# Tree Fall along Railway Lines: Modeling the Impact of Wind and Other Meteorological Factors

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#### 21 1 Abstract

- 22 Strong winter wind storms can lead to billions in forestry losses, disrupt train services and
- 23 necessitate millions of Euro spend on vegetation management along the German railway system.
- 24 Therefore, understanding the link between tree fall and wind is crucial.
- 25 Existing tree fall studies often emphasize tree and soil factors more than meteorology. Using a tree
- 26 fall dataset from Deutsche Bahn (2017-2021) and meteorological data from ERA5 reanalysis and
- 27 RADOLAN radar, we employed stepwise model selection to build a logistic regression model
- 28 predicting the risk of a tree falling on a railway line in a 31 km grid cell.
- 29 While daily maximum gust speed (the maximum wind speed in a model time step at 10 m height) is
- 30 the strongest risk factor, we also found that the duration of strong wind speeds (wind speeds above
- 31 the local 90th percentile), the gust factor (the ratio of maximum daily gust wind speed to the mean
- 32 daily gust speed), precipitation, soil water volume, air density, and the precipitation sum of the
- 33 previous year are impactful. Therefore, our findings suggest that high wind speeds, a low gust
- 34 factor, and prolonged duration of strong winds, especially in combination with wet conditions (high
- 35 precipitation and high soil moisture) and high air density, increase tree fall risk. Incorporating
- 36 meteorological parameters linked to local climatological conditions (through anomalies or in
- 37 relation to local percentiles) improved the model accuracy. This indicates the importance of
- 38 considering tree adaptation to the environment.
- 39 **Key words:** tree fall, storm damage, railway traffic, logistic regression, gust speed, wind

#### 41 2 Introduction

- 42 Strong wind speeds are a major factor leading to tree fall and are therefore a risk both to the railway
- 43 service and forestry. Strong winter wind storms can cost billions of euros in loss for forestry
- 44 (Gliksman et al., 2023). These losses have been increasing for the last decades (Gregow, Laaksonen
- 45 and Alper, 2017). Additionally, there is an interconnection between storm damage and other
- 46 ecological risks like droughts and bark beetle infestation in summer or unfreezing of soils in winter
- 47 which put further stress on forest ecosystems and are likely to change in a warming climate

- 48 (Gregow, 2013; Temperli, Bugmann and Elkin, 2013; Seidl, Rammer and Blennow, 2014;
- 49 Stadelmann et al., 2014; Venäläinen et al., 2020).
- 50 In 2018, Deutsche Bahn increased its budget for vegetation management to enhance storm safety,
- 51 now spending approximately 125 million Euros annually (DB, 2023). And yet the cost of tree fall
- 52 remains of the order of millions of Euro per year (Meßenzehl, 2019). With 68% of railway tracks
- 53 lined by trees and forests, ongoing management is necessary. Since 2018, over 1,000 workers have
- 54 been employed to monitor and maintain railway vegetation (DB, 2023). Despite these efforts, there
- 55 was an annual average of approximately 3,000 tree fall incidents from 2017 to 2021, causing
- 56 service disruptions and infrastructure damage. In recent years the interest in the topic has increased.
- 57 A number of studies on tree fall hazards show that this problem is also present outside the German
- 58 railway network (Bíl et al., 2017; Koks et al., 2019; Kučera and Dobesova, 2021; Szymczak et al.,
- 59 2022). Therefore, it is vital to study the relationship of tree fall and wind. Such research aids the
- 60 management of vegetation alongside transportation routes as well as the development of climate
- 61 resilient forests. There are many studies which investigate the impact of wind speed on tree fall,
- 62 including tree motion measurements and tree pulling experiments (Peltola et al., 2000; Kamimura et
- 63 al., 2012; Schindler and Kolbe, 2020; Jackson et al., 2021), mechanistic modelling (Gardiner et al.,
- 64 2008; Hale et al., 2015; Kamimura et al., 2016; Costa et al., 2023) as well as statistical and machine
- 65 learning approaches (Schindler et al., 2009; Schmidt et al., 2010; Hanewinkel et al., 2014; Hale et
- al., 2015; Jung et al., 2016; Kamimura et al., 2016; Kamo, Konoshima and Yoshimoto, 2016; Hart
- et al., 2019; Valta et al., 2019; Zeppenfeld et al., 2023) One issue the field of tree and forest damage
- 68 modelling faces is the lack of highly resolved gust and air-flow data. Great efforts are being made in
- 69 recent years in developing small-scale gust speed products which can also be used for impact
- 70 modelling (Primo, 2016; Albrecht, Jung and Schindler, 2019; Schulz and Lerch, 2022).
- 71 Additionally, there are a number of studies that identify, track, and classify the storms most
- 72 damaging to forests and infrastructure(Mohr et al., 2017; Jung and Schindler, 2019; Tervo et al.,
- 73 2021). Among the statistical modelling approaches, logistic regression models are very common
- 74 and are also used in our study. Numerous existing studies on storm damage focus on a single storm
- 75 event or a small spatial region (Albrecht et al., 2012; Hale et al., 2015; Kamimura et al., 2016; Hart
- 76 et al., 2019; Hall et al., 2020; Zeppenfeld et al., 2023). Consequently, there is a need for long-term
- 77 and large-scale investigations in this field.
- 78 Additionally, previous studies mainly analyse the impact of tree, stand and soil related factors on
- 79 wind-induced damages but often exclude metrology. Those which consider meteorological

- 80 predictors often focus on the relationship between tree damage and mean or maximum wind speeds
- 81 (Schindler et al., 2009; Jung et al., 2016; Morimoto et al., 2019). Yet, there are some other
- 82 meteorological predictors which are considered in previous works and which we will consider as
- 83 well:
- 84 To account for the turbulent aspect of wind some studies employ the gust factor. There are different
- 85 understandings of the term gust factor in the fields of meteorology and forestry. In forestry the gust
- 86 factor is often referred to as the ratio of maximum to mean bending moment experienced by a tree
- 87 (Gardiner et al., 1997). In other works the gust factor is defined as the ratio of the maximum short-
- 88 term averaged wind speed over a shorter duration t s to a long-term averaged wind speed over a
- 89 longer duration t l (Ancelin, Courbaud and Fourcaud, 2004; Gromke and Ruck, 2018) The
- 90 durations t s and t l then need to be adapted to the specific research questions. Wind load is the
- 91 wind force per area applied to a tree and the product of a trees specific drag coefficient, air density,
- 92 a trees exposed frontal area and wind speed (see Eq. 12). Wind load and air density are considered
- 93 in a few studies on tree fall and storm damage (Schelhaas et al., 2007; Ciftci et al., 2014; Gromke
- 94 and Ruck, 2018; Sterken, 2021) as well as the wind direction (Akay and Taş, 2019; Valta et al.,
- 95 2019). The role of wind event duration is also discussed in some literature (Gardiner et al., 2013;
- 96 Mitchell, 2013; Kamimura et al., 2022) but is not studied in detail. Next to wind, snow, frozen soils
- 97 and precipitation have been identified as impactful meteorological factors (Peltola et al., 2000;
- 98 Gardiner et al., 2010; Pasztor et al., 2015; Kamo et al., 2016). For example, heavy rain or snow
- 99 during a storm event may add considerable weight to the crowns and increase tree fall risk(Gardiner
- 100 et al., 2010). A decrease of frozen soils in the past as well as in future climate scenarios has been
- 101 found for example for Finland, where it was connected to higher risks of uprooting (Gregow, 2013;
- 102 Lehtonen et al., 2019). Soil moisture is also sometimes considered (Kamo et al., 2016; Csilléry et
- al., 2017), as excessive water in the soil is expected to weaken root anchorage (Kamimura et al.,
- 104 2012; Défossez et al., 2021). However, the role of soil moisture on tree fall risk is not completely
- 105 clear and only few field experiments have been done on the topic (Gardiner, 2021). Both very wet
- and very dry soils might have a negative impact. The legacy effects of drought may cause lasting
- 107 changes in tree physiology and weaken the tree (Kannenberg, Schwalm and Anderegg, 2020;
- 108 Zweifel et al., 2020; Haberstroh and Werner, 2022). Therefore, droughts are expected to increase
- 109 damage caused by wind (Gardiner et al., 2013). Yet, Csilléry et al. (2017) found both positive and
- 110 negative effects on tree damage. They suggest that in some stands drought weakens the trees and

makes them more vulnerable to wind loading while in others dry soils make them less vulnerable towards overturning.

