



Improved CCD tropospheric ozone from S5P TROPOMI satellite data using local cloud fields

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Abstract. We present the CHORA (Cloud Height Ozone Reference Algorithm) algorithm for retrieving tropospheric ozone columns from S5P/TROPOMI. The method uses a local cloud reference sector (CLC, CHORA Local Cloud) to determine the stratospheric (above cloud) column, which is subtracted from the total column in clear-sky scenes in the same zonal band to retrieve the tropospheric column. The standard CCD (Convective Cloud Differential) approach uses cloud data from the Pacific

- 5 region (CPC, CHORA Pacific Cloud) instead. An important assumption for the standard method is the zonal invariance of stratospheric ozone. The local cloud approach is the first step to diminish this constraint in order to extend the CCD method to middle latitudes, where stratospheric ozone variability is larger. An iterative approach has been developed for the automatic selection of an optimal local cloud reference sector around each retrieval grid box varying longitudinally between $\pm 5^{\circ}$ and $\pm 50^{\circ}$ and latitudinally by $\pm 1^{\circ}$. The optimised CLCT (CHORA-Local Cloud Theil-Sen algorithm) algorithm, a follow-up from CLC,
- 10 employs a homogeneity criterion for total ozone from the cloud reference sector in order to overcome the inhomogeneities in stratospheric ozone. It directly estimates the above cloud column ozone for a common reference altitude of 270 hPa using the Theil-Sen regression. The latter allows combining the CCD method with the cloud slicing algorithm that retrieves upper tropospheric ozone volume mixing ratios. Monthly averaged Tropospheric Column Ozone (TCO) using the Pacific cloud reference sector (CPC) and local cloud reference sector (CLC, CLCT) have been determined over the tropics and subtropics (26°S-21°N)
- 15 from TROPOMI for the time period from 2018 to 2022. The accuracy of the various methods was investigated by comparisons with collocated NASA/GSFC SHADOZ ozonesonde measurements and the ESA TROPOMI Level 2 tropospheric ozone product. At eleven out of twelve stations, tropospheric ozone columns using CLCT yield better agreement with ozonesondes than CPC. The overall statistical dispersion is effectively reduced from 4 DU (CPC) to 2 DU (CLCT). In the tropical region (20°S-20°N), CLCT shows a significantly lower overall mean bias and dispersion of -1±8%, outperforming both CPC (12±9%) and
- 20 CCD-ESA ($22\pm10\%$). CLCT surpasses the ESA operational product, providing more accurate tropospheric ozone retrievals at eight out of nine stations in the tropics. For the Hilo station, with a larger stratospheric ozone variability due to its proximity to the subtropics, the bias of +25% (CPC) is effectively reduced to -12% (CLCT). Similarly, in the subtropics (Reunion, Irene, and Hanoi), the CLCT algorithm provides an improved overall bias and scatter (-11±8%) compared to CPC (-17±13%) with respect to sondes but the bias remains negative. The CLCT effectively reduces the impact of stratospheric ozone inhomogene-
- 25 ity, typically at higher latitudes. These results demonstrate the advantage of the local cloud reference sector in the subtropics.





The algorithm, thereby, is an important basis for subsequent systematic applications in current and future missions of geostationary satellites, like GEMS (Geostationary Environment Monitoring Spectrometer, Korea), ESA Sentinel-4, and NASA TEMPO (Tropospheric Emissions: Monitoring of POllution) covering predominantly middle latitudes.

1 Introduction

- 30 Tropospheric ozone is one of the important pollutants and greenhouse gases in the Earth's atmosphere. At the top of the troposphere, it acts as a greenhouse gas and contributes to global warming. When it appears closer to the earth's surface, it adds to smog and is hazardous to the health of humans, animals, and vegetation (Crutzen, 2016). Tropospheric ozone is a short-lived secondary gas with an atmospheric lifetime of hours to weeks, with no direct emissions sources. The downward transport of ozone from the stratosphere and the emission of nitrogen oxides and hydrocarbons by the chemical reaction cycles forced by
- 35 solar radiation contribute to ozone abundance in the troposphere and, in turn, result in a degradation of air quality on a global scale (Monks et al., 2015). Since the 1960s, ozonesonde records reveal that tropospheric ozone trends are mainly positive in the northern mid-latitudes and tropics except over the Arctic and mid-latitude regions of Canada (Cooper et al., 2020; Oltmans et al., 2013). Since 1998 in tropical regions, free tropospheric trends observed from the Southern Hemisphere Additional Ozonesondes (SHADOZ) network have shown more moderate variations, which vary with the seasons and across different
- 40 regions (Thompson et al., 2021). The relatively short atmospheric lifetime of tropospheric ozone and its strong warming potential implies that proposed strategies to reduce such emissions can contribute to climate and health benefits within a few decades. Therefore, the precise quantitative analysis of the tropospheric ozone levels is crucial for assisting policymakers in developing effective prevention strategies (Jaffe et al., 2018).
- Even though ground-based techniques, ozonesondes and lidars (LIght Detection And Ranging), are considered as the most common and accurate methods to measure vertical ozone profiles, only satellite observations ensure the continuous global monitoring of ozone with sufficient spatial and temporal coverage. However, due to its short lifespan and dependence on sunlight and precursor emissions from natural and anthropogenic sources, tropospheric ozone exhibits a high spatio-temporal variability on seasonal, interannual and decadal time scales (Cooper et al., 2014b; Kgabi and Sehloho, 2012) which, in turn, poses a clear challenge to the satellite observing system. The proper implementation of ozone retrieval algorithms to the
- 50 satellite sensors helps to overcome this issue to a certain limit by improving the accuracy of measurements. The Convective Cloud Differential (CCD) (Ziemke et al., 1998) and Cloud Slicing Algorithms (CSA) (Ziemke et al., 2005) are two standard tropospheric ozone retrieval methods limited to the tropical band (20°S-20°N). In particular, the CCD approach has been successfully applied to currently operating satellite sensors such as Aura OMI, MetOp GOME-2, and Sentinel-5 Precursor TROPOMI (Valks et al., 2003, 2014; Ziemke et al., 2010; Heue et al., 2016; Leventidou et al., 2016, 2018; Hubert et al., 2021)
- 55 to derive tropospheric ozone columns.

The Sentinel-5 Precursor (S5P) satellite was launched in October 2017 to bridge the gap between heritage and Sentinel 5 satellite series, providing information and services on air quality, climate forcing, and ozone layer in the time frame of 2017–2022. The TROPOspheric Monitoring Instrument (TROPOMI) onboard the Copernicus S5P satellite provides high spa-





tially resolved observations of key atmospheric constituents, including total ozone and cloud properties, which are needed to 60 derive tropospheric ozone using the CCD method (Veefkind et al., 2012).

In this study, we introduce the algorithm CHORA-CCD (Cloud Height induced Ozone Reference Algorithm) for retrieving tropospheric ozone columns. It takes advantage of the high-resolution TROPOMI ozone and cloud data $(3.5 \times 5.5 \text{ km}^2)$. The new method uses the local cloud reference sector (CLC) instead of the standard approach using the Pacific region (CPC) to calculate the stratospheric/above cloud column ozone. The CLC algorithm is further optimised by introducing a homogeneity criterion and an alternative method to directly estimate the above cloud column by using the Theil-Sen regression in order to

65 criterion and an alternative method to directly estimate the above cloud column by using the Theil-Sen regression in order to interpolate to the tropospheric column from ground to the reference altitude at 270 hPa (CLCT). This local cloud approach is the first step towards extending the CCD method to middle latitudes.

This paper is structured as follows. The measurement data taken from S5P-TROPOMI used for deriving the tropospheric ozone columns are described in Section 2. Collocated ozonesonde measurements used as a reference for validation are de-

70 scribed in Section 3. The standard tropospheric ozone retrieval algorithm (CHORA) and the changes to the local cloud algorithms (CLC, CLCT) are explained in Section 4. Section 5 compares tropospheric ozone retrievals by all three algorithms (including CPC) of CHORA with respect to ozonesondes at 12 ground stations and analyses the possible sources of biases and uncertainties. In Section 6, all major findings are recollected and discussed to derive conclusions on the CLCT algorithm's efficiency. Section 7 describes further modifications required to extend the applicability to mid-latitudes.

75 2 Measurement data

2.1 S5p-TROPOMI

The Copernicus Sentinel-5 Precursor (S5p) mission is the first Copernicus mission dedicated to monitoring the atmospheric composition (Ingmann et al., 2012). The TROPOspheric Monitoring Instrument (TROPOMI) onboard the S5P satellite is a space-borne nadir-viewing imager with four separate spectrometers covering wavelength bands between the ultraviolet and the

- shortwave infrared (270–2385 nm). TROPOMI was launched on 13 October 2017 and flies in a near-polar, sun-synchronous orbit with an ascending node and equator-crossing local time of 13:30 h. The instrument uses passive remote-sensing techniques to measure the solar radiation reflected by and radiated from the Earth at the top of the atmosphere. By operating in a push-broom configuration with a wide swath of 108° wide, along with a near-polar orbit at 824 km altitude, TROPOMI achieves complete global surface coverage daily. The spatial resolution at the nadir, originally of 3.5×7 km² (across-track × along-
- 85 track), was further refined to 5.5×3.5 km² on 6 August 2019. From the spectral and radiometric calibration of the Earth's radiance and solar irradiance data, it is possible to retrieve information on the total column densities and vertical profiles of several atmospheric trace gases (NO2, O3, CH4, CO and SO2), clouds, aerosols, stratospheric, and surface reflectance (Kleipool et al., 2018; Ludewig et al., 2020). In particular, TROPOMI retrieves the vertical column amount of ozone and the cloud parameters required for the computation of tropospheric ozone by applying the CCD technique (Kleipool et al., 2018;
- 90 Hubert et al., 2021).

differences between satellite-measured and model-simulated radiances.





