



Diurnal variation of amplified canopy urban heat island in Beijing megacity during heat wave periods: Roles of mountain-valley circulation and urban morphology

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Abstract. In the context of global warming and rapid urbanization, heat waves (HW) are becoming more frequent, which is amplifying canopy urban heat island (CUHI) via various driving mechanisms. While the roles of local circulation and urban morphology remain unclear in the synergistic interaction between HW and CUHI. By utilizing the data from high-density automatic weather stations in the Beijing megacity, this article explored spatiotemporal patterns of the interactions between HW and CUHI. The average daily CUHII during HW periods exhibited a significant increase of 59.33% compared to the non-heat wave (NHW) periods. Mountain-valley breeze significantly modulated the spatiotemporal patterns of CUHI

- 15 intensity (CUHII). In particular, on an urban scale, the turning mountain-valley breeze caused horizontal transport of heat inner-city, resulting in the north-south asymmetric pattern of urban excess warming during HW periods. On a street scale, the amplified CHUII was closely associated with urban morphology in the inner city, especially for the vertical characteristics of buildings. During the mountain breeze phase, the amplification of CUHII in the high-rise street zone was significantly stronger than that in the low-rise street zone. During the valley breeze phase, the amplification of CUHII in
- 20 high-rise street zones exhibits weaker effects in the afternoon compared to the low-rise street areas, while demonstrating stronger amplification during the nighttime. Our findings provide scientific insight to understand the driving mechanisms of urban excess warming and mitigating the escalating risks associated with extreme high-temperature events over megacities in the transitional zone of mountains and plains.

1 Introduction

- 25 The interaction between climate and urbanization and their potential synergistic effects hasbecome one of the key topics of in current global climate change research (Seto et al., 2012; Ding, 2018), e.g. the interaction between increased heat wave (HW) and enhanced canopy urban heat island (CUHI) (Li & Bou-Zeid, 2013; Founda et al., 2015; Khan et al., 2020; Ngarambe et al., 2020; Zinzi et al., 2020). Even during the hiatus of global warming, the frequency and duration of HW events also exhibited an increasing trend worldwide, posing significant challenges to the urban thermal environment and the
- 30 resultant public health (IPCC,2023; Patz et al., 2005; Xu et al., 2016; Yang et al., 2017). With the acceleration of





urbanization and population aggregation, the CUHI in megacities has become increasingly prominent (Liu et al., 2007; Zheng et al., 2018; Yang et al., 2020), exacerbating the occurrence of regional extreme high temperature events (Zong et al., 2021), and seriously affecting urban development and the health of residents (Gao et al., 2015; Jiang et al., 2019). For instance, compared to non-heat wave (NHW) periods, the average CUHII in Shanghai has increased by 128.91% during HW

- 35 periods (Yang et al., 2023), while the maximum CUHII in Seoul can increase by 4.5°C during HW periods (Ngarambe et al., 2020). The rate of contribution of urbanization to the excessive mortality caused by high temperatures can reach more than 45% in the high-density urban areas (Zong et al., 2022). Therefore, in the context of global warming and rapid urbanization, it is very important to explore various driving mechanisms for urban excess warming caused by the interaction between HW and CUHI at different time scales.
- 40 In terms of natural impact factors, the uneven temporal and spatial distribution of urban excess warming is significantly affected by local circulation in different geographical environments (Zhang et al., 2011; Zhou et al., 2020; Chen et al., 2022). Few studies focused on the impact of local circulations on the amplified CUHII during HW periods (Yang et al., 2023; Xue et al., 2023). The strong sea breeze transports cold and wet sea air to the urban area, which can weaken the CUHII and decrease the frequency of occurrence of HW events during daytime in Shanghai (Yang et al., 2023). During HW periods, the
- 45 mountain-valley breeze enhanced the vertical turbulent heat transfer, and improved ventilation conditions reduced aerosol concentration (the urban canopy received more short-wave radiation), both of which are beneficial to the enhancement of CUHII in Lanzhou (Xue et al., 2023). Overall, the current understanding of the mechanisms through which local circulations modulated the amplified CUHII during HW periods is still in the exploratory stage.
- From the perspective of anthropogenic impact factors, urban morphology is also an important factor influencing the local thermal environment (Oke, 2006; Merckx et al., 2018; Tian et al., 2019). Building height has a complex impact on solar radiation during daytime and long-wave radiation at night (Srivanit & Kazunori, 2011; Oke et al., 2017), while building density alters the wind field in open spaces (Erell et al., 2011; Ao et al., 2019). Local Climate Zones (LCZs) define the range of values for parameters such as land cover, average building height, and sky view factor (SVF) within a climate zone, enabling the discovery of the characteristics of thermal environmental variations within cities (Stewart & Oke, 2012; 2014).
- 55 Scholars have studied the urban excess warming in different LCZs, advancing the quantitative research on the synergies between HW and CUHI (Ngarambe et al., 2020; Zheng et al., 2022; Xue et al., 2023; Yang et al., 2023). The intensity, frequency, and duration of HW events in LCZ1 and LCZ2, which are dominated by dense mid-rise and high-rise buildings, are significantly stronger than in other types of climate zone (Yang et al., 2023). However, LCZs are a comprehensive indicator of urban morphology, and the aforementioned studies have not quantified the contribution of different urban
- 60 morphological parameters to the local thermal environment, nor have they taken into account the nonlinear driving effects of urban morphology on the local thermal environment (Alonso & Renard, 2020; Chen et al., 2022). Currently, it is still matter of debate the roles of local circulations and urban morphology in amplifying CUHI in megacities during HW periods. The main objective of this study is considering as case study the megacity of Beijing, using high-density

automatic weather stations (AWS) observations. By combining remote sensing data and the machine learning method, this





65 research article analyzed the synergies mechanisms between HW and CUHI, aiming to provide technical support for hightemperature forecasting, the improvement of living environments, as well as urban planning and management.

