



1 **Shallow boundary layer heights controlled by the surface-based temperature inversion**
2 **strength are responsible for trapping home heating emissions near the ground level in**
3 **Fairbanks, Alaska.**

4

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16

17 **Abstract**

18 In cold climate cities, like Fairbanks, Alaska, during winter, reduced vertical mixing in the
19 atmosphere leads to pollution trapping and concerningly high PM_{2.5} concentrations at ground level.
20 To study pollution trapping, we simulated dispersion of SO₂ from home heating emissions during
21 the ALPACA-2022 field study in Fairbanks, Alaska using the Platform for Atmospheric Chemistry
22 and Transport one-dimensional model (PACT-1D). Eddy diffusion coefficients that control
23 vertical transport were parameterized by the near-surface temperature inversion strength according



24 to stable boundary layer (SBL) theory and horizontal export was calculated from the wind speed.
25 The model parameterized the SBL height as a function of the near-surface inversion strength, with
26 the SBL height varying between 50 m for weak inversions down to 20 m for strong inversions.
27 The model results were compared to long-path differential optical absorption spectroscopy (LP-
28 DOAS) concentration profiles and in-situ observations of SO₂ over the range of 3 m to 191 m
29 above downtown Fairbanks over a 33-day period in winter and achieved excellent agreement ($R =$
30 0.88). Sensitivity studies showed that the model is most sensitive to the SBL height and the
31 associated eddy diffusivity profile. Model-derived pollution residence times in Fairbanks are on
32 the order of hours during winter, with a median steady state residence time of 2.1 hours under
33 stable atmospheric conditions, indicating there is limited time for chemical processing.

34

35 **Plain language summary (Short summary)**

36 We used a one-dimensional model to simulate how pollution in Fairbanks, Alaska,
37 accumulates in shallow layers near the ground when temperature inversions are present. We find
38 pollution accumulates in a 20 m to 50 m thick layer. The model agrees with observations of SO₂
39 pollution using only home heating emissions sources, which shows that ground-based sources
40 dominate sulfur pollution in downtown Fairbanks. Air residence times in downtown are only a few
41 hours, limiting chemical transformations.

42 **Key points**

- 43 1. The PACT-1D model was used to model pollution in Fairbanks, Alaska, using vertical and
44 horizontal dispersion.
- 45 2. Observed path averages of sulfur dioxide measured by LP-DOAS from 12 m to 191 m in
46 downtown Fairbanks for 33 days in winter 2022 were modeled with good skill ($R = 0.88$).



47 3. The median pollution residence time was 2.1 hours when the atmosphere was stable,
48 limiting time for chemical processing.

49

50 **Keywords:** one-dimensional modeling, long-path differential optical absorption spectroscopy,
51 vertical profiling, dispersion, Alaska, Fairbanks, pollution trapping, temperature inversion, cold
52 climate

53

54 1 Introduction

55 Weak atmospheric dispersion combined with local emissions sources causes many cold-
56 climate cities to have poor air quality during winter (ALPACA, 2018; Schmale et al., 2018). This
57 poor dispersion is often caused by surface-based temperature inversions, which hinder vertical
58 mixing and prevent winds aloft from penetrating to ground level, where polluted air stagnates.
59 Higher-latitude locations like Fairbanks, Alaska experience frequent surface-based temperature
60 inversions during winter. The Fairbanks North Star Borough is designated by the Environmental
61 Protection Agency (EPA) as a non-attainment area for fine particulate matter, PM_{2.5}, which
62 episodically exceeds the 24-hour standard of 35 microgram m⁻³ during winter. While Fairbanks
63 does not exceed the national ambient air quality standards for SO₂, ground level SO₂ mixing ratios
64 can reach 1-hour averages up to 40 nanomole mole⁻¹, sometimes for multiple hours (ADEC, 2016).
65 Improvement of air quality in Fairbanks has been a high priority for stakeholders, but our limited
66 understanding of the sources, chemical transformations, and dispersion of pollutants under
67 stagnant winter conditions has hindered these efforts.

68 Fairbanks residents rely on a variety of fuel sources less commonly used in warmer regions,
69 including wood (both cordwood and pellets) and No. 1 or No. 2 heating oil, both of which have



70 orders of magnitude higher sulfur content compared to the natural gas and/or ultra-low sulfur diesel
71 (heating oil) that is more widely used in the contiguous USA. Chemical mass balance modeling
72 over a three-winter period from 2008 through 2011 attributed 60% to 80% of PM_{2.5} mass
73 concentration to wood burning in downtown Fairbanks (Ward et al., 2012). A positive matrix
74 factorization analysis by Kotchenruther et al. (2016) attributed 51.8% of PM_{2.5} mass concentration
75 to wood burning in downtown Fairbanks. Recent PMF analysis indicates that the fractional
76 contribution of wood to PM_{2.5} in downtown Fairbanks is trending to smaller amounts in recent
77 years (Ye and Wang, 2020).

78 Sulfate is the second most prevalent species in PM_{2.5}, so understanding sulfur sources and
79 chemistry is important to Fairbanks air quality (Ward et al., 2012). The observed wintertime sulfur
80 oxidation ratio, or the ratio of observed moles of sulfate to total moles of atmospheric sulfur
81 (combined SO₂ and sulfate), is about 5%, which suggests that although secondary chemistry may
82 be adding some sulfate to PM_{2.5}, 95% of the sulfur emitted remains in the gas phase as SO₂
83 (Nattinger, 2016). This low sulfur oxidation ratio is in agreement with recent analysis of sulfate
84 isotopes in Fairbanks during winter, which showed that 62% ±12% of sulfate came from primary
85 sources, with a smaller influence of secondary chemistry (Moon et al., 2024). In addition to home
86 heating sources, vehicles emit CO, PM_{2.5} and NO_x at ground level. Six power plants fueled by
87 coal, diesel, and naphtha, referred to here as point sources, emit large amounts of pollutants. The
88 power plants have tall stacks intended to push their exhaust above the surface-based temperature
89 inversion (ADEC, 2016). While not an air quality regulated pollutant, carbon dioxide (CO₂) is co-
90 emitted by all SO₂ emission sources in Fairbanks and also sourced from mobile sources and other
91 heat sources. Because it does not undergo chemistry and is not consumed by plants in winter, it



92 can serve as an important marker for combustion processes, as well as the dispersion of these
93 emissions.

94 While local emissions cause the poor wintertime air quality in Fairbanks, dispersion
95 processes also significantly influence the amount of pollution that accumulates at breathing level.
96 Tran and Mölders (2011) found that $PM_{2.5}$ concentrations in Fairbanks were larger when there
97 were surface-based temperature inversions and stagnant winds near the ground, concurrent with
98 low temperatures, which increase ground level home heating emissions. Surface-based inversions
99 are often intense, with temperature gradients of $\sim 1^{\circ}C\ m^{-1}$ or more observed in the first few meters
100 above the ground level (AGL) on the flat valley floor and can persist throughout the day and even
101 for multiple days during winter (Benson, 1969; Bowling, 1986; Tran and Mölders, 2011; Mayfield
102 et al., 2013; Cesler-Maloney et al., 2022). With the weak vertical dispersion and stagnant
103 horizontal wind speeds near the ground during surface-based inversions, emissions from ground
104 level sources are thought to have a larger impact on ground level pollution in Fairbanks than power
105 plant emissions, although this contribution has only been investigated using numerical models and
106 has not yet been validated by field observations (ADEC, 2016; Tran and Mölders, 2012). This
107 previous work in Fairbanks showed that pollutants were present at higher concentrations during
108 stagnant conditions, indicating that dispersion is crucial to controlling the amount of pollution that
109 accumulates near ground level.

110 There are two distinct mechanisms responsible for the development of surface-based
111 temperature inversions in Arctic regions: radiative cooling at the surface and warm air advection
112 (Busch et al., 1982; Bowling, 1986; Bradley et al., 1992; Bourne et al., 2010; Zhang et al., 2011).
113 During radiative cooling, heat is lost by infrared radiation from the ground, and air near the ground
114 that interacts with this cooling surface decreases in temperature more than the air at higher



115 altitudes. These radiative cooling inversions become more intense on nights with clear sky
116 conditions. The formation of surface-based inversions during winter in Fairbanks is also supported
117 by the high emissivity of snow in the thermal infrared and the high albedo of snow in the visible,
118 limiting solar heating. When the warm air advection mechanism occurs, warmer, more buoyant,
119 air masses are advected over colder, denser, air masses laying near the surface, creating a surface-
120 based temperature inversion.

121 During surface-based inversions, the atmosphere is stable with regard to buoyancy, which
122 reduces turbulence, but mechanical friction of wind acting on the surface roughness leads to some
123 mixing. This stable part of the atmosphere located near the ground level during surface-based
124 inversions is referred to as the stable boundary layer (SBL). On short time and length scales, this
125 mixing is highly complex, including intermittency and often showing oscillations (Mahrt, 1981);
126 however, simpler 1-D empirical theories are able to describe effective turbulent transport in the
127 SBL with good agreement to observations on longer timescales or via spatial averaging. Monin-
128 Obukhov similarity theory defines a set of functions to describe the vertical mean flow and
129 temperature in the surface layer as a function of dimensionless height, which is related to actual
130 height using the Obukhov length parameter, L_{MO} (Monin and Obukhov, 1954; Mahrt, 1989). The
131 Obukhov length is a key parameter in many of these turbulence models, along with the SBL height,
132 h , which is the height above which the atmosphere is no longer influenced by contact with the
133 surface. Vertically mixing turbulent eddies exist between the ground surface and the top of this
134 SBL, but there is little vertical exchange in the free troposphere above the SBL height. Past work
135 has shown that the SBL height decreases with increased surface cooling rate, sometimes to SBL
136 height of just tens of meters (Mahrt, 1981; Wyngaard, 1975; Brost and Wyngaard, 1978; Stull,
137 1983; Nieuwstadt, 1984a, b).



138 Empirical theories that describe bulk turbulence in the SBL parameterize vertical mixing
139 through the eddy diffusivity coefficient for momentum (K_z) (Newsom and Banta, 2003;
140 Nieuwstadt, 1984b; Wyngaard, 1975; Brost and Wyngaard, 1978; Beare et al., 2006; Degrazia and
141 Moraes, 1992). These models have a K_z vertical profile that peaks at an altitude that is roughly
142 20% of the SBL height and decreases to the molecular diffusion limit (near zero) both at the surface
143 and above the top of the SBL (Kuhn et al., 1977; Nieuwstadt, 1984a; Brost and Wyngaard, 1978;
144 Degrazia and Moraes, 1992; Beare et al., 2006). In this work, we will use these models for the K_z
145 vertical profile to describe vertical mixing within the SBL.

