- 1 Nocturnal Atmospheric Synergistic Oxidation Reduces the Formation of Low-volatility
- 2 Organic Compounds from Biogenic Emissions
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10 Abstract

Volatile organic compounds (VOCs) are often subject to synergistic oxidation by different oxidants 11 12 in the atmosphere. However, the exact synergistic oxidation mechanism of atmospheric VOCs and 13 its role in particle formation remain poorly understood. In particular, the reaction kinetics of the key reactive intermediates, organic peroxy radicals (RO₂), during synergistic oxidation is rarely studied. 14 15 Here, we conducted a combined experimental and kinetic modelling study of the nocturnal 16 synergistic oxidation of α -pinene (the most abundant monoterpene) by O₃ and NO₃ radicals as well 17 as its influences on the formation of highly oxygenated organic molecules (HOMs) and particles. We find that in the synergistic $O_3 + NO_3$ regime, where OH radicals are abundantly formed via 18 decomposition of ozonolysis-derived Criegee intermediates, the production of $C_xH_yO_z$ -HOMs is 19 20 substantially suppressed compared to that in the O3-only regime, mainly because of the termination 21 depletion of α -pinene RO₂ derived from ozonolysis and OH oxidation by those arising from NO₃ 22 oxidation via cross reactions. Measurement-model comparisons further reveal that the cross-23 reaction rate constants of NO₃-derived RO₂ with O₃-derived RO₂ isare on average 10 - 100 times 24 larger than that of NO3-derived RO2 with OH-derived RO2termination reactions between 25 ozonolysis- and NO₃-derived RO₂ are on average 10 100 times more efficient than those of OH-26 and NO₃-derived RO₂. Despite a strong production of organic nitrates in the synergistic oxidation regime, the substantial decrease of CxHyOz-HOM formation leads to a significant reduction in 27 ultralow- and extremely low-volatility organic compounds, which significantly inhibits the 28 29 formation of new particles. This work provides valuable mechanistic and quantitative insights into the nocturnal synergistic oxidation chemistry of biogenic emissions and will help to better 30 31 understand the formation of low-volatility organic compounds and particles in the atmosphere.

32

33 **1. Introduction**

34 The Earth's atmosphere is a complex oxidizing environment in which multiple oxidants coexist. 35 During the nighttime, NO₃ radicals (generated by the reaction of NO₂ and O₃) and O₃ contribute 36 significantly to the oxidation of volatile organic compounds (VOCs) (Huang et al., 2019), while 37 during the daytime, the fast photolysis of NO₃ radicals and rapid photochemical formation of OH 38 radicals and O₃ make the latter two the major oxidants for VOCs (Zhang et al., 2018). Therefore, 39 the degradation of ambient VOCs is subject to concurrent oxidation by different oxidants. Gas-phase 40 oxidation of VOCs from biogenic emissions (BVOCs) by these major atmospheric oxidants 41 produces a key type of reactive intermediates, organic peroxy radicals (RO₂), a portion of which can 42 undergo fast autoxidation forming a class of highly oxygenated organic molecules (HOMs) with 43 low volatilities (Jokinen et al., 2014; Mentel et al., 2015; Berndt et al., 2016; Zhao et al., 2018; Iyer 44 et al., 2021; Shen et al., 2022; Ehn et al., 2014). HOMs typically contain six or more oxygen atoms, 45 and plays a key role in the formation of atmospheric new particles and secondary organic aerosol (SOA) (Kirkby et al., 2016; Berndt et al., 2018a; Zhao et al., 2018; Ehn et al., 2014; Bianchi et al., 46 47 2019), which have important influences on air quality (Huang et al., 2014), public health (Pye et al., 2021), and Earth's radiative forcing (Shrivastava et al., 2017). 48

49 Due to the complexity of oxidation mechanisms of BVOCs, previous laboratory studies typically 50 featured only one oxidant and a single SOA precursor (Berndt et al., 2016; Berndt, 2021; Claflin et 51 al., 2018; Iver et al., 2021; Boyd et al., 2015). However, the synergistic oxidation by different 52 oxidants may significantly alter the fate of RO₂ intermediates, therefore influencing the formation 53 of HOMs and SOA (Bates et al., 2022). Recently, a field study at a boreal forest site in Finland observed a series of nitrate-containing HOM-dimers from the coupled O3 and NO3 oxidation of 54 55 monoterpenes (Zhang et al., 2020). At the same site, Lee et al. (2020) found that the synergistic 56 oxidation of BVOCs by OH radicals and O₃ contributed to the largest fraction of SOA. These studies 57 suggest that the synergistic oxidation of BVOCs by different oxidants plays an important role in the 58 formation of HOMs and SOA in the atmosphere and highlight the needs to investigate the synergistic 59 oxidation mechanisms of BVOCs for a better representation of atmospheric particle formation.

- 60 Several laboratory studies have attempted to address the role of synergistic oxidation of BVOCs in
- 61 the formation of new particles and SOA (Kenseth et al., 2018; Inomata, 2021; Liu et al., 2022; Li et

62 al., 2024). Kenseth et al. (2018) identified a suite of dimer esters in flow tube experiments that can be only formed from the OH and O_3 synergistic oxidation of β -pinene. These dimers exhibit 63 extremely low volatility and contributed 5.9 - 25.4% to the total β -pinene SOA. Similarly, Inomata 64 65 (2021) found that the presence of OH radicals during α -pinene ozonolysis is a key factor for the production of low-volatility organic species and significantly promotes new particle formation 66 67 (NPF). On the other hand, the addition of O_3 in the monoterpene photooxidation system also significantly increases the SOA mass yield (Liu et al., 2022). In addition, a recent chamber study 68 69 by Bates et al. (2022) showed that the synergistic oxidation of α -pinene by NO₃ radicals and O₃ can 70 significantly enhance the SOA yield compared to the NO₃ + α -pinene regime, which has nearly 0% SOA yield (Fry et al., 2014; Hallquist et al., 1999; Mutzel et al., 2021), and they revealed that the 71 SOA yield in the $NO_3 + O_3$ oxidation system largely depends on the RO_2 fates. Most recently, Li et 72 73 al. (2024) found that during α -pinene ozonolysis, the presence of nitrooxy-RO₂ radicals formed from 74 NO₃ oxidation can significantly suppress the production of ultralow-volatility organic compounds 75 (ULVOCs) and thereby NPF. These laboratory studies together provide growing evidence that 76 synergistic oxidation of BVOCs by different oxidants have profound impacts on atmospheric 77 particle formation. However, the specific synergistic mechanisms of different oxidants and 78 oxidation pathways remain obscure. Although a few studies underscored the importance of the RO₂ 79 fates (Bates et al., 2022; Li et al., 2024), the exact interactions between RO₂ species derived from 80 different oxidants are still unclear, and quantitative constraints on the reaction rate of different RO₂ 81 species are quite limited.

82 Here we conducted an investigation of the synergistic $O_3 + NO_3$ oxidation of α -pinene, one of the 83 most abundant monoterpenes in the atmosphere, using a combination of laboratory experiments and 84 detailed kinetic modelling, and focusing on the fate of RO₂ intermediates arising from different 85 oxidation pathways. The α-pinene oxidation experiments were conducted in a custom-built flow 86 reactor. The molecular composition of RO₂ species and HOMs in different oxidation regimes was 87 characterized using a chemical ionization atmospheric pressure interface time-of-flight mass 88 spectrometer (CI-APi-ToF) employing a nitrate ion source. The measured distributions of specific 89 RO₂ and HOMs across different oxidation regimes were fitted with a kinetic model using Master 90 Chemical Mechanisms (MCM v3.3.1) updated with recent advances of α -pinene RO₂ chemistry 91 (Wang et al., 2021; Iyer et al., 2021; Shen et al., 2022; Zang et al., 2023), which allows for
92 quantitative constraints on RO₂ kinetics and synergistic oxidation mechanisms. Atmospheric
93 relevance of the experimental results was evaluated by modelling the investigated oxidation
94 chemistry under typical nocturnal atmospheric conditions.