2 Data and methodology

2.1 Study Area

In 2022, Beijing's population had exceeded 20 million and the built area was more than 1,400 km2, making it one of the 70 most urbanized cities in China. The terrain of Beijing is exceptionally complex, northly bounded by Yan Mountains by Taihang Mountains in the west. The altitudes of those mountains exceede 2,000 meters. The northeastern part comprises hilly terrain, while the southern region is dominated by plains. The area extending from the east to the southeast is a zone where land and sea intersect, bordering Bohai Bay. Under conditions of weak weather systems, the mountain-valley breeze formed by the complex terrain plays a dominant role in the atmospheric circulation of the Beijing area (Liu et al., 2009;

75 Miao et al., 2013; Dou et al., 2014).





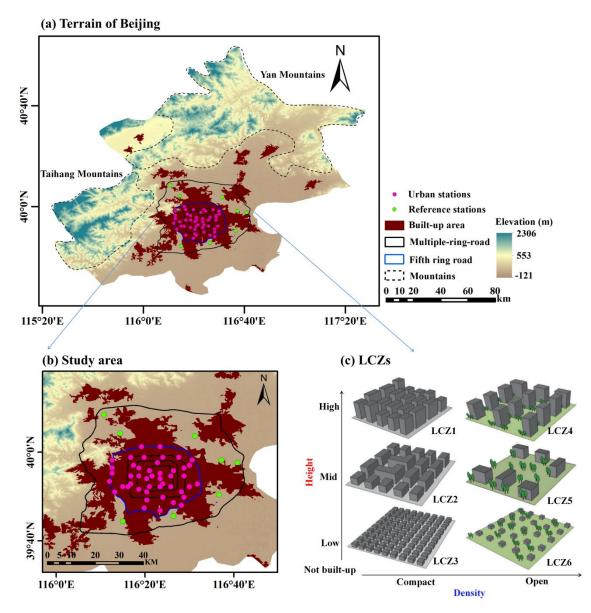


Figure 1: Overview of study area. (a) Terrain and land use of Beijing. (b) Study area map. (c) Empirical examples of the typical LCZ types.

80 2.2 Data

2.2.1 Urban morphology datasets

Land cover modulates the energy exchange, water, and carbon cycle between different regions of the Earth, and accurate land cover data is the basic parameter of climate research. In the past few decades, the land cover in China has greatly





changed with the development of the economy. The annual China Land Cover Dataset (CLCD) is a dynamic data set 85 accounting for land use in China released by Professor Yang and Professor Huang of Wuhan University. Yang & Huang (2021) made the land cover datasets with a spatial resolution of 30 m based on 335,709 Landsat images on Google Earth Engine. The latest datasets contain information on China's land cover from 1985 to 2021, and the overall precision of land classification is 80%. The LCZ datasets in this article were provided by the Institute of Urban Meteorology, China Meteorological Administration. The building skyline and floor data of the electronic map were extracted using Python 90 language. The height of each floor was estimated to be 3 m, to obtain information on the height of the buildings within the

research buffer areas of the target stations.

2.2.2 AWS observation data

The hourly AWS observation data used in this article were obtained from the China Meteorological Data Service Center (http://data.cma.cn/en), which primarily encompasses near-surface air temperature, wind speed, wind direction and other

- 95 related elements. To ensure the rigor of the data, we conducted quality control on the observed meteorological data at ground stations. Following previous methods (Yang et al., 2011; Xu et al., 2013), missing values in observation sequences were replaced with the average of synchronous observation data from the five nearest stations surrounding the given station, and stations with excessive error records were excluded. Consequently, 53 stations evenly distributed throughout the study area were selected for a detailed analysis of the spatio-temporal characteristics of the near-surface thermodynamic field in Beijing.
- 100

2.3 Methods

2.3.1 Definition of HW and CUHII

In this study, the HW events were selected based on a relative threshold of the daily maximum temperature. Specifically, a weather process in which the daily maximum temperature exceeds the 90th percentile threshold of the temperature

- 105 climatology and persists for three days or longer is considered a daytime HW event (Russo et al., 2014). Drawing from observations recorded at all stations in Beijing between June 1 and August 31, from 2016 to 2020, it was determined that the 90th percentile of the daily maximum temperature is 35°C. Days with precipitation (specifically, daily precipitation exceeding 0.1 mm) and days with typhoon were excluded, as per previous studies (Du et al., 2017; Walsh & Chapman, 1998). If 30% or more of the stations within a day experience HW events, that day was defined as an HW day; otherwise, it
- 110 was considered an NHW day.

Other researches calculated the CUHII by selecting reference stations for ground temperature observations and urban stations (Ren et al., 2007; Shi et al., 2015). This study identified stations that were less influenced by the urban effect and located outside of a 50km radius from the city center, based on the spatial distribution characteristics of near-surface temperature. Additionally, the stations must have a rural environment and be evenly distributed in different directions

throughout the city. According to these criteria, eight reference stations were selected, with an average altitude of 39.6m, 115 which is only 8.8m lower than the average altitude of 45 urban stations (Fig. 1). The amplified CUHII was obtained by subtracting the CUHII during the NHW periods from the CUHII during the HW periods.





2.3.2 Calculation of mountain-valley breeze

- In the Beijing region, the most significant local circulation is the valley breeze. During the day, the wind blows from the valley to the mountain due to the thermal difference between the valley and its surrounding air, while at night, the wind reverses direction, blowing from the mountain to the valley (Tian & Miao, 2019). However, local circulation can be difficult to observe as a result of the influence of mesoscale weather patterns. Therefore, when analyzing valley breezes, it is crucial to remove the effects of mesoscale wind field. According to the method used by Cao et al. (2015) and Zheng et al. (2018), this article decomposed the wind measurements at each station into components u (east-west direction) and v (north-south direction), calculated their average values and considered them as the actual wind. By averaging the hourly data, the daily
- average wind U and V were obtained, which were regarded as the background wind. Finally, this paper subtracted the background wind from the actual wind to obtain the local circulation wind.

2.3.3 Indicators of urban morphology

Numerous horizontal parameters (2D) and vertical parameters (3D) have been used to quantify urban morphology (Zakšek et al., 2011; Tompalski & Wężyk, 2012; Berger et al. 2017). Here, we selected six indicators of horizontal morphology and six indicators of vertical morphology to measure the morphological characteristics around AWS (Table 1). Horizontal indicators represent the physical properties of the underlying surface and were used to explore the effect of the underlying surface on the air temperature. Vertical indicators reflect the complex effect of landscape pattern on wind field and solar radiation within neighborhoods. The calculation of horizontal and vertical urban morphology indicators was based on land cover

135 datasets and building height information.

