

1 **Tropical tropospheric ozone distribution and trends from in situ and satellite**  
2 **data**

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32

## 33 **Abstract**

34 Tropical tropospheric ozone (TTO) is important for the global radiation budget because the  
35 longwave radiative effect of tropospheric ozone is higher in the tropics than mid-latitudes. In  
36 recent decades the TTO burden has increased, partly due to the ongoing shift of ozone precursor  
37 emissions from mid-latitude regions toward the equator. In this study, we assess the distribution  
38 and trends of TTO using ozone profiles measured by high quality in situ instruments from the  
39 IAGOS (In-Service Aircraft for a Global Observing System) commercial aircraft, the SHADOZ  
40 (Southern Hemisphere ADditional OZonesondes) network, and the ATom (Atmospheric  
41 Tomographic Mission) aircraft campaign, as well as six satellite records reporting tropical  
42 tropospheric column ozone (TTCO): TROPOMI, OMI, OMI/MLS, OMPS/MERRA2, CrIS, and  
43 IASI/GOME2. With greater availability of ozone profiles across the tropics we can now  
44 demonstrate that tropical India is among the most polluted regions (e.g., Western Africa, tropical  
45 South Atlantic, Southeast Asia, Malaysia/Indonesia) with present-day 95<sup>th</sup> percentile ozone  
46 values reaching 80 nmol mol<sup>-1</sup> in the lower free troposphere, comparable to mid-latitude regions  
47 such as Northeast China/Korea. In situ observations show that TTO increased between 1994 and  
48 2019, with the largest mid- and upper tropospheric increases above India, Southeast Asia and  
49 Malaysia/Indonesia (from  $3.4 \pm 0.8$  to  $6.8 \pm 1.8$  nmol mol<sup>-1</sup> decade<sup>-1</sup>), reaching  $11 \pm 2.4$  and  $8 \pm$   
50  $0.8$  nmol mol<sup>-1</sup> decade<sup>-1</sup> close to the surface (India and Malaysia/Indonesia, respectively). The  
51 longest continuous satellite records only span 2004–2019, but also show increasing ozone across  
52 the tropics when their full sampling is considered, with maximum trends over Southeast Asia of  
53  $2.31 \pm 1.34$  nmol mol<sup>-1</sup> decade<sup>-1</sup> (OMI) and  $1.69 \pm 0.89$  nmol mol<sup>-1</sup> decade<sup>-1</sup> (OMI/MLS). In  
54 general, the sparsely sampled aircraft and ozonesonde records do not detect the 2004–2019 ozone  
55 increase, which could be due to the genuine trends on this timescale being masked by the  
56 additional uncertainty resulting from sparse sampling. The fact that the sign of the trends  
57 detected with satellite records changes above three IAGOS regions, when their sampling  
58 frequency is limited to that of the in situ observations, demonstrates the limitations of sparse in  
59 situ sampling strategies. This study exposes the need to maintain and develop high frequency  
60 continuous observations (in situ and remote sensing) above the tropical Pacific Ocean, the Indian  
61 Ocean, Western Africa and South Asia in order to estimate accurate and precise ozone trends for  
62 these regions. In contrast, Southeast Asia and Malaysia/Indonesia are regions with such strong  
63 increases of ozone that the current in situ sampling frequency is adequate to detect the trends on  
64 a relatively short 15-year time scale.

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## 66 **Plain Language Summary**

67 Tropospheric ozone is an air pollutant and a climate forcer, and plays an important role in the  
68 global Earth's radiation budget, especially in the tropics. In recent decades, the tropical  
69 tropospheric ozone burden has increased, partly due to the ongoing shift of ozone precursor  
70 emissions from mid-latitudes toward the equator. In this study, we assess the changes in time of  
71 tropical tropospheric ozone using in situ ozone profiles measured by high quality instruments  
72 from commercial aircraft, ozonesondes and satellites. In situ observations show that tropical  
73 tropospheric ozone increased between 1994 and 2019, with the largest increases above India,  
74 Southeast Asia and Malaysia/Indonesia. The longest continuous satellite records of ozone only  
75 span 2004–2019, but show increasing ozone across the tropics, with maximum trends over

76 Southeast Asia. In general, the sparsely sampled aircraft and ozonesonde records do not detect  
77 the 2004-2019 ozone increase, which could be due to sample sizes that are too small for accurate  
78 trend detection on this relatively short 15-year time period. The fact that the satellite records also  
79 fail to consistently detect positive trends when their sampling frequency is limited to that of the  
80 in situ observations demonstrates the limitations of sparse in situ sampling in the tropics. This  
81 study demonstrates the need to maintain and develop continuous observations (in situ and remote  
82 sensing) above the tropical Pacific Ocean, the Indian Ocean, Western Africa and South Asia in  
83 order to estimate accurate and precise ozone trends for these regions.

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85 **Short Summary (500 characters)**

86 The study examines tropical tropospheric ozone changes. In situ data from 1994-2019 display  
87 increased ozone, notably over India, Southeast Asia, and Malaysia/Indonesia. Sparse in situ data  
88 limit trend detection for the 15-year period. In situ and satellite data, with limited sampling,  
89 struggle to consistently detect trends. Continuous observations are vital over the tropical Pacific  
90 Ocean, Indian Ocean, Western Africa, and South Asia for accurate ozone trend estimation in  
91 these regions.

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98 **1. Introduction**

99 Tropospheric ozone negatively affects human health and vegetation, and it is a short-lived  
100 climate forcer (Fleming et al., 2018; Mills et al., 2018; Gulev et al., 2022; Szopa et al., 2022).  
101 The longwave radiative effect of tropospheric ozone is higher in the tropics and subtropics  
102 (between 30°S and 30°N) compared to mid-latitudes (Doniki et al., 2015; Gaudel et al., 2018).  
103 The most recent IPCC assessment concluded with a high level of confidence that tropical ozone  
104 increased by 2-17% per decade in the lower troposphere, and by 2-12% per decade in the free  
105 troposphere from the mid-1990s to the period 2015-2018 (Gulev et al., 2021). These increases  
106 are especially strong across southern Asia (Gaudel et al., 2020), and according to the longest  
107 available satellite record, ozone increases in this region have been occurring since at least 1979  
108 (Ziemke et al., 2019). A comprehensive NASA analysis used the OMI/MLS satellite record to  
109 show a clear increase of tropospheric column ozone (1-2.5 DU decade<sup>-1</sup>) between 2005 and 2016  
110 throughout the tropics, with larger trends over the Arabian Peninsula, India and Southeast Asia,  
111 generally consistent with a simulation by NASA's MERRA-2 GMI global atmospheric  
112 chemistry model (Ziemke et al., 2019). Similar results were found in a recent study using the  
113 NASA Goddard Earth Observing System Chemistry Climate Model (Liu et al., 2022). Weak to  
114 moderate positive trends of 0.6 and 1.5 nmol mol<sup>-1</sup> decade<sup>-1</sup> between 1995 and 2015-2018 were  
115 also reported at two remote tropical surface sites (Mauna Loa, Hawaii, and American Samoa,  
116 South Pacific; Cooper et al., 2020). A recent analysis of 1998-2019 tropical ozone trends using  
117 the Southern Hemisphere ADDitional OZonesondes (SHADOZ) network reported highly

118 seasonal but overall weak positive trends (1-2% decade<sup>-1</sup>) in the mid-troposphere (5-10 km)  
119 (Thompson et al., 2021).

120 Simulations by a wide range of global atmospheric chemistry models show that global-  
121 scale increases of tropospheric ozone since pre-industrial times are driven by anthropogenic  
122 emissions of ozone precursor gases (Archibald et al., 2020; Skeie et al., 2020; Griffiths et al.,  
123 2021; Szopa et al., 2021; Wang et al., 2022; Fiore et al. 2022), with approximately 54% of the  
124 1850-2000 global tropospheric ozone increase occurring in the tropics and subtropics (30° S –  
125 30° N) (Young et al., 2013). A key ozone precursor that drives the background increase of  
126 tropospheric ozone, especially in the free troposphere is methane (Thompson and Cicerone,  
127 1986a,b; Hogan et al., 1991; Fiore et al., 2002). From 1980 to 2010 the estimated increase of the  
128 global tropospheric ozone burden due to the increase of anthropogenic emissions and the partial  
129 shift of the emissions from mid-latitudes towards the equator was 28.12 Tg (8.9%), with the  
130 increase of methane (15%) accounting for one quarter of the ozone burden increase (as simulated  
131 by the CAM-chem model; Zhang et al., 2016). Most of the ozone burden increase (64%)  
132 occurred in the tropics and subtropics (30° S – 30° N), driven by emissions from South Asia,  
133 Southeast Asia and by increasing background methane levels (Zhang et al., 2021). Similar rates  
134 of ozone burden increases, peaking in the tropics, are simulated by a range of CMIP6 models  
135 (1995-2014) (Skeie et al., 2020,) the GEOS-Chem model (1995-2017) (Wang et al., 2022), the  
136 JPL TCR-2 chemical reanalysis (1995-2018) (Miyazaki et al., 2020), and a 15-member initial-  
137 condition ensemble generated from the CESM2-WACCM6 chemistry-climate model (1950-  
138 2014) (Fiore et al., 2022). The increase of methane has continued to the present and the observed  
139 global mean methane increase from 1983 to 2023 is 18% (the increase is 8% since 2004 when  
140 the OMI satellite instrument began operations) ([www.gml.noaa.gov](http://www.gml.noaa.gov)). Under a future scenario of  
141 high anthropogenic emissions and continuously increasing methane concentrations (Griffiths et  
142 al., 2021), the global ozone burden is expected to increase for the remainder of the 21<sup>st</sup> century  
143 (see the ssp370 scenario in Figure 6.4 of Szopa et al., 2021), with increases of approximately  
144 10% from 2014 to 2050. In the tropics the strongest increases (though 2050) are expected across  
145 South Asia (10-20%), with little or no increase across the remote regions of the equatorial Pacific  
146 and equatorial Atlantic.

147 The tropics are characterized by high ozone values over the southern tropical Atlantic and  
148 Southeast Asia (Fishman et al., 1990; Fishman et al., 1996; Thompson et al., 1996; Logan et al.,  
149 1999; Ziemke et al., 2019) and low ozone values (< 10 nmol mol<sup>-1</sup>) in the free troposphere over  
150 the Pacific warm pool (Kley et al., 1996), although these low values have become less frequent  
151 over the last two decades (Gaudel et al., 2020). The spatial distribution of tropical tropospheric  
152 ozone (TTO) can vary on a range of timescales. On multi-year timescales TTO experiences a  
153 dipole oscillation across the tropical Pacific Ocean due to El Niño-Southern Oscillation (ENSO)  
154 (Chandra et al., 1998; Doherty et al., 2006; Oman et al., 2013; Xue et al., 2020). On seasonal  
155 time scales ozone can vary with the Madden-Julian Oscillation (MJO) (Ziemke et al., 2015), and  
156 also with dry and wet conditions (a.k.a. biomass burning and monsoon seasons) related to the  
157 seasonal shifts of the Intertropical Convergence Zone (ITCZ) (Fishman et al., 1992; Oltmans et  
158 al., 2001; Sauvage et al., 2007; Thompson et al., 2012). In a given season, TTO can be further  
159 influenced by biomass burning, lightning, inter-hemispheric transport and stratospheric  
160 intrusions/large-scale subsidence (Sauvage et al., 2007; Jenkins et al., 2014; Yamasoe et al.,

161 2015; Hubert et al., 2021). For instance, high ozone concentrations were recently measured  
162 above the tropical Atlantic (Bourgeois et al., 2020), and were attributed to biomass burning  
163 emissions, whose effects on tropospheric ozone enhancements are underestimated by global  
164 chemistry-transport models, especially in the tropics and the southern hemisphere (Bourgeois et  
165 al., 2021).

166 While decades of research on the distribution of TTO using satellite instruments (Fishman  
167 et al., 1986, 1987, 1990; Ziemke et al., 1998, 2005, 2009, 2011, 2019) and in situ observations  
168 (Logan et al., 1999; Thompson et al., 2000, 2003, 2012, 2021; Oltmans et al., 2001; Sauvage et  
169 al., 2005; Sauvage et al., 2007; Yamasoe et al., 2015; Tarasick et al., 2019; Cooper et al., 2020,  
170 Lannuque et al., 2021) have characterized the spatial and temporal variability of TTO  
171 concentrations, reconciling differences between satellite and in situ observations has been a  
172 challenge (Gaudel et al., 2018).

173 To update our understanding of tropospheric ozone's distribution and trends across the  
174 tropics, this study presents a quantitative analysis of four complementary data sets in time and  
175 space across the 20°S-20°N latitude band: (1) Thousands of vertical ozone profiles from the In-  
176 Service Aircraft for a Global Observing System (IAGOS) (Nédélec et al., 2015; Blot et al., 2021)  
177 above five continental regions; (2) Regular vertical profiles from the SHADOZ ozonesonde  
178 network (Thompson et al., 2017; Stauffer et al., 2022) above 14 continental and oceanic sites; (3)  
179 Vertical profiles from the Atmospheric Tomographic Mission (ATom) aircraft campaign above  
180 five oceanic regions; (4) Tropospheric column ozone retrievals from four well-known and two  
181 new satellite records.

182 The paper is organized as follows. Section 2 describes the data sets and the methodology  
183 for quantifying the distribution and trends of ozone. Section 3 presents the results that include the  
184 distribution of ozone from the in situ data, an evaluation of the satellite records and the trend  
185 estimates from IAGOS, SHADOZ and satellite records. Section 4 presents the main conclusions.

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## 187 **2. Methods**

188 We define the tropics as the latitude band between 20°S and 20°N, within the bounds of  
189 the Tropic of Cancer and the Tropic of Capricorn. This latitude band covers most of the Southern  
190 Hemisphere ADDitional OZonesondes (SHADOZ) network designed to measure ozone in the  
191 subtropics/tropics. The goal of the study is to characterize the 20°S-20°N latitude band that can  
192 be impacted by subtropical air masses in some regions, especially at the edge of the domain.  
193 The satellite data are shown for the same latitude domain.

194 We focus on three time periods: 2014-2019, also called “present-day” to assess the  
195 distribution of TTO (5<sup>th</sup>, 50<sup>th</sup>, and 95<sup>th</sup> percentiles) with in situ data above the sampled regions  
196 and sites described in Figure 1; 1994-2019 to assess ozone trends using in situ data records for  
197 more than two decades; 2004-2019 to assess ozone trends over the time period of the Ozone  
198 Monitoring Instrument (OMI) data set, which is the longest time series of ozone measured from  
199 space from a satellite.

