

1 **Measurement report: Vertical and temporal variability of near-**  
2 **surface ozone production rate and sensitivity in an urban area in Pearl**  
3 **River Delta (PRD) region, China**

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19

20 **Abstract:** Understanding the near-ground vertical and temporal photochemical O<sub>3</sub>  
21 formation mechanism is important to mitigate O<sub>3</sub> pollution. Here, we measured the  
22 vertical profiles of O<sub>3</sub> and its precursors at six different heights ranging from 5 to 335  
23 m using a newly built vertical observation system in the Pearl River Delta (PRD) region,  
24 China. The net photochemical ozone production rate ( $P(O_3)_{net}$ ) and O<sub>3</sub> formation  
25 sensitivities at various heights were diagnosed using an observation-based model  
26 coupled with the Master Chemical Mechanism (MCM v3.3.1). Moreover, to assess  
27 model performance and identify the causative factors behind O<sub>3</sub> pollution episodes, the  
28 net photochemical ozone production rate ( $P(O_3)_{net}$ ) was measured at 5 m ground level  
29 utilizing a custom-built detection system. In total three O<sub>3</sub> pollution episodes and two  
30 non-episodes were captured. The identified O<sub>3</sub> pollution episodes were found to be

31 jointly influenced by both photochemical production and physical transport, with local  
32 photochemical reactions playing a major role. The high index of agreement (IOA)  
33 calculated from comparing the modelled and measured  $P(O_3)_{net}$  values indicated the  
34 rationality to investigate the vertical and temporal variability of  $O_3$  formation  
35 mechanisms using modelling results. However, the measured  $P(O_3)_{net}$  values were  
36 generally higher than the modelled  $P(O_3)_{net}$  values, particularly under high  $NO_x$   
37 conditions, which may indicate a potential underestimation of total  $RO_2$  by the model.  
38 Throughout the measurement period, the contribution of different reaction pathways to  
39  $O_3$  production remained consistent across various heights, with  $HO_2+NO$  as the major  
40  $O_3$  production pathway, followed by  $RO_2+NO$ . We observed  $P(O_3)_{net}$  decreasing with  
41 the increase in measurement height, primarily attributed to the decreased  $O_3$  precursors  
42 anthropogenic volatile organic compounds (AVOC) and oxygenated volatile organic  
43 compounds (OVOC).  $O_3$  formation regimes were similar at different heights during  
44 both episodes and non-episodes, either located either in the volatile organic compounds  
45 (VOCs) sensitive regime or in the transition regime and more sensitive to VOCs.  
46 Diurnally, photochemical  $O_3$  formation typically remained in the VOCs sensitive  
47 regime during the morning and noon, but transitioned to the transition regime and more  
48 sensitive to VOCs in the afternoon around 16:00 local time (LT). The vertical and  
49 temporal  $O_3$  formation is most sensitive to AVOC and OVOC, suggesting that targeting  
50 VOCs, especially AVOC and OVOC, for control measures is more practical and  
51 feasible at the observation site. The vertical temporal analysis of  $O_3$  formation  
52 mechanisms near the ground surface in this study provides critical foundational  
53 knowledge for formulating effective short-term emergency and long-term strategies to  
54 combat  $O_3$  pollution in the PRD region of China.

## 55 **1. Introduction**

56 Tropospheric ozone ( $O_3$ ), which has adverse effects on ecosystems, climate  
57 change, and human health (Fiore et al., 2009; Anenberg Susan et al., 2012; Seinfeld,  
58 2016), has become an important factor resulting in severe regional air pollution in China  
59 (Zhu et al., 2020). Tropospheric  $O_3$  mainly comes from stratospheric intrusions and the  
60 photochemical reactions of  $O_3$  precursors, involving volatile organic compounds  
61 (VOCs) and nitrogen oxides ( $NO_x=NO+NO_2$ ). The ozone-precursor relationship can  
62 be split into a “ $NO_x$ -limited” or “VOC-limited” or “mixed-sensitive” regime (Seinfeld  
63 and Pandis, 2016; Sillman S., 1999). A “ $NO_x$ -limited” regime has higher VOCs/ $NO_x$