Indicators	Description
2D	
BCR	Building cover ratio, which represents the proportion of the roof of the building to that of the entire study area.
NEAR	Mean distance between adjacent buildings.
NP	Number of patches.
SPLIT	Splitting index, which represents the degree of separation of landscape segmentation. The greater the value, the more fragmented the landscape.
AI	Aggregation index, which represents the connectivity between patches of each type of landscape. The smaller the value, the more discrete the landscape
L/W	Building length-width ratio.

Table 1: Summary of the spatial morphological parameters.





3D

Н	The height of buildings, which represents the average height of all buildings in the buffer zone.
H-max	Maximum height of buildings in the study area.
H-std	The standard deviation of building height in the study area.
FAR	Floor area ratio, which represents the ratio of the sum of gross floor area to total study area.
CI	Cubic index, which represents the ratio of the building volume to the total study volume.
SVF	Sky view factor, which represents the ratio of radiation received by a planar surface from the sky to that received from the entire hemispheric radiating environment.

2.3.4 Fitting model

- 140 Multiple linear regression analysis is a statistical method to determine the quantitative relationship between dependent variables and multiple independent variables (Li, 2020). Although the traditional linear regression model is straightforward and intuitive, it frequently falls short in effectively addressing intricate non-linear relationships. Support Vector Regression (SVR) is widely used as an effective supervised learning method. By introducing the concept of support vectors, SVR improves the fitting ability of data while maintaining the complexity of the model 145 (Smola & Schölkopf, 2004). The Random Forest (RF) model, a popular and highly flexible machine learning
- approach (Breiman, 2001), can simulate complex nonlinear relationships between predictive values and diverse predictors (Hastie et al., 2009). The RF model exhibits low sensitivity to outliers and missing values in data sets, and, because of the law of large numbers, it is less prone to overfitting. Previous studies have shown that the RF model is effective in fitting complex problems and measuring the importance of factors (Tan et al., 2017; Yu et al., 2020).
- 150 Taking amplified CHUII as the dependent variable, the influencing factors were input into the linear model, the SVR model, and the RF model including 2D indicators and 3D indicators as independent variables. The impact of urban spatial morphology on urbanization bias was evaluated based on the importance scores and significance of the input parameters to the model. The construction of various models, the importance scores of the influencing factors and the significance testing were implemented using Python code.

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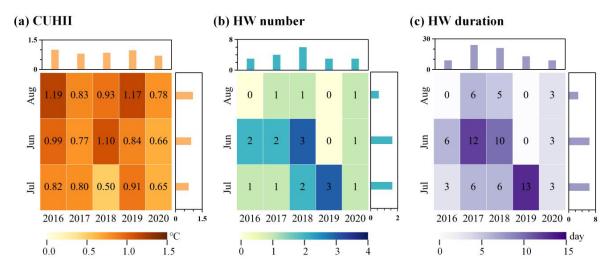




3 Results

3.1 The spatial-temporal pattern of urban excess warming

The vast urban expansion has led to a constant increase in urban population density, while human activities have generated significant anthropogenic heat and pollutant emissions, thereby amplifying urban excess warming to a certain extent.



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Figure: 2 The temporal variations of urban excess warming from 2016 to 2020. (a) CUHII, (b) number of HW events, and (c) duration of HW events.

Fig. 2 illustrates significant inter-annual variations in urban excess warming in Beijing. Specifically, 2016 and 2020 were years with relatively weaker urban excess warming, with three occurrences and a total duration of nine days. Conversely, 2017 and 2018 exhibited stronger urban excess warming, with four and six occurrences, and a total duration of 24 and 21 days. The occurrence and persistence of such widespread high-temperature events in the North China region are closely related to specific atmospheric circulation anomalies. Potential influencing factors include the circulation pattern of the 500 hPa geopotential height field (Sun et al., 2011), ocean-atmosphere anomalies such as changes in the cold and warm phases in

- 170 the equatorial central and eastern Pacific, as well as the position and intensity of the warm high-pressure ridge over the continent or the subtropical high over the northwest Pacific (Wei & Sun, 2007). Furthermore, there are distinct intraseasonal variations in CHUII and HW events in Beijing. HW events are stronger in June and July, averaging 6.2 days per month, significantly higher than in August. Intraseasonal variations in urban excess warming may be associated with combined differences in weather conditions, including precipitation, wind vectors, cloud cover, fog, and air pollution (Unger et al.,
- 175 2001; He BJ, 2018; Chen et al., 2022).





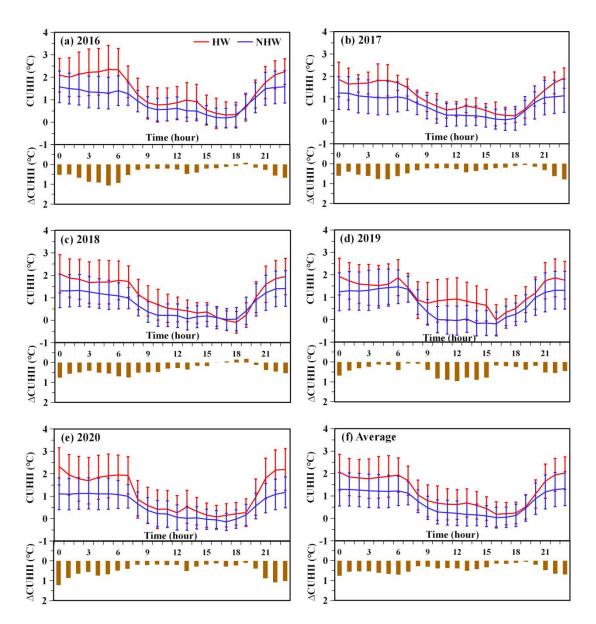


Figure: 3 Diurnal variation (Beijing time, BJT) and standard deviation of CUHII during HW and NHW periods in the built-up area of Beijing. (a) 2016, (b) 2017, (c) 2018, (d) 2019, (e) 2020, (f) mean value from 2016 to 2020.