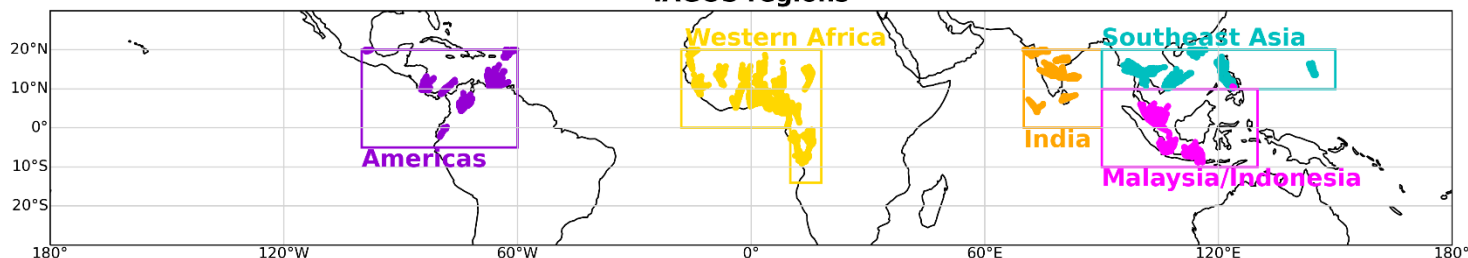
200 We also use new datasets to assess the distribution of TTO, such as the ATom aircraft  
201 campaign, and the CrIS and IASI/GOME2 satellite records.

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### 203 **2.1 In situ measurements**

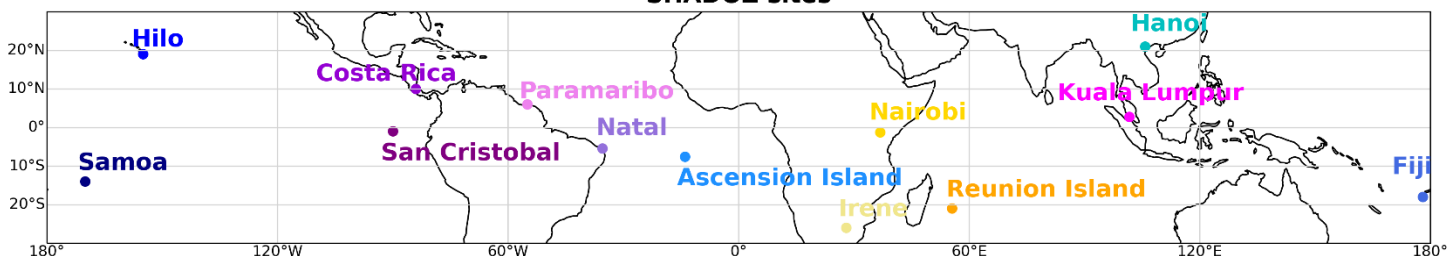
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### IAGOS regions



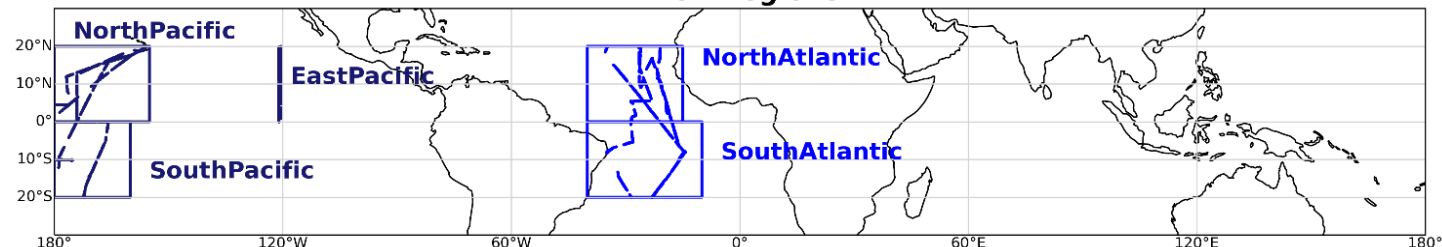
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### SHADOZ sites



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### ATom regions



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**Figure 1.** Regions and sites of IAGOS, SHADOZ and ATom measurements used in this study to assess the 5<sup>th</sup>, 50<sup>th</sup> and 95<sup>th</sup> percentiles of ozone in the tropical troposphere over 2014-2019. Data from IAGOS and ATom flights are clustered into specific regions such as Americas, Western Africa, India, Southeast Asia, Malaysia/Indonesia, North Pacific, South Pacific, East Pacific, North Atlantic and South Atlantic. IAGOS and ATom flight tracks are plotted on the map to show the specific sampling locations for 2014-2019. IAGOS and SHADOZ data are statistically fused above the Americas, Southeast Asia and Malaysia/Indonesia and used to estimate ozone trends between 1994 and 2019. For India, only IAGOS data are available for the ozone trend estimate between 1994 and 2019.

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#### 2.1.1 IAGOS

Description: The European research infrastructure In-service Aircraft for a Global Observing System (IAGOS), formerly known as the Measurement of Ozone and Water Vapor by Airbus In Service Aircraft (MOZAIC), has collected continuous high quality ozone profiles up to 12 km (~ 200 hPa) on-board commercial aircraft since 1994 (Blot et al., 2020). Ozone is

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226 measured using a UV analyzer (Thermo Scientific, model 49) and the total uncertainty is  $\pm 2$   
227  $\text{nmol mol}^{-1} \pm 2\%$  (Nédélec et al., 2015).

228 Data treatment: For this study, we consider five tropical regions: Americas, Africa, India,  
229 Southeast Asia and Malaysia/Indonesia. We use IAGOS data to assess the average ozone  
230 distribution between 2014 and 2019, referred to as “present-day ozone”, as well as to assess  
231 ozone trends between 1994 and 2019. Over the time period 1994-2019, the most frequented  
232 airports were Caracas (1214 profiles) and Bogota (560 profiles) for the Americas; Lagos (761  
233 profiles) and other airports in the Gulf of Guinea for Western Africa; Chennai (680 profiles) and  
234 Hyderabad (552 profiles) for India; Bangkok (1535 profiles) and Ho Chi Minh City (367  
235 profiles) for Southeast Asia; Singapore (265 profiles), Kuala Lumpur (208 profiles) and Jakarta  
236 (113 profiles) for Malaysia/Indonesia (Table S1). All available ozone profiles from these airports  
237 are used in this study. The individual ozone profiles are averaged to a common vertical  
238 resolution of 10 hPa prior to any further analysis. To assess the annual ozone distribution the  
239 profiles are averaged annually. To assess ozone trends, the quantile regression method is applied  
240 to individual profiles (section 2.5). To compare with the satellite data, the profiles were averaged  
241 monthly before being converted to a tropospheric column value ranging from the surface up to  
242 270 hPa or up to the maximum altitude ( $\sim 200$  hPa). We chose 270 hPa to be consistent with the  
243 TROPOMI tropical tropospheric column ozone. While some of the satellite records used in this  
244 study have an upper limit at 150 hPa (thermal tropopause), IAGOS commercial aircraft do not  
245 reach these altitudes.

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### 247 **2.1.2 SHADOZ**

248 Description: The Southern Hemisphere ADditional OZonesondes (SHADOZ) network  
249 has provided ozone profiles at multiple sites between 25°S and 21°N since 1998, and presently  
250 operates 14 sites. SHADOZ is a NASA-sponsored project operated by NOAA and 15 institutions  
251 around the world (Thompson et al., 2003a, 2003b, 2012, 2021). The SHADOZ archive of ozone  
252 profiles, measured by electrochemical concentration cell (ECC) ozonesondes, were reprocessed  
253 in 2016-2018 (Witte et al., 2017; 2018). In comparisons of the reprocessed data with collocated  
254 total ozone spectrometers and satellite overpasses, the reprocessed SHADOZ total ozone column  
255 (TOC) disagreed with the independent data within 2% (Thompson et al., 2017). SHADOZ data  
256 since 2018 have been collected and processed according to the same protocols as the reprocessed  
257 profiles (Stauffer et al., 2018, 2020; 2022; WMO/GAW 268, 2021). A recent study of TOC  
258 stability over 60 global stations revealed an artifact of declining tropospheric ozone at the  
259 SHADOZ Hilo and Costa Rican stations (Stauffer et al., 2020; 2022). Those data were not used  
260 in the recent Thompson et al. (2021) study that showed distinctive seasonal and regional  
261 variations in ozone trends collected at eight SHADOZ stations within  $\pm 15^\circ$  latitude of the  
262 equator.

263 Data treatment: As with the IAGOS data, the SHADOZ ozone profiles were averaged to  
264 a common vertical resolution of 10 hPa before any further analysis. The 10 hPa-resolution  
265 vertical profiles are fused with the IAGOS 10 hPa-resolution vertical profiles to assess trends  
266 between the surface and 200 hPa (section 2.6). To compare with the satellite data, the profiles  
267 were averaged monthly before being converted to tropospheric columns up to 270 hPa, 150 hPa  
268 and 100 hPa.

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### 2.1.3 ATom

Description: The Atmospheric Tomography (ATom) project was a global scale NASA aircraft mission which collected profiles of ozone and hundreds of other atmospheric constituents in remote regions above the Atlantic and Pacific basins on board the NASA DC-8 aircraft. The project consisted of four seasonal circumnavigations of the globe, one in each season, continually profiling the troposphere between 180 m and 14 km above sea level (a.s.l.) with a temporal resolution of 10 Hz, averaged to 1 Hz (data available at <https://espo.nasa.gov/atom>, last access March 7, 2022). The ATom mission occurred in July–August 2016 (ATom-1), January–February 2017 (ATom-2), September–October 2017 (ATom-3), and April–May 2018 (ATom-4). Ozone was measured using the National Oceanic and Atmospheric Administration (NOAA) nitrogen oxides and ozone (NOyO3) instrument (Bourgeois et al. 2020). The total estimated uncertainty at sea level is  $\pm (0.015 \text{ nmol mol}^{-1} \pm 2 \%)$ .

Data treatment: We used the ATom ozone profiles available above five regions in the tropics: North Pacific, South Pacific, East Pacific, North Atlantic and South Atlantic. Most of the regions were sampled over one day in August 2016, February and October 2017, and May 2018, except the East Pacific which was sampled in July 2016, January and September 2017, and April 2018. Each flight produced 6-14 profiles in each region. Therefore, the ATom dataset is used to assess the ozone distribution over the 2016-2018 time-period and for the annual comparison with the satellite products. As for IAGOS and SHADOZ, we averaged the profiles to a common vertical resolution of 10 hPa within the five ATom regions. To compare with satellite data, the profiles were converted to tropospheric column ozone from the near-surface measurements up to 270 hPa and averaged for the entire ATom period above each of the five regions.

### 2.2 Tropical Tropospheric Column Ozone (TTCO) estimation from IAGOS, SHADOZ and ATom

In this study and as mentioned in Section 2.1, the ozone profiles from in situ observations have been converted to columns to evaluate the satellite products. The current TOAR-II Harmonization and Evaluation of Ground-based Instrument for Free Tropospheric Ozone Measurements (HEGIFTOM) focus working group (<https://hegiftom.meteo.be/>) recommended 150 hPa as the top limit of the TTCO in the 15°S-15°N tropical band and 200 hPa in the 15°S-30°S/15°N-30°N bands. As we focus our study on the 20°S-20°N latitude band, we decided to use the 150 hPa top limit. Some variations on the TTCO definition occur in this study and are detailed below, but are not corrected for.

IAGOS aircraft cannot reach 150 hPa as they have a maximum cruise altitude around 200 hPa. Therefore, only SHADOZ ozonesondes, which reach the mid- or upper stratosphere, were used to calculate TTCO from the surface to 150 hPa. However, we additionally calculated TTCO up to 270 hPa with IAGOS and ATom to compare with TROPospheric Monitoring Instrument (TROPOMI) and Infrared Atmospheric Sounding Interferometer (IASI) / Global Ozone Monitoring Experiment 2 (GOME2) satellite data.

### 2.3 Satellite data



312 In this study we mainly focus on satellite data based on ultraviolet absorption (UV)  
313 retrievals, supplemented with two ozone records derived from infrared (IR) measurements as  
314 described below. Two key parameters differ between the satellite datasets: (i) the top limit used  
315 to define the tropospheric column ozone, and (ii) the horizontal coverage. Figure S1 shows the  
316 time series of the pressure level characterizing the top limit. Depending on the datasets, the top  
317 limit is constant or varies with time. The tropical coverage is 20°S-20°N for all satellite records.  
318 All satellite records were averaged to a common 5°x5° monthly grid.

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### 320 **2.3.1 TROPOMI CCD**

321 The TROPospheric Monitoring Instrument (TROPOMI, Veefkind et al., 2012) was  
322 launched onboard the Sentinel-5 Precursor (S5P) satellite in October 2017. The tropospheric  
323 column ozone data from TROPOMI, inferred using the convective cloud differential technique  
324 (CCD, Ziemke et al., 1998; Heue et al., 2016; Hubert et al., 2021), covers the 20°S-20°N latitude  
325 band, between the surface and 270 hPa. For this study, we compute monthly data from daily  
326 measurements on a 5° x 5° grid to be consistent with the other satellite data records. For the 5° x  
327 5° gridded data we estimate the uncertainty of the TROPOMI CCD tropospheric ozone column  
328 to be about 2 DU. We only use data from 2019, which is the last year of our present-day time  
329 period 2014-2019.

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### 331 **2.3.2 OMI CCD**

332 The Ozone Monitoring Instrument (OMI) was launched onboard the Aura satellite in July  
333 2004. For this study we used tropical tropospheric column ozone retrieved using the CCD  
334 technique (Ziemke et al., 1998; Ziemke and Chandra, 2012), which is consistent with  
335 TROPOMI-derived TCO. The tropospheric column is defined between the surface and 100  
336 hPa, and it covers the 20°S-20°N latitude band inherent to the CCD technique. OMI records are  
337 available since 2004 and for this study we use monthly means to assess ozone distribution during  
338 the present-day time period of 2014-2019 as well as the trends of ozone over 2004-2019. The  
339 monthly accuracy and precision ( $1\sigma$ ) are 3 and 3.5 DU, respectively.

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### 341 **2.3.3 OMI/MLS**

342 The OMI and the Microwave Limb Sounder (MLS) sensors are both onboard the Aura  
343 satellite and the tropospheric column ozone is retrieved by subtracting the stratospheric column  
344 ozone measured by MLS from the total column ozone measured by OMI (Ziemke et al., 2006).  
345 The top limit of the OMI/MLS tropospheric column ozone is the thermal tropopause calculated  
346 from NCEP reanalysis data using the World Meteorological Organization (WMO) 2 K km<sup>-1</sup>  
347 lapse-rate definition. The tropopause varies seasonally between 95 and 115 hPa (Figure S1).  
348 OMI/MLS data cover the 60°S-60°N latitude band and for this study we focus on the 20°S-20°N  
349 latitude band. The monthly accuracy and precision ( $1\sigma$ ) are 2 and 1.5 DU, respectively. Further  
350 details of the OMI/MLS product and a description of an updated drift correction can be found in  
351 Section S.4 of the supplementary material.

