The potential of *in situ* cosmogenic ¹⁴CO in ice cores as a proxy for galactic cosmic ray flux variations

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Abstract

- 15 Galactic cosmic rays (GCRs) interact with matter in the atmosphere and at the surface of the Earth to produce a range of cosmogenic nuclides. Measurements of cosmogenic nuclides produced in surface rocks have been used to study past land ice extent as well as to estimate erosion rates. Because the GCR flux reaching the Earth is modulated by magnetic fields (solar and Earth's), records of cosmogenic nuclides produced in the atmosphere have also been used for studies of past solar activity. Studies utilizing cosmogenic nuclides assume that the GCR flux is constant in
- time, but this assumption may be uncertain by 30% or more. Here we propose that measurements of ¹⁴C of carbon 20 monoxide (¹⁴CO) in ice cores at low-accumulation sites can be used as a proxy for variations in GCR flux on timescales of several thousand years. At low-accumulation ice core sites, ¹⁴CO in ice below the firn zone originates almost entirely from *in situ* cosmogenic production by deep-penetrating secondary cosmic ray muons. The flux of such muons is almost insensitive to solar and geomagnetic variations, and depends only on the primary GCR flux intensity. We use
- an empirically-constrained model of in situ cosmogenic ¹⁴CO production in ice in combination with a statistical 25 analysis to explore the sensitivity of ice core ¹⁴CO measurements at Dome C, Antarctica to variations in the GCR flux over the past \approx 7000 years. We find that Dome C ¹⁴CO measurements would be able to detect a linear change of 4% over 7 ka, a step increase of 4% at 3.5 ka or a transient 100-year spike of 250% at 3.5 ka at the 3 σ significance level. The ice core ¹⁴CO proxy therefore appears promising for the purpose of providing a high-precision test of the
- assumption of GCR flux constancy over the Holocene. 30

1 Introduction

The galactic cosmic ray (GCR) flux at Earth is modulated by both the geomagnetic and the heliospheric (solar) magnetic fields. The heliospheric magnetic field strength is linked to solar activity and solar irradiance (e.g., Wu et al., 2018b; Steinhilber et al., 2009), with irradiance being a key climate driver. This has enabled the use of

- 35 records of past cosmogenic nuclide production rates for studies of past solar variability (e.g., Adolphi et al., 2014; Bard et al., 2000; Steinhilber et al., 2009; Usoskin et al., 2016; Usoskin, 2023). The two main nuclides that have been used for these studies are ¹⁴C (mainly from tree rings, which record atmospheric ¹⁴C/¹²C ratio) and ¹⁰Be (from ice cores, which record the flux of ¹⁰Be at the snow deposition site). Measurements of cosmogenic nuclides have also been used extensively to study processes at the Earth's surface, such as the timing of glacial advance and retreat (e.g.,
- 40 Balco, 2020). In these applications, nuclides such as ¹⁰Be, ¹⁴C, ²⁶Al and ³⁶Cl that are produced *in situ* in surface rocks are of interest.

Cosmogenic nuclide-based reconstructions of past solar activity and ice extent have relied on the assumption that the GCR flux is constant in time (e.g., Balco, 2011; Gosse and Phillips, 2001; Muscheler, 2013). Measurements of cosmogenic radionuclides in meteorites provide arguably the strongest support for this assumption (e.g., Smith et al., 2019; Wieler et al., 2013). However, a number of important uncertainties are involved when interpreting these measurements, including meteoroid orbits, solar modulation of the GCR flux and break-up of meteoroids / fresh surface exposure on entry into the atmosphere. A review by Wieler et al. (2013) concluded that while overall the meteorite evidence indicates that the GCR flux is constant, this assumption is uncertain by 30% or more.

- Measurements of cosmogenic nuclides in lunar rocks also indirectly indicate that the GCR flux could have been constant on million-year timescales, although there is still a confounding influence of solar modulation (e.g., Poluianov et al., 2018). Records of ¹⁰Be/⁹Be ratios in oceanic sediments and iron-manganese crusts (Willenbring and von Blanckenburg, 2010) have also been used to argue that the GCR flux is approximately constant on million-year timescales (Wieler et al., 2013). However, this approach also involves multiple confounding factors, such as solar and geomagnetic modulation of the GCR flux and ¹⁰Be transport, deposition and oceanic cycling. Results from studies
- 55 that have used cosmogenic ¹⁴C and ¹⁰Be to examine past solar activity also assume that there were no large changes in the GCR flux in the past few millennia (e.g., Knudsen et al., 2009; Steinhilber et al., 2012; Wu et al., 2018a). However, again, inferences about the GCR flux from such records are complicated by solar and geomagnetic modulation (e.g., Knudsen et al., 2008), carbon cycle (for ¹⁴C, e.g., Muscheler et al., 2007) and transport and deposition effects (for ¹⁰Be; e.g., Field et al., 2006).
- 60 Theoretical considerations also generally support the assumption that the GCR flux is constant, though small anisotropies are expected due to the effect of the nearest sources of GCRs and the diffusive propagation of cosmic rays in the galaxy (Erlykin and Wolfendale, 2006; Blasi and Amato, 2012; Ahlers and Mertsch, 2015; Mertsch and Funk, 2015). At energies above 100 GeV, the GCR flux at Earth today is isotropic to within 1 part in 1000, with the residual anisotropy characterized by a dipole plus statistically significant components on angular scales as small as 5
- 65 degrees (e.g., Abeysekara et al., 2019 and references therein). The observations indicate that cosmic ray transport is 65 dominated by diffusion in galactic magnetic fields, which should dampen the contributions of spatial and temporal 66 point sources of cosmic rays. Nevertheless, significant GCR flux variations are in principle possible even on sub-67 millennial timescales. For example, Melott et al. (2017) and Thomas et al. (2016) consider the terrestrial effects of a 68 supernova 50 parsecs from Earth and estimate that the production rate of atmospheric muons could increase by up to
- 70 several orders of magnitude depending on how accelerated GCRs propagate through nearby galactic magnetic fields. While the predictions of such models should be understood to represent the extreme upper limit of possible effects, a

number of observations suggest that supernova explosions in our galactic neighborhood do produce measurable effects on the local properties of GCRs. Such observations include the part-per-mille dipole anisotropy in the cosmic ray flux above 1 TeV (e.g., Abeysekara et al., 2019; Ahlers and Mertsch, 2015; Blasi and Amato, 2012; Erlykin and

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Wolfendale, 2006), the fluxes of positrons and antiprotons above 20 GeV and heavy nuclei above 1 TeV (e.g., Kachelriess et al., 2015), and measurements of ⁶⁰Fe in ocean sediments (Wallner et al., 2016) and Antarctic snow (Koll et al., 2019). Thus, high-precision tests of GCR flux variations that are free of the confounding factors discussed above for meteorites and for cosmogenic ¹⁰Be and ¹⁴C produced in the atmosphere would be valuable.

