# How does riming influence the observed spatial variability of ice water in mixed-phase clouds?

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#### Abstract.

Mixed-phase clouds (MPCMPCs) are a key component of the Earth's climate system. Observations show that the ice water

- 5 content (IWC) is not distributed homogeneously in MPCin MPCs is not homogeneously distributed. Instead, high IWC tends to occur in clusters. However, it is not sufficiently understood which ice crystal formation and growth processes play a dominant role in IWC clustering in clouds. One important ice growth process is riming, which occurs when liquid water droplets freeze onto ice crystals upon contact. Here , we use airborne measurements of MPC MPCs in mid- and high-latitudes are used to study to investigate the spatial variability of ice clusters in clouds and investigate how this variability is linked to riming. We
- 10 use data from the IMPACTS (mid-latitudes) and the HALO-(AC)<sup>3</sup> (high-latitudes) aircraft campaigns, where spatially and temporally collocated cloud radar and in situ measurements were collected. We derive riming and IWC by combining cloud radar and in situ measurements. Ice cluster scales and IWC variability in clouds are quantified using pair correlation functions. By comparing IWC calculations accounting for riming to with IWC calculations neglecting riming, we single out the influence of riming.
- During all analyzed flight segments, riming is responsible for 66 % and 63 % of the total IWC during IMPACTS and HALO-(AC)<sup>3</sup>, respectively. In mid-latitude MPCMPCs, riming does not significantly change IWC cluster scales, but increases the probability of clusters occurrence. This enhancement occurs at similar spatial scales as liquid water content variability. In cold air outbreak MPC-MPCs observed during HALO-(AC)<sup>3</sup>, riming impacts IWC clustering at two distinctive scales. First, riming enhances the probability of in-cloud IWC clusters at spatial scales below 2 km, which corresponds to the wavelength
- 20 of the roll cloud updraft and circulation features. Second, riming leads to additional in-cloud IWC clustering at spatial scales of 3-5 km. We find that the presence of mesoscale updraft features leads to enhanced occurrences of riming and therefore additional IWC clustering. An increased liquid water path might increase the effect, but is not a necessary criterion. These

results help to improve our understanding of how riming is linked to IWC variability in clouds and can be used to evaluate and constrain models of MPCMPCs.

#### 25 1 Introduction

In mid- and high-latitudes, most precipitation stems from ice containing clouds (Mülmenstädt et al., 2015), which are a crucial component of the Earth's weather and climate systems. In mixed-phase clouds (MPCMPCs), ice particles and supercooled liquid droplets coexist in a thermodynamically unstable state down to temperatures of about -38 °Cin a thermodynamically unstable state. The Mass and the ratio of ice and liquid particles play a critical role not only in precipitation processes, but also

30 cloud lifetime, radiative budget (Sun and Shine, 1994; Shupe and Intrieri, 2004; Turner, 2005), and climate feedbacks (Choi et al., 2014; Bjordal et al., 2020).

Numerical forecast and climate models often fail to realistically predict or reproduce MPC properties, lifetime\_lifetimes and precipitation amounts (Morrison et al., 2012, 2020; Ong et al., 2024; Connelly and Colle, 2019). The misrepresentation of MPC MPCs and ice clouds has been suggested as large a major contributor to the uncertainty in Coupled Model Intercomparison

- 35 Project version 6 (CMIP6) climate model predictions (e.g., Bock et al., 2021). This is in part linked partly related to a poor understanding of ice formation and growth processes in MPC MPCs (Korolev et al., 2017). Their representations are therefore likely incomplete, even in sophisticated cloud microphysics schemes (e.g., Cao et al., 2023), such as the predicted particle properties (P3) scheme proposed by Morrison and Milbrandt (2015). Gaps in our understanding of dominating ice processes hamper progression in representing MPC the dominant ice processes hinder progress in the representation of MPCs in models
- 40 (Morrison et al., 2012).

One An important ice growth process is riming, which describes the process of supercooled droplets freezing by which supercooled droplets freeze onto ice particles after contact. Riming efficiently converts liquid to ice and typically leads to results in increased particle mass, density, and fall speed (Heymsfield, 1982; Erfani and Mitchell, 2017; Seifert et al., 2019). Although riming can theoretically significantly increase ice water content (IWC) in MPCMPCs, it is unclear how much it

45 <u>actually</u> contributes to ice mass in reality and further to snowfall amounts on the ground with different studies reaching different conclusions (Harimaya and Sato, 1989; Moisseev et al., 2017; Kneifel and Moisseev, 2020; Fitch and Garrett, 2022; Waitz et al., 2022).

Cloud properties are not only determined determined not only by the mass and the ratio of liquid and ice particles, but also by their spatial distribution. Observations show that ice particles and liquid droplets in MPC are often mixed heterogeneously

- 50 MPCs are often heterogeneously mixed, leading to the formation of hydrometeor clusters (Korolev et al., 2003; Field et al., 2004; Korolev and Milbrandt, 2022). The ability to quantify spatial scales of IWC clustering would allow for model evaluations beyond comparing distributions of IWC. Additionally, evaluation beyond comparison of IWC distributions. Furthermore, it is poorly understood which microphysical processes lead to IWC clustering at which spatial scales is poorly understood. While quantifying spatial scales of cloud particle clusters has been the focus of previous studies, most have focused on liquid-
- 55 phase clouds<del>and analyzed</del>, <u>analyzing</u> liquid droplet clustering on small scales below 1 m (Kostinski and Shaw, 2001; Shaw et al., 2002; Baker and Lawson, 2010), where turbulence plays a major role in clustering (Wood et al., 2005; Saw et al., 2012a, b). Studies looking at MPC of MPCs suggest that ice clustering is present occurs at different spatial scales than liquid clusters (Korolev and Milbrandt, 2022; Deng et al., 2024). Deng et al. (2024) propose that ice clusters—defined as regions with

enhanced ice particle number or IWC-on larger scales of a few km dominate the inhomogeneity of the ice distribution within

60 clouds. However, their analysis is based on in situ data of from a single case over China, and it is unclear , if whether their findings are representative for of different types of MPCMPCs.

Accurate in situ measurements of IWC remain challenging (Heymsfield et al., 2010; Baumgardner et al., 2017; Tridon et al., 2019), even though although in situ cloud probes can provide reliable particle size distribution (PSD) data (Korolev et al., 2013; Moser et al., 2023). Lacking IWC measurements, Deng et al. (2024) calculated IWC from PSD observations, assuming that

- 65 ice particle mass as a function of ice particle size follows a power law relation. Because deriving relationship. Because it is difficult to derive size-resolved ice particle densities from in situ PSD aloneis not possible yet (to our knowledge)observations alone, Deng et al. (2024) used constant mass-size parameter parameters from Heymsfield et al. (2010). Therefore, their analyses analysis captures IWC variability due to ice number concentration and size, but not ice particle density, which is commonly linked to riming (Erfani and Mitchell, 2017; Seifert et al., 2019).
- 70 Combining-The combination of collocated cloud radar and in situ PSD data allows to estimate IWC by not only showing shows great potential to gain better insight on provide better insight into microphysical processes (Nguyen et al., 2022; Mróz et al., 2021), but also to infer. It also allows the estimation of IWC by inferring ice particle density changes due to riming (Maherndl et al., 2024). This-In this way, IWC variability driven by riming-induced changes in ice particle density can be studied. In recent years, the synergistic employment use of both remote sensing and in situ instrumentation during airborne
- 75 campaigns has become more common (Houze et al., 2017; McMurdie et al., 2022; Nguyen et al., 2022; Kirschler et al., 2023; Sorooshian et al., 2023; Wendisch et al., 2024; Maherndl et al., 2024).

Here , we use collocated cloud radar and in situ cloud probe observations in MPC MPCs collected during the IMPACTS (McMurdie et al., 2022) and the HALO-(AC)<sup>3</sup> (Wendisch et al., 2024) aircraft campaigns. The focus of IMPACTS was to study precipitation variability in-during wintertime snowstorms. The main objective of the HALO-(AC)<sup>3</sup> campaign was studying

80 to study Arctic air mass transformations during warm air intrusions and marine cold air outbreaks (MCAOs). During both campaigns, two aircraft flew in an approximately vertically stacked coordinated pattern to collect spatially and temporally collocated radar and in situ data.

We aim to:

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- 2. Characterize spatial scales at which riming enhances in-cloud ice clustering and link to drivers of riming.

3. Compare ice cluster scales and the impact of riming for mid- and high-latitude MPCMPCs.

Because we aim to compare IWC variability in MPC\_MPCs at different latitudes, we are using use data from both aircraft campaigns. IMPACTS data was were collected during four flights over the US East Coast and the Midwest. For HALO-(AC)<sup>3</sup>,
We we use data from three flights over the Fram Strait west of Svalbard. We compare the contribution of riming to IWC to other ice formation processes absolutely in absolute terms and with respect to the spatial scales of ice clustering using the pair

<sup>1.</sup> Quantify spatial scales of ice clusters in <u>MPC-MPCs</u> observed during the IMPACTS (mid-latitude winter storms) and HALO-(AC)<sup>3</sup> (Arctic MCAO clouds) aircraft campaigns.

correlation function. The paper is organized as follows: <u>Section 2 introduces Sect. 2 presents</u> the airborne data sets we use to study riming and IWC variability. <u>Section Sect. 3</u> illustrates the methods we use to quantify riming, derive IWC, and analyze scales of IWC variability in clouds. The main results are presented in Sect. 4. In Sect. 5 we summarize and discuss our findings.

# 95 2 Data

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# 2.1 Airborne campaigns: IMPACTS and HALO-(AC)<sup>3</sup>

The Investigation of Microphysics and Precipitation for Atlantic Coast-Threatening Snowstorms (IMPACTS, McMurdie et al., 2022) campaign was a NASA-sponsored National Aeronautics and Space Administration (NASA) sponsored field campaign to study wintertime snowstorms with a focus on precipitation variability in East Coast cyclones. Here, we use data collected during the winter of 2020, where a variety of storms from the Midwest to the East Coast were sampled.

The DFG-funded German Research Foundation (DFG) funded field campaign HALO-(AC)<sup>3</sup> (Wendisch et al., 2024) (Wendisch et al.; HALO, High Altitude and Long Range Research Aircraft – (AC)<sup>3</sup> Project on Arctic Amplification Climate Relevant Atmospheric and Surface Processes and Feedback Mechanisms; see https://halo-ac3.de/, last access: 8 October 2024) took place in March and April 2022 and aims at investigating warm air intrusions and cold air outbreaks in the Arctic to investigate

105 <u>Arctic air mass transformations</u>. In this study, we analyze data collected during MCAO conditions over the Fram Strait west of Svalbard.

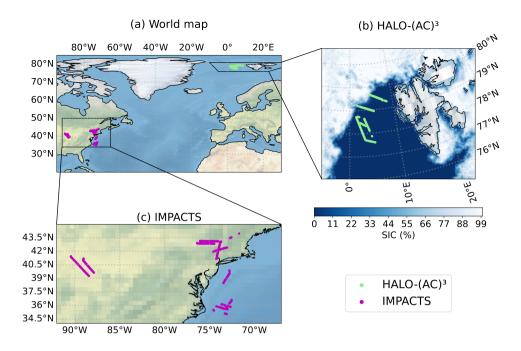
Both aircraft campaigns have in common that Common to both aircraft campaigns was the use of two aircraft to perform collocated in situ and remote sensing measurementswere conducted with two aircraft. During IMPACTS, the *ER-2* aircraft flew above clouds carrying a variety of passive and active remote sensing instruments including multiple frequency multi-frequency

110 Doppler radars. Simultaneously, the NASA *P-3* aircraft collected measurements of microphysical cloud properties in situ while flying within-inside clouds. During HALO-(AC)<sup>3</sup>, the AWI aircraft *Polar 5* and *Polar 6* conducted measurements in a similar mannerperformed similar measurements. *Polar 5*, equipped with a W-band radar among other remote sensing instruments, flew above *Polar 6*, which carried out-performed in situ measurements in clouds.

