

Towards a Harmonized Operational Earthquake Forecasting Model for Europe

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Abstract. We develop a harmonized earthquake forecasting model for Europe based on the Epidemic-type Aftershock Sequence (ETAS) model to describe the spatio-temporal evolution of ~~aftershock sequences~~[seismicity](#). We propose a method modification that integrates information from the European Seismic Hazard Model (ESHM20) about the spatial variation of background seismicity during ETAS parameter inversion based on the expectation–maximization (EM) algorithm. Other modifications to the basic ETAS model are explored, namely fixing the productivity term to a higher value to balance the more productive triggering by high-magnitude events with their much rarer occurrence, and replacing the b -value estimate with one relying on the b -positive method to observe the possible effect of short-term incompleteness on model parameters. Retrospective and pseudo-prospective tests demonstrate that ETAS-based models outperform the time-independent benchmark model as well as an ETAS model calibrated on global data. The background-informed ETAS ~~variant achieves the highest variants~~
5 ~~using the b -positive estimate achieve best scores overall, passing the consistency tests and having a good~~ score in the pseudo-prospective experiment, ~~but the performance difference to the second-best model is not significant. Our findings.~~ ~~Our test~~
10 ~~results also~~ highlight promising areas for future exploration, such as avoiding the simplification of using a single b -value for the entire region ~~or~~, reevaluating the completeness of the used seismic catalogs ~~and applying more sophisticated aftershock~~
~~spatial kernels.~~

15 1 Introduction

After the occurrence of a large-magnitude earthquake, the expected behavior of its aftershocks, and in particular the possibility of another large event, are of interest both to the general public and governmental and private organisations, such as civil protection, first responders, insurance companies, etc. Operational Earthquake Forecasting (OEF; Jordan et al., 2011) was introduced as a term for "*gathering and disseminating authoritative information about the time dependence of seismic hazards*
20 *to help communities prepare for potentially destructive earthquakes*". It is an evolving effort that has seen significant progress in recent years. Several countries, including New Zealand (Christophersen et al., 2017), the United States (Field et al., 2017; Jordan et al., 2011, 2014; van der Elst et al., 2022), and Italy (Marzocchi and Lombardi, 2009; Marzocchi et al., 2014) currently have systems in place that produce authoritative earthquake forecasts (~~see (Mizrahi et al., 2024) for a review of these OEF~~
~~systems and Hardebeck et al. (2024) for a review on aftershock forecasting~~). Each of these systems uses different underlying
25 models to produce the forecasts, communicates the forecasts differently (~~absolute or relative earthquake rates/probabilities,~~

[maps, scenarios etc.](#)) and to different user groups [at different time intervals \(continuously, daily, monthly, or only after large events\)](#), and the forecasting systems are continuously being modified and improved. [There In short, there](#) is not a unique agreed-upon best way to provide OEF, as has been shown recently in an elicitation of expert views on this topic [\(?\) \(Mizrahi et al., 2024\)](#)

30 However, what is clear is that to issue earthquake forecasts operationally, time-dependent models that describe both the spatial and temporal variability of seismicity are required. Gathering historic and recent seismicity data, combined with knowledge of seismotectonic properties of a region, allows us to better understand spatial variability in earthquake occurrence in a time-independent manner (Danciu et al., 2021; Crowley et al., 2021; Wiemer et al., 2016). In addition to the time-independent assessment of seismicity, the temporal evolution of seismic sequences can be modelled using well-established empirical laws,
 35 as has been done by several (governmental or non-governmental) agencies on various scales (Christophersen et al., 2017; Field et al., 2017; Jordan et al., 2011; Mizrahi et al., 2023a; Marzocchi and Lombardi, 2009; Nandan et al., 2021; Omi et al., 2018).

The main objective of this paper is to develop a harmonized forecasting model for Europe that represents the current state of the art of time-dependent earthquake forecasting. The idea of a harmonized model is to take into account the differences in data collection properties, but also physical properties of various tectonic regions, to minimize the effect of administrative
 40 borders on the output, providing a unique set of parameters that, in a way, averages seismicity properties in the observed region, hopefully benefiting from a high variety of events present in such a large dataset. This model is meant to be simple and serve as the basis for the development of future models, and is not meant to overrule other, national forecasting models where they are available (e.g. Italy; Marzocchi et al., 2014). We aim to identify the shortcomings of a basic harmonized model and propose modifications that would remediate them with the goal of providing reliable earthquake probabilities incorporating long-term
 45 seismicity rates as well as short-term clustering patterns.

The current state-of-the-art models for time-dependent earthquake forecasting are Epidemic-type aftershock sequence (ETAS) models (Ogata, 1988). [In the context of ETAS, any event triggered by its predecessor is named an aftershock, which is not to be confused with the frequent interpretation of the term aftershock meaning an event of smaller magnitude following its triggering event.](#) Having been introduced by Ogata in 1988, these models have been around for several decades, implemented and used
 50 by many agencies and identified by experts in the study of [? Mizrahi et al. \(2024\)](#) as the preferred choice for a default model to be used for earthquake forecasting. Their main strength is in explaining the aftershock triggering behavior of earthquakes, relying on temporal and spatial decay of the number of aftershocks with spatial or temporal distance from the main event, the productivity law, and the Gutenberg–Richter (GR) law (Omori, 1895; Utsu, 1971; Gutenberg and Richter, 1936). In ETAS, the seismicity rate ℓ is given as the sum of the background rate μ and the aftershock rate g of all previous events, following these
 55 laws. Specifically, we will use the formulation as in Nandan et al. (2021) and Mizrahi et al. (2021b),

$$\ell(t, x, y) = \mu + \sum_{i: t_i < t} g(m_i, t - t_i, x - x_i, y - y_i), \quad (1)$$

$$g(m_i, t - t_i, x - x_i, y - y_i) = \frac{e^{-(t-t_i)/\tau} \cdot k_0 e^{a(m_i - m_c)}}{(t - t_i + c)^{1+\omega} ((x - x_i)^2 + (y - y_i)^2 + d e^{\gamma(m - m_c)})^{1+\rho}}.$$

Training such a model for the Europe-wide region poses a number of challenges, as has been laid out by Zechar et al. (2016). A main challenge lies in the compilation of a dataset containing a comprehensive record of earthquakes over a significant period of time, especially considering the differing formats and properties used in different countries or subregions (e.g., magnitude types and their definitions, data completeness due to network density and other reasons, location and magnitude precision, etc.). Moreover, it is desirable to leverage high-quality data (higher precision, completeness to a lower magnitude) where it is available, without losing potentially valuable information about high-magnitude events in periods and regions wherein data collection was not as precise and complete. Data completeness is often quantitatively expressed through the completeness magnitude (m_c), which is the lowest magnitude above which all events are assumed to be observed. A catalog of all recorded events is normally incomplete, meaning that it also contains events below m_c , and as the exact m_c is not known, it is important to estimate m_c and remove events below m_c . Underestimating it may bias models trained on the data with higher-an m_c higher than assumed (Seif et al., 2017), but overestimating it results in throwing away complete and potentially useful data.

Multiple methods for estimating m_c have been developed and tested, mostly relying on the fact that by the Gutenberg–Richter law, the events in a complete catalog follow an exponential distribution, their cumulative count satisfying

$$N(m) = 10^{a-bm}, \quad (2)$$

where $N(m)$ denotes the number of events with a magnitude of m and above, and a and b are parameters often referred to as a - and b -value. Note that the natural logarithm base is also used, in which case

$$N(m) = N_0 e^{-\beta m}, \quad N_0 = 10^a, \quad \beta = b \ln 10. \quad (3)$$

Although challenging, recent achievements in data collection and harmonization have enabled the creation of a Europe-wide earthquake catalog which we aim to use as a basis for the calibration of a Europe-wide ETAS model in this study. The main result that will be used in this study in terms of data gathering is the catalog collected for the European seismic hazard model (ESHM20; Danciu et al., 2021), which provides harmonized information about seismic activity on an overall European scale, relying on expert knowledge about the differences in earthquake monitoring and physical tectonic characteristics of the region in order to harmonize the data, and providing elicitation both on data properties (such as m_c) and division into subregions based on their seismotectonic properties. Moreover, the ability to fit ETAS models to datasets with varying m_c , originally developed for time-varying m_c , but also applicable for any spatial variations in completeness, introduced by Mizrahi et al. (2021b) allows for ETAS models to use both the high-quality data in (more recent) time periods and sub-regions-subregions with low m_c and potentially capture long-term trends contained in periods and areas with higher m_c .

Besides a basic ETAS model, we will consider several modifications and test them both retrospectively for self-consistency and pseudo-prospectively for comparison against one another. While the main strength of ETAS models is in modelling after-shock behavior, it is expected that the background rate varies significantly in space over a large area such as Europe. One of our main proposed modifications focuses on implementing the knowledge about spatial variations in background rate inferred by ESHM20 (Danciu et al., 2021) already during the inversion of ETAS parameters, which could affect the parameters describing aftershock behavior as well. These spatially-varying background seismicity rates are estimated leveraging both the area sources

model and the background seismicity and active faults model from ESHM20, combined with equal weighting as proposed by Danciu et al. (2021). Other modifications include fixing the term dictating the productivity law to the b -value of the catalog (Hainzl et al., 2013; van der Elst et al., 2022) to balance the more productive triggering by high-magnitude events (productivity law; Utsu, 1971) with their much rarer occurrence (Gutenberg–Richter magnitude distribution law; Gutenberg and Richter, 1936) as explained in Helmstetter (2003), and implementing the b -positive method (van der Elst, 2021) for the estimation of the b -value.

The outline of the remaining sections of this article is the following: in Sect. 2, we briefly describe the ESHM20 catalog with both its more recent and historic parts and then describe the selection of the time frame used in this study due to computational limitations and high heterogeneity in data quality among time periods. We introduce additional data about long-term seismicity given by ESHM20 that will be used as input to some model variants and the most recent catalog that will be used for model validation. The development of a base model and modifications thereof are described in more detail in Sect. 3, followed by a description of the methods used for testing them (Sect. 3.3 and 3.4). Finally, our results are presented and discussed in Sect. 4, divided into three parts, presenting the fitted parameters of the models, results of retrospective consistency tests, and results of pseudo-prospective model comparison experiments.

2 Data

The primary dataset used in this work is the ESHM20 catalog (Danciu et al., 2021), which contains the combined catalogs of all agencies that record earthquakes in Europe, both recent and historical, dating back to the 11th century. Due to the variations in both the nature of earthquake occurrence and its monitoring, the data are highly heterogeneous. For pre-instrumental times, the records are highly incomplete, potentially missing even the high-magnitude events and the magnitudes of the events that are in the record containing errors potentially higher than 0.5 magnitude units (Grünthal et al., 2009; Grünthal and Wahlström, 2012). Constructing the catalog focusing on the period starting with the 20th century already involved parametrizing earthquakes from macroseismic data mixed with instrumentally recorded events compiled in 47 subregions and harmonizing the magnitudes calibrated in scales such as local magnitude, body-wave magnitude, surface-wave magnitude, moment magnitude, and maximum intensity into one equivalent to the moment magnitude (M_w). This follows the methodology of Grünthal and Wahlström (2012), applying a hierarchical strategy which prioritizes existing M_w -harmonized catalogs, followed by moment tensor databases and local bulletins, and finally data from the International Seismological Centre (ISC) when no local data is available. Magnitude conversions also follow Grünthal and Wahlström (2012), with updates from recent literature where applicable.

As the density and sensitivity of seismic networks generally improve over time, the magnitude and location precision increases (Danciu et al., 2021), as well as the number of recorded events due to the ability to record lower-magnitude events (the completeness magnitude m_c decreases). However, neither the level of completeness nor this improvement over time are spatially uniform. Between some regions, in the same period, m_c difference can be up to four magnitude units. Although a more precise magnitude resolution is available for a part of the data, the assuming a higher precision when it is not available

in parts of the catalog would produce incorrect estimates. The agreed-on precision is 0.2, as in Danciu et al. (2021), used there
125 also for the statistical fitting of the seismicity parameters of the source models.