2 Systematics of *in situ* cosmogenic ¹⁴CO in glacial ice

80 2.1 Overview of ¹⁴CO in glacial ice

We first provide an overview of the current understanding of the processes that control the abundance of in situ cosmogenic ¹⁴CO in glacial ice, which is needed to understand how the ice core ¹⁴CO proxy for GCR flux variations works. ¹⁴C in glacial ice originates from trapping of ¹⁴C-containing atmospheric gases such as carbon dioxide (CO₂), methane (CH₄) and carbon monoxide (CO) as well as from *in situ* cosmogenic production. In situ ¹⁴C is produced in glacial ice and firn via interactions of secondary cosmic ray neutrons and muons with ¹⁶O in the ice 85 grains (Fig 1a) (e.g., Lal et al., 1997; Petrenko et al., 2016; van der Kemp et al., 2002). Once produced, this ¹⁴C reacts rapidly to form predominantly ¹⁴CO₂ and ¹⁴CO, with a small amount of ¹⁴CH₄ and possibly other organics also being formed (e.g., Fang et al., 2021; Lal et al., 2000; Petrenko et al., 2013; van de Wal et al., 2007). ¹⁴C production rates are highest near the surface, where neutron-induced spallation of 16 O is the main production mechanism. The neutron

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flux is attenuated rapidly with depth, however, and only affects the uppermost ≈ 20 m of the firm (or uppermost ≈ 10 m of solid ice) (e.g., Lal et al., 1987). Below these depths, production of 14 C proceeds at lower rates and is dominated by negative muon capture as well as interactions with fast muons (Fig. 1b) (Petrenko et al., 2016; van der Kemp et al., 2002).



Figure 1: Introduction to production and loss of ¹⁴C in firn and ice. a) Simplified schematic of the firn column, 95 illustrating *in situ* cosmogenic production ¹⁴C by neutrons (n) and muons (μ) and loss in the upper, diffusive part of

the firn as well as ¹⁴C production by muons below the lock-in depth where all of the ¹⁴C is retained. b) ¹⁴CO production rates calculated as described in Section 2.2. Here $f_{\mu} = 0.0667$ and $f_{\mu f} = 0.0722$, which are mid-range values from the range constrained by Hmiel et al. (2023).

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The concentration of *in-situ* ¹⁴C in glacial ice at accumulation sites is controlled by the ¹⁴C production rates (site and depth-dependent), the snow accumulation rate and the retention of ¹⁴C in the firn. Sites at higher altitudes have less atmospheric shielding from cosmic rays, resulting in higher ¹⁴C production rates at the surface (e.g., Lifton et al., 2014). At sites with low accumulation rates, ice layers spend more time at relatively shallower depths, allowing for more *in situ* ¹⁴C to be produced. Further, prior work has shown that most of the *in situ* cosmogenic ¹⁴C that is

- produced in the firn is rapidly lost to the atmosphere (de Jong et al., 2004; Hmiel et al., 2023; Petrenko et al., 2013). Because of this, the majority of the *in situ* cosmogenic ¹⁴C in glacial ice is from production below the firn zone. Of the ¹⁴C-containing gases in glacial ice, ¹⁴CO has the highest ratio of *in situ* cosmogenic to trapped atmospheric ¹⁴C. This is due to 1) atmospheric ¹⁴CO concentrations being lower than those for ¹⁴CO₂ or ¹⁴CH₄ (mainly because global
- 110 mean mole fractions for CO (\approx 80 nmol mol⁻¹) are much lower than those for CO₂ (\approx 420 µmol mol⁻¹) and CH₄ (\approx 1920 nmol mol⁻¹) (NOAA GML data viewer)) and 2) the relatively large fraction of *in situ* ¹⁴C that forms CO in ice (\approx 0.31; Dyonisius et al., 2023; Hmiel et al., 2023). This makes ¹⁴CO the best species for investigating the *in situ* cosmogenic component of ¹⁴C in ice.
- In situ ¹⁴CO in glacial ice is present at very low concentrations (a few molecules per gram ice is typical, see Figures 2 and 3), making measurements very analytically challenging. Prior studies have either worked with relatively small (a few kg) ice samples available from a single shared ice core (e.g., van der Kemp et al., 2002), resulting in relatively large uncertainties, or required dedicated ice coring campaigns to obtain large ice amounts (100 kg or more) from multiple parallel ice cores for high-precision measurements (e.g, Dyonisius et al., 2023). Dry extraction of air from ice has been used for smaller ice samples (van der Kemp et al., 2002), and melt-extraction for large samples
- 120 (Dyonisius et al., 2023). CO in the extracted air is separated, combusted to CO₂, this CO₂ is subsequently converted to graphite and then the ¹⁴C/¹³C or ¹⁴C/¹²C ratio is measured via accelerator mass spectrometry. A detailed description of ice core ¹⁴CO measurement methodology can be found in Dyonisius et al. (2023).

2.2 Production of ¹⁴CO in glacial ice

Prior studies (Dyonisius et al., 2023; Hmiel et al., 2023) have presented detailed parameterizations of *in situ* 125 cosmogenic ¹⁴CO production rates in glacial ice. This work uses the same parameterizations, which are described again here for the reader's convenience. ¹⁴C production rate in ice via the neutron mechanism declines exponentially with depth, with ¹⁴CO production rate calculated following Hmiel et al. (2023) as:

$$P_n^{CO}(h) = \Omega_{\text{LL}}^{CO} \cdot F_n \cdot S_n \cdot Q_C \cdot P_{n,SLHL}^{Qtz}(0) \cdot e^{-h/\Lambda_n}$$
(1)

In this equation, *h* is the mass-depth (in g cm⁻²), Ω_{LL}^{CO} is the fraction of total *in situ* ¹⁴C that forms CO (we use 0.31, following Hmiel et al. (2023)) and F_n is an adjustable dimensionless parameter that allows for tuning the neutron mechanism production rate within uncertainties (0.9 – 1.1 range). S_n is the site-specific dimensionless scaling factor

which describes the ratio of ¹⁴C production rate at the site of interest to ¹⁴C production rate at a sea-level high-latitude reference site; S_n is determined using the model of Lifton et al. (2014). Q_c is a factor that translates ¹⁴C production rate from quartz to ice using the difference in oxygen atom density (atoms/g) between ice and quartz ($Q_c = 1.667$).