95 2. Materials and Methods

96 **2.1 Flow tube experiments**

97 Experiments of α -pinene oxidation in different regimes (i.e., synergistic O₃ + NO₃ oxidation vs. O₃-

only) were carried out under room temperature (298 K) and dry (relative humidity < 5%) conditions
in a custom-built flow tube reactor (FTR, Figure S1). O₃ and NO₂ were added into a glass tube

100 (Figure S1) to form NO₃ radical and its precursor N_2O_5 :

101 $NO_2 + O_3 \rightarrow NO_3 + O_2$ (R1)

102
$$NO_3 + NO_2 \leftrightarrow N_2O_5$$
 (R2)

103 O_3 was generated by passing a flow of ultra-high-purity (UHP) O_2 (Shanghai Maytor Special Gas 104 Co., Ltd.) through a quartz tube housing a pen-ray mercury lamp (UV-S2, UVP Inc.) and its 105 concentration was measured by an ozone analyzer (T400, API). NO₂ was obtained from a gas 106 cylinder (15.6 ppm, Shanghai Weichuang Standard Gas Co., Ltd.). The initial NO₂ concentration in 107 the flow tube was \sim 4.5 ppb. To prevent the titration of NO₃ radicals by NO, all the experiments were performed without the addition of NO. The total air flow in the NO₃ generation glass tube was 108 109 0.6 L min⁻¹ and 0.4 L min⁻¹ for the gas-phase HOM and SOA formation experiments, respectively. 110 The produced N_2O_5 and NO_3 radicals, as well as the excessive O_3 were added into the FTR to initiate 111 α -pinene oxidation. For the O₃-only experiments, only O₃ was added into FTR.

The α-pinene gas was generated by evaporating a defined volume of their-its liquid (99%, Sigma-Aldrich) into a cleaned and evacuated canister (SilcoCan, RESTEK), and then added into FTR through a movable injector at a flow rate of 22 - 108 mL min⁻¹. The initial concentration of α-pinene in the flow reactor ranged from 100 - 500 ppb. In some experiments, the gas of cyclohexane (~ 100 ppm), which was generated by bubbling a gentle flow of UHP N₂ through its liquid (LC-MS grade, CNW), was added into the flow reactor as a scavenger of OH radicals formed from α-pinene ozonolysis. For experiments characterizing the formation of HOMs, the total air flow in the FTR was 10.8 L min⁻¹ and the residence time was 25 seconds. The short reaction time and the small amount of reacted α -pinene (see Table S1) in these experiments prevented the formation of particles. For the experiments characterizing the formation of SOA particles, a larger FTR was used, with a total air flow of 5 L min⁻¹ and a residence time of 180 seconds. A summary of the conditions including the simulated concentrations of NO_{2s} N₂O₅ and NO₃ radicals-, as well as the concentration of α -pinene oxidized by each oxidant in different experiments are shown in Table S1.

126 The gas-phase RO₂ radicals and closed-shell products were measured using a nitrate-based CI-APi-127 ToF (abbreviated as nitrate-CIMS; Aerodyne Research, Inc.), which has been described in detail 128 previously (Zang et al., 2023). A long ToF-MS with a mass resolution of ~10000 Th/Th was used 129 here. The mass spectra within the m/z range of 50-700 were analyzed using the tofTools package 130 developed by Junninen et al. (2010) based on Matlab. The total ion counts (TIC), i.e., with values of $(5.9-6.2) \times 10^4$ cps- are similar under different reaction conditions. In this study, we assume that 131 132 the $C_xH_yO_z$ -HOMs derived from ozonolysis and OH oxidation of α -pinene exhibit the same 133 sensitivity in nitrate-CIMS. However, the highly oxygenated organic nitrates may have a 134 significantly lower sensitivity compared to the $C_xH_yO_z$ -HOM counterparts, given that the substitution of -OOH or -OH groups by -ONO₂ group in the molecule would reduce the number of 135 136 H-bond donors, which is a key factor determining the sensitivity of nitrate-CIMS (Shen et al., 2022; 137 Hyttinen et al., 2015). Recently, Li et al. (2024) used CI-Orbitrap with ammonium or nitrate reagent 138 ions to detect oxygenated organic molecules in the synergistic O₃ + NO₃ regime, and found that 139 both the ion intensity of ONs and their signal contribution to the total dimers were much lower when 140 using nitrate as reagent ions.

A scanning mobility particle sizer (SMPS, TSI), consisting of an electrostatic classifier (model 3082), <u>a condensation particle counter (model 3756)</u>, and <u>a long or nano differential mobility</u> analyzer (model 3081 and 3085), with a measurable size range of 4.61 – 156.8 nm andor 14.6 – 661.2 nm, respectively, was employed to monitor the formation of particles in the flow tube. During the HOM formation experiments, even under conditions with the highest initial α -pinene concentration (500 ppb), only a tiny amount of particles was formed, with mass concentrations of (6.4 ± 1.6) × 10⁻³ and (1.0 ± 0.3) × 10⁻² µg m⁻³ and number concentrations of 574 ± 138 and 256 ±

- 148 <u>68 cm⁻³ in the O₃-only regime (Exp 5) and O₃ + NO₃ regimes (Exp 11), respectively. These results</u>
- suggest that the formation of SOA particles in the HOM formation experiments is negligible and
- 150 would have no significant influence on the fate of RO₂ and closed-shell productsa differential
- 151 mobility analyzer (model 3081), and a condensation particle counter (model 3756), was employed
- 152 to monitor the formation of particles in different oxidation experiments.

153 **2.2 Estimation of HOM volatility**

- A modified composition-activity method was used to estimate the saturation mass concentration
 (*C**) of HOMs in this study according to the approach developed by Li et al. (2016):
- 156 $\log_{10}C^* = (n_C^0 n_C)b_C n_O b_O 2\frac{n_C n_O}{n_C + n_O}b_{CO} n_N b_N n_S b_S$

where n_C^0 is the reference carbon number; n_C , n_O , n_N , and n_S are the atom numbers of carbon, oxygen, nitrogen, and sulfur, respectively; b_C , b_O , b_N , and b_S are the contribution of each atom to $\log_{10}C^*$, respectively; b_{co} is the carbon–oxygen nonideality (Donahue et al., 2011). These *b*values were provided by Li et al. (2016).

- It should be noted that the CHON compounds used in the data set by Li et al. (2016) are mostly 161 162 amines, amides, and amino acids, and only contain a limited number of organic nitrates (0.07%). Since different types of CHON compounds have very different vapor pressures (Isaacman-Vanwertz 163 164 and Aumont, 2021), this formula-based approach can be biased to estimate the C^* of organic nitrates. Considering that the -ONO2 and -OH groups have similar impacts on vapor pressure and that the 165 166 CHON species are predominantly organic nitrates in our study, all -ONO₂ groups are treated as OH groups during the estimation of vapor pressure (Daumit et al., 2013; Isaacman-Vanwertz and 167 168 Aumont, 2021).
- 169 Gas-phase HOMs are grouped into five classes based on their $\log_{10}C^*$ (Donahue et al., 2012; 170 Bianchi et al., 2019; Schervish and Donahue, 2020), that is, ULVOCs ($\log_{10}C^* < -8.5$), extremely 171 low-volatility organic compounds (ELVOCs, $-8.5 < \log_{10}C^* < -4.5$), low-volatility organic 172 compounds (LVOCs, $-4.5 < \log_{10}C^* < -0.5$), semi-volatile organic compounds (SVOCs, -0.5 <
- 173 $\log_{10}C^* < 2.5$), and intermediate-volatility organic compounds (IVOCs, $2.5 < \log_{10}C^* < 6.5$).