However, both campaigns cover covered different observation areas and sampled at different frequency rates, i.e., different

- 115 spatial resolutions. With a typical flight speed of 200 (150) m/s the *ER-2* (*P-3*) covers covered a larger spatial scale at with a coarser resolution than *Polar 5* and *Polar 6*, which flew at 60-80 m/s. While the *ER-2* and *Polar 5* flew at constant altitudes a constant altitude of 20 km and 3 km, respectively, *P-3* and *Polar 6* sampled at different altitudes up to 8.5 and 3 km, respectively. In this study, we investigate data collected during the flight days listed in Tab. 1. We selected these days because of the good collocation (which we define as maximum spatial offsets of 5 km and temporal offsets of 5 min; see Sect. 2.4) between the
- 120 respective remote sensing and in situ aircraft as well as the and because of data availability. Figure 1 shows all coordinated flight paths. tracks.

#### 2.2 Instruments



**Figure 1.** Flight tracks of (a) all analyzed coordinated flight segments, zoomed in on (b) HALO-(AC)<sup>3</sup>, and (c) IMPACTS measurement area. In (b) the sea ice concentration (SIC) derived from the Advanced Microwave Scanning Radiometer 2 (AMSR2) onboard the GCOM-W1 satellite on 1 April 2022 is shaded in blue.

Table 1. Overview of analyzed flight days including campaign, measurement area, and synoptic situation.

Campaign	Flight day	Measurement area	Synoptic situation / mission target	
IMPACTS	25 January 2020	East Coast, New York	Warm occluded front	
IMPACTS	1 February 2020	East Coast, Atlantic	Warm developing frontal system	
IMPACTS	5 February 2020	Midwest	Shallow frontal zone	
IMPACTS	7 February 2020	East Coast, Albany	Rapidly deepening cyclone	
HALO-(AC) <sup>3</sup>	28 March 2022	Fram Strait	MCAO	
HALO-(AC) <sup>3</sup>	1 April 2022	Fram Strait	MCAO	
HALO-(AC) <sup>3</sup>	4 April 2022	Fram Strait	MCAO	

Equivalent The equivalent radar reflectivity factor  $Z_e$  was measured by multiple radars during IMPACTS: X-band (9.6 GHz, EXRAD, Heymsfield et al., 1996, 2022), Ku and Ka-band (13.6 and 35.6 GHz, HIWRAP, Li et al., 2016, 2022), and W-band

125 (94 GHz, CRS, McLinden et al., 2021, 2022). EXRAD consists of a nadir-pointing and a conically scanning beam, however, we only use but only the nadir-pointing beam is used in this study. EXRAD, HIWRAP, and CRS sampled at 4 Hz, 2 Hz, and 4 Hz at-with vertical resolutions of 19 m, 26 m, and 26 m, respectively. EXRAD, HIWRAP Ku-band, HIWRAP Ka-band, and CRS have sensitivity limits of -15 dBZ, 0 dBZ, -5 dBZ, and -28 dBZ at 10 km range, respectively. During HALO-(AC)<sup>3</sup>, a W-band radar (94 GHz, MiRAC-A, Mech et al., 2019; Mech et al., 2024a) was deployed. MiRAC-A was mounted with a

- 130 25° backwards inclination, sampled at 1 Hz and  $Z_e$  data is are available with 5 m vertical resolution. For scattering calculations done-the scattering calculations performed within this study, the 25° inclination is negligible (not shown). MiRAC-A has a sensitivity limit of about -40 dBZ at 3 km range. For both campaigns,  $Z_e$  data is are quality controlled and corrected for instrument orientation and aircraft motion (for MiRAC-A, see Mech et al., 2019). Uncertainties of  $Z_e$  stemming from due to radar calibration are estimated to be below 1 dB and 0.5 dB for IMPACTS and HALO-(AC)<sup>3</sup> data, respectively (Finlon
- 135 et al., 2022; Mech et al., 2019). MiRAC-A  $Z_e$  is corrected for attenuation due to liquid water content (LWC) as described in Maherndl et al. (2024); CRS  $Z_e$  as described in Finlon et al. (2022). Attenuation due to water vapor and atmospheric gases is below 0.5 dB for all radars and therefore neglected.

During HALO-(AC)<sup>3</sup>, brightness temperature  $T_B$  measurements at 89 GHz were collected and are used to derive the LWPliquid water path (LWP). Differences in  $T_B$  for clear-sky and cloudy situations conditions are used to retrieve LWP over

140 the open ocean via a regression approach (Ruiz-Donoso et al., 2020; Maherndl et al., 2024). Lidar measurement of backscattered intensities at 532 nm (parallel and perpendicular polarized) and 355 nm (not polarizednon-polarized; Stachlewska et al., 2010) are used to derive cloud top height (CTH) during HALO-(AC)<sup>3</sup> (Mech et al., 2022a; Schirmacher et al., 2023; Maherndl et al., 2024; Mech et al., 2024b).

Cloud particle observations obtained with a variety of cloud probes cover a size range from 2 μm to about 2 cm for IMPACTS and 2.8 μm to 6.4 mm for HALO-(AC)<sup>3</sup>. For IMPACTS, we use data from a Fast-Cloud Droplet Probe (Fast-CDP, 2-50 μm, Lawson et al., 2017), a Two-Dimensional Stereo (2D-S, Lawson et al., 2006) probe (10-2000 μm, pixel resolution of 10 μm), one horizontally, and one vertically oriented High Volume Precipitation Spectrometer, version 3, (HVPS-3, Lawson et al., 1998) probe (0.3-19.2 mm, pixel resolution of 150 μm). For HALO-(AC)<sup>3</sup>, we use data from a Cloud Droplet Probe (CDP, 2.8-50 μm, Lance et al., 2010), a Cloud Imaging Probe (CIP, 15-960 μm, pixel resolution of 15 μm, Baumgardner et al.,

- 150 2001), and a Precipitation Imaging Probe (PIP, 103-6400 μm, pixel resolution of 103 μm, Baumgardner et al., 2001). Here, we use merged particle size distribution (PSD) data from the respective campaign (Bansemer et al., 2022; Moser et al., 2023), which are derived from the instruments listed above. As in Moser et al. (2023) and Maherndl et al. (2024), we assume all particles larger than 50 μm in MPC MPCs to be ice crystalsparticles. As in Maherndl et al. (2024), we only include data up to -1 °C to avoid melting effectsice particles, which are not represented well in the scattering simulations that we perform. In
- addition, we manually looked through in situ images of all analyzed remaining flight segments and removed two IMPACTS segments, where we could identify supercooled droplets larger than 50 µm. LWC was measured in situ with a King probe (King et al., 1978) and a Nevzorov probe (Korolev et al., 1998; Lucke et al., 2022; Lucke et al., 2024) during IMPACTS and HALO-(AC)<sup>3</sup>, respectively. Due to poor data availability<sup>1</sup> and high uncertainties of IWC measurements, IWC is calculated from the PSD as described in more detail in Sect. 3.2. For more detail-details on IMPACTS and HALO-(AC)<sup>3</sup> instrumentation

<sup>&</sup>lt;sup>1</sup>IMPACTS (2020): Water Isotope System for Precipitation and Entrainment Research (WISPER, Toohey et al., 2022) data product is available but unreliable under riming / icing conditions; HALO-(AC)<sup>3</sup>: Nevzorov probe data product only for April flights

160 and data processing, we refer the reader to McMurdie et al. (2022) and Moser et al. (2023), Mech et al. (2022a), as well as Maherndl et al. (2024), respectively.

#### 2.3 Synoptic situation

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In this section, we give a brief overview of the <u>"typical "typical</u> synoptic situations encountered during the different field campaigns to provide context on for the types of <u>MPC\_MPCs</u> that we analyze. We use <u>one an</u> example flight segment for each campaign, which we describe in detail in Sect. 4.1.1 and 4.1.2.

During IMPACTS, observations of a variety of mid-latitude wintertime storms in different development stages were conducted observed. The focus was on observing the observation of banded precipitation structures. Observations range from a relatively weak and warm developing Atlantic low systems without major banding structures (1 February 2020) to rapidly deepening cyclones with significant snowfall and snowbands (5 February 2020). The majority of the measurements stem from the U.S. Midwest, and

- 170 close to the East Coast (both over ocean and land), ranging up to southern parts of Canada (Fig. 1). The coordinated *ER-2* and *P-3* flights on 5 February sampled an elevated warm front over shallow, pre-existing cold air as a low pressure center developed over Louisiana and Mississippi. The developing circulation around the low produced a low-level northeasterly flow across the Midwest. Due to the overrunning warm moist air from the south, precipitation in the form of rain (to Precipitation formed as rain (in the south) and snow (to in the north) formeddue to the overflow of warm, moist air from the south. During the period of observationsobservation period, snowband structures were observed.
  - Measurements during HALO-(AC)<sup>3</sup> were conducted west of Svalbard over both open ocean and sea ice. However, clouds over the sea ice were very thin to non-existent over sea ice during all three flights used here. Northerly to northeasterly flow brought cold air masses from the sea ice of the higher Arctic to the comparatively warm open ocean. This led to the formation of roll cloud streets. During On 1 April 2022 the MCAO was especially strong meaning the difference of the potential, i.e. the
- 180 difference between the potential temperature at sea surface and the potential temperature at 850 hPa was large (about 8 K), while during. On 28 March and 4 April 2022 weaker MCAO conditions were observed due to air masses being convected convection of air masses from North America over Siberia (28 March) or the central Arctic (4 April) to Svalbard (Walbröl et al., 2024).

#### 2.4 Collocation

- To combine in situ and remote sensing observations of the two aircraft, we use the same collocation criterion as in Maherndl et al. (2024), which is also extended to the IMPACTS data. To summarize, the nearest In summary, following Chase et al. (2018) and Nguyen et al. (2022), the closest radar data point to the in situ measurements is selected following Chase et al. (2018) and Nguyen et al. (2022). Each 1 Hz, 2 Hz, or 4 Hz radar aircraft (*Polar 5* and *ER-2*) data point is matched with the spatially closest in situ aircraft (*Polar 6* and *P-3*) data point within a 5 min time window. We consider data with maximum spatial offsets a
- 190 <u>maximum spatial offset</u> of 5 km <del>as to be</del> "collocated". The closest radar range gate to the flight altitude of the in situ aircraft is chosen. Averaging over certain height ranges did not lead to significant improvements.

Rolling averages were applied to  $Z_e$  and in situ data to obtain more robust statistics for the latter. To cover approximately the same spatial scales, averaging windows of 10 s and 30 s are chosen for IMPACTS and HALO-(AC)<sup>3</sup>, respectively. With typical flight speeds of 180-200 m/s and 60-80 m/s during IMPACTS and HALO-(AC)<sup>3</sup>, respectively, this corresponds to spatial scales of 1.8-2.0 km and 1.8-2.4 km, respectively. We assume the in situ measurement is representative of the entire matched radar volume. Possible implications of this assumption on for the riming retrieval are discussed in Maherndl et al.