146 Here, the Platform for Atmospheric Chemistry and Transport one-dimensional (PACT-1D)
147 atmospheric column model (Tuite et al., 2021; Ahmed et al., 2022) was used to analyze how the
148 dispersion of pollution depends on meteorological conditions during winter in Fairbanks. The
149 model simulates vertical turbulent mixing with high vertical resolution and uses horizontal
150 exchange with the unpolluted ambient atmosphere driven by winds to simulate dispersion of SO_2
151 emissions in downtown Fairbanks. Model results were validated against path-averaged field
152 observations of SO_2 measured by a long-path differential optical absorption spectroscopy (LP-
153 DOAS) instrument deployed in downtown Fairbanks as part of the Alaskan Layered Pollution And
154 Chemical Analysis (ALPACA) study, which was carried out in Fairbanks during January and
155 February 2022 (Simpson et al., submitted 2023). Model results were also compared with in-situ
156 SO_2 observations at 3 m AGL and CO_2 measurements at 3 m and 23 m AGL. PACT-1D simulations
157 were also used to calculate the transport loss rates of pollution from both vertical and horizontal
158 dispersion to understand the residence time of pollution in the urbanized area of Fairbanks. Having
159 an estimate of the residence time of pollution in the city helps to provide a constraint on the
160 timescales for chemical processing in Fairbanks. With a better understanding of these dispersion



161 processes, communities and regulatory agencies can better predict the timing and severity of
162 pollution events and develop improved strategies for pollution mitigation based on meteorological
163 forecasts.

164

165 **2 Field measurements from the ALPACA campaign**

166 The ALPACA field campaign took place at multiple sites in Fairbanks in winter, from
167 January 17 to February 25, 2022. Fairbanks, located in central Alaska near the Arctic circle, is one
168 of the few urbanized cities in the region and lies within a basin, surrounded by hills to the north,
169 east and west, with flat lands to the south. Fairbanks winters are characterized by short daylight
170 hours and extremely cold temperatures with frequent surface-based inversions.

171 Measurements used in this manuscript were made in downtown Fairbanks at two sites: the
172 University of Alaska Fairbanks Community and Technical College field site (CTC site, 64.841°N,
173 -147.727°E) and at the LP-DOAS base at 17 m AGL on the top floor of the Lacey-Street Parking
174 Garage (64.844°N, -147.716°E), which lies 610 m east-northeast of the CTC site. Figure 1 shows
175 a map of the relevant ALPACA measurement locations in Fairbanks. The nine boxes shown in
176 Figure 1 are the Weather Research Forecast / Community Multiscale Air Quality model
177 (WRF/CMAQ) 1.33 km-scale grid cells that were co-added to be the emissions footprint of PACT-
178 1D, as described in Section 3. The emissions inventory and WRF/CMAQ model was developed
179 by the U.S. Environmental Protection Agency (EPA) and the Alaska Department of Environmental
180 Conservation (ADEC). The LP-DOAS measured path-averaged trace gas mixing ratios across four
181 slightly inclined paths viewing north-east towards Birch Hill, a ~200 m tall hill. The shortest path
182 was ~1 km to the north-east from the base to a retroreflector situated at 12 m AGL on the Nordale
183 Elementary School building. The other three paths were roughly 4 km long and had retroreflectors



184 at altitudes of 73 m, 115 m and 191 m AGL at the LP-DOAS base. Nearly all of the populated
185 portion along these paths was within a few meters above the flat valley floor. The LP-DOAS
186 instrumentation used in the ALPACA field campaign is similar to the instrumentation used in past
187 studies to measure vertical profiles of UV-absorbing trace gases in urban environments and uses
188 analytical methods described in Platt and Stutz (2008) (Stutz et al., 2004; Tsai et al., 2014).

189 Temperature was measured at 3 m, 6 m, 11 m and 23 m AGL using aspirated thermometers
190 (Cesler-Maloney et al., 2022). The 3 m, 6 m and 11 m temperature probes were deployed on the
191 tower of a small stationary trailer in the parking lot of the CTC site, while the 23 m temperature
192 probe was deployed above the elevator shaft house roof on top of the CTC building. An RM Young
193 1005 wind speed and direction monitor was co-located with the 23 m temperature sensor. The
194 relative precision of these temperature sensors was better than 0.15 °C over the range from 20 °C
195 to −60 °C (Cesler-Maloney et al., 2022).

196 In-situ SO₂ (Thermo Scientific 43C) was measured from an inlet at 3 m AGL in a larger
197 stationary trailer parked next to the CTC building. The SO₂ analyzer was calibrated using an EPA
198 certified mixed standard containing 5.190 micromole mole⁻¹ SO₂ and 508.4 micromole mole⁻¹ CO
199 by overflowing the inlet with zero air or standard gas diluted in zero air at multiple calibration
200 mixing ratios using an Environics 9100 calibration dilution system. The gas analyzer was
201 calibrated roughly weekly and this multi-point calibration slope and zero were applied to the data
202 by linearly interpolating them in time between calibrations. Two Vaisala (GMP343) CO₂
203 instruments were also deployed at the CTC site, one at 3 m AGL on the small trailer and the other
204 at 23 m AGL on the roof of the CTC building. The CO₂ instruments were co-located at the same
205 altitude on the small trailer both before and after the field campaign and the older instrument was
206 corrected to the newer instrument that had a recent factory calibration within the prior year. Trace



207 gases (O_3 , carbon monoxide (CO) and NO_x) were also measured at the CTC site and O_3 was
208 measured by a second instrument at 158 m AGL on Birch Hill (see the Supplemental Materials for
209 more information).

210

211 **3 Methods**

212 **3.1 Description of the PACT-1D model**

213 One-dimensional (1-D) chemical transport models simulate coupled dispersion and
214 chemical processes to predict the vertical profiles of pollutants. These 1-D models have simulated
215 vertical profiles of trace gases in urban areas, such as Houston, Texas and Los Angeles, California,
216 with good agreement to observations (Geyer and Stutz, 2004; Stutz et al., 2004; Tsai et al., 2014;
217 Tuite et al., 2021). Vertical and horizontal transport processes can both be simulated within the 1-
218 D model's column. Horizontal transport can be considered as an entrainment of background air
219 from outside of a polluted region into the modeled column layers. Fairbanks, being a small city
220 encircled by the sparsely populated boreal forest, is well suited for 1-D modeling with added
221 horizontal dilution by surrounding background air. This horizontal transport process is
222 implemented in the model by adding a pollution exchange term proportional to the windspeed
223 divided by the length scale of the urban area (see Section 3.3).

224 Here, we use a vertical grid, which divides the lower atmosphere (up to 1000 m AGL) into
225 39 vertical layers that vary in thickness, being denser near the ground. The PACT-1D model
226 simulates vertical exchange through eddy flux-gradient formalism, using vertical eddy diffusion
227 coefficients, K_z , for each layer.

228 The PACT-1D model was used in a dispersion-only mode, without simulating chemical
229 processes and SO_2 is used as a dispersion tracer of Fairbanks pollution. We chose SO_2 because it



230 has a well quantified and spatially distributed ground level source from home heating oil
231 combustion and its vertical distribution was measured by LP-DOAS during ALPACA, which is
232 used to validate the model results. Although SO₂ can be lost via chemical oxidation, the observed
233 sulfur oxidation ratio during Fairbanks winter is small, with only 5% of the total atmospheric sulfur
234 appearing as sulfate in observations (Nattinger, 2016). In addition to SO₂, CO₂ was also modeled
235 as a passive tracer of dispersion and validated against in-situ observations of CO₂ from the CTC
236 building site in Figure 1.

237

238 3.2 Treatment of vertical exchange

239 It is difficult to model vertical exchange in the shallow SBL, so we used a simple model
240 from literature to determine the vertical profile for K_z . Input K_z profiles for PACT-1D were
241 calculated under SBL conditions using Equation EQ1 from Brost and Wyngaard (1978), where h
242 is the SBL height, z is the altitude and L_{MO} is the Monin-Obukhov length.

$$243 \quad K_z(z) = \kappa u^* h \frac{(z/h)(1-z/h)^{1.5}}{1+4.7(z/h)(h/L_{MO})} \quad \text{EQ1}$$

244 In Equation EQ1, constant values were used for the von Kármán constant, $\kappa = 0.4$, the friction
245 velocity, $u^* = 0.40 \text{ m s}^{-1}$, and the ratio of $h/L_{MO} = 1.4$. Brost and Wyngaard showed that variations
246 in h/L_{MO} had only small effects on the vertical shape and peak of the K_z profiles calculated by
247 Equation EQ1.

248 With these assumptions, the K_z profile only depends upon the SBL height, h . For simulation
249 of the ALPACA field campaign period, the SBL height was parameterized as a function of the
250 near-surface atmospheric stability, which we quantify using the 23 m minus 3 m temperature
251 difference at the CTC site, $dT_{[23\text{m}-3\text{m}]}$. When $dT_{[23\text{m}-3\text{m}]}$ is large and positive, the atmosphere is very
252 stable, and when it is near zero or slightly negative, the atmosphere is neutral. Past work



253 demonstrates that when stability is very strong, the SBL height is shallow (Mahrt, 1981;
254 Wyngaard, 1975; Brost and Wyngaard, 1978; Stull, 1983; Nieuwstadt, 1984a, b). Conversely, as
255 $dT_{[23m-3m]}$ approaches neutral conditions (near zero), the SBL height is expected to increase. Based
256 upon this relationship between $dT_{[23m-3m]}$ and stability, a lookup table was created to calculate h
257 from $dT_{[23m-3m]}$, which was linearly interpolated between nodes in Table 1. Section 5.2 will discuss
258 the relationship between the SBL height, h , and the surface-based temperature inversion strength,
259 $dT_{[23m-3m]}$ in Table 1 in more detail.

260 Sensitivity studies were used to determine how model results depend upon these
261 parameterized SBL heights. When the atmosphere was near neutral ($dT_{[23m-3m]} < 0.2$ °C), Equation
262 EQ1 was used with a value $h_{neutral} = 400$ m, representing a tall SBL height. Figure S2 in the
263 Supplemental Materials shows that the resulting K_z profile in the critical near-surface region is
264 nearly the same whether $h_{neutral} = 400$ m, a larger SBL height or even a neutral K_z profile is chosen.
265 Sensitivity studies will test the model result's dependence on this choice of a near-neutral SBL
266 height.

267

268

269 3.3 Treatment of horizontal exchange

270 The PACT-1D model was used in the configuration described in Tuite et al. (2021), but
271 with an added horizontal dispersion term, as described in Equation EQ2.

$$272 \quad K_{EXCH} = v / L \quad \text{EQ2}$$

273 Equation EQ2 represents the standard mass-balance approach result for the dependence of the
274 horizontal exchange coefficient, K_{EXCH} , on wind speed, v , and the length of Fairbanks, L (Jacob,
275 1999). Here, a horizontal characteristic length scale of $L = 4$ km is the length of the footprint of



276 the model column and was meant to represent the urban core of Fairbanks (Figure 1). The wind
277 speed at each model layer altitude is used to calculate a layer-specific exchange rate. Sensitivity
278 studies are used to test the model's response to varying this horizontal length parameter.

279 Constant wind speed profiles were used in idealized (steady state) model simulations, while
280 a combination of WRF-modeled and observed wind speeds were used as model inputs in
281 simulations of observed pollution events. Wind speeds from the WRF model were provided by the
282 U.S. EPA, which was sampled at the middle of the modeled area at 64.842°N, -147.700°E.
283 Observed wind speeds were measured at 23 m at the CTC site and also at 2 m and 10 m at the
284 nearby ADEC NCore site, located roughly 500 m north of the CTC site (Figure 1). When observed
285 winds were used as model inputs, the wind speed was linearly interpolated between 2 m (NCore),
286 10 m (NCore), 23 m (CTC) and 50 m (WRF) and only WRF winds were used above 50 m AGL.
287 The WRF wind speeds were compared to radiosonde data from the Fairbanks Airport and were
288 found to be in good agreement to radiosonde wind speeds in the first 500 m AGL.

