



- <sup>1</sup> The Role of Naphthalene and Its Derivatives in the
- <sup>2</sup> Formation of Secondary Organic Aerosols in the
- <sup>3</sup> Yangtze River Delta Region, China
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18 Abstract. Naphthalene (Nap) and its derivatives, including 1-methylnaphthalene (1-MN) and 2-19 methylnaphthalene (2-MN), serve as prominent intermediate volatile organic compounds (IVOCs) 20 contributing to the formation of secondary organic carbon (SOC). In this study, the Community 21 Multi-Scale Air Quality (CMAQ) model coupled with detailed emissions and reactions of these 22 compounds was utilized to examine their roles in the formation of SOC and other secondary 23 pollutants in the Yangtze River Delta (YRD) region during summer. Remarkably, significant 24 underestimations of Nap and MN concentrations (by 79% and 85%) were observed at the Taizhou 25 site. To better capture the temporal variations of Nap and MN, their emissions in the YRD region 26 were scaled up by a factor of 5 and 7, respectively, with constraints based on field measurements. 27 After adjusting their emissions, Nap concentrations reached 27 ppt in the YRD, accounting for 4.1% 28 and 9.1% (up to 13.7%) of total aromatics emissions and aromatic-derived SOC, respectively. 1-29 MN and 2-MN were relatively low, with an average of 3 and 6 ppt in the YRD, and contributed 30 3.1% of aromatic-derived SOC. The influences of Nap and MN oxidation on ozone and radicals 31 might be trivial on a regional scale but were not negligible when considering daily fluctuations, 32 particularly in Shanghai and Suzhou. This study emphasizes the high SOC formation potentials of 33 Nap and MN, which may pose environmental risks and adverse health.

#### 34 1 Introduction

Secondary organic aerosols (SOA) are formed from the condensation and multiphase evolution of less volatile organic compounds (VOCs), which can be directly emitted or produced from the oxidation of higher volatile organics in the atmosphere. SOA not only affects visibility and human health but also has impacts on the climate directly by absorbing and reflecting solar radiation and indirectly by affecting cloud formation (Chen et al., 2016; Zhang and Ying, 2012). Semi-volatile and intermediate-volatile organic compounds (S/IVOCs) have been identified as the





41 key precursors of SOA (Robinson et al., 2007; Hu et al., 2022). IVOCs are categorized by small 42 polycyclic aromatic hydrocarbons (PAHs), intermediate-length alkanes (e.g. n-hexadecane), and 43 phenols(Pye and Seinfeld, 2010). PAHs are organic compounds containing multiple aromatic rings. 44 China was responsible for the highest annual PAH emissions at 114 Gg with a portion of 22% of 45 global total PAH emissions in 2004(Zhang and Tao, 2009). Naphthalene (Nap) and 46 methylnaphthalene (MN), such as 1-methylnaphthalene (1-MN) and 2-methylnaphthalene (2-MN), 47 are the most abundant airborne PAHs (Chen et al., 2016; Fang et al., 2021), which are mainly emitted from the combustion of fossil fuels, biomass burning, and industrial sectors (Fang et al., 48 49 2021).

50 Chamber studies have identified the gas- and particle-phase products from Nap reacting with 51 hydroxyl radical (OH·) (Huang et al., 2019). Ring-retaining products (e.g., 1,4-naphthoquinone) 52 with lower volatilities are dominant under low nitrogen oxide  $(NO_x)$  conditions, and ring-opening 53 products (e.g., 2-formylcinnamaldehyde) with higher volatilities are dominant in the presence of 54 high NO<sub>x</sub>. Chan et al. (2009) evaluated the SOA yields of Nap, 1-MN, 2-MN, and 1,2-dimethyl 55 naphthalene in chambers and applied these yields to estimate SOA formation from primary 56 emissions of diesel engines and wood burning. The SOA yields were 55-75% under low-NO<sub>x</sub> conditions at a total organic aerosol loading of 15  $\mu$ g m<sup>-3</sup>, which was more efficient than high-NO<sub>x</sub> 57 58 conditions (25–45%). In the photo-oxidation period of less than 12 h, these PAHs produced 3–5 59 times more SOA than light aromatic compounds and were responsible for up to 54% of total SOA 60 from the oxidation of diesel emissions. Huang et al. (2019) applied a tracer method and discovered that 14.9% of SOA was owing to the oxidation of Nap and MN in the afternoon during the 61 62 wintertime haze in Beijing. Shakya and Griffin (2010) also reported 36-162 kg day<sup>-1</sup> of SOA 63 production from the mobile source emitted PAHs (including Nap, 1-MN, and 2-MN) in Houston





