1 Storm damage beyond wind speed - Impacts of wind

2 characteristics and other meteorological factors on tree fall

3 along railway lines

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24 1 Abstract

- 25 Strong winter wind storms can lead to billions in forestry losses, disrupt train services and amount
- 26 tonecessitate millions of Euro spend on vegetation management alongsidealong the German railway
- 27 system. Therefore, understanding the link between tree fall and wind is crucial.
- 28 Existing tree fall studies often emphasize tree and soil factors more than meteorology. Using a tree
- 29 <u>fall</u> dataset from Deutsche Bahn (2017-2021) and meteorological data from ERA5 reanalysis and
- 30 RADOLAN radar, we employed stepwise model selection to build a logistic regression model
- 31 predicting the risk of a tree falling on a railway line in a 31 km grid cell.
- 32 While daily maximum gust speed is the strongest risk factor, we also found that daily duration of
- 33 strong wind speeds, precipitation, soil water volume, air density and the precipitation sum of the
- 34 previous year increase tree fall risk. A high daily gust factor decreases the risk. Using interaction
- 35 terms between maximum gust speed and duration of strong wind speeds as well as gust factor
- 36 improves the model performance. Therefore, our findings suggest that high and prolonged wind-
- 37 speeds, especially in combination with wet conditions (high precipitation and high soil moisture)
- 38 and a high air density, increase tree fall risk. Incorporating meteorological parameters linked to
- 39 local climatological conditions (through anomalies or in relation to local percentiles) improved the
- 40 model accuracy. This indicates the importance of taking tree adaptation to the environment into
- 41 account.
- 42 While daily maximum gust speed (the maximum wind speed in a model time step at 10 m height) is
- 43 the strongest risk factor, we also found that the duration of strong wind speeds (wind speeds above
- 44 the local 90th percentile), the gust factor (the ratio of maximum daily gust wind speed to the mean
- 45 <u>daily gust speed</u>), precipitation, soil water volume, air density, and the precipitation sum of the
- 46 previous year are impactful. Therefore, our findings suggest that high wind speeds, a low gust
- 47 <u>factor</u>, and prolonged duration of strong winds, especially in combination with wet conditions (high
- 48 precipitation and high soil moisture) and high air density, increase tree fall risk. Incorporating
- 49 meteorological parameters linked to local climatological conditions (through anomalies or in
- 50 relation to local percentiles) improved the model accuracy. This indicates the importance of
- 51 considering tree adaptation to the environment.
- 52 **Key words:** tree fall, storm damage, railway traffic, logistic regression, gust speed, wind

54 2 Introduction

- 55 HighStrong wind speeds are a major factor leading to tree fall and are therefore a threatrisk both to
- 56 the railway service and forestry. Strong winter wind storms can cost billions of euros in loss for
- 57 forestry(Gliksman et al., 2023). These loss—es have been increasing for the last decades (Gregow,
- Laaksonen and Alper, 2017). Additionally, there is an interconnection between storm damage and
- 59 other ecological risks like droughts and bark beetle infestation in summer or unfreezing of soils in
- 60 winter which put further stress on forest ecosystems and are likely to change in a warming climate
- 61 (Gregow, 2013; Temperli, Bugmann and Elkin, 2013; Seidl, Rammer and Blennow, 2014;
- 62 Stadelmann et al., 2014).
- 63 (DB, 2023). to prevent railway traffic disruption (DB, 2023) In 2018, the German railway service
- 64 provider Deutsche Bahn upgraded it's vegetation related budget, spending more money and
- 65 occupying more personnel for storm safety regarding railway vegetation. Currently about 125
- 66 Million Euro each year are spent on vegetation management In 2018, Deutsche Bahn increased its
- 67 <u>budget for vegetation management to enhance storm safety, now spending approximately 125</u>
- 68 million Euros annually (DB, 2023). And yet the cost of tree fall remains of the order of millions of
- 69 Euro per year (Messenzahl Meßenzehl, 2019). of the railway tracks are lined by trees and forests,
- 70 eausing the need for continuing vegetation management. Since 2018 the Deutsche Bahn is
- 71 employing more than 1000 workers monitoring and maintaining the railway vegetation 68% Sixty
- 72 <u>eight percent</u> With 68% of railway tracks lined by trees and forests, ongoing management is
- 73 necessary. Since 2018, over 1,000 workers have been employed to monitor and maintain railway
- 74 <u>vegetation</u> (DB, 2023). Despite such measurements there were on average 3062 tree fall events per
- 75 year in the years from 2017 to 2021, causing disruptions and delay in the railway service as well as
- 76 damage to the infrastructure. Despite these efforts, there was an annual average of approximately
- 77 3,000 tree fall incidents from 2017 to 2021, causing service disruptions and infrastructure damage.—
- 78 In recent years the interest in the topic has increased and a number of studies on tree fall hazards
- 79 appeared, showing that this not only a problem for the German railway network In recent years the
- 80 interest in the topic has increased. A number of studies on tree fall hazards show that this problem is
- 81 also present outside the German railway network (Bíl et al., 2017; Koks et al., 2019; Kučera and
- 82 Dobesova, 2021; Szymczak et al., 2022).
- 83 Therefore, it is vital to study the connection relationship of tree fall and wind. Such research can add

- 84 value to aids the management of vegetation alongside transportation routes as well as the
- 85 development of climate resilient forests. Additionally, it can aid in identifying and removing trees at
- 86 risk to mitigate potential damage. There are many studies which investigate the impact of wind
- 87 speed on tree fall, including tree motion measurements and tree pulling experiments (Peltola et al.,
- 88 2000; Kamimura et al., 2012; Schindler and Kolbe, 2020; Jackson et al., 2021), mechanistic
- 89 modelling (Gardiner et al., 2008; Hale et al., 2015; Kamimura et al., 2016; Costa et al., 2023) as
- 90 well as statistical and machine learning approaches (Schindler et al., 2009; Schmidt et al., 2010;
- 91 Hanewinkel et al., 2014; Hale et al., 2015; Jung et al., 2016; Kamimura et al., 2016; Kamo,
- 92 Konoshima and Yoshimoto, 2016; Hart et al., 2019; Zeppenfeld et al., 2023). Among the statistical
- 93 approaches, logistic regression models are very common and are also used in our study. Numerous
- 94 existing studies on storm damage focus on a single storm event or a small spatial region.
- 95 Consequently, there is a need for long-term and large-scale investigations in this field.
- 96 Additionally, previous studies mainly analyse the impact of tree, stand and soil related factors on
- 97 wind-induced damages but often exclude -metrology. Those which consider meteorological
- 98 predictors often focus on the relationship between tree damage and mean or maximum wind speeds
- 99 (Schindler et al., 2009; Jung et al., 2016; Morimoto et al., 2019). Yet, there are some other wind-
- 100 related meteorological predictors which are considered in previous works and which we will
- 101 consider as well:
- 102 -To account for the turbulent aspect of wind some studies employ the gust factor. There are
- different understandings of the term gust factor in the fields of meteorology and forestry. In forestry
- 104 the gust factor is often referred to as the ratio of maximum to mean bending moment experienced
- 105 by a tree (Gardiner et al., 1997). In the following we define the gust factor as the ratio of the
- 106 maximum short-term averaged wind speed over a duration t to a long-term averaged wind speed
- 107 over a duration TIn other works the gust factor is defined as the ratio of the maximum short-term
- 108 averaged wind speed over a shorter duration t s to a long-term averaged wind speed over a longer
- 109 duration *Ft 1* (Ancelin, Courbaud and Fourcaud, 2004; Mohr et al., 2017; Gromke and Ruck,
- 110 2018)(Ancelin, Courbaud and Fourcaud, 2004; Gromke and Ruck, 2018). The durations t s and T
- 111 t l then need to be adapted to the specific research questions. Wind load is the wind force per area
- applied to a tree and the product of a trees specific drag coefficient, air density, a trees exposed
- 113 frontal area and wind speed (see Eq. 12). Wind load and air density are considered in a few studies
- on tree fall and storm damage (Schelhaas et al., 2007; Ciftci et al., 2014; Gromke and Ruck, 2018;