289

290

291

292 **3.4 Treatment of emissions**

293 Emissions for Fairbanks were provided by the ADEC as hourly total emissions for the sum
294 of nine WRF/CMAQ (1.33 km resolution) grid cells arranged in a 3x3 grid cell = 4 km x 4 km =
295 16 km² area in downtown Fairbanks. This emissions area has a bounding box with NW corner:
296 64.861°N, -147.743°E and SE corner = 64.824°N, -147.658°E and includes the NCore site, the
297 CTC site, the A-Street site, the LP-DOAS base station and nearly all of the LP-DOAS paths up
298 Birch Hill, as shown in Figure 1. Downtown emissions rates were converted to moles m⁻² s⁻¹ using



299 the footprint of this emission area. Because PACT-1D has no horizontal dimensions, emissions
300 sources are instantaneously mixed throughout the layer in which they are emitted, such that the
301 model would not be able to capture the horizontal variability in emissions if sources were not
302 homogeneously distributed across the area being modeled. However, SO₂ is emitted from hundreds
303 of homes within the 16 km² area in downtown Fairbanks, providing a distributed source that may
304 make the model's assumption of horizontal mixing within the column be more realistic.

305 The main source of SO₂ emissions near ground level is from home heating, as most homes
306 in Fairbanks relied on sulfur-rich No. 2 or No. 1 heating oils at the time of the ALPACA field
307 campaign, thus only home heating emissions data was used in model simulations. Pollutant
308 emissions from home heating sources were distributed into PACT-1D by altitude, with 40% in the
309 PACT-1D layer from 3 m to 6 m, 50% in the PACT-1D layer from 6 m to 9 m and 10% PACT-
310 1D layer from 9 m to 12 m. Carbon dioxide (CO₂) is produced in many combustion processes,
311 allowing CO₂ to be used as a tracer for anthropogenic pollution in the atmosphere. The ADEC
312 does not report CO₂ emissions, so an empirical emissions ratio of CO₂ to SO₂ was used to
313 determine the emissions rate for CO₂ in the model. A linear fit for observed 3 m CO₂ versus SO₂
314 at CTC was calculated, where the intercept is interpreted to be the CO₂ background mixing ratio
315 surrounding Fairbanks (420 micromole mole⁻¹) and the slope is the empirical emissions ratio of
316 4300 moles CO₂ to 1 mole SO₂, such that multiplying the SO₂ emissions ratio by this slope yields
317 the CO₂ emissions rate in the model (see Supplemental Materials Figure S1).

318

319 **3.5 Diagnosing vertical and horizontal exchange**

320 To diagnose the transport rate of SO₂ by vertical and horizontal dispersion in PACT-1D,
321 two loss rates were determined; the vertical loss rate out of the “urban canopy”, defined as the



322 layer from zero to 15 m AGL (approximate height of trees and buildings) and denoted as L_{canopy} ,
323 and the vertically integrated horizontal loss rate across the model column, denoted as L_{export} . The
324 PACT-1D model calculates vertical transport exchange rates for each layer (denoted VT_{layer}),
325 where positive rates represent net gain of concentration of a species in that layer by vertical
326 transport from neighboring layers and negative rates a net concentration loss. Equations EQ3 and
327 EQ4 show the transport loss rates calculated from model output.

$$328 \quad L_{canopy} = \sum_{layers\ 0m-15m} (-VT_{layer} \times layer\ height) \quad \text{EQ3}$$

$$329 \quad L_{export} = \sum_{all\ layers} (K_{exch_layer} \times layer\ height \times ([C]_{layer} - [C]_{bg})) \quad \text{EQ4}$$

330 In this equation, $[C]$ represents the concentration of a species in each layer or in the
331 background air that replaces horizontally exported air. Both loss rates have units of column density
332 per time, mole $m^{-2} s^{-1}$. Because the considered sources emit only within the urban canopy layer
333 and background air is cleaner than the polluted column being considered, both rates are positive,
334 indicating vertical transport upwards, out of the urban canopy, and export of pollution horizontally
335 out of the considered polluted urban column.

336

337

338 **3.6 Diagnosing steady state residence times for vertical and horizontal loss processes**

339 The steady-state lifetime of a box model is defined by Jacob (1999) as the ratio of the
340 amount of any species in the box divided by the total loss rate for that species out of the box. As
341 chemistry was not considered in this application, we refer to our box-model lifetime outputs as
342 “residence times” to clarify that we are only considering losses from transport processes. Two
343 steady-state residence times were defined here to analyze atmospheric dispersion processes, the
344 urban canopy column residence time (τ_{canopy}), which is the column density of a species in the urban



345 canopy (i.e., the concentration integrated vertically from the ground to the urban canopy height)
346 divided by L_{canopy} and the column export residence time (τ_{export}) which is the downtown column
347 density of a species (i.e., the concentration integrated vertically from the ground to the top of the
348 model column) divided by the column export loss rate, L_{export} . When the model simulates idealized
349 cases and is run until steady state is achieved, these residence times are completely accurate. For
350 the model simulations of observed SO₂ during the ALPACA campaign, sources and sinks vary
351 with time such that the system is not at a true steady state. However, we assume it is “near steady
352 state” for the purposes of calculation of residence times, and as we will demonstrate, residence
353 times are short indicating that the system approaches steady state on similarly short timescales.

354

355 **4 Results**

356 **4.1 Conceptual model for pollution trapping in Fairbanks**

357 Figure 2 shows a conceptual model of the horizontal and vertical dispersion processes in
358 Fairbanks and was used to inform the setup of the PACT-1D simulations for Fairbanks. In Figure
359 2, the horizontal arrows representing wind show that there is little to no wind present near ground
360 level and wind increases with altitude, staying relatively slow in the urban canopy (due to friction
361 on ground roughness) and increasing to the top of the SBL. The vertical arrows in Figure 2
362 represent vertical transport based on the SBL parameterization described in the introduction, where
363 vertical exchange between layers increases from near zero at the ground level to a maximum at
364 roughly 20% of the SBL height, then decreases to near zero above the SBL.

365 A series of idealized model simulations with simplified boundary conditions that were
366 constant in time (i.e., h , wind speed profile, emissions) were performed to observe approach to
367 steady state and verify model closure. These idealized model simulations based on the conceptual



368 model in Figure 2 enable a validation and a more intuitive understanding of the model scheme.
369 Figure 3 shows results from simulations that used a constant emission rate, time-invariant vertical
370 profile of wind speed and constant SBL height, h , as shown in Table 2. The SO₂ emissions rate is
371 6×10^{-9} moles m⁻² s⁻¹ SO₂, similar to the average wintertime SO₂ emissions in Fairbanks.

372 The case 1 simulation ($h = 25$ m, 2 m s⁻¹ wind above SBL) achieves a 3 m AGL steady
373 state SO₂ mixing ratio of ~ 35 nanomole mole⁻¹ in about four hours (Figure 4). When the input SBL
374 height is doubled from 25 m in case 1 to 50 m in case 2, the peak value of K_z roughly doubles,
375 accelerating vertical export from the urban canopy. The result of this doubling of the SBL height
376 is to cut the steady state urban canopy SO₂ mixing ratio roughly in half. When the wind speed aloft
377 is changed from 2 m s⁻¹ to 5 m s⁻¹ without changing the SBL height in case 2 and case 3, the steady
378 state SO₂ mixing ratio decreases further because there is a greater horizontal export in the polluted
379 layers from 15 m to 50 m. In the case 1 and case 2 simulations with a constant wind speed of 2 m
380 s⁻¹ aloft and an input SBL height $h \lesssim 50$ m, the height of the polluted layer is nearly the input SBL
381 height, yielding a sigmoid shaped profile (see Figure 3). In contrast, case 3 shows that higher winds
382 aloft remove pollution in upper layers more effectively and yields a more triangular shape with the
383 effective height of the polluted layer is somewhat shorter than the input SBL height, h . Case 4
384 shows that for a taller SBL height (100 m), even with low winds, the simulation gives a triangular
385 vertical profile.

386 Figure 4 shows a false-color plot of the vertical profiles of SO₂ over a 12-hour simulation
387 for case 2 (top panel) and the ratio of the vertical loss rate out of the urban canopy, L_{canopy} , and the
388 downtown column horizontal export loss rate, L_{export} , to the constant emissions rate for SO₂,
389 showing that the model achieves a steady state in about four hours, where SO₂ accumulates in the
390 surface layer until the emissions and the loss rate out of the SBL balance each other. Note that the



391 vertical exchange precedes the horizontal export because vertical transport into the windy region
392 from 15 to 50 m is required for pollution export. As expected, when steady state is achieved, the
393 horizontal export loss rate from the model and the upward loss rate from the urban canopy are both
394 equal to the emission rate. This simulation demonstrates that for realistic conditions in Fairbanks,
395 near steady state is achieved in a few hours, which indicates that use of the steady state residence
396 time to diagnose time-varying simulations is reasonable.

397

398 **4.2 Observations and modeling for the ALPACA campaign**

399 Figure 5, panels A through E, show time series of different measurements during the
400 ALPACA field campaign from January 15 to February 28, 2022. There were multiple times during
401 the ALPACA campaign that had low temperatures (panel A), persistent temperature inversions, as
402 indicated by a positive 23 m minus 3 m temperature differences ($dT_{[23m-3m]} > 0$) (panel B), small
403 wind speeds on the 23 m vertical scale (panel C), differences in CO₂ on the 23 m vertical scale
404 (panel D, with more CO₂ at 3 m than at 23 m) and accumulation of SO₂ at ground level (panel E).
405 During the persistent temperature inversion event that occurred during the “cold-polluted period”
406 (January 30th through February 4nd), CO₂ reached a relatively constant mixing ratio at 3 m AGL
407 by around midnight on January 31st and maintained similar levels until SBL breakup by winds
408 from aloft on February 4th.

409 With ongoing emissions throughout the persistent inversion event, this near-steady
410 pollution behavior can only be explained by a pollution export from the SBL, as in the conceptual
411 model shown in Figure 2. At times when the SBL is above the higher altitude (23 m AGL), CO₂
412 reaches equivalent mixing ratios at both 3 m and 23 m AGL and conversely when the SBL is near
413 or below the top CO₂ sensor, mixing ratios are larger at 3 m and smaller at 23 m AGL. There are



414 even periods (e.g., on February 3rd) when CO₂ at the 23 m altitude is near the regional background
415 of ~420 micromole mole⁻¹, indicating it is above the SBL, in the free troposphere and that the SBL
416 height must be below 23 m.

