

# An ensemble estimate of Australian soil organic carbon using machine learning and process-based modelling

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## Abstract

Spatially explicit prediction of soil organic carbon (SOC) serves as a crucial foundation for effective land management strategies aimed at mitigating soil degradation and assessing carbon sequestration potential. Here, using more than 1000 in-situ observations, we trained two machine learning models (random forest, and K-means coupled with multiple linear regression), and one process-based model (the vertically resolved Microbial-Mineral Carbon Stabilization (MIMICS)) to predict SOC stocks of the top 30 cm of soil in Australia. Parameters of MIMICS were optimized for different site groupings, using two distinct approaches, plant functional types (MIMICS-PFT), and the most influential environmental factors (MIMICS-ENV). All models showed good performance in SOC predictions with  $R^2$  greater than 0.8 during out-of-sample validation with random forest being the most accurate, and SOC in forests is more predictable than that in non-forest soils excluding croplands. The performance of continental-scale SOC predictions by MIMICS-ENV is better than that by MIMICS-PFT especially in non-forest soils. Digital maps of terrestrial SOC stocks generated using all the models showed similar spatial distribution with higher values in southeast and southwest Australia, but the magnitude of estimated SOC stocks varied. The mean ensemble estimate of SOC stocks was  $30.3 \text{ t ha}^{-1}$  with K-means coupled with multiple linear regression generating the highest estimate (mean SOC stocks at  $38.15 \text{ t ha}^{-1}$ ) and MIMICS-PFT generating the lowest estimate (mean SOC stocks at  $24.29 \text{ t ha}^{-1}$ ). We suggest that enhancing process-based models to incorporate newly identified drivers that significantly influence SOC variations in different environments could be key to reducing the discrepancies in these estimates. Our findings underscore the considerable uncertainty in SOC estimates derived from different modelling approaches and emphasize the importance of rigorous out-of-sample validation before applying any one approach in Australia.

## 41 1. Introduction

42  
43 Globally, the soil is the largest biogeochemically active terrestrial carbon pool, storing more  
44 organic carbon than plants and the atmosphere combined (Jackson et al., 2017). The turnover  
45 of soil organic carbon (SOC) is a key function in plant growth, maintenance of soil water and  
46 nutrients, soil structure stabilization and other biogeochemical processes (Lefèvre et al., 2017).  
47 Soil can act as either a carbon sink or carbon source depending on the balance of carbon input  
48 through plant litter and root exudates and output through respiration and leaching (Terrer et al.,  
49 2021; Panchal et al., 2022). Even a small change in SOC stocks, in any direction, could  
50 significantly affect the atmospheric concentration of CO<sub>2</sub> and thereby climate change  
51 (Stockmann et al., 2013).

52  
53 Given the importance of SOC, there is now a large and growing interest in estimating spatially  
54 explicit SOC content and stocks. SOC supports critically important soil-derived ecosystem  
55 services, and the amount of SOC indicates the degree of land and soil degradation (Lorenz et  
56 al., 2019). SOC content below a certain limit will lead to the decline of microbial diversity,  
57 water holding capacity and soil productivity (Stockmann et al., 2015). Additionally, with  
58 growing concerns about increasing anthropogenic CO<sub>2</sub> emissions, soil carbon sequestration has  
59 emerged as a potential strategy for climate change mitigation (Smith, 2016; Rumpel et al.,  
60 2018). Protection of existing SOC and rebuilding depleted stocks through land management are  
61 potential strategies in mitigating climate change (Bossio et al., 2020). However, effective SOC  
62 management requires accurate knowledge of its existing distribution. Reliable estimates of SOC  
63 stocks and their spatial variation serve as a reference point for assessing how close soil is to its  
64 maximum SOC storage capacity and its potential to sequester additional carbon (Six et al.,  
65 2002; Georgiou et al., 2022). Precise estimation of contemporary SOC stocks also provides a  
66 baseline map that can be used to calibrate and initialize dynamic-mechanistic models, enabling  
67 the study of how SOC will respond to climate and land-use change (Minasny et al., 2013;  
68 Viscarra Rossel et al., 2014). It is, for example, a prerequisite for accurately predicting future  
69 carbon–climate feedback in Earth system models (ESMs) (Todd-Brown et al., 2013).

70  
71 Accurately assessing SOC storage is challenging due to the complexity of carbon formation  
72 and degradation processes in space and time (Keskin et al., 2019). Soil exists as a continuum  
73 containing organic compounds at different stages of decomposition (Lehmann and Kleber,  
74 2015). Soil formation can be described by a function of climate, organisms, relief, parent  
75 material and time (Jenny, 1941). These factors are widely used in SOC studies for digital soil  
76 mapping (McBratney et al., 2003; Viscarra Rossel et al., 2015; Liang et al., 2019). However,  
77 the relationship between SOC storage and these driving variables is complex and spatially  
78 variable (Mishra and Riley, 2015; Viscarra Rossel et al., 2019; Adhikari et al., 2020) leading to  
79 substantial challenges and inherent uncertainties in SOC predictions.

80  
81 Mechanistic process-based models and empirical models (including machine learning models)  
82 are two widely employed approaches used to predict SOC stocks and their spatial distribution.

83 Conventional process-based models assume first-order kinetics for SOC decomposition,  
84 wherein the rate of C decomposition is dependent on temperature and moisture but independent  
85 of microbial biomass, and equilibrium SOC stock is proportional to carbon input and mean  
86 residence time (Abs and Ferrière, 2020; Wang et al., 2021). ESMs coupled with conventional  
87 SOC models cannot accurately simulate spatial pattern of contemporary soil carbon and show  
88 large divergence in projected SOC dynamics under future climate change (Todd-Brown et al.,  
89 2013; Todd-Brown et al., 2014). In addition to the biases introduced by errors in model  
90 parameters and the lack of independent model validation based on observed time series data,  
91 the uncertainties in predicted SOC by ESMs can also result from the lack of explicit  
92 representation of soil microbial activities and metabolic traits (Wieder et al., 2015; Le Neo et  
93 al., 2023). Numerous microbial models have been developed in the past few decades to improve  
94 model performance of SOC predictions (Chandel et al., 2023), but these models have rarely  
95 been incorporated into large-scale modelling frameworks due to the difficulty of constraining  
96 parameters relating to microbial activities and the lack of rigorous validation (Todd-Brown et  
97 al., 2013; Luo et al., 2016). Process-based SOC models are constructed based on our  
98 understanding of the major processes governing SOC dynamics (e.g., carbon input,  
99 decomposition, and loss). However, the disagreement in projections of carbon dynamics by  
100 different models highlights the need to improve our knowledge of SOC cycling (Luo et al.,  
101 2016). Machine learning models without any process-level assumptions provide a tool to  
102 identify the most influential controls on SOC variations. Machine learning models can represent  
103 non-linear and non-smooth relationships between predictor and response variables as well as  
104 interactions between different predictors (Heung et al., 2016). Various machine learning  
105 algorithms have been successfully used in digital soil mapping to predict high-resolution  
106 spatially explicit SOC concentration/stocks (Lamichhane et al., 2019).

107  
108 Several modelling studies of soil carbon stocks have been conducted in Australia. Wang et al.  
109 (2018a) trained boosted regression trees and random forest models using field observations and  
110 applied the trained random forest model to map the spatial distribution of SOC at two soil depths  
111 (0-5 cm and 0-30 cm) for the semi-arid rangelands of eastern Australia. Continentally, Viscarra  
112 Rossel et al. (2014) trained the CUBIST model, a form of piecewise linear decision tree, using  
113 more than five thousand observations to produce a high resolution (90 m × 90 m) baseline map  
114 of SOC stocks of Australian terrestrial systems and its uncertainty of the top 30 cm soils. Based  
115 on the baseline map, Walden et al. (2023) derived spatially explicit estimates of Australian SOC  
116 stocks and uncertainty including additional data from forests from southeastern Australia and  
117 coastal marine (or blue carbon) ecosystems. SOC content at multiple soil depths along with  
118 associated uncertainties were also estimated using different machine learning algorithms  
119 (Viscarra Rossel et al., 2015; Wadoux et al., 2023). Moreover, the distribution of different soil  
120 carbon compositions (i.e., the particulate, mineral-associated and pyrogenic organic carbon  
121 fractions) and the importance of environmental factors on their variations were also studied  
122 using machine learning (Viscarra Rossel et al., 2019). However, despite the progress made in  
123 SOC modelling, significant uncertainties persist in SOC estimates due to the inherent  
124 complexities of SOC variations and the lack of appropriately sampled SOC observations. All

125 these continental estimates were generated using empirical modelling approaches or first-order  
126 biogeochemical models without explicitly representing the important role of soil microbes in  
127 SOC stabilization (Grace et al., 2006; Lee et al., 2021). Estimates from mechanistic SOC  
128 models with explicit representation of microbial metabolism are missing despite offering the  
129 potential to better constrain SOC dynamics under future climate change scenarios in a way that  
130 empirical approaches cannot.

131  
132 Our primary objective in this paper is to assess the predictability of SOC concentration  
133 (excluding cropland soils) in Australia and generate a range of estimates of terrestrial SOC  
134 stocks, employing both process-based and empirical modelling, and examine why these  
135 estimates might differ. First, we discern the significance of environmental predictors, both at  
136 continental and biome scales. We then evaluate the performance of random forests, K-means  
137 with multiple linear regression and the vertically resolved MIMICS model with different  
138 parametrization approaches. Finally, we compare the spatial estimates of SOC stocks using  
139 these different approaches across Australia, and discuss their differences and potential  
140 application to future SOC projection.

