



# Review article: Retrogressive thaw slump theory and terminology

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**Abstract.** Retrogressive thaw slumps (RTSs in plural and RTS in singular) are spectacular landforms that occur due to the thawing of ice-rich permafrost or melting of massive ground ice often in hillslope terrain. RTSs occur in the Arctic, Subarctic as well as high mountain (Tibetan Plateau) permafrost regions and are observed to expand in size and number due to climate warming. As the observation of RTS is receiving more and more attention due to their important role in

- 15 permafrost thaw, impacts on topography, mobilization of sediment, carbon, nutrients, and contaminants, and their effects on downstream hydrology and water quality, the thematic breadth of studies increases and scientists from different scientific backgrounds and perspectives contribute to new RTS research. At this point, a wide range of terminologies originating from different scientific schools is being used and we identified the need to provide an overview of theoretical approaches, terms, and variable characteristics of RTS to clarify terminologies and create common ground for understanding RTS processes,
- 20 dynamics, and feedbacks. We here review the theoretical geomorphological background of RTS formation and landform characteristics to provide an up-to-date understanding of the current views on terminology and underlying processes. The presented overview can be used not only by the international permafrost community but also by scientists working on ecological, hydrological, and biogeochemical consequences of RTS occurrence as well as remote sensing specialists developing automated methods for mapping RTS dynamics. The framework will foster a better understanding of the nature
- 25 and diversity of RTS phenomena and provide a useful base for experts in the field but also ease the introduction to the topic of RTSs for scientists who are new to it.

## **1** Introduction

Permafrost in the Arctic is impacted by warming and thawing in step with ongoing pronounced Arctic warming due to climate change (Biskaborn et al., 2019; Smith et al., 2022). Thaw of ice-rich permafrost results in the formation of characteristic landforms due to sometimes rapid terrain subsidence and erosion. One typical and regionally widespread

30 characteristic landforms due to sometimes rapid terrain subsidence and erosion. One typical and regionally widespread landform formed by the thaw of ice-rich permafrost or melting of massive ground ice is a retrogressive thaw slump (RTS) (Mackay, 1966). These spectacular, often horseshoe-shaped permafrost landforms exhibit dynamic behavior, progressing





through stages of active growth and stabilization that may even evolve in a polycyclic fashion (Mackay, 1966; Kerfoot, 1969; Kokelj et al., 2009).

- 35 RTSs in the Northern Hemisphere occur throughout the Arctic, Subarctic, and high mountain regions (Tibetan Plateau) with ice-rich permafrost and have a significant environmental impact (Kokelj and Lewkowicz, 1999). RTS initiation not only alters the topography, hydrology, and vegetation cover but also contributes to substantial sediment, carbon, and nutrient fluxes to downstream environments with impacts on water quality and aquatic ecosystems (Kokelj et al., 2005; Mesquita et al., 2010).
- 40 The first intensive studies on RTS were conducted in the mid-20th century (Mackay, 1966; Popov et al., 1966; Czudek and Demek, 1970), and in recent years there has been a notable increase in publications on this subject. Various approaches, including field methods (Czudek and Demek, 1970; Burn and Friele, 1989; Leibman et al., 2000; Kizyakov et al., 2023) and remote sensing data (Lantuit and Pollard, 2005; Lantz and Kokelj, 2008; Leibman et al., 2021) are employed to study RTSs. Publications predominantly focus on monitoring RTS activity by measuring retreat rates (Kizyakov et al., 2006; Wang et al.,
- 45 2009; Laccelle et al., 2010) and volume changes (Kizyakov et al., 2006; Clark et al., 2020; Jiao et al., 2022; Bernhard et al., 2022), identifying driving factors (Harris and Lewkowicz, 2000; Lacelle et al., 2010), or more generally mapping of RTSs (Pollard, 2000; Lipovsky and Huscroft, 2006; Khomutov and Leibman, 2008; Swanson, 2012; Segal et al., 2016). Recent publications on RTS mapping notably shifted away from a focus on geological and geomorphological aspects to developing advanced methodologies of RTS detection and classification using spatially and/or temporally high-resolution remote
- 50 sensing data and digital elevation data, frequently employing artificial intelligence (Huang et al., 2020; Nitze et al., 2021; Yang et al., 2023).

Despite the strongly rising interest in RTS among the permafrost and remote sensing research communities, we find that there is no commonly agreed theoretical background on the RTS phenomenon. Various authors apply different terminology to describe the same morphology and processes or use the same terms for different processes. This leads to challenges with

55 comparability between datasets from different RTS studies and potential misunderstandings about what exact features or processes have been investigated in a particular study.

This review paper aims to provide clarifications on the theory and terminology behind RTS phenomena. The objective is to critically review existing theoretical concepts and terminologies and to provide context to ease the understanding of published studies.

## 60 2 Current definition of a retrogressive thaw slump

According to the Glossary of Permafrost and Related Ground-Ice Terms (van Everdingen, 2005), RTS is defined as: "A slope failure resulting from thawing of ice-rich permafrost. Retrogressive thaw slumps consist of a steep headwall that retreats in a retrogressive fashion due to thawing and a debris flow formed by the mixture of thawed sediment and meltwater



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that slides down the face of the headwall and flows away. Such slumps are common in ice-rich glaciolacustrine sediments and fine-grained diamictons."

While this definition is short and describes a portion of RTS characteristics, it is limited in its scope and does not capture the full breadth of RTS types, stages, and processes. In particular, the definition only focuses on the active stage of RTS, while the polycyclic nature of many RTS also includes the stages of stabilization without activity. With a large number of recent RTS mapping studies in different permafrost regions, it has become clear that RTS characteristics and morphologies vary

70 widely, that RTS can occur in a range of different permafrost and ground ice settings, and feature processes not yet covered by the current definition but important to understand their dynamics and environmental impacts.

### 3 Commonly accepted settings of retrogressive thaw slump

# **3.1.** Polycyclicity and complex spatial aggregation

RTSs are very dynamic features that develop in a polycyclic fashion (Mackay, 1966; Kerfoot, 1969; Kokelj et al., 2009):
they can be active, then stabilize, and reactivate again. RTSs can be considered active when there is an ongoing ablation of the exposed ice and thawed material is transferred downslope. Generally, RTSs can stay active for decades, but the ablation happens only in summer when the air temperature is above 0°C (Burn and Lewkowicz, 1990).

RTSs can stabilize mostly due to two reasons: 1) exposed ground ice has completely melted or 2) the exposed ice is reburied by sediments and insulated from further melting (Burn and Friele, 1989). Once an RTS is stabilized, the pioneer vegetation starts to grow in the slump floor. Vegetation in stabilized RTS can go through several stages of succession and it was reported that forest and tundra communities were re-established after 35-50 years (Burn and Friele, 1989). Some researchers found that RTSs can be stabilized for up to several hundred years (Leibman and Kizyakov, 2007). Such longterm stabilized RTS are named in some studies as ancient (Nesterova et al., 2023).