- 115 Sterken, 2021) as well as the wind direction (Akay and Taş, 2019). Finally, tThe role of wind event
- duration is also discussed in some literature (Kamimura et al., 2022; Gardiner et al., 2013; Mitchell,
- 117 2013) but seems to be understudied.
- 118 Next to wind, snow, frozen soils and precipitation have been identified as impactful meteorological
- 119 factors (Peltola et al., 2000; Gardiner et al., 2010; Pasztor et al., 2015; Kamo et al., 2016). For
- 120 example, heavy rain or snow during a storm event may add considerable weight to the crowns and
- 121 increase tree fall risk (Gardiner et al., 2010). A decrease of frozen soils in the past as well as in
- 122 future climate scenarios has been found for example for Finland, where it was connected to higher
- 123 risks of uprooting (Gregow, 2013). S
- 124 Soil moisture is also sometimes considered (Kamo et al., 2016; Csilléry et al., 2017), as excessive
- water in the soil is expected to weaken root anchorage (Kamimura et al., 2012). On the other hand,
- 126 the legacy effects of drought may cause lasting changes in tree physiology and weaken the tree
- 127 (Kannenberg, Schwalm and Anderegg, 2020; Zweifel et al., 2020; Haberstroh and Werner, 2022).
- 128 Therefore, droughts are expected to increase damage caused by wind (Gardiner et al., 2013). Yet,
- 129 Csilléry et al. (2017) found both positive and negative effects on tree damage. They suggest that in
- 130 some stands drought weakens the trees and makes them more vulnerable to wind loading while in
- 131 others dry soils make them less vulnerable towards overturning.
- 132 The goal of our study is, to identify meteorological parameters and parameter combinations that
- 133 have an impact on tree fall risk alongside railway lines in Germany over the long term and over a
- 134 large-seale area. We aim to develop a meteorology-based tree fall impact model, which is a first
- 135 step toward a more complex predictive tree fall model. On the one hand, such a predictive model
- could be used to identify areas at risk and support management decisions, for example, which trees
- 137 to cut down, especially when environmental and forest data are included at some point become
- 138 available and can be taken into account in the future. On the other hand, the model can be applied to
- 139 climate model data to identify future changes in tree fall risk. To accomplish this, we need to
- 140 identify meteorological parameters and parameter combinations that impact tree fall risk alongside
- 141 railway lines in Germany over the long term and across a large-scale area. We aim to deepen the
- 142 understanding of tree fall risk and wind and to explore how far wind-related parameters like daily
- 143 maximum gust speed, the gust factor, air density, wind load, the duration of strong wind speeds, or
- 144 wind direction have an impact on tree fall. We also examine the impacts of other predictors related
- 145 to meteorology that have been included in previous studies, such as soil moisture, precipitation,

- 146 snow, or soil frost. Additionally, we study legacy effects of dry and wet spells by including soil
- 147 water volume and precipitation in antecedent time periods.
- 148 We will introduce both the tree fall data as well as the meteorological data used in this study
- 149 (Chapter 3). We will describe the background theory and the selection process for the logistic
- 150 regression model (Chapter 4) and we will finally present (Chapter 5) and discuss (Chapter 6) our
- 151 results and conclude with our most important findings (Chapter 7).

152 **3 Data**

153 3.1 Tree fall data

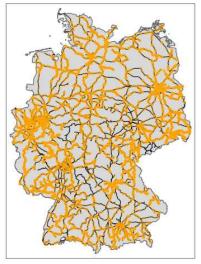


Figure 1: All tree fall events (orange dots) alongside railway lines (black lines) in Germany in the extended winter season (October - March) 2017-2021.

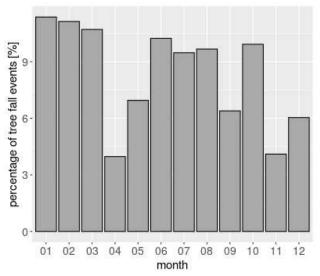


Figure 2: Yearly percentage of tree fall events alongside German railway lines for each month 2017-2021Percentage of tree fall events per month alongside German railway lines for the period 2017-2021.

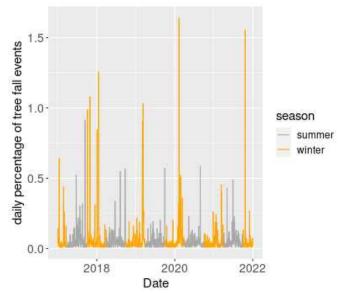


Figure 3: Daily number of tree fall events Percentage of tree falls per day relative to the total number of tree falls over the entire period alongside German railway lines. Summer and winter are colour coded. Most extreme peaks of event numbers are caused by winter wind storms, for example Friderike Friederike (18.01.2018), Sabine (20.02.2020) and Hendrik (21.10.2021).

- 154 Tree fall events along the German railway network were derived from a data set created by the
- 155 Deutsch Bahn (Figure 1). The data consists of disturbance events reported by rail drivers and local
- 156 inspectors. These reports were later merged into one data set by the-<u>railway infrastructure company</u>
- 157 Netz AGInfraGo AG (formerly callde Netz AG) of the Deutsche Bahn. It contains 15311 tree fall
- 158 events between 2017 and 2021. For each tree fall event, the date and time of the report, the
- 159 coordinate of the event and further railway related information like the route section number is
- 160 included.
- 161 The majority of tree fall events occur in December, January and February The highest monthly
- 162 numbers tree fall events occur from January to March and from June to August. There is also a peak
- 163 <u>in October</u> (Figure 2). but there are also high event numbers in June, July and August. The most
- 164 extreme peaks occur during the winter season and are connected to winter wind storm events The
- 165 most extreme daily numbers of tree fall occur during the winter season and are connected to winter
- 166 wind storm events due to extra-tropical cyclones (Figure 3).

167 3.2 Meteorological data

- We used hourly ERA5 data (Hersbach et al., 2020; C3S, 2022) for all meteorological parameters,
- 169 except precipitation. ERA5 (provided by the ECMWF, European Centre for Medium-Range
- 170 Weather Forecasts) is a reanalysis data set from 1940 to the present with a spatial resolution of
- 171 ~31km. It was accessed using the ClimXtreme Central Evaluation System framework (Kadow et al.,
- 172 2021). We performed our analysis only for the extended winter season (October to March) to focus
- on winter wind storms, which cause the most extreme peaks in tree fall events. We used hourly data
- 174 to calculate daily means, sums or maxima for each predictor (see Table 1) as well as local
- percentiles (2nd, 10th, 90th and 98th) in each grid cell over the years 2000 to 2019 for some predictors.
- 176 The CDO module (Climate Data Operators, Schulzweida (2023)) was used for each of these
- 177 operations. The advantage of using wind speeds from ERA5 is the coverage of the complete area
- 178 and period under investigation. Previous versions of the ECMWF reanalysis have successfully been
- 179 used to reproduce windstorm-related damage as recorded by the German Insurance Association
- 180 (Donat et al., 2010, 2011, Prahl et al., 2015), suggesting the usability of these data in spite of
- 181 deviations with local station measurements (Minola et al., 2020).
- 182 For precipitation data we used RADOLAN data provided by the German weather service (Bartels et
- al., 2004) with a spatial resolution of 1km. RADOLAN combines radar reflectivity, measured by the

- 184 16 C-band Doppler radars of the German weather radar network, and ground-based precipitation
- 185 gauge measurements.

186 4 Methods

- 187 In this section, we describe data pre-processing as well as the theoretical background and the model
- 188 selection process for the logistic regression model. The aim of this model is to calculate the
- 189 probability of at least one tree falling on a given day in a 31km grid cell, depending on
- 190 meteorological parameters. It is used to analyse the impact of a set of predictor variables.