64	based on the yields from their study and that of Chan et al. (2009). Based on the yield from Shakya
65	and Griffin (2010), Liu et al. (2015) showed that Nap contributed 8-52% of the total SOA
66	originating from benzene, toluene, C2-benzene, C3-benzene, C4-benzene, and Nap in light-duty
67	gasoline vehicle exhausts. All these experimental findings demonstrate the significant role of Nap
68	and MN in SOA formation in the environment with anthropogenic influences dominated. However,
69	these results might not accurately reflect the actual atmospheric conditions due to the simplicity of
70	reaction conditions and the limited precursors involved in chamber studies (Ling et al., 2022).
71	Numerical models have been developed and utilized to assess the contribution of S/IVOCs to
72	SOA (Hayes et al., 2015; Pye and Seinfeld, 2010; An et al., 2023). Zhang and Ying (2011) showed
73	that PAHs emitted from anthropogenic sources could produce SOA mass as much as 10% of that
74	from the traditional light aromatics or around 4% of total anthropogenic SOA by using the
75	Community Multiscale Air Quality (CMAQ) model. However, the products from several explicit
76	PAH species (Nap, MN, dimethyl naphthalene, ethyl naphthalene, acenaphthylene, acenaphthene,
77	fluorene, phenanthrene, fluoranthene) were lumped rather than separated for their contributions to
78	SOA due to limited experimental data. Pye and Pouliot (2012) utilized the CMAQ model and
79	tracked 10% of peroxy radicals produced from the ARO2 (lumped aromatics in CMAQ) and OH·
80	reaction as for that of Nap without considering the emissions and the accurate OH· reactivity of
81	Nap. According to Cohan et al. (2013), the modeled SOA increased by roughly 1–10% when Nap
82	emissions from on-road gasoline and diesel vehicles were considered. Their simulations showed a
83	lower bound in the SOA production from Nap due to underestimations in the emission inventory
84	in the South Coast Air Basin of California. Majdi et al. (2019) found that Nap and MN contributed
85	2.4% to the total organic aerosol (OA) originating from wildfires over the Euro-Mediterranean





86 region during the summer of 2007 by using a 3D chemistry transport model (CTM). The 87 contributions of Nap and MN to SOA over a regional scale in China had not been quantified. 88 In this study, SOA formation from Nap, 1-MN, and 2-MN in the Yangtze River Delta (YRD) 89 region during the EXPLORE-YRD (EXPeriment on the eLucidation of the atmospheric Oxidation 90 capacity and aerosol foRmation, and their Effects in Yangtze River Delta) campaign period (May 91 20 - June 18, 2018) was investigated with an updated CMAQ model. Emission inventories of Nap, 92 1-MN, and 2-MN were estimated based on different sources and methods and validated against 93 observations. After that, the influences of Nap and MN on secondary organic carbon (SOC), ozone 94 (O<sub>3</sub>), and radical concentrations in the locations with high concentrations and at the regional scale 95 were examined separately. The newly added SOA parameterizations for 1-MN and 2-MN were 96 fitted by both two-product and one-product methods to compare the differences. We find that Nap 97 and its derivatives, although accounting for a small fraction of emitted aromatics (5.1%), 98 contributed 12.1% of aromatic-derived SOC in the YRD.

99 2 Methods

#### 100 2.1 Modified SOA formation pathways of MN

101 The CMAQ model version 5.2, coupled with the SAPRC07tic atmospheric chemical 102 mechanism and the AERO6i aerosol module, was updated to include the oxidation of 1-MN and 103 2-MN by OH and the corresponding SOA formation pathways. In the original CMAO model, Nap 104 reacts with OH· to form SOA under low- and high-NO<sub>x</sub> conditions, which are represented by two 105 different counter species PAHHRXN and PAHNRXN, respectively (Fig. S1). Similar to Nap, 1-106 MN, and 2-MN were treated explicitly as reacting with OH and forming SOA counter species under high NOx (aMPAHNRXN and bMPAHNRXN) and low NOx (aMPAHHRXN and 107 108 bMPAHHRXN), along with other products following Zhang and Ying (2012). These counter





species were used to calculate the production of SOA through gas-particle partitioning based on yields ( $\alpha_i$ ) and partitioning coefficients ( $K_{\text{om},i}$ , m<sup>3</sup> µg<sup>-1</sup>) of condensable organic products derived from chamber experiment data. The detailed descriptions of gas-particle partitioning to fit SOA yield through one-product and two-product methods are depicted in the Supplement.