Five years of TROPOMI total ozone column data (1st June 2018 to 31st December 2022), corresponding to reprocessed/offline processor version 02.04.01 have been used in this study (ESA, 2022; Hubert et al., 2021). The ozone columns used for the tropospheric ozone computations are retrieved by the GODFIT (GOme Direct FITting) algorithm version 4 based on ozone absorption in the UV Huggins bands (325-335 nm) (Van Roozendael et al., 2012; Lerot et al., 2010, 2014; Garane et al., 2019; Heue et al., 2022; ESA, 2022). This algorithm uses an iterative least-squares cost function minimisation approach based on the

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The cloud information used as input to the TROPOMI/S5P total ozone algorithm and later tropospheric ozone computations are retrieved through a combination of the OCRA-Optical Cloud Recognition Algorithm (Loyola and Ruppert, 1998) and ROCINN-Retrieval of Cloud Information using Neural Networks (Rodriguez et al., 2007) algorithm. The main product of

- 100 OCRA is the cloud fraction (between 0 and 1), determined through comparisons of broad-band reflectance measurements in the UV/VIS/NIR spectral regions with those from a global cloud-free composite data set containing minimum reflectance data (Loyola et al., 2018; Compernolle et al., 2021; ESA, 2021a). The ROCINN algorithm, using as input the OCRA-derived cloud fraction, retrieves cloud-top height and cloud albedo as primary quantities, determined through a classical inversion method based on measurements in and around the O₂ A-band. The ROCINN version 2.0 is based on the assumption that
- 105 clouds are Lambertian reflecting surfaces. This is known as the "clouds-as-reflecting-boundaries" (ROCINN-CRB) model (Van Roozendael et al., 2006; Loyola et al., 2011). In this study, cloud fraction from OCRA and cloud top pressure from ROCCIN-CRB algorithms are used.

3 Reference data

3.1 Ozonesondes

Ozonesondes are balloon-borne instruments that provide accurate measurements of ozone and standard meteorological quantities such as pressure, temperature and humidity as it ascends through the atmosphere. They can reach up to altitudes of about 35 km before the balloon bursts. The vertical resolution is about 100-200 m. The main part of the ozonesonde is an electrochemical concentration cell (ECC) that senses the ozone concentration of the air sample by producing a corresponding weak electrical current. Due to the very high vertical resolution and high accuracy, ozonesondes are very well suited for validating satellite observations (Huang et al., 2017; Hubert et al., 2021; Tarasick et al., 2021).

The ozonesonde stations are widely distributed all over the world. In the tropics, they are regularly launched weekly or every other week (1-4 times per month) from various stations associated with NASA's Southern Hemisphere ADditional OZonesondes (SHADOZ) programme (Witte et al., 2017; Thompson et al., 2017, 2019; Sterling et al., 2018) (https://doi.org/10.57721/ SHADOZ-V06). The screened ozonesonde volume mixing ratio profiles over each station are integrated from the first measure-

120 ment level up to 270 hPa (≈ 10.5 km in the tropics) to obtain the tropospheric ozone column to be compared with TROPOMI measurements. The conversion from ozone volume mixing ratio profiles to subcolumns [DU] is done using the formulae from the TEMIS (Tropospheric Emission Monitoring Internet Service) project (https://www.temis.nl/data/) using pressure [hPa] and volume mixing ratio [ppmv] as input parameters. The sonde column is not processed when data gaps in the profiles are wider





than 1.2 km and when the burst height of the sonde is below 15 km. The percentage of missing VMR values in a profile is
generally 0% but can occasionally reach up to 2%. Only for Ascension island, up to 30% missing data is found for just a few days.

Ozonesonde profiles are considered collocated to the satellite data when the station is inside the spatio-temporal grid-box of the TROPOMI tropospheric ozone data, here $0.5^{\circ} \times 0.5^{\circ}$ and the same day.

In total, subcolumns from twelve ozonesonde stations (nine in the tropics and three in the subtropics) were compared with collocated TROPOMI tropospheric ozone columns for the time period from June 2018 to December 2022. The station locations are displayed in Fig.1.

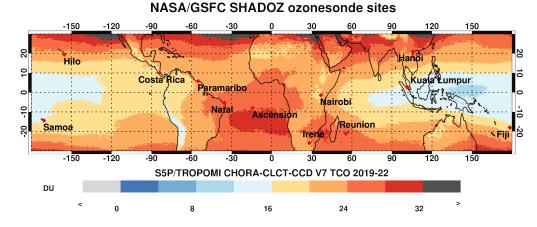


Figure 1. Distribution of SHADOZ ozonesonde stations. The color map shows the four-year mean TROPOMI tropospheric ozone column above 270 hPa (2019-2022) derived from our CLCT algorithm.

3.2 TROPOMI Level 2 Tropospheric Ozone (L2_O3_TCL)

The TROPOMI tropospheric ozone, a level-2c product provided by the European Space Agency (ESA), depicts three-day averaged tropospheric ozone columns (below 270 hPa) (ESA, 2021b). Covering a 0.5° by 1° latitude-longitude grid, it focuses on the tropical region between 20°N and 20°S. The product is based on the convective cloud differential (CCD) algorithm.

The TROPOMI tropospheric ozone column product is created at the PDGS (Payload Data Ground Segment) in DLR (Deutsches Zentrum für Luft- und Raumfahrt), utilizing the offline TROPOMI Level-2 total ozone and cloud products as input (ESA, 2022, 2021a). Cloud parameters are determined by the OCRA/ROCINN algorithms using the TROPOMI measurements from the tropical convective clouds over the eastern Indian Ocean and the western Pacific.

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In the CCD method for calculating an accurate tropospheric ozone column, the above-cloud ozone column is determined at a fixed pressure level of 270 hPa. A correction is applied to account for the difference between the retrieved cloud-top level and the 270 hPa level. The ozone sonde based vertical ozone profile climatology by McPeters et al. (2007) is used to account for local concentrations around the cloud altitude level. Following this correction, stratospheric ozone columns are averaged for



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 0.5° latitude bands between 20°N and 20°S over a 6-day period, assuming that the stratospheric ozone column is independent of longitude within a given latitude band.

In the second step, cloud-free TROPOMI measurements (with cloud fraction, cf < 0.1) are utilised to determine the total ozone column. The total ozone columns are then averaged over a three-day period on a 0.5° by 1° latitude-longitude grid between 20°N and 20°S.

In the final step, the zonal mean stratospheric ozone column is subtracted from the gridded total ozone values, yielding the three-day averaged tropospheric ozone column. This spatially collocated tropospheric ozone data (TCO-CCD-ESA) with a 150 quality value greater than 50 were compared with ozonesondes and other CHORA algorithms from June 2018 to December 2022.

4 Methodology

4.1 **CHORA Pacific Cloud (CPC) algorithm**

155 The Convective Cloud Differential method (CCD) is a standard retrieval approach to derive tropospheric column ozone (TCO) from satellite data using total columns of ozone and cloud information (Ziemke et al., 1998). The CCD technique only focuses on the tropics (20°S-20°N) where stratospheric ozone is assumed to be zonally invariant. The operational S5P/TROPOMI TCO data are derived using this approach, which has been applied successfully to many other sensors such as TOMS, GOME, SCIAMACHY, OMI, and GOME- 2 before (Ziemke et al., 2010; Valks et al., 2003, 2014; Heue et al., 2016; Leventidou et al., 2016, 2018; Hubert et al., 2021). 160

The Cloud Height Ozone Reference Algorithm (CHORA) is an advanced version of the CCD method developed at the University of Bremen (Leventidou et al., 2016, 2018), in which also the Pacific sector is used as the cloud reference sector (CHORA Pacific Cloud, CPC) for retrieving the above-cloud ("stratospheric") ozone column. The occurrence rate of optically thick and high convective clouds is lower outside the Pacific sector.

- Total ozone columns extracted from the operational OFFL/RPRO total column product (Van Roozendael et al., 2012; Lerot 165 et al., 2014; Garane et al., 2019) are used. In the first step of the TCO calculation, ozone columns above deep convective clouds above the tropical eastern Indian and western Pacific oceans (20°S-20°N, 70°E-170°W) are retrieved. The clouds are defined by a high cloud fraction (>0.8) and cloud height (>7 km). The cloud parameters (cloud-top-height and cloud fraction) are retrieved with the OCRA/ROCINN-CRB algorithm (Loyola et al., 2018; Compernolle et al., 2021). When retrieving ozone
- 170 total columns over clouds, a ghost column is added for the tropospheric part of ozone shielded by clouds. This ghost column is here subtracted from the total ozone under partially cloudy scenes (CF ≥ 0.8) to obtain the Above Cloud Column Ozone (ACCO). Since cloud-top height varies, the ACCO has to be referenced to 270 hPa by adding ozone subcolumns between 270 hPa and the cloud-top height. This means the missing (or additional) ozone column between the fixed reference level of 270 hPa and the measured cloud top pressure is added (or subtracted) using an ozone climatology or monthly mean data from the
- Cloud Height induced Ozone Variation Algorithm (CHOVA) (ESA, 2022). The CHOVA climatology developed at our institute 175 also uses TROPOMI data and is stored as monthly averages of volume mixing ratios from the Pacific sector (70°E-170°W)





for the latitude band from 20° S to 20° N (see Fig. 2). The standardised ACCO (normalised to 270 hPa) are averaged on a daily basis in each 0.5° latitude wide Pacific band.

In general, the CPC algorithm shares the same methodology as that of the operational TROPOMI Level 2 product, differing mainly in the number of days of averaging, climatology, and grid resolution.

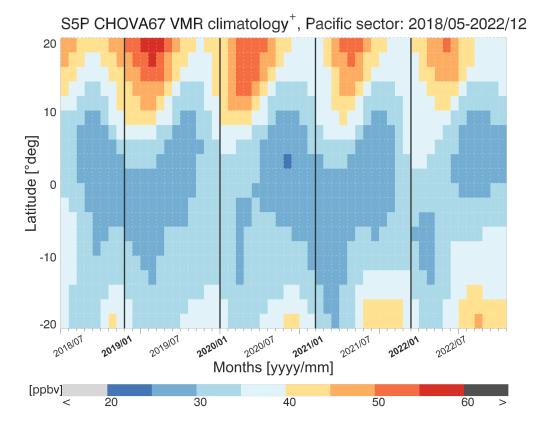


Figure 2. Monthly mean CHOVA V6.7 ozone volume mixing ratios in the upper troposphere as a function of month and latitude band. The values are computed for the Pacific sector and the period from 2018/05 to 2022/12.