417 Figure 6 panels A and B show false-color time series of the model output SO₂ mixing ratio
418 and wind speed profiles, respectively. The model was not sensitive to changes in the time step for
419 dispersion processes, as there was no change in the slopes and *R* values for either SO₂ or CO₂ when
420 the time step in the model (typically 5 seconds) was doubled to 10 seconds or decreased to 2.5
421 seconds. Figure 6 also shows a time series of the downtown column emissions in panel C and
422 model input SBL height in panel D. When the SBL height is small, pollution is trapped in a
423 similarly short and increasingly concentrated layer in Figure 6. At times when the SBL is near
424 neutral (off scale vertically in panel D), pollution mixing ratios were small throughout the vertical
425 column, as would be expected for fast vertical mixing and dilution implied by a tall SBL height.
426 The modeled concentration profile time series shown in Figure 6 panel A can be integrated
427 vertically between the LP-DOAS base altitude (17 m) and the height of the retroreflector on that
428 LP-DOAS path to give the model-predicted path-averaged SO₂ mixing ratio for that path.
429 Therefore, the four LP-DOAS paths, here denoted as P0 to P3 represent the average mixing ratio
430 from 17 m to 12 m, 73 m, 115 m, and 191 m AGL at the LP-DOAS base, respectively.

431 Figure 7 panels A through D show time series plots of the hourly path-averaged SO₂ from
432 PACT-1D, LP-DOAS field observations, and in-situ 3 m field observations (panel A only). In
433 Figure 7 panel A, the model path 0 (P0, 12 m to 17 m AGL) averaged SO₂, the LP-DOAS path 0
434 average SO₂ and the in-situ 3 m SO₂ all show good agreement in the time series, with mixing ratios
435 of 20 to 40 nanomole mole⁻¹ observed in the urban canopy layer when polluted. The trapping of
436 SO₂ near ground level in Figure 7 panel A occurs at times with surface-based temperature



437 inversions (low SBL height) and slow wind speeds within the urban canopy layer. In Figure 7
438 panel B, the timing of SO₂ peaks observed by the LP-DOAS on path 1 (P1, 17 m to 73 m AGL)
439 matches the peaks observed on path 0, in panel A, however the magnitude of SO₂ pollution is
440 smaller, only reaching up to 15 nanomole mole⁻¹ in panel B. In Figure 7 panels C and D, the
441 magnitude of SO₂ pollution observed continues to decrease with altitude and there are also some
442 SO₂ peaks observed by the LP-DOAS on paths 2 (P2, 17 m to 115 m AGL) and 3 (P3, 17 m to
443 191 m AGL) that are not present in the path-averages modeled by PACT-1D, particularly during
444 the persistent surface-based temperature inversion event during the “cold-polluted period” in
445 Figure 5. We hypothesize that these peaks on paths 2 and 3 are due to elevated power plant
446 emission plumes that are horizontally transported into the upper light paths. However, this 1-D
447 model is not suited for simulations of power plant emission dispersion, an inherently 3-D, process,
448 so later 3-D modeling would be required to analyze these infrequent spikes in the upper two LP-
449 DOAS path data.

450

451

452 **4.3 Correlation of modeled pollution to observations**

453 Figure 8 shows four modeled versus observed SO₂ correlation plots of data averaged at 3-
454 hour time resolution, which was used to diagnose the skill of the model in simulating observed
455 SO₂ mixing ratios. Linear fits are shown with either a constrained zero intercept or a free intercept.
456 Figure 8 panel A represents the overall agreement between LP-DOAS and model path-averages
457 across all paths, panel B represents the agreement of the model to in-situ SO₂ observations at 3 m
458 AGL and panels C and D represent the agreement between the model and LP-DOAS path-averages
459 in the first two paths (path 0 and path 1, respectively), which are the paths where a majority of the



460 pollution observed by the LP-DOAS is located. Correlation plots of the modeled path 2 and path 3
461 SO₂ versus the LP-DOAS are shown in Figure S3 in the Supplemental Materials. The PACT-1D
462 model showed good agreement with observations, as the R values for all DOAS path-averaged
463 data (Figure 8 panel A) and in the urban canopy (Figure 8 panels B and C) are all greater than 0.8.
464 The observed and modeled SO₂ mixing ratios on the upper two paths are usually small and the
465 correlation in Figure 8 panel A is dominated by data from path 0 and path 1. Figure 7 panel A
466 shows that the path-averaged path 0 SO₂ observed by the LP-DOAS has good agreement with the
467 in-situ SO₂ observed at 3 m AGL, with a zero-intercept linear correlation $slope = 0.95$ and $R =$
468 0.89 (see Figure S4), suggesting that SO₂ is well mixed within the ~15 m AGL urban canopy layer.

469 Figure 9 shows a time series of hourly averaged model and in-situ CO₂ at 23 m (middle
470 panel) and at 3 m (bottom panel) and the modeled and observed 23 m minus 3 m CO₂ difference,
471 $dCO_{2[23m-3m]}$. The model simulated observed CO₂ with good agreement, with a 3-hour average
472 free-intercept $slope = 0.75$ and $R = 0.83$ for the 3 m CO₂ data and a $slope = 0.73$ and $R = 0.74$ for
473 the 23 m CO₂ data (see Supplemental Materials Figure S5). The top panel of Figure 9 shows that
474 while the model does capture the timing of pollution trapping in the first 23 m, there are still many
475 times when the model overestimates CO₂ at 23 m.

476

477 **4.4 Model residence times during ALPACA**

478 For the duration of the ALPACA field campaign, the urban canopy residence times (τ_{canopy})
479 and column export residence times (τ_{export}) for SO₂ were calculated from PACT-1D model outputs
480 (see Supplemental Materials Figure S6). Figure 10 shows the log-time distribution of the urban
481 canopy (left panel) and column export (right panel) SO₂ residence times. The urban canopy
482 residence times in the left panel of Figure 10 have a bimodal distribution, where the mode with



483 shorter residence times from 0.1 hours (6 minutes) to around 0.33 hours (20 minutes) occur during
484 near-neutral surface atmospheric stability conditions and the mode with longer residence times
485 from around 0.33 hours (20 minutes) to 2.4 hours occurs during stable atmospheric conditions.

486 During stable conditions with an SBL height, $h \lesssim 50$ m, data in the left panel of Figure 10
487 has a log-normal distribution with a median $\tau_{\text{canopy}} = 54$ minutes. The median urban canopy
488 residence time of the data overall was $\tau_{\text{canopy}} = 40$ minutes. The histogram in the right panel of
489 Figure 10 has log-normal distribution has overlapping modes indicating that the column export
490 residence time is less affected by changes in atmospheric stability, with a median $\tau_{\text{export}} = 1.8$ hours
491 overall and $\tau_{\text{export}} = 2.1$ hours during stable conditions. In Figure 11, the urban canopy and column
492 export SO₂ residence times are plotted against the modeled SBL height from Table 1. The urban
493 canopy residence time in the top panel in Figure 11 is anti-correlated with SBL height, increasing
494 with decreasing SBL height. Some of the column export residence time data in the bottom panel
495 in Figure 11 is anti-correlated with SBL height, but there is more spread in the data as short
496 residence times are sometimes observed at times with shallow SBL heights.

497 **5 Discussion**

498 **5.1 Relationship between steady state vertical profile shape and SBL height**

499 Figure 3 shows that the shape of the SO₂ vertical profile depends upon the SBL height and
500 wind profile. For shallow SBL heights and low winds aloft, the model simulates a sigmoidal shape
501 with the polluted layer extending to the SBL height, while for taller SBL height and higher winds
502 aloft, the SO₂ profile becomes a more triangular shape and the effective pollution layer height is
503 below the SBL height, as observed when comparing the Figure 3 cases 3 and 4 simulation to the
504 case 1 and case 2 simulations. This shows that the SBL height is a critical parameter for simulating



505 the vertical distribution of pollution in Fairbanks, but also that the wind speed aloft affects the
506 shape.

507 The conceptual model presented in Figure 2 assumed there is an urban canopy from zero
508 to 15 m AGL where wind speed is low. This canopy has roughness elements, buildings and trees,
509 throughout it, which convert small winds into turbulence, enhancing mixing in this layer, while
510 slowing horizontal wind speed. The observations demonstrate that this layer is mechanically mixed
511 because the LP-DOAS path 0 average (average measurement height of 15 m) was well-correlated
512 with the in-situ SO₂ measured at 3 m at the CTC site (zero-intercept *slope* = 0.95 and *R* = 0.89).
513 At times when the winds have effectively stagnated in the urban canopy layer, export of pollution
514 occurs by upward transport from the urban canopy to windier layers aloft, as shown in the idealized
515 simulation represented in Figure 4 and vertical canopy flux approaches the source flux before
516 winds aloft can export this pollution, as represented by the export flux. In this idealized situation,
517 steady state is achieved in a few hours.

518

519

520 **5.2 Relationship between pollution trapping and temperature inversion strength**

521 In Table 1, the SBL height decreases with increasing surface-based inversion strength and
522 does not exceed 50 m AGL unless the inversion weakens enough to allow the SBL to be near
523 neutral. When the atmosphere is near neutral, a large value of $h = 400$ m is used to allow larger
524 amounts of vertical transport to occur in the boundary layer, which leads to the dilution of pollution
525 in the model column representing downtown Fairbanks. This process is responsible for the rapid
526 cleanout events that occur episodically throughout the winter (*e.g.*, see Figure 5).



527 At times when the SBL height is very shallow, CO₂ accumulates to large mixing ratios near
528 ground level and vertical differences in CO₂ are seen across the CTC building in Figure 6, as
529 vertical transport is limited to a shallow vertical extent within the SBL and winds above the SBL
530 can quickly dilute pollution to background mixing ratios aloft. There are few of these periods with
531 extremely large $dT_{[23\text{m}-3\text{m}]}$, such as the end of the “cold-polluted period” (February 3rd) and the
532 “warm-polluted period” at the end of ALPACA from February 23rd through 25th, and late on
533 January 23rd. During these times, the CO₂ sensor at 23 m AGL was nearly at regional background
534 CO₂, about 420 micromole mole⁻¹, as would be expected if it were in the free troposphere, above
535 the SBL height. These observations help to verify that the urban canopy in downtown Fairbanks
536 can be below 23 m at times when $dT_{[23\text{m}-3\text{m}]}$ is very large, as was used in the parameterization of h
537 versus $dT_{[23\text{m}-3\text{m}]}$ in Table 1. Past work also demonstrated significant trapping of PM_{2.5} on the 20 m
538 vertical scale under strongly stable conditions in Fairbanks, further motivating the minimum value
539 of h used in Table 1 (Cesler-Maloney et al., 2022).

540 When choosing the maximum SBL height in Table 1, we knew this height must exceed
541 23 m during weaker surface-based inversions, as there were times when CO₂ mixing ratios were
542 more equivalent at 3 m and 23 m during inversions in Figure 6. In Figure 7, the path averaged
543 mixing ratios of SO₂ during surface-based temperature inversions were largest in path 0 from 12 m
544 to 17 m, reaching a maximum of ~40 nanomole mol⁻¹, and were smaller in path 1 from 17 m to
545 73 m, reaching a maximum of ~15 nanomole mol⁻¹. These SO₂ observations show that during
546 inversions, the SBL height is greater than 17 m but doesn't reach 73 m, as there must be cleaner
547 air somewhere in path 1 to make the path averaged SO₂ mixing ratio less than what is observed in
548 path 0. Therefore, we chose a maximum SBL height of 50 m during weak surface-based inversion
549 conditions in Table 1. Between the very strong inversion the weak inversion cases, we mapped out



550 an inverse curve that was then interpolated to give the SBL height from the near-surface inversion
551 temperature difference. These choices of SBL heights in Table 1 were informed by observations
552 and proved to model observations with excellent agreement. Sensitivity studies were also carried
553 out and discussed in Section 5.4 to examine the result of choosing higher or lower values of the
554 SBL height, h .