180 Fig. 3 describes that the daily variation of the CUHII in Beijing during summer shows a U-shaped fluctuation. The peak of CUHII typically occurs in the early morning and remains relatively stable throughout the entire night. The CUHII in built-up areas of Beijing exhibited more fluctuation during the daytime. The CUHII began to decrease significantly at 06:00 BJT and reached its lowest point at 16:00 BJT. During HW periods, the CUHII range was between 0.18 and 2.06°C, while during NHW periods, it varied between 0.03 and 1.32°C. In particular, the average daily CUHII during the HW periods exhibited a

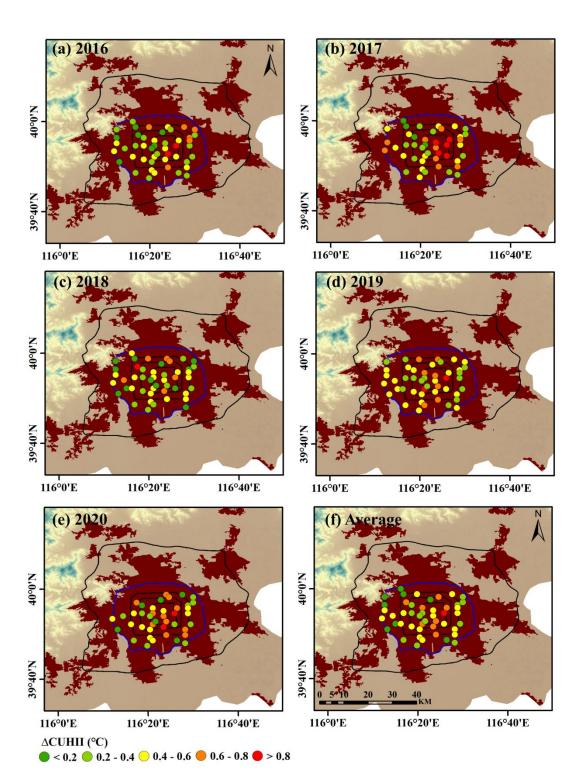




185 significant increase of 59.33% compared to the NHW periods. The diurnal variation of CUHII may be modulated by anthropogenic heat emissions, aerosols, atmospheric circulation, etc. (Zheng et al., 2018; Zheng et al., 2020; Yang et al., 2020). The maximum amplified CUHII (Δ CUHII) was 0.76°C, occurring at midnight, while the minimum Δ CUHII was 0.05°C, observed at 19:00 BJT. It should be noted that the Δ CUHII remained positive throughout the daytime and nighttime, indicating the persistent synergies between HW and CUHI in the built-up area of Beijing.







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Figure: 4 Spatial patterns of amplified CUHII (ΔCUHII) during HW periods. (a) 2016; (b) 2017; (c) 2018; (d) 2019; (e) 2020; (f) average value from 2016 to 2020.





Fig. 4 illustrates that the synergies between HW and CUHII were strongest in 2017, with amplified CUHII exceeding 0.8°C
at six stations in the urban center. Conversely, the weakest synergies occurred in 2018, with only one station in the urban center recording an amplified CUHII above 0.8°C. Significant spatial variations were observed in the distribution of amplified CUHII. Taking the average amplified CHUII as an example (Fig. 4f), the minimum amplified CUHII values were distributed at the edges of the urban area, while the maximum values were located between the Second and Fourth Rings in the urban center. During HW periods, the urban surface receives more short-wave and long-wave radiation, increasing heat storage (Zong et al., 2021), leading to a stronger CUHII during HW periods (Zheng et al., 2018), leading to excess urban warming (Fenner et al., 2019; He et al., 2021).

3.2 Modulation of amplified CHUII by local circulation

Local circulations caused by different geographical environments have a significant impact on the spatial and temporal distribution of urban extreme high temperatures (Zhang et al., 2011; Zhou et al., 2020; Chen et al., 2022). The western and northern parts of Beijing are surrounded by mountains, and the mountain-valley breeze strongly impacts the thermal dynamic field near-surface of Beijing megacity (Miao et al., 2013; Dou et al., 2014). In this section, this research analyzed the modulation of mountain-valley breeze on the synergies between HW and CUHI using wind field and temperature data

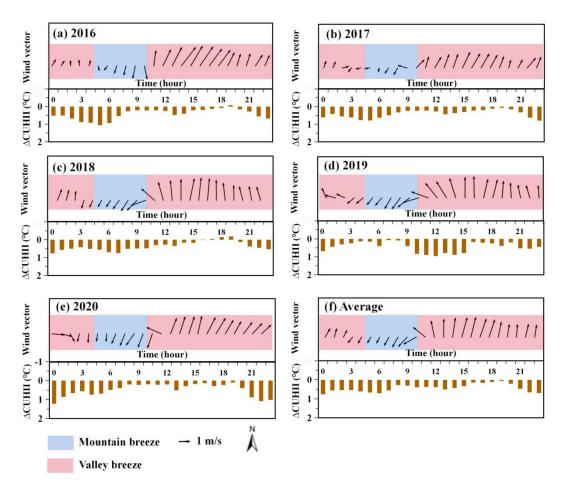
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from AWS.

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210 Figure: 5 Diurnal variations (Beijing time, BJT) in wind direction, wind speed, and amplified CUHII in the built-up area of Beijing during HW periods. (a)2016, (b)2017, (c)2018, (d)2019, (e)2020, (f) average value from 2016 to 2020.

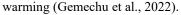
As depicted in Fig. 5, the urban area was dominated by northerly winds from 05:00 BJT to 10:00 BJT, with a notable reversal in wind direction occurring at 11:00 BJT, resulting in south winds dominating the urban area until 04:00 BJT of the following day. This phenomenon indicates the significant presence of mountain-valley breeze in Beijing during summer. In 2017, the wind speeds were the lowest, with average mountain breeze and valley breeze speeds of 0.63m/s and 1.24m/s, respectively. Conversely, 2019 saw the highest wind speeds, with average mountain breeze and valley breeze and valley breeze speeds of 0.91m/s, respectively. Overall, the mountain breeze persisted for approximately 5 hours with an average wind speed of 0.95m/s, while the valley breeze lasted for approximately 19hours with an average wind speed of 1.21m/s. The speed of the mountain breeze was significantly lower than that of the valley breeze, consistent with previous studies (Dou et

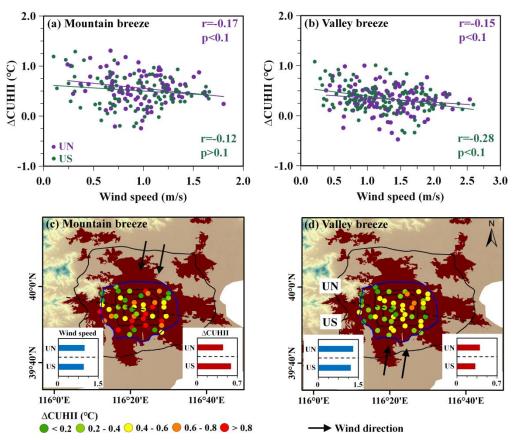
al., 2014). Furthermore, the average amplified CHUII during the mountain breeze phase (0.49°C) was higher than that during the valley breeze phase (0.41°C), potentially related to the variable wind speed during different stages of mountain-valley circulation.