135 $P_{n,SLHL}^{Qtz}(0)$ is the reference ¹⁴C production rate at the surface via the neutron mechanism in quartz at a sea-level highlatitude site; we use a value of 12.76 atoms / g Qtz / yr from the CRONUS-Earth project, which is defined for 2001-2010 mean solar modulation and geomagnetic field conditions (Borchers et al., 2016). Λ_n is the absorption mean free path of neutrons in ice; we use a value of 150 g cm⁻² (Lal et al., 1987; van de Wal et al., 2007).

For ¹⁴CO production by the muon mechanisms, we use a model developed by Balco et al. (2008) ("Balco model"), which incorporates parameterizations of Heisinger et al. (2002a, 2002b). The ¹⁴C production rate via negative muon capture in these parameterizations is calculated using:

$$P_{\mu-}(h) = R_{\mu-}(h) \cdot f_C \cdot f_D \cdot f^* \tag{2}$$

where $R_{\mu-}(h)$ is the stopping rate of negative muons (muons g⁻¹ yr⁻¹) at mass-depth *h*, *f_C* is the chemical compound factor representing the probability that the stopped muon is captured by one of the target atoms, *f_D* is the probability that the negative muon does not decay in the K-shell before nuclear capture, and *f*^{*} is the effective probability for production of the cosmogenic nuclide of interest after μ ⁻ capture by the target nucleus. For production of ¹⁴C from ¹⁶O in ice, *f_C* = 1, *f_D* = 0.1828 and *f*^{*} = 0.137 (Heisinger et al., 2002a).

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The ¹⁴C production rate via the fast muon mechanism is given by Heisinger et al. (2002b) as:

$$P_{\mu f}(h) = \sigma_0 \cdot \beta(h) \cdot \phi(h) \cdot \overline{E}(h)^{\alpha} \cdot N \tag{3}$$

where σ₀ is the reference nuclear reaction cross section at muon energy of 1 GeV (cm²), φ(h) is the total muon flux at mass-depth h (muons cm⁻² yr⁻¹ sr⁻¹), Ē(h) is the mean muon energy at mass-depth h (GeV), α is a power factor that describes the energy dependence of the cross section (we use α=0.75, consistent with Dyonisius et al., 2023 and Heisinger et al., 2002b), and N is the number of target nuclei per gram target mineral" (Hmiel et al., 2023). We use σ₀ = 8.8 µb = 8.8 x 10⁻³⁰ cm² (Heisinger et al., 2002b) and N = (6.022 x 10²³ atoms / mol) / (18.02 g / mol) for ice. β(h) is a unitless depth dependence factor (≈0.9 at our depths of interest, with only a slight dependence on depth), given by Heisinger et al (2002b) as:

$$\beta(h) = \frac{\overline{E(h)^{\alpha}}}{\overline{E(h)^{\alpha}}}$$
(4)

Heisinger et al. (2002b) also provide an approximate function for $\beta(h)$, which is used in the Balco model and hence in our model:

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$$\beta(h) = 0.846 - 0.015 \ln(h+1) + 0.003139 (\ln(h+1))^2$$
 (5)

The Balco model incorporates equations 2 and 3 and also provides the muon fluxes and energies as a function of massdepth h for a given site, using site atmospheric pressure as input.

2.3 Constraints on in situ ¹⁴CO production rates from measurements at Taylor Glacier, Antarctica

Recent studies at Taylor Glacier, Antarctica (an ice ablation site that exposes ancient ice at the surface) have provided measurements of ¹⁴C in ice older than 50 ka (Dyonisius et al., 2023; Petrenko et al., 2016). In such ice, any ¹⁴C from the snow accumulation site (from ¹⁴C-containing atmospheric gases trapped into air bubbles or from *in situ* cosmogenic production) has decayed away (¹⁴C half-life is 5700 years), and the only measurable ¹⁴C originates from relatively slow *in situ* cosmogenic production by muons as the glacier transports the ice at large depths and somewhat faster production as the ice gradually rises toward the surface via ablation. Due to the relatively fast ice ablation rate of \approx 20 cm yr ⁻¹, the ¹⁴C contribution from the neutron production mechanism is negligible for ice deeper than 6 m. This presented an opportunity to use ¹⁴CO measurements in Taylor Glacier to constrain the muogenic ¹⁴CO production

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Figure 2. Overview of ¹⁴CO results from Taylor Glacier. a) Ice parcel back-trajectories for the deepest (72 m) Taylor Glacier ¹⁴CO sample. Solid black line shows the best-estimate flow trajectory and the shaded envelope represents the 68% CI. b) Comparison of Taylor Glacier ¹⁴CO measurements with model predictions for accepted scenarios. c) accepted ranges of f_{μ} and $f_{\mu f}$. Figures modified from Dyonisius et al. (2023).

Dyonisius et al. (2023) presented measurements of ¹⁴CO in Taylor Glacier ice between the surface and 72 m depth. An ice flowline model for Taylor Glacier (Buizert et al., 2012b) was used to reconstruct the possible range of trajectories for the sampled ice parcels (Figure 2a). The Balco model was used to calculate ¹⁴CO production via the muon mechanisms as ice parcels followed the trajectories. As prior work suggested that muogenic ¹⁴C production rates from Heisinger et al. (2002a, 2002b) may be too high when applied to ice (Petrenko et al., 2016), Dyonisius et al. (2023) introduced production rate adjustment factors f_{μ} and $f_{\mu f}$ into production rate equations as follows:

$$P_{\mu-}^{CO}(h) = f_{\mu-} \cdot P_{\mu-}^{Balco}(h, P)$$
(6)

$$P_{\mu f}^{CO}(h) = f_{\mu f} \cdot P_{\mu f}^{Balco}(h, P)$$

$$\tag{7}$$

Here $P_{\mu}^{Balco}(h, P)$ is the total ¹⁴C production rate (in atoms g⁻¹ yr⁻¹) in the Balco model for the respective muon mechanism at mass-depth *h* and surface pressure *P*. f_{μ} and $f_{\mu f}$ account for 1) the fraction of total ¹⁴C that forms ¹⁴CO (Ω_{\Box}^{CO}) and 2) adjustment factor for total ¹⁴C production rate.