555

556 **5.3 Skill of PACT-1D in modeling observed SO₂ vertical profiles**

557 The modeled SO₂ path averaged and 3 m SO₂ mixing ratios have excellent agreement with
558 observations when PACT-1D simulated the full ALPACA campaign period, as indicated by the R
559 values of 0.88 and 0.81 in Figure 8 panels A and B, respectively. The home heating emissions and
560 the wind speeds used to calculate the horizontal exchange coefficient representative of Fairbanks
561 based on the best available data from ADEC and EPA. The overlap between the SBL height and
562 the vertical wind speed profile is the most important factor controlling pollution trapping in
563 Fairbanks. When the SBL height is large enough, emissions are mixed more quickly through a
564 taller section of the vertical column, where they intersect with altitudes having larger horizontal
565 exchange. This vertical ventilation results in a more well-mixed column with small mixing ratios
566 of SO₂ at ground level, as observed both in the model and in the DOAS data at times when the
567 SBL is near neutral ($h > 50$ m) in Figure 6.

568

569 **5.4 Model sensitivity studies**

570 To explore the sensitivity of model results to input parameters, a series of simulations were
571 carried out, as described in Table 2, which varied parameters from the “Base” simulation described
572 above. The zero-intercept linear correlation slopes and R values for the “Base” simulation and each



573 sensitivity study are reported in Table 3. The y-intercepts for free-intercept fits are shown in
574 Supplemental Materials, Table S1 and are typically just a few nanomole mole⁻¹. The path 1 to path
575 0 slope ratio (Table 3) was the metric used to gauge the model's success in simulating the observed
576 vertical shape of SO₂ above Fairbanks. In Table 3, decreasing the SBL height decreased the slope
577 ratio from the "Base" 1.00 to 0.59, while increasing the SBL height increased the P1/P0 slope ratio
578 to 1.47, showing that the SO₂ vertical gradients are highly sensitive to changes in SBL height.
579 Therefore, we find that the model parameterization's very shallow SBL heights, $h = 20$ to 50 m
580 are required for a realistic simulation of the vertical shape of the polluted layer.

581 Changing the parameter for Fairbanks horizontal length, L , changes the magnitude of the
582 model-observation comparison slopes in Table 3, effectively increasing or decreasing the amount
583 of pollution measured near ground level. The value chosen in the "Base" simulation is 4 km, which
584 is the horizontal box edge dimension of the urban area being simulated (Figure 1). Changing the
585 Fairbanks model length, L , does not change the shape of the vertical profile of SO₂, as indicated
586 by the relative insensitivity of the P1/P0 slope ratio to changing L . This shows that the skill of the
587 model in simulating the correct vertical profile of pollution is not sensitive to changes in L ; this
588 parameter only affects the amount of pollution trapped in the model. Changing the emissions rates
589 using a multiplier has a similar effect in the model as changing L , where increasing or decreasing
590 the emissions rates increases or decreases both path 0 and path 1 slopes but does not change the
591 P1/P0 slope ratio. Therefore, to the extent that the emissions are not known accurately, the effective
592 horizontal export rate cannot be uniquely determined. However, the ADEC's best estimate of
593 ground level SO₂ emissions, which are only from home heating sources, was used in the downtown
594 areas, and the transport length is realistic with regards to the physical size of downtown Fairbanks,
595 indicating the model performs well with Fairbanks emissions and size.



596 The constant near-neutral SBL height, $h_{neutral} = 400$ m used when the atmosphere near the
597 surface is less stable, as indicated by times when $dT_{[23m-3m]} < 0.2$ °C, was also studied. The model
598 was found to be nearly insensitive to $h_{neutral}$. This validates our choice of 400 m for $h_{neutral}$.
599 Although the friction velocity, u^* , certainly varies over time, the simulation used a reasonable
600 fixed value, which was perturbed to be $u^* = 0.6$ m s⁻¹ or $u^* = 0.25$ m s⁻¹ in sensitivity runs Table 3.
601 This perturbation shows that varying the value of u^* had minimal effect on the slopes and R values
602 and that the model results are fairly insensitive to the value of u^* . Overall, the model is mostly
603 sensitive to the SBL height for the vertical shape and to the source (emissions) and sink (affected
604 by Fairbanks length) for the magnitude of pollution at ground level. Periods when the inversion
605 “breaks” are critical for cleaning out pollution and the timing of these events are well predicted by
606 weak temperature inversions and winds penetrating the urban canopy.

607

608 **5.5 Analysis of modeled steady state transport residence times**

609 The urban canopy column residence time, τ_{canopy} , in the “Base” simulation is typically
610 below an hour, reducing the amount of chemical processing that can occur within the urban
611 canopy, where pollution accumulates to large amounts. While the median column export residence
612 time, τ_{export} , is also relatively short for the downtown Fairbanks area, there are times when this
613 residence time exceeds 5 hours and even approaches 10 hours, suggesting that there may be times
614 when a reservoir of pollution aloft has more time for chemical processing to occur (see Supplement
615 Figure S6). If pollution is transported above the urban canopy layer when the SBL height is taller,
616 it could become trapped in a reservoir aloft if the SBL height then decreases to a shorter altitude.
617 If this “lofted reservoir” of pollution is formed after a decrease in SBL height, then the residence
618 time of pollution in this lofted reservoir will depend upon the horizontal wind speed. If the



619 horizontal wind speed is large within the lofted reservoir, it will be quickly diluted by background
620 air from outside of Fairbanks and will not downwash processed pollution locally. However, if the
621 horizontal wind speed is slow within the lofted reservoir, there may be time for changes in
622 chemistry to occur within the reservoir and downwash of processed pollution into the urban area
623 could be possible.

624

625 **6 Conclusion**

626 A version of the PACT-1D model that includes horizontal advection and having vertical
627 dispersion controlled by an eddy diffusivity (K_z) profile based on literature SBL parameterization
628 and driven by a SBL height parameterization based on the near surface temperature inversion
629 strength, was used to simulate SO_2 as a tracer for dispersion. The model was successful in
630 simulating observed in-situ 3 m SO_2 and LP-DOAS path averaged SO_2 from 12 m to 191 m with
631 excellent agreement, with a modeled 3 m versus in-situ 3 m SO_2 correlation coefficient $R = 0.81$
632 and a model path average versus LP-DOAS path average SO_2 correlation coefficient $R = 0.88$ for
633 all paths.

634 Idealized steady state simulations validated the model's response to input parameters and
635 yielded vertical profiles and ground level steady state mixing ratios of SO_2 similar to what was
636 measured by LP-DOAS in the field. When the SBL height is shorter, the height of the polluted
637 layer is equivalent to the SBL height, as pollution can vertically mix throughout the shorter SBL
638 height with less horizontal loss by wind, yielding a sigmoid shaped profile. When the SBL height
639 is larger or winds aloft are stronger, winds aloft can dilute pollution that mixes vertically aloft,
640 such that the height of the polluted layer is shorter than the SBL height and the profile is more
641 triangular in shape. The SBL height derived from near-surface temperature difference observations



642 in downtown Fairbanks ($dT_{[23m-3m]}$) is very shallow when the atmosphere near the ground is stable,
643 never exceeding $h = 50$ m AGL and becoming as low as $h = 20$ m AGL for extreme inversion
644 strength. When the atmosphere is near-neutral in the region near ground level (when $dT_{[23m-3m]} <$
645 0.2 °C), the model is insensitive to SBL height as long as the SBL height is reasonably large, such
646 as the height used in the model at these times, $h_{neutral} = 400$ m.

647 The model successfully captured the vertical profile of SO₂ observations in downtown
648 Fairbanks. When comparing the shortest LP-DOAS path averaged SO₂ mixing ratio versus the in-
649 situ 3 m SO₂ measured at the CTC site, the zero-intercept linear regression analysis had a *slope* =
650 0.94 and $R = 0.89$, indicating that pollution is fairly well mixed within a ~15 m urban canopy layer
651 on a three-hour timescale. A series of sensitivity tests were performed to evaluate the model's
652 dependence on input parameters. The model's vertical profile was only sensitive to the SBL height,
653 and generally the surface mixing ratio responded linearly to variations in emissions and the length
654 of Fairbanks, which controls the horizontal exchange rate. The model is fairly insensitive to
655 variations in the neutral BL height and the value of the friction velocity.

656 The residence time of air in the urban canopy is usually shorter than a few hours, with a
657 median residence time of 40 minutes overall and 54 minutes when stable, and a median column
658 export residence time of 1.8 hours overall and 2.1 hours when stable, limiting time for chemical
659 processing to occur near the ground. Chemical processing may occur within a lofted reservoir of
660 pollution, which can either be removed from the Fairbanks area by horizontal advection, mixed
661 back down into the urban canopy given enough time, or possibly re-circulated back into the urban
662 canopy. However, the model achieves excellent results ($R = 0.88$) without considering the
663 recycling of pollution or lofted sources such as powerplants, indicating that these effects are not
664 dominant.



665

666 **Code / data availability**

667 Final data from the study is available on the Arcticdata.io ALPACA data portal
668 (<https://arcticdata.io/catalog/portals/ALPACA>). From this repository, we include the gas
669 composition, temperature, and wind data from the CTC site, doi:10.18739/A27D2Q87W. The
670 model code and input files will be uploaded to the portal upon acceptance of the manuscript and
671 are provided as a link to reviewers for the purpose of peer review.

672

673 **Author contribution:**

674 This work was originally authored by M.C.-M. with substantial contributions from W.S. All
675 authors contributed to revisions and editing of the final manuscript. The work is based upon the
676 PACT-1D model, which was developed by J.T. and J.S., and modified for this application by M.C.-
677 M., W.S., J.K., and J.S. Pollution emissions were provided by D.H. and resampled by J.K. Input
678 and validation data was measured and provided by J.S., M.C.-M., S.C., W.S, and T.R. Project
679 conceptualization and funding for the field study was obtained by W.S., J.S., and the ALPACA
680 science team.

681

682 **Competing interests:**

683 The authors declare that they have no conflict of interest.

684

685 **Acknowledgements**

686 We thank the entire ALPACA science team of researchers for designing the experiment,
687 acquiring funding, making measurements, and ongoing analysis of the results. The ALPACA



688 project is organized as a part of the International Global Atmospheric Chemistry (IGAC) project
689 under the Air Pollution in the Arctic: Climate, Environment and Societies (PACES) initiative with
690 support from the International Arctic Science Committee (IASC), the National Science Foundation
691 (NSF), and the National Oceanic and Atmospheric Administration (NOAA). We thank University
692 of Alaska Fairbanks and the Geophysical Institute for logistical support, and we thank Fairbanks
693 for welcoming and engaging with this research. W.R.S. and M.C.-M. acknowledge support from
694 NSF grants NNA-1927750 and AGS-2109134. J.S., J.K., and S.C. acknowledge funding support
695 from NSF grants 1927936 and 2109240. J.L.T. is funded by the European Union's Horizon 2020
696 research and innovation programme under grant agreement No. 101003826 via project CRiceS
697 (Climate Relevant interactions and feedbacks: the key role of sea ice and Snow in the polar and
698 global climate system). T.R. acknowledges support from the Agence National de Recherche
699 (ANR) CASPA (Climate-relevant Aerosol Sources and Processes in the Arctic) project (grant no.
700 ANR-21-CE01-0017), the Institut polaire français Paul-Émile Victor (IPEV) (grant no. 1215) and
701 Labex Voltaire (grant no. ANR-10-LABX-100-01). We thank Robert Gilliam from the U.S.
702 Environmental Protection Agency for providing the WRF wind speed data. Disclaimer: The views
703 expressed in this article are those of the authors and do not necessarily represent the views or
704 policies of the U.S. Environmental Protection Agency.