This study analyzed the spatial distribution characteristics of amplified CHUII in the urban north (UN) and urban south (US)
(as shown by the black dashed line in Figs. 6c-d). The effectiveness of urban natural ventilation hinges on the exchange and flow of air within the urban canopy, which has a direct impact on the high temperature environment within cities (Yang et al., 2023). Figs. 6a-b illustrates that, regardless of whether it is the mountain breeze phase or the valley breeze phase, the correlations between wind speed and amplified CUHII were both negative. Except for the mountain breeze period in the urban south, the other p-values were lower than 0.1. In the future, we plan to expand our research area to encompass the Beijing-Tianjin-Hebei urban agglomeration. Low wind speeds typically result in poorer urban ventilation environments (Ng, 2009; Bady et al., 2011), especially in areas with densely packed urban buildings that hinder the flow of cold air. With reduced airflow and limited heat dispersion under weak wind conditions, these conditions further exacerbate urban excess





235 Figure: 6 Correlation analysis between wind speed and amplified CUHII at various stations from 2016 to 2020 during the mountain breeze phase (a) and the valley breeze phase (b). The spatial patterns of amplified CUHII during the mountain breeze phase (c) and valley breeze phase (d).





During the mountain breeze phase, the wind direction is from north to south (as indicated by the black arrow in Fig. 6c). As shown in Fig. S1, in the urban north, the year with the highest average amplified CUHII was 2016 (0.55°C), while the lowest average occurred in 2019 (0.41°C). In contrast, the urban south experienced its maximum amplified CUHII in 2020 (0.59°C) and its lowest in 2017 (0.51°C). Despite the slightly higher average wind speed in the southern part (0.89 m/s) compared to the northern part (0.88 m/s), the annual average amplified CUHII in the southern part (0.57°C) was significantly higher than that in the northern part (0.48°C). During this phase, the wind blows from south to north (as indicated by the black arrow in Fig. 6d). In Fig. S2, the year with the highest average amplified CUHII in the urban north was 2017 (0.47°C), while the lowest was 2018 (0.35°C). In the urban south, the maximum average amplified CUHII occurred in 2020 (0.38°C), and the minimum was in 2018 (0.21°C). Although the average wind speed in the urban north (1.30 m/s) was higher than that in the

urban south (1.22 m/s), the annual average amplified CUHII in the urban north (0.40°C) was significantly higher than that in the urban south (0.32°C). On an urban scale, it was evident that wind speed might not be the primary regulatory factor for urban excess warming.

Wind speed was inversely proportional to amplified CUHII at individual stations, indicating that good ventilation conditions could improve the thermal environment around individual locations. However, for the entire city, a more consistent wind field at the ground level results in a stronger heat transport capacity (Xie et al., 2022; Yang et al., 2023). Taking the valley breeze as an example, under its influence, the heat from the southern part of the city was horizontally transported to the

255 northern part, exacerbating urban excess warming in the northern areas. Therefore, on an urban scale, the turning mountainvalley breeze caused horizontal transport of heat inner city, resulting in the north-south asymmetrical pattern of urban excess warming during HW periods.

3.3 Response of amplified CUHII to urban morphology

260 On a street scale, the spatial heterogeneity of urban areas and their infrastructure can directly contribute to the spatially inhomogeneous distribution of the near-surface air temperature (Fenner et al., 2017). In this section, we further explored the causes of the synergies of HW and CHUII in Beijing, focusing on urban morphology.





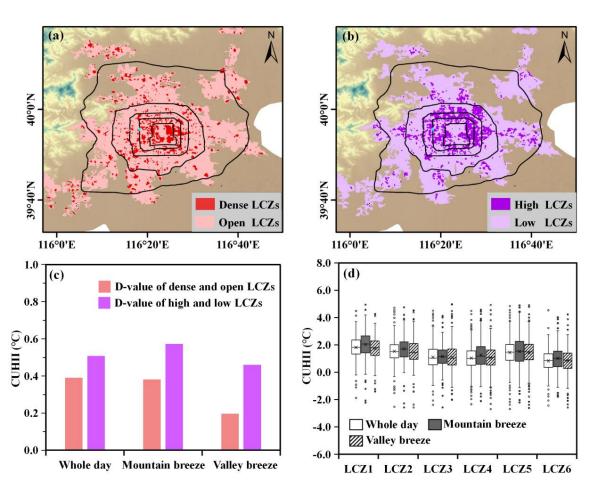


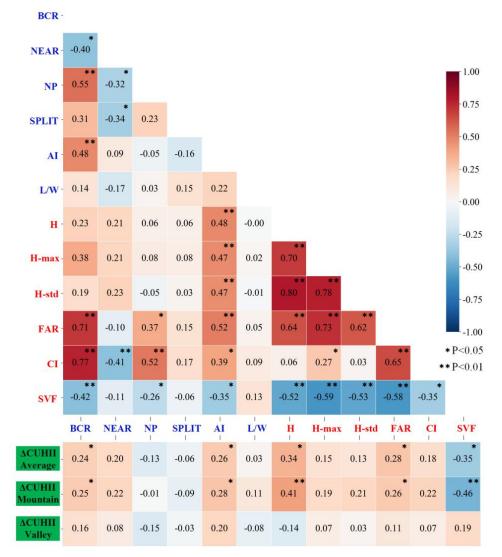
Figure: 7 (a) Urban configuration structures dominated by density information, including dense buildings (LCZ1, LCZ2, LCZ3) and open buildings (LCZ4, LCZ5, LCZ6). (b) Urban configuration structures are dominated by height information, including high-rise buildings (LCZ1, LCZ2, LCZ4, LCZ5) and low-rise buildings (LCZ3, LCZ6). (c) Difference value (D-value) in CUHII across different urban configuration structures. (d) Box-and-whisker plots comparing the CUHII values for various LCZs.