141

## 142 2. Materials and Methods

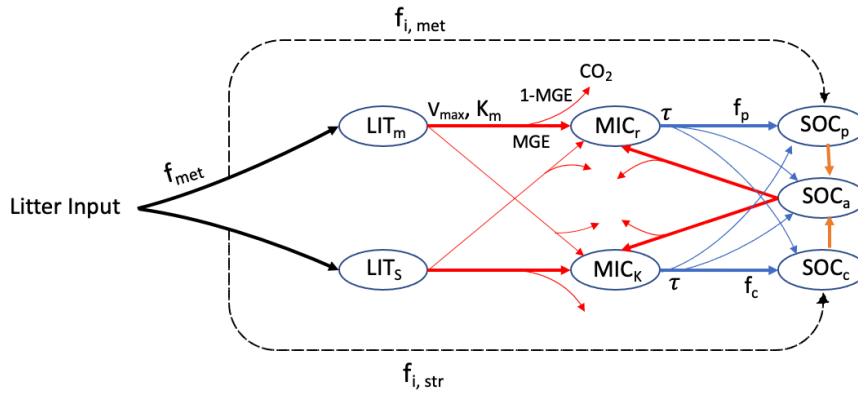
### 143 2.1. Model descriptions

#### 144 2.1.1. Vertically resolved MIMICS

145

146 The MIMICS model (Wieder et al., 2015; Zhang et al., 2020) explicitly considers relationships  
147 between litter quality, functional trade-offs in microbial physiology, and the physical protection  
148 of microbial by-products in forming stable soil organic matter. There are two litter pools:  
149 metabolic ( $LIT_m$ ) and structural ( $LIT_s$ ) litter (Figure 1), and the partitioning of litter input into  
150 metabolic and structural pools is determined by the chemical properties of the litter. Litter and  
151 SOC turnover are governed by two microbial functional types that exhibit copiotrophic (i.e., r-  
152 selected,  $MIC_r$ ) and oligotrophic (i.e., K-selected,  $MIC_k$ ) growth strategies. The  $MIC_r$  is  
153 assumed to have higher growth and turnover rates, and a preference for consuming labile litter  
154 ( $LIT_m$ ), while  $MIC_k$  is characterized by lower growth and turnover rates, and a greater  
155 competitive advantage when consuming low-quality litter ( $LIT_s$ ) and chemically recalcitrant  
156 SOC. SOC in MIMICS is divided into three pools: physically protected ( $SOC_p$ ),  
157 (bio)chemically recalcitrant ( $SOC_c$ ) and available ( $SOC_a$ ) carbon (Figure 1).

158



159  
 160 **Figure 1.** SOC pools and fluxes represented in MIMICS (adapted from Wieder et al., (2015)). Litter inputs  
 161 are partitioned into metabolic and structural litter pools ( $LIT_m$  and  $LIT_s$ ) based on litter quality ( $f_{met}$ ).  
 162 Decomposition of litter and available SOC pool ( $SOC_a$ ) are governed by temperature sensitive Michaelis-  
 163 Menten kinetics ( $V_{max}$  (maximum reaction velocity) and  $K_m$  (half saturation constant)), shown by red lines.  
 164 Microbial growth efficiency (MGE) determines the partitioning of C fluxes entering microbial biomass pools  
 165 vs. heterotrophic respiration. Turnover of microbial biomass ( $\tau$ , blue) depends on microbial functional types  
 166 ( $MIC_r$  and  $MIC_k$ ), and is partitioned into available, physically protected and chemically recalcitrant SOC  
 167 pools ( $SOC_a$ ,  $SOC_p$  and  $SOC_c$ , respectively).

168  
 169 The decomposition of litter pools and SOC pools follows temperature-sensitive Michaelis-  
 170 Menten kinetics. Microbial growth efficiency (MGE) determines the partitioning of carbon  
 171 fluxes entering microbial biomass pools ( $MIC_r$  and  $MIC_k$ ) versus heterotrophic respiration.  
 172 Access of microbial enzymes to available substrates depends on soil texture. The equations of  
 173 MIMICS are from Wieder et al. (2015), except that the density-dependent microbial turnover  
 174 was introduced to MIMICS to minimize an unrealistic oscillation (Zhang et al., 2020). To better  
 175 simulate carbon turnover at different soil depths, vertical transport of soil carbon was introduced  
 176 into MIMICS considering carbon transported through bioturbation and diffusion among  
 177 adjacent soil layers (Wang et al., 2021).

178  
 179 Vertically resolved MIMICS is run using a daily time step. The soil was divided into 15 layers,  
 180 each of 10 cm thickness. All the sites in this study are assumed to be at steady state (i.e., no  
 181 interannual variation of SOC). Historical climate, litterfall input and soil properties were all  
 182 assumed to be similar to the average conditions. At each site, the initial pool fractions were  
 183 0.03, 0.03, 0.14, 0.47 and 0.33 for  $MIC_r$ ,  $MIC_k$ ,  $SOC_p$ ,  $SOC_c$  and  $SOC_a$ , respectively. All pools  
 184 were then spun up to finally achieve steady state with the maximal difference in any pool size  
 185 between two successive spins being less than 0.05%.

186  
 187 2.1.2. Machine learning  
 188

189 Two machine learning algorithms were applied in this study to predict SOC. First, random forest  
 190 (RF) is a tree-based ensemble learning method that works by building a set of regression trees  
 191 and averaging results (Breiman, 2001). Within the training procedure, the RF algorithm  
 192 produces multiple trees. Each regression tree in the forest is independently constructed based  
 193 on a unique bootstrap sample (with replacement) from the original training data set. The

194 response, as well as the predictor variables are either categorical (classification trees) or  
195 numeric (regression trees). Bootstrap sampling makes RF less sensitive to overfitting and  
196 allows for robust error estimation based on the remaining test set, the so-called Out-Of-Bag  
197 (OOB) sample (Wiesmeier et al., 2014). We used the “ranger” package R (version 4.2.0) for RF  
198 computation. We trained the RF model with different numbers (100, 200, 300, 400 and 500) of  
199 trees and observed that the model's performance remained similar regardless of the number of  
200 trees used. The number of regression trees generated in the forest (num.trees) was finally set as  
201 200, and the number of predictors randomly selected at each node (mtry) was set as default,  
202 which was 2.

203

204 Multiple linear regression (MLR) is widely used in SOC studies but found to be less effective  
205 than machine learning algorithms (Lamichhane et al., 2019). Here, instead of applying MLR  
206 directly with all environmental factors as predictors, our approach involved a preliminary step  
207 where we partitioned all observations into distinct clusters using K-means, an unsupervised  
208 machine learning algorithm. K-means aims to divide the data into a predefined number of  
209 clusters (k), with the objective of maximizing the similarity among data within each cluster.  
210 The underlying assumption here was that sites sharing similar environmental conditions would  
211 exhibit comparable SOC concentration. In cases where certain clusters had fewer observations  
212 than five times the number of predictors, we augmented these clusters by incorporating  
213 observations from other clusters. This augmentation process was guided by the Euclidean  
214 distance between the observation and the cluster centre, ensuring a more robust construction of  
215 the linear regression model. To determine the number of clusters, we applied the coupled K-  
216 means and MLR with varying number of clusters. The selection of the optimal number of  
217 clusters was based on the criterion of producing the smallest root mean square error during  
218 independent out-of-sample validation.

219

## 220 2.2. Relative importance of environmental variables for SOC prediction

221

222 RF-based measures of variable importance have gained widespread popularity as tools for  
223 evaluating the contributions made by predictor variables within a fitted random forest model  
224 (Debeer and Strobl, 2020). In the context of this study, we employed permutation variable  
225 importance (PVI) within the random forest framework to gauge the significance of predictors  
226 (see Section 2.4) in predicting SOC concentration.

227

228 The PVI entails measuring the reduction in a RF model's performance score upon random  
229 shuffling of a single variable values. By doing so, the inherent relationship between the variable  
230 and the SOC concentration is disrupted. Consequently, the disparity in prediction accuracy  
231 observed in a RF model before and after such shuffling serves as a quantitative representation  
232 of the significance of the particular predictor in predicting SOC concentration. The greater the  
233 importance of the predictor, the higher its corresponding PVI value becomes.

234

235

### 236 2.3. Parameter optimization

237

238 MIMICS parameters were derived from Zhang et al. (2020) and Wang et al. (2021), except that  
239 five parameters (Table 1) which directly control the organic carbon decomposition were  
240 optimized. An effective global optimization algorithm called the shuffled complex evolution  
241 (SCE-UA, version 2.2) method (Duan et al., 1993) was applied for parameter optimization by  
242 minimizing the sum of squared residuals between the observed and modelled values.

243

244 Vertically resolved MIMICS simulated SOC concentration for 15 soil layers with a uniform  
245 layer thickness of 10 cm. As observations only provide one measurement for the top 30 cm soil,  
246 we computed the average of the modelled values spanning the 0-10 cm, 10-20 cm, and 20-30  
247 cm soil layers. This average was then adopted as the modelled SOC concentration for top 30  
248 cm soil, serving as the basis for evaluating the difference between observations and simulations.

249

250 **Table 1.** The optimized model parameters (dimensionless) and their value range.