64 ratios and the O<sub>3</sub> formation is sensitive to NO<sub>x</sub> concentration changes, while a “VOCs-  
65 limited” regime has lower VOCs/NO<sub>x</sub> ratios and the O<sub>3</sub> formation is sensitive to NO<sub>x</sub>  
66 concentration changes. In a “mixed-sensitive” regime, O<sub>3</sub> formation responds  
67 positively to changes in both NO<sub>x</sub> and VOC emissions (Wang et al., 2019). Local O<sub>3</sub>  
68 concentrations can be further influenced by meteorological conditions and the regional  
69 transport of O<sub>3</sub> and its precursors (Gong and Liao, 2019; Chang et al., 2019). The Pearl  
70 River Delta (PRD) stands out as one of the most rapidly developing economic and  
71 urbanized regions in China, which currently is suffering from severe ground-level O<sub>3</sub>  
72 pollution (Lu et al., 2018; Yang et al., 2019). Currently, many scholars have analyzed  
73 the relationship between tropospheric ozone pollution and its precursors and  
74 meteorological elements in the PRD region, results show that the surface O<sub>3</sub> pollution  
75 is determined by both local photochemistry and physical transport, with long-range  
76 transport contributing 30%-70% to surface O<sub>3</sub> concentrations (Mao et al., 2022; Shen  
77 et al., 2021; Li et al., 2012, 2013). However, the distribution of O<sub>3</sub> is highly variable at  
78 different altitudes (Wang et al., 2021), due to vertical differences in VOCs  
79 concentrations and sources, as well as the sensitivity of O<sub>3</sub> formation (Liu et al., 2023;  
80 Tang et al., 2017). Due to the presence of strong vertical mixing driven by the surface  
81 heating effect in the daytime boundary layer, the budget of the ozone at the ground level  
82 and also at an arbitrary height in the daytime boundary layer is closely related to the  
83 formation and removal of ozone at other heights (Tang et al., 2017). In addition, the  
84 difference in vertical gradients of precursors may drive the vertical change in the  
85 photochemical formation regimes of ozone (Zhao et al., 2019). Using data from only  
86 one height to understand the photochemical reactions in the planetary boundary layer  
87 is of great limitation. Thus, diagnosing the O<sub>3</sub> formation mechanism at different heights  
88 is essential to achieve effective control of O<sub>3</sub> pollution.

89 Currently, remote sensing techniques with high time resolution and real-time  
90 response, such as lidar and optical absorption spectroscopy, have been utilized to  
91 measure the vertical distribution of O<sub>3</sub> (Luo et al., 2020a; Wang et al., 2021). However,  
92 in situ measurements of VOCs at various heights primarily rely on offline methods  
93 combined with diverse techniques, including aircraft, tethered balloons, tall buildings  
94 and towers, unmanned aerial vehicles (UAVs or drones), and satellite observations  
95 (Klein et al., 2019; Li et al., 2022; Geng et al., 2020; Benish et al., 2020; Li et al., 2021;  
96 Wang et al., 2019). Owing to the low time resolution of these monitoring techniques,

97 achieving continuous vertical coverage of VOCs and NO<sub>x</sub> measurements is challenging.  
98 Consequently, the vertical distribution structure of VOCs remains unclear, thus largely  
99 hindering our understanding of the vertical and temporal regional ozone formation  
100 mechanism.

101 To fill the gaps in the existing studies, we utilized a newly constructed vertical  
102 observation system based on the Shenzhen Meteorological Gradient Tower (SZMGT)  
103 (Li et al., 2023). This system measured the vertical profiles of O<sub>3</sub> and its precursors at  
104 six different heights from 5 to 335 m. To diagnose the net ozone production rate,  
105  $P(\text{O}_3)_{\text{net}}$ , and O<sub>3</sub> formation sensitivities across various heights, we employed an  
106 observation-based model coupled with the Master Chemical Mechanism (MCM v3.3.1),  
107 referred to as OBM-MCM in the following. Additionally, we employed a novel net  
108 photochemical ozone production rate ( $P(\text{O}_3)_{\text{net}}$ , NPOPR) detection system to measure  
109 the  $P(\text{O}_3)_{\text{net}}$  at the 5 m ground level to explore potential reasons for O<sub>3</sub> pollution  
110 episodes (Hao et al., 2023), i.e., examine the contribution of chemical and physical  
111 processes to changes in O<sub>3</sub> concentration. Comparisons between the directly measured  
112  $P(\text{O}_3)_{\text{net}}$  results and the model-derived data enabled us to evaluate the simulation  
113 accuracy and explore potential reasons for discrepancies of the OBM-MCM model  
114 concerning photochemical O<sub>3</sub> formation. Based on these results, we have extensively  
115 discussed the vertical and temporal variability in  $P(\text{O}_3)_{\text{net}}$  and O<sub>3</sub> formation sensitivity,  
116 while acknowledging potential biases associated to the modelling. The findings of this  
117 study offer a new benchmark for understanding the vertical profile of photochemical  
118 O<sub>3</sub> formation mechanism, aiding in the identification of the primary driver of ground-  
119 level O<sub>3</sub> pollution. This identification is crucial as it can provide essential theoretical  
120 support for developing short-term effective emergency and long-term control measures  
121 targeting O<sub>3</sub> in PRD region of China.

