



# Cloud water adjustments to aerosol perturbations are buffered by solar heating in non-precipitating marine stratocumuli

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Abstract. Marine low-level clouds are key to the Earth's energy budget due to their expansive coverage over global oceans and their high reflectance of incoming solar radiation. Their responses to anthropogenic aerosol perturbations remain the largest source of uncertainty in estimating the anthropogenic radiative forcing of climate. A major challenge is the quantification of the cloud water response to aerosol perturbations. In particular, the presence of feedbacks through microphysical, dynamical

- 5 and thermodynamical pathways at various spatial and temporal scales could augment or weaken the response. Central to this problem is the temporal evolution in cloud adjustment, governed by entangled feedback mechanisms. We apply an innova-tive conditional Monte Carlo subsampling approach to a large ensemble of diurnal large-eddy simulation of non-precipitating marine stratocumulus to study the role of solar heating in governing the evolution in the relationship between droplet number and cloud water. We find a persistent negative trend in this relationship at night, confirming the role of microphysically en-
- 10 hanced cloud-top entrainment. After sunrise, the evolution in this relationship appears buffered and converges to  $\sim$ -0.2 in the late afternoon. This buffering effect is attributed to a strong dependence of cloud-layer shortwave absorption on cloud liquid water path. These diurnal cycle characteristics further demonstrate a tight connection between cloud brightening potential and the relationship between cloud water and droplet number at sunrise, which has implications for the impact of the timing of advertent aerosol perturbations.

# 15 1 Introduction

Marine stratocumulus (Sc) clouds, found ubiquitously over subtropical oceans, are key to the Earth's radiation budget (Wood, 2012). They cool the Earth effectively through reflecting a considerable amount of incoming solar radiation (Bender et al., 2011; Stephens et al., 2012). The radiative effect of marine stratocumulus is governed by its macrophysical properties, such as areal coverage and liquid water path (LWP), and microphysical properties, such as cloud droplet number concentration ( $N_d$ )

20 or drop size. Increases in atmospheric aerosol particles lead to more, smaller cloud droplets (Twomey, 1974, 1977), modulate the rate of warm cloud processes, e.g., collision-coalescence and entrainment mixing, and subsequently cause adjustments in cloud macrophysical properties (e.g., Albrecht, 1989; Bretherton et al., 2007; Wang et al., 2003; Xue and Feingold, 2006). The radiative forcing attributed to cloud adjustments in response to anthropogenic aerosol increases is currently poorly constrained,



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especially for marine boundary layer clouds, and remains the largest source of uncertainty in projections of the future climate (Boucher et al., 2013; Forster et al., 2021; Bellouin et al., 2020).

A key, yet uncertain, component of these cloud adjustments is the response of cloud water to aerosol perturbations. Constraining it is particularly challenging because the impact of aerosol on cloud LWP is bidirectional and regime-dependent (Chen et al., 2014; Gryspeerdt et al., 2019; Possner et al., 2020; Toll et al., 2019). For precipitating clouds, an increase in aerosol tends to increase LWP through precipitation suppression (Albrecht, 1989), whereas for non-precipitating clouds, LWP

30 decreases through enhanced turbulent entrainment of dry, free-tropospheric (FT) air at cloud top, attributed to smaller droplets (Bretherton et al., 2007; Wang et al., 2003). Thus, the frequency of occurrence of different cloud states governs the overall response of cloud water to aerosol perturbations, which depends strongly on large-scale meteorological conditions (e.g., Zhang et al., 2022; Zhou et al., 2021; Zhang and Feingold, 2023).

Making the quantification of LWP adjustment to aerosol perturbations even more challenging is the presence of feedbacks

- 35 among system-wide microphysical, dynamical and thermodynamical processes at different spatiotemporal scales, acting to buffer the system's response to perturbations (Stevens and Feingold, 2009). Quantifying aerosol effects on LWP in such a buffered system requires understanding not only of individual causal mechanisms but also their timescales (Glassmeier et al., 2021; Fons et al., 2023; Gryspeerdt et al., 2022). Therefore, characterizing the temporal evolution of cloud adjustments is central to this problem, as it provides a way to assess the relative importance of individual mechanisms. Based on an ensemble
- 40 of nocturnal large-eddy simulation (LES) of marine stratocumulus, Glassmeier et al. (2021) suggested that the estimated cooling effect due to aerosol-cloud interactions derived from ship-track observations may be an overestimation if the temporal evolution in cloud water adjustment is not taken into account. Using satellite observations, Gryspeerdt et al. (2021) showed that the  $N_d$ -LWP relationship between ship-tracks and their surroundings is indeed time-dependent and sensitive to the cloud and meteorological states under which the aerosol perturbation occurs. More generally, studies using geostationary satellites (e.g.,
- 45 Qiu et al., 2024; Christensen et al., 2023; Smalley et al., 2024) and polar-orbiting satellites (e.g., Diamond et al., 2020; Zhang and Feingold, 2023) have indicated diurnal variation in cloud adjustments to aerosol perturbations, such that LWP adjustments become more negative in the afternoon. Through extrapolating the Terra (late morning) and Aqua (early afternoon) difference, Gryspeerdt et al. (2022) demonstrated the importance of controlling initial cloud states to account for feedbacks in the system, and found a negative, but weaker, N<sub>d</sub>-LWP relationship when feedbacks are accounted for.
- 50 When it comes to the attribution of the diurnal variation in cloud adjustment to aerosol perturbations, an often overlooked, yet important, process is the shortwave (SW) absorption in the cloud layer. The balance between SW heating and longwave (LW) cooling plays a crucial role in governing the daytime evolution of cloud water in marine stratocumulus (e.g., Sandu et al., 2008; Chen et al., 2024). Since cloud SW absorption is a strong function of LWP and also dependent on  $N_d$  (Petters et al., 2012), it can potentially act as an important feedback (or "buffering", in the case of a negative feedback) mechanism as cloud
- 55 water changes throughout the sunlit hours.

In this study, we aim to characterize the diurnal evolution in cloud water adjustments to aerosol perturbations, with a particular focus on understanding the importance of SW absorption in affecting this evolution. We have performed a large ensemble of diurnal simulations of non-raining marine stratocumulus that represents conditions in the Northeastern Pacific region, using





an LES model that resolves aerosol-cloud interactions. By applying a novel subsampling approach (introduced in Sec. 2), we
find that cloud SW absorption acts to flatten the N<sub>d</sub>-LWP relationship (indicated by regression slope) after sunrise, suggesting a buffered evolution in cloud water response to aerosol perturbations (Sec. 3.1). Enlightened by these results, we further use the subsampling approach to demonstrate a tight connection between the potential for cloud brightening and the cloud water to droplet number relationship at sunrise (Sec. 3.2), with implications for the optimal timing of deliberate aerosol perturbations in the context of Marine Cloud Brightening (MCB), one of the proposed climate intervention approaches (National Academies of Sciences, Engineering, and Medicine (NASEM) report, 2021; Latham and Smith, 1990; Latham et al., 2012).