352

### 353 **2.3.4 OMPS/MERRA2**

354 The Ozone Mapping Profiler Suite (OMPS) was launched in January 2012 onboard the  
355 Suomi National Polar-orbiting Partnership (Suomi NPP) spacecraft. The tropospheric column  
356 ozone is retrieved by subtracting the stratospheric column of MERRA2 (Modern-Era  
357 Retrospective analysis for Research and Applications, version 2) ozone reanalysis data from the  
358 total column ozone of the OMPS nadir mapper (Ziemke et al., 2019). The derived daily  
359 tropospheric column ozone uses the MERRA2 tropopause with assimilated MLS ozone. The  
360 MERRA2 tropopause was determined using a potential vorticity (PV) – potential temperature ( $\theta$ )  
361 definition (2.5 PV units, 380 K; Wargan et al., 2020). The tropopause at a given grid point was  
362 taken as the larger of these two PV and  $\theta$  surfaces. However, in this study, the tropopause is  
363 exclusively defined by  $\theta$  surfaces as we focus on the 20°S-20°N latitude band. For the MERRA2  
364 assimilation, in 2015 MLS changed from version 2.2 to version 4.2 (Wargan et al., 2017; Davis  
365 et al., 2017). This produced a 1-1.5 DU difference between the earlier and latter record for  
366 stratospheric column ozone, which prevents accurate trend detection from either MERRA2  
367 stratospheric column ozone or the derived tropospheric column ozone from OMPS/MERRA2.  
368 The OMPS/MERRA 2 tropopause pressure varies seasonally between 95 hPa and 108 hPa  
369 (Figure S1). The monthly accuracy and precision ( $1\sigma$ ) are 3 and 2 DU, respectively.

370

### 371 2.3.5 CrIS

372 The Cross track Infrared Sounder (CrIS) is onboard the Suomi NPP (2011–2021) and  
373 JPSS-1 (NOAA-20 in operations; 2017–present) and builds upon the hyperspectral IR record  
374 first started by the Atmospheric Infrared Sounder (AIRS) on Aqua (2002–2022). For this study  
375 we are focusing on the ozone profiles retrieved by the Community Long-term Infrared  
376 Microwave Combined Atmospheric Product System (CLIMCAPS, Smith and Barnett, 2019;  
377 2020). CLIMCAPS retrieves atmospheric state parameters, including ozone profiles (from the  
378 surface to the top of the atmosphere), from AIRS and CrIS to form a long-term record that spans  
379 instrument and platform differences. CLIMCAPS uses MERRA2 as the a-priori for ozone. Here  
380 we focus on CLIMCAPS from CrIS onboard Suomi NPP (National Polar-orbiting Partnership,  
381 2016-01-01 to 2018-03-31) and NOAA-20 (previously known as JPSS-1, 2018-04-01 to 2022-  
382 08-31) for the time period 2016-2019 because this gives us the baseline IR sounding capability  
383 for the next two decades (CrIS is scheduled for launch on three additional JPSS platforms). CrIS  
384 data covers the 90°S-90°N latitude band and for this study we focus on the 20°S-20°N latitude  
385 band. The accuracy of CrIS tropospheric ozone data varies between -9.4% globally and -20% in  
386 the tropics compared with ozonesondes. The precision is 21.2% globally (Nalli et al., 2017).

387 For CrIS, we accessed CLIMCAPS Level 2 retrievals via NASA GES DISC (NASA  
388 Goddard Earth Sciences Data and Information Services Center; Sounder SIPS, & Barnett, Chris.,  
389 2020a and 2020b; <https://disc.gsfc.nasa.gov/>). We aggregated them onto 1° equal angle global  
390 grids. Specifically, we accessed the ozone retrieved fields (o3\_mol\_lay) defined as 100 layer  
391 column density profiles [ $\text{molec m}^{-2}$ ] and subset them into tropospheric profiles. We defined the  
392 troposphere as all values between Earth surface (prior\_surf\_pres) and tropopause (tpause\_pres).  
393 A total column value is simply the sum of all column density values, converted to DU. We used  
394 the quality flag (ispare\_2=0) to define all successful retrievals, which we simply averaged per  
395 grid box. No other filtering was done. CLIMCAPS retrievals are done from cloud cleared  
396 radiances so we do not have to make specific accommodation for clouds.

397

### 398 **2.3.6 IASI / GOME2**

399 IASI/GOME2 is a multispectral approach used to retrieve ozone for several partial  
400 columns. It is based on the synergism of IASI and GOME-2 measurements respectively in the  
401 thermal infrared and the ultraviolet spectral domain, jointly used in terms of radiance spectra for  
402 enhancing the sensitivity of the retrieval for lowermost tropospheric ozone (below 3 km above  
403 sea level, see Cuesta et al., 2013). Studies over Europe and East Asia have shown good skill for  
404 capturing near surface ozone variability compared to surface in situ measurements of ozone  
405 (Cuesta et al. 2018; 2022). This ozone product offers global coverage for low cloud fraction  
406 conditions (below 30%) for 12-km diameter pixels spaced by 25 km (at nadir pointing). The  
407 IASI/GOME2 global dataset is publicly available through the AERIS French data center, with  
408 data from 2017 to the present (available at [https://iasi.aeris-data.fr/o3\\_iago2/](https://iasi.aeris-data.fr/o3_iago2/), last accessed  
409 08/02/2023) and covers the 90°S-90°N latitude band. For this study, we are using the 2017-2021  
410 monthly tropospheric column ozone between the surface and 12 km, focusing on the 20°S-20°N  
411 latitude band.

412

### 413 **2.4 Comparison between satellite and in situ data**

414 To assess the performance of the six satellite records, we calculated the mean biases  
415 between satellite-detected monthly TCO and IAGOS and SHADOZ integrated profiles over the  
416 2014-2019 time period. The biases are calculated as follows:

$$417 \quad \text{Mean Bias (MB in DU)} = \frac{\sum_{i=1}^N y_{i(\text{sat})} - y_{i(\text{ref})}}{N}$$

418

$$419 \quad \text{Normalized Mean Bias (NMB in \%)} = \frac{1}{N} \sum_{i=1}^N \frac{y_{i(\text{sat})} - y_{i(\text{ref})}}{y_{i(\text{ref})}} \times 100$$

420

421 N is the number of monthly TCO observations over a given region/site and  $y_i$  is the  
422 monthly mean TCO based on in situ data (ref) or satellite data (sat).

423 In order to represent the relationship between the satellite data and the in situ data, we  
424 used a least-square linear regression as well as the orthogonal distance regression (ODR). In this  
425 exercise, we are not using strict sampling criteria in time and space (except for the satellite and  
426 in-situ observations being in the same month, year and grid cell), nor smoothing in situ ozone  
427 profiles to the vertical resolution of the satellite data before integration. To extract satellite data  
428 over IAGOS and ATom regions, we used a 5°x5° gridded mask reflecting monthly grid cells with  
429 available IAGOS and ATom data, and only these grids are used to compute regional mean  
430 satellite values. For comparison to SHADOZ data, satellite data were extracted at the latitude and  
431 longitude of the SHADOZ sites (sonde launch site within satellite pixel). We include all satellite  
432 records with a minimum of one year of data within 2014-2019.

433

### 434 **2.5 Fused product and trend estimation**

435 The tropical region has sparse in situ sampling in both time and space, which makes  
436 accurate quantification of trends challenging. Based on a sampling sensitivity test (sections S1,  
437 S2, Figures S2 and S3), we conclude that one profile per week is only sufficient for detection of

438 trends with a very strong magnitude (i.e.,  $> |3| \text{ nmol mol}^{-1} \text{ decade}^{-1}$ ), which is not common in the  
439 free troposphere. We show that a sampling frequency of 7 profiles per month is sufficient for  
440 basic trend detection (i.e., to reliably determine if there is a trend) of TTO using the datasets  
441 presently available (if the magnitude of a trend is greater than  $|1| \text{ nmol mol}^{-1} \text{ decade}^{-1}$ ), but  
442 additional data are required for accurate quantification or detection of a weaker trend.

443 Because the sparse sampling makes trend detection difficult, we have chosen to  
444 statistically fuse the in situ measurements from the IAGOS and SHADOZ programs over large  
445 regions, which includes air masses from different origins and influences (Figures 1 and S12 to  
446 S16). The method is based on a data fusion technique described by Chang et al. (2022), which  
447 considers ozone correlation structure, sampling frequency and inherent data uncertainty. By  
448 investigating systematic ozone variability, the resulting fused product allows us to reconcile the  
449 differences between heterogeneous datasets and enhance the detectability of trends. For the  
450 Americas, we fused SHADOZ data over San Cristobal and Paramaribo with the IAGOS data  
451 (Figure S12); for Southeast Asia, we fused SHADOZ over Hanoi with the IAGOS data (Figure  
452 S13); for Malaysia/Indonesia, we fused SHADOZ data over Kuala Lumpur and Watukosek  
453 (Java) with the IAGOS data (Figure S14). For Western Africa and India, SHADOZ data are not  
454 available and we show the timeseries of just the IAGOS data in Figure S15 and S16,  
455 respectively.

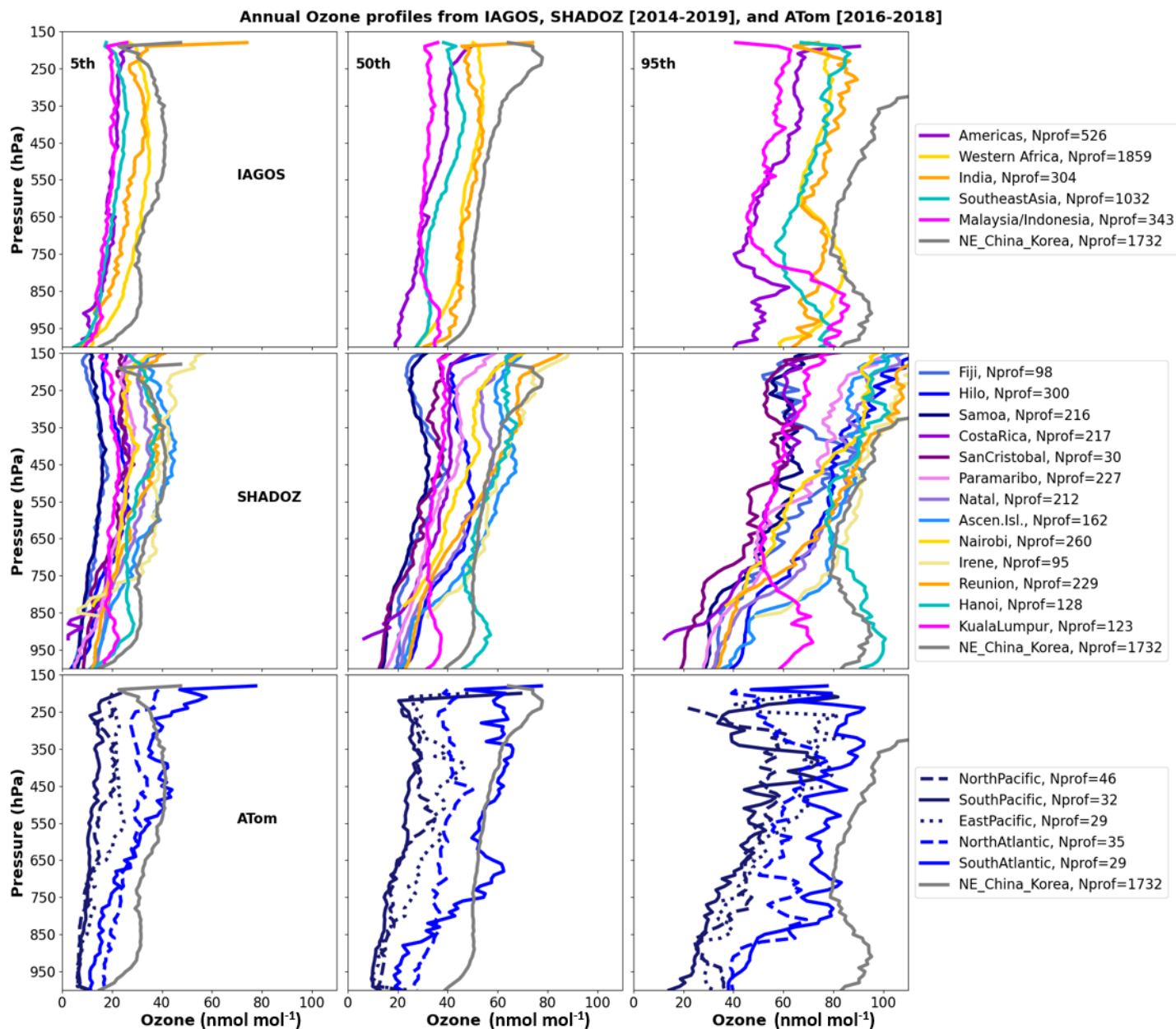
456 For IAGOS data and the fused product, the trend estimate and its associated uncertainty  
457 are based on quantile regression (Koenker & Hallock, 2001), which is an appropriate choice for  
458 ozone profile time series, because of the irregular sampling schemes and the need to evaluate  
459 ozone changes associated with a range of percentiles (Chang et al., 2021). Data gaps are not  
460 interpolated as interpolation creates fictitious sample sizes for trend detection, while treating the  
461 missing data as not substantially deviant from the available data variability. Due to limited  
462 available sample sizes, only median trends (i.e., an estimate of the trend based on the median  
463 change in data distribution) are reported in this study. It should be noted that quantile regression  
464 is specifically designed to evaluate the distributional changes (determined by all available  
465 profiles). Although trends in extreme percentiles are not considered due to insufficient samples,  
466 by focusing on the median changes, our trend estimates are expected to be more robust against  
467 extreme variability or less impacted by potential large sampling bias (due to imbalanced  
468 sampling). The R and Python codes for implementing quantile/median regression are provided in  
469 the TOAR statistical guidance note (Chang et al., 2023). To account for potential correlation  
470 between ozone and climate variability, such as ENSO (El Niño-Southern Oscillation) and QBO  
471 (quasi-biennial oscillation), the trend model is specified through:

$$472 \text{anomaly} = b_0 + b_1 \text{Trend} + b_2 \text{ENSO} + b_3 \text{QBO}(30\text{mb}) + b_4 \text{QBO}(50\text{mb}) + \text{Noise} \quad [1]$$

474  
475 where  $b_0$  is the intercept,  $b_1$  is the linear trend,  $b_2$  is the regression coefficient for ENSO,  $b_3$  and  
476  $b_4$  are coefficients for QBO at 30 and 50 mb, respectively. The trend uncertainty is derived by a  
477 bootstrapping method (Feng et al., 2011). The ENSO and QBO indexes can be found in the data  
478 availability section. Figure S17 shows that if ENSO and QBO are not considered, the trends can  
479 be offset by about  $1\text{-}2 \text{ nmol mol}^{-1} \text{ decade}^{-1}$  at individual pressure layers over the five IAGOS  
480 regions, except Africa where the trend differences are negligible.

481 In addition, we conducted trend analysis of the monthly TCO from SHADOZ, IAGOS,  
 482 OMI and OMI/MLS as well as the tropical ozone burden (TOB, Tg decade<sup>-1</sup>) over zonal monthly  
 483 means using OMI and OMI/MLS. The OMI/MLS TCO has shown a drift over time that we  
 484 corrected for this study (see section S4).

