

Dear Andrew Feldman,

thank you very much for handling our manuscript and giving us the opportunity to revise the manuscript - and for granting an extension for the revision process! Below you will find a point-by-point response to your comments and to the comments of the two reviewers. Responses are in blue.

First of all, we adjusted the copyright statement for Figure 1 and we did adjust the figure in Appendix B to “Figure B1” as for the remarks on the file validation. We had already submitted these changes for the first submission.

Relevant changes:

- Rephrasing of the introduction to better work out the novelty of the study
- Including relevant literature as pointed out by the second reviewer (previous studies on high-resolution satellite imagery used for NEE estimates) in the Introduction and “Results and Discussion” section.
- Figure adjustments
- Correcting grammar and orthography as pointed out by the first reviewer and where found by ourselves.
- All minor revisions requested by each reviewer except changing tables to figures.

Thank you for your patience. We’ve received two reviews. While both are positive, reviewer 2 questioned the novelty and the representativeness of the results beyond the study region. I agree with these comments and think they should be addressed before publication.

I myself appreciate the approach because with more remote sensing instruments moving to higher resolution, applications of co-use of satellite data and field data need to be explored and extended more widely. A carbon flux application like this is certainly needed.

As in the authors’ reply, it should be highlighted that the novelty lies in the very high resolution of the data and that such applications are becoming more possible with high resolution instruments (like OCO-3 and others upcoming (SBG), as least on the NASA side. Others from other satellite agencies can be mentioned).

We substantially rephrased the introduction to work out the highlights of the study according to the comments by reviewer 2, the high spatial resolution is only one. We also do mention current and upcoming satellite missions which provide high ground-resolution products.

Adding to the dialogue between the reviewer and authors, I am wondering if the authors should test whether a more complex regression model with more regression variables is necessary here. I understand the desire to see how much VI’s alone explain the in-situ carbon fluxes. At least, the authors should motivate why only simple relationships with regressing VI only is appropriate.

The revisions of the introduction do now include the motivation why we are testing this simple relationship. As mentioned, it has indeed been our intention to evaluate how much VIs alone explain C flux dynamics. We appreciate the request to extend the approach to integrate more explanatory variables which is certainly the next step. However, the integration of more explanatory variables, also in conjunction with more complex methods, such as ecosystem models or data-model fusion approaches do not show decisively better results as presented in the discussion. We thus think that elucidating the explanatory gap would be subject of an extra study which can build nicely on the current one.

Reviewer 1:

Overview

This manuscript combines field scale EC data and satellite derived VIs to estimate the carbon flux over a local cropland in Europe. Several kinds of VIs are used to estimate NEE and GPP based on linear regression models. Their method is reasonably straightforward, and assumption is clearly stated. The results are well investigated from multiple aspects, which makes their conclusions more concrete. Their estimated values including uncertainties are fairly compared to previous works. The authors explain the strengths and weaknesses of their approach very well. Some figures and tables may be a little hard to read/follow to readers, though.

Thank you for the evaluation of our manuscript and for the constructive comments and suggestions! In the following we provide a point-by-point response to all comments and suggestions.

Followings are my minor comments and suggestions.

In the abstract, please clarify the size/location of the study field.

We added the information of location and size in the abstract.

L20: Please define “RMSE”

We spelled out RMSE = “root mean square error”.

L79: “limits”

Corrected.

L86: Please define “NIR”

Defined.

L114: Please define “a.s.l.”

Spelled out as “above sea level”.

L134: Data “were” measured...

Corrected.

L141: For date expression, please be consistent (“5th March” or “23 August”).

We revised the whole manuscript accordingly.

L164: Please define “SCL”.

Defined.

L198: There is no “section 0” in this manuscript.

Deleted.

L222: It will help to put the total NEE, GPP and Reco for each growing season in Fig.2 as you did for the climate parameters.

Done.

L254: “apart from” Do you mean “except for”?

Revised.

L277-278: The VI observations are sparse compared to NEE/GPP, so it might be hard to tell “these VIs lag about 18 days behind the NEE signal for the senescence period of WR” from the Fig. 3. Please specify how you verify it.

We added an elaboration: 18 days is the average across VIs based on the observation that VI values had reached a plateau at the same time as of max. C uptake (= min NEE) and did not drop at the same time as NEE decreases. Another similarly high VI value is observed about 18 days later before the next VI value is much lower.

L308: Please define “lowess (should be LOWESS)”.

Changed to “fitted line” as explained in the manual of the R-function used (chart.correlation of the R-package “PerformanceAnalytics”).

L287-288: Why the signs of correlations are opposite of yours?