113 We aim to develop a meteorology-based tree fall impact model, which is a first step toward a more complex predictive tree fall model. On the one hand, such a predictive model could be used to 115 identify areas at risk and support management decisions, for example, which trees to cut down, 116 especially when environmental and forest data become available and can be taken into account in the future. On the other hand, the model can be applied to climate model data to identify future 118 changes in tree fall risk. To accomplish this, we need to identify meteorological parameters and 119 parameter combinations that impact tree fall risk alongside railway lines in Germany over the long 120 term and across a large-scale area. We aim to deepen the understanding of tree fall risk and wind 121 and to explore how far wind-related parameters like daily maximum gust speed, the gust factor, air 122 density, wind load, the duration of strong wind speeds, or wind direction have an impact on tree fall. We also examine the impacts of other predictors related to meteorology that have been included in 123 previous studies, such as soil moisture, precipitation, snow, or soil frost. Additionally, we study 124 legacy effects of dry and wet spells by including soil water volume and precipitation in antecedent time periods. 126

127 We will introduce both the tree fall data as well as the meteorological data used in this study

128 (Chapter 3). We will describe the background theory and the selection process for the logistic

regression model (Chapter 4) and we will finally present (Chapter 5) and discuss (Chapter 6) our

130 results and conclude with our most important findings (Chapter 7)

#### 131 **3 Data**

# 132 3.1 Tree fall data

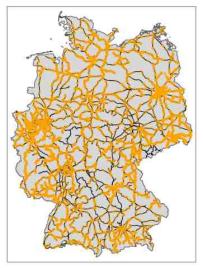


Figure 1: All tree fall events (orange dots) alongside railway lines (black lines) in Germany in the extended winter season (October - March) 2017-2021.

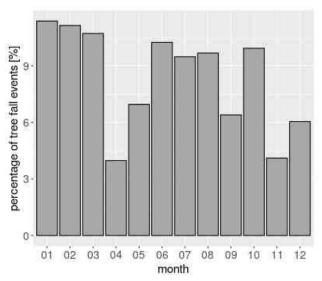


Figure 2: Percentage of tree fall events per month alongside German railway lines for the period 2017-2021.

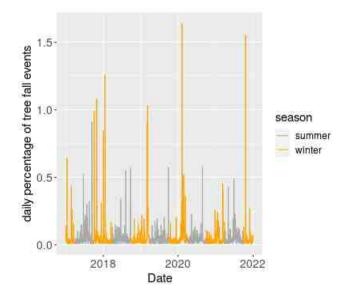


Figure 3: Percentage of tree falls per day relative to the total number of tree falls over the entire period alongside German railway lines. Summer and winter are colour coded. Most extreme peaks of event numbers are caused by winter wind storms, for example Friederike (18.01.2018), Sabine (20.02.2020) and Hendrik (21.10.2021).

- 133 Tree fall events along the German railway network were derived from a data set created by the
- 134 Deutsch Bahn (Figure 1). The data consists of disturbance events reported by rail drivers and local
- inspectors. These reports were later merged into one data set by therailway infrastructure company
- 136 InfraGo AG (formerly callde Netz AG) of the Deutsche Bahn. For each tree fall event, the date and
- 137 time of the report, the coordinate of the event and further railway related information like the route
- 138 section number is included.
- 139 The highest monthly numbers tree fall events occur from January to March and from June to
- 140 August. There is also a peak in October (Figure 2). The most extreme daily numbers of tree fall
- 141 occur during the winter season and are connected to winter wind storm events due to extra-tropical
- 142 cyclones (Figure 3).

#### 143 3.2 Meteorological data

- 144 We used hourly ERA5 data (Hersbach et al., 2020; C3S, 2022) for all meteorological parameters,
- 145 except precipitation. ERA5 (provided by the ECMWF, European Centre for Medium-Range
- 146 Weather Forecasts) is a reanalysis data set from 1940 to the present with a spatial resolution of
- 147 ~31km. It was accessed using the ClimXtreme Central Evaluation System framework (Kadow et al.,
- 148 2021). We performed our analysis only for the extended winter season (October to March) to focus
- on winter wind storms, which cause the most extreme peaks in tree fall events. We used hourly data
- 150 to calculate daily means, sums or maxima for each predictor (see Table 1) as well as local
- percentiles (2<sup>nd</sup>, 10<sup>th</sup>, 90<sup>th</sup> and 98<sup>th</sup>) in each grid cell over the years 2000 to 2019 for some predictors.
- 152 The CDO module (Climate Data Operators, Schulzweida (2023)) was used for each of these
- operations. The advantage of using wind speeds from ERA5 is the coverage of the complete area
- 154 and period under investigation. For these reasons ERA5 and similar reanalysis products are already
- used as in put data in many forecast and impact models (Pardowitz et al., 2016; Valta et al., 2019;
- 156 Battaglioli et al., 2023; Cusack, 2023). Previous versions of the ECMWF reanalysis have
- 157 successfully been used to reproduce windstorm-related damage as recorded by the German
- 158 Insurance Association (Donat et al., 2010; Prahl et al., 2015), suggesting the usability of these data
- 159 in spite of deviations with local station measurements (Minola et al., 2020). Studies comparing
- wind speed observation with ERA5 reanalysis find good correlations (Minola et al., 2020; Molina,
- 161 Gutiérrez and Sánchez, 2021).

- 162 For precipitation data we used RADOLAN data provided by the German weather service (Bartels et
- 163 al., 2004) with a spatial resolution of 1km. RADOLAN combines radar reflectivity, measured by the
- 164 16 C-band Doppler radars of the German weather radar network, and ground-based precipitation
- 165 gauge measurements.

#### 166 4 Methods

- 167 In this section, we describe data pre-processing as well as the theoretical background and the model
- selection process for the logistic regression model. The aim of this model is to calculate the
- 169 probability of at least one tree falling on a given day in a 31km grid cell, depending on
- 170 meteorological parameters. It is used to analyse the impact of a set of predictor variables.

# 171 4.1 Data Pre-Processing

- 172 A shape file of the German railway lines (DB, 2019) was used to mask the ERA5-grid and select all
- 173 grid cells in Germany that are crossed by at least one railway line. We calculated the rail density
- 174 (total length of all railway lines in km) for each grid cell in order to quantify the length of exposed
- 175 railway lines.
- 176 Daily mean air density ρ was calculated as:

$$\rho = p/R \cdot T$$
*Equation 1*

- 177 where p is the daily mean surface air pressure (hPa), T is the daily mean near-surface air
- 178 temperature (K) (both derived from ERA5 hourly data) and R is the universal gas constant, 8.314
- 179 (J·K<sup>-1</sup>·mol<sup>-1</sup>).
- 180 Daily precipitation sums were calculated from the hourly data. We then remapped the precipitation
- 181 radar data to the ERA5-grid using bilinear interpolation by applying the remapbil-function of CDO
- and thus ascribing daily precipitation sums to each grid cell. We calculated percentile exceedance of
- 183 the 2<sup>nd</sup>, 10<sup>th</sup>, 90<sup>th</sup> and 98<sup>th</sup> percentile for gust speed maxima, soil water volume and precipitation via
- 184 the relation of the daily value and the local percentile.

- 185 Finally, we collected all these data for the month of October to March 2017 to 2021 in a data set
- 186 containing grid cell IDs, a variety of daily meteorological predictors (see Table 1), rail density and
- 187 the daily occurrence of at least one tree fall event in the grid cell given as True or False. This data
- 188 set contains only grid cells crossed by at least one railway line.