485  
 486 **3. Results**  
 487 **3.1 Ozone Profiles**  
 488



489 **Figure 2.** Distribution of tropical tropospheric ozone (TTO) showing annual 50<sup>th</sup>, 5<sup>th</sup> and 95<sup>th</sup>  
 490 percentiles (left, center, and right columns, respectively) of ozone profiles (nmol mol<sup>-1</sup>)  
 491 measured by IAGOS (top), SHADOZ (middle) both between 2014 and 2019, and ATom  
 492

493 (bottom) between 2016 and 2018. The colors correspond to the IAGOS, ATom regions and  
494 SHADOZ sites (see Figure 1). The North China and Korea (NE\_China\_Korea) region from  
495 IAGOS data is plotted in grey on all panels as a reference for mid-latitude polluted regions.  
496

497 For the period 2014-2019 (IAGOS, SHADOZ) and 2016-2018 (ATom), the three in situ  
498 data sets show a range of ozone values from the surface to 200 hPa, indicative of the different  
499 photochemical and transport regimes across the tropics (Figure 2). Here we highlight several  
500 notable features.

501 The 50<sup>th</sup> and 95<sup>th</sup> percentiles of SHADOZ data over Hanoi (up to 100 nmol mol<sup>-1</sup>) are  
502 much higher than at the other sites/regions, especially below 750 hPa. Hanoi experiences strong  
503 regional ozone production with a significant contribution from biomass burning in the Indochina  
504 peninsula, especially in spring (Ogino et al., 2022).

505 Ozone levels are lowest above the tropical South Pacific (dark blue lines on the  
506 SHADOZ and ATom panels of Figure 2) and the Americas (IAGOS: mostly represented by  
507 measurements above Caracas and Bogota, and SHADOZ: San Cristobal, purple lines on both  
508 panels of Figure 2), with the 5th percentile below 10 nmol mol<sup>-1</sup>, particularly in the lower  
509 troposphere. These low ozone values are due to the ozone sink near the marine boundary layer  
510 coupled with deep convection above the tropical South Pacific (Kley et al., 1996) and San  
511 Cristobal (Oltmans et al., 1999). Above Caracas, the local influence is notable, with low ozone  
512 levels observed during the wet season (May-December) (Yamasoe et al, 2015; Sanhueza et al.,  
513 1999). Additionally, Seguel et al. (2024) report lower ozone exposure (MDA8 health metric) in  
514 Bogota and Quito than in other South American sites, likely due to intense vertical mixing as  
515 observed in Quito (Cazorla et al., 2021a; Cazorla, 2017).

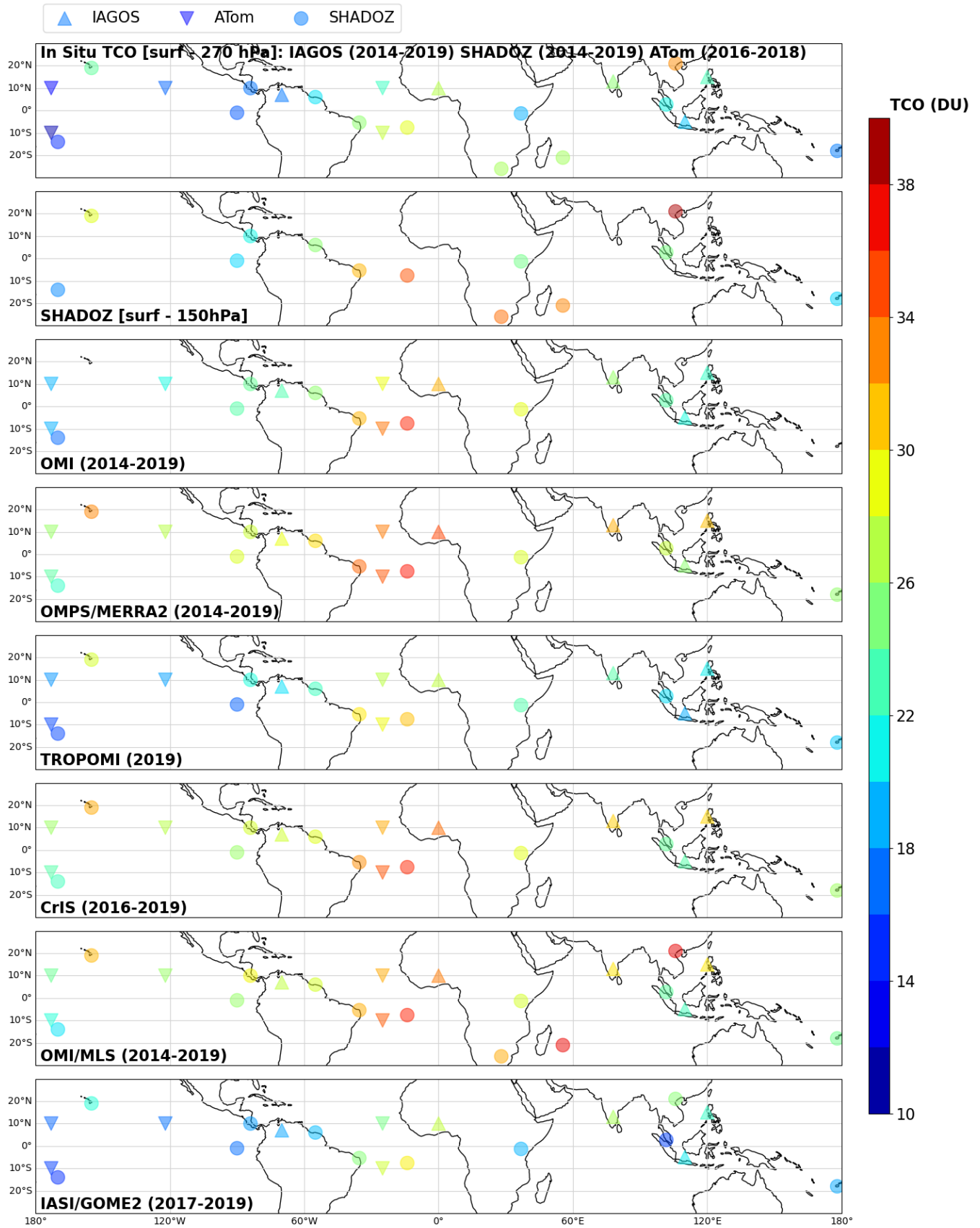
516 The 95<sup>th</sup> percentile ozone is highest above Africa, India and Southeast Asia in the mid-  
517 and upper troposphere, and above Southeast Asia and Malaysia/Indonesia in the boundary layer.  
518 The tropical South Atlantic (ATom and Ascension Island) is also notable due to broad  
519 enhancements from the lower free troposphere to the upper troposphere, with values of 60-80  
520 nmol mol<sup>-1</sup>. Similar patterns are seen in the median (50<sup>th</sup> percentile) ozone profiles, albeit with  
521 lower mixing ratios.

522 As a frame of reference, we show the polluted mid-latitude region of Northeast China /  
523 Korea from IAGOS data in 2014-2019, notable for its high ozone values (Gaudel et al., 2020). In  
524 most cases the ozone profiles of Northeast China / Korea are similar to the maximum tropical  
525 ozone profiles, but some regions exceed the Northeast China / Korea ozone values, such as  
526 Southeast Asia / Hanoi, Southern Africa, and the tropical South Atlantic / Ascension Island.

527 Based on observations from the 1980s and 1990s, ozone levels in the tropics have  
528 generally been considered to be lower than in the mid- and high latitude regions, with the  
529 exception of the tropical Atlantic (Logan et al., 1999; Fishman et al., 1990). However, with  
530 greater availability of ozone profiles across the tropics we can now demonstrate that tropical  
531 India, Southeast Asia, and Malaysia/Indonesia are among the most polluted regions and are  
532 comparable to the mid-latitude regions in terms of ozone pollution (Figure 2). We note that this  
533 unique finding regarding India only pertains to the tropical regions as ozone enhancements  
534 across northern India were detected by the TOMS/SBUV instruments as far back as 1979  
535 (Gaudel et al., 2018).  
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### **3.2 Tropical Tropospheric Column Ozone (TTCO)**





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**Figure 3.** Annual tropical tropospheric column ozone (TTCO, surface-270 hPa) from in situ data (IAGOS, SHADOZ between 2014 and 2019 and ATom between 2016 and 2018) (top panel), TTCO (surface-150 hPa) from SHADOZ (2<sup>nd</sup> panel) between 2014 and 2019; and from OMI (surface to 100 hPa, 2014-2019), OMPS/MERRA2 (surface to potential temperature at 380 K, 2014-2019), TROPOMI (surface to 270 hPa, 2019), CrIS (surface to 2016-2019), OMI/MLS (surface to thermal tropopause, 2014-2019) and IASI/GOME2 (surface to 12 km, 2017-2019).

563 Figure 3 shows the tropical tropospheric column ozone (TTCO) for SHADOZ, IAGOS  
564 and ATom and for the six-satellite records (OMI, OMPS/MERRA2, TROPOMI, CrIS,  
565 OMI/MLS and IASI/GOME2). As mentioned in Section 2, we focus on the 2014-2019 time  
566 period to study the TTCO distribution. However, ATom data are only available between 2016  
567 and 2018, and some satellite records only cover one or two years within the five-year period we  
568 have chosen. The in situ columns in Dobson units (DU) shown on the first panel of Figure 3 are  
569 from the surface to 270 hPa, with ozone varying between 11 and 33 DU. When the TTCO is  
570 calculated with profiles extending up to 150 hPa (2<sup>nd</sup> panel of Figure 3 with SHADOZ only),  
571 ozone varies between 18 and 39 DU. As seen with the profiles (section 3.1), the minimum TTCO  
572 values are observed over the Pacific Ocean and the maximum TTCO values are observed over  
573 the Atlantic, Africa, India and Hanoi. The six-satellite records reproduce quite well the  
574 variability of ozone with longitude. However, the range of TTCO values varies by product.  
575 TTCO values under 20 DU are found over the Pacific Ocean with OMI CCD, TROPOMI and  
576 IASI/GOME2, and over Southern Asia with IASI/GOME2. TTCO values above 30 DU are  
577 found over the Atlantic Ocean with all satellite records except IASI/GOME2, and over Africa,  
578 India and Southeast Asia with OMPS/MERRA2, CrIS and OMI/MLS.

579 Qualitatively, the mid- to upper tropospheric ozone maximum above the Atlantic and  
580 Africa is well known (Fishman et al., 1987; Thompson et al., 2003) and explained by subsidence  
581 of air masses rich in ozone (Krishnamurti et al., 1996; Thompson et al., 2000, 2003), emissions  
582 of lightning NO<sub>x</sub> (LiNO<sub>x</sub>, Sauvage et al., 2007), emissions of CO/VOCs from biomass burning  
583 (Ziemke et al., 2009; Bourgeois et al., 2021) and urban emissions (Tsilvidou et al., 2022). Hanoi,  
584 at the northern edge of our domain, shows previously documented large ozone enhancements  
585 (Ogino et al., 2022), equivalent to those above Africa and the Atlantic. A new maximum,  
586 equivalent to that found above Africa, is now detected over India, mostly related to human  
587 activities (fossil fuel combustion and agriculture burning) (Singh et al., 2020).

588 However, the accurate quantification of TTCO remains a challenge. The following  
589 section quantifies the differences between the satellite and in situ data in order to improve the  
590 accuracy of TTCO estimates from space.

591

### 592 **3.3 How do the current tropospheric ozone satellite records perform?**

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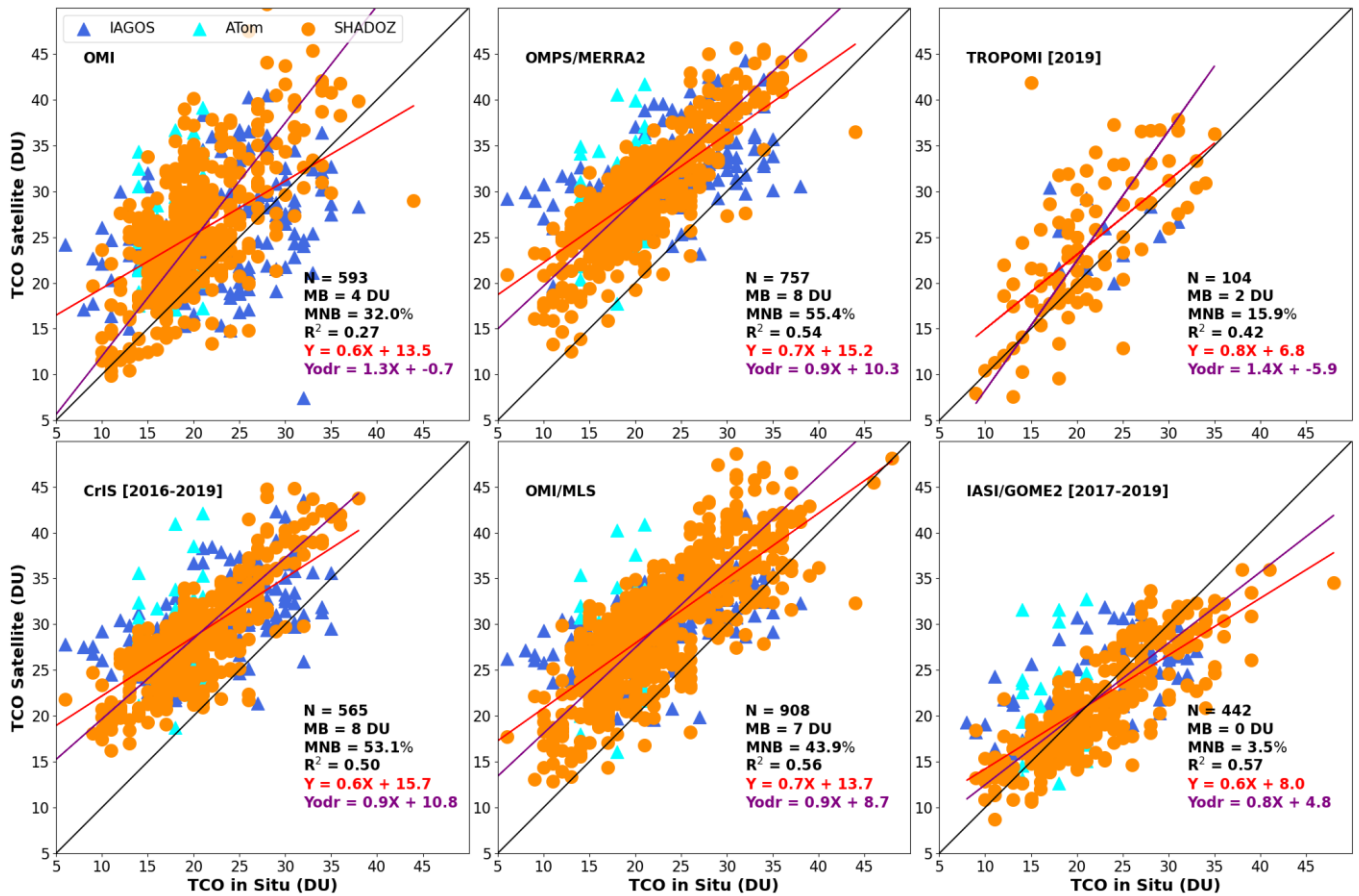
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Individual monthly TCO from Satellite versus TCO from IAGOS, SHADOZ and ATom - Nprof/month > 0