We added an explanatory note for the reader: “Please note, that Baldocchi (2008) reports GPP (‘F_A’) with a positive sign, not with a negative sign as we do and as in Baldocchi et al. (2015). Thus, his correlation value between GPP and Reco is positive as opposed to ours and in Baldocchi et al. (2015). The correlations can still be compared based on the absolute values.”

L289: This sentence is hard to understand and seems not quite matching the results shown in Fig. 4.

Agreed. The sentence was split into two: “NEE correlated highly significant ($p < 0.001$) with all VIs except MNDWI which showed a lower level of statistical significance ($p < 0.01$). GPP correlated highly significant ($p < 0.001$) with all VIs except MNDWI ($p < 0.01$) and NDSVI ($p < 0.05$) which both showed a lower level of statistical significance.”

L321 and L324: “nir”--> please define and change to ”NIR”.

We changed all occurrences of “nir” to “NIR”. The definition was given according to the previous comment by the reviewer (L86).

L322: “swir” --> please define and change to “SWIR”.

We changed “swir” to “SWIR” and added the respective definition of “short-wave infrared”.

L323: “amongst the highest” is not true. Do you mean “one of the highest”?

The sentence was changed to “This did not hold true for correlations between VIs and GPP, where NDWI showed the second highest correlation with GPP.”

L383: Please define “E”. “RMSE” does NOT “increased” (but improved).

Yes, “increased” was wrong. It was changed to “improved”. “E” is defined in L186-189.

L405: It is not very clear that R^2 -values are calculated between what parameters.

Agreed. Was changed to “Jaszczak et al. (2018) found a R^2 -value for the linear regression between NDVI and SAVI, respectively, and GPP of WW of 0.56 and 0.59 ($p < 0.0001$) respectively.

L422: “ τ ” --> Do you mean “ ρ ”?

It is “ τ ” (Kendall tau rank correlation coefficient) as this is the correlation coefficient used in Wattenbach et al. (2010). We added a comment stressing a little more the different coefficients used.

L434-439: These sentences are hard to understand. “overestimation” and “underestimation” with respect to what? Your estimated results?

Over- and underestimations relative to the measured values at the simulated sites used in the cited publication. We modified the sentence to make that clear: “The model ensemble of Wattenbach et al. (2010) exhibited absolute simulation errors *of total annual C sums* ranging from an overestimation of *measured* seasonal C uptake of 204 g C m⁻² to an underestimation of *measured* C uptake of 217 g C m⁻² across *the 5 WW site-years* and 3 crop models *used in their study*.”

L435: “204” and “217” are for cumulative C flux, right? Please mention that and specify the time period.

Correct. These values are annual sums. We added this clarification (see response to previous comment).

L440: “85%” --> “85.66%”.

Agreed. Was changed.

L442: Please add “, respectively” after “(17.93%)”.

Was added.

L443: “1.35 and 1.36” --> “1.36 and 1.35”. “(Table 6)” --> “(Table 5)”.

Yes, thanks for spotting this! Was changed.

L451: Please add “, respectively” after “2021/2022,”.

Done.

L452-453: This sentence is a little hard to understand. I do not see how it is related to Table 3. Where the number “311 g C m⁻²” come from?

“311 g C m⁻²” is the difference of total growing season NEE values of 2020/2021 and 2021/2022 respectively, after converting from t C ha⁻¹ to g C m⁻². The sentence was changed to “Further, estimation-uncertainty is smaller than the difference of total growing season NEE between the two WW growing periods 2020/2021 and 2021/2022, respectively, which was 311 g C m⁻² (i.e. 3.11 t C ha⁻¹, Table 3).”

L467: Please add “,” after “harvest”.

Thanks, done.

In sections 3.5.2 and 3.5.3, more detailed explanations of the models are needed such as the model simulation years (is there any inter-annual variability)? Readers might understand better if section 3.5.3 starts with explanation of Table 6, and then give comparisons with references.

We first added an additional sentence to section 2.7 introducing the reader to the comparison of our results to results of simulation studies by mechanistic crop models. We started section 3.5.3 with the introduction to Table 6. We added the information of model simulation years and inter-annual variability from the simulation studies in section 3.5.2.

Figure 1: It is a little too hard to understand what the percentage of “cumulative FP area” means. How was it calculated? It is not clear the definitions of “main field” and “area of interest”.

We changed “FP area” to “source area” in the caption of Figure 1 and guide the reader to Appendix B for more detailed information. We will there add a sentence in which the cumulative foot print area is explained as the source area of the fluxes, and the isolines denote the cumulative contribution of that area to the flux signal over the measurement period. We removed “area of interest” as it is synonymously used for main field and occurred only once (Appendix B, L551).