To define the best-estimate ¹⁴CO production rate adjustment factors f_{μ} - and $f_{\mu f}$, Dyonisius et al. (2023) used a grid search approach, as follows. Using the best-estimate ice parcel back-trajectory (Fig. 2a), an expected ¹⁴CO depth profile was calculated for each combination of f_{μ} - and $f_{\mu f}$ between 0 and 0.2 at 0.001 resolution. The model results were then compared to ¹⁴CO measurements (Fig. 2b) with mean depths of 6.85 m or deeper (to avoid significant effects

from the neutron mechanism) and a χ^2 metric was used to determine the goodness of fit. To define the possible range

described above. All pairs of f_{μ} and $f_{\mu f}$ that yielded ¹⁴CO depth profiles (Fig. 2b) that were within average

- 195 of $f_{\mu-}$ and $f_{\mu f}$, Dyonisius et al. (2023) used a Monte Carlo approach, as follows. First, 10,000 possible ice backtrajectories were generated by perturbing ablation rates along the glacier according to their uncertainties (Fig. 2a). Next, a wide prior distribution for $f_{\mu-}$ and $f_{\mu f}$ was defined by starting with the best-estimate values and assuming a large and normally distributed 200% uncertainty in these values. 100,000 Monte Carlo iterations of the model were then run, with each iteration randomly selecting a back-trajectory scenario and a pair of $f_{\mu-}$ and $f_{\mu f}$ from the prior distribution
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measurement uncertainty (1 σ or 2 σ) from the best-fit solution were accepted (Fig. 2c).

2.3 Constraints on in situ ¹⁴CO retention and leakage in firn and production in ice at Greenland Summit

In situ cosmogenic ¹⁴C that is produced in the firn column above the lock-in depth can be lost to the atmosphere if it is able to leak out of the ice grains, resulting in low ¹⁴C retention into ice below the firn zone (e.g., de Jong et al., 2004; Petrenko et al., 2013 and references therein). Hmiel et al. (2023) used Greenland Summit to conduct the most comprehensive study to date of *in situ* cosmogenic ¹⁴C in the firn, with a focus on ¹⁴CO. This study measured ¹⁴CO in the ice grains in the firn matrix, in firn air, as well as in bubbly ice below the firn zone. Very large firn and ice samples (200 – 300 kg) were used for ¹⁴CO analysis, to provide sufficiently large numbers of ¹⁴C atoms for precise ¹⁴C measurements. Figure 3a shows the ¹⁴CO increases rapidly with depth owing mainly to production by the neutron mechanism, reaching a peak in the 10 – 20 m depth range. Beyond 20 m, ¹⁴CO in the firn matrix declines gradually with depth in the diffusive part of the firn, reflecting leakage of *in situ* ¹⁴C from the ice grains. ¹⁴CO in creases rapidly in the lock-in zone (≈70 – 80 m), reflecting addition of ¹⁴CO from trapped air. Below the lock-in zone, ¹⁴CO in the ice continues to increase gradually due to deeper production by the muon mechanisms.



Figure 3. Overview of ¹⁴CO results from Greenland Summit. a) Measured ¹⁴CO content in ice grains and closed porosity along with a model fit. Horizontal error bars represent the depth range of firn and ice included in each sample. b) Contour plot of the accepted ranges of the R₁ (initial retention) and L₁ (slow leakage) parameters in firn, together

220 with the best-fit solution as well as solutions that result in maximum and minimum ¹⁴CO content in ice below the firn zone. c) Contour plot of accepted ranges of f_{μ} and $f_{\mu f}$ from Taylor Glacier (dashed lines) and after further constraints from Summit measurements (solid lines).

To interpret the Greenland Summit ¹⁴CO results, Hmiel et al. (2023) employed a firn gas transport model that 225 can also characterize trapped air in ice below the firn zone (Buizert et al., 2012a). Production of in situ ¹⁴C following the systematics described in section 2.2 and tracking of ¹⁴C in ice grains and porosity was implemented into this model. With regard to ¹⁴C loss from ice grains in the firn, it was found that the model-data agreement was best if two separate loss processes were parameterized in the model: a fast process, with a time scale <1 year and an additional slow process. This was described in the model using parameters R_1 and L_1 . R_1 represents the fraction of *in situ* ¹⁴C in the 230 ice grains that is initially retained. The fraction of *in situ* ¹⁴C in ice grains that is lost rapidly, given by 1-R₁, leaks out from the ice grains into the porosity at every model time step (0.5 year). L_1 represents the fraction of the initially retained ¹⁴C that is lost more slowly from the ice grains over the course of 1 year. Hmiel et al. (2023) used a grid search approach to constrain the possible ranges of R_1 and L_1 at Summit (Fig. 3b), showing that >99% of *in situ* ¹⁴C is lost rapidly from the ice grains, while the remaining $\approx 0.5\%$ (R₁) of *in situ* ¹⁴C continues to leak out slowly at a rate 235 of $\approx 0.6\%$ per year (L₁). Hmiel et al. (2023) argued that the rapid loss is best explained by the process of gas diffusion through ice and suggested that the $\approx 0.5\%$ of ¹⁴C that is initially retained may be trapped in microbubbles or by impurities at dislocations or grain boundaries and is released via the process of recrystallization.

Greenland Summit ¹⁴CO measurements in ice below the firn zone also provided an opportunity to test muon mechanism ¹⁴CO production rate estimates from Taylor Glacier. For Greenland Summit ice samples, the contribution from trapped atmospheric ¹⁴CO is important ($\approx 25 - 40$ % of total) and uncertainties in the atmospheric ¹⁴CO history interfere with precise constraints on f_{μ} and $f_{\mu f}$. Nevertheless, by trialing the Taylor Glacier sets of accepted on f_{μ} -- $f_{\mu f}$ pairs in combination with several possible atmospheric ¹⁴CO histories, Hmiel et al. (2023) were able to further narrow the possible ranges of f_{μ} and $f_{\mu f}$ (Fig. 3c).