113 In gas-particle partitioning of the original CMAQ model, a two-product method (SV PAH1 114 and SV PAH2) was used to represent the SOA formation from Nap under high-NO<sub>x</sub> conditions, 115 which are denoted as APAH1J and APAH2J respectively (Fig. S1a). Under low-NO<sub>x</sub> conditions, 116 a one-product method was used to represent the SOA formation from Nap, denoted as APAH3J. 117 It was assumed that APAH3J (a yield of  $\alpha_3$ ) was non-volatile and resided in the particle phase. 118 Similar to Nap, a two-product method for the oxidation products of 1-MN was added under high-119 NO<sub>x</sub> conditions as shown in Fig. S1b, with the SOA species denoted as AaMPAH1J and 120 AaMPAH2J. A one-product method to characterize the oxidation products of 1-MN was also 121 applied to compare the difference caused by the fitting approach. As shown in Fig. S1c, the semi-122 volatile organic product SV aMPAH1' undergoes equilibrium partitioning to form SOA 123 (AaMPAH1J'). Under low-NOx conditions, a non-volatile SOA product AaMPAH3J is formed by 124 the oxidation of 1-MN. The SOA pathways of 2-MN follow 1-MN, with the corresponding SOA 125 products of AbMPAH1J, AbMPAH2J, and AbMPAH3J, respectively. In addition, all semi-126 volatile SOA products undergo condensed-phase oligomerization reactions at the same rate of 127 APAH1J and APAH2J and produce non-volatile oligomers (AOLGAJ) that belong to the 128 anthropogenic source. Other processes and parameters involved in the newly added SOA pathways 129 for 1-MN and 2-MN, such as the dry and wet deposition and the molecular weight of the oxidation 130 products were set to be the same as Nap due to limited experimental data. Details of all the 131 parameters, i.e.,  $\alpha_i$ ,  $K_{\text{om},i}$ , and  $\Delta H_{\text{vap},i}$  are summarized in Table S1.





### 132 **2.2 Model application**

The simulation domain, which covers Jiangsu, Zhejiang, Anhui, Shanghai, and neighboring provinces, has a horizontal resolution of 4 km × 4 km (238 × 268 grids) and a vertical structure of 18 layers as shown in Fig. S2. Details of the domain setup can be found in previous studies (Li et al., 2021; Li et al., 2022). The meteorological field was predicted by the Weather Research and Forecasting (WRF) model version 4.0 with the ECMWF Reanalysis v5.0 (ERA5) reanalysis data as the inputs. More details about the WRF configuration were summarized by Wang et al. (2021). A spin-up of two days was used to minimize the influence of initial conditions.

140 Biogenic emissions were generated from the Model for Emissions of Gases and Aerosols 141 from Nature (MEGAN) version 2.1 (Guenther et al., 2012). Open biomass burning emissions were 142 based on the Fire INventory from the National Center for Atmospheric Research (FINN) 143 (Wiedinmyer et al., 2011). Anthropogenic emissions were generated from the updated 2017 144 emission inventory for the YRD (Cheng et al., 2021) and the Multi-resolution Emission Inventory 145 for China (MEIC, http://www.meicmodel.org, last access: 1 June 2023) for the rest of the domain. Currently, there is no available data to use in more localized sources in China. The detailed 146 147 emissions of 1-MN and 2-MN of different sources were calculated from the US EPA 148 (Environmental Protection Agency) repository of organic gas and PM speciation profiles of air 149 pollution sources (SPECIATEv5.2) and information reported by An et al. (2021) and Li et al. 150 (2014). See the Supplement for more details about the calculating process. There were two sets of 151 emission data consisting of different Nap and MN emissions in the YRD. The emis-orig used the 152 original Nap emissions from the 2017 YRD inventory and the calculated MN emissions. We show 153 later that Nap and MN were underestimated in emis-orig and required an adjustment in their 154 emissions to capture the observed concentrations. Therefore, the anthropogenic emissions of Nap





155 and MN in the YRD region from emis-orig were multiplied by 5 and 7, respectively, and 156 unchanged in other regions in the emis-adjust case. All the emission ratios applied in this study 157 are shown in Table S2. According to Fig. S3, Nap and MN emissions were mainly located in 158 Shanghai, southern Jiangsu, and parts of Zhejiang in the YRD region. After adjustments, the total 159 Nap and MN emission rate over the YRD region in emis-adjust (3.9 kg day<sup>-1</sup>) was approximately 160 fourfold higher than that in emis-orig (0.9 kg day<sup>-1</sup>). The total MN emission rate over the YRD region in emis-adjust was 0.9 kg day<sup>-1</sup> and was lower than that of Nap. For emis-adjust, the 161 162 dominant source of MN was residential-related (47.0%), followed by industry process (25.8%) 163 and on-road transport (20.8%). On-road transport contributed the most to Nap emissions in both 164 emis-orig (78.2%) and emis-adjust (87.5%). It should be noted that the source contributions of 165 Nap and MN may be influenced by the uncertainties in the source profiles.