705

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834 **Tables**

835 **Table 1:** Stable boundary layer height, h , parametrization as a function of near-surface stability.

836 These values are for the “Base” simulation; sensitivity studies vary either the stable ($dT_{[23m-3m]} =>$

837 0.2 °C or near-neutral ($dT_{[23m-3m]} < 0.2\text{ °C}$) boundary layer height.

$dT_{[23m-3m]} / \text{°C}$	h / m
<0.2	400
0.2	50
2	30
5	25
10	20

838



839 **Table 2:** Cases 1 through 4 used SBL parameterization (Equation EQ1) to calculate a vertical
840 profile of K_z from a constant SBL height and a linear wind profile, with no wind in an urban canopy
841 from zero to 15 m and a linear increase from 15 m to a constant geostrophic wind above the SBL.
842 A constant SO_2 emissions rate of 6×10^{-9} moles $\text{m}^{-2} \text{s}^{-2}$ was used in each case.

Variable	Case 1	Case 2	Case 3	Case 4
SBL height	$h = 25$ m	$h = 50$ m	$h = 50$ m	$h = 100$ m
Wind speed	0 m s^{-1} in the first 15 m to a constant 2 m s^{-1} above SBL	0 m s^{-1} in the first 15 m to a constant 2 m s^{-1} above SBL	0 m s^{-1} in the first 15 m to a constant 5 m s^{-1} above SBL	0 m s^{-1} in the first 15 m to a constant 2 m s^{-1} above SBL

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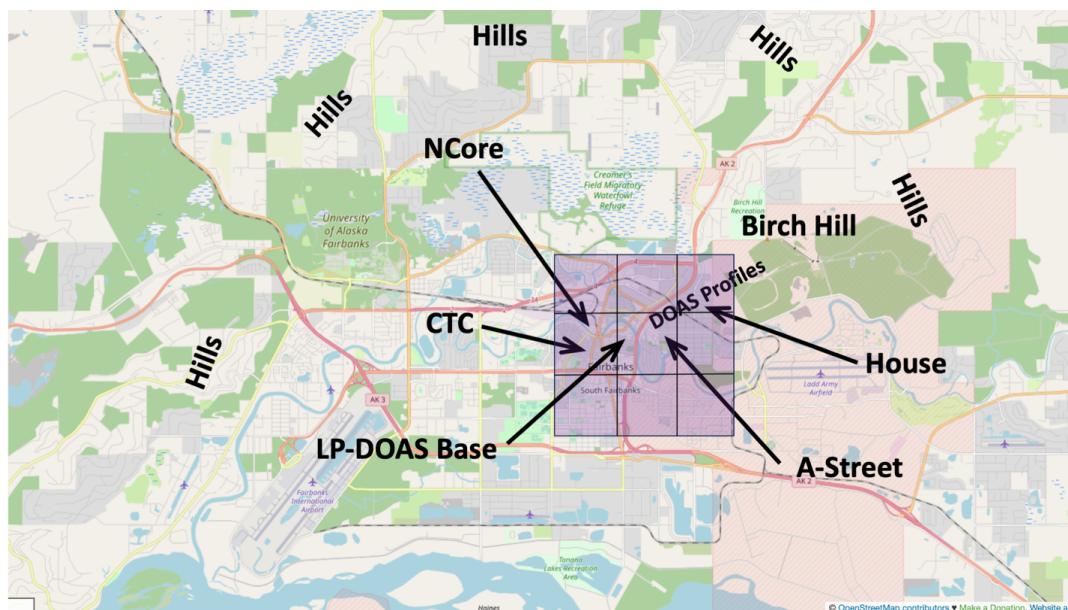
845 **Table 3:** Results of sensitivity studies for the full ALPACA period. Zero-intercept linear slopes
 846 and intercepts are listed for 3-hour path-averaged modeled SO₂ versus LP-DOAS SO₂. The “Base”
 847 simulation was set with $u^* = 0.40 \text{ m s}^{-1}$ and $L = 4 \text{ km}$.

Variation	LP-DOAS	LP-DOAS	3 m in-situ	3 m in-situ	Slope ratio
	R	slope	R	slope	P1/P0
Base	0.88	1.10	0.81	1.28	1.00
$h = \frac{2}{3} h_{\text{base}}$	0.87	1.49	0.82	1.87	0.59
$h = 1.5 h_{\text{base}}$	0.86	0.84	0.80	0.91	1.47
$L = \frac{2}{3} L_{\text{base}}$	0.88	0.82	0.80	0.99	0.95
$L = 1.5 L_{\text{base}}$	0.87	1.49	0.82	1.67	1.06
$h_{\text{neutral}} = \frac{2}{3} \text{ base}$	0.88	1.12	0.81	1.30	1.03
$h_{\text{neutral}} = 1.5 \text{ base}$	0.87	1.09	0.81	1.27	0.99
$u^* = 0.25 \text{ m s}^{-1}$	0.88	1.19	0.82	1.46	0.92
$u^* = 0.60 \text{ m s}^{-1}$	0.87	1.04	0.80	1.16	1.07
$E = \frac{2}{3} E_{\text{base}}$	0.88	0.75	0.81	0.87	1.02
$E = 1.5 E_{\text{base}}$	0.88	1.64	0.81	1.91	0.99

848



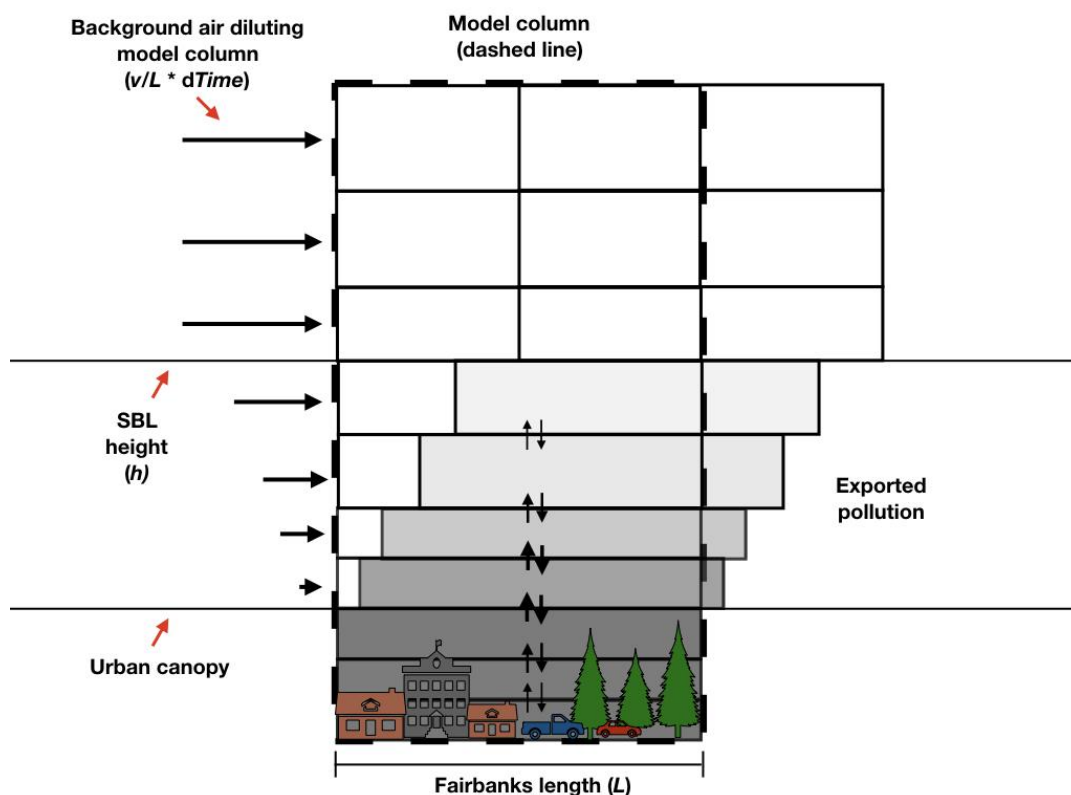
849 **Figures**



850

851 **Figure 1:** Map of measurement locations in downtown Fairbanks. The purple box represents the
852 area of the nine (3x3) grid cells of the ADEC emissions and WRF-modeled meteorological
853 variables used in PACT-1D. The WRF meteorological variables were taken from the center grid
854 box of the 3x3 grid. Base map was produced by OpenStreetMaps.org using data available under
855 the Open Database License see <https://www.openstreetmap.org/copyright>.

856



857

858 **Figure 2:** Conceptual model of emissions and dispersion processes used to describe Fairbanks,

859 Alaska within the Platform for Atmospheric Chemistry and Transport one-dimensional model

860 PACT-1D. Emissions occur within the urban canopy where there is no wind to export pollution.

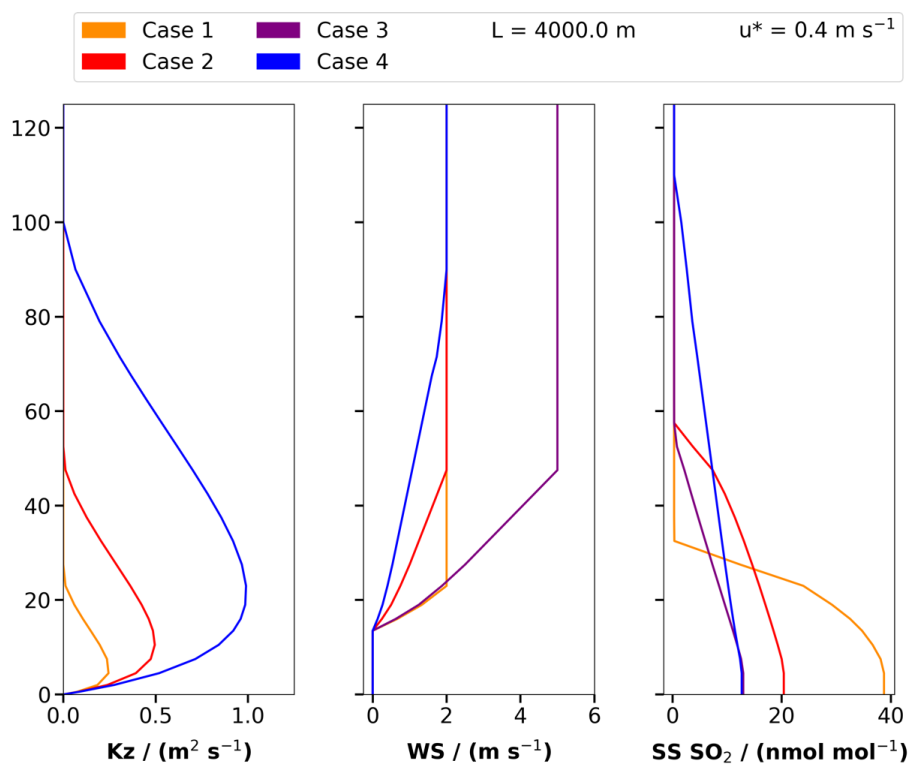
861 Vertical dispersion transports pollution into layers within the SBL where winds bring in clean

862 background air to dilute pollution in the model column and export pollution out of Fairbanks.