3 Methods

(2024).

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#### 3.1 Retrieving ice particle riming

200 We use the normalized rime mass M (Seifert et al., 2019) to describe riming. M is defined as the particle's rime mass  $m_{\text{rime}}$  divided by the mass of a size-equivalent spherical graupel particle  $m_q$ , where we assume a rime density of  $\rho_{rime} = 700 \text{ kg m}^{-3}$ :

$$M = \frac{m_{\rm rime}}{m_q},\tag{1}$$

where

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$$205 \quad m_g = \frac{\pi}{6} \rho_{\rm rime} D_{\rm max}^3. \tag{2}$$

The maximum dimension  $D_{\text{max}}$  is defined as the diameter of the smallest circle encompassing the cloud particle in m and is used to parameterize particle sizes.

We retrieve M using the two methods introduced in Maherndl et al. (2024), which are termed the *combined method* and the *in situ method*. The methods in Maherndl et al. (2024) were developed for HALO-(AC)<sup>3</sup>, but we apply them to IMPACTS data with slight adjustments due to different instrumentation. In the following, we give a brief explanation of both methods and describe the adjustments for IMPACTS data. For more <u>detaildetails</u>, we refer the reader to Maherndl et al. (2024).

The combined method derives M along the flight track of the in situ airplane from collocated PSD and radar reflectivity  $Z_e$  measurements. It therefore relies on collocated in situ and remote sensing flights. An Optimal Estimation (Rodgers, 2000) algorithm is used to retrieve M by matching simulated radar reflectivities  $Z_e$  obtained from observed in situ PSD with the spatially

- and temporally closest measured  $Z_e$ . As forward operator we use the Passive and Active Microwave radiative TRAnsfer tool (PAMTRA, Mech et al., 2020), which includes empirical relationships Maherndl et al. (2023a) for estimating particle scattering properties as a function of M. For IMPACTS, the combined method is applied (separately) to X-, Ku-, Ka- and W-band  $Z_e$  (see Sect. 4.1.3). As in Maherndl et al. (2024), we use the riming dependent riming-dependent mass-size parameter relation for dendrites from Maherndl et al. (2023a) that were estimated for different degrees of riming, i.e. -M values. Dendrites were
- 220 chosen, because 86.2 % of the data during the analyzed IMPACTS segments are within the temperature ranges of -20 °C to -10 °C and -5 °C to 0 °C, where plate-like growth of ice crystals is preferred favored (only 13.8 % of the data lie are between -10 °C and -5 °C, where column-like growth dominates). We assume dendrite shapes for the whole dataset, because of entire dataset

for two reasons. First, Maherndl et al. (2024) found that assuming plates or dendrites gives the same results within uncertainty estimates, and second, we want to keep the analysis of IMPACTS and HALO- $(AC)^3$  data as consistent as possible.

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- The in situ method uses in situ measurements of ice particle area A, perimeter P, and  $D_{\text{max}}$  to derive M for individual ice particles, from which an average M for the particle population is derived. The in situ method is applied to 2D-S and HVPS-3 data for IMPACTS as was done using with CIP and PIP data for HALO-(AC)<sup>3</sup> in Maherndl et al. (2024). P and A measurements in pixel are used to calculate complexity  $\chi = \frac{P}{2\sqrt{\pi A}}$ . Simulated rimed aggregates from Maherndl et al. (2023b) are used to derive empirical functions relating  $\chi$  and  $D_{\text{max}}$  to M, where  $\chi$  and  $D_{\text{max}}$  are derived using the same processing steps as for the respective cloud probes. Because these processing steps were slightly different for 2D-S and HVPS-3 operated
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- steps as for the respective cloud probes. Because these processing steps were slightly different for 2D-S and HVPS-3 operated during IMPACTS<sup>2</sup> than for CIP and PIP during HALO-(AC)<sup>3</sup>, new fit functions (based on 18352 simulated dendrites; with  $R^2 = 0.92$ ) had to be derived for IMPACTS:

$$\log_{10}(M) = \frac{1.11 - \chi + 0.00141 \cdot D_{\max}}{0.00432 \cdot D_{\max} + 0.218}.$$
(3)

Only a subset of ice particles can be used to derive M with the in situ method, because particles cannot touch edges to
derive P and need to must be large enough to derive meaningful x. We therefore assume Because of these two criteria, ice particles with D<sub>max</sub> in the range of about 1.0-1.4 mm and 2.0-6.0 mm are neglected by the in situ method when using the HALO-(AC)<sup>3</sup> and IMPACTS particle probes, respectively. Therefore, we assume that the combined method—which uses the full PSD—gives more reliable results when if the aircraft are reasonably collocated. In situ method results are therefore only shown, as shown in Maherndl et al. (2024) for HALO-(AC)<sup>3</sup>. We use M derived with the combined method for all further analysis steps. For reference and uncertainty estimation, we show the in situ method M results are in Sect. 4.1.1 and 4.1.2 as references and the combined method is used in all further analysis steps and in Appendix A.

# 3.2 Deriving ice water content (IWC)

IWC is calculated by summing the product of ice particle mass  $m(D_{\text{max}})$  and  $N(D_{\text{max}})$  for the probes' lower to upper size ranges of the probes,  $D_{lower}$  to  $D_{upper}$ 

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$$IWC = \sum_{D_{lower}}^{D_{upper}} m(D_{max})N(D_{max})\Delta D_{max},$$
 (4)

where  $\Delta D_{\text{max}}$  is the size bin width.  $m(D_{\text{max}})$  is approximated by a power law relation with prefactor  $a_m$  and exponent  $b_m$ 

$$m(D_{\max}) = a_m D_{\max}^{b_m}.$$
(5)

a<sub>m</sub> scales the density of ice particles (independent of particle size) and b<sub>m</sub> modulates the size dependency dependence of particle mass, which is related to particle shape and growth processes. a<sub>m</sub> and b<sub>m</sub> depend strongly on riming (e.g., Mitchell, 1996) and reported literature values values in the literature range from 0.0058 to 466 for a<sub>m</sub> and 1.8 to 3.0 for b<sub>m</sub> in SI units

<sup>&</sup>lt;sup>2</sup>The number of perimeter pixel *P* is computed by the sum of all pixel that are pixels eroded when applying a "+" shaped erosion kernel without performing dilation/erosion sequences as was done during HALO-(AC)<sup>3</sup>.

(e.g., discussed by Mason et al., 2018). As shown by Maherndl et al. (2023a),  $a_m$  and  $b_m$  strongly depend on the amount of riming, which increases particle densities. Maherndl et al. (2023a) provide  $a_m$  and  $b_m$  values for discrete M, which are interpolate interpolated in this study to obtain parameters for a continuous M in this study. We derive  $a_m$  and  $b_m$  for each time step as a function of the retrieved M. IWC is then calculated with using Eq. 4 for each time step based on the measured PSD and the derived  $a_m$  and  $b_m$  parameters. We refer to this quantity as IWC<sub>r</sub> (IWC accounting for riming).

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To estimate the contribution of the riming process to IWC, we also calculate IWC using fixed mass-size parameters  $a_m$  and  $b_m$  for unrimed particles (also taken from Maherndl et al., 2023a), thereby neglecting density changes (e.g., due to riming). We refer to call this quantity as IWC<sub>u</sub>. IWC<sub>u</sub> can be seen as the "theoretical" IWC, if the ice particles were unrimed, so that the riming contribution can be estimated from the difference between IWC and IWC<sub>u</sub>. However, this implies that riming does

260 not impact affect the size of the unrimed ice particle, which is not necessarily the case in nature. Riming typically not only leads leads not only to an increase in ice particle density, but also ice particle size (Seifert et al., 2019). Therefore, we likely underestimate the contribution of riming to particle mass when comparing IWC<sub>u</sub> with to IWC. Since we are interested in the contribution of riming to IWC variability, this approach likely results in a conservative estimate of the contribution of riming to IWC variability.

#### 265 3.3 Characterizing scales of IWC variability in clouds

Similar to Deng et al. (2024), we use the pair correlation function (PCF) to quantify the spatial inhomogeneity of ice water in the observed clouds. In discrete systems, the PCF describes the degree of deviation from the homogeneous Poisson process. In clouds, the PCF can be used to quantify the degree of clustering or variability of a certain parameter such as the number concentration of liquid droplets, the number concentration of ice particles, LWC, or IWC (e.g., Shaw et al., 2002; Saw et al., 2012a; Deng et al., 2024). The PCF applied to a one-dimensional parameter p is given by:

$$\eta(r) = \frac{\overline{p(0)p(r)}}{(\overline{p})^2} - 1,$$
(6)

where p(0) is the parameter at a given point, p(r) is the parameter at the lag r from that point, and  $\overline{p}$  is the average of p (Kostinski and Jameson, 2000; Shaw et al., 2002). Thus,  $\eta(r)$  is a measure for the probability to find of the probability of finding clusters of p as a function of lag r compared to  $\overline{p}$ . Positive values indicate the occurrence presence of clusters and the higher  $\eta(r)$  the higher the probability to find of finding clusters at that scale. If p follows a homogeneous Poisson distribution, which PCF assumes to be statistically homogeneous,  $\eta(r) = 0$ . Negative values indicate that at the given scale, it is less likely to find clusters the probability of finding clusters at that scale is lower than on average over-for the whole segment.

In this study, only straight flight segments with a minimum of 200 s of continuous in-cloud measurements are used to calculate  $\eta(r)$ . The respective radar sensitivity limits are used to define "in-cloud". We allow measurement gaps with a maximum

length of 5 s, which are linearly interpolated. Table 2 gives an overview of all segments we analyze, including duration and data amount. Because IWC is derived using running averages of 10 s and 30 s for IMPACTS and HALO-(AC)<sup>3</sup> data, respectively, we investigated the impact of the window size of the moving average on  $\eta(r)$ . We found that while increasing the window size from 1 s to 10 (30) s for IMPACTS (HALO-(AC)<sup>3</sup>) decreases absolute values the absolute value of  $\eta(r)$ , at which lags However, the lags r at which r η(r) is positive does not change (not shown). This is because applying a moving average
 smooths peaks in the 1 Hz signal, but does not necessarily change their periodicity as long as the window size is reasonably small.

Additionally, we use power spectra in order to gain insight <u>on\_into</u> scales of variability of CTH and LWP during HALO- $(AC)^3$ . To do sothis, each data segment is mean-centered and linearly detrended. To minimize edge effects, a <u>A</u> Hann window is applied to each segment to minimize edge effects. Frequency is converted to wavelength using the aircraft speed  $v_{air}$ . With a minimum time range of 200 s per segment, we capture spatial scales of 12 km for HALO- $(AC)^3$  meaning that we do not capture synoptic-scale motions. We interpret results up to 0.1 Hzmeaning, i.e. spatial scales of 600 m.

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(Fig. 2i).