Parameter	Definition	Range
$a_v$	A scaling factor for $V_{\max}$	0-30
$a_k$	A scaling factor for $K_m$	0-20
$x_{\text{desorp}}$	A scaling factor for SOC desorption rate	0-3
$x_{\text{beta}}$	An exponent of the biomass density dependent mortality rate of microbes	1.05-2
$x_{\text{diffsoc}}$	A scaling factor for SOC diffusion coefficient in soil	0-30

251

252 Parameters in MIMICS were optimized for different groups divided based on two approaches.  
253 The first approach involved categorizing all observations into four groups based on plant  
254 functional type (PFT). The second approach used the most influential abiotic variables as  
255 predictors (as outlined in Section 2.2) and divided all observations into 6 clusters using the K-  
256 means algorithm. The determination of the optimal number of clusters was achieved through  
257 the minimization of the sum of the within-cluster-sum-of-squares-of-all-clusters (WCSSE), a  
258 process facilitated by the "ClusterR" package in R (version 4.2.0). This clustering aimed to  
259 ensure the highest possible similarity among the environmental factors within each cluster. It  
260 was anticipated that SOC ranges within each cluster would be narrow due to the high similarity  
261 of environmental predictors.

262

### 263 2.4. Data

#### 264 2.4.1. Predictors of spatial variations of observed SOC concentration

265

266 MIMICS requires gridded mean annual temperature (MAT), carbon input and clay content as  
267 driving variables for a spatial simulation. Gridded mean annual precipitation (MAP) and  
268 vegetation types were also used during calibration and when understanding the drivers and  
269 spatial variability of SOC. Details of gridded data can be found in Table 2.

270

271 Gridded daily maximum temperature, minimum temperature, and precipitation at  $0.05^\circ$   
272 resolution were obtained from the SILO database (Jeffrey et al., 2001) of Australian climate  
273 data. Mean daily temperature was approximated as the average of maximum and minimum

274 daily temperature. MAT was calculated from mean daily temperature from 1991 to 2020, and  
275 MAP was calculated from daily precipitation from 1991 to 2020.

276  
277 Carbon input was represented by NPP. Gridded mean annual NPP at 500 m was calculated  
278 based on annual NPP from 2001 to 2020 obtained from MODIS (MOD17A3HGF V6.1)  
279 (Running and Zhao, 2021). NPP was partitioned to above-/belowground part by multiplying by  
280 the root/shoot ratio for different vegetation types (Mokany et al., 2006). Here we did not account  
281 for the fraction of NPP that is appropriated by human activities.

282  
283 The distribution of vegetation types at 3'' resolution was obtained from National Vegetation  
284 Information System (NVIS, version 6.0, <https://www.dcceew.gov.au/environment/land/native-vegetation/national-vegetation-information-system>). Pixels of non-vegetated regions were  
285 removed and 28 types from NVIS were aggregated to just 4 PFT: forest, woodland, shrubland  
286 and grassland.

287  
288  
289 Soil bulk density and clay content were obtained from Soil and Landscape Grid National Soil  
290 Attributes Maps (SLGA – Release 2) (Grundy et al., 2015; Viscarra Rossel et al., 2015). Soil  
291 properties were predicted based on machine learning at depths 0-5 cm, 5-15 cm, 15-30 cm, 30-  
292 60 cm, 60-100 cm, and 100-200 cm in SLGA. Bulk density and clay content were estimated for  
293 top 30 cm soil as weighted average of first 3 layers in SLGA.

294  
295 The initial spatial resolution of the gridded data was maintained when extracting the required  
296 environmental factors for each SOC observation. All data were then resampled to 0.05°  
297 resolution using bilinear interpolation for estimation of terrestrial SOC stocks at continental  
298 scale.

299  
300 **Table 2.** Information of gridded data used in this study.

	Source	Spatial Scale	Temporal Scale	Unit	Time Period
Maximum Temperature	SILO	~5 km	daily	°C	1991-2020
Minimum Temperature	SILO	~5 km	daily	°C	1991-2020
Precipitation	SILO	~5 km	daily	mm	1991-2020
NPP	MODIS	500 m	annually	g C/m <sup>2</sup> /year	2001-2020
Vegetation Types	NVIS	100 m	/	/	/
Soil Bulk Density	SLGA	~90 m	/	kg/m <sup>3</sup>	/
Soil Clay Content	SLGA	~90 m	/	%	/

301  
302 2.4.2. Soil organic carbon observations  
303  
304 SOC observations for top 30 cm soil in Australia were collected from two datasets. The first  
305 dataset is described in Viscarra Rossel et al. (2014) and Viscarra Rossel et al. (2019). We  
306 removed the observations collected from croplands based on the land-use record in the dataset  
307 and removed those from unvegetated regions based on NVIS vegetation map (see above). A  
308 total of 1070 site observations with only 38 from forest soils were retained. SOC stocks were  
309 reported in t ha<sup>-1</sup>. To better represent SOC distribution in forest, we obtained additional forest  
310 SOC observations from a second dataset, the Biomes of Australian Soil Environments (BASE)

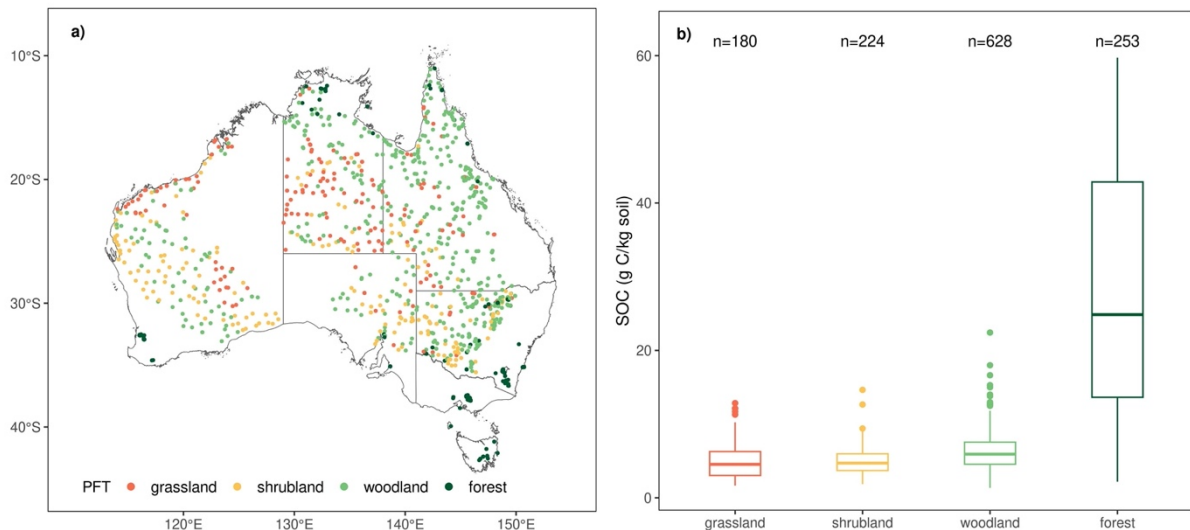


311 described in Bissett et al. (2016). Here, SOC (%) was reported for 0-10 and 20-30 cm. We  
312 estimated SOC for 0-30 cm soil following the method described in Viscarra Rossel et al. (2014).

313  
314 To compare the observations with MIMICS outputs, we then converted both simulated SOC  
315 ( $\text{mg}/\text{cm}^3$ ) and observed SOC ( $\text{t}/\text{ha}$ ) in the first dataset (Viscarra Rossel et al. 2014) to SOC  
316 concentration ( $\text{g C}/\text{kg soil}$ ) using spatially explicit soil bulk density (BD) from SLGA. The unit  
317 conversion will not affect the results of MIMICS. Soil clay content is extracted from SLGA.

318  
319 The spatial distribution of SOC observations from different PFT is shown in Figure 2a. SOC  
320 concentration in top 30 cm is positively skewed, ranging from 1.36 to 59.73  $\text{g C}/\text{kg soil}$  with  
321 mean value at 9.97  $\text{g C}/\text{kg soil}$  and median value at 6.11  $\text{g C}/\text{kg soil}$ . SOC concentration in  
322 grassland, shrubland and woodland show similar distribution patterns (Figure 2b), while SOC  
323 concentration in forest is more variable with a standard deviation at 15.92  $\text{g C}/\text{kg soil}$ .

324  
325



326  
327  
328 **Figure 2.** a) Spatial distribution of 1285 soil organic carbon observations used in this study and the plant  
329 functional types which they belong to; b) boxplots of SOC concentration distributions for each plant  
330 functional type. For boxplots, centre lines represent the median value, and upper and lower box boundaries  
331 represent third and first quartile. Whiskers extend to the smallest and largest values within 1.5 times the  
332 interquartile range.

333  
334  
335

## 336 2.5. Model evaluation

337  
338 For machine learning models, 70% of the observations were randomly selected as training data  
339 to train the models and the remaining 30% used as test data to validate the predictions of SOC  
340 concentration. For vertically resolved MIMICS, parameters were optimized for each PFT or  
341 environmental group (see Section 2.3 above), and we again randomly selected 70% of

342 observations in each group to train the model and used the remaining 30% for validation. To  
343 cross-validate, the procedure was repeated 10 times.

344

345 The performance of models was evaluated using four metrics. Mean Absolute Error (MAE)  
346 indicates how close the average predictions are to average observations. Root Mean Square  
347 Error (RMSE) measures the overall accuracy combining mean, standard deviation differences  
348 (across sites) and (spatial) correlation. Coefficient of determination ( $R^2$ ) measures the  
349 percentage of variation explained by the model. Lin's Concordance Correlation Coefficient  
350 (LCCC) (Lawrence and Lin, 1989) measures the level of agreement between predictions and  
351 observations following the 1:1 line. A good model will have MAE and RMSE close to 0 and  $R^2$   
352 and LCCC close to 1.

