

Review article: Retrogressive thaw slump ~~theory~~ characteristics and terminology

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Abstract. Retrogressive thaw slumps (RTSs in plural and RTS in singular) are spectacular landforms that occur due to the thawing of ice-rich permafrost or melting of massive ground ice often in hillslope terrain. RTSs occur in the Arctic, Subarctic as well as high mountain (Qinghai–Tibetan Plateau) permafrost regions and are observed to expand in size and number due to climate warming. As the observation of RTS is receiving more and more attention due to their important role in permafrost thaw, impacts on topography, mobilization of sediment, carbon, nutrients, and contaminants, and their effects on downstream hydrology and water quality, the thematic breadth of studies increases and scientists from different scientific backgrounds and perspectives contribute to new RTS research. At this point, a wide range of terminologies originating from different scientific schools is being used and we identified the need to provide an overview of ~~theoretical approaches, terms, and~~ variable characteristics of RTS to clarify terminologies and ~~create common ground for~~ ease the understanding of the literature related to RTS processes, dynamics, and feedbacks. We here review the theoretical geomorphological background of RTS formation and landform characteristics to provide an up-to-date understanding of the current views on terminology and underlying processes. The presented overview can be used not only by the international permafrost community but also by scientists working on ecological, hydrological, and biogeochemical consequences of RTS occurrence as well as remote sensing specialists developing automated methods for mapping RTS dynamics. The ~~framework~~ review will foster a better understanding of the nature and diversity of RTS phenomena and provide a useful base for experts in the field but also ease the introduction to the topic of RTSs for scientists who are new to it.

1 Introduction

Permafrost in the Arctic is impacted by ~~warming and~~ thawing in step with ongoing pronounced Arctic warming due to climate change (Biskaborn et al., 2019; Smith et al., 2022). Thaw of ice-rich permafrost results in the formation of characteristic

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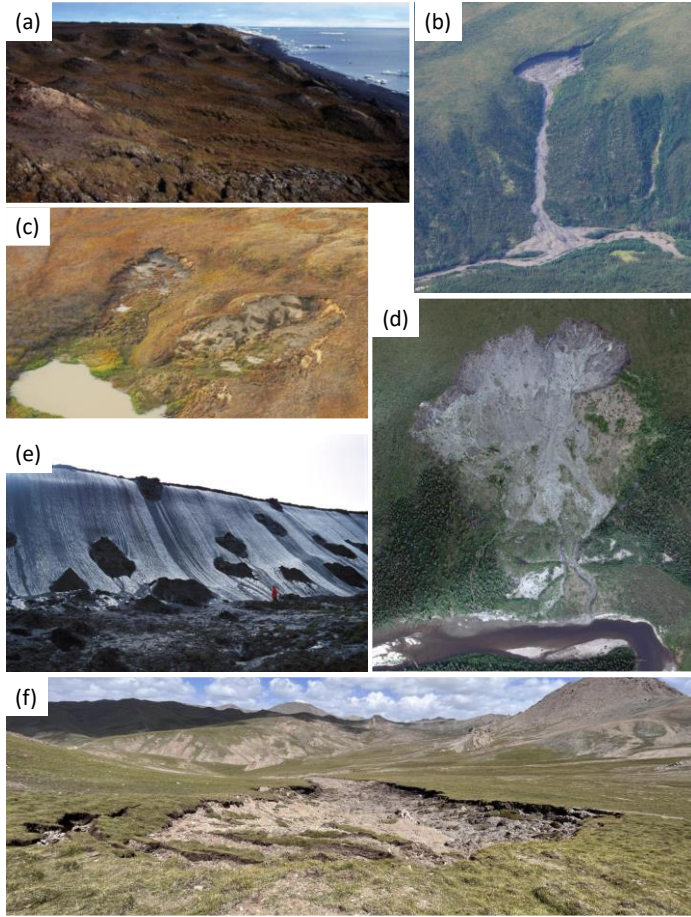
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32 landforms due to sometimes rapid terrain subsidence and erosion. One typical and regionally widespread landform formed by
33 the thaw of ice-rich permafrost or melting of massive ground ice is a slope failure termed retrogressive thaw slump (RTS)
34 (Mackay, 1966). These spectacular, ~~often horseshoe-shaped permafrost~~ landforms ~~exhibit dynamic behavior, progressing~~
35 ~~through stages of active growth and stabilization that may even evolve in a polycyclic fashion~~ (Mackay, 1966; Kerfoot, 1969;
36 ~~Kokelj et al., 2009~~).
37 ~~RTSs~~ in the Northern Hemisphere occur throughout the Arctic, Subarctic, and high mountain regions (~~Qinghai~~-Tibetan
38 Plateau) with ice-rich permafrost and have a significant environmental impact (Kokelj and Lewkowicz, 1999). Figure 1 shows
39 examples of different RTSs photographed across the Northern Hemisphere. RTSs exhibit regional variations in their
40 appearance and characteristics.

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41
42 **Figure 1** Various RTSs across the Northern Hemisphere: (a) stabilized vedoma RTS on Belkovsky Island, NE Siberia, Russia,
43 September 2002, photo: Guido Grosse, (b) RTS in the Peel Plateau, NW Canada, July 2023, photo from the airplane: Guido Grosse,
44 (c) two RTSs in the central Gvdan Peninsula, West Siberia, Russia, September 2020, photo from helicopter: Elena Babkina, (d) RTS
45 in Selavik, Alaska, USA, July 2021, aerial camera image, credit: AWL, (e) vedoma RTS in Ovagos Yar, NE Siberia, Russia,
46 September 2002, photo: Guido Grosse, (f) RTS in The Qinghai-Tibet Plateau, China, August 2023, photo: Zhuoxuan Xia.

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RTS initiation not only alters the topography, hydrology, and vegetation cover but also contributes to substantial sediment, carbon, and nutrient fluxes to downstream environments with impacts on water quality and aquatic ecosystems (Kokelj et al., 2005; Mesquita et al., 2010).

Historically, RTS research started with the first mention of exposed ice in a retrogressive thaw slump probably dating back to 1881 by Dall in his publication on observations in Alaska (Dall, 1881). The first intensive studies on RTSs were conducted much later in the mid-latter half of the 20th century (in Canada (Lamothe and St-Onge, 1961; Mackay, 1966; Kerfoot, 1969) and Siberia (Popov et al., 1966; Czudek and Demek, 1970), and in recent years there has been a notable increase in-). These studies on RTSs were field-based and focused on ground ice, morphometry, and dynamics. The publications on this subject were written either in English or Russian language with different terms applied to these landforms depending on scientific approaches. Unfortunately, the level of knowledge exchange and reciprocal citation among RTS researchers from Canada and the USSR was relatively low, leading to the establishment of disparate views and terminology for RTS used in the literature.

The strong rise in scientific exchange and international collaborations at the end of the 20th century, including field methods (Czudek and Demek, 1970; Burn and Friele, 1989; Leibman joint expeditions within the permafrost community in general and within the topic of RTS in particular (i.e., Vaikmäe et al., 2000; Kizyakov 1993; Ingólfsson, and Lokrantz, 2003; Are et al., 2023) and 2005), as well as the emergence of remote sensing data (methods substantially broadened the scope of RTS research (Romanenko, 1998; Lantuit and Pollard, 2005; Lantz and Kokelj, 2008; Leibman et al., 2021) are employed to study RTSs. Publications). Today, a large body of recent literature predominantly focuses on monitoring RTS activity by measuring retreat rates (Kizyakov et al., 2006; Wang et al., 2009; Laccelle et al., 2010) and volume changes (Kizyakov et al., 2006; Clark et al., 2020; Jiao et al., 2022; Bernhard et al., 2022), identifying driving factors (Harris and Lewkowicz, 2000; Laccelle et al., 2010), or more generally mapping of RTSs (Pollard, 2000; Lipovsky and Huscroft, 2006; Khomutov and Leibman, 2008; Swanson, 2012; Segal et al., 2016). Recent publications on RTS mapping notably shifted away from a focus on geological and geomorphological aspects to developing advanced methodologies of RTS detection and classification using spatially and/or temporally high-resolution remote sensing data and digital elevation data, frequently employing artificial intelligence methods (Huang et al., 2020; Nitze et al., 2021; Yang et al., 2023).

Despite the ~~However, despite the increasing number of studies and~~ strongly rising interest in RTS among the permafrost and remote sensing research communities, ~~we find that~~ there is still no commonly agreed ~~theoretical background~~ terminology on the RTS phenomenon. Various authors apply different terminology to describe the same morphology and processes or use the same terms for different processes. This leads to ~~challenges with comparability between datasets from different RTS studies and several difficulties in communication about RTS within and across research communities. First of all, since the terminology is not always clearly defined or translated in the literature it can lead to~~ potential misunderstandings about what exact features or processes have been investigated in a particular study. ~~The confusion about the object of the study may cause incomparability of the datasets from different RTS studies. Furthermore, different labeling of the same features may result in a completely different image of the phenomena. For example, Nitze et al. (2024, in review) conducted an experiment where~~

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12 domain experts from different countries manually mapped RTSs in Canada and Russia. The results demonstrated a large mismatch of the RTS labeling in Yakutia, Russia, which can be partially explained by different terminology used in the publications describing this region. The confusion in the terminology and labeling of RTSs can also affect the related studies on how RTSs impact hydrology, geochemistry, and ecology or their physical modeling, based on the established terms and concepts in the literature. Moreover, various terms used in the keywords lead to new publications and new data being missed and not included in further reviews.

This review paperwork aims to provide clarifications on clarify the theory and existing terminology behind of RTS phenomena. The objective is to critically review existing theoretical concepts and terminologies and to provide context to and ease the understanding of published studies. The paper presents commonly observed RTS characteristics and a neutral review of existing RTS terminology in the literature. Our review considers a broad variety of RTSs in the Northern Hemisphere.

2 Current definition Observed characteristics of a retrogressive thaw slumps

2.1. Morphometry and dynamics

RTSs can be of various sizes starting from less than 0.1 ha in area and reaching up to ~80 ha as the Batagay slump, Yakutia, Russia (Kizyakov et al., 2023). Some authors describe RTSs larger than 5 ha (Kokelji et al., 2015) or larger than 20 ha (Lacelle et al., 2015) as megaslumps. Known RTS headwall (in the meaning of the entire steep wall) heights range from a few meters up to 55 m in Batagay slump (Kizyakov et al., 2023). RTS headwall length can exceed 1 km as reported for Yakutia, Russia (Costard et al., 2021).

Reported length-to-width ratios range from below 1 (Lantuit and Pollard, 2008; Ardelean et al., 2020) up to 3 (Niu et al., 2016) and even 5 (Lantuit and Pollard, 2008). Some field studies in Canada suggest that this ratio increases with time due to the headwall retreating faster than the sidewalls, leading to a landform lengthening (Lewkowicz, 1987b). Other studies in Siberia report the widening of RTSs with time due to their merging with neighboring RTSs (Runge et al., 2022; Leibman et al., 2023). RTS dynamics can be estimated by measuring headwall retreat rates. Reported RTS headwall retreat rates vary from several cm per year in Qinghai-Tibetan Plateau, China (Sun et al., 2017) to up to ~66 m per year estimated for Yugorsky Peninsula, Russia (Leibman et al., 2021). Similar extreme headwall retreat rates of ~27 m per year were reported for some RTS in Canada (Lacelle et al., 2015; Jones et al., 2019).

2.2. Position and topography

RTSs form inland or on the coasts. Inland RTS can be found at lake shores, riverbanks, slopes of temporary streams, or valley slopes. As an RTS develops, the thawed material is transferred downstream to valley bottoms or the nearest water body. The extent of RTSs appearing at a particular position varies and is strongly controlled by the topographical and geological characteristics of the area. For example, RTSs in mountain regions mostly occur on slopes unrelated to the waterbodies, as in

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111 the Qinghai-Tibetan Plateau, China (Niu et al., 2012; Huang et al., 2018; Hu et al., 2019), or in the Yana Highlands, East
112 Siberia (Kizyakov et al. 2023), while RTSs in the flat terrain of the Yamal and Gydan peninsulas, West Siberia, are generally
113 found next to lake shores (Nesterova et al., 2021). A first analysis across the Arctic has not revealed any correlations between
114 the influence of RTS position in the terrain and its size or activity so far (Bernhard et al., 2022).
115 RTSs were found across a wide range of slopes, including on gentle terrain slopes of <5° (De Krom, 1990; Leibman et al.,
116 2023), medium slopes of 5 to 10° (Niu et al., 2016), as well as on steep slopes >10° (Czudek and Demek, 1970; Barry, 1992;
117 Robinson, 2000). Some studies found that RTSs on steeper slopes tend to have higher headwall retreat rates (see Sect. 3.1.1)
118 than those that occur on less steep slopes (Robinson, 2000).
119 RTSs occur on a great variety of slope aspects. While some studies investigating different regions across the Arctic reported
120 that their observed RTSs tended to have different prevailing slope orientations (Kokelj et al., 2009; Lacelle et al., 2015; Jones
121 et al., 2019; Nesterova et al., 2021; Bernhard et al., 2022), several other studies found that higher RTS ablation rates and
122 headwall retreat (see Sect. 3.1.1) are related to southern aspects (Lewkowicz, 1987a; Grom and Pollard, 2008; Lacelle et al.,
123 2015). However, several other studies did not find any link between the slope aspect and RTS activity (Wang et al., 2009;
124 Nesterova et al., 2021; Bernhard et al., 2022). Bernhard et al. (2022) suggested that differences in the RTS aspect may be
125 explained by regional geological history that defines ice content and ice distribution, which are the main factors of RTS
126 occurrence (Mackay, 1966; Kerfoot, 1969).

127 **2.3. Ground ice**

128 A high excess ground ice content is a prerequisite for RTS occurrence. The shallower the ground ice table the higher the
129 likelihood that seasonal thawing will reach and start melting the ice, potentially triggering the initiation of the RTS. Regions
130 with abundant ground ice presence in Canada feature widespread and ubiquitous slumps (Lamothe and St-Onge, 1961;
131 Mackay, 1966; Kokelj et al., 2017). Similar observations were reported for Central Yamal, Russia (Babkina et al., 2019). RTS
132 in areas with a thinner ground ice-rich layer tend to stabilize faster due to the rapid ice exhaustion (Kizyakov, 2005). The type
133 of ground ice and its local distribution can define some morphologic characteristics of RTS (see Sect. 3.1) and affect retreat
134 rates. For example, RTS forming in syngenetic ice-rich Yedoma deposits with polygonal ice wedges are usually accompanied
135 by the presence of baydzherakhs (conical remnant mounds, for details, see Sect. 3.1.6) on the slump floors. De Krom and
136 Pollard (1989) found that on Herschel Island, Canada, large ice wedges melted slower than the enclosing massive ground ice
137 body. While abundant ground ice is necessary for RTS formation it is not the only control for RTS occurrence.

