

1 Global source apportionment of aerosols into major emission regions
2 and sectors over 1850–2017

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22 **Abstract**

23 Anthropogenic emissions of aerosols and precursor gases have been changing significantly in
24 the past few decades across the world. In this study, an explicit aerosol source tagging system
25 (EAST) is merged into the Energy Exascale Earth System Model version 1 (E3SMv1) to
26 quantify the variations in anthropogenic aerosol concentrations, source contributions, and
27 their subsequent radiative impact in four major emission regions on the globe during 1850–
28 1980, 1980–2010 and 2010–2017. In North America and Europe, changes in anthropogenic
29 $PM_{2.5}$ were mainly caused by changes in emissions from local energy and industrial sectors.
30 The local industrial sector caused the most increase in $PM_{2.5}$ in East Asia during 1980–2010
31 and decrease during 2010–2017. In South Asia, the increase in energy-related emissions
32 dominated the rise of $PM_{2.5}$ levels during 1980–2017. During 1850–1980, the increases in
33 emissions from North America contributed to the increase in European $PM_{2.5}$ burden by 1.7
34 $mg\ m^{-2}$ and the sources from the Europe were also responsible for the $PM_{2.5}$ burden increase
35 in East Asia and South Asia by about 1.0 $mg\ m^{-2}$. During 1980–2010, East Asia contributed
36 to an increase of 0.4–0.6 $mg\ m^{-2}$ in $PM_{2.5}$ burden in North America and Europe, while South
37 Asian contributed about 0.3 $mg\ m^{-2}$. During 2010–2017, the contributions from East Asia to
38 the $PM_{2.5}$ burdens in the North America, Europe and South Asia declined by 0.3–0.6 $mg\ m^{-2}$
39 due to Clean Air actions in China, while the contributions from South Asia still increased due
40 to the continuous increase in emissions in South Asia. The historical changes in aerosols had
41 an impact on effective radiative forcing through aerosol-radiation interactions (ERF_{ari}).
42 During 1980–2010, a decline in North American aerosols resulted in a positive ERF_{ari} change
43 (warming effect) in Europe and a decline of aerosols in Europe caused a warming effect in

44 Russia and northern China. The changes in ERF_{ari} from the increase and decrease of aerosols
45 in China during 1980–2010 and 2010–2017, respectively, are comparable in magnitude. The
46 continuous aerosol increases in South Asia from 1980 to 2017 resulted in negative ERF_{ari}
47 (cooling) changes in South Asia, Southeast Asia, and southern China.

48 **1. Introduction**

49 Atmospheric aerosols, also known as particulate matter (PM), have significant influences
50 on air quality and human health (Anenberg et al., 2010; Finlayson-Pitts and Pitts, 1997; Li et
51 al., 2017; Pöschl, 2005). Aerosols also affect the energy budget of the earth system by
52 scattering and/or absorbing solar radiation, thus directly affecting the climate (Gao et al., 2022;
53 Yang et al., 2020a), [2023](#); [Wang et al., 2023](#)). Meanwhile, they may act as cloud condensation
54 nuclei and/or ice nuclei, changing cloud characteristics and atmospheric water cycle, which
55 indirectly affect the climate (Liao et al., 2015; Lohmann and Feichter, 2005; Rosenfeld et al.,
56 2008; Yang et al., [2022](#)[2022a](#)). Due to the absorption of solar radiation, aerosol-induced
57 heating can strengthen temperature inversion and increase the atmospheric stability, which
58 inhibits the vertical mixing and transport of aerosols and leads to a further increase in near-
59 surface aerosol concentrations (Chen et al., 2021; Lou et al., 2019). Therefore, knowing the
60 sources of aerosols and their variations have become a vital direction in the field of
61 environmental and atmospheric sciences.

62 Human activities have a great influence on global aerosol distributions and compositions.
63 For example, many countries have taken various air quality control measures at different stages
64 of their economic development, causing distinct historical temporal changes of aerosol
65 emissions across the world. Since the start of industrialization, anthropogenic emissions of
66 aerosols and precursor gases have substantially increased, which significantly affected the
67 atmospheric environment and the Earth's energy balance (Carslaw et al., 2013). European and
68 North American countries became major contributors of pollutant emissions. Since the 1980s,
69 coal emissions have declined steadily in Europe and North America owing to the legislation

70 and effective environmental policies to reduce local anthropogenic emissions of aerosol and
71 precursor gases (Smith et al., 2011). In contrast to North America and Europe, the coal
72 consumption in China and India has experienced a substantial increase and anthropogenic
73 emissions from these regions continued to rise (Hoesly et al., 2018; Lim et al., 2020). Zheng et
74 al. (2018) also reported that due to active clean air policies and the emission control of power
75 plants and industry, anthropogenic emissions of PM_{2.5} (particulate matter less than 2.5 µm in
76 diameter) from China have significantly decreased by 33% during 2013–2017. However,
77 countries in South Asia still rely on coal and petroleum and thus aerosol emissions from South
78 Asia have kept increasing in recent years (Li et al., 2017).

79 Regional aerosol pollution can be induced by both local emissions and long-range
80 transport of pollutants across regions, countries or even continents, which impose a far-
81 reaching impact on air quality and human health (Akimoto, 2003; Anenberg et al., 2014; Jaffe
82 et al., 1999; Lin et al., 2014; Liu et al., 2009; Zhang et al., 2017). Studies reported that the air
83 quality in Europe is largely impacted by the long-range aerosol transport from North America
84 (Stohl and Trickl, 1999; Yang et al., 2018a, 2020b). Asian anthropogenic emissions in spring
85 also have a significant effect on the aerosol concentrations in North American (Jaffe et al.,
86 1999). Moreover, studies found that air pollution from Africa and Europe moved eastward and
87 merged with Asian pollution, affecting air quality in western North America (Liu et al., 2005;
88 Chin et al., 2007). Yang et al. (2017) also found that remote sources contributed the most to
89 the regions with low emissions through long-range transport, which further impacted the local
90 climate. Therefore, relying on the domestic emission control alone may be insufficient to
91 prevent air pollution due to the long-distance transport of air pollutants (Liu et al., 2009). A

92 study revealed that approximately 12% of global premature deaths caused by PM_{2.5} were
93 related to non-local air pollutants (Zhang et al., 2017). About 16% of premature deaths in India
94 caused by PM_{2.5} were attributed to aerosol transport from external source regions (David et al.,
95 2019). Within each emission source region, aerosols also come from different emission sectors.
96 Many scientific control measures and policies are implemented based on the source attribution
97 of air pollutants from individual sectors. Hence, it is of great significance to quantify source
98 contributions of long-range transport of aerosols from major emission regions of the world as
99 well as aerosols from major emission sectors.

100 Anthropogenic emissions of aerosols and precursor gases have changed significantly in
101 different source regions over the past century. Few studies focus on the source attributions of
102 aerosols across the globe over such a long period of time. In this study, we focus on the changes
103 in aerosols and emission source region and sector contributions in major source regions (i.e.,
104 North America, Europe, East Asia, South Asia) during the three important periods of emission
105 changes since industrialization (1850–1980, 1980–2010 and 2010–2017) based on the
106 Energy Exascale Earth System Model version 1 (E3SMv1), equipped with an explicit aerosol
107 source tagging system (E3SMv1-EAST).

108 **2. Methods**

109 **2.1. Model description and experimental design**

110 To study variations in historical anthropogenic aerosols in the major source regions, the
111 E3SMv1 developed by US Department of Energy (DOE) (Golaz et al., 2019) is used in this
112 study. ~~E3SMv1 consists of atmosphere, land surface, ocean, sea ice, and river model~~
113 ~~components.~~ The E3SMv1 model is updated on the basis of Community Atmosphere Model

114 [version 5 \(CAM5\) in order to explore several key emerging issues in the field of environment](#)
115 [and climate, and is a branch of the widely-used Community Earth System Model \(CESM\)](#)
116 [\(Rasch et al., 2019\). E3SMv1 consists of atmosphere, land surface, ocean, sea ice, and river](#)
117 [model components. It features numerous upgrades to aerosol, turbulence, chemical, and cloud-](#)
118 [related processes, offering multiple spatial resolution options. The model can run simulations](#)
119 [for decades or more at higher resolution to help understand past, present, and future changes](#)
120 [in Earth's behavior, and to explore how the atmosphere interacts with other components of the](#)
121 [Earth system.](#) Aerosol microphysics and interactions with stratiform clouds are treated with the
122 four-mode Modal Aerosols Module (MAM4) (Liu et al., 2016), which predicts the mass and
123 number concentrations of sulfate, black carbon (BC), primary organic matter (POM),
124 secondary organic aerosol (SOA), marine organic aerosol, mineral dust and sea salt (Wang et
125 al., 2020). EAMv1 applies the “Morrison and Gettelman version 2” (MG2) two-moment bulk
126 microphysics parameterization for stratiform clouds (Gettelman and Morrison, 2015). It allows
127 aerosol-cloud interactions in all stratiform and shallow convective clouds but neglects in deep
128 convective clouds (Rasch et al., 2019). Liquid cloud drop activation is based on Abdul-Razzak
129 and Ghan (2000) and a classical nucleation theory-based ice nucleation parameterization for
130 the heterogeneous ice formation in mixed-phase clouds follows Y. Wang et al. (2014).
131 Hygroscopicity are specified for soluble aerosols to calculate the particle size based on relative
132 humidity. The aerosols are assumed to mix internally in the same aerosol mode and externally
133 between modes when calculating the aerosol optical properties (Ghan and Zaveri, 2007). [The](#)
134 [model has been applied to investigate the variations in anthropogenic and natural aerosols](#)
135 [related to the air-sea interactions \(Yang et al., 2022b; Zeng et al., 2021\). Compared to the](#)

136 [regional model, the E3SMv1 with an aerosol tagging tool introduced in this study is more](#)
137 [suitable for the simulation of transboundary and intercontinental transport of aerosols across](#)
138 [the globe.](#) In this study, the model is configured at its standard horizontal spatial resolution of
139 approximately 1° with 72 vertical layers.