## 189 4.2 Logistic Regression

- 190 Logistic regression was used to relate the probability of an event to a linear combination of
- 191 predictor variables which is converted with the logit link function into the scale of a probability:

$$logit(\Theta) = \ln\left(\frac{\Theta}{1 - \Theta}\right) = a + b_1 \cdot x_1 + b_2 \cdot x_2 + \dots + b_k \cdot x_k$$
 Equation 2

- 192 Here,  $\theta$  is the probability of an event,  $x_{l-k}$  are the predictor variables,  $b_{l-k}$  are the estimated
- 193 coefficients and a is the intercept term. Equation 2 can be rearranged in the following way to
- 194 calculate the event probability (MacKenzie et al., 2018):

195 
$$\Theta = \frac{\exp(a + b_1 \cdot x_1 + b_2 \cdot x_2 + \dots + b_k \cdot x_k)}{1 + \exp(a + b_1 \cdot x_1 + b_2 \cdot x_2 + \dots + b_k \cdot x_k)}$$

- 196 Equation 3
- 197 Interactions allow for expressing the dependence of two or more variables on each other in a model.
- 198 The effect (aka the estimated coefficient) for one predictor might change depending on the value of
- 199 another predictor. Compared to a model without interaction (see Eq. 2) two predictors that are
- 200 assumed to have an influence on each other are multiplied and a coefficient is estimated for this new
- 201 term resulting in:

202 
$$\Theta = \frac{\exp(a + b_1 \cdot x_1 + b_2 \cdot x_2 + b_3 \cdot x_1 \cdot x_2 \dots + b_k \cdot x_k)}{1 + \exp(a + b_1 \cdot x_1 + b_2 \cdot x_2 + b_3 \cdot x_1 \cdot x_2 + \dots + b_k \cdot x_k)}$$
Equation 4

- 203 where  $b_3$  is the estimated coefficient for the interaction of the predictors  $x_1$  and  $x_2$ . It represents how
- 204 the effect of x<sub>1</sub> on the event probability changes with x<sub>2</sub> (and vice versa). A significant b<sub>3</sub> would
- 205 indicate that the effect of  $x_1$  on the probability is different at different levels of  $x_2$ .
- 206 For quantifying the model's forecast quality we use the Brier Skill Score (BSS) which is based on
- 207 the Brier Score (BS) (Wilks, 2011):

$$BS = \frac{1}{N} \sum_{i=1}^{N} (f_i - o_i)^2$$
Equation 5

208 where N is the number of observations, f is the forecast probability and o is the outcome (either 1 or

209 0). The BSS is then calculated as:

$$\begin{array}{c} 210 & BSS=1-BS/BS_{ref} \\ Equation 6 \end{array}$$

- 211 where BS is the modelled Bier Score and  $BS_{ref}$  is the score of a reference model, in this case a model
- 212 that simply assumes the mean tree fall probability in each grid cell. This mean probability is used as
- 213 the forecast probability f in  $BS_{ref}$  and compared to the outcome o. The BSS ranges from  $-\infty$  to 1
- 214 where a positive value indicates that the model is better than the reference model. For calculating
- 215 the BSS we use 10-fold cross validation. Here, the data set is randomly divided in ten equal
- sequences. The model is trained on nine sequences while the BS score is calculated for the tenth
- 217 sequence and used for validation. This is repeated ten times, each time using a different sequence
- 218 for the validation.
- 219 We selected a set of meteorological parameters based on the literature cited in the introduction and
- 220 grouped them into eleven predictor classes, e.g. "wind", "snow" and "precipitation" (see Table A 1
- 221 for full list of predictors and classes). To test for legacy effects we also include precipitation sum
- 222 and soil water volume from antecedent time periods of 3 months, 9 months and one year. The goal
- 223 is not to build the "perfect" model but to examine which predictor classes influence tree fall, which
- are not influential and which predictors are most clearly improving the skill of the model against the
- 225 basic reference model.
- 226 Since the length of railway lines in a grid cell is highly influential on the tree fall probability, this
- 227 variable is included as well.
- 228 We were interested in the impact of each predictor class and also the predictor modifications (for
- 229 example anomalies or relations to local percentiles) which improve the model skill the most. At the
- 230 same time we wanted to avoid multi-collinearity. Therefore, model selection followed three criteria:
- 231 1. There must be exactly one predictor from each predictor class in the model (see Table A1 forfull
- 232 list of predictors and classes)

- 233 2. Only the predictor of each class improving the model's BSS the most is added to the model.
- 234 3. The predictor has to be significant with p < 0.05 based on the Student's t-test.
- 235 We then moved gradually from class to class. We added and removed each of the predictors in the
- 236 class in a stepwise approach, keeping only the class predictor with the best BSS performance.
- 237 We assume gust speeds to be the key predictor but interactions with other predictors that influence a
- 238 trees vulnerability are likely. Therefore, we added interaction terms between daily maximum gust
- 239 speed and each other model predictor in the model in the same stepwise approach. Again, we only
- 240 kept the interaction term if it improved the model's BSS.
- 241 After adding all predictors to the model we tested for multicollinearity. Multicollinearity exists
- 242 when two or more predictors in a regression model are moderately or highly correlated with one
- 243 another. We used the Variance Inflation Factor (VIF) to test for multicollinearity:

$$VIF_{j} = \frac{1}{1 - R_{j}^{2}}$$
Equation 7

- 244 where  $R^2$  is the  $R^2$ -value obtained by regressing the  $j_{th}$  predictor on the remaining predictors. All
- 245 predictors with a VIF<5 were considered to have no critical multicollinearity(Sheather, 2009)
- 246 We calculated the standardized effect size for each predictor to estimate their effects on tree fall
- 247 probability compared to each other. For this, we standardized the absolute value of the predictors
- 248 estimated coefficient by calculating the standardized coefficient or beta coefficient:

$$\beta = b_j \frac{s_{xj}}{s_y}$$
Equation 8

- 250 where  $b_j$  is the estimated coefficient for the  $j^{th}$  predictor,  $s_{xj}$  is the standard deviation of the
- 251 independent predictor  $x_i$  and  $s_y$  is the standard deviation of the dependent variable y.

- 252 Finally, we tested the significance of each independent variable in the model. We kept only those
- 253 independent variables that are significant (with p < 0.05 based on the Student's t-test) and then
- 254 continued analysis with this reduced model.

#### 255 5 Results

- 256 In this section we describe the selected model and the impact of the model predictors on tree fall
- 257 risk.
- As can be seen in Figure 2 and 3, winter wind storms cause the highest numbers in tree fall event
- 259 while very high monthly tree fall numbers occur from January to March, the season of winter wind
- 260 storms. However, other meteorological predictors than wind speed caused by storms factor in to tree
- 261 fall risk: According to the selection criteria described in section 4 the resulting model (using the
- 262 McCullagh and Nelder (1989) model notation) is

tree fall 
$$\sim rd + v_{max\_anom} + dur_{90} + gf + sin(2*pi/360 * winddir) + cos(2*pi/360 * winddir) + sd + T_{slfrost} + pr_{90} + swvl_{anom} + pr_{2}365 + swvl_{2}365 + \rho + v_{max\_anom} : dur_{90} + v_{max\_anom} : gf$$
 Equation 9

- 264 Explanations for the different predictor abbreviations are given in Table A1. This model predicts the
- 265 tree fall risk for each grid cell using the meteorological variables of each cell as input. The terms
- $v_{\text{max anom}}$ :dur<sub>90</sub> and  $v_{\text{max anom}}$ :gf represent the interactions of gust speed with duration and gust factor.
- 267 They serve to account for the fact that the individual parameters do not change tree fall risk
- 268 independently. Their impact in the model becomes apparent mainly on days with relatively high
- 269 wind speeds. See section 6.3 for further discussion of this effect. Sine and cosine terms are used for
- 270 winddir to ensure that the tree fall probability as a function of winddir has the same values at 0° and
- 271 360°. The models BSS is 0.069, compared to a BSS of 0.0637 for

tree fall 
$$\sim rd + v_{max}$$
  
Equation 10

- 273 showing an improvement of model skill when using additional meteorological predictors compared
- 274 to just rail density rd and daily maximum gust speed  $v_{max}$ .
- 275 In Table 1 the predictors, their definitions and corresponding model coefficients and metrics are
- 276 listed. All coefficients except those for snow depth (sd), soil frost ( $T_{slfrost}$ ) and the mean soil water