Due to computational limitations, poor quality, and strong incompleteness of early data, which make it unsuitable for the
analysis of aftershock behavior, the dataset needs to be narrowed down to contain relatively recent information while ensuring a
sufficiently long time frame that enables the capturing of longer-term triggering effects and seismicity patterns. High-magnitude
events that are present in historical parts of the catalog are crucial to better understand the frequency of rare seismic events
130 that are not present in more recent time periods, and they help to identify additional spatial patterns in background seismicity.
However, these historical high-magnitude events will seldom have aftershocks recorded due to the incompleteness reflected
in m_c going as high as magnitude 8. ~~Up to the~~ According to the completeness magnitudes for different time periods and
regions assessed by experts in ESHM20 (Danciu et al., 2021) and visualised in Figure S1, up to the early 1980s, the highest
completeness difference between regions in the same time period is as high as three magnitude units; starting from the 1990s,
135 this difference lowers to 1.5 units of magnitude. Hence, we here limit the catalog to the time period starting with the year 1980,
with only events after 1990 considered as potentially triggered events (this is discussed in more detail later, in Sect. 3.2.1). The
spatial distribution of the catalog containing over 20 thousand events in this time period is shown in Fig. 1(a) (in red). While
the aforementioned issues of earthquake monitoring in earlier parts of the catalogs are fewer in the selected recent time period,
the effect of neglecting to address them (such as assuming a too low m_c or too high magnitude precision) could still potentially
140 significantly bias our later output (Seif et al., 2017).

By definition of the completeness magnitude, all events of magnitude equal to or higher than m_c are assumed to be recorded.
The events of magnitude below m_c are also present in the raw catalog, resulting in incompleteness in the data. The incom-
pleteness in this dataset below magnitude 4.6 is so evident that it is detectable already through visual inspection of Fig. 1(b–c).
Namely, under the assumption that the observed number of events does not have a significant trend over a longer period of time,
145 the cumulative count of events through time would display a roughly linear increasing behavior, with rapid jumps in the count
at a point indicating only the occurrence of a productive sequence of events. The changes in the slope of this linear increase
shown in (c) indicate the changing completeness over that time period and an increase in the rate of cumulative earthquake
count, suggesting that completeness improves over time. In (b), both incompleteness and discretisation are discernible in the
plot showing recorded magnitudes over time.

150 ~~The~~ As mentioned earlier, the study of Danciu et al. (2021) provides expert evaluations of the completeness magnitudes by
region and time period. Knowing these m_c values allows accounting for the incompleteness of data later during model calibra-
tion as described in Mizrahi et al. (2021b). As m_c differs between regions and time periods, the distribution of $m - m_c(x, y, t)$
is shown in Fig. 1(d) instead of a distribution of "pure" magnitudes to correct each magnitude for the corresponding incom-
pleteness level. Lines representing b -values of 1.23 and 0.99 are shown, as these are the estimates we use later in calibration
155 of ETAS models and simulation of synthetic catalogs, the former computed as a "standard" MLE with the binning correction
suggested by Tinti and Mulargia (1987), and the latter being the b -positive (van der Elst, 2021) estimate, also with binning
correction.

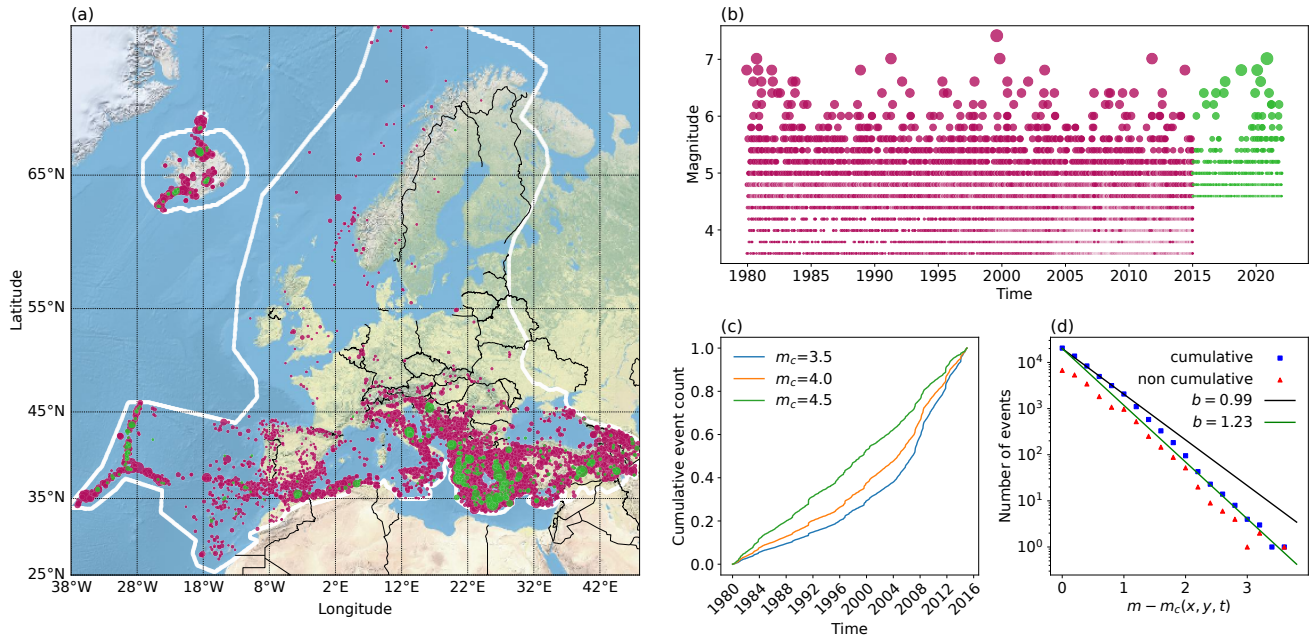


Figure 1. Dataset used for model training (1980–2015, red dots) and testing (2015–2022, green dots); in (c–d) only the training dataset is shown. (a) Map of events detected in the study area defined in ESHM20, [which is outlined in white](#). The dot size increases with magnitude. (b) Time evolution of recorded events’ magnitudes. The dot size increases with magnitude. (c) Cumulative count of recorded events through time for different cutoff magnitudes. (d) Magnitude frequency distribution plot. The distribution of $m - m_c(x, y, t)$ is shown to correct for varying completeness. [The lines show the fits of \$b\$ -values estimated by "standard" maximum likelihood method \(\$b = 0.99\$ \) and \$b\$ -positive method \(\$b = 1.23\$ \).](#)

The catalog of Danciu et al. (2021) ends in 2015 and we use it in full for model training. The continuation of the catalog is given in Lammers et al. (2023) until 2022 and this seven-year period is used here for pseudo-prospective testing. This new
160 part of the catalog is not identical in composition to the catalog used for training, the most prominent difference being the completeness magnitude of 4.6 in the overall dataset (demonstrated in Fig. 1(b)). The spatial distribution of the testing catalog is shown in Fig. 1(a) (in green). In truly prospective testing, such differences [both in composition methods and content of catalogs](#) are not only possible, but an expected occurrence, the effect of which is not to be disregarded, but rather leveraged to obtain more robust models. [While this would not necessarily happen in a network controlled by a single agency, except](#)
165 [for improvements in completeness, our catalog is composed of subregions and takes tremendous efforts described earlier to harmonize, and in near-real-time deployment would possibly need to be replaced by an alternative different in completeness and other properties.](#) Note that in both the training and testing catalog, due to the binning of $\Delta m = 0.2$ mentioned above, a completeness magnitude m_c means that it actually contains events above $m_c - 0.5\Delta m = m_c - 0.1m_c - \frac{1}{2}\Delta m = m_c - 0.1$.

Furthermore, in this study, alongside earthquake catalogs, we aim to utilize the long-term seismicity rates introduced by
170 Danciu et al. (2021). These rates are provided for both the area sources model and the background seismicity and active faults

model. The area sources model is a classical seismogenic source model, describing seismicity as shallow crustal, volcanic, subduction in-slab and deep, relying on recommendations by regional and national experts with modifications made to ensure compatibility in bordering (overlapping) areas. The background seismicity and active faults model combines the smoothed background seismicity model obtained by estimating activity parameters (a - and b -value in the GR law) on a declustered complete catalog and the model describing seismic productivity in the proximity of faults with a fault-dependent magnitude threshold between them ensuring avoiding double counting seismicity. As in ESHM20, the annual seismicity rates for each spatial and magnitude bin are obtained by combining the outputs of these two models, with equal weighting. Summing the rates across all magnitude bins and accounting for differences in completeness magnitude and time duration yields overall daily background seismicity rates for the spatial bins defined in the study. The final rates per spatial bin are visualised in Figure S2. Although these rates are based on declustered seismicity, which should closer correspond to the background rate in ETAS as aftershock clustering has been removed, we want our models to invert the overall background rate freely, therefore only using this information as input for relative spatial differences in background rate. By adding this extra input, we include information from the historical periods of the ESHM catalog about large events and seismicity in areas not represented in the selected training part of the catalog (after 1980).

185 3 Methods

3.1 ETAS

Training an ETAS model on a given dataset means finding the parameters in (1) that give the best fit to the data. The inversion of the ETAS parameters μ , k_0 , a , c , ω , τ , d , ρ and γ used here is based on an expectation–maximization (EM) algorithm (Veen and Schoenberg, 2008), with the varying m_c adjustment (Mizrahi et al., 2021b). Conservatively using the maximum value of m_c across the entire catalog would result in the loss of a large amount of valuable data, while assuming a completeness magnitude lower than the true one could introduce biases to our calculations (Seif et al., 2017).

In this modified EM algorithm by Mizrahi et al. (2021b), the difference between the overall lowest completeness magnitude, m_{ref} , and the completeness magnitude at the location and time of a given event, $m_c(x, y, t)$, is taken into account for each event by estimating the ratio of the unobserved and observed events (ζ), and the ratio of events triggered by unobserved and observed events (ξ) based on the Gutenberg–Richter magnitude distribution assumption. The algorithm has been implemented in Python by Mizrahi et al. (2023b) and can, in principle, be used to calibrate basic ETAS models on any given catalog.

As the computation of ζ and ξ relies on the GR law to estimate the number of unobserved events, this method is dependent on the estimated b -value of the catalog. Therefore, we test both the classical maximum likelihood method ~~with adjusting for binning described in Tinti and Mulargia (1987)~~, and the b -positive method (van der Elst, 2021) which is meant to overcome incompleteness in data, primarily the short-term aftershock incompleteness (STAI; Kagan, 2004). In both cases, we adjust for magnitude binning as described in Tinti and Mulargia (1987), which is especially important to avoid biases in b -value due to relatively large bins of $\Delta m = 0.2$.

In an ETAS model which produces a unique set of parameters for the overall region, information and properties of specific faults and sequences are potentially lost, but the advantage of global models is in their training datasets containing a larger number of high-magnitude earthquakes (Bayona et al., 2023). Another approach for mitigating the averaging behavior of a global model is to update the aftershock behavior described by ETAS with real-time data from an ongoing sequence when issuing aftershocks forecast operationally (Omi et al., 2015; van der Elst et al., 2022). The implementation of such a sequence-specific model updating, however, still involves a number of expert decisions, and there is no substantial evidence in favor of a unique updating approach that would improve the overall performance of a global model. While this is a feasible direction for future European model development, here we focus on developing a baseline, harmonized model upon which such improvements could be built.

3.2 Model variants

In addition to fitting an overall generic ETAS model to our dataset, in this section we propose modifications that could, in principle, be applied to any ETAS model. The models compared in this study are as follows.

