1 Characteristics and sources of NMVOCs and the O₃-NO_x-NMVOCs relationships in Zhengzhou, China

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- 9 Abstract:

10 Nonmethane volatile organic compounds (NMVOCs) are important precursors of ozone (O₃) generation. 11 Understanding the characteristics, and emission sources of NMVOCs, and the relationship between NMVOCs and O₃ during O₃ pollution are of great significance for O₃ pollution control. This study investigated the 12 characteristics, sources, and effects of NMVOCs on O₃ formation in Zhengzhou, Henan Province from 1st to 13 14 30th June 2023, and provided recommendations for O₃ emission reduction strategies. Two O₃ pollution events occurred during the observation period. During the observation period, the concentration of Total NMVOCs 15 (TNMVOCs) varied from 9.9 to 60.3 ppbv, with an average of 22.8 ± 8.3 ppbv. The average concentration of 16 TNMVOCs in the two pollution events were higher than that on the clean days. Six major NMVOCs sources 17 18 were identified by using the Positive Matrix Factorization model. Vehicular exhaust (28%), solvent usage (27%), and industrial production (22%) were the main sources. An observation-based mode was applied to 19 20 explore the O₃-precursors relationship and observation-oriented O₃ control strategies. The results of relative incremental reactivity (RIR) and empirical kinetics modeling approach showed that the O₃ formation in 21 22 Zhengzhou in June was in anthropogenic VOCs (AVOCs)-limited regime. NMVOCs with the largest RIR values, while NO_x had a negative RIR value. It was worth noting that the sensitivity of O_3 generation to 23 biogenic VOCs (BVOCs) was greater than that of AVOCs. From the perspective of the reduction effect, the 24 reduction ratios of AVOCs/NO_x should be no less than 3:1, which was conducive to the reduction of O₃ 25 formation. 26

Keywords: Emission reduction strategies; Positive Matrix Factorization; Relative incremental reactivity; The
 observation-based model; Empirical kinetics modeling approach

29 **1** Introduction

In recent years, ozone (O₃) pollution has become increasingly prominent in China, especially in urban areas 30 (Liu et al., 2023a; Zhao et al., 2021; Yan et al., 2023; Sicard et al., 2020). O₃ pollution has become an important 31 factor affecting the ambient air quality (Zhang et al., 2023). Nonmethane volatile organic compounds 32 (NMVOCs), as an important precursor of O₃ and secondary organic aerosols, widely exist in the atmospheric 33 environment and participate in many photochemical reactions, which have an important impact on 34 35 atmospheric oxidation capacity and air quality (Zhu et al., 2021). Some NMVOCs are also air toxics (Billionnet et al., 2011), such as benzene, trichloroethylene, and chloroform (Lerner et al., 2012). Long-term 36 exposure to higher concentrations of NMVOCs can lead to acute or chronic risks (He et al., 2015). Therefore, 37 it is necessary to continue to carry out NMVOCs monitoring activities in O₃ pollution areas to analyze O₃ 38 39 concentration levels, sources, and effects on O₃ generation.

The concentration of NMVOCs is affected by background concentration, weather conditions (Mo et al., 2015), 40 41 emission sources, terrain conditions (Liu et al., 2016), and extent of pollutant transport (Shao et al., 2009). In addition, under meteorological conditions with higher temperature. NMVOCs exhibit photochemical losses 42 43 during dispersion and regional transport (Zou et al., 2023; Liu et al., 2023a; Liu et al., 2020). As a result, the ambient NMVOCs concentration varies with the locality and season. For example, in typical coastal areas of 44 45 Ningbo, the seasonal variation of NMVOCs concentration was winter > spring > Autumn > summer (Huang et al., 2023). The coastal areas of Shandong Province had the highest value in winter $(28.5 \pm 15.1 \text{ ppbv})$ and 46 47 the lowest value in autumn (14.5 \pm 7.6 ppbv) (Huang et al., 2023). The average summer TNMVOCs concentration in the suburbs of Jinan $(12.0 \pm 5.1 \text{ ppbv})$ (Liu et al., 2023c) was lower than that in the suburbs 48 49 of Beijing (18.3 \pm 8.9 ppb), and much lower than that in the central city of Beijing (44.0 \pm 28.9 ppbv) (Wu et al., 2023). The average TNMVOCs concentration (21.7 ppbv) in the O₃ pollution period in Tianjin is 12% 50 higher than that in the non-O₃ pollution period (Liu et al., 2023a). 51

NMVOCs are emitted from various sources including anthropogenic sources and biogenic sources 52 (Chameides et al., 1992) as well as secondary generation through photochemical reactions (Yuan et al., 2012). 53 54 The main sources of NMVOCs include motor vehicle emissions, industrial processes, solvent usage, fuel evaporation, combustion, and biogenic emissions (Wu et al., 2016; Prendez et al., 2013; Watson et al., 2001). 55 Biogenic emission is mainly affected by temperature and radiation conditions (Li et al., 2020). Biogenic 56 emissions are therefore higher during hotter months, especially in summer (Pacifico et al., 2009; Xu et al., 57 2023). Urban areas are greatly affected by anthropogenic sources (Zhang et al., 2023; Goldstein and Galbally, 58 59 2007). In different regions, the main contribution sources of NMVOCs are different. For example, the main

anthropogenic VOCs (AVOCs) sources in the Yangtze River Delta region of China are vehicle and solvent 60 61 evaporation (Xu et al., 2023). The Pearl River Delta region is mainly affected by solvent use, liquefied petroleum gas use, and vehicle exhaust. Atmospheric NMVOCs in Beijing are greatly affected by motor 62 vehicle emission sources and combustion sources (Liu et al., 2021; Zhang et al., 2020). Huang et al. (2023) 63 reported that plastic synthesis, industrial processes, organic solvents, dveing, traffic emissions, and pesticides 64 were identified as the main sources of NMVOCs in Ningbo City in the coastal area (Liu et al., 2023b). Since 65 different emission sources have different contributions to NMVOCs and thus have different impacts on the 66 generation of O₃ (Zhang et al., 2023), it is necessary to investigate the sources of NMVOCs in different cities. 67 Designing a reasonable and effective precursor emission control strategy is crucial to control the 68 photochemical generation of O_3 (Yang et al., 2021). The relationship between O_3 and precursors is nonlinear 69 (Chameides et al., 1992), and precursor emission reduction strategies need to be dynamically adjusted based 70 on the actual sensitivity of O₃ formation (Chu et al., 2023; Lin et al., 2005). The observation-based model 71 72 (OBM) is a widely used tool to analyze O₃-NO_x- NMVOCs sensitivity (Zhang et al., 2008; Nelson et al., 2021; 73 Cardelino and Chameides, 1995). Several studies in China have analyzed the sensitivity of O_3 to precursors 74 and control scenarios. For example, O₃ in the central area of the Yangtze River Delta is in a NMVOCs -limited regime, and AVOCs play a leading role in the formation of O₃ (Liu et al., 2023b). Chengdu is in a typical 75 NMVOCs restricted area, so NMVOCs emission reduction helps to prevent and control O₃ pollution, and the 76 77 emission reduction scenario based on NMVOCs source showed that the emission reduction ratio of NMVOCs to NO₂ needs to reach more than 3 to achieve prevention of O₃ pollution (Chen et al., 2022b). Xie et al. (2021) 78 found that controlling NMVOCs in Leshan, a non-provincial capital city in southwest China, can effectively 79 reduce the photochemical generation of O₃, and pointed out that the best emission reduction strategy for 80 NMVOCs and NO_x should be 3:1. In addition, the generation of O₃ in areas such as Shanghai (Lu et al., 2023), 81 Rizhao (Zhang et al., 2023), and Nanjing (Mozaffar et al., 2021) is generally limited by NMVOCs. However, 82 in the United States and European countries, O₃ formation gradually transitioned from NMVOCs-limited 83 regime to NO_x-limited regime (Nopmongcol et al., 2012; Ring et al., 2018; Goldberg et al., 2016). 84 85 Zhengzhou is the capital city of Henan Province and an important transportation hub in China. High population density levels, large vehicle ownership (MPS, 2022) and complex industrial structures determine the 86

