

1 **Results from a Multi-Laboratory Ocean Metaproteomic Intercomparison:**
2 **Effects of LC-MS Acquisition and Data Analysis Procedures**

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42 **Abstract**

43 Metaproteomics is an increasingly popular methodology that provides information regarding the
44 metabolic functions of specific microbial taxa and has potential for contributing to ocean ecology
45 and biogeochemical studies. A blinded multi-laboratory intercomparison was conducted to
46 assess comparability and reproducibility of taxonomic and functional results and their sensitivity
47 to methodological variables. Euphotic zone samples from the Bermuda Atlantic Time-Series
48 Study in the North Atlantic Ocean collected by *in situ* pumps and the AUV *Clio* were distributed
49 with a paired metagenome, and one-dimensional liquid chromatographic data dependent
50 acquisition mass spectrometry analyses was stipulated. Analysis of mass spectra from seven
51 laboratories through a common bioinformatic pipeline identified a shared set of 1056 proteins
52 from 1395 shared peptides constituents. Quantitative analyses showed good reproducibility:
53 pairwise regressions of spectral counts between laboratories yielded R^2 values averaged 0.62
54 +/- 0.11, and a Sørensen similarity analysis of the top 1,000 proteins revealed 70-80% similarity
55 between laboratory groups. Taxonomic and functional assignments showed good coherence
56 between technical replicates and different laboratories. A bioinformatic intercomparison study,
57 involving 10 laboratories using 8 software packages successfully identified thousands of
58 peptides within the complex metaproteomic datasets, demonstrating the utility of these software
59 tools for ocean metaproteomic research. Lessons learned and potential improvements in
60 methods were described. Future efforts could examine reproducibility in deeper metaproteomes,
61 examine accuracy in targeted absolute quantitation analyses, and develop standards for data
62 output formats to improve data interoperability. Together, these results demonstrate the
63 reproducibility of metaproteomic analyses and their suitability for microbial oceanography
64 research including integration into global scale ocean surveys and ocean biogeochemical
65 models.

66

67 1. Introduction

68 Microorganisms within the oceans are major contributors to global biogeochemical cycles,
69 influencing the cycling of carbon, nitrogen, phosphorus, sulfur, iron, cobalt and other elements
70 (Falkowski et al., 2008; Moran et al., 2022; Worden et al., 2015). 'Omic methodologies can
71 provide an expansive window into these communities, with genomic approaches characterizing
72 the diversity and potential metabolisms, and transcriptomic and proteomic methods providing
73 insights into expression and function of that potential. Similar to other 'omics approaches,
74 proteomics is increasingly being applied to natural ocean environments and the diverse
75 microbial communities within them. When proteomics is applied to such mixed communities, it is
76 generally referred to as metaproteomics (Wilmes and Bond, 2006). Metaproteomic samples
77 contain an extraordinary level of complexity relative to single organism proteomes (at least 1-2
78 orders of magnitude) due to the simultaneous presence of many different organisms in widely
79 varying abundances (McCain and Bertrand, 2019). In particular, ocean metaproteome samples
80 are significantly more complex than the human proteome, the latter of which is itself considered
81 to be a highly complex sample (Saito et al., 2019). Proteomics (including metaproteomics)
82 provides a perspective distinct from other 'omics methods: as a direct measurement of cellular
83 functions it can be used to examine the diversity of ecosystem biogeochemical capabilities, to
84 determine the extent of specific nutrient stressors by measurement of transporters or regulatory
85 systems, to determine cellular resource allocation strategies in-situ, estimate biomass
86 contributions from specific microbial groups, and even to estimate potential enzyme activity
87 (Bender et al., 2018; Bergauer et al., 2018; Cohen et al., 2021; Fuchsman et al., 2019; Georges
88 et al., 2014; Hawley et al., 2014; Held et al., 2021; Leary et al., 2014; McCain et al., 2022; Mikan
89 et al., 2020; Moore et al., 2012; Morris et al., 2010; Saito et al., 2020; Sowell et al., 2009;
90 Williams et al., 2012). The functional perspective that metaproteomics allows is often
91 complementary to metagenomic and metatranscriptomic analyses and can provide biological

92 insights that are distinct from organisms studied in the laboratory (Kleiner et al., 2019).
93 Moreover, the measurement of microbial proteins in environmental samples has improved
94 greatly in recent years, due to the advancements in nanospray-liquid chromatography and high-
95 resolution mass spectrometry approaches (Mueller and Pan, 2013; Ram et al., 2005; McIlvin
96 and Saito, 2021).

97 With increasing interest in the measurement of proteins and their biogeochemical
98 functions within the oceans, the metaproteomic data is beginning to establish itself as a valuable
99 research and monitoring tool. However, given rapid changes in technology and methods, as well
100 as the overall youth of the metaproteomic field, demonstrating the reproducibility and
101 robustness of metaproteomic measurements to microbial ecology and oceanographic
102 communities is an important goal. This is particularly true as applications for metaproteomics
103 expand in research and monitoring of the changing ocean environment, for example in global
104 scale efforts such as the developing BioGeoSCAPES program (www.biogeoscapes.org;
105 (Tagliabue, 2023)), which aims to characterize the ocean metabolism and nutrient cycles on a
106 changing planet. As a result, there is a pressing need to assess inter-laboratory consistency,
107 and to understand the impacts of sampling, extraction, mass spectrometry, and bioinformatic
108 analyses on the biological inferences that can be drawn from the data.

109 There have been efforts to conduct intercomparisons of metaproteomic analyses in both
110 biomedical and environmental sample types in recent years that provide precedent for this
111 study. A recent community best practice effort in ocean metaproteomics data-sharing also
112 identified major challenges in ocean metaproteomics research, including sampling, extraction,
113 sample analysis, bioinformatics pipelines, and data sharing, and conducted a quantitative
114 assessment of sample complexity in ocean metaproteome samples (Saito et al., 2019). A
115 previous benchmark study, driven by the Metaproteomics Initiative (Van Den Bossche et al.,
116 2021), was the “Critical Assessment of Metaproteome Investigation study” (CAMPI) that

117 employed a laboratory-assembled microbiome and human fecal microbiome sample to
118 successfully demonstrate reproducibility of results between laboratories. CAMPI found
119 robustness in results across datasets, while also observing variability in peptide identifications
120 largely attributed to sample preparation. This observation was consistent with prior findings on
121 single organism samples that determined >70% of the variability was due to sample processing,
122 rather than chromatography and mass spectrometry (Piehowski et al., 2013). Finally, the
123 Proteomics Informatics Group (iPRG) from the Association of Biomolecular Resources Facilities
124 (ABRF) conducted a study examining the influence of informatics pipelines on metaproteomics
125 analyses that found consistency among research groups in taxonomic attributions (Jagtap et al.,
126 2023), and previous research has demonstrated the impact of database choices on final
127 functional annotations and biological implications (Timmins-Schiffman et al., 2017).

128 Here we describe the results from the first ocean metaproteomic intercomparison. In this
129 study, environmental ocean samples were collected from the euphotic zone of the North Atlantic
130 Ocean and partitioned into subsamples and distributed to an international group of laboratories
131 (Fig. 1). The study was designed to examine inter-laboratory consistency rather than maximal
132 capabilities, stipulating one-dimensional chromatographic analyses from each laboratory (with
133 optional deeper analysis). Users were invited to use their preferred extraction, analytical, and
134 bioinformatic procedures. The effort focused on the data dependent analysis (DDA) methods,
135 also known as global proteomics where the targets are unknown and hence there is a discovery
136 element to the approach. DDA is currently common in ocean and other environmental and
137 biomedical metaproteomics, and its spectral abundance units of relative quantitation have been
138 shown to be reproducible in metaproteomics (Kleiner et al., 2017; Pietilä et al., 2022). Blinded
139 results were submitted, compared and discussed at a virtual community workshop in September
140 of 2021. An additional bioinformatic pipeline comparison study was also conducted where

141 participants were provided metaproteomic raw data and associated metagenomic sequence
142 database files and were encouraged to use the bioinformatic pipeline of their choice.

143 **2. Methods**

144 *2.1 Sample Collection and Metadata*

145 Ocean metaproteome filter samples for the wet lab comparison (Figure 1) were collected
146 at the Bermuda Atlantic Time-series Study (31° 40'N 64° 10'W) on expedition BATS 348 on
147 June 16th, 2018, between 01:00 and 05:00 am local time. *In situ* (underwater) large volume
148 filtration was conducted using submersible pumps to produce replicate biomass samples at a
149 single depth in the water column for intercomparisons. All filter subsamples are matched for
150 location, time, and depth. To collect the samples, two horizontal McLane pumps were clamped
151 together (Figure 1c) and attached at the same depth (80 m) with two filter heads (Mini-MULVS
152 design) on each pump and a flow meter downstream of each filter head. This depth was chosen
153 to correspond to a depth with abundant chlorophyll and photosynthetic organisms. Each filter
154 head contained a 142 mm diameter 0.2 µm pore-size Supor (Pall Inc.) filter with an upstream
155 142 mm diameter 3.0 µm pore-size Supor (Figure 1b, d). Only the 0.2 – 3.0 µm size fraction
156 was used in this study. The pumps were set to run for 240 min at 3 L per min. Volume filtered
157 was measured by three gauges on each pump, one downstream of each pump head, and one
158 on the total outflow (Table S2). Individual pump head gauges summed to the total gauge for
159 pump 1 (within 1 L; 447 L and 446.2 L), but deviated by 89 L on pump 2 (478 L and 388.9 L).
160 Given that the total gauge is further downstream, we report the pump head gauges as being
161 more accurate.

162 The pump heads were removed from the McLane pumps immediately upon retrieval,
163 decanted of excess seawater by vacuum, placed in coolers with ice packs, and brought into a
164 fabricated clean room environment aboard the ship. The 0.2 µm pore-size filters were cut in

165 eight equivalent pieces and frozen at -80°C in 2 mL cryovials, creating 16 samples per pump
166 that were co-collected temporally and in very close proximity (<1 m) to each other for a total of
167 32 samples used in this study (Figure 1d). The 3.0 µm pore-size filters are not included in this
168 study but are archived for future efforts. The sample naming scheme associated with the
169 different pumps and pump heads is described in Table S2. Note that pump 1A and 1B samples
170 accidentally had two 3.0 µm filters superimposed above the 0.2 µm filter, and 1B had a small
171 puncture in it, although neither of these seemed to affect the biomass collected, presumably the
172 puncture occurred after sampling was completed.

173 Samples for the bioinformatic component were collected by the autonomous underwater
174 vehicle *Clio*. The vehicle and its sampling characteristics were used as previously described
175 (Breier et al., 2020; Cohen et al., 2023). Specifically, samples Ocean-8 and Ocean-11 were
176 also collected from the BATS station on R/V *Atlantic Explorer* expedition identifier AE1913 (also
177 described as BATS validation track BV55 32.75834° N 65.7374° W). The samples were
178 collected by autonomous underwater vehicle (AUV) *Clio* on June 19th 2019, dive Clio020, with
179 samples collected at 20 m (Ocean-11) and 120 m (Ocean-8) with 66.6 L and 92.6 L filtered,
180 respectively, used for this study. These depths were chosen to reflect the near surface (high-
181 light) and deep chlorophyll maximum (low-light) communities present in the stratified summer
182 conditions. These samples were analyzed by 1D DDA analysis using extraction and mass
183 spectrometry for laboratory 438 within their laboratory (Tables S5-S7). Sample metadata for
184 both arms of this intercomparison study and corresponding repository information is provided in
185 Table S3 and repository links are in the Data Availability Statement.