- 215 – ETAS₀: A basic ETAS model - set of parameters fitted to the ESHM20 dataset with no additional input or constraints. The implementation relies on the EM algorithm (Veen and Schoenberg, 2008) with varying m_c modification (Mizrahi et al., 2021b).
- ETAS_{bg}: In order to be consistent with the long-term model (ESHM20; Danciu et al., 2021) and to utilize the information contained in the hazard model about spatially varying seismicity rates, the parameter inversion algorithm is modified to allow for variations in the background rate, keeping relative spatial information fixed.
- 220 – ETAS _{α} : Due to the observed behavior of ETAS models to underestimate the productivity of high-magnitude events, the parameter dictating the productivity law $\alpha = a - \rho\gamma$ is fixed to $\alpha = \beta$ as a constraint during inversion.
- ETAS_{bg, α} : The two proposed modifications are combined.
- ETAS_{0^{b+}}, ETAS_{bg^{b+}}, ETAS _{α ^{b+}}, ETAS_{bg, α ^{b+}}: The four model variants introduced above, the only difference being in the b -value estimation method (van der Elst, 2021).
- 225 – ETAS_{USGS}: To add a comparison level and check for the benefits of fitting an ETAS model specific to European data, we use the parameters from the prior models described in van der Elst et al. (2022), applied by the USGS AftershockForecaster software. This includes several simplifications and adjustments, namely, background seismicity and aftershock spatial kernel is taken from ETAS₀, and the global average is considered for other parameters.
- 230 – Poisson background model: We implement a time-independent model that takes the seismicity rate map provided by ESHM20 (Danciu et al., 2021) and, for each spatial cell in this map, forecasts a number of events following the Poissonian distribution with the corresponding rate in that cell as a mean. This is the null model against which comparisons are made in the testing phase to check for the performance of added time-dependent information during aftershock sequences.

3.2.1 ETAS₀, ETAS₀^{b+}

235 These two models are trained using the general ETAS method, as introduced in Sect. 3.1, on the ESHM20 catalog filtered as described in Sect. 2. Although the full training period includes data between 1980 and 2015, the first ten years are used as a "burn-burn-in period" - these events are interpreted as potentially triggering events, but we do not consider them as possible aftershocks of previous earthquakes. Without this "buffer" time period, the events near the beginning of the selected time window would all be interpreted as background events, having no preceding seismic activity acting as their potential triggering
240 events. Additionally, this same auxiliary period will be used when simulating catalogs for purposes of retrospective consistency testing, since in the simulated catalogs starting in 1990, we need both background events and aftershocks of seismicity that occurred prior to 1990.

Having a unique set of parameters for the entire Europe provides a harmonized model that describes the aftershock behavior in the region. For each event, the EM parameter inversion algorithm also yields the probability that it is a background or a
245 triggered event. This allows us to capture the spatial variations in rates of background events despite the fact that the background parameter μ is treated as a constant during the parameter inversion. When simulating catalogs that are later used for producing retrospective and pseudo-prospective forecasts, we use this background rate information by drawing the ~~events' locations~~ locations of background events generated for the simulation period based on the probabilities that each observed event in the training catalog is a background event.

250 3.2.2 ETAS_{bg}, ETAS_{bg}^{b+}

We mentioned in Sect. 3.2.1 that the probabilistic branching structure inferred during the parameter inversion stage can be used to simulate new catalogs that will be consistent with the observed background event rates at different locations. However, a desired property of our model would be the ability to include the knowledge about variation in the background rate already during the parameter inversion, in order to estimate the background probabilities of events more correctly, and also to achieve
255 consistency of the background seismicity rates with the ones in the long-term hazard model (Danciu et al., 2021). This means that the time-independent seismicity rates provided by the hazard model should match the time-dependent ones when computed over very long periods of time. For this reason, the model is adjusted to allow for the now space-varying background rate:

$$\ell(t, x, y) = \mu(x, y) + \sum_{i: t_i < t} g(m_i, t - t_i, x - x_i, y - y_i), \quad (4)$$

similar to the ETAS formulation with an inhomogeneous background rate $\mu(x, y)$ suggested in Veen and Schoenberg (2008),
260 where μ is modelled by subdividing the spatial observation window into n cells with constant background rate μ_k , $k = 1, \dots, n$. Here, we allow for the variation between different locations to be fixed to the levels given as input to the ETAS parameter inversion. More precisely, during the expectation step in the calculation of the probability that one event triggered another one, the term μ representing the background contribution is replaced with

$$\mu(x, y) = \iota \cdot \mu_k, \quad (5)$$

265 where ι is a parameter learned in the inversion, estimated in every iteration as the total number of background events in the entire area, normalized per day and km^2 , and μ_k is the long-term annual seismicity rate given as an input corresponding to the cell that contains the location (x, y) . The probability that event i triggered event j estimated in the $(n + 1)$ th iteration is then given as

$$P^{(n+1)}(i \rightarrow j) = \frac{g(m_i, t_j - t_i, x_j - x_i, y_j - y_i | \theta^{(n)})}{\iota \cdot \mu_{k:j \in \text{cell } k} + \sum_{i:t_i < t_j} g(m_i, t_j - t_i, x_j - x_i, y_j - y_i | \theta^{(n)})}, \quad (6)$$

270 where $\mu_{k:j \in \text{cell } k}$ is the long-term annual seismicity rate given as an input corresponding to the cell that contains the location (x_j, y_j) .

As ι is estimated in each iteration as the total estimated number of background events per day and km^2 , it represents the overall background rate. Therefore, the information that needs to be taken from the input background level is not the absolute background rate in the corresponding spatial cell, normalized per time and area unit, since multiplying two such values would result in a quick convergence of this parameter to zero. Rather than that, we only take the relative relationship between these rates among different spatial cells by normalizing the values μ_k before inversion so that $\frac{1}{n} \sum_{k=1}^n \mu_k = 1$, where n is the number of $0.1^\circ \times 0.1^\circ$ cells that cover the area of interest, in our case $n \approx 8 \cdot 10^5$. For each event in the catalog, we assign the corresponding background seismicity level within its respective bin, which is then used as μ_k during the inversion.

3.2.3 ETAS $_\alpha$, ETAS $_\alpha^{b+}$

280 In the literature, it has been observed that there is a tendency in ETAS models to underestimate the productivity of large events (Hainzl et al., 2013) due to their under-representation in training data. Therefore, another proposed modification of the model is to allow the productivity term $\alpha = a - \rho \cdot \gamma$ to be fixed to a given constant. This term emerges from our ETAS formulation as the exponent in the relationship between the magnitude of an event and its expected number of aftershocks.

While the productivity law describes an increase in the number of aftershocks with the magnitude of the main event, the GR law describes that there are relatively fewer large than small magnitude events. As described in Helmstetter (2003), the relationship between the two exponents of these exponential relationships, α and β , determines whether earthquake triggering is driven by small or large magnitude events - and stipulating that $\alpha = \beta$ balances the influence of events of different magnitudes in earthquake triggering.

In the ETAS $_\alpha$ model variant, we apply this fixed α during the inversion based on the EM algorithm, which naturally affects all parameters. For this modification of the ETAS model, we set $\alpha = \beta$, as suggested in van der Elst et al. (2022) when $b < 1$, where β is the GR parameter. When estimating the b -value with the b -positive method (van der Elst, 2021) for the ETAS $_\alpha^{b+}$ model variant, we obtain $b > 1$. Therefore, following the recommendation by van der Elst et al. (2022), in order to prevent the

"exploding" behavior of aftershock triggering, we fix the productivity term to $\alpha = e^{\ln(10)}$ (equivalent to fixing $a = 1$ in the base-10 formulation).

295 3.2.4 ETAS_{USGS}

The prior models used by the USGS AftershockForecaster software are fitted separately for different tectonic regimes, hence, more than one set of parameters exists. The sequences in the European dataset originate from various tectonic regimes, therefore we use their "global average" set of parameters. These parameters are expressed in ~~the standard ETAS formulation~~, an ETAS formulation we call "standard", as it is more common in literature (Ogata, 1992; Omi et al., 2014; van der Elst et al., 2022), where the temporal decay is given as $(\Delta t + c)^{-p}$, as opposed to the formulation in Eq. (1) used here, where the temporal decay is described by the factor $(\Delta t + c)^{-(1+\omega)} \cdot e^{-\Delta t/\tau}$. The productivity law in the ~~standard formulation~~ "standard" formulation (van der Elst et al., 2022) is expressed as $10^{-\alpha(m_i - m_c)}$, where m_i is the magnitude of the triggering event, and in Eq. (1), it is given as $k_0 e^{a(m_i - m_c)}$, but also influenced by the spatial kernel term $(\Delta x^2 + d e^{\gamma(m - m_c)})^{-(1+\rho)}$. As no spatial parameters are specified in the parameter set given in the AftershockForecaster software documentation, we use the spatial kernel inverted by ETAS₀. Additionally, we use μ and the background locations inferred by ETAS₀ because the USGS models are fitted only to aftershock sequences and do not account for background seismicity. Keeping in mind the different m_c , which in the USGS global average parameter set is 4.5, we translate the parameters into our formulation as in Mizrahi et al. (2023a). Note that our version of the model is a simplification of the actual model employed by the USGS AftershockForecaster software and is not meant to replicate it exactly; the aim of including the model in our study is solely to assess the usefulness of locally calibrated parameters compared to globally calibrated ones.

3.3 Consistency testing

A basic set of tests that one can do to assess the consistency of the models with past data is defined by The Collaboratory for the Study of Earthquake Predictability (CSEP; Savran et al., 2020; Zechar et al., 2010). Passing retrospective number, magnitude, space, and pseudo-likelihood tests would imply that a model forecasts the occurrence of a similar number of similar magnitude events at places where they were observed in the training data.

Based on the background event occurrence and aftershock triggering laws inferred during the inversion of ETAS parameters, we simulate ~~100k-10k~~ synthetic catalogs for the training period (1980–2015), with the first 10 years serving as a burn-in period introduced in Sect. 3.2.1 and the actual period simulated starting in 1990. The simulation procedure has been implemented in Mizrahi et al. (2023b) following the detailed description in Mizrahi et al. (2021b) and accounts for higher-order aftershocks. First, the background events are simulated by drawing their count from a Poisson distribution with the mean corresponding to μ , occurrence time from a uniform distribution, and magnitude from a GR distribution (β estimated from the data). For models with no additional background information given as input, the locations of the background events are drawn from the locations of existing events (with a Gaussian-distributed uncertainty), weighted by their probabilities of being background events. For models with informed background introduced in Sect. 3.2.2, the same background input which is used during inversion is also used as the spatial distribution of simulated background events to ensure long-term consistency with ESHM20 assessments.

Note that in both cases the total number of background events is distributed according to the ETAS inversion output, but their locations are drawn based on background probabilities inferred by ETAS inversion in the first case, and uniformly within each grid cell ($0.1^\circ \text{ lon} \times 0.1^\circ \text{ lat}$) defined by ESHM20 in the latter case.

330 The first generation of aftershocks is simulated by generating aftershocks of all events in the "starting" generation - their number, location, timing and magnitude are determined by the productivity law, spatial decay, temporal decay and GR law, respectively. Further generations of aftershocks are simulated iteratively by simulating aftershocks of all events in all previous generations until the number of events in the new generation becomes zero. Here, the auxiliary "burnburn-in" period (see Sect. 3.2.1) from 1980 to 1990 of the true catalog is used together with a set of simulated background events between 1990 and 2015 as a starting generation of events. For all models, the maximum magnitude during the simulation phase is set to $m_{\max} = 10.0$,
335 which, due to the binning value of $\Delta m = 0.2$ corresponds to $m_{\max} = 10.1$. Magnitudes are simulated based on the GR law with b -value estimated with adjustment for rounded values, and binned to 0.2 to be consistent and comparable to the observed (training) catalog.