138 **2.4. Triggers**

139 An RTS forms once very ice-rich permafrost or massive ground ice becomes exposed for any reason and starts melting.
140 Triggers of this exposure can be any anthropogenic or natural permafrost disturbances.

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141 Anthropogenic triggers can be any disturbance of the thermal balance of the permafrost due to direct human actions. For
142 example, mining was reported to trigger ice exposure and further formation of RTS in Canada (Fraser and Burn, 1997) and on
143 the Qinghai-Tibetan Plateau, China (Wei et al., 2006; Niu et al., 2012).

144 Natural triggers can be separated into climatic, geomorphological, and wildfires. Wildfire removes vegetation and possibly the
145 upper protective organic soil layer leading to deeper thaw than normal (Harry and MacInnes 1988; Jorgenson and Osterkamp,
146 2005; Lacelle et al., 2010). Climatic triggers are generally associated with a deepening of the active layer and the subsequent
147 thawing of ice-rich deposits or massive ground ice. It can be caused by:

148 • unusually long warm weather periods (Lacelle et al., 2010; Balsler et al., 2014; Swanson and Nolan, 2018; Lewkowicz
149 and Way, 2019; Jones et al., 2019), or

150 • heavy precipitation and snowmelt events (Leibman et al., 2003; Lamoureux and Lafreniere, 2009; Balsler et al., 2014).

151 Geomorphological triggers slightly differ inland and on the coasts. Coastal triggers reported in the literature include:

152 • thermal erosion (or thermo-erosion) (Burn and Lewkowicz, 1990; Lantuit et al., 2012; Kokelj and Jorgenson, 2013),
153 or

154 • coastal erosion (Burn and Lewkowicz, 1990; Burn, 2000; Dallimore et al., 1996; Lantuit et al., 2012; Kokelj and
155 Jorgenson, 2013; Ramage et al., 2017; Lewkowicz and Way, 2019).

156 RTS formation can also be initiated due to inland geomorphological triggers such as:

157 • development of thermo-erosional gullies downwards (Czudek and Demek, 1970; Jones et al., 2019),

158 • mechanical riverbank and lakeshore erosion (Burn, 2000; Burn and Lewkowicz, 1990; Lewkowicz and Way, 2019;
159 Jones et al., 2019),

160 • thermokarst subsidence (Romanovskii, 1993; Voskresenskii, 2001),

161 • active layer detachment slides (Lewkowicz and Harris, 2005; Lacelle et al., 2010; Swanson, 2021; Jorgenson and
162 Osterkamp, 2005; Lewkowicz, 2007; Lamoureux and Lafreniere, 2009; Lewkowicz and Way, 2019; Jones et al., 2019), or

163 • ice-wedge melt that leads to the degradation of ice-rich slopes (Fraser et al., 2018).

164 Two additional interesting but maybe highly site-specific inland geomorphological triggers were reported to be possible
165 reasons for RTS re-initiation:

166 According to the Glossary of Permafrost and Related Ground-Ice Terms (van Everdingen, 2005), RTS is defined as: “A slope
167 failure resulting from thawing of ice-rich permafrost. Retrogressive thaw slumps consist of a steep headwall that retreats in a
168 retrogressive fashion due to thawing and a debris flow formed by the mixture of thawed sediment and meltwater that slides
169 down the face of the headwall and flows away. Such slumps are common in ice-rich glaciolacustrine sediments and fine-
170 grained diamictons.”

171 While this definition is short and describes a portion of RTS characteristics, it is limited in its scope and does not capture the
172 full breadth of RTS types, stages, and processes. In particular, the definition only focuses on the active stage of RTS, while
173 the polycyclic nature of many RTS also includes the stages of stabilization without activity. With a large number of recent
174 RTS mapping studies in different permafrost regions, it has become clear that RTS characteristics and morphologies vary

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widely, that RTS can occur in a range of different permafrost and ground ice settings, and feature processes not yet covered by the current definition but important to understand their dynamics and environmental impacts.

3 Commonly accepted settings of retrogressive thaw slump

3.1. the growth of a talik that occurs in ice-rich permafrost can lead to thaw subsidence and stimulate further RTS reoccurrence (Kokelj et al., 2009), or

the growth of a debris tongue (thawed sediments in the shape of a tongue, for details, see Sect. 3.1.8) can eventually obstruct a stream valley and lead to the rise of stream base-level and further thermo-erosion that can erode and expose the ground ice and secondary RTS occurrence (Kokelj et al., 2015).

2.5 Polycyclicality and complex spatial aggregation

RTSs are very dynamic features that can develop in a polycyclic fashion (Mackay, 1966; Kerfoot, 1969; Kokelj et al., 2009), which means they can be active, then temporarily stabilize, and reactivate again (Mackay, 1966; Kerfoot, 1969; Kokelj et al., 2009). Yet some may end off in one cycle. RTSs can be considered active when there is an ongoing ablation of the exposed ice and thawed material is transferred downslope. Generally, RTSs can stay active for decades, but the ablation happens only in summer when the air temperature is above 0°C (Burn and Lewkowicz, 1990). Some studies reported continued headwall retreat and thawed sediment fluxes even in slumps where the ice was covered by the sediments (Kokelj et al., 2015; Zwieback et al., 2020). The reasons for these sediment-covered slumps to retain activity were heavy rainfalls and unsuppressed heat flux to the ice.

RTSs can stabilize mostly due to for two reasons: 1) exposed ground ice has completely melted, or 2) the exposed ice is buried by sediments and thermally fully insulated from further melting (Burn and Friele, 1989). Once an RTS is stabilized, the pioneer vegetation starts to grow in the slump floor. Vegetation in stabilized RTS can go through several stages of succession and for stabilized RTS in Yukon Territory, Canada, it was reported that forest and tundra communities were re-established after 35-50 years (Burn and Friele, 1989). Some researchers found that RTSs can be stabilized for up to several hundred years in West Siberia, Russia, (Leibman and Kizyakov, 2007; et al., 2014). Such long-term stabilized RTS are named labeled in some studies as ancient (Nesterova et al., 2023).

New active RTS can form within the outline of another stabilized RTS, moreover, neighboring RTSs can grow and coalesce at some point (Lantuit and Pollard, 2008). This leads to the very complex spatial aggregation organization of nested and amalgamated RTSs of sometimes different ages. It raises additional challenges when delineating and mapping RTS and their characteristics (van der Sluijs et al., 2023; Leibman et al., 2023).

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203 **2.6. Concurrent processes**

204 While triggering processes described in Sect. 3.2 takes place before RTS initiation, concurrent processes start simultaneously
205 or soon after RTS initiation and often are further reinforced by RTS growth. Depending on the terrain, concurrent processes
206 can have different impacts on RTS.

207 If RTS initiation occurs along the ice-wedge polygonal network, it also affects its further development (Fraser and Burn, 1997;
208 Fraser et al., 2018). Ice-wedge degradation can result in the rugged outline of the headwall following the morphology of
209 wedges (Fig.2a, b).

210 RTSs at the sea coast or any large water bodies (lakes, rivers) where wave action takes place are affected by coastal erosion at
211 the bluff base. This process manifests itself in washing away the debris tongue of RTS thus promoting further RTS growth by
212 removing debris blockages (Burn and Friele, 1989; Are, 1998), steepening the erosional base by coastal retreat, and in some
213 cases also undercutting the coast and niche-formation adding to further collapse of steep shore bluffs (Fig.2e).

214 As mentioned above, RTSs can form due to massive ground ice exposure in thermo-erosional gullies. Usually in such RTSs
215 lateral thermo-erosion continues to act simultaneously with ice ablation and thaw-related mass wasting. Sometimes lateral
216 thermo-erosion can appear in already existing RTS (Kerfoot, 1969; Lantuit and Pollard, 2005; Gubarkov et al., 2009). In both
217 cases, the RTS has or develops a specific gully-like shape (Fig.2c).

218 Due to specific RTS geometries and climatic conditions, thick snow packs accumulating from wind drift of snow in the winter
219 can remain within some RTS over summer (Fig.2d). This can affect RTS development by thermal insulation (Zwieback et al.,
220 2018). It was reported that snow packs prevented fast headwall retreat rates compared to the headwalls not covered by snow
221 (Lacelle et al., 2015).

222 Thermokarst subsidence and ponding can also occur within a slump floor (Fig.2b). This happens if the ground ice lies
223 deeper than the level of the slump floor, and there are conditions for water to accumulate in local concavities (Leibman
224 and Kizyakov, 2007). **3.2. Triggers**

225 An RTS forms once very ice rich permafrost or massive ground ice becomes exposed for any reason and starts melting.
226 Triggers of this exposure can be any anthropogenic or natural permafrost disturbances.

227 Anthropogenic triggers can be any disturbance of the thermal balance of the permafrost due to direct human actions. For
228 example, mining was reported to trigger ice exposure and further formation of RTS in Canada (Fraser and Burn, 1997) and on
229 the Tibetan Plateau, China (Wei et al., 2006; Niu et al., 2012).

230 Natural triggers can be separated into climatic and geomorphological. The climatic triggers are generally associated with the
231 deepening of the active layer and the subsequent thawing of ice rich deposits or massive ground ice. It can be caused by:

- 232 • unusually long warm weather periods (Lacelle et al., 2010; Balsler et al., 2014; Swanson and Nolan, 2018; Lewkowicz
233 and Way, 2019; Jones et al., 2019)
- 234 • heavy precipitation and snowmelt events (Leibman et al., 2003; Lamoureux and Lafreniere, 2009; Balsler et al., 2014)

235 Geomorphological triggers slightly differ inland and on the coasts. Coastal triggers reported in the literature are:

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- thermal erosion (or thermo erosion) (Burn and Lewkowicz, 1990; Lantuit et al., 2012; Kokelj and Jorgenson, 2013)
- coastal erosion (Burn and Lewkowicz, 1990; Burn, 2000; Dallimore et al., 1996; Lantuit et al., 2012; Kokelj and Jorgenson, 2013; Ramage et al., 2017; Lewkowicz and Way, 2019)

RTS formation can also be initiated due to inland geomorphological triggers such as:

- wildfires that remove the upper protective layer leading to deeper thaw than normal (Harry and MacInnes 1988; Jorgenson and Osterkamp, 2005; Lacelle et al., 2010)
- development of thermo erosional gullies downwards (Czudek and Demek, 1970; Jones et al., 2019)
- mechanical riverbank and lake shore erosion (Burn, 2000; Burn and Lewkowicz, 1990; Lewkowicz and Way, 2019; Jones et al., 2019)
- thermokarst subsidence (Romanovskii, 1993; Voskresenskii, 2001)
- active layer detachment slides (Lewkowicz and Harris, 2005; Lacelle et al., 2010; Swanson, 2021; Jorgenson and Osterkamp, 2005; Lewkowicz, 2007; Lamoureux and Lafreniere, 2009; Lewkowicz and Way, 2019; Jones et al., 2019)
- ice wedge melt that leads to the degradation of ice-rich slopes (Fraser et al., 2018)

Two additional interesting but maybe highly site-specific inland geomorphological triggers were reported to be possible reasons for RTS re-initiation:

- 1) the growth of a talik that occurs in ice-rich permafrost can lead to thaw subsidence and stimulate further RTS reoccurrence (Kokelj et al., 2009)
- 2) the growth of a debris tongue (see Section 3.5.8) can eventually obstruct a stream valley and lead to the increase of stream base level and further thermoerosion that can erode and expose the ground ice (Kokelj et al., 2015).

3.3. Position and topography

RTS forms inland or on the coasts. Inland RTS can be found at lake shores, riverbanks, slopes of temporary streams, or valley slopes. As an RTS develops, the thawed material is transferred downstream to valley bottoms or the nearest water body.

The extent of RTS appearing at a particular position varies and is strongly controlled by the topographical and geological characteristics of the area. For example, RTSs in mountain regions mostly occur on slopes, as in the Tibetan Plateau, China (Niu et al., 2012; Huang et al., 2018; Hu et al., 2019), or in the Yana Highlands, Siberia (Kizyakov et al. 2023), while RTSs in the flat terrain of the Yamal and Gydan peninsulas, Russia, are generally found next to lake shores (Nesterova et al., 2021).

A first analysis across the Arctic has not revealed any correlations between the influence of RTS position in the terrain and its size or activity so far (Bernhard et al., 2022).

RTSs were found on gentle terrain slopes of $<5^\circ$ (De Krom, 1990; Leibman et al., 2023), medium slopes of 5 to 10° (Niu et al., 2016), as well as on steep slopes $>10^\circ$ (Czudek and Demek, 1970; Barry, 1992; Robinson, 2000). Some researchers found

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267 that RTSs on steeper slopes tend to have higher headwall retreat rates (see Section 3.5.1) than those that occur on less steep
268 slopes (Robinson, 2000).

