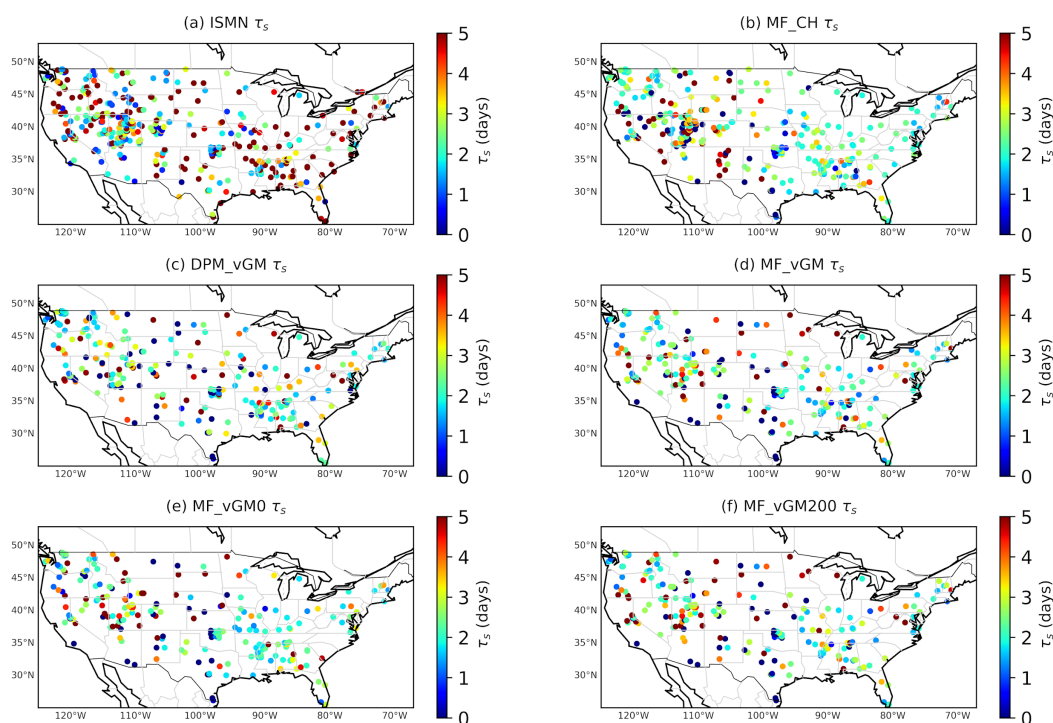


Figure 9 Same as Figure 7 but for short-term.

582

583 The findings show that  $\tau_s$  in most Noah-MP scenarios are comparable to those observed in the  
 584 ISMN data, as shown in Figure 9b to f. However, there is a consistent underestimation in some  
 585 eastern locations. Figure 10 highlights this pattern, showing that wet regions tend to underestimate  
 586  $\tau_s$ , with ISMN reporting a median of 2.5 days and Noah-MP experiments a median of around 2  
 587 days. Conversely, dry regions tend to overestimate, with ISMN at a median of 2.1 days and Noah-  
 588 MP experiments at approximately 2.7 days.

589

590 Although distinguishing between MF\_vGM0 and MF\_vGM200 in Figure 9 and Figure 10 is  
 591 challenging, Figure 11 (Figure 11c and d) reveals that an increase in ponding depth leads to a slight  
 592 decrease in short-term memory in the eastern CONUS. Comparing Figure 9 with Figure 11  
 593 indicates that ISMN stations partially reflect the spatial pattern of long-term and short-term  
 594 memory in the root zone across CONUS. It may be concluded that the spatial patterns of long-  
 595 term and short-term memory (Figure 11 and Figure S7) of the root zone are quite similar to those  
 596 of the surface layer (Figure 3 and Figure 5). Hence, long-term memory is more prevalent in the  
 597 eastern CONUS and mountainous areas, while longer short-term memory occurs predominantly in  
 598 western areas. However, this conclusion is not totally true and further investigation is needed.