191 4.1 Data Pre-Processing

- 192 A shape file of the German railway lines (DB, 2019) was used to mask the ERA5-grid and select all
- 193 grid cells in Germany that are crossed by at least one railway line. We calculated the rail density
- 194 (total length of all railway lines in km) for each grid cell in order to quantify exposition the length of
- 195 exposed railway lines.
- 196 Daily mean air density ρ was calculated as:

$$\rho = p/R \cdot T$$
Equation 1

- 197 werewhere p is the daily mean surface air pressure (hPa), T is the daily mean near-surface air
- 198 temperature (K) (both derived from ERA5 hourly data) and R is the universal gas constant, 8.314
- 199 (J·K⁻¹·mol⁻¹).
- 200 Daily precipitation sums were calculated from the hourly data. We then remapped the precipitation
- 201 radar data to the ERA5-grid using bilinear interpolation by applying the remapbil-function of CDO
- and thus ascribing daily precipitation sums to each grid cell. We calculated percentile exceedance of
- 203 the 2nd, 10th, 90th and 98th percentile for gust speed maxima, soil water volume and precipitation via
- 204 the relation of the daily value and the local percentile.
- 205 Finally, we collected all these data for the month of October to March 2017 to 2021 in a data set
- 206 containing grid cell IDs, a variety of daily meteorological predictors (see Table 1), rail density and

- 207 the daily occurrence of at least one tree fall event in the grid cell given as True or False. This data
- 208 set contains only grid cells crossed by at least one railway line.

209 4.2 Logistic Regression

- 210 Logistic regression was used to relate the probability of an event to a linear combination of
- 211 predictor variables which is converted with the logit link function into the scale of a probability:

$$logit(\Theta) = \ln\left(\frac{\Theta}{1 - \Theta}\right) = a + b_1 \cdot x_1 + b_2 \cdot x_2 + \dots + b_k \cdot x_k$$
Equation 2

- Equation 2
- 212 Here, θ is the probability of an event, x_{l-k} are the predictor variables, b_{l-k} are the estimated
- 213 coefficients and a is the intercept term. Equation 2 can be rearranged in the following way to
- 214 calculate the event probability (MacKenzie et al., 2018):

215
$$\Theta = \frac{\exp(a + b_1 \cdot x_1 + b_2 \cdot x_2 + \dots + b_k \cdot x_k)}{1 + \exp(a + b_1 \cdot x_1 + b_2 \cdot x_2 + \dots + b_k \cdot x_k)}$$

- 216 Equation 3
- 217 Interactions allow for expressing the dependence of two or more variables on each other in a model.
- 218 The effect (aka the estimated coefficient) for one predictor might change depending on the value of
- 219 another predictor. Compared to a model without interaction (see Eq. 2) two predictors that are
- 220 assumed to haven an influence on each other are multiplied and a coefficient is estimated for this
- 221 new term resulting in:

222
$$\Theta = \frac{\exp(a + b_1 \cdot x_1 + b_2 \cdot x_2 + b_3 \cdot x_1 \cdot x_2 \dots + b_k \cdot x_k)}{1 + \exp(a + b_1 \cdot x_1 + b_2 \cdot x_2 + b_3 \cdot x_1 \cdot x_2 + \dots + b_k \cdot x_k)}$$
Equation 4

- 223 where b_3 is the estimated coefficient for the interaction of the predictors x_1 and x_2 . It represents how
- 224 the effect of x₁ on the event probability changes with x₂ (and vice versa). A significant b₃ would
- 225 indicate that the effect of x_1 on the probability is different at different levels of x_2 .
- 226 For quantifying the model's forecast quality we use the Brier Skill Score (BSS) which is based on
- 227 the Brier Score (BS) (Wilks, 2011):

$$BS = \frac{1}{N} \sum_{i=1}^{N} (f_i - o_i)^2$$
Equation 5

228 where N is the number of observations, f is the forecast probability and o is the outcome (either 1 or

229 0). The BSS is then calculated as:

$$BSS=1-BS/BS_{ref}$$
Equation 6

231 where BS is the modelled Bier Score and BS_{ref} is the score of a reference model, in this case a model

32 that simply assumes the mean tree fall probability in each grid cell. This mean probability is used as

233 the forecast probability f in BS_{ref} and compared to the outcome o. The BSS ranges from $-\infty$ to 1

where a positive value indicates that the model is better than the reference model. For calculating

235 the BSS we use 10-fold cross validation. Here, the data set is randomly divided in ten equal

236 sequences. The model is trained on nine sequences while the BS score is calculated for the tenth

sequence and used for validation. This is repeated ten times, each time using a different sequence

238 for the validation.

239 We selected a set of meteorological parameters based on the literature cited in the introduction and

240 grouped them into eleven predictor classes, e.g. "wind", "snow" and "precipitation" (see Table A 1+

for full list of predictors and classes). To test for legacy effects we also include precipitation sum

242 and soil water volume from antecedent time periods of 3 months, 9 months and one year. The goal

is not to build the "perfect" model but to examine which predictor classes influence tree fall, which

are not influential and which predictors are most clearly improving the skill of the model against the

245 basic reference model.

246 Since the length of railway lines in a grid cell is highly influential on the tree fall probability, this

247 variable is included as well.

248 We were interested in the impact of each predictor class and also the predictor modifications (for

9 example anomalies or relations to local percentiles) which improve the model skill the most. At the

250 same time we wanted to avoid multi-collinearity. Therefore, model selection followed twothree

251 criteria:

- 252 1. There must be exactly one predictor from each predictor class in the model. 1. There must be
- 253 exactly one predictor from each predictor class in the model (see Table A-1 for
- 254 <u>full list of predictors and classes</u>)
- 255 2. Only the predictor of each class improving the model's BSS the most is added to the model.
- 256 3. The predictor has to be significant with p < 0.05 based on the Student's t-test.
- 257 We then moved gradually from class to class. We added and removed each of the predictors in the
- 258 class in a stepwise approach, keeping only the class predictor with the best BSS performance.
- 259 We assume gust speeds to be the key predictor but interactions with other predictors that influence a
- 260 trees vulnerability are likely. Therefore, we added interaction terms between daily maximum gust
- 261 speed and each other model predictor in the model in the same stepwise approach. Again, we only
- 262 kept the if the interaction term if it improved the model's BSS.
- 263 After adding all predictors to the model we tested for multicollinearity. Multicollinearity exists
- 264 when two ore more predictors in a regression model are moderately or highly correlated with one
- another. We used the Variance Inflation Factor (VIF) to test for multicollinearity:

$$VIF_{j} = \frac{1}{1 - R_{j}^{2}}$$
Equation 7

- 266 where R_i^2 is the R^2 -value obtained by regressing the j_{th} predictor on the remaining predictors. All
- 267 predictors with a VIF<5 were considered to have no critical multicollinearity (Sheather S., 2009)
- 268 (Sheather, 2009).
- 269 We calculated the standardized effect size for each predictor to estimate their effects on tree fall
- 270 probability compared to each other. For this, we standardized the absolute value of the predictors
- 271 estimated coefficient by calculating the standardized coefficient or beta coefficient:

$$\beta = b_{j} \frac{s_{xj}}{s_{y}}$$
Equation 8

- 273 where b_i is the estimated coefficient for the j^{th} predictor, s_{xj} is the standard deviation of the
- independent predictor x_i and s_y is the standard deviation of the dependent variable y.
- 275 Finally, we tested the significance of each independent variable in the model. We kept only those
- 276 independent variables that are significant (with p < 0.05 based on a two-tailed z-test the Student's t-
- 277 <u>test</u>) and then continued analysis with this reduced model.