87 complexity of NMVOCs emission sources. In recent years, Zhengzhou's O_3 pollution has increasingly 88 intensified, becoming one of the cities with the highest O_3 pollution in central China (Wang et al., 2023b; Min 89 et al., 2022). From 2020 to 2022, the annual 90th percentile of the mean daily maximum 8 h average O_3 (O_3 -

90 8H-90per) published by Zhengzhou Ecological Environment Bureau were 182, 177 and 178 μg/m³,

respectively, which were 10% to 13% higher than the National Ambient Air Quality Grade II Standard (160 91 92 µg/m³) (https://sthjj.zhengzhou.gov.cn/, last access: June, 2023). Some studies have analyzed the concentration levels, sources, and impact of NMVOCs on O₃ in Zhengzhou (Zeng et al., 2023; Wang et al., 93 2023b; Min et al., 2022). Wang et al. (2022) analyzed the sensitivity of O₃ to precursors and found that in July 94 with low O₃ levels in Zhengzhou, O₃ formation was in a NMVOCs-limited regime, while on O₃ pollution 95 accumulation and persistence days, O₃ formation was in a transitional state. Yu et al. (2021) showed that 96 97 Zhengzhou was under a NMVOCs-sensitive regime in September. The above studies all show that it is important to study the emission reduction of precursors to control O₃ generation. However, there is still a lack 98 of relevant research on June, the month with the highest O₃ pollution in Zhengzhou. In order to effectively 99 solve the increasingly serious trend of O₃ pollution in Zhengzhou, it is necessary to give priority to and 100 strengthen the research of Zhengzhou area, especially during the period of high O₃ pollution. Therefore, it is 101 necessary to continue to pay attention to the pollution levels of O₃ and precursors in Zhengzhou and further 102 explore the relationship between them. 103

In this study, we conducted an online measurement of NMVOCs in June, when O_3 pollution was severe in Zhengzhou. The concentration, composition, and diurnal variation of NMVOCs in the atmosphere were analyzed. The main sources of NMVOCs were discussed by using ratio method and Positive Matrix Factorization (PMF) model. OBM was used to analyze the sensitivity of O_3 -NMVOCs-NO_x and consequently the emission reduction strategy of precursors to control O_3 concentration was proposed. This study establishes a collaborative control strategy for atmospheric NMVOCs, which is of great significance for the control of atmospheric O_3 pollution in Zhengzhou.

111 **2. Materials and methods**

112 **2.1 Sampling site**

The monitoring site is on the roof (about 20 m above ground) of the building at Zhengzhou Environmental Protection Monitoring Centre Station (34.75°N, 113.60°E) (Fig. S1). The sampling site is a typical urban site, surrounded by residential areas, commercial areas, and office buildings. There are no point sources of air pollution nearby within a radius of 1 meter. The sampling site may be affected by motor vehicle and plant emissions.

118 **2.2 Sample collection and chemical analysis**

The sampling campaign was conducted from 1st to 30th June 2023. NMVOCs concentrations were observed with a gas chromatography-mass spectrometer, GC-MS (TH-PKU 300B, Wuhan Tianhong Instrument, China), which adopted detection technology of ultralow-temperature preconcentration combined with GC-MS/ flame

ionization detector (FID). The time resolution of the instrument is 1 hour, and the flow rate is 60 mL/min. The 122 air sample was collected for the first 5 minutes of each hour and then pre-concentrated through a cold trap to 123 remove H₂O₂ and CO₂. The sample was captured using an empty capillary column. After pre-concentration, 124 the sample was desorbed by rapid heating and introduced into an analytical system. After separation by 125 chromatographic column, the sample was detected by FID (for C2-C5 hydrocarbons) and MS (for C5-C12 126 hydrocarbons, halocarbons and OVOCs). The correlation coefficient of the standard curve of the target 127 compound was greater than or equal to 0.99, and the detection limit of the instrument method was less than or 128 equal to 0.1 nmol/mol. A total of 115 NMVOCs were monitored, including 29 alkanes, 11 alkenes, 1 alkyne, 129 17 aromatic hydrocarbons, 35 halogenated hydrocarbons, 21 OVOCs and 1 sulfide (carbon disulfide). Details 130 of the device can be found in our previous study (Zhang et al., 2021). The individual NMVOCs concentration 131 measured during the observation period is shown in Table S1. Also the study conducted the simultaneous 132 online measurements of hourly concentrations of particulate matter (PM_{2.5} and PM₁₀), other trace gases (CO, 133 O₃, NO, and SO₂), and meteorological data (temperature (T), relative humidity (RH), atmospheric pressure, 134 and wind speed (WS) and wind direction (WD). 135

136 **2.3 PMF model**

The PMF 5.0 is an advanced multivariate factor analysis tool (USEPA, 2014), which can be used to identify
the sources of NMVOCs (Norris et al., 2014). PMF model is expressed as follows:

139
$$X_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
(1)

where, i, j, and k represent the ith sample, the jth chemical species, and the kth factor, respectively; X represents
the chemical species concentration measured in the sample; g is the species contribution; f is the species
fraction; and e is the residual matrix.

143 The number of factors is obtained by minimizing objective residual function Q: as follows:

144
$$Q = \sum_{i=1}^{n} \sum_{j=1}^{m} \left[\frac{X_{ij} - \sum_{k=1}^{p} g_{ik} f_{kj}}{u_{ij}} \right]^2$$
(2)

145 Where μ^{ij} is the sample data uncertainty.

The sample data uncertainty is calculated by Equations (3) and (4). If the data concentration is less than method
detection limit (MDL), Equation (3) is used. Otherwise, Equation (4) is used.

148
$$Unc = \frac{5}{6} \times MDL$$
(3)

149
$$Unc = \sqrt{(Error Fraction \times concentration)^2 + (0.5 \times MDL)^2}$$
(4)

- 150 where Error Fraction represent the precision (%) of each species;
- Species with high proportions of missing samples or concentration values more than 25% below MDLs were excluded, while NMVOCs serving as typical tracers of emission sources were included (USEPA, 2014), and NMVOCs with short atmospheric lifetimes were excluded (Callén et al., 2014; Guo et al., 2011). In this study,
- 154 29 out of 115 NMVOCs collected over the sampling period was analyzed by the PMF model. In this study, a
- 155 seven-factor solution ($Q_{true}/Q_{theoretical} = 3.42$; and Fpeak = 0) was chosen (Fig. S2).