Figure 2 visualizes the PCF and power spectra for synthetic data. In the case of For a homogeneous Poisson process (Fig. 2a),  $\eta(r) = 0$  (Fig. 2d) and the power spectral density shows no significant peaks (Fig. 2g). For a periodic sine function with added Poisson noise Poisson noise added (Fig. 2b),  $\eta(r)$  is positive for small lags and oscillates around 0 for larger lags with peaks occurring at multiples of the wavelength  $\lambda$  of the sine function (Fig. 2e). The power spectrum shows a peak at  $\lambda$  (Fig. 2h). If When the modulus function is applied to the sine curve (Fig. 2c),  $\eta(r)$  (Fig. 2f) is smaller than in Fig. 2e due to the lower signal to noise ratiosignal-to-noise ratio, and the oscillation occurs at  $\lambda/2$ . The power spectrum also shows a peak at  $\lambda/2$ 

#### 4 Results and discussion

To characterize the influence of riming on the spatial variability of ice clusters in clouds, we first need to know the amount of riming as well as and its impact on IWCand second. Second, we need to know spatial IWC cluster scales with and without riming. Therefore, this section is structured as follows. First, we quantify the amount of riming observed during the two analyzed both campaigns (Sect. 4.1). Then, we show that the retrieved amounts of riming have a significant impact on IWC (Sect. 4.2). Finally, we quantify in-cloud IWC variability (Sect. 4.3) and discuss the impact of riming on spatial scales and the probability of IWC elusters clustering in clouds.

# 4.1 Riming occurrence

MPC properties, synoptic situations (Sect. 2.3), and measurement locations (Fig. 1) vary between IMPACTS and HALO- $(AC)^3$ . Clouds during collocated IMPACTS segments have much larger vertical extents than during HALO- $(AC)^3$  segments. The median CTH during IMPACTS segments is 7.3 km (25-75 % quantile range: 6.3-7.8 km). Here, we define CTH as the height of the highest radar range gate with continuous  $Z_e$  above the in situ aircraft altitude.

Clouds observed during collocated HALO-(AC)<sup>3</sup> segments were predominately shallow roll clouds that formed during MCAOs. The maximum CTH during all segments was 2.2 km (25-75 % percentile range: 0.69-1.1 km). Cloud properties during 1 and 4 April 2022 are described in detail in Schirmacher, et al. (2024).

In the following, we give a brief overview on of the differences in MPCs encountered during between the two campaigns 315 using two "typical " typical example cases. We show a flight segment from 5 February 2020 for IMPACTS (Sect. 4.1.1), and

Campaign	Flight day	Segment start	Segment end	Number of data points
IMPACTS	25 January 2020	20:30:37	20:40:04	568
IMPACTS	25 January 2020	21:08:31	21:17:16	526
IMPACTS	25 January 2020	21:41:01	21:53:38	758
IMPACTS	1 February 2020	13:08:48	13:16:47	480
IMPACTS	1 February 2020	14:35:24	14:39:32	249
IMPACTS	5 February 2020	21:05:28	21:10:57	330
IMPACTS	5 February 2020	21:15:47	21:19:27	221
IMPACTS	5 February 2020	21:20:56	21:28:27	452
IMPACTS	5 February 2020	21:49:52	22:04:07	856
IMPACTS	5 February 2020	23:07:26	23:12:40	315
IMPACTS	7 February 2020	15:12:42	15:20:23	462
IMPACTS	7 February 2020	15:35:00	15:48:47	828
IMPACTS	7 February 2020	15:57:02	16:08:11	670
HALO-(AC) <sup>3</sup>	28 March 2022	14:10:44	14:18:43	480
HALO-(AC) <sup>3</sup>	28 March 2022	14:20:20	14:25:16	287
HALO-(AC) <sup>3</sup>	28 March 2022	14:35:07	14:39:33	267
HALO-(AC) <sup>3</sup>	28 March 2022	14:41:26	14:45:16	331
HALO-(AC) <sup>3</sup>	1 April 2022	11:08:38	11:18:59	622
HALO-(AC) <sup>3</sup>	1 April 2022	11:20:38	11:33:02	745
HALO-(AC) <sup>3</sup>	1 April 2022	12:07:18	12:14:14	417
HALO-(AC) <sup>3</sup>	1 April 2022	12:15:54	12:20:56	303
HALO-(AC) <sup>3</sup>	1 April 2022	12:24:57	12:33:38	522
HALO-(AC) <sup>3</sup>	1 April 2022	12:34:03	12:39:09	307
HALO-(AC) <sup>3</sup>	4 April 2022	11:48:05	12:00:12	728
HALO-(AC) <sup>3</sup>	4 April 2022	13:11:48	13:18:24	397
HALO-(AC) <sup>3</sup>	4 April 2022	13:19:14	13:30:22	669

from 1 April 2022 for HALO-(AC)<sup>3</sup> (Sect. 4.1.2). We present  $M_{\tau}$  retrieved with combined and in situ method, methods and discuss uncertainties. Then, we we then extend to data from all collocated segments (Sect. 4.1.3).

# 4.1.1 Case study 1: Mid-latitude winter storm on 5 February 2020

Figure 3 shows a 64 km segment from 5 February, where ER-2 and P-3 were sampling a developing low pressure sampled a developing low-pressure system over Illinois from 23:07:26 to 23:12:40 UTC. According to the level-2 MODIS Moderate-resolution

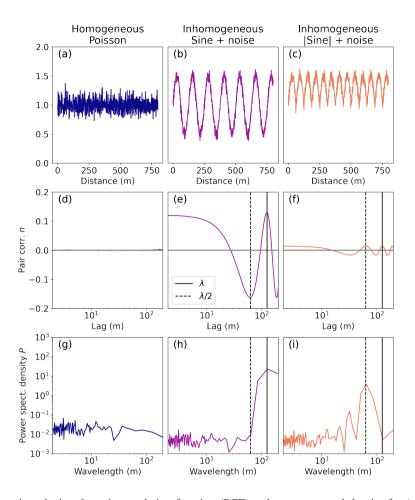


Figure 2. Schematic diagram introducing the pair correlation function (PCF) and power spectral density for (a) a homogeneous Poisson distributed signal, (b) a sine curve with wavelength  $\lambda$  and added Poisson noise added, and (c) the same sine curve but mirrored upwards along x = 1 to show the impact of  $\lambda$  and signal-to-noise ratio. The respective PCF  $\eta$  as a function of lag is shown in (d)-(f); the power spectra density as a function of wavelength in (g)-(i). The solid and dashed lines indicate  $\lambda$  and  $\lambda/2$  of the sine curve in (b).

Imaging Spectroradiometer (MODIS) cloud product (NASA worldview), the cloud top temperature (CTT) was  $-33 \pm 5$  °C. W-band  $Z_e$  shows the deep cloud with convective cell structures near cloud top from which sheared fall streaks stretch down extend downward (Fig. 3a). *P-3* measured the number of ice particles larger than 50 µm  $N_i$  in the range of 910 m<sup>3</sup> to 2800 m<sup>3</sup> (Fig. 3b). Here we show  $D_{32}$  (Fig. 3b), which is the proxy for the mean mass-weighted diameter (e.g., Maahn et al., 2015).  $D_{32}$  is defined as the ratio of the third to the second measured PSD moments (e.g., Mitchell, 1996). During the first 20 km of the segment, ice particles had  $D_{32}$  of about 3 mm and were lightly rimed with M of about 0.02 (Fig. 3.c). AfterwardsThen,  $D_{32}$  increases up to 8 mm, indicating aggregates, and M drops below the riming threshold of 0.01. From  $-88.9^{\circ}$ E onward,  $D_{32}$  decreases and M increases. Combined method M results using the different frequencies show good agreement between X-, Ku-, and Ka-band. W-band results are likely biased high due to the high  $D_{32}$ , as will be discussed in Sect. 4.1.3. IWC

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- is calculated with Eq. 4 using (1) the measured PSD and mass-size parameters  $a_m$  and  $b_m$  for unrimed particles (blue line) and (2)  $a_m$  and  $b_m$  based on <u>look up look-up</u> tables (Maherndl et al., 2023a) for each time step depending on the retrieved Mfor each frequency (black lines). The derived IWC from Ku-band M varies between 0.015 gm<sup>-3</sup> and 0.31 gm<sup>-3</sup>(panel 4). If riming is neglected, i.e. , mass size parameter mass-size parameters for unrimed particles are used in the IWC calculation, IWC is on average lower by a factor of 3.7-lower.
- The increase in M starting at -88.7°E could be linked related to the decrease in CTH (as seen by the radar). Some particles are possibly rimed in liquid layers near cloud top and fall down to the measurement location. On their way down, they might may undergo additional growth processes (condensational growth or aggregation) leading to a decrease of in M, since Mis normalized to particle size. However, King probe measurements show that liquid water also occurs at the *P*-3 position. Therefore additional riming can take place, additional riming may occur at the *P*-3 location and possibly in cloud layers above.
- 340 2-DS images (Fig. 3) show a change from large, lightly rimed aggregates to small, more heavily rimed particles.

#### 4.1.2 Case study 2: Arctic roll clouds on 1 April 2022

Figure 4 shows a 35 km segment from 1 April, where *Polar 5* and *Polar 6* were sampling sampled perpendicular to the roll cloud structures formed during a MCAO MCAO conditions over the Fram Strait from 11:20:38 UTC to 11:33:02 UTC (see Maherndl et al., 2024, for a detailed discussion of the case as well as particle images). The MODIS CTT was  $-18 \pm 5$  °C. W-band  $Z_e$  shows the vertical structure of the individual cloud rolls (Fig. 4a). While *Polar 6* was flying close to cloud top,

 $N_i$  was high with a maximum of 27300 m<sup>-3</sup>, while  $D_{32}$  was low with a minimum of 0.077 mm (Fig. 4b). Once As Polar 6 was descendingdescended,  $N_i$  dropped to a minimum of 4600 m<sup>3</sup>, while  $D_{32}$  increased up to 1.4 mm (panel 2). M oscillates between 0.01 and 0.1, with peaks occurring in streaks of high  $Z_e$  (Fig. 4c). The resulting IWC is between 0.022 gm<sup>-3</sup> and 0.084 gm<sup>-3</sup>. This is a factor of 2.8 higher than compared to using a mass-size parameterization for unrimed particles (Fig. 4d).

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Both methods used to derive M agree well for this segment in terms of M distributions and location and extent of maxima  $(R^2 = 0.52)$ . Statistical agreement between both the two methods was achieved during for all HALO-(AC)<sup>3</sup> segments used in this study. However, spatio-temporal agreement could not be achieved for inhomogeneous cloud observations (e.g., when *Polar 6* was flying in and out of cloud elose to near the CTH) as discussed in Maherndl et al. (2024).