140 Global emissions of aerosols and precursor gases used in the simulations are obtained
141 from the CMIP6 (the Coupled Model Intercomparison Project Phase 6) datasets (Hoesly et al.,
142 2018; van Marle et al., 2017). However, the anthropogenic emissions in China are replaced
143 with MEIC (Multi-resolution Emission Inventory for China) inventory, which fully considers
144 the implementation of clean air actions over China since the 2010s (Gao et al., 2022, 2023; Li
145 et al., 2021; Zheng et al., 2018). Following previous studies (Ren et al., 2021; Yang et al.,
146 2018a), the near-surface concentrations of PM_{2.5} here are estimated as the sum of sulfate, BC,
147 POM and SOA concentrations. Effective radiative forcing (ERF) refers to the change of the
148 net radiative flux at the top of the atmosphere (TOA) after the external forcing is applied. In
149 this study, ERF due to aerosol-radiation interactions (ERF_{ari}) for the individual tagged source
150 regions is derived as the difference in TOA net radiative fluxes from a pair of diagnostic
151 radiation calculations with and without the particular tagged aerosols from the source regions
152 for the all-sky condition following Ghan (2013).

153 This study focuses on the variations in source region and sector contributions in four major
154 emission regions of the world (North America, Europe, East Asia and South Asia) during the
155 three key historical periods of emission changes (1850–1980, 1980–2010 and 2010–2017).
156 Four simulations with monthly anthropogenic emissions of aerosols and precursors fixed at the
157 1850, 1980, 2010 and 2017 levels, respectively, are conducted. All simulations are performed

158 for one year following 6-month model spin-up. Greenhouse gas concentrations, solar insolation,
159 sea surface temperature and sea ice extent are prescribed at the 2000 levels. The meteorological
160 fields including 3-dimensional temperature, specific humidity, and winds are nudged toward
161 the MERRA-2 (Modern-Era Retrospective Analysis for Research and Applications, version 2)
162 reanalysis (Gelaro et al., 2017) in year 2017 at a 6-hourly relaxation timescale.

163 **2.2. Explicit aerosol source tagging system**

164 Source apportionment aims to quantify the contributions to aerosols from specific sources.

165 To examine the source-receptor relationships of aerosols, we implemented the Explicit Aerosol
166 Source Tagging (EAST) in E3SMv1. ~~The tagging system, which play a critical role in~~
167 attributing aerosol concentrations to their respective emission sources. The EAST follows the
168 BC source-tagging technique introduced in H. Wang et al. (2014), sulfate source-tagging
169 method used in Yang et al. (2017) and other carbonaceous aerosol-tagging applied in Yang et
170 al. (2018a), which was previously implemented in the Community Atmosphere Model version
171 5 (CAM5-EAST). ~~In E3SMv1 EAST, all the physical, chemical and dynamical processes of~~
172 aerosols and their precursor gases from individual source regions and sectors are simulated
173 independently by introducing additional aerosol-related variables This tagging system is
174 different from the traditional emission sensitivity method that zero out or perturb emissions
175 from a given source region or sector in sensitivity simulations along with a baseline simulation,
176 which has to assume a linear response to emission perturbation and requires many additional
177 simulations for estimating the contributions from multiple sources (Wang et al., 2014). EAST
178 independently considers all aerosol physical, chemical, and dynamical processes for each
179 tagged sources through introducing additional aerosol-related variables within one simulation

180 [and it does not rely on a linear response to emission perturbations. These capabilities make it](#)
181 [physically more accurate and time saving than the sensitivity experiments. This tagging method](#)
182 [has previously been adopted in regional models and has now implemented in the global](#)
183 [E3SMv1 model to better understand the intercontinental transport from sources outside the](#)
184 [regional domain.](#)

185 In this study, totally 18 tags are set for each anthropogenic species of aerosols and
186 precursors. Each of the 4 major source regions, including North America (NAM), Europe
187 (EUR), East Asia (EAS) and South Asia (SAS), has 4 tags for the energy transformation and
188 extraction (ENE), industrial combustion and processes (IND), residential, commercial and
189 other (RCO) and the rest of anthropogenic emission sectors (RST). One tag is assigned to the
190 anthropogenic emissions from rest of the world (ROW) and the last tag is allocated for all the
191 natural/biogenic sources including open biomass burning, volcanic emissions and oceanic
192 emissions.

193 **2.3. Model evaluation**

194 In order to evaluate the performance of E3SMv1 in reproducing the aerosol concentrations,
195 Fig. 2 compares the simulated near-surface PM_{2.5} concentrations with the observations from
196 the Interagency Monitoring of Protected Visual Environments (IMPROVE) over the U.S., the
197 European Monitoring and Evaluation Programme (EMEP) over Europe, [the U.S. embassies](#)
198 [and consulates in India](#) and the China National Environmental Monitoring Center (CNEMC)
199 over China in year 2017. The model successfully reproduces the spatial distribution of PM_{2.5}
200 concentrations, with relatively high concentrations in eastern China, [India](#) and low
201 concentrations in the U.S. and Europe. The spatial correlation coefficient (R) between the

202 E3SMv1 simulated $PM_{2.5}$ concentrations and observations is +0.80. The model well reproduces
203 the $PM_{2.5}$ concentrations in the U.S. with the normalized mean biases (NMB) of -11%.
204 However, it largely underestimates the $PM_{2.5}$ concentrations in China and Europe, which has
205 also been revealed in several studies (e.g., Gao et al., [2018](#); [Gao et al., 2023](#); [Navinya et al.,](#)
206 [2020](#); Zeng et al., 2021). This discrepancy is partly because E3SMv1 only considers limited
207 aerosol species (BC, POM, SOA and sulfate) without including nitrate and ammonium aerosols
208 in the aerosol module. On the other hand, the overestimated wet scavenging at the mid- and
209 high latitudes and underestimated gas-to-particle conversion can also lead to the low bias (Zeng
210 et al., 2021). [The evaluation in 2010 also shows similar high correlation and biases \(Fig. S3\).](#)

211 [In order to evaluate the model performance in reproducing the historical changes in](#)
212 [aerosol concentrations during the important periods of emission changes, the variations in near-](#)
213 [surface \$PM_{2.5}\$ concentrations are compared with observations \(Fig. S4\) and MERRA-2](#)
214 [reanalysis \(Fig. S5\). The model well reproduces the decreases in \$PM_{2.5}\$ concentrations in the](#)
215 [eastern U.S. and Europe and the increases in East Asia and South Asia during 1980–2010, with](#)
216 [the spatial R of 0.78 between model results and MERRA-2 data. The model also well simulates](#)
217 [the aerosol decline in North America, Europe, and East Asia and a continuous increase in South](#)
218 [Asia during 2010–2017, with the R of 0.81 between model results and observational data.](#)

219 **3. Results**

220 **3.1. Historical changes in aerosols over major source regions**

221 Figure 3 shows the variations in anthropogenic emissions of sulfur dioxide (SO_2) during
222 the three key periods of historical emission changes from the tagged source regions. Since
223 industrialization, anthropogenic SO_2 emissions had rising trends during 1850–1980, especially

224 in Europe and North America. Due to the implementation of clean air actions in western
225 countries, SO₂ emissions in North America and Europe declined considerably during 1980–
226 2010, while the emissions in East Asia and South Asia continued to increase. After 2010, China
227 issued several clean air policies, which led to significant decreases in anthropogenic SO₂
228 emissions in East Asia, while the SO₂ emissions in South Asia kept increasing during 2010–
229 2017. The changes in anthropogenic BC and organic carbon (OC) emissions are similar to those
230 of SO₂ (shown in Figure S1 and S2).