The number test (N-test) consists of counting the number of events in each catalog to get an approximation of the distribution of the forecasted number of events, which is then checked against the observed number of events in the true (observed) catalog.
340 The quantile score of the test is computed as the probability of observing the true number of events under the assumption that the number of events follows the distribution approximated by the simulations. This hypothesis is then rejected when the quantile score is below 0.05 or above 0.95 (extreme 10% of the forecasted distribution).

Similarly, the magnitude test (M-test) and the space test (S-test) compare the number of observed and forecasted events taking into account their magnitudes and locations, respectively. In the magnitude test, the distribution of deviations of each simulation's magnitude distribution from a "theoretical" magnitude distribution described by the set of all events across all
345 simulations is compared to the same deviation for the magnitude distribution in the true catalog. This deviation is calculated as the sum of squared logarithmic residuals between the normalized observed magnitudes and the "theoretical" magnitudes' histogram. Both when estimating the b -value and here, because of differences in m_c in space and time, we observe $m - m_c(x, y, t)$ instead of pure magnitudes, as these differences should follow an exponential distribution.

350 In both spatial and pseudo-likelihood (PL-) tests, the property of interest in the simulated catalogs and the true catalog is not their length (as in the N-test) nor a metric describing the deviation of a magnitude distribution from the theoretical one (as in D^* -statistic for the M-test), but pseudo-likelihood computed as the sum of the approximate rate density over all spatial bins. The pseudo-likelihood test combines space-magnitude gridding to obtain an overall comparison of the consistency between forecasted and observed catalogs. Unlike the number test, these tests are defined as one-sided, meaning that the hypothesis that
355 the true magnitude or spatial distribution follows the one in simulations is only rejected when the quantile score is above 0.9 in M-test or below 0.1 in S-test and PL-test.

In addition to performing the N-test, to compare the observed and modelled overall count of events during the training period (1990-2015) in more detail, the same consistency check can be done for smaller subsets of this time interval. Here, we perform this check for the cumulative count of events in increasing time intervals, all starting at the beginning of the training period, by
360 comparing the observed to simulated counts of events between 1990 and every year in the training period. As in the N-test, we

consider the model to be consistent with the observation at any given point if the observed count of events at that point falls in between the 90% confidence interval, bounds of which are estimated by the fifth and ninety-fifth percentiles of the cumulative counts of events in the simulated catalogs.

Due to the varying completeness magnitude, each event is given a weight during the inversion of ETAS parameters correcting for the estimated number of unobserved events at the time and location of that event. The simulated catalogs contain events above m_{ref} , the minimum m_c across the entire catalog, while the true catalog only contains the events above the corresponding $m_c(x, y, t)$. To make the synthetic catalogs comparable with the true catalog, we are cutting off the synthetic catalogs to only contain events above the corresponding $m_c(x, y, t)$ values.

While the same tests can be performed pseudo-prospectively, meaning with test data that the model was not trained on (in our case, that is the data after 2015), to check for consistency with the training data, we focus on performing the tests retrospectively. Apart from providing a sanity check and indicating potential shortcomings of a model, retrospective consistency testing enables evaluating its performance on long-term data, which is not available in the post-training time period (in our case seven years, versus the 35-year-long training period). These tests are performed on each model separately.

3.4 Pseudo-prospective testing

To compare the performance of the models in terms of their forecasting power, we set up a pseudo-prospective forecasting experiment. Each model is used to simulate 100,000 synthetic catalogs for consecutive one-day testing windows in the seven-year-long testing period. The simulations are created similarly to the procedure described in Sect. 3.3, with the starting set of events consisting of the full training catalog and the portion of the testing catalog up to the time window for which the forecast is made. The aftershocks of all these events are then simulated based on the modelled aftershock behavior to create the first generation of aftershocks, and further generations are simulated iteratively until convergence. For each time window, the corresponding simulations are used to find a distribution of the number of events in each spatial bin.

Having estimated the forecasted distribution of the number of events in each spatial bin ($j = 1, \dots, N$) for every time window (indexed with i), the forecast can now be compared to reality by checking the probability of the true number of events in that space-time bin ($n_{i,j}$) given by the estimated distribution. This is done for each spatial bin, and then summed over all spatial bins resulting in the log-likelihood score of a model for a forecasting time horizon given as in Nandan et al. (2019) and Nandan et al. (2022):

$$\mathcal{LL}_{\text{model}}^i = \sum_{j=1}^N \ln(P_{\text{model}}^i(n_{i,j})). \quad (7)$$

Note that when the estimated probability of k events occurring in a spatial bin is zero, this log-likelihood score would not be well defined. For this reason, after simulating the synthetic catalogs and observing the distribution of the number of events in each spatial cell, we slightly alter this distribution by assigning taking a small probability ($\sim 10^{-7}$) to the, called the "water level", and distribution it over the bins (up to a maximum bin n_{max}) with a zero count, adjusting the event counts in all other bins to retain the property that the sum of probabilities of all event counts is 1. If this water level is too high, the originally

simulated distribution will be overwritten by one closer to uniform. Correct high-probability forecasts would thus receive a substantially lower log-likelihood score. On the other hand, if the water level is a very low value, we penalize its usage heavily. Although the score should reflect the fact that the model failed to forecast the observation, a penalty larger by many orders of magnitude than all other log-likelihood score differences would overrule the differences between models in all other observed bins. The range of water level values that allow us to meaningfully distinguish models is chosen using the first two years of the testing dataset as an initial validation set. In our selection, we ensure that the bins that use the water level achieve a score still orders of magnitude lower than that of the benchmark model, which assigns a non-zero probability everywhere.

400 Within this experiment, the spatial bins are set to $0.1^\circ \text{ lon} \times 0.1^\circ \text{ lat}$, the time window to 1 day, and events with magnitudes 4.6 and above are considered, which is the generally valid completeness magnitude in the testing part of the catalog. Since the experiment is pseudo-prospective, the new part of the catalog is available and seven years of data since 2015 can be used for validation and testing, resulting in 2558 testing windows for which each model produces 100k synthetic catalogs.

As mentioned earlier, the baseline against which all model variants are tested is the Poisson background model, for which generating synthetic catalogs is not needed. Within each spatial cell, the number of events is considered to follow a Poisson distribution with mean $\lambda_j = \mu_{j,\text{ESHM}}$, where $\mu_{j,\text{ESHM}}$ is the daily seismicity rate in the j^{th} spatial cell given by ESHM20. The log-likelihood in Eq. (7) becomes

$$\mathcal{L}\mathcal{L}_{\text{ESHM20}}^i = \sum_{j=1}^N \ln(P_{\text{ESHM20}}^i(n_{i,j})) = \sum_{j=1}^N \ln \frac{\lambda_j^{n_j} e^{-\lambda_j}}{n_j!}, \quad \text{for every time window } i. \quad (8)$$

The metric used for comparison of the models is simply the difference between their log-likelihood scores, called the information gain (IG). For each time window, we have a value of IG of one model over another, and while the cumulative information gain is indicative of models' performance through time, we test whether one model outperforms another by testing whether the mean information gain (MIG) between that pair of models is significantly positive using a paired one-sided t-test.

4 Results and Discussion

4.1 Model fit

415 As mentioned in Sect. 3, fitting an ETAS model to the data means finding a unique set of parameters describing the observed aftershock triggering behavior. The set of inverted parameters for each of the described ETAS model variants is given in Table 1. The parameter μ describes the overall rate of background events and it is estimated by counting the total number of background events and normalising it per day and km^2 . The count of background events is obtained by summing the probabilities p_{BG} that are assigned to each event during the parameter inversion, weighted by the estimated ratio of unobserved and observed events ζ introduced in Sect. 3.1 to account for incompleteness.

As the parameters can be grouped into those describing temporal decay, spatial decay and productivity law, the curves of each can be plotted separately as in Fig. 2. These curves represent the modelled aftershock triggering behavior and are compared to the observed aftershock triggering behavior in the true catalog, represented by dots. However, since the true

triggering relationship between events in the true catalog is unknown, for counting aftershocks triggered by an event of a
 425 certain magnitude at a given temporal and spatial distance, we rely on the probabilistic triggering structure inferred during
 the expectation step of the EM algorithm. Therefore, the observed aftershock triggering behavior is, in fact, dependent on the
 inverted triggering parameters.

In Fig. 2(a), the curves show the temporal decay in aftershock behavior described in ETAS as

$$N(\Delta t) = \frac{\exp(-\Delta t/\tau)}{(\Delta t + c)^{(1+\omega)}}, \quad (9)$$

430 and the dots represent the "observed" aftershock behavior by showing counts of pairs of events (i, j) where i triggered j with
 probability p_{ij} and $\Delta t = t_j - t_i$, computed as $\sum_j p_{ij} \cdot \zeta(j)$. In the top row, the different curves represent triggering laws that
 were inferred on different datasets: the European catalog used in the present study, Swiss seismicity (Mizrahi et al., 2023a),
 Californian seismicity (Mizrahi et al., 2021a), and parameters used by the USGS AftershockForecaster software (spatial kernel
 is taken from ETAS₀ as mentioned in 3.2.4); in the bottom row, the different curves represent the laws inferred by different
 435 ETAS variants. In a similar fashion, the spatial decay depicted in Fig. 2(b) shows the number of triggered aftershocks at distance
 Δx described in the ETAS model as

$$N(\Delta x) = ((\Delta x)^2 + d \exp(\gamma(m - m_c))^{-1+\rho}). \quad (10)$$

As there is a dependency in the spatial decay on the magnitude, there is a curve describing this law for each magnitude bin. In
 Fig. 2(b), we show $m = 4.0$. The line in Fig. 2(c) shows the dependency of the number of triggered events on the magnitude
 440 of the triggering event, described in ETAS formulation with the productivity law,

$$N(m) = k_0 \exp(a(m - m_c)). \quad (11)$$

Discussion of the model fit

Comparing multiple models trained on the European dataset based on the ETAS parameters shown in Table 1, we consistently
 observe that the background term μ is higher in models that allow for background term variation during the inversion. This
 445 is in agreement with the idea that using an informed background term μ during inversion allows models to recognize more
 events in active areas as background events, while they would be interpreted as triggered events (triggered by other events
 in the same active area) without the added background information ([Nandan et al., 2021](#)). That more events are interpreted
 as background events rather than aftershocks also manifests in the fact that informed-background model variants have lower
 overall productivity. This is seen in the branching ratio η , which reflects the average number of aftershocks per triggering event,
 450 being lower for the background-informed models when compared to their constant-background counterparts. Furthermore, the
 lines in Fig. 2(c), second row are almost parallel in between informed and non-informed background versions of the same
 model variants, but the line describing the informed background variant is always below the line for the corresponding model
 with no informed background.

Table 1. Inverted ETAS parameters for each of the eight ETAS variants described in Sect. 3. Additional parameters include the b -value, productivity term $\alpha = a - \rho\gamma$ and the branching ratio η .

