



- 1 Nocturnal Atmospheric Synergistic Oxidation Reduces the Formation of Low-volatility
- 2 Organic Compounds from Biogenic Emissions
- 3 Han Zang¹, Zekun Luo¹, Chenxi Li¹, Ziyue Li¹, Dandan Huang^{2,*}, Yue Zhao^{1,*}
- ¹School of Environmental Science and Engineering, Shanghai Jiao Tong University, Shanghai,
- 6 200240, China
- 7 ²Shanghai Academy of Environmental Sciences, Shanghai, 200233, China
- 8 *Correspondence: Yue Zhao (yuezhao20@sjtu.edu.cn); Dandan Huang (huangdd@saes.sh.cn);

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Abstract

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29 30 Volatile organic compounds (VOCs) are often subject to synergistic oxidation by different oxidants in the atmosphere. However, the exact synergistic oxidation mechanism of atmospheric VOCs and its role in particle formation remain poorly understood. In particular, the reaction kinetics of the key reactive intermediates, organic peroxy radicals (RO₂), during synergistic oxidation is rarely studied. Here, we conducted a combined experimental and kinetic modelling study of the nocturnal synergistic oxidation of α-pinene (the most abundant monoterpene) by O₃ and NO₃ radicals as well as its influences on the formation of highly oxygenated organic molecules (HOMs) and particles. We find that in the synergistic $O_3 + NO_3$ regime, where OH radicals are abundantly formed via decomposition of ozonolysis-derived Criegee intermediates, the production of CxHvOz-HOMs is substantially suppressed compared to that in the O₃-only regime, mainly because of the termination of α-pinene RO₂ derived from ozonolysis and OH oxidation by those arising from NO₃ oxidation. Measurement-model comparisons further reveal that the termination reactions between ozonolysisand NO₃-derived RO₂ are on average 10 - 100 times more efficient than those of OH- and NO₃derived RO2. Despite a strong production of organic nitrates in the synergistic oxidation regime, the substantial decrease of C_xH_yO_z-HOM formation leads to a significant reduction in ultralow- and extremely low-volatility organic compounds, which significantly inhibits the formation of new particles. This work provides valuable mechanistic and quantitative insights into the nocturnal synergistic oxidation chemistry of biogenic emissions and will help to better understand the formation of low-volatility organic compounds and particles in the atmosphere.

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1. Introduction

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The Earth's atmosphere is a complex oxidizing environment in which multiple oxidants coexist. 32 During the nighttime, NO₃ radicals (generated by the reaction of NO₂ and O₃) and O₃ contribute 33 34 significantly to the oxidation of volatile organic compounds (VOCs) (Huang et al., 2019), while 35 during the daytime, the fast photolysis of NO₃ radicals and rapid photochemical formation of OH radicals and O₃ make the latter two the major oxidants for VOCs (Zhang et al., 2018). Therefore, 36 37 the degradation of ambient VOCs is subject to concurrent oxidation by different oxidants. Gas-phase 38 oxidation of VOCs from biogenic emissions (BVOCs) by these major atmospheric oxidants 39 produces a key type of reactive intermediates, organic peroxy radicals (RO2), a portion of which can 40 undergo fast autoxidation forming a class of highly oxygenated organic molecules (HOMs) with 41 low volatilities (Jokinen et al., 2014; Mentel et al., 2015; Berndt et al., 2016; Zhao et al., 2018; Iyer 42 et al., 2021; Shen et al., 2022; Ehn et al., 2014). HOMs typically contain six or more oxygen atoms, 43 and plays a key role in the formation of atmospheric new particles and secondary organic aerosol 44 (SOA) (Kirkby et al., 2016; Berndt et al., 2018; Zhao et al., 2018; Ehn et al., 2014; Bianchi et al., 2019), which have important influences on air quality (Huang et al., 2014), public health (Pye et al., 45 46 2021), and Earth's radiative forcing (Shrivastava et al., 2017). 47 Due to the complexity of oxidation mechanisms of BVOCs, previous laboratory studies typically 48 featured only one oxidant and a single SOA precursor (Berndt et al., 2016; Berndt, 2021; Claflin et 49 al., 2018; Iyer et al., 2021; Boyd et al., 2015). However, the synergistic oxidation by different oxidants may significantly alter the fate of RO₂ intermediates, therefore influencing the formation 50 of HOMs and SOA (Bates et al., 2022). Recently, a field study at a boreal forest site in Finland 51 52 observed a series of nitrate-containing HOM-dimers from the coupled O3 and NO3 oxidation of 53 monoterpenes (Zhang et al., 2020). At the same site, Lee et al. (2020) found that the synergistic 54 oxidation of BVOCs by OH radicals and O₃ contributed to the largest fraction of SOA. These studies 55 suggest that the synergistic oxidation of BVOCs by different oxidants plays an important role in the 56 formation of HOMs and SOA in the atmosphere and highlight the needs to investigate the synergistic 57 oxidation mechanisms of BVOCs for a better representation of atmospheric particle formation. 58 Several laboratory studies have attempted to address the role of synergistic oxidation of BVOCs in 59 the formation of new particles and SOA (Kenseth et al., 2018; Inomata, 2021; Liu et al., 2022; Li et