## 122 **2. Materials and Methods**

### 123 **2.1 Sampling site**

124 Field measurements were conducted at the Shenzhen Meteorological Gradient  
125 Tower (SZMGT) (22.65° N, 113.89° E) from November 13 to December 10, 2021. The  
126 SZMGT is 365 m high and is currently the tallest mast tower in Asia and the second  
127 tallest of this kind in the world. The main structure of the tower is made of steel, steel  
128 stray lines are used for fixing and securing the tower. It is located in the Tiegang

129 Reservoir Water Reserve at Bao'an District of Shenzhen, in the Pearl River Delta (PRD)  
130 region of China. The area is surrounded by a high density of vegetation, reservoir  
131 features, low-rise buildings, and hills/mountains (Luo et al., 2020b).

## 132 **2.2 Instrumentation**

### 133 **2.2.1 The vertical sampling system**

134 A tower-based observation system for traces gases using long perfluoroalkoxy  
135 alkane (PFA) tubing (OD: 1/2") was used to sample the O<sub>3</sub> and O<sub>3</sub> precursors at six  
136 heights during the campaign, including 5, 40, 70, 120, 220, and 335 m above the ground.  
137 All six tubes were continuously drawn using a rotary vane vacuum pump to keep  
138 flushing with ambient air to reduce tube delay of the organic compounds, with the flow  
139 rate controlled by critical orifices (orifice diameter: 0.063"). A Teflon solenoid valve  
140 group was used to switch the air samples at specified time intervals so that the  
141 subsamples from these six heights could be sequentially drawn by instruments (see Fig.  
142 S1). Consequently, the flow rates of the air sample streams for the six tubes varied  
143 between 12.0 and 15.0 SLPM without subsampling and were less than 20 SLPM with  
144 subsampling. The residence time of the sample gas in the longest tube (~ 400 m) is less  
145 than 180 s at a flow rate of 13 SLPM. The impacts of long tubing on measurements of  
146 various of trace gases, including O<sub>3</sub>, NO<sub>x</sub>, and a set of organic compounds, were  
147 systematically investigated using a combination of laboratory tests, field experiments,  
148 and modelling techniques. Field observations proved that this observation system is  
149 suitable for analyzing spatio-temporal variations of atmospheric trace gases, with many  
150 trace gases could be well measured. More details about the establishment and the  
151 characterization of this observation system are described elsewhere (Li et al., 2023).

### 152 **2.2.2 P(O<sub>3</sub>)<sub>net</sub> measurement**

153 During the campaign, the  $P(O_3)_{net}$  at the 5 m ground level was measured using the  
154 self-developed NPOPR detection system, which was built based on the dual-channel  
155 reaction chambers technique. The improvement, characterization, and the  
156 photochemical O<sub>3</sub> formation mechanism in the reaction and reference chambers of the  
157 NPOPR detection system are described in our previous study (Hao et al., 2023). Briefly,  
158 the NPOPR detection system consists of reaction and reference chambers with the same  
159 geometry and made of quartz glass. The length and inner diameter of the quartz glass  
160 cylinder are 700 mm and 190.5 mm, respectively, which resulted in an inner volume of