Model	ETAS ₀	ETAS _{α}	ETAS _{bg}	ETAS _{$\alpha, \text{bg}, \alpha$}	ETAS _{0^{b+}}	ETAS _{α^{b+}}	ETAS _{bg^{b+}}	ETAS _{$\alpha, \text{bg}, \alpha$^{b+}}	ETA
$\log_{10} \mu$ $\log_{10} \mu^a$	-7.94	-8.08	-7.24 <u>-7.24</u>	-7.22 <u>-7.22</u>	-7.75	-7.98 <u>-7.91</u>	-7.05 <u>-7.05</u>	-7.01 <u>-7.04</u>	-7
$\log_{10} k_0$	-1.63	-2.51	-1.40	-2.07	-1.63	-2.96 <u>-2.50</u>	-1.39	-2.34 <u>-1.98</u>	-2
a	1.59	3.11	1.79	3.27	1.68	3.70 <u>3.17</u>	2.05	3.93 <u>3.39</u>	2.
$\log_{10} c$	-2.65	-3.01	-2.37	-2.43	-2.58	-3.22 <u>-2.90</u>	-2.27	-2.39 <u>-2.32</u>	-2
ω	-0.11	-0.15	-0.04	-0.05	-0.10	-0.18 <u>-0.14</u>	-0.02	-0.04 <u>-0.03</u>	-0
$\log_{10} \tau$	3.66	3.9	3.44	3.78	3.67	3.98 <u>3.91</u>	3.46	3.89 <u>3.80</u>	12
$\log_{10} d$	0.92	0.54	0.90	0.69	0.90	0.30 <u>0.53</u>	0.86	0.60 <u>0.70</u>	0.
ρ	0.61	0.55	0.81	0.82	0.64	0.54 <u>0.57</u>	0.87	0.90 <u>0.89</u>	0.
γ	0.92	1.52	0.88	1.20	0.94	1.81 <u>1.51</u>	0.96	1.35 <u>1.22</u>	0.
b	0.99	0.99	0.99	0.99	1.23	1.23	1.23	1.23	.
α	1.03	2.28	1.08	2.28	1.08	2.72 <u>2.30</u>	1.21	2.72 <u>2.30</u>	2.
η	1.00	4.46	0.75	3.03	0.83	1.78 <u>0.97</u>	0.60	1.12 <u>0.65</u>	4.

^a Spatially varying, showing the approximated average (ℓ in Eq. (5)).

While the background-informed model variants have overall lower productivity than their equivalents with no background information used during the inversion, another, more obvious, difference in the productivity law is seen between the corresponding models with and without fixed α term. Fixing this parameter to the GR β value directly affects the productivity law plot as the slope of the lines is exactly α , resulting in a steeper slope which indicates relatively higher productivity assigned to high-magnitude triggering events compared to low-magnitude triggering events. Fixing α also drastically increases the branching ratio η . In non-informed background model variants, this increase in productivity is counterbalanced with a lower background rate. Interestingly, the informed background model ~~variants show variant with the "standard" b -value estimate shows~~ increased productivity and increased background rate when α is fixed. ~~Unlike for ETAS _{α} and ETAS_{bg, α} , in models with fixed α and b -positive estimate of the b -value, the branching ratio remains lower than 1 due to α being fixed to $\ln(10)$ instead of β (van der Elst et al., 2022), since $b > 1$.~~

Furthermore, model variants relying on the b -positive estimate of the b -value consistently display a higher background seismicity level and lower overall productivity, but higher productivity term α than their counterparts using the traditional b -value estimator. All these differences must be due to what the model infers about unobserved events below $m_c(x, y, t)$ from the observed ones by applying the GR law. A higher b -value leads to a larger number of expected unobserved aftershocks of observed events. Thus, when $m_c(x, y, t) > m_{\text{ref}}$, the observed large-magnitude events' productivity is inflated, while smaller-magnitude events' productivity is not (or less) inflated, explaining the larger α for b -positive variants. The higher background rate and lower branching ratio of b -positive variants suggest that the parts of the catalog that are less complete, hence more

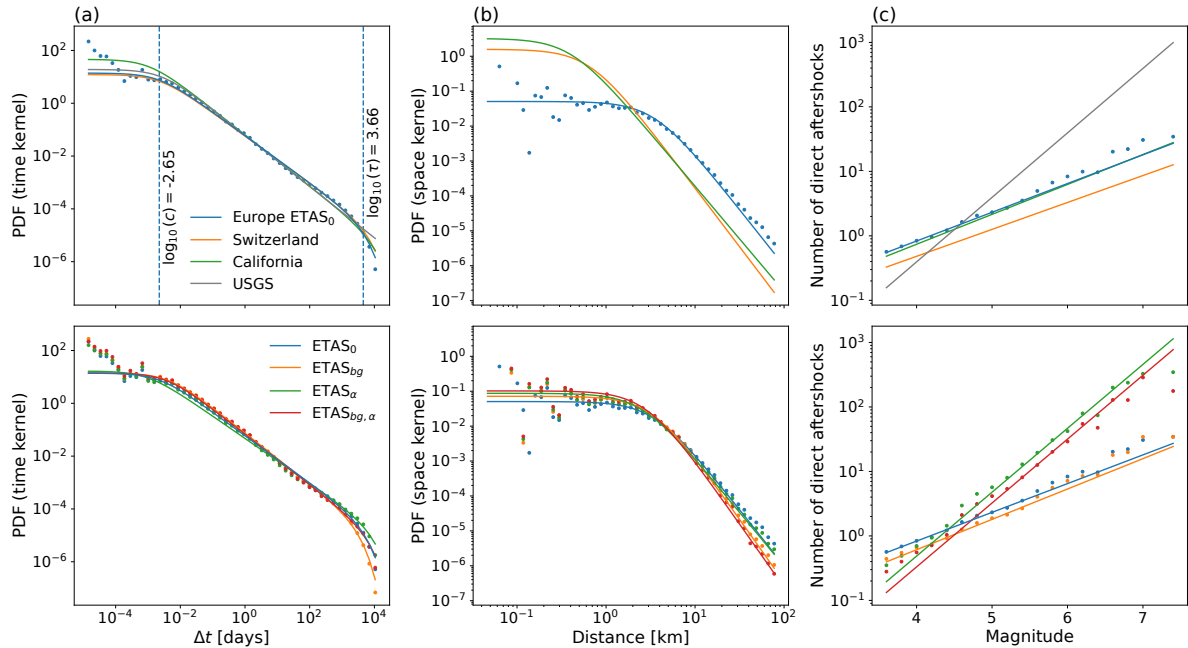


Figure 2. Plots of the model fit. In the first row, triggering laws inferred with model $ETAS_0$ are shown, including lines representing models for other areas for comparison. In the second row, modifications introduced in Sect. 3.2 are compared. (a) Temporal decay. (b) Spatial decay. Due to the dependency of the spatial decay law on the magnitude of the triggering event, there is a curve describing this law for every magnitude, here $m = 4.0$. (c) Productivity law.

inflated when the b -value is high, exhibit this behavior. To decide whether this is caused by differently behaving seismicity in certain areas or time periods, or whether this indicates that seismicity behaves differently for larger magnitude events compared to smaller ones, further research is required.

Apart from differences among models in interpreting events as background or triggered, a trend in some of the other parameters can also be observed. In the spatial distribution of aftershocks, models with fixed productivity tend to have higher γ , but lower d values, interpreting more of the events at smaller distances as aftershocks of low-magnitude events and more of events further away as aftershocks of large-magnitude events. For the temporal distribution of aftershocks, the higher ω in background-informed models implies a slower decay of the number of aftershocks, whereas the fixed productivity variants have lower ω values, resulting in a faster decay. However, τ is larger for fixed productivity variants and lower for background-informed variants, meaning that the tapering of the distribution will occur later in the former case (after about $10^{3.66}$ days, which corresponds to approximately 12.5 years) and sooner in the latter ($10^{3.44}$ days or around 7.5 years).

When comparing the laws described by ETAS models' parameters, we observe differences not only among model variants introduced in our study but also among models calibrated on distinct datasets. While the time kernels appear quite similar across models for different regions, including multiple "European" models, a notable disparity arises in the spatial kernel when

485 comparing the European models to those trained for Switzerland (Mizrahi et al., 2023a) or California (Mizrahi et al., 2021a),
as can be seen in Fig. 2(b), first row. Specifically, we note a lower frequency of observed aftershocks at shorter distances
from the triggering event, with a subsequent decay starting at slightly greater distances. Since we observe this difference when
comparing to models calibrated on other datasets, but not when comparing multiple European models, one possible explanation
490 term incompleteness (close in time and space to triggering events) in some areas in this highly heterogeneous catalog. This
idea is supported by the fact that the difference between spatial kernels diminishes with an increase in the magnitude of the
triggering event.

Additionally, the comparison between the ETAS variants fitted to the European dataset and the adjusted ETAS parame-
ters used by the USGS AftershockForecaster software reveals that the productivity law is more similar to those inferred by
495 ETAS variants with fixed α , which is expected given that α is fixed to 1 (log-base 10, in our formulation this corresponds to
 $\alpha = e\alpha = \ln 10$) in all sets of parameters used by USGS models. Another difference is in the temporal kernel, which in the case
of ETAS_{USGS} does not have a tapered exponential form. This results in relatively more aftershocks forecasted in periods long
after the triggering event; in Table 1, all models have a τ value between 10^3 and 10^4 , whereas in ETAS_{USGS}, it is set to 10^{12} ,
corresponding to a period of 5 billion years (effectively, this means there is no taper).

500 4.2 Results of consistency tests

To visualize the output of CSEP consistency tests, the PyCSEP implementation Savran et al. (2022) provides the option to
display the modelled behavior of the events as a histogram created based on the set of a large number of synthetic catalogs
given as the output of a model (catalog-based forecast), and compare it to the true value in the observed catalog represented by
a dashed vertical line.

505 In our case, the distribution of the number of events is approximated by counting the lengths of all simulated catalogs and
the vertical line is the size of the catalog which was used in training for the inversion of ETAS parameters. Figure 3(a) shows
the visualization of the N-test for ETAS₀, the histogram describing the model's distribution of the number of events, whereas
the vertical line represents the number of events in the true catalog.

The quantile scores for all tests and all model variants ~~that do not fix α~~ except for ETAS _{α} and ETAS _{α .bg} are shown in Fig.
510 3(b) and represent the position of the dashed line showing the observed property with regards to its forecasted value shown
by the histogram (see Fig. 3(a)). Quantile scores between 0.05 and 0.95 indicate that the N-test is passed, while values below
0.05 or above 0.95 indicate that a model has failed the test. In case of S-test and PL-test, the tests are one-sided, therefore,
the models with quantile scores above 0.1 pass the tests. M-test is also one-sided, but defined so that the models with quantile
scores below 0.9 pass the test.

515 In Figure 4, forecasted and observed cumulative counts through time are compared for all ETAS models inverted on the
European dataset that produced converging retrospective simulations. At points in time where the true (observed) event count
is within the blue shaded area, we consider the forecast to be consistent with the observation. The comparison between the

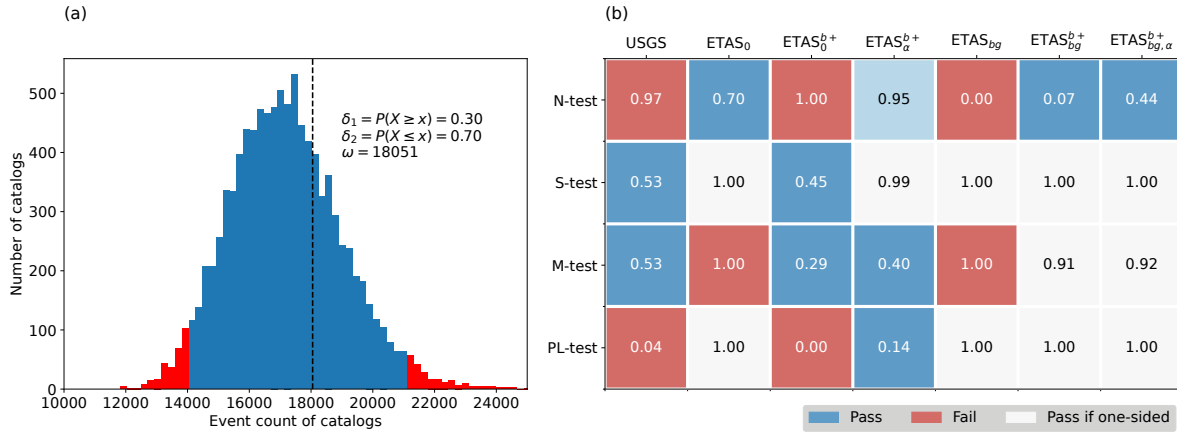


Figure 3. (a) The number test (N-test) for ETAS₀: histogram represents the modelled distribution of the number of events in the training period approximated by the counts of events in 10k simulated catalogs with completeness levels as in the training catalog. Dashed vertical line indicates the event count observed in the training catalog. (b) The table contains Table containing the quantile score of the models introduced in Sect. 3 for each of the consistency tests. Red color indicates failure (extreme 10% quantiles) - for two-sided tests (N-test), these are the lowest and highest 5% quantile scores, for one-sided tests it is either scores above 0.9 (highest 10%; M-test) or below (lowest 10%; S-test, PL-test). Blue color indicates that the model passes the test, cells that are grey are ones where the test is not failed, but due to the extreme quantile should be further investigated.

observed count curve and the shaded area at the rightmost time point in every subplot corresponds with the N-test, the result of which is shown in Figure 3.