166 Table S3 lists the scenarios conducted in this study. In case-1 product-orig, the anthropogenic 167 emissions in the YRD used emis-orig with default Nap and added MN emissions, and the SOA 168 parameterization for MN was fitted by the one-product method. To assess the impacts of different 169 SOA parameterizations, the case-2products-orig shared the same setting with case-1product-orig 170 except that a two-product method for MN-generated SOA was employed. Both case-1 product and 171 case-2products used emis-adjust as the emission inventory but different SOA parameterizations 172 for MN. In all, the contributions of Nap, 1-MN, and 2-MN to the aromatic SOC were estimated 173 based on different emission inventories and two SOA parameterization schemes. To evaluate the 174 effects of Nap, 1-MN, and 2-MN on O<sub>3</sub>, SOC, and radical concentrations, their emissions in case-175 1product were set to zero and named base1.

### 176 **2.3 Observation data for model validation**





177	In May-June 2018, the EXPLORE-YRD field campaign was launched at a rural site in
178	Taizhou (32.558°N, 119.994°E) and simultaneously monitored VOCs (including Nap and MN),
179	$O_3$ , $NO_x$ , SOC, $OH$ , hydroperoxy radical ( $HO_2$ ), and other various pollutants, which provides a
180	good opportunity for model validation and understanding the evolution of air pollution in the YRD
181	(Wang et al., 2020; Huang et al., 2020; Yu et al., 2021; Gao et al., 2022). Details of the
182	measurement method and accuracy for each species refer to these references. The simulated
183	MDA8 O <sub>3</sub> , fine particulate matter (PM <sub>2.5</sub> ), sulfur dioxide (SO <sub>2</sub> ), nitrogen dioxide (NO <sub>2</sub> ), and
184	carbon monoxide (CO) were also compared with the observations from the National Real-Time
185	Urban Air Quality Release Platform of the China Environmental Monitoring Center
186	(http://106.37.208.233:20035/, last access on May 17, 2023) in Suzhou, Nanjing, Hangzhou, Hefei,
187	and Shanghai cities as shown in Fig. S2. The statistical metrics including NMB, NME, and r were
188	calculated for several air pollution species. The model performance benchmarks followed the
189	recommendations by Emery et al. (2017) and are listed in Table S4. The meteorological parameters
190	predicted by WRF have been examined to be robust during the same episode by Wang et al. (2021).

191 3 Results

# 192 **3.1 Model validation**

Fig. 1 and Fig. S4 show the comparison of observed and simulated hourly variations of Nap, MN, O<sub>3</sub>, organic carbon (OC), and PM<sub>2.5</sub> at the Taizhou site during the study period. As shown in Fig. 1, in the original settings, the concentrations of Nap were largely underestimated in emis-orig by 79% compared with the observations, with the value of NMB being -0.79. In contrast, emisadjust better represented the temporal variations of Nap (NMB=0.01, r=0.68) than emis-orig, with the averaged concentration increased by 375% and more comparable to the observations. The concentrations of MN simulated by emis-adjust (1.40E-2 ppb) were also comparable to the





200 observations (1.50E-2 ppb) and showed a good correlation with the observations (r=0.59). For 201 other species, the concentrations of OC and PM2.5 were also improved in emis-adjust compared to 202 that of emis-orig, although they were underestimated in both scenarios. The NMB and NME of 203  $PM_{2.5}$  satisfied the benchmark recommended by Emery et al. (2017), while the NMB of the 204 maximum daily 8-hour average (MDA8) O<sub>3</sub> exceeded the benchmark. Table S5 shows that the 205 concentrations of NO<sub>2</sub> and nitric oxide (NO) were underestimated at the Taizhou site suggested by 206 the negative NMB values. The simulated OH radicals compared well with the observation while 207 the concentrations of  $HO_2$  were underestimated at the Taizhou site (Fig. S5). The predicted 208 concentrations of MDA8 O<sub>3</sub>, PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO were examined as shown in Table S4. 209 Overall, the model agreed well with observations in most of the cities except for a significant 210 underestimation of MAD8 O<sub>3</sub> in Shanghai. We chose the results from case-1product and case-211 2products using emis-adjust as the emission data in the subsequent analysis.