In the next step, total ozone columns under nearly clear sky conditions (TOZ, $CF \le 0.2$) are daily averaged for all tropical grid boxes. In the final step, the tropospheric ozone column in each grid box is derived by subtracting the Pacific ACCO from the clear-sky total ozone column in the same zonal band (Ziemke et al., 1998; Valks et al., 2003, 2014; Heue et al., 2016; Leventidou et al., 2016, 2018; Hubert et al., 2021) (Fig.3). In a rare case scenario, if the final tropospheric ozone value is negative, it is replaced by a fill value.



4.2 CHORA-Local Cloud (CLC) algorithm

The assumption of stratospheric ozone zonal invariance used in the CHORA-CPC algorithm is only justified within the tropics (Ziemke et al., 1998, 2010; Valks et al., 2014; Thompson et al., 2017), which limits this approach to the latitude range between





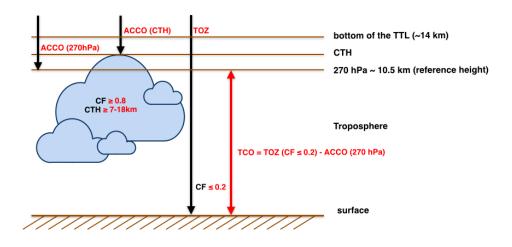


Figure 3. Illustration of the CCD technique. TCO is the tropical tropospheric column ozone, TOZ, the total ozone under clear-sky conditions, ACCO, the above cloud column ozone, CF, the cloud fraction, and CTH, the cloud top height. The partial ozone column between CTH and 270 hPa is estimated from the CHOVA climatology. TTL is the tropical tropospheric layer.

20°S and 20°N. Since stratospheric ozone variations are much larger at mid-latitudes (Weber et al., 2011; Godin-Beekmann et al., 2022), the cloud reference sector has to be locally defined. Hence an advanced version of the CHORA algorithm (local

190 et al., 2022), the cloud reference sector has to be locally defined. Hence an advanced version of the CHORA algorithm (local cloud reference sector, CLC) was developed to potentially extend this CCD method to higher latitudes by taking advantage of the high spatio-temporal resolution of TROPOMI (Fig.4).

An iterative approach has been used for automatically selecting the optimal local cloud reference area around each retrieval grid box $(0.5^{\circ}x0.5^{\circ})$ varying longitudinally from $\pm 5^{\circ}$ to a maximum of $\pm 50^{\circ}$. The latitudinal extent is fixed at $\pm 1^{\circ}$ for reasonable TCO retrievals and to restrict the stratospheric ozone streamers by selecting a limited area around the retrieval grid box. The selection increases from the smallest cloud reference area to the largest and stops when the number of cloudy scenes exceeds 50 when the cloud fraction CF ≥ 0.8 and cloud top height CTH ≥ 7 km. All ACCOs in this automatically selected reference sector are then standardised to a reference level of 270 hPa by applying climatological corrections from the CHOVA climatology mentioned before and then averaged to obtain the mean reference ACCO above 270 hPa. After that,

TOZ is averaged daily for $0.5^{\circ} \times 0.5^{\circ}$ grid boxes. Finally, the tropospheric ozone column in each grid box is calculated by subtracting the corresponding reference ACCO from the TOZ.

4.3 CHORA-Local Cloud Theil-Sen (CLCT) algorithm

4.3.1 Homogeneity criteria for total ozone column

The CLC algorithm is further adapted and optimised by introducing a homogeneity criterion for total ozone to overcome inhomogeneities in stratospheric ozone. Thus, TCO is only computed when the standard deviation (1σ) of the total ozone





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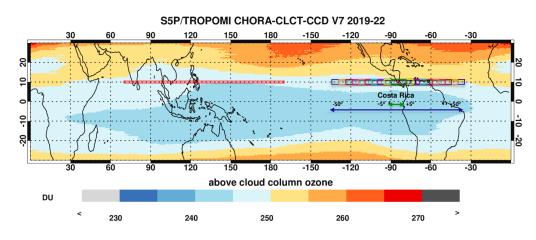


Figure 4. Pacific (red box) and the variable local cloud reference sectors from the smallest (green) to the largest (dark blue) centered around the grid box containing the ozonesonde station of Costa Rica.

column under the cloudy conditions (CF ≥ 0.8) from the local cloud reference sector is less than 10 DU; otherwise, it is overwritten by a fill value.

4.3.2 Theil-Sen regression for ACCO retrieval

An alternative method to directly estimate ACCO to a reference altitude of 270 hPa is also introduced in the CLC algorithm 210 based upon the Theil-Sen regression (CLCT) (Fig.5). The Theil-Sen estimator is a widely recognized non-parametric technique for determining the slope of a line. It is favoured for its computational simplicity and resistance to outlier influences (Sen, 1968). Consequently, this method has found frequent application in various remote sensing contexts (Fernandes and Leblanc, 2005).

The slopes between all possible pairs of ACCO and cloud top pressures (CTP) retrieved over the auto-selected local cloud reference sector are computed, and their median is selected as the Theil-Sen estimate of the slope (Fig.6). Theil-Sen estimate of the intercept can be obtained by substituting the values of this estimated slope and medians of ACCO and cloud top pressure in the linear regression equation (Wilcox, 2011). Applying these estimates of the Theil-Sen slope and intercept, the reference

ACCO above the reference cloud top pressure of 270 hPa (and any other reference altitude) can be calculated directly.

This approach is very suitable in cases of strongly varying cloud top heights. From the slope of the linear regression between ACCO and cloud-top height, the mean ozone volume mixing ratio within the range of cloud-top heights can be determined, as is done in the cloud-slicing retrieval (ESA, 2021c). The Theil-Sen regression combines the CCD with the cloud-slicing approach. This approach is flexible as the ACCO can be calculated for arbitrary reference altitudes (in our case, 270 hPa), and it requires no ozone climatologies for converting ACCO to any reference height.

In this study, we analyse the TCO retrievals using both local cloud algorithms, CLC and CLCT, and compare the results with the standard CPC algorithm and validate with SHADOZ ozonesonde and CCD-ESA measurements.





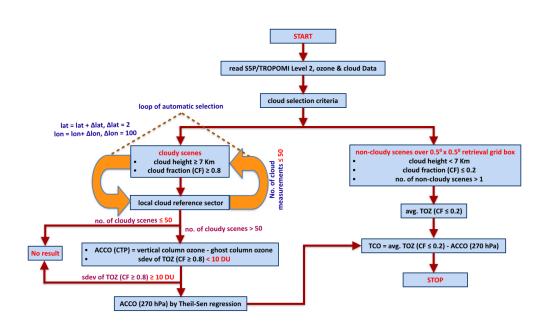


Figure 5. The flow diagram for the CLCT algorithm used for retrieving tropospheric ozone.

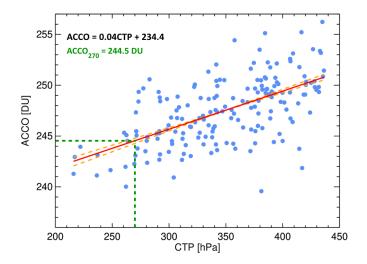


Figure 6. A scatter plot of ACCO (DU) and CTPs (hPa) with a regression line (red). Individual data points are single measurements within the auto-selected reference sector (latitude band 2.4° S - 0.4° S and longitude band 26.8° E - 46.8° E) around Nairobi, Kenya (1.3° S, 36.8° E) on 1st January 2019. The orange lines show the upper and lower bounds of the 95% confidence interval. The ACCO above the reference altitude 270 hPa is 244.5 DU (green dashed line). The regression line was determined using the Theil-Sen estimator.





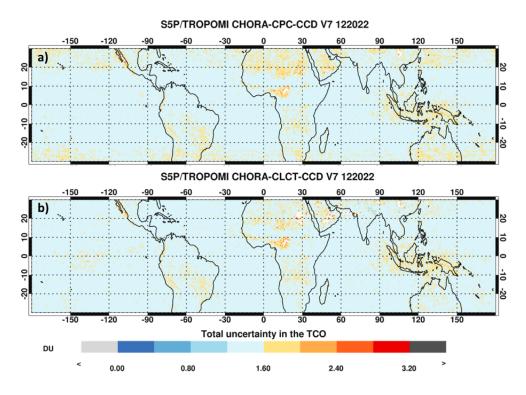


Figure 7. The uncertainty in the TCO retrieval for December 2022 using the CPC algorithm (a) and the CLCT algorithm (b).