231 The changes in near-surface mass concentrations (Fig. 4) and column burdens (Fig. 5) of
232 anthropogenic PM_{2.5} contributed by the tagged source regions during the focused three
233 historical time periods follow the corresponding changes in anthropogenic emissions. [Column](#)
234 [burden refers to the concentration of aerosols contained in the air column above a unit area,](#)
235 [which can better reflect the aerosol transport within the air column and is more related to the](#)
236 [aerosol radiative effect. The near-surface concentration of aerosols represents the concentration](#)
237 [of aerosols in the air near the surface \(from 1000 to 997 hPa for model layer\), which is more](#)
238 [related to air quality and human health.](#) Local anthropogenic emission changes drove the PM_{2.5}
239 to reach its peak in 1980 in North America and Europe and then to decrease. The maximum
240 PM_{2.5} appeared in 2010 in East Asia and the anthropogenic PM_{2.5} level continued growing in
241 South Asia during 1850–2017, [consistent with previous studies \(Dey et al.,2020; Guttikunda](#)
242 [et al., 2022; Singh et al.,2023\).](#)

243 To explore which aerosol species contributed the most to the changes in PM_{2.5} during the
244 focused three historical time periods, Figs. 6 and 7 illustrate the relative contributions of
245 individual aerosols to the simulated changes in near-surface PM_{2.5} mass concentrations and

246 column burdens, respectively, in four major emission regions. In general, the historical changes
247 in anthropogenic PM_{2.5} were primarily driven by the changes in sulfate. In North America, the
248 contribution of sulfate to near-surface PM_{2.5} concentration (column burden) rose from 7%
249 (11%) in 1850 to 67% (81%) in 1980, then dropped to 52% (67%) in 2017. In Europe, sulfate
250 contribution changed from 24% (34%) in 1850 to 71% (85%) in 1980, then decreased to 50%
251 (68%) in 2017. In East Asia, sulfate contribution changes from 2% (6%) in 1850 to 51% (71%)
252 in 1980, then decreased to 33% (56%) in 2017. It is interesting that the PM_{2.5} levels in East
253 Asia increased during 1980–2010, but the sulfate contribution decreased in this time period. It
254 is because the carbonaceous aerosols increased remarkably, which reduced the fractional
255 contribution of sulfate. The sulfate contribution to PM_{2.5} concentration (column burden)
256 increased throughout the period of 1850–2017, from 2% (5%) to 42% (62%) in South Asia.
257 Note that the carbonaceous aerosols, especially POM, dominated the PM_{2.5} in all four targeted
258 regions in 1850, resulting from the high heating demand from the residential sector.

259 **3.2 Changes in contributions from major source regions and sectors**

260 Figure 8 shows the relative contributions from local and remote anthropogenic sources to
261 the near-surface concentrations and column burdens of PM_{2.5} in the four targeted regions in
262 2010. Local sources dominated the near-surface anthropogenic PM_{2.5} concentrations over the
263 high emission regions including eastern China, eastern U.S. and Indo-Gangetic Plain, with
264 local contributions being higher than 90%. In the regions with low emissions, such as the
265 Tibetan Plateau, the anthropogenic PM_{2.5} concentrations were largely contributed by the long-
266 range transport of aerosols. The spatial distributions of burden contribution are similar to those
267 of corresponding contribution near the surface, but the long-range transport contributed more

268 to the column burden than to the near-surface contribution due to the more efficient pollutant
269 transport in the free troposphere than within the boundary layer. The long-range transport
270 contributes 30%–35% of PM_{2.5} burden in East Asia and South Asia and 50–55% in North
271 America and Europe, much higher than the 10%–25% for the near-surface concentrations over
272 the four targeted regions.

273 Since both local and remote emissions can contribute to the anthropogenic PM_{2.5}, it is
274 valuable to know the historical changes in these contributions, especially by the local sources
275 from individual emission sectors and by remote sources from major emission regions. Figure
276 9 illustrates changes in the local source contributions from major emission sectors to the near-
277 surface concentrations and column burdens of PM_{2.5} during 1850–2017. In North America and
278 Europe, the historical changes in anthropogenic PM_{2.5} were largely induced by changes in
279 emissions from the local energy (ENE) sector, followed by the industry (IND) sector, which
280 increased before 1980 and decreased afterward. In East Asia, ENE, IND and residential (RCO)
281 sector emissions all had significant contributions to the increases in PM_{2.5} concentration and
282 burden from 1850 to 1980. Then the contribution from local IND sector showed the largest
283 increases from 1980 to 2010 and decreases from 2010 to 2017. In South Asia, the
284 anthropogenic PM_{2.5} increases from 1850 to 1980 were mainly attributed to the RCO emission
285 increases. After that, increases in ENE emissions dominated the rising PM_{2.5} levels in South
286 Asia during 1980–2017.

287 Figure 10 presents changes in remote emission contributions from the tagged source
288 regions to the column burdens of PM_{2.5} during 1850–2017. The contributions from long-range
289 transport to the near-surface concentrations and their historical variations over the four major

290 emissions regions are relatively small, which were also reported in previous studies (e.g., Yang
291 et al., 2018b) and are not discussed here. During 1850–1980, the long-range transport
292 contributions to the PM_{2.5} burdens show increases and the contributions from ROW increased
293 the most among the tagged source regions over all four targeted receptor regions. Note that
294 aerosol emissions from North America contributed to the increase in European PM_{2.5} burden
295 by 1.7 mg m⁻² and sources from Europe were also responsible for the PM_{2.5} burden increase by
296 1.0 mg m⁻² in East Asia and 1.1 mg m⁻² in South Asia. During 1980–2010, the long-range
297 transport from North America and Europe decreased, but that from East Asia and South Asia
298 increased. East Asia contributed 0.4–0.6 mg m⁻² to the PM_{2.5} burden increases in North
299 America, while Europe and South Asia contributed about 0.3 mg m⁻². In East Asia, 1.6 mg m⁻²
300 of the PM_{2.5} burden increase was attributed to South Asian sources and 0.8 mg m⁻² of the
301 PM_{2.5} burden increase in South Asia during this time period was due to increases in East Asian
302 emissions. From 2010 to 2017, owing to the clean air actions in China, contributions from East
303 Asia to the PM_{2.5} burdens in the other three targeted regions decreased by 0.3–0.6 mg m⁻².
304 However, due to the continuous increases in South Asian emissions, South Asia still
305 contributed to the PM_{2.5} burden increase in East Asia by 0.4 mg m⁻² during this short time
306 period.

307 **3.3 Changes in effective radiative forcing due to aerosol-radiation interactions**

308 The variation in aerosols can have a significant impact on ERF through aerosol-radiation
309 and aerosol-cloud interactions. Figure 11 shows changes in ERF due to aerosol-radiation
310 interactions (ERF_{ari}) at the top of the atmosphere (TOA) that can be attributed to changes in
311 anthropogenic emissions from the tagged regions in the three key periods during 1850–2017.

312 Due to the increases in aerosols from 1850 to 1980, a large negative ERF_{ari} was located over
313 the major source regions and their downwind areas, with maximum ERF_{ari} changes being larger
314 than 2 W m^{-2} over eastern U.S., Europe and eastern China. In 2010, there were positive ERF_{ari}
315 changes (warming effect) by a maximum of 2 W m^{-2} in North America and Europe compared
316 to 1980, which were due to the decreases in anthropogenic aerosols in these two regions. The
317 positive ERF_{ari} changes due to the decrease in North American aerosols extended across the
318 North Atlantic and caused an increase in incoming radiation by up to 0.5 W m^{-2} in Europe.
319 Similarly, the decrease in aerosols from Europe also led to a positive ERF_{ari} change by up to
320 0.5 W m^{-2} in the downwind regions including Russia and northern China during 1980–2010.
321 Increases in aerosols in China during 1980–2010 and decreases during 2010–2017 produced
322 negative (cooling) and positive (warming) changes in ERF_{ari} , respectively, over eastern China
323 and North Pacific, which largely contradicted each other. The continuously growing aerosols
324 in South Asia induced negative ERF_{ari} changes (cooling) in South Asia, Southeast Asia and
325 southern China during both 1980–2010 and 2010–2017. Note that in this study we only
326 quantify the ERF_{ari} for the major emission regions based on the source tagging technique. The
327 quantification of ERF due to aerosol-cloud interactions (ERF_{aci}) requires additional simulations,
328 which could be further examined in future studies.