- 277 volume during the previous year (*swvl 365*) are significantly different from zero. We find highest
- 278 effect sizes (with absolute standardized coefficients greater than one) for gust speed anomaly
- 279  $(v_{max \ anom})$ , the interaction of gust speed anomaly and duration of strong wind speeds  $(dur_{90})$ , the
- 280 interaction of gust speed anomaly and the gust factor (gf), rail density (rd) and the duration of
- 281 strong wind speeds. Interactions between gust speed anomaly and other predictors (except duration
- 282 of strong wind speeds and gust factor) do not improve the model's BSS.
- 283 For daily precipitation, daily soil water volume and daily maximum gust speed we compare
- 284 unmodified predictors and predictors related to local conditions (by using anomalies or percentiles)
- 285 and find that the latter improve the BSS more with  $pr_{90}$ ,  $swvl_{anom}$  and  $v_{max\ anom}$  being the best
- 286 predictors.

- 287 To test for multicollinearity, we use the VIF and find all values to be below five and therefore not
- 288 critically correlated with each other. Interaction terms are excluded from this as they are naturally
- 289 highly correlated with the interaction partners.
- 290 In a second step we adapt the model and identify all non-significant predictors: sd,  $T_{slfrost}$  and the
- 291 swvl 365. To reduce model complexity we remove these predictors. After removing the three non-
- 292 significant predictors the BSS remains 0.069. This results in the following model:

tree fall 
$$\sim rd + v_{max\_anom} + dur_{90} + gf + sin(2*pi/360 * winddir) + cos(2*pi/360 * winddir) + pr_{90} + swvl_{anom} + pr_{2}365 + \rho + v_{max\_anom}$$
:  $dur_{90} + v_{max\_anom}$ :  $gf$  Equation 11

- We find that the rail density, anomaly of daily maximum gust speeds  $v_{max \ anom}$ , duration of strong
- 296 wind speeds based on the local 90<sup>th</sup> gust speed percentile  $dur_{90}$ , gust factor gf, wind direction
- 297 winddir, precipitation related to the local 90<sup>th</sup> percentile  $pr_{90}$ , soil water volume anomaly  $swvl_{anom}$ ,
- 298 and precipitation sum in the previous year per 365, air density  $\rho$  as well as the two interactions of
- 299 the gust speed anomaly with either gust factor or duration of strong wind speeds were significant,
- 300 improved the model's BSS and therefore meet the model selection criteria. This model is used to
- 301 plot the functional relationships between tree fall probability and the meteorological predictors
- 302 (Figure 4). For these plots one model parameter is varied while the others are fixed to a certain
- 303 value (detailed in the caption of Figure 4) that was determined during a previous data exploration.
- 304 For the fixed values of  $v_{max anom}$  and  $dur_{90}$  we picked 18 m/s and 5 hours, which represent values of a

short but strong winter storm. 18 m/s are exceeded on about 0.5% of days and thus occur approximately two days a year. For  $swvl_{anom}$  and  $pr_{90}$  we selected values that represent a dry situation, thus very low soil moisture and very low precipitation. For wind direction we picked a north-easterly wind. For the other variables  $(pr_365, \rho)$  we chose the average over the time period 2017-2021. Based on these plots and the standardized coefficients (Table 1) we find a relatively strong increasing impact on tree fall risk for  $v_{max\_anom}$ ,  $dur_{90}$  and rd. We find a relatively weak but still significant increasing impact for  $swvl_{anom}$ ,  $pr_{90}$ ,  $\rho$  and  $pr_365$ . We find a relatively strong decreasing effect for gf and a relatively weak impact for winddir with easterly to south-easterly winds having a decreasing and westerly to north-westerly winds having an increasing impact respectively.

Based on these findings, we propose that high and prolonged wind speeds, especially in combination with wet conditions (high precipitation and high soil moisture) and a high air density, increase tree fall risk.

Short	Definition	Coefficient	Standardized Coefficient	Std. Error	p	VIF
Vmax_anom	Daily anomaly of $v_{max}$ (difference to local monthly mean gust speeds at 10 m height) [m/s]	0.1906	5.3527	0.0083	< 0.05	3.907
$v_{max\_anom}$ : $dur_{90}$	Interaction	0.0058	3.6927	0.0003	< 0.05	-
v <sub>max_anom</sub> :gf	Interaction	-0.0246	-2.2063	0.0027	< 0.05	-
rd	Rail density - total length of all railway lines in a 31km grid cell [km]	0.0102	2.1946	0.0003	< 0.05	1.037
dur <sub>90</sub>	Daily number of hours where gust speed exceeds the local 90 <sup>th</sup> gust speed percentile [h]	-0.0491	-1.7746	0.0039	< 0.05	3.202
swvl <sub>anom</sub>	Daily anomaly of the daily mean of soil water volume ( <i>swvl</i> ) at a depth of 28 – 100cm (difference to local monthly mean soil water volume) [m <sup>3</sup> m <sup>-3</sup> ]	4.9985	0.7136	0.4001	< 0.05	1.144
$pr_{90}$	Relation of pr to local 90 <sup>th</sup> precipitation percentile (pr/p90) [mm]	0.0019	0.6493	0.0002	< 0.05	1.247
gf	Gust factor: $v_{max}/v_{mean}$ (the ratio of the maximum daily gust speed and the daily mean of the hourly	0.1559	0.5193	0.0300	< 0.05	2.037

Short	Definition	Coefficient	Standardized Coefficient	Std. Error	p	VIF
	maximum gust speeds at 10m heigth) [-]					
cos(2 * pi/360 * winddir)	Mean daily wind direction [°]	0.1843	0.3779	0.0273	< 0.05	1.099
ρ	Air density, see Eq. 1 [kg/m <sup>3</sup> ]	1.8108	0.2704	0.5274	< 0.05	2.109
sin(2 * pi/360 * winddir)	Mean daily wind direction [°]	-0.0916	-0.2178	0.0261	< 0.05	1.293
pr_365	Sum of daily precipitation sum for previous 365 days [mm]	0.0002	0.1974	0.0001	< 0.05	1.476
sd	Snow from the snow-covered area of an ERA5 grid box (depth the water would have if the snow melted and was spread evenly over the whole grid box) [m]	0.4455	0.0422	0.6199	> 0.05	1.199
swvl_365	Sum of the daily mean of soil water volume at a depth of 28 – 100cm of the previous 365 days	-0.0966	-0.0235	0.2432	> 0.05	1.223
T <sub>slfrost</sub>	Frozen soil: True or False (based on $T_{sl} < 0K$ )	-9.0727	-0.0069	70.6317	> 0.05	1.000

Table 1 Model predictors (ordered by their effect size) and their corresponding model coefficients and metrics. Bold numbers indicate values below the required threshold for significance and multi correlation (with p < 0.05 based on he Student's t-test and VIF < 5). See Table A1 for further details.