2 Methods and Data

While process-model-based perturbation experiments offer a great deal of understanding of the causal mechanisms driving cloud adjustments to aerosol perturbations (e.g., Prabhakaran et al., 2023, 2024; Chun et al., 2023), these studies are typically limited in their ability to represent the range of boundary layer conditions observed in nature. A new approach in the recent

- 70 decade suggests that one can infer process-level understanding from the systematic behavior of simulation ensemble(s) that depict the evolution of cloud systems from a wide range of initial boundary layer conditions (e.g., Glassmeier et al., 2019, 2021; Hoffmann et al., 2020, 2023), as a way to bridge "Newtonian" (bottom-up) and "Darwinian" (top-down) approaches (Feingold et al., 2016; Mülmenstädt and Feingold, 2018). Following this methodology, we analyze a large ensemble of diurnal simulations of marine stratocumulus with an innovative subsampling approach, in which the large ensemble is sub-grouped into smaller
- results as a means to investigate the impact of  $N_{\rm d}$  on cloud water evolution and how it is mediated by SW heating.

## 2.1 Large-eddy simulation ensemble of marine stratocumulus

All simulations used in this study are carried out with the System for Atmospheric Modeling (SAM; Khairoutdinov and Randal, 2003). The model domain size is set to 48×48×2.5 km<sup>3</sup> with a horizontal and vertical grid spacing of 200 m and 10 m, respectively. This setup allows for development of mesoscale organizations (Kazil et al., 2017) while keeping computational
cost affordable for a large ensemble of simulations. All simulations are run for 24 h from 18:40 local time right after sunset at a time step of 1 s. Cloud microphysical processes are simulated with a two-moment, bin-emulating bulk microphysical scheme (Feingold et al., 1998) with prognostic total number concentration and total water content (Yamaguchi et al., 2019). Aerosol number concentration (N<sub>a</sub>) is prescribed to be initially uniform throughout the domain, and we assume a lognormal aerosol size distribution (ammonium sulfate) with geometric-mean diameter of 0.2 µm and geometric standard deviation of 1.5 µm, following Feingold et al. (2016). Aerosol particles are lost to cloud and precipitation processing, such as collision-

- coalescence, scavenging, and wet deposition, and we apply a constant surface flux of aerosol of 70 cm<sup>-2</sup> s<sup>-1</sup> (Yamaguchi et al., 2017; Kazil et al., 2011) to mitigate depletion of aerosol. Radiative heating rates are calculated interactively every 10 s using the Rapid Radiative Transfer Model (RRTMG; Clough et al., 2005) with extended thermodynamic profiles above the domain top (2.5 km), following Yamaguchi et al. (2017). Surface sensible and latent heat fluxes are calculated interactively based
- 90 on Monin-Obukhov similarity and initialized with climatological mean surface winds. We prescribe a constant sea surface





temperature (SST) of 292.4 K, based on ERA5-derived climatology of large-scale meteorological conditions associated with the stratocumulus deck off the coast of California (Hersbach et al., 2020), and a fixed large-scale divergence of  $3.75 \times 10^{-6}$  s<sup>-1</sup> (Ackerman et al., 2009) for all simulations. The reader is referred to Chen et al. (2024) for more technical details on the setup of the simulations.

- Seeping the above model setup and large-scale forcings the same for all simulations, we vary the initial conditions for boundary layer (BL) thermodynamics in a six-parameter variable space to create ensemble members, using a maximin Latin-Hypercube sampling approach (Morris and Mitchell, 1995) to minimize correlations between parameters, as described in Feingold et al. (2016) and Glassmeier et al. (2019). The six parameters include: BL liquid water potential temperature ( $284 \le \theta_1 \le 294$  K), BL total water mixing ratio ( $6.5 \le q_t \le 10.5$  g kg<sup>-1</sup>), the jumps of temperature and humidity between BL and FT ( $6 \le 294$  K).
- 100  $\Delta \theta_{l} \leq 10 \text{ K}$  and  $-10 \leq \Delta q_{t} \leq 0 \text{ g kg}^{-1}$ ), initial mixed-layer depth ( $500 \leq h_{mix} \leq 1300 \text{ m}$ ), and aerosol number concentration ( $30 \leq N_{a} \leq 500 \text{ mg}^{-1}$ ). Using the Latin-Hypercube sampling approach, we generate hundreds of initial thermodynamic profiles, from which we carry out simulations whenever a cloud layer is produced, and when the lifting condensation level is between 225 m and 1075 m and the FT  $\theta_{l}$  and  $q_{t}$  profiles are within the ERA5 climatology of the Northeastern Pacific. This yields a total of 316 diurnal simulations. Since we focus on the non-precipitating marine stratocumulus system, we impose
- 105 a threshold of 0.5 mm d<sup>-1</sup> on cloud base rain rate to screen for non-raining simulations (Wood, 2012). We further exclude simulations that generate a surface fog, cloud tops higher than 2 km, and domain cloud fraction ( $f_c$ ) less than 0.01 (full cloud dissipation) to ensure the robustness of our analysis when the subsampling is applied. Domain-mean 2-dimensional and 3-dimensional outputs are saved every 2 min and every hour, respectively. A total of 204 non-precipitating simulations are selected for analysis. We discard the first 4 h of all simulations as model spin-up and use a cloud optical depth ( $\tau$ ) threshold of
- 110 one to identify clouds. A higher threshold of  $\tau = 5$  was tested but did not change the conclusions qualitatively.