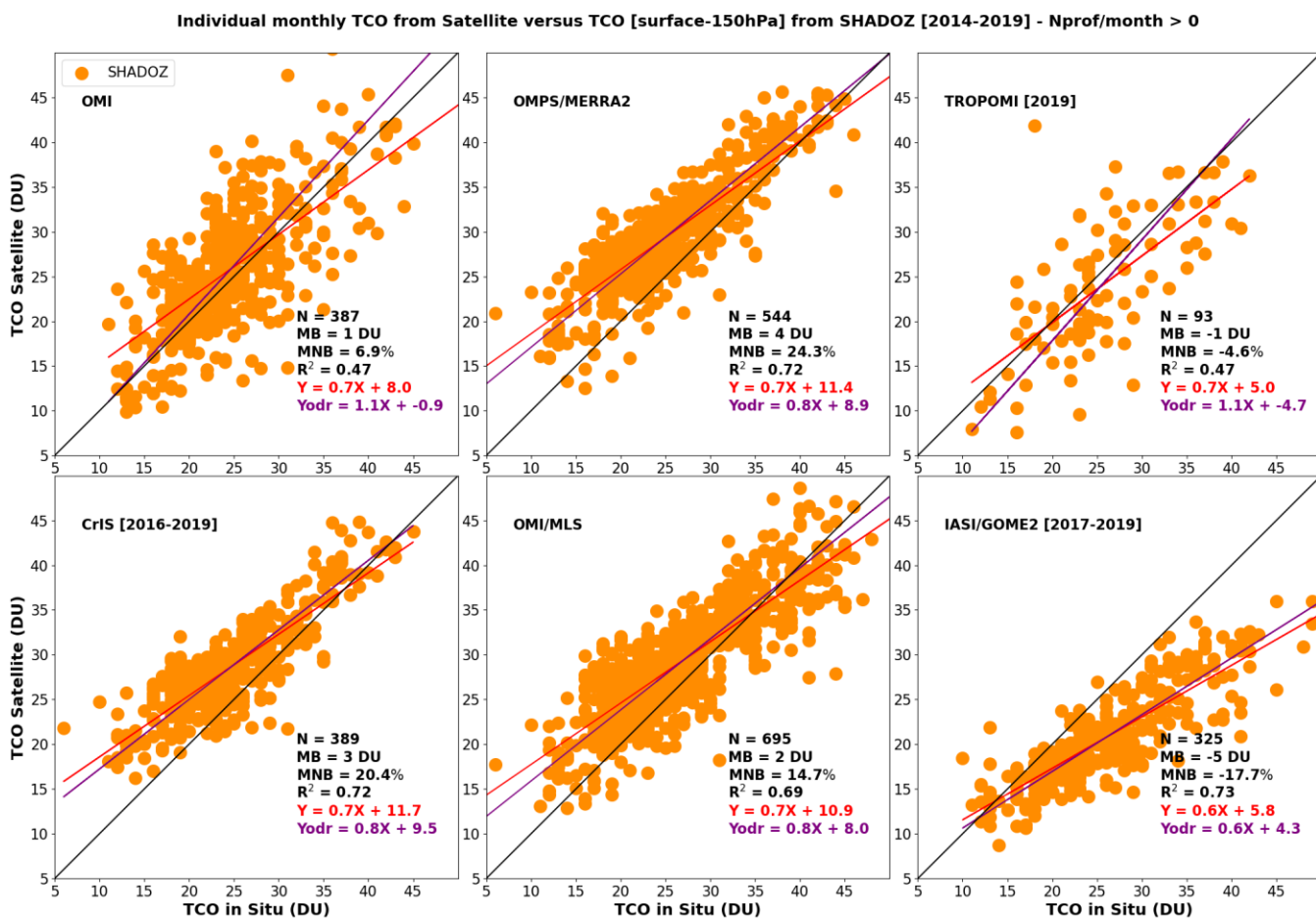


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600

601 **Figure 4.** Scatter plot of the monthly TTCO from OMI, OMPS/MERRA2, TROPOMI, CrIS,  
602 OMI/MLS and IASI/GOME2 satellite records compared with the in situ TTCO from IAGOS  
603 (dark blue triangles), ATom (cyan triangles) and SHADOZ (orange circles) between 2014 and  
604 2019. The in situ TTCO values are calculated between the surface and 270 hPa. The TTCO for  
605 all satellite data extends much higher (typically up to 100-150 hPa), except for TROPOMI  
606 (TTCO calculated from the surface up to 270 hPa) and IASI/GOME2 (TTCO up to 12 km/200  
607 hPa) (Figure S1). The linear least-squares regression is shown in red. The linear orthogonal  
608 distance regression is indicated in purple. The number of points (N), the mean biases (MB), the  
609 mean normalized biases (MNB) and the correlation coefficient (R<sup>2</sup>) are shown in black. N  
610 corresponds to the number of months with both in situ and satellite data multiplied by the  
611 number of IAGOS regions, ATom regions and SHADOZ sites over the time period 2014-2019.  
612

613 The overall satellite biases of TTCO against in situ TTCO from IAGOS, ATom and  
614 SHADOZ are shown in Figure 4. All satellite TTCO values show an expected positive offset  
615 since the top level of the satellite TTCO lies higher than that of the in situ data, except for  
616 TROPOMI and IASI/GOME2. The mean differences vary from 0 DU to 9 DU. Figure 4 shows a  
617 mean TTCO bias of 2 DU for TROPOMI and no TTCO bias for IASI/GOME2. For TROPOMI

618 and IASI/GOME2, showing the lowest TTCO biases, the sign of the differences can change with  
 619 location (Figure S18). TROPOMI shows positive TTCO biases of 1-4 DU from the Pacific to  
 620 Africa and negative biases of 1-2 DU above India, Indonesia/Malaysia. IASI/GOME2 also shows  
 621 negative TTCO biases of 1-5 DU above India and Indonesia/Malaysia. When using only  
 622 SHADOZ data, rather than all three in situ data sets, as a reference for the TTCO from the  
 623 surface to 270 hPa (Figure S19), the mean biases remain the same (compared to Figure 4),  
 624 whereas the correlation coefficient and the mean normalized biases increase.  
 625



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 627  
 628 **Figure 5.** Same as Figure 4 but for satellite data compared with SHADOZ TTCO integrated  
 629 between the surface and 150 hPa.

630  
 631 Because four satellite records (OMI, OMPS/MERRA2, CrIS and OMI/MLS) show  
 632 TTCO from the surface to 100-150 hPa, altitudes that the IAGOS aircraft do not reach, we  
 633 compare them to SHADOZ TTCO from the surface to 150 hPa (Figure 5). Both the biases and  
 634 the correlation coefficients improve when compared to results for TTCO up to 270 hPa, except  
 635 for IASI/GOME2 for which the bias became negative (-5 DU). These results illustrate that  
 636 differences in the definition of the top level of the tropospheric column play an important role in

637 observed differences between satellite TCO and in-situ TCO ozone data. There is hence a  
638 need for a common tropospheric column definition to make satellite TCO estimates comparable  
639 between each other and with in-situ data.

640 Looking at the SHADOZ sites individually (Figure S20), the biases became closer to zero  
641 above Ascension Island (tropical Atlantic) and Natal (Brazil) when the top level of the column  
642 was changed from 270 hPa to 150 hPa. However, the satellite TCO records with the top level of  
643 the column higher than 270 hPa (all satellites except TROPOMI and IASI/GOME2) still  
644 overestimate TCO after changing the reference SHADOZ TCO's top level from 270 hPa to  
645 150 hPa.

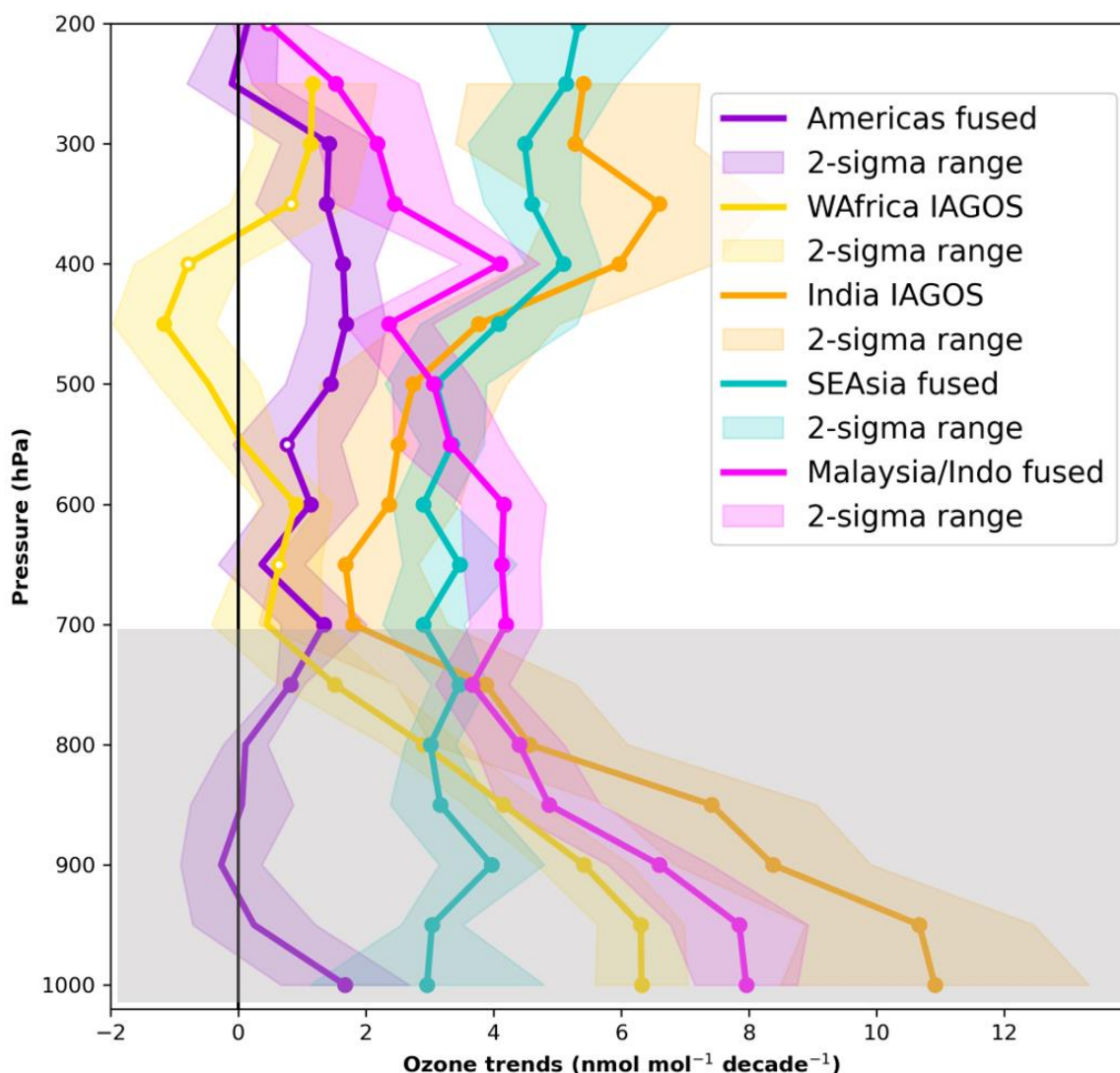
646 The biases of TROPOMI reported in Figures 4, S11 and 5 are in the range of those  
647 reported in Hubert et al. (2021) with a bias of  $2.3 \pm 1.9$  DU when compared with the SHADOZ  
648 ozonesondes. Biases estimated for TROPOMI and IASI/GOME 2 using the three in situ TCO  
649 data sets from the surface to 270 hPa (Figure 4), and biases estimated for OMI,  
650 OMPS/MERRA2, CrIS and OMI/MLS using SHADOZ TCO from the surface to 150 hPa  
651 (Figure 5) are applied to improve the accuracy of estimates of the tropospheric ozone burden  
652 (TOB), as described in section 3.5.

653

### 654 **3.4 Ozone changes with time**

655

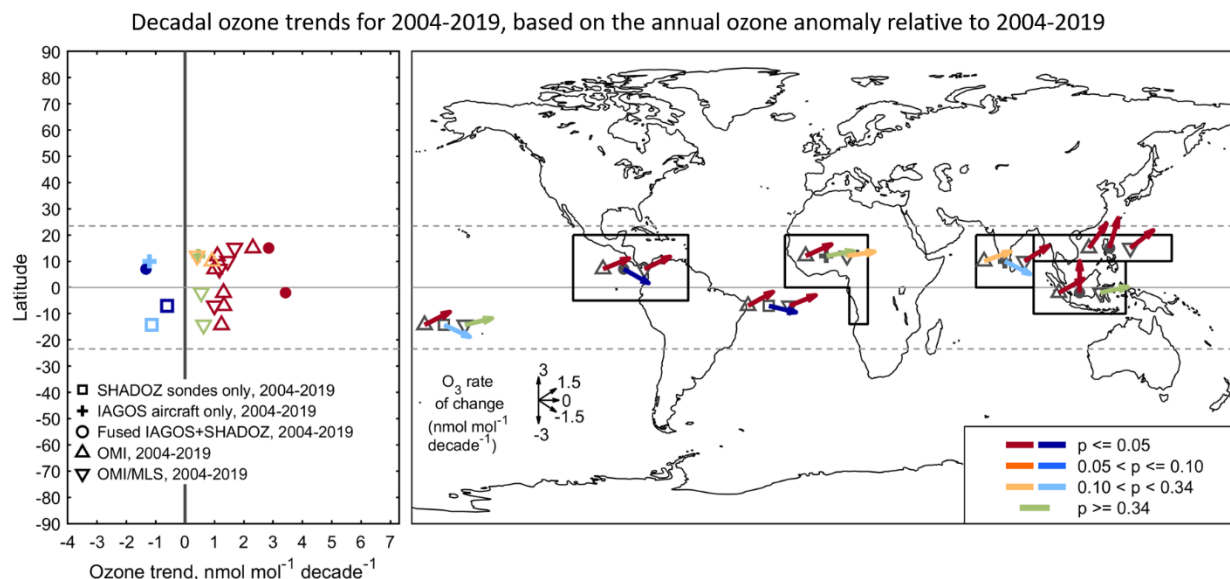
### 50th percentile ozone trends [1994-2019]



657  
 658 **Figure 6.** Vertical profiles of ozone trends ( $\text{nmol mol}^{-1} \text{ decade}^{-1}$ ) between 1994 and 2019, at 50  
 659 hPa vertical resolution. Trends are calculated for the 5 IAGOS regions in the tropics:  
 660 Americas, Western Africa, India, Southeast Asia and Malaysia/Indonesia. SHADOZ data are  
 661 available for 3 out of the 5 IAGOS regions and used to produce fused trends (IAGOS +  
 662 SHADOZ). Filled circles indicate trends with  $p$ -values less than 0.05. Open circles indicate  
 663 trends with  $p$ -values between 0.05 and 0.1. The zero-trend value is indicated with a vertical black  
 664 line. The vertical range below 700 hPa is shaded grey to indicate that the fused trends are based  
 665 on several sites and airports influenced by different local air masses. The 2-sigma values  
 666 associated with the ozone trends are shown in shaded colors.  
 667