278 5 Results

- 279 In this section we describe the selected model and the impact of the model predictors on tree fall
- 280 risk.
- 281 According to the selection criteria described in section 4 the resulting model (using the McCullagh
- 282 and Nelder (1989) model notation) is

tree fall ~
$$rd$$
 + v_{max_anom} + dur_{90} + gf + $sin(2*pi/360*winddir)$ + $cos(2*pi/360*winddir)$ + sd + $T_{slfrost}$ + pr_{90} + $swvl_{anom}$ + pr_365 + $swvl_365$ + ρ + v_{max_anom} : dur_{90} + v_{max_anom} : gf Equation 9

- 284 Explanations for the different predictor abbreviations are given in Table A-1. This model predicts
- 285 the tree fall risk for each grid cell using the meteorological variables of each cell as input. The terms
- 286 <u>v_{max_anom}:dur₉₀ and v_{max_anom}:gf represent the interactions of gust speed with duration and gust factor.</u>
- 287 They serve to account for the fact that the individual parameters do not change tree fall risk
- 288 <u>independently. Their impact in the model becomes apparent mainly on days with relatively high</u>
- 289 wind speeds. See section 6.3 for further discussion of this effect. Sine and cosine terms are used for
- 290 winddir to ensure that the tree fall probability as a function of winddir has the same values at 0° and
- 291 360°. This model predicts the tree fall risk for each grid cell using the meteorological variables of
- 292 each cell as input. Theis models BSS is 0.069, compared to a BSS of 0.0637 for 293

tree fall
$$\sim rd + v_{max}$$

Equation 10

- 294 showing an improvement of model skill when using additional meteorological predictors compared
- 295 to just rail density rd and daily maximum gust speed v_{max} .

- 296 In Table 1 the predictors, their definitions and corresponding model coefficients and metrics are
- 297 listed. All coefficients except those for snow depth (sd), soil frost ($T_{slfrost}$) and the mean soil water
- 298 volume during the previous year (swvl 365) are significantly different from zero. We find highest
- 299 effect sizes (with absolute standardized coefficients greater than one) for gust speed anomaly
- 300 $(v_{max anom})$, the interaction of gust speed anomaly and duration of strong wind speeds (dur_{90}) , the
- 301 interaction of gust speed anomaly and the gust factor (gf), rail density (rd) and the duration of
- 302 strong wind speeds. Interactions between gust speed anomaly and other predictors (except duration
- 303 of strong wind speeds and gust factor) do not improve the model's BSS.
- 304 For daily precipitation, daily soil water volume and daily maximum gust speed we compare
- 305 unmodified predictors and predictors related to local conditions (by using anomalies or percentiles)
- 306 and find that the latter improve the BSS more with pr_{90} , $swvl_{anom}$ and $v_{max\ anom}$ being the best
- 307 predictors.
- To test for multicollinearity, we use the VIF and find all values to be below five and therefore not
- 309 critically correlated with each other. Interaction terms are excluded from this as they are naturally
- 310 highly correlated with the interaction partners.
- 311 In a second step we adapt the model and identify all non-significant predictors: sd, $T_{slfrost}$ and the
- 312 swvl 365. To reduce model complexity we remove these predictors. After removing the three non-
- 313 <u>significant -predictors the BSS remains 0.069.</u> This results in the following model:

```
tree fall \sim rd + v_{max\_anom} + dur_{90} + gf + sin(2*pi/360 * winddir) + cos(2*pi/360 * winddir) + pr_{90} + swvl_{anom} + pr_365 + \rho + v_{max\_anom}: dur_{90} + v_{max\_anom}: gf
Equation 11
```

- 316 We find that the rail density, anomaly of daily maximum gust speeds $v_{max \ anom}$, duration of strong
- 317 wind speeds based on the local 90th gust speed percentile dur_{90} , gust factor gf, wind direction
- 318 winddir, precipitation related to the local 90th percentile pr_{90} , soil water volume anomaly $swvl_{anom}$,
- 319 and precipitation sum in the previous year per 365, air density ρ as well as the two interactions of
- 320 the gust speed anomaly with either gust factor or duration of strong wind speeds were significant,
- 321 improved the model's BSS and therefore meet the model selection criteria. The BSS of this model
- 322 remains 0.069. This model is used to plot the functional relationships between tree fall probability
- and the meteorological predictors (Figure 4). For these plots one model parameter is varied while

the others are fixed to a certain value (detailed in the caption of Figure 4)- that was determined 325 during a previous data exploration. For the fixed values of v_{max anom} and dur₉₀ we picked 18 m/s and 5 hours, which represent values of a short but strong winter storm. 18 m/s are exceeded on about 326 0.5% of days and thus occur approximately two days a year. For swvlanom and proof we selected values 327 328 that represent a dry situation, thus very low soil moisture and very low precipitation. For wind 329 direction we picked a north-easterly wind. For the other variables $(pr 365, \rho)$ we chose the average 330 over the time period 2017-2021. Based on these plots and the standardized coefficients (Table 1) we 331 find a relatively strong increasing impact on tree fall risk for $v_{max \ anom}$, dur_{90} and rd. We find a relatively weak but still significant increasing impact for $swvl_{anom}$, pr_{90} , ρ and pr 365. We find a relatively strong decreasing effect for gf and a relatively weak impact for winddir with easterly to 334 south-easterly winds having a decreasing and westerly to north-westerly winds having an increasing 335 impact respectively.

Based on these findings, we propose that high and prolonged wind speeds, especially in combination with wet conditions (high precipitation and high soil moisture) and a high air density, increase tree fall risk.

Short	Definition	Coefficient	Standardized Coefficient	Std. Error	p	VIF
V _{max_anom}	Daily anomaly of v_{max} (difference to local monthly mean gust speeds at 10 m height speeds) [m/s]	0.1906	5.3527	0.0083	< 0.05	3.907
v_{max_anom} : dur_{90}	Interaction	0.0058	3.6927	0.0003	< 0.05	-
v_{max_anom} : gf	Interaction	-0.0246	-2.2063	0.0027	< 0.05	-
rd	Rail density - total length of all railway lines in a 31km grid cell [km]	0.0102	2.1946	0.0003	< 0.05	1.037
dur ₉₀	Daily number of hours where gust speed exceeds the local 90 th gust speed percentile [h]	-0.0491	-1.7746	0.0039	< 0.05	3.202
$swvl_{anom}$	Daily anomaly of the daily mean of soil water volume (<i>swvl</i>) at a depth of 28 – 100cm (difference to local monthly mean soil water volume) [m ³ m ⁻³]	4.9985	0.7136	0.4001	< 0.05	1.144
pr_{90}	Relation of <i>pr</i> to local 90 th	0.0019	0.6493	0.0002	< 0.05	1.247

Short	Definition	Coefficient	Standardized Coefficient	Std. Error	р	VIF
	precipitation percentile (pr/p90) [mm]					
gf	Gust factor: v_{max}/v_{mean} (the ratio of the maximum daily gust speed and the daily mean of the hourly maximum gust speeds at 10m heigth) [-]	0.1559	0.5193	0.0300	< 0.05	2.037
cos(2 * pi/360 * winddir)	Mean daily wind direction [°]	0.1843	0.3779	0.0273	< 0.05	1.099
ρ	Air density, see Eq. 1 [kg/m ³]	1.8108	0.2704	0.5274	< 0.05	2.109
sin(2 * pi/360 * winddir)	Mean daily wind direction [°]	-0.0916	-0.2178	0.0261	< 0.05	1.293
pr_365	Sum of daily precipitation sum for previous 365 days [mm]	0.0002	0.1974	0.0001	< 0.05	1.476
sd	Snow from the snow-covered area of an ERA5 grid box— (depth the water would have if the snow melted and was spread evenly over the whole grid box) [m]	0.4455	0.0422	0.6199	> 0.05	1.199
swvl_365	Sum of the daily mean of soil water volume at a depth of 28 – 100cm of the previous 365 days	-0.0966	-0.0235	0.2432	> 0.05	1.223
T _{slfrost}	Frozen soil: True or False (based on $T_{sl} < 0K$)	-9.0727	-0.0069	70.6317	> 0.05	1.000

Table 1 Model predictors (ordered by their effect size) and their corresponding model coefficients and metrics. Bold numbers indicate values below the required threshold for significance and multi correlation (with p < 0.05 based on a two-tailed z-testhe Student's t-test and VIF < 5). See Table $\underline{A12}$ for further details.