## 2.2 A conditional Monte Carlo sampling approach

Many recent studies (e.g., Gryspeerdt et al., 2016, 2019; Glassmeier et al., 2021; Zhang et al., 2022; Zhou et al., 2021; Smalley et al., 2024) have chosen to infer the impact of aerosol on cloud properties by examining the spatiotemporal correlation between cloud macrophysical properties and  $N_d$ , with  $N_d$  serving as an intermediate variable, in order to mitigate the influence

- 115 of confounding factors on the causal relationship between aerosol and clouds and to avoid uncertainties in relating aerosol information, such as aerosol optical depth and aerosol index, to cloud condensation nuclei (CCN; Stier, 2016). Here, we adopt the same methodology and focus on the relationship between  $N_d$  and LWP, quantified as the slope of linear regressions (e.g., McComiskey and Feingold, 2012). Least-squares log regressions are used to alleviate the dependence of regression-slope on the absolute value of  $N_d$  (e.g., Feingold et al., 2003; Zhang et al., 2022).
- 120 Nevertheless, co-varying meteorological and aerosol conditions can still confound the  $N_d$ -LWP relationship in observations (e.g., Gryspeerdt et al., 2019) and in model simulations (e.g., Mülmenstädt et al., 2024). Therefore, we introduce a subsampling approach that can be conditioned on prescribed relationships among  $N_d$ , LWP, and initial boundary layer conditions, following the Monte Carlo methodology (Hammersley and Handscomb, 1964) with modifications to enable selection of specified conditions. We term this sub-sampling approach "conditional Monte Carlo (cMC)." The fundamental idea of employing the Monte





- 125 Carlo concept is to use repetitive, semi-random (i.e., conditional) samplings to capture systematic behaviors (deterministic in principle) of stochastically initialized realizations of marine Sc evolutions. The purpose of the cMC approach in this work is three-fold. First, it serves to help constrain the co-variation between  $N_d$  and meteorological conditions under which the simulations are initialized, which could confound the effect of  $N_d$  on LWP. Second, it serves as a means to free ourselves from dealing with an initially positive (after spin-up)  $N_d$ -LWP relationship imposed purely by the Latin-Hypercube sampling used
- 130 to construct the initial boundary layer conditions. Third, we use it to select  $N_d$ -LWP relationships and observe their temporal evolutions. In this work, we use statistical regression slopes to indicate the relationship (not necessarily causal) between two variables (e.g.,  $N_d$  and LWP). The application of the cMC method alleviates the concern whether statistical slopes can indicate causal relationships, as we focus on the *evolution* rather than the absolute value of these slopes by selecting a range of slopes at sunrise.
- The cMC approach is applied as follow. We first randomly draw 25 simulations from the 204 LES ensemble members (nonprecipitating), using a random seed generator assuming a normal distribution. The "conditional" part of cMC is implemented such that a drawing is saved only when the following conditions are met: first, the co-variation between  $N_d$  and three boundary layer conditions (abbreviated as MET hereafter) at the beginning of the simulation (4 h) is smaller than user-imposed thresholds (i.e., minimizing the correlation between  $N_d$  and MET after spin-up). These three variables are cloud top height ( $z_{ct}$ ; a measure
- of boundary layer depth), surface sensible heat flux (SHF), and 800 hPa relative humidity (RH<sub>800</sub>), and the thresholds are: -0.05 ≤ dln(z<sub>ct</sub>)/dln(N<sub>d</sub>) ≤ 0.05, -0.5 ≤ dSHF/dln(N<sub>d</sub>) ≤ 0.5, and -0.05 ≤ dln(RH<sub>800</sub>)/dln(N<sub>d</sub>) ≤ 0.05. Second, the N<sub>d</sub>-LWP regression slope is close enough, within uncertainty ranges, to a user-prescribed value essentially prescribing a cloud water to droplet number relationship for the randomly drawn 25 simulations. In our first investigation (Sec. 3.1), we prescribe 5 values for N<sub>d</sub>-LWP slope (dln(LWP)/dln(N<sub>d</sub>)) at sunrise: ±0.4 (±0.02), ±0.2 (±0.01), and 0 (±0.005), to examine the role of SW heating. In our second investigation (Sec. 3.2), we prescribe flat slopes for N<sub>d</sub>-LWP and N<sub>d</sub>-f<sub>c</sub>, i.e., -0.005 ≤ dln(LWP)/dln(N<sub>d</sub>) ≤ 0.05 and -0.05 ≤ df<sub>c</sub>/dln(N<sub>d</sub>) ≤ 0.05 to mimic the relationship between cloud micro- and macro-properties at the time of aerosol perturbation, representing a difference in the timescale between the "instantaneous" (order of minutes) microphysical response and the slower (order of hours) macrophysical adjustments.
- Within each 25-member subgroup of simulations, we calculate the slope between  $N_d$  and LWP as  $d\ln(LWP)/d\ln(N_d)$  at 150 each time step. We focus on the temporal *evolution* in  $d\ln(LWP)/d\ln(N_d)$ , in particular on the difference between nighttime and sunlit hours (Sec. 3.1) and the impact of  $N_d$ -LWP relationship at sunrise on time-integrated cloud radiative effect (Sec. 3.2), rather than the absolute value of  $d\ln(LWP)/d\ln(N_d)$ , which we prescribe when subsampling. The drawing is repeated with the same pre-conditions but different random number seeds to produce 50 25-member subgroups, and the mean evolution (averaged over 50 repetitions) is shown in the results. We also tested other configurations of the cMC setup, varying the
- 155 number of members within each draw, number of draws, and the user-imposed thresholds. Different configurations yield the same conclusions, qualitatively, and the choice of the current configuration is based on computing efficiency.





#### 3 Results

#### The role of SW absorption in affecting diurnal evolution in $N_{d}$ -LWP relationship 3.1

#### **3.1.1** A buffered evolution during the daytime

- Besides the variations in  $N_d$  being a fundamental perturbation to the Sc system, the impact of solar heating on cloud water 160 evolution starting from sunrise is another important perturbation to the system. During daytime, the sensitivity of radiation to cloud macro- and micro-physical properties is critical to the evolution in the  $N_d$ -LWP slope. In particular, the dependence of cloud-layer LW cooling on LWP and  $N_d$  is only apparent in thin clouds and saturates at around 20 to 30 g m<sup>-2</sup>, whereas SW heating increases continuously as LWP and N<sub>d</sub> increase, more pronouncedly with LWP (Petters et al., 2012). The different
- sensitivities of solar heating to LWP and  $N_d$ , which vary among LES ensemble members, are hypothesized to affect the 165 daytime evolution in the  $N_d$ -LWP slope. In order to examine the effects of solar heating on the cloud water to droplet number relationship, we subsample using the cMC approach five conditions where subsampled simulations possess prescribed  $N_{\rm d}$ -LWP slopes at sunrise, ranging from -0.4 to 0.4 with an increment of 0.2 (Sec. 2.2). The diurnal evolution in the  $N_d$ -LWP slope of the five subgroups is shown in Figure 1, with the red curve indicating the most positive (0.4)  $N_d$ -LWP slope at sunrise
- and the blue curve representing the most negative (-0.4) one. A persistent feature of the  $N_d$ -LWP slopes becoming more 170 negative with time is observed at night, consistent with the findings in Glassmeier et al. (2021), regardless of the prescribed slopes at sunrise. This is attributed to the sensitivity of turbulent entrainment at cloud top to drop size, such that smaller drops (higher  $N_d$ ) promote stronger entrainment. A sensitivity of the entrainment mechanism to LWP is also evident in the nighttime evolution where the decrease in the  $N_{d}$ -LWP slope for the group that starts with an initially positive  $N_{d}$ -LWP slope (higher
- 175  $N_{\rm d}$  associated with higher LWP) is faster (from 1 to 0.4, red), compared to that in the group starting with a negative slope (from -0.1 to -0.4, blue; Fig. 1).