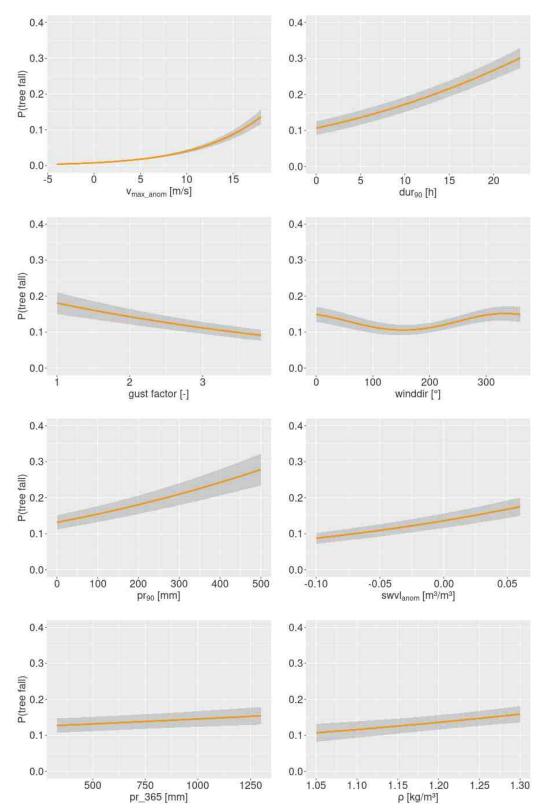


Figure 4: Changes in tree fall probability in an ERA5 grid cell with 100 km railway length (urban conditions) depending on different parameters. In each figure one model parameter is varied while the others are fixed to a certain value:  $v_{max\_anom} = 18 \text{ m/s}$ ;  $dur_{90} = 5h$ ; gf = 2.2, ;  $pr_{90} = 20 \text{mm}$ ; winddir = 41°;  $swvl_{anom} = 0 \text{ m}^3 \text{ m}^{-3}$ ;  $pr\_365 = 663 \text{ mm}$ ;  $\rho = 1.2 \text{ kg/m}^3$ . Grey areas signify the confidence interval with a level of 95%.

#### 321 6 Discussion

322 There is a vast number of studies which contributed significantly to understanding storm impacts on 323 forests, particularly in areas such as impact modelling (Gardiner et al., 2008; Hale et al., 2015; Kamimura et al., 2016; Valta et al., 2019; Costa et al., 2023), wind climatology (Mohr et al., 2017; 324 325 Jung and Schindler, 2019; Tervo et al., 2021) or field campaigns and pulling experiments 326 (Kamimura et al., 2016; Kamo et al., 2016; Schindler and Kolbe, 2020). A key goal of these 327 research efforts is to develop functional forecast models which can predict tree and forest damage. 328 Such a model should be applicable to major tree species, diverse landscapes, and various forest 329 types. It would help to identify areas of risk, estimate damages in future climate scenario or during 330 possible most extreme events and asses management strategies for foresters and infrastructure 331 providers like the Deutsche Bahn (Akay and Tas, 2019; Albrecht et al., 2019). However, there are 332 several hurdles on the way to this goal: 1. There is a lack of damage data covering large areas and 333 longer time periods which is needed to train these models and often a lack of environmental data to 334 feed into them (Hart et al., 2019; Maringer et al., 2020). 2. There is also a lack of highly resolved 335 gust speed data. Such data is needed to fully understand and model tree damage ((Jung and 336 Schindler, 2019; Gregow et al., 2020). 3. Many of the existing studies focus on a partial aspect of 337 the issue for example on a small spatial region, a single damaging storm event or one tree species 338 (often due to the lack of bigger data). 4. And finally such a model would need to incorporate 339 parameters from many relevant fields (such as tree biology, forestry, meteorology, fluid dynamics, 340 pedology and others) as well as their interactions. So far, many studies focus on the parameters 341 from their respective fields. These issues make it difficult to apply existing works to different tree 342 species or forest types and also to use the existing impact models on data from climate models. Several works call for more impact data and longer time series, addressing the interaction of 343 multiple risks and for inter-disciplinary approaches and cooperation (Valta et al., 2019; Gregow et al., 2020; Venäläinen et al., 2020; Gardiner, 2021). Additionally, there is ongoing work dedicated to 345 346 developing more accurate small-scale gust speed products (Primo, 2016; Schulz and Lerch, 2022). In the field of forest impact modelling many models focus on biological and environmental 348 predictors such as tree, stand and soil properties (Mayer et al., 2005; Schindler et al., 2009; Kamo et 349 al., 2016; Kabir, Guikema and Kane, 2018; Díaz-Yáñez, Mola-Yudego and González-Olabarria, 350 2019; Hart et al., 2019; Wohlgemuth, Hanewinkel and Seidl, 2022). Meteorological predictors like precipitation or soil moisture are considered less often (Schmidt et al., 2010; Hall et al., 2020). 351

- Wind is mostly considered as mean or maximum wind speed (Hale et al., 2015; Morimoto et al.,
- 353 2019; Hall et al., 2020). This focus on environmental predictors and mean wind speeds is often also
- true for studies that consider tree fall on railway lines (Bíl et al., 2017; Kučera and Dobesova, 2021;
- 355 Gardiner et al., 2024).
- 356 Many impact studies focus at singular and very damaging storm events (Hale et al., 2015; Kabir et
- 357 al., 2018; Hart et al., 2019; Hall et al., 2020; Zeppenfeld et al., 2023). Those who study longer time
- 358 periods are often focused on small areas such as experimental plots (Albrecht et al., 2012;
- 359 Kamimura et al., 2016) or smaller administrative units (Jung et al., 2016). In this study, we try to
- 360 contribute to this ongoing research with using data covering a large area over several years (2017 to
- 361 2021) and exploring the impact of different meteorological factors. In a next step, our model can be
- applied to gridded climate model data to estimate risks for trees in future climate scenarios.
- 363 We focused on different types of meteorological predictors, including those that describe wind
- 64 characteristics, but also predictors describing precipitation and soil conditions. We showed that
- 365 meteorological predictors other than mean or maximum wind speed have a significant effect on tree
- 366 fall risk and improve the models predictive skill.

## 367 6.1 Model Building and Predictor Selection

- 369 The model selection process resulted in a model with ten independent variables and two
- 370 interactions, raising the possibility of over complexity. To account for this we calculated the Akaike
- 371 Information Criterion (AIC), which is a relative measure showing how well different models fit the
- 372 data. It penalizes too high numbers of independent variables. The model with the lowest AIC value
- 373 is considered the best. We calculated the AIC for the resulting model as well as reduced versions of
- 374 the model in which we left out 1) the interactions, 2) all predictors with an absolute standardized
- 375 coefficient < 1 and 3) all predictors with an absolute standardized coefficient < 0.5. We find that our
- 376 selected model has the lowest AIC (56985.43) compared to options 1) to 3), (57339.14, 57512.49
- 377 and 57062.27 respectively).
- 378 In our model the influence of the wind direction on tree fall risk is relatively small compared to the
- 379 effect of the wind speed itself. Nonetheless, it appears that northwesterly winds slightly increase
- 380 tree fall risk. This seems counter-intuitive as this is the predominant wind direction in Germany. It

is assumed that trees adapt to the dominant wind direction and that untypical wind directions, in this case easterly winds, increase tree fall risk (Bonnesoeur et al., 2016; Valta et al., 2019). An 383 explanation might be that westerly winds are on average stronger. ERA5 is not a perfect 384 representation of local winds and sometimes underestimates gust speeds (Molina et al., 2021). Thus, in cases where ERA5 underestimates the real gust speeds but shows westerly winds the wind 385 386 direction might become a proxy for stronger winds. While Akay and Taş (2019) found wind direction at three stations to be one of the predictors with the highest impact on storm damage risk, 387 388 it has a relatively small effect in our model. Their result may be related to the role of wind direction on wind speeds at stations located in an area with high orography, which is much weaker in the 389 390 rather coarse ERA5 data. Certainly there can also be a relationship of wind direction and trees 391 exposure, for example depending on the topography, the tree's acclimation to the average local wind direction (Mitchell, 2013) or the location of the tree to an exposed edge (Quine et al., 2021). We did not account for these factors. Future modelling might benefit by adding local tree wind 394 exposure.

Duration of strong winds is important because trees do not fail instantly but fail with repeated swaying that fractures the root/soil system and this process can take many hours (Kamimura et al., 2022). Gust factor and air density are also known to be critical components in calculations of tree wind damage risk (see Equations 4.4, 4.12 and 4.15 in Quine, Gardiner and Moore (2021)).

399

408

Venäläinen 2020, Gregow 2020).