174 **2.3 Kinetic model simulations**

175 Model simulations of specific RO₂ radicals and closed-shell HOMs formed in different oxidation 176 regimes were performed to constrain the reaction kinetics and mechanisms using the Framework 177 for 0-D Atmospheric Modeling (F0AM v4.1) (Wolfe et al., 2016), which employs MCM v3.3.1 178 (Jenkin et al., 2015). The α -pinene oxidation mechanism was updated with the state-of-the-art 179 knowledge on the chemistry of RO₂ autoxidation and cross- reactions forming HOM monomers and 180 dimers, respectively (Zhao et al., 2018; Wang et al., 2021; Iyer et al., 2021; Shen et al., 2022). The 181 detailed updates have been described in our previous study (Zang et al., 2023). In particular, the 182 formation and subsequent reactions of the ring-opened primary $C_{10}H_{15}O_4$ -RO₂, the highly 183 oxygenated acyl RO₂, as well as the C₁₀H₁₅O₂-RO₂ arising from H-abstraction by OH radicals 184 during α -pinene ozonolysis are included in the model according to recent studies (Iyer et al., 2021; 185 Zhao et al., 2022; Zang et al., 2023; Shen et al., 2022).

To investigate the synergistic reactions of RO₂ derived from the oxidation of α-pinene by different 186 187 oxidants, we added the cross- reactions of the primary nitrooxy-RO₂ derived from NO₃ oxidation 188 (NO3RO₂), i.e., C₁₀H₁₆NO₅-RO₂, with RO₂ derived from ozonolysis (CIRO₂) and OH oxidation (^{OH}RO₂). Recently, Zhao et al. (2018) revealed the bulk rate constant for ^{CI}RO₂ and ^{OH}RO₂ self/cross 189 190 reactions to be 2×10^{-12} cm³ molecule⁻¹ s⁻¹, and Bates et al. (2022) constrained the rate constant for $\frac{1003}{100}$ self/cross reactions to be $1 \times 10^{-13} - 1 \times 10^{-12}$ cm³ molecule⁻¹ s⁻¹. In the present study, the 191 default rate constant for $^{NO3}RO_2 + ^{CI}RO_2$ was set to $2 \times 10^{-12} \text{ cm}^3$ molecule⁻¹ s⁻¹, the same to that for 192 <u>self/cross reactions</u> of $\frac{CIRO_2}{2}$ and $\frac{OHRO_2}{2}$. The ratio of the cross-reaction rate constant of NO_3RO_2 + 193 $^{CI}RO_2$ to that of $^{NO3}RO_2 + {}^{OH}RO_2$ was tuned to achieve a good measurement-model agreement for 194 195 the distribution of specific RO₂ and HOMs across different oxidation regimes. Recent studies 196 suggested that the ROOR' dimer formation rates from the highly oxygenated RO₂ are fast (Berndt 197 et al., 2018b; Molteni et al., 2019). As a result, a relatively high dimer formation branching ratio of 198 50% was used for different RO₂ (e.g., ^{CI}RO₂, ^{OH}RO₂, ^{NO3}RO₂) in this study. With these default 199 kinetic parameters, the RO₂ bimolecular lifetimes were predicted to be 10.9 - 25.9 s in the O₃-only 200 regime and 8.4 - 11.8 s in the O₃ + NO₃ regime in the HOM formation experiments. Considering that the RO₂ cross-reaction kinetics remain highly uncertain, sensitivity analyses were performed to 201 202 evaluate their influences on the results in this study (see Section 3.2). Previous studies indicated that

the primary ^{NO3}RO₂ radicals arising from α-pinene are prone to lose the nitrate group and form pinonaldehyde with high volatility (Kurt én et al., 2017; Fry et al., 2014). Therefore, we did not consider the autoxidation of primary ^{NO3}RO₂ in the model. Considering the presence of NO₂ in the experiments, the reactions of RO₂ + NO₂ \approx ROONO₂ were also included in the model (Zang et al., 207 2023).

208 **3. Results and Discussion**

209 3.1 Molecular distribution of RO₂ and HOMs in the synergistic oxidation regime

210 The relative changes in the abundance of gas-phase RO₂ species and $C_{x}H_{y}O_{z}$ -HOMs in the 211 synergistic O_3 + NO_3 - oxidation of α -pinene vs.versus the O_3 -only regime are shown<u>different</u> 212 oxidation regimes is shown in Figure 1a. The species signals are normalized by the total reacted α -213 pinene in each-oxidation regime. Compared to the O₃-only regime, the normalized signals of total 214 RO_2 and HOMs decrease by 62 - 68% in the synergistic $O_3 + NO_3$ regime. Although NO_3 oxidation 215 accounts for a considerable fraction of reacted α -pinene in the synergetic oxidation regime, the 216 signal contributions of HOM-ONs are not significant. This might be due to the low sensitivity of 217 nitrate-CIMS to the ONs formed involving NO₃ oxidation (see Section 2.1). The signals of $C_xH_yO_z$ -218 HOMs significantly decrease by 29 36% in the synergistic O₃+ NO₃ regime compared to those in 219 the O₃-only regime (Figure 1a). Although there remain considerable uncertainties in instrument 220 sensitivities to different compounds, sensitivity analyses suggest that varying the instrumentCIMS 221 sensitivities to RO₂ and HOMs by a factor of 10 with different oxygenation levels-would not 222 significantly influence their relative distribution across different oxidation regimes (see Section S1

223 <u>for details).</u>

224 Note that the initial concentrations of α -pinene and O₃ in the two oxidation regimes were the same. 225 In addition, model simulations show that in the synergistic $O_3 + NO_3$ oxidation regime, over 97% of 226 OH radicals react with α -pinene and the depletion of OH by NO₂ is minor (0.2 – 1.3%). Also, NO₃ radicals almost entirely (over 98.5%) react with α -pinene and their reaction with RO₂ has negligible 227 228 influence on the fate of RO₂ (Figure S2). Meanwhile, the depletion of acyl RO₂ by NO₂ only leads 229 to a small reduction (4-5% and 7-12%, respectively) in total $C_xH_yO_z$ -HOM monomers and dimers 230 in the synergistic regime compared to the O₃-only regime. As a result, the strong reduction in HOM formation due to the presence of NO3 oxidation is likely mainly due to (i) the fast competitive 231

232 <u>consumption of α -pinene by NO₃ radicals, which leads to a reduction in the reacted α -pinene by O₃ 233 (Δ [α -pinene]_{O3}, Figure S3) and thereby C_xH_yO_z-HOM signals, and (ii) the cross reactions of ^{CI}RO₂ 234 <u>or ^{OH}RO₂ with ^{NO3}RO₂, which suppress the autoxidation and self/cross reactions of ^{CI}RO₂ and ^{OH}RO₂ 235 <u>to form C_xH_yO_z-HOMs.</u></u></u>

To quantify the contribution of cross reactions of ^{NO3}RO₂ with ^{CI}RO₂/OHRO₂ to the suppressed 236 237 formation of C_xH_yO_z-HOMs in the synergistic oxidation regime, C_xH_yO_z-HOM signals shown in 238 Figure 1a are firstly normalized to $\Delta[\alpha-\text{pinene}]_{O3}$ in each oxidation regime and then compared 239 between different oxidation regimes (see Figure 1b). Therefore, the strong reduction in HOM 240 formation in the synergistic oxidation regime vs. the O₃-only regime is likely due to the following 241 two reasons. The first one is the fast competitive consumption of α pinene by NO₃ radicals, which 242 results in a reduction in the reacted α pinene by O₃ (Δ [α pinene]₀₃, Figure S2) and thereby the 243 formation of C_xH_xO_z-HOMs. The second reason is associated with the reactions of ^{NO3}RO₂ with ^{CI}RO₂ or ^{OH}RO₂ from α pinene, which suppresses the autoxidation and self/cross-reactions of ^{CI}RO₂. 244 245 and ^{OH}RO₂ to form C_{*}H_{*}O_{*}-HOMs.