Interesting evolution in the  $N_d$ -LWP slope appears a couple of hours after sunrise where groups starting from very different Nd-LWP slopes at sunrise begin to converge (Fig. 1). The group convergence shares features typical to buffered evolution, such that the groups starting with a negative slope become less negative, whereas the groups starting with a positive slope become

- 180 less positive over time. We will show that the cause of such a buffered evolution during the day is the primary dependence of SW heating on cloud LWP, such that thicker clouds (higher LWP) experience stronger cloud thinning with stronger SW absorption whereas thinner clouds thin more slowly with weaker SW absorption, leading to flattening of all  $N_d$ -LWP slopes, regardless of their values at sunrise. For this task, we will need to quantify the rate of change in LWP attributed to radiative processes. Hence, we performed a budget analysis of the LWP tendency, following Chen et al. (2024), to further illustrate this 185 attribution in the following.

# 3.1.2 The sensitivity of LWP tendency to $N_{\rm d}$

The impact of  $N_d$  perturbations on cloud LWP is through affecting the rates of processes that govern the budget of cloud water. Here, we focus on two terms in this budget that are known to be sensitive to cloud water and droplet number, namely





entrainment and radiation processes, derived as below, following Chen et al. (2024). First, the total rate of change of cloud LWP is written as 190

$$\mathcal{L}' = \Gamma_{\rm I} \langle \rho_0 \rangle (z_{\rm inv} - z_{\rm cb}) \left[ z_{\rm inv}' - \left( \frac{\mathrm{d} z_{\rm cb}}{\mathrm{d} \langle q_{\rm t} \rangle} \langle q_{\rm t} \rangle' + \frac{\mathrm{d} z_{\rm cb}}{\mathrm{d} \langle \theta_{\rm l} \rangle} \langle \theta_{\rm l} \rangle' \right) \right],\tag{1}$$

where  $\mathcal{L}$  denotes LWP, ' denotes time-derivatives,  $z_{cb}$  is the mean cloud base height,  $z_{inv}$  is the mean inversion base height, and  $\Gamma_1$  is the liquid water adiabatic lapse rate. We then decompose  $\langle q_t \rangle'$  and  $\langle \theta_l \rangle'$  into individual budget terms grouped by processes  $(\langle \phi \rangle'_{\text{process}})$ , e.g., radiation (RAD) and entrainment (ENT).  $\langle \phi \rangle$  is the volume-mean of a scaler quantity that represents either

- $q_t$  or  $\theta_l$  in our case. In particular,  $\langle \phi \rangle'_{RAD}$  is straightforwardly calculated from the 3-dimensional, modeled radiative heating 195 rates, and  $\langle \phi \rangle_{\rm ENT}$  is approximated by the difference between the total tendency of  $\langle \phi \rangle$  in the boundary layer (BL) and the sum of contributions from all processes other than ENT, which can be directly estimated from the modeled fields. The reader is referred to Chen et al. (2024) for more details on the derivation and justification of assumptions for the LWP tendency budget analysis.
- 200 First, we show the mean evolution in LWP tendencies attributed to entrainment, radiation and their net effect (Fig. 2a).  $\mathcal{L}'_{RAD}$  remains constant throughout the night, consistent with the saturation of the dependence of LW cooling on LWP when clouds are still relatively thin.  $\mathcal{L}'_{ENT}$  strengthens weakly as cloud thickens during the night. After sunrise, SW heating offsets LW cooling and weakens the entrainment mixing at cloud tops. During cloud recovery in the late afternoon, the impacts of radiation and entrainment on LWP tendency balance each other. We caution that during the late afternoon the residual of the budget analysis increases, and for this reason, we limit our interpretation of the LWP budget evolution to the hours before 15 205 local time.

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Next, we investigate the sensitivity of LWP tendency to  $N_d$ , (i.e.,  $\mathcal{L}''_{ENT}$ ,  $\mathcal{L}''_{RAD}$ , and  $\mathcal{L}''_{ENT+RAD}$  where the second ' indicates derivatives with respect to  $\ln(N_d)$ ; Fig. 2b-d), focusing on their role in governing the evolution in  $d\ln(LWP)/d\ln(N_d)$ , as seen in Figure 1. Different colors in Figure 2 (b-d) represent exactly the same subgroups conditioned on prescribed values of  $d\ln(LWP)/d\ln(N_d)$  at sunrise, i.e., from -0.4 to 0.4. An important note to keep in mind is that these sensitivities to  $N_d$ inherently include sensitivities to LWP, because we prescribed the  $N_d$ -LWP slope in these subgroups, such that high  $N_d$  is associated with high LWP when  $d\ln(LWP)/d\ln(N_d)$  is positive (e.g., the red line), and high  $N_d$  is associated with low LWP

for a negative  $d\ln(LWP)/d\ln(N_d)$  (e.g., the blue line).

During the night, the net effect of entrainment and radiation on the LWP tendency (Fig. 2c) nicely explains the persistent decreasing trend in  $d\ln(LWP)/d\ln(N_d)$  (Fig. 1). The negative values in  $\mathcal{L}_{ENT+RAD}''$  (regardless of the prescribed  $d\ln(LWP)/d\ln(N_d)$ 215 values) suggest that clouds with higher  $N_d$  experience stronger LWP loss, resulting in the  $N_d$ -LWP slope becoming more negative with time. This effect is primarily driven by the  $\mathcal{L}_{ENT}''$  term (Fig. 2b), consistent with the entrainment-enhancement mechanism due to more smaller droplets (Wang et al., 2003). When cloud water and droplet number are positively correlated (the red line), the sensitivity of the LWP tendency to  $N_d (\mathcal{L}''_{\text{ENT+RAD}})$  is found to be the strongest (Fig. 2c), confirming the fastest

decrease of  $d\ln(LWP)/d\ln(N_d)$  in that subgroup (Fig. 1), as both higher  $N_d$  and higher LWP induce stronger entrainment. 220

After sunrise, a feature essential to explaining the buffered evolution in  $d\ln(LWP)/d\ln(N_d)$  emerges, that is  $\mathcal{L}'_{ENT+RAD}$  in subgroups with a negative  $d\ln(LWP)/d\ln(N_d)$  at sunrise (i.e., blue and cyan) become positively correlated with  $N_d$  (Fig.