520 Apart from the event count analysis in time shown in Figure 4, the spatial distribution of the retrospective forecasts is visualised in Figure 5 for models ETAS₀ and ETAS_{bg} (upper row), and compared with the observed spatial distribution of the events in the training catalog during the same period (lower row). The color of each spatial cell on the maps corresponds to the mean number of events in that cell over 100k simulations. The number of events is counted during the full 25-year-long training period, and only events above $m_c(x, y, t)$ are considered.

525 Discussion of the consistency test results

Due to the large branching ratio $\eta > 1$ in all-ETAS variants with fixed-productivity term α fixed to β , the number of events quickly explodes when simulating over a long-term period, as, on average, every event in the synthetic catalog will produce more than one aftershock in each generation of the simulation process. Therefore, the procedure does not converge and we consider the models ETAS_α, ETAS_α^{b+}, ETAS_{bg,α} and ETAS_{bg,α}^{b+} to be failing the retrospective consistency tests.

530 This suggests that our approach of fixing the productivity parameter α to β during the inversion process is not suited to produce models that are consistent with reality in the long term, unless $b > 1$ ($\beta > \ln(10)$), in which case we fix α to $\ln(10) < \beta$, keeping the branching ratio below 1 even though α is fixed to a higher value than the one inverted without constraint. Still,

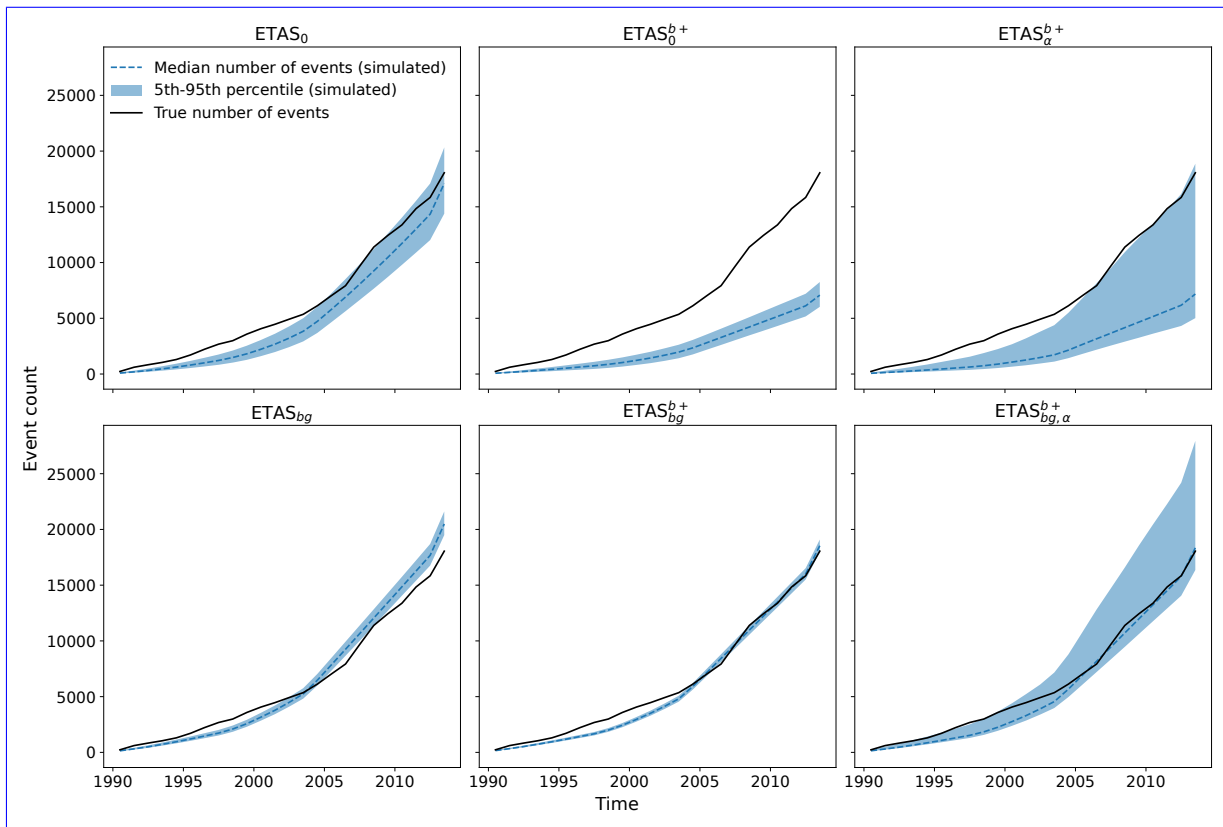


Figure 4. Cumulative count of events (median with 90% confidence interval) simulated by each "European" model, compared to the observation. $ETAS_{\alpha}$ and $ETAS_{bg,\alpha}$ which fail to produce converging retrospective simulations, are excluded. $ETAS_{USGS}$ is shown in Figure S3.

with models $ETAS_{\alpha}^{b+}$ and $ETAS_{bg,\alpha}^{b+}$, while the median number of events simulated is similar as with $ETAS_{\alpha}$ and $ETAS_{bg,\alpha}$ respectively, some simulations contain more explosive sequences, resulting in a higher uncertainty in the modelled number of events (Figure 4, second and third columns). To avoid an underestimation of the productivity of large events without overestimating the overall productivity, differently parameterized productivity laws could be considered in the future. For instance, the logarithm of the aftershock productivity might be better described as increasing quadratically rather than linearly with the magnitude of the triggering event.

Furthermore, a branching ratio larger than one might be present during an ongoing sequence, but is not sustainable over a longer term. Thus, considering sequence-specific parameters or distinct productivity for mainshocks and non-mainshocks, as done in the ETAS model employed by the USGS (van der Elst et al., 2022), would be promising aspects to explore in the future. In $ETAS_{USGS}$, a high branching ratio is also observed that could result in such exploding behavior during synthetic catalogs' simulation. However, due to the untapered temporal kernel that forecasts a relatively higher, when compared to the tapered ones, number of aftershocks in periods even after $\tau \approx 10^3$ days, many of the simulated aftershocks will be assigned a timing

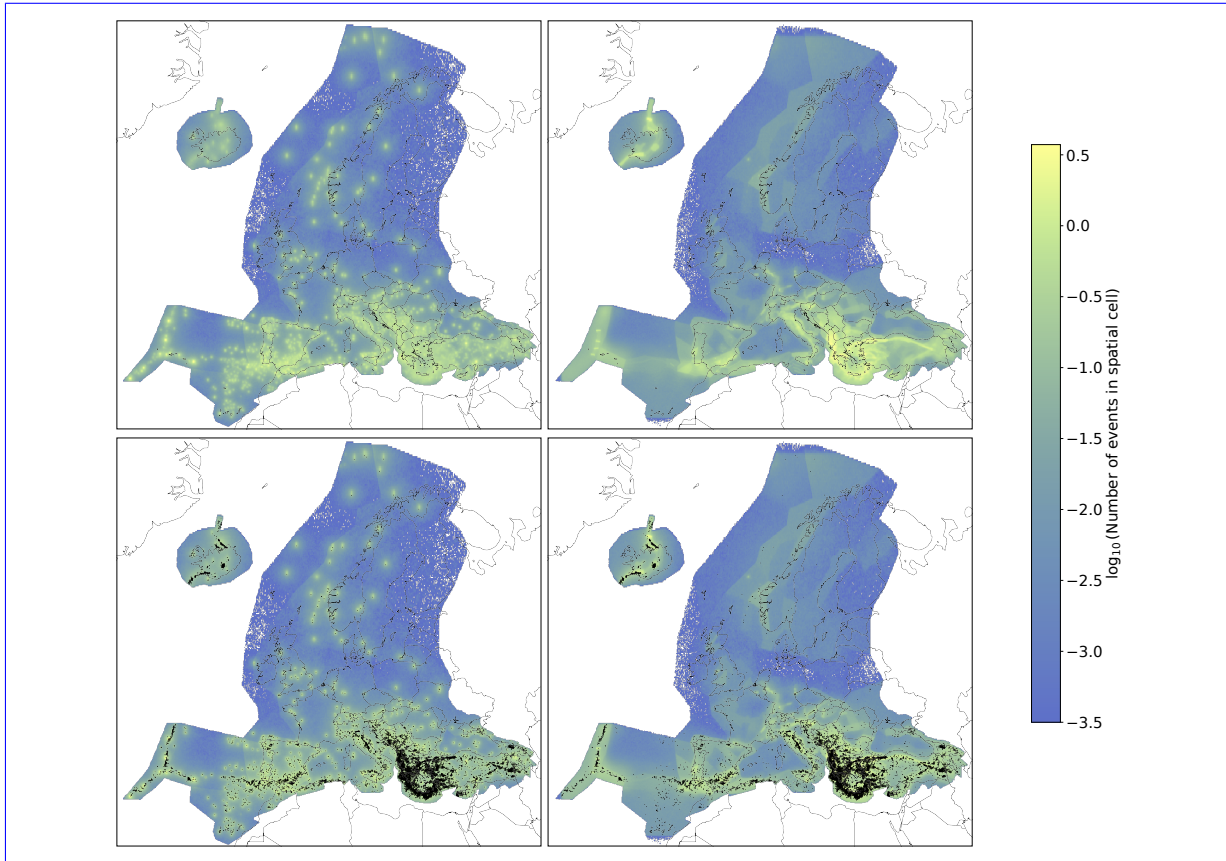


Figure 5. Comparison of the spatial distribution of events retrospectively forecasted by $ETAS_0$ (left) and $ETAS_{bg}$ (right) for the training period (1980-2015), color indicates the number of events in every spatial bin above the corresponding $m_c(x, y, t)$. In the bottom row, observed events are added to both maps. Note that events above $m_c(x, y, t)$ are shown both for the forecasts and observations.

545 outside of our period of interest, effectively resulting in a much smaller branching ratio. This effect is so significant that these simulations do not only converge, but significantly underestimate the number of events. [Mancini and Marzocchi \(2023\)](#) also successfully fit an ETAS model with the productivity term fixed to β , but with no taper in the temporal kernel, resulting in a lower effective branching ratio than the one present in $ETAS_\alpha$ and $ETAS_{bg,\alpha}$.

In synthetic catalogs simulated based on the model $ETAS_0$, around 30% of the simulations have a higher event count than the observed value, and therefore the N-test is passed. However, the distance between the true observed magnitude distribution from the theoretical distribution estimated jointly from all the simulations is significantly higher than the distance between the observed magnitude distribution in each simulation and the theoretical distribution. The disagreement between the modeled and true magnitude distribution could be the result of either the true distribution not following a GR law with a single b -value, but rather a mixed distribution with b -values varying in time-space and/or spacetime, or a bias caused by incompleteness potentially still present in the catalog. The quantile of the observed likelihood computed in spatial and

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pseudo-likelihood consistency tests is in the upper tail of the distribution of likelihoods of the synthetic catalogs. This indicates that the forecasts are describing the observed data 'suspiciously well', hence the result does not imply failure but requires further testing (Schorlemmer et al., 2007). same inconsistency in magnitude distributions can be observed in ETAS_{bg}, which applies the same b -value of around 0.99, but also fails the number test by significantly overestimating the event count mostly due to the relatively low uncertainty.