599

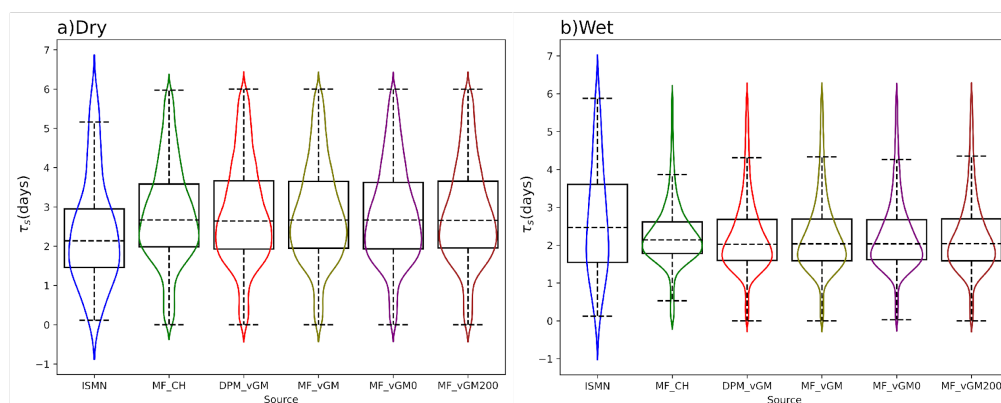


Figure 10 Same as Figure 8 but for short-term.

600  
601

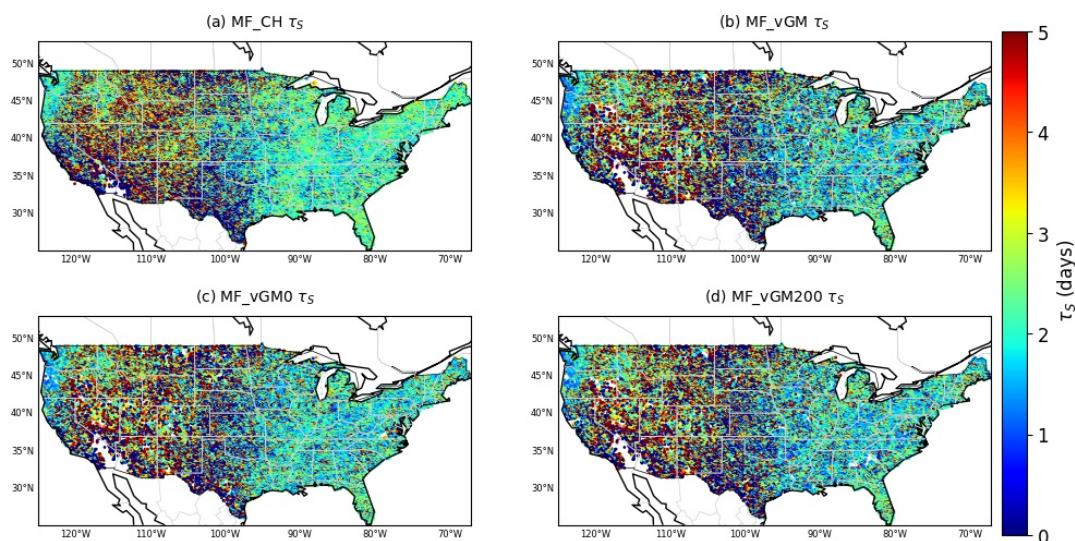


Figure 11 Spatial distribution of root zone  $\tau_s$  estimated from (a) MF\_CH; (b) MF\_vGM; (c) MF\_vGM0; and (d) MF\_vGM0.

### 602 3 Discussion

#### 603 3.1 How Do Different Parametrizations Affect SMM?

604

605 The efficacy of LSMs in simulating climate feedback mechanisms critically depends on the soil's  
 606 ability to retain moisture and how fast the soil releases the moisture up to the atmosphere through  
 607 soil surface evaporation and plant transpiration and down to the aquifers through recharge. The  
 608 rapid infiltration of incident water (rainfall and snowmelt) into deeper subsoil strata reduces the  
 609 soil's capacity to return moisture to the atmosphere through evaporation and transpiration. Thereby



610 disrupting potential atmospheric feedback loops in LSMs (Mccoll et al., 2019). Conversely, If  
611 LSMs lose water too quickly through evapotranspiration, they provide feedback to the atmosphere  
612 faster than they should. Thus, the concept of SMM becomes essential in LSMs, as it can provide  
613 information about the rate at which moisture disappears from soil. Hence, understanding the effects  
614 of various physical processes on SMM is vital for enhancing the representation of these processes  
615 in LSMs, thereby improving their overall performance in simulating the complex interactions  
616 between the land surface and the atmosphere.

617

618 The water retention curve characteristics of the BC/CH hydraulics scheme are characterized by a  
619 strong suction force that is more pronounced than in the Van-Genuchten model for various soil  
620 types (Niu et al, 2024). This stronger suction promotes moisture transfer from the deeper layers to  
621 the surface layer, causing the surface soil to retain more moisture (Figure S6) and has a longer  
622  $\tau_L$ (Figure 3, 4), a common issue in LSMs according to He et al. (2023). Moreover, the higher  
623 suction reduces the root zone moisture and consequently, it would have a shorter  $\tau_L$ (Figure 7, 8).  
624 Conversely, the VG scheme, with weaker suction, transfers less moisture from the root zone to the  
625 surface, resulting in a drier surface layer and a shorter  $\tau_L$  for the surface, but a longer  $\tau_L$  for the root  
626 zone, as depicted in Figures 7 and 8.