To quantify the contribution of the synergistic RO_2 chemistry to the suppression of $C_xH_yO_2$ -HOM formation in the O_3 + NO_3 -oxidation regime, the $C_xH_yO_2$ -HOM signal ratios in Figure 1a are further normalized to the ratio of $\Delta[\alpha$ -pinene]_{O_3} in the synergistic oxidation regime vs. the O_3 -only regime (see Figure 1b). Notably, after excluding the influence of reduced $\Delta[\alpha$ -pinene]_{O_3}, the $C_xH_yO_2$ -HOMs signals still drop by 24 – 32% in the O_3 + NO_3 regime compared to those in the O_3 -only regime, indicating a significant contribution of the coupled reactions between $^{NO3}RO_2$ and $^{CI}RO_2$ or $^{OH}RO_2$ to suppressed $C_xH_yO_2$ -HOM formation.



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Figure 1 Distributions of RO_2 and HOMs in the O_3 -only and O_3 + NO_3 regimes. (a) Signals of total 254 255 RO₂, as well as HOM monomers and dimers normalized by the reacted α -pinene in each oxidation 256 regime (Exps 1-5, 7-11). (b) Relative changes in the normalized signals of $C_x H_y O_z$ -HOMs in the O_3 257 + NO₃ regime versus the O₃-only regime. Ion signals are normalized to $\Delta [\alpha$ -pinene]_{O3} in each oxidation regime to highlight the suppression effect of the synergistic chemistry between ^{NO3}RO₂ 258 259 and ^{CI}RO₂ or ^{OH}RO₂ on C_xH_yO_z-HOM formation. (c) Difference mass spectrum between the two 260 oxidation regimes. The positive and negative peaks indicate the species with enhanced and 261 decreased formation in the $O_3 + NO_3$ regime compared to the O_3 -only regime, respectively. 262



Figure 1. Distributions of RO₂ and HOMs in the synergistic O₃ + NO₃ regime. (a) Relative changes
 in the signals of C_xH_yO_z-RO₂ radicals, HOM monomers, and HOM dimers in the O₃ + NO₃ regime
 compared to those in the O₃-only regime (Exps 1-10). (b) Similar to (a), but with ion signals
 normalized to Δ[α-pinene]_{O3} in each oxidation regime. (c) HOM nitrates measured in the O₃ + NO₃
 regime.

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Figure 1c shows a difference mass spectrum highlighting the changes in species distribution 269 270 between the two oxidation regimes. Almost all C_xH_yO_z-HOM species decrease significantly in the 271 $O_3 + NO_3$ regime compared to the O_3 -only regime. Besides, a large set of HOM-ON species are 272 formed, despite their relatively low signals. It should be noted that no obvious signals of highly 273 oxygenated $^{NO3}RO_2$ (C₁₀H₁₆NO_x, x \ge 6) were observed by nitrate-CIMS in the O₃ + NO₃ oxidation 274 system. One possible reason is that nitrate-CIMS exhibits relatively low sensitivity to the organic 275 nitrates. Secondly, the instrument's mass resolution is not high enough to differentiate the mass closure between some of NO3RO2 and CxHyOz-HOMs with strong peaks (Table S3), limiting the 276 detection of ^{NO3}RO₂ species. Furthermore, previous studies revealed that the primary ^{NO3}RO₂ 277 278 radicals (i.e., $C_{10}H_{16}NO_5$ -RO₂) in the α -pinene + NO₃ system mainly react to form pinonaldehyde (Kurtén et al., 2017; Perraud et al., 2010). It is likely that only a very small amount of ^{NO3}RO₂ can 279 280 undergo intramolecular H-shift/O2 addition to form highly oxygenated NO3RO2. It should be pointed 281 out that although the primary $C_{10}H_{16}NO_5$ -RO₂ species arising from NO₃ oxidation may not undergo 282 <u>fast autoxidation, they tend to efficiently terminate $^{CI}RO_2$ and $^{OH}RO_2$ and suppress the formation</u> 283 <u>of C_xH_yO_z-HOMs.</u>

284 As shown in Figure 1c, although several closed-shell monomeric HOM-ONs have been observed in 285 the synergistic oxidation regime, only a few of them exhibit relatively high signals. Among them, 286 $C_{10}H_{17}NO_8$ may be formed by the autoxidation of $C_{10}H_{16}NO_6$ -RO₂ derived from the intramolecular 287 H-shift of primary ^{NO3}RO radicals ($C_{10}H_{16}NO_4$ -RO). In addition, although CI is a soft ionization 288 method, the fragmentation of chemically labile species still occurs during the ionization in nitrate-289 CIMS. It is possible that some of dimeric HOM-ONs are fragmented to C10H17NO8 during nitrate-290 CIMS measurements. In a recent study by Li et al. (2024), C10H17NO8 was also identified during the synergistic oxidation of α -pinene by O₃ and NO₃. However, the exact origin of this species 291 292 remains to be clarified.

293 The C_{20} dimers with only one nitrogen atom are very likely to be formed from the cross reactions 294 of ^{CI}RO₂ or ^{OH}RO₂ with ^{NO3}RO₂, which Figure 1c shows the signals of closed shell monomeric and 295 dimeric HOM nitrates (HOM-ONs) that were only observed in the synergistic O₃ + NO₃ regime. 296 Their specific formulas are listed in Table S2. These HOM-ONs mainly consist of C₁₀ monomers 297 and C20 dimers that only contain one nitrogen atom. The C20 HOM-ONs are believed to be formed from the cross-reactions of CIRO2 and OHRO2 with NO3RO2. The substantial formation of these 298 dimeric ONs provides direct evidence for the synergistic RO_2 chemistry in the $O_3 + NO_3$ regime. 299 The CHON₂ dimers were also observed in the $O_3 + NO_3$ regime, despite their much lower signals 300 301 than CHON dimers, which is different from the recent studies by Bates et al. (2022) and Li et al. 302 (2024), which found CHON₂ dimer account for an important fraction of the total dimer signals in 303 the synergistic oxidation regimemake important contribution to the total dimer signals. A potential 304 explanation for this discrepancy is the difference in the instrument sensitivity in these studies 305 (Section 2.1). In general, the nitrate-CIMS has lower sensitivities to ONs than to the $C_xH_yO_z$ -HOM 306 counterparts (Shen et al., 2022; Hyttinen et al., 2015). Bates et al. (2022) used CF₃O⁻ as the reagent 307 ion of CIMS. Its sensitivity to ONs might be significantly higher than the nitrate ion. In addition, Li et al. (2024) observed a significantly lower signal contribution of CHON₂ dimers using CI-Orbitrap 308 309 with nitrate reagent ions than with ammonium ions. Despite both using nitrate regent ions, the nitrate 310 CI-Orbitrap in Li et al. (2024) possibly exhibits higher sensitivities to ONs than the nitrate-CIMS 311 <u>in our study.</u>