269 ~~RTSs occur on a great variety of slope aspects. Researchers investigating different regions across the Arctic reported that their~~
270 ~~studied RTSs tended to have different prevailing slope orientations: northern, eastern, western, or southern (Kokelj et al., 2009;~~
271 ~~Lacelle et al., 2015; Jones et al., 2019; Nesterova et al., 2021; Bernhard et al., 2022). Some studies suggested that higher RTS~~
272 ~~ablation rates and headwall retreat (see Section 3.5.1) are related to their southern aspects (Lewkowiez, 1987a; Grom and~~
273 ~~Pollard, 2008; Lacelle et al., 2015). Other studies did not find any link between the slope aspect and RTS activity (Wang et~~
274 ~~al., 2009; Nesterova et al., 2021; Bernhard et al., 2022). Thus, there are no solid findings that the slope aspect defines RTS~~
275 ~~occurrence in general. Bernhard et al. (2022) suggested that differences in the RTS aspect can be explained by regional~~
276 ~~geological history that defines ice content and ice distribution, which are the main factors of RTS occurrence (Mackay, 1966;~~
277 ~~Kerfoot, 1969).~~

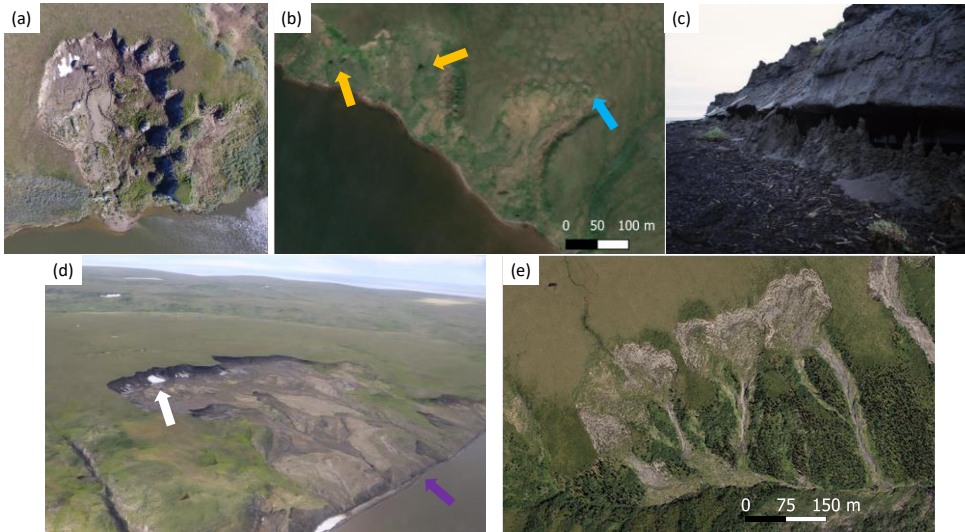
278 **3.4. The role of ground ice**

279 ~~The spatial distribution of the ground ice determines the spatial extent of RTS. The shallower the ground ice table the higher~~
280 ~~the likelihood that seasonal thawing will reach and start melting the ice, potentially triggering the initiation of the RTS. Regions~~
281 ~~with abundant ground ice presence in Canada feature widespread and ubiquitous slumps (Lamothe and St-Onge, 1961;~~
282 ~~Mackay, 1966; Kokelj et al., 2017). Similar observations were reported for Central Yamal, Russia (Babkina et al., 2019). RTS~~
283 ~~in areas with a thinner ground ice-rich layer tend to stabilize faster due to the rapid ice exhaustion (Kizyakov, 2005). The type~~
284 ~~of ground ice and its local distribution can define some morphologic parts of RTS (see Section 3.5) and affect retreat rates. For~~
285 ~~example, RTS forming in syngenetic ice-rich Yedoma deposits with polygonal ice wedges are usually accompanied by the~~
286 ~~presence of baydzherakhs on the slump floors (see Section 3.5.6). De Krom and Pollard (1990) found that on Herschel Island,~~
287 ~~Canada, large ice wedges melted more slowly than the enclosing massive ground ice.~~

288 3.5 It also can happen that meltwater streams can go into ice wedge tunnels, disappear in sinkholes on the slump floor, and
289 reappear further down at the slump floor.

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290
 291 **Figure 2** Concurrent processes affecting RTS: (a) ice-wedge degradation in an RTS on Yamal Peninsula, West Siberia, Russia, July
 292 2018, UAV photo: Artem Khomutov. (b) Thermokarst subsidence indicated by yellow arrows and ice-wedge degradation indicated
 293 by a light-blue arrow in a stabilized RTS on Gydan Peninsula, West Siberia, Russia, July 2019. ESRI Basemap, GeoEye-1 satellite
 294 image. (c) Erosional niche formed due to the coastal erosion affecting RTS, Otagos Yar, NE Siberia, Russia, September 2002, photo:
 295 Guido Grosse. (d) white arrow indicates snow packs staying over summer, the purple arrow indicates an area where coastal erosion
 296 undercuts the coast and washes away debris tongue of an RTS on Herschel Island, Northern Canada, July 2022, photo from
 297 helicopter: Saskia Eppinger. (e) active thermal erosion in RTSs that occurred within gullies near Willow River, NW Canada, July
 298 2023, aerial camera image, credit: AWL.

299 **3 Terminologies used in the literature**

300 **3.1. Morphologic parts**

301 RTS have various morphologic parts, of which some are characteristic of all RTS but some may be present only in certain RTS
 302 types and depend on local geological conditions-- (Figure 1 shows field photos with examples of different RTS morphologic
 303 parts-3). Moreover, some morphologic parts of these RTS features can still be visible even whenafter the RTS stabilizes. There
 304 are stabilized. Some studies use various terms used in the literature to describe the same parts of RTS, and some different
 305 terminologies used in different studies which then are synonymous, which may lead to terms. while other studies use the same
 306 terms but actually describe partially or fully different parts of the RTS with them. This can cause confusion when trying to
 307 comparecomparing RTS characteristics across different studies (Table 1).

308 **Table 1** Morphologic parts of RTS and different terminologies used to describe them. The last column represents the presence "+"
 309 or the absence "-" of the morphologic part in stabilized RTS.

12
 12

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Present in all RTS (essential)

Most common term	Other related terms	Description	Presence in stabilized RTS
Present in all RTS (essential)			
<u>1. Headwall</u> (Kerfoot, 1969; Egginton, 1976; Burn and Friele, 1989; Burn and Lewkowicz, 1990; Barry, 1992)	<ul style="list-style-type: none">• <i>Backwall</i> (Lamothe and St-Onge, 1961; Worsley, 1999; Leibman et al., 2021)• <i>Headscarp</i> (De Krom, 1990; Lewkowicz, 1987b; Lantuit and Pollard, 2005)• <i>Slump face</i> (Huang et al., 2022)• <i>Ice face</i> (Kerfoot, 1969; Lewkowicz, 1987b)• <i>Scarp</i> (Mackay, 1966; Kerfoot, 1969; Egginton, 1976; Fortier et al., 2007; Wang et al., 2009; Nicu et al., 2021)• <i>Escarpment</i> (Swanson and Nolan, 2018; Swanson, 2021)	A steep retreating wall consisting of ablating ice and frozen sediments at the back of RTS	-
<u>2. Slump floor</u> (Mackay, 1966; Lewkowicz, 1987a; Burn and Friele, 1989; De Krom, 1990; Barry, 1992; Lantuit and Pollard, 2005; Lacelle et al., 2010) or <i>Scar</i> (De Krom, 1990; Barry, 1992; Kokelj et al., 2002; Kokelj et al., 2009)	-	The low-angle to horizontal area of the hollow's bottom	+
<u>3. Mudflow</u> (Lamothe and St-Onge, 1961; Egginton, 1976; Lewkowicz, 1987a)	• <i>Earth / Mud flow</i> (Leibman et al., 2014)	The meltwater stream that carries thawed viscous sediment material downslope	-

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	• <i>Debris flow</i> (Murton, 2001; Lipovsky and Huscroft, 2006)	across and out of the slump floor	
4. <i>Edge</i> (Cassidy et al., 2017; Leibman et al., 2021; Leibman et al., 2023; van der Stuyfsluijs et al., 2023; Kizyakov et al., 2023)	• <i>Outline</i> (Burn, 2000; Yang et al., 2023)	The boundary line of the headwall or entire landform	+
Present in some RTS depending on various local characteristics (optional)			
15. <i>Mudpool</i> (De Krom and Pollard, 1989; Lantuit and Pollard, 2005)	-	The area of the first accumulation of thawed liquid material, generally at the base of the headwall	-
26. <i>Evacuation channel</i> (Lacelle et al., 2004; Lacelle et al., 2010; Delaney, 2015)	-	Channel the thawed sediments and meltwater (debris) pass through when leaving the slump floor	+
37. <i>Debris tongue</i> (Worsley, 1999; Kokelj et al., 2015; Segal et al., 2016)	• <i>Slump lobe</i> (Lantuit and Pollard, 2005) • <i>Mud lobe</i> (Lantuit and Pollard, 2005)	Thawed sediments and meltwater (debris) in the shape of a tongue that slid downslope from the slump floor	+
48. <i>Slump block(s)</i> (Swanson, 2012; Kokelj et al., 2015)	• <i>Remnant island</i> (Burn and Friele, 1989; Bartleman et al., 2001)	Pieces of soil and vegetation that slid or fell from the headwall and are located within a slump floor	-
59. <i>Baydzhherakh(s)</i> (Czudek and Demek 1970; Zhigarev, 1975; Pizhankova, 2011; Séjourmé et al., 2015)	-	Conical hills within a slump floor remnant after thawing of large ice-wedges	+
610. <i>Mud levees</i> (Kerfoot, 1969; Lantuit and Pollard, 2005)	-	“Dams” of dried stagnated thawed sediments within a slump floor	-

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711. <i>Mud gullies</i> (Lantuit and Pollard, 2005)	-	Erosional channels within thawed sediments formed by meltwater flow within a slump floor	-
812. <i>Dropwall</i> (Leibman et al., 2021)	-	A cliff between the edge of the hanging RTS floor and the shore	+
913. <i>Side-wall</i> (Lewkowicz, 1987b)	-	A steep retreating wall consisting of ablating ice at the side of RTS	+

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3.51.1. Headwall and Side-walls

The term *headwall* is used in the literature in two ways: 1) as a broad general term for the steep wall of *RTSRTSs*, where the ice is exposed (Kerfoot, 1969; Egginton, 1976; Burn and Friele, 1989; Burn and Lewkowicz, 1990; Barry, 1992) and 2) as a term for only the upper vertical part of the wall that consists of the active layer and ice-poor organic or mineral sediments (Lantuit and Pollard, 2005; Lewkowicz and Way, 2019). The second lower part of the RTS wall according to these authors is a steep (20°-50°) *headscarp* that consists of exposed ice-rich sediment or massive ground ice. Exposed ice is not only called a *headscarp* in the literature but *sometimes* also an *ice face* and in such cases, the *ice face* is a part of the headwall that represents the whole RTS wall in a general way (Kerfoot, 1969; De Krom, 1990; Burn and Lewkowicz, 1990; Barry, 1992).

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There are several terms in the literature that are used to describe the whole RTS wall (*headwall* in a general way): for example, *slump face* (Huang et al., 2022), *scarp* (Mackay, 1966; Kerfoot, 1969; Egginton, 1976; Fortier et al., 2007; Wang et al., 2009; Nicu et al., 2021) and *escarpment* (Swanson and Nolan, 2018; Swanson, 2021). Another similar term is a *backwall* and it is used to describe the whole RTS wall but separate it by its location on the back of the RTS (Lamothe and St-Onge, 1961; Worsley, 1999; Leibman et al., 2021+2008). Those RTS walls that are located at the sides are sometimes called *side-walls* (Lewkowicz, 1987b). Side-walls can be called an optional morphologic part since they mostly occur only in bowl-shape morphologies.

Since a *headwall* is a wall with exposed ablating ice and frozen sediments, it can only be found in an active RTS. The remnants of the headwall in stabilized RTSs are *sometimes* called *in the literature as stable headwall*²² (Kokelj et al., 2009) or *old headscarp*²³ (Zwieback et al., 2018).

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3.51.2. Slump floor or Scar

As a *headwall* retreats it leaves a low-angle surface that can also be described as the bottom of the RTS hollow. This surface is termed *slump floor* (Mackay, 1966; Lewkowicz, 1987a; Burn and Friele, 1989; De Krom, 1990; Barry, 1992; Lantuit and

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331 Pollard, 2005; Lacelle et al., 2010), highlighting its flatness or sometimes with the term *scar* (De Krom, 1990; Barry, 1992;
332 Kokelj et al., 2002; Kokelj et al., 2009) that originates from landslide terminology and means the bare surface that is left after
333 the removal of the mobilized sediments by mass movement. Both of the terms are equally popular in the literature and
334 sometimes can bear used simultaneously in the same paperstudy as an interchangeable term (De Krom, 1990; Barry, 1992).
335 A *slump floor* or ~~a~~ *scar* can be found in active as well as stabilized RTSs.

336 3.51.3. Mudpool and Mudflows

337 The area of the mud in the *slump floor* right next to the headwall is often (but not always) the place where meltwater
338 accumulates. Some authors call this area of the RTS slump floor a mudpool (De Krom and Pollard, 1989; Lantuit and Pollard,
339 2005). Thawed sediments after their first accumulation ~~at~~in the mudpool are transported downslope by the streams of
340 meltwater. These flows of meltwater-saturated mud depending on the amount of water are generally called *mudflows* (Lamothe
341 and St-Onge, 1961; Egginton, 1976; Lewkowicz, 1987a), ~~but there are other terms in the literature with similar meanings:~~
342 *earth/mud flows* (Leibman et al., 2014) and *debris flows* (Murton, 2001; Lipovsky and Huscroft, 2006).

343 3.51.4. Mud gullies and levees

344 ~~Mudflows~~Meltwater streams can lead to the formation of *mud gullies* within a *slump floor* – erosional channels that are carved
345 by meltwater streams into debris (Lantuit and Pollard, 2005). If transported debris stagnates and dries out it may form *mud*
346 *levees* bordering *mudflows* (Kerfoot, 1969; Lantuit and Pollard, 2005).

347 3.51.5. Slump block

348 The pieces of ice-poor, often organic-rich peaty soil covered with vegetation that slide down the headwall into the slump floor
349 and stay rigid when moving downslope with mudflows are called *slump blocks* in some studies (Swanson, 2012; Kokelj et al.,
350 2015). If these features consist of active layer soil, they generally preserve the initial undisturbed tundra vegetation, ~~some~~.
351 Some authors called these blocks also *remnant islands* (Burn and Friele, 1989; Bartleman et al., 2001).

352 3.51.6. Baydzhherakh(s)

353 *Baydzhherakhs* (from the Yakutian language, but now a more commonly accepted term) are conical mounds in the *slump floor*
354 of ~~RTS~~RTSs representing largely still frozen remnants of ice-wedge polygon centers where the surrounding polygonal large
355 ice wedges have thawed substantially already. They are typical for RTSs located on ~~the~~ upland slopes with ice-rich deposits
356 and large polygonal ice wedges up to 50 m thick (~~Le-g.~~ Yedoma Ice Complex) (Tikhomirov, 1958; Czudek and Demek, 1970;
357 Zhigarev, 1975; Pizhankova, 2011; Séjourné et al., 2015). *Baydzhherakhs* can reach significant sizes: up to 11 m in height, 15
358 m in width, and 20 m in length (Tikhomirov, 1958). Thus, they can be found not only in active but also in stabilized RTSs. As
359 a typical feature of Yedoma upland slopes *baydzhherakhs* are widely distributed in the Yedoma Ice Complex ~~domain~~-regions

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360 ~~in~~ of Eastern and North-Eastern Eurasia/Siberia, Alaska, and North-Western Canada (Strauss et al., 2021) as well as in other
361 areas formed by ice-rich deposits with large polygonal ice wedges. *Baydzhherakhs* will therefore not form in areas where
362 RTS/RTSs are formed in deposits with ~~buried glacial~~thick ice ~~or ice-rich glaciomarine deposits~~layers.