225 5 Results and discussions

5.1 Uncertainty budget

In this subsequent section, we present a rough estimation of the total uncertainty in daily TCO retrievals using both the CLCT and CPC algorithms. The overall uncertainty of monthly averaged TCO (u_{TCO}), for December 2022 is roughly calculated for both CPC and CLCT methods (Fig. 7) using the following equation:

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$$u_{TCO} = \sqrt{\frac{u_{TOZ_{retrieval}}^2}{N_{TOZ}} + \frac{u_{ACCO_{retrieval}}^2}{N_{ACCO}} + u_{ACCO_{CF}}^2 + u_{ACCO_{CTH}}^2},$$
 (1)

where $u_{TOZ_{retrieval}}$ represents the estimated uncertainty for a single total column ozone retrieval (\approx 3 DU) and $u_{ACCO_{retrieval}}$, signifies the same but for ACCO (\approx 2.5 DU) (Leventidou et al., 2016). The uncertainty of the measured cloud fraction u_{CF} is about \pm 0.1 and contributes to the ACCO uncertainty of less than 1 DU ($u_{ACCO_{CF}}$). The uncertainty in the cloud-top height, u_{CTH} , is about \pm 500 m and adds an uncertainty of less than 0.5 DU ($u_{ACCO_{CTH}}$) to TCO. N_{TOZ} is the number of cloud-free total ozone measurements in the 0.5°x0.5° grid box for both CPC and CLCT. N_{ACCO} is the number of above-cloud column ozone measurements in the cloud reference sector. Substituting all these values in Eq. 1, we can estimate the total uncertainty of TCO. In CPC-TCO retrievals, the maximum uncertainty is approximately 2.6 DU (\sim 10 %) (Fig. 7a). The maximum possible





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the local cloud reference sector is set at 50, with a similar requirement of one TOZ value (ground pixel) in the grid box under
clear sky conditions (Fig. 7b). Regions with the highest uncertainty exhibit fewer cloudy and clear sky scenes. The data gaps in the figure result from the homogeneity criteria, as explained in the preceding sections, and the absence of clear sky and cloudy scenes. The primary source of uncertainty in the tropospheric ozone column is considered to be ACCO (retrieval and cloud parameters) (Leventidou et al., 2016).

uncertainty estimated for CLCT-TCO is around 2.4 DU since the minimum number of ACCO values needed for averaging in

5.2 Comparison to ozonesondes

- As a first step, both CLC and CLCT algorithms are validated with the CPC TCO retrievals and SHADOZ ozonesondes (Thompson et al., 2017). The monthly mean of tropospheric ozone retrievals and corresponding standard deviations (1σ) from all three CHORA algorithms were calculated for the time period from June 2018 to December 2022. The ozonesonde stations available for validation are shown in Fig.1. The frequency of the ozonesonde measurements varies between one and four per month, depending on the station.
- Table.1 lists the mean difference and the standard deviation (1σ) between the various CHORA algorithms and ozonesondes. TCO-CLCT retrievals show the smallest bias, -6.3 to 0.0 DU, and dispersion, +1.0 to +7.5 DU, with respect to ozonesondes. On the other hand, TCO-CPC have both the largest bias, -9.2 to -0.5 DU, and dispersion, 1.3 to 6.8 DU. At seven stations, TCO-CLCT exhibits a consistently negative bias (-6.3 to -0.4 DU), while TCO-CPC shows a persistent positive bias (1.8 to 6.3 DU) at seven of twelve stations. The maximum negative bias was observed at Hanoi by CPC, -9.2 DU, which reduces to -6.3
- 255 DU using CLCT.

Fig.8 represents the seasonal variation of tropospheric ozone (2018-2022) from different CHORA algorithms in the grid box of the station and associated ozonesonde measurements.

In the following, we discuss the ozonesonde comparisons for each station.

Hanoi (21°N, 105.8°E)

- Fig.8a shows the TROPOMI differences in Hanoi, which is located in Southeast Asia and is part of the Asian summer monsoon circulation region where tropospheric ozone exhibits a long-term increasing trend (Gaudel et al., 2018; Zhang et al., 2016). The mean tropospheric ozone columns over Hanoi are the highest among all the stations (~32 DU), which is expected for a subtropical location (Cooper et al., 2014b). The accelerated urbanisation and industrialisation in this mega-city cause high emissions of pollutants, including ozone precursors and contribute to high tropospheric ozone over the station (Dam et al., 2008). The
- exchange between the tropical troposphere and extratropical stratosphere occurs in this region, which also contributes to this ozone enhancement.

Enhanced tropospheric ozone is observed in ozonesonde data during boreal spring from enhanced biomass burning in Thailand (Liao et al., 2021; Sonkaew and Macatangay, 2015) and possibly also due to the lower stratosphere-upper troposphere transport related to the subtropical jet passing over Hanoi (Yonemura et al., 2002). The latter process is also responsible for the

270 ozone increase in early autumn (September).





Table 1. Mean difference (md, TROPOMI – sonde) and standard deviation (1σ) (sdev) in Dobson unit between spatially collocated TROPOMI TCO retrievals (CLCT, CLC, CPC and CCD-ESA) and ozonesondes during the time period from 2018 to 2022.

Station	Latitude	Longitude	TCO-CLCT	TCO-CLC	TCO-CPC	TCO-CCD-ESA
			md (\pm) sdev	md (\pm) sdev	md (\pm) sdev	md (\pm) sdev
			DU	DU	DU	DU
Hanoi	21.0	105.8	-6.3 ± 7.0	-7.9 ± 6.9	-9.2 ± 7.0	-
Hilo	19.4	-155.4	$\textbf{-2.9}\pm1.9$	-3.0 ± 2.5	6.3 ± 4.6	9.6 ± 5.5
Costa Rica	10.0	-84.0	1.4 ± 1.9	0.8 ± 1.6	3.4 ± 2.3	5.9 ± 2.6
Paramaribo	5.8	-55.2	1.1 ± 2.4	0.1 ± 1.7	1.9 ± 3.1	4.0 ± 3.6
Kuala Lumpur	2.7	101.7	-2.5 ± 1.6	-3.1 ± 1.7	$\textbf{-0.5}\pm1.6$	1.4 ± 1.9
Nairobi	-1.3	36.8	0.2 ± 1.5	-1.4 ± 1.5	3.5 ± 1.7	5.3 ± 1.7
Natal	-5.4	-35.4	0.0 ± 2.0	$\textbf{-1.7}\pm1.8$	3.0 ± 3.5	4.6 ± 3.5
Ascension	-8.0	-14.4	-1.7 ± 1.7	-3.4 ± 2.0	3.5 ± 2.1	5.2 ± 2.1
Samoa	-14.4	-170.6	0.8 ± 1.0	0.7 ± 1.2	1.8 ± 1.3	2.9 ± 1.4
Fiji	-18.1	178.4	-0.4 ± 2.9	$\textbf{-1.1}\pm2.9$	$\textbf{-0.8} \pm \textbf{3.1}$	1.4 ± 2.7
Reunion	-21.1	55.5	$\textbf{-0.7} \pm \textbf{4.2}$	-2.7 ± 3.6	-1.1 ± 4.0	-
Irene	-25.9	28.2	-3.2 ± 3.4	-5.7 ± 3.7	-5.8 ± 5.6	-
overall tropics $(20^{\circ}S - 20^{\circ}N)$			-0.4 ± 1.5	-1.3 ± 1.6	2.5 ± 2.2	4.5 ± 2.5
overall subtropics (> 20°)			-3.4 ± 2.8	-5.4 ± 2.6	-5.4 ± 4.1	-

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TROPOMI underestimates ozonesondes throughout the year by up to 28 DU in boreal spring and in autumn and early winter (Fig. 9a). This can be related to the broader cloud reference sector, particularly in spring, autumn and winter, which causes ACCO to be too high (Fig. 10a, b and d). However, it's important to note that a more extended time series is required for a detailed analysis to better understand this variation. The challenge of limited ozonesonde measurements in March further complicates the validation. Nevertheless, the difference of TROPOMI to ozone sondes is smaller for the local cloud algorithms than CPC.

In summer, TCO retrievals from all CHORA versions are closer to sondes due to sufficient cloud data available in the vicinity of the station (Fig.10c). The increased dispersion observed in TCO retrievals by the local cloud algorithms during winter can be attributed to the presence of remote clouds exhibiting inhomogeneous cloud top heights (Fig. 10a and 11a). Despite the large dispersion, all CHORA versions exhibit good agreement with ozonesondes in February.

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Overall, the TCO retrievals obtained through the CLCT or CLC methods yield better agreement with sonde measurements compared to CPC (Fig. 9a). The average difference between CPC and ozonesondes amounts to -9.2 DU but reduces to -6.3 DU for CLCT, even though the dispersion (scatter) remains the same at 7 DU.





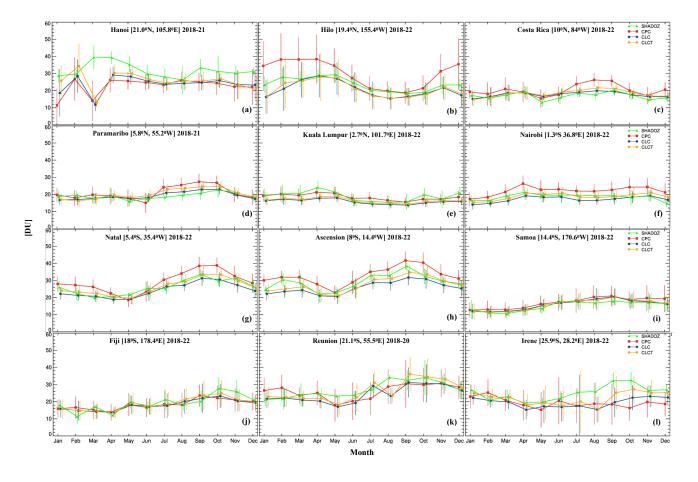


Figure 8. Seasonal variation of TROPOMI and ozonesonde tropospheric ozone columns up to 270 hPa at twelve SHADOZ sites from 2018-2022. The green line indicates the integrated tropospheric ozone columns up to 270 hPa from ozonesondes. The red, blue, and yellow lines are the TROPOMI tropospheric ozone columns retrieved by CPC, CLC, and CLCT, respectively. The error bar is the corresponding standard deviation (1 σ) of the monthly means. No error bars are shown for stations with only one launch per month. The time period varies with stations according to the data availability.