312 **3.2** Synergistic reaction efficiencies of different RO₂ species

In the O₃ + NO₃ regime, synergistic reactions are likely to occur between ^{CI}RO₂, ^{OH}RO₂ and ^{NO3}RO₂. 313 314 Figure 2 shows the $\Delta[\alpha$ -pinene]₀₃-normalized signal ratios of specific C₁₀ RO₂ as well as their 315 related $C_xH_yO_z$ -HOM monomers and dimers in the synergistic $O_3 + NO_3$ regime vs. the O₃-only 316 regime. It should be noted that the second-generation oxidation processes are strongly inhibited by 317 anthe excess of α -pinene in this study, therefore the predominant type of RO₂ observed here is 318 primary RO₂. Model simulations show that the H-abstraction of α -pinene by OH radicals contributes 319 less than 2% to the formation of C10H15Ox-RO2 and related HOMs under different experimental 320 conditions (Figure \$335). Therefore, $C_{10}H_{15}O_x$ -RO₂ observed in this study are primarily ^{CI}RO₂. 321 Notably, the $^{CI}RO_2$ ($C_{10}H_{15}O_x$) and related $C_{10}H_{14}O_x$ -HOMs decrease by $\sim 30-60\%$ in the $O_3 + NO_3$ regime (Figures 2 a, b), while the decreasing extent of ^{OH}RO₂ (C₁₀H₁₇O_x) and related C₁₀H₁₈O_x-322 323 HOMs are significantly smaller (0 – 40%). In particular, some of the most oxygenated $C_{10}H_{17}O_x$ -RO₂ and C₁₀H₁₈O_x-HOMs ($x \ge 9$) even increase unexpectedly in the synergistic oxidation regime. 324 325 For the C₁₀H₁₆O_x-HOMs that can be derived from the termination self/cross reactions of both ^{CI}RO₂ and ^{OH}RO₂, their reductions are at a medium level. Because of the very small contribution of acyl 326 327 RO₂ to the total C₁₀ RO₂ (0.4%) (Zang et al., 2023), their consumption by NO₂ leads to less than 2% reduction in the C₁₀ CIRO₂ signals. Therefore, tThe more significantly larger decrease in signals of 328 ^{CI}RO₂ and related HOMs as compared to the OH-derived ones in the synergistic O₃ + NO₃ regime 329 330 is primarily due toindicates that in the synergistic O₃ + NO₃ regime, the more efficient cross reactions of ^{NO3}RO₂ with ^{CI}RO₂ than with ^{OH}RO₂ the ^{NO3}RO₂ species have a strong termination effect 331 on ^{CI}RO₂ than on ^{OH}RO₂ (especially for the most oxygenated ^{OH}RO₂). Because a large amount of 332 ^{CI}RO₂ is terminated by ^{NO3}RO₂, fewer ^{CI}RO₂ are available to terminate ^{OH}RO₂. As a result, more 333 OH RO₂ can undergo autoxidation to form highly oxygenated C₁₀H₁₇O_x-RO₂ and C₁₀H₁₈O_x-HOMs (x 334 335 \geq 9), leading to an increase in signals of these species. Consistently, the signals of C₂₀ HOM dimers 336 decrease by 20 - 40% in the $O_3 + NO_3$ regime compared to that in O₃-only regime, and the signal reduction of dimers ($C_{20}H_{30}O_x$) formed by ^{CI}RO₂ is slightly larger than that of the dimers ($C_{20}H_{34}O_x$) 337 arising from ^{OH}RO₂ (Figure 2c). Note that the highly oxygenated $C_{20}H_{34}O_x$ dimers (x \geq 13) that can 338 be formed from self/cross-reactions of $C_{10}H_{17}O_x$ -RO₂ (x \geq 9) are not observed in this study, likely 339

340 due to their low abundance and the limitation of instrument sensitivity.





Figure 2. Normalized signal ratios of (a) specific and total $C_{10}H_{15,17}O_x$ -RO₂ radicals, as well as their related (b) C_{10} HOM monomers and (c) C_{20} HOM dimers in the $O_3 + NO_3$ regime vs. the O_3 -only regime (Exps 1-10). Ion signals observed in each oxidation regime are normalized to $\Delta[\alpha$ -pinene]₀₃. These above results are somewhat different from the most recent study by Li et al. (2024), which



363 OH radicals by NO₂ and the competitive consumption of α -pinene by NO₃ radicals, which resulted

364 in a significant decrease in $C_{10}H_{17}O_{5,7}$ radicals from OH chemistry as observed in their experiments.

However, in the present study, because of the excess of α -pinene, over 97% of OH radicals react

with α -pinene and the depletion of OH by NO₂ is minor (0.2 - 1.3%) in the O₃ + NO₃ regime. The

367 reduction in the reacted α -pinene by OH radicals is less than 10% compared to the O₃-only regime.

368 As a result, a smaller decrease in $C_{10}H_{17}O_{5,7}$ radicals was observed in our study.

To gain quantitative constraints on the relative reaction efficiency of ^{NO3}RO₂ + ^{CI}RO₂ vs. ^{NO3}RO₂ + 369 ^{OH}RO₂ (i.e., k_{NO3+CI}/k_{NO3+OH}), the signal ratios of C₁₀-^{CI}RO₂ and ^{OH}RO₂ as well as their related C₁₀ 370 371 HOMs in the synergistic oxidation regime vs. the O₃-only regime were predicted using a kinetic 372 model (see Section 2.3) with different k_{NO3+CI}/k_{NO3+OH} ratios. Figure 3 shows a measurement-model 373 comparison of those signal ratios. When the ratio of k_{NO3+CI}/k_{NO3+OH} is smaller than or equal to 1, 374 the simulated signal ratios of many RO_2 and HOMs differ significantly from the measured ratios, especially for some $C_{10}H_{17}O_x$ -RO₂ and $C_{10}H_{18}O_x$ -HOMs. When the ratio of k_{NO3+CI}/k_{NO3+OH} is 10 – 375 100, there is a good measurement-model agreement for most of RO_2 and HOMs. Therefore, we 376 conclude that the cross-reaction rate constants of $^{NO3}RO_2 + {}^{CI}RO_2$ are on average 10 – 100 times 377 larger than those for ^{NO3}RO₂ + ^{OH}RO₂. This different RO₂ cross-reaction efficiency is the main 378 379 reason for the significantly larger decrease in the abundance of CIRO2 and related HOMs as 380 compared to the OH-derived ones (see Figure 2).





382

Figure 3. Measurement-model comparisons of the signal ratios of different C_{10} RO₂ and HOMs in the synergistic $O_3 + NO_3$ regime vs. the O_3 -only regime. The cross reaction rate constant of ^{NO3}RO₂ + ^{CI}RO₂ was set to 2 × 10⁻¹² cm³ molecule⁻¹ s⁻¹ and the rate of ^{NO3}RO₂ + ^{OH}RO₂ was varied from 2 × 10⁻¹¹ cm³ molecule⁻¹ s⁻¹ to 2 × 10⁻¹⁴ cm³ molecule⁻¹ s⁻¹ in the model.

387 As a competitive reaction pathway, the autoxidation rates of RO_2 can affect the extent to which RO_2 388 cross- reactions influence the RO₂ fate and HOM formation. Therefore, sensitivity analyses of the 389 autoxidation rate of RO₂ were conducted to evaluate its influence on the changes of RO₂ and related 390 HOM concentrations in the synergistic $O_3 + NO_3$ regime vs. the O_3 -only regime (Figure <u>\$4\$7</u>). In these analyses, a k_{NO3+CI}/k_{NO3+OH} ratio of 10 was used according to the above discussions. As the 391 autoxidation rate of ^{OH}RO₂ increases from 0.28 to 10 s⁻¹, corresponding to the rate range reported in 392 393 previous studies (Berndt et al., 2016; Zhao et al., 2018; Xu et al., 2019), the simulated reduction of highly oxygenated $^{OH}RO_2$ and related $C_{10}H_{18}O_x$ -HOMs in the synergistic $O_3 + NO_3$ regime exhibits 394 395 a slight decrease (< 9%) but still agrees reasonably well with the measured value (Figures $\frac{54-57}{2}$ ad). Considering that the autoxidation rates of ^{CI}RO₂ used in the model approach their upper limits 396 reported in the literature, i.e., $\sim 1 \text{ s}^{-1}$ for the butyl ring-opened C₁₀H₁₅O₄-RO₂ (Iyer et al., 2021) and 397 relatively smaller rates for ring-retained C₁₀H₁₅O₄-RO₂ (0.02 - 0.29 s⁻¹, see Scheme S1) (Zhao et 398 al., 2021), we also lowered the autoxidation rate constants of ^{CI}RO₂ by a factor of 10 to see its 399 influence on RO₂ and HOM distribution in the O₃ + NO₃ regime. The simulated reduction of ^{CI}RO₂ 400 401 and $C_{10}H_{14}O_x$ -HOMs in this case decreases by 10 - 16% (Figures $s_{4}S_7$ e-h), while that of $C_{10}H_{16}O_x$ -HOMs increases by up to 30% (Figures S4-S7 i, j). However, the simulated results are still close to 402 the measured values. These sensitivity analyses suggest that the uncertainty in the autoxidation rates 403 of ^{OH}RO₂ and ^{CI}RO₂ could slightly affect the simulated distribution of RO₂ and HOMs across 404

405 different oxidation regimes but not significantly change the k_{NO3+CI}/k_{NO3+OH} ratio obtained in this 406 study. Further sensitivity analyses on the rate constant and dimer formation branching ratio of RO₂ 407 cross reactions indicate that the uncertainties in these reaction kinetics do not alter the conclusion 408 regarding the k_{NO3+CI}/k_{NO3+OH} ratio either (see details in Sections S2 and S3).