353

## 354 2.6. Estimation of terrestrial SOC stocks

355

356 SOC concentrations were used to train the models, and we then estimated terrestrial SOC stocks  
357 and their continental-scale spatial distribution in top 30 cm soil utilizing the four models  
358 validated within this study. SOC stock ( $t\ ha^{-1}$ ) is calculated using SOC concentration (g C/kg  
359 soil), bulk density (BD,  $kg/m^3$ ) and soil depth (m),

360

$$361 \quad SOC_{stock} = SOC_{concentration} \times BD \times depth/100 \quad (2)$$

362

363 In the cases of MIMICS-PFT and MIMICS-ENV, the initial step involved grouping all pixels  
364 into four distinct plant functional groups or six environmental clusters. Since cross-validation  
365 was performed, the machine learning and process-based models were evaluated using test data,  
366 and the models with the optimal performance were subsequently employed at each pixel to  
367 estimate terrestrial SOC stocks. The map of ensemble estimate of SOC stocks was produced as  
368 the average of four model estimates at each pixel.

## 369 3. Results

370

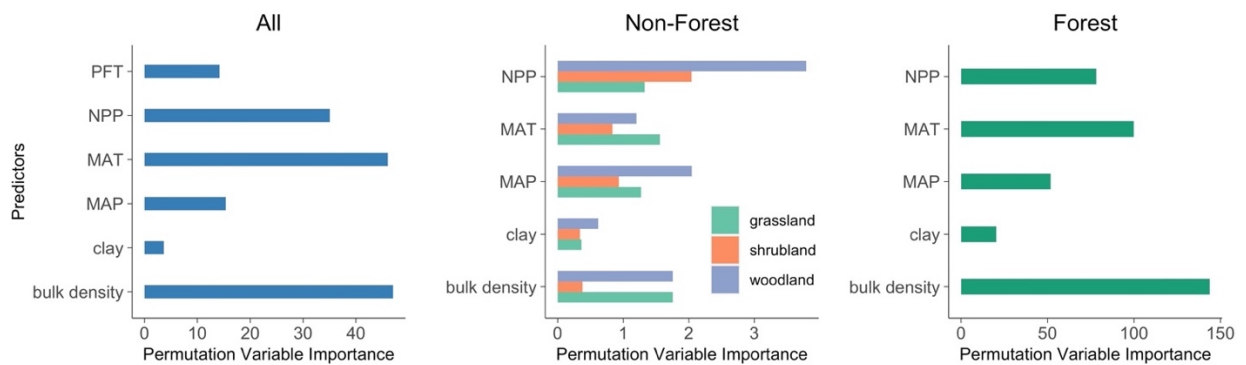
### 371 3.1. Relative importance of environmental predictors of SOC concentration

372

373 Using the PVI in random forest, we identified the significance of environmental factors in  
374 predicting SOC concentration. At the continental scale, soil bulk density contributes most to the  
375 prediction of SOC concentration, following by MAT, NPP and MAP (Figure 3). Soil clay  
376 content and plant functional type exhibit relatively lesser significance in this regard.

377

378 The relative predictor importance for forests and grasslands aligns with the importance at  
379 continental scale. In shrubland and woodland, NPP and MAP emerge as the pivotal factors.  
380 Collectively, across both continental and regional scales, soil bulk density, MAT, and MAP are  
381 the three most influential abiotic factors.



382  
383 **Figure 3.** Importance of predictors on SOC concentration for different plant functional types.

384 3.2. Data clustering based on environmental factors

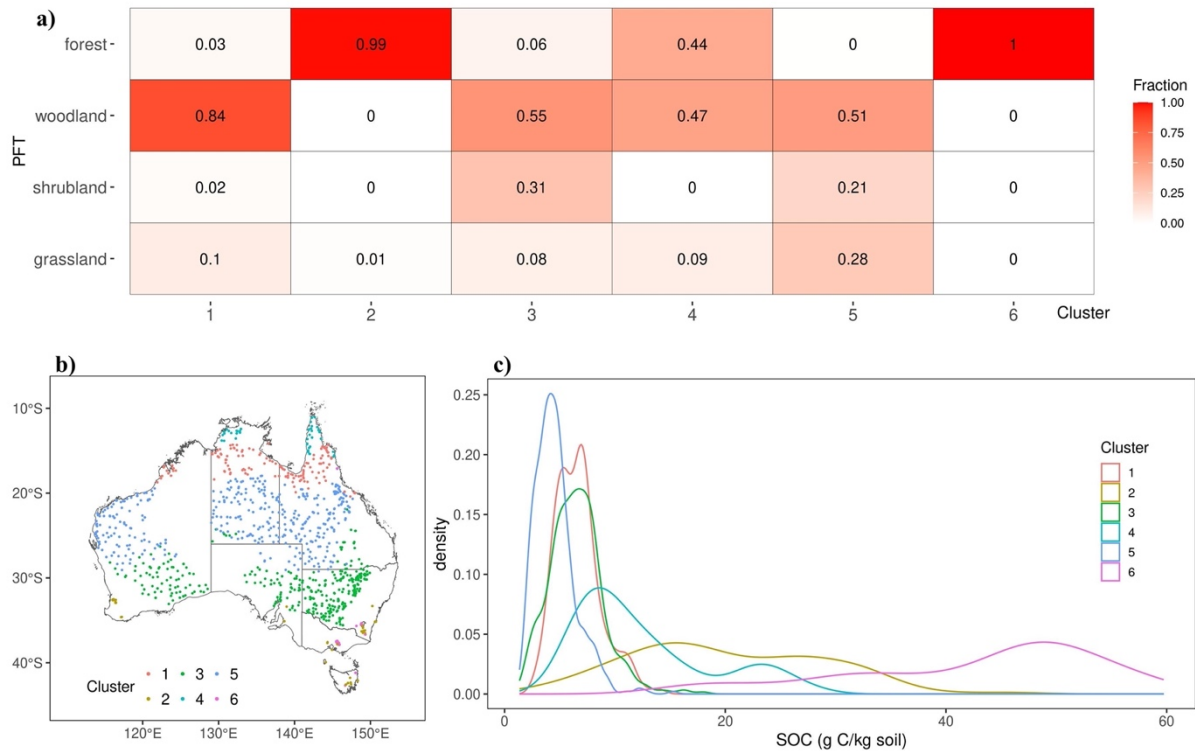
385

386 To develop the calibration groups for MIMICS-ENV, we partitioned the top three important  
387 abiotic factors, which are soil bulk density, MAT and MAP, into six distinct clusters using K-  
388 means (see Section 2.3). The resulting characteristics and spatial distribution of SOC belonging  
389 to these six clusters are illustrated in Figure 4.

390

391 Notably, a substantial majority of forests were assigned to clusters 2 and 6 (Figure 4a), while  
392 woodland, shrubland, and grassland observations were distributed across the remaining four  
393 clusters. Among these clusters, cluster 5 exhibits the lowest SOC concentration, while SOC of  
394 cluster 1 and 3 display a comparable pattern but spread across different biomes. Conversely,  
395 distribution of SOC concentration in clusters 2, 4, and 6 shows more pronounced variability  
396 (Figure 4c).

397



398  
 399 **Figure 4.** a) Fraction of different PFT in each cluster divided based on environmental factors; b) spatial  
 400 distribution of SOC observations from different environmental clusters and c) density plot of observed SOC  
 401 concentration for different clusters.

402

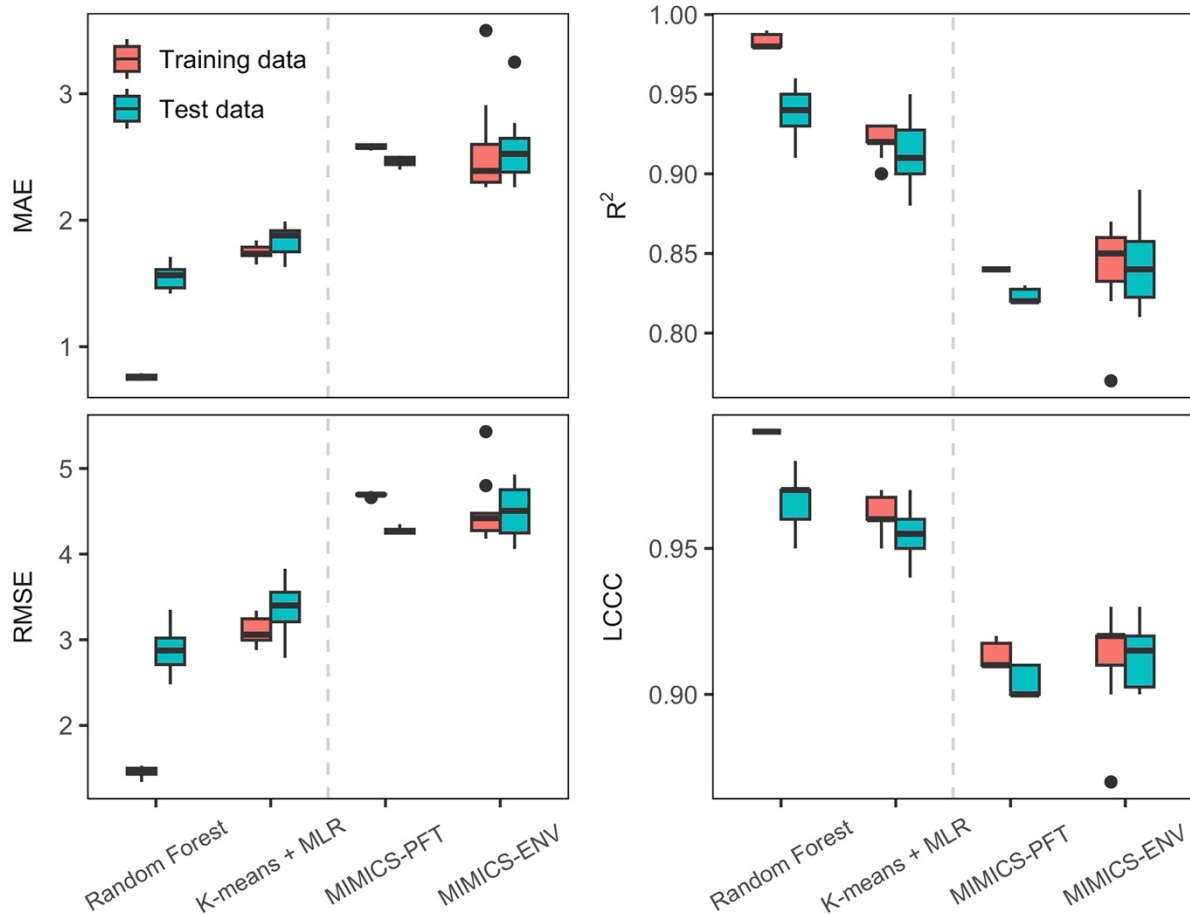
### 403 3.3. Evaluation of model performance