# 212 **3.2 Influences of Nap and MN on SOC in Taizhou**

213 Figure 2 depicts the diurnal variations of emissions and concentrations of Nap, 1-MN, and 2-MN, as well as the corresponding SOC products SOC-Nap, SOC-1MN, and SOC-2MN at the 214 215 Taizhou site in both case-1product and case-2products. The emissions of Nap,1-MN, and 2-MN 216 exhibited a bimodal pattern. For Nap, the bimodal characteristics were the most pronounced, 217 accompanied by two peaks that occurred between 8:00~9:00 and 16:00~17:00, respectively. This 218 was likely attributed to the dominant source of Nap from transport as described in Sect. 2.2. Nap 219 and MN concentrations were relatively low during the daytime and peaked in the morning and at 220 night, which was caused by the fast photochemical removal and increased dilution during the 221 daytime, along with the facilitated accumulation due to low mixing heights at night (Cohan et al., 222 2013; Huang et al., 2019). The concentrations of SOC generated by Nap, 1-MN, and 2-MN were





223 high during the daytime, especially from 10:00 to 15:00. This was attributed to the removal of Nap 224 and MN by OH radicals to form SOC. The potential removal by nighttime nitrate radicals (NO<sub>3</sub>) 225 was negligible in this study, leading to a certain degree of declining trend for SOC formation at 226 night. Nap-derived SOC was the most abundant, followed by SOC from 2-MN (SOC-2MN) and 227 1-MN (SOC-1MN). This is attributed to the combined effects of the OH reactivity, SOA yields, 228 as well as abundances of the three compounds (Li et al., 2017; Yu et al., 2021). Apart from the 229 highest emissions of Nap, Nap is also more reactive with OH· and has the highest SOA yield in 230 case-2products compared to the other two species. In case-1product, although the SOA yields of 231 MN are the highest, the OH reaction rate with Nap is faster than MN. The SOC generated by MN 232 in case-2products was lower than that in case-1product due to the lower SOA yield of MN applied 233 in case-2products as shown in Table S1.

234 Figure 3 shows the contributions of major aromatic species, i.e., Nap, 1-MN, 2-MN, 1,2,4-235 trimethyl benzene (B124), xylene (MPO), benzene (BENZ), toluene (TOLU), aromatics with k<sub>OH</sub> (reaction rate constant with OH·) < 2×10<sup>4</sup> ppm<sup>-1</sup> min<sup>-1</sup> (ARO1) and ARO2MN' (ARO2 excluding 236 237 Nap and MN) to the total emissions of aromatics and the aromatic-derived SOC in both case-238 1product and case-2products at the Taizhou site. Among all the species, ARO2MN', MPO, and 239 B124 showed the largest fraction of emissions, accounting for 58.6%, followed by ARO1 and 240 TOLU (31.8%), and BENZ (6.3%). Nap and MN contributed the least to the total aromatic 241 emissions, with Nap to be the most abundant species. The daily average SOC produced from all 242 the aromatics was quite similar in case-1 product and case-2 products, which were 102.0 and 100.7 ng m<sup>-3</sup>, respectively (Fig. S6). The contribution of ARO2MN', MPO, and B124 to the total 243 244 aromatic-derived SOC was the most significant, which was 45.2-45.8%. Nap showed a remarkable 245 contribution to SOC, accounting for 8.7-8.8%, although it only made up 2.6% of the total emitted





246 aromatics. 2-MN was also an important SOC precursor, contributing to 1.3–2.2% of the aromatic-247 derived SOC. 1-MN was the least emitted aromatic compound, accounting for 0.2% of the total 248 aromatic emissions and less than 1.0% of the aromatic-derived SOC. All of Nap, 1-MN, and 2-249 MN had the same trait of contributing much more to SOC than to SOC precursor emissions, 250 especially for Nap. The total contributions of MN and Nap to SOC were higher than that of BENZ, 251 even though their emissions were significantly lower than BENZ. Similar results were also found 252 in field campaigns conducted in Guangzhou (Fang et al., 2021) and Beijing (Huang et al., 2019) 253 where Nap and MN showed higher contributions. Compared to BENZ and other single-ring 254 aromatics, Nap and MN belong to IVOCs with lower saturation vapor pressure, which is more 255 likely to generate SOA through coagulation and absorption (Gao et al., 2021; Zhao et al., 2014). 256 Thus, their considerably higher SOA yields and reactivity with OH. lead to an important 257 contribution to SOA formation. In general, we found that 3.3% of aromatic emissions from Nap 258 and derivatives could contribute up to 11.7% SOC generated from aromatics at the Taizhou site.