We found both soil water volume anomaly as well as daily precipitation sum to have an increasing impact on tree fall probability, which is in agreement with previous studies (Kamimura et al., 2016; Hall et al., 2020). This could be due to the fact that heavy precipitation can contribute to the accumulation of weight on tree crowns, consequently increasing wind-induced stress (Neild and Wood, 1999; Gardiner et al., 2010; Hale et al., 2015). Additionally, water logged soils can have a negative affect on root anchorage (Kamimura et al., 2012). The influence of precipitation and soil moisture on tree fall during winter will likely increase in northern forest. Here rising temperatures and shortened winter decrease soil frost and thus root anchorage (Lehtonen, 2019, Gregow- 2017,

We also included predictors describing antecedent soil moisture and precipitation conditions, and namely mean soil water volume accumulation and precipitation sum of the previous twelve months.

- Antecedent soil water volume is not significant in our model but the precipitation sum of the previous year is, showing a weak increasing impact on tree fall risk. The role of droughts for other 413 hazards such as fires or bark beetle infestation is well studied (Venäläinen et al. 2020, Singh et al. 2024). However, research on the impact of drought on wind induced tree damage are inconclusive. Csilléry et al. (2017) found both positive but mainly negative effect on tree damage. They suggest 415 416 that in some stands drought weakens the trees and makes them more vulnerable to wind loading 417 while in others dry soils make them less vulnerable towards overturning. We suggest that further 418 research considers antecedent weather situations in more detail. For example, by including indices like the Standardized Precipitation-Evapotranspiration Index (SPEI), which has been used in recent 419 420 research on forest disturbance (Klein et al., 2019; Gazol and Camarero, 2022). It is also likely that trees react very differently to dry and wet conditions depending on their species, height or the soil 421 type. Whenever such information is available it should be included in the analysis. 423 Several studies have found snow and frozen soil to be influential (Peltola et al., 2000; Hanewinkel et al., 2008; Kamimura et al., 2012; Kamo et al., 2016). Snow loading can apply stress on canopy 425 and branches and this stress can be increased by additional wind (Kamo et al., 2016; Zubkov et al., 2023). Frozen soil has been shown to prevent uprooting (Gardiner et al., 2010; Pasztor et al., 2015). 426 427 Yet, in our study snow and soil frost did not prove to be significant. This is likely connected to the 428 rare occurrence of such conditions in Germany between 2017 and 2021. On average, over all model 429 grid cells snow depth exceeded 0.05 m water equivalent only on 1.3% of all winter days and soil frost occurred only 0.03 %. Our snow data is derived from ERA5 and is therefore modelled data. In 430 431 their evaluation of snow cover properties in ERA5 Kouki, Luojus and Riihelä (2023) found that ERA5 generally over estimates snow water equivalent in the Northern Hemisphere. Thus, snow 433 coverage might even be lower than shown in our data. Using measured instead of modelled snow 434 data could potentially improve the modelling results. For wind speed, precipitation and soil water volume we compared unaltered predictors with 435 anomalies and percentile exceedances. For all three parameter types, we found that predictors based on percentile exceedances ( $pr_{90}$ ) or anomalies ( $swvl_{anom}$ ,  $v_{max\ anom}$ ) improve the model's BSS the most 437 and thus, reflect the trees' ability to acclimate. Trees adapt to the local climate (Mitchell, 2013; 438
- 439 Gardiner, Berry and Moulia, 2016) and what might be windy or dry conditions for a tree in one region might be average in another. When modelling tree damage over larger spatial regions, we 440

- 441 therefore suggest relating meteorological predictors to local climatological conditions, for example
- 442 by using anomalies or percentiles.
- 443 We found that air density has a positive impact on tree fall risk. As our model includes both
- 444 maximum gust speed and air density we considered wind load as a model predictor. Wind load is
- 445 proportional to air density and the square of wind speed:

446 
$$wl=1/2 C\rho A v^2$$
 Equation 12

- 447 where C is a non-dimensional drag coefficient,  $\rho$  is the air density (kg/m<sup>3</sup>), A is the frontal area and
- 448 v is the wind speed (m/s) (Ciftci et al., 2014; Gardiner et al., 2016; Quine et al., 2021). Therefore,
- 449 wind load is highly correlated with wind speed. In our data,  $v_{max anom}$  and wind load have a high
- 450 Pearson correlation coefficient of 0.95. Due to this, they should not be used together in a single
- 451 model since high correlation between parameters makes model interpretation difficult. As both the
- 452 drag coefficient as well as the trees frontal area are unknown, we reduced the equation to:

- 454 We tested a model that used wind load instead of air density and  $v_{max \ anom}$ . We removed air density
- 455 from the predictors of Equation 11 and exchanged  $v_{max anom}$  with wind load. We found a lower BSS
- 456 for this model of 0.0678 compared to 0.069. Yet, wind load is highly significant and has a strong
- 457 effect size with a standardized coefficient of 4.07. Additionally, the wind load model has a
- 458 marginally lower AIC (56980.45) than the original model (56985.43). Due to the lower BSS wl did
- 459 not meet the selection criteria in our modelling process. Yet, it is certainly influential on tree fall and
- 460 might add value to other impact models. We suggest considering it in future studies.

#### 461 **6.2** The effect of interaction terms

- 462 Interactions can show the combined effect predictors may have on model outcome and how the
- 463 effect of one predictor is changing depending on the value of the other. We tested if interaction
- 464 terms with gust speed anomaly add to the model skill and found positive results for the interaction
- 465 with duration of strong wind speeds as well as gust factor. Both predictor interactions improve the
- 466 BSS and are highly significant (see Table 1).

- 467 A low gust factor could be the result of a day with a high maximum gust speed and a high mean 468 gust speed as well as the result of a low maximum gust speed and a low mean gust speed. Thus, this 469 predictor lacks information without the interaction with maximum gust speed. The duration of 470 strong wind speeds depends on the local 90th gust speed percentile. As the average 90<sup>th</sup> percentile in 471 our data is 12 m/s, this allows for a wide range of gust speeds exceeding the percentile since  $v_{max}$ 472 greater than 30 m/s are possible during strong storms. Here too, does the interaction add missing information to the model. Duration and gust factor are not strongly correlated (with a Spearman's 473 474 correlation coefficient at 0.15.) and therefore provide complementary information as long durations are a accompanied by a vast range of gust factor values. 475 In Figure 5 the effect of duration of strong wind speeds and gust factor for the model with and 476 477
- without interaction terms is compared. When the interactions are removed, the decreasing impact of gust factor on tree fall probability is much smaller while duration of strong wind speeds seems to be not at all connected to tree fall probability. The effect size of these predictors also decreases strongly: In a model without interactions, the standardized coefficient of the gust factor is -0.3181 and of duration of strong wind speeds 0.0275 (compare Table 1). Only when we add the interaction the impact of these predictors gets visible, thus showing their combined effect. Furthermore, the model without interactions has a BSS of only 0.0678 compared to 0.069 for the model that includes interactions (Eq. 11).
- The combined effect of the predictors is illustrated in Figure 6. We compare the model outcome depending on the duration of strong wind speeds for two values of  $v_{max\_anom}$ , 10 m/s and 18 m/s. Both represent values that exceed the 98<sup>th</sup> percentile of daily gust speeds in most grid cells, but one represents a low exceedance while the other is very high. The duration of strong wind speeds has a much stronger increasing impact on tree fall probability in the second scenario. This als fits with the observations of Kamimura et al. (2022) who showed that even in a typhoon with very high wind speeds the duration of the storm was important for damage to occur.
- 492 A high maximum daily gust speed could be the result of just one strong gust but also the result of a 493 stormy day with lasting high wind speeds. Adding additional wind properties like the gust factor or 494 duration of strong wind speeds can help differentiate between these scenarios. Figure 7 illustrates 495 this. Here, we compare modelled tree fall probabilities for a day with a high gust factor and low 496 duration of strong wind speeds (a gusty day) and a day with a low gust factor and long duration of 497 strong wind speeds (a day of sustained high wind speeds). The relationship between  $v_{max\_anom}$  and

- 498 tree fall probability is much weaker on the gusty day, showing how strongly the interaction with
- 499 additional wind properties can change tree fall risk.