# 4.1.3 Campaign overview Riming product statistics and discussion

- In the previous section, two case studies were used to show differences between clouds observed during the two campaigns, especially in terms of vertical extent, structure, and riming. In spite of Despite these differences, normalized rime mass Mdistributions derived for IMPACTS and HALO-(AC)<sup>3</sup> are similar (Fig. 5a, b). Median M for all collocated IMPACTS segments are 0.024, 0.022, 0.025, and 0.034 when derived with X, Ku, KaX-, Ku-, Ka-, and W-band  $Z_e$ , respectively. During collocated HALO-(AC)<sup>3</sup> segments, median M is 0.024. For IMPACTS, the disagreement of discrepancy between the W-band results to
- 360 and the other frequency bands is due to the occurrences occurrence of large ice particle sizes. Due to saturation effects for  $Z_e$ values associated with large particles at 94 GHzBecause of saturation effects, the riming-dependent parameterization (Maherndl et al., 2023a) used here has a positive  $Z_e$  bias for size parameters  $x = 2\pi\alpha_e D_{max}/\lambda > 4$  where x > 4. Here large relative sizes

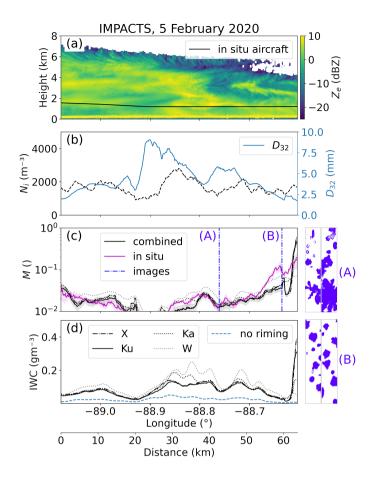
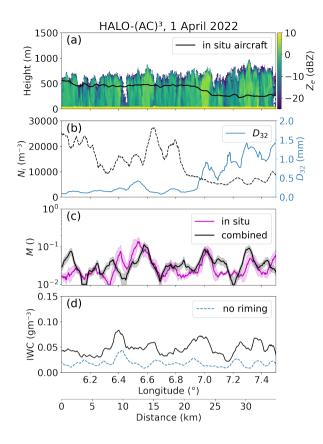


Figure 3. Collocated flight segment from 5 February 2020 at 23:07:26 to 23:12.40 UTC during IMPACTS. (a) W-band radar reflectivity  $Z_e$ , and P-3 flight altitude; (b) ice number concentration  $N_i$  and mass-weighted diameter  $D_{32}$  derived from the 10 s running averaged particle size distribution (PSD); (c) normalized rime mass M from combined (black) and in situ method (magenta) including uncertainty estimates (combined: optimal estimation (OE) standard deviation, in situ: 10 s running standard deviation), where the combined method was applied to X-, Ku-, Ka-, and W-band  $Z_e$  (Ku-band results, which are used in the further analysis, are shown as solid lines); (d) ice water content (IWC) derived from the 10 s running averaged PSD and combined method M (black) and assuming M = 0 (blue). Combined method results for different radar frequencies are drawn as dashed lines. 2-DS images at (A) -88.78°E and (B) -88.69°E are shown in blue next to panels (c) and (d).

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of scattering particles. The relative size of a scattering particle is defined by its size parameter  $x = 2\pi\alpha_e D_{max}/\lambda$ , where  $\alpha_e$  is the iee particle's effective aspect ratio of the ice particle, and  $\lambda$  the radar wavelength. Positive biases occur for x > 4. The positive  $Z_e$  bias for x > 4 results in a positive bias of M. For IMPACTS, 25% of the data have  $D_{32} > 3.2$  mm, which corresponds to x = 4 at 94 GHz assuming a typical value of  $\alpha_e = 0.6$ . Therefore, W-band results for IMPACTS are not as trustworthy as the other wavelengths and are not used in the following analysis. Different to Unlike IMPACTS, the M bias



**Figure 4.** As in Fig. 3 but for the collocated flight segment from 1 April 2022 11:20:38-11:33:02 UTC during HALO-(AC)<sup>3</sup>. Only W-band radar reflectivities are available.

is negligible for HALO-(AC)<sup>3</sup> due to the smaller particle sizes and  $D_{32} < 3.2$  holds for 90% of the data. In Appendix A, Appendix A gives an overview of microphysical parameters during each analyzed segmentis given.

# 370 4.2 Sensitivity study

To motivate our further analysis show the effect of expected M on  $Z_e$  and to evaluate whether the retrieved amounts of riming significantly impact IWC, we conduct a sensitivity study.

We assume that  $N(D_{\text{max}})$  follows a modified gamma distribution and use the normalized form introduced by Delanoë et al. (2005, 2014) and extended by Maahn et al. (2015) for the maximum dimension  $D_{\text{max}}$ 

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$$N(D_{\max}) = N_0^* \frac{(b_m + \mu + 1)^{b_m + \mu + 1} \Gamma(b_m + 1)}{\Gamma(b_m + \mu + 1)(b_m + 1)^{b_m + 1}} \left(\frac{D_{\max}}{D_m}\right)^{\mu} e^{-(b_m + \mu + 1)D_{\max}/D_m},$$
(7)

where  $N_0^*$  is the overall scaling parameter,  $\mu$  is the shape parameter, and  $D_m$  is the "mass-weighted" scaling parameter for the particle size. We vary  $N_0^*$  and  $D_m$ —which can be calculated from PSD moments (see Maahn et al., 2015)—based on 10 to 90% quantile values derived from all measured PSDs during IMPACTS. Exclusively IMPACTS data was Only IMPACTS

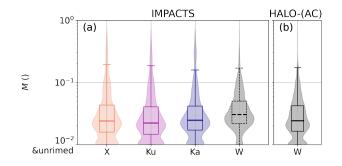


Figure 5. Box plots and superimposed violin plots showing normalized rime mass M results obtained from a closure of collocated radar reflectivity  $Z_e$  and in situ particle size distribution ("combined method" from Maherndl et al. (2024)) for radar reflectivities available during (a) IMPACTS and (b) HALO-(AC)<sup>3</sup>. W-band results during IMPACTS are dashed due to biases (see text). M < 0.01 are plotted at 0.01 to be visible on the logarithmic scale.

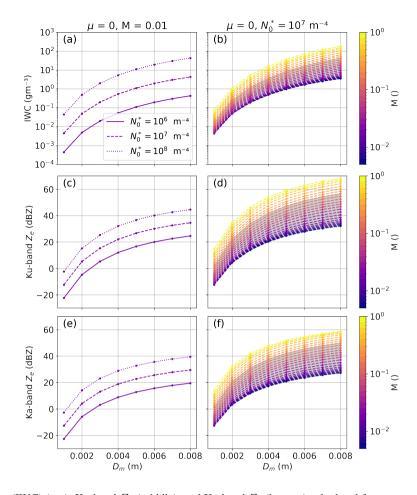
data were chosen, because larger particles and higher number concentrations were measured during IMPACTS than during HALO-(AC)<sup>3</sup>.  $\mu$  is varied from 0 to 64 based on extreme values reported in the literature (Tridon et al., 2022). M is varied from 0.005 to 1, which correspond corresponding to the 10 % quantile of M retrieval results from both campaigns and the maximum "physical" M based on its definition.

We find that although the median M are is below 0.03 for both campaigns, even small amounts of riming—or rather changes in ice particle density—can result in large changes of in IWC. Figure 6 shows IWC calculations assuming gamma PSDs with

- 385 varying  $N_0^*$  (left column) and M (right column) as a function of  $D_m$ . Similar to Maahn and Löhnert (2017), we find that the shape parameter  $\mu$  does not <u>significantly</u> impact IWC or  $Z_e$  <u>significantly</u> and therefore only  $\mu = 0$  is shown.  $D_m$ , which can be seen as a proxy for particle size, has the largest <u>impact effect</u> on IWC. By changing  $D_m$  from 1 to 8 mm, <u>IWC</u> <u>changes IWC</u> by three orders of magnitude. IWC increases by about one order of magnitude, when  $N_0^*$ —the proxy for the total number concentration of particles—is increased by one order of magnitude. Depending on  $D_m$ , varying M can
- 390 result in IWC changes of up to two order of magnitudes. When only considering orders of magnitude. Considering only M values encountered during the analyzed campaigns campaigns analyzed, the change in IWC reaches one order of magnitude. To show the impact In order to show the effect of riming on radar reflectivity  $Z_e$ , which can be seen considered as a proxy for

IWC, we conduct a sensitivity study for Ku-Ku- and Ka-band  $Z_e$ . In doing so, we aim The aim is to highlight the importance of accounting for riming in radar retrievals.  $Z_e$  is forward simulated using the same PSDs with PAMTRA assuming a temperature

- of -10 °C. Particle scattering is parameterized with the riming-dependent parameterization (Maherndl et al., 2023a). X-band is not shown due to being nearly identical to Ku-band; W-band is not shown due to the riming-dependent parameterization bias for large  $D_m$  at W-band (see Sect. 4.1.3). Varying M within the observed ranges results in  $Z_e$  changes of up to 20 dB depending on  $D_m$  for both Ku- and Ka-band, albeit with although with a slightly larger spread at Ka-band. Similar to Fig. 6, varying  $D_m$  results in the largest  $Z_e$  changes. Observed ranges of M result in larger  $Z_e$  changes than observed ranges of  $N_0^*$ .
- 400 Therefore Thus, in our data set,  $Z_e$  depends more heavily on riming than on number concentration.



**Figure 6.** Ice water content (IWC) (top), Ku-band  $Z_e$  (middle), and Ka-band  $Z_e$  (bottom) calculated from gamma particle size distributions as functions of  $D_m$  parameter. Results for varying  $N_0^*$  parameter are shown as solid and dashed lines in (a), (c), (e); for varying normalized rime mass M are color-coded in (b), (d), (f). Shaded areas in (b), (d), (f), (h) indicate M ranges observed during IMPACTS (90 % range: 0.005 < M < 0.15).

We therefore conclude that for the range of M observed during HALO-(AC)<sup>3</sup> and IMPACTS, the effect of riming on IWC should not be neglected to avoid biases of up to one order of magnitude for in IWC.

# 4.3 Quantifying in-cloud IWC variability with and without riming

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Because even small amounts of riming significantly impact IWC, we evaluate have a significant effect on IWC, in the following we evaluate the differences in IWC variability when accounting for riming vs. when neglecting riming in the followingriming is considered versus when riming is neglected. As described in Sect. 3.2, IWC is calculated with Eq. 4 based on the measured PSD and (1.) using mass-size parameters  $a_m$  and  $b_m$  for unrimed particles (IWC<sub>u</sub>) and (2.) varying  $a_m$  and  $b_m$  for each time step as a function of the retrieved M (IWC<sub>r</sub>). During all analyzed IMPACTS flight segments, the rime mass (IWC<sub>r</sub>-IWC<sub>u</sub>)

makes up 68.6 / 65.7 / 68.8 % of IWC<sub>r</sub> based on X- / Ku- / Ka-band results. During HALO-(AC)<sup>3</sup>, the rime mass makes up

410 62.7 %.

Figure 7 shows the average PCF  $\eta$  over all analyzed IMPACTS and HALO-(AC)<sup>3</sup> segments for  $N_i$  (Fig. 7, first column), IWC<sub>r</sub>, and IWC<sub>u</sub> (Fig. 7, second column). To visualize the difference between IWC<sub>r</sub> and IWC<sub>u</sub>, Fig. 7, 3rd column shows the  $\eta_{IWC_r} - \eta_{IWC_u}$ . By this, we can This allows us to isolate the contribution of the riming process to IWC. Positive values of  $\eta_{IWC_r} - \eta_{IWC_u}$  indicate that riming increases the variability of IWC clusters at the given lag, while negative values are related

415 to riming smoothing out IWC variability. Because we are interested at which spatial scales in the spatial scales at which riming influences IWC variability, we only discuss the differences larger greater than zero.

Both in terms of For both  $N_i$  and IWC, IMPACTS segments have higher  $\eta$  on average than HALO-(AC)<sup>3</sup> segmentsmeaning, implying that  $N_i$  and IWC have more variability on the investigated spatial scales spatial scales examined (Fig. 7a, b). Note that both quantities are calculated from running PSD averages of 10 s and 30 s for IMPACTS and HALO-(AC)<sup>3</sup>, respectively, to

420 cover similar spatial scales (about 1.8 km) given the different flight speeds. The smaller count number of data points averaged for IMPACTS might could lead to higher variability. However, computing  $\eta$  for 30 s running averages results in similar curves with close to nearly the same lags where  $\eta = 0$ , and slightly lower  $\eta$ , yet but still higher than for HALO-(AC)<sup>3</sup> (not shown).