## 259 **3.3 Regional distributions of Nap and MN and the influences on secondary pollutants**

260 In the YRD, the average contribution of Nap to aromatic emissions was 4.1% (Fig. S7), while 261 the Nap-derived SOC accounted for 9.0% and 9.1% of the total SOC generated by aromatics in 262 case-1product and case-2products, respectively. We found extremely high contributions of Nap-263 derived SOC in areas with high Nap emissions (Fig. S8), reaching up to 13.7% in case-2products. 264 2-MN constituted 0.6% of the total aromatic emissions and contributed up to 3.8% of the aromatic-265 derived SOC in case-1product. Among the three PAHs, 1-MN showed the lowest emissions (about 0.4% of the aromatic emissions) and the smallest regional average contribution to SOC (0.6–0.9%). 266 267 The SOC derived from MN in case-2products was approximately 38% lower than that in case-268 lproduct across the entire YRD region (Fig. S8), while  $O_3$  and the total SOC showed minor





differences in the two cases with different SOA parameterization of MN (Fig. S9). In general, the concentrations of SOC produced by the three PAHs in case-1product were higher than those in case-2products, which may minimize the discrepancy between the simulated and observed OC given the existing underestimation of OC at least in Taizhou, as shown in Fig. 1 and Fig. S6. Therefore, we opted for the results from case-1product in the subsequent analysis.

274 The accurate reproduction and quantitative constraints of Nap and MN are crucial for 275 understanding the atmospheric oxidation capacity in model simulations. The relative differences 276 between base1 and case-1product were calculated to evaluate the effects of Nap, 1-MN, and 2-MN 277 on O<sub>3</sub>, SOC, and radical concentrations. As shown in Fig. 4a, the SOC concentrations over the 278 YRD region increased by approximately 1.0% on average, with the most significant increase 279 observed in areas with high emissions of Nap and MN, such as Shanghai and southern Jiangsu 280 Province, reaching up to 1.7%. The impact on O<sub>3</sub> was relatively limited, with a maximum increase 281 of 0.3%. Similar to SOC, the spatial distribution of  $O_3$  variations was consistent with that of Nap 282 and MN emissions. When Nap and MN oxidation was considered in the model, HO<sub>2</sub> · concentration 283 was enhanced across the domain by up to 1.6% (in Shanghai), due to the production of HO<sub>2</sub>. 284 through the reaction of Nap and MN with OH. However, the variations in OH concentration 285 exhibited regional heterogeneity, with a maximum increase of 0.8% (in Shanghai) and a maximum 286 decrease of 0.3% (in Wenzhou). The areas with elevated OH· coincided with the locations 287 experiencing notable increases in  $O_3$ . As an OH source in the troposphere, the photolysis of  $O_3$ 288 produces electronically excited  $O(^{1}D)$  atoms that react with water molecules to form fresh OH. 289 (Tan et al., 2019; Qin et al., 2022). Moreover, the areas with elevated OH· also exhibited a 290 significant increase in HO<sub>2</sub> $\cdot$ . HO<sub>2</sub> $\cdot$  can react with O<sub>3</sub> to produce OH $\cdot$ , thereby offsetting the OH $\cdot$ 291 consumption by Nap and MN oxidations (Zhu et al., 2020). In the areas with decreased OH, the





292 increase of O<sub>3</sub> and HO<sub>2</sub>· was not significant, resulting in fewer newly generated OH· to compensate

293 for the OH· consumption by Nap and MN.

294 To minimize the potential obfuscation of the true magnitude by the episode-average variation, 295 the hourly relative differences of SOC, O<sub>3</sub>, and radicals at the Shanghai and Suzhou sites, which 296 exhibit significant variations, are depicted in Fig. 4b and Fig. 4c, respectively. Overall, the 297 influences of Nap and MN varied daily. At the Shanghai site, the most pronounced effects of OH-298 and HO<sub>2</sub> were observed, with increases of up to 1.7% and 3.7%, respectively. At the Suzhou site, 299 the maximum daily variations of OH· and HO<sub>2</sub>· (1.5% and 2.9%) were marginally lower than those 300 in Shanghai; whereas, the maximum daily variations of SOC and O<sub>3</sub> were elevated by 3.0% and 301 1.1% at the Suzhou site, respectively. Consequently, the influences of Nap and MN on SOC,  $O_3$ , 302 and the atmospheric oxidation capacity were substantial at the daily scale in those regions.

## 303 4 Discussion

304 Our results revealed that the contributions of Nap and MN to the total aromatic emissions 305 were minimal, which were 5.1% in the YRD and 3.3% at the Taizhou site. However, the SOC 306 produced by Nap and MN constituted 12.1% of the total aromatic-derived SOC in this region and 307 11.7% at the Taizhou site. Given the overestimation of other aromatic species in the current model 308 (Table S5), the contributions of Nap and MN to aromatic SOC might be underestimated. Yu et al. 309 (2021) demonstrated an augmented fraction of SOC derived from a yield method to that using the 310 EC tracer method after the inclusion of Nap and MN oxidation (from 25.3% to 39.5%) during the 311 same episode at the Taizhou site. That is to say, Nap and MN contributed 35.9% of the total SOC 312 estimated by using the SOA yield multiplied by the consumption of VOCs, which was higher than 313 the value (11.7%) in this study. Other field studies also found significant SOA formation from Nap 314 and MN among aromatics in the Pearl River Delta region (12.4%) (Fang et al., 2021) and in Beijing