186 2.2 Metagenomic Extraction, Sequencing, and Assembly

187 A metagenomic (reference sequence) database was created for peptide to spectrum
188 matching (PSMs) for the metaproteomic studies using a 1/8th sample split from the exact

189 sample used in the intercomparison as described above. Samples were shipped on dry ice to
190 the Naval Research Laboratory in Washington D.C. (USA), where DNA was extracted and
191 sequenced. Preserved filters were cut into smaller pieces using a sterile blade and placed into a
192 PowerBead tube with a mixture of zirconium beads and lysis buffer (CD1) from the Dneasy
193 PowerSoil Pro kit (Qiagen, Hilden Germany). The bead tube with filter sample was heated at
194 65°C for 10 min then placed on a vortex adapter and vortexed at maximum speed for 10 min.
195 After sample homogenization/lysis, the bead tube was centrifuged at 16 k x g for 2 min. The
196 supernatant was transferred to a DNA LoBind tube and processed using the manufacturer's
197 recommendations. The purified DNA was further concentrated by adding 10 µL 3 M NaCl and
198 100 µL cold 100% ethanol. The sample was incubated at -30°C for 1 hour, followed by
199 centrifugation at 16 k x g for 10 min. The supernatant was removed and precipitated DNA was
200 air-dried and resuspended in 10 mM Tris. DNA concentration was quantified with the Qubit
201 dsDNA High Sensitivity assay (Thermo Fisher Scientific, Waltham, MA, USA) and DNA quality
202 was assessed using the NanoDrop (ThermoFisher) and gel electrophoresis. Processing controls
203 included reagent only and blank filter samples.

204 Sequencing libraries were created from purified sample DNA using the IonExpress Plus
205 gDNA Fragment Library Preparation kit (Thermo Fisher) for a 200 bp library insert size. No
206 amplification of the library was required as determined by qPCR using the Ion Library TaqMan
207 Quantitation Kit. A starting library concentration of 100 pM was used in template generation and
208 chip loading with the Ion 540 Kit on the Ion Chef instrument prior to single-end sequencing on
209 the S5 benchtop sequencer.

210 Sequencing used a mix of Ion Torrent and Oxford Nanopore sequencing and resulting
211 sequencing reads were assembled using SPAdes v. 3.13.1 with Python v. 3.6.8. Following
212 metagenome assembly, contigs smaller than 500 bases were discarded. Open reading frame
213 (ORF) calling was performed on contigs 500 bps or longer using Prodigal v. 2.6.3 (Hyatt et al.,

214 2010) run with metagenomic settings as well as MetaGeneMark by submitting to the
215 MetaGeneMark server (http://exon.gatech.edu/meta_gmhmp.cgi) using GeneMark.hmm
216 prokaryotic program v. 3.25 on August 11, 2019. ORFs called from both programs were
217 combined and made non-redundant using in-house Python scripts that utilize BioPython v. 1.73.
218 Non-redundant ORFs were annotated using the sequence alignment program DIAMOND (v 0.9.29)
219 with the NCBI nr database (downloaded 12/17/2019). ORFs were also annotated with InterProScan
220 (v 5.29) and with GhostKOALA (Kanehisa et al., 2016) (submitted to server 1/2/2020). Taxonomy
221 lineages were generated by using the best DIAMOND (Buchfink et al., 2015) hit and pulling lineage
222 information from NCBI Taxonomy database using BioPython v. 1.73

223 *2.3 Proteomic methodologies: Extraction, instrumentation, and bioinformatics*

224 Some basic protocol stipulations were provided to study participants regarding analytical
225 conditions to set a uniformity of experimental design. While users were encouraged to use the
226 extraction method of their preference, constraints on chromatography and mass spectrometry
227 conditions were set, limiting the number of chromatographic dimensions to one (1D), the total
228 length of the chromatographic run, the amount of protein injected (as proteolytic digests), and a
229 single mass spectrometry injection rather than gas phase fraction approaches (Table S4). Each
230 laboratory group's specific approach is summarized in the supplemental methods, with
231 extraction in Table S5, and chromatography and mass spectrometry equipment and parameters
232 in Tables S6 and S7. While there are more sophisticated methods such as two-dimensional
233 (2D) chromatography and gas phase fractionations that have been demonstrated to provide
234 deeper metaproteomes (McIlvin and Saito, 2021), these often require specialized equipment
235 and/or additional instrument time. As a result, the study constraints were provided to ensure a
236 single simple method that all labs could utilize. Laboratories were invited to submit additional
237 data from more complex analytical setups if they first completed the 1D analyses.

238

239 *2.4 Compilation, analysis, and re-analysis of laboratory data submissions*

240 Results from individual laboratories' data submissions were analyzed in two ways as
241 shown in the flowchart of Figure 1a. First, submitted processed data reports (i.e. PSMs,
242 taxonomic, functional annotations) were compiled and interpreted. Second, raw data files (i.e.
243 spectra directly from instruments) from each group were put through a single bioinformatic
244 pipeline using SEQUEST HT/Percolator within Proteome Discoverer (Version 2.2.0.388,
245 Thermo Scientific) and Scaffold (Version 5.2.1, Proteome Software) to isolate variability
246 associated with bioinformatic processing. Note that Scaffold ignores the Percolator output from
247 Proteome Discoverer when re-running in Scaffold. This re-analysis (*single pipeline re-analysis*
248 hereon) allowed detailed cross-comparisons of laboratory practices to assess the influence of
249 the extraction and mass spectrometry components. Specific parameters of the latter included:
250 parent of tolerances of 10ppm were used on all instruments (all Orbitraps) for fragments
251 tolerances of 0.02 Da or 0.6 Da were used for Orbitrap ms2 instruments and for ion trap ms2
252 instruments, respectively. Fixed and variable modifications of +57 on C (fixed), and +16 on M
253 and +42 on Peptide N-Terminal (variable) were used. Peptide and protein FDRs (false
254 discovery rates) were set to lower than 1.0% using a decoy database, with 1 minimum peptide
255 per protein, and the resulting peptide FDR was 0.1%. The database used for PSMs was
256 Intercal_ORFs_prodigal_metagenemark.fasta based on the metagenomic sequencing
257 described above with 197,824 protein entries. The re-analysis was conducted within Scaffold
258 using total spectral counts and allowing single peptides to be attributed to proteins. In addition to
259 the total number of protein identifications, the number of protein groups identified by Scaffold
260 was also provided. Each protein group represented proteins identified with identical peptides,
261 collapsed into a single protein entry with the highest probability and number of spectral counts.

262

263 *2.5 Data analysis methods*

264 Several analyses were conducted using data from the single pipeline re-analysis. First,
265 pairwise comparisons of protein identifications were conducted using spectral abundance
266 reports produced in Scaffold, and loaded, analyzed and visualized in MATLAB (MathWorks Inc).
267 Two-way (independent) linear regressions were conducted using the script linfit.m. R^2 on the
268 seven datasets were averaged and their standard deviation calculated for shared proteins in
269 each dataset. Second, a Sørensen similarity (Sørensen, 1948) was calculated where a matrix
270 was generated that consisted of the unique proteins or peptides identified across all technical
271 replicates from the various labs with the relative abundance per replicate (% contribution of
272 each protein/peptide per technical replicate total). The Bray-Curtis dissimilarity pairwise distance
273 was calculated on this matrix using Python and the SciPy library (v. 1.4.1, (Virtanen et al.,
274 2020)) and then $1 - \text{Bray-Curtis dissimilarity}$ was calculated across the matrix to generate the
275 Sørensen pairwise similarity across all replicates. The resulting similarities per replicate were
276 clustered and visualized using the clustermap function in the Seaborn library (v. 0.10.0,
277 (Waskom, 2021)). Third, shared peptides and proteins were visualized using Upset plots, using
278 the R package UpSetR (Conway et al., 2017) to determine the number of unique peptide
279 sequences and annotated proteins in intersecting sets between all labs, all permutations of lab
280 subsets, and all lab pairs.

281 *2.6. Bioinformatics Intercomparison Methods*

282 The methods used for the bioinformatics intercomparison study are described by each
283 laboratory using their unique three-digit identifier code. All laboratories used the metagenomic
284 database generated in the laboratory study (see Section 2.2). **Lab 109:** The raw files were
285 searched against the metagenomic database employing a 2 round search using PEAKS Studio
286 X. The initial database search was performed to focus the metagenomic database for protein
287 sequences with peptide sequence matches at 5% FDR. The focused database was further used
288 for a second round search, which allowed a parent mass error tolerance of 10.0 ppm and a
289 fragment mass error tolerance of 0.6 Da. The search considered up to 3 missed cleavages,

290 carbamidomethylation as fixed and methionine oxidation and N-terminal acetylation as variable
291 modifications. The cRAP protein sequences (<http://ftp.thegpm.org/fasta/cRAP/>) were included
292 as contaminant database. Finally, PSMs were filtered for 1% FDR and annotated with
293 taxonomic lineages (obtained from the metagenomic experiments). Non-unique peptide
294 matches were annotated with the LCA of the respective lineages.

295 **Lab 321:** SearchGUI (Galaxy Version 3.3.10.1) was used to search using multiple search
296 algorithms (X!Tandem, MS-GF+ and Comet). For each search algorithm, Precursor Tolerance
297 of 10.0 ppm, Fragment Ion Tolerance of 0.6 Da and trypsin was used as an enzyme for
298 proteolytic cleavage. Searches were performed allowing for two missed cleavages fixed
299 modification of Carbamidomethylation at cysteine and Variable Modifications of Acetylation of
300 protein N-term and Oxidation of Methionine. PeptideShaker (Version: 1.16.36) was used to filter
301 peptides with the length of 8-50 aas and a precursor m/z tolerance of 10.0 ppm. Detected
302 peptide-spectral matches, peptides and proteins were reported at 1% global FDR. All of the
303 analysis was performed within Galaxy platform.

304 **Lab 321:** MaxQuant (Galaxy version 1.6.17.0+galaxy3) was used to search the datasets. A
305 fixed modification of carbamidomethylation at cysteine and variable mmodifications of
306 acetylation of protein N-term and oxidation of methionine was applied along with allowing for
307 two missed cleavages. The detection peptides and proteins were reported at 1% FDR.

308 **Lab 362:** The raw files were converted using ThermoRawFileParserGUI (version 1.4.1) to peak
309 lists (.mgf files) using “native Thermo library peak picking” as the peak picking option and
310 “Ignore missing instrument properties” as the error option. The peak lists (.mgf files) obtained
311 from MS/MS spectra were identified using X! Tandem version X! Tandem (Vengeance version
312 2015.12.1) using SearchGUI version 4.1.0. Here, the parameters provided and suggested by
313 the study were used: tolerances of 10 ppm for MS1 and 0.6 Dalton for MS/MS; dynamic
314 modifications: oxidation of M, and acetyl on N-terminus; static modifications: carbamidomethyl

315 of C. Identification was conducted against a concatenated target/decoy database of the
316 provided database.

317 The X!Tandem files were used as input in MS²ReScore
318 (<https://github.com/compomics/ms2rescore>), a machine learning-based post-processing tool
319 that improves upon Percolator rescoring of peptide-to-spectrum matches (PSMs). Here, the
320 search engine-dependent features of Percolator were appended with MS² peak intensity
321 features by comparing the PSM with the corresponding MS²PIP-predicted spectrum. All
322 reported MS²ReScore PSM identifications have a q-value < 0.01. No protein grouping algorithm
323 was applied, and all identified taxa and functions are extracted from the provided database.