60 al., 2024). Kenseth et al. (2018) identified a suite of dimer esters in flow tube experiments that can 61 be only formed from the OH and O₃ synergistic oxidation of β-pinene. These dimers exhibit extremely low volatility and contributed 5.9-25.4% to the total β -pinene SOA. Similarly, Inomata 62 63 (2021) found that the presence of OH radicals during α-pinene ozonolysis is a key factor for the production of low-volatility organic species and significantly promotes new particle formation 64 65 (NPF). On the other hand, the addition of O₃ in the monoterpene photooxidation system also significantly increases the SOA mass yield (Liu et al., 2022). In addition, a recent chamber study 66 by Bates et al. (2022) showed that the synergistic oxidation of α-pinene by NO₃ radicals and O₃ can 67 68 significantly enhance the SOA yield compared to the $NO_3 + \alpha$ -pinene regime, which has nearly 0% SOA yield (Fry et al., 2014; Hallquist et al., 1999; Mutzel et al., 2021), and they revealed that the 69 70 SOA yield in the NO₃ + O₃ oxidation system largely depends on the RO₂ fates. Most recently, Li et 71 al. (2024) found that during α-pinene ozonolysis, the presence of nitrooxy-RO₂ radicals formed from 72 NO₃ oxidation can significantly suppress the production of ultralow-volatility organic compounds 73 (ULVOCs) and thereby NPF. These laboratory studies together provide growing evidence that 74 synergistic oxidation of BVOCs by different oxidants have profound impacts on atmospheric particle formation. However, the specific synergistic mechanisms of different oxidants and 75 76 oxidation pathways remain obscure. Although a few studies underscored the importance of the RO2 77 fates (Bates et al., 2022; Li et al., 2024), the exact interactions between RO₂ species derived from 78 different oxidants are still unclear, and quantitative constraints on the reaction rate of different RO2 79 species are quite limited. 80 Here we conducted an investigation of the synergistic $O_3 + NO_3$ oxidation of α -pinene, one of the 81 most abundant monoterpenes in the atmosphere, using a combination of laboratory experiments and 82 detailed kinetic modelling, and focusing on the fate of RO2 intermediates arising from different 83 oxidation pathways. The α-pinene oxidation experiments were conducted in a custom-built flow 84 reactor. The molecular composition of RO2 species and HOMs in different oxidation regimes was 85 characterized using a chemical ionization atmospheric pressure interface time-of-flight mass 86 spectrometer (CI-APi-ToF) employing a nitrate ion source. The measured distributions of specific RO2 and HOMs across different oxidation regimes were fitted with a kinetic model using Master 87 88 Chemical Mechanisms (MCM v3.3.1) updated with recent advances of α-pinene RO₂ chemistry





- 89 (Wang et al., 2021; Iyer et al., 2021; Shen et al., 2022; Zang et al., 2023), which allows for
- 90 quantitative constraints on RO₂ kinetics and synergistic oxidation mechanisms. Atmospheric
- 91 relevance of the experimental results was evaluated by modelling the investigated oxidation
- 92 chemistry under typical nocturnal atmospheric conditions.

93 2. Materials and Methods

94 2.1 Flow Tube Experiments

- 95 Experiments of α-pinene oxidation in different regimes (i.e., synergistic O₃ + NO₃ oxidation vs. O₃-
- only) were carried out under room temperature (298 K) and dry (relative humidity < 5%) conditions
- 97 in a custom-built flow tube reactor (FTR, Figure S1). O₃ and NO₂ were added into a glass tube
- 98 (Figure S1) to form NO₃ radical and its precursor N₂O₅:
- 99 $NO_2 + O_3 \rightarrow NO_3 + O_2$ (R1)
- $100 \qquad NO_3 + NO_2 \leftrightarrow N_2O_5 \quad (R2)$
- 101 O₃ was generated by passing a flow of ultra-high-purity (UHP) O₂ (Shanghai Maytor Special Gas
- 102 Co., Ltd.) through a quartz tube housing a pen-ray mercury lamp (UV-S2, UVP Inc.) and its
- 103 concentration was measured by an ozone analyzer (T400, API). NO₂ was obtained from a gas
- cylinder (15.6 ppm, Shanghai Weichuang Standard Gas Co., Ltd.). The total air flow in the NO₃
- generation glass tube was 0.6 L min⁻¹ and 0.4 L min⁻¹ for the gas-phase HOM and SOA formation
- 106 experiments, respectively. The produced N_2O_5 and NO_3 radicals, as well as the excessive O_3 were
- 107 added into the FTR to initiate α-pinene oxidation. For the O₃-only experiments, only O₃ was added
- into FTR.
- 109 The α-pinene gas was generated by evaporating a defined volume of their liquid (99%, Sigma-
- 110 Aldrich) into a cleaned and evacuated canister (SilcoCan, RESTEK), and then added into FTR
- 111 through a movable injector at a flow rate of 22 108 mL min⁻¹. The initial concentration of α -pinene
- in the flow reactor ranged from 100-500 ppb. In some experiments, the gas of cyclohexane (~ 100
- $113 \qquad ppm), which was generated by bubbling a gentle flow of UHP N_2 through its liquid (LC-MS grade,\\$
- 114 CNW), was added into the flow reactor as a scavenger of OH radicals formed from α -pinene
- 115 ozonolysis.
- 116 For experiments characterizing the formation of HOMs, the total air flow in the FTR was 10.8 L