507

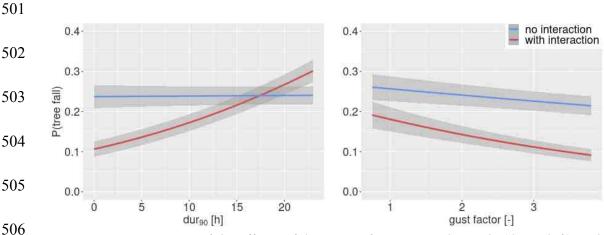


Figure 5: Comparison of the effects of duration of strong wind speeds (dur<sub>90</sub>, left) and the gust factor (gf, right) on tree fall risk for the model with and without interaction terms. Parameters are fixed to the same values as in Figure 4 with  $v_{max\_anom} = 18$  m/s. Grey areas signify the confidence interval with a level of 95%.

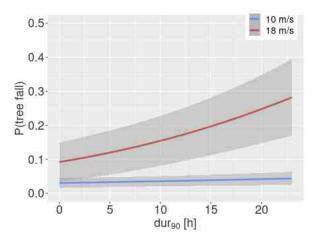


Figure 6: Interaction effect of  $v_{max\_anom}$  and storm duration for two different values of  $v_{max\_anom}$  (10 m/s and 18 m/s). All other parameters are fixed to the same values as in Figure 4. Grey areas signify the confidence interval with a level of 95%.

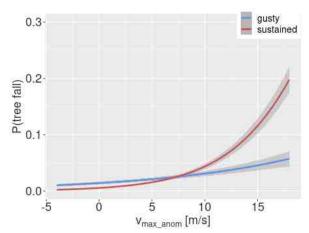


Figure 7: Comparison of interaction effect. Gusty day:  $dur_{90} = 2h$  and gf = 5; sustained day:  $dur_{90}=12h$  and gf=2. All other parameters are fixed to the same values as in Figure 4. Grey areas signify the confidence interval with a level of 95%.

#### 509 **6.3** Limitations

- 510 This study aimed, among other things, to create a meteorological basis for a predictive tree fall
- 511 model that can support decisions regarding the management of vegetation alongside transportation
- 512 routes, as well as climate-resilient forests. However, local ecological information (soil, tree species,
- 513 stand structure, etc.) is not taken into account. Thus, the results are not representative of every
- 514 individual setting but rather for an average setting across Germany.
- 515 Many studies have pointed out the influence of tree, stand and soil factors (Mayer et al., 2005;
- 516 Kamo et al., 2016; Kabir et al., 2018; Díaz-Yáñez et al., 2019; Hart et al., 2019; Gardiner, 2021;
- 517 Wohlgemuth et al., 2022) on wind damage vulnerability. Thus, model results could vary if such
- 518 information were to be incorporated. The tree fall risk according to this model might vary at the
- 519 same gust speed level for different trees and different stands. For example, Gardiner et al. (2024)
- 520 demonstrated how critical wind speeds for tree fall along railway lines vary significantly depending
- 521 on factors such as tree height, canopy shape, and whether the tree is coniferous or deciduous.
- 522 However, our results show clear evidence for the importance of specific meteorological predictors
- 523 in tree fall and storm damage modelling. Finding the specific relationships for meteorological
- 524 predictors and different tree species, forest types and soil types should be the next step in
- 525 understanding the impact of different meteorological conditions on wind damage.
- 527 In the data set about 25% of tree fall events occur at maximum daily gust speed below 11 m/s.
- 528 These tree fall events might be caused by processes unrelated to meteorology. Valta (2019) points
- 529 out that individual tree fall is already possible at low wind speeds such as 15 m/s. Events at even
- 530 lower speed cannot be ruled out. On the other hand, these events might be related to wind events
- 531 not resolved by the ERA5 reanalysis and thus caused by wind speeds that were higher in reality
- 532 than shown in the data. For example, convection is not explicitly resolved by the underlying
- 533 atmospheric model of ERA5. Therefore, the wind speeds caused by convective events are likely to
- 534 be underestimated. Additionally, the coarse resolution of ERA5 is generally suboptimal when trying
- 535 to connect small scale events such as a single tree fall with meteorological data. Yet, at the time of
- our research ERA5 was the only reanalysis data set covering the years 2017 to 2021. While
- 537 evaluations of ERA5 gust speeds with observational data point out some limitations they also find
- 538 the data in general to be a good representation of local measurements. Molina (2021) compare
- 539 hourly 10 m wind speed from ERA5 with wind observations from 245 stations across Europe. They

- 540 find that "Most of the stations exhibit hourly [Pearson correlation coefficients] ranging from 0.8 to
- 541 0.9, indicating that ERA5 is able to reproduce the wind speed spectrum range [...] for any location
- 542 over Europe". Minola (2020) compare ERA5 with hourly near-surface wind speed and gust
- 543 observations across Sweden for 2013–2017. They, too, find Pearson correlations of 0.8 and higher
- 544 for daily maximum gust speeds. However, they do point out that "evident discrepancies are still
- 545 found across the inland and mountain regions" and that higher wind speeds and gust speeds display
- 546 stronger negative biases. Data with higher spatial resolutions that include convective effects might
- 547 help in understanding the effects of thunderstorms and other small-scale phenomena in future
- 548 research. There is already some concern that such phenomena are becoming more problematic in
- 549 Europe (Suvanto et al., 2016; Sulik and Kejna, 2020).
- 550 The adding and removal of model predictors during the stepwise model selection process caused
- only very small changes in the model's BSS, which was very low to begin with. This is quite likely
- 552 connected to all of the limitations listed above. Models which are able to add tree, soil or stand data
- or have access to meteorological data of a higher spatial resolution will likely produce better model
- skill and be able to examine the relationships of tree fall and meteorology in more detail.
- Nonetheless, our approach provides clear evidence of which meteorological predictors have a
- 556 significant impact and indicates the magnitude of their effect.

# 557 **7** Conclusion

- 558 Our aim was to investigate the relationship between tree fall and wind as well as other
- 559 meteorological conditions. For this, we used a stepwise approach to build a logistic regression
- 560 model predicting the tree fall risk.
- 561 We showed that high and prolonged wind speeds, especially in combination with wet conditions
- 562 (high precipitation and high soil moisture) and a high air density, increase tree fall risk. We find a
- 563 relatively strong increasing impact on tree fall risk for daily maximum gust speeds anomaly and
- 564 duration of strong wind speeds. We find a relatively weak but still significant increasing impact for
- 565 the daily soil water volume anomaly, the daily precipitation exceedance of the 90th percentile, daily
- 566 air density and the precipitation sum of the previous year. We find a relatively strong decreasing
- 567 effect for the gust factor and a relatively weak impact for wind direction with easterly to south-
- 568 easterly winds having a decreasing and westerly to north-westerly winds having an increasing
- 569 impact. Snow and soil frost predictors which have been found important in past research have no

- 570 significant impact in our model.
- 571 To account for potential acclimation of trees to local climate we compared unmodified predictors
- 572 and predictors related to local conditions (by using anomalies or percentiles) for daily precipitation,
- 573 daily soil water volume and daily maximum gust speed. We find that the latter predictors, which
- 574 reflect acclimation, improve the model's skill the most.
- 575 Finally we showed that the inclusion of interaction terms improved the model's skill score, changed
- 576 modelled risk probabilities and helped to illustrate the combined effect meteorological predictors
- 577 may have on tree fall probability.
- 578 Many previous studies on tree fall and forest storm damage are restricted to a single event or small
- 579 research region. Additionally, past research has primarily focused on tree, soil and stand parameters.
- 580 When studies have taken meteorology into account they often implemented only mean or maximum
- 581 gust speeds. We were able to conduct a long-term and large-scale study on tree fall risk and were
- able to show that other wind related parameters such as gust factor, duration of strong wind speeds
- or air density as well as other predictors related to meteorology, including precipitation and soil
- 584 moisture, have a significant impact on tree fall risk. Our results also highlight the importance of
- 585 using anomalies or relations to local percentiles for meteorological predictors in large scale studies
- 586 to account for the acclimation of trees to their local climatic conditions.
- 587 This work is a step towards future research on the topic of wind damage and tree fall. It shows how
- 588 meteorological factors can be incorporated into a probabilistic tree fall model. Such a model can be
- 589 applied to climate model data to estimate changes in tree fall risk in future climate scenarios and
- 590 during potential extreme events. We aim to elaborate on these goals in future research.