- From the perspective of urban configuration structures (Figs. 7a-b), dense buildings were mainly concentrated within the Second Ring Road of the built-up area, while high-rise buildings were primarily distributed between the Second and Fourth Ring Roads. Notably, most of the stations with high urban excess warming were located in areas with high-rise buildings. Fig. 7c demonstrates that the D-value in CUHII between dense and open LCZs ranges from 0.20-0.39°C, while the D-value between high and low LCZs was 0.46-0.57°C. Previous studies have shown that in densely populated high-rise building areas of Beijing, HW events occur more frequently and last longer (Zong et al., 2021). Similar results are obtained in this
- 275 study. Among the various LCZs, LCZ1, characterized by dense high-rise buildings, exhibits the highest mean CUHII value of 1.71°C for the built-up area of Beijing. The average CUHII for LCZ2, LCZ3, LCZ4, LCZ5, and LCZ6 is 1.43, 0.98, 0.91,





1.34, and 0.71°C, respectively (Fig. 7d). Therefore, apart from local circulation patterns, the CUHII was also dependent on the characteristics of the urban underlying surface.



280 Figure: 8 Spearman rank correlation coefficients between indicators of urban morphology and amplified CHUII during different local circulation periods. The blue characters represented the 2D urban morphological parameters, while the red characters represented the 3D urban morphological parameters.

The Spearman correlation analysis showed that the associations between 3D morphological indicators and amplified CHUII were generally higher than those of 2D indicators (Fig. 8). Indicators using a combination of morphological aspects generally had stronger correlations with temperature (Tian et al., 2019). For example, SVF had the highest correlations with amplified CHUII during 3D indicators. The correlation between the floor area ratio (FAR) and the amplified CHUII was





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stronger than the building cover ratio (BCR). In addition, the strength of the correlation between 2D and 3D indicators and amplified CHUII varied greatly during different local circulation periods. Urban morphological indicators had weaker relationships with amplified CHUII during the mountain breeze period but showed stronger correlations with amplified CHUII during the valley breeze period.

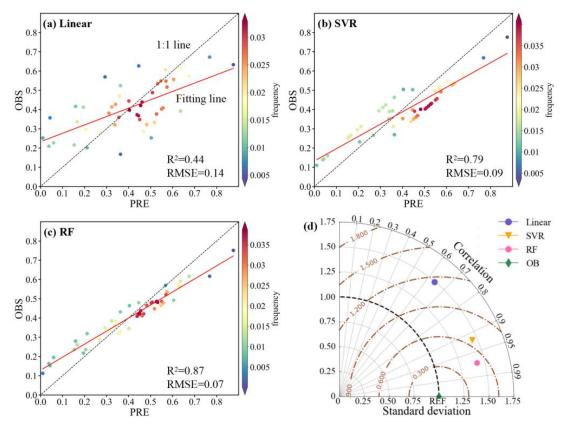


Figure: 9 Comparing the simulation accuracy across different models. (a) Linear model, (b) SVR model, (c) RF model, and (d) Taylor diagrams for various models, where the gray line represents the correlation between the simulated and observed values, and the brown dashed line indicates the root mean square error between the simulated and observed data.

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The linear model has shown considerable strength in predicting the Δ CHUII with a coefficient of determination (R²) of 0.44 and root mean square error (RMSE) of 0.14°C (Fig. 9a). Fig. 9b illustrated that the SVR model demonstrated superior performance compared to the linear model in predicting amplified CHUII, achieving an R² value of 0.79 and an RSME value of 0.09°C. Moreover, the RF model was used to explain the contribution of each feature to the prediction of amplified CHUII. Based on the performance values given in Fig. 9c, it appeared that RF had the highest R² value of 0.87 and the lowest RMSE value of 0.07°C, which indicated that it had the lowest prediction error and was potentially more accurate than other models. In Fig. 9d, the gray ray in the Tylor diagram indicates that the correlation between the data from the linear model and the observed data was relatively low. Additionally, the variation in the linear model data was significantly greater than the



- 305 observed variation (indicated by the excessive distance from the origin). In both cases, this results in a relatively large, centered root mean square error (yellow contour line) for the linear model. These results suggest that the performance of the linear model was relatively poor. The RF data were 87% correlated to the real data, while the linear and SVR data had a weaker correlation with the real data. The good performance of RF could be proved by its strong correlation with the real data set. Therefore, the RF model could be considered a reliable tool for fitting the relationship between Δ CHUII and urban
- 310 morphology.

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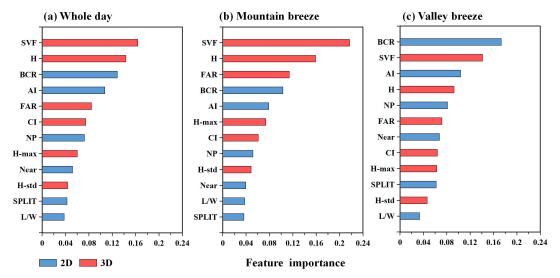


Figure: 10 The importance rank of urban morphological variables for the RF model estimating the Δ CHUII. (a) Whole day, (b) mountain breeze phase, (c) valley breeze phase.

- 315 This paper constructed a RF model to compare the relative importance of urban morphology in predicting ΔCHUII. The importance of predictors varied by different local circulations. Throughout the whole day (Fig. 10a), the relative importance method, the following criteria were listed in descending order of importance: SVF, FAR, H, BCR, CI, AI, NP, H-max, Near, H-std, SPLIT, L/W. During the mountain breeze period (Fig. 10b), the order of importance of other indicators changed significantly. Interestingly, in the whole day and mountain breeze period, the SVF was still the most important morphology
- 320 indicator for predicting Δ CHUII. Previous studies have shown that SVF is closely related to urban LST (Peng et al., 2017; Scarano & Mancini, 2017) and air temperature (Rafiee et al., 2016; Drach et al., 2018). Compared to the immediately neighboring rural area, SVF played a more important influence on determining the land surface temperature in the high-rise built-up area (Jia et al., 2023). During the valley breeze period (Fig. 10c), the importance of SVF to Δ CHUII has weakened, ranking second in the importance list. Building height and SVF had weaker relationships with average daytime temperature
- 325 but showed stronger correlations with average nighttime temperature (Tian et al., 2019). Overall, the importance list showed that the effects of 3D morphological indicators were stronger than those of 2D indicators on Δ CHUII.