324 **Lab 458:** The Proteome Discoverer 2.5 platform was used (SequestHT + Percolator (MPS)).
325 Fully tryptic peptides with a minimum length of 6 peptides and a maximum of 2 missed
326 cleavages were required. Precursor Tolerance of 10.0 ppm, Fragment Ion Tolerance of 0.6 Da.
327 carbamidomethylation as fixed and methionine oxidation was set as a variable modification. Filtering
328 was performed at a 1% PSM- and peptide-level FDR. The MaxQuant contaminant list was used as
329 a contaminant database.

330 **Lab 501:** We first appended the database with a set of common contaminants (Global
331 Proteome Machine Organization common Repository of Adventitious Proteins). Then, we used
332 MSGF+ (Kim and Pevzner, 2014) to match mass spectra with peptide sequences, with cysteine
333 carbamidomethylation as a fixed modification, and methionine oxidation, glutamine modified to
334 pyro-glutamic acid, deamidated asparagine, and deamidated glutamine, as variable
335 modifications. Peptides were searched for with a Target-Decoy approach, with a 1% false
336 discovery rate at the peptide spectrum match level. For spectral counts, we summed MS²
337 spectra that identified a peptide, and normalized all spectral counts to the total spectral counts
338 per sample. Proteins were quantified using the median spectral count for all proteotypic
339 peptides (those peptides which uniquely correspond to a protein), specifically using the

340 OpenMS tool ProteinQuantifier. This approach requires at least one proteotypic peptide, but if
341 more are identified, those peptides are also used for quantification.

342 **Lab 828:** The raw files were analyzed using Thermal proteome discover. MS/MS spectrums
343 were searched against provided database using SEQUEST-HT engine. MS/MS spectra
344 searches were performed as follows: precursor ion tolerance of 10.0 ppm; fragment ion
345 tolerance of 0.6 Da; carbamidomethyl cysteine was specified as fixed modification, whereas
346 oxidation (M), deamidation (N/Q), and N-terminal protein acetylation were set as variable
347 modifications. Trypsin was specified as the proteolytic enzyme, allowing for two missed
348 cleavages. Percolator-based scoring was chosen to improve the discrimination between correct
349 and incorrect spectrum identifications, learning from the results of a decoy and target database;
350 settings were as follows: maximum delta Cn, 0.05; strict false-discovery rate of 0.01 and
351 validation based on q values.

352 **Lab 902:** SEQUEST-HT was used within Proteome Discoverer 2.2 using the following settings:
353 maximum missed cleavage 2, minimum peptide length 6, maximum peptide length 122,
354 precursor mass tolerance 10ppm, fragment mass tolerance 0.6 Dalton; dynamic modifications:
355 M oxidation, acetyl on N-terminus; static modifications: C carbamidomethyl. Percolator PSM
356 validator (within Proteome Discoverer) with following settings: maximum Delta Cn 0.05, target
357 FDR strict 0.01, target FDR relaxed 0.05, validation based on PEP. Scaffold 5.0 used to analyze
358 Proteome Discoverer generated files with following settings: scoring system: prefiltered mode;
359 protein grouping: standard experiment wide protein grouping; protein threshold 1.0% FDR;
360 peptide threshold 0.1% FDR; minimum number of peptides 1.

361 **Lab 932:** Mass spectrometry data were transformed from Thermo RAW format (version 66) to
362 mzML and Mascot Generic (MGF) formats using ThermoRawFileParser (version 1.2.0,
363 Hulstaert et al., 2020). Experimental metadata were extracted from mass spectrometry data
364 using the MARMoSET program (Kiweler et al. 2019). Mascot Server (version 2.6.2, Matrix
365 Science, LTD) software performed peptide-spectrum matching between experimental data and

366 a reference sequence database. Reference sequences included a total of 197,824 predicted
367 protein-coding ORFs from a metagenome assembly. Peptides matching an in-house curated
368 inventory of contaminant protein sequences, mass standards, and proteolytic enzyme
369 sequences were removed from the results. Mascot search parameters included the following
370 settings: +10.0 ppm monoisotopic precursor mass tolerance; +0.6 Da monoisotopic fragment
371 ion tolerance; one fixed modification (+57 to C residues); two variable modifications (+16 to M
372 residues, +42 to peptide amino-termini); digestion enzyme trypsin; two missed cleavages;
373 peptide charges +2-+7; and instrument type: electrospray ionization coupled to fourier-transform
374 ion cyclotron resonance (ESI-FTICR). Mascot search results containing peptide-spectrum
375 matches (PSMs) were exported for downstream data analysis. Scaffold Q+S (version 4.8.9) was
376 used to validate MS/MS-based peptide- and protein-level peptide-spectrum matches (PSM) with
377 the Peptide Prophet algorithm. Mascot PSM data were imported into Scaffold Q+S with the
378 following settings specified: quantitative metric: spectrum counting; scoring system: use legacy
379 Peptide Prophet scoring (high mass accuracy); protein grouping: use standard experiment-wide
380 grouping; optional loading steps: pre-compute false discovery rate (FDR) thresholds; and, use
381 local gene ontology (GO) annotations (UniProt GO annotation data retrieved 25 JUN 2020).
382 Scaffold Q+S identification criteria were set at greater/equals >99.9% probability by the Peptide
383 Prophet algorithm (Keller et al. Anal. Chem. 2002.) and >99.9% probability by the Protein
384 Prophet algorithm (Nesvizhskii et al., Anal. Chem. 2003) with >2 peptides at the protein level.
385 **Lab 957:** MSFragger 3.3 searches were performed with FragPipe 16.0 and Philosopher 4.0.0. A
386 concatenated target/reverse database was searched with a 50 PPM precursor and 0.4 Da
387 fragment mass tolerance. Automatic mass calibration and parameter optimization was enabled
388 and precursor mass errors for up to +2 neutrons were considered. Peptide candidates were
389 generated from database protein sequences assuming tryptic digestion, allowing for up to one
390 missed cleavage. Peptides were required to have between 8-50 amino acids and range from
391 500 to 5000 m/z. Cysteines were assumed to be fully carbamidomethylated, and peptides were

392 searched considering variable n-terminal pyroglutamic acid formation and methionine oxidation.
393 PeptideProphet was used for FDR validation with the following default options: "--decoy probs",
394 "--ppm", "--accmass", "--nonparam", and "--expectscore", which allow for additional high-mass
395 accuracy analysis and non-parametric distribution fitting. ProteinProphet was used for protein-
396 level FDR validation with the following default option: "--maxppmdiff 2000000". Filtering was
397 performed using a 1% peptide-level and a 1% protein-level FDR threshold.

398 **3. Results**

399 *3.1 Experimental Design*

400 This ocean metaproteomic intercomparison consisted of two major components: a
401 laboratory component, where independent labs processed identical ocean samples
402 simultaneously collected from the North Atlantic Ocean (Fig. 1a, see Section 2.1), and a
403 subsequent bioinformatic component. Participating institutions and persons at those institutions
404 are listed in Table S1, with all participants also listed as co-authors. Both arms of the study were
405 conducted under blinded conditions, where correspondence with participants was conducted by
406 an individual not involved in either study, and submitted results and data were anonymized prior
407 to sharing with the consortium. Within both arms of the study, participants were provided the
408 location of the study site and metadata about the sampling locations, time and depth at the
409 onset of the study. The laboratory study involved two biomass-laden filter slices collected from
410 the North Atlantic Ocean Bermuda Atlantic Time series Study site at 80m depth being sent to
411 each participating group for protein extraction, mass spectrometry, and bioinformatic analyses
412 (see Section 2.1). This depth was chosen to correspond to a depth with abundant chlorophyll
413 and associated photosynthetic organisms. The bioinformatic effort was independent of the
414 laboratory effort and involved the distribution and bioinformatic analysis of two metaproteomic
415 raw data files generated from samples also from the North Atlantic Ocean upper water column
416 BATS station (20m and 120m depths, see Section 2.1). These depth were chosen to reflect the

417 near surface (high-light) and deep chlorophyll maximum (low-light) communities present in the
418 stratified summer conditions. These files were distributed after labs had submitted their
419 laboratory extracted raw data files. The raw files from the bioinformatic study were distinct from
420 the samples used in the laboratory intercomparison study to avoid any biases from groups that
421 analyzed those samples previously. Submitted results from both components were anonymized
422 and assigned three-digit lab identifiers generated randomly with laboratory and bioinformatic
423 results from the same lab being assigned distinct identifiers.

424 We report results for two study components: Part 1 (Section 3.2) involves the data
425 generation intercomparison of distributed subsamples from the North Atlantic Ocean (Fig. 1;
426 Section 2.1). Part 2 (Section 3.3) was an bioinformatic intercomparison, where metaproteomic
427 raw files were shared with participants and processed results were submitted. Both components
428 were conducted as blinded studies, where each dataset was assigned a three digit randomly
429 generated identifier, with those identifiers used throughout the Results and Discussion.

430

431 *3.2 Mass Spectrometry Data Generation Intercomparison*

432 Nine laboratories submitted raw and processed datasets from the analysis of the
433 distributed Atlantic Ocean field samples (Table S1). The processed data submissions were
434 heterogeneous in output formats, statistical approaches, and parameter definitions. Because of
435 the challenges of comparing data derived from different types of statistical approaches used for
436 peptide and protein identification and inference, as well as the varying output formats from
437 various software packages, the user-generated data submissions were difficult to compile and
438 compare, resulting in variability in the number of identifications depending on the statistical
439 approaches and thresholds applied. These results are further discussed in the Supplemental
440 Section (Figure S1, Table S8). Despite these challenges, an average of 7142 +/- 2074 peptides
441 were identified across the pairwise comparisons (Figure S1c) representing 20% of the 35,715

442 total unique peptides detected across all labs. Together these findings implied a consistency of
443 peptide identifications across participants. The variability in proteome depth reflected the
444 combination of differing parameters employed by software and laboratory approaches.

445 To remove this variability associated with user-selected bioinformatic pipelines, a single
446 pipeline re-analysis of the submitted raw mass spectral data was conducted. Raw data files
447 were processed together within a single bioinformatic pipeline consisting of SEQUEST-HT,
448 Percolator, and Scaffold software and evaluated to a false discovery rate threshold of < 0.1% for
449 peptides and 1.0% for proteins (see Section 2.4). Two datasets were found to have had issues
450 during extraction and analysis that affected the results in both processed and raw data (Labs
451 593 and 811; Table S8). Notably these two laboratories differed from the others in that they did
452 not use SDS as a protein solubilizing detergent (Table S5). This likely resulted in inefficient
453 extraction of the bacteria that dominated the sample biomass (e.g. picocyanobacteria and
454 *Pelagibacter*) embedded within the membrane filter slices. Further examination showed
455 polyethylene glycol contamination of one dataset (Lab 811) and low yield from sample
456 processing and extraction from the other (Lab 593). As a result, those datasets were not
457 included in the single pipeline re-analysis. The standardized pipeline included calculations of
458 shared peptides and proteins, quantitative comparisons, and consistency of taxonomic and
459 functional results.