363 3.5.1.7. Evacuation channel

364 Depending on the morphology of ~~an~~ RTS, thawed sediments and meltwater (debris) can leave the *slump floor* through the
365 trench connecting the *slump floor* and the base level. This optional morphologic part of RTS/RTSs is termed an *evacuation*
366 *channel* (Lacelle et al., 2004; Lacelle et al., 2010; Delaney, 2015).

367 3.5.1.8. Debris tongue

368 Thawed sediments and meltwater (debris) moving downslope can eventually escape from the *slump floor* directly or via an
369 *evacuation channel*. Once this happens, thawed sediments accumulate in the shape of a “tongue” on any surface where an RTS
370 outflow ends. Such features are generally called *debris tongues* (Worsley, 1999; Kokelj et al., 2015; Segal et al., 2016), but
371 are sometimes referred to as *mud* or *slump lobes* (Lantuit and Pollard, 2005).

372 3.1.9. Edge and dropwall

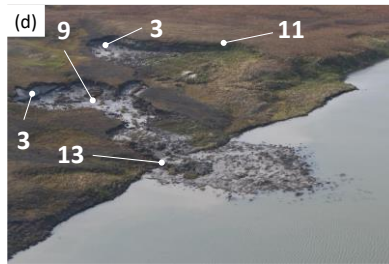
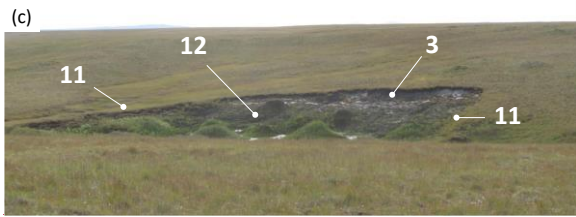
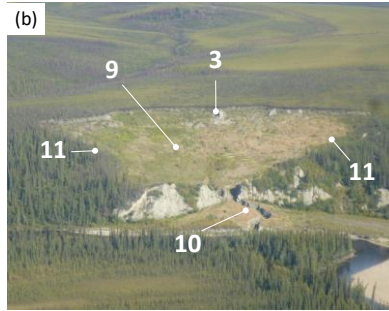
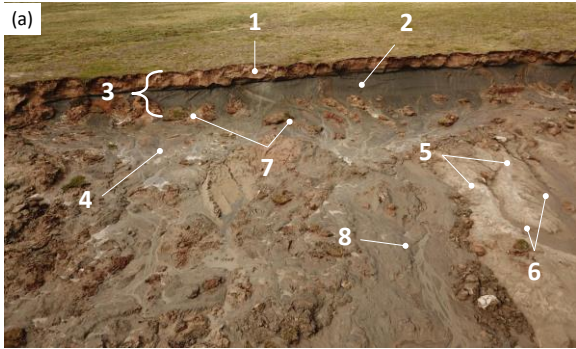
373 The term *edge* of RTS is used in the literature to indicate: 1) the outline of the whole feature (van der Sluijs et al., 2023)
374 and 2) the boundary line of active retreat (Cassidy et al., 2017; Leibman et al., 2021; Leibman et al., 2023; Kizyakov et
375 al., 2023). ~~3.5.9. Edge and dropwall~~

376 ~~The term *edge* of RTS is used in the literature to indicate: 1) the outline of the whole feature (van der Sluijs et al., 2023) and~~
377 ~~2) the boundary line of active retreat (Cassidy et al., 2017; Leibman et al., 2021; Leibman et al., 2023; Kizyakov et al., 2023).~~

378 ~~In the first case, the term *edge* is used to indicate the outline.~~ There is also the term *outline* itself that is used to describe the
379 whole area of the RTS landform (Burn, 2000) or only the polygon that is considered to be the RTS detected by automated
380 mapping methods (Yang et al., 2023). ~~In the second case~~Furthermore, the *edge* of RTS is also sometimes classified into upper
381 edge meaning the boundary line of active ~~retreat~~retreat of the *headwall* (Kizyakov et al., 2023), and *lower edge* meaning
382 the boundary line of the cliff ~~retreat~~retreat for RTSs on the sea coasts (Leibman et al., 2008; Leibman et al., 2021). The
383 face (cliff) from the *lower edge* of coastal RTS to the beach is level has been called ~~in this study~~a *dropwall as a* (Leibman et
384 al., 2021) to differentiate this morphologic part of the RTS ~~being separated~~ from the rest of the coastal cliff.

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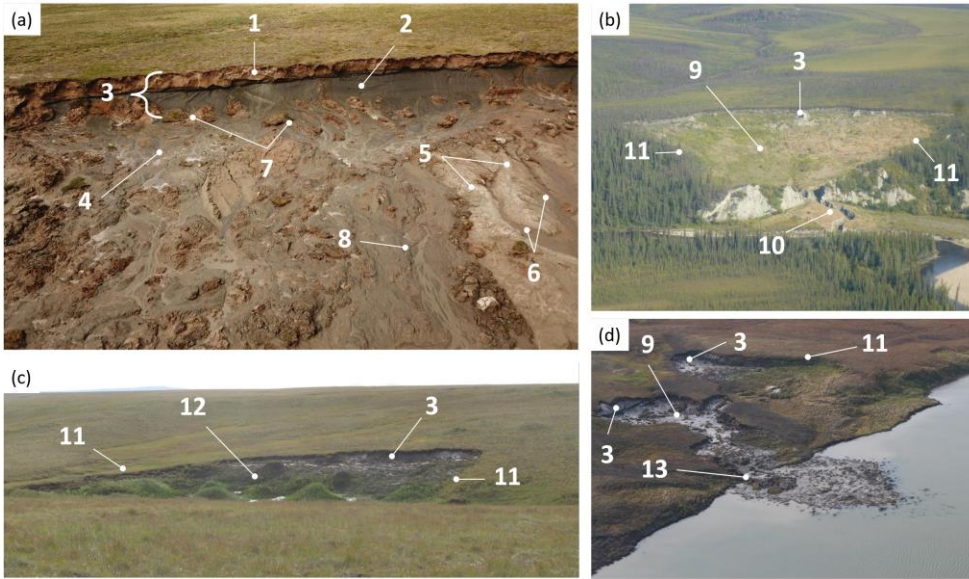
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387 **Figure 13.** Morphologic parts of active RTSs in (a) Yamal Peninsula, West Siberia, Russia, July 2019, unmanned aerial vehicle (UAV) photo: Nina Nesterova, (b) Alaska, USA, August 2016, photo from the airplane: Ingmar Nitze, (c) Bykovsky Peninsula, Northern Yakutia/NE Siberia, Russia, August 2015, field photo: Alexandra Veremeeva, (d) Gydan Peninsula, West Siberia, Russia, 2020, photo from helicopter: Elena Babkina. The numbers on the photos stand for the following morphologic parts: 1 – headwall, i.e. the upper vertical part of the wall only; 2 – headscarp; 3 – headwall (or a backwall), more generally describing the entire steep wall; 4 – mudpool; 5 – mud levees; 6 – mud gullies; 7 – slump block; 8 – mudflow; 9 – slump floor or scar; 10 – evacuation channel; 11 – side-wall; 12 – baydzherakhs; 13 – debris tongue.

394 3. The numbers on the photos stand for the following morphologic parts: 1 – headwall, i.e. the upper vertical part of the wall only; 2 – headscarp; 3 – headwall (or a backwall), more generally describing the entire steep wall; 4 – mudpool; 5 – mud levees; 6 – mud gullies; 7 – slump block; 8 – mudflow; 9 – slump floor or scar; 10 – evacuation channel; 11 – side-wall; 12 – baydzherakhs; 13 – debris tongue.

3.6. Morphometry and dynamics

399 RTSs can be of various sizes starting from less than 0.1 ha in area and reaching up to ~80 ha as the Batagay slump, Yakutia, Russia (Kizyakov et al., 2023). Some authors describe RTSs larger than 5 ha (Kokelj et al., 2015) or larger than 20 ha (Lacelle et al., 2015) as megaslumps. Known RTS headwall (in the meaning of the entire steep wall) heights range from a few meters up to 55 m in Batagay slump (Kizyakov et al., 2023). RTS headwall length can exceed 1 km as reported for Yakutia, Russia (Costard et al., 2021).

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404 ~~Some researchers estimated the RTS length to width ratio. Reported ratios range from below 1 (Lantuit and Pollard, 2008;~~
405 ~~Ardelean et al., 2020) up to 3 (Niu et al., 2016) and even 5 (Lantuit and Pollard, 2008). Some field studies in Canada suggest~~
406 ~~that this ratio increases with time due to the headwall retreating faster than the sidewalls, leading to a landform lengthening~~
407 ~~(Lewkowiec, 1987b). Other studies in Siberia report the widening of RTS with time due to its merging with neighboring RTSs~~
408 ~~(Runge et al., 2022; Leibman et al., 2023).~~

409 ~~RTS dynamics can be estimated by measuring headwall retreat rates. Reported RTS headwall retreat rates vary from several~~
410 ~~cm per year in Tibet, China (Sun et al., 2017) up to ~66 m per year estimated for Yugorsky Peninsula, Russia (Leibman et al.,~~
411 ~~2021). Similar extreme headwall retreat rates of ~27 m per year were reported for some RTS in Canada (Lacelle et al., 2015;~~
412 ~~Jones et al., 2019).~~

413 2. Landforms

414 3.2.1. Retrogressive thaw slump (RTS)

415 According to the International Permafrost Association Multi-Language Glossary of Permafrost and Related Ground-Ice Terms
416 (van Everdingen, 2005), an RTS is defined as: “A slope failure resulting from thawing of ice-rich permafrost. Retrogressive
417 thaw slumps consist of a steep headwall that retreats in a retrogressive fashion due to thawing and a debris flow formed by the
418 mixture of thawed sediment and meltwater that slides down the face of the headwall and flows away. Such slumps are common
419 in ice-rich glaciolacustrine sediments and fine-grained diamictons.”~~7. Concurrent~~

422 3.2.2. Cryogenic earthflow

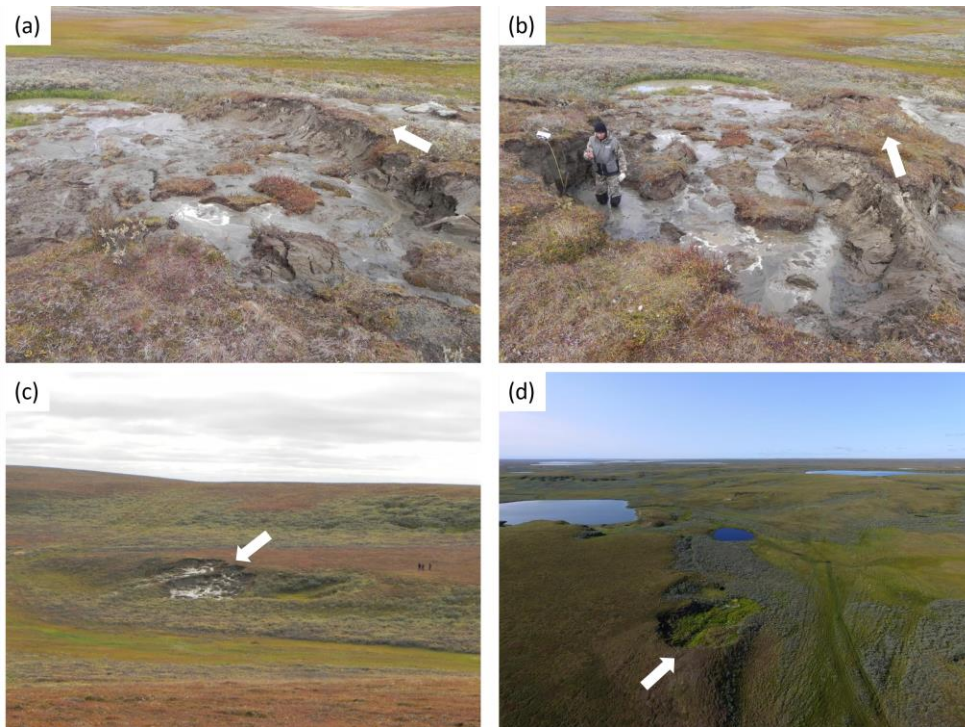
423 Here, it is worth defining cryogenesis as a set of thermophysical, physicochemical, and physicommechanical processes occurring
424 in freezing, frozen, and thawing deposits (van Everdingen, 2005). The word cryogenic is usually used to describe the periglacial
425 nature of the processes.

426 The term cryogenic earthflow was introduced by Leibman (1997, in Russian) meaning a viscous or viscoelastic flow of water-
427 saturated soil of the active layer sliding on the surface of massive ground ice bodies or the table of ice-rich permafrost. The
428 examples of cryogenic earthflows in Central Yamal are demonstrated in Fig.4.

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429
430 **Figure 4** The examples of two cryogenic earthflows next to each other being active on (a), (b) and (c) photos in Central Yamal, West
431 Siberia, Russia made on a photo camera in 2012 and stabilized on (d) the photo made by UAV in 2017. The arrow indicates the
432 direction of flow. Photos: Artem Khomutov.

433 3.2.3. Thermocirque

434 The term *thermocirque* was first mentioned by Czudek and Demek (1970, in English) to describe “amphitheatrical hollows”
435 that occur after ice wedge melt in the gullies at the river banks in Yakutia (Russia). Thermocirques according to the authors
436 had “a vertical and overhanging slope at the head and an uneven floor”. In Russian-language literature, the term *thermocirque*
437 was sometimes called by interchangeable term “*thermokar*” when describing a round or cirque-like hollow at the river banks
438 or the lake shores composed of icy permafrost (Grigoriev and Karpov, 1982, in Russian; Voskresenskii, 2001, in Russian).
439 Following the development of theoretical concepts of cryogenic landsliding (Sect. 3.2.3 and 3.2.4) the term thermocirque was
440 defined as an extensive landform resulting from a series of multi-aged cryogenic earthflows (Leibman, 2005, in Russian;

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441 Leibman et al., 2014). The scheme visualizing thermocirque formation and the example of the thermocirque in Central Yamal,
442 Russia are demonstrated in Fig.5.



443 **Figure 5 (a) Scheme of Thermocirque formation, the black arrow indicates the direction of mass movement of thawed material, and**
444 **the red dashed line stands for the cross-section of the headwall and the slump floor (see Sect. 3.5), Note that the scheme demonstrates**
445 **the particular ice morphology of a layer of tabular massive ground ice (adapted from Kizyakov, 2005), but may also consist of other**
446 **forms of ice-rich ground. While triggering processes described Example of a thermocirque in Section 3.2 start before RTS**
447 **initiation, concurrent processes start simultaneously or soon after RTS initiation occurs and are in turn further reinforced by**
448 **RTS growth. Depending on the terrain, concurrent processes can have different impacts on RTS.**

449 If RTS initiation occurs along the ice-wedge polygonal network, it also affects its further development (Fraser and Burn, 1997;
450 Fraser et al., 2018). Ice-wedge degradation can result in the rugged outline of the headwall following the morphology of
451 wedges (Fig.2a, b).