Hilo (19.4°N, 155.4°W)

285 Due to the close location of Hilo to the subtropics (Fig.8b), the station experiences stratospheric ozone intrusions from middle latitudes. The tropospheric abundances are, therefore, generally higher in spring (Cooper et al., 2014b, and Fig. 8b). Both model and observations suggest that the spring maximum (April-May) in tropospheric ozone has contributions from both biomass burning in Southeast Asia and downward transport of stratospheric air (Oltmans et al., 1996; Wang and Jacob, 1998; Logan, 1999; Kentarchos et al., 2001; Liu et al., 2002). The pronounced late summer minimum in ozone (July to October)





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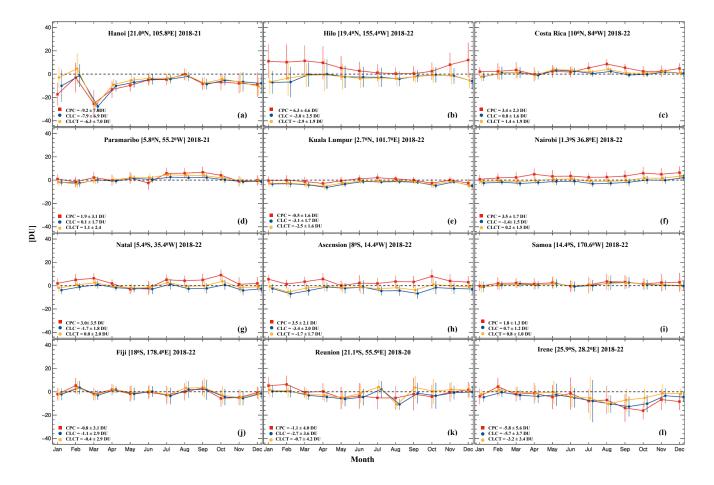


Figure 9. Same as Fig. 8, but here ozone column differences of TROPOMI and ozonesondes are shown.

290 is attributed to convective activity in the wet season, which mixes ozone-poor air from the marine boundary layer where photochemistry provides a net sink for ozone (Oltmans et al., 1996; Liu et al., 2002).

During winter and spring, there is a significant overestimation of ozone by the CPC algorithm compared to ozone sondes (Figure 9b). This observation can be attributed to the seasonal southward movement of the ITCZ, resulting in fewer high-level clouds over the Pacific sector. This decrease in high cloud cover subsequently leads to reduced data availability for ACCO retrievals (Leventidou et al., 2016).

The notable high standard deviation in the monthly mean tropospheric ozone column is not exclusive to the CPC but is also noticeable in the local cloud algorithms, mainly due to the influence of remote clouds, particularly during the winter and spring seasons (Fig. 10a and b,11a and b). However, it's worth highlighting that both CLC and CLCT exhibit lower standard deviations compared to CPC.





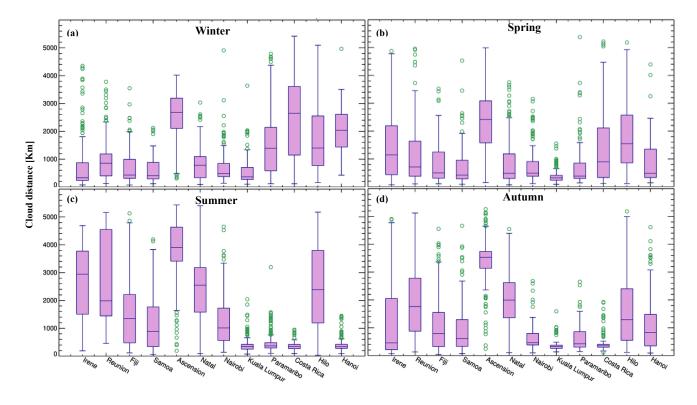


Figure 10. Seasonal mean distance (km) of deep convective clouds observed by TROPOMI accounted for in the above cloud column estimation by CLC and CLCT from each ozonesonde station from the period 2018 to 2022. The lines (whiskers) extending from the box indicate the variability outside the upper (75th) and lower (25th) quartile. The horizontal line on the box represents the median or 50th percentile. The green circles represent outliers.

300 TROPOMI local cloud algorithms (CLC and CLCT) exhibit a significantly improved agreement with ozone sondes compared to CPC. The implementation of CLCT-TCO retrieval effectively reduced the scatter in the differences to ozonesondes from 4.6 DU to 1.9 DU (Fig.9b). The CPC algorithm has a higher average bias (6.3 DU) and larger dispersion (4.6 DU) compared to CLCT (-2.9 DU and 1.9 DU, respectively) (Table 1).

Costa Rica (10°N, 84°W)

305 Costa Rica is a tropical site located in Central America. The tropospheric ozone retrievals over this location set an example where the local cloud reference sector (CLC, CLCT) works better than the Pacific sector (CPC), especially during boreal summer when the convective cloud activity is highest (see Fig. 8c, Pfister et al., 2010; Schoeberl et al., 2015)

The ozone spread for CLCT is more pronounced during winter, particularly in February, with an approximate spread of 6 DU. This seasonal variation is attributed to the winter-time migration of the ITCZ to the south, resulting in rare cloudy scenes





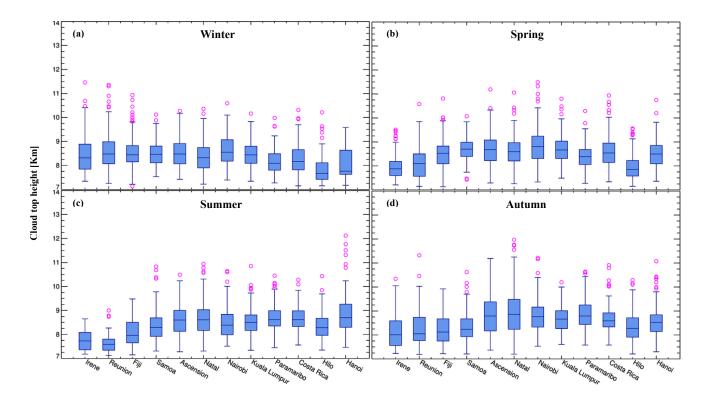


Figure 11. Seasonal mean cloud top height (km) of deep convective clouds from TROPOMI accounted for in the above cloud column estimation by CLC and CLCT for the grid box around the ozonesonde station from 2018 to 2022. The lines (whiskers) extending from the box indicate the variability outside the upper (75th) and lower (25th) quartiles. The horizontal line on the box represents the median or 50th percentile. The green circles represent outliers.

310 in the proximity of the station (Fig. 10a). The inhomogeneity of cloud top heights introduced by distant clouds adds more dispersion to the ACCO and TCO values, as observed in previous studies (Leventidou et al., 2016) (Fig. 11a).

Overall, it is clear that the CLCT algorithm offers more accurate tropospheric ozone columns. Despite the scatter remaining nearly unchanged compared to CPC ($+3.4\pm2.3$ DU), CLCT exhibits a significantly reduced bias of +1.4 DU (Table 1).

Paramaribo (5.8°N, 55.2°W)

- 315 Tropospheric ozone over Paramaribo in the equatorial Atlantic ocean region is greatly influenced by meteorological variability (Fig.8d). The ITCZ passes over Paramaribo twice per year (May and December), causing a semi-annual seasonality in tropospheric ozone (Peters et al., 2004; Thompson et al., 2000). The transport of polluted air from the Northern Hemisphere Atlantic region and mid-latitudes to the lower troposphere and the descending ozone-rich air on the equator-ward side of the North Atlantic high provides ozone enhancement in the mid-troposphere, which explains the slight increase in February. Dur-
- 320 ing the latter half of the year, transport of biomass-burning products from Africa across the Atlantic Ocean, lightning activity,



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and subsidence of ozone-abundant air are possible reasons for the tropospheric ozone rise (Jun-October) with a maximum in October (Peters et al., 2004; Thompson et al., 2003; Leventidou et al., 2016).

At the Northern hemispheric station, Paramaribo, particularly during the late winter months of January and February, the ACCO values are possibly too high due to the limited presence of high reflective clouds close to the station (Fig. 10a and 11a). This effect impacts both local cloud algorithms, leading to a subsequent underestimation of TCO (Fig.9d). However, comparisons with the sonde data unveil that the CLCT algorithm delivers more accurate tropospheric ozone columns, with a

Kuala Lumpur (2.7°N, 101.7°E)

In Kuala Lumpur, the Eastern Indian Ocean site, tropospheric ozone averages around 20 DU (Fig. 8e). During boreal summer, influenced by the Indian summer monsoon and the ITCZ, ozone decreases further. The summer monsoon's southwesterly winds bring moist, ozone-poor air over the region, resulting in reduced tropospheric ozone levels (Slingo et al., 2005; Lu et al., 2018). In summer (Jun-Aug), both local cloud reference algorithms agree well with ozonesondes due to sufficient cloudy scenes and effective TCO retrievals.

smaller bias of 1.1 DU and a scatter of 2.4 DU in contrast to CPC (1.9 and 3.1 DU, respectively, Table 1).

- During boreal spring, ozone levels rise due to influences like the Tibetan monsoon and biomass burning in the northern subtropics (Yonemura et al., 2002). The Tibetan monsoon transports polluted air from Southeast Asia, where biomass burning occurs from February to May. Stratospheric subsidence through Kelvin waves may also contribute to ozone enhancement. Additionally, ozone transport from the subtropics to the upper troposphere is possible after stratospheric subsidence (Poulida et al., 1996; Baray et al., 1998). Studies in urban areas, including Kuala Lumpur, have noted frequent high tropospheric ozone levels (Mohtar et al., 2018; Ahamad et al., 2020).
- 340 The presence of polluted ozone in the atmosphere and the transport of stratospheric ozone possibly contribute to higher ACCO values within the vicinity of the station, irrespective of seasons (Fig.10). This leads to TCO values by all three retrieval algorithms being too low, particularly in the middle of spring (April) (Fig.9e).

Even though the local cloud reference sector used in both CLC and CLCT algorithms belongs to the Pacific sector, the fixed large Pacific cloud reference sector ensures the inclusion of more high reflective clouds and comparatively less polluted

345 background, which benefit the CPC retrievals over Kuala Lumpur. This explains the improved bias of CPC (-0.5 DU) compared to CLCT (-2.5 DU) even though the dispersion remains the same (1.6 DU).

Nairobi (1.3°S, 36.8°E)

Nairobi site, located in the capital of Kenya in Eastern Africa (Fig.8f), also shows low tropospheric ozone abundance originating from oceanic south easterlies. Thompson et al. (2003) suggests that the high terrain and the frequent isolation of the station from African pyrogenic ozone reduce the overall TCO over Nairobi.