During IMPACTS, variability occurred at larger spatial scales than during HALO- $(AC)^3_{2}$  as indicated by positive  $\eta$  at larger lags (Fig. 7a, b). Differences between  $\eta$  for  $N_i$  and IWC indicate that ice growth processes play a large role for in IWC

variability in addition to ice formation processes. For both campaigns, η > 0 for IWC is shifted to larger spatial scales than for N<sub>i</sub>indicating that ice growth processes lead to increased variability at large spatial scales. For IMPACTS, accounting for riming shifts the scales of IWC variability to slightly smaller lags and increases η significantly at small lags, meaning i.e. riming increases IWC variability at lags < 5 km (Fig. 7c). For HALO-(AC)<sup>3</sup>, riming leads to IWC variability at lags below 1 km as well as between 3-5 km. (Fig. 7c) However, the differences between η<sub>IWCr</sub> and η<sub>IWCu</sub> are smaller than for IMPACTS.

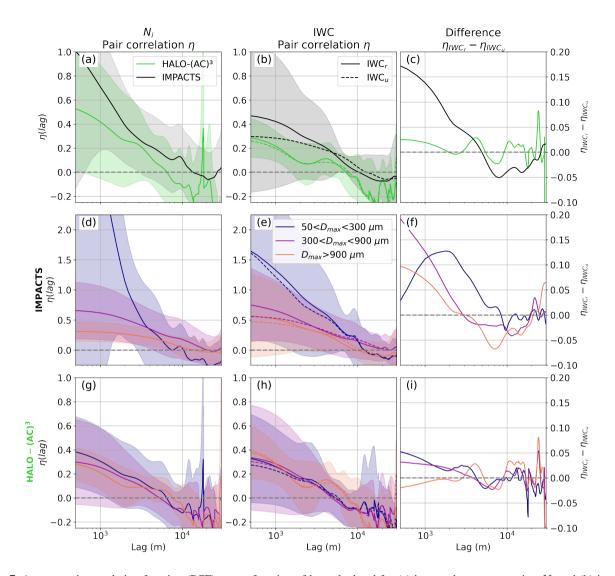
# 4.3.1 Dependency on particle size

To identify which size range of particles particle size range contributes most to the  $N_i$  and IWC variability, we split the PSD into small (50 <  $D_{max}$  < 300 µm), medium (300 <  $D_{max}$  < 900 µm), and large ( $D_{max}$  > 900 µm) particle sizes to calculate  $N_i$  and IWC (Fig. 7d-i). For IMPACTS, the probability of small particle  $N_i$  (IWC) clusters is higher than for medium and large

435 particles below 3.5 km (10 km). During HALO-(AC)<sup>3</sup>,  $\eta$  is similar regardless of size. However, positive  $\eta_{IWC}$ —indicating the occurrence of IWC elusters—are clusters—are shifted to slightly larger lags for large particles (9 km as opposed to 5-6 km for small and medium sizes).

The measurement location in-cloud could influence the dependency dependence of  $N_i$  and IWC variability on particle size due to size sorting, i.e. – more small particles near the CTH and larger particles at lower heightheights. During the analyzed

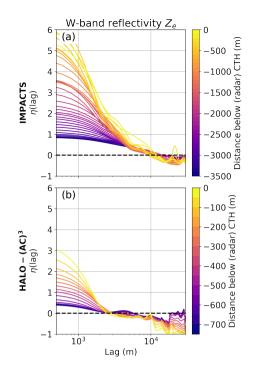
440 HALO-(AC)<sup>3</sup> segments, clouds were shallow and *Polar 6* measurements took place on average 440 m below the CTH (as measured by W-band radar). During IMPACTS, much deeper cloud systems were observed and *P-3* sampled on average in-at larger vertical distances from cloud top (3.3 km) than during HALO-(AC)<sup>3</sup>. W-band radar reflectivity  $Z_e$ —which can be seen



**Figure 7.** Average pair correlation function (PCF)  $\eta$  as a function of lag calculated for (a) ice number concentration  $N_i$  and (b) ice water content (IWC) during IMPACTS (black) and HALO-(AC)<sup>3</sup> (green) segments. IWC is calculated with (solid line) and without (dashed line) accounting for riming and differences are plotted in (c). Shaded areas show standard deviations. In (d)-(i), the particle size distributions are split into small ( $50 < D_{max} < 300 \mu$ m), medium ( $300 < D_{max} < 900 \mu$ m), and large ( $D_{max} > 900 \mu$ m) particle sizes. (d)-(f) and (g)-(i) are as in (a)-(c) but showing size dependency of  $\eta$  during IMPACTS and HALO-(AC)<sup>3</sup>, respectively. Note the different y-axis scales.

as a proxy for IWC—shows higher variability elose to near CTH for both IMPACTS and HALO-(AC)<sup>3</sup> clouds (Fig. 8). Similar to Fig. 7, we use PCF to characterize the variability of  $Z_e$  in linear units. For each IMPACTS (HALO-(AC)<sup>3</sup>) flight segment,  $\eta$ 

445 is calculated for  $Z_e$  cross sections in 100 m (50 m) steps from the average CTH downward. In general,  $Z_e$  variability is larger close to cloud top-near CTH at lags below 5 km and 2 km for IMPACTS and HALO-(AC)<sup>3</sup>, respectively. The higher variability is likely linked to cloud top generating cells, which can be seen e.g., as seen in case study 1 (Fig. 3a). Generating cells contain



**Figure 8.** Average pair correlation function (PCF)  $\eta$  as a function of lag calculated for horizontal cross section of W-band  $Z_e$  (in linear units) during (a) IMPACTS and (b) HALO-(AC)<sup>3</sup> flight segments. Cross sections are taken in 100 m and 50 m steps from the average cloud top height (CTH) of each segment downward for IMPACTS and HALO-(AC)<sup>3</sup> data, respectively. Note the different colorbar scales.

more liquid and ice and have stronger updrafts than adjacent cloud regions. HALO-(AC)<sup>3</sup> clouds show less variability and are homogeneous on at smaller spatial scales ( $\eta = 0$  is at smaller lags) than clouds during IMPACTS. Size sorting might may play a larger role for IMPACTS due to the larger cloud depths as opposed compared to the shallow MCAO clouds during HALO-(AC)<sup>3</sup>. However, the  $N_i$  and *IWC* distributions as functions of distance to CTH indicate the opposite (Appendix B). Nonetheless Nevertheless,  $N_i$  and *IWC* derived for small particles only show much more variability depending on as a function of the distance to CTH for IMPACTS (Appendix B).

The higher variability of small particle counts during IMPACTS is therefore likely due to higher numbers of ice nucle-455 ating particles (INP) available at mid-latitudes (Petters and Wright, 2015). During the analyzed HALO-(AC)<sup>3</sup> flight days, INP concentrations collected with filters on board of *Polar 6* were very low, oftentimes often below the detection threshold (Wendisch et al., 2024). No INP measurements were conducted during IMPACTS, therefore a direct comparison cannot be made. Another explanation could possibly be be that more secondary ice production (SIP) occurring during IMPACTS than during HALO-(AC)<sup>3</sup>.

460 Differences between  $\eta$  computed for IWC<sub>r</sub> and IWC<sub>u</sub> using the different size bins (Fig. 7f) show that riming enhances increases the probability of IWC clusters for lags smaller than 9 km for small particles during IMPACTS. For medium and large particles, riming enhances IWC cluster probability increases the probability of IWC clusters at lags smaller than 3 km. The enhancement is larger the smaller the lag for For medium and large particles, whereas the enhancement increases as the lag decreases, while for small particles the largest enhancement is, the maximum enhancement occurs at a lag of about 2 km.

465 An enhancement for small particles possibly hints at SIP connected to rimingmay indicate SIP associated with riming, such as rime splintering. During HALO-(AC)<sup>3</sup> (Fig. 7i), riming enhances the probability of IWC clusters for lags smaller than 4 km for small and medium particles, and the enhancement is generally larger the smaller the lag. For large particles, only lags of about 3-5 km lead to an enhancement of increase in IWC variability.

#### 4.3.2 Dependency on riming

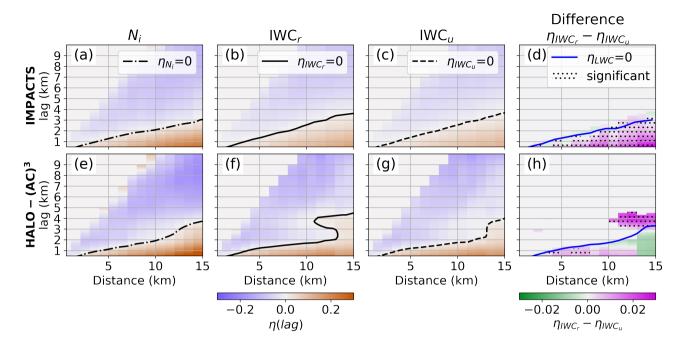
- 470 To understand which spatial scales dominate the riming driven riming-driven IWC variability, we conduct perform a Monte-Carlo random test for specific sampling distances following Deng et al. (2024). This approach allows usto first, first, to handle the flight segments of different lengths in a statistically robust way, and second, manner and, second, to analyze the dependence on flight segment distance. For each flight segment, we randomly select a sub-segment with a distance of d km, where we vary d in 1 km steps from 1 to 15 km. Then, we calculate  $\eta$  for this that segment. This is repeated 100 times and the average  $\eta$  over
- all (sub)segments of the respective campaign is calculated. In principle, parts of sub-segments can be resampled. However, the sampling process is random. To perform the averaging, we bin-divide  $\eta$  into 200 m and 60 m bins for IMPACTS and HALO-(AC)<sup>3</sup>, respectively, which corresponds corresponding to the respective distances covered in 1 s for the respective typical flight speeds. The results are shown in Fig. 9, where the average  $\eta$  for  $N_i$ , IWC<sub>r</sub>, and IWC<sub>u</sub> are plotted as functions a function of distance d and lag. Curves (shaded) where  $\eta = 0$  are included to show the maximum spatial scales at which ice clusters likely
- 480 <u>are likely to occur</u>, given a sampling distance d.

During IMPACTS, the maximum  $N_i$  cluster spatial scale in clouds increases from 0.6 km to 3.1 km at distances d of 2 km to 15 km (Fig. 9a). King probe-measured-LWC cluster scales measured by the King probe behave similarly to  $N_i$  (not shown)and , and the maximum cluster scales increase from 0.6 km to 3.0 km. This suggests simultaneous liquid and ice formation in regions with of high supersaturation with respect to ice. Maximum IWC cluster scales (independent of accounting for riming

- 485 or not whether or not riming is considered) increase from 0.6 km to 3.6 km (Fig. 9b,c). At distances smaller less than 6 km,  $N_i$  and IWC have about roughly the same cluster scales; at distances larger greater than 10 km, IWC clusters occur at larger spatial scales. Differences between positive values of IWC<sub>r</sub> and IWC<sub>u</sub> (Fig. 9d) reveal that riming enhances the probability of ice clusters for distances larger greater than 6 km for lags from about 1 km to 10 km (at distances of 12 km). To show the statistical significance of this enhancement, a one-sided Student's t-test with a significance threshold of 95% is used. Areas
- 490 where differences are significant are hatched (Fig. 9d). The enhancement occurs at similar spatial scales as LWC clusters, indicating suggesting that riming is driven by LWC variability.