452 **RTSs at the coast or any large water bodies (lakes, rivers) where wave action takes place are affected by coastal erosion at the**
453 **bluff base. This process manifests itself in washing away the debris tongue of RTS thus promoting further RTS growth by**
454 **removing debris blockages (Burn and Friele, 1989; Are, 1998), steepening the erosional base by coastal retreat, and in some**
455 **cases also undercutting the coast and niche formation adding to further collapse of steep shore bluffs (Fig.2c).**

456 As mentioned above, RTSs can form due to massive ground-ice exposure in thermo-erosional gullies. Usually in such RTSs
457 lateral thermo-erosion continues to act simultaneously with ice ablation and thaw-related mass wasting. Sometimes lateral
458 thermo-erosion can appear in already existing RTS (Kerfoot, 1969; Lantuit and Pollard, 2005; Leibman and Kizyakov, 2007).
459 In both cases, the RTS has or develops a specific gully-like shape (Fig.2c).

460 Due to specific RTS geometries and climatic conditions, thick snow packs accumulating due to wind drift of snow in the winter
461 can remain within some RTS over summer (Fig.2d). This can affect RTS development by thermal isolation (Zwieback et al.,
462

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2018). It was reported that snow packs prevented fast headwall retreat rates compared to the headwalls not covered by snow (Lacelle et al., 2015).

Thermokarst subsidence and ponding can also occur within a slump floor (Fig.2b). This happens if the ground ice lies deeper than the level of the slump floor, and there are conditions for water to accumulate in local concavities (Leibman and Kizyakov, 2007). It also can happen that meltwater streams can go into ice wedge tunnels and disappear in sinkholes on the slump floor and come out again further down at the slump floor.

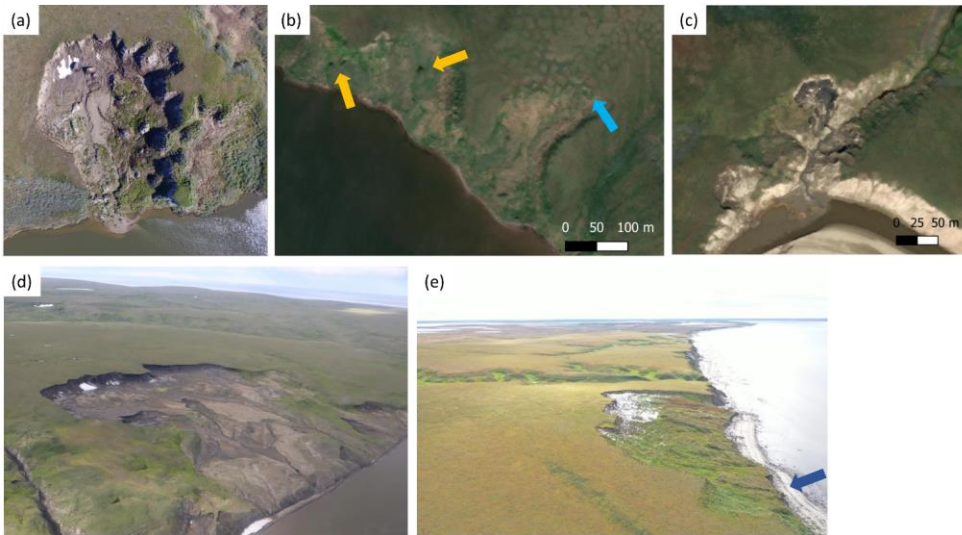


Figure 2 Concurrent processes affecting RTS: (a) ice wedge degradation in RTS in Central Yamal Peninsula, West Siberia, Russia, July 2018, show on (b) in a UAV photo in August 2019 (photo: Artem Khomutov), (b) Thermokarst subsidence indicated by yellow arrows and ice wedge degradation indicated by the light blue arrow in stabilized RTS in Gydan Peninsula, Russia, July 2019, ESRI Basemap, GeoEye-1 and (c) in a WorldView-2 satellite image, (e) active thermal erosion in RTS in Yamal Peninsula, Russia, July 2013, ESRI Basemap, WorldView-3 from July 2018 (Source: ESRI satellite image, (d) snow packs staying in RTS over summer, Yukon coast, Canada, July 2022, photo from helicopter: Saskia Eppinger, (e) coastal erosion that undercuts the coast (indicated by dark blue arrow) and washes away debris tongue of the RTS in Gydan Peninsula, Russia, September 2021, UAV photo: Nina Nesterova: basemap).

4 Two views on RTS formation processes and landform name

4.1 Historical background

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3.2.4. Thermoterrace

The term *thermoterrace* was first mentioned by Ermolaev (1932, in Russian) to describe “picturesque outcrops of ice falling vertically onto a narrow, 1-2 m wide space located along the seashore along the edge of the ice wall that can reach 30-35 m”. The local term to describe these icy cliffs was *muus kygams* - *muus kham* in Yakutian language (Ermolaev, 1932). The more precise definition of thermoterrace was given by Zenkovich and Popov (1980) as a terrace-like area in the upper part of the icy cliff at the seashore that results from the cliff retreat due to the thermal influence of warm air and solar radiation. Thermoterraces were reported to reach up to a few km in length along the coast and more than 200 m in width (Are et al., 2005). A scheme visualizing thermoterrace formation based on Kizyakov (2005) and an example of a thermoterrace on the Bykovsky Peninsula, Yakutia, Russia are shown in Fig.6.



Figure 6 (a) Scheme of thermoterrace formation, the black arrow indicates the direction of mass movement of thawed material; note that the scheme demonstrates the particular ground ice morphology of a layer with large ice-wedges (adapted from Kizyakov, 2005), but may also consist of other ground ice morphologies. Example of Thermoterraces in Bykovsky Peninsula, NE Siberia, Russia shown (b) on the ground in August 2016 (photo: Alexander Kizyakov) and (c) in a WorldView-2 satellite image from August 2020 (ESRI satellite basemap).

3.2.5. Active layer detachment slide

Another closely related slope landform linked to RTS formation (see Sect. 3.2) is an active layer detachment slide or failure (ALD). The term ALD is prevalent in recent publications (Blais-Stevens et al., 2015; Balser, 2015), yet, unlike RTS, there is no universally endorsed term to describe ALD phenomena in the Glossary (van Everdingen, 2005):

- active layer failure - “A general term referring to several forms of slope failures or failure mechanisms commonly occurring in the active layer overlying permafrost” (not recommended synonym: skinflow)

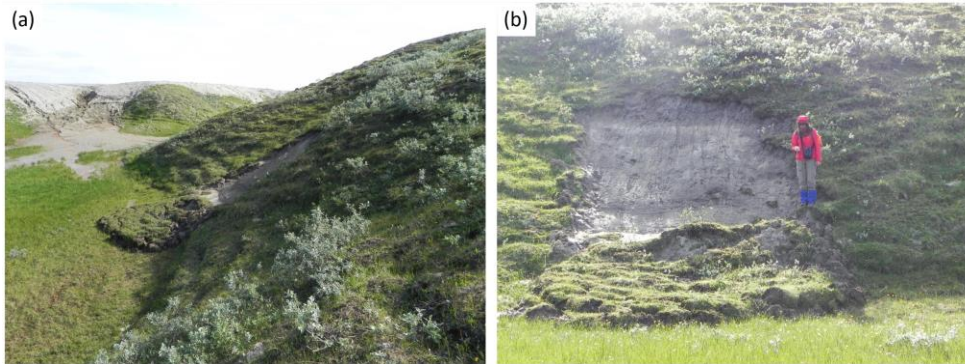
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502 • detachment failure - “A slope failure in which the thawed or thawing portion of the active layer detaches from the
503 underlying frozen material” (not recommended synonyms: skin flow, active layer glide)
504 French (2018) defines active layer detachment slides as rapid slope failures restricted to the active layer that generally occur
505 at the middle or upper slopes. In one of several classical works on ALD, Lewkowicz (1990) defines ALD as being a rapid
506 mass movement on permafrost slopes without strict limitation to the active layer: “Failure involves the unfrozen mass detaching
507 from the underlying substrate and sliding downslope over a thawing ice-rich zone within the active layer or the upper part of
508 the permafrost.” Examples of mass movements that seem deeper than the active layer and are nevertheless termed ALD are
509 shown by Rudy et al. mention of exposed ice in a retrogressive thaw slump probably dates back to 1881 by Dall in his
510 publication(2016).

511 **3.2.6. Cryogenic translational landslide**

512 The term *cryogenic translational landslide* (CTL) was suggested by Kaplina (1965, in Russian), and the definition was later
513 elaborated in further publications based on observations in Central Yamal, Russia (Leibman and Egorov, 1996; Leibman,
514 1997; Leibman et al., 2014). The definition of CTL summarized from the abovementioned publications can be phrased as
515 single-time lateral displacement of thawed soil block sliding on the surface of the seasonal ice formed at the active layer base.
516 This type of seasonal ice is formed due to the active layer's upward freezing, ice aggradation at the base of the active layer,
517 and later melting (Leibman et al., 2014; Lewkowicz, 1990). Alaska (Dall, 1881). However, the Examples of CTL in Central
518 Yamal are shown in Fig.7.



519
520 **Figure 7 Example of a cryogenic translational landslide (CTL) in 2019 in Central Yamal, West Siberia, Russia (photo: Artem**
521 **Khomutov). (a) view from the side and (b) view from the front.**

522 **3.3. Formation process**

523 The process of RTS formation in the recent literature is termed in two different ways: as *thermokarst* and as *thermodenudation*.

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3.3.1. Thermokarst

The term *thermokarst* was first suggested by Ermolaev (1932) to describe the surface subsidence due to the melting of ground ice as a similarity to the *karst* process by dissolution. ~~from the initiation~~ However, in the context of RTS formation processes the term *thermokarst* is mostly referred to in the North American literature as “the range of thaw-related geomorphic effects resulting from water on the permafrost landscape” (French, 2018).

3.3.2. Thermodenudation

The term *thermodenudation* originally was suggested by Panov (1936), defining “the influence of the sun in a direct or transformed form through soil or water on the sediments containing a certain amount of ice cement or ice masses, as well as on bedrock with negative temperature <...> that leads to mass-wasting as well as some forms of thermo-erosion or thermokarst”. In the context of RTS formation, this term has been used referring to ground ice thaw and slope mass waste (Leibman et al., 2021) as well as the retreat of upper bluff edges along coastal RTS (Guenther et al., 2012).

4 Discussion

4.1 Divergent terminologies

The terminology used to describe the RTS formation processes and related landforms in 21st-century publications has historical roots in the distinct scientific approaches developed in the USSR and North America (both Canada and the USA) during the 20th century.

The process of RTS formation following the initiation by various triggers and ~~to~~ the further development into the landform has neither been named nor specifically classified in classical works on RTS and exposed ground ice (Mackay, 1966; Mackay, 1970; Rampton and Mackay, 1971; Lewkowicz, 1987a; Burn and Friele, 1989).

In the literature of the 20th century, this process was often termed *solifluction*, *thermokarst*, and *thermodenudation*. Initially, none of these three terms took the more specific formation of RTS into account in their definitions. At some point, however, the definitions of these three terms were expanded to include RTS formation. The process of RTS formation was also previously very broadly referred to as the process of *erosion* (Lamothe and St-Onge, 1961), but this term was later no longer used in publications in this context.

The general chronology of usage of these ~~3~~ three terms which differ in definitions in the 20th century is shown in Fig. 38. While this chronology graph has some limitations due to the a) ambiguity of some definitions; b) definition reformulation by some authors through their later publications; and c) usage of several terms for the same process etc., it helps understanding how the RTS terminology evolved in the scientific literature and how different schools of thought influenced its development.

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553 The term *solifluction* was first introduced by Andersson (1906) and describes the process of slow downslope movement of
554 saturated unfrozen materials (van Everdingen, 2005). In non-Russian language literature, this term has always been used for
555 very slow movements up to several centimeters per year (Smith, 1988) and never for the rapid mass-wasting that can lead to
556 RTS. Meanwhile, Russian-language authors have included the process of slumping into the *solifluction* calling it *rapid*
557 *solifluction*. Probably the most remarkable publication with such a statement was ~~done~~issued by Kaplina (1965). The concept
558 of rapid solifluction was later criticized by Dylik (1967) and Leibman (1997) for summarizing processes that have process
559 rates differing by several orders of magnitude under one term. Nevertheless, this approach of referring to the RTS formation
560 process as *rapid solifluction* was frequently used in the literature until the end of the 20th century. The last publication in which
561 *rapid solifluction* was mentioned in connection with the formation of RTS was by Yershov (1998).

562 ~~The term *thermokarst* was first suggested by Ermolaev (1932) to describe the surface subsidence due to the melting of ground~~
563 ~~ice as a similarity to the *karst* process by dissolution.~~ In general, the term *thermokarst* has ~~always~~mostly been used by Russian-
564 language researchers for describing the subsidence of the land surface (Sumgin et al., 1940; Kachurin, 1955; Mukhin, 1960;
565 Dostovalov and Kudryavcev, 1967; Shur, 1977; Romanovskii, 1993; and many more later). ~~The only exception~~Some
566 ~~exceptions~~ can be found in two publications of Popov: one in English (Popov et al., 1966), where he included the slumping
567 process in *thermokarst*, and another one in French (Popov, 1956), where his definition of *thermokarst* was not purely limited
568 to the process of subsidence. Meanwhile, a different approach was suggested by Czudek and Demek (1970), who put the RTS
569 formation process under the umbrella of the thermokarst term. They proposed two types of *thermokarst*: down-wearing which
570 included only subsidence and back-wearing which included the RTS formation. This approach found support from French
571 (1976), who extended this term by adding *thermal erosion* to it. French's (1976) definition of *thermal erosion* as "a dynamic
572 process 'wearing away' by thermal means, i.e. melting of ice" differs from the one in the Glossary, where the main erosional
573 agent is moving water: "The erosion of ice-rich permafrost by the combined thermal and mechanical action of moving water."
574 This is the reason why the RTS formation process is sometimes called *thermal erosion*. For example, Burn (1983) relates the
575 process of RTS formation to *thermal erosion*, which he in turn describes as part of the *thermokarst* process.