329 **4. Conclusions and Discussions**

330 Since the start of industrialization, aerosols have changed significantly in different regions
331 of the world driven by global economic development and air pollution control measures. It is
332 of great significance to quantify the contributions of aerosols from major emission source
333 regions and sectors during the key periods of substantial emission changes. In this study, the

334 Explicit Aerosol Source Tagging (EAST) technique is implemented in E3SMv1 to quantify the
335 variations in the concentrations, source contributions, and the subsequent effective radiative
336 forcing of anthropogenic aerosols in four major source regions (NAM, EUR, EAS and SAS)
337 during three key historical periods of emission changes (1850–1980, 1980–2010 and 2010–
338 2017).

339 Following the corresponding anthropogenic emission changes, PM_{2.5} concentrations
340 reached its peak in 1980 in North America and Europe, while the peak of PM_{2.5} in East Asia
341 occurred in 2010. The PM_{2.5} from anthropogenic sources in South Asia continued growing
342 during 1850–2017. These changes in anthropogenic PM_{2.5} were primarily dominated by
343 changes in sulfate aerosol. In North America and Europe, historical changes in anthropogenic
344 PM_{2.5} were mainly caused by changes in emissions from local energy sector, followed by the
345 industrial sector, which increased from 1850 to 1980 and decreased afterward. In East Asia,
346 energy, industrial, and residential emissions contributed significantly to the increase in PM_{2.5}
347 from 1850 to 1980, then the local industrial sector caused the most increase from 1980 to 2010,
348 and declined from 2010 to 2017. For South Asia, the increase in PM_{2.5} was mainly due to
349 emission changes in the residential sector from 1850 to 1980, then the increase in energy-
350 related emissions became dominant to the rise of PM_{2.5} levels during 1980–2017.

351 Regional aerosol pollution comes from both local emissions and long-range transport of
352 remote emissions. Local emissions contribute the most in regions with high emissions, while
353 in regions with low emissions the long-distance transport plays an important role. Due to the
354 more efficient transport of air pollutants in the free troposphere, contributions of long-range
355 transport to column burden are greater than to the near-surface concentration over all four

356 targeted receptor regions. From 1850 to 1980, increases in emissions from North America
357 contributed to the increase in European $\text{PM}_{2.5}$ burden by 1.7 mg m^{-2} and emissions from Europe
358 were also responsible for the $\text{PM}_{2.5}$ burden increase by 1.0 mg m^{-2} in East Asia and 1.1 mg m^{-2}
359 in South Asia. From 1980 to 2010, the long-range transport from North America and Europe
360 decreased, while those from East Asia and South Asia increased. East Asia contributed 0.4--
361 0.6 mg m^{-2} to the $\text{PM}_{2.5}$ burden increases in North America, while Europe and South Asia
362 contributed about 0.3 mg m^{-2} . In East Asia, 1.6 mg m^{-2} of the $\text{PM}_{2.5}$ burden increase was
363 attributed to South Asian sources and 0.8 mg m^{-2} of the $\text{PM}_{2.5}$ burden increase in South Asia
364 during this time period was due to the increases in East Asian emissions. From 2010 to 2017,
365 the contributions from East Asia to the $\text{PM}_{2.5}$ burdens in the other three targeted regions
366 declined by $0.3\text{--}0.6 \text{ mg m}^{-2}$ due to Clean Air actions in China. However, due to the continuous
367 increase of emissions in South Asia, the $\text{PM}_{2.5}$ burden in East Asia still increased by 0.4 mg m^{-2} .
368

369 Changes in aerosols can have a significant impact on ERF, which further imposes an
370 impact on climate. Large negative ERF_{ari} appeared over the major source regions and their
371 downwind areas during 1850–1980 due to the increases in aerosol emissions, with maximum
372 ERF_{ari} changes being larger than 2 W m^{-2} over eastern North America, Europe and eastern
373 China. From 1980 to 2010, a positive ERF_{ari} change caused by a decline in North American
374 aerosols extended over the North Atlantic, resulting in a warming of up to 0.5 W m^{-2} in Europe.
375 Meanwhile, a decline of aerosols in Europe also caused a warming of up to 0.5 W m^{-2} in Russia
376 and northern China. The changes in ERF_{ari} from the increase (from 1980 to 2010) and decrease
377 (from 2010 to 2017) of aerosols in China had an opposite sign. The continuous aerosol

378 increases in South Asia from 1980 to 2017 resulted in negative ERF_{ari} changes in South Asia,
379 Southeast Asia, and southern China.

380 This study provides an in-depth analysis of historical changes in anthropogenic aerosol
381 concentrations, compositions, source contributions and radiative impacts in the four major
382 emission source regions of the globe, which has important implications for the pollution
383 prevention/control measures and decision making for global collaboration. The spatial
384 distribution and changes in anthropogenic aerosols are similar to those reported in previous
385 studies (Hoesly, et al., 2018; Lim, et al., 2020). However, we also note that the E3SMv1 model
386 underestimates the near-surface $PM_{2.5}$ concentrations in Europe and East Asia, which could
387 lead to an underestimate of the corresponding radiative and climate impact. Our analysis
388 focuses on aerosols from anthropogenic emissions; however, with the increasing attention to
389 air quality in many countries around the world, anthropogenic aerosol concentrations are
390 declining and contributions from biomass burning aerosols are becoming more and more
391 important. The source contributions and impacts of biomass burning aerosols will be
392 investigated in our future work. Also, this study only quantifies the ERF_{ari} for individual major
393 emission regions based on the source tagging technique and radiation diagnostic calculations.
394 The quantification of ERF_{aci} requires additional simulations, which could be further examined
395 in future studies.

396 **Author contributions.** YY designed the research, added the tagging code and performed
397 simulations; SM analyzed the data. All authors including HW, PW, and HL discussed the
398 results and wrote the paper.

399

400 **Code and data availability.** The E3SMv1 model is available at <https://github.com/E3SM->
401 [Project/E3SM](https://github.com/E3SM-Project/E3SM)(last access:1 October 2022) (<https://doi.org/10.11578/E3SM/dc.20180418.36>;
402 E3SM Project, 2018). Our results can be made available upon request.

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415

416 **Conflict of interest.**

417 At least one of the (co-)authors is a member of the editorial board of ACP.

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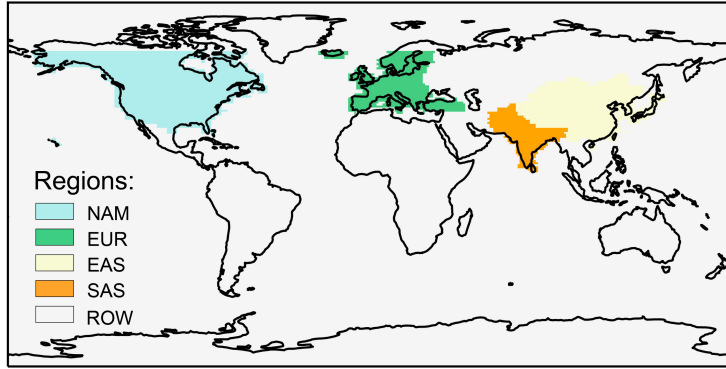
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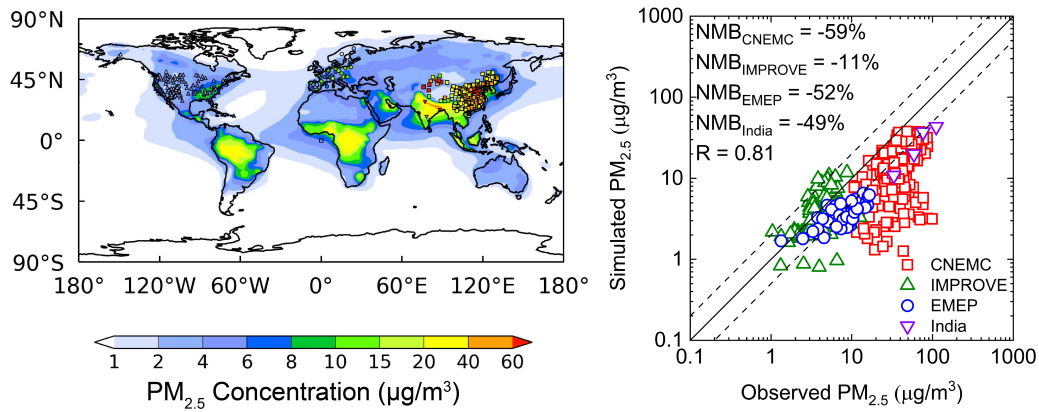
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690 **Figure 1.** Tagged source regions (NAM: North America; EUR: Europe; EAS: East Asia; SAS:

691 South Asia; ROW: rest of the world).



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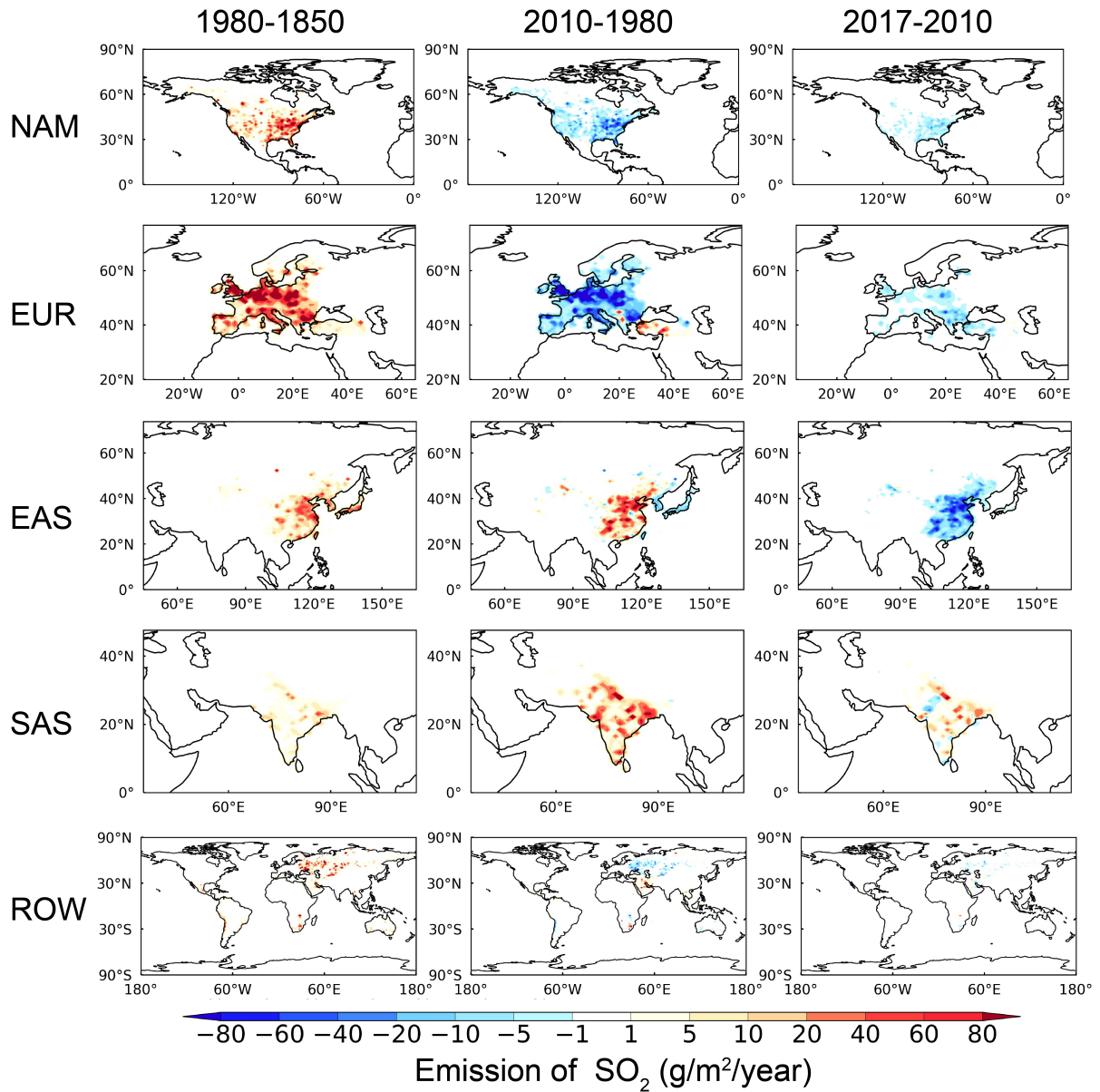
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Figure 2. Spatial distribution (left panel) and scatter plot (right) between the simulated and observed annual mean near-surface $PM_{2.5}$ concentrations ($\mu\text{g}\cdot\text{m}^{-3}/\text{m}^3$) in 2017. Observational data are from IMPROVE (triangle), EMEP (circle), India (inverted triangle) and CNEMC (square). The solid line marks the 1:1 ratio and dashed lines mark the 1:2 and 2:1 ratios. Normalized mean bias (NMB) and correlation coefficient (R) between observation and simulation are shown on the right panel. $NMB = 100\% \times \sum(M_i - O_i) / \sum O_i$, where M_i and O_i are the modeled and observed values at site i , respectively.

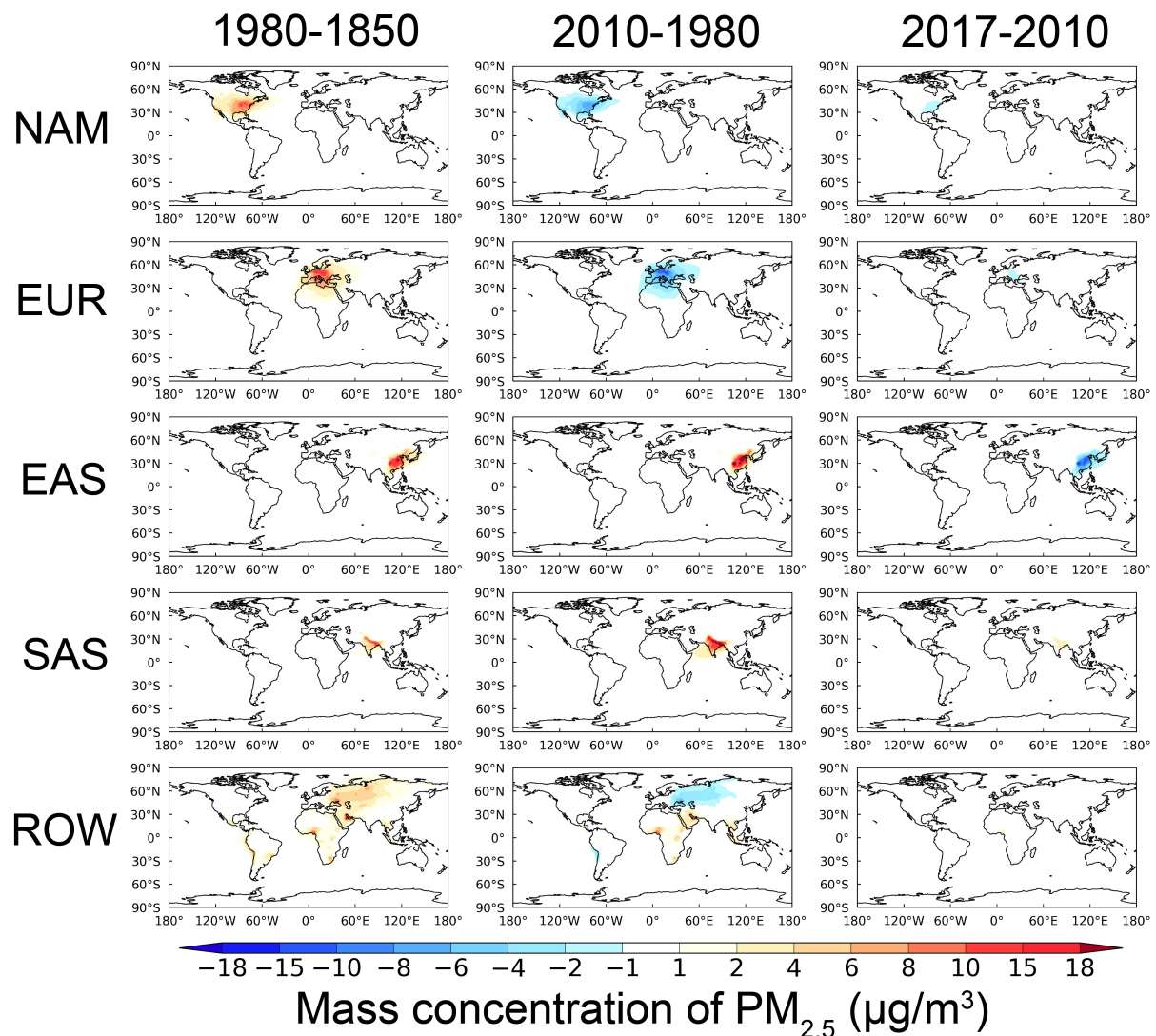


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703 **Figure 3.** Changes in anthropogenic sulfur dioxide (SO₂) emissions (g/m²/year) between 1850
 704 and 1980 (left), between 1980 and 2010 (middle), and between 2010 and 2017 (right) in the 5
 705 tagged source regions (NAM, EUR, EAS, SAS and ROW from top to bottom).