404

405 All models employed in this study (RF, K-means + MLR, MIMICS-PFT and MIMICS-ENV)  
 406 predicted SOC concentration well for both training data and test data (Figure 5). As anticipated,  
 407 sample data versus in-sample training or calibration data. When using test data, the mean value  
 408 of  $R^2$  for all models ranges from 0.82 to 0.94, mean LCCC ranges from 0.90 to 0.97, mean  
 409 RMSE ranges from 2.88 to 4.51 g C/kg soil, and mean MAE ranges from 1.55 to 2.57 g C/kg  
 410 soil.

411

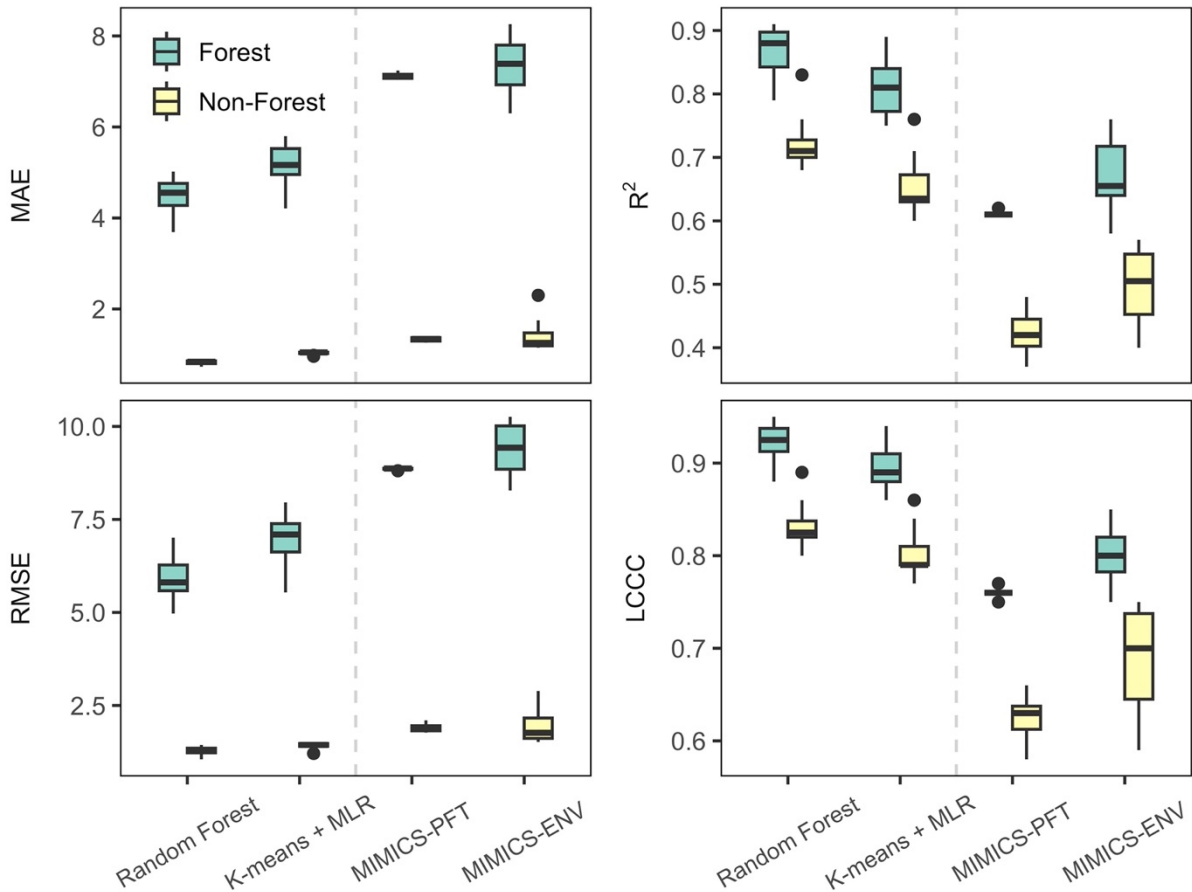
412 The machine learning models outperformed MIMICS in predicting SOC concentration,  
 413 regardless of the optimisation approach taken. Particularly, the RF model demonstrated the most  
 414 accurate predictions characterized by higher  $R^2$  and LCCC values and lower RMSE and MAE  
 415 values for both training and test data. While MIMICS-ENV displayed performance similar to  
 416 that of MIMICS-PFT in SOC concentration predictions based on RMSE and MAE, the former  
 417 exhibited slightly superior median  $R^2$  and LCCC values but with a higher variability (Figure 5).



418  
 419 **Figure 5.** Performance metrics of SOC concentration predictions. Units for MAE and RMSE are g C/kg soil.  
 420 Centre line represents median value, and upper and lower box boundaries represent third and first quartile of  
 421 metrics from cross-validation. Whiskers extend to the smallest and largest values within 1.5 times the  
 422 interquartile range.

423  
 424 SOC concentration in forest soil exhibited significantly higher predictability than those in non-  
 425 forest (woodland, shrubland and grassland) soil, evidenced by higher  $R^2$  (ranging from 0.58 to  
 426 0.91) and LCCC (ranging from 0.75 to 0.95) for test data (Figure 6). Machine learning models  
 427 surpassed MIMICS in predicting SOC concentration for both forest and non-forest soils.  
 428 Notably, MIMICS-ENV outperformed MIMICS-PFT in SOC concentration predictions,  
 429 particularly in non-forest soils.

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438

**Figure 6.** Performance metrics of SOC concentration predictions for forest and non-forest (woodland, shrubland and grassland) soils in test (out-of-sample) data. Unit for MAE and RMSE is g C/kg soil. Centre line represents median value, and upper and lower box boundaries represent third and first quartile of metrics from cross-validation. Whiskers extend to the smallest and largest values within 1.5 times the interquartile range.

439

### 3.4. Estimations of terrestrial SOC stocks

441

442

Using the best fitted models after cross-validation (see Section 2.6 for details), we estimated the total amount of SOC stocks in the top 30 cm for the whole Australia continent at a spatial resolution of 0.05° by 0.05°. The optimized parameters used for MIMICS-PFT and MIMICS-ENV at continental scale are shown in Table 3.

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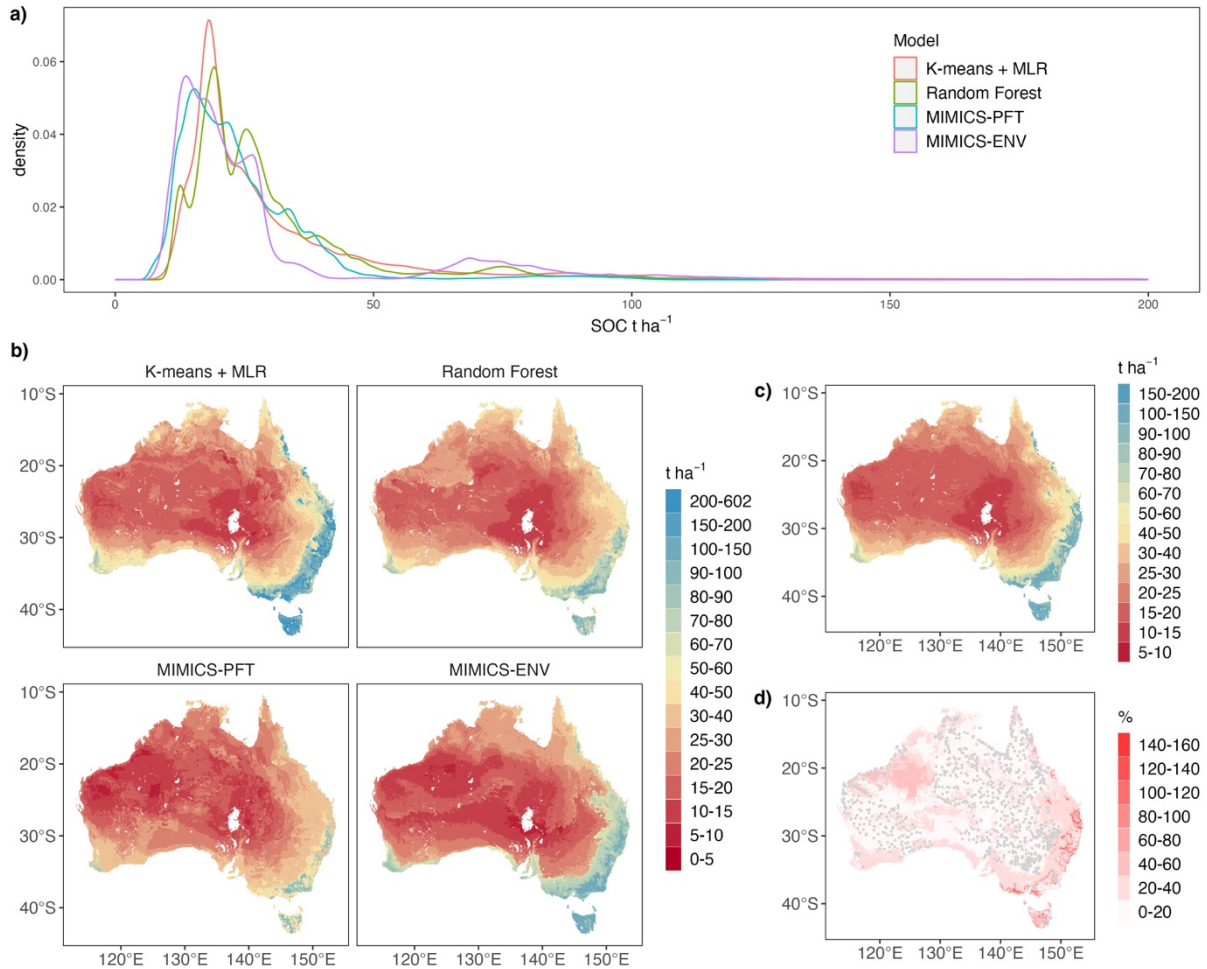
455 Table 3. Optimized parameter ranges of MIMICS for cross-validation. Values in brackets were used for  
 456 estimating SOC stocks at continental scale. See Table 1 for further explanations of each parameter.