668 The estimation of trends of tropospheric ozone in the tropics based on in situ observations  
669 is a difficult task as the data are sparse in time and space, as discussed below. In this study, the  
670 Americas, Africa, Southeast Asia and Malaysia/Indonesia are regions sampled both by IAGOS  
671 and SHADOZ allowing us to improve the trends estimate in the free troposphere (above 700  
672 hPa) by fusing both datasets to achieve a greater sample size and a better representation of  
673 regional ozone variability (sections 2.5, S1, Figures S2-S3 and Figures S12-S14). Figure 6 shows  
674 trends from the fused datasets. We observed increasing ozone levels between 1994 and 2019  
675 over Americas (trends ranging from  $-0.3 \pm 0.6$  to  $1.8 \pm 0.7$  nmol mol<sup>-1</sup> decade<sup>-1</sup> with the vertical  
676 levels), Africa (from  $-0.3 \pm 0.6$  to  $7.4 \pm 0.4$  nmol mol<sup>-1</sup> decade<sup>-1</sup>), India (from  $0.9 \pm 1.4$  to  $11 \pm$   
677  $2.4$  nmol mol<sup>-1</sup> decade<sup>-1</sup>), Southeast Asia (from  $2.5 \pm 0.4$  to  $5.1 \pm 0.8$  nmol mol<sup>-1</sup> decade<sup>-1</sup>) and  
678 Malaysia/Indonesia (from  $0.5 \pm 0.6$  to  $8.0 \pm 0.8$  nmol mol<sup>-1</sup> decade<sup>-1</sup>). In the boundary layer  
679 (<700 hPa), local air masses sampled above SHADOZ sites and IAGOS airports are likely very  
680 different in terms of emissions, photochemistry and air mass history, which may explain higher  
681 differences between the fused and IAGOS trends than in the free troposphere (Figure S21). The  
682 strongest trend we find is  $12.5 \pm 2.2$  nmol mol<sup>-1</sup> decade<sup>-1</sup> in the boundary layer over  
683 Malaysia/Indonesia using IAGOS data only (Figure S21). Malaysia/Indonesia is the region for  
684 which the number of years with missing IAGOS data is excessive (Figure S14). As shown by  
685 Gaudel et al. (2020), the “L” shape of the trends, with a rather constant trend above the 700 hPa  
686 level and larger trends in the boundary layer, is common to the studied tropical regions except  
687 for Southeast Asia, which shows similar trends in both the boundary layer and in the free  
688 troposphere. Taking the fused trends as the reference, we find that the trends estimated using  
689 IAGOS data only tend to be overestimated by 1-2 nmol mol<sup>-1</sup> decade<sup>-1</sup> at 700-500 hPa, except  
690 over the Americas, and underestimated by 0.5-1 nmol mol<sup>-1</sup> decade<sup>-1</sup> at 500-250 hPa, except over  
691 Malaysia/Indonesia. Only IAGOS ozone profiles are available over India and the trends in this  
692 region can reach up to  $6.7 \pm 1.8$  nmol mol<sup>-1</sup> decade<sup>-1</sup> at 350 hPa, which exceed the trends over the  
693 other regions at the same vertical level.

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700 **Figure 7.** TTCO trends ( $\text{nmol mol}^{-1} \text{decade}^{-1}$ ) between 2004 and 2019 from IAGOS (crosses),  
 701 SHADOZ (squares), IAGOS fused with SHADOZ (circles), OMI (triangles up) and OMI/MLS  
 702 (triangles down) above the five continental IAGOS regions (Americas, Africa, India, Southeast  
 703 Asia and Malaysia/Indonesia) and two oceanic SHADOZ regions (Samoa and Natal + Ascension  
 704 Island). The left panel shows the trends of ozone as a function of latitude. The right panel shows  
 705 the trends of ozone on the map with the black rectangles demarcating the five IAGOS regions.  
 706 On the map, the longitude of the crosses, circles, triangles and squares are arbitrary and the  
 707 latitude is the mean latitude of the black rectangles or relative to the SHADOZ sites. The  
 708 direction of the arrows shows the magnitude of the trends and the colors indicate the  $p$ -value.  
 709 The TTCO trends from in situ data are calculated from the monthly TTCO between the surface  
 710 and 100 hPa, except over India where IAGOS profiles are available between the surface and  
 711 around 200 hPa. The TTCO trends from OMI and OMI/MLS are calculated from the monthly  
 712 TTCO defined between the surface and around 102-105 hPa (Figure S1).

713

714 Satellite data from OMI are available continuously since 2004 and 15-year trends can  
 715 now be estimated. The interannual variability of the TTCO from satellite and in situ data is  
 716 shown in Figure S22. Several time series of the monthly mean of tropospheric ozone above  
 717 Malaysia/Indonesia show the influence of climate variability such as El Niño and related fires.  
 718 For example, we see a peak of ozone in September 2015 in agreement with a peak of CO  
 719 emissions due to biomass burning above Equatorial Asia (Figure S23, Mead et al., 2018).

720 Figure 7 and Table 1 show the trend estimates of TTCO in  $\text{nmol mol}^{-1} \text{decade}^{-1}$  from  
 721 OMI CCD, OMI/MLS, and in situ data between 2004 and 2019. The in situ trends between 2004  
 722 and 2019 (Figure S24 and Table 1) are negative for Samoa ( $-1.1 \pm 1.9 \text{ nmol mol}^{-1} \text{decade}^{-1}$ ),  
 723 Americas ( $-1.3 \pm 0.4 \text{ nmol mol}^{-1} \text{decade}^{-1}$ ), Natal/Ascension Island ( $-0.6 \pm 0.5 \text{ nmol mol}^{-1}$   
 724  $\text{decade}^{-1}$ ) and India ( $-1.2 \pm 1.8 \text{ nmol mol}^{-1} \text{decade}^{-1}$ ), and positive for Western Africa ( $0.4 \pm 1$   
 725  $\text{nmol mol}^{-1} \text{decade}^{-1}$ ), Southeast Asia ( $2.9 \pm 1.4 \text{ nmol mol}^{-1} \text{decade}^{-1}$ ) and Malaysia/Indonesia



726  $(3.4 \pm 1.3 \text{ nmol mol}^{-1} \text{ decade}^{-1})$ . The presence of negative trends above some regions for the  
727 shorter 2004-2019 period differs greatly from the longer 1994-2019 time period which had no  
728 time series with negative trends except above Samoa (Figure 7, Table 1). They also differ from  
729 the positive trends shown by the satellite data (full record, Figure 7, Table 1). The satellite trends  
730 vary between  $0.9 \pm 1.3 \text{ nmol mol}^{-1} \text{ decade}^{-1}$  over India and  $2.3 \pm 1.3 \text{ nmol mol}^{-1} \text{ decade}^{-1}$  over  
731 Southeast Asia with OMI, and between  $0.4 \pm 0.8 \text{ nmol mol}^{-1} \text{ decade}^{-1}$  over Western Africa and  
732  $1.7 \pm 0.8 \text{ nmol mol}^{-1} \text{ decade}^{-1}$  over Southeast Asia with OMI/MLS (Figure 7, Table 1).

733 Discrepancies between satellites and in situ observations in assessing trends may be  
734 caused by (i) the different definitions of the tropospheric column (100 hPa, 200 hPa or  
735 tropopause defined with the temperature lapse rate); (ii) the diminished sensitivity of the space-  
736 based instruments in the boundary layer; or (iii) the limited data availability and relatively short  
737 record that may lead to less accurate and precise trends (Figures S2 and S3). In particular we  
738 highlight previous research that has demonstrated the difficulty in detecting ozone trends in time  
739 series that are noisy and or sparsely sampled (Weatherhead et al., 1998; Fischer et al., 2011;  
740 Barnes and Fiore, 2016; Fiore et al., 2022). These studies show that 20 years of observations, or  
741 more, are needed for trend detection, and that model ensembles (based on differing initial  
742 conditions) can produce trends for a given location that vary so widely that even the sign can  
743 fluctuate between positive and negative, when dealing with time periods less than 20 years.  
744 Furthermore, previous studies of in situ ozone profiles concluded that a sampling frequency of  
745 once per week generally fails to produce accurate monthly mean and trend values (Logan, 1999;  
746 Saunio et al., 2012; Chang et al., 2020, 2022). Consistent with these previous studies, we  
747 conducted our own analysis of tropical ozone time series (see the Supplementary Section S1) and  
748 found that these sparsely sampled data sets have very low signal-to-noise ratios, which makes  
749 trend detection very difficult, especially when a time series is less than 20 years in length (Chang  
750 et al., 2020,2022). The comparison between the in situ and satellite trends is only 15 years in  
751 length (2004-2019), and the in situ datasets are sparsely sampled, characteristics consistent with  
752 known challenges for trend detection. Furthermore, we point out that the robustness of the  
753 positive trends from the satellite records greatly diminishes, and even becomes undetectable,  
754 when we reduce the sample size of the satellite data in the IAGOS regions to match the sparse  
755 sampling frequency of the aircraft observations (Figure S25). For example, when the satellite  
756 data are fully sampled across the five IAGOS domains, all trends are positive, within the range  
757  $0.4 \pm 0.8$  to  $2.3 \pm 1.3 \text{ nmol mol}^{-1} \text{ decade}^{-1}$ . But when the satellite sample sizes are reduced so  
758 that they only coincide with the specific months and grid-cells sampled by the IAGOS aircraft,  
759 the range of the trends more than doubles and even includes negative values ( $-3.1 \pm 2.6$  to  $+3.6 \pm$   
760  $2.1 \text{ nmol mol}^{-1} \text{ decade}^{-1}$ ). This increased uncertainty is an expected outcome of decreased  
761 sampling frequency, as illustrated in Figure S2.

762 The color scheme in Table 1 reflects our overall confidence in the presence of in situ  
763 trend estimates, according to the number of missing monthly values, monthly average data  
764 availability, the length of study period, and the  $p$ -value of the trend estimate (the trends are  
765 confident only if a low  $p$ -value and a high data coverage are met, see Appendix A for further  
766 details and Section S3 for a discussion of the confidence assigned to each region). When  
767 assigning a level of confidence to a trend we weigh the  $p$ -value and the data coverage and ask the  
768 question: “Are we confident that a positive or negative trend is reliable?” For example, if a  
769 positive trend has a low  $p$ -value but also low data coverage then our confidence that the trend is  
770 reliable is diminished. Western Africa is the only region in this study with sufficient sampling  
771 for reliable trend detection with high confidence (1994-2019). Trends derived from the other in

772 situ time series only have low or medium confidence due to sampling deficiencies and/or low  
773 estimation certainty (based on the p-value). When we compare the satellite trends to the in situ  
774 trends we find that they are consistent for Southeast Asia, with all three data sets showing  
775 positive trends. In the other regions we find discrepancies between the in situ and satellite trends,  
776 but in these regions, we do not have high confidence in the in situ trends, and therefore there is  
777 no reason to reject the satellite trend values, which generally indicate an increase of ozone in the  
778 study regions. However, the discrepancies between satellite and in situ trends in the Americas  
779 and Natal + Ascension Island are nuanced and require further discussion. In the Americas region  
780 we assigned medium confidence to the decreasing ozone trends based on the in situ observations,  
781 which contrasts strongly with the clear positive trends based on the satellite data. When we  
782 reduced the satellite sampling coverage to match the locations and months with IAGOS  
783 observations, we found that the satellite trends switched from clear positive trends to clear  
784 negative trends (Figure S25). This exercise indicates that the available in situ observations are  
785 not representative of the large region, and therefore they do not provide sufficient justification  
786 for rejecting the positive trends reported by the satellite data. In situ ozone trends above Natal +  
787 Ascension Island have a weak negative trend ( $-0.62 \pm 0.54 \text{ nmol mol}^{-1} \text{ decade}^{-1}$ ) with medium  
788 confidence, while the satellite trends show weak positive trends. While the divergence between  
789 the positive and negative trends is small over this short time period (2-3 nmol mol<sup>-1</sup> over 15  
790 years), this discrepancy warrants further investigation to determine the differences between the  
791 satellite and in situ time series trends.

792

### 793 **3.5 Comparison to previous studies**

794 Using the ozonesondes from the SHADOZ network, Thompson et al. (2021) found  
795 positive annual trends of about  $1.2 \pm 3 \text{ \% decade}^{-1}$  to  $1.9 \pm 3 \text{ \% decade}^{-1}$  ( $0.08 \pm 1.68 \text{ nmol mol}^{-1}$   
796  $\text{decade}^{-1}$  to  $0.78 \pm 1.66 \text{ nmol mol}^{-1} \text{ decade}^{-1}$ ) between 1998 and 2019 at 5-10 km (~500-250 hPa)  
797 across the tropical belt. They reported maximum trends ( $1.9 \pm 3 \text{ \% decade}^{-1}$ ) above the  
798 Malaysia/Indonesia (Kuala Lumpur + Java) and Americas (San Cristobal + Paramaribo) regions  
799 and minimum trends ( $1.2 \pm 3 \text{ \% decade}^{-1}$ ) above Africa (Nairobi). The SHADOZ trends are  
800 slightly lower than the IAGOS + SHADOZ fused trends or IAGOS trends which may be  
801 explained by the different starting points of the time series (1998 for SHADOZ data and 1994 for  
802 IAGOS data), but they are all positive.

803 Previous studies of TTCO trends from satellite data relied on data harmonization in order  
804 to combine several satellite records into a time series spanning at least two decades and to better  
805 account for the climate variability in the trend estimates (Heue et al., 2016; Leventidou et al.,  
806 2018; Ziemke et al., 2019; Pope et al., 2023). Heue et al. (2016) found a tropical trend of  $0.7 \pm$   
807  $0.12 \text{ DU decade}^{-1}$ , with regional trends ranging from  $+1.8 \text{ DU decade}^{-1}$  on the African Atlantic  
808 coast, to  $-0.8 \text{ DU decade}^{-1}$  over the western Pacific Ocean. Leventidou et al. (2018) reported  
809 positive trends of TTCO of 1 to  $1.5 \text{ DU decade}^{-1}$  between 1996 and 2015 over Northern South  
810 America, North Africa, South Africa and India, and negative trends of  $-1.2$  to  $-1.9 \text{ DU decade}^{-1}$   
811 above the oceans (Pacific, Atlantic, Indian oceans). Using TOMS-OMI/MLS, Ziemke et al.  
812 (2019) reported positive trends between 1979 and 2016 across the tropical latitude band  $20^{\circ}\text{S}$ -  
813  $20^{\circ}\text{N}$  except above the southeastern tropical Pacific Ocean and southeastern Indian Ocean. The  
814 highest positive trends (up to  $1.3 \text{ DU decade}^{-1}$ ) were found above South-Southeast Asia and  
815 Central Africa. Finally, a new harmonized product that quantifies ozone between the surface and  
816 450 hPa reports much higher tropical trends than the other studies, with increases of  $2.9 \pm 1.6$

817 DU decade<sup>-1</sup> for the southern tropical band (0 – 15° S) and  $3.9 \pm 1.8$  DU decade<sup>-1</sup> for the northern  
818 tropical band (0 – 15° N) for the years 1996-2017 (Pope et al., 2023). While these findings vary  
819 regarding the magnitude of trends in the tropics, when taken into consideration with the 1994-  
820 2019 in situ trends reported by the present study, the preponderance of evidence indicates a  
821 general increase of TTCO since the mid-1990s.