409

Cyclohexane was added in some experiments as an OH scavenger to elucidate the role of ^{OH}RO₂ 410 411 chemistry in HOM formation in the $O_3 + NO_3$ regime. In the presence of cyclohexane, ^{OH}RO₂ 412 $(C_{10}H_{17}O_x)$ and related HOM monomers $(C_{10}H_{18}O_x)$ and dimers $(C_{20}H_{32}O_x \text{ and } C_{20}H_{34}O_x)$ decrease 413 by more than 80% (Figure 4), while ${}^{CI}RO_2$ ($C_{10}H_{15}O_x$) and related HOM monomers ($C_{10}H_{14}O_x$) and 414 dimers $(C_{20}H_{30}O_x)$ only decrease slightly (< 30%), in a good agreement with previous measurements 415 (Zhao et al., 2018; Zang et al., 2023). The $C_{10}H_{16}O_x$ species, which can arise from both ^{CI}RO₂ and 416 ^{OH}RO₂, exhibit a medium reduction (Figure 4b). It is interesting to note that with the addition of 417 cyclohexane, there is a significant increase in $C_{20}H_{31}NO_x$, which are formed from the crossreactions of ^{CI}RO₂ with ^{NO3}RO₂. Such an enhanced production of C₂₀H₃₁NO_x as compared to the 418 419 slightly deceased formation of $C_{20}H_{30}O_x$ indicates that the $CIRO_2 + NO_3RO_2$ reactions are competitive compared to the $^{CI}RO_2 + ^{CI}RO_2$ and $^{CI}RO_2 + ^{OH}RO_2$ reactions the cross-reaction of $^{CI}RO_2 + ^{NO3}RO_2$ is 420 fast compared to that of $^{CI}RO_2 + ^{CI}RO_2$ and $^{CI}RO_2 + ^{OH}RO_2$. As a result, when the $^{OH}RO_2$ are depleted, 421 the ^{CI}RO₂ that are supposed to react with ^{OH}RO₂, efficiently react with ^{NO3}RO₂ to form C₂₀H₃₁NO_x, 422 423 leading to the increase in $C_{20}H_{31}NO_x$ signals. Consistent with the experimental measurements, the model simulations show that the concentrations of $C_{20}H_{31}NO_x$ in the $O_3 + NO_3$ regime increase with 424 425 the addition of cyclohexane as an OH scavenger (Figure S9). However, the simulated enhancement



is slightly lower than the measurements, which might be due to the uncertainties in the RO₂ cross-



429

430 Figure 4. Relative changes in signals of (a) C_{10} RO₂, (b) C_{10} HOMs, and (c) C_{20} dimers due to the 431 addition of 100 ppm cyclohexane as an OH scavenger derived in the synergistic O₃ + NO₃ regime 432 (Exps 6-7 and 12).

433 **3.3 Influence of synergistic oxidation on low-volatility organics and particle formation**

434 Compared to the O₃-only regime, there is are a remarkable reduction in $C_xH_yO_z$ -HOMs and a strong 435 formation of HOM-ONs due to the termination reactions efficient cross reactions between NO3RO2 436 and ^{CI}RO₂ or ^{OH}RO₂-in the synergistic oxidation regime. This significant change in HOM 437 composition and abundance would alter the volatility distribution of HOMs and influence the 438 formation of particles. The volatilities of HOMs formed in the two oxidation regimes are estimated 439 using a modified composition-activity method (see Section 2.2) and shown in Figure 5. The 440 abundance of $C_xH_yO_z$ -HOMs characterized as ULVOCs and ELVOCs decreases considerably in the 441 synergistic $O_3 + NO_3$ regime compared to the O₃-only regime (Figure 5a), in agreement with the 442 very recent observations by Li et al. (2024) who found that the presence of NO₃ radicals during α pinene ozonolysis significantly reduced the abundance of ULVOCs. Although substantial amounts 443 444 of HOM-ONs are formed in the $O_3 + NO_3$ regime, they generally have higher volatilities (i.e.,

characterized as ELVOCs to IVOCs) (Figure 5b). In addition, the total abundance of newly formed HOM-ONs characterized as ELVOCs in the synergistic $O_3 + NO_3$ regime is significantly lower than the reduced formation of ultra- and extremely low-volatility $C_xH_yO_z$ -HOMs. Therefore, the synergistic $O_3 + NO_3$ oxidation of α-pinene significantly reduces the formation of ULVOCs and increases the overall volatility of total HOMs.



450

451 Figure 5. Volatility distribution of $C_xH_yO_z$ -HOMs and HOM-ONs formed in the $O_3 + NO_3$ regime 452 and O_3 -only regime (Exps 1, 6). Ion signals in each oxidation regime are normalized to the 453 corresponding total reacted α -pinene.

454 Figure 6a shows the particle number and mass concentrations formed in the two oxidation regimes 455 in SOA formation experiments (Table S1, Exps 13, 14). The particle number concentration decreases 456 by more than 50% whereas the particle mass concentration increases by a factor of 2 in the 457 synergistic $O_3 + NO_3$ regime, compared to that in the O_3 -only regime. The presence of NO_3 radicals during α -pinene ozonolysis reduces the abundance of ULVOCs, which are the key species driving 458 459 particle nucleation, thereby leading to a reduction in the particle number concentration in the O_3 + 460 NO₃ regime. On the other hand, substantial formation of HOM-ONs is expected from the cross reactions of ^{NO3}RO₂ with ^{CI}RO₂ and ^{OH}RO₂ in the synergistic oxidation regime (Li et al., 2024; Bates 461 462 et al., 2022), although their signals are relatively low due to the low sensitivity of nitrate-CIMS to 463 ONs in this study. The newly formed HOM-ONs have relatively higher volatilities and are 464 inefficient in initiating particle nucleation, but they are able to partition into the formed particles 465 and contribute to the particle mass growth. Meanwhile, as the particle number concentration 466 decreases drastically in the synergistic oxidation regime, more condensable vapors are available for 467 <u>each particle to grow to larger sizes (Figure 6b), which would in turn favor the condensation of more</u>
 468 <u>volatile organic species including ONs due to the reduced curvature effect of the larger particles,</u>
 469 ultimately resulting in an increase in SOA mass concentrations.