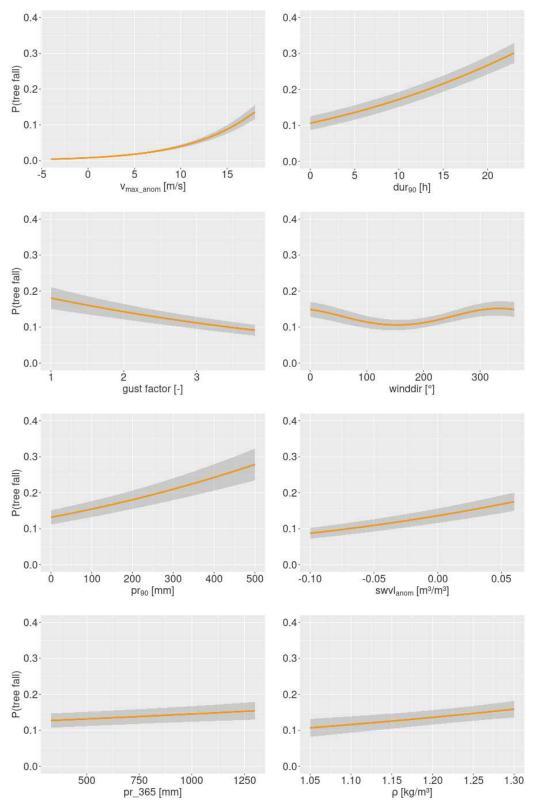


Figure 4: Changes in tree fall probability in an ERA5 grid cell with 100 km railway length (urban conditions) depending on different parameters. In each figure one model parameter is varied while the others are fixed to a certain value: $v_{max_anom} = 18 \text{ m/s}$; $dur_{90} = 5h$; gf = 2.2, ; $pr_{90} = 20 \text{mm}$; winddir = 41°; $swvl_{anom} = 0 \text{ m}^3 \text{ m}^{-3}$; $pr_365 = 663 \text{ mm}$; $\rho = 1.2 \text{ kg/m}^3$. Grey areas signify the confidence interval with a level of 95%.

343 6 Discussion

371

6.1 **Predictor Selection** 345 In previous studies on tree fall hazards that consider a statistical modelling approach, a large variety of potential influencing factors can be found. Most of them focus on tree, stand and soil properties 347 like tree age, height, tree species, forest type, soil type or slope (Mayer et al., 2005; Schindler et al., 2009; Kamo et al., 2016; Kabir, Guikema and Kane, 2018; Díaz-Yáñez, Mola-Yudego and 348 349 González-Olabarria, 2019; Hart et al., 2019; Gardiner, 2021; Wohlgemuth, Hanewinkel and Seidl, 2022). Meteorological predictors like precipitation or soil moisture are considered less often 350 351 (Schmidt et al., 2010; Hall et al., 2020). Wind is mostly considered as mean hourly or maximum wind speed (Hale et al., 2015; Morimoto et al., 2019; Hall et al., 2020). These limitations regarding 352 353 meteorological predictors are often also true for studies that consider tree fall on railway lines (Bíl 354 et al., 2017; Kučera and Dobesova, 2021; Gardiner et al., 2023). Additionally many of these studies 355 are both limited in their temporal and spatial range, often restricted to one region or one forest and only one or a few storm events (Hale et al., 2015; Kamimura et al., 2016; Kabir et al., 2018; Hart et 356 357 al., 2019; Zeppenfeld et al., 2023). In our study we focused on different types of meteorological predictors, including those that describe wind charecteristics but also predictors 358 359 describing precipitation and soil conditions at different time scales. We showed that meteorological predictors other than mean or maximum wind speed have a significant effect on tree fall risk and 360 361 improve model skill (with a BSS of 0.0637 for a model including only gust speed maximum and 0.069 for the full meteorological model). Furthermore, with a dataset ranging from 2017 to 2021 362 363 and covering the whole of Germany, our study investigates long-term and large-scale storm damage 364 modelling, which is still rare. The model selection process resulted in a model with ten independent variables and two 365 interactions, raising the possibility of over complexity. To account for this we calculated the Akaike 366 367 Information Criterion (AIC), which is a relative measure showing how well different models fit the data. It penalizes too high numbers of independent variables. The model with the lowest AIC value 368 369 is considered the best. We calculated the AIC for the resulting model as well as reduced versions of 370 the model in which we left out 1) the interactions, 2) all predictors with an absolute standardized

coefficient < 1 and 3) all predictors with an absolute standardized coefficient < 0.5. We find that our

- 372 selected model has the lowest AIC (56985.43) compared to options 1) to 3), (57339.14, 57512.49
- 373 and 57062.27 respectively).
- 374 In accordance with our results, many studies find wind speed to be associated with tree and forest
- 375 damage (Hale et al., 2015; Morimoto et al., 2019; Hall et al., 2020). We showed that other wind
- 376 properties like duration of strong wind speeds, gust factor, wind direction and air density are
- 377 influential, too.-<u>In our model the influence of the wind direction on tree fall risk is relatively small</u>
- 378 compared to the effect of the wind speed itself. Nonetheless, it appears that northwesterly winds
- 379 slightly increase tree fall risk. This seems counter-intuitive as this is the predominant wind direction
- 380 in Germany. One would assume the trees adapt to this and thus wind direction would have either no
- 381 effect or that easterly winds would increase tree fall risk (Bonnesoeur et al., 2016). An explanation
- 382 might be that westerly winds are on average stronger. ERA5 is not a perfect representation of local
- 383 winds and sometimes underestimates gust speeds (Molina, 2021). Thus, in cases where ERA5
- 384 underestimates the real gust speeds but shows westerly winds the wind direction might become a
- 385 proxy for stronger winds. While Akay and Taş (2019) found wind direction at three stations to be
- 386 one of the predictors with the highest impact on storm damage risk, it has a relatively small effect in
- 387 our model. The impact of wind direction might change with a Their result may be related to the role
- 388 of wind direction on wind speeds at stations located in an area with high orography, which is much
- 389 weaker in the rather coarse re-analysisERA5 data. Certainly there can also be a relationship of wind
- 390 direction and trees exposure, for example depending on the topography, the tree's acclimation to the
- 391 average local wind direction (Mitchell, 2013) or the location of the tree to an exposed edge (Quine
- 392 et al., 2021). We did not account for these factors. Future modelling might benefit by adding local
- 393 <u>tree wind exposure.</u>
- 394 Duration of strong winds is important because trees do not fail instantly but fail with repeated
- 395 swaying that fractures the root/soil system and this process can take many hours_(Kamimura et al.,
- 396 2022). Gust factor and air density are also known to be critical components in calculations of tree
- 397 wind damage risk (see Equations 4.4, 4.12 and 4.15 in (Quine, Gardiner and Moore, 2021)see
- 398 Equations 4.4, 4.12 and 4.15 in Quine et al. (2021).
- 399 -This paper for the first time shows clearly that storm duration, gust factor and air density are
- 400 important factors in calculating the risk of tree fall and they should be included in future studies and
- 401 modelling efforts.