161 ~ 20 L. The outer surface of the reference chamber was covered with an Ultem film  
 162 (SH2CLAR, 3 M, Japan) for ultraviolet (UV) protection, which can block sunlight with  
 163 wavelengths < 390 nm, thus preventing photochemical reactions inside. During the  
 164 experiment, both the reaction and reference chambers were placed outdoors and directly  
 165 exposed to sunlight to simulate real ambient photochemical reactions. Ambient air was  
 166 introduced into the reaction and reference chambers at the same flow rate, and a Teflon  
 167 filter was mounted before the chamber inlet to remove fine particles. To correct for the  
 168 effect of fresh NO titration to O<sub>3</sub>, we use O<sub>x</sub> (=O<sub>3</sub>+NO<sub>2</sub>) instead of O<sub>3</sub> to quantify the  
 169 O<sub>3</sub> generated by photochemical reactions (Pan et al., 2015; Tan et al., 2018). A stream  
 170 of air from the two chambers was alternately introduced into an NO-reaction chamber  
 171 every 2 min to convert O<sub>3</sub> in the air to NO<sub>2</sub> in the presence of high concentrations of  
 172 NO (O<sub>3</sub>+NO→NO<sub>2</sub>), and the O<sub>x</sub> concentrations from the outlet NO-reaction chamber,  
 173 i.e., the total NO<sub>2</sub> concentrations including the inherent NO<sub>2</sub> in the ambient and that  
 174 converted from O<sub>3</sub>, were measured by a Cavity Attenuated Phase Shift (CAPS) NO<sub>2</sub>  
 175 Monitor (Aerodyne research, Inc., Billerica MA, USA) to avoid other nitrogen oxide  
 176 interferences to the NO<sub>2</sub> measurement (such as alkyl nitrates, peroxyacyl nitrates,  
 177 peroxyoxynitric acid, nitrogen pentoxide, etc.).  $P(O_3)_{\text{net}}$  was obtained by dividing the  
 178 difference between the O<sub>x</sub> concentrations in the reaction and reference chambers ( $\Delta O_x$ )  
 179 by the mean residence time of air in the reaction chamber  $\langle \tau \rangle$ :

$$180 \quad P(O_3)_{\text{net}} = P(O_x)_{\text{net}} = \frac{\Delta O_x}{\tau} = \frac{[O_x]_{\text{reaction}} - [O_x]_{\text{reference}}}{\tau} \quad (1)$$

181 A schematic of the NPOPR detection system is shown in Fig. S2. The pulse  
 182 experiments were performed to quantify the residence time in the chambers (Hao et al.,  
 183 2023).

184 We further quantified and corrected the wall losses of O<sub>x</sub> and the light-enhanced  
 185 loss of O<sub>3</sub> ( $d[O_3]$ ) in the reaction and reference chambers during daytime (Hao et al.,  
 186 2013):

$$187 \quad \gamma = \frac{d[O_3] \times D}{\omega \times [O_3] \times \tau} \quad (2)$$

188 where  $\gamma$  is the light-enhanced loss coefficient of O<sub>3</sub>, which is derived from  $J(O^1D)$   
 189 according to the relationship obtained from the outdoor experiments (for more details,  
 190 see supplementary materials: S3.).  $d[O_3]$  represents the difference between the O<sub>3</sub>

191 mixing ratios at the inlet and outlet of the reaction and reference chambers,  $D$  is the  
192 diameter of the chambers,  $\omega$  is the average velocity of  $O_3$  molecules,  $[O_3]$  is the injected  
193  $O_3$  mixing ratio at the inlet of the reaction and reference chambers, and  $\tau$  is the average  
194 residence time of the air in the reaction and reference chambers. When quantifying the  
195 light-enhanced  $O_3$  loss ( $d[O_3]$ ) during the ambient air measurement, we first calculate  
196  $\gamma$  using the measured  $J(O^1D)$  and the  $\gamma - J(O^1D)$  equations listed in Fig. S8 in the  
197 reaction and reference chambers, then use the measured  $[O_3]$  and Eq. 2 to calculate  
198  $d[O_3]$ . The results show that such kind of correction can increase the measured  $P(O_3)_{net}$   
199 by 10% (25% percentile) to 24% (75% percentile), with a median of 17%.