New active RTS can form within the outline of another stabilized RTS, moreover, neighboring RTSs can grow and coalesce at some point (Lantuit and Pollard, 2008). This leads to the very complex spatial aggregation of nested and amalgamated RTSs. It raises additional challenges when delineating and mapping RTS (van der Sluijs et al., 2023; Leibman et al., 2023).

#### 3.2. Triggers

An RTS forms once very ice-rich permafrost or massive ground ice becomes exposed for any reason and starts melting. Triggers of this exposure can be any anthropogenic or natural permafrost disturbances.

90 Anthropogenic triggers can be any disturbance of the thermal balance of the permafrost due to direct human actions. For example, mining was reported to trigger ice exposure and further formation of RTS in Canada (Fraser and Burn, 1997) and on the Tibetan Plateau, China (Wei et al., 2006; Niu et al., 2012).

Natural triggers can be separated into climatic and geomorphological. The climatic triggers are generally associated with the deepening of the active layer and the subsequent thawing of ice-rich deposits or massive ground ice. It can be caused by:



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- unusually long warm weather periods (Lacelle et al., 2010; Balser et al., 2014; Swanson and Nolan, 2018; Lewkowicz and Way, 2019; Jones et al., 2019)
  - heavy precipitation and snowmelt events (Leibman et al., 2003; Lamoureux and Lafreniere, 2009; Balser et al., 2014)

Geomorphological triggers slightly differ inland and on the coasts. Coastal triggers reported in the literature are:

- thermal erosion (or thermo-erosion) (Burn and Lewkowicz, 1990; Lantuit et al., 2012; Kokelj and Jorgenson, 2013)
  - coastal erosion (Burn and Lewkowicz, 1990; Burn, 2000; Dallimore et al., 1996; Lantuit et al., 2012; Kokelj and Jorgenson, 2013; Ramage et al., 2017; Lewkowicz and Way, 2019)

RTS formation can also be initiated due to inland geomorphological triggers such as:

- wildfires that remove the upper protective layer leading to deeper thaw than normal (Harry and MacInnes 1988; Jorgenson and Osterkamp, 2005; Lacelle et al., 2010)
- development of thermo-erosional gullies downwards (Czudek and Demek, 1970; Jones et al., 2019)
- mechanical riverbank and lake shore erosion (Burn, 2000; Burn and Lewkowicz, 1990; Lewkowicz and Way, 2019; Jones et al., 2019)
- thermokarst subsidence (Romanovskii, 1993; Voskresenskii, 2001)
- active layer detachment slides (Lewkowicz and Harris, 2005; Lacelle et al., 2010; Swanson, 2021; Jorgenson and Osterkamp, 2005; Lewkowicz, 2007; Lamoureux and Lafreniere, 2009; Lewkowicz and Way, 2019; Jones et al., 2019)
  - ice-wedge melt that leads to the degradation of ice-rich slopes (Fraser et al., 2018)

Two additional interesting but maybe highly site-specific inland geomorphological triggers were reported to be possible reasons for RTS re-initiation:

1) the growth of a talik that occurs in ice-rich permafrost can lead to thaw subsidence and stimulate further RTS reoccurrence (Kokelj et al., 2009)

2) the growth of a debris tongue (see Section 3.5.8) can eventually obstruct a stream valley and lead to the increase of stream base level and further thermoerosion that can erode and expose the ground ice (Kokelj et al., 2015).

# 120 **3.3. Position and topography**

RTS forms inland or on the coasts. Inland RTS can be found at lake shores, riverbanks, slopes of temporary streams, or valley slopes. As an RTS develops, the thawed material is transferred downstream to valley bottoms or the nearest water body.

The extent of RTS appearing at a particular position varies and is strongly controlled by the topographical and geological

125 characteristics of the area. For example, RTSs in mountain regions mostly occur on slopes, as in the Tibetan Plateau, China (Niu et al., 2012; Huang et al., 2018; Hu et al., 2019), or in the Yana Highlands, Siberia (Kizyakov et al. 2023), while RTSs





in the flat terrain of the Yamal and Gydan peninsulas, Russia, are generally found next to lake shores (Nesterova et al., 2021). A first analysis across the Arctic has not revealed any correlations between the influence of RTS position in the terrain and its size or activity so far (Bernhard et al., 2022).

- 130 RTSs were found on gentle terrain slopes of <5° (De Krom, 1990; Leibman et al., 2023), medium slopes of 5 to 10° (Niu et al., 2016), as well as on steep slopes >10° (Czudek and Demek, 1970; Barry, 1992; Robinson, 2000). Some researchers found that RTSs on steeper slopes tend to have higher headwall retreat rates (see Section 3.5.1) than those that occur on less steep slopes (Robinson, 2000).
- RTSs occur on a great variety of slope aspects. Researchers investigating different regions across the Arctic reported that their studied RTSs tended to have different prevailing slope orientations: northern, eastern, western, or southern (Kokelj et al., 2009; Lacelle et al., 2015; Jones et al., 2019; Nesterova et al., 2021; Bernhard et al., 2022). Some studies suggested that higher RTS ablation rates and headwall retreat (see Section 3.5.1) are related to their southern aspects (Lewkowicz, 1987a; Grom and Pollard, 2008; Lacelle et al., 2015). Other studies did not find any link between the slope aspect and RTS activity (Wang et al., 2009; Nesterova et al., 2021; Bernhard et al., 2022). Thus, there are no solid findings that the slope aspect
- 140 defines RTS occurrence in general. Bernhard et al. (2022) suggested that differences in the RTS aspect can be explained by regional geological history that defines ice content and ice distribution, which are the main factors of RTS occurrence (Mackay, 1966; Kerfoot, 1969).

# 3.4. The role of ground ice

- The spatial distribution of the ground ice determines the spatial extent of RTS. The shallower the ground ice table the higher the likelihood that seasonal thawing will reach and start melting the ice, potentially triggering the initiation of the RTS. Regions with abundant ground ice presence in Canada feature widespread and ubiquitous slumps (Lamothe and St-Onge, 1961; Mackay, 1966; Kokelj et al., 2017). Similar observations were reported for Central Yamal, Russia (Babkina et al., 2019). RTS in areas with a thinner ground ice-rich layer tend to stabilize faster due to the rapid ice exhaustion (Kizyakov, 2005). The type of ground ice and its local distribution can define some morphologic parts of RTS (see Section 3.5) and affect retreat rates. For example, RTS forming in syngenetic ice-rich Yedoma deposits with polygonal ice wedges are usually accompanied by the presence of baydzherakhs on the slump floors (see Section 3.5.6). De Krom and Pollard (1990) found
  - that on Herschel Island, Canada, large ice wedges melted more slowly than the enclosing massive ground ice.