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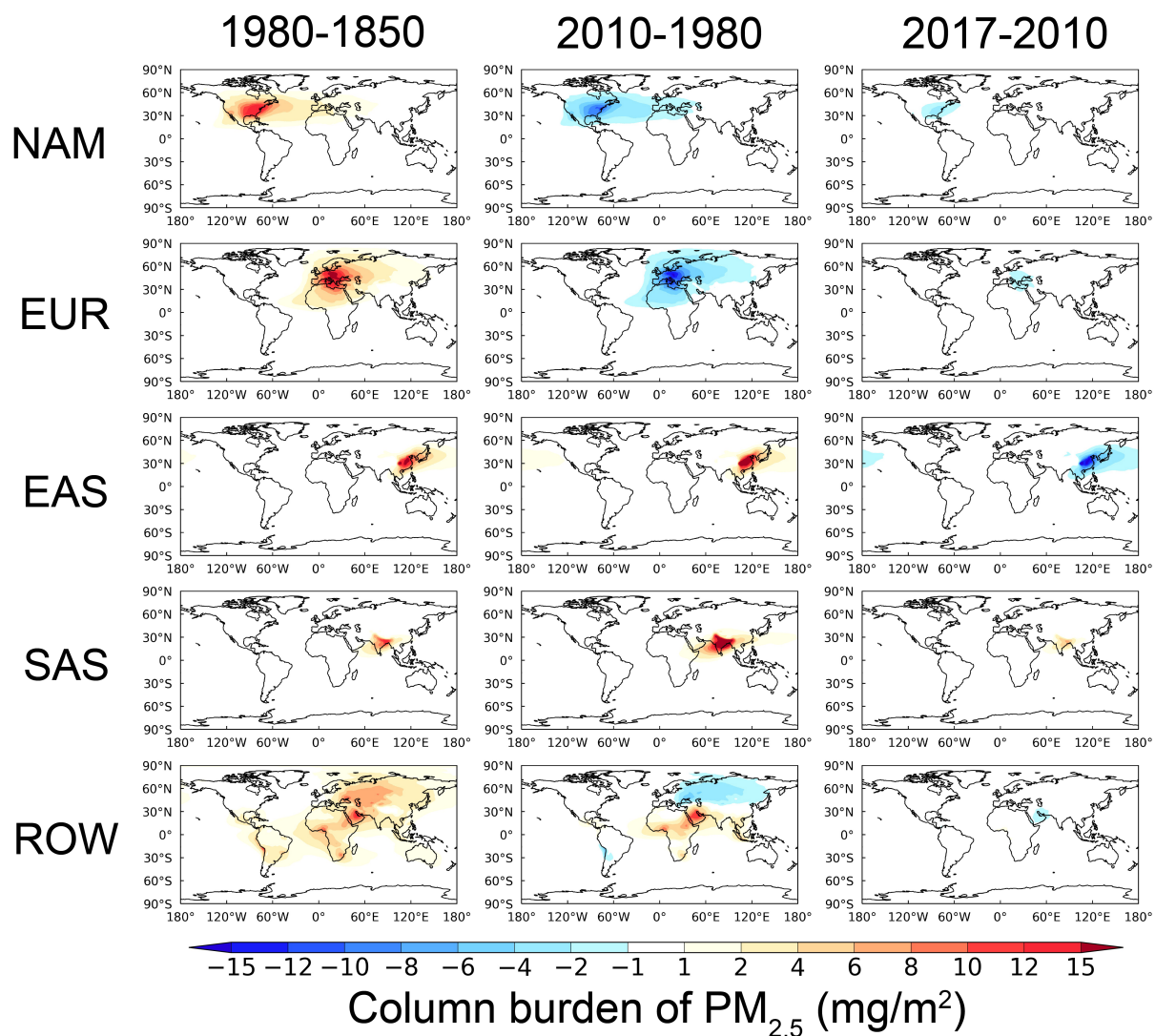
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709 **Figure 4.** Changes in near-surface mass concentration ($\mu g/m^3$) of anthropogenic $PM_{2.5}$
 710 contributed by the 5 tagged source regions (NAM, EUR, EAS, SAS and ROW from top to
 711 bottom) between 1850 and 1980 (left), between 1980 and 2010 (middle), and between 1980
 712 and 2017 (right).

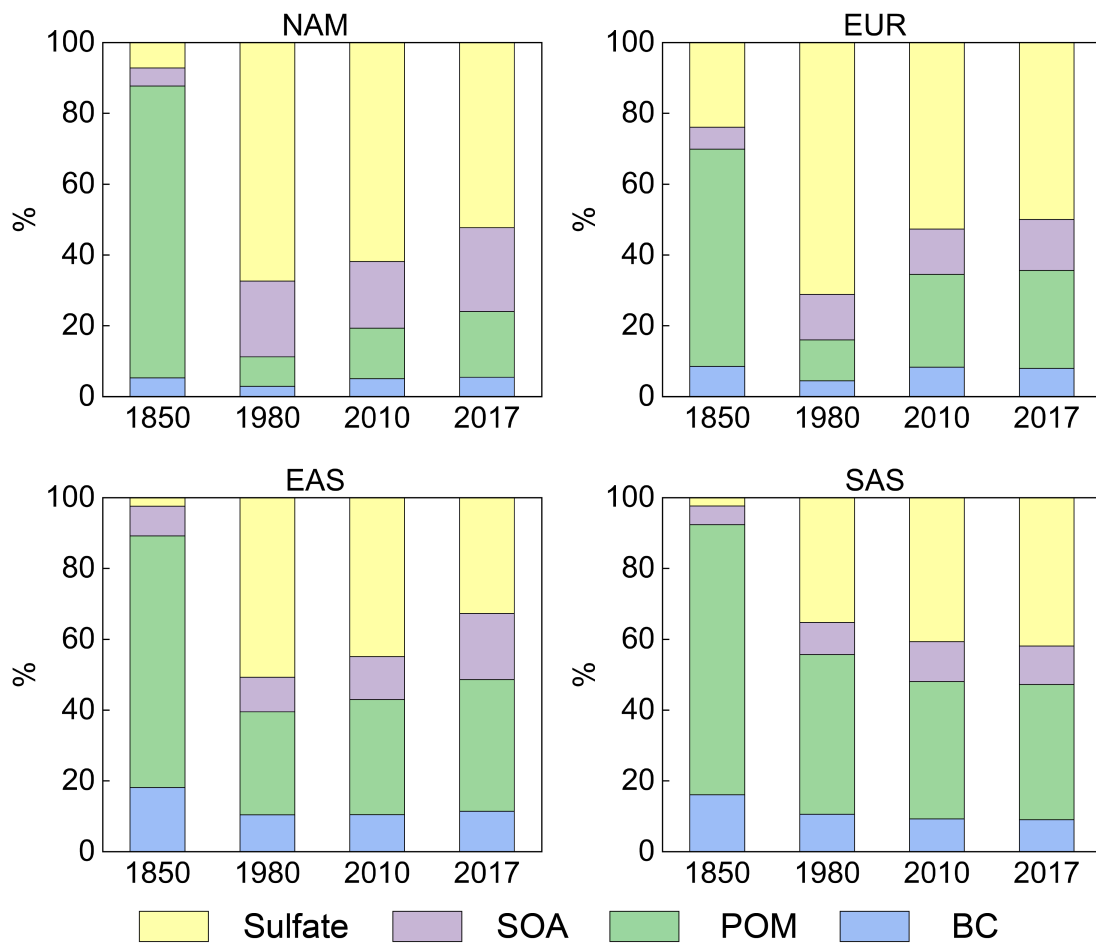
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715 **Figure 5.** Same as figure 4 but for Changes in column burden (mg/m²) of anthropogenic PM_{2.5}
 716 contributed by the 5 tagged source regions (NAM, EUR, EAS, SAS and ROW from top to
 717 bottom) between 1850 and 1980 (left), between 1980 and 2010 (middle), and between 2010
 718 and 2017 (right).