- 117 min⁻¹ and the residence time was 25 seconds. The short reaction time and the small amount of 118 reacted α-pinene (see Table S1) in these experiments prevented the formation of particles. For the 119 experiments characterizing the formation of SOA particles, a larger FTR was used, with a total air 120 flow of 5 L min⁻¹ and a residence time of 180 seconds. A summary of the conditions including the simulated concentrations of N₂O₅ and NO₃ radicals in different experiments are shown in Table S1. 121 The gas-phase RO₂ radicals and closed-shell products were measured using a nitrate-based CI-APi-122 123 ToF (abbreviated as nitrate-CIMS; Aerodyne Research, Inc.), which has been described in detail 124 previously (Zang et al., 2023). A long ToF-MS with a mass resolution of ~10000 Th/Th was used 125 here. The mass spectra within the m/z range of 50-700 were analyzed using the tofTools package 126 developed by Junninen et al. (2010) based on Matlab. A scanning mobility particle sizer (SMPS, 127 TSI), consisting of an electrostatic classifier (model 3082), a differential mobility analyzer (model 3081), and a condensation particle counter (model 3756), was employed to monitor the formation 128 129 of particles in different oxidation experiments.
- 2.2 Estimation of HOM Volatility
- A modified composition-activity method was used to estimate the saturation mass concentration
- 132 (C^*) of HOMs in this study according to the approach developed by Li et al. (2016):

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$$\log_{10}C^* = (n_C^0 - n_C)b_C - n_Ob_O - 2\frac{n_Cn_O}{n_C + n_O}b_{co} - n_Nb_N - n_Sb_S$$

- where n_C^0 is the reference carbon number; n_C , n_O , n_N , and n_S are the atom numbers of carbon,
- oxygen, nitrogen, and sulfur, respectively; b_C , b_0 , b_N , and b_S are the contribution of each atom
- to $log_{10}C^*$, respectively; b_{co} is the carbon-oxygen nonideality (Donahue et al., 2011). These b-
- values were provided by Li et al. (2016).
- 138 It should be noted that the CHON compounds used in the data set by Li et al. (2016) are mostly
- amines, amides, and amino acids, and only contain a limited number of organic nitrates (0.07%).
- 140 Since different types of CHON compounds have very different vapor pressures (Isaacman-Vanwertz
- and Aumont, 2021), this formula-based approach can be biased to estimate the C^* of organic nitrates.
- 142 Considering that the -ONO₂ and -OH groups have similar impacts on vapor pressure and that the
- 143 CHON species are predominantly organic nitrates in our study, all –ONO₂ groups are treated as OH
- 144 groups during the estimation of vapor pressure (Daumit et al., 2013; Isaacman-Vanwertz and





145 Aumont, 2021).

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Gas-phase HOMs are grouped into five classes based on their $\log_{10}C^*$ (Donahue et al., 2012;

Bianchi et al., 2019; Schervish and Donahue, 2020), that is, ULVOCs ($log_{10}C^* < -8.5$), extremely

low-volatility organic compounds (ELVOCs, -8.5 $< log_{10}C^* < -4.5$), low-volatility organic

149 compounds (LVOCs, $-4.5 < \log_{10}C^* < -0.5$), semi-volatile organic compounds (SVOCs, -0.5 <

 $\log_{10}C^* < 2.5$), and intermediate-volatility organic compounds (IVOCs, $2.5 < \log_{10}C^* < 6.5$).

2.3 Kinetic Model Simulations

Model simulations of specific RO₂ radicals and closed-shell HOMs formed in different oxidation regimes were performed to constrain the reaction kinetics and mechanisms using the Framework for 0-D Atmospheric Modeling (F0AM v4.1) (Wolfe et al., 2016), which employs MCM v3.3.1 (Jenkin et al., 2015). The α-pinene oxidation mechanism was updated with the state-of-the-art knowledge on the chemistry of RO2 autoxidation and cross-reactions forming HOM monomers and dimers, respectively (Zhao et al., 2018; Wang et al., 2021; Iyer et al., 2021; Shen et al., 2022). The detailed updates have been described in our previous study (Zang et al., 2023). In particular, the formation and subsequent reactions of the ring-opened primary C10H15O4-RO2, the highly oxygenated acyl RO₂, as well as the C₁₀H₁₅O₂-RO₂ arising from H-abstraction by OH radicals during α -pinene ozonolysis are included in the model according to recent studies (Iyer et al., 2021; Zhao et al., 2022; Zang et al., 2023; Shen et al., 2022). To investigate the synergistic reactions of RO₂ derived from the oxidation of α-pinene by different oxidants, we added the cross-reactions of the primary nitrooxy-RO₂ derived from NO₃ oxidation (NO₃RO₂) with RO₂ derived from ozonolysis (CIRO₂) and OH oxidation (OHRO₂). The cross-reaction rate constants of NO3RO₂ + CIRO₂ and NO3RO2 + OHRO2 and their ratios were tuned to achieve a good measurement-model agreement for the distribution of specific RO2 and HOMs in the synergistic oxidation regime. The ROOR' dimer formation rates for these RO2 radicals were assumed to be the same as their cross-reaction rates. Previous studies indicated that the primary NO3RO2 radicals arising from α-pinene are prone to lose the nitrate group and form pinonaldehyde with high volatility (Kurtén et al., 2017; Fry et al., 2014). Therefore, we did not consider the autoxidation of primary NO3RO2 in the model. Considering the presence of NO_2 in the experiments, the reactions of $RO_2 + NO_2 \leftrightharpoons ROONO_2$ were also included in the model (Zang et al., 2023).