822 Wang et al. (2022) report an increase of TTCO (950 - 250 hPa) trends using the GEOS-  
823 Chem chemical transport model above the IAGOS' regions and SHADOZ sites between 1995  
824 and 2017, except above Samoa. The trends vary with locations between  $-0.60 \pm 0.38$  nmol mol<sup>-1</sup>  
825 decade<sup>-1</sup> above Samoa and  $2.87 \pm 0.23$  nmol mol<sup>-1</sup> decade<sup>-1</sup>. In general, they find that the TTCO  
826 trends from the model are lower by 1-3 nmol mol<sup>-1</sup> decade<sup>-1</sup> than from the observations, except  
827 above Paramaribo.

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830 **Table 1. Summary of the TCO trends in nmol mol<sup>-1</sup> decade<sup>-1</sup> from IAGOS, SHADOZ,**  
 831 **OMI/MLS and OMI CCD.**

832 The sampling column reports three numbers for the in situ data: i) the number on the top refers to  
 833 the average number of profiles per months taking into account all the months with profiles, ii)  
 834 the number in the middle refers to the percentage of months with data for the studied time-period  
 835 (1994-2019 or 2004-2019), iii) the number in the bottom refers to the total number of profiles for  
 836 the studied time period (1994-2019 or 2004-2019). For the satellites, the sampling column  
 837 reports “Full” when the full record is taken into account and “Filtered” when the satellite sample  
 838 sizes have been greatly reduced so that they only coincide with the specific months and grid-cells  
 839 sampled by the IAGOS aircraft. The table cells are color coded to reflect the low confidence  
 840 (light blue), medium confidence (blue) and high confidence (dark blue) on the ozone trends from  
 841 the in situ data based on the sampling and the p-value.

		1994-2019			2004-2019		
		Trends±2σ (nmol mol <sup>-1</sup> decade <sup>-1</sup> )	p-value	Sampling	Trends±2σ (nmol mol <sup>-1</sup> decade <sup>-1</sup> )	p-value	Sampling
IAGOS	Western Africa	2.34±0.48	<0.01	18.8 71.8% 3411	0.44±1.04	0.40	20.2 66.7% 2261
	India	5.68±1.06	<0.01	7.6 66.7% 1574	-1.21±1.76	0.17	8.5 67.7% 1100
SHADOZ	Samoa	-0.03±1.21	0.97	3.2 92.8% 779	-1.13±1.90	0.23	3.1 91.6% 537
	Natal + Ascension Island	0.49±0.49	0.04	6.3 90.4% 1426	-0.62±0.54	0.01	6.0 87.2% 939
Fused IAGOS + SHADOZ	Americas	0.47±0.79	0.36	12.2 92.2% 3642	-1.33±0.39	<0.01	10.7 93.6% 2036
	Southeast Asia	3.51±0.78	<0.01	11.2 77.8% 2501	2.85±1.38	<0.01	10.2 82.8% 1730
	Malaysia/Indonesia	3.96±0.53	<0.01	5.0 89.8% 1445	3.42±1.35	<0.01	4.7 89.9% 954
OMI CCD	Americas				1.01±0.72	0.01	Full
					-3.06±2.65	0.02	Filtered
	Western Africa				1.10±1.04	0.04	Full
					-1.04±3.08	0.50	Filtered
	India				0.92±1.26	0.15	Full
					1.20±2.95	0.42	Filtered
	Southeast Asia				2.31±1.34	<0.01	Full
					3.56±2.08	<0.01	Filtered
Malaysia/Indonesia				1.31±1.15	0.02	Full	
				2.26±3.42	0.19	Filtered	
Samoa				1.24±1.17	0.04		
				1.32±1.04	0.01		
Natal + Ascension Island							
OMI/MLS	Americas				1.17±0.72	<0.01	Full

					-2.79±1.96	0.01	Filtered
	Western Africa				0.41±0.80	0.30	Full
					0.68±3.95	0.73	Filtered
	India				1.45±0.79	<0.01	Full
						-1.64±1.67	0.05
	Southeast Asia				1.69±0.83	<0.01	Full
						2.46±1.85	0.01
	Malaysia/Indonesia				0.55±1.22	0.37	Full
						1.39±4.36	0.53
	Samoa				0.63±1.34	0.35	
	Natal + Ascension Island				1.00±0.78	0.01	

842

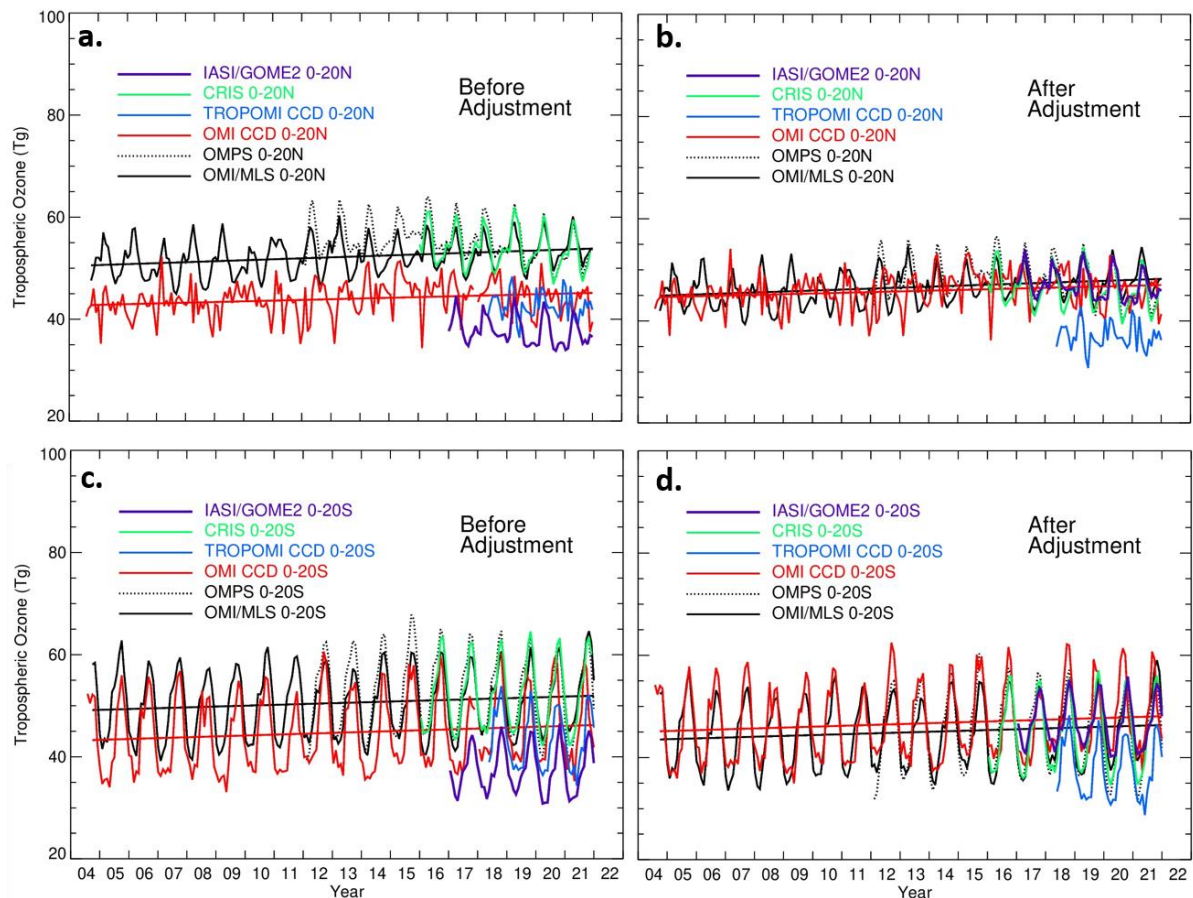
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846 **3.6 Tropical tropospheric ozone burden**

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851 **Figure 8.** Time series of tropospheric ozone burden (Tg) from OMI/MLS, OMPS/MERR2, OMI  
852 CCD, TROPOMI, CrIS and IASI/GOME2. The panels show the monthly means for the Northern  
853 Hemisphere (a and b) and the Southern Hemisphere (c and d) before and after bias correction.  
854 The biases we used are in DU and from the differences between IASI/GOME2 and TROPOMI  
855 TTCO using the reference TTCO up to 270 hPa and between OMI, OMI/MLS,  
856 OMPS/MERRA2, CrIS TTCO using the reference TTCO up to 150 hPa (Figures 4 and 5).

857  
858

859 Figure 8 shows the time series of the tropical tropospheric ozone burden (TTOB, Tg)  
860 from six satellite records. As described in the Methods, OMI/MLS, OMI CCD,  
861 OMPS/MERRA2, TROPOMI, CrIS, and IASI/GOME2 are sampled in the 20°S-20°N latitude  
862 band. For both hemispheres we find two distinguished groups in terms of TTOB (Figure 8,  
863 panels a and c): (i) TROPOMI and IASI/GOME2 with a range of TTOB of 35-45 Tg, (ii)  
864 OMI/MLS, OMPS/MERRA2 and CrIS with a range of TTOB of 40-65 Tg. These differences are  
865 explained by the difference of the upper bound of the tropospheric column (lower for TROPOMI  
866 and IASI/GOME2 than for the other satellite data). OMI CCD TTOB (35-55 Tg) falls between

867 these two groups. The seasonal variability of TTOB is lower in the northern hemisphere than in  
868 the southern hemisphere.

869 The biases calculated from the scatter plots of satellite versus ozonesondes (Figures 4 and  
870 5) are used to correct the satellite time series. The adjustment reduced the differences by about  
871 10 Tg in the northern hemisphere and by 5 Tg in the southern hemisphere, between the two  
872 groups mentioned above. After adjustment, OMI CCD TTOB become close to (northern  
873 hemisphere) or higher than (southern hemisphere) OMI/MLS TTOB. In the northern hemisphere,  
874 after adjustment (Figure 8, panels b and d, and Table 2), TROPOMI TTOB (30-40 Tg) are lower  
875 than for the other datasets (40-55 Tg). In the southern hemisphere, it is difficult to distinguish the  
876 two groups, after adjustment. TROPOMI TTOB (30-48 Tg) shows lower values than the other  
877 datasets (30-60 Tg) but the average differences are smaller than in the northern hemisphere.

878 Table 2 summarizes TTOB trends from this study, and from TOAR-Climate (Gaudel et  
879 al., 2018). Trends are positive and higher in the northern hemisphere ( $1.6 \pm 1.1$  Tg decade<sup>-1</sup> to  
880  $5.7 \pm 2.5$  Tg decade<sup>-1</sup>) than the southern hemisphere ( $0.9 \pm 2.2$  Tg decade<sup>-1</sup> to  $5.1 \pm 4.5$  Tg  
881 decade<sup>-1</sup>). Because TTOB trends in Tg decade<sup>-1</sup> can increase with the width of the latitude band  
882 (assuming trends are all positive across the range of latitudes considered), we also report trends  
883 in % decade<sup>-1</sup>, to compare trends between different latitude bands. The 2004-2016 OMI/MLS  
884 trends in the 0-30° north and south latitude bands are higher by a factor of 3 or 5 than the 2004-  
885 2019 OMI/MLS trends in the 0-20° north and south latitude bands. These differences might be  
886 explained by the influence of the larger increases of subtropical tropospheric ozone.

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888

889 **Table 2.** Summary of tropical tropospheric ozone burden values and trends.  
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	Latitude band	Tropospheric Ozone Burden			Trends			
		Period	Instrument/ model	Values Tg	Period	Instrument	Values Tg/decade	Values %/decade
This study (These numbers are corrected using bias results from Figure 5)	0-20°N	2004-2021	OMI/MLS	<b>46.6 ± 7.0</b>	2004-2019	OMI/MLS	<b>1.6 ± 1.1</b>	<b>3 ± 2</b>
		2004-2021	OMI	<b>45.9 ± 6.8</b>	2004-2019	OMI	<b>2.4 ± 1.1</b>	<b>5 ± 2</b>
		2012-2021	OMPS	<b>48.1 ± 7.4</b>				
		2016-2021	CrIS	<b>46.4 ± 7.5</b>				
		2017-2021	IASI/GOME2	<b>38.1 ± 5.9</b>				
		2019	TROPOMI	<b>34.9 ± 5.1</b>				
	0-20°S	2004-2021	OMI/MLS	<b>44.9 ± 13.0</b>	2004-2019	OMI/MLS	<b>0.9 ± 2.2</b>	<b>2 ± 5</b>
		2004-2021	OMI	<b>46.5 ± 14.2</b>	2004-2019	OMI	<b>1.9 ± 2.4</b>	<b>4 ± 5</b>
		2012-2021	OMPS	<b>45.3 ± 15.1</b>				
		2016-2021	CrIS	<b>44.6 ± 13.4</b>				
		2017-2021	IASI/GOME2	<b>37.1 ± 8.6</b>				
		2019	TROPOMI	<b>34.7 ± 10.7</b>				
TOAR-Climate (Figures S28, S29)	0-30°N				2004-2016	OMI/MLS	<b>5.7 ± 2.5</b>	<b>7 ± 3</b>
	0-30°S				2004-2016	OMI/MLS	<b>5.1 ± 4.5</b>	<b>6 ± 5.6</b>

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#### 894 4. Conclusions

895 Long and mid-term records of tropospheric ozone from IAGOS, SHADOZ, and OMI, as  
896 well as new observations from the ATom aircraft campaign and the CrIS, IASI/GOME2 satellite  
897 instruments are now available in the tropics, a region undergoing rapid changes in terms of  
898 human activity and emissions of ozone precursors. The present study takes advantage of these  
899 new data records to assess the distribution of tropical tropospheric ozone, and it uses the longest  
900 records to assess its trends:

##### 901 **Present-day distribution**

- 902 ● With greater availability of ozone profiles across the tropics we can now demonstrate that  
903 southern India is among the most polluted regions (Western Africa, tropical South  
904 Atlantic, Southeast Asia, Malaysia/Indonesia) with 95<sup>th</sup> percentile ozone values reaching  
905 80 nmol mol<sup>-1</sup> in the lower free troposphere, comparable to mid-latitude regions, such as  
906 Northeast China/Korea. – Section 3.1, Figure 2
- 907 ● The lowest ozone values (5<sup>th</sup> percentile) are less than 10 nmol mol<sup>-1</sup>, and are observed by  
908 SHADOZ and ATom in the boundary layer (below 700 hPa) above the Americas and the  
909 tropical South Pacific. – Section 3.1, Figure 2
- 910 ● From space, the distribution of tropical tropospheric column ozone (TTCO) ranges from  
911 10 to 40 DU in the 20°S-20°N latitude band – Section 3.2, Figure 3
- 912 ● The definition of the tropospheric column plays an important role in assessing tropical  
913 tropospheric ozone. Satellite data with a higher upper limit overestimate tropical column  
914 ozone compared to in-situ data. Mean biases reach up to 9 DU for OMPS/MERRA2  
915 when compared to IAGOS, ATom and SHADOZ. The bias is 0 for IASI/GOME2 for  
916 which the column definition matches the in situ observations. – Section 3.3, Figure 4
- 917 ● The smallest biases ( $\leq 2$  DU) are found when matching the top limit of the in situ profiles  
918 to that of the OMI, TROPOMI and IASI/GOME2 satellite records. – Section 3.3, Figures  
919 4 and 5
- 920 ● The in situ observations were critical for adjusting the biases in the satellite products,  
921 bringing them into closer alignment. In the 20°S-20°N latitude band, the tropical  
922 tropospheric ozone burden (TTOB) is slightly larger in the northern hemisphere (between  
923  $34.9 \pm 5.1$  and  $48.1 \pm 7.4$  Tg) than in the southern hemisphere (between  $34.7 \pm 10.7$  and  
924  $46.5 \pm 14.2$  Tg). The seasonal variability of TTOB is in the northern hemisphere than in  
925 the southern hemisphere. – Section 3.6, Figure 8 and Table 2