During HALO-(AC)<sup>3</sup>, the maximum  $N_i$  cluster spatial scale in clouds increases from 0.5 km to 3.7 km at distances of 2 km to 15 km (Fig. 9e). Similar to IMPACTS data, Nevzorov probe measured LWC clusters behave similarly LWC clusters measured by the Nevzorov probe behave similarly to  $N_i$  clusters, increasing from 0.5 km to 3.3 km, however having but

495 with slightly smaller spatial scales. Maximum IWC cluster scales, assuming no riming, increase from 0.6 km to 3.8 km and therefore thus occur at about the same spatial scales as  $N_i$  clusters (Fig. 9g). When accounting Accounting for riming, the



**Figure 9.** Average pair correlation function (PCF)  $\eta$  as a function of distance and lag calculated using all (a-c) IMPACTS and (e-g) HALO-(AC)<sup>3</sup> flight segments for (a)&(e)  $N_i$ , (b)&(f) ice water content (IWC) accounting for riming IWC<sub>r</sub>, and (c)&(g) IWC assuming no riming IWC<sub>u</sub>. The Difference between (b) and (c) are shown in (d); difference between (f) and (g) in (h). Differences in (d) and (h) are only shown, where  $\eta_{IWC_r} > 0$ . Areas, where differences are significant according to a Student's t-test (95% significance threshold) are hatched.  $\eta = 0$  is drawn as shaded lines for the ice number concentration  $N_i$  (dash-dotted black), IWC<sub>r</sub> (solid black), IWC<sub>u</sub> (dashed black), and liquid water content (LWC, solid blue), where LWC measurements from King probe (Nevzorov probe) measurements obtained during IMPACTS (HALO-(AC)<sup>3</sup>) are used.

maximum IWC cluster scales show a distinct behavior for distances larger than 10 km:  $\eta$  increases at 3-5 km indicating that riming enhances variability on increases variability at these scales (Fig. 9f), which cannot be explained by the LWC variability. Statistically significant differences between positive IWC<sub>r</sub> and IWC<sub>u</sub> (Fig. 9h), further highlight this feature.

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To explain the different spatial scales where riming enhances at which riming increases IWC variability, we look at lidar derived lidar-derived CTH. In previous sections, we derived CTH from radar measurements to make IMPACTS and HALO- $(AC)^3$  comparable. During For HALO- $(AC)^3$ , a more sophisticated CTH product based on lidar—which is more sensitive to liquid layers at cloud top than the radar—is available and used the following. is used below. The lidar detects small liquid droplets at cloud top, which follow vertical motions, therefore leading to higher CTH in updraft regions (Abel et al., 2017).

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When computing the average power spectrum of CTH observed during the studied flight days flight days studied, distinct peaks at wavelengths of 750 m and 1.2 km occur for all days, which corresponds. These wavelengths correspond to the typical roll cloud and circulation wavelengths as derived by Schirmacher, et al. (2024) (Fig. 10a, d, g). At these wavelengths, peaks in LWP also occur for all days (Fig. 10b, e, h), further indicating enhanced formation and growth of liquid droplets in the

updraft regions of the convectional cell cloud structures. On 28 March, a distinctive prominent peak in the CTH spectrum at

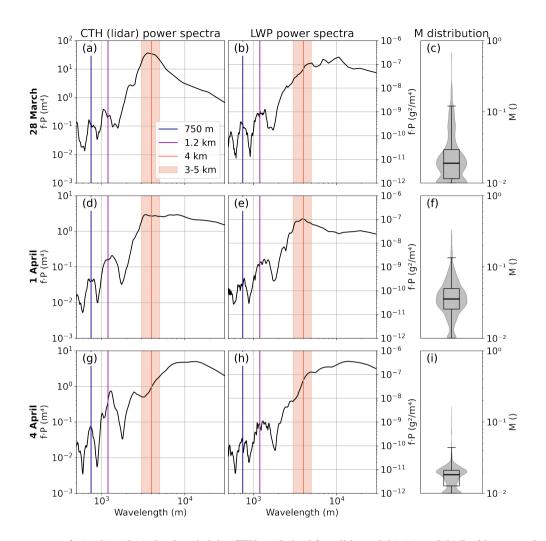
- 510 3-5 km indicates additional mesoscale updraft features (Fig. 10a). However, the LWP spectrum only shows shows only a weak peak towards around 5 km (Fig. 10b). On 1 April, both CTH and LWP power spectra have peaks at 3-5 km (Fig. 10d,e). On 4 April, no peak distinctive there are no prominent peaks at wavelengths of 3-5 km are visible (Fig. 10g,h). Given that the least (most) amount of riming (Fig. 10c,f,i) occurred on 4 (1) April, we conclude that in the studied MCAO clouds, mesoscale updraft features likely enhance riming at spatial scales of 3-5 km. The enhancement could occur either due to be due to either
- 515 prolonged lifetimes of ice crystals in clouds (28 March) or increased amounts of liquid water or both (01 April), and leads to an increase in IWC amount and variability.

#### 4.4 A conceptual model of how riming impacts IWC clusters in MCAO roll clouds

The results discussed above help to better understand scales of in-cloud IWC clustering in different types of MPC and link to some of the microphysical processes involved. Although there are substantial significant
unknowns, the following summarizes our findings from the perspective of collocated remote sensing and in situ measurements. In the analyzed segments of winter storm clouds measured during IMPACTS, IWC clusters occur at spatial scales smaller than about 3 km for segment distances of 15 km. Accounting for riming enhances ice cluster probabilities increases the probability of ice clusters (Fig. 9d). However, riming does not lead to significantly enhanced significantly increase the occurrences of IWC clusters at other specific scales. LWC clusters for segment distances of 15 km occur at the same spatial scales
of about 3 km as clusters of N<sub>i</sub>. Therefore, liquid droplets and ice particles are likely formed to form together in regions with of supersaturation with respect to liquid and ice. Because Since LWC clusters and the IWC cluster enhancement through by riming occur at similar spatial scales, we hypothesize that LWC variability (at least in part) drives riming. By increasing IWC, riming leads to enhanced increased probabilities of IWC clusters for IMPACTS.

For HALO-(AC)<sup>3</sup>, Fig. 11 shows a sketch of the maximum spatial scales, where we found ice clusters to occur for MPCs

- 530 observed during MCAOs. In these MCAO roll clouds, ice clusters occur at on spatial scales of the roll cloud wavelengths. In the updraft regions of the convectional convective cells, which occurred on average every 750 m and 1.2 km, liquid droplets and ice particles are formed. LWP and CTH are increased due to the by vertical motions and liquid condensationcondensational growth. Ice particles grow through depositional growth and riming, which leads leading to enhanced probabilities of ice clusters at these scales. When ice particles' masses have an ice particle's mass has increased sufficiently, they precipitate or might-it
- 535 <u>may precipitate or sublimate below cloud.</u> Aggregation <u>might occur as can occur when</u> ice particles collide. In the presence of additional mesoscale updraft features, IWC clusters also occur at spatial scales of 3-5 km (Fig. 9h). Due to the stronger increased vertical motion, ice particles are suspended longer, have more time to rime, and can reach higher masses before precipitating. Increased LWP might may enhance the amount of riming, but is not a necessary criterion based on the analyzed cases cases analyzed. This hypothesis is supported by the fact that the observed LWP is not sufficient to explain the retrieved
- 540 rime massesassuming particles continuously collecting, assuming that particles continuously collect liquid water by falling through the liquid layer, as we show in Appendix C. The enhanced occurrence of riming drives the additional increase of in IWC cluster probability at on spatial scales of 3-5 km.



**Figure 10.** Power spectra of (a), (d), and (g) cloud top height (CTH) as derived from lidar and (b), (e), and (h) liquid water path (LWP) during collocated HALO- $(AC)^3$  flight days. The wavelength has been calculated based on the aircraft flight speed. The blue and purple lines show the typical roll cloud and circulation wavelengths as derived by Schirmacher, et al. (2024). The orange shaded area shows the 3-5 km range, where riming causes additional IWC clustering. (c), (f), and (i) show the corresponding normalized rime mass *M* distributions.

# 5 Conclusions

In this study, we use airborne measurements of mid- and high-latitude mixed-phase clouds (MPC) in mid- and high-latitudes are used to study-MPCs) to investigate the spatial variability of ice clusters within clouds. We further investigate how this variability is linked to riming, which we quantify by through the closure of collocated cloud radar reflectivity and in situ particle size distribution (PSD) measurements. Pair The pair correlation function (PCF) is used to quantify ice cluster scales and the spatial scales of ice clusters and the variability of ice water content (IWC) variability when first, when accounting for riming (IWC<sub>r</sub>), and second, and neglecting riming (IWC<sub>u</sub>). The main findings are as follows:

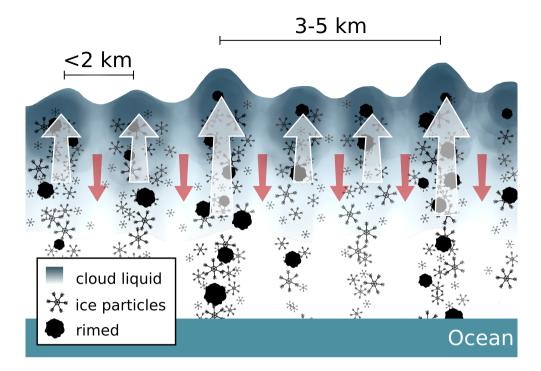


Figure 11. A conceptual diagram summarizing ice cluster spatial scales driven by riming as observed in MCAO roll clouds during HALO- $(AC)^3$ . For further explanations see text.

- 5501. Although the synoptic situations and the resulting elouds cloud systems were vastly different during the two analyzed<br/>aircraft campaigns aircraft campaigns analyzed, the retrieved amounts of riming were similar<br/>with. The median normal-<br/>ized rime masses mass M of was 0.023 and 0.024 during IMPACTS (mid-latitude winter storms) and HALO-(AC)<sup>3</sup> (Arc-<br/>tic MCAO roll clouds) segments, respectively (Fig. 5). Clouds were deep (shallow) during IMPACTS (HALO-(AC)<sup>3</sup>)<br/>segments, and in situ measurements were conducted on average in vertical distances at an average vertical distance of<br/>3.3 km (440 m) from cloud top.
  - 2. The observed spread of M can increase IWC by up to two orders of magnitude, depending on the size of the particle population (Fig. 6). In sum, the rime mass makes up about 66 % and 63 % of the total IWC during the analyzed IMPACTS and HALO-(AC)<sup>3</sup> flight segments, respectively. Therefore, riming has a similar impact on IWC as the observed spread of number concentration and should not be neglected when estimating IWC.
- 3. PCF revealed that  $N_i$  eluster clusters occur with increased probability on at spatial scales smaller than 10.5 km and 6.5 km within clouds during IMPACTS and HALO-(AC)<sup>3</sup>, respectively. IWC clusters dominate for spatial scales of 10 km and 7 km. During For IMPACTS, small particles dominate  $N_i$  and IWC variability on small spatial scales, whereas while for HALO-(AC) there is no dependence on particle size during HALO-(AC)<sup>3</sup> (particle size dependence (Fig. 7).

This could be <u>linked-related</u> to ice formation processes and the higher availability of INP at mid-latitudes. However, this hypothesis could not be confirmed with the available data.