156 **2.4 Conditional probability function analysis**

The conditional probability function (CPF) is a source identification tool, which can be used to identify local emission sources of pollutants (Uria-Tellaetxe and Carslaw, 2014). CPF analysis methods were employed to determine the potential direction of emission sources by utilizing the wind directions and source contributions calculated through PMF (Kim and Hopke, 2004). The CPF is defined as:

161
$$CPF = \frac{m_{\Delta\Theta}}{n_{\Delta\Theta}}$$
(5)

the variable $m_{\Delta\theta}$ represents the frequency of occurrences from the wind sector $\Delta\theta$ for the top 75% contributions of each identified NMVOCs source, while $n_{\Delta\theta}$ represents the total occurrences from the same wind sector. CPF analysis were constructed using the 'openair' package (Carslaw and Ropkins, 2012) in the statistical software R (R Foundation for Statistical Computing, Vienna, Austria).

166 **2.5 OBM**

OBM based on the Master Chemical Mechanism (MCM v3.3.1; https://mcm.york.ac.uk/MCM/) was 167 employed to estimate the effect of changes of what in O₃ precursors (Liu et al., 2022). Detailed information 168 about OBM can be viewed in previous studies (Chu et al., 2023; Ling et al., 2011). Briefly, OBM assumes a 169 good mix of emitted pollutants and is independent of emission inventories and meteorological data, combined 170 with atmospheric chemical mechanisms, simulates the O₃ production rate and the corresponding O₃ 171 concentration at a given time (Kleinman, 2000; Qiao et al., 2023). In this model, the net production rate O₃ 172 (P(O₃)) is the difference between the O₃ production (the oxidation of NO by HO₂ and RO₂) and O₃ destruction 173 $(O_3 \text{ photolysis, reactions of } O_3 \text{ with OH and } HO_2, \text{ reactions of OH with } NO_2, \text{ and reactions of } O_3 \text{ with alkenes}).$ 174 This method for estimating O₃ production and removal rates has been utilized in several previous studies 175 (Wang et al., 2017; Wang et al., 2022). The constants (k) represent the rate coefficients of the respective 176 reactions, as follows: 177

178
$$P(O_3) = k_{HO_2 + NO}[HO_2][NO] + \sum k_{RO_{2i} + NO}[RO_{2i}][NO] - k_{HO_2 + O_3}[HO_2][O_3] - k_{OH + O_3}[OH][O_3] - k_{OH + O_3}[OH][OH][O_3] - k_{OH + O_3}[OH][O_3] - k_{OH + O_3}[OH][O_$$

179
$$k_{O(^{1}D)+H_{2}O}[O(^{1}D)][H_{2}O] - k_{OH+NO_{2}}[OH][NO_{2}] - k_{alkenes+O_{3}}[alkenes][O_{3}]$$
(6)

The relative incremental reactivity (RIR) was computed through OBM to evaluate the sensitivity of the photochemical production of O_3 to changes in the concentration of individual precursors within a given region (Ling et al., 2013; Cardelino and Chameides, 2000), which can be calculated from Eq. (7):

183
$$RIR(X) = \frac{[P_{O_3}(X) - P_{O_3}(X - \Delta X)]/P_{O_3}(X)}{\Delta S(X)/S(X)}$$
(7)

where X is the specific precursor of O₃; $P_{O_3}(X)$ and $P_{O_3}(X - \Delta X)$ are the net production of O₃ simulated by the OBM; and $\Delta S(X)/S(X)$ is the change in the concentration of S(X). The large change in primary pollutants (>20%) deviates greatly from the base scenario and is not representative of the current situation. Therefore, the concentration changes of $\Delta S(X)/S(X)$ were assumed to be 20%. In this study, the S for NMVOCs and NO_x were reduced by 0-100%. The relative change of $P_{O_3}(X)$ with S(NMVOCs) and S(NO_x) can be expressed by the isogram of $P_{O_3}(X)$.

The concentrations of trace gases (SO₂, O₃, CO, and NO), and meteorological parameters (T, RH, and WS) with 1 h time resolution were used as constraints in this model. At the same time, the concentrations of 75 NMVOCs observed with 1 h time were selected for input into the model because these 75 NMVOCs were included in MCM v3.3.1. The photolysis frequency ($J(H_2O_2)$, $J(NO_2)$) and planetary boundary layer are the default values. Then, the differential equation is calculated with a time resolution of 1 h, and the mixing proportion of various photochemical reaction products, intermediates and free radicals can be obtained.

To evaluate the performance of this model, the index of agreement (IOA) was used in this study (Huang et al.,2005):

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$$IOA = 1 - \frac{\sum_{i=1}^{n} (O_i - M_i)^2}{\sum_{i=1}^{n} (/O_i - \overline{O}/ + /M_i - \overline{O}/)^2}$$
(8)

where O_i , M_i , and \overline{O} represent the hourly values of observation, the simulation, and the average of 199 observations, respectively. In various studies, model simulation results are often considered acceptable when 200 the value of IOA falls within the range of 0.68 to 0.89 (Wang et al., 2018). To evaluate the reliability of our 201 model simulations, we conducted an analysis of O₃ concentration in the atmosphere and calculated the IOA 202 203 value. Our model does not directly incorporate O3 observations. Instead, it utilizes concentrations of trace gases (SO₂, CO, and NO) and 75 NMVOCs, and meteorological parameters (T, RH, and WS) to simulate the 204 concentration of O₃ in the atmospheric environment. The IOA values for O₃ was calculated from 7:00 to 19:00 205 during the day and obtained a result of 0.8. Therefore, the results simulated by our model are reliable. 206

207 **3 Results and discussions**

208 **3.1 General characteristics**

209 **3.1.1 NMVOCs concentrations and composition**

According to the national ambient air quality standard (NAAQS-2012) of China (Ministry of Environmental 210 Protection of China, 2012), the grade II threshold of the maximum daily 8-h average (MDA8) of O₃ was 160 211 μ g/m³ (~75 ppbv). Two O₃ pollution events were found over 160 μ g/m³, which were named Case 1 (8th-17th) 212 Jun.) and Case 2 (20th-27th Jun.). Meanwhile, there were also O₃ pollution events on 6th Jun. and 29th-30th Jun. 213 However, for better data coverage, we only discussed periods of O₃ pollution that lasted at least a week, and 214 215 processes with relatively few days of pollution were not discussed in this study. The rest of the observation periods were clean days. Figure 1 shows the time series of the concentration of TNMVOCs, O₃ 8-h moving 216 average, SO₂, PM_{2.5}, NO_x, CO, meteorological parameters (WD, WS, T, and RH), and from 1st to 30th June 217 2023. The gray areas in Fig. 1 are O₃ pollution events, and the remaining areas are clean days. During the 218 219 observation, O₃ polluted days were 21 days, accounting for 70%.