Ozonesonde measurements over Nairobi from 2000 to 2014 suggest that the summer season (Jun-Aug) experiences the highest ozone levels (Shilenje et al. (2015)). Kimayu et al. (2017) attribute this tropospheric enhancement to ozone-rich incursion from the south due to high biomass burning during this period. The current seasonal variability of Nairobi also exhibits a





slight increase in ozone during summer as well as during late spring (April) (Fig.8f). Notably, the CLCT algorithm effectively 355 captures these seasonal fluctuations in ozone concentration.

Despite Nairobi's proximity to the ITCZ, the seasonal migration of the ITCZ towards the northern and southern hemispheres in winter and summer, respectively, results in the inclusion of more distant clouds in calculating ACCO, particularly in summer (see Fig.10c). This may involve lower cloud top heights, as depicted in Fig.11c. Consequently, this phenomenon leads to the slight underestimation of TCO retrievals in summer by the CLC, as evident in Fig.9f.

The TROPOMI TCO retrievals using the CPC algorithm display a substantial positive bias (3.5 ± 1.7 DU), which can be 360 attributed to lower ACCO values from the Pacific reference sector. Among all the stations, the CLCT algorithm emerges as the most suitable algorithm, showing excellent agreement with ozonesondes (+0.2 \pm 1.5 DU) (Fig.9f, Table 1).

Natal (5.4°S, 35.4°W)

- Ozone is larger at locations associated with continental convection like in Natal (Folkins et al., 2002) (Fig.8g). Here, TCO exhibits a significant seasonal cycle with a maximum during late summer and autumn and a minimum during spring. High 365 tropospheric ozone observed in September is attributed to extensive biomass burning during the dry season, which lasts from May to September south and west of Natal (Ratisbona, 1976; Olson et al., 1996; Thompson et al., 1996). Lightning also contributes to ozone enhancement (Pickering et al., 1996). According to Thompson et al. (2000), this mid-tropospheric ozone enhancement could also be due to the subsidence of aged upper tropospheric air.
- 370 The CPC algorithm consistently overestimates TCO values throughout most months, possibly attributable to ACCO retrieved from the Pacific sector being too low (Fig.9g). Again, the CLCT algorithm effectively reduces the overall bias and scatter in tropospheric ozone compared to the CPC algorithm. (from $+3.0 \pm 3.5$ to 0.0 ± 2.0 DU) (Fig.9g). Results from both local cloud algorithms clearly agree better with sondes than the CPC algorithm.

Ascension island (8°S, 14.4°W)

- 375 Ascension Island in the Atlantic Ocean (Fig.8h) is located downwind of the primary source region, central and southern Africa, with emissions from the widespread burning of savannas and other biomasses. Tropospheric ozone over Ascension island is higher than in Natal (~ 30 DU) because of its proximity to Africa and due to the influence of the descent in the mean Walker circulation (Jensen et al., 2012). The ground-based measurements and TROPOMI show maximum ozone from July to October. The high ozone level observed in February is more likely due to biomass burning in western and northern Africa (Fishman
- et al., 1992; Jensen et al., 2012). Notably, the lowest TCO levels are observed during mid-spring (April), coinciding with the 380 northward migration of the ITCZ.

Both the CLC and CLCT algorithms show weak agreement with ozonesondes during late summer and early autumn (Jul-Sep). This difference can be linked to a notable bias in ACCO values derived from distant clouds, particularly during these months (Fig. 9h and 10c and d). However, the CLCT algorithm showcases a smaller bias (-1.7 DU) compared to the CPC

algorithm (+3.5 DU), while both algorithms share a similar scatter in comparison to the sondes (\sim 2 DU, Table 1). 385





Samoa (14.4°S, 170.6°W)

In the South Pacific ocean site Samoa, there is an increase in tropospheric column ozone during summer and early fall (Jun-Sep) (Fig.8i). This ozone enhancement is associated with biomass burning, which follows a regular seasonal pattern and has a significant impact during the summer and autumn months (Hudson and Thompson, 1998; Jensen et al., 2012; Thompson et al., 2012). However, this pattern is somewhat subdued by the enhanced convection and the pristine marine environment characteristic of the Pacific sector, rendering the tropospheric ozone seasonal cycle comparatively milder (\sim 19 DU) (Folkins

et al., 2002; Thompson et al., 2003) (Fig.8i). Moreover, the South Pacific Convergence Zone (SPCZ) nearby brings clean marine air to the upper troposphere (Chandra et al., 2014). Trade winds also play a role in transporting ozone-poor air from the east, while mid-latitude air masses bring ozone-rich air,

395 creating distinctive seasonal surface ozone patterns (Harris and Oltmans, 1997). Additionally, Samoa's location in the South Pacific Ocean exposes it to ozone enrichment from stratosphere-troposphere exchange (Daskalakis et al., 2022).

Despite the Pacific sector affiliation of the local cloud reference sectors, the CLCT algorithm $(0.8\pm1.0 \text{ DU})$ performs better than the CPC algorithm $(1.8\pm1.3 \text{ DU})$, exhibiting a lower bias and scatter. This improvement can be attributed to the CLCT algorithm using more local ACCO measurements, particularly during the winter months when high cloud cover is more prevalent. This is highlighted in Fig. 10a.

Fiji (18.1°S, 178.4°E)

Tropospheric ozone levels over the Western Pacific Ocean station Fiji indicate a significant decrease in February, according to ozonesonde data (Fig.8j). Although the CLC/CLCT TROPOMI data also displays a similar trend, the decrease is not as prominent. The large scatter of ozonesonde data suggests that stratospheric intrusions are important because of the station's proximity to the mid-latitudes (Thompson et al., 2000).

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Like Samoa, Fiji is strongly influenced by strong marine convection. It is also impacted by the SPCZ, transporting clean marine air to the upper troposphere (Pickering et al., 2001; Chandra et al., 2014). Advection from the southern mid-latitudes introduces upper tropospheric and stratospheric ozone, along with emissions resulting from biomass burning. Being south of SPCZ, Fiji is more exposed to the latter (Chandra et al., 2014). According to Oltmans et al. (2001), the impact of African in the southern to fine the southern to f

410 wildfires is also prominent after August (Fig.8j).

The tropospheric ozone column retrievals using the CPC algorithm exhibit an overall bias of -0.8 DU and a scatter of 3.1 DU (Fig.9j). Once more, the CLCT algorithm outperforms the CPC algorithm by showing a reduced bias of -0.4 DU with respect to the ozonesondes, even though the dispersion remains almost the same (2.9 DU).

Reunion Island (21.1°S, 55.5°E)

415 Reunion Island, in the western Indian Ocean, is a subtropical station influenced by African biomass burning. Ozonesonde data (Fig. 8k) here are more scattered (large standard deviations), mainly due to its subtropical location. The increased convective





activities can explain the seasonal decrease in TCO during austral summer. During the southern hemisphere winter (Jun-Aug), TCO increases, likely linked to biomass burning activity south of the equator (Thompson et al., 2000).

- In August and October, there are two ozone peaks, reaching around 34 DU, with more variability in the latter, which CLCT captures well. According to Thompson et al. (2000), the weak correlation between TCO variability and biomass burning 420 signals during this period suggests the influence of additional dynamic factors. Air parcel trajectories indicate that the primary sources are over Africa, the eastern Indian Ocean, and Madagascar, the locations prone to stratospheric influences (Taupin et al., 1999; Randriambelo et al., 2000; Thompson et al., 2017). In August, both cloud reference algorithms exhibit a tendency to underestimate TCO, which may be attributed to the limited occurrence of highly reflective clouds, as shown in Figs. 10c and 11c.
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Notably, TCO retrievals derived from CHORA's local cloud algorithms demonstrate robust agreement with ozonesonde measurements during winter, primarily due to the elevated presence of local convective clouds, as showcased in figures 10a and 11a. In terms of overall performance, the CLCT algorithm improves the bias (-0.7) compared to the CPC algorithm (-1.1DU) (Fig.9k).

Irene (25.9°S, 28.2°E) 430

The southernmost ozonesonde station in this study is Irene in South Africa (Fig.81). The tropospheric ozone measurements over Irene (on average ~ 25 DU) indicate the presence of ozone from mid-latitude air via stratosphere-troposphere exchange events (Thompson et al., 2003; Mkololo et al., 2020). Irene exhibits southern hemisphere tropospheric ozone seasonality, peaking during the austral spring (Sep-Nov) with maxima in September (33.6 DU) and October (31.3 DU) (Fig.81) (Diab et al., 2004).

435 During these months, the station encounters air masses recirculated from southern Africa and the westerly flow from higher latitudes, both contributing to elevated ozone levels. Despite CLCT underestimating TCO during this period, it demonstrates a better correlation with this ozone enhancement compared to CPC.

The specific ozone increase in late spring and summer (May-Aug) corresponds to a high concentration of ozone precursor originating from South America as well (Fishman et al., 1992; Thompson et al., 2003; Jensen et al., 2012). During the late

- boreal summer months (Jul-Aug), both local cloud algorithms exhibit a significant difference to ozonesonde measurements. 440 This arises primarily from the limited presence of high convective clouds, as depicted in Figures 10c and 11c. The absence of these high-level clouds during this period presents a substantial validation challenge across all CHORA versions. In contrast, the winter season demonstrates improved agreement with ozonesondes, attributed to the increased availability of clouds as illustrated in Figures 10a and 11a.
- Despite the complex ozone dynamics, CLCT provides a significantly improved bias and dispersion (-3.2 \pm 3.4 DU) in 445 comparison with CPC (-5.8 \pm -5.6 DU) (Fig.91).





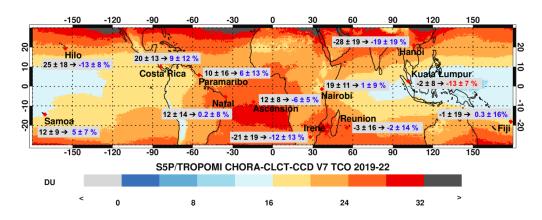


Figure 12. Map of TROPOMI CLCT tropospheric ozone column averaged from 2019 to 2022. Monthly mean bias and standard deviation (1σ) with respect to SHADOZ ozonesondes are indicated at the station's location. The left numbers are those from CPC and the right numbers are from CLCT. Blue numbers indicate an improvement over CPC, and red numbers indicate larger differences for CLCT.