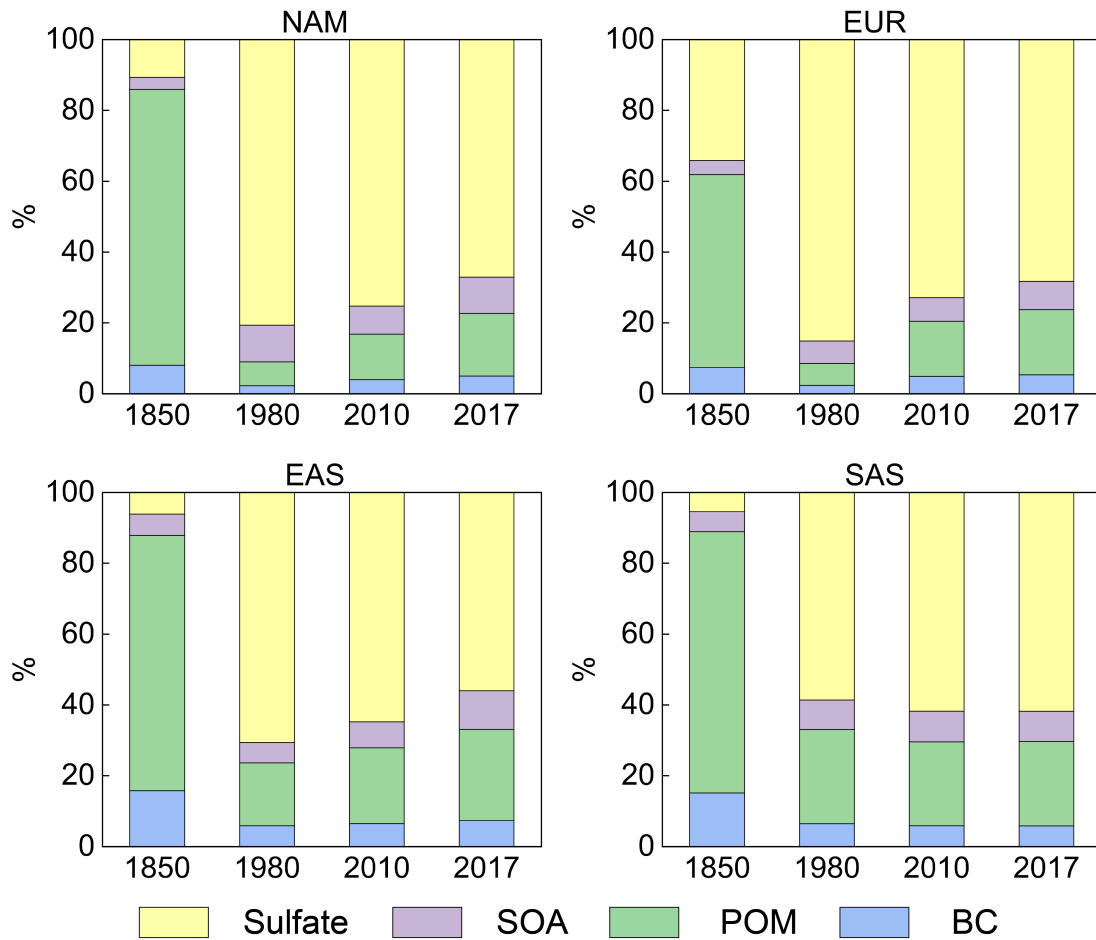
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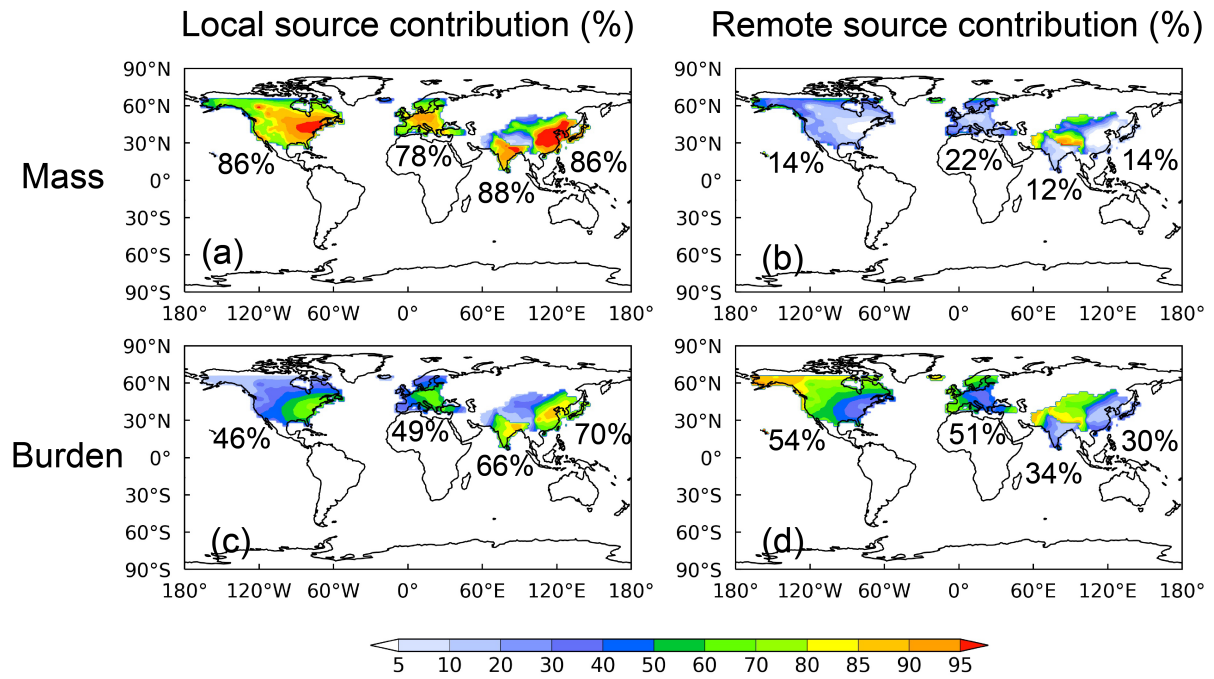
721 **Figure 6.** Percentage contributions (%) of aerosol species including BC, POM, SOA and
 722 sulfate to the near-surface mass concentrations of PM_{2.5} averaged over the four major emission
 723 source regions (NAM, EUR, EAS and SAS) in the focused four years (1850, 1980, 2010 and
 724 2017).