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3. Results and Discussion

3.1 Molecular distribution of RO₂ and HOMs in the synergistic oxidation regime

The relative changes in the abundance of gas-phase RO₂ species and C_xH_yO_z-HOMs in the synergistic $O_3 + NO_3$ oxidation of α -pinene vs. the O_3 -only regime are shown in Figure 1. The signals of C_xH_yO_z-HOMs significantly decrease by 29 – 36% in the synergistic O₃ + NO₃ regime compared to those in the O_3 -only regime (Figure 1a). Note that the initial concentrations of α -pinene and O₃ in the two oxidation regimes were the same. In addition, model simulations show that in the synergistic oxidation regime, over 97% of OH radicals react with α-pinene and the depletion of OH by NO_2 is minor (0.2-1.3%). Also, NO_3 radicals almost entirely (over 98.5%) react with α -pinene and their reaction with RO2 has negligible influence on the fate of RO2. Therefore, the strong reduction in HOM formation in the synergistic oxidation regime vs. the O₃-only regime is likely due to the following two reasons. The first one is the fast competitive consumption of α -pinene by NO₃ radicals, which results in a reduction in the reacted α -pinene by O_3 ($\Delta[\alpha$ -pinene] $_{O3}$, Figure S2) and thereby the formation of C_xH_yO_z-HOMs. The second reason is associated with the reactions of NO3RO2 with CIRO2 or OHRO2 from α-pinene, which suppresses the autoxidation and self/crossreactions of CIRO2 and OHRO2 to form CxHvOz-HOMs. To quantify the contribution of the synergistic RO₂ chemistry to the suppression of C_xH_yO_z-HOM formation in the O₃ + NO₃ oxidation regime, the C_xH_yO_z-HOM signal ratios in Figure 1a are further normalized to the ratio of $\Delta[\alpha$ -pinene]_{O3} in the synergistic oxidation regime vs. the O₃-only regime (see Figure 1b). Notably, after excluding the influence of reduced $\Delta[\alpha$ -pinene]_{O3}, the $C_xH_yO_z$ -HOMs signals still drop by 24 - 32% in the $O_3 + NO_3$ regime compared to those in the O_3 -only regime, indicating a significant contribution of the coupled reactions between NO3RO2 and CIRO2 or OHRO2 to suppressed C_xH_yO_z-HOM formation.



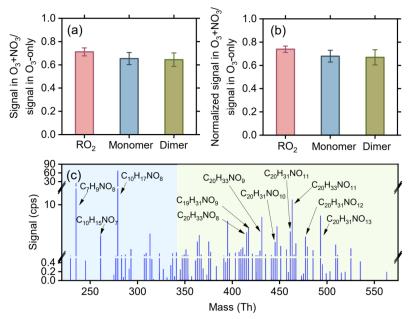


Figure 1. Distributions of RO_2 and HOMs in the synergistic $O_3 + NO_3$ regime. (a) Relative changes in the signals of $C_xH_yO_z$ -RO $_2$ radicals, HOM monomers, and HOM dimers in the $O_3 + NO_3$ regime compared to those in the O_3 -only regime (Exps 1-10). (b) Similar to (a), but with ion signals normalized to $\Delta[\alpha$ -pinene] O_3 in each oxidation regime. (c) HOM nitrates measured in the $O_3 + NO_3$ regime.

Figure 1c shows the signals of closed-shell monomeric and dimeric HOM nitrates (HOM-ONs) that were only observed in the synergistic $O_3 + NO_3$ regime. Their specific formulas are listed in Table S2. These HOM-ONs mainly consist of C_{10} monomers and C_{20} dimers that only contain one nitrogen atom. The C_{20} HOM-ONs are believed to be formed from the cross-reactions of $^{CI}RO_2$ and $^{OH}RO_2$ with $^{NO3}RO_2$. The substantial formation of these dimeric ONs provides direct evidence for the synergistic RO_2 chemistry in the $O_3 + NO_3$ regime. It should be noted that although several closed-shell monomeric HOM-ONs have been observed, only a few of them exhibit relatively high signals. In addition, no obvious signals of highly oxygenated $^{NO3}RO_2$ ($C_{10}H_{16}NO_x$, $x \ge 6$) were observed by nitrate-CIMS in the synergistic $O_3 + NO_3$ oxidation system. Previous studies revealed that the primary $^{NO3}RO_2$ radicals (i.e., $C_{10}H_{16}NO_5$ -RO₂) in the α -pinene + NO_3 system mainly react to form pinonaldehyde (Kurtén et al., 2017; Perraud et al., 2010). Therefore, it is likely that only a very small amount of $^{NO3}RO_2$ can undergo autoxidation to form highly oxygenated $^{NO3}RO_2$. In addition, the overlapping of the $^{NO3}RO_2$ peaks with strong $C_xH_yO_z$ -HOMs peaks also hinders the observation