- 402 We found both soil water volume anomaly as well as daily precipitation sum to have an increasing
- 403 impact on tree fall probability, which is in agreement with previous studies (Kamimura et al., 2016;
- 404 Hall et al., 2020). This could be due to the fact that heavy precipitation can contribute to the
- 405 accumulation of weight on tree crowns, consequently increasing wind-induced stress (Gardiner et
- 406 al., 2010) (Neild and Wood, 1999; Gardiner et al., 2010; Hale et al., 2015). Additionally, water
- 407 logged soils can have a negative affect on root anchorage (Kamimura et al., 2012; Morimoto et al.,
- 408 <u>2021)(Kamimura et al., 2012)</u>.
- 409 While Akay and Taş (2019) found wind direction to be one of the predictors with the highest impact
- 410 on storm damage risk, it has a relatively small effect in our model. The impact of wind direction
- 411 might change with a trees exposure, for example depending on the topography, the tree's
- 412 acclimation to the average local wind direction (Mitchell, 2013) or the location of the tree to an
- 413 expose edge (Quine et al., 2021). We did not account for these factors. Future modelling might
- 414 benefit by adding local tree wind exposure.
- 415 We also included predictors describing antecedent soil moisture and precipitation conditions,
- 416 namely mean soil water volume accumulation and precipitation sum of the previous twelve months.
- 417 Antecedent soil water volume is not significant in our model but the precipitation sum of the
- 418 previous year is, showing a weak increasing impact on tree fall risk. Previous research on the
- 419 impact of drought on tree damage are inconclusive. Csilléry et al. (2017) found both positive but
- 420 mainly negative effect on tree damage. They suggest that in some stands drought weakens the trees
- 421 and makes them more vulnerable to wind loading while in others dry soils make them less
- 422 vulnerable towards overturning. We suggest that further research considers antecedent weather
- 423 situations in more detail. For example, by including indices like the Standardized Precipitation-
- 424 Evapotranspiration Index (SPEI), which has been used in recent research on forest disturbance
- 425 (Klein et al., 2019; Gazol and Camarero, 2022). It is also likely that trees react very differently to
- 426 dry and wet conditions depending on their species, height or the soil type. Whenever such
- 427 information is available it should be included in the analysis.
- 428 Several studies have found snow and frozen soil to be influential (Peltola et al., 2000; Hanewinkel
- 429 et al., 2008; Kamimura et al., 2012; Kamo et al., 2016). Snow loading can apply stress on canopy
- 430 and branches and this stress can be increased by additional wind (Kamo et al., 2016) Kamo et al.,
- 431 <u>2016</u>; <u>Zubkov et al., 2023</u>). Frozen soil has been shown to prevent uprooting (Gardiner et al., 2010;
- 432 Pasztor et al., 2015). Yet, in our study snow and soil frost did not prove to be significant. This is

433 likely connected to the rare occurrence of such conditions in Germany between 2017 and 2021. On

434 average, over all model grid cells snow depth exceeded 0.05 m water equivalent only on 1.3% of all

435 winter days and soil frost occurred only 0.03 %. Our snow data is derived from ERA5 and is

436 therefore modelled data. In their evaluation of snow cover properties in ERA5 Kouki, Luojus and

437 Riihelä (2023) found that ERA5 generally over estimates snow water equivalent in the Northern

438 Hemisphere. Thus, snow coverage might even be lower than shown in our data. Using measured

439 instead of modelled snow data could potentially improve the modelling results.

440 For wind speed, precipitation and soil water volume we compared unaltered predictors with

anomalies and percentile exceedances. For all three parameter types, we found that predictors based

442 on percentile exceedances (pr_{90}) or anomalies ($swvl_{anom}$, $v_{max\ anom}$) improve the model's BSS the most

and thus, reflect the trees' ability to acclimate. Trees adapt to the local climate (Mitchell, 2013;

444 Gardiner, Berry and Moulia, 2016) and what might be windy or dry conditions for a tree in one

445 region might be average in another. When modelling tree damage over larger spatial regions, we

therefore suggest relating meteorological predictors to local climatological conditions, for example

447 by using anomalies or percentiles.

448 We found that air density has a positive impact on tree fall risk. As our model includes both

449 maximum gust speed and air density we considered wind load as a model predictor. Wind load is

450 proportional to air density and the square of wind speed:

451
$$wl = 1/2 C\rho A v^2$$
Equation 12

452 where C is a non-dimensional drag coefficient, ρ is the air density (kg/m³), A is the frontal area and

v is the wind speed (m/s) (Ciftci et al., 2014; Gardiner et al., 2016; Quine et al., 2021). Therefore,

454 wind load is highly correlated with wind speed. In our data, v_{max_anom} and wind load have a high

455 Pearson correlation coefficient of 0.95. Due to this, they should not be used together in a single

456 model since high correlation between parameters makes model interpretation difficult. As both the

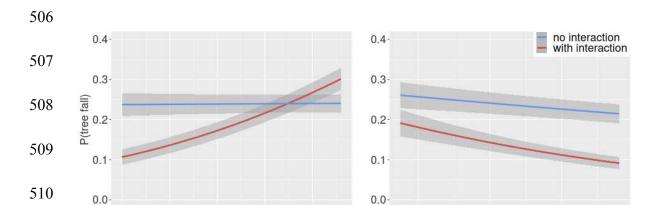
457 drag coefficient as well as the trees frontal area are unknown, we reduced the equation to:

- 459 We tested a model that used wind load instead of air density and $v_{max \ anom}$. We removed air density
- 460 from the predictors of Equation 11 and exchanged $v_{max anom}$ with wind load. We found a lower BSS
- 461 for this model of 0.0678 compared to 0.069. Yet, wind load is highly significant and has a strong
- 462 effect size with a standardized coefficient of 4.07. Additionally, the wind load model has a
- 463 marginally lower AIC (56980.45) than the original model (56985.43). Due to the lower BSS wl did
- 464 not meet the selection criteria in our modelling process. Yet, it is certainly influential on tree fall and
- 465 might add value to other impact models. We suggest considering it in future studies.

466 **6.2** The effect of interaction terms

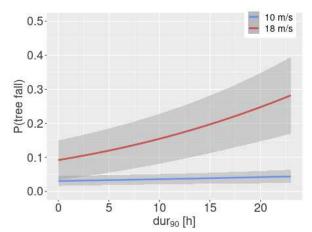
- 467 Interactions can show the combined effect predictors may have on model outcome and how the
- 468 effect of one predictor is changing depending on the value of the other. We tested if interaction
- 469 terms with gust speed anomaly add to the model skill and found positive results for the interaction
- 470 with duration of strong wind speeds as well as gust factor. Both predictor interactions improve the
- 471 BSS and are highly significant (see Table 1).
- 472 A low gust factor could be the result of a day with a high maximum gust speed and a high mean
- 473 gust speed as well as the result of a low maximum gust speed and a low mean gust speed. Thus, this
- 474 predictor lacks information without the interaction with maximum gust speed. The duration of
- 475 strong wind speeds depends on the local 90th gust speed percentile. As the average 90th percentile in
- 476 our data is 12 m/s, this allows for a wide range of gust speeds exceeding the percentile since v_{max}
- 477 greater than 30 m/s are possible during strong storms. Here too, does the interaction add missing
- 478 information to the model. Duration and gust factor are not strongly correlated (with a Spearman's
- 479 correlation coefficient at 0.15.) and therefore provide complementary information as long durations
- 480 are a accompanied by a vast range of gust factor values.
- 481 In Figure 5 the effect of duration of strong wind speeds and gust factor for the model with and
- 482 without interaction terms is compared. When the interactions are removed, the decreasing impact of
- 483 gust factor on tree fall probability is much smaller while duration of strong wind speeds seems to be
- 484 not at all connected to tree fall probability. The effect size of these predictors also decreases
- 485 strongly:- In a model without interactions, the standardized coefficient of the gust factor is -0.3181
- and of duration of strong wind speeds 0.0275 (compare Table 1). Only when we add the interaction
- 487 the impact of these predictors gets visible, thus showing their combined effect. Furthermore, the