2c), indicating a reverse of the persistent nighttime negative trend in  $d\ln(LWP)/d\ln(N_d)$ , which leads to the flattening of the negative N<sub>d</sub>-LWP slopes (Fig. 1). Radiation, especially SW heating, plays a critical role here by dominating the contribution 225 to the stratification feature observed in  $\mathcal{L}_{ENT+RAD}^{\prime\prime}$  between 10 and 11 local time (Fig. 2d). This would not be the case if  $\mathcal{L}_{RAD}^{\prime\prime}$ were to follow its trend during the nighttime as if there were no solar radiation. The dependence of solar heating on  $N_{\rm d}$  and especially cloud water is key. Unlike LW cooling, whose dependence on LWP saturates when clouds are still relatively thin, the dependence of SW heating on LWP persists in thicker clouds (Petters et al., 2012), such that thick clouds absorb more SW than thin clouds – a positive slope between SW heating and LWP. This leads to a negative slope between  $\mathcal{L}'_{RAD}$  and LWP, given that LW cooling still dominates the contribution of radiative processes to the LWP tendency in the daytime, i.e.,  $\mathcal{L}'_{RAD}$ 230 is positive in the mean (Fig. 2a, red line). In other words, higher LWP induces more SW heating, or stronger offsetting of the LW cooling, leading to a weaker LWP tendency due to radiation. Effectively, the inclusion of SW radiation reverses the slope between  $\mathcal{L}'_{RAD}$  and  $N_d$ , regardless of the prescribed  $N_d$ -LWP slope (Fig. 2d). When a positive  $N_d$ -LWP slope is imposed at sunrise, this translates into a negative slope between  $\mathcal{L}'_{RAD}$  and  $N_d$  (red line in Fig. 2d), whereas when  $N_d$  and LWP are 235 negatively correlated,  $\mathcal{L}_{RAD}''$  is positive (Fig. 2d, blue line). The fact that the dependence of  $\mathcal{L}_{RAD}'$  on LWP is able to explain the observed evolution in  $\mathcal{L}_{RAD}''$  suggests that the effect of  $N_d$  on the LWP tendency driven by radiative processes is secondary to

the impact of LWP. In other words, if the counter hypothesis is true, that is the  $N_{d}$ -impact is not secondary to the LWP-impact (or comparable to the LWP-impact), then  $\mathcal{L}_{RAD}''$  should be skewed towards negative values after sunrise, as the LWP-impact and the  $N_d$ -impact offset (complement) each other in the case of a negative (positive)  $N_d$ -LWP slope. Therefore, we conclude

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that the buffered evolution observed in  $d\ln(LWP)/d\ln(N_d)$  after sunrise (Fig. 1) can be attributed to the primary dependence of SW heating on cloud water.

To summarize, we have identified two features associated with the diurnal evolution of the cloud water to droplet number relationship for non-precipitating Sc, that are 1) the  $N_d$ -LWP slope becomes more negative with time at night, and 2) the  $N_{\rm d}$ -LWP slope flattens (is buffered) after sunrise due to the strong dependence of SW heating on cloud LWP than on  $N_{\rm d}$ . A schematic summarizing the latter point is shown in Figure 3 where thicker clouds (higher LWP) experience stronger cloud 245 thinning, resulting in flattening of the  $N_d$ -LWP slope. Keeping these two features in mind, we next explore the dependence of the cloud radiative effect, in the form of daytime-integrated SW reflection, on the relationship between cloud water and droplet number at sunrise.

#### 3.2 The role of $N_{\rm d}$ -LWP relationship at sunrise in governing the daytime cloud radiative effect

When we assess the radiative effect at the top-of-the-atmosphere (TOA) due to aerosol-cloud-interactions (ACI), the reflectance 250 from the entire Sc scene matters. In other words, the all-sky SW albedo of the cloud field is governed by its areal coverage  $(f_c)$  and the optical thickness of the cloud, which is a function of its LWP and  $N_d$  (i.e.,  $\tau \propto \text{LWP}^{\frac{5}{6}} N_d^{\frac{1}{3}}$ , based on the adiabatic assumption; Boers and Mitchell, 1994). Using a 2-stream approximation to relate changes in cloud albedo ( $A_c$ ) to changes in  $\tau$  (Platnick and Twomey, 1994), one can show that the the sensitivity of  $A_c$  to  $N_d$  perturbations (S) follows the form of

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$$S = \frac{\mathrm{d}A_{\mathrm{c}}}{\mathrm{d}\ln(N_{\mathrm{d}})} = \frac{A_{\mathrm{c}}(1-A_{\mathrm{c}})}{3} \Big( 1 + \frac{5}{2} \frac{\mathrm{d}\ln(\mathrm{LWP})}{\mathrm{d}\ln(N_{\mathrm{d}})} \Big).$$
 (2)





Clearly, one sees that the subject of this study – the cloud water to droplet number relationship (dln(LWP)/dln(N<sub>d</sub>)) – is central to this equation, in the sense that close to -0.4 it could determine the sign of S, i.e., cloud brightening or darkening. As demonstrated in the previous section, diurnal evolution in dln(LWP)/dln(N<sub>d</sub>) is sensitive to its value at sunrise. This motivates us to further investigate the effect of the N<sub>d</sub>-LWP slope at sunrise on the daytime cloud radiative effect due to N<sub>d</sub> perturbations. Given the persistent decreasing trend in dln(LWP)/dln(N<sub>d</sub>) during the night (Fig. 1), one can relate the sunrise value of dln(LWP)/dln(N<sub>d</sub>) to how long it has been since the perturbation in N<sub>d</sub> is introduced. This is because at the time when an aerosol perturbation is applied to a Sc system, we know that N<sub>d</sub> responds to the addition of aerosol much more quickly than the amount of cloud water and its horizontal extent (i.e., cloud fraction) adjust to the new microphysical state of the cloud, resulting in a flat slope between cloud micro- and macro- physical properties. As a result, the earlier the N<sub>d</sub> perturbation is applied the more negative dln(LWP)/dln(N<sub>d</sub>) will be at sunrise, as dln(LWP)/dln(N<sub>d</sub>) persistently decreases during the

night.

We use the cMC method to subsample conditions where a 25-member subset of the LES ensemble has near-zero (in a statistical sense)  $N_d$ -LWP and  $N_d$ - $f_c$  slopes, in addition to the constraint on  $N_d$ -MET covariations (see Sec. 2.2 for the threshold values used to impose these constraints). We vary the time at which we impose these near-zero slopes, ranging from

270 22:40 to 05:40 ( $\sim$ sunrise) local time with an increment of 1 h, yielding eight subsampled groups whose diurnal evolutions in the slope between cloud properties (LWP,  $f_c$ ,  $A_c$ , and SW reflection) and  $N_d$  are further examined. Our subsampling strategy also enables implications for real-world applications where intentional aerosol perturbations, such as MCB, are considered.