863 Vertical dispersion goes to zero both at the ground and at the top of the SBL such that no pollution

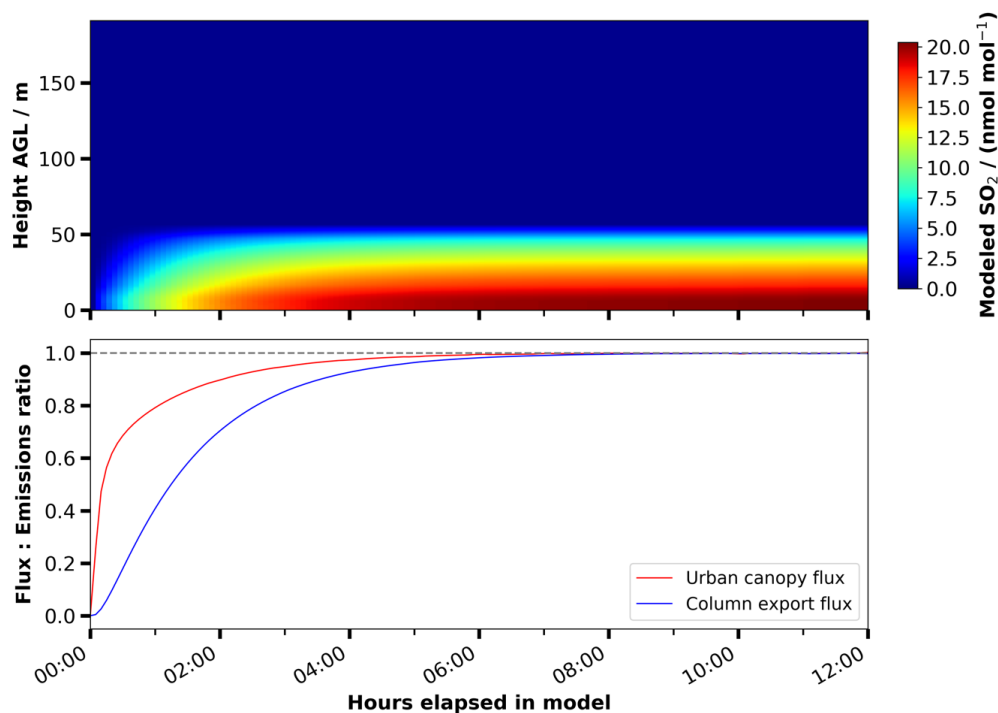
864 reaches above the SBL, where there are fast moving, geostrophic winds aloft.

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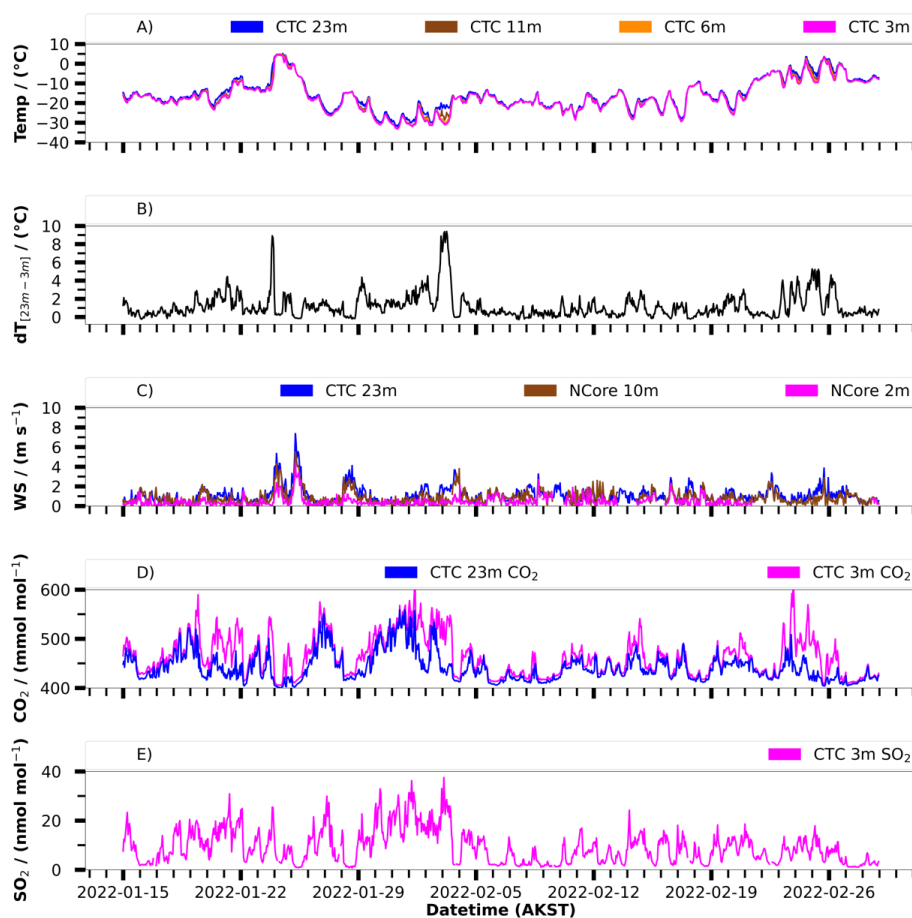
866 **Figure 3:** Vertical profiles of inputs and simulated SO₂ at steady state for various scenarios using
 867 downtown column emissions of 6×10^{-9} moles $\text{m}^{-2} \text{s}^{-1}$ SO₂. cases 1 though 4 use the SBL K_z
 868 parameterizations from Equation EQ1 with different stable boundary layer heights, h , which are
 869 case 1: $h = 23$ m, cases 2 and 3: $h = 50$ m, and case 4: $h = 100$ m. Cases 1 through 4 use a wind
 870 model with zero winds in the urban canopy from zero to 15 m AGL, then a linear gradient
 871 increasing to achieve a free-atmosphere wind speed at the top of the stable boundary layer. The
 872 winds above the SBL are cases 1, 2, and 4: 2 m s^{-1} and case 3: 5 m s^{-1} . The resulting modeled SO₂
 873 profiles for each case are also plotted in panel D.

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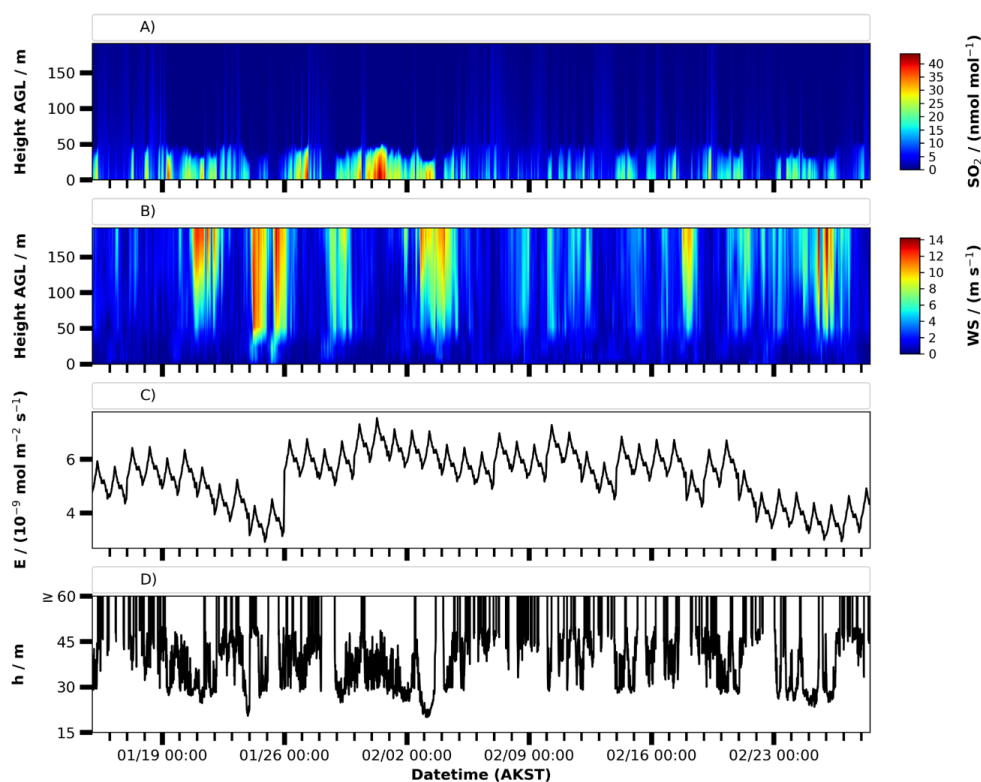
875

876 **Figure 4:** An altitude / time “curtain plot” showing SO₂ mixing ratio represented by colors for the
877 case 2 steady state simulation with a constant 50 m stable boundary layer height. The ratio of the
878 loss rate out of the urban canopy by vertical transport and the export loss rate out of the column
879 by horizontal exchange to the downtown column SO₂ emissions are shown as time series on the
880 bottom panel.



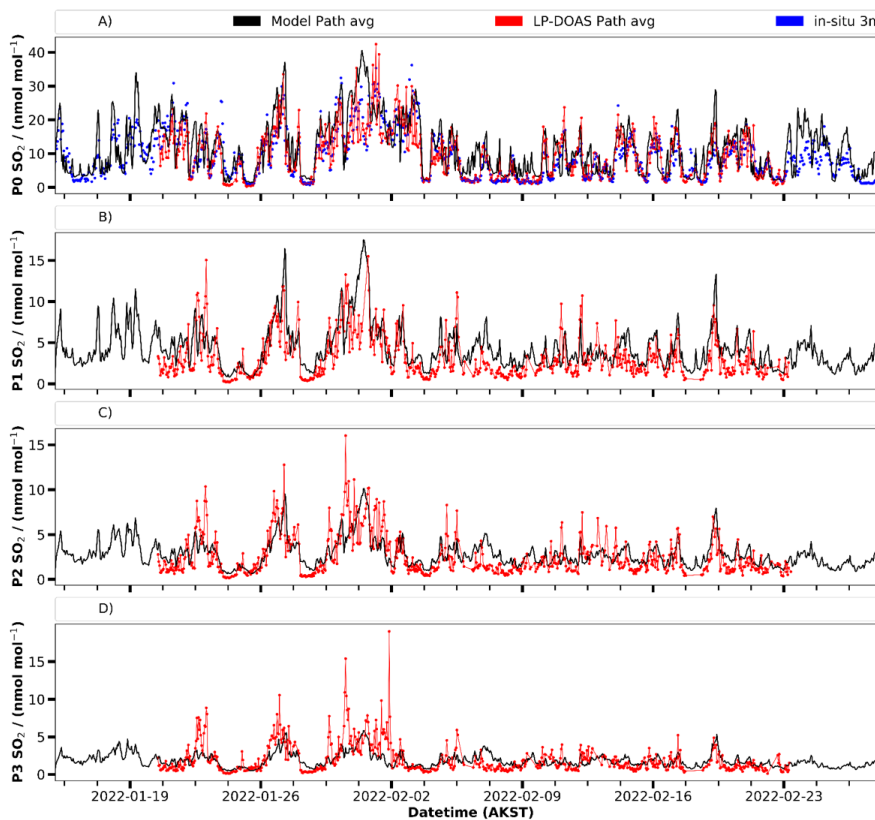
881

882 **Figure 5:** Time series of hourly averaged in-situ field measurements from the UAF CTC site
883 during the ALPACA field campaign in January and February of 2022. The CO₂ in panel D is in
884 micromole mole⁻¹ (mmol mol⁻¹ is an abbreviation for micromole mole⁻¹).