During the observation period, the average wind speed $(1.3 \pm 0.9 \text{ m/s})$ was relatively low, which was not 220 conducive to the dispersion. The mean RH (52 \pm 19%) was low, and the mean temperature (28.9 \pm 4.6 °C) 221 was high. The meteorological conditions of high temperature and low RH were conducive to the occurrence 222 of photochemical pollution. The maximum daily 8-h moving average (MDA8) of O_3 reaching 229 μ g/m³. 223 Hourly average concentration of SO₂, NO₂, CO, and PM_{2.5} were $4.4 \pm 3.3 \,\mu\text{g/m}^3$, $26.5 \pm 17.9 \,\mu\text{g/m}^3$, 0.6 ± 0.2 224 mg/m³, 59.6 \pm 26.5 μ g/m³ and 22.9 \pm 7.1 μ g/m³, respectively. The concentrations of these pollutants were 225 97%, 87%, 94%, and 35% lower than the grade I threshold of the NAAQS-2012. The average concentration 226 of TNMVOCs was 22.8 ± 8.3 ppbv. 227

During the Case 1 process, O_3 pollution continued for 10 days. The average RH and temperature were 41 \pm 228 16% and 29.9 \pm 4.1 °C, respectively, and the average WS was 1.3 ± 0.8 m/s. The concentration of MDA8 O₃ 229 reached a maximum of 228 µg/m³ (June 11) during the pollution period, which was higher than the grade II 230 threshold of MDA8 O₃. In Case 1, the mean concentrations of SO₂, NO₂, CO, PM₁₀ and PM_{2.5} were 6.1 ± 4.1 231 $\mu g/m^3$, 27.4 ± 19.5 $\mu g/m^3$, 0.6 ± 0.1 mg/m³, 69.1 ± 31.5 $\mu g/m^3$ and 25.6 ± 6.8 $\mu g/m^3$, respectively. The average 232 concentration of TNMVOCs during this process was 24.1 ± 8.9 ppbv. In Case 2, O₃ pollution occurred 233 continuously for 8 days. The average RH and average temperature were $50 \pm 14\%$ and 31.2 ± 2.9 °C. The 234 average concentrations of TNMVOCs (22.5 ± 7.4 ppbv), SO₂ (2.7 ± 2.1 mg/m³), NO₂ (24.9 ± 12.3 mg/m³), 235 236 CO ($0.6 \pm 0.1 \text{ mg/m}^3$), PM₁₀ ($61 \pm 19 \text{ mg/m}^3$), and PM_{2.5} ($24 \pm 7 \text{ mg/m}^3$) in Case 2 were all lower than those in Case 1 process.

The average concentrations of TNMVOCs, NO₂, PM₁₀, and PM_{2.5} on clean days were lower than those of the 238 O_3 pollution events. The average RH (65 ± 17%) on clean days was higher than those during Case 1 and Case 239 2 events, while the average temperature $(26.0 \pm 4.8 \text{ °C})$ was lower than those during Case 1 and Case 2 events. 240 According to the analysis in Fig. S3a and Fig. S3b, O₃ has a significant correlation with temperature and RH, 241 with correlation coefficients of 0.7 and -0.61 respectively. Therefore, conditions of high temperature and low 242 RH are more conducive to O₃ pollution. Fig. S3c indicates that O₃ concentration exceeding the secondary 243 standard mainly occurs under meteorological conditions of high temperature (greater than 30 °C) and low RH 244 (less than 55%). It can be noted that when 35 °C < T < 40 °C and 20% < RH < 40%, the O₃ concentration 245 consistently exceeds the grade II threshold of the NAAOS-2012. High temperature and low RH are more 246 247 conducive to O₃ pollution (Chen et al., 2020; Zhang et al., 2015). Meng et al. (2023) argued that most of the reactions involved in O₃ formation increase with temperature, and the rate of O₃ production exceeds that of 248 249 O₃ loss by a large margin. Therefore, during the study period, the meteorological conditions of high temperature and low RH are also important factors affecting the occurrence of O₃ pollution. 250

Besides, the average concentration of NO₂ in clean days $(24.4 \pm 16.1 \text{ ppbv})$ was lower than that in Case 1 and Case 2, while the average concentration of NO in clean days $(4.8 \pm 5.5 \text{ ppbv})$ was higher than that in Case 1 $(3.9 \pm 3.75 \text{ ppbv})$ and Case 2 $(3.9 \pm 2.4 \text{ ppbv})$. Higher concentration of NO₂ can promote the formation of O₃, while the titration reaction between NO and O₃ consumes O₃ (Sillman, 1999). Therefore, the higher concentration of NO₂ and lower concentration of NO during pollution events are one of the reasons for the occurrence of O₃ pollution events.



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Figure 1. Hourly concentrations of TNMVOCs, O₃ 8-h moving average, SO₂, PM_{2.5}, NO₂, CO, meteorological
 parameters (WD, WS, T, and RH), and NMVOCs during the sampling period (gray regions represent O₃
 pollution processes).

The means and standard deviations of NMVOCs groups during different processes were listed in Table 1. During the entire period, the concentration of TNMVOCs varied from 10 to 60 ppbv, with an average mean of 23.0 \pm 8.0ppbv. A similar level of NMVOCs concentration was observed between Case 1 (24.0 \pm 9.0 ppbv) and Case 2 (23.0 \pm 7.0 ppbv). The TNMVOCs concentrations on clean days were relatively low (21 \pm 7. ppbv). Furthermore, nearly all NMVOCs groups in O₃ pollution events were higher than those on clean days.

As for the entire sampling period, alkanes (10.0 ± 4.4 ppbv), OVOCs (4.5 ± 1.3 ppbv), and halocarbons (4.3267 \pm 1.9 ppbv) were the most abundant NMVOCs groups, accounting for 44, 20 and 19% of the TNMVOCs, 268respectively, followed by alkenes (9%), aromatics (5%), alkenes (5%), OVOCs (7%), alkyne (7%) and sulfide 269 270 (1%). During the two O₃ pollution events, alkanes being the highest NMVOCs group contributed 41% (Case 1), and 43% (Case 2) to the TNMVOCs, respectively. Alkanes were the most abundant NMVOCs during the 271 observation period, in part due to the presence of alkanes emission sources around the observation site (e.g., 272 civilian combustion and motor vehicle emissions) and the low photochemical reactivity of alkanes (Mozaffar 273 274 et al., 2020). Even on clean days, alkanes $(9.6 \pm 3.9 \text{ ppbv})$ were also the highest group (46%), and halocarbons

(19%) and OVOCs (19%) were another two major groups.