The magnitude distribution seems somewhat better in the ETAS variant that relies variants that rely on the b -positive method for b -value estimation, ETAS₀ ^{$b+$} , which passes both which all pass the M-test and S-test —however. This is consistent with a visual estimation that b -positive ($b = 1.23$ in Fig. 1(d)) estimate fits the data better. However, due to a significant underestimation of the event count, ETAS₀ ^{$b+$} fails the N-test, and ETAS _{α} ^{$b+$} is on the significance threshold between passing and failing. On the other hand, ETAS_{bg} ^{$b+$} , which uses the same seismicity rate map for placing the simulated background events and and ETAS_{bg, α} ^{$b+$} pass all the consistency tests, but with a much higher quantile in the M-test, implying a greater distance between the observed and forecasted magnitude distributions while using the same b -value estimate, fails the M-test as ETAS₀ ^{$b+$} and ETAS _{α} ^{$b+$} . This is most likely due to a known issue the dependence of the test being dependent on the event count in simulated catalogs, which is somewhat, although not significantly, overestimated by ETAS which is, as mentioned earlier, underestimated by ETAS_{bg0} ^{$b+$} , and is significantly overestimated by ETAS and ETAS_{bg}. This flaw of the considered tests is α ^{$b+$} .

This correlation between the N- and M- test is being analyzed in more detail and avoided by modifying the M-test in Serafini et al. (2024), and is here further highlighted in the fact that ETAS_{USGS}, in contrast to ETAS₀ and ETAS_{bg}, passes the M-test, despite all models using very similar b -values of 1 and S-test, despite the two models using 0.99. Similar correlation is also present in the S-test, evidenced by the fact that ETAS_{USGS} and ETAS₀, which use identical spatial distribution of background events and aftershocks, and very similar b -values of 1 and 0.99, achieve significantly different scores. Except for models that significantly underestimate the event count (ETAS_{USGS} and ETAS₀ ^{$b+$}) and therefore do not have reliable S-test scores, the quantile of the observed likelihood computed in spatial consistency tests is in the upper tail of the distribution of likelihoods of the synthetic catalogs. This indicates that the forecasts are describing the observed data 'suspiciously well', which does not necessarily imply failure of the test but suggests that the model requires further testing (Schorlemmer et al., 2007) due to being too smooth, i.e. the events occur too close to likelihood peaks without the expected scatter. Similar behavior is observed in pseudo-likelihood consistency tests as well. These findings suggest that the results of the M-, S-, and PL-tests should be interpreted with caution, and models should not be hastily rejected or accepted based solely on their performance in these specific tests when their N-test is failed.

Further insight into the modelled and observed event count consistency is provided by their cumulative comparison through time shown in Figure 4. In general, event counts begin to be more consistent starting with the year 2005, which coincides with the latest change of completeness magnitude in some of the regions (see Figure S1). In more recent periods, when the differences in completeness levels between regions in the catalog is smaller, all models display consistency corresponding to their final N-test result: ETAS₀, ETAS_{bg} ^{$b+$} and ETAS_{bg, α} ^{$b+$} forecast the event count consistent with the observation, ETAS₀ ^{$b+$} heavily underestimates the event count, and ETAS _{α} ^{$b+$} is on the significance threshold of underestimating it, with the median

590 forecasted count being as low as for ETAS₀^{b+}. ETAS_{bg} is the only model overestimating the event count, and it does so in every time period starting from 2005, but also demonstrates a lower uncertainty range.

Overall, we can conclude that ETAS models with fixed α not using the b -positive method to estimate the b -value clearly fail the long-term consistency tests. Among the remaining models, ETAS₀ all models but ETAS₀^{b+} and ETAS_{bg}^{b+} pass the N-test, which is often considered the most crucial of consistency tests. ETAS_{bg}, along with ETAS₀ which use the same b -value, fail
595 the magnitude test, while the models which use the b -positive estimator pass it. The S-test scores do not provide a conclusive comparison between models, but a visual inspection of retrospective forecasts they produce shown in Figure 5 suggests that background-informed models are less prone to overfitting the spatial distribution to existing events in the training catalog. The spatial distribution of ETAS₀ replicates the existing catalog because background events are simulated at a higher rate in areas where a higher background probability was inverted during fitting of ETAS parameters. Thus, we can consider ~~these two~~
600 variants only variants applying the b -positive estimate and using ESHM20 background seismicity levels as adequate choices for a first harmonized ETAS model for Europe. The other consistency tests provide additional information about the potential limitations of these models, which shall be addressed in future efforts to improve the models, most important of which is the potentially oversimplified magnitude distribution applying a single b -value.

4.3 Results of pseudo-prospective tests

605 To compare the pseudo-prospective performance of one-day forecasts issued by the models, we visualize their cumulative information gain in Fig. 6(a). As introduced in Sect. 3.4, the log-likelihood score of a model is the logarithm of likelihoods summed over space, and therefore always negative. The information gain is the difference between the log-likelihood scores of two models and is positive when the first model assigned a higher probability to the actual occurrence than the second model. The reference log-likelihood score to which we compare others in Fig. 6(a) is the one of the Poissonian time-independent base
610 model. To determine whether one of the models is significantly outperforming another one, we apply the paired one-sided t-test to the information gain values of the individual forecasting periods. In this way, we decide for each model pair whether the mean information gain (MIG) between the two models over all testing periods ~~(shown in Fig. 6(b))~~ can be considered significantly positive ~~or negative (significance shown in Fig. 6(e))~~ Fig. 6(d), color indicates the MIG and significance in outperformance is indicated by a dot, similarly to the way pairwise information gain is shown in Iturrieta et al. (2024)). The information gain is
615 also shown when computed over the entire region of interest as just one spatial bin in Figure 6(b) with the significance matrix shown in (e).

The most prominent observation is the positive mean information gain of all ETAS models when compared to the time-independent Poissonian model with ESHM20-informed background. ~~AH, p -values below 0.05 showing that for all models except ETAS_{bg, α} and ETAS_{USGS} achieve a significance level below 0.05~~ this positive score is significant. The three best per-
620 forming models are ETAS₀, ETAS_{bg}^{b+} and ETAS₀^{b+}, which all significantly outperform all variants with fixed productivity term ~~and also show positive mean information gain to ETAS_{bg}^{b+}, without and ETAS_{bg},~~ this result being significant almost always significant, or near the critical p -value according to the t-test. Another major result is that all ETAS-based models

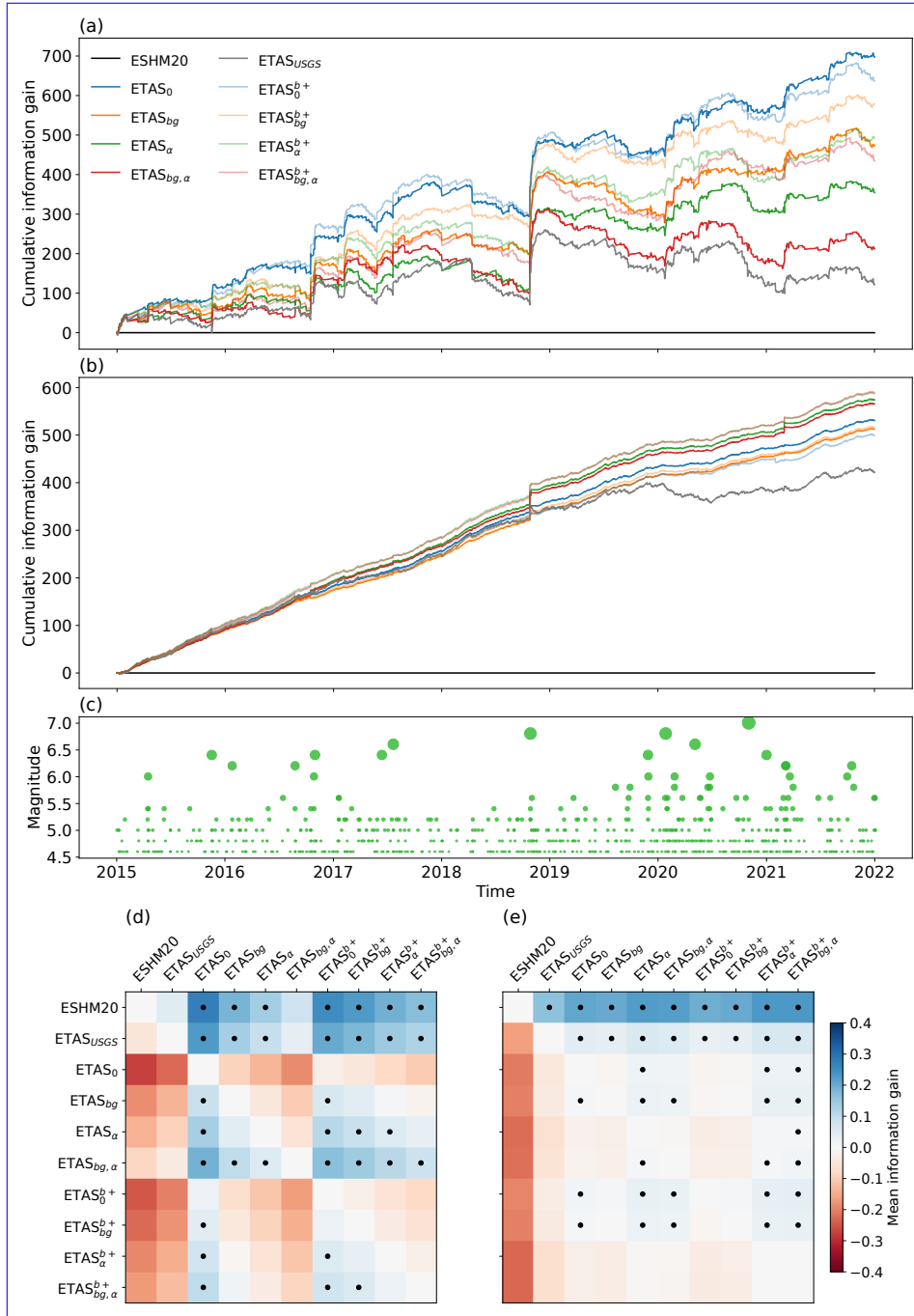


Figure 6. (a-b) Cumulative information gain through time, for consecutive non-overlapping 1-day windows over 7 years in the **validation testing** catalog. All models **mentioned in Sect. 3** are compared to the Poissonian time-independent model, which acts as the null model. In (a), **spatial binning of $0.1^\circ \text{ lat} \times 0.1^\circ \text{ lon}$ is applied, no binning in (b).** (c) **Seismicity in the testing catalog, magnitudes through time.** (d-e) Matrix of mean information gain of each model compared to all other models. Information gain in position (i, j) compares the score of the model in column j to the model in row i . (e) **Matrix of p -values from Significant outperformance determined by** paired one sided t-tests for each pair of models **is indicated by a dot.**

significantly outperform the time-independent model when no spatial binning is used, with variants fixing the productivity term α achieving the best score.

625 To analyze the performance of the models more thoroughly, we observe the spatial component of their log-likelihood scores by visualizing the total information gain over time for each spatial cell separately. The maps in Fig. 7(a–b) show the information gain between $ETAS_0$ and the time-independent model and between $ETAS_{bg,\alpha}^{b+}$ and $ETAS_0$, respectively. The spatial cells are joined into larger ones for better readability of the map. The more active areas show more pronounced total IG values, while no distinct spatial trends can be observed.