460 The total number of peptide and protein identifications and PSMs in the single
461 bioinformatic pipeline analysis varied by laboratory (Table S9), with unique peptides ranging by
462 more than a factor of 3 from 3,354 to 16,500, and with 27,346 total unique peptides identified
463 across laboratories. This variability was likely due to different extraction, chromatographic, and
464 mass spectrometry hardware and parameters employed used by each laboratory, resulting in a
465 varying depth of metaproteomic results. Yet, as with the user-submitted results, there was
466 considerable overlap in identifications between all datasets. An intersection analysis found the
467 numerous shared peptides between all combinations of laboratories, with 1,395 peptides shared

468 between all seven laboratory datasets (Figure 2a). Laboratories with deeper proteomes shared
469 numerous peptides, for example the two laboratories with the most discovered unique peptides
470 shared ~3000 peptides between them, implying that shared peptides is a useful metric for
471 intercomparability. They also had the largest numbers of peptides that were not found by any
472 other labs (3617 and 2819, respectively). The fourth largest intersection size (1395) represented
473 the unique peptides discovered by all labs. Beyond that there were 12 different groupings of
474 peptides that were shared among at least four laboratories. Consistent with this, 3-way Venn
475 diagrams of labs 135, 209 and 438 had an intersection of 2398 peptides, labs 652, 729, and 774
476 shared 3016 peptides, and labs 127, 135, and 309 shared 2304 peptides (Figure 2d).

477 A similar analysis was conducted at the protein level, where the number of proteins
478 identified (see Section 2. Methods) identified 8,043 unique proteins in total across all
479 laboratories, with 1,056 proteins of those observed in all seven labs (see 7-way Venn diagram in
480 Figure 2c). Three-way Venn diagram comparisons among labs 135, 209 and 438 had an
481 intersection of 1,254 proteins, and labs 652, 729, and 774 shared 1,925 proteins (data not
482 shown).

483 Optional deeper metaproteome results were submitted by three laboratories using either
484 a long gradient of 12 hours or 2 dimensional chromatographic methods (Table S10). The
485 number of discovered peptide and protein identifications were higher in each case, with as
486 many as 18477 unique peptides and 7765 protein identifications from an online 2-dimensional
487 chromatographic analysis from a 5 μ g single injection.

488 The mapping of identified peptides to protein sequences forms the basis for protein
489 identifications in the form of DDA bottom-up proteomics employed here. The relationship
490 between peptides and protein identification was explored in Figure 3 and found to be correlated
491 by two-way linear regression with R^2 values of 0.97 and 0.98 for total protein identifications and
492 protein groups, respectively. Together, the fact that there is a linear relationship between
493 peptides and proteins across all laboratories (including labs employing deeper methods) could

494 imply that the number of protein identifications has not begun to plateau and reached
495 'saturation', likely due to the immense biological diversity and abundance of lower abundance
496 peptides within these samples. This approach has some similarities to rarefaction curves used
497 in metagenomic sequencing to determine if the majority of species diversity has been sampled,
498 although in this case number of peptides used as a metric for sampling depth instead of
499 additional number of DNA sequencing samples typically used for rarefaction curves. This
500 indicated that with deeper depth of analysis by some laboratories, there was no fall off in the
501 increase in protein identifications that might be attributed to additional peptides mapping to
502 already discovered protein sequences. In addition, the 2D and long gradient additional analyses
503 conducted by several laboratories fell upon this line consistent with this "more peptides – more
504 proteins" observation, implying more room for improvements in depth of metaproteomic
505 analyses.

506 A quantitative analysis of spectral counts from the wet lab re-analysis showed broad
507 coherence among the seven laboratories. Pairwise comparisons of protein spectral counts were
508 conducted for each of the seven labs against the other six (visualized in a 7x7 matrix, with
509 duplicate comparisons removed (e.g., A vs B and B vs A)), where each data point reflects the
510 spectral counts for a protein shared between laboratories (Figure 4a). When a dataset was
511 compared with itself a unity line of datapoints was observed along the diagonal axis as
512 expected. Two-way linear regressions were conducted on each of these pairwise comparisons.
513 The slopes ranged from 0.33 to 5.5 (Figure S2), implying a varying dynamic range in spectral
514 counts across laboratories, likely due to variations in instrument parameterizations selected by
515 each laboratory, and consistent with the lack of normalization between laboratories. The
516 coefficient of determination R^2 values from 0.43 to 0.84 with an average of 0.63 +/- 0.11,
517 showing coherence among results for these large metaproteomic datasets (Figure 4b, Table
518 S12). To provide a sense of coherence of each laboratory to the others, the R^2 values of a lab
519 against the other six laboratories were averaged and the standard deviation calculated. All of

520 these average R^2 values were higher than 0.5, which showed overall quantitative consistency
521 despite the size and complexity of these datasets (Figure 4d).

522 A comparative taxonomic and functional analysis was also conducted using a single
523 bioinformatic pipeline (see metagenomic sequencing methods for annotation pipeline). Lowest
524 common ancestor (LCA) analysis of peptides identified from datasets from seven laboratories
525 showed consistent patterns of taxonomic distribution using the MetaTryp package (Figure 5a;
526 (Saunders et al., 2020). Cyanobacteria and alphaproteobacteria were the top two taxonomic
527 groups in all laboratory submissions, consistent with the abundant picocyanobacteria
528 *Prochlorococcus* and the heterotrophic bacterium *Pelagibacter ubique* known to be dominant
529 components of the Sargasso Sea ecosystem (Sowell et al., 2009; Malmstrom et al., 2010). For
530 example, *Prochlorococcus* is consistently present between 10^4 and 10^5 cells per milliliter in this
531 region and has been observed to contribute to carbon export from the euphotic zone (Casey et
532 al., 2007). *Pelagibacter* cells can also be in excess of 10^5 cells per milliliter at the BATS North
533 Atlantic location (Carlson et al., 2009). These results are broadly similar to the representation of
534 phyla within the metagenome annotations, where Proteobacteria (including *Pelagibacter*) and
535 Cyanobacteria (including *Prochlorococcus* and *Synechococcus*) were major components,
536 although Bacteroidetes (including Flavobacteria) are more prevalent in the metagenome
537 annotations than in the metaproteome. Some differences may also be due to the incorporation
538 of protein abundances in Fig 5a, versus simple taxonomic attribution of non-redundant
539 assembled open reading frames in the metagenome analysis, as well as the use of multiple
540 sequencing platforms and gene calling algorithms (Section 2.2, Figure S4).

541 Similarly, KEGG Orthology group (KO) analysis of those datasets also showed highly
542 similar patterns of protein functional distributions across laboratories (Figure 5b). Notably the
543 PstS phosphate transporter protein from *Prochlorococcus* was the most abundant protein in all
544 datasets, consistent with observations of phosphorus stress in the North Atlantic oligotrophic
545 gyre and its biosynthesis in marine cyanobacteria (Scanlan et al., 1997; Coleman and Chisholm,

546 2010; Ustick et al., 2021). These findings demonstrate the reproducibility in the primary
547 functional and taxonomic conclusions from the metaproteome datasets. Finally, a Sørensen
548 similarity analysis of the 1,000 proteins with highest spectral counts revealed 70–80%
549 similarities between most laboratory groups in the data re-analysis (Figure 6). When conducted
550 on the full dataset with all peptides and proteins, the Sørensen similarity analyses showed
551 peptides had lower similarity than proteins, implying variability is ameliorated when aggregated
552 to the protein level (Figure S3).

553

554 3.3. *Bioinformatic Data Analysis Intercomparison*

555 Two metaproteomic raw files were provided to intercomparison participants and were
556 searched with each laboratory's preferred database searching bioinformatic pipeline. The
557 samples that generated the data for these files were collected by autonomous AUV *Clio* during
558 a single dive at the Bermuda Atlantic Time-series Study Station (Breier et al., 2020), and were
559 distinct from the samples associated with the laboratory intercomparison component. However,
560 they were also from the North Atlantic Ocean, allowing the same metagenomic database to be
561 used. This database was not collected simultaneously with the bioinformatics samples, so it was
562 not as representative as that used in the laboratory intercomparison. However, the BATS study
563 region is known to maintain similar major taxonomic composition throughout the year (e.g.,
564 *Prochlorococcus* and SAR11, see discussion in Section 3.2), hence enabling many protein
565 identifications. This bioinformatic study component was not launched until after the laboratory-
566 based intercomparison submission deadline to avoid influencing that part of the study by
567 sharing similar raw data. Samples were named Ocean 8 and Ocean 11 and were taken from
568 120 m and 20 m depths, respectively.

569 The bioinformatic intercomparison involved 10 laboratories utilizing 8 different software
570 pipelines including the PSM search engines: SEQUEST, X!Tandem, MaxQuant, MSGF+,
571 Mascot, MSFragger, and PEAKS (Table S11, see Methods Section 2.6). As with the user

572 supplied laboratory results, the results were challenging to compile due to different types of data
573 outputs, approaches used in protein inference, and statistical approaches applied within each
574 pipeline. Unique peptide discoveries served as a useful base unit of comparison that were less
575 subject to these comparison challenges. The number of peptides ranged from 1724 to 6369 in
576 Ocean 8 and 3019 to 8288 in Ocean 11 (Figure 7; Table S11). The differences in the number of
577 peptides was likely due to parameters used in software, for example, laboratory 932 had the
578 lowest number of peptides identified in both samples, but also used a highly stringent 99.9%
579 probability cutoff that likely influenced this result.

580

581 **4. Discussion**

582 *4.1 Assessment of Ocean Metaproteomics Reproducibility*

583 Given the recent establishment of complex metaproteomic techniques, intercomparisons
584 are valuable in demonstrating their suitability for ocean ecological and biogeochemistry studies.
585 Synthesizing the results of the laboratory and mass spectrometry blinded intercomparison study
586 (Section 3.2) processed with a single bioinformatic pipeline (Section 2.4), we observed
587 consistent reproducibility with regards to three attributes of ocean metaproteomics analyses: 1)
588 the identity of discovered peptides and proteins (Fig. 2), 2) their relative quantitative
589 abundances (Figs. 4 and 6), and 3) the taxonomic and functional assignments within
590 intercompared samples (Fig 5). With over 1000 proteins identified across seven laboratories
591 and Sørensen similarity indexes typically higher than 70–80% (Fig. 6), the results demonstrate
592 consistent detection and quantitation of major proteins in the sample. These results provide
593 confidence that multiple laboratories can generate reproducible results describing the major
594 proteome composition of ocean microbiome samples to assess their functional and
595 biogeochemical activity .

596 While there is good agreement, this congregation of data allows further exploration of
597 the influence of methods on the results. In particular, as mentioned above the range of pairwise
598 comparisons had correlation coefficients ranging from 0.43 to 0.84, with most values falling
599 between 0.6 and 0.8 (Figure 4b and 4e; Table S12). This average of all correlation coefficients
600 described above (0.63 +/- 0.11) implied good reproducibility between laboratories in general.
601 We can explore what might have influenced the variability and lower range of coefficients. The
602 correlation coefficients of lab 209 had two of the three R^2 values below 0.499 in pairwise
603 comparisons (0.431 and 0.475), yet also had values that ranged from 0.61 to 0.70. Why would
604 this variability exist? Lab 209 's methods differed from other labs in several ways: they used the
605 oldest and slowest instrument of the group (Thermo Orbitrap Elite), used CID instead of HCD for
606 fragmentation and rapid scan mode, and used an unusually long column of 200cm to
607 compensate for the older instrument (Table S6). As a result, lab 209 had the lowest number of
608 peptide (3354) and protein (1586) ID's of the seven labs (Table S9), which was several fold
609 lower than the lab with the highest number and reduced the number of shared peptides across
610 all laboratories. In pairwise comparisons, lab 209 had the lowest number of shared peptides at
611 an average of 1304. Interestingly however, lab 209 did not have the lowest number of total
612 spectral counts (63198), being close to the average (70843 +/- 27455), implying that more
613 abundant peptides were detected relative to rarer ones.