of highly oxygenated No3RO₂ signals in this study (Table S3). However, we note that although the 216 217 primary C₁₀H₁₆NO₅-RO₂ species arising from NO₃ oxidation may not undergo fast autoxidation, they tend to efficiently terminate ${}^{CI}RO_2$ and/or ${}^{OH}RO_2$ and suppress the formation of $C_xH_yO_z$ -HOMs. 218 219 3.2 Synergistic reaction efficiencies of different RO2 species In the O₃+ NO₃ regime, synergistic reactions are likely to occur between ^{CI}RO₂, ^{OH}RO₂ and ^{NO3}RO₂. 220 221 Figure 2 shows the $\Delta[\alpha\text{-pinene}]_{O3}$ -normalized signal ratios of specific C_{10} RO₂ as well as their 222 related C_xH_yO_z-HOM monomers and dimers in the synergistic O₃ + NO₃ regime vs. the O₃-only 223 regime. Model simulations show that the H-abstraction of α-pinene by OH radicals contributes less than 2% to the formation of C₁₀H₁₅O_x-RO₂ and related HOMs under different experimental 224 conditions (Figure S3). Therefore, C₁₀H₁₅O_x-RO₂ observed in this study are primarily ^{CI}RO₂. 225 226 Notably, the ${}^{CI}RO_2$ ($C_{10}H_{15}O_x$) and related $C_{10}H_{14}O_x$ -HOMs decrease by $\sim 30-60\%$ in the O_3+NO_3 regime (Figures 2 a, b), while the decreasing extent of ^{OH}RO₂ (C₁₀H₁₇O_x) and related C₁₀H₁₈O_x-227 HOMs are significantly smaller (0 – 40%). In particular, some of the most oxygenated $C_{10}H_{17}O_x$ -228 229 RO_2 and $C_{10}H_{18}O_x$ -HOMs ($x \ge 9$) even increase unexpectedly in the synergistic oxidation regime. For the C₁₀H₁₆O_x-HOMs that can be derived from the termination reactions of both ^{CI}RO₂ and 230 OHRO2, their reductions are at a medium level. The significantly larger decrease in signals of CIRO2 231 232 and related HOMs as compared to the OH-derived ones indicates that in the synergistic O3 + NO3 regime, the NO3RO2 species have a strong termination effect on CIRO2 than on OHRO2 (especially for 233 the most oxygenated OHRO2). Because a large amount of CIRO2 is terminated by NO3RO2, fewer 234 ^{CI}RO₂ are available to terminate ^{OH}RO₂. As a result, more ^{OH}RO₂ can undergo autoxidation to form 235 highly oxygenated $C_{10}H_{17}O_x$ -RO₂ and $C_{10}H_{18}O_x$ -HOMs ($x \ge 9$), leading to an increase in signals of 236 237 these species. Consistently, the signals of C₂₀ HOM dimers decrease by 20 - 40% in the O₃ + NO₃ 238 regime compared to that in O₃-only regime, and the signal reduction of dimers (C₂₀H₃₀O_x) formed by CIRO2 is slightly larger than that of the dimers (C20H34Ox) arising from OHRO2 (Figure 2c). Note 239 that the highly oxygenated $C_{20}H_{34}O_x$ dimers (x \geq 13) that can be formed from self/cross-reactions 240 241 of $C_{10}H_{17}O_x$ -RO₂ ($x \ge 9$) are not observed in this study, likely due to their low abundance and the 242 limitation of instrument sensitivity.

than $^{NO3}RO_2 + ^{OH}RO_2$.



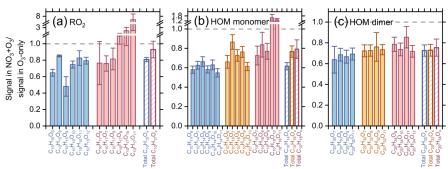


Figure 2. Normalized signal ratios of (a) specific and total $C_{10}H_{15,17}O_x$ -RO₂ radicals, as well as their related (b) C_{10} HOM monomers and (c) C_{20} HOM dimers in the O_3 + NO₃ regime vs. the O_3 -only regime (Exps 1-10). Ion signals observed in each oxidation regime are normalized to $\Delta[\alpha\text{-pinene}]_{O3}$.

To gain quantitative constraints on the relative reaction efficiency of $^{NO3}RO_2 + ^{CI}RO_2$ vs. $^{NO3}RO_2 + ^{OH}RO_2$ (i.e., k_{NO3+CI}/k_{NO3+OH}), the signal ratios of C_{10} - $^{CI}RO_2$ and $^{OH}RO_2$ as well as their related C_{10} HOMs in the synergistic oxidation regime vs. the O_3 -only regime were predicted using a kinetic model (see Section 2.3) with different k_{NO3+CI}/k_{NO3+OH} ratios. Figure 3 shows a measurement-model comparison of those signal ratios. When the ratio of k_{NO3+CI}/k_{NO3+OH} is smaller than or equal to 1, the simulated signal ratios of many RO_2 and HOMs differ significantly from the measured ratios, especially for some $C_{10}H_{17}O_x$ - RO_2 and $C_{10}H_{18}O_x$ -HOMs. When the ratio of k_{NO3+CI}/k_{NO3+OH} is 10 - 100, there is a good measurement-model agreement for most of RO_2 and HOMs. Therefore, we conclude that the cross-reactions of $^{NO3}RO_2 + ^{CI}RO_2$ are on average 10 - 100 times more efficient

As a competitive reaction pathway, the autoxidation rates of RO_2 can affect the extent to which RO_2 cross-reactions influence the RO_2 fate and HOM formation. Therefore, sensitivity analyses of the autoxidation rate of RO_2 were conducted to evaluate its influence on the changes of RO_2 and related HOM concentrations in the synergistic O_3 + NO_3 regime vs. the O_3 -only regime (Figure S4). In these analyses, a k_{NO3+CI}/k_{NO3+OH} ratio of 10 was used according to the above discussions. As the autoxidation rate of $^{OH}RO_2$ increases from 0.28 to 10 s⁻¹, corresponding to the rate range reported in previous studies (Berndt et al., 2016; Zhao et al., 2018; Xu et al., 2019), the simulated reduction of highly oxygenated $^{OH}RO_2$ and related $C_{10}H_{18}O_x$ -HOMs in the synergistic O_3 + NO_3 regime exhibits a slight decrease (< 7%) but still agrees reasonably well with the measured value (Figures S4 a-d). Considering that the autoxidation rates of $^{CI}RO_2$ used in the model approach their upper limits