470 Recently, Bates et al. (2022) also found that in chamber experiments with seed particles, the SOA 471 mass yields were significantly higher during α -pinene oxidation by O₃ + NO₃ than during ozonolysis, 472 mainly due to the substantial formation and condensation of ON dimers. However, in the absence 473 of seed particles, synergistic $O_3 + NO_3$ oxidation of α -pinene does not nucleate in their study. This phenomenon might be due to the high concentrations of NO_2 (72 ppb) and O_3 (102 ppb) as well as 474 475 the relatively low concentration of α -pinene (27 ppb) in their experiments. As indicated by Bates et 476 al. (2022), under this conditions NO₃ radicals were substantially formed and contributed to a 477 dominant fraction (75%) of α -pinene oxidation, which strongly inhibited the production of low-478 volatility species and particle nucleation. The reduced particle number concentration in the O_3 + 479 NO₃ regime is ascribed to the suppressed formation of ULVOCs, which are the key species driving 480 the particle nucleation (Simon et al., 2020; Schervish and Donahue, 2020). However, although the 481 newly formed HOM-ONs with relatively higher volatilities are inefficient in initiating particle 482 nucleation, they are able to partition into the formed particles and contribute significantly to the 483 particle mass growth. This result is consistent with a recent chamber study which found that the 484 SOA mass concentration is much higher during α pinene oxidation by O₃ + NO₃ than during 485 ozonolysis .



487 Figure 6. Number and mass concentrations (a), as well as the size distribution (b) of particles formed
 488 from the ozonolysis and synergistic O₃ + NO₃ oxidation of α-pinene (Exps 13-14).



491 Figure 6. Number and mass concentrations of particles formed from the ozonolysis and
 492 synergistic O₃ + NO₃ oxidation of α-pinene (Exps 13-14).

493 3.4 Atmospheric relevance of experimental results

494 In the present study, the flow tube experiments were conducted under dry conditions. Although water vapor may affect the fate of Criegee intermediates (CIs) and RO₂ radicals and thereby HOM 495 496 formation during the oxidation of organics under humid conditions, there is growing evidence that 497 such effects in the a-pinene oxidation system are small. Kinetics studies have found that the 498 stabilized Criegee intermediates (SCIs) arising from a-pinene ozonolysis can undergo fast 499 unimolecular decay at a rate constant of 60 – 250 s⁻¹ (Vereecken et al., 2017; Newland et al., 2018), 500 which is rapid compared to their reaction with water vapor, in particular for syn-SCIs, under 501 atmospheric conditions (Vereecken et al., 2017; Newland et al., 2018). In addition, the yield of OH 502 radicals from CI decomposition is independent of RH (Atkinson et al., 1992; Aschmann et al., 2002). 503 Consistent with the fast unimolecular reaction kinetics revealed by these studies, recent laboratory 504 measurements have shown that the contribution of SCIs to the formation of gas-phase and particle-505 phase dimers are small (<20%) during α-pinene ozonolysis (Zhao et al., 2018; Zhao et al., 2022). 506 Furthermore, the molecular composition and abundance of HOM monomers and dimers (Li et al., 507 2019) and the formation of particle-phase dimers (Zhang et al., 2015; Kenseth et al., 2018) do not change significantly with RH ranging from 3% to 92%. These studies suggest that the humidity 508 509 condition does not strongly affect the HOM formation chemistry in the α -pinene ozonolysis system.

510 To evaluate the relevance of our experimental findings to the real atmosphere, we performed

511 chemical model simulations of HOM formation from nocturnal synergistic $O_3 + NO_3$ oxidation of α -pinene under typical atmospheric conditions. In these simulations, constant concentrations of α -512 513 pinene (1 ppb), O₃ (30 ppb), NO (5 ppt), NO₂ (1.8 ppb), NO₃ radicals (0.2 or 1 ppt), OH radicals (5 -50×10^4 molecules cm⁻³), HO₂ radicals (4 ppt), as well as a constant RH of 50% and temperature 514 515 of 298 K were used as typical nocturnal conditions in the boreal forest according to the field studies 516 (Stone et al., 2012; Lee et al., 2016a; Brown and Stutz, 2012; Geyer et al., 2003b; Kristensen et al., 517 2016; Hakola et al., 2012; Liebmann et al., 2018). Considering the rapid deposition of oxidized 518 biogenic compounds (Nguyen et al., 2015), a typical dilution lifetime of 5 h (i.e., $k_{dil} = 1/5$ h⁻¹) was assumed in the model. According to the above analysis, the cross-reaction rate constants for ^{NO3}RO₂ 519 + $^{CI}RO_2$ and $^{NO3}RO_2$ + $^{OH}RO_2$ were set to 1 \times 10⁻¹² cm³ molecule⁻¹ s⁻¹ and 1 \times 10⁻¹³ cm³ molecule⁻¹ 520 521 s⁻¹ in the model, respectively. The formation of RO₂ with oxygen numbers higher than 11 was not 522 considered in the model, due to the large uncertainty in the autoxidation rate constants of the highly 523 oxygenated RO₂. In fact, the autoxidation rate of the highly oxygenated RO₂ is expected to be small 524 given the significant decrease in the number of active sites for intramolecular H-abstraction in the 525 molecule. As a result, the contribution of the most oxygenated HOMs to the total HOM monomers 526 could be relatively small (Zhao et al., 2018; Claflin et al., 2018). 527 In the absence of NO₃ radicals (with NO₃ concentrations and formation rates set to zero), the amount 528 of α -pinene consumed during 4 hours of simulation is 1.04 ppb. When a relatively low NO₃ 529 concentration (0.2 ppt) is considered (Figure 7a), the amount of α -pinene consumed is 1.48 ppb, and 530 the ozonolysis is the primary loss pathway of α -pinene (68%), followed by NO₃ (30%) and OH 531 oxidation (2%). The reactions of $RO_2 + HO_2$, $RO_2 + NO_3$, and $RO_2 + RO_2$ account for ~49%, ~27%, 532 and ~24% of the total RO₂ fate, respectively (Figure S10a). Compared to the ozonolysis of α -pinene, 533 the synergistic $O_3 + NO_3$ oxidation leads to a reduction of 3% and 13% in the formation of $C_xH_yO_z$ -534 HOM monomers and dimers, respectively (Figure 7b). Given that the concentrations of α -pinene 535 and oxidants were held constant during the simulation, the consumptions of α -pinene by O₃ and OH radicals are the same across different oxidation regimes. Therefore, the decreases in the 536 537 concentrations of $C_xH_yO_z$ -HOM monomers and dimers in the presence of NO₃ oxidation are mainly due to the cross reactions of ^{NO3}RO₂ with other RO₂. When the NO₃ concentration is as high as 1 538 ppt as reported in field studies (Liebmann et al., 2018), the consumption of α -pinene reaches 3.24 539

540 ppb, of which 68% is contributed by NO₃ oxidation (Figure 7c). Under this condition, the RO_2 + 541 RO_2 reactions account for ~34% of the total RO_2 fate (Figure S10b). As a result, the cross reactions 542 of ^{NO3}RO₂ with other RO₂ play a more important role in the HOM formation. The production of $C_xH_yO_z$ -HOM monomers and dimers decreases by 13% and 43%, respectively, due to the presence 543 544 of NO₃ oxidation (Figure 7d). We note that the variation in RH from 0-90% in the model has negligible 545 influence on the relative changes in C_xH_yO_z-HOMs under these nocturnal atmospheric conditions (Figure 546 S11). Considering that there are uncertainties in the dilution rate constant, a sensitivity analysis was 547 performed by varying the $k_{\rm dil}$ in the range of 0.04 - 0.2 h⁻¹. It is found that the variation within these 548 rate values does not significantly influence the response of $C_xH_yO_z$ -HOM dimer formation to 549 concurrent NO₃ oxidation (Figure S12).