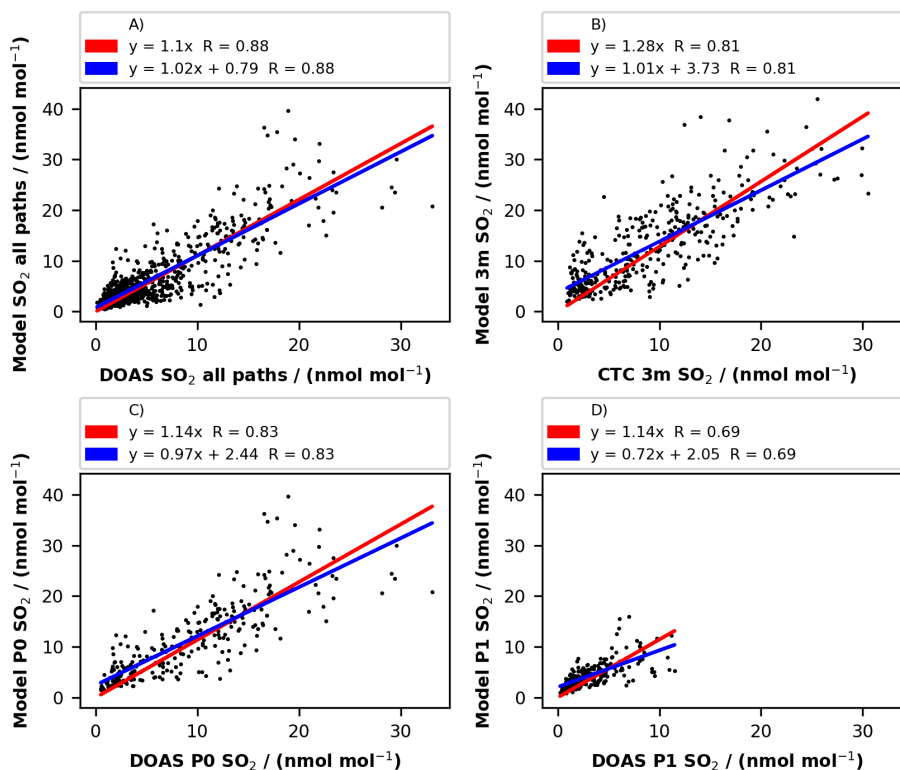
885

886 **Figure 6:** Panels A and B are altitude / time “curtain plots” showing model parameters and results
887 by colors for the ALPACA “Base” simulation. Panel A is modeled SO₂ and panel B is wind
888 speed, v . Panel C is a line plot of the SO₂ downtown column emissions rate, E , and panel D is a
889 line plot of the stable boundary layer height, h . Note that h increases off scale to a boundary layer
890 height of 400 m AGL when the atmosphere is near neutral.



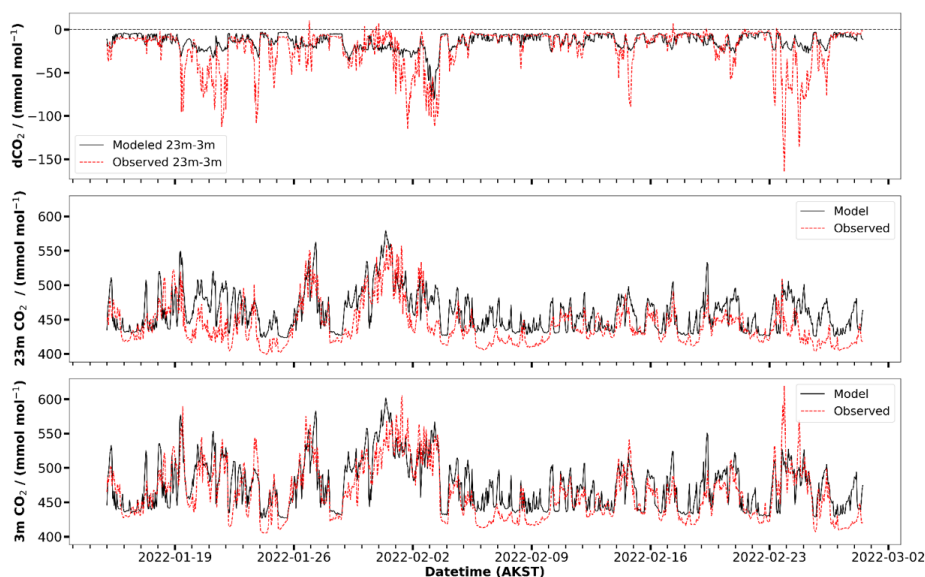
891

892 **Figure 7:** Time series of hourly path-averaged SO₂ from PACT-1D (black line), LP-DOAS field
893 observations (red dots) and in-situ 3 m field observations (blue dots in panel A). Model 3 m data
894 was not included in panel A as the correlation between model 3 m SO₂ and in-situ 3 m SO₂ was
895 good (zero-intercept *slope* = 1.28 and *R* = 0.81 in Table 1). Panels B through D show the hourly
896 path-averaged LP-DOAS observations and model results for path 1 through path 3, respectively.



897

898 **Figure 8:** Correlation plots of 3-hour averaged model versus observed SO₂. Panel A shows the
 899 model path averaged SO₂ mixing ratio versus the path averaged SO₂ mixing ratio observed by the
 900 LP-DOAS for all four DOAS paths from 12 m to 191 m AGL. Panel B shows the modeled SO₂ in
 901 the 3 m to 6 m layer in PACT-1D versus the in-situ 3 m SO₂ observed at the CTC site. Panel C
 902 shows the model path 0 SO₂ versus the LP-DOAS path 0 SO₂ (path 0 being the average from 12 m
 903 to 17 m AGL) and panel D shows the model path 1 SO₂ versus the LP-DOAS path 1 SO₂ (path 1
 904 being the average from 17 m to 73 m AGL). The red lines represent the zero-intercept linear
 905 correlation equations and the blue lines represent the free-intercept linear correlation equations,
 906 with coefficients for each equation shown in the legend on each panel.

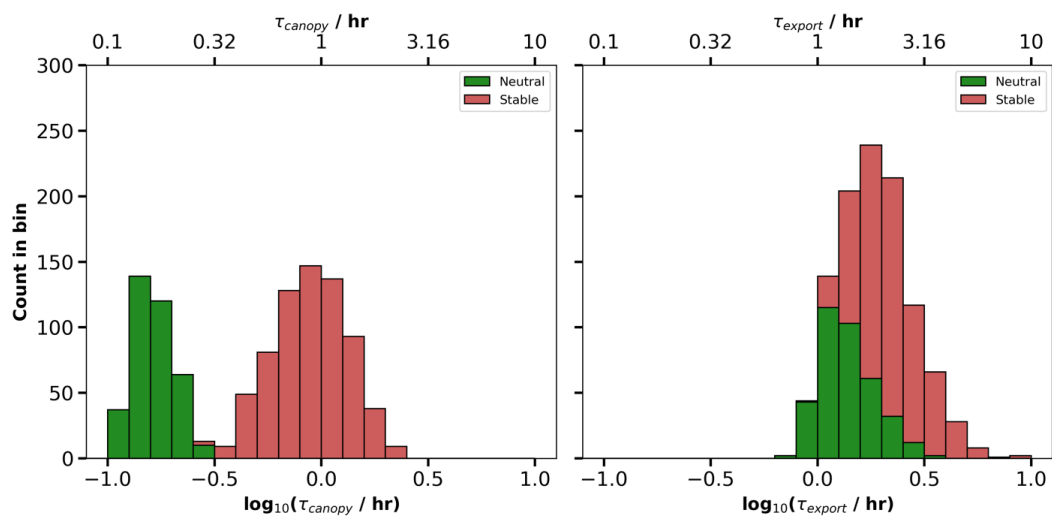


907

908 **Figure 9:** Time series of hourly averaged modeled and in-situ CO₂ measurements at 3 m (bottom
909 panel) and 23 m (middle panel) at the CTC site in downtown Fairbanks. The top panel shows the
910 modeled and observed 23 m minus 3 m CO₂ differences, $dCO_{2[23\text{ m}-3\text{ m}]}$, in micromole mole⁻¹
911 (mmol mol^{-1} is an abbreviation for micromole mole⁻¹).

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915 **Figure 10:** Histograms showing the ALPACA campaign simulated residence time distributions.

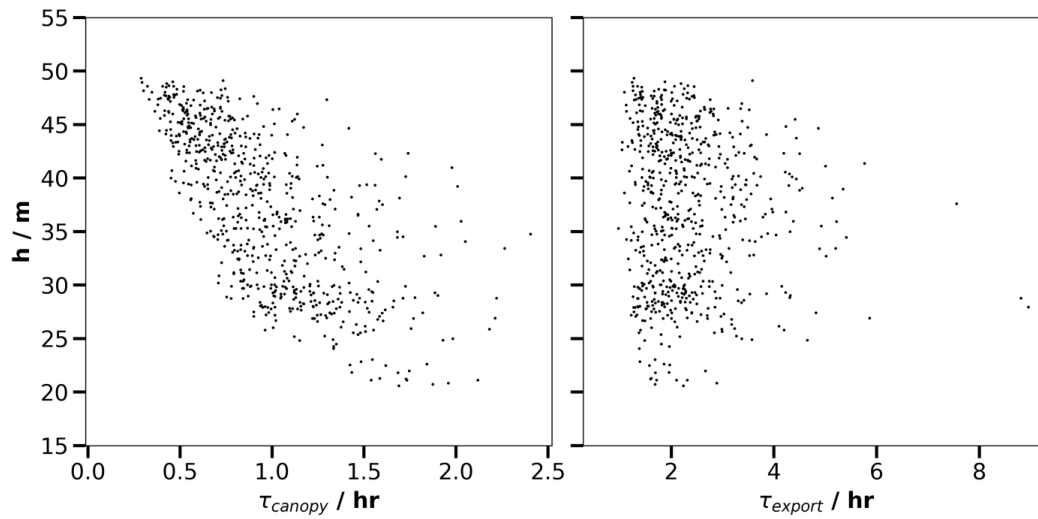
916 The left panel shows the steady state urban canopy residence time and the right panel shows the

917 steady-state column export residence time. Note that the histograms are done using logarithmic

918 bins for the residence time on the bottom x-axis and the residence time in hours on the top x-axis.

919

920



921

922 **Figure 11:** Steady state calculated residence times for the full ALPACA simulation. The left panel

923 shows the urban canopy residence time vs the model stable boundary layer height, h , and the right

924 panel shows the column export residence time vs h .