## 3.5. Morphologic parts

RTS have various morphologic parts, of which some are characteristic of all RTS but some may be present only in certain RTS types and depend on local geological conditions. Figure 1 shows field photos with examples of different RTS morphologic parts. Moreover, some morphologic parts of RTS can be visible even when the RTS stabilizes. There are various terms used in the literature to describe parts of RTS, and some different terminologies used in different studies are synonymous, which may lead to confusion when trying to compare RTS characteristics across different studies (Table 1).





	Table 1 Morphologic parts of RTS and different terminologies used to describe them. The last column represents the presence "+"
160	or the absence "-" of the morphologic part in stabilized RTS.

Present in all RTS (essential)						
Most common term	Other related terms	Description	Presence in			
			stabilized			
			RTS			
1. Headwall (Kerfoot, 1969; Egginton,	• Backwall (Lamothe and St-	A steep retreating wall	-			
1976; Burn and Friele, 1989; Burn and	Onge, 1961; Worsley, 1999;	consisting of ablating ice at the				
Lewkowicz, 1990; Barry, 1992)	Leibman et al., 2021)	back of RTS				
	• Headscarp (De Krom, 1990;					
	Lewkowicz, 1987b; Lantuit					
	and Pollard, 2005)					
	• <i>Slump face</i> (Huang et al.,					
	2022)					
	ice face (Kerfoot, 1969;					
	Lewkowicz, 1987b)					
	• Scarp (Mackay, 1966;					
	Kerfoot, 1969; Egginton,					
	1976; Fortier et al., 2007;					
	Wang et al., 2009; Nicu et al.,					
	2021)					
	• Escarpment (Swanson and					
	Nolan, 2018; Swanson, 2021)					
2. Slump floor (Mackay, 1966;	-	The low-angle to horizontal	+			
Lewkowicz, 1987a; Burn and Friele,		area of the hollow's bottom				
1989; De Krom, 1990; Barry, 1992;						
Lantuit and Pollard, 2005; Lacelle et al.,						
2010)						
or						
Scar (De Krom, 1990; Barry, 1992;						
Kokelj et al., 2002; Kokelj et al., 2009)						
3. <i>Mudflow</i> (Lamothe and St-Onge,	• Earth / Mud flow (Leibman	The meltwater stream that	-			
1961; Egginton, 1976; Lewkowicz,	et al., 2014)	carries thawed viscous				





1987a)	• Debris flow (Murton, 2001;	sediment material downslope	
	Lipovsky and Huscroft, 2006)	across and out of the slump	
		floor	
4. Edge (Cassidy et al., 2017; Leibman	• Outline (Burn, 2000; Yang et	The boundary line of the	+
et al., 2021; Leibman et al., 2023; van	al., 2023)	headwall or entire landform	
der Sluj et al., 2023; Kizyakov et al.,			
2023)			
Present in some RTS depending on vari	ous local characteristics (option	al)	
1. Mudpool (De Krom and Pollard,	-	The area of the first	-
1989; Lantuit and Pollard, 2005)		accumulation of thawed liquid	
		material, generally at the base	
		of the headwall	
2. Evacuation channel (Lacelle et al.,	-	Channel the thawed sediments	+
2004; Lacelle et al., 2010; Delaney,		and meltwater (debris) pass	
2015)		through when leaving the	
		slump floor	
3. Debris tongue (Worsley, 1999; Kokelj	• Slump lobe (Lantuit and	Thawed sediments and	+
et al., 2015; Segal et al., 2016)	Pollard, 2005)	meltwater (debris) in the shape	
	• Mud lobe (Lantuit and	of a tongue that slid downslope	
	Pollard, 2005)	from the slump floor	
4. Slump block(s) (Swanson, 2012;	• Remnant island (Burn and	Pieces of soil and vegetation	-
Kokelj et al., 2015)	Friele, 1989; Bartleman et al.,	that slid or fell from the	
	2001)	headwall and are located within	
		a slump floor	
5. <i>Baydzherakh(s)</i> (Czudek and Demek	-	Conical hills within a slump	+
1970; Zhigarev, 1975; Pizhankova,		floor remnant after thawing of	
2011; Séjourné et al., 2015)		large ice-wedges	
6. Mud levees (Kerfoot, 1969; Lantuit	-	"Dams" of dried stagnated	-
and Pollard, 2005)		thawed sediments within a	
		slump floor	
7. Mud gullies (Lantuit and Pollard,	-	Erosional channels within	-
2005)		thawed sediments formed by	
		meltwater flow within a slump	





		floor	
8. Dropwall (Leibman et al., 2021)	-	A cliff between the edge of the	+
		hanging RTS floor and the	
		shore	
9. Side-wall (Lewkowicz, 1987b)	-	A steep retreating wall	+
		consisting of ablating ice at the	
		side of RTS	

# 3.5.1. Headwall and Side-walls

The term *headwall* is used in the literature in two ways: 1) as a broad general term for the steep wall of RTS, where the ice is exposed (Kerfoot, 1969; Egginton, 1976; Burn and Friele, 1989; Burn and Lewkowicz, 1990; Barry, 1992) and 2) as a term for only upper vertical part of the wall that consists of the active layer and ice-poor organic or mineral sediments (Lantuit

- 165 and Pollard, 2005; Lewkowicz and Way, 2019). The second lower part of the RTS wall according to these authors is a steep (20°-50°) *headscarp* that consists of exposed ice-rich sediment or massive ground ice. Exposed ice is not only called a *headscarp* in the literature but also an ice face and in such cases, the ice face is a part of the headwall that represents the whole RTS wall in a general way (Kerfoot, 1969; De Krom, 1990; Burn and Lewkowicz, 1990; Barry, 1992).
- There are several terms in the literature that are used to describe the whole RTS wall (*headwall* in a general way): for example, *slump face* (Huang et al., 2022), *scarp* (Mackay, 1966; Kerfoot, 1969; Egginton, 1976; Fortier et al., 2007; Wang et al., 2009; Nicu et al., 2021) and *escarpment* (Swanson and Nolan, 2018; Swanson, 2021). Another similar term is a *backwall* and it is used to describe the whole RTS wall but separate it by its location on the back of the RTS (Lamothe and St-Onge, 1961; Worsley, 1999; Leibman et al., 2021). Those RTS walls that are located at the sides are sometimes called *side-walls* (Lewkowicz, 1987b). Side-walls can be called an optional morphologic part since they mostly occur only in bowl-shape
- 175 morphologies.