576 Since French (1976) expanded the definition of thermokarst processes to encompass slope processes and in particular thaw
577 slumping, the RTS formation process has consistently been perceived as a thermokarst process in the North American literature
578 (Washburn, 1979; Burn, 1983) or sometimes specified as hillslope thermokarst (Gooseff et al., 2009). There was no agreement
579 among scholars on the terminology of the RTSs itself. RTSs were termed in the literature as tundra mudflows (Lamothe and
580 St-Onge, 1961), ground-ice slumps (Mackay, 1966; French, 1976), retrogressive-thaw flow slides (Hughes, 1972), bi-modal
581 flows (McRoberts and Morgenstern, 1974), or just thaw slumps (Washburn, 1979). The 1998 Glossary (van Everdingen, 2005)
582 initially recommended using the term "retrogressive thaw slump", though alternative terms persist in later literature, such as
583 "retrogressive thaw flowslides (thawslides)" (Wolfe et al., 2001) or "retrogressive thaw flows" (Highland and Bobrowsky,
584 2008).

585 Unlike RTS, the process of ALD was not always classified as thermokarst in the North American literature (Lewkowicz, 1990;
586 Lewkowicz and Harris, 2005, etc.). For example, French (1976; 2018) describes ALD under the section of "Rapid mass

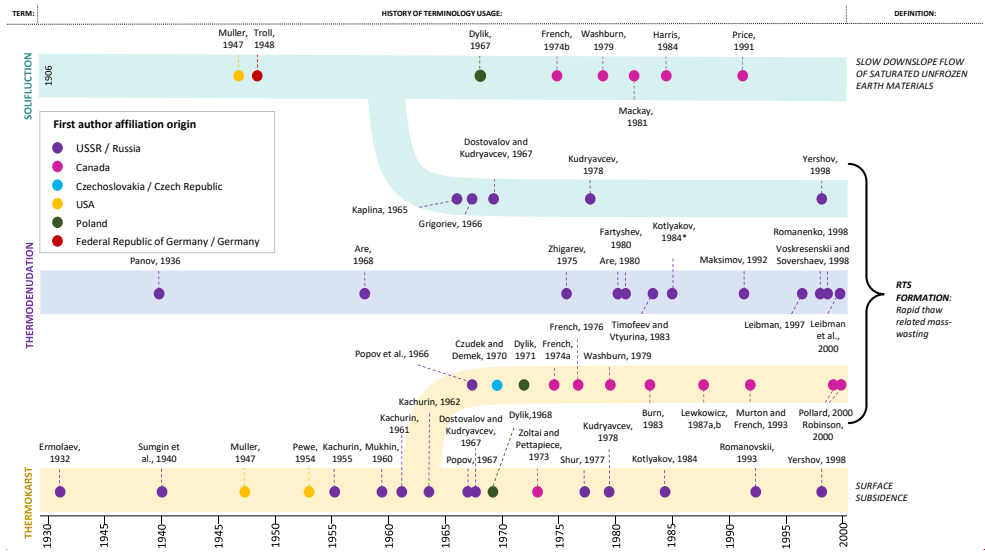
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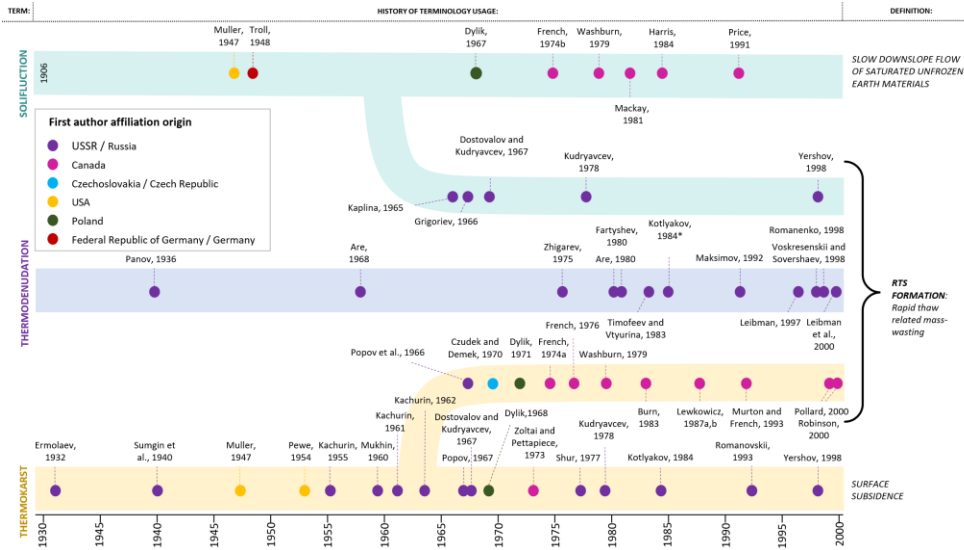
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movements”, but not “Thermokarst” in all of the editions of his textbook “The Periglacial Environment”. ALDs are included in the list of thermokarst processes described for Alaska by Jorgenson et al. (2008) and classified as hillslope thermokarst by Gooseff et al. (2009). Recent publications tend to include the process of ALD under the concept of thermokarst (Kokelj and Jorgenson, 2013; Ramage et al., 2019; Kokelj et al., 2023).

Additional definitions of thaw-related slope processes in the North American literature worthy of mention can be found in “The Landslide Handbook — A Guide to Understanding Landslides” of the United States Geological Survey by Highland and Bobrovsky (2008). In the section “Flows in permafrost”, the authors define ALD as the “rapid flow of shallow layer of saturated soil and vegetation” that moves over but on the underlying permafrost. Retrogressive thaw flows (as an analog term for RTS) are described as the features resulting from the thawing of exposed buried ice lenses (Highland and Bobrovsky, 2008).



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598
599 **Figure 3 The chronology** Chronology of the usage of different RTS-related terms by selected most cited authors in the 20th century.
600 Three color-coded wide lines represent the term on the left side and the main process by definition on the right side. The dots
601 represent publications and are color-coded based on the first author affiliation origin.

602 The term *thermodenudation* (sometimes also spelled as *thermal denudation*) has never been properly introduced in English-
603 language literature, however, it is widely used in Russian-language permafrost literature with two types of definitions: narrow
604 and wide. The term was suggested by Panov in 1936 with a narrow definition as “the influence of the sun in a direct or
605 transformed form through soil or water on the sediments containing a certain amount of ice cement or ice masses, as well as
606 on bedrock with negative temperature <...> that leads to mass wasting as well as some forms of thermoerosion or
607 thermokarst”. For the initial (narrow) definition see Sect. 3.3.2. Are (1968) used this term to describe the thermal effect of solar
608 radiation and sensible heat affecting the retreating coasts-ice-rich coastal cliffs. Zhigarev (1975) highlighted the importance
609 of the slope in his definition of *thermodenudation* as “a complex of gravitational and erosive processes that develop on slopes
610 during thawing of ice-rich deposits of various genesis”. The only wide definition of *thermodenudation* was introduced in the
611 Glossary of Glaciology (Kotlyakov, 1984) as: “a set of cryogenic destructive processes and the transfer of the products of
612 destruction downwards. *Thermodenudation* includes cryogenic weathering, nivation, cryogenic slope processes (mass
613 movements), thermal erosion, thermal coastal erosion, thermokarst, and thermal suffosion”. Here, it is worthy to define
614 cryogenesis as a set of thermophysical, physiochemical, and physiochemical processes that occur in freezing, frozen, and
615 thawing deposits (van Everdingen, 2005). The word cryogenic is usually used to describe the periglacial nature of the

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616 processes. This wide definition by Kotlyakov (1984) of a thaw-related process is quite similar to the expanded by French
617 (1974) version of the thermokarst term. The term *thermodenudation* was widely applied in all of its definitions to describe
618 mass wasting responsible for the coastal retreat (Are, 1968 and 1980; Pizhankova, 2011) as well as for RTS formation
619 (Fartyshev, 1980; Romanenko, 1998; Leibman and Kizyakov, 2007 and many more version of the thermokarst term by French
620 (1976).

621 To summarize, the 20th century was a starting point for many scientists to describe RTS formation processes and therefore
622 also to search for the terminology that would properly explain the process. In the context of RTS formation and growth. In the
623 21st century, mostly only two terms are used in the literature for the RTS formation process: *thermokarst* (in extended
624 definition) in most English language literature and, the term *thermodenudation* (was widely applied in its narrow definition)
625 in Russian language literature. In this review paper, we will call the first approach the North American perspective and the
626 second the Russian perspective. The sections below summarize both of these approaches.

627 4.2. North American perspective

628 Since French (1976) expanded the definition of thermokarst processes to encompass as a set of slope processes and in
629 particular thaw slumping, the RTS formation process has consistently been perceived as a thermokarst process in the North
630 American view (Washburn, 1979; Burn, 1983) or sometimes specified as hillslope thermokarst (Gooseff et al., 2009). There
631 was no agreement among scholars on the terminology of the RTS itself. RTSs were termed in the literature as tundra mudflows
632 (Lamothe and St-Onge, 1961), ground-ice slumps (Mackay, 1966; French, 1976), retrogressive thaw flow slides (Hughes,
633 1972), bi-modal flows (McRoberts and Morgenstern, 1974), or just thaw slumps (Washburn, 1979). The 1998 Glossary (van
634 Everdingen, 2005) initially recommended using the term "retrogressive thaw slump", though alternative terms persist in the
635 literature, such as "retrogressive thaw flowslides (thawslides)" (Wolfe et al., 2001) or "retrogressive thaw flows" (Highland
636 and Bobrowsky, 2008).

637 Another closely related slope process linked to RTS formation (see Section 3.2) is active layer detachment slide or failures
638 (ALD). The term ALD is prevalent in recent publications (Blais-Stevens et al., 2015; Balsler, 2015), yet, unlike RTS, there is
639 no universally endorsed term to describe ALD phenomena in the Glossary (van Everdingen, 2005):

640 ● active layer failure – "A general term referring to several forms of slope failures or failure mechanisms commonly
641 occurring in the active layer overlying permafrost" (not recommended synonym: skinflow)

642 ● detachment failure – "A slope failure in which the thawed or thawing portion of the active layer detaches from the
643 underlying frozen material" (not recommended synonyms: skin flow, active layer glide)

644 French (2018) defines active layer detachment slides as rapid slope failures restricted to the active layer that generally occur
645 at the middle or upper slopes. In one of several classical works on ALD, Lewkowicz (1990) defines ALD as being a rapid
646 mass movement on permafrost slopes without strict limitation to the active layer: "Failure involves the unfrozen mass detaching
647 from the underlying substrate and sliding down slope over a thawing ice-rich zone within the active layer or the upper part of

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648 the permafrost.” Examples of mass movements that seem deeper than the active layer and are nevertheless termed ALD are
649 shown by Rudy et al. (2016, Fig. 2a).

650 Unlike RTS the process of ALD was not always classified as thermokarst in the literature (Lewkowiec, 1990; Lewkowiec and
651 Harris, 2005, etc.). For example, French (1976; 2018) describes ALD under the section of “Rapid mass movements”, but not
652 “Thermokarst” in all of the editions of “The Periglacial Environment”. ALDs are included in the list of thermokarst processes
653 described for Alaska by Jorgenson et al. (2008) and classified as hillslope thermokarst by Gooseff et al. (2009). Recent
654 publications tend to include the process of ALD under the concept of thermokarst (Kokelj and Jorgenson, 2013; Ramage et
655 al., 2019; Kokelj et al., 2023).

656 Additional definitions of thaw related slope processes worthy of mention can be found in “The Landslide Handbook — A
657 Guide to Understanding Landslides” of the United States Geological Survey by Highland and Bobrowsky (2008). In the section
658 “Flows in permafrost”, the authors define ALD as the “rapid flow of shallow layer of saturated soil and vegetation” that moves
659 over but on the underlying permafrost. Retrogressive thaw flows (as an analog term for RTS) are described as the features
660 resulting from the thawing of exposed buried ice lenses (Highland and Bobrowsky, 2008).

661 4.3 Russian perspective

662 The Russian perspective on RTS formation has never been fully described in English language literature. The notion of the
663 narrow definition of *thermodenudation* as a set of processes on a slope associated with thawing of ice-rich deposit thawing
664 implies deposits and leading to the occurrence of mass movements and concavities of different shapes. (Fartyshev, 1980;
665 Romanenko, 1998; Leibman et al., 2021; and many more). These mass movements were classified by Leibman (1997) into
666 two types depending on the sliding surface: cryogenic translational landslides on the seasonal ice in the base of the active
667 layer (for detailed definition see Sect. 3.2.3) and cryogenic earthflows on the massive ice or icy permafrost (for detailed
668 definition see Sect. 3.2.4).

669 The first type of mass movement is called Figure 9 demonstrates a conceptual scheme that explains the interrelation of different
670 processes and lists the landforms resulting from *thermodenudation* (in narrow definition) in the Russian literature. When the
671 cryogenic translational *landslide* (CTL). *Cryogenic translational landslide* corresponds to shallow active layer detachment
672 slide in North American perspective and is triggered by high pore water pressure and low effective strength (Lewkowiec,
673 2007). The sliding surface of such shallow mass movements is a seasonal ice that is formed at the active layer base. This type
674 of seasonal ice is formed due to the active layer's upward freezing, ice aggradation at the base of the active layer, and later
675 melting (Leibman et al., 2014; Lewkowiec, 1990).

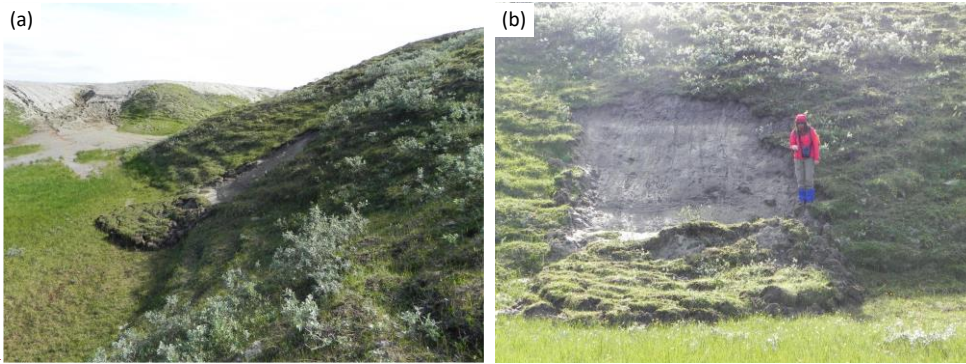
676 This type of shallow mass movement is a rapid and single time event (Fig.4). If these mass movements landslides do not lead
677 to the exposure of ice-rich permafrost or massive ground ice, then the surface of exposed bare soil will get gets revegetated.
678 (Khomutov and Leibman, 2016). Otherwise, if the icy deposits or the massive ice body are exposed because of this disturbance,
679 the second type of a cryogenic mass movement earthflow can occur (Fig.5).