200 The limit of detection (LOD) of the NPOPR detection system is  $2.3 \text{ ppbv h}^{-1}$  at the  
201 sampling air flow rate of  $5 \text{ L min}^{-1}$ , which is obtained as three times the measurement  
202 error of  $P(O_3)_{net}$ . More details about the measurement error of  $P(O_3)_{net}$  are described in  
203 the supplementary materials: S4: The measurement error of  $P(O_3)_{net}$  and the LOD of  
204 the NPOPR detection system. More details can be found in our previous work (Hao et  
205 al., 2013). The measurement accuracy of NPOPR detection system is determined as  
206 13.9 %, which is the maximum systematic error caused by the photochemical  $O_3$   
207 productions in the reference chamber. As the UV protection Ultem film covered on the  
208 reference chamber can only filtered out the sunlight with wavelengths  $< 390 \text{ nm}$ , the  
209 photochemical  $O_3$  productions at the sunlight wavelength between  $390 \text{ nm}$  and  $790 \text{ nm}$   
210 still exists in the reference chamber. According to our previous investigation, the  
211 modelled  $P(O_3)_{net}$  in the reaction chamber is similar to that modelled in ambient air,  
212 with the modelled  $P(O_3)_{net}$  in the reference chamber accounting for 0-13.9% of that in  
213 the reaction chamber (Hao et al., 2023). Here, we employed the same modelling method  
214 described in Hao et al. (2013) to quantify the  $P(O_3)_{net}$  in the reference chamber and  
215 corrected the bias caused by the  $P(O_3)_{net}$  in reference chamber accordingly (more details  
216 can be found in Sect. 2.2.1).

### 217 **2.2.3 VOCs measurement**

218 VOCs were measured using a high-resolution proton transfer reaction time-of-  
219 flight mass spectrometer (PTR-TOF-MS, Ionicon Analytik, Austria) (Wang et al.,  
220 2020a; Wu et al., 2020) and an off-line gas chromatography mass spectrometry flame  
221 ionization detector (GC-MS-FID) (Wuhan Tianlong, Co. Ltd, China) (Yuan et al.,  
222 2012). The concentrations of oxygenated VOCs (OVOC), including formaldehyde

223 (HCHO) and acetaldehyde (CH<sub>3</sub>CHO), were measured via PTR-TOF-MS, and the non-  
224 methane hydrocarbons (NMHC) were measured via GC-MS-FID. PTR-TOF-MS was  
225 run with both hydronium ion (H<sub>3</sub>O<sup>+</sup>) (Yuan et al., 2017; Wu et al., 2020) and nitric  
226 oxide ion (NO<sup>+</sup>) (Wang et al., 2020) modes. The measurement error of PTR-TOF-MS  
227 was lower than 20%, more details of the PTR-TOF-MS technique can be found in our  
228 previous publication (Yuan et al., 2017). The H<sub>3</sub>O<sup>+</sup> and NO<sup>+</sup> modes were automatically  
229 switched with 20 min H<sub>3</sub>O<sup>+</sup> mode and 10 min NO<sup>+</sup> mode. The background signal of  
230 each mode was measured every 30 min for at least 2 min by automatically switching the  
231 ambient measurement to a custom-built platinum catalytic converter heated to 365 °C.  
232 Eventually, we only used VOCs measured during the H<sub>3</sub>O<sup>+</sup> mode, which was operated  
233 at a drift tube pressure of 3.8 mbar, a temperature of 120 °C, and a voltage of 760 V,  
234 resulting in an  $E/N$  ( $E$  refers to the electric field and  $N$  refers to the number density of  
235 the buffer gas in the drift tube) value of ~ 120 Td (townsend). 3035 ions with  $m/z$  up to  
236 510 were obtained at time resolutions of 10 s. A gas standard with 35 VOC species was  
237 used for calibrations of the PTR-ToF-MS once per day. Raw data from PTR-TOF-MS  
238 were analyzed using Tofware software (Tofwerk AG, v3.0.3). Due to the humidity  
239 dependencies of various VOCs signals of the PTR-ToF-MS observed in laboratory  
240 studies, such as formaldehyde, benzene, methanol, ethanol, and furan (Wu et al., 2020),  
241 we determined their humidity-dependence curves. During data analysis, we removed  
242 the impacts of ambient humidity change on the measured signals of the PTR-ToF-MS  
243 according to these humidity-dependence curves. For the off-line GC-MS-FID  
244 measurement, whole-air samples were collected using 3.2 L electro-polished stainless-  
245 steel canisters (Entech, USA) at 5 and 120 m at time intervals of two hours. Two  
246 automatic canister samplers connected to 12 canisters were used to collect the whole-  
247 air samples, with each of canister collecting the sample for 10 min. The canisters were  
248 analyzed within one week (Zhu et al., 2018). The concentrations of 56 NMHC species  
249 in the canister analyzed by GC-MS/FID were calibrated daily using the mixture of a  
250 photochemical assessment monitoring stations (PAMS) standard gas and pure N<sub>2</sub>. In  
251 addition, the mixture of PAMS standard gas and pure N<sub>2</sub> with species concentrations  
252 of 1 ppbv was injected into the analytical system every 10 samples to check the  
253 operational stability of the instrument. Pure N<sub>2</sub> was injected into the analytical system  
254 at the start and end of each day's analysis to provide reference blank measurements. A  
255 full list of all 56 non-methane hydrocarbons (NMHCs) can be found in the  
256 supplementary material (Table S2).