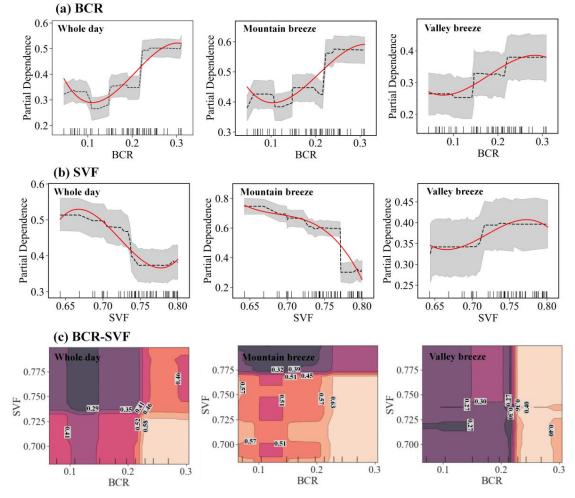


Figure: 11 (a-b) Partial dependence plots of Δ CUHII on BCR and SVF. The red line represents the fitted curve, while the gray lines indicate the 95% confidence interval. (c)The two-way partial dependence plot of Δ CUHII on BCR and SVF.

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As the previous text demonstrated, the importance of SVF and BCR in the 2D and 3D indicators was the highest. In Fig. 11a, it could be seen that as the proportion of buildings increased in summer, the Δ CHUII showed a continuous upward trend overall. During the mountain breeze phase, the growth trend of Δ CHUII was higher than that of the entire day and the valley breeze phase. When the BCR exceeded 0.18, the dependence of Δ CHUII on the BCR increased rapidly. There might be a threshold for the building area, and when this threshold was exceeded, the promoting effect of the building area on the urban heat island was significantly enhanced. This complex pattern of association is closely related to urban climatic conditions, vegetation coverage in built-up areas, the frequency of human activities, and seasonal and spatial differences in energy consumption (Guo et al., 2016; Yang et al., 2018; Zhou et al., 2014). In Fig. 11b, during both the whole day and the mountain breeze phase, as SVF increased in summer, the overall synergistic effect exhibited a continuous downward trend.

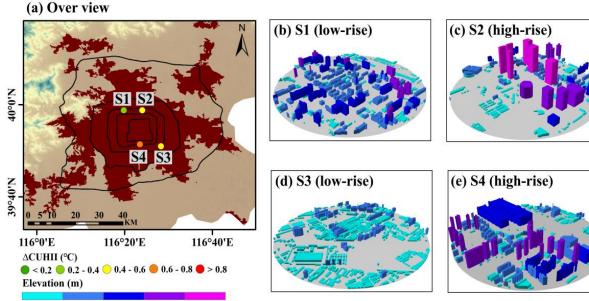




- 340 However, during the valley breeze phase, the dependence increased with increasing SVF, indicating that SVF had an inhibitory effect on ΔCHUII. In addition, the two-way partial dependence plots were constructed to explore the joint effect of two dominant factors (Fig. 11c). The interactions between BCR and SVF relied on their relative values. During the whole day and mountain breeze period, the highest dependence of ΔCHUII occurred in the areas with BCR exceeding 0.2 and SVF less than 0.72. During the valley breeze period, the regions with the highest dependence of ΔCHUII required the presence of 345 SVF greater than 0.75. It could be seen that SVF had a dual impact on ΔCHUII. On one hand, a smaller SVF can increase
- 345 SVF greater than 0.75. It could be seen that SVF had a dual impact on ΔCHUII. On one hand, a smaller SVF can increase the absorption of solar radiation by the ground surface (Unger, 2004), making it difficult for heat to dissipate from the streets (Wang, 2009). However, on the other hand, the shading provided by buildings with a low SVF can reduce the surface radiative temperature (Emmanuel, 2010; Perini & Magliocco, 2014).

4 Discussions

350 Local circulations and urban morphology played pivotal roles in influencing the synergies between HW and CUHI. In the following, this article selected representative stations with typical geographic locations and spatial characteristics of buildings to analyze how local circulations and urban morphology alter the synergies between HW and CUHI.



3-15 15-33 33-57 57-105 105-159

Figure: 12 (a) An overview of representative stations in the built-up area of Beijing. (b-e)Urban morphology around the representative stations.

Taking into account the influence of the mountain-valley breeze, representative stations were selected in the southern and northern parts of the city in this section. Additionally, based on the driving effects of urban morphology, we select high-rise



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and low-rise as the criterion. Ultimately, 651061 (S1), 651007 (S2), 651009 (S3), and 651047 (S4) were chosen as 360 representative stations (Fig. 12). S1 and S2 were located between the Third and Fourth Northern Rings, with S1 mainly surrounded by low-rise and S2 dominated by high-rise. Meanwhile, S3 and S4 were situated between the Third and Fourth Southern Rings, with S3 being characterized by high-rise and S4 surrounded by low-rise. The comparison between S1 and S3, as well as the contrast between S2 and S4, could be utilized to investigate the impact of local circulations on the synergistic effect. Additionally, the comparison of S1 with S2, as well as the contrast between S3 and S4 allowed for the analysis of the impacts of urban morphology on synergistic interaction.

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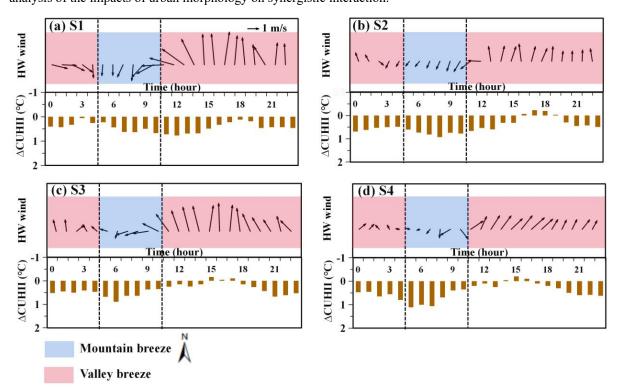


Figure: 13 Diurnal variations in wind direction, wind speed, and amplified CUHII in the built-up area of Beijing during HW periods.