3 In situ cosmogenic ¹⁴CO in ice cores as a possible proxy for GCR flux variability

245 **3.1 Basic concept for using ¹⁴CO in ice cores as a GCR flux proxy**

As the Greenland Summit ¹⁴CO results summarized above illustrate, the retention of *in situ* cosmogenic ¹⁴CO through the upper firn column is very low. This means that the majority of *in situ* ¹⁴CO found in ice below the firn zone originates from production by muons below the lock-in depth, where this ¹⁴CO can no longer escape to the atmosphere. If the firn layer is sufficiently thick (\approx 90 - 100 m actual depth or \approx 65 m ice equivalent depth), the muons

250 penetrating below the firn must have an energy of \approx 15 GeV or greater at the surface (e.g., Rogers and Tristam, 1984). Such muons originate from primary GCR particles with energies of \approx 100 GeV or greater (Gaisser et al., 2016). The part of the GCR flux possessing such energies is not affected appreciably by either the geomagnetic or the heliospheric magnetic fields. *In situ* cosmogenic ¹⁴CO content in ice cores drilled at such sites thus can serve as a proxy of variations in the primary GCR flux. This proxy is in principle free of the confounding effects discussed in the Introduction for other past GCR flux indicators.

Several considerations are important for site selection in order to increase the likelihood of success with this proxy. First, the *in situ* ¹⁴CO signal must be maximized to help with measurement sensitivity as well as to reduce interference from the trapped atmospheric ¹⁴CO component. Second, the site must have a thick firn column. This is needed to ensure that ¹⁴CO below the firn zone is produced only by muons originating from primary GCRs that are sufficiently energetic to be unaffected by solar magnetic field variations. Third, there should be as little *in situ* ¹⁴CO retained from the shallow firn as possible. ¹⁴CO produced in the shallow firn originates from neutrons or lower-energy muons that are affected by solar magnetic field variations and may complicate interpretation. Fourth, ideally the site

must be glaciologically stable over time in terms of accumulation rate and lock-in depth. Large temporal variations in

these parameters may introduce additional uncertainties in the interpretation, as they affect the predicted in situ ¹⁴CO

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Considering the above, ice dome sites in the East Antarctic interior are most promising for attempting to examine past GCR flux variability using ¹⁴CO in ice cores. Low accumulation rates at such sites maximize cosmogenic exposure times and thereby the *in situ* ¹⁴CO signal. These sites also tend to have sufficiently thick firn columns (e.g., Buizert, 2013). The combination of low accumulation rate and thick firn column results in very long ice layer transit times through the firn, maximizing the chance that *in situ* ¹⁴CO produced by neutrons and low-energy muons in the shallow firn would be lost. Finally, dome sites are free of complications of upstream ice advection and ice core water stable isotope records suggest that interior East Antarctic climate has been stable over the last few thousand years (recent decades excepted) (e.g., Jouzel et al., 2001).

3.2 Using model predictions to explore Dome C, Antarctica as a test case for the ¹⁴CO GCR flux proxy

- Dome C, Antarctica is a site that meets the criteria needed for the ¹⁴CO GCR flux proxy to be viable. It has 275 been glaciologically very well characterized as a result of previous ice coring campaigns (e.g., EPICA community members, 2004, Nature) and has well-established logistical access owing to the permanent Concordia station. Further, a scientific ice drilling expedition is planned for the near future to Dome C for the purpose of ¹⁴CO reconstruction at this site. We therefore use Dome C as an example site for more detailed model-based exploration of the ¹⁴CO past GCR flux proxy. We first applied the full firn-ice model mentioned above (Buizert et al., 2012a; Hmiel et al., 2023) 280 to explore the (unwanted) contribution of ¹⁴CO originating from production in the shallow firn as well as trapped atmospheric ¹⁴CO to the overall ¹⁴CO signal in ice below the firn zone. In the model, we used an accumulation rate of 3.2 cm ice equivalent yr⁻¹, firn density profile from the FIRETRACC project (EU FIRETRACC Campaign participants, 2006), and tuned the firn gas diffusivity profile based on a combination of available CO₂, CH₄, CFC-11, 285 CFC-12, CFC-113, CH₃CCl₃, SF₆ and δ^{15} N of N₂ measurements (EU FIRETRACC Campaign participants, 2006). For parameters relevant to *in situ* ¹⁴CO, we used $F_n = 1.03$, $R_1 = 0.44\%$ and $L_1 = 0.45\%$ yr⁻¹ (see Section 2), which was the combination of values at Greenland Summit that maximized the amount of in situ ¹⁴CO produced in the
 - shallow firn that is retained into ice below the firn zone (Fig. 3b). For muogenic ¹⁴CO production, we used $f_{\mu} = 0.065$ and $f_{\mu f} = 0.07$, which are mid-range choices from the possible range of values that were consistent with both Taylor

290 Glacier and Summit measurements (Fig. 3c). We used a constant concentration of 12 molecules / cm³ STP for the atmospheric ¹⁴CO history, which is the average of the longest available Antarctic atmospheric ¹⁴CO record (Manning et al., 2005).

Figure 4a shows model-calculated ¹⁴CO content that represents the sum of ¹⁴CO in ice grains and closed porosity (this is what measurements done with a melt-extraction approach would provide). The solid black line shows 295 results with both *in situ* and atmospheric ¹⁴CO included in the model. There is a sharp ¹⁴CO peak at ≈ 9 m depth that represents ¹⁴CO in ice grains and is driven by intense ¹⁴CO production by the neutron mechanism in near-surface firn. ¹⁴CO then declines to near zero by \approx 70 m due to slow leakage out of ice grains (controlled by the L₁ parameter in the model). At depths >80 m, the amount of closed porosity starts to increase, and this increases ¹⁴CO by trapping of ¹⁴CO from open porosity and by allowing more *in situ* ¹⁴CO to be retained. This process further accelerates at \approx 95 m, which 300 is the lock-in depth at Dome C. Below the close-off depth at Dome C (≈ 100 m), ¹⁴CO content continues to increase due to production by muons, rising to 8.5^{14} CO molecules / g ice at the deepest modeled level (110 m).