A subtlety here is the interpretation of  $N_d$ - $f_c$  relationships (quantified as  $df_c/d\ln(N_d)$ ), as the diurnal evolutions in  $f_c$  between open-cell (non-raining) and closed-cell are distinct from each other (e.g., Fig. 4). Besides, open-cell Sc clouds can have quite different cloud-top entrainment characteristics, compared to closed-cell clouds (e.g., Abel et al., 2020). For these reasons, we further classify the 204 non-precipitating cases into 1) overcast closed-cell Sc and 2) non-precipitating open-cell Sc, based on  $f_c$  values at night. A total of 114 simulations where  $f_c$  remains 1 from ~22:40 (local time; after spin-up) to sunrise are classified into 1) and the rest (90 runs) are classified into 2). Figure 4 shows example snapshots of the cloud field at midnight and the mean cloud behaviors of these two classes. For overcast closed-cell Sc, clouds thin first while maintaining

280 the overcast state before they start to breakup at ~100 g m<sup>-2</sup> (Fig. 4 a). For non-precipitating open-cell Sc, clouds thicken and widen at the same time before sunrise and, in a similar manner, they thin and shrink after sunrise, creating a loop-like diurnal cycle in the LWP- $f_c$  variable space (Fig. 4 b). Both classes of clouds begin to recover LWP and  $f_c$  after noon, except that the non-precipitating open-cell class recovers  $f_c$  faster.

## 3.2.1 Overcast closed-cell Sc

Figure 5 (a-d) shows the evolution of slopes between  $N_d$  and cloud properties, including LWP,  $f_c$ , cloud albedo ( $A_c$ ), and upwelling SW radiation at TOA (SW<sub>up</sub>; a measure of reflected SW radiation by the entire cloud scene) for the eight cMCsubsampled groups (separated by colors). The  $N_d$ -LWP slope in all subgroups trends negatively with time during the night, and its evolution appears buffered after sunrise (Fig. 5a), consistent with the results shown in Sec. 3.1 (Fig. 1). The  $N_d$ - $A_c$ slope is positive despite the negative  $N_d$ -LWP slope (Fig. 5c), in agreement with the critical  $N_d$ -LWP slope of -0.4 for the





290 LWP adjustment to overcome the Twomey effect (Eqn. 2). The evolution in the N<sub>d</sub>-A<sub>c</sub> slope closely tracks that in the N<sub>d</sub>-LWP slope, suggesting a strong control of dln(LWP)/dln(N<sub>d</sub>) over S. The clouds remain overcast throughout the night until late morning, when the thinnest clouds breakup earliest, resulting in a slight negative N<sub>d</sub>-f<sub>c</sub> slope, owing to the negative slope between N<sub>d</sub> and LWP at sunrise, but only when dln(LWP)/dln(N<sub>d</sub>) is strongly negative (e.g., blue line in Fig. 5). This is also evident in the relationships between N<sub>d</sub> and the cloud breakup time (d(time<sub>fc</sub><0.95)/dln(N<sub>d</sub>)), where only the two groups with the earliest perturbation time (thereby more negative dln(LWP)/dln(N<sub>d</sub>) at sunrise) do not show a delayed breakup (Fig. 6, black) under high N<sub>d</sub> conditions. After noon, the N<sub>d</sub>-f<sub>c</sub> slope becomes positive for all groups (Fig. 5b), attributed to a generally delayed diurnal cycle in both LWP and f<sub>c</sub> (Fig. 6), meaning cloud thinning and breakup occur later in high N<sub>d</sub>

clouds due to weaker LWP and f<sub>c</sub> tendencies when N<sub>d</sub> and LWP are negatively correlated at sunrise (Fig. 5a and S1).
When we combine the effects of Twomey, LWP and f<sub>c</sub> adjustments, it comes at no surprise that higher N<sub>d</sub> leads to more
reflected SW at TOA throughout the day (Fig. 5d), given that the negative dln(LWP)/dln(N<sub>d</sub>) is not strong enough to overcome the Twomey effect (Fig. 5c) and that df<sub>c</sub>/dln(N<sub>d</sub>) is mostly positive. Clearly, SW<sub>up</sub> has the strongest sensitivity to N<sub>d</sub> perturbations in the group with the latest "aerosol perturbation" (at sunrise; red line in Fig. 5d), which produces the greatest increase in the temporally integrated SW<sub>up</sub> per unit increase in ln(N<sub>d</sub>) (Fig. 5, filled squares). A critical difference between these groups is the N<sub>d</sub>-LWP relationship at sunrise, which is important for daytime cloud tendencies and strongly tied to the

305 time of "aerosol perturbation" in this setup.

#### 3.2.2 Non-precipitating open-cell Sc

Similar evolutions in  $N_d$ -LWP and  $N_d$ - $A_c$  slopes are found in non-precipitating open-cell Sc (Fig. 7a and c). In contrast to the evolution in  $N_d$ - $f_c$  slope for the overcast closed-cell Sc where different groups track each other quite closely throughout the day, the  $N_d$ - $f_c$  slope after sunrise stratifies by both the  $N_d$ -LWP and the  $N_d$ - $f_c$  slopes at sunrise in the non-precipitating

- 310 open-cell Sc (Fig. 7b). This is consistent with the characteristic diurnal cycle of LWP and  $f_c$  (Fig. 4b) such that they increase (or decrease) coherently with time, leading to a similarly buffered evolution in  $df_c/d\ln(N_d)$  (Fig. 7b). Worth noting is that the buffering effect drives a sign-change in  $df_c/d\ln(N_d)$  after noon for the groups with the latest "aerosol perturbation" (orange and red lines). A comparison between the earliest and the latest (at sunrise) "aerosol perturbation" groups (Fig. 8) reveals that for groups starting with already pronounced negative  $N_d$ -LWP and  $N_d$ - $f_c$  slopes at sunrise (Fig. 8a), the effect of increasing
- 315  $N_d$  is to shift the diurnal cycle towards lower LWP and lower  $f_c$  in general. However, for groups where LWP and  $f_c$  remain similar between high- and low-  $N_d$  clouds at sunrise (Fig. 8b), the addition of smaller cloud droplets reduces LWP gradually, a process that can be attributed to the enhanced cloud-top entrainment, while similar  $f_c$  is maintained. For both cases, cloud recovery is noted to be slighted hastened under high- $N_d$  conditions (Fig. S2), which is likely facilitated by weaker SW heating due to reduced LWP. In the case of sunrise "perturbation" where  $f_c$  is only subtly adjusted, hastened  $f_c$  recovery leads to a positive  $df_c/d\ln(N_d)$  in the afternoon (Fig. 7b and 8).