Species –	Entire period ($n = 652$)		Case 1 Jun. 8 - 17 (n = 201)		Case 2 Jun. 20 - 27 (n = 184)		Clean days (n = 224)	
	Range	Average \pm SD	Range	Average \pm SD	Range	$Average \pm SD$	Range	$Average \pm SD$
Alkanes	3.6 - 30.7	10.0 ± 4.4	4.2 - 28.3	10.0 ± 4.6	3.6 - 24.6	9.6 ± 4.1	4.6 - 22.2	9.6 ± 3.9
Alkenes	0.4 - 10.7	2.0 ± 1.2	0.6 - 10.7	1.9 ± 1.2	0.6 - 10.7	2.5 ± 1.4	0.4 - 4.0	1.7 ± 0.7
Aromatics	0.3 - 5.0	1.1 ± 0.7	0.4 - 4	1.2 ± 0.8	0.3 - 3.1	1.1 ± 0.6	0.3 - 4.4	1.1 ± 0.6
Halocarbons	1.8 - 31.1	4.3 ± 1.9	2.0 - 10.6	4.5 ± 1.8	2.2 - 8.8	4.2 ± 1.4	1.8 - 31.1	3.9 ± 2.2
OVOCs	1.8 - 9.7	4.5 ± 1.3	3.4 - 9.7	5.3 ± 1.2	2.0 - 8.1	4.4 ± 1.1	1.8 - 8.6	3.9 ± 1.2
Sulfide	0.0 - 1.5	0.1 ± 0.2	0.0 - 1.5	0.2 ± 0.3	0.0 - 0.5	0.1 ± 0.1	0.0 - 1.0	0.1 ± 0.1
Alkyne	0.1 - 3.7	1.1 ± 0.6	0.2 - 3.2	1.1 ± 0.6	0.2 - 3.2	1.0 ± 0.5	0.1 - 3.7	1.0 ± 0.7
TNMVOCs	9.9 - 60.3	$22.8~\pm~8.3$	0 - 60.0	24.1 ± 8.9	10.5 - 47.3	22.5 ± 7.4	9.9 - 48.5	20.8 ± 7.2

Table 1. Concentrations of NMVOCs during different processes in Zhengzhou, ppbv.

277

n: Total sampling numbers for each period

Figure 2 illustrates the fifteen NMVOCs with the highest average mixing ratio during two O₃ pollution events 278 and clean days. Ethane, propane, n-butane, isopentane, isobutane, n-hexane, and n-pentane were the most 279 abundant of the alkanes during each of the entire observation period. Ethane is a major component of natural 280 gas (NG) (Thijsse et al., 1999), propane, n-butane, and isobutane are important tracers of liquefied petroleum 281 gas (LPG) (Tsai et al., 2006; An et al., 2014). N-hexane is mainly from solvent emissions. Ethylene, propylene, 282 283 and isoprene were the most abundant of the alkenes. Ethylene and propylene mainly come from biomass burning (Andreae and Merlet, 2001). Isoprene mainly comes from plants (Brown et al., 2007). Acetylene also 284 had a high level, which is the tracer of incomplete combustion (Blake and Rowland, 1995). Benzene and 285 toluene were the most abundant of the aromatics, which are mainly from solvent emissions, vehicular exhaust, 286 287 and industry processes (Seila et al., 2001; Mo et al., 2015). Dichloromethane was the most abundant species of the halohydrocarbons, which is an important species in solvent usage (Huang et al., 2014). The acetone was 288 the most abundant species in OVOCs, which has complex atmospheric sources and is mainly attributed to 289 vehicular emission and secondary formation (Guo et al., 2013; Watson et al., 2001). The concentration of 290 acetone in the two pollution processes was significantly higher than that in the clean day as also reported by 291 others (Guo et al., 2013), indicating that the pollution process had a strong photochemical reaction e.g., photo-292 293 oxidation of i-butene to acetone (Guo et al., 2013). Therefore, vehicle exhaust, solvent use, combustion, biogenic emission, and industrial processes are important sources of NMVOCs at observation sites, as also 294 illustrated in the following PMF source apportionment (in section 3.2.2). 295



296

Figure 2. Comparisons of the fifteen NMVOCs with the highest average mixing ratio during different processes, ppbv. Error bars are standard deviations.

3.1.2 Diurnal variations of NMVOCs, O₃, and NO_x

300 The concentration characteristics of pollutants in the atmosphere are affected by the atmospheric boundary 301 layer variation pattern, photochemical reaction intensity, and emission of pollution sources (Wang et al., 2023a). A selection of NMVOCs, O₃, and NO_x were selected, and their daily changes were analyzed, as shown 302 in Fig. S4. The diurnal variation of O₃ concentration shows unimodal characteristics. During the day, with the 303 304 increase in temperature and light intensity, the concentration of O₃ gradually increased and reached a peak at about 14:00, and then the concentration gradually decreased. Higher O₃ production during the day indicates a 305 strong photochemical reactivity. The diurnal variation of ethane, propane, isobutane, n-butane, isopentane, n-306 pentane, ethylene, propylene, acetylene, benzene, and toluene were similar, showing low concentrations in the 307 daytime and high concentrations in the evening. This is associated with a higher boundary layer and strong 308 photochemical reactivity during the day (Tang et al., 2007). The elevated boundary layer is conducive to the 309 dispersion of NMVOCs and other pollutants (Bon et al., 2011; Chen et al., 2022a), while the strong 310 photochemical reaction will consume NMVOCs (Xia et al., 2014; Zhang et al., 2018). In addition, the peak 311 312 concentrations of these NMVOCs were observed in the morning and evening (7:00-8:00 and 23:00-24:00), showing a consistent daily pattern with NO_x. This suggests that the emissions of these NMVOCs are 313 314 significantly influenced by motor vehicle emissions and fuel combustion. Higher NMVOCs and NO_x 315 concentrations at night may be caused by heavy traffic emissions for traditional nighttime activities in the city.

Isoprene is a typical tracer of plant emissions, which are highly dependent on temperature and solar radiation 316 (Pacifico et al., 2009). Therefore, the concentration of isoprene increases significantly during the day (7:00-317 20:00) and decreases significantly at night. It is worth noting that the concentration of isoprene showed a 318 bimodal characteristic. Two peaks occur at 10:00 AM and 15:00 PM (local standard time). Previous studies 319 have shown that the rate at which plants emit isoprene decreases when temperatures exceed 40 °C (Guenther 320 et al., 1993). Therefore, the drop in isoprene concentrations seen at noon may be due to excessive temperatures 321 affecting biogenic emissions. Acetone comes from a wide range of sources, mainly from vehicle emissions, 322 industrial production, and secondary formation (Sha et al., 2021). Acetone remained in high concentration 323 throughout the day, and there was no obvious diurnal variation, suggesting that there might be primary acetone 324 sources near the site, which concealed the acetone peak at the daytime produced by photochemical reaction 325 (Guo et al., 2013). Dichloromethane mainly comes from solvent use, and its high concentration was mainly 326 concentrated at night (23:00-5:00), which might be related to the longer atmospheric lifetime of 327 328 dichloromethane and the lower boundary layer height at night (Li et al., 2018; Chen et al., 2022a).