627

628 Short-term memory is inversely related to moisture availability; thus, the more wet soil has the  
629 shorter  $\tau_s$ , while a drier layer has a longer  $\tau_s$ . The VG scheme produces a drier surface layer and a  
630 moister root zone, leading to a longer surface  $\tau_s$  and a shorter root zone  $\tau_s$  compared to the BC  
631 scheme, shown in Figures 5, 6, and 11.

632

633 As indicated in a previous study by He et al. (2023), a common issue in LSMs is the overestimation  
634 of the long-term memory of surface soil over dry regions. This could be because of an  
635 underestimation of evaporation within LSMs using CH parametrization (Figure S7a), resulting in  
636 overestimation of soil moisture. However, a shift towards the Van-Genuchten (VG)  
637 parametrization increases the evaporation (Figure S7b, Figure S8), and hence it overcomes the  $\tau_L$   
638 overestimation (Figure 3, 4).

639

640 The presence of soil macropores promotes infiltration at the soil surface and preferential flow from  
641 the surface to the root zone (Mohammed et al., 2021), consequently reducing the moisture retained  
642 in the surface layer. Moreover, macropores lead to reduced suction of the soil, hence less water  
643 from subsurface soil was pulled up to the surface, causing the topsoil to have less moisture (Figure  
644 S6). Therefore, macropores lead to a decrease of surface  $\tau_L$ (Figure 3d, 4b). Moreover, the presence  
645 of macropores increases root zone soil moisture and consequently, it should prolong the root zone  
646  $\tau_L$ . However, the even distribution of macropores throughout the soil profile in current Noah-MP  
647 configuration, DPM\_VGM, increases water infiltration into deeper layers, resulting in faster  
648 recharge of the deep soil and drier root zone. As a result, macropores reduce the root-zone long-  
649 term SMM (Figure 7d, e, & f and Figure S8) of DPM\_VGM. This highlights the importance of  
650 calibration of macropore profile in DPM\_VGM for better representation of macropores and soil  
651 hydrohalic dynamics.

652

653 While the soil matrix typically allows for only slow water movement due to the pressure gradient,  
654 macropores enable rapid gravitational flow (Mohammed et al., 2018). These macropores facilitate  
655 quicker infiltration to the root zone (Mohammed et al., 2021). Therefore, they increase the drainage



656 rate to these deeper layers, which consequently slightly reduces the short-term soil moisture  
657 memory in the surface (Figures 5, 6). Additionally, as water moves from the surface to the root  
658 zone, the increased moisture content there leads to quicker drainage (we speculate that this occurs  
659 in the real world; however, in the current DPM\_VGM, the deep soil is wetter than root zone,  
660 indicating a need for calibration of the macropore profile as we have stated). Consequently, this  
661 process further decreases the short-term moisture memory in the root zone due to the higher  
662 drainage rates of wetter soil.

663

664 Finally, the ponding threshold allows water to remain on the surface before turning into runoff.  
665 This provides water with more time to percolate into the soil. The consequent increase in ponding  
666 depth allows extended water infiltration, thus enhancing soil moisture and lengthening moisture  
667 retention through the soil profile (Figure S6e, f). So as discussed before, wetter soil leads to  
668 prolong  $\tau_L$  and shorten  $\tau_S$  (Figure 5, 6, 7, 11).

669

### 670 3.2 Limitation of Our Study

671

672 Some sources of uncertainty may affect our results in this study, including uncertainties in input  
673 data, and models. SMAP reliability is affected by plant water storage change (in the eastern part  
674 and some mountainous sites), introducing uncertainties into SMM values for the benchmark.  
675 While the SMAP observation over some eastern parts and mountainous areas may not be reliable  
676 (e.g., due to dense vegetation), it still serves our objective of deepening our understanding of the  
677 physical process involved in soil hydrohalic/hydrology. Furthermore, the SMM patterns captured  
678 from SMAP can be insightful in understanding regional variabilities in SMM.

679

680 Another concern is the influence of ISMN spatial representation on SMM analysis. ISMN stations  
681 are point-based, and it is assumed that one point represents a 1/8-degree grid area. It is possible  
682 that the point measurements cannot be representative of the Noah-MP spatial grids. Therefore,  
683 discrepancies in capturing values or spatial patterns might be attributed to the scale difference  
684 between point and grid data. Additionally, the limited number of stations could be a contributing  
685 factor.