Figure 2 (The top panel): Please specify the duration of “flowering of WR”. (the first 2 top panels): please explain the gray dots and black solid lines in the figure caption. Also, please differentiate the GPP and Reco by using different color or line type. I do not see the “urea spreading” in 2020/2021 listed in Table 1.

We added a horizontal segment to show the length of WR flowering and added the duration dates in the caption. We added an explanation of the gray dots and lines also in the caption. We used two different line and point types for GPP and Reco. We verified the “urea spreading” event with the farmer. First, it was labeled wrong and it actually was an organic fertilizer application. That was corrected in the figure. Second, we added in the management table the information, that urea applications are sometimes replaced by organic fertilizers - as explained by the farmer (when organic fertilizer applications become temporarily cheaper than mineral fertilizer).

Please give x-axis title as “Time” and do not use the numbers such as 5.3, 1.5, 1.7... which are hard to understand. You could show the dates (i.e. 05 Mar 2020) only for the start and end of the growing season or so. Also, it may be helpful for readers to put the x-tick values at the top of the figure, too.

X-axis title has been changed to “Time” and the date format has been changed to 03 Mar 2020 etc. giving only Jan und July in the following. X-ticks are added to the top of the figure.

Figure 3: Y-title for VIs should be “(-1)*VIs”? There is no explanation for blue dots. Again, the x-axis’ thick name of “5.3, 1.6...” are hard to follow. Please give monthly tick marks and label them properly. Also, it would be easier for readers to compare the time series of NEE/GPP and VIs if they have a common zero (horizontal) line. Please add legends for the vertical broken- and dotted- lines.

Good point to label the secondary y-axis with (-1)*VI. Done. We added the explanation for the blue dots and changed the labeling of the x-axis. We added a legend for the vertical broken- and dotted- lines. We decide against a common 0 line because the range of the VIs differ quite a lot and readability would not improve when fixing a common 0 line.

Figure 5: Please put an x-title and change the x-tick name format.

We added the x-title and changed the format of the x-tick names to align with the other figures.

Table 1: What is “ca.”?

Ca. stands for “circa”. We revised to “approx.”

Table 3: Please state the unit clearly.

All values are given in $t C ha^{-1} season^{-1}$. It is clearly mentioned in the caption.

Table 4: Please explain what the bold and italic numbers indicate either in the caption or main text. Why are ρ -values not shown here (just wonder) as in Table 5? It could be better to make the line thicker between different growing periods (in Table 5, also).

We added the explanation of numbers in bold and italic in the caption. Bold indicate best performing VIs within a group and italic indicate worst performing VIs within a group. We explain in the caption that all values are significant to the level of 0.001 except where indicated with “**”. Thus, we avoid printing “***” in each cell. That holds true for tables 4 and 5. We improved readability by thickening the lines between the groups.

Table 5: Please explain what the bold numbers indicate.

We added the explanation of numbers in bold and italic in the caption as for Table 4.

Table 6: the top of the 3rd column should be “NEE and GPP estimated [g C m⁻²]”. You could add “*mean*” to Table 4 and 5 as well because it was mentioned in the main text. What does the “***” indicate? Again, please explain about the bolded numbers (it seems they are mentioned in the next, but not all of them are bolded).

Thanks for spotting the wrong labeling of column 3. We revised to “Estimates”. We added rows for mean values in table 4, 5 and 6. ‘***’ actually has no meaning and was removed.

S1 The third paragraph: “Reco ... but showed no response the grubbing events”. Is this true? It seems the Reco increased between the first and second grubbing events. The last (fifth) paragraph, “GPP and Reco were both lower ...”: because the signs of GPP and Reco are opposite, “lower” is a little confusing. Could be better to say “smaller”.

We checked in more detail on the response of Reco to soil cultivation events. There is no response detectible. The increase between the first and second soil cultivation event in 2020 is complementary to the increase in GPP due to regrowth and thus more root exudates leading to faster turnover and higher heterotrophic respiration, and higher autotrophic respiration. We changed “lower” to “smaller”. Thanks.

Reviewer 2:

Gottschalk et al. present a high-resolution investigation of the vegetation indices against the carbon fluxes from a tower in the cropland. They processed the flux observations thoroughly to ensure the data quality and estimated the fluxes based on linear models using VIs, which were then compared with crop models. They showed that observed fluxes correlate well with the field management actions, phenological development of crops, and some Sentinel-2-derived VIs (especially for GPP). They concluded with discussions of the limitations of their analyses (e.g., adopting a simple linear model).

Thank you for evaluating our manuscript and for providing constructive and helpful comments and suggestions. In the following we address all points raised by a point-by-point response.