614 We initially suspected the lower R^2 values in pairwise comparisons with lab 209 may
615 have been related to comparisons to laboratories with similarly lesser peptide depth, but this
616 was not the case: the two lowest correlation coefficients for lab 209 were with laboratories 135
617 and 774 (the 0.431 and 0.475 values), the latter of which had the highest number of peptide
618 identifications. The answer for this difference in quantitative values maybe within the selection of
619 parameters used to sample peptide peaks: Both lab 135 and 774 used 60 second dynamic
620 exclusion, whereas the other 5 labs used dynamic exclusions between 10 and 30 seconds in

621 length (Table S7). This higher dynamic exclusion likely contributed to providing greater peptide
622 discovery depth, but at the cost of quantitative consistency with other laboratories, since this
623 parameter selects against repeat counting of abundant peaks and would reduce spectral counts
624 of the more abundant peptides that lab 209 was detecting. This result demonstrates the
625 influence of the mass spectrometer parameters in quantitative reproducibility when using global
626 proteomic DDA mode.

627 *4.2 Metrics in metaproteomics: Core versus rare “long tail” proteins*

628 While abundant proteins were consistently detected across seven laboratories’
629 submissions, there was substantial variability in the less abundant proteins (Fig. 2). This is
630 evident in Figure 8, where most of the 1063 proteins across seven laboratories in the re-
631 analysis were in the upper half of proteins when ranked by abundance. This simultaneous
632 consistency in abundant proteins and diversity in rare proteins (and their respective peptide
633 constituents) was likely a result of several factors. First, the intercomparison experimental
634 design stipulated 1D chromatography in order to provide straightforward comparisons that all
635 laboratories could accomplish. This contributed to study consistency, but also resulted in lesser
636 proteome depth compared to more elaborate methods such as 2D chromatography and gas
637 phase fractionation commonly in use. Second, the sample complexity of ocean metaproteomes
638 has been shown to be enormous, with a far greater number of low abundance peptides present
639 than HeLa human cell lines (Saito et al., 2019). The combined effect of these factors meant that,
640 while laboratories were able to detect abundant proteins consistently, there was considerable
641 stochasticity associated with the detection of less abundant peptides resulting in a long tail of
642 discovered lower abundance proteins.

643 Mass spectrometer settings such as dynamic exclusion, chromatography conditions, and
644 variation in sample preparation methods all likely contributed to this stochastic variability in rare

645 peptide detection among laboratories. Moreover, while all participating laboratories used
646 Thermo orbitrap mass spectrometers, there were seven variants of instrument model, including
647 some with Tribrid multiple detector capability (Table S6). While testing other mass spectrometry
648 platforms is of interest, this trend of community orbitrap usage in this study is consistent with the
649 broader proteomics community, where currently 9 of the top 10 instruments used in
650 ProteomeXchange consortium repository data submissions utilize orbitraps as of the manuscript
651 submission date (Deutsch et al., 2019). When conducting analysis of environmental samples,
652 choices can be made about instrument setup and parameters based on the scientific objectives,
653 for example if maximal proteome depth or robust quantitation while using a discovery approach
654 is desired. Future intercalibration efforts enlisting more sensitive metaproteomic methods such
655 as 2D-chromatography (McIlvin and Saito, 2021), more sensitive instruments (Stewart et al.,
656 2023), and other emerging methods can greatly improve detection and quantitation of rarer
657 proteins in metaproteomes, allowing exploration of the depths of state-of-the-art capabilities
658 rather than our present emphasis on interlaboratory consistency. Moreover, the development
659 and adoption of best practices in sample collection, extraction, chromatographic separation,
660 mass spectrometry analyses, and bioinformatic approaches will contribute to interlaboratory
661 consistency.

662 4.3 Despite the inter-laboratory variability in the detected sets of rarer peptides and proteins, we
663 interpret these to be largely robust identifications. The stringent 0.1% peptide-level FDR
664 threshold we use here is determined by scoring decoys: reverse sequenced peptides that
665 are not in our samples. Peptide assignments to these decoys model the score distribution of
666 all incorrect peptide-spectrum matches (PSMs) in our study such that FDRs can be
667 estimated in an unbiased way for each laboratory. However, these estimates are
668 complicated by subtle sequence diversity within a population's proteome, which is typically
669 not considered by proteomics software designed to analyze single species (Schiebenhoefer

670 et al., 2019). This diversity within metaproteomic samples results in the presence of highly
671 similar peptides with nearly identical precursor masses that produce many of the same b-
672 and y-ions, and this similarity is not well modeled by decoy peptides. The influence of
673 microdiversity on metaproteomics FDR estimation using strain-specific proteogenomic
674 databases is an important area of future exploration (Wilmes et al., 2008). *Bioinformatics*
675 *Intercomparison Assessment*

676 The discovery of peptide constituents of proteins within a complex ocean metaproteomic
677 matrix was successful across all software packages tested (Figure 7), where the metric for
678 success is a comparable number of peptide identifications. This is a notable finding due to the
679 highly complex mass spectra, large number of chimeric peaks present (Saito et al., 2019), and
680 large database sizes involved in ocean metaproteomes. To our knowledge, some of these
681 software packages had not yet been applied to ocean metaproteomes. There was also
682 variability associated with the stringency of statistical parameters employed, which points to the
683 challenges in assembling datasets from multiple laboratories with different depth of proteome
684 identifications.

685 Despite the success of this intercomparison component across software packages, there
686 is likely considerable room for improvement in the future. As mentioned previously, ocean
687 samples are highly complex and there are likely additional peptides that remain unidentified
688 using current technology, due to low intensity peaks and co-elution with other peptides resulting
689 in the chimeric spectra. Significant improvements in depth of analysis can be achieved through
690 increased chromatographic sample separation and optimized (or alternative) mass spectrometry
691 data acquisition strategies. Yet there is room for bioinformatic improvements as well: most DDA
692 database searching algorithms are unable to identify multiple peptides within a single
693 fragmentation spectrum. Moreover, when in DDA collection mode mass spectrometry software
694 typically does not isolate and fragment peptides that cannot be assigned a charge state, which

695 is a common occurrence for the low abundance peaks within ocean samples. As a result, there
696 is considerable room for improvements in bioinformatic pipelines to discover additional peptides.
697 Although the application of data independent approaches (DIA) to oceanographic
698 metaproteomics analysis has been limited (e.g. Morris et al., 2010), the systematic nature of ion
699 selection and fragmentation allows for a greater number of low abundant peptides to be
700 quantified when enough ions can be isolated to produce robust MS2 spectra.,.

701 *4.4 Lessons Learned and Future Efforts in Ocean Metaproteomic Intercomparisons and* 702 *Intercalibrations*

703 As the first interlaboratory ocean metaproteomics study, we chose to describe this study
704 as an intercomparison rather than an intercalibration and it served as a vehicle with which to
705 assess the extent of reproducibility. There were several lessons learned that can be
706 summarized here. These include the efficacy of a SDS detergent and heat treatment in lysing
707 and solubilizing marine microbial cells embedded on membrane filters, the significant problem
708 of data intercomparability between PSM software outputs and need for data output
709 standardization, and the influence of different hardware capabilities (Orbitrap generation) and
710 their parameter settings such as dynamic exclusion on proteome depth and quantitative
711 comparisons of spectral counts. The development of best practices associated with sample
712 collection, extraction, and analysis would be valuable, while also encouraging methodological
713 improvements and backward compatibility through the use of reference samples.

714 Future intercalibration efforts could aim to further assess and improve upon the level of
715 accuracy, reproducibility, and standardization of ocean metaproteome measurements. In
716 particular, alternative modes of data collection and quantitation could also be tested in future
717 interlaboratory comparisons, including parallel reaction monitoring mode (PRM), multiple
718 reaction monitoring mode (MRM), quantification using isotopic labeling or tagging, and DIA

719 methods. PRM and MRM methods allow sensitive targeted measurements of absolute
720 quantities of peptides (e.g. copies per liter of seawater in the ocean context). As many 'omics
721 methodologies applied in environmental settings operate in relative abundance modes, adding
722 the ability to measure absolute quantities would be particularly valuable for comparisons of
723 environments across space and time. Targeted metaproteomic methods have been deployed in
724 marine studies using stable isotope labeled peptides for calibration, achieving femtomoles per
725 liter of seawater estimates of transporters, regulatory proteins, and enzymes (Saito et al., 2020;
726 Bertrand et al., 2013; Saito et al., 2014, 2015; Joy-Warren et al., 2022; Wu et al., 2019). These
727 methods are not yet widely adopted, but with growing interest could be deployed to other
728 laboratories and incorporated into future iterations of intercomparison and intercalibration
729 studies. DIA also has great potential in ocean metaproteome studies and is increasingly being
730 deployed in laboratory and field studies of marine systems. Similar to this DDA intercomparison,
731 the methodological and bioinformatic challenges of DIA could be explored during
732 intercomparisons of analyses of ocean samples. Finally, as mentioned above, all participants of
733 this study used orbitrap mass spectrometers for DDA submissions, but new instrumentation
734 such as trapped ion mobility spectrometry time of flight mass spectrometers (timsTOF) may be
735 applied to ocean metaproteome analyses and would be important to intercompare with orbitrap
736 platforms.

737 As noted above, there were also challenges in collating and comparing data outputs
738 from various software, as well as variation in how those programs conducted protein inference.
739 For example, peptide-level data from different research groups were reported as either
740 unmodified peptide sequences or as various peptide analytes (where modifications and charges
741 states were included with the peptide sequence), making compilation of peptide reports difficult.
742 Similarly, at the protein level reported proteins could be counted either before or after protein
743 grouping, e.g. applying Occam's-razor logic to peptide groupings into proteins – the former

744 reflecting the set of all proteins in the database that could be in the sample, the latter the
745 minimum set required to explain the peptide data. Such issues will also contribute to challenges
746 in integration and assembly of data from different laboratories for large ocean datasets. While
747 best practices for metadata and data types have been described by the community that include
748 specific attributes important for environmental and ocean samples such as geospatial location
749 and sample collection information (Saito et al., 2019) similar to the metadata standard recently
750 put forward in the human proteome field (Dai et al., 2021), this study also demonstrated that
751 there is a need for standardization of data output formats for metaproteomic results.,.

752 *4.5 Metaproteomics in Global Ocean Surveys*

753 Understanding how the oceans are responding to the rapid changes driven by human
754 alteration of ecosystems is a high priority. Ocean and environmental sciences have a long
755 history of chemical measurements that are critical to assessing ecosystems and climatic
756 change. Such measurements have been straightforward for discrete measurements, such as
757 temperature, pH, chlorophyll, phosphate, dissolved iron and numerous other variables. When
758 collected over large spatial (ocean basin) or temporal (seasonal or decadal spans) scales, these
759 datasets have been powerful in identifying major (both cyclical and secular) changes. ‘Omics’
760 measurements represent a more complex data type where each discrete sample can generate
761 thousands (if not more) of units of information. This study demonstrates the power and potential
762 for collaborative metaproteomics studies to identify key functional molecules and relate them to
763 their taxonomic microbial sources within the microbiome from multiple lab groups. Moreover,
764 multi-lab metaproteomics results in vastly enhanced identification of low abundance proteins
765 that are not identified by all research groups. Such low abundance proteins can be more likely
766 to change in abundance with changing environmental conditions and nutrient limitations,
767 resulting in a more nuanced and richer investigation of marine microbial ecology and
768 biogeochemistry with collaborative metaproteomics research. The implementation of such

769 voluminous data is beginning to be applied on larger scales and holds great promise in
770 improving not only our understanding of the functioning of the current system, but also the way
771 we assess how environments are changing with continued human perturbations.