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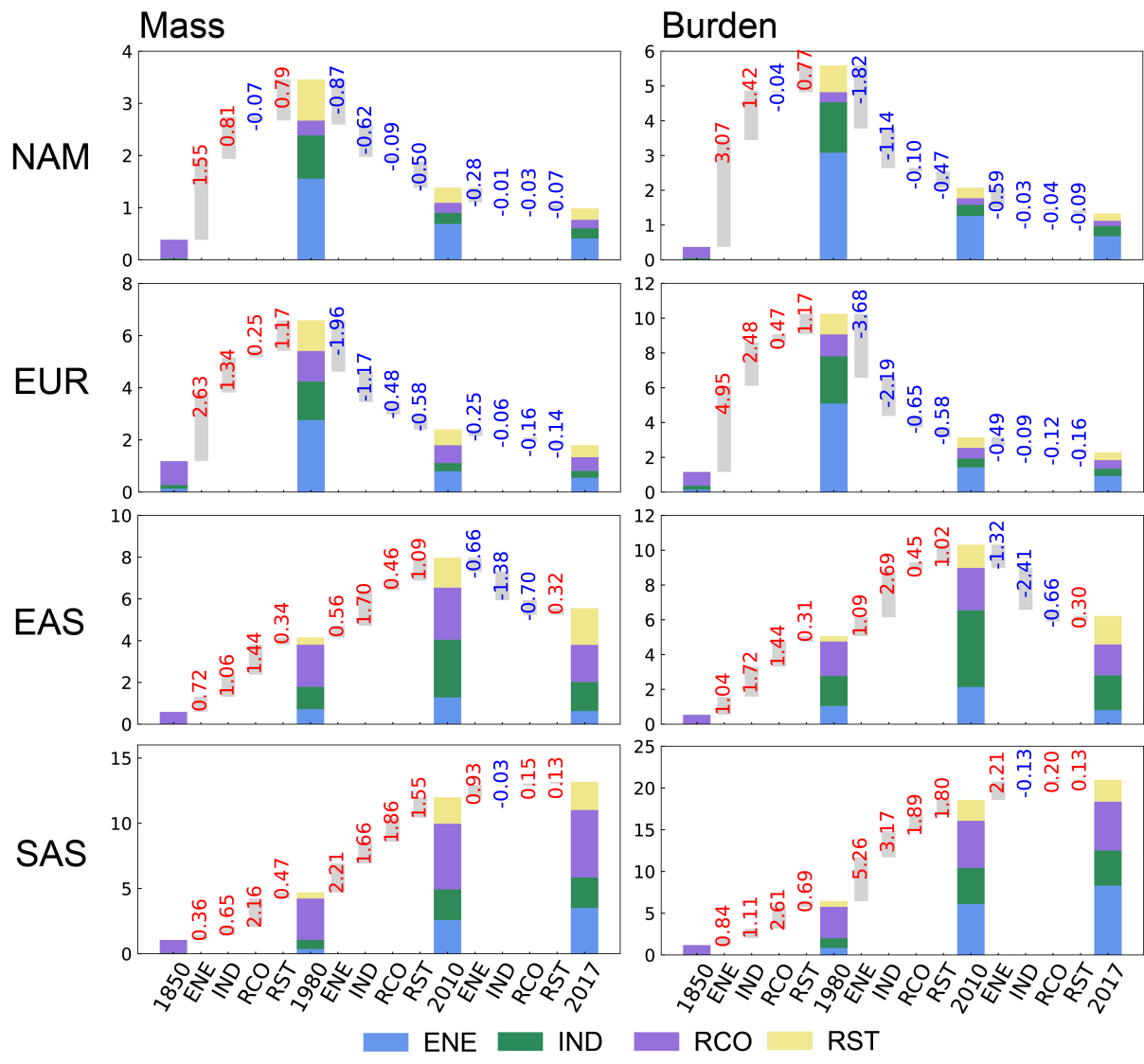
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727 [Figure 7. Percentage contributions \(%\) of aerosol species including BC, POM, SOA and](#)
 728 [sulfate to the column burden of PM_{2.5} averaged over the four major emission source regions](#)
 729 [\(NAM, EUR, EAS and SAS\) in the focused four years \(1850, 1980, 2010 and 2017\).](#)
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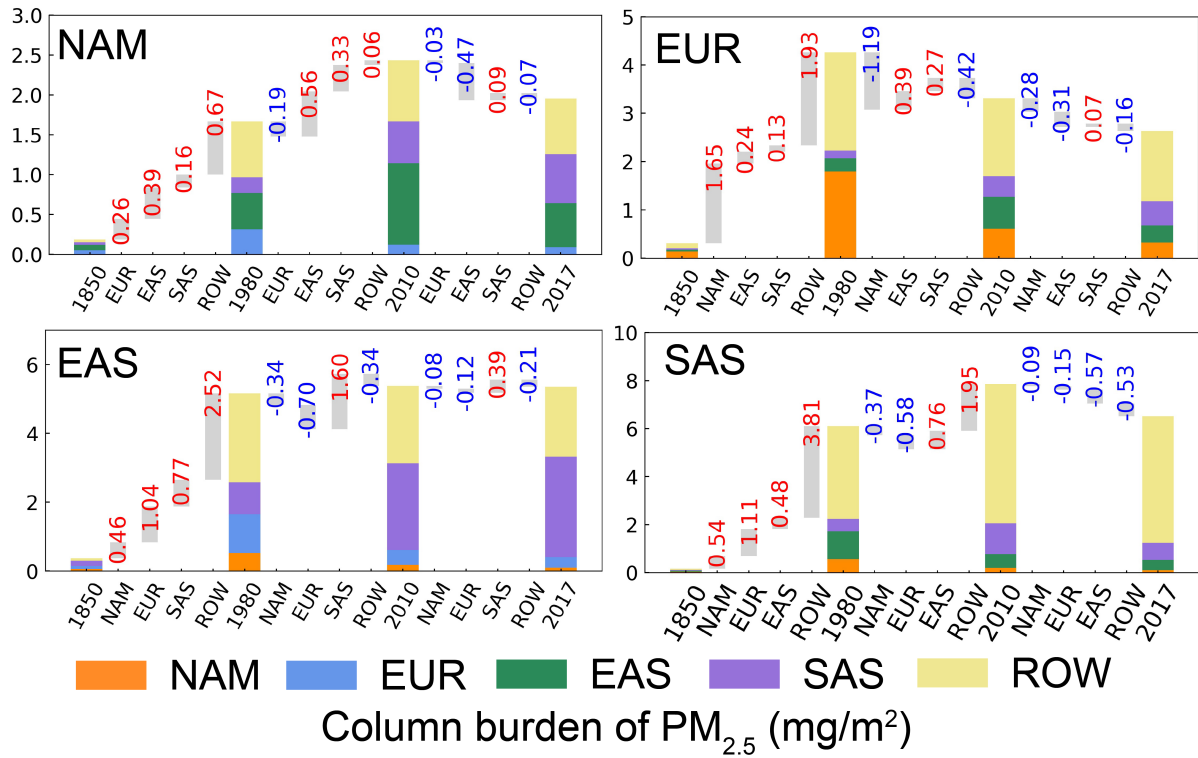
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732 **Figure 8.** Relative contributions (%) from (a, c) local and (b, d) remote anthropogenic
 733 emissions to the near-surface mass concentrations and column burdens of PM_{2.5} in the four
 734 targeted regions (NAM, EUR, EAS and SAS) in 2010. Numbers marked on the figure are the
 735 regional average over the four individual targeted regions.
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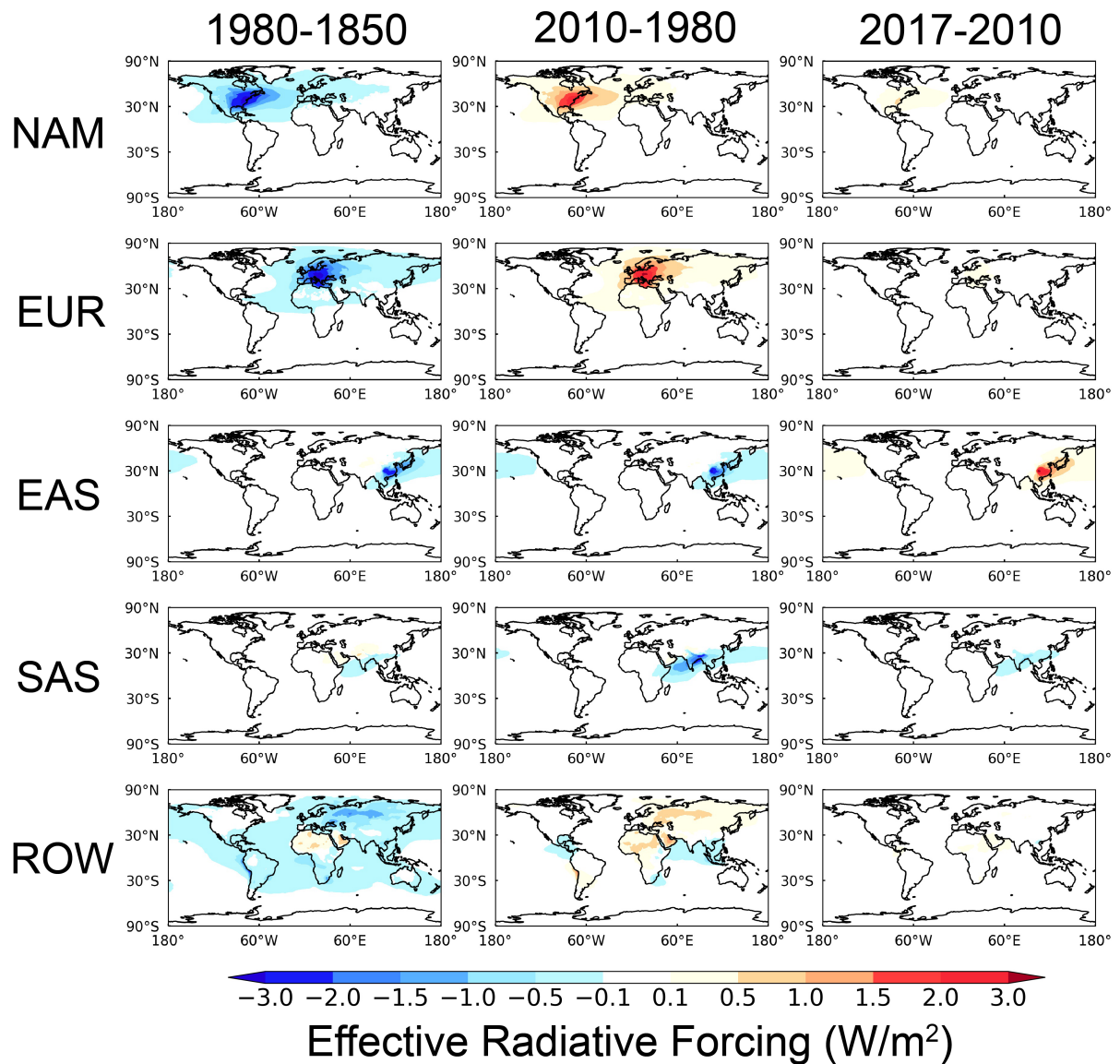
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Figure 9. Local source contributions from four individual emission sectors (ENE, IND, RCO and RST) to the near-surface mass concentrations ($\mu\text{g}/\text{m}^3$, left) and column burdens (mg/m^2 , right) of anthropogenic $\text{PM}_{2.5}$ in the four targeted regions (NAM, EUR, EAS and SAS from top to bottom) for years 1850, 1980, 2010 and 2017 (in color bars). Grey bar and numbers between two years show the change in sector contributions. Positive values are shown in red and negative values are shown in blue.



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Figure 10. Same as Figure 9, but for contributions from the remote tagged source regions to the column burdens (mg/m²) of anthropogenic PM_{2.5} in the four targeted regions (NAM, EUR, EAS and SAS).



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 751 **Figure 11.** Changes in effective radiative forcing (W m^{-2}) at the top of the atmosphere due to
 752 aerosol-radiation interactions between 1850 and 1980 (left), between 1980 and 2010 (middle),
 753 and between 2010 and 2017 (right) attributed to the changes in anthropogenic emissions from
 754 NAM, EUR, EAS, SAS and ROW (from top to bottom).