## 257 **2.2.4 Other parameters**

258 The photolysis frequencies of different species were measured using the actinic  
259 flux spectrometer (PFS-100, Focused Photonics Inc, China). O<sub>3</sub>, CO, and NO<sub>x</sub>  
260 concentrations were measured by a 2B O<sub>3</sub> monitor based on dual-channel UV-  
261 absorption (Model 205, 2B Technologies, USA), a gas filter correlation (GFC) CO  
262 analyzer (Model 48i, Thermo Fisher Scientific, USA), and a chemiluminescence NO<sub>x</sub>  
263 monitor (Model 42i, Thermo Fisher Scientific, USA), respectively. According to our  
264 test (Zhou et al., 2025), a 5% overestimation could be caused in the NO<sub>2</sub> measurement  
265 using the chemiluminescence technique compared to the CAPS technique, due to some  
266 NO<sub>z</sub> species (i.e., HNO<sub>3</sub>, peroxyacetyl nitrate (PANs), HONO, etc.)(Dunlea et al.,  
267 2007), this will result in a decrease of the modelled  $P(O_3)_{net}$  by < 4%, which is  
268 negligible compared to the bias caused by the  $P(O_3)_{net}$  in the reference chamber (~ 14%)  
269 (Zhou et al., 2023). Temperature ( $T$ ), relative humidity (RH), and pressure ( $P$ ) were  
270 measured by a portable weather station (Met Pak, Gill Instruments Ltd, UK).

## 271 **2.3 Data analysis**

### 272 **2.3.1 Observation-based chemical box model**

273 We investigated the detailed photochemical O<sub>3</sub> formation mechanism during the  
274 observation period based on the field observed data. The specific tropospheric O<sub>3</sub>  
275 photochemical formation process involves the photolysis of NO<sub>2</sub> at < 420 nm  
276 (Sadanaga et al., 2017). Simultaneously, RO<sub>x</sub> (RO<sub>x</sub>=OH+HO<sub>2</sub> +RO<sub>2</sub>) radical recycles  
277 provide HO<sub>2</sub> and RO<sub>2</sub> to oxidize NO to NO<sub>2</sub>, resulting in the accumulation of O<sub>3</sub> (Shen  
278 et al., 2021; Cazorla and Brune, 2010; Sadanaga et al., 2017). Therefore, the RO<sub>x</sub>  
279 radicals and the O<sub>3</sub>, OH, NO<sub>3</sub> oxidants play important roles in photochemical O<sub>3</sub>  
280 formation. A zero-dimensional box model based on the Framework for 0-D  
281 Atmospheric Modelling (FOAM) v3.2 (Wolfe et al., 2016) coupled with the MCM  
282 v3.3.1 was used to simulate the  $P(O_3)_{net}$ . MCM v3.1.1 contains a total of 143 VOCs,  
283 more than 6700 species, involving more than 17000 reactions (Jenkin et al., 2015).  
284  $P(O_3)_{net}$  and O<sub>3</sub> concentrations were simulated by constraining  $T$ , RH,  $P$ , organic and  
285 inorganic substances in gases, including 12 OVOCs (methanol, ethanol, formaldehyde,  
286 acetaldehyde, acrolein, acetone, hydroxyacetone, phenol, *m*-cresol, methyl vinyl ketone,  
287 methacrylaldehyde, methyl ethyl ketone), 56 NMHCs (toluene, benzene, isoprene,  
288 styrene, etc. as listed in Table S2) , conventional pollutants (O<sub>3</sub>, NO, NO<sub>2</sub>, and CO),