3.2 Sources of NMVOCs 329

3.2.1 Diagnostic ratios 330

Ratios of specific NMVOCs can be used to assess the initial emission source of NMVOCs or the degree of 331 photochemical reaction (Miller et al., 2012; An et al., 2014). The ratios of isopentane/n-pentane, 332 toluene/benzene (T/B), and m-p-xylene/ethylbenzene (E/X) are discussed in this study (Fig. 3). 333

In Case 1, Case 2, and clean days, the Pearson coefficients of isopentane and n-pentane were 0.7, 0.94, and 334 0.6, respectively, indicating a strong correlation that the two substances had a common emission source. 335 Isopentane/n-pentane ratios of 0.8-0.9, 2.2-3.8, 1.5-3.0 and 1.8-4.6 (Fig. 3a), indicate that isopentane and n-336 pentane come from natural NG, vehicle emissions, liquid gasoline, and fuel evaporation, respectively (An et 337 al., 2014; Watson et al., 2001). In this study, the ratios of Case 1, Case 2, and clean days were 0.7, 2.5, and 338 1.1, respectively. It suggests that isopentane and n-pentane may come from NG emissions, vehicular exhaust, 339 and liquid gasoline, respectively. 340

The T/B ratio can be used to distinguish between coal and biomass combustion (0.2-0.6), motor vehicle 341

(~4.1) (Dai et al., 2013). In this study, the T/B ratio of the two O₃ pollution events was 1.7 and 1.4 (Fig. 3b),

emissions (~2.0) (Liu et al., 2008), industrial processes (3.0-6.9) (Zhang et al., 2016) and fuel evaporation

343

respectively, indicating that combustion and vehicle emissions were the main sources of benzene and toluene 344

emissions (Hong et al., 2019). 345

342

Since m/p-xylene and ethylbenzene share a common source, but differ from the OH radical reaction rate 346

constant, E/X can be used to determine the photochemical age of air masses and the transport path (Miller et 347 al., 2012; Yurdakul et al., 2018). During the pollution events and clean days, m, p-xylene, and ethylbenzene 348 showed a strong positive correlation (r = 0.9) (Fig. 3c), indicating that m/p-xylene and ethylbenzene came 349 from a common emission source. Previous studies have shown that NMVOCs are transported from inner urban 350 areas when the E/X ratio is 0.3-0.4, and NMVOCs are transported from distant sources when the ratio is 351 significantly higher than 0.3 (Monod et al., 2001). In this study, the E/X ratios of the two pollution events and 352 clean days were 0.5, indicating that the air mass measured at the observation point was affected by air mass 353 transport. We have analyzed the relationship between ethylbenzene, m/p-Xylene, E/X, and wind direction and 354 speed. As shown in Fig. S5, the concentrations of ethylbenzene and m/p-Xylene are mainly influenced by 355 winds coming from the northwest, and their concentrations tend to increase with stronger wind speeds. 356 Similarly, E/X also exhibits similar patterns of variation. This further indicates that the regional transport of 357 ethylbenzene and m/p-Xylene from distant sources. 358



359



361 **3.2.2 Source apportionment**

In this study, EPA PMF5.0 was used to analyze the source profile and species percentage of each source during the observation period to determine the relative contribution of each potential source, as shown in Fig. 4. Seven factors were determined by the model, namely combustion, industrial production, biogenic emission, vehicular exhaust, LPG/NG, solvent use 1, and solvent use 2. Detailed analysis is followed.

Factor 1 was characterized by high percentages of acetylene (76%), ethane, propane, ethylene benzene, and toluene. Acetylene is a typical tracer of coal burning (Barletta et al., 2005). Ethane, propane, and ethylene are typically tracers of incomplete combustion (Guo et al., 2011; Ling et al., 2011). Therefore, Factor 1 was classified as combustion. The CPF plots indicate that the contributing direction was northwest at about 2 m/s (Fig. S6a).

Factor 2 was rich in C4-C6 alkanes, aromatics, (toluene, ethylbenzene, m/p-Xylene, o-xylene, and 1,2,4trimethylbenzene, and halocarbons (1, 2-dichloroethane and 1, 2-dichloropropane). Previous studies have shown that these species were all related to industrial production. Therefore, Factor 2 was classified as industrial production. The CPF plots indicated that a local source under a low wind speed of < 1 m/s was the dominant source (Fig. S6b).

Factor 3 was characterized by high percentages (83%) of isoprene, a typical tracer of biogenic emission (Brown et al., 2007). The high temperature and strong radiation in summer are more conducive to the biogenic emission of isoprene (Liu et al., 2016). Therefore, Factor 3 was classified as a biogenic emission. The CPF plots indicated that the southwest was the dominant source direction under wind speeds below 2 m/s (Fig. S6c).

Factor 4 was characterized by high percentages of C2-C6 alkanes (such as ethane, propane, isobutane, nbutane, isopentane, n-pentane, 2, 2-dimethylbutane, and 2, 3-dimethylbutane), benzene, toluene, ethylbenzene, and m/p-xylene), which are related to vehicular emission (Jorquera and Rappenglück, 2004; Song et al., 2007; Chen et al., 2014). Therefore, Factor 4 was classified as vehicular exhaust. The CPF plots indicated that a local source under a low wind speed was the dominant source, which might be related to the large amount of traffic on the main roads in the southern and western directions direction (Fig. S6d).

Factor 5 was characterized by high percentages of ethane, propane, isobutane, and propylene, which are the main components of LPG/NG (Shao et al., 2016; Song et al., 2007; Na et al., 2001). Therefore, Factor 5 was classified as LPG/NG source. The CPF plots showed the dominant source directions of this factor were east at 1-2 m/s (Fig. S6e).

Factor 6 was characterized by high percentages of chloromethane, dichloromethane, tetrachloromethane, 1,2dichloroethane, 1,2-dichloropropane, and ethyl acetate, which are typical solvents for industrial applications (Li et al., 2020; Huang et al., 2014). Therefore, Factor 6 was assigned to solvent usage 1. The CPF plots of this factor indicated that the northeast and southeast were the dominant directions (Fig. S6f).

The Factor 7 was dominated by methylcyclopentane, cyclohexane, TEXs (Toluene, Ethylbenzene, m/p-Xylene, and o-Xylene) , 1,2-Dichloroethane , 1,2-Dichloropropane, and Ethyl acetate. Methylcyclopentane and cyclohexane were commonly used as solvents in industrial processes (Lyu et al., 2016; Yuan et al., 2013). TEX is the main component of organic solvents (Guo et al., 2011; Watson et al., 2001). Therefore, Factor 7 was assigned to solvent usage 2. The CPF plots of this factor indicate that the high CPF values were found near the center when the wind speed was low (≤ 1 m/s). This finding indicates that local emissions was the dominant source (Fig. S6g).







Figure 5 shows the proportion of each NMVOCs source during the observation process. In the entire 404 observation period, vehicular exhaust is the main contributor, accounting for 28%, followed by solvent usage 405 (27%) and industrial production (22%). Other sources including LPG/NG (9%), combustion sources (8%), 406 407 and biogenic emission (6%) contributed little. In Case 1, vehicular exhaust (30%) was the largest contributor, 408 followed by solvent usage (27%) and industrial production (23%). Compared with the Case 1 event, the 409 contribution of solvent usage and industrial production in the Case 2 event did not change much, and the contribution of LPG/NG increased by 14%, which became an important source. On clean days, vehicular 410 411 exhaust (35%), solvent usage (25%), and industrial production (21%) were the most significant contributors. Compared with clean days, the contribution of solvent usage, industrial production, biogenic emission, and 412 LPN/NG in both pollution events increased, while the contribution of combustion sources and vehicular 413 exhaust decreased. In summary, vehicular exhaust, solvent usage, and industrial production were major 414 415 contributors to both O₃ pollution events and clean days.