Major comment:

In general, the data processing is thorough, and the results are well presented. Leveraging the high-resolution feature from Sentinel-2 data, their EC-based carbon fluxes and VIs are all matched to the plot scale, which addressed the common spatial mismatch issue in prior studies.

Despite the promising flux-VI relationship presented, my major concern lies in the novelty of this study and the representativeness of such flux-VI relationships across crop traits and climates (despite that the authors acknowledged till the very end). The authors suggested that their analyses indicate “the suitability and developability of the proposed approach to monitor cropland C exchange with satellite derived VIs” (L25-26) and acknowledged the current challenges in flux estimates being the low number of EC towers in croplands (L79-81). When considering croplands over different climates/regions, how robust is the use of a linear model to predict carbon fluxes? Would involving additional meteorological variables be more helpful (which is relative to statements on L490-491)?

Sims et al., 2006 and Huang et al. 2019 have demonstrated successfully the usefulness of linear models to estimate GPP across ecosystems. We now take this a step further to estimate NEE with linear regression models for a range of high-resolution satellite-derived VIs which has not yet been done before for croplands and only for a limited number of grassland sites. The question of robustness can only be assessed with a greater number of sites for which this study presents a template, also addressing the issue of uncertainty coming from spatially mismatched signals. Further, studies for flux estimation using more complex approaches in conjunction with satellite data do not as such achieve better results and the additional value of meteorological parameters at the level or accuracy of our results has not been shown. We thus argue that this study is worthwhile showing the high potential of employing high-resolution satellite imagery and delivering a template for further investigation along this path. We do acknowledge of course the limitation of this study being confined to one site. But this is clearly addressed throughout the manuscript and provides the bases for follow-up studies.

The results/conclusions presented from this study did not seem to necessarily address the limitation of low data density of the flux observations, as the results were derived from one crop flux tower in Germany with seemingly low data density (compensating for better data quality).

The intention was not to address the low availability of flux observations but – amongst others – to leverage a cropland site with detailed flux measurements and meter-scale satellite images to achieve a detailed and rigorous analysis into the relationship between satellite-imagery VI and EC-measured carbon fluxes. In doing so, we also evaluate whether large-scale statistical approaches are actually justified when evaluated at plot scale.

Moreover, regarding the high-resolution capability, are there any prior studies that utilized VIs from Landsat and Sentinel-2 for estimating carbon fluxes over croplands at meter scales?

Some prior studies use Landsat and/or Sentinel-2 images for estimating carbon fluxes over vegetated lands, mostly looking at GPP (e.g. Pabon-Moreno et al., 2022, Spinosa et al. 2023, Fu et al., 2014, Madugundu et al., 2017, Chen et al., 2010, Wolanin et al., 2019, Bazzi et al., 2024) but very few are looking at NEE specifically over croplands. We cited these prior studies in the introduction and compared our results to these studies when appropriate in section 3.5.2.

In sum, the knowledge/technical gaps from prior studies and the novelty of this study can be better phrased. I would suggest conducting a more thorough literature review and rewording relevant text to highlight the

advances of this study from prior studies (e.g., the examination of various VIs, the estimation of the carbon budget, the alignment of footprint between VIs and flux signals, and investigation of crop management signals from EC and VI data, from my understanding?).

We rephrased the introduction substantially to better work out the novelty of this study and we compared our results to additional studies estimating C fluxes with satellite-data-model-fusion approaches. These comparisons clearly show that a linear approach is already capable of achieving high levels of accuracy. Thus, the main take-home message is, that a rigorous use of state-of-the-art data products can be leveraged to produce a robust first estimate of cropland C fluxes without the need of additional meteorological variables or plant physiological parameters. Improvements are always possible which is the motivation for a follow-up study!

Here are some minor comments:

Figures 2, 3: the time format on the x-axis is not super intuitive. Either change the date/time format or add a caption. What do the vertical lines in the dashed or dotted lines indicate in Figure 3? Add a few text labels to facilitate the comprehension of the discussion on page 18 (e.g., the timing for flowering on L273 and senescence on L276 - 278).

We amended figures 3-5 and changed the format of the x-axis and added legend items and further explanations in the captions.

L294-296: The poorer correlation between R_{eco} and VIs seems as expected. Does that suggest additional meteorological variables describing the air and soil columns are needed?

We discussed the lower correlation and its potential causes in section 3.3 “Correlations between daily C fluxes and VIs”.

There are a lot of numbers going into the result sections and tables. There could be better ways to present the data more intuitively, e.g., replacing Table 6 with a bar plot.

Yes, we have been considering changing tables to figures. However, we do prefer to stick with the tables as the information is concrete and readers can actually use numbers for comparison and referencing.