Since a *headwall* is a wall with exposed ablating ice, it can only be found in an active RTS. The remnants of the headwall in stabilized RTSs are called in the literature as "stable headwall" (Kokelj et al., 2009) or "old headscarp" (Zwieback et al., 2018).

# 3.5.2. Slump floor or Scar

180 As a *headwall* retreats it leaves a low-angle surface that can also be described as the bottom of the RTS hollow. This surface is termed *slump floor* (Mackay, 1966; Lewkowicz, 1987a; Burn and Friele, 1989; De Krom, 1990; Barry, 1992; Lantuit and Pollard, 2005; Lacelle et al., 2010), highlighting its flatness or sometimes with the term *scar* (De Krom, 1990; Barry, 1992; Kokelj et al., 2002; Kokelj et al., 2009) that originates from landslide terminology and means the bare surface that is left





after the removal of the sediments by mass movement. Both of the terms are equally popular in the literature and sometimes can be used simultaneously in the same paper as an interchangeable term (De Krom, 1990; Barry, 1992). A *slump floor* or a *scar* can be found in active as well as stabilized RTSs.

#### 3.5.3. Mudpool and Mudflows

The area of the mud in the *slump floor* right next to the headwall is often (but not always) the place where meltwater accumulates. Some authors call this area of the RTS slump floor a mudpool (De Krom and Pollard, 1989; Lantuit and Pollard, 2005). Thawed sediments after their first accumulation at the mudpool are transported downslope by the streams of meltwater. These flows are generally called *mudflows* (Lamothe and St-Onge, 1961; Egginton, 1976; Lewkowicz, 1987a), but there are other terms in the literature with similar meanings: *earth/mud flows* (Leibman et al., 2014) and *debris flows* (Murton, 2001; Lipovsky and Huscroft, 2006).

### 3.5.4. Mud gullies and levees

195 *Mudflows* can lead to the formation of *mud gullies* within a *slump floor* – erosional channels that are carved by meltwater streams into debris (Lantuit and Pollard, 2005). If transported debris stagnates and dries out it may form *mud levees* bordering *mudflows* (Kerfoot, 1969; Lantuit and Pollard, 2005).

#### 3.5.5. Slump block

The pieces of ice-poor, often organic-rich peaty soil covered with vegetation that slide down the headwall into the slump 200 floor and stay rigid when moving downslope with mudflows are called *slump blocks* (Swanson, 2012; Kokelj et al., 2015). If these features consist of active layer soil, they generally preserve the initial undisturbed tundra vegetation, some authors called these blocks *remnant islands* (Burn and Friele, 1989; Bartleman et al., 2001).

#### 3.5.6. Baydzherakh(s)

- Baydzherakhs (from the Yakutian language, but now a more commonly accepted term) are conical mounds in the slump floor of RTS representing largely still frozen remnants of ice-wedge polygon centers where the surrounding large ice wedges have thawed substantially already. They are typical for RTSs located on the upland slopes with ice-rich deposits and large polygonal ice wedges up to 50 m thick (e.g. Yedoma Ice Complex) (Tikhomirov, 1958; Czudek and Demek, 1970; Zhigarev, 1975; Pizhankova, 2011; Séjourné et al., 2015). Baydzherakhs can reach significant sizes: up to 11 m in height, 15 m in width, and 20 m in length (Tikhomirov, 1958). Thus, they can be found not only in active but also in stabilized RTSs. As a
- 210 typical feature of Yedoma upland slopes *baydzherakhs* are widely distributed in Yedoma Ice Complex domain regions in North-Eastern Eurasia, Alaska, and North-Western Canada (Strauss et al., 2021) as well as in other areas formed by ice-rich deposits with large polygonal ice wedges. *Baydzherakhs* will therefore not form in areas where RTS are formed in deposits with buried glacial ice or ice-rich glaciomarine deposits.





# 3.5.7. Evacuation channel

Depending on the morphology of RTS, thawed sediments and meltwater (debris) can leave the slump floor through the 215 trench connecting the *slump floor* and the base level. This optional morphologic part of RTS is termed an *evacuation channel* (Lacelle et al., 2004; Lacelle et al., 2010; Delaney, 2015).

## **3.5.8.** Debris tongue

Thawed sediments and meltwater (debris) moving downslope can eventually escape from the slump floor directly or via an evacuation channel. Once this happens, thawed sediments accumulate in the shape of a "tongue" on any surface where an 220 RTS outflow ends. Such features are generally called *debris tongues* (Worsley, 1999; Kokelj et al., 2015; Segal et al., 2016), but are sometimes referred to as mud or slump lobes (Lantuit and Pollard, 2005).

# 3.5.9. Edge and dropwall

The term *edge* of RTS is used in the literature to indicate: 1) the outline of the whole feature (van der Sluijs et al., 2023) and 225 2) the boundary line of active retreat (Cassidy et al., 2017; Leibman et al., 2021; Leibman et al., 2023; Kizyakov et al., 2023). In the first case, the term edge is used to indicate the outline. There is also the term *outline* itself that is used to describe the whole area of the RTS landform (Burn, 2000) or only the polygon that is considered to be RTS by automated mapping methods (Yang et al., 2023). In the second case, the edge of RTS is also sometimes classified into upper edge meaning the boundary line of active retreatment of the headwall (Kizyakov et al., 2023), and lower edge meaning the boundary line of the cliff retreatment (Leibman et al., 2021). The face (cliff) from the lower edge of RTS to the beach is

called in this study a *dropwall* as a morphologic part of RTS being separated from the rest of the cliff.







Figure 1 Morphologic parts of active RTSs in (a) Yamal Peninsula, West Siberia, Russia, July 2019, unmanned aerial vehicle (UAV) photo: Nina Nesterova, (b) Alaska, USA, August 2016, photo from the airplane: Ingmar Nitze, (c) Bykovsky Peninsula,
Northern Yakutia, Russia, August 2015, field photo: Alexandra Veremeeva, (d) Gydan Peninsula, West Siberia, Russia, 2020, photo from helicopter: Elena Babkina. The numbers on the photos stand for the following morphologic parts: 1 – headwall, i.e. the upper vertical part of the wall only; 2 – headscarp; 3 – headwall (or a backwall), more generally describing the entire steep wall; 4 – mudpool; 5 – mud levees; 6 – mud gullies; 7 – slump block; 8 – mudflow; 9 – slump floor or scar; 10 – evacuation channel; 11 – side-wall; 12 – baydzherakhs; 13 – debris tongue.