This stratification in  $N_d$ - $f_c$  slopes complements the radiative impact due to  $N_d$ -LWP stratification alone (Fig. 7a), leading to an even more pronounced stratification in  $dSW_{up}/d\ln(N_d)$  evolution. As a result, the dependence of  $d(\int SW_{up}dt)/d\ln(N_d)$  on "aerosol perturbation" time is more pronounced than that in the overcast closed-cell Sc (Fig. 7d, filled squares).





# 4 Discussion

325 Despite the wide usage of the statistical regression method to derive aerosol-cloud relationships from which process understanding is inferred, the extent to which these statistical relationships equate to a causal response, thereby representing cloud adjustments has been a nagging concern of studies of this kind. More recently, there is evidence showing that the negative branch of the observed inverted-V shape in the N<sub>d</sub>-LWP relationship (e.g., Gryspeerdt et al., 2019) overestimates the true causal effect of N<sub>d</sub> on LWP (e.g., Arola et al., 2022; Fons et al., 2023). Using general circulation models (GCMs), Mülmen-330 städt et al. (2024) demonstrate the possibility that the sign of the cloud adjustment inferred from the N<sub>d</sub>-LWP relationship derived from internal variabilities can even be misleading, which they attribute to the confounding effect of the covariation between N<sub>d</sub> and meteorological conditions.

We wish to note that the way we investigate the relationship between cloud water and droplet number, i.e., by subsampling conditions where a subsample of the large simulation ensemble has a predetermined  $N_d$ -LWP relationship and by focusing on its *evolution* rather than its absolute value, alleviates reliance on the interpretation of the  $N_d$ -LWP relationships as causal relationships. In other words, the SW heating driven feedback (or buffering) mechanism we have uncovered in this work is a genuine feature of the Sc system and does not depend on the actual (prescribed) value of  $d\ln(LWP)/d\ln(N_d)$  in the cMC experiments or in the real world. From this perspective, we discuss the role that these results, in particular this feedback mechanism, play in the aerosol-cloud-interactions that we observe in nature, where the  $N_d$ -LWP relationship is not predetermined

- and often confounded by other cloud controlling factors. In fact, a number of satellite-based studies have suggested that this relationship in nature is strongly dependent on cloud regime, boundary layer characteristics, and the spatial scale of one's investigation (e.g., Gryspeerdt et al., 2019; Possner et al., 2020; Toll et al., 2019; Zhou and Feingold, 2023). The essence of this radiation-buffering is the dependence of LWP tendency attributed to radiation processes (SW absorption in particular) on cloud LWP, meaning thicker clouds thin faster and thinner clouds thin more slowly (Fig. 3), flattening whatever slope  $N_d$  and
- 345 LWP may have had before sunrise, depending on the large-scale meteorological conditions the clouds have experienced, no matter whether the  $N_d$ -LWP relationship is causal or not.

Moreover, one of the strengths of this novel subsampling approach is by design, to minimize the confounding effects from the initial boundary layer conditions in this large ensemble of simulations and to address some of the aforementioned concerns. Therefore, although our emphasis is not on quantifying actual cloud adjustments, we aim to advance our understanding of

- 350 the temporal evolution in adjustments. Consider the Marine Cloud Brightening (MCB) idea, one of the proposed climate intervention approaches, as an example. When we think about how we might maximize the total amount of sunlight reflected over a day if we were to seed non-precipitating Sc clouds to increase their reflectivity of solar radiation, we want neither a negative LWP adjustment to start with nor do we want to seed after the sun is up. Given the persistent negative trend in the nighttime evolution of  $d\ln(LWP)/d\ln(N_d)$  (Fig. 1 and Sec. 3.1), it is logical to propose that seeding at sunrise would be the
- 355 most effective brightening strategy, which our results in Sec. 3.2 have validated. This is attributed to the critical role of sunrise values of cloud water,  $N_d$ , and their correlation in governing the daytime evolution of cloud fraction and LWP.





There are, of course, caveats to this implication. For one, we focus only on non-precipitating Sc systems, whereas studies have shown that suppressing or even stopping precipitation in Sc systems can potentially generate larger radiative impacts, compared to brightening the non-precipitating systems (e.g., Wang and Feingold, 2009; Prabhakaran et al., 2023, 2024; Chun
et al., 2023). Moreover, given the typical lifetime of aerosol in the marine boundary layer (a few days; Wood, 2012, 2021), our integration over one diurnal cycle may seem short in terms of representing the full extent of the radiative impact due to seeding. Extending the analysis to three diurnal cycles results in similar conclusions on the persistent nighttime negative trend in N<sub>d</sub>–LWP slope and the daytime buffering due to SW absorption, which essentially makes the N<sub>d</sub>–LWP slope oscillate between -0.1 and -0.4 after convergence in the first afternoon (Fig. S3). That said, these non-precipitating Sc clouds tend to be advected by
the prevailing winds in the region and experience pronounced large-scale forcing changes, e.g., warming SST and deepening marine boundary layer, which lead to transition into a more cumulus regime, during the course of 3 to 5 days over subtropical ocean basins (Bretherton and Wyant, 1997; Yamaguchi et al., 2017). Studies deploying large ensemble of multi-day Lagrangian simulations are warranted to further address this issue. While the implications of this particular exemplary application (i.e., MCB) is limited, the great potential of applying this cMC approach to simulation ensembles is demonstrated.

## 370 **5** Conclusions

A novel conditional Monte Carlo (cMC) subsampling approach is applied to a large ensemble of diurnal LES simulation, in order to explore the role of solar heating in affecting the temporal evolution and timescale of cloud water adjustment to aerosol perturbations in non-precipitating marine stratocumulus. We find evidence supporting an important negative feedback (or buffering) mechanism in the daytime evolution of the  $N_d$ -LWP relationship such that a persistent decreasing trend at night

- 375 is buffered  $(N_d$ -LWP slope becomes flattened) after sunrise, regardless of the actual value of  $d\ln(LWP)/d\ln(N_d)$ . Using a budget analysis of the LWP tendency, we separate and quantify the contributions from individual processes to this tendency, including entrainment and radiation. This enables us to attribute this buffering effect to the primary dependence of SW heating on LWP. This result emphasizes the dominant role of cloud LWP in governing daytime cloud tendencies, especially those related to SW absorption. The impacts of  $N_d$  perturbations appear to be only secondary.
- This SW-LWP buffering has important implications for the temporal evolution in cloud adjustments to aerosol perturbations and the timescale of adjustments. Among various feedback mechanisms through microphysical processes, such as evaporation and sedimentation, surface fluxes, and/or large-scale circulation adjustments (e.g., Wang et al., 2003; Bretherton et al., 2007; Chun et al., 2023; Dagan et al., 2023), the role of SW heating has received the least attention. The implications for aerosol-cloud radiative forcing of climate are yet to be fully evaluated.
- The methodology applied to the large simulation ensemble (i.e., subsampling) differs from previous studies in which the whole ensemble is used at once to map emergent properties, such as the cloud radiative effect (Glassmeier et al., 2019) and their flow field from a wide range of initial conditions (e.g., Glassmeier et al., 2021; Hoffmann et al., 2020). This work demonstrates the substantial potential in the application of this cMC approach. It can enhance the usefulness of any large-



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ensemble of simulations by generating numerous sub-ensembles, whose potential in scientific applications is well beyond that of the original ensemble, without the need to increase the size of the original ensemble.