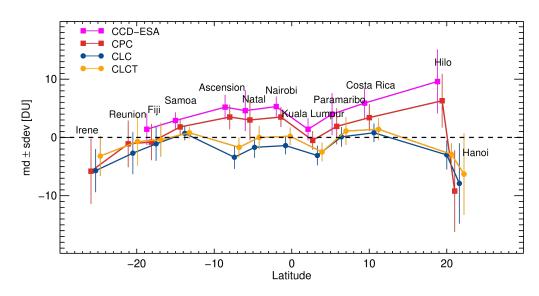


Figure 13. The latitudinal variation of the mean difference and standard deviation $(1\sigma)(DU)$ of TROPOMI TCO retrieved using CCD-ESA (magenta), CPC (red), CLC (blue), and CLCT (yellow) with respect to SHADOZ ozone sondes during the time period from 2018 to 2022.

5.3 Possible sources of biases in TCO retrievals

5.3.1 CPC-TCO retrieval

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A potential source of random bias in CPC-TCO is the assumption of the longitudinal invariance of stratospheric ozone in the tropics. Several studies (Ziemke et al., 2010; Valks et al., 2014; Thompson et al., 2017) conclude that it is approximately valid above 200 hPa in the tropics or at latitudes below 15°. In reality, the tropical stratospheric column can be affected by tropical





waves (Kelvin and Rossby waves) in the stratosphere (Ziemke and Stanford, 1994). In addition to that, the occasional uplifting of highly polluted ozone-rich air to the upper-troposphere and lower-stratosphere regions can also influence stratospheric ozone measurements (Avery et al., 2010). The ozone climatologies without longitudinal variations used for the satellite ozone retrievals can also cause uncertainties in the final results, even though it is considered a rather small effect (Thompson et al., 2003).

The sampling issue related to the presence/absence of convective clouds over the reference sector also introduces uncertainties in the TCO retrieval. The biases in retrieving cloud parameters, especially cloud top height, will lead to biases in the climatological correction of ACCO to the 270 hPa reference height, thereby generating a systematic error in ACCO and in the subsequent TCO computation over the corresponding latitude belt (Hubert et al., 2021). Sampling errors in TOZ retrievals

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under clear sky conditions could also give rise to biases in TCO.

5.3.2 CLC(T)-TCO retrieval

The implementation of local cloud reference regions leads to more accurate and realistic ACCO retrievals within and near the grid box compared to using a fixed large reference area in the Pacific sector.

- Both CLC and CLCT algorithms may encounter potential biases when there are insufficient convective, high clouds within the selected local cloud reference sector. This scarcity of high-reflective clouds can result in a lower sampling of ACCO data, and a larger dispersion or scatter in the final TCO estimation. The variability of cloud characteristics, such as cloud cover and cloud top heights, is influenced by seasonal changes and the geophysical attributes of the retrieval area (Fig. 10 and 11), which poses a significant challenge for the local cloud algorithms. Furthermore, the automatic selection of cloud reference sectors
- 470 based solely on the characteristics and quantity of cloud data can sometimes lead to the selection of large reference sectors. Consequently, this could dilute or "wash out" the impacts of pollution or actual ozone dynamics over the retrieval area. In the context of the CLC algorithm, the lack of high reflective clouds and inhomogeneities in cloud top heights can introduce

uncertainties during the 270 hPa standardization step when applying climatological corrections. As mentioned for the CPC algorithm, using a longitudinal invariant ozone climatology for climatological corrections might also contribute to TCO biases,

475 even though they should be significantly small.

The homogeneity criteria may lead to the exclusion of data points with higher variations in total ozone columns under cloudy conditions. This exclusion can result in a reduced sample size for TCO calculations, which could affect the statistical significance of the results. In regions with naturally higher ozone variability, the method may excessively exclude data, leading to biased TCO estimates for those areas. Also, the choice of the homogeneity threshold (e.g., 10 DU) could influence the

480 results. A different threshold may lead to different data inclusion/exclusion patterns and consequently impact the final TCO estimates. However, after conducting sensitivity analyses, it has been confirmed that a threshold of 10 DU is a reasonable choice.

Even though the Theil-Sen regression method helps to directly estimate ACCO values, avoiding climatological corrections, it is essential to consider possible biases associated with this approach. The technique works better with variable cloud top heights. However, it may lead to less accurate slope estimates and reduced efficiency when the cloud top heights are more





homogeneous. Additionally, the accuracy of Theil-Sen also depends on the sample size of the data. A very small sample size can lead to less accurate and less robust estimates. Our study accounts for this issue by setting the minimum number of ACCO values from the cloud reference sector to 50, thereby mitigating the bias to a greater extent. Despite its robustness to outliers compared to the normal regression method, the Theil-Sen method can still be influenced by extreme outliers that significantly impact the estimated slope. However, in this particular case, the likelihood of such extreme outliers is minimal, particularly after implementing the filtering criteria.

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Furthermore, biases in TCO can also stem from sampling errors in TOZ retrieval under clear sky conditions. If satellite sensors have reduced sensitivity near the boundary layer, the accuracy of clear-sky ozone retrievals in this region may be compromised. This can introduce uncertainties in the estimation of TCO.

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In conclusion, the biases and dispersion observed in CHORA-TCO retrievals may arise from a combination of systematic errors, including sampling errors in ozone and cloud retrievals. Nevertheless, confirming these potential sources of biases can be challenging (Hubert et al., 2021).

5.4 Meridional variation of statistical bias and dispersion

The operational TROPOMI tropospheric ozone data (TCO-CCD-ESA) consistently exhibit a positive bias across all ozonesonde stations, displaying the maximum difference with the ozonesonde measurements(Fig.13) similar to our CPC algorithm. Despite using the same Pacific sector as the cloud reference sector, differences between TCO-CCD-ESA and CPC are due to differences in data processing, averaging methods, and different ozone climatologies used to convert tropospheric ozone columns to the reference height of 270 hPa. Both datasets exhibit a similar pattern with respect to the ozone sondes and have almost the same dispersion.

505 In this section, we focus more on comparing our local cloud algorithms with the standard CPC method rather than the TROPOMI tropospheric ozone data since the goal is to highlight improvements in tropospheric ozone results stemming from differences in the cloud reference sectors.

The meridional variations of the biases and dispersions of TCO retrievals relative to ozonesondes from all three CHORA versions (Fig.13) reveal that the CPC algorithm overestimates TCO across the entire tropical belt (20°S, 20°N) except over

510 Kuala Lumpur and Fiji, where the bias is considerably smaller (-0.5 DU and -0.8 DU, respectively). In Kuala Lumpur, the CPC algorithm exhibits a marginal overestimation of ACCO, mainly during winter and spring, which could be attributed to the reduced occurrence of deep convective clouds during this time frame. Consequently, this leads to a slight underestimation of TCO, irrespective of the expected ozone enhancement over the urban station.(Fig.8e).

In the region over Fiji, the most significant deviation from sonde measurements using the CPC algorithm is observed dur-515 ing late autumn (October-November). This discrepancy could be attributed to the possible overestimation of the influence of African wildfires when deriving ACCO values. Aerosols resulting from biomass burning have the capability to both scatter and absorb sunlight can potentially affect the accuracy of ACCO and total column retrievals. The low number of ozonesonde measurements over Fiji poses a challenge in confirming this hypothesis.





In equatorial regions, the CPC retrievals display their highest positive bias over Nairobi (3.5±1.7 DU). This bias is likely attributed to the underestimation of ACCO, possibly influenced by the clean and unpolluted environment characteristic of the Pacific sector in contrast to Africa.

Out of twelve stations, the maximum positive bias $(6.3\pm4.6 \text{ DU})$ introduced by CPC is observed over Hilo $(19.4^{\circ}\text{N}, 155.4^{\circ}\text{W})$ due to the overestimation of TCO, especially during winter (Fig.9b). The seasonal migration of ITCZ to the south (Holton, 1973) results in the deficit of high convective clouds over the corresponding latitudinal band of Hilo, which leads to inefficient ACCO and TCO estimations and a large scatter of the data (Leventidou et al., 2016). However, CPC's overall bias and dispersion over the tropical belt of +4.5±2.5 DU are significantly reduced by CLCT to -0.4±1.5 DU and CLC to

-2.5±2.2 DU.

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In the subtropics (beyond 20° latitude), tropospheric ozone is more inhomogeneous in space and time. All subtropical stations are subject to the advection of highly polluted ozone-rich air from mid-latitudes and lower stratosphere-upper troposphere

exchanges. Due to these subtropical characteristics and less high reflective clouds, the CPC algorithm overestimates ACCO and thereby underestimates TCO over all three subtropical stations, Reunion (-1.1 DU), Irene (-5.8 DU), and Hanoi (-9.2 DU). The strongest improvements in retrieving TCO over the subtropics are seen with CLCT. The CLCT algorithm effectively reduces the overall bias and scatter from -5.4±4.1 DU (CPC) to -3.4±2.8 DU. In comparison, the CLC algorithm yields an overall bias of -5.4±2.6 DU, which is similar to CPC but with a reduced dispersion. Over the southernmost subtropical station
Irene (25.9°S, 28.2°E), which is more diverse in stratospheric and convective influence, CLCT retrievals yield higher accuracy

(-3.2 \pm 3.4) than CPC (-5.8 \pm 5.6) highlighting the effectiveness of the CLCT algorithm.

The requirement for the standard deviation of the total ozone column under cloudy conditions in the local reference sector (CLCT) to be less than 10 DU has proven effective in mitigating the influence of stratospheric ozone streamers across subtropical stations. This approach achieves a more constrained variability of ACCO values at these locations. Ultimately, the local cloud approach significantly reduces bias and scatter, resulting in better agreement of TCO with ozonesondes.