315 during haze days  $(10.2\pm1.3\%)$  (Huang et al., 2019), with relatively smaller contributions to 316 emissions by 2% and 7%, respectively. This study highlights the crucial roles of Nap and MN, 317 which exhibit high SOA production potentials with trace amounts emitted into the atmosphere. In 318 addition, the average concentrations of Nap and MN in this study were 27 and 9 ppt during summer 319 over the YRD region (Fig. S8), respectively. Previous studies have confirmed that the 320 concentrations of Nap and MN exhibited a seasonal variation, with maxima in winter and minima 321 in summer, attributed to the increased heating and cooking activities in households during the cold 322 season (Tang et al., 2020; Huang et al., 2019; Fang et al., 2021). Consequently, the ambient 323 concentration of Nap and MN, along with the potential SOA production may be more severe in 324 winter. Cleaner fuel types and household cleaning products are recommended for vehicular and 325 domestic usage.

326 The urgent demand for enhancing the simulation and assessment of Nap and MN chemistry 327 is necessitated. Firstly, the characterization of Nap and MN from local sources and additional field 328 observations are indispensable to reduce the disparities between the modeled and observed Nap 329 and MN concentrations. Secondly, the SOA parameterizations of Nap and MN, including the 330 enthalpy of vaporization and SOA yields, are derived from limited chamber experiments and 331 require further validation. Previous studies have reported that the SOA yields obtained from 332 chamber studies were contingent on OH· exposure, NOx levels, relative humidity, and seed 333 particles, which may not represent the actual atmospheric conditions (Yu et al., 2021; Ling et al., 334 2022). Thirdly, chlorine radicals (Cl), NO<sub>3</sub> radicals, and  $O_3$  also play an important role in the 335 atmospheric reactions of Nap and MN (Cohan et al., 2013; Matthieu et al., 2014; Riva et al., 2015; 336 Wang et al., 2005; Aleman, 2006), which were missing in the current study due to the lack of 337 parameterization. The formation of gas- and particle-phase products through reactions between





338 Cl atoms and Nap has been confirmed. For instance, chloronaphthalene and chloroacenaphthenone 339 have been identified as potential SOA markers for the Cl-initiated oxidation of Nap in the ambient 340 atmosphere (Riva et al., 2015). As important sources of Cl atoms, abundant nitryl chloride (ClNO<sub>2</sub>) 341 and molecular chlorine (Cl<sub>2</sub>) were attributed to sea salt, coal combustion, biomass burning (Le 342 Breton et al., 2018), and urban-originated transports (Li et al., 2021; Tham et al., 2013). 343 Consequently, the Cl-initiated SOA formation process may be pronounced in specific regions, 344 such as the marine boundary layer and industrial areas. Using the rate constant of Cl with Nap  $((4.22\pm0.46)\times10^{-12})$  (Matthieu et al., 2014) and corresponding SOA yields (0.91\pm0.05) (Riva et 345 al., 2015), which is approximately three times higher than those determined from OH-initiated 346 347 oxidation (Chan et al., 2009; Shakya and Griffin, 2010), we estimated the potential SOA formation 348 from the reaction of Nap and Cl atoms via a yield method (Huang et al., 2019; Yu et al., 2021). Assuming a global average Cl concentration of  $1 \times 10^4$  molecules cm<sup>-3</sup> and a tropospheric lifetime 349 350 of 275 days as determined by Matthieu et al. (2014), SOA generated from Nap initiated by Cl 351 atoms is three times higher than that from the oxidation by OH· with a 12-h average daytime concentration of  $2 \times 10^6$  molecules cm<sup>-3</sup> and a tropospheric lifetime of 6 hours. This suggests that 352 the omission of Cl-initiated chemistry in this study might lead to an underestimation of Nap-353 354 derived SOA by approximately 75%. Given the underestimation of anthropogenic chlorine 355 emissions in China (Li et al., 2021; Choi et al., 2020), further studies are recommended to estimate 356 chlorine emissions with finer spatial resolution and the impacts on Nap SOA under 357 atmospherically realistic conditions. Lastly, a precise depiction of Nap and MN chemistry is 358 crucial for gaining a deeper understanding of the health implications of these noxious compounds. 359 The health risks associated with inhalation exposure to outdoor Nap and other PAHs have been 360 assessed by calculating the incremental lifetime cancer risk (ILCR) values in China and the United





361 States (Han et al., 2020; Zhang et al., 2016). Nonetheless, there has been no systematic evaluation 362 of the health risks resulting from exposure to PAH-derived SOA and by-products, despite previous 363 studies verifying the toxicological impacts (e.g. oxidation potential, OP) of Nap-derived SOA 364 (Lima de Albuquerque et al., 2021; Wang et al., 2018; Tuet et al., 2017a; Tuet et al., 2017b). More 365 precise measurements of the OP of the different individual SOA are needed in order to evaluate 366 the overall oxidative potentials of ambient SOA using individual intrinsic OP of different types of 367 SOA in conjunction with SOA loadings in models. Future studies are needed to develop rational 368 parameterization schemes for assessing the health risks associated with Nap- and MN-derived 369 SOA.