630 **Discussion of the pseudo-prospective test results**

That ETAS models outperform the time-independent model in one-day forecasting experiments is a result that ~~could be expected, since~~ highlights the core strength of ETAS models, which is to model the short-term clustering behavior of earthquakes. ~~The poor performance of the model variants with fixed productivity when compared to other ETAS variants could be explained by their tendency to overestimate the number of events, observed already during retrospective testing, when simulating over a longer period resulted in non-converging numbers of events. That the remaining model variants do not significantly outperform each other suggests~~ These models show a substantial improvement in predictive skill over the time-independent model, and this predictive skill shows up during periods of clustering (as can be seen when comparing Fig. 6(a) and (c)). Although $ETAS_0$ and $ETAS_0^{b+}$ have highest MIG values, due to observations made in the analysis of retrospective tests, preference should be given to models applying the ESHM20-informed-background rate map, and models using the b -positive estimate. Both $ETAS_{bg}^{b+}$ and $ETAS_{bg,\alpha}^{b+}$ satisfy these constraints, while also scoring well in the pseudo-prospective experiment, suggesting that they can ~~all~~ be considered adequate choices for short-term earthquake forecasting. This is further supported by the result that no spatial trend in model performance can be identified, and thus the models do not seem to be overfitting certain particular subregions. $ETAS_{bg,\alpha}^{b+}$ also has the highest MIG score in the pseudo-prospective experiment with no spatial binning, making it a potential winner model, provided its spatial component is further analyzed and improved, with accordance to conclusions following below.

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As mentioned in Sect. 3.4, in order to compute a log-likelihood score of a model for a day and spatial bin where none of its simulations placed events, a "water-level" probability is distributed evenly over such bins. The information gain plot corresponding to the one shown in Figure 6(a) for different water levels is given in Figure S4. Our sensitivity analysis shows that the selection of this parameter influences the significance of the performance difference between the time-independent models and the time-independent benchmark (which never uses the water level), but the order between ETAS variants is barely affected. While this dependency on a subjective choice is an undesired effect, the ability of a model to outperform the time-independent model for a range of water levels is not an artifact of the parameter, because it was ensured that the information gain between any ETAS variant and the time-independent model is negative whenever the water level is used. To replace the water level with an actual model output, one should perform a larger number of simulations, posing a significant computational challenge.

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655 Other possibilities could be investigated in the future, with a promising strategy of replacing simulations recently proposed by Mizrahi and Jozinović (2024).

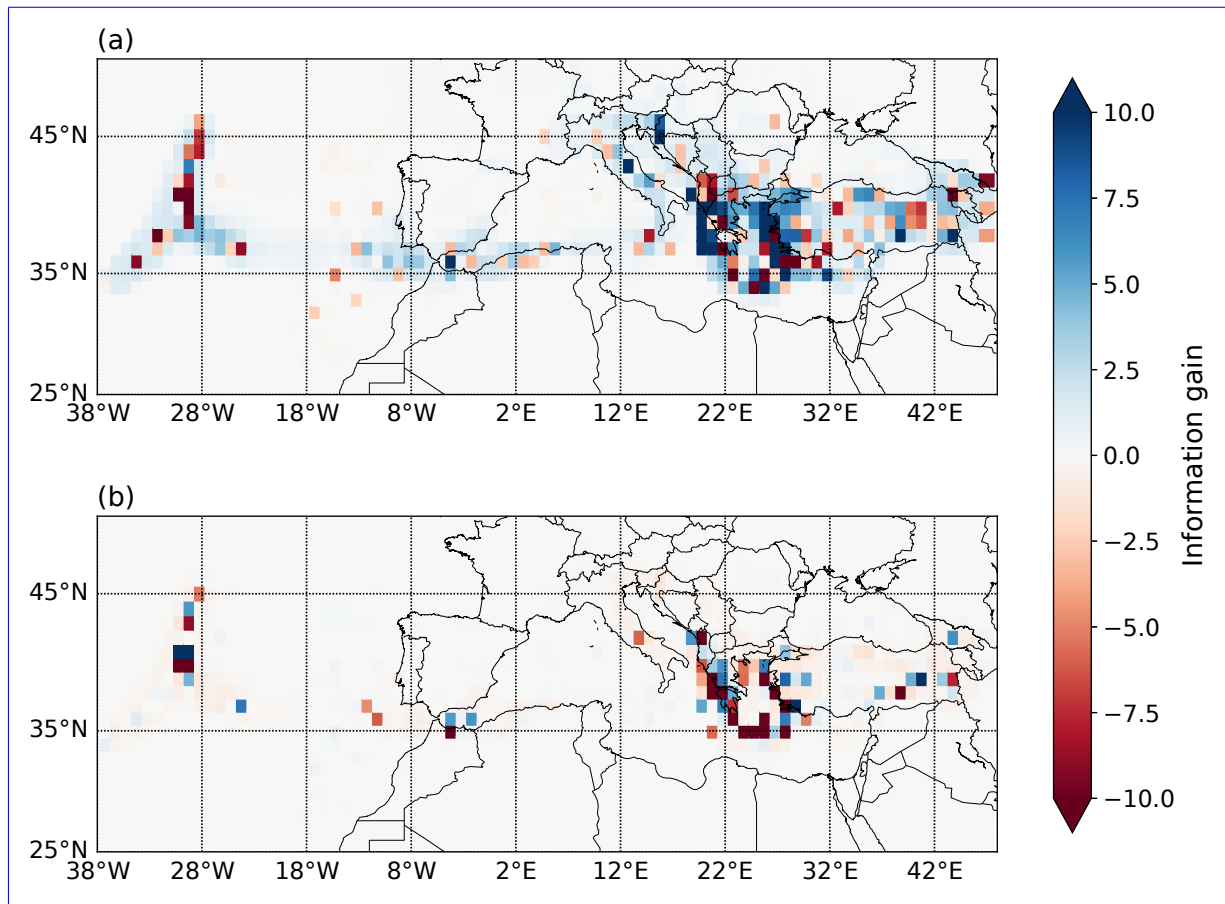


Figure 7. Total information gain per $1^\circ \text{lon} \times 1^\circ \text{lat}$ spatial cell. Models compared: (a) ETAS₀ and time-independent Poissonian model. (b) ETAS_{bg, α^{b+}} and ETAS₀.

660 Another way to avoid using the water level is to observe spatial bins large enough to contain a meaningful forecast even in the 1-day window we are observing, to the expense of evaluating the spatial distribution at a high resolution. An extreme example is shown in Figure 6(b), where the information gain is computed solely based on the event count, treating entire Europe as a single spatial bin. Since there is no spatial binning, water level is seldom used, and the information gain to the time-independent benchmark is more significantly positive than before. However, in this case, the order of ETAS models is highly affected, with variants applying a higher α achieving best scores, suggesting that they are forecasting the number of events better, but placing them wrongly and thus being outperformed in the space-sensitive testing. Since the spatial distribution of background events does not differ between the two model groups (inverted α versus fixed higher α), the performance difference must arise from the location of aftershocks. The use of isotropic kernels for the spatial distribution of aftershocks may lead variants with fixed α to place a higher number of aftershocks in circles with larger radius, in most of which nothing is observed. In the future, more

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complex models should be explored that place aftershocks in a more elliptical shape instead of a circular one, or along known fault planes.

5 Conclusions

670 In this paper, we calibrated and evaluated multiple variants of ETAS models to the highly heterogeneous dataset which summarizes the recorded seismicity in Europe over the 35-year-long period between 1980 and 2015. The main result of seven years of pseudo-prospective one-day forecasting experiments is that ETAS-based models provide a significant information gain when compared to the time-independent benchmark model that underlies the ESHM 2020 hazard model. Additionally, the best-performing ETAS-based models inverted on the European dataset outperform the time-dependent ETAS
675 model using globally calibrated parameters. Besides a basic ETAS variant, we propose several modifications that, during model calibration, allow the use of additional spatial information or fixing the productivity term of the model formulation. We found that fixing the productivity term to a higher value, which is suggested in the literature to overcome the underestimation of productivity of high-magnitude events, ~~results can result~~ in a highly overestimated branching behavior of events ~~and an overall poor performance of a model that applies it. In~~ when the b -value is relatively low. However,
680 for short-term forecasts compared solely on the event count, these models achieve the best performance. Therefore, in future studies, other techniques to address the ~~underestimation of the estimation of the aftershock~~ productivity of large events should be further explored, such as accounting for known relationships between tectonic setting and aftershock productivity (Dascher-Cousineau et al., 2020; Page et al., 2016; Davis and Frohlich, 1991; Marsan and Helmstetter, 2017), fitting and applying
sequence-specific aftershock productivity parameters (as in van der Elst et al. (2022)), or combining it with more precise
685 aftershock spatial distribution modelling (Field et al., 2017; Reverso et al., 2018). For ETAS variants that leverage information about the spatially varying background rate already during the inversion, we found that the inferred parameters differ from those inferred using the basic approach, resulting in more events being interpreted as background events, and fewer as aftershocks. The background-informed ETAS ~~variant achieves the highest mean information gain against the time-independent benchmark, though the performance difference to the second-best model is not significant. However, its~~ variants do not outperform their
690 counterparts with non-informed background rates in pseudo-prospective testing, but in retrospective testing demonstrate better behavior in visual inspection of the spatial distribution of events, and achieve best scores if combined with the b -positive estimate of the b -value. The background component contains additional information about long-term seismicity patterns, seismotectonic properties of the area, and seismicity in areas not represented in either the training or testing parts of the catalog. For this reason, what could be the main strength of this method is hidden by the very limited size and time period of available
695 testing data.

Retrospective long-term consistency tests provide an additional characterization of the strengths and weaknesses of each model variant, highlighting that ~~two some~~ of the proposed model variants adequately capture the number of events over longer time periods. The magnitude consistency tests conducted for models using both the traditional maximum likelihood estimator of the b -value as well as the b -positive estimator favor the latter approach, but highlight potential areas of improvement of the

700 proposed models. The simplification of using a single b -value to describe the magnitude distribution of all of Europe, as well as the assessment of the space-time variation of the catalog completeness provided through ESHM, may need to be revisited. Aside from the potential improvements of the issued forecasts by revisiting the completeness assessment, b -value variations, and strategies for sequence-specific model updating, our proposed models could be improved by adding further complexity, such as considering an anisotropic spatial kernel of aftershock behavior [and utilizing information such as earthquake focal mechanisms or finite fault rupture models \(Böse et al., 2023\)](#).

705 In the process of real-time dissemination of earthquake forecasts, developing the [model behind it underlying model](#) is only the first step. The forecasts produced as the output of the introduced models are yet to be tested in a truly prospective manner. Recent CSEP efforts to establish a standardized open experiment format and the corresponding software support in performing such tests have resulted in the formation of the Floating [Experiment Experiments \(Iturrieta et al., 2023\)](#), providing a suitable environment for future evaluation of the properties of proposed models.

710 Another challenge in delivering earthquake forecasts operationally is the fashion of doing so: visualisation and communication of models' outputs are a topic of ongoing discussion among seismologists and communication experts ([Field et al., 2016; Becker et al., 2016; Becker et al., 2018; Savadori et al., 2022; Schneider et al., 2023](#)). Both the layout and content of the final products depend on the use case in terms of the areas for which they are developed and the end users they serve, ranging from the wider public to civil protection services to insurance companies. The main authority to communicate earthquake forecasts and act on them remains on local agencies and experts with knowledge specific to their area of interest. The role of the pan-European models presented here is to provide a harmonized "global" alternative less limited by administrative borders and information in areas where it would otherwise not be available.

720 *Code and data availability.* The training catalog with completeness assessments per tectozone and rate maps used as input here were produced by ESHM20 Danciu et al. (2021) and are accessible here. The continuation of the catalog used for testing is available here (Lammers et al., 2023). The ETAS inversion and simulation code used to train the models and generate the forecasts was developed for Mizrahi et al. (2021b), and is available at the Zenodo repository at <https://doi.org/10.5281/zenodo.7584575> (Mizrahi et al., 2023b).

725 *Author contributions.* Conceptualization and Investigation: MH, LM, SW; Formal analysis, Methodology and Visualisation: MH, LM; Funding acquisition, Project Administration and Resources: SW; Software: LM, MH; Supervision: LM, SW; Writing - original draft preparation: MH, LM; Writing - editing and review: all authors.

Competing interests. The authors declare that they have no conflict of interest.

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