reported in the literature, i.e., $\sim 1~\text{s}^{-1}$ for the butyl ring-opened $C_{10}H_{15}O_4$ -RO₂ (Iyer et al., 2021) and relatively smaller rates for ring-retained $C_{10}H_{15}O_4$ -RO₂ (0.02 – 0.29 s⁻¹, see Scheme S1) (Zhao et al., 2021), we also lowered the autoxidation rate constants of $^{CI}RO_2$ by a factor of 10 to see its influence on RO₂ and HOM distribution in the O_3 + NO₃ regime. The simulated reduction of $^{CI}RO_2$ and $C_{10}H_{14}O_x$ -HOMs in this case decreases by 11 – 18% (Figures S4 e-h), while that of $C_{10}H_{16}O_x$ -HOMs increases by up to 30% (Figures S4 i, j). However, the simulated results are still close to the measured values. These sensitivity analyses suggest that the uncertainty in the autoxidation rates of $^{OH}RO_2$ and $^{CI}RO_2$ could slightly affect the simulated distribution of RO₂ and HOMs across different oxidation regimes but not significantly change the k_{NO3+CI}/k_{NO3+OH} ratio obtained in this study.

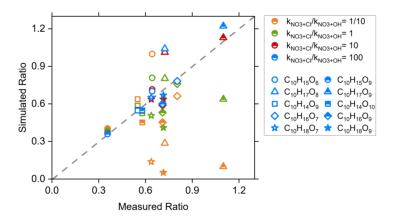


Figure 3. Measurement-model comparisons of the signal ratios of different C_{10} RO₂ and HOMs in the synergistic O_3 + NO₃ regime vs. the O_3 -only regime. The cross-reaction rate of $^{NO3}RO_2 + ^{CI}RO_2$ was set to 1×10^{-12} cm³ molecule⁻¹ s⁻¹ and the rate of $^{NO3}RO_2 + ^{OH}RO_2$ was varied from 1×10^{-11} cm³ molecule⁻¹ s⁻¹ to 1×10^{-14} cm³ molecule⁻¹ s⁻¹ in the model.

Cyclohexane was added in some experiments as an OH scavenger to elucidate the role of $^{OH}RO_2$ chemistry in HOM formation in the O_3 + NO_3 regime. In the presence of cyclohexane, $^{OH}RO_2$ ($C_{10}H_{17}O_x$) and related HOM monomers ($C_{10}H_{18}O_x$) and dimers ($C_{20}H_{32}O_x$ and $C_{20}H_{34}O_x$) decrease by more than 80% (Figure 4), while $^{CI}RO_2$ ($C_{10}H_{15}O_x$) and related HOM monomers ($C_{10}H_{14}O_x$) and dimers ($C_{20}H_{30}O_x$) only decrease slightly (< 30%), in a good agreement with previous measurements (Zhao et al., 2018; Zang et al., 2023). The $C_{10}H_{16}O_x$ species, which can arise from both $^{CI}RO_2$ and $^{OH}RO_2$, exhibit a medium reduction (Figure 4b). It is interesting to note that with the addition of cyclohexane, there is a significant increase in $C_{20}H_{31}NO_x$, which are formed from the cross-reactions





of ${}^{CI}RO_2$ with ${}^{NO3}RO_2$. Such an enhanced production of $C_{20}H_{31}NO_x$ as compared to the slightly deceased formation of $C_{20}H_{30}O_x$ indicates that the cross-reaction of ${}^{CI}RO_2 + {}^{NO3}RO_2$ is fast compared to that of ${}^{CI}RO_2 + {}^{CI}RO_2$ and ${}^{CI}RO_2 + {}^{OH}RO_2$. As a result, when the ${}^{OH}RO_2$ are depleted, the ${}^{CI}RO_2$ that are supposed to react with ${}^{OH}RO_2$, efficiently react with ${}^{NO3}RO_2$ to form $C_{20}H_{31}NO_x$, leading to the increase in $C_{20}H_{31}NO_x$ signals.

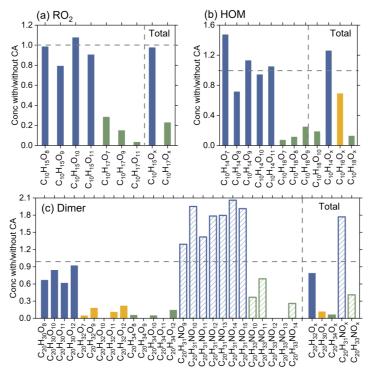


Figure 4. Relative changes in signals of (a) C_{10} RO₂, (b) C_{10} HOMs, and (c) C_{20} dimers due to the addition of 100 ppm cyclohexane as an OH scavenger derived in the synergistic O_3 + NO₃ regime (Exps 6 and 12).

3.3 Influence of synergistic oxidation on low-volatility organics and particle formation

Compared to the O_3 -only regime, there is a remarkable reduction in $C_xH_yO_z$ -HOMs and a strong formation of HOM-ONs due to the termination reactions between $^{NO3}RO_2$ and $^{CI}RO_2$ or $^{OH}RO_2$ in the synergistic oxidation regime. This significant change in HOM composition and abundance would alter the volatility distribution of HOMs and influence the formation of particles. The volatilities of HOMs formed in the two oxidation regimes are estimated using a modified composition-activity method (see Section 2.2) and shown in Figure 5. The abundance of $C_xH_yO_z$ -





HOMs characterized as ULVOCs and ELVOCs decreases considerably in the synergistic $O_3 + NO_3$ regime compared to the O_3 -only regime (Figure 5a), in agreement with the very recent observations by Li et al. (2024) who found that the presence of NO_3 radicals during α -pinene ozonolysis significantly reduced the abundance of ULVOCs. Although substantial amounts of HOM-ONs are formed in the $O_3 + NO_3$ regime, they generally have higher volatilities (i.e., characterized as ELVOCs to IVOCs) (Figure 5b). In addition, the total abundance of newly formed HOM-ONs characterized as ELVOCs in the synergistic $O_3 + NO_3$ regime is significantly lower than the reduced formation of ultra- and extremely low-volatility $C_xH_yO_z$ -HOMs. Therefore, the synergistic $O_3 + NO_3$ oxidation of α -pinene significantly reduces the formation of ULVOCs and ELVOCs and increases the overall volatility of total HOMs.