# 240 **3.6. Morphometry and dynamics**

RTSs can be of various sizes starting from less than 0.1 ha in area and reaching up to ~80 ha as the Batagay slump, Yakutia, Russia (Kizyakov et al., 2023). Some authors describe RTSs larger than 5 ha (Kokelj et al., 2015) or larger than 20 ha (Lacelle et al., 2015) as megaslumps. Known RTS headwall (in the meaning of the entire steep wall) heights range from a few meters up to 55 m in Batagay slump (Kizyakov et al., 2023). RTS headwall length can exceed 1 km as reported for

# 245 Yakutia, Russia (Costard et al., 2021).

Some researchers estimated the RTS length-to-width ratio. Reported ratios range from below 1 (Lantuit and Pollard, 2008; Ardelean et al., 2020) up to 3 (Niu et al., 2016) and even 5 (Lantuit and Pollard, 2008). Some field studies in Canada suggest that this ratio increases with time due to the headwall retreating faster than the sidewalls, leading to a landform lengthening (Lewkowicz, 1987b). Other studies in Siberia report the widening of RTS with time due to its merging with neighboring

250 RTSs (Runge et al., 2022; Leibman et al., 2023).





RTS dynamics can be estimated by measuring headwall retreat rates. Reported RTS headwall retreat rates vary from several cm per year in Tibet, China (Sun et al., 2017) up to ~66 m per year estimated for Yugorsky Peninsula, Russia (Leibman et al., 2021). Similar extreme headwall retreat rates of ~27 m per year were reported for some RTS in Canada (Lacelle et al., 2015; Jones et al., 2019).

# 255 3.7. Concurrent processes

While triggering processes described in Section 3.2 start before RTS initiation, concurrent processes start simultaneously or soon after RTS initiation occurs and are in turn further reinforced by RTS growth. Depending on the terrain, concurrent processes can have different impacts on RTS.

If RTS initiation occurs along the ice-wedge polygonal network, it also affects its further development (Fraser and Burn, 1997; Fraser et al., 2018). Ice-wedge degradation can result in the rugged outline of the headwall following the morphology of wedges (Fig.2a, b).

RTSs at the coast or any large water bodies (lakes, rivers) where wave action takes place are affected by coastal erosion at the bluff base. This process manifests itself in washing away the debris tongue of RTS thus promoting further RTS growth by removing debris blockages (Burn and Friele, 1989; Are, 1998), steepening the erosional base by coastal retreat, and in

- 265 some cases also undercutting the coast and niche-formation adding to further collapse of steep shore bluffs (Fig.2e). As mentioned above, RTSs can form due to massive ground ice exposure in thermo-erosional gullies. Usually in such RTSs lateral thermo-erosion continues to act simultaneously with ice ablation and thaw-related mass wasting. Sometimes lateral thermo-erosion can appear in already existing RTS (Kerfoot, 1969; Lantuit and Pollard, 2005; Leibman and Kizyakov, 2007). In both cases, the RTS has or develops a specific gully-like shape (Fig.2c).
- 270 Due to specific RTS geometries and climatic conditions, thick snow packs accumulating due to wind drift of snow in the winter can remain within some RTS over summer (Fig.2d). This can affect RTS development by thermal isolation (Zwieback et al., 2018). It was reported that snow packs prevented fast headwall retreat rates compared to the headwalls not covered by snow (Lacelle et al., 2015).
- Thermokarst subsidence and ponding can also occur within a slump floor (Fig.2b). This happens if the ground ice lies deeper than the level of the slump floor, and there are conditions for water to accumulate in local concavities (Leibman and Kizyakov, 2007). It also can happen that meltwater streams can go into ice wedge tunnels and disappear in sinkholes on the slump floor and come out again further down at the slump floor.







Figure 2 Concurrent processes affecting RTS: (a) ice-wedge degradation in RTS in Yamal Peninsula, Russia, July 2018, UAV 280 photo: Artem Khomutov, (b) Thermokarst subsidence indicated by yellow arrows and ice-wedge degradation indicated by the light-blue arrow in stabilized RTS in Gydan Peninsula, Russia, July 2019, ESRI Basemap, GeoEye-1 satellite image, (c) active thermal erosion in RTS in Yamal Peninsula, Russia, July 2013, ESRI Basemap, WorldView-3 satellite image, (d) snow packs staying in RTS over summer, Yukon coast, Canada, July 2022, photo from helicopter: Saskia Eppinger, (e) coastal erosion that undercuts the coast (indicated by dark-blue arrow) and washes away debris tongue of the RTS in Gydan Peninsula, Russia, 285 September 2021, UAV photo: Nina Nesterova.

# 4 Two views on RTS formation processes and landform name

### 4.1 Historical background

The first mention of exposed ice in a retrogressive thaw slump probably dates back to 1881 by Dall in his publication on observations in Alaska (Dall, 1881). However, the process of RTS formation from the initiation by triggers and to further

290 development into the landform has neither been named nor classified in classical works on RTS and exposed ice (Mackay, 1966; Mackay, 1970; Rampton and Mackay, 1971; Lewkowicz, 1987a; Burn and Friele, 1989).

In the literature of the 20th century, this process was termed solifluction, thermokarst, and thermodenudation. Initially, none of these three terms took the formation of RTS into account in their definitions. At some point, however, the definitions of these three terms were expanded to include RTS formation. The process of RTS formation was also previously referred to as

295 the process of *erosion* (Lamothe and St-Onge, 1961), but this term was later no longer used in publications in this context.





The general chronology of usage of these 3 terms which differ in definitions in the 20th century is shown in Fig.3. While this chronology graph has some limitations due to the a) ambiguity of some definitions; b) definition reformulation by some authors through their later publications; c) usage of several terms for the same process etc., it helps understanding how the RTS terminology evolved in the scientific literature and how different schools of thought influenced its development.