772 Intercomparison and intercalibration are critical activities to undertake in order to allow
773 comparison of 'omics results across time and space dimensions. With major programs
774 underway and being envisioned such as the BioGEOTRACES, AtlantECO, Bio-GO-SHIP, and
775 BioGeoSCAPES efforts, the imperative for such intercalibration has grown and the need for best
776 practices is urgent. This Ocean Metaproteomic Intercomparison study is a valuable step in
777 assessing metaproteomic capabilities across a number of international laboratories,
778 demonstrating a clear consistency in measurement capability, while also pointing to the
779 potential for continued community development of metaproteomic capacity and technology.

780

781 *Author Contributions:* MAS and MRM obtained OCB workshop support and drafted the
782 experimental design with feedback from BN, MJ, and DL acting as the Advisory Committee. SC,
783 JH, DL, GJV, and JKS conducted the metagenomic analyses and assembly. JKS, MAS, MMB,
784 MRM, and RM conducted data analysis and visualization. MRM, MAS, JAB, MVJ, and RJ
785 conducted sample collection and/or AUV Clio operations. MAS, JKS, MRM, EMB, SC, JRC, TG,
786 JH, RLH, PJ, MJ, RK, HK, DL, JSPM, EM, SM, DMM, JN, BN, JJ, MD, GJH, RG, RM, BLN, MP,
787 SP, AR, ER, BS, TVDB, JRW, HZ, and ZZ contributed mass spectrometry data and/or
788 bioinformatics data for the intercomparison. JKS anonymized data submissions and conducted
789 follow-up correspondence about methods. The manuscript was drafted by MAS and all authors
790 contributed to the writing and editing.

791

792 *Data and Code Availability:* The raw files, metagenome database
793 (Intercal_ORFs_prodigal_metagenemark.fasta), and associated annotations

794 (Intercal_assembly_annotations.csv) for this project summarized in Table S3 are available at
795 ProteomeXchange and PRIDE repository with the dataset identifier PXD043218
796 (<https://www.ebi.ac.uk/pride/archive/projects/PXD043218>) and PXD044234
797 (<https://www.ebi.ac.uk/pride/archive/projects/PXD044234>). Co-located information about these
798 datasets are available at the Biological and Chemical Data Management Office under project
799 765945 (<https://www.bco-dmo.org/project/765945>) and at the BATS page ([https://www.bco-](https://www.bco-dmo.org/project/2124)
800 [dmo.org/project/2124](https://www.bco-dmo.org/project/2124)). The metagenomic reads are listed under Bioproject Accession:
801 PRJNA932835; SRA submission: SUB12819843, available at link:
802 <https://www.ncbi.nlm.nih.gov/bioproject/PRJNA932835>. The code for upset visualization is
803 available at: <https://maggimars.github.io/protein/PeptideUpSetR.html>.

804

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1047

1048 **Figure Captions**

1049 **Figure 1.** Ocean metaproteomics intercomparison experimental design and sample collection.

1050 a) The laboratory component (left) consisted of collection of field samples, 1-dimensional (1D)
1051 chromatographic separation followed by data dependent analysis (DDA) uniformly employing
1052 orbitrap mass spectrometers analyses by participating laboratories and submission of raw and
1053 processed data. The bioinformatic (right) component consisted of distribution of two 1D-DDA
1054 files, peptide-to-spectrum matching (PSMs), and submission and compilation of results. b) Size-
1055 fractionated sample collection on 3.0 μm pore-size filter followed by a 0.2 μm pore-size Supor
1056 filter, and the 0.2–3.0 μm size fraction was used for the intercomparison study. c) Two horizontal
1057 *in-situ* McLane pumps were bracketed together with two Mini-MULVS filter head units each and
1058 deployment on synthetic line. d) The four 142 mm filters were sliced into eighths (inset) and two
1059 slices were distributed to each participating laboratory.

1060

1061 **Figure 2.** Shared peptides and proteins between laboratory groups using laboratory

1062 submissions processed through a single bioinformatics re-analysis pipeline. a) Total number of
1063 discovered unique peptides varied by more than three-fold among seven laboratory groups
1064 (horizontal bars) due to varying extraction and analytical schemes (FDR 0.1%). The number of
1065 intersections between datasets across all seven datasets was 1395 (fourth blue bar from left),
1066 and various sets of intersections of peptides were observed amongst the data. b) Total number
1067 of discovered proteins (FDR < 1%) varied more than four-fold from 1586 to 6221 among labs
1068 (horizontal bars). Intersections between datasets across all seven laboratories was 1056, with
1069 various sets of intersections of proteins observed, similar to the peptides. c) 7-way Venn
1070 diagrams of shared unique peptides between laboratories showed 1056 shared peptides
1071 between the 7 laboratories. d) 3-way Venn diagrams showed 2398, 2304, and 3016 shared
1072 unique peptides between laboratories.

1073

1074 **Figure 3.** Comparison of unique peptides and discovered proteins. Comparison as total protein
1075 identifications and protein groups from the single pipeline re-analysis based on submissions
1076 from 9 laboratories. Increasing sample depth is linear with mapping to proteins, (R^2 of 0.97 and
1077 0.98 for total protein IDs and protein groups, respectively, with slopes of 0.37 and 33) implying
1078 that additional peptide discovery leads to proportionally more protein discovery, and that protein
1079 discovery has not yet begun to saturate with more peptides mapping to each protein. Because
1080 simple 1D analyses were stipulated in the intercomparison experimental design, peptide and
1081 protein discovery was correspondingly limited in depth.

1082

1083 **Figure 4.** Quantitative comparison of intercomparison results. a) Pairwise comparisons of
1084 quantitative abundance across six laboratories in units of spectral counts (comparisons with
1085 itself show unison diagonals). b) R^2 values from pairwise linear regressions. d) Total proteins
1086 identified in each laboratory. d) Average of each laboratory's R^2 values from pairwise regression
1087 with the other six laboratories (error bars are standard deviation). In all cases average R^2 values
1088 are higher than 0.5. e) Occurrences of R^2 values in pairwise comparisons spanning 0.4 to 0.9.
1089 Potential causes of this range are outlined in the Discussion section.

1090

1091 **Figure 5.** Taxonomic and functional analysis of metaproteomic intercomparison. a) Percent
1092 spectral counts by taxonomy was similar across laboratories and technical replicates within
1093 laboratories. The sample was dominated by cyanobacteria and alphaproteobacteria,
1094 corresponding primarily to *Prochlorococcus* and *Pelagibacter*, respectively. b) Percent spectral
1095 counts per Kegg Ontology group showed the functional diversity of the sample.

1096

1097 **Figure 6.** Quantitative Sørensen similarity analysis. Analysis of top 1000 proteins (~75% of all
1098 proteins) showed 70–80% similarity between most laboratory groups. Technical triplicates for

1099 each laboratory group are shown.

1100

1101 **Figure 7.** Intercomparison of bioinformatic pipelines among laboratories. Unique peptide
1102 identifications for sample Ocean 8 from 120m depth (a) and Ocean 11 from 20m depth (b), both
1103 from the North Atlantic Ocean (Table S3), using a variety of pipelines and PSM algorithms.

1104

1105 **Figure 8.** Variability in discovered proteins between laboratories occurs in lower abundance
1106 proteins. Top 7 panels: Abundance of proteins as percentage of total protein spectral counts
1107 within each laboratory (y-axis is percentage), with proteins on the x-axis shown by ranked
1108 abundance as the sum of spectral counts across all laboratories. Almost all proteins fall below
1109 1% of spectral counts within the sample, and deeper proteomes have lower percentages due to
1110 sharing of percent spectral counts across more discovered proteins. Bottom panel: Shared
1111 proteins were found early within the long-tail of discovered proteins: the 1056 proteins shared
1112 between all laboratory groups are almost all found to the left side indicating their higher
1113 abundance in all seven datasets. Scale is binary in the seventh panel indicating presence in 7
1114 labs or not.

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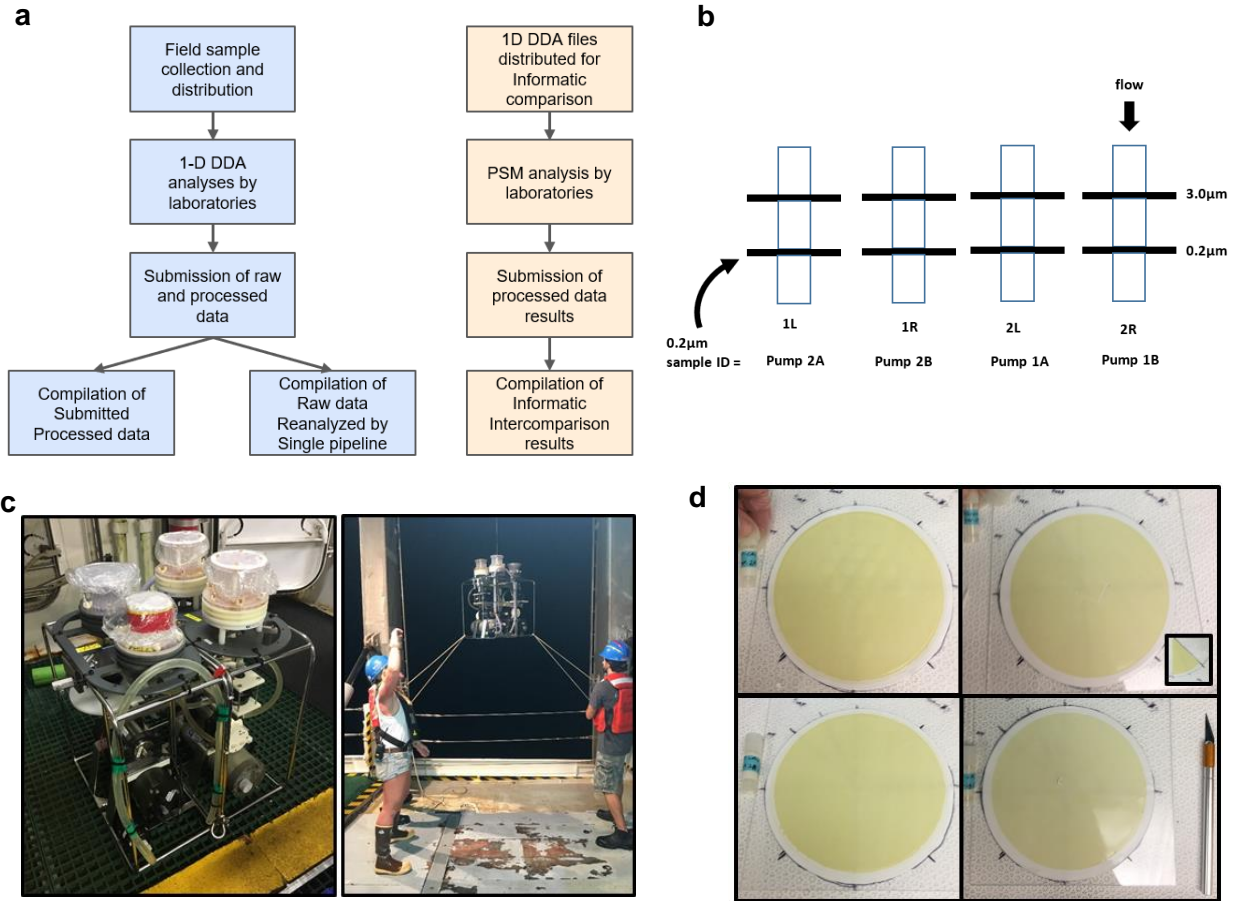
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1117 Figure 1.