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The dashed blue line shows the expected contribution to total ¹⁴CO from *in situ* ¹⁴CO originating only from the shallower part of the firn. This was assessed by setting the atmospheric ¹⁴CO history to zero and setting in situ production rates to zero for depths > 54 m ice equivalent. This contribution is < 0.5 ¹⁴CO molecules / g ice and is due to ¹⁴CO that leaks out from ice grains in the shallow firn, diffuses into the deep firn and is subsequently trapped in air bubbles. The contribution from trapped atmospheric ¹⁴CO (dotted pink line; assessed by turning off *in situ* production in the model) is < 1.2 ¹⁴CO molecules / g ice. ¹⁴CO originating from the sum of shallow *in situ* cosmogenic production and air trapping (solid red line) is < 1.6 ¹⁴CO molecules / g ice at all depths below the firn zone.

- We next examined the *in situ* cosmogenic ¹⁴CO component at Dome C arising from production by deep-310 penetrating muons, as well as its suitability for detecting changes in the past GCR flux. As this approach involved generating thousands of simulated data sets (see Section 3.3 below), we created a simple and computationally efficient ice-only model of *in situ* cosmogenic ¹⁴CO for this test of proxy concept. This ice-only model has its starting (shallowest) depth in the lock-in zone and assumes an initial ¹⁴CO content of zero. ¹⁴CO production in the model is implemented following parameterizations described above in Section 2, with production rates within the range
- constrained by Taylor Glacier and Summit results. The model assumes that all of the in situ ¹⁴CO is retained and also 315 includes ¹⁴C radioactive decay. The model defines annual ice layers and shifts these layers downward on an annual basis following the ice layer age scale for Dome C from Buizert et al. (2018). For the purposes of this test of proxy concept, we set the deepest model depth at 300 m, as this is the practical limit for light ice coring projects that do not use drilling fluid and the deepest depth in the planned fieldwork. The exact starting depth of the model was chosen by
- comparing predictions of this ice-only model with predictions from the full firn-ice model in the 100 110 m depth 320 range (below close-off depth) when using the same muogenic ¹⁴CO production rates and setting atmospheric ¹⁴CO history to zero; using 96.5 m for the starting depth yields the best match.



Figure 4. Predicted ¹⁴CO content at Dome C. a) Predicted ¹⁴CO content in ice grains + closed porosity (bubbles) from the full firm-ice model considering all ¹⁴CO contributions as well as contributions from individual ¹⁴CO components. b) Predicted *in situ* ¹⁴CO content in ice below the firn zone from a simple ice-only model. The legend indicates the combination of [f_µ-, f_{µf}] values (see Section 2) used in each model run, as well as whether the production rate was assumed to be constant (solid lines) or variable (dashed and dotted lines) in time. Markers illustrate what sample measurements might look like assuming 20 m depth averaging and a random 1σ measurement error of 3%. c) Time-variable production rate scenarios used to generate the corresponding depth-¹⁴CO profiles in panel b).

Figure 4b illustrates predictions of the simple ice model for a few scenarios involving different combinations of f_{μ} and $f_{\mu f}$ as well as different production rate histories (representing past GCR flux variations), and Figure 4c 335 illustrates the time-variable production rate scenarios reflected in Figure 4b. Because *in situ* cosmogenic ¹⁴CO production takes place at the full range of modeled depths (with production rate declining with depth as illustrated in Figure 1b), the ¹⁴CO values at each depth represent a time integral of production rate minus the ¹⁴C decay rate. As expected, ¹⁴CO content increases most rapidly at the shallowest depths, followed by a broad peak in the 200 – 250 m depth range. For deeper ice, the rate of ¹⁴CO removal via radioactive decay exceeds the rate of production by muons,

340 and ¹⁴CO values gradually decline. The modeled ice layers at Dome C span an age range of 7283 years, meaning that

an ice core ¹⁴CO record reaching 300 m depth could offer information about past GCR flux variations for most of the Holocene.

Predicted ¹⁴CO content originating from deep-penetrating muons is between 20 and 30 molecules g ice⁻¹ for most of the modeled depth range. This means that the ¹⁴CO contribution arising from trapped atmospheric ¹⁴CO and ¹⁴CO production in shallow firn (<1.6 molecules g ice⁻¹; Fig. 4a) would contribute <8% of total ¹⁴CO and is unlikely to interfere with ¹⁴CO signal interpretation. We note that there were 3 prior ¹⁴CO measurements that were made on the Dome C ice core in the depth range we are considering (de Jong et al., 2004). Those measurements were made on much smaller (1 – 2 kg) samples than Taylor Glacier and Summit measurements, and thus were associated with very large uncertainties (50 – 100%, considering only uncertainty reported for ¹⁴C activities). That said, de Jong et al.
(2004) reported ¹⁴CO values in the 15 – 30 molecules g ice⁻¹ range for these 3 samples and concluded that there was no detectable *in situ* ¹⁴C retention from the firn, consistent with our model predictions.

Figure 4b illustrates that the absolute ¹⁴CO content in the ice as well as the depth of the ¹⁴CO peak depend on the balance of production rates from the negative muon capture and fast muon mechanisms (solid lines); this is controlled by f_µ and f_{µf} parameters in the model. In the modeled depth range, the fast muon mechanism is relatively
more important (Fig. 1b), so maximizing f_{µf} at the expense of f_µ. (within the range on Fig. 3c) increases total ¹⁴CO and shifts the peak slightly deeper. Despite these differences, the shape of the depth – ¹⁴CO curves remains largely similar. Figure 4b also illustrates a few scenarios where the production rates (controlled by GCR flux) vary in time (dashed and dotted lines). For time-variable production rates for the purpose of this illustration (Fig. 4c), we trialed 1) a scenario where production by each muon mechanism increases at a linear rate over the entire duration of the model run, reaching 15% higher rates by the end of the run (black dotted line); 2) a scenario where there is a 15% step increase in production rates that takes places between 3000 and 3100 years in the model run (green dashed line). As can be seen, all of these types of variations produce depth – ¹⁴CO profiles that are distinct in their shape from the constant production rate scenarios.