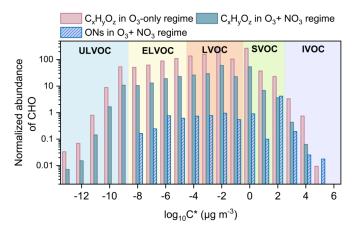


Figure 5. Volatility distribution of $C_xH_yO_z$ -HOMs and HOM-ONs formed in the O_3 + NO_3 regime and O_3 -only regime (Exps 1, 6). Ion signals in each oxidation regime are normalized to the corresponding total reacted α -pinene.

Figure 6 shows the particle number and mass concentrations formed in the two oxidation regimes in SOA formation experiments (Table S1, Exps 13, 14). The particle number concentration decreases by more than 50% whereas the particle mass concentration increases by a factor of 2 in the synergistic $O_3 + NO_3$ regime, compared to that in the O_3 -only regime. The reduced particle number concentration in the $O_3 + NO_3$ regime is ascribed to the suppressed formation of ULVOCs, which are the key species driving the particle nucleation (Simon et al., 2020; Schervish and Donahue, 2020). However, although the newly formed HOM-ONs with relatively higher volatilities are





inefficient in initiating particle nucleation, they are able to partition into the formed particles and contribute significantly to the particle mass growth. This result is consistent with a recent chamber study which found that the SOA mass concentration is much higher during α -pinene oxidation by $O_3 + NO_3$ than during ozonolysis (Bates et al., 2022).

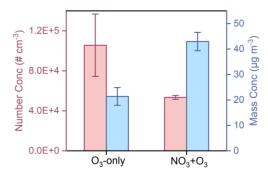


Figure 6. Number and mass concentrations of particles formed from the ozonolysis and synergistic $O_3 + NO_3$ oxidation of α -pinene (Exps 13-14).

3.4 Atmospheric relevance of experimental results

To evaluate the relevance of our experimental findings to the real atmosphere, we performed chemical model simulations of HOM formation from nocturnal synergistic $O_3 + NO_3$ oxidation of α-pinene under typical atmospheric conditions. In these simulations, constant concentrations of α-pinene (1 ppb), O_3 (30 ppb), NO (5 ppt), NO_2 (1.8 ppb), NO_3 radicals (0.2 or 1 ppt), OH radicals (5 – 50×10^4 molecules cm⁻³), HO_2 radicals (4 ppt), as well as a constant RH of 50% and temperature of 298 K were used as typical nocturnal conditions in the boreal forest according to the field studies (Stone et al., 2012; Lee et al., 2016; Brown and Stutz, 2012; Geyer et al., 2003b; Kristensen et al., 2016; Hakola et al., 2012; Liebmann et al., 2018). Considering the rapid deposition of oxidized biogenic compounds (Nguyen et al., 2015), a typical dilution lifetime of 5 h (i.e., $k_{dil} = 1/5 \text{ h}^{-1}$) was assumed in the model. According to the above analysis, the cross-reaction rate constants for $^{NO3}RO_2 + ^{CI}RO_2$ and $^{NO3}RO_2 + ^{OH}RO_2$ were set to $1 \times 10^{-12} \text{ cm}^3$ molecule⁻¹ s⁻¹ and $1 \times 10^{-13} \text{ cm}^3$ molecule⁻¹ s⁻¹ in the model, respectively.

As shown in Figure 7a, when a relatively low NO_3 concentration (0.2 ppt) is considered, ozonolysis is the primary loss pathway of α-pinene (68%), followed by NO_3 (30%) and OH oxidation (2%). The reactions of $RO_2 + HO_2$, $RO_2 + NO$ and $RO_2 + RO_2$ account for $\sim 49\%$, $\sim 27\%$ and $\sim 24\%$ of the

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total RO₂ fate, respectively. Under these conditions, the synergistic $O_3 + NO_3$ oxidation of α -pinene leads to a reduction of 3% and 13% in the formation of C_xH_yO_z-HOM monomers and dimers, respectively (Figure 7b). Given that the concentrations of α -pinene and oxidants were held constant during the simulation, the consumptions of α-pinene by different oxidants are constant. Therefore, the decreases in the concentrations of C_xH_yO_z-HOM monomers and dimers in the presence of NO₃ oxidation are mainly due to the cross-reaction of NO3RO2 with other RO2. When the NO3 concentration is as high as 1 ppt as reported in field studies (Liebmann et al., 2018), NO₃ oxidation contributes to 68% of α-pinene consumption (Figure 7c), and the contribution of RO₂ + RO₂ reaction increases to 33%. As a result, the cross-reactions of NO3RO2 with other RO2 play a more important role in the HOM formation. Under these conditions, the production of C_xH_yO_z-HOM monomers and dimers decreases by 13% and 43%, respectively, due to the presence of NO₃ oxidation (Figure 7d). Considering that there are uncertainties in the dilution rate constant, a sensitivity analysis was performed by varying the k_{dil} in the range of $0.04 - 0.2 \,\text{h}^{-1}$. It is found that the variation within these rate values does not significantly influence the response of C_xH_yO_z-HOM dimer formation to concurrent NO₃ oxidation (Figure S5). Field observations have shown that NO₃ radicals, O₃, and OH radicals all had important contributions to monoterpene oxidation during the early morning after sunrise and late afternoon before sunset in the southeastern United States (Zhang et al., 2018). In addition, relatively high nighttime OH concentrations of $(2-10) \times 10^5$ molecules cm⁻³ were measured in some areas such as Germany and New York City (Faloona et al., 2001; Geyer et al., 2003a). As a result, model simulations using the same species concentration ($NO_3 = 1$ ppt) as mentioned above but a 10 times higher OH concentration (5 \times 10⁵ molecules cm⁻³) were also conducted. With a higher OH concentration, O₃, NO₃, and OH radicals account for 28%, 62%, and 10% to the total α-pinene consumption, respectively (Figure S6 a). Compared to the results under low OH concentration, the formation of C_xH_yO_z-HOM monomers and dimers are all enhanced under high OH concentration (Figure S6 b). This is mainly due to the promoted self/cross-reactions of ^{OH}RO₂, as well as the promoted formation of C₁₀H₁₅O_x-RO₂ derived from H-abstraction pathway by OH radicals. Nevertheless, the presence of NO₃ oxidation still reduces the formation of C_xH_yO_z-HOM dimers by 26% (Figure S6 b).