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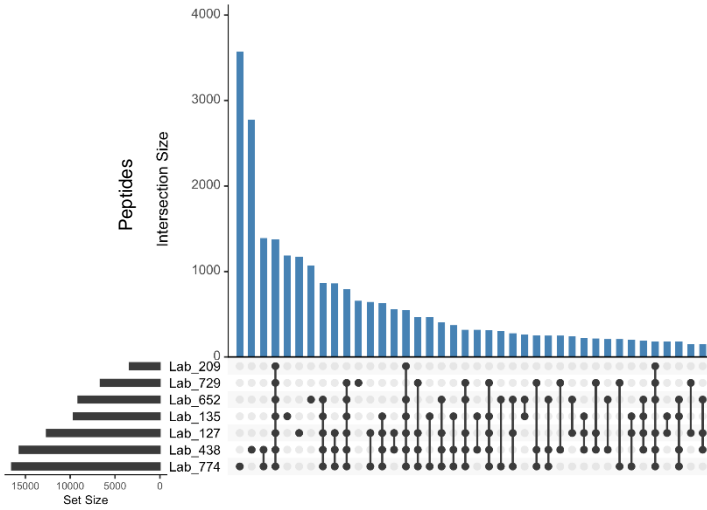
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1121 Figure 2.

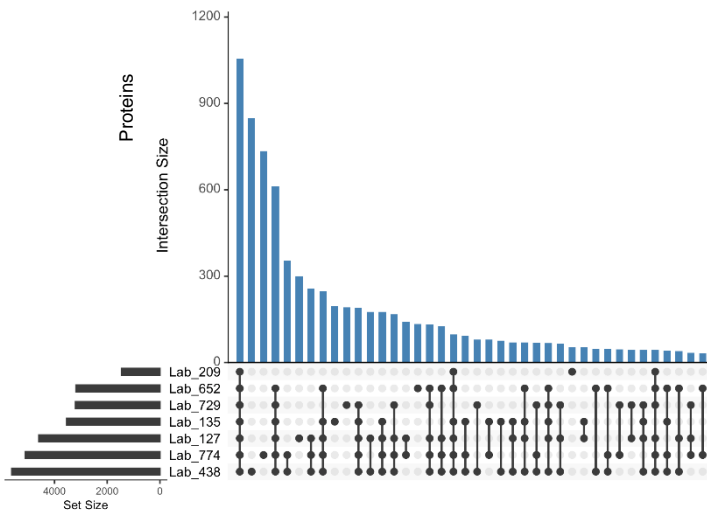
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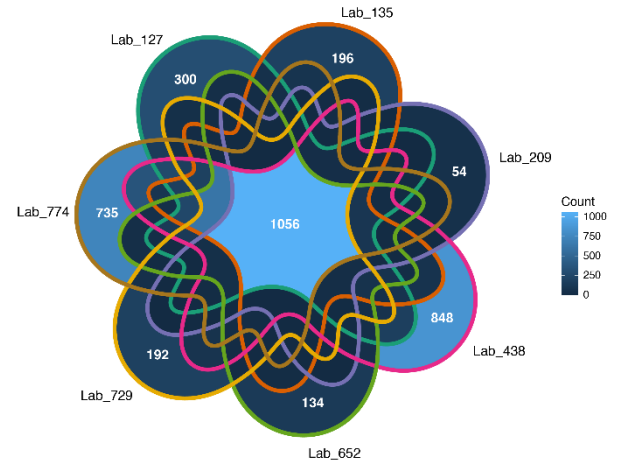


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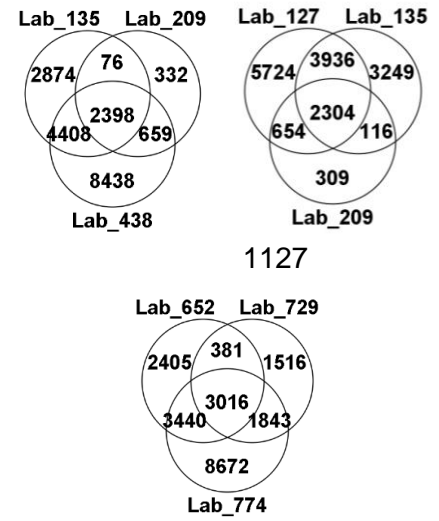
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1135 Figure 3

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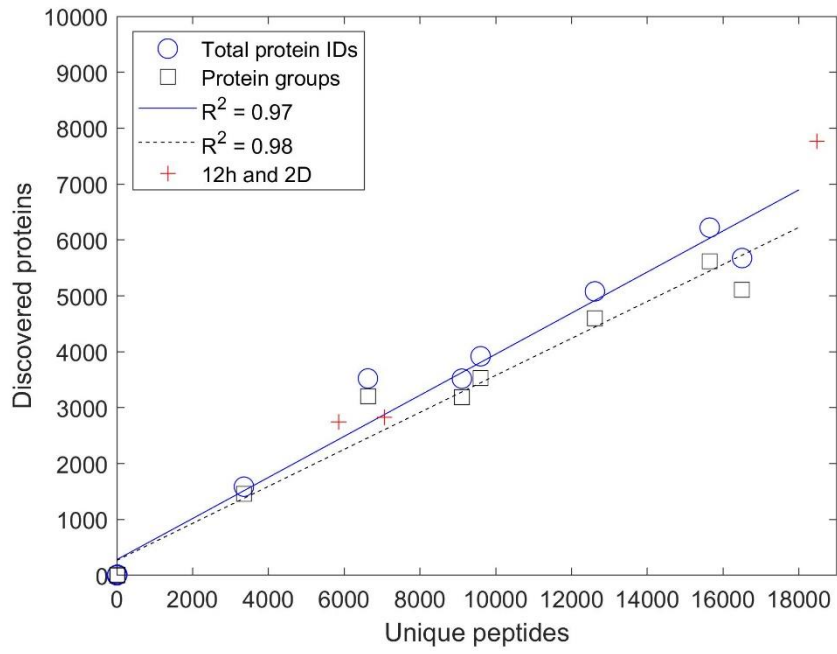
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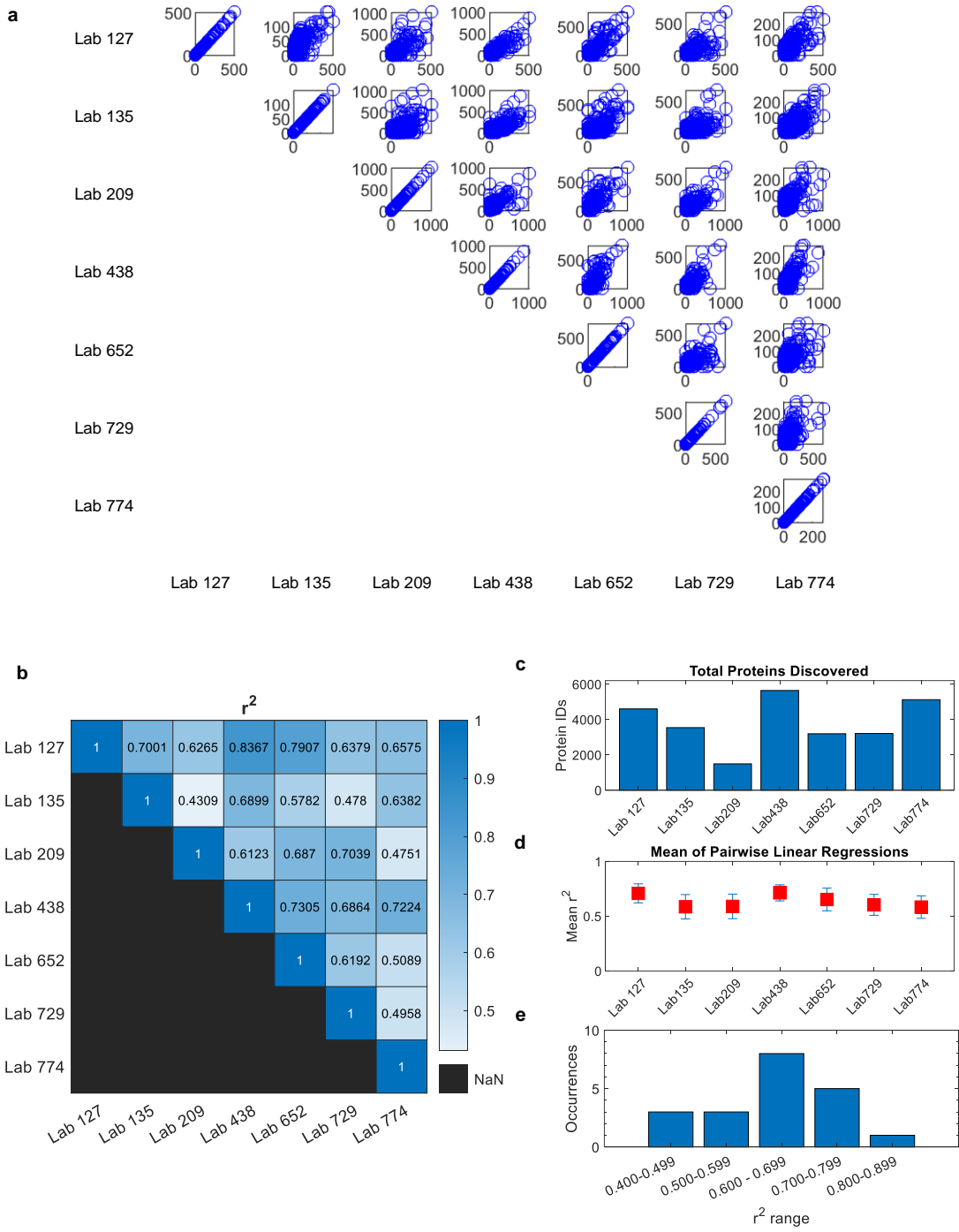
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1144 Figure 4.
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1146 Figure 5.