The cMC subsampling approach presents a new pathway to explore systematic behaviors in cloud evolution from a large number of simulated realizations or observations while avoiding spurious covariations among cloud controlling factors that are either related to the seemingly random initializations or meteorological confounding factors. This alleviates the need to assume that spatiotemporal correlations can be used to infer causal relationships. Moreover, it enables one to select conditions where hypothesis-driven constraints can be prescribed and tested.

The SW-LWP buffering mechanism and its important role in governing the diurnal evolution in cloud water response to droplet number perturbations, also has implications for the assessment of the viability of MCB. The robust decreasing trend in the  $N_d$ -LWP relationship at night motivates an MCB-oriented thinking on how one might maximize the sunlight reflected by a cloud scene. Using the cMC subsampling approach as a way to mimic the timing of the aerosol perturbation, we make the case that seeding at sunrise presents the highest potential for brightening. This statement is by no means an endorsement of MCB as a viable climate intervention method. Much more solid research is needed at this stage to determine the viability of MCB and to quantify the potential risks associated with it (Feingold et al., 2024).

*Data availability.* The System for Atmospheric Modeling (SAM) code is graciously provided by Marat Khairoutdinov, which is publicly available at the Harvard repository (https://wiki.harvard.edu/confluence/display/climatemodeling/SAM/). Input files for reproducing the simulation data are available from the NOAA Chemical Sciences Laboratory's Clouds, Aerosol, & Climate program at https://csl.noaa.gov/groups/csl9/datasets/data/cloud\_phys/2024-Zhang-etal/.

*Author contributions.* JZ carried out the data analysis and wrote the manuscript with input from all authors. YSC and TY ran the simulation ensemble. All authors contributed to the design of the study and the interpretation of the results.

*Competing interests.* At least one of the (co-)authors is a member of the editorial board of Atmospheric Chemistry and Physics. Other than this, the authors declare that they have no conflict of interests.

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Figure 1. Diurnal cycle of  $N_d$ -LWP regression slope  $(d\ln(LWP)/d\ln(N_d))$ . Solid lines indicate mean values of the 50 25-member cMC subsampling for individual groups, which are separated by colors representing different  $d\ln(LWP)/d\ln(N_d)$  values at sunrise (large dots): 0.4 (red), 0.2 (yellow), 0.0 (black), -0.2 (cyan), and -0.4 (blue). Vertical bars indicate interquartile ranges for each group. A 1-hour running mean is applied. Gray shading indicates nighttime hours.







Figure 2. Diurnal cycle of (a) mean LWP tendencies attributed to radiation (RAD), entrainment (ENT) and the sum of RAD and ENT (RAD+ENT), and (b-d) their sensitivity to  $N_d$ . Mean sensitivity evolutions are shown for the five groups with different prescribed  $N_d$ -LWP relationships (dln(LWP)/dln( $N_d$ )) at sunrise, whose evolutions in dln(LWP)/dln( $N_d$ ) are shown in Fig. 1. A 1-hour running mean is applied. Gray shading indicates nighttime hours.







**Figure 3.** A schematic illustrating the hypothesis for the cause of the buffered daytime evolution in  $N_d$ -LWP relationship – that is thicker clouds thin faster whereas thinner clouds thin slower, resulting in flattened slopes (solid lines) regardless of the initial slope at sunrise (dashed lines). Blue (red) "clouds" represent the blue (red) group in Fig. 1.







**Figure 4.** (left column) Example 2D snapshots of cloud optical depth at local midnight (hour 6 of the simulation time) and (right column) mean diurnal cycle of LWP and cloud fraction ( $f_c$ ) of the simulated 'closed' and (non-precipitating) 'open' cell Sc. Sunrise, sunset, noon, as well as 22:40 LT (end of spin-up) are indicated on the diurnal cycle.







**Figure 5.** Diurnal cycle of (a)  $\frac{d \ln(LWP)}{d \ln(N_d)}$ , (b)  $\frac{df_c}{d \ln(N_d)}$ , (c)  $\frac{dA_c}{d \ln(N_d)}$ , and (d)  $\frac{dSW_{up}}{d \ln(N_d)}$ . Colors separate groups mimicking "aerosol perturbation" at different times when  $\frac{d \ln(LWP)}{d \ln(N_d)}$  and  $\frac{df_c}{d \ln(N_d)}$  are set to ~0. Mean values averaged over 50 repeated cMC samplings of each group are shown. Relationships between  $N_d$  and diurnally integrated reflected SW (i.e.,  $d(\int SW_{up}dt)/d \ln(N_d)$ ) for different perturbation times are shown as filled squares with interquartile ranges using the same color scheme. A 1-hour running mean is applied. Gray shading indicates nighttime hours.







Figure 6. Relationships between  $N_d$  and overcast closed-cell Sc diurnal cycle critical times, i.e.,  $d(time_{critical})/d\ln(N_d)$ , which include the time when cloud breaks up ( $f_c < 0.95$ ; black), reaches minimum-LWP (blue), and reaches minimum- $f_c$  (red), for different "aerosol perturbation" times. Mean values and interquartile ranges are shown. The left-hand-side diagram is the same as that in Fig. 5a, for the illustration of critical times in the diurnal cycle.







Figure 7. As in Fig. 5, but for the non-precipitating open-cell Sc class.







**Figure 8.** Diurnal cycle of cloud evolution in LWP- $f_c$  space, for (a) the earliest and (b) the latest (at sunrise) "aerosol perturbation" groups. Thick lines represent the mean evolution of the highest 20% of the members in  $N_d$  ("polluted" clouds, high- $N_d$ ), whereas the thinner lines indicate the lowest 20% in  $N_d$  ("clean" clouds, low- $N_d$ ). Lines are colored and separated at every hour since the "aerosol perturbation". Gray-scale dashed isolines in the background indicate a rough measure of cloud aspect ratio, approximated by the ratio of  $\ln(LWP)$  to  $f_c$ . Darker lines indicate more stratiform clouds and lighter lines indicate more cumuliform clouds.