The above model simulations clearly suggest that under typical nocturnal atmospheric conditions, the synergistic oxidation of α -pinene by O_3 and NO_3 radicals can significantly inhibit the formation of $C_xH_yO_z$ -HOMs, many of which are characterized as ULVOCs capable of driving particle nucleation and initial growth. Although HOM-ON dimers are readily produced bycross-reactions between $^{NO3}RO_2$ and $^{CI}RO_2$, they generally have higher volatilities than $C_xH_yO_z$ -HOM dimers and therefore are less efficient in initiating particle formation. Our results offer mechanistic and quantitative insights on how the synergistic oxidation of α -pinene by O_3 and NO_3 radicals can influence the formation of low-volatility organic compounds and hence particle formation and growth. They also provide a potential explanation for field observations that NPF events frequently occur in monoterpene-rich regions during daytime but not at nighttime (Mohr et al., 2017; Kulmala et al., 2001; Junninen et al., 2017).

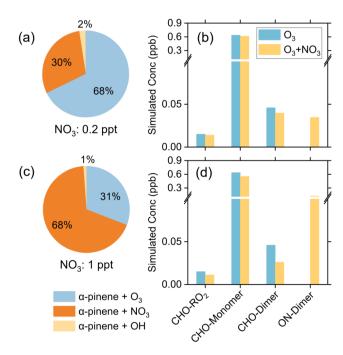


Figure 7. Model simulations of α -pinene oxidation and HOM formation under typical nighttime conditions in the boreal forest. (a, c) Contributions of different loss pathways of α -pinene by different oxidants at NO₃ concentrations of 0.2 and 1 ppt, respectively; (b, d) Concentrations of $C_xH_yO_z$ -HOMs and HOM-ONs formed by synergistic $O_3 + NO_3$ oxidation and ozonolysis of α -pinene under conditions corresponding to (a) and (c). The simulations were run for 4 h after an 8-h spin-up for intermediates and secondary species.





4. Conclusions

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This study provides a comprehensive characterization of the nocturnal synergistic oxidation of α pinene by O3 and NO3 radicals and its influence on the formation of HOMs and low-volatility organic compounds using a combination of flow reactor experiments and detailed kinetic model simulations. It is found that the formation of $C_xH_yO_z$ -HOMs in the $O_3 + NO_3$ regime is significantly suppressed compared to that in the O₃-only regime, mainly due to the termination of ozonolysisderived RO₂ (i.e., CIRO₂ and OHRO₂) by NO3RO₂. In addition, the decreases in the abundance of ^{CI}RO₂ and related HOMs are significantly larger than those of OH-derived ones, indicating that the NO3RO2 species have a strong termination effect on CIRO2 than on OHRO2. Detailed measurementmodel comparisons for the distribution of a suite of CIRO2, OHRO2, and associated HOMs across different oxidation regimes further reveal that the terminations reactions between CIRO2 and NO3RO2 are averagely 10 – 100 times more efficient than those of ^{OH}RO₂ and ^{NO3}RO₂. The suppressed formation of C_xH_yO_z-HOMs in the synergistic O₃ + NO₃ regime results in a significant reduction in ULVOCs. Although substantial amounts of HOM-ONs are formed from the cross-reactions between NO3RO2 and CIRO2 or OHRO2 in the synergistic oxidation regime, they have higher volatilities and are less likely to participate in the formation and initial growth of new particles. As a result, in our experiment the formation of new particles in the synergistic oxidation regime is substantially inhibited compared to the O₃-only regime. Chemical model simulations further confirm that the synergistic oxidation of α-pinene by O₃ and NO₃ radicals can significantly inhibit the formation of C_xH_yO_z-HOMs, especially the ultra-low volatility C_xH_yO_z-HOM dimers under typical nighttime atmospheric conditions. Our study sheds lights on the synergistic oxidation mechanism of biogenic emissions and underscores the importance of considering this chemistry for a better depiction of the formation of low-volatility organics and particles in the atmosphere. Data availability. The data presented in this work are available upon request from the corresponding author. Author contributions. YZ and HZ designed the study, HZ and DH performed the experiments. YZ and HZ analyzed the data, conducted model simulations, and wrote the paper. All other authors contributed to discussion and writing.





427 Competing interests. The authors declare no conflict of interest.

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