- 488 model without interactions has a BSS of only 0.0678 compared to 0.069 for the model that includes
- 489 interactions (Eq. 11).
- 490 <u>Teh The</u> combined effect of the predictors is illustrated in Figure 6. We compare the model outcome
- 491 depending on the duration of strong wind speeds for two values of $v_{max \ anom}$, 10 m/s and 18 m/s.
- 492 Both represent values that exceed the 98th percentile of daily gust speeds in most grid cells, but one
- 493 represents a low exceedance while the other is very high. The duration of strong wind speeds has a
- 494 much stronger increasing impact on tree fall probability in the second scenario. This als fits with the
- 495 observations of Kamimura et al. (2022) who showed that even in a typhoon with very high wind
- 496 speeds the duration of the storm was important for damage to occur.
- 497 A high maximum daily gust speed could be the result of just one strong gust but also the result of a
- 498 stormy day with lasting high wind speeds. Adding additional wind properties like the gust factor or
- 499 duration of strong wind speeds can help differentiate between these scenarios. Figure 7 illustrates
- this. Here, we compare modelled tree fall probabilities for a day with a high gust factor and low
- 501 duration of strong wind speeds (a gusty day) and a day with a low gust factor and long duration of
- 502 strong wind speeds (a day of sustained high wind speeds). The relationship between $v_{max \ anom}$ and
- 503 tree fall probability is much weaker on the gusty day, showing how strongly the interaction with
- 504 additional wind properties can change tree fall risk.



20

Figure 5: Comparison of the effects of duration of strong wind speeds (dur₉₀, left) and the gust factor (gf, right) on tree fall risk for the model with and without interaction terms. Parameters are fixed to the same values as in Figure 4 with $v_{max_anom} = 18$ m/s. Grey areas signify the confidence interval with a level of 95%.



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durgo [h]

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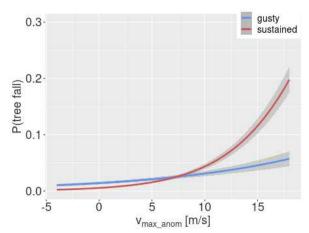
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Figure 6: Interaction effect of v_{max_anom} and storm duration for two different values of v_{max_anom} (10 m/s and 18 m/s). All other parameters are fixed to the same values as in Figure 4. Grey areas signify the confidence interval with a level of 95%.



2

gust factor [-]

з

Figure 7: Comparison of interaction effect. Gusty day: $dur_{90} = 2\underline{h}$ and gf = 5: AND sustained day: $dur_{90} = 12\underline{h}$ and gf = 2. All other parameters are fixed to the same values as in Figure 4. Grey areas signify the confidence interval with a level of 95%.

514 **6.3** Limitations

543

This study aimed, among other things, to create a meteorological basis for a predictive tree fall 516 model that can support decisions regarding the management of vegetation alongside transportation 517 routes, as well as climate-resilient forests. However, local ecological information (soil, tree species, stand structure, etc.) is not taken into account. Thus, the results are not representative of every 518 519 individual setting but rather for an average setting across Germany. 520 Many studies have pointed out the influence of tree, stand and soil factors (Mayer et al., 2005; 521 Kamo et al., 2016; Kabir et al., 2018; Díaz-Yáñez et al., 2019; Hart et al., 2019; Gardiner, 2021; 522 Wohlgemuth et al., 2022) on wind damage vulnerability. As the aim of our study was to focus on the role of meteorology, we did not add tree, soil or stand information. Thus, model results could 524 vary strongly if such information were to be incorporated. However, our results show clear evidence 525 for the importance of specific meteorological predictors in tree fall and storm damage modelling. 526 Thus, model results could vary if such information were to be incorporated. The tree fall risk 527 according to this model might vary at the same gust speed level for different trees and different stands. For example, Gardiner et al. (2024) demonstrated how critical wind speeds for tree fall 528 529 along railway lines vary significantly depending on factors such as tree height, canopy shape, and 530 whether the tree is coniferous or deciduous. However, our results show clear evidence for the 531 importance of specific meteorological predictors in tree fall and storm damage modelling. Finding the specific relationships for meteorological predictors and different tree species, forest types and 532 533 soil types should be the next step in understanding the impact of different meteorological conditions 534 on wind damage. In the data set about 25% of tree fall events occur at maximum daily gust speed below 11 m/s. On the one hand, these tree fall events might be caused by processes unrelated to meteorology. On the other hand, these events might be related to meteorological events not resolved by the ERA5 539 reanalysis. Due to the relatively coarse resolution of ERA5 For example, convection is not explicitly 540 resolved by the underlying atmospheric model of ERA5. Therefore, the wind speeds caused by heavy thunderstorms convective events are likely to be underestimated. Additionally, Fthe coarse 542 resolution of ERA5 is generally suboptimal when trying to connect small scale events such as a

reanalysis data set covering the years 2017 to 2021. While evaluations of ERA5 gust speeds with

single tree fall with meteorological data. Yet, at the time of our research ERA5 was the only

- observational data point out some limitations they also find the data in general to be a good
- 546 representation of local measurements. Molina (2021) compare hourly 10 m wind speed from ERA5
- 547 with wind observations from 245 stations across Europe. They find that "Most of the stations"
- 548 exhibit hourly [Pearson correlation coefficients] ranging from 0.8 to 0.9, indicating that ERA5 is
- 549 able to reproduce the wind speed spectrum range [...] for any location over Europe". Minola (2020)
- 550 compare ERA5 with hourly near-surface wind speed and gust observations across Sweden for
- 551 2013–2017. They, too, find Pearson correlations of 0.8 and higher for daily maximum gust speeds.
- However, they do point out that sevident discrepancies are still found across the inland and
- 553 mountain regions" and that higher wind speeds and gust speeds display stronger negative biases.
- 554 Data with higher spatial resolutions that include convective effects might help in understanding the
- 555 effects of thunderstorms and other small-scale phenomena in future research. There is already some
- 556 concern that such phenomena are becoming more problematic in Europe (Suvanto et al., 2016;
- 557 Sulik and Kejna, 2020).
- 558 The adding and removal of model predictors during the stepwise model selection process caused
- only very small changes in the model's BSS, which was very low to begin with. This is quite likely
- 560 connected to all of the limitations listed above. Models which are able to add tree, soil or stand data
- or have access to meteorological data of a higher spatial resolution will likely produce better model
- skill and be able to examine the relationships of tree fall and meteorology in more detail.
- 563 Nonetheless, our approach provides clear evidence of which meteorological predictors have a
- significant impact and indicates the magnitude of their effect.

565 7 Conclusion

- 566 Our aim was to investigate the relationship between tree fall onto railway lines and wind as well as
- other meteorological conditions. For this, we used a stepwise approach to build a logistic regression
- 568 model predicting the tree fall risk.
- 569 We showed that high and prolonged wind speeds, especially in combination with wet conditions
- 570 (high precipitation and high soil moisture) and a high air density, increase tree fall risk. We find a
- 571 relatively strong increasing impact on tree fall risk for daily maximum gust speeds anomaly and
- 572 duration of strong wind speeds. We find a relatively weak but still significant increasing impact for
- 573 the daily soil water volume anomaly, the daily precipitation exceedance of the 90th percentile, daily

- 574 air density and the precipitation sum of the previous year. We find a relatively strong decreasing
- 575 effect for the gust factor and a relatively weak impact for wind direction with easterly to south-
- 576 easterly winds having a decreasing and westerly to north-westerly winds having an increasing
- 577 impact. Snow and soil frost predictors which have been found important in past research have no
- 578 significant impact in our model.
- 579 To account for potential acclimation of trees to local climate we compared unmodified predictors
- 580 and predictors related to local conditions (by using anomalies or percentiles) for daily precipitation,
- 581 daily soil water volume and daily maximum gust speed. We find that the latter predictors, which
- 582 reflect acclimation, improve the model's skill the most.
- 583 Finally we showed that the inclusion of interaction terms improved the model's skill score, changed
- 584 modelled risk probabilities and helped to illustrate the combined effect meteorological predictors
- 585 may have on tree fall probability.
- 586 Many previous studies on tree fall and forest storm damage are restricted to a single event or small
- 587 research region. Additionally, past research has primarily focused on tree, soil and stand parameters.
- 588 When studies have taken meteorology into account they often implemented only mean or maximum
- 589 gust speeds. We were able to conduct a long-term and large-scale study on tree fall risk and were
- 590 able to show that other wind related parameters such as gust factor, duration of strong wind speeds
- 591 or air density as well as other predictors related to meteorology, including precipitation and soil
- 592 moisture, have a significant impact on tree fall risk. Our results also highlight the importance of
- 593 using anomalies or relations to local percentiles for meteorological predictors in large scale studies
- 594 to account for the acclimation of trees to their local climatic conditions.
- 595 This work is a step towards future research on the topic of wind damage and tree fall. It shows how
- 596 meteorological factors can be incorporated into a probabilistic tree fall model. Such a model can be
- 597 applied to climate model data to estimate changes in tree fall risk in future climate scenarios. We
- 598 aim to elaborate on these goals in future research.