Model	PFT/Cluster	$a_v$	$a_k$	xdesorp	xbeta	xdiffsoc
MIMICS- PFT	Grassland	4.36-18.11 (5.45)	4.42-19.11 (5.62)	1.90-3.0 (2.97)	1.06-1.42 (1.06)	16.21-29.90 (29.3)
	Shrubland	12.15-17.91 (12.46)	14.46-18.87 (16.80)	1.54-2.92 (2.58)	1.14-1.27 (1.24)	20.21-29.96 (29.73)
	Woodland	8.41-17.01 (10.92)	9.35-16.99 (12.73)	1.12-1.23 (1.10)	1.12-1.23 (1.18)	20.17-29.96 (23.91)
	Forest	3.15-8.56 (4.70)	12.61-19.69 (13.53)	0.39-3.0 (1.36)	1.42-1.88 (1.35)	11.55-27.70 (10.20)
MIMICS- ENV	Cluster 1	5.23-13.82 (10.189)	6.08-17.80 (11.93)	1.62-2.85 (1.84)	1.07-1.20 (1.07)	0.00-29.81 (28.80)
	Cluster 2	3.56-10.76 (7.60)	7.36-18.24 (15.70)	1.01-2.94 (2.07)	1.05-1.07 (1.05)	3.61-12.75 (6.91)
	Cluster 3	8.31-10.52 (8.48)	15.98-19.91 (19.66)	1.84-2.83 (2.25)	1.36-1.52 (1.52)	10.83-29.45 (26.25)
	Cluster 4	2.47-5.52 (5.10)	6.44-16.80 (13.52)	0.54-1.78 (0.92)	1.21-1.74 (1.42)	14.75-28.91 (20.37)
	Cluster 5	12.24-20.57 (19.55)	10.90-17.56 (17.56)	2.89-3.0 (2.98)	1.05-1.06 (1.05)	25.32-29.83 (25.75)
	Cluster 6	3.25-7.18 (6.40)	7.73-18.23 (15.86)	1.91-2.97 (2.73)	1.05-1.09 (1.09)	6.19-28.57 (15.47)

457  
 458 Descriptive statistics of predicted terrestrial SOC stocks at 0-30 cm soil depth are shown in  
 459 Table 4. Forests have the largest mean SOC stocks ranging from 70.3 to 113.9 t ha<sup>-1</sup> by all  
 460 models, and shrubland is estimated to have the lowest mean SOC stocks. The distributions of  
 461 predicted continental SOC stocks by all models are positively skewed with most estimated SOC  
 462 stocks less than 50 t ha<sup>-1</sup> (Figure 7a), and SOC stocks at peak density predicted by MIMICS-  
 463 ENV and MIMICS-PFT are smaller than those predicted by the two machine learning  
 464 approaches.

465  
 466 As expected, all models consistently projected larger SOC stocks in the southeast region,  
 467 southwest corner and Tasmania, and consistently indicated lower SOC stocks in central and  
 468 western Australia (Figure 7b). Among the models, K-means coupled with multiple linear  
 469 regression consistently provided the highest SOC estimations across all vegetation types, while  
 470 MIMICS-PFT model consistently yielded the lowest mean SOC stocks.

471  
 472 The ensemble estimate of SOC stocks (Figure 7c) shows a similar distribution pattern as that  
 473 generated by single model. SOC stocks of the ensemble range from 10.0 to 180.4 t ha<sup>-1</sup> with an  
 474 average value of 30.3 t ha<sup>-1</sup>. Coefficient of variation calculated as the ratio of standard deviation  
 475 to mean across the four estimates (Figure 7d) is positively correlated with the ensemble mean  
 476 estimate. That is, soils with higher SOC stocks exhibit greater variability in SOC predictions  
 477 among different models. Note also that the variability of estimates tends to be smaller in areas  
 478 with denser numbers of observations (Figure 7d).



480

481

482 **Figure 7.** Estimated Australian terrestrial SOC stocks ( $\text{t ha}^{-1}$ ) for top 30 cm soil and ensemble statistical  
 483 characteristics: a) density plot of estimated terrestrial SOC stocks by all models, noting that only stocks less  
 484 than  $200 \text{ t ha}^{-1}$  are shown for better comparison of the distribution; b) estimated SOC stocks by each model;  
 485 c) estimated SOC stocks of the ensemble; d) coefficient of variation of the ensemble estimates of SOC stocks.  
 486 Grey points represent locations of SOC observations.

487

488 **Table 4.** Descriptive statistics of estimated terrestrial SOC stocks ( $\text{t ha}^{-1}$ ) at 0-30 cm soil. Min. and Max. are  
 489 minimum and maximum value, respectively. 1<sup>st</sup> Qu and 3<sup>rd</sup> Qu are first and third quartile, respectively.

490

	PFT	Min.	1 <sup>st</sup> Qu	median	mean	3 <sup>rd</sup> Qu	Max.
K-means + MLR	grassland	4.2	17.9	21.2	41.5	42.5	601.1
	shrubland	7.2	16.4	19.3	23.6	24.4	472.2
	woodland	7.1	20.1	26.1	33.3	33.7	483.1
	forest	18.0	51.3	95.2	113.9	153.4	474.0
	all	4.2	18.1	23.6	38.2	36.7	601.1
Random Forest	grassland	10.4	18.5	26.0	30.4	37.2	125.3
	shrubland	10.3	17.0	19.6	21.4	24.4	104.4
	woodland	10.5	20.3	25.8	28.2	32.4	122.1
	forest	29.3	55.0	82.3	78.4	97.0	161.7
	all	10.3	18.9	25.0	29.8	33.7	161.7
MIMICS- PFT	grassland	10.8	16.4	24.1	25.1	33.3	58.7
	shrubland	6.5	12.2	15.5	16.5	20.6	56.5



	woodland	7.8	17.4	21.2	22.1	25.9	61.4
	forest	17.9	44.5	77.4	70.3	88.5	109.9
	all	6.5	15.7	21.2	24.3	28.9	109.9
MIMICS- ENV	grassland	6.8	13.7	18.7	29.9	27.6	124.0
	shrubland	6.7	13.4	16.7	18.3	20.2	131.9
	woodland	8.1	18.0	24.0	27.5	28.0	131.6
	forest	15.8	35.7	90.4	79.4	106.5	134.1
	all	6.7	15.0	20.2	28.9	27.5	134.1
	grassland	11.4	17.1	21.1	31.7	36.3	180.4
Ensemble	shrubland	10.0	15.2	17.3	20.0	21.7	170.4
	woodland	11.0	18.8	24.4	27.8	30.0	168.0
	forest	22.0	46.8	93.1	85.5	112.7	166.3
	all	10.0	17.2	22.2	30.3	31.5	180.4

491

## 492 4. Discussion

### 493 4.1. Relative importance of predictors on SOC variations

494

495 Extensive research has been conducted to discern the factors that govern SOC  
 496 concentration/stocks. Among the commonly employed predictors for SOC spatial variations,  
 497 climate, organisms, topography, parent material, and soil properties are prominent (Wiesmeier  
 498 et al., 2019). Within this study, we conducted a comparative assessment of the significance of  
 499 key variables, namely MAT, MAP, NPP, soil clay content and bulk density, in driving variations  
 500 in SOC in Australia. Although the number of predictors utilized in our approach is fewer than  
 501 that employed in most digital mapping methodologies, our models show good performance in  
 502 predicting SOC in Australia (Figure 5 and 6) and its strength lies in the potential for a more  
 503 direct comparison between empirical and process-based models.

504

505 Consistent with the result by Hobbey et al., (2015) on the soils from eastern Australia, this study  
 506 identified soil bulk density as an important predictor of SOC concentration at continental scale  
 507 (Figure 3). However, the relationship is largely interactive between soil bulk density and soil  
 508 carbon concentration (Murphy, 2015). Higher concentrations of soil organic matter facilitate  
 509 soil aggregation formation and increase soil porosity, which results in lower bulk density.  
 510 Meanwhile, a soil with reduced bulk density exhibits higher permeability for water and oxygen,  
 511 which enhances plant root growth and SOC dynamics. Physically, the bulk density of organic  
 512 matter is less than  $1 \text{ g cm}^{-3}$ , much lower than soil mineral solids with a density of  $2.66 \text{ g cm}^{-3}$ ,  
 513 therefore lower bulk density soils usually have higher SOC concentration (Marshall and  
 514 Holmes, 1988).