# 591 8 Appendix

Predictor class Short name		Definition	Unit	
Wind	$v_{max}$	Maximum daily gust speed of the maximum 3 second wind at 10 m height	m/s	
	$\mathcal{V}_{mean}$	Daily mean of the hourly maximum gust speeds	m/s	
	v <sub>max</sub> 2d	Maximum daily gust speed of current and previous day	m/s	
	V <sub>max_90</sub>	Relation of $v_{max}$ to local 90 <sup>th</sup> gust speed percentile ( $v_{max}/p90$ )	[-]	
	V <sub>max_98</sub>	Relation of max. daily gust speed to local 98 <sup>th</sup> gust speed percentile ( $v_{max}/p98$ )	[-]	
	V <sub>max_anom</sub>	Daily anomaly of $v_{max}$ (difference to local monthly mean gust speeds)	m/s	
	wl	Wind load: Wind force per area applied to a tree, see Eq. 13	N/m²	
Air density	ρ	Air density, see Eq. 1	kg/m <sup>3</sup>	
Duration of strong wind speeds	$dur_{90}$	Daily number of hours where gust speed exceeds the local 90 <sup>th</sup> gust speed percentile	h	
	$dur_{98}$	Daily number of hours where gust speed exceeds the local 98 <sup>th</sup> gust speed percentile	h	
	<i>dur</i> <sub>90</sub> _2 <i>d</i>	Number of hours where gust speed exceeds the local 90 <sup>th</sup> gust speed percentile during current and previous day	h	
	dur <sub>98</sub> _2d	Number of hours where gust speed exceeds the local 98 <sup>th</sup> gust speed percentile during current and previous day	h	
Wind direction	winddir	Mean daily wind direction	0	
Gust factor	gf	Gust factor - $v_{max}/v_{mean}$ (the ratio of the maximum daily gust speed and the daily mean of the hourly maximum gust speeds at 10m heigth)	[-]	
precipitation	pr	Daily precipitation sum derived from hourly RADOLAN radar data	mm	
	pr_log	$\log(1+pr)$	mm	
	$pr_{90}$	Relation of pr to local 90 <sup>th</sup> precipitation percentile ( $pr/p90$ )	[-]	
	$pr_{98}$	Relation of pr to local 98 <sup>th</sup> precipitation percentile ( $pr/p98$ )	[-]	
	$pr_{90}\_T$	Exceedance local 90 <sup>th</sup> precipitation percentile: True or False	[T,F]	
	$pr_{98}\_T$	Exceedance local 98 <sup>th</sup> precipitation percentile: True or False	[T,F]	
Snow	sf	Daily sum of snow that falls to the Earth's surface	m of water equivalent	
	sd	Snow from the snow-covered area of an ERA5 grid box - depth the water would have if the snow melted and was	m of water equivalent	

		spread evenly over the whole grid box	
	sf_T	Snow is present: True or False (based on sf)	[T,F]
	sd_T	Snow is present: True or False (based on <i>snd</i> )	[T,F]
Soil temperature	$T_{sl}$	Daily mean of soil temperature at a depth of 28 – 100cm	K
	$T_{sl98}$	Relation of $T_{sl}$ to local 98 <sup>th</sup> $T_{sl}$ percentile $(T_{sl}/T_{sl}98)$	[-]
	$T_{sl90}$	Relation of $T_{sl}$ to local 90 <sup>th</sup> $T_{sl}$ percentile $(T_{sl}/T_{sl}90)$	[-]
	$T_{sl10}$	Relation of $T_{sl}$ to local 10 <sup>th</sup> $T_{sl}$ percentile $(T_{sl}/T_{sl}10)$	[-]
	$T_{sl02}$	Relation of $T_{sl}$ to local $2^{nd}$ $T_{sl}$ percentile $(T_{sl}/T_{sl}02)$	[-]
	$T_{sl98}\_T$	Exceedance local 90 <sup>th</sup> $T_{sl}$ percentile: True or False	[T,F]
	$T_{sl90}\_T$	Exceedance local 98 <sup>th</sup> $T_{sl}$ percentile: True or False	[T,F]
	$T_{sl10}\_T$	Exceedance local $10^{th}$ $T_{sl}$ percentile: True or False	[T,F]
	$T_{sl02}$ _ $T$	Exceedance local $2^{nd}$ $T_{sl}$ percentile: True or False	[T,F]
	T <sub>sl</sub> _anom	Daily anomaly of $T_{sl}$ (difference to local monthly mean soil temperature)	K
	$T_{slfrost}$	Frozen soil: True or False (based on $T_{sl} < 0$ K)	[T,F]
Soil moisture	swvl	Daily mean of soil water volume at a depth of 28 – 100cm	m <sup>3</sup> m <sup>-3</sup>
	swvl <sub>98</sub>	Relation of swvl to local 98 <sup>th</sup> swvl percentile ( <i>swvl/</i> [-] <i>swvl98</i> )	
	swvl <sub>90</sub>	Relation of swvl to local 90th swvl percentile (swvl/ swvl90)	[-]
	$swvl_{10}$	Relation of swvl to local 10th swvl percentile (swvl/ swvl10)	[-]
	$swvl_{02}$	Relation of swvl to local 2 <sup>nd</sup> swvl percentile (swvl/swvl02)	[-]
	swvl <sub>98</sub> _T	Exceedance local 90th swvl percentile: True or False	[T,F]
	swvl <sub>90</sub> _T	Exceedance local 98th swvl percentile: True or False	[T,F]
	swvl <sub>10</sub> _T	Exceedance local 10 <sup>th</sup> swvl percentile: True or False	[T,F]
	$swvl_{02}\_T$	Exceedance local 2 <sup>nd</sup> swvl percentile: True or False	[T,F]
	$swvl_{anom}$	Daily anomaly of <i>swvl</i> (difference to local monthly mean soil water volume)	$m^3 m^{-3}$
Antecedent soil moisture	swvl_30	Sum of swvl for previous 30 days	m <sup>3</sup> m <sup>-3</sup>
	swvl_90	Sum of swvl for previous 90 days	m <sup>3</sup> m <sup>-3</sup>
	swvl_365	Sum of swvl for previous 365 days	m <sup>3</sup> m <sup>-3</sup>
Antecedent precipitation	pr_30	Sum of <i>pr</i> for previous 30 days	mm
	pr_90	Sum of pr for previous 90 days	mm
	pr_365	Sum of <i>pr</i> for previous 365 days	mm

Table A1:List of meteorological predictors tested in the logistic regression model (ECMWF, 2023).

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# 596 10 Data availability

- 597 Due to the data protection policies of the data provider Deutsche Bahn, the data cannot be made
- 598 available.

599

#### 600 11 Author contribution

- 601 Rike Lorenz: Data curation, Formal analysis, Methodology, Software, Visualization, Writing -
- 602 original draft preparation, Writing review & editing
- 603 Nico Becker: Conceptualization, Supervision, Project administration
- 604 Barry Gardiner: Advise & Counsel, Writing review & editing
- 605 Marc Hanewinkel: Advise & Counsel, Supervision, Project administration, Writing review &
- 606 editing
- 607 Uwe Ulbrich: Conceptualization, Supervision, Funding acquisition, Project administration, Writing
- 608 review & editing
- 609 Benjamin Schmitz: Resources (provision of data), Data curation

610

# 1 12 Competing interests

612 Some authors are members of the editorial board of journal NHESS.

# 613 13 Declaration of AI tools used in the writing process

- 614 The generative AI ChatGPT has been used to aid the writing process for parts of this text. It was
- 615 used solely to improve grammar and readability. The authors reviewed and edited all artificially
- 616 generated output carefully.

617

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