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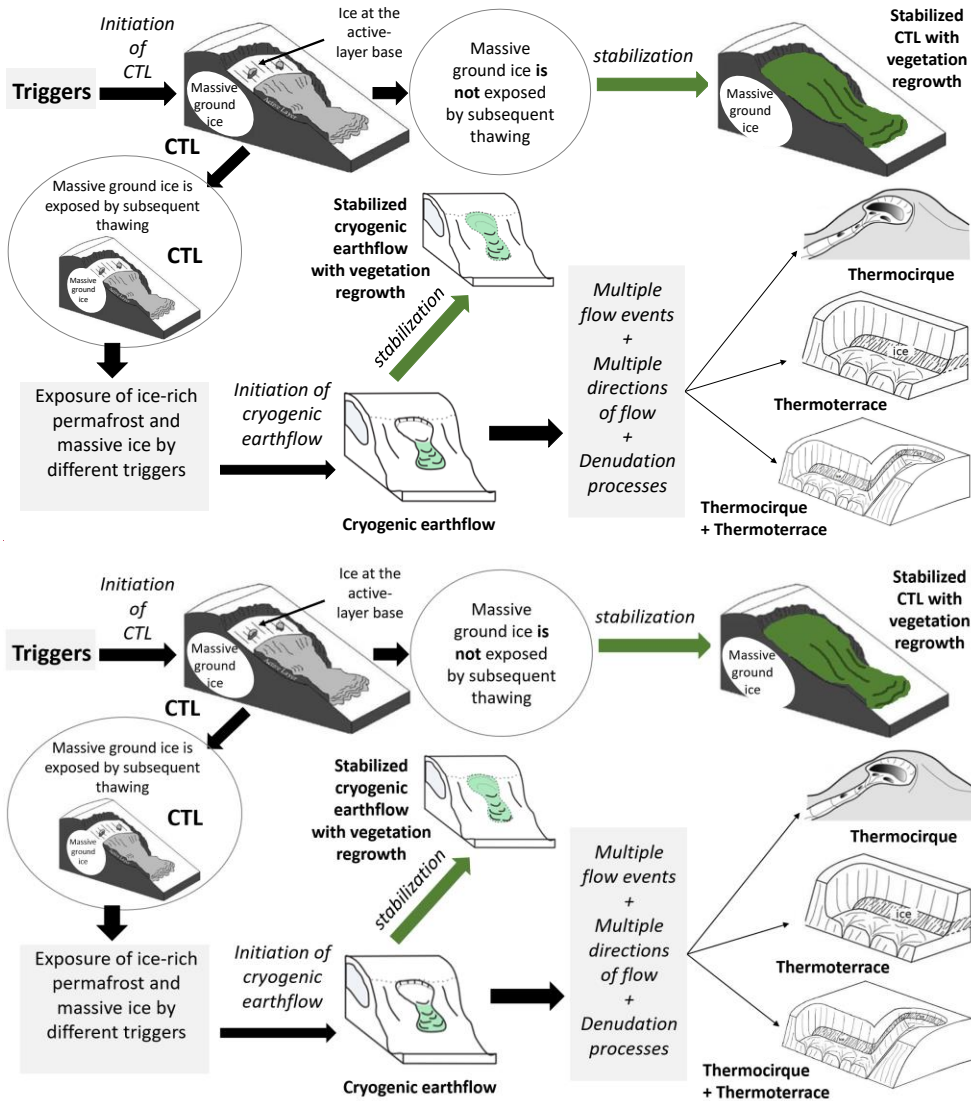


680
 681 **Figure 4** Examples of a-. Such features can also stabilize if the accumulation of drying sediments insulates the exposed ice.
 682 Once further thawing is suspended, the surfaces of these landforms get revegetated (Fig. 9) (Leibman, 2005). In contrast, the
 683 continued expansion of the flow and mass movements in several directions involving additional cryogenic translational
 684 landslide (CTL) in Central Yamal in 2019 (photo: Artem Khomutov), (a) view from the side processes lead to the formation of
 685 mature landforms defined in the literature as *thermocirque* (for detailed definition see Sect. 3.2.5) and *thermoterrace* (for
 686 detailed definition see Sect. 3.2.6). *Thermocirques* are reported in the literature to exhibit amphitheater-like shapes (Leibman
 687 et al., 2014), while thermoterraces are described as landforms elongated along the coast or the shore with coastal erosion
 688 contributing to the cut of its lower part (Are et al., 2005). The combinations of these two landforms are also observed in some
 689 regions (Leibman et al., 2023) (Fig. 10). This is usually found in two settings: one or more *thermocirques* form and (b) view
 690 from the front grow in a former but now stabilized *thermoterrace* (Fig.10a), or when an originally separate *thermocirque* and
 691 *thermoterrace* merge at the coast into one outline (Fig.10b).

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694 **Figure 59** Conceptual diagram of the **Russian perspective on interrelation of different terms in the Russian literature used to**
695 **describe the RTS formation process —thermodenudation and the resulting landforms. CTL stands for cryogenic translational**
696 **landslide. Note that what is shown as massive ground ice in the diagram may have different characteristics in different regions and**
697 **could include buried glacial ice, thick ice layers, or large syngenetic ice wedges.**

698 The second type of mass movement is called *cryogenic earthflow*. It slides on the surface of massive ground ice bodies
699 (regardless of the ice morphology) or the table of ice-rich permafrost. Such mass movements are water-saturated due to the
700 amount of meltwater released and feature a viscous or viscoelastic flow of deposits (Leibman et al., 2014) (Fig.6a, b, c). This
701 type of mass movement corresponds to a deep ALD in the North American perspective and is the very early stage of RTS
702 formation.

703 Such features can also stabilize if the exposed ice is insulated by the accumulation of drying sediments. Once further thawing
704 is suspended, the surfaces of these landforms get revegetated very fast (Fig.6d).

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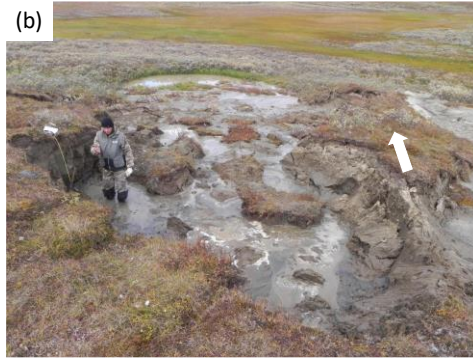
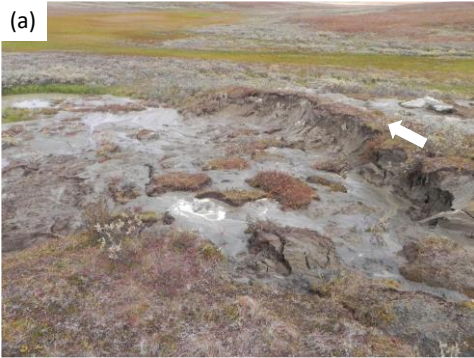
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706
707 **Figure 6** The examples of two cryogenic earthflows next to each other being active on (a), (b) and (c) photos in Central Yamal
708 made on a photo camera in 2012 and stabilized on (d) the photo made by UAV in 2017. **The arrow indicates the direction of**
709 **flow. Photos: Artem Khomutov.**

710 In contrast, the continued expansion of the flow and mass movements in several directions involving additional cryogenic
711 processes lead to the formation of mature landforms. Two main types of such mature landforms are defined in the literature:
712 *thermocirque* (Czudek and Demek, 1970; Grigoriev and Karpov, 1982; Leibman et al., 2000) and *thermoterrace* (Ermolaev,
713 1932; Are, 1968; Timofeev and Vtyurina, 1983). However, there are also combinations of these two morphologies.
714 *Thermocirques*, in Russian-language literature, sometimes also called “thermokar” (Zhigarev, 1978; Voskresenskii, 2001),
715 occur inland on slopes without any direct influence of coastal erosion. As it evolves and enlarges, a *thermocirque* can reach
716 the coast, however, coastal erosion does not play a significant role in its formation and further development. *Thermocirques*
717 mostly have a horseshoe-shaped morphology and are curved inland (Fig.7). That is why the term that is widely used in Russian-
718 language literature consists of “thermo” which stands for temperature relations and “cirque” which refers to the semi-circular
719 shape of the landform that was also called as “amphitheater” (Kerfoot, 1969; De Krom and Pollard, 1989). However, in some
720 cases, these landforms can also be elongated in width (i.e. Fig.1 in Swanson and Nolan, 2018) following the initial shape of
721 massive ground ice.

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722
 723 **Figure 7 (a) Scheme of Thermocirque formation, the black arrow indicates the direction of mass movement of thawed material, and**
 724 **the red dotted line stands for the cross-section of the headwall and the slump floor (see Section 3.5). Note that the scheme demonstrates**
 725 **the particular ice morphology of a layer of tabular massive ground ice (adapted from Kizyakov, 2005), but may also consist of other forms**
 726 **of ice-rich ground. Example of a thermocirque in Central Yamal, Russia show on (b) in a UAV photo in August 2019 (photo: Artem**
 727 **Khomutov) and (c) in a WorldView-2 satellite image from July 2018 (Source: ESRI satellite basemap).**

728 *Thermoterraces* are “terraced platforms” at the upper part of cliffs along coasts or large lakes. This term was also suggested
 729 by Ermolaev in 1932. The cliff edge retreats as the exposed massive ground ice melts and thawed material moves downwards
 730 due to the influence of warm air temperatures and solar radiation (Zenkovich and Popov, 1983). These landforms are mostly
 731 coast-specific with coastal erosion contributing to the cut of its lower part, while some also occur at very large lakes which
 732 can exhibit similar rapid shore erosion dynamics. Thermoterraces can reach few km in length along the coast and more than
 733 200 m in width (Are et al., 2005). These landforms have an elongated shape along the coast (Fig.8). However, in particular
 734 cases, they can also have inland curves due to the shape of massive ground ice.

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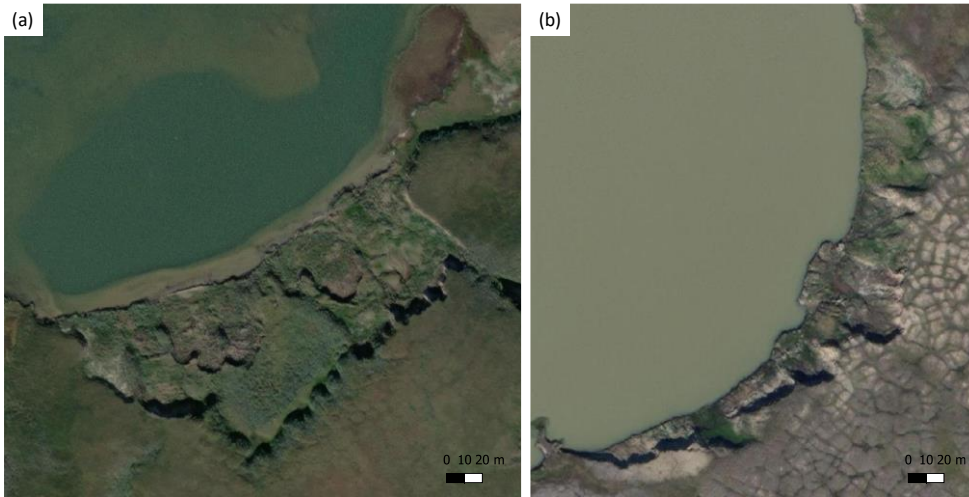


735
736 **Figure 8 (a) Scheme of thermoterrace formation, the black arrow indicates the direction of mass movement of thawed material; note**
737 **that the scheme demonstrates the particular ground ice morphology of a layer with large ice wedges (adapted from Kizyakov, 2005),**
738 **but may also consist of other ground ice morphologies. Example of Thermoterraces in Bykovsky Peninsula, Russia shown (b) on the**
739 **ground in August 2016 (photo: Alexander Kizyakov) and (c) in a WorldView-2 satellite image from August 2020 (ESRI satellite**
740 **basemap).**

741 In some locations, the RTS landforms can be combinations of a thermoterrace with additional *thermocirques*. This is usually
742 found in two settings: one or more *thermocirques* form and grow in former stabilized *thermoterrace* (Fig.9a) or when
743 thermocirque and *thermoterrace* merge at the coast into one outline (Fig.9b):

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744
745 **Figure 910** Examples of combined RTS morphologies with thermoterraces and thermocirques. (a) Thermocirque(s) growing into a
746 stabilized thermoterrace in Central Yamal, West Siberia, Russia as seen in a WorldView-3 satellite image from August 2018 (ESRI
747 satellite basemap). (b) Thermocirque and thermoterrace merging at the coast into one outline in Western Yamal, West Siberia,
748 Russia as seen in a WorldView-3 satellite image from August 2018 (ESRI satellite basemap).

749 **5 Discussion**

750 **5.1. Correspondence of the terminology**

751 **4.2. Overlap in terminologies**

752 The terms described above refer to similar phenomena of the RTS formation process and resulting landforms, leading to
753 inevitable similarities and overlaps but also differences (Table 2).

754 Cryogenic translational landslide corresponds to shallow active layer detachment slide in North American literature that is
755 triggered by high pore-water pressure and low effective strength (Lewkowicz, 2007). Cryogenic earthflow corresponds to a
756 deep ALD in the North American literature and is the very early stage of RTS formation. Thermocirque and thermoterrace
757 signify the mature stage of RTS of different morphology.

758 The process of RTS formation can ~~be~~ generally be described as a mass-wasting (landsliding) process resulting from the melting
759 of massive ground ice exposed due to various triggers. Regardless of which ~~concept and~~ terminology is used,
760 (thermodenudation in Russian literature or thermokarst in North American literature), it can be seen as a sequence of physical
761 events (Fig.4011): trigger, massive ground ice exposure, ice ablation, thaw-related mass movement, and landform formation.

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The first two physical events (trigger + massive ground ice exposure) are usually considered the RTS initiation stage. Triggers of massive ice exposure that lead to mass-wasting and RTS landform occurrence are described in Section 3.2.

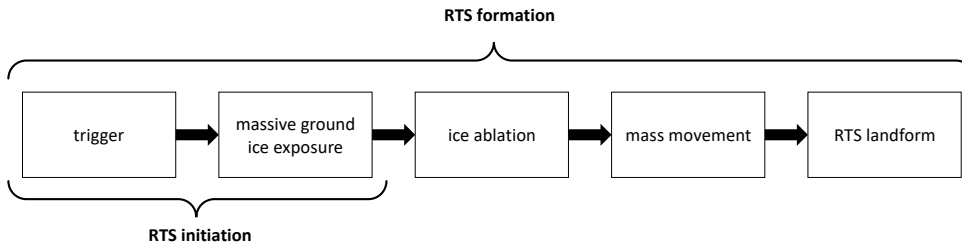


Figure 10 Broadly-accepted sequence of physical events of RTS formation.