515

516 Across the Australia continent, MAT emerges as the second most influential factor governing  
 517 SOC variations, followed by NPP, MAP, and clay content. This sequence of significance  
 518 diverges from the findings of Walden et al. (2023), where the order of importance was observed  
 519 as  $\text{NPP} > \text{clay content} > \text{MAP} > \text{MAT}$  on a continental scale in Australia. The number of  
 520 predictors used in their study is much higher than that in our study, which may affect the  
 521 contribution of given predictors in SOC variation (Guo et al., 2019). This discrepancy might  
 522 however be attributable to the utilization of observations encompassing both terrestrial and blue

523 carbon ecosystems in their study. Clay emerges as key driver mainly in the groups where aquatic  
524 plants (e.g., seagrass, tidal marsh) appeared. The more extensive dataset encompassing the  
525 eastern coastline, characterized by greater variability and abundance of NPP input, potentially  
526 elevates NPP to a dominant role in influencing SOC variations within their study.

527

528 For SOC in different vegetation types (Figure 3), soil bulk density and MAT are more important  
529 than other factors in forest, and all factors except clay content showed similar importance in  
530 predicting SOC concentration in grassland. NPP and MAP dominate the SOC variations in  
531 woodland and shrubland. Climate conditions as represented by MAT and MAP exert their  
532 impact on SOC in all vegetation types. It was proposed that the primary climatic determinant  
533 of SOC variation hinges on the primary constraint affecting SOC production and turnover  
534 (Hobley et al., 2016). In this study, most shrublands and woodlands are distributed in arid and  
535 semi-arid regions characterized by limited precipitation, which leads to water stress in surface  
536 soil, limiting plant productivity and reducing soil C input (Hobley et al., 2015). Consequently,  
537 MAP and NPP exhibited relatively higher influence on SOC variations in soils under these  
538 vegetation types. In contrast, forest SOC observations are mainly distributed in areas with  
539 relatively lower temperatures, therefore experience constrained microbial metabolism, leading  
540 to reduced decomposition rates and the high accumulation of SOC (Wynn et al., 2006).  
541 Consequently, MAT emerges as a key factor influencing SOC variations in forests. Furthermore,  
542 it is noteworthy that soil bulk density plays a crucial role in determining SOC distribution within  
543 forest, where it is found to be significantly lower compared to other vegetation types. This lower  
544 soil bulk density likely improves oxygen availability to soil microbial communities, and  
545 facilitates the formation of microaggregates to enhance the preservation of SOC within the soil  
546 matrix (Bronick and Lal, 2005). Consequently, it effectively contributes to elevated SOC  
547 concentration levels in forested areas.

548

549 PFT is the only categorical predictor for SOC concentration in this study. SOC is mainly derived  
550 from plant C input through above-/belowground tissues, and SOC turnover and storage are  
551 influenced by plant traits like plant growth rate and chemical and physical composition (De  
552 Deyn et al., 2008; Faucon et al., 2017). With shared representation of similar plant traits, PFT  
553 is widely used in process-based models (Poulter et al., 2015; Famiglietti et al., 2023). It was  
554 found that the vertical distribution of SOC is highly related to PFT due to the different root  
555 distribution and above- and belowground allocation (Jobbágy and Jackson, 2000). However,  
556 our study is limited by the absence of SOC observations at multiple soil depths, restricting the  
557 analysis to the spatial distribution of SOC at 30 cm soil depth. The influence of PFT on SOC  
558 concentration at this particular depth appears relatively insignificant (Figure 3), casting doubt  
559 on the effectiveness of optimizing parameters of process-based models for individual PFT  
560 (Cranko Page et al., 2023). Considering this, employing the top 3 influential abiotic predictors,  
561 soil bulk density, MAT, and MAP, we partitioned all observations into six distinct clusters using  
562 K-means. It was anticipated that SOC ranges within each cluster would be narrow due to the  
563 high similarity of these three predictors within each group. However, the distribution of SOC  
564 in clusters 2, 4, and 6 exhibited considerable variability (Figure 4). Given that these clusters are

565 predominantly composed of forests, it becomes apparent that these three abiotic factors alone  
566 are insufficient to fully characterize the intricacies of forest SOC concentration. It was found  
567 that elevation and evapotranspiration also drive the variation of forest SOC in Australia (Walden  
568 et al., 2023), and taking them into account might potentially increase the predictability of forest  
569 SOC.

#### 570 4.2. Model evaluation and comparison with other studies

571  
572 Although the predictors used for machine learning models are not exactly same as the inputs of  
573 MIMICS, the missing factors (e.g., MAP) were used for parameter optimization of MIMICS-  
574 ENV, making the predictions dependent on similar information and so comparable to some  
575 extent. Besides, our study presented clear evaluation metrics for out-of-sample validation,  
576 enabling a more robust assessment of model performance when applied to new datasets.

577  
578 Based on the performance metrics of test data, the machine learning models performed  
579 remarkably well (Figure 5). The  $R^2$  suggested that both machine learning models can explain  
580 more than 90% of SOC variability across sites, and random forest did the best job with greatest  
581  $R^2$  and LCCC, and lowest MAE and RMSE. Random forest algorithms were widely adopted in  
582 predicting spatial-temporal SOC dynamics and produced moderately good performance  
583 regionally and globally. For example, Wang et al. (2022) applied random forest to estimate SOC  
584 stocks in south-eastern Australia and explained 69% of the variation of current SOC stocks.  
585 Nyaupane et al. (2023) trained a random forest model using global SOC observations and  
586 explained 61% of SOC variation. The good performance of random forest might be attributed  
587 to reduced susceptibility to over-fitting and better capacity to manage the hierarchical non-  
588 linear relationships that exist between SOC and environmental predictors (Wang et al., 2018b).  
589 Other machine learning methods have been applied to predict continental SOC stocks in  
590 Australia. For example, Walden et al. (2023) trained regression-tree algorithm CUBIST to  
591 predict SOC stocks for top 30 cm soil using the harmonised datasets. The mean LCCC and  
592 RMSE for out-of-sample validation in their study was 0.78 and 0.20 respectively when  $\log_{10}$   
593 transformed SOC ( $t\ ha^{-1}$ ) values were used. Wadoux et al. (2023) applied quantile regression  
594 forest to predict SOC stocks at multiple soil depths. The prediction accuracy decreased  
595 dramatically for deeper depth intervals with the greatest  $R^2$  (0.53) at 0-5 cm soil. The better  
596 results in this study may be attributed to the removal of cropland ecosystems, which are clearly  
597 highly managed and so less predictable. Agricultural practices greatly affect SOC stocks in  
598 Australia and add the complexity to the relationship between SOC and environmental factors  
599 (Luo et al., 2010). Models using environmental predictors without representation of land use  
600 management are unlikely to be able to fully capture the SOC dynamics in croplands (Abramoff  
601 et al., 2022).

602  
603 Although MIMICS was not as accurate as machine learning models in simulating spatial  
604 variation of SOC concentration in Australia, it did well at continental scale with mean  $R^2$  at  
605 0.82 and 0.84 for MIMICS-PFT and MIMICS-ENV, respectively (Figure 5), much greater than  
606 the values ( $<0.4$ ) obtained by Abramoff et al. (2022) who applied a different microbial explicit

607 model to Australian SOC dataset. Georgiou et al. (2021) found that there was a mismatch  
608 between observations and MIMICS in the role of different environmental controls on SOC  
609 variability at global scale. In their study, NPP and MAT had the most explanatory power for  
610 SOC stocks from MIMICS, while clay content had the most explanatory power for global SOC  
611 observations, which limits the predictability of SOC using MIMICS in their study. However, in  
612 our study, NPP and MAT rather than clay content played a greater role in observed SOC  
613 variations, perhaps contributing to a better performance of MIMICS in Australia. It also means  
614 that SOC estimates in our study are highly sensitive to the estimates of NPP. In this study, we  
615 used MODIS NPP product (Running and Zhao, 2021) and did not account for the loss of NPP  
616 due to human activities, which may likely influence the optimized estimates of some model  
617 parameters, and the uncertainties of simulated SOC concentration. Future studies would ideally  
618 use multiple NPP products to quantify the impacts of NPP uncertainties in simulating SOC  
619 variation in Australia.

620

621 The modest performance of process-based model MIMICS relative to machine learning models  
622 could potentially be attributed to the absence of explicit representation of MAP. The  
623 augmentation of MAP within parameter optimization in MIMICS-ENV did allow improved  
624 performance compared to MIMICS-PFT, particularly within non-forest regions where the  
625 importance of MAP rivals or surpasses that of temperature. Precipitation is a determinant of  
626 plant productivity, especially in arid and semi-arid regions. Besides, arid regions with limited  
627 precipitation are characterized by lower weathering rate limiting the formation of mineral-  
628 associated soil carbon (Doetterl et al., 2015). Hence, we assume that introducing the effect of  
629 moisture to MIMICS could contribute to more accurate prediction of SOC, as compared with  
630 just taking MAP into account for parametrization, especially in arid and semiarid regions.