365 **3.3** Analysis of sensitivity of ice core ¹⁴CO measurements at Dome C to variations in past GCR flux

We compare several time-varying scenarios to the baseline model of a constant GCR flux with muonic production rates (f_{μ}, f_{μ}) that are consistent with ice core ¹⁴CO measurements in both Dyonisius et al. (2023) and Hmiel et al. (2023). As shown in Figure 4b, deviations from the baseline model can be produced by temporal variations in the GCR flux. However, in the presence of a steady-state flux, uncertainties in the muonic production rates also

370 create deviations from the baseline model. While the normalization of the depth-¹⁴CO profile is affected by both the temporal variations in the GCR flux and the production rates, the shape of the profile is more sensitive to temporal variations in the flux. Therefore, we develop an analysis that is sensitive to the shape of the ¹⁴CO profile as a function of depth.

To discriminate the steady-state GCR scenario H_0 from the time-varying scenario H_1 , we construct a test statistic using a Bayes factor (Jeffreys 1998, Kass and Raftery 1995):

$$B_{01} = \frac{P(\vec{c}|H_0)}{P(\vec{c}|H_1)} = \frac{\int d\vec{\theta}_0 P(\vec{c}|\vec{\theta}_0, H_0) P(\vec{\theta}_0|H_0)}{\int d\vec{\theta}_1 P(\vec{c}|\vec{\theta}_1, H_1) P(\vec{\theta}_1|H_1)}$$
(8)

In this expression, $\vec{c} = \{c(h_j)\}$ is a ¹⁴CO profile measured as a function of discrete depths $h_j = \{h_1, ..., h_N\}$. The Bayes factor computes the ratio of the marginal probabilities of measuring ¹⁴CO profile \vec{c} given the steady-state and time-varying scenarios H_0 and H_1 . If the data provide greater evidence for the steady-state hypothesis H_0 , $B_{01} > 1$, and if the time-varying hypothesis is supported, $B_{01} < 1$.

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During the calculation of B_{01} , each marginal probability, $P(\vec{c}|H_i)$, can be factorized into two terms: a conditional probability $P(\vec{c}|\vec{\theta}_i, H_i)$, where $\vec{\theta}_i$ lists the free parameters of GCR flux model H_i ; and a "prior" probability distribution $P(\vec{\theta}_i|H_i)$. To complete the calculation, we integrate over the possible values of the parameters $\vec{\theta}_i$ in each model H_i . The prior probabilities specify the allowed ranges of parameters $\vec{\theta}_i$ in model H_i and allow us to weight the calculation toward more probable values of the model parameters. Note that we are free to choose the functional form of the prior distributions using theoretical considerations, past measurements, or even our subjective degree of belief in the most likely values of the parameters of a given model. In this work, we use non-informative (or "flat") prior distributions that do not favor any particular values of the model parameters, beyond restricting their ranges to physically motivated regions.

In the sensitivity calculation, the muonic production rates $(f_{\mu}, f_{\mu f})$ are nuisance parameters folded into both $\vec{\theta}_0$ and $\vec{\theta}_1$. Using the confidence intervals on $(f_{\mu}, f_{\mu f})$ from Dyonisius et al. (2023) and Hmiel et al. (2023), we can factorize the prior probability $P(\vec{\theta}_i|H_i)$ for model *i* into a joint prior $P(f_{\mu-}, f_{\mu f}|H_i)$ and a set of independent priors dependent on the parameters of the model. For example, the joint prior $P(f_{\mu-}, f_{\mu f}|H_i)$ is given by the " 2σ acceptance from Summit ice" contour in Figure 3c. If we wish to test a cosmic ray model H_1 with a flux that varies linearly in time, the model includes an additional free parameter *a* representing the rate of change of the flux as a function of time. In the calculation of the Bayes factor, the prior distribution of *a* is a uniform probability density function

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$$P(a|H_1) = \begin{cases} \frac{1}{a_{\max} - a_{\min}}, & a \in [a_{\min}, a_{\max}] \\ 0, & \text{otherwise} \end{cases}$$
(9)

Here a_{\min} and a_{\max} represent the allowed range of values we consider for the rate of change of the flux. We use a uniform distribution for $P(a|H_1)$ because it is unbiased, giving equal weight to all values of a between a_{\min} and a_{\max} . Our calculation assumes the ice core ¹⁴CO measurements are depth-averaged over 20 m, and each

400 Our calculation assumes the ice core ¹⁴CO measurements are depth-averaged over 20 m, and each measurement has independent Gaussian uncertainties with relative sizes of 2% at 1 σ . The 20 m depth averaging is assumed because this would provide the needed amount of ice for high precision ¹⁴CO measurements (\approx 140 kg with a 10 cm-diameter ice core). Recent improvements in analytical techniques for ice core and atmospheric ¹⁴CO measurements (Petrenko et al., 2023; Petrenko et al., 2021) make 2% uncertainties achievable, although for completeness we also repeat the calculations assuming 3% 1 σ uncertainties. The conditional probability of observing a ¹⁴CO profile \vec{c} given GCR model H_i with parameters $\vec{\theta}_i$ is

$$P(\vec{c}|\vec{\theta}_i, H_i) = \prod_{j=1}^N \frac{1}{\sqrt{2\pi}\sigma_j} \exp\left\{-\frac{1}{2} \left(\frac{c_j - \hat{c}(h_j|\vec{\theta}_i, H_i)}{\sigma_j}\right)^2\right\}$$
(10)

Here $\hat{c}(h_j | \vec{\theta}_i, H_i)$ is the expected ¹⁴CO profile at depth h_j , which we compute using the model, while $c_j = c(h_j)$ is the observed ¹⁴CO concentration at depth h_j . Since the measurement uncertainties are independent and Gaussian, the

410 probability is the product of *N* independent Gaussian probability density functions over the *N* measurements in the depth profile. Multiplying this probability by the prior distributions of the nuisance parameters (f_{μ} -, f_{μ} f) and the allowed prior ranges of the model parameters (such as the slope of the linear change in the GCR flux) allows us to account for both systematic and statistical uncertainties in the measurement.