370 During the mountain breeze phase, the wind direction is from north to south. As depicted in Fig. 13, under the influence of large-scale horizontal heat transport, the synergistic effect observed at S1 (0.51°C) and S2 (0.76°C) located in the northern region was lower than that observed at S3 (0.59°C) and S4 (0.77°C) situated in the southern. Taking the stations in the north as examples, the synergies were more pronounced at S2, surrounded primarily by high-rise neighborhoods, in comparison to S1, which was encircled by low-rise residential areas. Higher CHUII in high-rise neighborhoods is likely due to a 375 combination of more heat being released, less or slower heat dispersion, and lower wind speeds. High-rise residential buildings are associated with higher population densities with greater capacities to mitigate heat, translating to more air





conditioners which when operating release additional heat (Ryu & Baik, 2012). High-rise neighborhoods have smaller SVF and thus have less outgoing long-wave radiation (Unger, 2004). Additionally, high-rise neighborhoods tend to experience lower wind speeds (Hang et al., 2011). Wind speed at S1 (1.13 m/s) was significantly greater than that at S2 (0.67 m/s). The

- 380 lower wind speed at S2 limited the loss of sensible heat through atmospheric convection and advection, making it difficult for heat to dissipate from the streets (Wang et al., 2009). Consequently, the turning mountain breeze phase and the largescale horizontal transport led to a lower degree of urban excess warming in the northern city compared to the southern city. Moreover, on a street scale, the amplification of CUHII in high-rise street zones was significantly stronger than that in lowrise street zones.
- 385 During the valley breeze phase, the wind direction is from south to north. Under the influence of large-scale horizontal heat transport, the synergies observed at S1 (0.35°C) and S2 (0.34°C) located in the northern was greater than that at S3 (0.31°C) and S4 (0.32°C) located in the southern city. From 11:00 BJT to 18:00 BJT, as the wind speed generally increased, the heat trapped within the urban area was effectively dissipated, resulting in a notable decline in synergistic effect at S3 and S4 in the southern part of the city. Due to the influence of heat transport, the decline in the synergistic effect was slower at stations
- 390 located in the northern city. Taking the stations in the southern as examples, between 11:00 BJT and 18:00 BJT, the synergistic effect of S4 (composed of high-rise neighborhoods) was lower than that at S3 (composed of low-rise neighborhoods) by 0.01°C. This can be attributed to the shading provided by the high rise (Cai, 2017). As the solar altitude angle increases, the streets primarily receive energy from solar shortwave radiation, subsequently heating the air near the ground (Taleghani et al., 2016). Buildings of different heights block different amounts of solar shortwave radiation from
- 395 reaching the ground (Zhang et al., 2016). Pavement and walls shaded by high-rise buildings lead to a decrease in air temperature (Krayenhoff & Voogt, 2016; Taleghani et al., 2016; Cai, 2017). From 19:00 BJT to 04:00 BJT the following day, the enhancement of high-rises on the local thermal environment became dominant, primarily due to their increased heat release, reduced heat loss from streets, and lower wind speeds. Notably, during this period, the synergistic effect observed at S4 exceeded that at S3 by 0.07°C. Consequently, during the valley breeze phase, large-scale horizontal heat transport
- 400 contributed to significantly higher urban excess warming in the northern region compared to the southern. Furthermore, during the valley breeze phase, the vertical characteristics of urban morphology exert complex influences on the amplification of CHUII. While the increased heat release, reduced heat loss, and lower wind speeds associated with the high-rise street zone supported the amplification of CUHII, the shadowing effect of the high-rise street zone could constrain its amplification.

405 5 Conclusions

This study selected Beijing as the research subject, utilizing high-density AWS data from 2016 to 2020 as the research sample. Through remote sensing data and a machine learning model, the synergies between HW and CUHI in Beijing were analyzed.



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In comparison to NHW wave periods, HW events significantly amplified the average daily CUHII, leading to an average increase of 59.33%. The maximum urban excess warming was observed between the Second and Fourth Rings of Beijing. The correlation coefficients between wind speed and amplified CUHII during mountain breeze periods and valley breeze periods were -0.11 and -0.27, respectively. On an urban scale, the large-scale horizontal heat transport caused by the turning mountain-valley breeze led to an asymmetric pattern of urban excess warming from north to south during heatwave periods. Furthermore, the effects of urban morphology on urban excess warming could not be overlooked. LCZ1 in the built-up area

- 415 has the highest CUHII (1.71°C), followed by LCZ2 (1.43°C). Compared to the linear model and the SVR model, the RF model could be considered a reliable tool for fitting the relationship between amplified CHUII and urban morphology. The associations between 3D morphological indicators and CHUII difference were generally higher than those of 2D indicators. The SVF, which has the highest significance among all two-dimensional and three-dimensional indicators, exerts a dual influence on the amplified CHUII. During the mountain breeze phase, the amplification of CUHII in the high-rise street zone
- 420 was more pronounced compared to that in the low-rise street zone. However, during the valley breeze phase, the high-rise street zone exhibited multiple impacts on the amplification of CHUII. In comparison to the low-rise street zone, the amplification of CUHII in the high-rise street zone was weaker in the afternoon but stronger at night. In the future, we will continue to investigate into the mechanism of synergies between HW and CUHI using high-resolution
- observational data and numerical models, to provide crucial theoretical foundations and technological support for the construction of a comprehensive high-temperature monitoring, forecasting, and warning system.

Data availability. The hourly AWS observation data are available upon request from the China Meteorological Data Service Center (http://data.cma.cn/en). The land cover data are available at https://zenodo.org/record/5816591 (Yang & Huang, 2021).

Author contributions. Tao, S., Yuanjian, Y. and Gaopeng, L. conceptualized the study. Zuofang, Z. performed the model
development, conducted the simulations. Tao, S. wrote the original manuscript and plotted all the figures. Yucheng, Z., Ye,
T., Lei, L., and Simone, L. assisted in the conceptualization and model development. All the authors contributed to the manuscript preparation, discussion, and writing.

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Figures S1 and S2