686

687 Additionally, certain model assumptions may require further investigation. The DPM\_VGM  
688 scheme uses vertically constant macropore volume fraction, which means macropores generated  
689 due to wormhole and tree roots are fixed down to the bedrock. However, in nature, these  
690 macropores would reduce after a few meters from the soil top. Because the existence of macropores  
691 in nature drains the surface layer and increases the root zone soil moisture, to better represent the  
692 actual physical process, it is necessary to calibrate macropore volume fraction within Noah-MP.  
693 Such calibration is anticipated to further advance the fidelity of soil moisture simulations,  
694 enhancing the model's utility in various hydrological and climatological applications.

695

696 Concerning surface water ponding, a constant ponding threshold may not be justified, and a  
697 spatially variable surface ponding may lead to improved model accuracy. We expect calibration  
698 of this parameter to achieve a more realistic representation of the soil hydraulic process.

699

700 There are additional factors, such as lateral flow, that may affect SMM but were not considered in  
701 our analysis. The primary focus of our study was to understand the underlying processes in SMM



702 and utilize this understanding to guide the selection of parameterizations in the Noah-MP model.  
703 Consequently, we narrowed our examination to those parameters and processes represented within  
704 Noah-MP. Future research could further evaluate the impact of lateral flow and other processes on  
705 SMM, expanding our understanding of these dynamics and their implications for land surface  
706 modeling.

707

#### 708 **4 Conclusion**

709

710 In this study, we have explored the effects of soil hydraulic parameterizations on SMM using the  
711 Noah-MP land surface model. Our research was driven by a desire to understand the physical  
712 processes that influence SMM and to address the commonly observed  
713 overestimation/underestimation of long-term/short-term SMM in LSMs. With these insights, we  
714 aimed to improve the representation of soil hydrology within Noah-MP, utilizing the knowledge  
715 gained from our analysis of SMM. We designed and implemented five scenarios to assess the  
716 impacts of different parametrizations. These scenarios include two soil hydraulic models (Clapp  
717 and Hornberger and Van-Genuchten), a dual permeability infiltration scheme, and three variations  
718 of surface ponding depth. Utilizing soil moisture datasets from SMAP and ISMN for surface and  
719 root zone measurements, respectively, we conducted a comprehensive analysis of the effects of  
720 different Noah-MP parameterizations on soil moisture memory.

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722 Our findings demonstrate that the soil retention curve has an important effect on SMM, due to its  
723 influence on the existing suction in the soil. We have demonstrated that the adoption of the Van-  
724 Genuchten (VG) parameterization considerably mitigates the long-standing issue of  
725 overestimating SMM in LSMs employing Brooks-Corey/Clapp-Hornberger (BC/CH) models. The  
726 Van-Genuchten model, with its reduced suction effect attributable to a drier surface layer, leads to  
727 a more accurate depiction of moisture transfer from the root zone to the surface, which is important  
728 for realistic soil moisture dynamics.

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730 Moreover, incorporating surface ponding allows for extended soil water infiltration, thus refining  
731 both surface and root zone moisture conditions. This leads to an increase in long-term memory  
732 and a decrease in short-term memory. The inclusion of a dual permeability approach fine-tunes  
733 soil moisture representation by accounting for preferential flow paths, marking a step forward in  
734 the enhancement of soil moisture memory and the overall fidelity of hydrological simulations.  
735 Macropores lead to a decrease in short-term memory due to their effects on the enhancement of  
736 drainage. Furthermore, macropores lead to a decrease in long-term memory, due to its effects on  
737 draining and decreasing surface soil moisture. Nevertheless, our analyses underscore the necessity  
738 for calibration of the macropore fraction and ponding depth to further refine the soil hydraulic  
739 dynamics within the Noah-MP model. Given these compelling advancements, it is our strong  
740 recommendation that LSMs adopt VG hydraulics to advance the prediction of hydrological and  
741 climatic phenomena.

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747 **Competing interests**

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749 The contact author has declared that none of the authors has any competing interests.

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757 The data used in this study are freely available online:

758 NLDAS-2 data (<http://www.emc.ncep.noaa.gov/mmb/nldas/>); NASA SMAP soil moisture product

759 ([https://nsidc.org/data/spl3smp\\_e/versions/6](https://nsidc.org/data/spl3smp_e/versions/6)); GPM IMERG-Final product

760 ([https://disc.gsfc.nasa.gov/datasets/GPM\\_3IMERGHH\\_06/summary](https://disc.gsfc.nasa.gov/datasets/GPM_3IMERGHH_06/summary)). The Noah-MP code used in this study has  
761 been uploaded to a repository that may be accessed by other researchers

762 ([https://github.com/mfarmani95/NoahMP\\_Dual](https://github.com/mfarmani95/NoahMP_Dual)).

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766 **Reference**

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