Table 2 summarizes the above-mentioned physical processes and the resulting landforms. Generally, the term “retrogressive thaw slump” (RTS) describes permafrost slope failure due to ice-rich permafrost thawing or massive ground ice melting that incorporates both types of mature RTS stages: *thermocirque* and *thermoterrace*.

Table 2 Correspondence of the physical process, landform, and terminology in the different approaches currently used.

Main physical process	Process term		Resulting landform			
	Z	c	North American literature		Russian literature	
			term	comment	term	comment
Mass-wasting sliding on seasonal ice at the base of the active layer (within the active layer)	Thermokarst (in wide definition)	Thermomodulation (in narrow definition)	Active layer detachment slide (ALD)	shallow, relatively dry, and rather rapid	Cryogenic translational landslide	Can trigger massive ground ice exposure
Mass-wasting sliding on massive ground ice (upper)			deep, saturated, and rather slow	Cryogenic earthflow	Initial! The initial stage of retrogressive thaw slump formation	

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part of the permafrost)						
Mass-wasting due to the exposure and further thawing of ice-rich permafrost or melting massive ground ice plus other denudational processes resulting in concave hollows			Retrogressive thaw slump (RTS)	-	Thermocirque	The mature stage of retrogressive thaw slump development. This landform is initiated inland without coastal erosion playing a role. Morphology: mostly horseshoe shape, generally less often elongated.
					Thermoterrace	The mature stage of retrogressive thaw slump development. This landform is initiated on coastal bluffs or large lakes with coastal shore erosion playing a role. Morphology: mostly elongated, generally less often horseshoe shape.

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5.2. Biases of both perspectives

Both

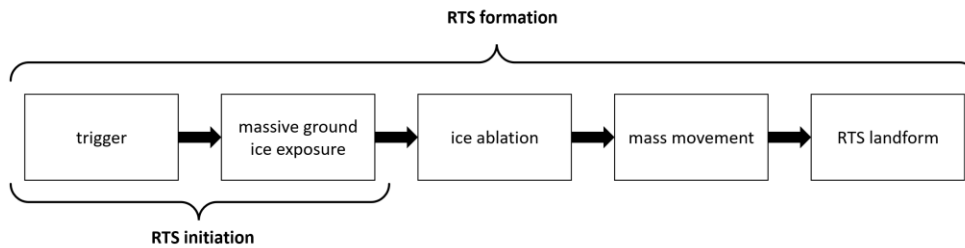


Figure 11 Broadly accepted sequence of physical events of RTS formation.

4.3. Limitations of divergent terminology

All the terms used to explain RTS formation both in North American and Russian perspectives to explain RTS formation literature have their advantages and disadvantages/limitations. The usage of a single term Thermokarst for a wide variety of processes leads to confusion about the direction of the physical process happening: whether it is the vertical lowering of the surface in the case of thermokarst lakes or lateral mass movement in the case of RTS occurrence. Since the term Thermokarst

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783 in this case incorporates both directions of the process, it is crucial to clearly state that RTS formation implies back-wearing
784 thermokarst (or hillslope thermokarst). Another confusion can appear when talking about mass movements that are deeper
785 than the active layer and slide on the surface of massive ground ice. In the North American perspective literature, such
786 landslides can still be called active layer detachment slides. However, since these mass movements expose massive ground
787 ice, the retrogression can already start, which means that it is actually already an early-stage RTS.

788 Such mass movements on the surface of massive ground ice are called cryogenic earthflows and are considered early-stage
789 RTS from the Russian perspective literature. However, it is difficult to distinguish an early-stage RTS (cryogenic earthflow)
790 from a mature-stage RTS (thermocirque) since mature RTS can also be of small sizes. Clear separation of these two categories
791 is almost impossible with remote sensing data and is quite demanding in the field since it requires thorough knowledge of the
792 environment and the dynamics of each RTS.

793 Furthermore, sometimes it can be challenging to distinguish between a thermocirque and a thermoterrace
794 since their present in the literature are based on the morphology can also differ depending on the exact location of the features.
795 Considering morphology as a distinguishing factor can be subjective since no established curvature values exist in the literature
796 to differentiate them. In some cases, a thermoterrace can appear more curved, rather resembling a thermocirque. In contrast, a
797 thermocirque can further elongate in width, following the initial shape of massive ground ice (e.g., Fig.1 in Swanson and
798 Nolan, 2018), while its mudflow can reach the neighboring water body base level. In such particular cases, classification of

799 4.4. RTS into thermocirque or thermoterrace is demanding and requires retrospective analysis of definition in the 800 Glossary

801 With a large number of recent RTS formation, though mapping studies in different permafrost regions, it has become clear that
802 RTS characteristics and morphologies vary widely, that RTS can occur in a range of different permafrost and ground ice
803 settings, and feature processes important for understanding their dynamics and environmental impacts. However, these specific
804 cases are aspects are not yet covered by the current definition of a “retrogressive thaw slump” in the International Permafrost
805 Association Multi-Language Glossary of Permafrost and Related Ground-Ice Terms (van Everdingen, 2005) (see Sect. 3.2.1).
806 This definition is rather short and describes a portion of RTS characteristics, it is limited in its scope and does not capture the
807 full breadth of RTS variability emerging from the many studies. In particular, the definition only focuses on the active stage
808 of RTS, while the polycyclic nature of many RTS also includes the stages of stabilization without activity. Moreover, this
809 definition does not reflect the variety of possible morphologies as horseshoe-like (thermocirques) or elongated along the coast
810 (thermoterrace) and different stages of the landform evolution. Furthermore, some other settings also feature slump-like
811 landforms that exhibit a similar headwall backwasting but were not covered in this review. Such slumps for example occur on
812 recent dead-ice moraines that experience retrogressive rotational sliding or back slumping of the ice-cored slopes (Kjær and
813 Krüger, 2001). Thus, a clear distinction should be drawn in the definition. We recommend considering these points when
814 preparing the next International Permafrost Association Multi-Language Glossary of Permafrost and Related Ground-Ice
815 Terms.

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816 **4.5.3. Missing terminology**

817 Our review of morphologic elements of RTS (see Sect. 3.1) showed that there ~~so far~~ is no term to describe thawed **permafrost**
818 remnants within a slump floor. The term *slump block*, in our opinion, fits the best to explain pieces of soil with vegetation that
819 move downwards while the term *remnant island* sounds rather confusing because it does not assume the moving nature of
820 such a feature. We **rather** suggest using the term *remnant island* to describe thawed **permafrost** remnants within a slump
821 floor. These remnant islands are generally larger than slump blocks and do not move since they still have thawed cores. An
822 example of such a remnant island is shown in Fig. 4.12.

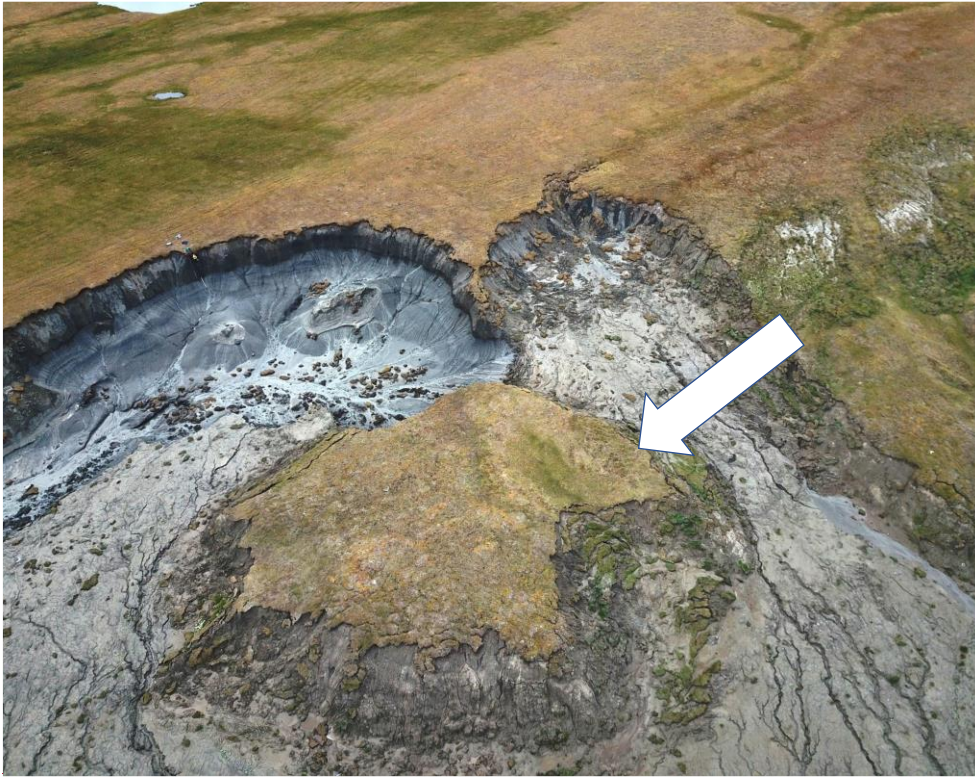
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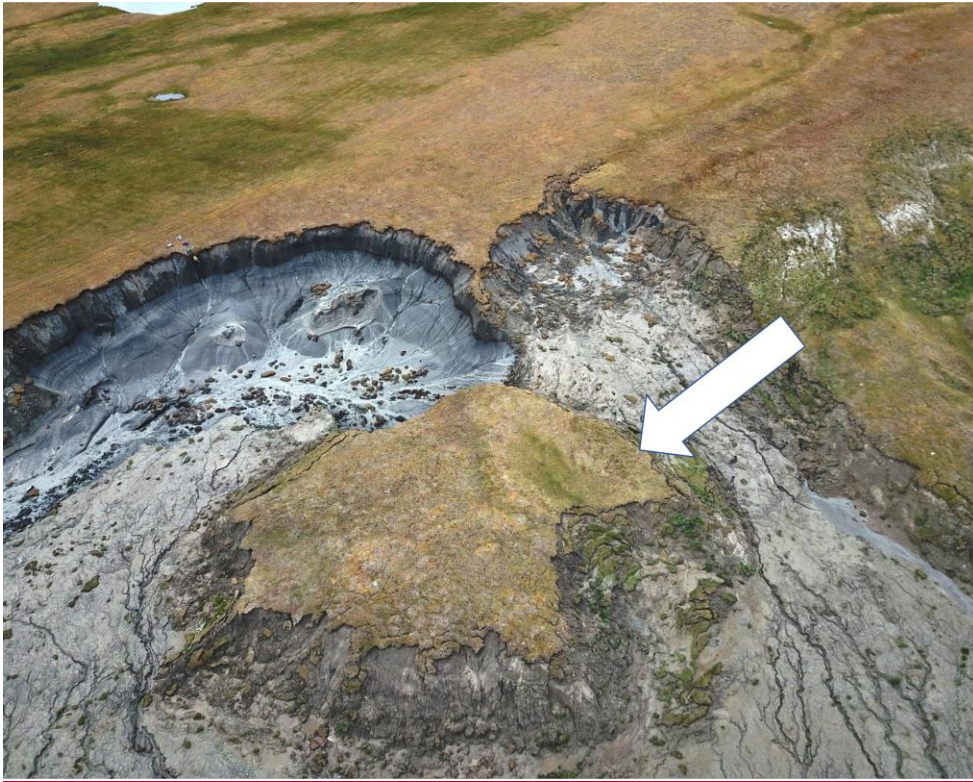


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824
825 **Figure 1412** Example of an unthawed remnant island (indicated with white arrow) within a slump floor of RTS in Yugorsky
826 Peninsula, **European Arctic**, Russia, September 2019. UAV photo: Nina Nesterova.

827 **65** Conclusions

828 Retrogressive thaw slumps are complex permafrost region landforms that despite recent wide scientific interest are still studied
829 very differently in terms of ~~theory and~~ terminology. Based on our review ~~of the literature and terminologies~~ we draw the
830 following conclusions:

- 831 •• The RTS formation process is currently explained ~~in~~with two different ~~perspectives in terms (thermokarst and~~
832 ~~thermodenudation) in the~~ North American and Russian literature based on different theoretical views that were formed
833 in the 20th century.

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834 •• RTS is a general umbrella term ~~that incorporates both the process and the landform,~~ applied to different stages of
835 ~~landform~~ activity and ~~also a~~ variety of mass-wasting landforms on slopes with ice-rich permafrost
836 ~~(thermocirque/thermoterrace).~~

837 •• RTSs can differ in ~~spatial aggregation,~~ shape, triggers, ~~ground ice~~ types, position in the relief, activity, ~~and~~ concurrent
838 processes, ~~and spatial aggregation.~~

839 •• For active RTS we identified ~~4four~~ essential morphologic parts (headwall, slump floor, mudflow, edge), while ~~9nine~~
840 additional parts may or may not be present in an RTS.

841 The study of RTS formation and accompanying processes is important to better understand how rapid mass wasting on
842 permafrost slopes can mobilize sediment, meltwater, carbon, and nutrients, how biogeochemical dynamics are influenced by
843 specific processes during the RTS formation and growth, and how RTS may pose hazards to infrastructure. More clarity on
844 used terminology and scientific views will foster this understanding and can guide new research.

845 **Author contribution**

846 NN: Conceptualization, Resources (literature sources), Investigation, Writing – original draft preparation. ML:
847 Conceptualization, Supervision, Writing – review & editing. AK: Supervision, Writing – review & editing. HL:
848 Conceptualization, Supervision, Writing – review & editing. IT: Resources (literature sources), Writing – review & editing.
849 IN: Writing – review & editing. AV: Writing – review & editing. GG: Conceptualization, Supervision, Writing – review &
850 editing.

851 **Competing interests**

852 The authors declare that they have no conflict of interest.

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856 on retrogressive thaw slumps by the International Permafrost Association. ~~ML and IT were funded by the state assignment of~~
857 ~~the Ministry of Science and Higher Education of the Russian Federation (topic No. FWRZ-2021-0012). IN and GG were~~
858 ~~supported by the Google Permafrost Discovery Gateway. AK was funded by the state assignment «Evolution of the cryosphere~~
859 ~~under climate change and anthropogenic impact» (#121051100164-0). NN was funded by a DAAD fellowship (Grant~~
860 ~~#57588368).~~

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