The CPC retrievals have a comparatively larger dispersion in the Northern Hemisphere (NH) (5.9 DU) than in the Southern Hemisphere (SH) (3.4 DU). The larger spread in TCO in the NH can be attributed to its stronger variability in stratospheric ozone (Cooper et al., 2014a; Williams et al., 2019). In the Northern (Southern) hemisphere, CLCT yields a much-reduced dispersion of 3.2 DU (1.3 DU) compared to CPC, indicating the algorithm's efficiency outside the tropics.

- 545 Moving from the equator towards the higher subtropical latitudes, the bias and variability of TCO retrievals generated by all three CHORA algorithms show an increasing trend. This pattern aligns with the increased natural variability in TCO and stratospheric ozone at higher latitudes (Hubert et al., 2021). Specifically, the initial overall average spread introduced by the CPC algorithm, 2 DU in the tropical zone, increases to 4 DU after incorporating data from subtropical stations. However, for both the CLC and CLCT algorithms, the overall dispersion remains consistent at 2 DU within the tropics and the subtropics.
- 550 These results substantiate that the challenges in achieving accurate TCO retrievals arising from the complexities of stratospheric ozone variability at higher latitudes can be effectively mitigated by applying the CLCT algorithm using a local cloud reference sector. This highlights the suitability of the CLCT algorithm as a favourable option for conducting tropospheric ozone retrievals in mid-latitude regions.



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6 Summary and conclusions

555 CHORA is an advanced version of the CCD technique developed at IUP Bremen. The Pacific cloud reference sector (CPC) scheme has been the established method for tropical tropospheric ozone retrieval which assumes a zonal invariance of strato-spheric ozone. ACCO columns are retrieved over the tropical eastern Indian and western Pacific oceans (70°E–170°W) in the standard CCD method. These measurements are then subtracted from the total ozone column retrieved under clear sky conditions for all grid boxes in the same zonal band (Ziemke et al., 1998; Valks et al., 2003, 2014; Leventidou et al., 2016, 2018;
560 Hubert et al., 2021).

Due to the greater variability of stratospheric ozone in mid-latitudes, a local approach is necessary for the selection of the cloud reference sector. Thus, an advanced version of the CHORA algorithm has been developed. This version now employs a local cloud reference sector (CLC) to compute the ACCO. The CLC algorithm also incorporates a homogeneity criterion for total ozone. Additionally, an alternative approach (CLCT) is introduced, allowing the direct ACCO calculation at the reference altitude of 270 hPa using Theil-Sen regression to retrieve the correction factor instead of an external ozone climatology.

Monthly averages of tropospheric ozone columns were computed with the CPC, CLC, and CLCT algorithms for a latitude range from 26°S to 20°N using S5P/TROPOMI reprocessed/offline version 02.04.01 cloud and ozone data for the time period from 2018 to 2022. The quality of the three algorithms was evaluated by comparing them with collocated NASA/GSFC SHADOZ ozonesonde data and the TROPOMI Level 2 tropospheric ozone product from ESA.

- 570 Based on the analysis, CLCT exhibits a more accurate representation of seasonal variations in tropospheric ozone compared to the other CHORA algorithms (CPC and CLC). At eight out of nine tropical stations, the CLCT algorithm has demonstrated superior performance over the ESA operational product, delivering more precise and accurate tropospheric ozone. At eleven of twelve ozonesonde stations, the CLCT algorithm yields better agreement with ozonesonde than CPC. Within the tropical region, both the CCD-ESA and CPC algorithms tend to overestimate TCO retrievals, exhibiting a relative difference and
- standard deviation of $22\pm10\%$ and $12\pm9\%$, respectively. This discrepancy arises from the CCD-ESA/CPC's tendency to underestimate ACCO derived from the Pacific sector. However, the CLCT algorithm significantly enhances retrieval accuracy by substantially mitigating this bias and reducing the associated dispersion (-1±8 %).

Notably, the performance improvement of the CLCT algorithm is exemplified by TCO retrievals at the equatorial ozonesonde station Nairobi (1.3°S, 36.8°E), where the relative difference of CPC compared to CLCT is reduced from 19% to 1% (Fig. 12). Even at Hilo, a station subject to stratospheric intrusions from higher latitudes, the CLCT algorithm (-12%) outperforms CPC

(+25%), yielding more accurate and sensible tropospheric ozone retrievals.

In the subtropical regions characterised by substantial natural variability in stratospheric ozone, the CPC approach tends to significantly underestimate TCO due to its tendency to overestimate ACCO. Nevertheless, this substantial underestimation observed in CPC retrievals (-17%) is remarkably reduced by the CLCT approach (-11%). Notably, at the subtropical station Irene (25.9°S, 28.2°E), which exhibits a unique combination of stratospheric and convective influences (Diab et al., 2004), the

TCO from CLCT (-12 \pm 13%) display an improved agreement with ozonesondes compared to TCO from CPC (-21 \pm 19%) (Fig. 12).





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The TCO-CPC retrieval, like the TCO-CCD-ESA retrieval, consistently overestimates ozone in both the Northern Hemisphere (5 \pm 21 %) and the Southern Hemisphere (4 \pm 14%) compared to sondes. The increased scatter observed in the NH, compared to the SH, may be attributed to the complex dynamics of stratospheric ozone in this region. However, the application of the CLCT approach significantly mitigates this elevated scatter introduced by CPC/CCD-ESA in both hemispheres by reducing the dispersion to 12% for the NH and 6% for the SH. This underscores the robustness of the CLCT algorithm in addressing challenges associated with substantial stratospheric ozone variability.

In summary, the validation of TCO retrievals using CHORA algorithms against ozonesonde measurements at 12 stations located between 26°S and 21°N for the time period from 2018 to 2022 demonstrates that, except for one ozonesonde station, 595 the CLCT algorithm consistently offers more accurate and dependable TCO retrievals in comparison to the CPC algorithm. The overall statistical variability is markedly reduced by both the CLC and CLCT approach (2 DU), representing half of that observed with CPC (4 DU).

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These findings emphasise the advantages of the CLCT algorithm in yielding accurate TCO retrievals by mitigating the effects of spatio-temporal irregularities in stratospheric ozone in the outer tropics. This distinctive capability positions the CLCT algorithm as an optimal choice for tropospheric ozone retrievals in ongoing and forthcoming missions of geostationary air quality satellites like ESA Sentinel 4, NASA Tempo, and GEMS (Korea), which predominantly cover midlatitudes.

While both local cloud algorithms contribute to enhanced accuracy and reduced variability in TCO retrievals compared to CPC, the CLCT algorithm slightly outperforms the CLC approach. This preference is primarily due to the CLCT algorithm's

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ability to circumvent unnecessary climatological corrections and its greater adaptability in accommodating varying cloud top pressures as reference altitudes. In addition to that, CLCT can combine the CCD method with cloud slicing to retrieve upper tropospheric ozone volume mixing ratios. This integration enhances ozone measurement capabilities, showcasing the versatility of the CLCT algorithm. As a result, the CLCT algorithm is our preferred choice.

7 Outlook

Using the CLCT approach in mid-latitudes may require the modification of the current retrieval technique, in particular, the 610 choice of the cloud reference sector due to different cloud and atmospheric conditions. Furthermore, a comprehensive assessment of S5P/TROPOMI cloud and ozone column statistics is crucial to establish suitable threshold values and boundary conditions for the CLCT algorithm. Additionally, refining the determination of the local cloud reference sector could be essential to address the inhomogeneity of stratospheric ozone in mid-latitudes. This might involve an automated selection of the 615 reference sector, including both longitudinal and latitudinal variations around each retrieval grid box.

In cases where the Theil-Sen method encounters limitations due to the uneven distribution of cloud top heights in midlatitudes, the CLC algorithm can serve as a viable alternative. Notably, the CLC algorithm offers nearly equivalent accuracy in TCO retrievals. However, addressing the challenges posed by seasonal and geophysical variations in the occurrence of deep convective clouds remains critical for robustly estimating the stratospheric ozone column. Furthermore, the scarcity and

quality of ozonesonde data limits the effective validation of these retrieval methods. Addressing these challenges requires 620





continuous improvement and refinement of the algorithms, which is crucial to advance the application of the CLCT approach to mid-latitude tropospheric ozone retrievals.

Data availability. Sentinel-5 Precursor TROPOMI data can be accessed through the Copernicus Open Access Hub at https://scihub.copernicus. eu. This dataset is openly available for public use, subject to the data policy. Additionally, the ozonesonde data are also publicly accessible through the SHADOZ data archive according to the data policy available at https://doi.org/10.57721/SHADOZ-V06. The data that support 625 the findings of this study are available from the authors, [SMS, KE or MW], upon request.

Author contributions. SMS developed CHORA local cloud algorithms (CLC and CLCT) and performed TCO retrievals using all CHORA algorithms and validated the results with CCD-ESA and SHADOZ ozonesonde measurements, and wrote the manuscript. KE provided the standard CHORA (CPC) algorithm and the CHOVA climatology and introduced the idea of Theil-sen regression. MW guided and provided expertise in developing local cloud algorithms. Both KE and MW actively supervised and participated in refining these algorithms. JPB provided scientific conceptual input and oversight. RS, AT, and DK provided the SHADOZ ozone sonde data. All authors provided comments and feedback to the manuscript.

Competing interests. At least one of the (co-)authors is a member of the editorial board of Atmospheric Measurement Techniques.

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Acknowledgements. This work was funded to a large part by the Federal Ministry for Economic Affairs and Climate Action (BMWi) TROPO3-MIDLAT project, which is part of the funding programme "Development of innovative methods for the creation of earth observationbased information products". The support by the federal state of Bremen is gratefully acknowledged. This work uses Copernicus Sentinel-5 Precursor reprocessed/offline satellite data (2018–2022), total ozone and cloud products, processed by the European Space Agency (ESA). The ozonesonde data were sourced from NASA's Southern Hemisphere ADditional OZonesondes programme (SHADOZ, https: //doi.org/10.57721/SHADOZ-V06). We thank the principal investigators and staff at the ozonesonde stations for their dedicated efforts in maintaining high-quality measurements and valuable scientific contributions. The calculations for this research were conducted using IDL

640 version 8.5.1, licensed by the University of Bremen.





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