550 Field observations have shown that NO3 radicals, O3, and OH radicals all had important contributions to monoterpene oxidation during the early morning after sunrise and late afternoon 551 552 before sunset in the southeastern United States (Zhang et al., 2018). In addition, relatively high nighttime OH concentrations of $(2-10) \times 10^5$ molecules cm⁻³ were measured in some areas such 553 554 as Germany and New York City (Faloona et al., 2001; Geyer et al., 2003a). As a result, a model 555 simulation was conducted using a 10 times higher OH concentration (5×10^5 molecules cm⁻³). The 556 concentration of NO₃ radicals is 1 ppt and the concentrations of other species are the same as the 557 values mentioned above. With a higher OH concentration, O₃, NO₃, and OH radicals account for 558 28%, 62%, and 10% to the total α -pinene consumption, respectively (Figure S13 a). Compared to 559 the results under low OH concentration, the formation of $C_xH_yO_z$ -HOM monomers and dimers are 560 all enhanced under high OH concentration (Figure S13 b). This is mainly due to the promoted 561 self/cross reactions of ^{OH}RO₂, as well as the promoted formation of C₁₀H₁₅O_x-RO₂ derived from H-562 abstraction pathway by OH radicals. Nevertheless, the presence of NO3 oxidation still reduces the 563 formation of C_xH_yO_z-HOM dimers by 26% (Figure S13 b). The same species concentration (NO₃= 564 1 ppt) as mentioned above but a 10 times higher OH concentration $(5 \times 10^5 \text{ molecules cm}^{-3})$ were also conducted. With a higher OH concentration, O₃, NO₃, and OH radicals account for 28%, 62%, 565 566 and 10% to the total α pinene consumption, respectively (Figure S6 S12 α). Compared to the results under low OH concentration, the formation of C*H*O*-HOM monomers and dimers are all enhanced 567 under high OH concentration (Figure S6 S12 b). This is mainly due to the promoted self/cross-568

569 reactions of $^{\Theta H}$ RO₂, as well as the promoted formation of $C_{10}H_{15}O_x$ -RO₂ derived from H-abstraction 570 pathway by OH radicals. Nevertheless, the presence of NO₃-oxidation still reduces the formation of 571 $C_xH_xO_x$ -HOM dimers by 26% (Figure S6 S12 b).

572 Furthermore, model simulations for conditions typical of the southeastern United States (see details 573 in Section S4) suggest that the coexistence of isoprene appears to exacerbate the suppression effect 574 of synergistic oxidation on HOM formation from monoterpenes. As shown in Figure S14, in the 575 absence of isoprene, the synergistic $O_3 + NO_3$ oxidation of α -pinene leads to a reduction of 13% and 576 24% in the formation of $C_xH_yO_z$ -HOM monomers and dimers, respectively. When isoprene is 577 present, as the isoprene + NO₃ oxidation produces a significant amount of nitrooxy RO₂ that can 578 as o scavenge α -pinene-derived ^{CI}RO₂ and ^{OH}RO₂ via cross reactions, the synergistic oxidation leads 579 to a slightly larger reduction in $C_xH_yO_z$ -HOM monomers and dimers (15% and 31%, respectively). 580 The above model simulations suggest that under nocturnal atmospheric conditions with a very low NO₃ concentration, the RO₂ radical pool is dominated by ^{CI}RO₂ and their self/cross reactions are a 581 582 major contributor to ULVOCs such as the highly oxygenated C₂₀ dimers as observed in boreal forest 583 (Bianchi et al., 2017). When the NO₃ concentration is high, the production of ^{NO3}RO₂ becomes significant and their cross reactions with CIRO2 would suppress the formation of ULVOCs. Although 584 HOM-ON dimers are readily produced by cross reactions between ^{NO3}RO₂ and ^{CI}RO₂, they generally 585 have higher volatilities than CxHyOz-HOM dimers and therefore are less efficient in initiating 586 587 particle formation. However, these HOM-ONs can be an important contributor to the particle mass 588 growth. As suggested by the model simulations in Bates et al. (2022), the NO₃ oxidation of α -pinene led to a particulate nitrate yield of 7% under nocturnal atmospheric conditions in rural Alabama 589 590 during the SOAS campaign. The above model simulations clearly suggest that under typical 591 nocturnal atmospheric conditions, the synergistic oxidation of α -pinene by O₃ and NO₃ radicals can 592 significantly inhibit the formation of C*H*O*HOMs, many of which are characterized as ULVOCs 593 capable of driving particle nucleation and initial growth. Although HOM-ON dimers are readily produced by cross-reactions between NO3RO2 and CIRO2, they generally have higher volatilities than 594 C_{*}H_vO_z-HOM dimers and therefore are less efficient in initiating particle formation. Our results 595 offer mechanistic and quantitative insights on how the synergistic oxidation of α -pinene by O₃ and 596 597 NO₃ radicals can influence the formation of low-volatility organic compounds and hence particle formation and growth. They also provide a potential explanation for field observations that NPF
events frequently occur in monoterpene-rich regions during daytime but not at nighttime (Mohr et
al., 2017; Kulmala et al., 2001; Junninen et al., 2017).



603 Figure 7. Model simulations of α -pinene oxidation and HOM formation under typical nighttime

- 604 conditions in the boreal forest. (a, c) Contributions of different loss pathways of α -pinene by 605 different oxidants at NO₃ concentrations of 0.2 and 1 ppt, respectively; (b, d) Concentrations of
- $C_xH_vO_z$ -HOMs and HOM-ONs formed by synergistic $O_3 + NO_3$ oxidation and ozonolysis of α -606
- pinene under conditions corresponding to (a) and (c). The simulations were run for 4 h after an 8-h
- 607
- spin-up for intermediates and secondary species. 608

609 4. Conclusions

610 This study provides a comprehensive characterization of the nocturnal synergistic oxidation of α pinene by O₃ and NO₃ radicals and its influence on the formation of HOMs and low-volatility 611 612 organic compounds using a combination of flow reactor experiments and detailed kinetic model simulations. It is found that the formation of $C_xH_yO_z$ -HOMs in the $O_3 + NO_3$ regime is significantly 613 614 suppressed compared to that in the O₃-only regime, mainly due to the termination-depletion of ozonolysis-derived RO₂ (i.e., ^{CI}RO₂ and ^{OH}RO₂) by by NO₃RO₂ via cross reactions. In addition, the 615 decreases in the abundance of CIRO2 and related HOMs are significantly larger than those of OH-616 617 derived ones, indicating that the ^{NO3}RO₂ species react more efficiently on-with ^{CI}RO₂ than on-with ^{OH}RO₂. Detailed measurement-model comparisons for the distribution of a suite of ^{CI}RO₂, ^{OH}RO₂, 618 619 and associated HOMs across different oxidation regimes further reveal that the terminations reactions reactions between ^{CI}RO₂ and ^{NO3}RO₂ are averagely 10 – 100 times more efficient 620 than those of ^{OH}RO₂ and ^{NO3}RO₂. 621

622 The suppressed formation of $C_xH_yO_z$ -HOMs in the synergistic $O_3 + NO_3$ regime results in a 623 significant reduction in ULVOCs. Although substantial amounts of HOM-ONs are formed from the 624 cross-reactions between ^{NO3}RO₂ and ^{CI}RO₂ or ^{OH}RO₂ in the synergistic oxidation regime, they have higher volatilities and are less likely to participate in the formation and initial growth of new 625 626 particles. As a result, in our experiment the formation of new particles in the synergistic oxidation 627 regime is substantially inhibited compared to the O3-only regime. Chemical model simulations 628 further confirm that the synergistic oxidation of α -pinene by O₃ and NO₃ radicals can significantly inhibit the formation of $C_xH_yO_z$ -HOMs, especially the ultra-low volatility $C_xH_yO_z$ -HOM dimers 629 under typical nighttime atmospheric conditions. Our study sheds lights on the synergistic oxidation 630 mechanism of biogenic emissions and underscores the importance of considering this chemistry for 631 a better depiction of the formation of low-volatility organics and particles in the atmosphere. 632

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Data availability. The data presented in this work are available upon request from the correspondingauthor.

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- 637 *Author contributions.* YZ and HZ designed the study, HZ and DH performed the experiments. YZ
- and HZ analyzed the data, conducted model simulations, and wrote the paper. All other authorscontributed to discussion and writing.
- 640 *Competing interests.* The authors declare no conflict of interest.
- 641

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