##### 926 **Trends**

- 927 ● When focusing on the longest available records exceeding 20 years (1994-2019,  
928 IAGOS/SHADOZ data reported in this study) or 30 years (1979-2016 satellite record  
929 reported by Ziemke et al., 2019) we see a consistent picture of increasing ozone across  
930 the tropics. IAGOS and SHADOZ data were fused to increase the sample sizes and to  
931 improve the statistics of the data over three out of the five IAGOS regions: Americas,  
932 Southeast Asia, Malaysia/Indonesia (Western Africa and India with no SHADOZ data).  
933 India and Malaysia/Indonesia are the regions with the strongest ozone increase below 800  
934 hPa ( $11 \pm 2.4$  and  $8 \pm 0.8$  nmol mol<sup>-1</sup> decade<sup>-1</sup> close to the surface, respectively) and India  
935 above 400 hPa (up to  $6.8 \pm 1.8$  nmol mol<sup>-1</sup> decade<sup>-1</sup>). Southeast Asia and  
936 Malaysia/Indonesia show the highest increase in the mid-troposphere (550-750 hPa, up to

937  $3.4 \pm 0.8$  and  $4 \pm 0.5$  nmol mol<sup>-1</sup> decade<sup>-1</sup>, respectively). Trends of the tropical  
938 tropospheric column ozone reflect these results. In terms of in situ trend reliability based  
939 on data availability and *p*-value of trend estimate, we have the most confidence in  
940 Western Africa (while it is still not ideal due to moderate data gaps) and the least  
941 confidence in Samoa and Americas. – Section 3.4, Figure 6  
942 ● For shorter time periods (< 20 years) trend detection can be even more challenging due to  
943 the larger additional uncertainty associated with sparsely sampled ozone records. –  
944 Section 3.4, Figure 7 and S24  
945 ● The OMI and OMI/MLS satellite records have a very high sampling frequency compared  
946 to the sparse in situ datasets and mostly show positive 15-year (2004-2019) trends above  
947 the IAGOS regions (from  $0.55 \pm 1.22$  to  $2.31 \pm 1.34$  nmol mol<sup>-1</sup> decade<sup>-1</sup>) with the  
948 maximum trends over Southeast Asia of  $2.31 \pm 1.34$  nmol mol<sup>-1</sup> decade<sup>-1</sup> with OMI CCD,  
949 and  $1.69 \pm 0.89$  nmol mol<sup>-1</sup> decade<sup>-1</sup> with OMI/MLS. The strongest agreement between  
950 satellite and in situ trends is found above Southeast Asia where TCO had increased at a  
951 rate of about 2-3 nmol mol<sup>-1</sup> decade<sup>-1</sup>. These trends are consistent with the results from  
952 Ziemke et al. (2019) using TOMS-OMI/MLS records and Gaudel et al. (2020) using  
953 IAGOS ozone profiles. Above the other regions, we only have low to medium confidence  
954 in the in situ trends, therefore we concluded that we have no reason to reject the positive  
955 tropical tropospheric ozone trends based on satellite data. However, the discrepancy  
956 between the weak positive satellite trends and the weak negative in situ trends above  
957 Natal + Ascension Island warrants further investigation. – Section 3.4 and 3.5, Figure 7  
958 and Table 1

959  
960 This study demonstrates that most tropical regions require either an increased and/or  
961 continuous sampling (in situ and remote sensing) of ozone because either there are no data, or  
962 the data are so sparse that it is difficult to estimate accurate and precise trends to evaluate the  
963 satellite records. However, we also demonstrate that the current sampling frequency is adequate  
964 for bias correcting the satellite products, as shown in Figure 8.

965 TROPOMI, IASI/GOME2, CrIS and OMPS/MERRA2 are recently available satellite  
966 records and their overlap for several years with the OMI record will assure continuity of ozone  
967 and precursors observations from space when the NASA Aura mission terminates by 2025.  
968 GEMS, the only geostationary mission covering the tropics (tropical Asia), will bring new  
969 capabilities in monitoring the region with the strongest ozone increases in the world, with higher  
970 spatial and temporal resolution than the polar orbiting instruments.

971 This study underscores the importance of harmonizing TCO data records such that they  
972 have a common vertical top level of the tropospheric column. Additionally, there is a pressing  
973 need for common profile retrieval schemes for different nadir sensors, such as those provided by  
974 initiatives like TROPRESS (TROPOspheric Ozone and its Precursors from Earth System Sounding,  
975 <https://tes.jpl.nasa.gov/tropress/>).

976 Moreover, to better understand the drivers behind the observed increases in TCOB, it is  
977 essential to conduct simulations using global chemical transport models, chemistry climate  
978 models, Earth system models, and regional models spanning recent decades.

979 Encouragingly, these endeavors have been newly proposed within the framework of the  
980 Tropospheric Ozone Assessment Report phase II (TOAR-II), an initiative under the International  
981 Global Atmospheric Chemistry (IGAC) project. These efforts will be the focus of forthcoming  
982 publications featured in the TOAR-II Community Special Issue.

983 It is also worth noting that the TOAR-II Community Special Issue will include similar  
984 trends analysis applied at global scale using IAGOS, Ozonesondes, FTIR, and Brewer/Dobson  
985 (Umkehr) data.

986

987

988 **Appendix A**

989

990 The Intergovernmental Panel on Climate Change (IPCC) developed a guidance note for the  
991 consistent treatment of uncertainties (Mastrandrea et al., 2010) that was followed by the fifth and  
992 sixth IPCC assessment reports. Among other applications, the calibrated language described by  
993 the guidance note is helpful for the discussion of long-term trends and for communicating the  
994 level of confidence that an author team wishes to assign to a particular trend value, or to an  
995 ensemble of trend values. Confidence in the validity of a finding is expressed qualitatively with  
996 five qualifiers (very low, low, medium, high and very high), based on the type, amount, quality,  
997 and consistency of the available evidence, and the level of agreement among studies addressing  
998 the same phenomenon (see Figure 1 of Mastrandrea et al., 2010).

999 Following IPCC, the Tropospheric Ozone Assessment Report (TOAR) developed its own  
1000 guidance note on best statistical practices for TOAR analyses, featuring an uncertainty scale for  
1001 assessing the reliability and likelihood of the estimated trend (Chang et al., 2023). The  
1002 uncertainty scale has five qualifiers as follows: very low certainty or no evidence, low certainty,  
1003 medium certainty, high certainty and very high certainty. Each qualifier corresponds to a range  
1004 of values associated with either the signal-to-noise ratio or the  $p$ -value of the trend. A limitation  
1005 of the uncertainty scale is that it is best suited for surface ozone time series with high frequency  
1006 sampling, which allows for robust calculation of monthly means, upon which the trends are  
1007 calculated. For the case of calculating trends based on sparse ozone profiles, in many cases the  
1008 monthly means are biased or unreliable due to low sampling frequency, which adds additional  
1009 uncertainty to the calculation of the trend. Because the  $p$ -value (or the signal-to-noise ratio) of a  
1010 trend based on monthly means does not consider the impact of low sampling frequency on the  
1011 monthly means, we developed new calibrated language to express our confidence in trends based  
1012 on sparse ozone profiles.

1013 Following the methodology of IPCC (Mastrandrea et al., 2010) Table A1 presents a  
1014 confidence scale that we use in this present study to express our confidence in a trend based on  
1015 sparse ozone profiles (as reported in Table 1 in the main text). Any line fit through a time series  
1016 will produce a trend value that is either positive or negative, and we use this scale to answer the  
1017 question: “Are we confident that a positive or negative trend is reliable?”. The confidence scale  
1018 considers both data coverage (based on the number of profiles per month and continuity of  
1019 sampling) and the estimation of the uncertainty of the trend, based on the  $p$ -value and the 95%  
1020 confidence interval. Higher confidence can be placed on trends with lower  $p$ -values and greater  
1021 data coverage, while less confidence is placed on trends with relatively high  $p$ -values and low  
1022 data coverage. The selection of a particular confidence level is qualitative, with no sharp  
1023 boundaries, however the following guidelines inform our decision-making:

1024 **Data coverage:** Previous studies (Logan, 1999; Saunio et al. 2012; Chang et al., 2020) have  
1025 shown that sampling rates of once per week (or less) fail to provide accurate monthly means,  
1026 while increased sampling rates of 2 or 3 times per week are more accurate. The most accurate  
1027 sampling rate is 4 times per week or higher. Continuous data records with no, or limited gaps,  
1028 are more reliable than records with multiple or large gaps. Data length also plays a role in trend

1029 reliability. A time series with more than 90% of months with data, and with more than 15  
 1030 profiles per month is considered to have high data coverage. A time series with 66 to 90% of  
 1031 months with data, and with 7-15 profiles per month is considered to have moderate data coverage  
 1032 (this also applies to a region that only meets one condition for high data coverage). A time series  
 1033 that has less than 66% of months with data, or less than 7 profiles per month has low data  
 1034 coverage. It should be noted that, based on our criteria, none of the current study regions meet  
 1035 the criteria for high data coverage, and therefore the top row in Table A1 is not applicable to this  
 1036 study. In addition, since we derive the trends based on either a 25-year or a 15-year record, it is  
 1037 natural to consider the trends derived from a longer data record are more robust, as a record  
 1038 length less than two decades is generally insufficient to eliminate the impact of interannual  
 1039 variability (Weatherhead et al., 1998; Barnes et al., 2016; Fiore et al., 2022). Therefore, all of the  
 1040 time series in Table 1 with 15-year records are considered to have low data coverage.

1041 **Estimation uncertainty:** In general, lower *p*-values and higher signal-to-noise ratios are  
 1042 indicators of a robust trend. The “Guidance note on best statistical practices for TOAR analyses”  
 1043 (Chang et al., 2023) assigns the following degrees of certainty according to *p*-value: very high  
 1044 certainty ( $p \leq 0.01$ ), high certainty ( $0.05 \geq p > 0.01$ ), medium certainty ( $0.10 \geq p > 0.05$ ), low  
 1045 certainty ( $0.33 \geq p > 0.10$ ), very low certainty or no evidence ( $p > 0.33$ ). We acknowledge that  
 1046 the trends calculation does not consider the inherent quality of the data (i.e. accuracy and  
 1047 precision of the data), which will be explore in future studies within TOAR Phase II.

1048

1049

1050 **Table A1.** Calibrated language for discussing confidence in long-term trend estimates based on  
 1051 ozone profiles. Data coverage refers to the number of ozone profiles in a month, and the number  
 1052 of months with available data. Estimation uncertainty refers to the uncertainty of a trend line  
 1053 drawn through monthly means, as quantified by the *p*-value and the 95% confidence interval.

<b>↑ Data coverage</b>  (based on the number of profiles per month and continuity of sampling)	<b>medium confidence</b> low estimation certainty high data coverage	<b>high confidence</b> moderate estimation certainty high data coverage	<b>very high confidence</b> high estimation certainty high data coverage
	<b>low confidence</b> low estimation certainty moderate data coverage	<b>medium confidence</b> moderate estimation certainty moderate data coverage	<b>high confidence</b> high estimation certainty moderate data coverage
	<b>very low confidence or no evidence</b> low estimation certainty low data coverage	<b>low confidence</b> moderate estimation certainty low data coverage	<b>medium confidence</b> high estimation certainty low data coverage
	<b>Estimation uncertainty →</b> (based on <i>p</i> -value)		

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1059 **Author contributions**

1060 Conception and design of the study: AG, IB, ML, K-LC, OC

1061 Generation, collection, assembly, analysis and/or interpretation of data: AG, IB, ML, K-LC, OC  
1062 JZ, BS, AMT, RS, DEK, NS, DH, AK, JC, K-PH, PV, KA, JP, CT, TBR

1063 Drafting and/or revision of the manuscript: AG, IB, ML, K-LC, OC, JP, KA, AMT, RS, DH,  
1064 AK, NS, JZ, GJF, BCM

1065 All authors approved for submission of the manuscript.

1066

1067

1068 **Competing interests**

1069 ORC is the Scientific Coordinator of the TOAR-II Community Special Issue, to which this paper  
1070 has been submitted, but he is not involved with the anonymous peer-review process of this or  
1071 any of the other papers submitted to the Special Issue journals.

1072

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1088 data.fr](https://iagos.aeris-<br/>1088 data.fr), last access: April 2023). SHADOZ data are provided through support of NASA's Upper  
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1090 archivists across 20 organizations in North and South America, Europe, Africa and Asia.

1091

1092 **Data Availability**

1093 The monthly quasi-biennial oscillation values can be found at [https://www.geo.fu-  
1094 berlin.de/met/ag/strat/produkte/qbo/qbo.dat](https://www.geo.fu-<br/>1094 berlin.de/met/ag/strat/produkte/qbo/qbo.dat).

1095 The monthly El Niño-Southern Oscillation index can be found  
1096 at <https://psl.noaa.gov/enso/mei/>.

1097 ATom data are archived at [https://daac.ornl.gov/ATOM/guides/ATom\\_merge.html](https://daac.ornl.gov/ATOM/guides/ATom_merge.html) and  
1098 are published through the Distributed Active Archive Center for Biogeochemical Dynamics  
1099 (Wofsy et al., 2018).

1100 IAGOS ozone profiles can be found at <https://iagos.aeris-data.fr/>.

1101 SHADOZ ozone profiles can be found at <https://tropo.gsfc.nasa.gov/shadoz/> (see  
1102 reference list).

1103 IASI+GOME2 satellite data can be found at [https://iasi.aeris-data.fr/o3\\_iago2/](https://iasi.aeris-data.fr/o3_iago2/), last  
1104 access 08/02/2023.

1105 OMI CCD, OMI/MLS and OMPS/MERRA2 can be found at [https://acd-  
1106 ext.gsfc.nasa.gov/Data\\_services/cloud\\_slice/](https://acd-<br/>1106 ext.gsfc.nasa.gov/Data_services/cloud_slice/).

1107 TROPOMI CCD can be found at NASA EarthData  
1108 repository: <https://disc.gsfc.nasa.gov/datasets?keywords=tropomi&page=1>

1109 CrIS can be found at <https://disc.gsfc.nasa.gov/> (see the Method section for more details  
1110 on the data preprocessing)

1111 The fused datasets and trends (including uncertainty and p-value associated with trend  
1112 estimate, and fitted coefficients for ENSO/QBO in equation [1] of Section 2.5) can be found at  
1113 <https://csl.noaa.gov/groups/csl4/modeldata/>.

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1115



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