Figure 5. Source contributions to NMVOCs concentration during different periods.

416

418 In summary, the observation sites are significantly influenced by vehicular exhaust, solvent usage, and 419 industrial production. The results of this study show similarities in the source apportionment of NMVOCs in Zhengzhou during the summers of 2018 to 2021 (Yu et al., 2022; Guo et al., 2024). Yu et al. (2022) found that 420 vehicular exhaust and industrial production contributed the most to NMVOCs emissions in Zhengzhou from 421 422 2018 to 2020, with the main sources of summer NMVOCs being vehicular exhaust, solvent usage, and industrial production. In contrast to the NMVOCs source apportionment results of Li et al. (2021), for the O₃ 423 pollution process in Zhengzhou in May 2018, the difference lies in the higher impact of solvent usage 424 compared to vehicular exhaust and industrial production. This is mainly attributed to the fact that Li et al. 425 (2021)'s observation site was located within Zhengzhou University, making them more susceptible to the 426 influence of chemical reagent use. In comparison to the source apportionment of NMVOCs in Zhengzhou 427 during winter (Zhang et al., 2021), combustion also becomes an important contributor during winter, attributed 428 to the increased heating demand, while the contribution from solvent usage is relatively lower due to the cold 429 430 temperatures. In comparison with other cities (Table S2), vehicular exhaust in Zhengzhou contributes the most, higher than in cities such as Qingdao (Wu et al., 2023), Xuchang (Qin et al., 2021), Guangzhou (Meng et al., 431 2022), Nanjing (Fan et al., 2021), Shijiazhuang (Guan et al., 2020), and Weinan (Hui et al., 2020), but lower 432 than in Changzhou (Liu et al., 2023) and on par with Beijing (Liu et al., 2020). Solvent usage in Zhengzhou 433 434 contributes more than in Qingdao (Wu et al., 2023), Xuchang (Qin et al., 2021), Nanjing (Fan et al., 2021), Shijiazhuang (Guan et al., 2020), Weinan (Hui et al., 2020), Changzhou (Liu et al., 2023), and Beijing (Liu et 435

al., 2020), but less than in Guangzhou (Meng et al., 2022). Industrial production in Zhengzhou contributes
more than in Xuchang (Qin et al., 2021), Guangzhou (Meng et al., 2022), Nanjing (Fan et al., 2021), Weinan
(Hui et al., 2020), and Changzhou (Liu et al., 2023), but less than in Shijiazhuang (Guan et al., 2020).

439 **3.3 Contribution to O₃ formation**

440 **3.3.1 O₃ sensitivity analysis**

In this study, the RIR of AVOCs, BVOCs, CO, NO_x, alkanes, alkenes, and aromatics were calculated (Fig. 6). 441 The RIR values of NMVOCs were all positive during the entire period, indicating that O₃ generation is most 442 sensitive to NMVOCs reduction. In comparison, the RIR value of NO_x was negative, indicating that reduction 443 of NO_x would cause the increasing of the O₃ concentrations. Among AVOCs, aromatics had the highest RIR 444 value, followed by alkanes and aromatics. For both O₃ pollution events and clean days, the RIR value of NO_x 445 was negative, and the RIR of NMVOCs and CO were positive. In pollution events, apart from BHC, the 446 447 absolute values of RIR for each group and species are lower than those in clean days, indicating that the sensitivity of O₃ to NMVOCs, NO_x, and CO on clean days was higher than that in the O₃ pollution events. 448 Compared to clean days, the RIR value of AVOCs decreased by 11%, with Aromatics showing the largest 449 decrease (26%), while Alkanes and Alkenes increased by 7% and 3% respectively. In pollution events, CO 450 and NO_x were reduced by 29% and 22%, respectively. 451

Isoprene was the sole BVOC considered in this study. Isoprene is an important tracer to indicate biogenic 452 emissions (Xie et al., 2021; Li et al., 2024; Qin et al., 2023). During the entire period, especially in the pollution 453 events, the RIR of AVOCs was lower than that of BVOCs, indicating that O₃ formation was more sensitive to 454 biogenic emissions. This may be due to increased emissions of BVOCs at higher temperatures and solar 455 radiation conditions, as well as their high reactivity and O₃ formation potential. Studies in Yucheng (Zong et 456 al., 2018), Leshan (Xie et al., 2021), and and Nanjing (Fan et al., 2021; Ming et al., 2020) have shown that O₃ 457 is highly sensitive to BVOCs. Studies in Zhengzhou (Wang et al., 2022), Hangzhou (Zhao et al., 2020), and 458 Hong Kong (Wang et al., 2017) suggested that O₃ exhibits greater sensitivity to BVOCs than AVOCS during 459 hot seasons. Wang et al. (2019) found in their study on O₃ source apportionment in Henan Province, where 460 Zhengzhou is located, that BVOCs contribute to approximately 23.9% of the O₃ attributed to NMVOCs. 461 Therefore, the contribution of BVOCs to O₃ is very important. 462



Figure. 6. Average RIR values of the O_3 for different species/groups during different processes in Zhengzhou.

465 **3.3.2 Empirical kinetics modeling approach (EKMA) results**

463

466 Given the current inability to implement appropriate control measures for BVOCs, the following analysis considers only the impact of AVOCs and NO_x on O₃ formation. The EKMA curve drawn based on the OBM 467 model is shown in Fig. 7. It can be seen from the EKMA curve that O₃ generation presents a highly nonlinear 468 469 relationship with its precursor compounds AVOCs and NOx, and the same O3 concentration can be generated by different concentration combinations of AVOCs and NO_x . In the figure, AVOCs and $NO_x = 100\%$ is the 470 base case, and the horizontal and vertical axes represented the percentages of AVOCs and NO_x relative to the 471 actual observed mixture ratio (100%). The straight lines in the figure are called ridgeline and is formed by the 472 junction of turning points of O₃ concentration lines (Dodge, 1977). 473

The ridge divides the graph into the upper left and the lower right parts, and there are also large differences in 474 O₃ generation between these two parts. In the lower right part, each O₃ concentration line and the horizontal 475 coordinate show a parallel relationship. If the NO_x concentration is maintained unchanged, the O₃ 476 concentration does not change with the change of AVOCs concentration. When the AVOCs concentration is 477 unchanged, the concentration of O₃ decreases with the decrease of NO_x concentration. Therefore, in this part 478 of the region, O_3 generation is controlled by NO_x . In the upper left part, if the concentration of AVOCs is 479 reduced alone, the concentration of O₃ will decrease significantly; if only the concentration of NO_x is reduced, 480 481 the concentration of O₃ will first rise and then decrease. In this region, O₃ generation is in the control region 482 of AVOCs. In the area near the ridge line, when NO_x and AVOCs are reduced at the same time, the O₃

- 483 concentration will decrease, and the O_3 generation in the cooperative control area of AVOCs and NO_x .
- The ridgeline slope of this EKMA curve was about 6:1, that was, the reduction of NO_x and AVOCs along this ridge was the fastest way to reduce the O_3 concentration. As can be seen from the figure, Zhengzhou was a typical AVOCs control area, and O_3 was very sensitive to the changes of AVOCs. At the same time, Case 1, Case 2, and clean days are all above the ridgeline and belong to the AVOCs control region (Fig. S7). Therefore, reducing AVOCs can effectively reduce the generation of O_3 .