- 300 The term *solifluction* was first introduced by Andersson (1906) and describes the process of slow downslope movement of saturated unfrozen materials (van Everdingen, 2005). In non-Russian language literature, this term has always been used for very slow movements up to several centimeters per year (Smith, 1988) and never for the rapid mass-wasting that can lead to RTS. Meanwhile, Russian-language authors have included the process of slumping into the *solifluction* calling it *rapid solifluction*. Probably the most remarkable publication with such a statement was done by Kaplina (1965). The concept of
- 305 rapid solifluction was later criticized by Dylik (1967) and Leibman (1997) for summarizing processes that have process rates differing by several orders of magnitude under one term. Nevertheless, this approach of referring to the RTS formation process as *rapid solifluction* was frequently used in the literature until the end of the 20th century. The last publication in which *rapid solifluction* was mentioned in connection with the formation of RTS was by Yershov (1998). The term *thermokarst* was first suggested by Ermolaev (1932) to describe the surface subsidence due to the melting of
- 310 ground ice as a similarity to the *karst* process by dissolution. In general, the term thermokarst has always been used by Russian-language researchers for describing the subsidence of the land surface (Sumgin et al., 1940; Kachurin, 1955; Mukhin, 1960; Dostovalov and Kudryavcev, 1967; Shur, 1977; Romanovskii, 1993; and many more later). The only exception can be found in two publications of Popov: one in English (Popov et al., 1966), where he included the slumping process in *thermokarst*, and another one in French (Popov, 1956), where his definition of *thermokarst* was not purely limited
- 315 to the process of subsidence. Meanwhile, a different approach was suggested by Czudek and Demek (1970), who put the RTS formation process under the umbrella of the thermokarst term. They proposed two types of *thermokarst*: <u>down-wearing</u> which included only subsidence and <u>back-wearing</u> which included the RTS formation. This approach found support from French (1976), who extended this term by adding *thermal erosion* to it. French's (1976) definition of *thermal erosion* as "a dynamic process 'wearing away' by thermal means, i.e. melting of ice" differs from the one in the Glossary, where the main
- 320 erosional agent is moving water: "The erosion of ice-rich permafrost by the combined thermal and mechanical action of moving water." This is the reason why the RTS formation process is sometimes called *thermal erosion*. For example, Burn (1983) relates the process of RTS formation to *thermal erosion*, which he in turn describes as part of the *thermokarst* process.







325 Figure 3 The chronology of the usage of different terms by selected most cited authors in 20th century. Three color-coded wide lines represent the term on the left side and the main process by definition on the right side. The dots represent publications and are color-coded based on the first author affiliation origin.

The term *thermodenudation* (sometimes also spelled as *thermal denudation*) has never been properly introduced in Englishlanguage literature, however, it is widely used in Russian-language permafrost literature with two types of definitions: 330 narrow and wide. The term was suggested by Panov in 1936 with a narrow definition as "the influence of the sun in a direct or transformed form through soil or water on the sediments containing a certain amount of ice cement or ice masses, as well as on bedrock with negative temperature <...> that leads to mass-wasting as well as some forms of thermoerosion or thermokarst". Are (1968) used this term to describe the thermal effect of solar radiation and sensible heat affecting the retreating coasts. Zhigarev (1975) highlighted the importance of the slope in his definition as "a complex of gravitational and

- 335 erosive processes that develop on slopes during thawing of ice-rich deposits of various genesis". The only wide definition of *thermodenudation* was introduced in the Glossary of Glaciology (Kotlyakov, 1984) as: "a set of cryogenic destructive processes and the transfer of the products of destruction downwards. *Thermodenudation* includes cryogenic weathering, nivation, cryogenic slope processes (mass movements), thermal erosion, thermal coastal erosion, thermokarst, and thermal suffosion". Here, it is worthy to define cryogenesis as a set of thermophysical, physicochemical, and physicomechanical
- 340 processes that occur in freezing, frozen, and thawing deposits (van Everdingen, 2005). The word cryogenic is usually used to describe the periglacial nature of the processes. This wide definition by Kotlyakov (1984) of a thaw-related process is quite similar to the expanded by French (1974) version of the thermokarst term. The term *thermodenudation* was widely applied in





all of its definitions to describe mass wasting responsible for the coastal retreat (Are, 1968 and 1980; Pizhankova, 2011) as well as for RTS formation (Fartyshev, 1980; Romanenko, 1998; Leibman and Kizyakov, 2007 and many more).

- 345 To summarize, the 20th century was a starting point for many scientists to describe RTS formation processes and therefore also to search for the terminology that would properly explain the process of RTS formation and growth. In the 21st century, mostly only two terms are used in the literature for the RTS formation process: thermokarst (in extended definition) in most English-language literature and thermodenudation (in its narrow definition) in Russian-language literature. In this review paper, we will call the first approach the North American perspective and the second the Russian perspective. The sections 350 below summarize both of these approaches.

# 4.2. North American perspective

Since French (1976) expanded the definition of thermokarst processes to encompass slope processes and in particular thaw slumping, the RTS formation process has consistently been perceived as a thermokarst process in the North American view (Washburn, 1979; Burn, 1983) or sometimes specified as hillslope thermokarst (Gooseff et al., 2009). There was no

- 355 agreement among scholars on the terminology of the RTS itself. RTSs were termed in the literature as tundra mudflows (Lamothe and St-Onge, 1961), ground-ice slumps (Mackay, 1966; French, 1976), retrogressive-thaw flow slides (Hughes, 1972), bi-modal flows (McRoberts and Morgenstern, 1974), or just thaw slumps (Washburn, 1979). The 1998 Glossary (van Everdingen, 2005) initially recommended using the term "retrogressive thaw slump", though alternative terms persist in the literature, such as "retrogressive thaw flowslides (thawslides)" (Wolfe et al., 2001) or "retrogressive thaw flows" (Highland
- 360 and Bobrowsky, 2008).

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Another closely related slope process linked to RTS formation (see Section 3.2) is active layer detachment slide or failures (ALD). The term ALD is prevalent in recent publications (Blais-Stevens et al., 2015; Balser, 2015), yet, unlike RTS, there is no universally endorsed term to describe ALD phenomena in the Glossary (van Everdingen, 2005):

- 365
- active layer failure "A general term referring to several forms of slope failures or failure mechanisms commonly occurring in the active layer overlying permafrost" (not recommended synonym: skinflow)
- detachment failure "A slope failure in which the thawed or thawing portion of the active layer detaches from the underlying frozen material" (not recommended synonyms: skin flow, active layer glide)

French (2018) defines active layer detachment slides as rapid slope failures restricted to the active layer that generally occur at the middle or upper slopes. In one of several classical works on ALD, Lewkowicz (1990) defines ALD as being a rapid

370 mass movement on permafrost slopes without strict limitation to the active layer: "Failure involves the unfrozen mass detaching from the underlying substrate and sliding downslope over a thawing ice-rich zone within the active layer or the upper part of the permafrost." Examples of mass movements that seem deeper than the active layer and are nevertheless termed ALD are shown by Rudy et al. (2016, Fig. 2a).

Unlike RTS the process of ALD was not always classified as thermokarst in the literature (Lewkowicz, 1990; Lewkowicz and Harris, 2005, etc.). For example, French (1976; 2018) describes ALD under the section of "Rapid mass movements", but 375





not "Thermokarst" in all of the editions of "The Periglacial Environment". ALDs are included in the list of thermokarst processes described for Alaska by Jorgenson et al. (2008) and classified as hillslope thermokarst by Gooseff et al. (2009). Recent publications tend to include the process of ALD under the concept of thermokarst (Kokelj and Jorgenson, 2013; Ramage et al., 2019; Kokelj et al., 2023).