289 and photolysis rate values ( $J(\text{O}^1\text{D})$ ,  $J(\text{NO}_2)$ ,  $J(\text{H}_2\text{O}_2)$ ,  $J(\text{HONO})$ ,  $J(\text{HCHO}_\text{M})$ ,  
 290  $J(\text{HCHO}_\text{R})$ ,  $J(\text{NO}_3_\text{M})$ ,  $J(\text{NO}_3_\text{R})$ , etc.). The VOCs, NO<sub>x</sub>,  $T$ , RH and  $P$  were  
 291 constrained throughout the modelling period, while O<sub>3</sub> was not constrained after  
 292 providing initial concentration values. The effect of physical processes (such as vertical  
 293 and horizontal transport) was considered by setting a constant dilution factor of 1/43200  
 294 s<sup>-1</sup> throughout the modelling period. Additionally, the dry deposition rate of O<sub>3</sub> was set  
 295 to 0.42 cm s<sup>-1</sup>, and the background of O<sub>3</sub>, CO, and CH<sub>4</sub> were set to 30, 70, and 1800  
 296 ppbv, respectively. The modelling was run in a time-dependent mode with a resolution  
 297 of 5 min, and it was run for spin-up time of 72 h to establish steady-state concentrations  
 298 for secondary pollutants that were not constrained during the simulation.  $P(\text{O}_3)_\text{net}$  can  
 299 be expressed by the difference between ozone production rate ( $P(\text{O}_3)$ ) and ozone  
 300 destruction rate ( $D(\text{O}_3)$ ), where  $P(\text{O}_3)$  and  $D(\text{O}_3)$  can be calculated as Eq. (3)-(4):

$$301 \quad P(\text{O}_3) = k_{\text{HO}_2+\text{NO}}[\text{HO}_2][\text{NO}] + \sum_i k_{\text{RO}_{2,i}+\text{NO}}[\text{RO}_{2i}][\text{NO}]\varphi_i \quad (3)$$

$$302 \quad D(\text{O}_3) = k_{\text{O}^1\text{D}+\text{H}_2\text{O}}[\text{O}^1\text{D}][\text{H}_2\text{O}] + k_{\text{OH}+\text{O}_3}[\text{OH}][\text{O}_3] + k_{\text{HO}_2+\text{O}_3}[\text{HO}_2][\text{O}_3]$$

$$303 \quad + k_{\text{O}_3+\text{alkenes}}[\text{O}_3][\text{alkenes}] + k_{\text{OH}+\text{NO}_2}[\text{OH}][\text{NO}_2] +$$

$$304 \quad k_{\text{RO}_{2,i}+\text{NO}_2}[\text{RO}_{2i}][\text{NO}_2] \quad (4)$$

305 where  $k_{\text{M+N}}$  represents the bimolecular reaction rate constant of M and N, the  
 306 subscript ‘ $i$ ’ refers to different types of RO<sub>2</sub>, and  $\varphi_i$  is the yield of NO<sub>2</sub> of the reaction  
 307 RO<sub>2*i*</sub>+NO. The relevant reaction rates of  $P(\text{O}_3)$  and  $D(\text{O}_3)$  and the mean measured  
 308 concentrations of each VOC category at 5 m ground during O<sub>3</sub> episodes and non-  
 309 episodes used in the model are listed in Tables S1 and S2.

### 310 **2.3.2 Derive contribution of chemical and physical processes to O<sub>3</sub>** 311 **changes on the ground level**

312 It is known that chemical and physical processes jointly influence the O<sub>3</sub>  
 313 concentration changes near the ground surface (Xue et al., 2014; Tan et al., 2019). The  
 314 direct measurement of  $P(\text{O}_3)_\text{net}$  gave us a chance to identify the contribution of chemical  
 315 and physical processes to the variation of observed O<sub>3</sub> concentrations using the  
 316 following equation:

$$317 \quad \frac{d\text{O}_x}{dt} = P(\text{O}_x)_\text{net} + R(\text{O}_x)_\text{trans} \quad (5)$$

318 Where  $\frac{dO_x}{dt}$  is the change rate of the observed  $O_x$  mixing ratio change (ppbv h<sup>-1</sup>),  
 319  $P(O_x)_{\text{net}}$  denotes the net photochemical  $O_3$  production rate (ppbv h<sup>-1</sup>), which was equal  
 320 to  $P(O_3)_{\text{net}}$  and measured directly by the NPOPR system.  $R(O_x)_{\text{trans}}$  represents  $O_3$   
 321 mixing ratio change due to physical transportation (ppbv h<sup>-1</sup>), including the horizontal  
 322 and vertical transport, dry deposition and the atmospheric mixing (Liu et al., 2022). To  
 323 correct the effects of NO titration to  $O_3$ , we have replaced  $O_3$  with  $O_x (=O_3+NO_2)$  during  
 324 the calculation in this study (Pan et al., 2015).