### 370 5 Conclusions

371 In this study, we investigated the impacts of Nap, 1-MN, and 2-MN oxidation on the 372 formation of SOC, O<sub>3</sub>, and radicals from May 20 to June 18, 2018, in the YRD using a revised 373 CMAO model and explicit emission inventories. The simulating results of case-1 product using the 374 adjusted emissions (emis-adjust) and a one-product method to fit MN yields best reproduced the evolution of Nap (NMB=0.01) and MN (NMB=-0.07) when compared with the default case 375 376 (NMB=-0.79 for Nap, NMB=-0.85 for MN). The primary sources of Nap and MN were 377 transportation and residential-related and thus led to a bimodal pattern for their emissions. Whereas 378 the Nap and MN concentrations were relatively low during the daytime and peaked in the morning, 379 the generated SOC peaked in the daytime affected by the photochemistry and the evolution of the 380 boundary layer. All of Nap, 1-MN, and 2-MN had the same trait of contributing much more to 381 SOC than to SOC precursor emissions, especially for Nap. In general, we found that 3.3% of 382 aromatic emissions from Nap and derivatives could contribute up to 11.7% SOC generated from 383 aromatics at the Taizhou site. Nap concentrations reached 27 ppt in the YRD, accounting for 4.1%





384 and 9.1% (up to 13.7%) of total aromatics emissions and aromatic-derived SOC, respectively. 1-385 MN and 2-MN were relatively low, with an average of 3 and 6 ppt in the YRD, and contributed 386 3.1% of aromatic-derived SOC. At the regional scale, the impacts of Nap and MN oxidation on  $O_3$ 387 and radical concentrations were limited. However, substantial increases still occurred in areas with 388 high Nap and MN emissions and cannot be disregarded. The high SOA formation potential of Nap 389 and MN and its impact on secondary pollutants proved in this study implied the significance of 390 such IVOCs except for traditional VOCs when implementing air pollution control policies, energy 391 use strategies, and health risks evaluation. 392

# 393 Code and data availability

- 394 The codes used for all the analyses are available on reasonable request to the corresponding author.
- 395 All data used in this research are freely available and may be downloaded from the links and cited
- 396 references given in the methods section.

### **397** Author contributions

- 398 F.Y., J.L., and J.H. designed the research and conducted the simulations, Y.G., H.W., S.G., and
- 399 K.L. collected the observed data. J.A. and C.H. provided emission data. F.Y., J.L., J.H., and M.Q.
- 400 analyzed the data, all authors discussed the results. F.Y. prepared the manuscript and all authors
- 401 helped improve the manuscript.

# 402 **Competing interests**

403 The authors declare no competing interests.

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Figure 1. Observed and simulated hourly concentrations of MN, Nap, OC, PM<sub>2.5</sub>, and O<sub>3</sub> based on emis-adjust (red) and emis-orig (blue) at the Taizhou site. Model performances of daily MN, Nap, OC, PM<sub>2.5</sub>, and MDA8 O<sub>3</sub> are shown in blue for case-1product-orig and red for case-1product. OBS and PRE represent averaged concentrations of observations and predictions, respectively.







Figure 2. Diurnal variations of emissions (yellow line) and predicted concentrations (black line)
for 2-MN (a), 1-MN (c), and Nap (e), as well as the corresponding SOC concentrations (b, d, f) at
the Taizhou site.





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**Figure 3.** Contributions of the major aromatic species to (a) the total emissions of aromatics and the aromatic-derived SOC in (b) case-1product and (c) case-2products at the Taizhou site. These aromatic species are Nap, 1-MN, 2-MN, BENZ, the sum of toluene and aromatics with  $k_{OH} < 2x10^4$  ppm<sup>-1</sup> min<sup>-1</sup> (ARO1+TOLU), and the sum of xylenes, 1,2,4-trimethyl benzene and aromatics with  $k_{OH} > 2x10^4$  ppm<sup>-1</sup> min<sup>-1</sup> excluding Nap and MN (ARO2MN'+MPO+B124).





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Figure 4. (a) Absolute concentrations of SOC,  $O_3$ , OH, and  $HO_2$  in base1, and changes in case-1product relative to base1, respectively. Daily relative changes in case-1product compared to