599 8 Appendix

Predictor class	Short name	Definition	Unit	
Wind	v_{max}	Maximum daily gust speed of the maximum 3 second wind at 10 m height	m/s	
	v_{mean}	Daily mean of the hourly maximum gust speeds	m/s	
	$v_{max}2d$	Maximum daily gust speed of current and previous day	m/s	
	V _{max_90}	Relation of v_{max} to local 90 th gust speed percentile ($v_{max}/p90$)	[-]	
	V _{max_98}	Relation of max. daily gust speed to local 98 th gust speed percentile ($v_{max}/p98$)	[-]	
	V _{max_anom}	Daily anomaly of v_{max} (difference to local monthly mean gust speeds)	m/s	
	wl	Wind load: Wind force per area applied to a tree, see Eq. 13	N/m²	
Air density	ρ	Air density, see Eq. 1	kg/m ³	
Duration of strong wind speeds	dur ₉₀	Daily number of hours where gust speed exceeds the local 90 th gust speed percentile	h	
	dur ₉₈	Daily number of hours where gust speed exceeds the local 98 th gust speed percentile	h	
	dur ₉₀ _2d	Number of hours where gust speed exceeds the local 90 th gust speed percentile during current and previous day	h	
	dur ₉₈ _2d	Number of hours where gust speed exceeds the local 98 th gust speed percentile during current and previous day	h	
Wind direction	winddir	Mean daily wind direction	0	
Gust factor	gf	Gust factor - v_{max}/v_{mean} (the ratio of the maximum daily gust speed and the daily mean of the hourly maximum gust speeds at 10m heigth)	[-]	
precipitation	pr	Daily precipitation sum derived from hourly RADOLAN radar data	mm	
	pr_log	$\log(1+pr)$	mm	
	pr_{90}	Relation of pr to local 90 th precipitation percentile ($pr/p90$)	[-]	
	pr_{98}	Relation of pr to local 98 th precipitation percentile ($pr/p98$)	[-]	
	pr_{90}_T	Exceedance local 90 th precipitation percentile: True or False	[T,F]	
	pr_{98}_T	Exceedance local 98 th precipitation percentile: True or False	[T,F]	
Snow	sf	Daily sum of snow that falls to the Earth's surface	m of water equivalent	
	sd	Snow from the snow-covered area of an ERA5 grid box -	m of water	

		depth the water would have if the snow melted and was spread evenly over the whole grid box	equivalent
	sf_T	Snow is present: True or False (based on sf)	[T,F]
	sd_T	Snow is present: True or False (based on <i>snd</i>)	[T,F]
Soil temperature	T_{sl}	Daily mean of soil temperature at a depth of 28 – 100cm	K
	T_{sl98}	Relation of T_{sl} to local 98 th T_{sl} percentile $(T_{sl}/T_{sl}98)$	[-]
	T_{sl90}	Relation of T_{sl} to local 90 th T_{sl} percentile $(T_{sl}/T_{sl}90)$	[-]
	T_{sl10}	Relation of T_{sl} to local 10 th T_{sl} percentile $(T_{sl}/T_{sl}10)$	[-]
	T_{sl02}	Relation of T_{sl} to local 2 nd T_{sl} percentile $(T_{sl}/T_{sl}02)$	[-]
	T_{sl98}_T	Exceedance local 90 th T_{sl} percentile: True or False	[T,F]
	T_{sl90}_T	Exceedance local 98 th T_{sl} percentile: True or False	[T,F]
	T_{sl10}_T	Exceedance local 10^{th} T_{sl} percentile: True or False	[T,F]
	T_{sl02}_T	Exceedance local 2^{nd} T_{sl} percentile: True or False	[T,F]
	T _{sl} _anom	Daily anomaly of T_{sl} (difference to local monthly mean soil temperature)	K
	$T_{slfrost}$	Frozen soil: True or False (based on $T_{sl} < 0$ K)	[T,F]
Soil moisture	swvl	Daily mean of soil water volume at a depth of 28 – 100cm	m ³ m ⁻³
	swvl ₉₈	Relation of swvl to local 98th swvl percentile (swvl/swvl98)	[-]
	swvl ₉₀	Relation of swvl to local 90th swvl percentile (swvl/swvl90)	[-]
	swvl ₁₀	Relation of swvl to local 10 th swvl percentile (swvl/ swvl10)	[-]
	$swvl_{02}$	Relation of swvl to local 2 nd swvl percentile (swvl/swvl02)	[-]
	swvl ₉₈ _T	Exceedance local 90th swvl percentile: True or False	[T,F]
	swvl ₉₀ _T	Exceedance local 98th swvl percentile: True or False	[T,F]
	swvl ₁₀ _T	Exceedance local 10 th swvl percentile: True or False	[T,F]
	$swvl_{02}_T$	Exceedance local 2 nd swvl percentile: True or False	[T,F]
	SWVl _{anom}	Daily anomaly of <i>swvl</i> (difference to local monthly mean soil water volume)	m ³ m ⁻³
Antecedent soil noisture	swvl_30	Sum of swvl for previous 30 days	m ³ m ⁻³
	swvl_90	Sum of swvl for previous 90 days	m ³ m ⁻³
	swvl_365	Sum of swvl for previous 365 days	m ³ m ⁻³
Antecedent precipitation	pr_30	Sum of pr for previous 30 days	mm
	pr_90	Sum of pr for previous 90 days	mm
	pr_365	Sum of <i>pr</i> for previous 365 days	mm

Table_A-1:List of meteorological predictors tested in the logistic regression model (ECMWF, 2023).

Table 2: List of meteorological predictors tested in the logistic regression model (ECMWF, 2023).

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604 10 Data availability

- 605 Due to the data protection policies of the data provider Deutsche Bahn, the data cannot be made
- 606 available.

607

608 11 Author contribution

- 609 Rike Lorenz: Data curation, Formal analysis, Methodology, Software, Visualization, Writing –
- 610 original draft preparation, Writing review & editing
- 611 Nico Becker: Conceptualization, Supervision, Project administration
- 612 Barry Gardiner: Advise & Counsel, Writing review & editing
- Marc Hanewinkel: Advise & Counsel, Supervision, Project administration, Writing review &
- 614 editing
- 615 Uwe Ulbrich: Conceptualization, Supervision, Funding acquisition, Project administration, Writing
- 616 review & editing
- 617 Benjamin Schmitz: Resources (provision of data), __Data curation

619 12 Competing interests

- 620 Some authors are members of the editorial board of journal NHESS. The authors declare that they
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Declaration of AI tools used in the writing process

- 623 The generative AI ChatGPT has been used to aid the writing process for parts of this text. It was
- 624 used solely to improve grammar and readability. The authors reviewed and edited all artificially
- 625 generated output carefully.

626

627 14 References

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