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Figure 7. Isopleth diagram of modeled O_3 on S(AVOCs) and $S(NO_x)$ remaining percentages.

491 **3.3.3 Control strategies of O**₃

The above analysis based on single species (NO_x or AVOCs) is only used to discuss the sensitivity of O₃ concentration to precursor, but such extreme control is difficult to achieve. Usually in the actual operation, the method of simultaneously controlling NO_x and AVOCs emissions is usually adopted to reduce the concentration of O₃. To establish a reasonable and effective AVOCs and NO_x emission reduction plan, we further conducted a series of simulations to calculate the O₃ concentration by adjusting the ratio of input AVOCs and NO_x. The following analyzes the reduction cases of O₃ control at 10 a.m. to 4 p.m. during the observation period.

- Figure 8 shows different reduction schemes. In Fig. 8, the horizontal and vertical axes corresponded to the reduction percentages of NO_x or NO_x + AVOCs and the reduction percentage of O_3 concentration (positive and negative values represent the increase and decrease of O_3 concentration compared to the base case). The results show that O_3 concentration will eventually decline regardless of the reduction method, but the trend of change varies (Fig. 8a). As can be seen from Fig. 8b, if only NO_x was reduced, when the emission reduction
- was less than 60%, the change in O_3 concentration shows an increasing trend; when the emission reduction

was greater than 60%, the change of O₃ concentration shows a decreasing trend. Therefore, only NO_x emission 505 reduction was not conducive to the reduction of O₃ concentration. When the reduction ratio of AVOCs/NO_x 506 was 1:2 and 1:1, the change in O₃ concentration shows a similar trend as that of NO_x emission reduction only, 507 and O₃ concentration increases first and then decreases. When the reduction ratio of AVOCs/NO_x was 2:1, O₃ 508 concentration increases to a certain extent. When the emission reduction ratio of AVOCs/NO_x was 3:1 or 4:1, 509 O₃ concentration continues to decline, and the decline rate of O₃ concentration of 4:1 was greater than 3:1. If 510 only AVOCs emission was reduced, O₃ concentration shows a continuous downward trend, and the decline 511 rate was very fast. However, combined with actual production activities, only reducing AVOCs emissions 512 cannot be achieved, which was not conducive to policy implementation. Therefore, from the perspective of 513 comprehensive emission reduction effect, the reduction ratio of AVOCs/NO_x should be no less than 3:1, which 514 515 will be conducive to the reduction of O₃ concentration.



Figure 8. Response of the O_3 concentration to different AVOCs and NO_x reduction percentages. Note: AVOCs/NO_x was the ratio of the percentage reduction of AVOCs and NO_x .

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In addition, this study analyzed O₃ reduction schemes from 10 a.m. to 4 p.m. It can be seen from Fig. S8 that 519 with the reduce of NO_x, O₃ concentration elevated and then decreased. When the reduction ratio of AVOCs 520 521 was fixed and the reduction ratio of NO_x was less than 60%, O_3 concentration increases with the reduce of NO_x . In this case, O_3 concentration increased by 30, 21, 16, 13, 13, 15, and 15% from 10 a.m. to 4 p.m. (that 522 is, under the AVOCs scenario without reduction). When the NO_x reduction ratio was greater than 60%, O₃ 523 concentration decreases with the reduce of NO_x. When the reduction was the greatest (that is, 100% reduction 524 525 of NO_x and AVOCs), O₃ concentration at 10 o 'clock was still increased compared with the atmospheric observation concentration, increased by 14%; O₃ concentration at 11 a.m. to 4 p.m. decreased by 2, 15, 25, 32, 526 36, and 36%, respectively. 527

Between the range of 10 a.m. to 4 p.m. in the day, when only NO_x was reduced, O_3 concentration elevated and

then decreased. When only AVOCs were reduced, O_3 concentration continued to decrease. When the reduction ratio of AVOCs/NO_x was less than 2:1, O_3 concentration elevated and then decreased. When the reduction ratio of AVOCs/NO_x was greater than 2:1, O_3 concentration continues to decrease. When AVOCs/NO_x = 4:1, O_3 concentration decreases the most and the fastest. According to the reduction ratio of AVOCs/NO_x = 4:1, the maximum reduction of O_3 concentration at 10 a.m. to 4 p.m. during the day were 3, 6, 10, 11, 13, and 13%, respectively.

535 4 Conclusions

536 The summer O₃ pollution has always been an important environmental issue in Zhengzhou. This study investigated the characteristics and emission sources of O₃ precursors from 1st to 30th June 2023. The OBM 537 was used to analyze the influence of precursors on the formation of O₃, and the emission reduction strategy of 538 precursors was proposed to control the concentration of O₃. During the entire period, the concentration of 539 TNMVOCs varied from 9.9 to 60.3 ppbv, with an average value of 22.9 ± 8.3 ppbv. The average 540 concentration of TNMVOCs during O₃ pollution was higher than that during clean days. Alkanes (44%), 541 OVOCs (20%), and halocarbons (19%) were the most abundant NMVOCs group. Ethane, acetone, and 542 propane were always the most abundant species. The average concentrations of NO₂ in pollution events were 543 higher than those in clean days, while the average concentrations of NO were lower than those in clean days. 544 545 Therefore, the increasing concentration of O_3 precursors is one of the reasons for the formation of O_3 pollution. At the same time, the unfavorable meteorological conditions of high temperature and low RH in the 546 observation process are also important factors in the formation of O₃ pollution. Further analysis of the source 547 of these precursors found that Vehicular exhaust (28%), solvent usage (27%), and industrial production (22%) 548 were the main emission sources of NMVOCs. The increase of solvent usage, biogenic emission and LPN/NG 549 contribution is an important cause of O₃ pollution. Sensitivity analysis of O₃ to precursors found that 550 NMVOCs had the highest RIR value, while NO_x had a negative RIR value. Alkenes have the highest RIR 551 value among AVOCs. It should be noted that the RIR value of BVOCs was greater than that of AVOCs. The 552 local O₃ formations were in the AVOC-limited regime, which means reducing the concentration of AVOCs 553 was an effective way to reduce O3 concentration. Meanwhile, we suggest that the minimum reduction ratio of 554 AVOCs/NO_x should be no less than 3:1 to reduce O₃ production. 555

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Data availability. Data can be obtained upon request from the authors.

558

559 Authorship contributions. DZ performed chemical modelling analyses of OBM-MCM and wrote the paper.

- 560 XL collected the data and contributed to the data analysis. RZ designed and revised the paper. QX, FS, and
- 561 SW contributed to discussions of results. MY and YX provided part of the data in Zhengzhou.
- 562
- 563 **Competing interests.** The contact author has declared that neither they nor their co-authors have any 564 competing interests.
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