380 Additional definitions of thaw-related slope processes worthy of mention can be found in "The Landslide Handbook — A Guide to Understanding Landslides" of the United States Geological Survey by Highland and Bobrovsky (2008). In the section "Flows in permafrost", the authors define ALD as the "rapid flow of shallow layer of saturated soil and vegetation" that moves over but on the underlying permafrost. Retrogressive thaw flows (as an analog term for RTS) are described as the features resulting from the thawing of exposed buried ice lenses (Highland and Bobrowsky, 2008).

#### 385 4.3 Russian perspective

The Russian perspective on RTS formation has never been fully described in English-language literature. The notion of the narrow definition of *thermodenudation* as a set of processes on a slope associated with ice-rich deposit thawing implies the occurrence of mass movements and concavities of different shapes. These mass movements were classified by Leibman (1997) into two types depending on the sliding surface.

- 390 The first type of mass movement is called *cryogenic translational landslide* (CTL). *Cryogenic translational landslide* corresponds to shallow active layer detachment slide in North American perspective and is triggered by high pore-water pressure and low effective strength (Lewkowicz, 2007). The sliding surface of such shallow mass movements is a seasonal ice that is formed at the active layer base. This type of seasonal ice is formed due to the active layer's upward freezing, ice aggradation at the base of the active layer, and later melting (Leibman et al., 2014; Lewkowicz, 1990).
- 395 This type of shallow mass movement is a rapid and single-time event (Fig.4). If these mass movements do not lead to the exposure of ice-rich permafrost or massive ground ice, then the surface of exposed bare soil will get revegetated. Otherwise, if the icy deposits or the massive ice body are exposed because of this disturbance, the second type of cryogenic mass movement can occur (Fig.5).







400 Figure 4 Examples of a cryogenic translational landslide (CTL) in Central Yamal in 2019 (photo: Artem Khomutov), (a) view from the side and (b) view from the front.



Figure 5 Conceptual diagram of the Russian perspective on the RTS formation process - thermodenudation. CTL stands for cryogenic translational landslide. Note that what is shown as massive ground ice in the diagram may have different characteristics in different regions and could include buried glacial ice, thick ice layers, or large syngenetic ice wedges.

The second type of mass movement is called *cryogenic earthflow*. It slides on the surface of massive ground ice bodies (regardless of the ice morphology) or the table of ice-rich permafrost. Such mass movements are water-saturated due to the



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amount of meltwater released and feature a viscous or viscoelastic flow of deposits (Leibman et al., 2014) (Fig.6a, b, c). This type of mass movement corresponds to a deep ALD in the North American perspective and is the very early stage of RTS formation.

Such features can also stabilize if the exposed ice is insulated by the accumulation of drying sediments. Once further thawing is suspended, the surfaces of these landforms get revegetated very fast (Fig.6d).



Figure 6 The examples of two cryogenic earthflows next to each other being active on (a), (b) and (c) photos in Central Yamal made on a photo camera in 2012 and stabilized on (d) the photo made by UAV in 2017. The arrow indicates the direction of flow. Photos: Artem Khomutov.

In contrast, the continued expansion of the flow and mass movements in several directions involving additional cryogenic processes lead to the formation of mature landforms. Two main types of such mature landforms are defined in the literature: *thermocirque* (Czudek and Demek, 1970; Grigoriev and Karpov, 1982; Leibman et al., 2000) and *thermoterrace* (Ermolaev, 1932; Are, 1968; Timofeev and Vtyurina, 1983). However, there are also combinations of these two morphologies.





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*Thermocirques*, in Russian-language literature, sometimes also called "thermokar" (Zhigarev, 1978; Voskresenskii, 2001), occur inland on slopes without any direct influence of coastal erosion. As it evolves and enlarges, a *thermocirque* can reach the coast, however, coastal erosion does not play a significant role in its formation and further development. *Thermocirques* mostly have a horseshoe-shaped morphology and are curved inland (Fig.7). That is why the term that is widely used in Russian-language literature consists of "thermo" which stands for temperature relations and "cirque" which refers to the semi-circular shape of the landform that was also called as "amphitheater" (Kerfoot, 1969; De Krom and Pollard, 1989). However, in some cases, these landforms can also be elongated in width (i.e. Fig.1 in Swanson and Nolan, 2018) following the initial shape of massive ground ice.

(a) (b) (c)

- 430 Figure 7 (a) Scheme of Thermocirque formation, the black arrow indicates the direction of mass movement of thawed material, and the red dotted line stands for the cross-section of the headwall and the slump floor (see Section 3.5). Note that the scheme demonstrates the particular ice morphology of a layer of tabular massive ground ice (adapted from Kizyakov, 2005), but may also consist of other forms of ice-rich ground. Example of a thermocirque in Central Yamal, Russia show on (b) in a UAV photo in August 2019 (photo: Artem Khomutov) and (c) in a WorldView-2 satellite image from July 2018 (Source: ESRI satellite basemap).
- 435 *Thermoterraces* are "terraced platforms" at the upper part of cliffs along coasts or large lakes. This term was also suggested by Ermolaev in 1932. The cliff edge retreats as the exposed massive ground ice melts and thawed material moves downwards due to the influence of warm air temperatures and solar radiation (Zenkovich and Popov, 1983). These landforms are mostly coast-specific with coastal erosion contributing to the cut of its lower part, while some also occur at very large lakes which can exhibit similar rapid shore erosion dynamics. Thermoterraces can reach few km in length along
- the coast and more than 200 m in width (Are et al., 2005). These landforms have an elongated shape along the coast (Fig.8).However, in particular cases, they can also have inland curves due to the shape of massive ground ice.







Figure 8 (a) Scheme of thermoterrace formation, the black arrow indicates the direction of mass movement of thawed material; note that the scheme demonstrates the particular ground ice morphology of a layer with large ice-wedges (adapted from Kizyakov, 2005), but may also consist of other ground ice morphologies. Example of Thermoterraces in Bykovsky Peninsula, Russia shown (b) on the ground in August 2016 (photo: Alexander Kizyakov) and (c) in a WorldView-2 satellite image from August 2020 (ESRI satellite basemap).

In some locations, the RTS landforms can be combinations of a thermoterrace with additional *thermocirques*. This is usually found in two settings: one or more *thermocirques* form and grow in former stabilized *thermoterrace* (Fig.9a) or when

450 thermocirque and *thermoterrace* merge at the coast into one outline (Fig.9b).







Figure 9 Examples of combined RTS morphologies with thermoterraces and thermocirques. (a) Thermocirque(s) growing into a stabilized thermoterrace in Central Yamal, Russia as seen in a WorldView-3 satellite image from August 2018 (ESRI satellite basemap). (b) Thermocirque and thermoterrace merging at the coast into one outline in Western Yamal, Russia as seen in a WorldView-3 satellite image from August 2018 (ESRI satellite basemap).