We calculate our sensitivity to a given GCR scenario as follows:

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- 415 1. We produce 5×10^6 random realizations of the ¹⁴CO profile at Dome C, assuming a constant production rate but accounting for the systematic uncertainties in $(f_{\mu}, f_{\mu f})$. The profiles are generated with depth averaging of 20 m, and relative measurement uncertainties of $\sigma_i/c_i = 2\%$ and 3% are both investigated.
 - 2. For each time-varying model under consideration, we compute a distribution of Bayes factors B_{01} using the random constant-flux data sets. This provides us with a distribution of the Bayes factor when the null constant-flux hypothesis H_0 is true.
 - 3. We next produce a large set of independent ¹⁴CO profiles assuming the alternative time-varying hypothesis H_1 is true and compute the Bayes factor B_{01}^* for each simulated data set. We expect that B_{01}^* will be much smaller than B_{01} , on average, since $P(\vec{c}|H_1) > P(\vec{c}|H_0)$ when the alternative hypothesis H_1 is true.
 - 4. For each B_{01}^* , we compute the tail probability, or *p*-value, that gives the probability a constant flux model will produce a Bayes factor smaller than the time-varying model purely by a chance statistical fluctuation:

$$p = P(B_{01} < B_{01}^* | H_0) \tag{11}$$

The reported sensitivity of a given model is the value of the model parameter(s) in which at least 50% of simulated data sets yield $p < 10^{-3}$ (3 σ evidence against the steady-state model). We also report the value of the model parameter(s) yielding $p < 3 \times 10^{-7}$, corresponding to a 5 σ discovery of a time-varying flux. This "calibration" of the Bayes factor accounts for the chance probability that a steady-state flux could produce a false positive report of a time-varying flux.

We investigated scenarios involving 1) a linear GCR flux increase over the entire duration of the record, 2) a step-like increase at approximately the mid-point of the record and 3) a brief (100 year) burst in the GCR flux. The results are reported in Table 1. For a scenario H_1 where the GCR flux increases linearly with time, and assuming 2% (3%) relative uncertainties in the measured ¹⁴CO profile, a flux increase a = 4% (5%) over 7 ka is required to produce a 3 σ evidence of a non-steady flux in at least 50% of simulated data sets. For a 5 σ detection, the rate of change of the flux must be at least a = 6% (7%). We also investigated and found similar sensitivities for a scenario involving a step-

- like increase in the GCR flux at 3.5 ka. Much larger GCR flux changes are required for detection in the impulsive burst scenario: 250% (350%) for 3σ evidence. This is likely due to the large amount of temporal averaging (\approx 700 yr) that is imposed by the 20 m depth averaging for the measurements and the fact that the ¹⁴CO content at each depth
- 440 level represents a time integral of production rates. We further note that improving the relative uncertainty in the ¹⁴CO measurement from 3% to 2% has a minor effect on the sensitivity to linear and step-like increases in the GCR flux, but the change in sensitivity to burst-like increases in the flux is substantial.

Difference from Baseline Model	Sensitivity	
	3σ (>50% of trials)	5σ (>50% of trials)
Linear increase over 7 ka	4% (5%)	6% (7%)
Step-like increase at 3.5 ka	4% (5%)	6% (7%)
Impulsive increase lasting 100 yr at 3.5 ka	250% (350%)	350% (460%)

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Table 1. Simulated sensitivity to temporal changes in the GCR flux. We report the magnitude of GCR flux changes in time-varying models required to produce a 3σ or 5σ detection in at least 50% of simulated data sets, assuming 2% (3%) relative uncertainties in the ¹⁴CO measurements. For example, to produce a 3σ detection of a linearly increasing or decreasing GCR flux, the rate of change of the flux must be at least 4% (5%) over 7 ka.

4 Conclusions

450 ¹⁴CO in ice cores at low-accumulation sites such as Dome C, Antarctica has good potential to provide a test of the assumption of GCR flux constancy over the Holocene and to serve as a proxy for past variations in the GCR flux on timescales of a few thousand years. ¹⁴CO measurements in the proposed approach would be most sensitive to gradual linear or step-like changes in the GCR flux, in principle allowing to test the assumption of GCR flux constancy to 5% or better. This would represent a large improvement over the ≈30% uncertainty associated with constraints from meteorite measurements. Because our approach involves a large amount of temporal averaging, sensitivity to short-lived GCR bursts is much worse. However, such bursts (if present) would have been captured by high-resolution records of other cosmogenic nuclides such as ice core ¹⁰Be and tree-ring ¹⁴C.

We note that cosmogenic nuclides produced in the atmosphere such as ¹⁰Be are primarily sensitive to the GCR flux below 10 GeV, while the ¹⁴CO proxy discussed here is sensitive to the flux above 100 GeV. The extent to which temporal variations in the GCR flux above 100 GeV would produce proportional changes below 10 GeV, while beyond the scope of this paper, is an interesting question to consider, as the answer depends on the origin of the temporal variations. Since the diffusion length of cosmic rays increases with energy, it is reasonable to expect that a constant GCR flux at high energy is likely to imply a constant GCR flux below 10 GeV, while a time-varying flux above 100 GeV could still be consistent with a constant flux at or below 10 GeV.

- For most precise results, the ¹⁴CO proxy approach requires an ice dome site that is glaciologically stable (accumulation rate, lock-in depth) over the duration of the GCR flux reconstruction. Although our work indicates that the ¹⁴CO GCR flux proxy is likely to provide useful results for most of the Holocene, we expect that GCR flux reconstructions beyond the Holocene with this approach would be more challenging, owing to 1) the need for drilling fluid to obtain ice below \approx 300m, which would greatly increase logistical requirements and introduce added challenges
- 470 of CO contamination from the drilling fluid, 2) glaciological changes beyond the Holocene and 3) reduced ¹⁴CO signal at greater depths due to ¹⁴C radioactive decay.

Code availability

Code for the firn and ice models as well as for the statistical analysis used in this study is available from

475 <u>https://github.com/14CO/Dome-C-Sensitivity</u>

Data availability

The simulated data sets created as part of the statistical analysis in this study are available from https://github.com/14CO/Dome-C-Sensitivity.

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Author Contribution

VVP and SB developed the ¹⁴CO GCR flux proxy concept. VVP wrote the code for the simple ice model and performed firn and ice model simulations. SB developed the approach, wrote the code for and performed statistical analyses. CB provided firn model tuning and ice layer age scale for Dome C. VVP and SB wrote the manuscript, with input from all other authors.

Competing Interests

The authors declare that they have no conflict of interest.

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