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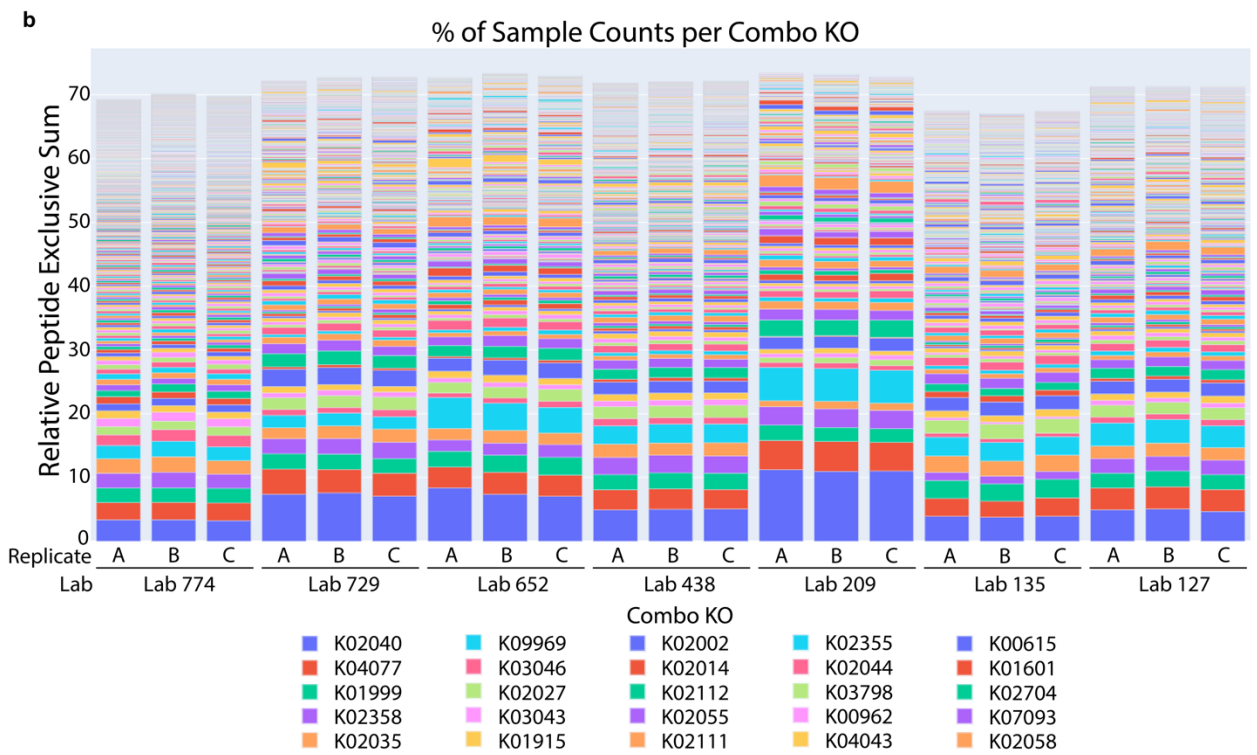
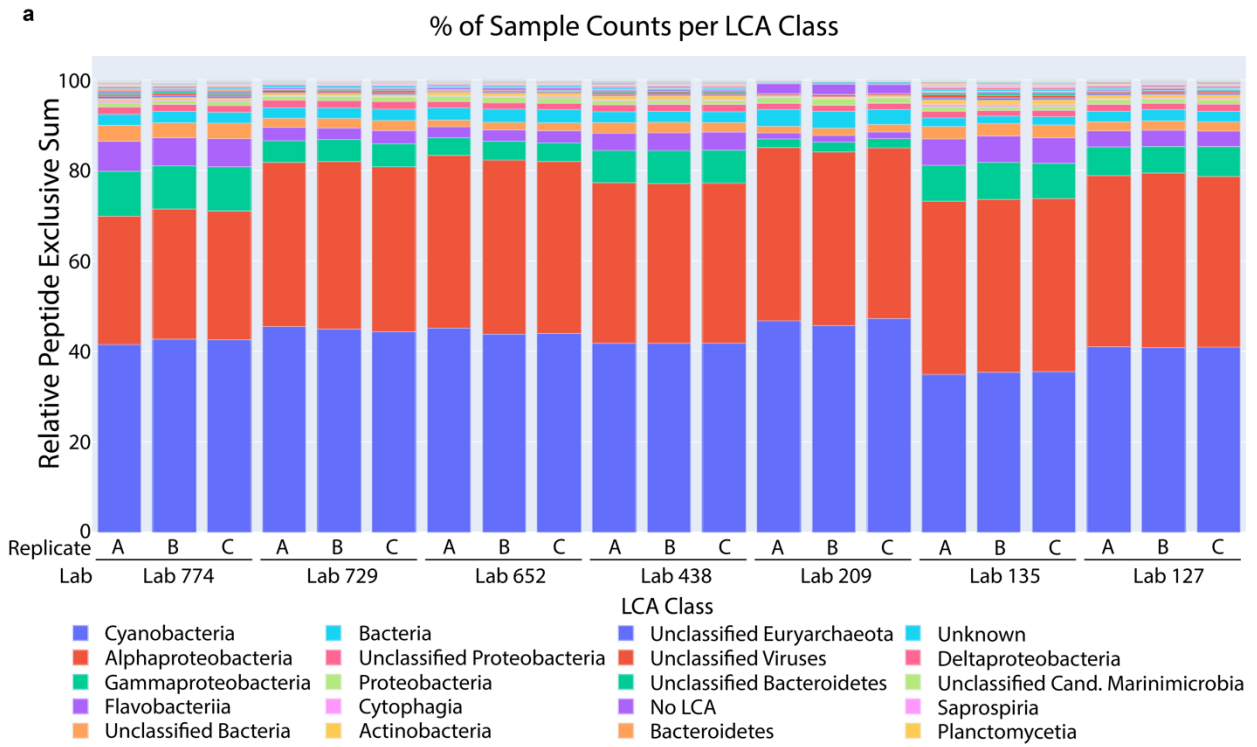
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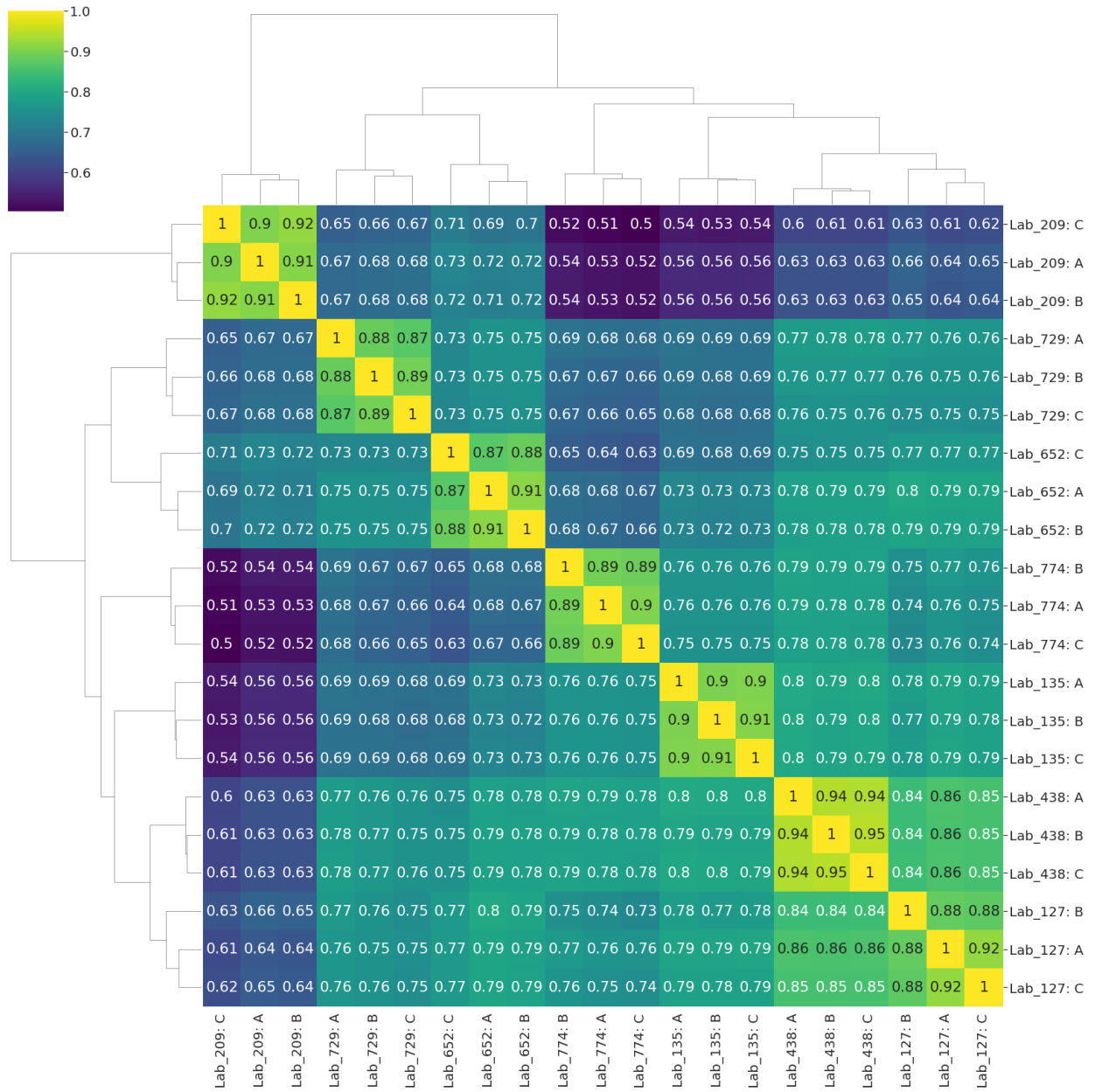
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1159 Figure 6.

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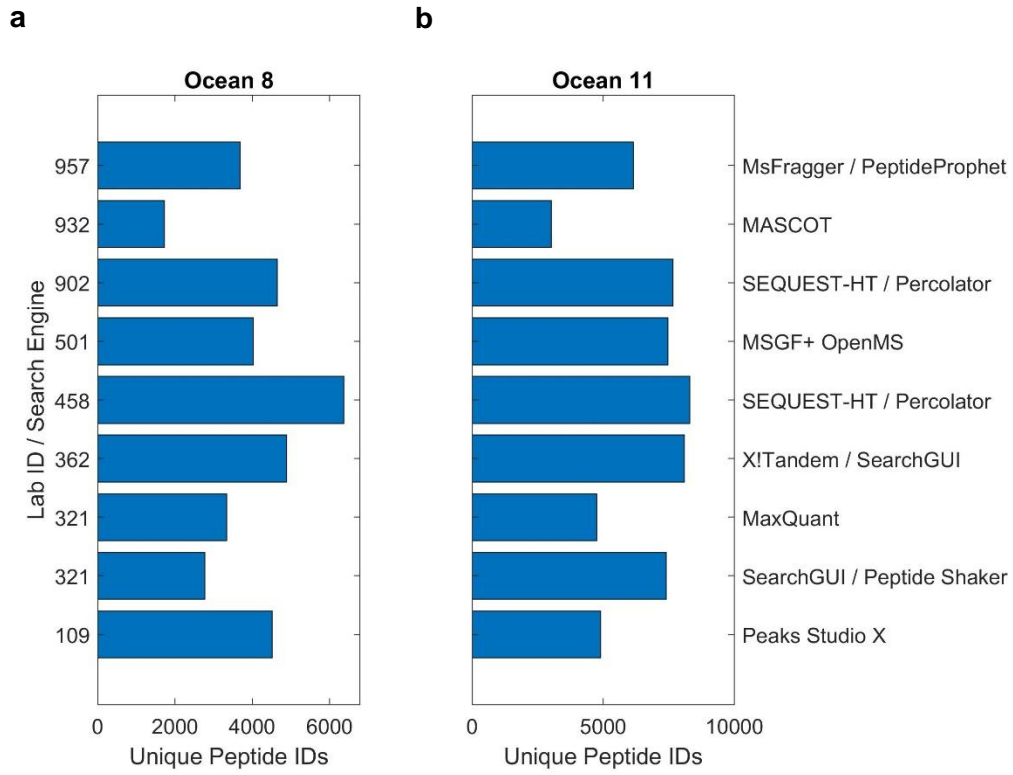
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1167 Figure 7.

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1173 Figure 8.

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