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# **5** Discussion

# 5.1. Correspondence of the terminology

The process of RTS formation can be generally described as a mass-wasting (landsliding) process resulting from the melting of massive ground ice exposed due to various triggers. Regardless of which concept and terminology is used, it can be seen as a sequence of physical events (Fig.10): trigger, massive ground ice exposure, ice ablation, thaw-related mass movement, and landform formation. The first two physical events (trigger + massive ground ice exposure) are usually considered the RTS initiation stage. Triggers of massive ice exposure that lead to mass-wasting and RTS landform occurrence are described in Section 3.2.







# 465 Figure 10 Broadly accepted sequence of physical events of RTS formation.

Table 2 summarizes the above-mentioned physical processes and the resulting landforms. Generally, the term "retrogressive thaw slump" (RTS) describes permafrost slope failure due to ice-rich permafrost thawing or massive ground ice melting that incorporates both types of mature RTS stages: *thermocirque* and *thermoterrace*.

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Table 7.1 orrec	nondence of the	nhygical nroces	c landform -	and ferminology in	the different an	nroaches currently used
I able 2 Colles	ponucine or me	physical proces	s, ianuitin,	and winninger m	une unierent ap	produces currently used
			,	······		

Main physical process	Resulting landform				
	North America	n perspective	Ru	issian perspective	
	term	comment	term	comment	
Mass-wasting sliding on seasonal	Active layer	shallow,	Cryogenic	Can trigger massive ground ice	
ice at the base of the active layer	detachment slide	relatively	translational	exposure	
(within the active layer)	(ALD)	dry, and	landslide		
		rather rapid			
Mass-wasting sliding on massive		deep,	Cryogenic	Initial stage of retrogressive	
ground ice (upper part of the		saturated,	earthflow	thaw slump formation	
permafrost)		and rather			
		slow			
Mass-wasting due to the exposure	Retrogressive	-	Thermocirque	The mature stage of	
and further thawing of ice-rich	thaw slump			retrogressive thaw slump	
permafrost or melting massive	(RTS)			development. This landform is	
ground ice plus other				initiated inland without coastal	
denudational processes resulting				erosion playing a role.	
in concave hollows				Morphology: mostly horseshoe	
				shape, generally less often	
				elongated	





		Thermoterrace	The mature stage of
			retrogressive thaw slump
			development. This landform is
			initiated on coastal bluffs or
			large lakes with coastal shore
			erosion playing a role.
			Morphology: mostly elongated,
			generally less often horseshoe
			shape
	-		

## 470 5.2. Biases of both perspectives

Both North American and Russian perspectives to explain RTS formation have their advantages and disadvantages. The usage of a single term Thermokarst for a wide variety of processes leads to confusion about the direction of the physical process happening: whether it is the vertical lowering of the surface in the case of thermokarst lakes or lateral mass movement in the case of RTS occurrence. Since the term Thermokarst in this case incorporates both directions of the

- 475 process, it is crucial to clearly state that RTS formation implies back-wearing thermokarst (or hillslope thermokarst). Another confusion can appear when talking about mass movements that are deeper than the active layer and slide on the surface of massive ground ice. In the North American perspective, such landslides can still be called active layer detachment slides. However, since these mass movements expose massive ground ice, the retrogression can already start, which means that it is actually already an early-stage RTS.
- 480 Such mass movements on the surface of massive ground ice are called cryogenic earthflows and are considered early-stage RTS from the Russian perspective. However, it is difficult to distinguish an early-stage RTS (cryogenic earthflow) from a mature-stage RTS (thermocirque) since mature RTS can also be of small sizes. Clear separation of these two categories is almost impossible with remote sensing data and is quite demanding in the field since it requires thorough knowledge of the environment and the dynamics of each RTS.
- 485 Furthermore, sometimes it can be challenging to distinguish between a thermocirque and a thermoterrace since their morphology can also differ depending on the exact location. In some cases, thermoterrace can appear more curved, rather resembling a thermocirque. In contrast, a thermocirque can further elongate in width, while its mudflow can reach the neighboring water body base level. In such particular cases, classification of RTS into thermocirque or thermoterrace is demanding and requires retrospective analysis of RTS formation, though these specific cases are rather rare.



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# 490 5.3. Missing terminology

Our review of morphologic elements of RTS showed that there so far is no term to describe unthawed remnants within a slump floor. The term slump block, in our opinion, fits the best to explain pieces of soil with vegetation that move downwards while the term remnant island sounds rather confusing because it does not assume the moving nature of such a feature. We suggest using the term remnant island to describe unthawed remnants within a slump floor. These remnant islands are generally larger than slump blocks and do not move since they still have unthawed cores. An example of such a remnant island is shown in Fig.11.



Figure 11 Example of an unthawed remnant island (indicated with white arrow) within a slump floor of RTS in Yugorsky Peninsula, Russia, September 2019. UAV photo: Nina Nesterova.





# 500 6 Conclusions

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Retrogressive thaw slumps are complex permafrost region landforms that despite recent wide scientific interest are still studied very differently in terms of theory and terminology. Based on our review we draw the following conclusions:

- The RTS formation process is currently explained in two different perspectives in North American and Russian literature based on different theoretical views that were formed in the 20th century.
- RTS is a general umbrella term that incorporates both the process and the landform, applied to different stages of activity and a variety of mass-wasting landforms on slopes with ice-rich permafrost (thermocirque/thermoterrace).
  - RTSs can differ in spatial aggregation, shape, triggers, ice types, position in the relief, activity, and concurrent processes.
  - For active RTS we identified 4 essential morphologic parts (headwall, slump floor, mudflow, edge), while 9 additional parts may or may not be present in an RTS.

The study of RTS formation and accompanying processes is important to better understand how rapid mass wasting on permafrost slopes can mobilize sediment, meltwater, carbon, and nutrients, how biogeochemical dynamics are influenced by specific processes during the RTS formation and growth, and how RTS may pose hazards to infrastructure. More clarity on used terminology and scientific views will foster this understanding and can guide new research.

# 515 Author contribution

NN: Conceptualization, Resources (literature sources), Investigation, Writing – original draft preparation. ML: Conceptualization, Supervision, Writing – review & editing. AK: Supervision, Writing – review & editing. HL: Conceptualization, Supervision, Writing – review & editing. IT: Resources (literature sources), Writing – review & editing. IN: Writing – review & editing. AV: Writing – review & editing. GG: Conceptualization, Supervision, Writing – review & editing.

#### **Competing interests**

The authors declare that they have no conflict of interest.

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