- 4. During IMPACTS, maximum the maximum spatial scales of N<sub>i</sub>, IWCand LWC cluster spatial scales , and LWC clusters inside clouds are 0.6-3 km for distances of 2-2-15 kmand increase to about 3 for distances of 15-. During HALO-(AC)<sup>3</sup>, maximum the maximum spatial scales of N<sub>i</sub>, IWCand LWC cluster spatial scales , and LWC clusters are similar with about 0.5 km for distances of 2 km and about 4 km for 15 km. However, for IWC during HALO-(AC)<sup>3</sup>IWC cluster probability , the probability of cluster occurrence is increased on scales of 3-5 km when segment distances are larger than 10 km (Fig. 9).
- 5. During IMPACTS, accounting for riming does not significantly change IWC cluster scales in clouds, but increases the probability of clusters for segment distances larger than 6 km (Fig. 9d). This enhancement occurs at similar scales as scales similar to LWC variability. More riming likely occurs in regions with enhanced concentration of liquid water and increases is likely to occur in regions of enhanced LWC, increasing IWC. Since clusters of IWC neglecting riming have similar spatial scales as N<sub>i</sub>, LWC, and IWC accounting for riming, ice clustering is likely linked to ice formation processes in regions of high supersaturation with respect to liquid and ice.
- 6. In contrast, riming impacts IWC clustering in clouds at two distinctive distinct scales during HALO-(AC)<sup>3</sup> (Fig. 9h). First, riming enhances increases the probability of IWC clusters at spatial scales below 2 km, which corresponds to the wavelength of the roll cloud updraft features. N<sub>i</sub>, IWC<sub>r</sub>, IWC<sub>u</sub>, and LWC all have similar spatial variability, indicating simultaneous ice and liquid formation and growth in this regions. The enhanced concentrations of liquid again enhance these regions. Increased LWC again increase riming, which increases IWC. Second, riming leads to IWC clustering at on spatial scales of 3-5 km, which cannot be explained by the typical roll cloud and roll circulation wavelengths. Power spectra of CTH show peaks at these spatial scales on the flight days with enhanced riming (Fig. 10). This indicates suggests that the presence of mesoscale updraft features—which cause higher greater CTH through lifting of small particles near cloud top and therefore increased CTH—leads top—leads to enhanced occurrence of riming and therefore hence additional IWC clustering. Increased LWP might increase may enhance the effect, but is not a necessary criterion based on the analyzed dayscases analyzed. Theoretical analysis shows that updrafts are likely required necessary to explain the observed riming values (Fig. C1).
- 590 These results help to improve our understanding of how riming is linked to in-cloud IWC variability and can be used to evaluate and constrain <u>MPC modelsmodels'</u> representations of <u>MPCs</u>. While we have shown that riming enhances incloud IWC variability and causes additional IWC clustering at large spatial scales of 3-5 km in Arctic MCAO clouds, further research is needed to link these <u>findings results</u> to surface precipitation. Future studies should investigate the link between riming-driven IWC variability and snowfall variability. In addition, profiles of vertical wind speed and turbulence are needed 595 to better understand their importance for riming.

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*Data availability.* Processed in situ (https://doi.org/10.1594/PANGAEA.963247, Moser et al., 2023), Nevzorov probe (https://doi.org/10. 1594/PANGAEA.963628, Lucke et al., 2024) and MiRAC-A data (https://doi.org/10.1594/PANGAEA.964977, Mech et al., 2024a) as well as AMALi CTH (https://doi.org/10.1594/PANGAEA.96498, Mech et al., 2024b) from the HALO-(AC)<sup>3</sup> campaign are available on PAN-GAEA. The IMPACTS data (https://doi.org/10.5067/IMPACTS/DATA101, McMurdie et al., 2019) and the individual datasets cited within this gappa can be found at the NASA Clabel Hudgelery Becourse Contexic DAAC. The data set of simulated simulated sourcestag concerted for

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this paper can be found at the NASA Global Hydrology Resource Center's DAAC. The data set of simulated rimed aggregates generated for Maherndl et al. (2023a) is available at https://doi.org/10.5281/zenodo.7757034 (Maherndl et al., 2023b). HALO-(AC)<sup>3</sup> datasets used in this study can be accessed via the ac3airborne intake catalog (https://doi.org/10.5281/zenodo.7305585, Mech et al., 2022b). Processing routines to read IMPACTS data are available via the impacts tools repository (https://github.com/ioefinlon/impacts tools).

# Appendix A: Microphysical overview of analyzed segments

Figure A1 (A2) presents an overview of microphysical parameters ( $N_i$ ,  $D_{32}$ , M, IWC, LWC) observed during each analyzed IMPACTS (HALO-(AC)<sup>3</sup>) segment. Case study 1 (case study 2) is the fifth segment on 5 February (second segment on 1 April).

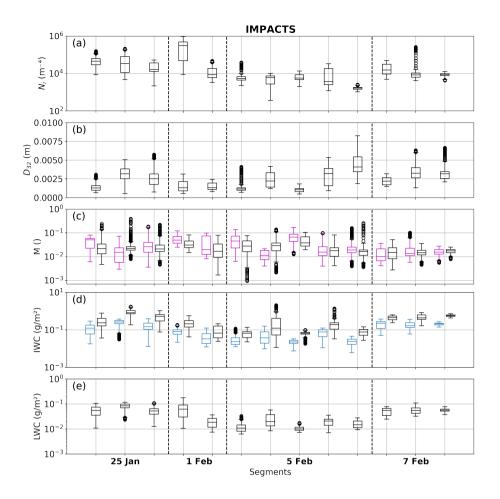


Figure A1. Boxplots of (a) ice number concentration  $N_i$ , (b) mass-weighted diameter  $D_{32}$ , (c) normalized rime mass M, (d) ice water content (IWC), and (e) liquid water content (LWC) derived during each IMPACTS segment. In (c) both combined (Ku-band) and in situ method results are shown in black and magenta, respectively. In (d) IWC is calculated accounting for riming (using combined method M; black) and neglecting riming (M = 0, blue).

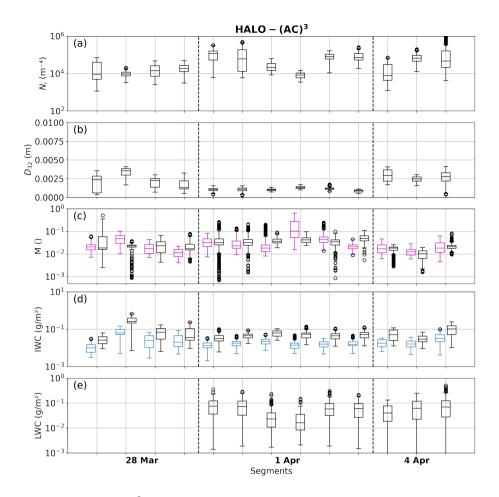
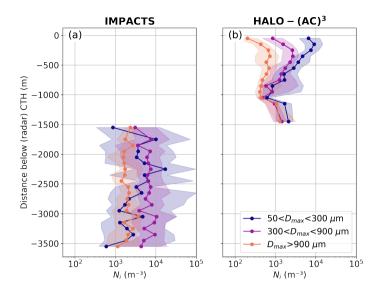


Figure A2. As in Fig. A1 but for HALO- $(AC)^3$  segments

# Appendix B: Vertical distribution of $N_i$ and IWC

To investigate whether size sorting is the reason of for the particle size dependency dependence of  $N_i$  and IWC variability (Sect. 4.3.1), we show vertical distributions of  $N_i$  and IWC for the different size ranges in Fig. B1 and Fig. B2, respectively. Data during collocated segments is are binned by their distance to CTH (as derived by from radar measurements) in 100 m bins. Only bins with minimum at least 100 data points are shown. This leaves no data for 1.5 km below cloud top during IMPACTS. While HALO-(AC)<sup>3</sup> data shows size sorting close to show size sorting near the cloud top for both  $N_i$  and IWC, this is not the case for IMPACTS. However, size sorting could have happened occurred in the vertical region where we lack

615 data. Nonetheless Nevertheless,  $N_i$  and IWC for small particles show much larger variability during IMPACTS than during HALO-(AC)<sup>3</sup>regardless of, regardless of the distance to cloud top.



**Figure B1.** Distribution of ice number concentration  $N_i$  as a function of distance to cloud top height (CTH, derived by radar) for (a) IMPACTS and (b) HALO-(AC)<sup>3</sup>. Lines and markers show median values; 25-75 % quantiles are shaded. Contributions of small (50-300  $\mu$ m), medium (300-900  $\mu$ m), and large (>900  $\mu$ m) particles are shown in blue, purple, and orange.

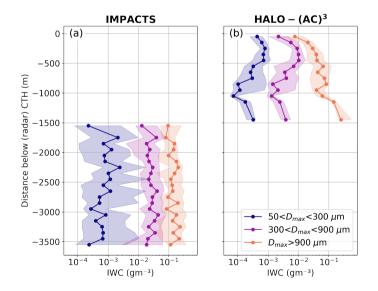


Figure B2. As in Fig. B1 but for ice water concent (IWC; calculated accounting for riming).

#### Appendix C: LWP riming calculations

This section shows the need for updrafts to explain the retrieved amounts of riming given the observed LWPs. We use simple calculations based on Fitch and Garrett (2022). Assuming that a particle collects rime by falling through a liquid layer, the mass of rime accumulated can be approximated by

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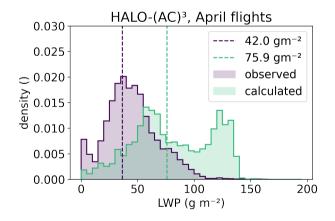
$$m_{rime} = A_p \ E_c \ \text{LWP},\tag{C1}$$

where  $A_p$  is the cross-sectional area of the particle,  $E_c$  is the combined collection and collision efficiency, and LWP is the liquid water path of the liquid layer. By inserting the definition of M, approximating  $A_p$  by a power law function of  $D_{\text{max}}$  with prefactor  $a_A$  and exponent  $b_A$  following Maherndl et al. (2023a), and solving for LWP, we derive

625 LWP = 
$$\frac{M m_g}{A_p} = \frac{\pi \rho_g M}{6 a_A(M)} D_{max}^{3-b_A(M)}$$
. (C2)

Here,  $E_c$  is assumed to be 1 as a worst case estimate, although in the Aretic lower values are more realistic in the Arctic (Fitch and Garrett, 2022). Eq. C2 only holds applies only for ice particles that have finished the riming process. It is therefore only applied to HALO-(AC)<sup>3</sup> data, where LWC= 0 was measured, thereby we exclude thus excluding 28 March data, where LWC measurements are not available. Because ice particles occur in PSDs, we apply Eq. C2 to  $D_{32}$  as a proxy for the characteristic

630 size and the respective M we retrieved for each time step. Compared to LWP observations during 1 and 4 April, the calculated LWP is much higher (Fig. C1). Therefore, it is evident , that the particles must have been exposed to the liquid layer multiple times, e.g. , by cycling through up- and downdraft regions.



**Figure C1.** Normalized histograms of observed and calculated liquid water path (LWP) including medians (dashed lines). Observed LWP are from all 1 and 4 April data points. Calculated LWP were only derived for time steps where LWC= 0, such that it can be assumed that no further riming will take place.

*Author contributions.* NM conceptualized the study, analyzed and plotted the data, and wrote the paper. MMa contributed to the concept, acquired funding, and supervised the research project. MMo and CV collected and processed CDP, CIP, and PIP data during HALO-(AC)<sup>3</sup>

635 and provided combined size distributions. JL collected and processed Nevzorov probe data during HALO-(AC)<sup>3</sup>. IS collected and processed AMALi data during HALO-(AC)<sup>3</sup> and retrieved the CTH product. AB collected and processed CDP, Fast-CDP, 2D-S, and HVPS-3 data during IMPACTS and provided combined size distributions. All authors reviewed and edited the draft.

Competing interests. The authors declare no competing interests.

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