631

632 All models produced lower MAE and RMSE for non-forest SOC but higher  $R^2$  and LCCC for  
633 forest SOC (Figure 6). SOC in forest is more abundant and variable compared to SOC in other  
634 vegetation types even when climate conditions are similar, which leads to greater absolute error  
635 in the estimated forest SOC than in other vegetation types. However, in terms of the consistency  
636 and concordance between the pattern of observations and predictions, all models show higher  
637 ability to predict SOC in forest. Forests, given that they are less perturbed ecosystems, might  
638 show greater SOC predictability due to the reduced influence of direct anthropogenic  
639 disturbances. Grasslands, shrublands, and woodlands, predominantly situated in Australian  
640 rangelands may experience extensive grazing and land management. Primarily, grazing reduces  
641 soil carbon input by consumption of aboveground biomass and accelerate SOC decomposition  
642 through input of nutrient-enriched animal waste. This introduces additional uncertainties to our  
643 modelled SOC estimates, since C input is represented solely by NPP without accounting for the  
644 impact of grazing and land managements. Moreover, the cascading effects of grazing extend to  
645 potential alterations in plant composition and structural attributes, inducing consequential shifts  
646 in litter properties that modulate soil carbon decomposition kinetics (Lunt et al., 2007; Bai and  
647 Cotrufo, 2022). The disturbances triggered by grazing manifest in soil carbon pools, leading to  
648 a state of disequilibrium rather than adhering to the assumption of SOC convergence toward

649 equilibrium, as embraced in this study's framework. Notably, forests, as relatively undisturbed  
650 natural ecosystems, demonstrate a better coherence with the equilibrium assumption, rendering  
651 their SOC more amenable to prediction through environmental drivers.

652

### 653 4.3. Spatial prediction of SOC stocks in Australia

654

655 We produced gridded SOC stocks across Australia using the models validated in this study and  
656 an ensemble estimate as the average of four models (Figure 7). Among the models, K-means  
657 coupled with multiple linear regression produced the largest mean SOC stocks both at  
658 continental scale and for all vegetation types. In contrast, RF and MIMICS with different  
659 parameterization approaches produced lower SOC stock estimations (Table 4). The mean  
660 terrestrial SOC stocks estimated by random forest and MIMICS are comparable with that  
661 estimated by Australian baseline map, which was generated using machine learning algorithm,  
662 reporting mean SOC stocks at 29.7 t ha<sup>-1</sup> with 95% confidence limits of 22.6 and 37.9 t ha<sup>-1</sup>  
663 (Viscarra Rossel et al., 2014). However, SOC stocks might be underestimated by these methods  
664 because of the scarcity of data from the most productive temperate forest both in the baseline  
665 map (Bennett et al., 2020) and in our study. Parameter optimization process of MIMICS and  
666 the training process of random forest are greatly affected by data used to train the model. Most  
667 SOC observations in this study were sourced from arid and semiarid regions, characterized by  
668 relatively low SOC content. As a result, the models' ability to predict SOC stocks beyond the  
669 observed data range is somewhat constrained. PFT was found to be less important than other  
670 environmental factors in driving spatial SOC variations (Figure 3), so it was perhaps not  
671 surprising that applying parameters optimized for each plant functional type to the regions with  
672 same PFT but broader climate conditions led to inferior results than applying parameters  
673 optimized for each environmental group.

674

675 The utilization of linear regression in K-means + MLR generated SOC estimates beyond the  
676 range of observations, particularly in eastern Australia where environmental conditions deviate  
677 from the training data. The mean SOC stocks estimated by K-means + MLR (38.2 t ha<sup>-1</sup>) are  
678 higher than those of the other models employed in this study, and align closely with the mean  
679 value 36.2 t ha<sup>-1</sup> reported by Walden et al. (2023) who updated the Australian baseline SOC  
680 map (Viscarra Rossel et al., 2014) by incorporating additional SOC observations from forests  
681 and coastal marine ecosystems. However, caution is required when interpreting extreme values  
682 derived from the K-means + MLR, such as the instance of grassland SOC stocks reaching 601  
683 t ha<sup>-1</sup> (Table 4). These values raise concerns about the reliability of this approach when  
684 extrapolating out-of-sample. Though there is a positive relationship between NPP and SOC  
685 observations in this study, SOC accumulation cannot continuously increase linearly in the  
686 regions where environmental conditions seem highly conducive to SOC formation. The greater  
687 amount of carbon input in eastern Australia might trigger the acceleration of microbial  
688 decomposition because of a priming effect, and lead to a decreased accumulation of SOC stocks  
689 (Ren et al., 2022). The existence of SOC saturation also implies that SOC cannot be  
690 accumulated without limit (Georgiou et al., 2022; Viscarra Rossel et al., 2023). In light of these

691 complexities, applying linear regression to predict SOC stocks, especially under the extreme  
692 environmental conditions, should be undertaken with care.

693

694 Continentally, higher SOC stocks were estimated for the southwest corner and southeast  
695 Australia (Figure 7), aligning with other SOC maps for Australia (Wadoux et al., 2023; Walden  
696 et al., 2023). These regions are characterized by lower temperature and higher precipitation,  
697 therefore high SOC accumulation appeared because of high carbon input of NPP and low  
698 decomposition rate. However, the high variability of SOC estimates among the four models in  
699 these regions should be highlighted (Figure 7d), along with the difference of magnitudes  
700 between the estimates in this study and other Australian SOC products (Viscarra Rossel et al.,  
701 2014; Walden et al., 2023). Despite inherent differences in model structures, the scarcity of  
702 observations in these regions likely contributes to the large uncertainties in SOC estimates.  
703 Forest has the largest mean SOC stocks ranging from 70.3 to 113.9 t ha<sup>-1</sup> estimated by four  
704 models in this study. Around 75% of the forest SOC is from soil under Eucalypt open forest,  
705 and mean SOC stocks under this type of forest were estimated to be 87.5 t ha<sup>-1</sup> (63.8 -119.6 t  
706 ha<sup>-1</sup> for 95% confidence interval) (Walden et al., 2023). Shrublands are estimated to have the  
707 lowest mean SOC stocks, and more than 90% of shrub SOC observations are from soil under  
708 Acacia shrubland and Chenopod shrubland, which rank at the bottom of SOC stocks among  
709 different vegetation types (Walden et al., 2023). The low SOC in shrubland is probably due to  
710 low carbon input because of limited rainfall (MAP < 280 mm). Though the mean SOC stocks  
711 in non-forest regions are much smaller than that for forest, the greater area of vegetation cover  
712 results in considerable total SOC stocks, highlighting the importance of carbon building and  
713 maintaining via improved managements in these areas. Greater variability of SOC estimates  
714 among different models appears in the regions where SOC stocks are higher (Figure 7). The  
715 sparsity of SOC observations is a primary contributor to the uncertainties associated with SOC  
716 estimates in these regions, highlighting the importance on continual collection of data to better  
717 constrain models' behaviour. This imperative is especially pronounced in regions covered by  
718 forests, as forested soils exhibit substantial SOC stocks, amplifying the significance of abundant  
719 and accurate data acquisition in these specific ecosystems.

## 720 5. Conclusion

721

722 We compared the performance of two machine learning models, and one process-based  
723 microbial model employing two parameterization approaches, to explain the spatial variation  
724 of SOC concentration in the top 30 cm soil in Australia. We found that climate conditions and  
725 NPP contribute more than soil clay content in predicting SOC concentration in Australia.

726

727 Validation results affirm that with appropriate filtering of data (e.g. removing highly managed  
728 crop ecosystems) models can predict SOC concentration at a continental scale with reasonably  
729 high reliability, achieving explained variances exceeding 80% for out-of-sample test data, with  
730 random forest showing highest prediction accuracy. Notably, all models show higher R<sup>2</sup> in  
731 prediction of SOC in forest than in non-forest soils. MIMICS, with parameters optimized for

732 different environmental clusters, performed better in SOC prediction than MIMICS with  
733 parameters optimized for different PFT, especially in non-forest regions.

734

735 All models broadly agree on the spatial distribution of SOC stocks, with higher SOC stocks  
736 concentrated in the southeast and southwest regions of Australia. However, the variations in  
737 estimated values need to be acknowledged, particularly in highly productive regions. Among  
738 these estimates, K-means algorithm coupled with multiple linear regression yields the highest  
739 mean SOC stocks estimate, while the MIMICS-PFT model generates the lowest estimate.  
740 Considerable disagreement of the maximum and minimum SOC stock values predicted by all  
741 models exists partly because models are less constrained by observations in these environments,  
742 highlighting the need for continued observational campaigns.

743

744 Our investigation has revealed significant disparities in estimated SOC stocks when different  
745 methodologies were employed. This highlights the need for a critical re-evaluation of land  
746 management strategies that heavily depend on SOC estimates derived from a single approach.  
747 The incorporation of an ensemble of SOC estimates is more likely to effectively capture  
748 elements of the uncertainty associated with SOC estimations, providing a more robust basis for  
749 informing strategies in soil carbon management and climate change mitigation.

## 750 Code availability

751

752 Source Code of vertically resolved MIMICS can be accessed at  
753 <https://github.com/Wanglingfei170/MIMICS.git>. Codes for data analysis and machine learning  
754 can be accessed by contacting the correspondence author.

## 755 Data availability

756

757 The SOC observations described in Viscarra Rossel et al. (2014) are not publicly available but  
758 are available from Raphael A. Viscarra Rossel (r.viscarra-rossel@curtin.edu.au) on reasonable  
759 request. All other data used in this study are publicly accessible and the specific references are  
760 provided in Section 2.4.

## 761 Author contribution

762

763 Conceptualization: LW, GA, Y-PW, AP; Methodology: LW, GA, Y-PW; Investigation: LW,  
764 RAVR; Formal analysis and Visualization: LW; Writing-original draft preparation: LW;  
765 Writing-review & editing: LW, GA, Y-PW, AP, RAVR.

## 766 Competing interests

767

768 The co-author Raphael A. Viscarra Rossel is a member of the editorial board of SOIL.

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