### 325 2.3.3 Model performance

326 In order to judge the reliability of the model simulation, we calculated the index  
 327 of agreement (IOA) based on the measured and modelled  $P(O_3)_{\text{net}}$  and  $O_3$  at 5 m above  
 328 the ground level using the following equation (Liu et al., 2019):

$$329 \quad \text{IOA} = 1 - \frac{\sum_{i=1}^n (O_i - S_i)^2}{\sum_{i=1}^n (|O_i - \bar{O}| + |S_i - \bar{O}|)^2} \quad (6)$$

330 Where  $S_i$  and  $O_i$  represents the simulated and observed  $P(O_3)_{\text{net}}$  or  $O_3$  values at the  
 331 same time, respectively,  $\bar{O}$  is the averaged observed value, and n is the data number.  
 332 Furthermore, we also judged the model simulation performance using statistical  
 333 measures, including the normalized mean bias (NMB) and normalized mean error  
 334 (NME), which are defined as:

$$335 \quad \text{NMB} = \frac{\sum_{i=1}^n (S_i - O_i)}{\sum_{i=1}^n O_i} \cdot 100 \% \quad (7)$$

$$336 \quad \text{NME} = \frac{\sum_{i=1}^n |S_i - O_i|}{\sum_{i=1}^n O_i} \cdot 100 \% \quad (8)$$

337 Where  $S_i$  and  $O_i$  have the same meaning as Eq. (6), and n is the total number of  
 338 such data pairs of interest. The results will be discussed in Sect. 3.2.2.

### 339 2.3.4 OH reactivity

340 In order to investigate the influence of the photochemical reactions of different  
 341 VOCs to photochemical  $O_3$  formation, we calculated the OH reactivities of different  
 342 VOCs, which is the sum of concentrations of OH reactants multiplied by their reaction  
 343 rate coefficients, as shown below:

$$344 \quad k_{\text{OH}} = k_i \times [\text{VOCs}]_i \quad (9)$$

345 where  $k_{OH}$  represents the total OH reactivity of a group of VOCs species,  $k_i$   
346 represents the rate constants between OH radicals and different VOCs species  $i$ ,  
347  $[VOCs]_i$  represents the concentration of species  $i$ . In this study, we summarized the OH  
348 reactivities of different kinds of VOCs groups together to investigate their influence on  
349 the vertical gradient  $P(O_3)_{net}$  in Sect. 3.2.3.

### 350 **2.3.5 O<sub>3</sub> formation potential**

351 The ozone formation potential is calculated using the product of the VOCs  
352 concentration and the maximum incremental reactivity (MIR) coefficient  
353 (dimensionless, gram of O<sub>3</sub> produced per gram of VOCs) (Carter et al., 2012):

$$354 \quad OFP_i = \sum_i [VOC]_i \times MIR_i \quad (10)$$

355 Where  $OFP_i$  is the ozone formation potential of species  $i$ ,  $[VOC]_i$  is the mass  
356 concentration or emission of species  $i$ , and  $MIR_i$  denotes the maximum increment  
357 reactivity of species  $i$  (g O<sub>3</sub>/g VOCs).

### 358 **2.3.6 O<sub>3</sub> formation regime**

359 The sensitivity of photochemical O<sub>3</sub> production to its precursors was diagnosed by  
360 calculating the relative incremental reactivity (RIR) using the OBM-MCM model. RIR  
361 is defined as the percent change in O<sub>3</sub> photochemical production per percent change in  
362 the concentration of its single precursor/precursor group (Cardelino and Chameides,  
363 1995). Therefore, the RIR for precursor (group) X can be expressed as:

$$364 \quad RIR = \frac{\Delta P(O_3)/P(O_3)}{\Delta X/X} \quad (11)$$

365 where the  $\Delta X/X$  represent the percent change in different O<sub>3</sub> precursors or  
366 precursor groups. We classified the measured VOCs into anthropogenic organic  
367 compounds (AVOC), biogenic organic compounds (BVOC), and OVOC group, and  
368 investigated the O<sub>3</sub> formation sensitivity to these different types of VOCs.

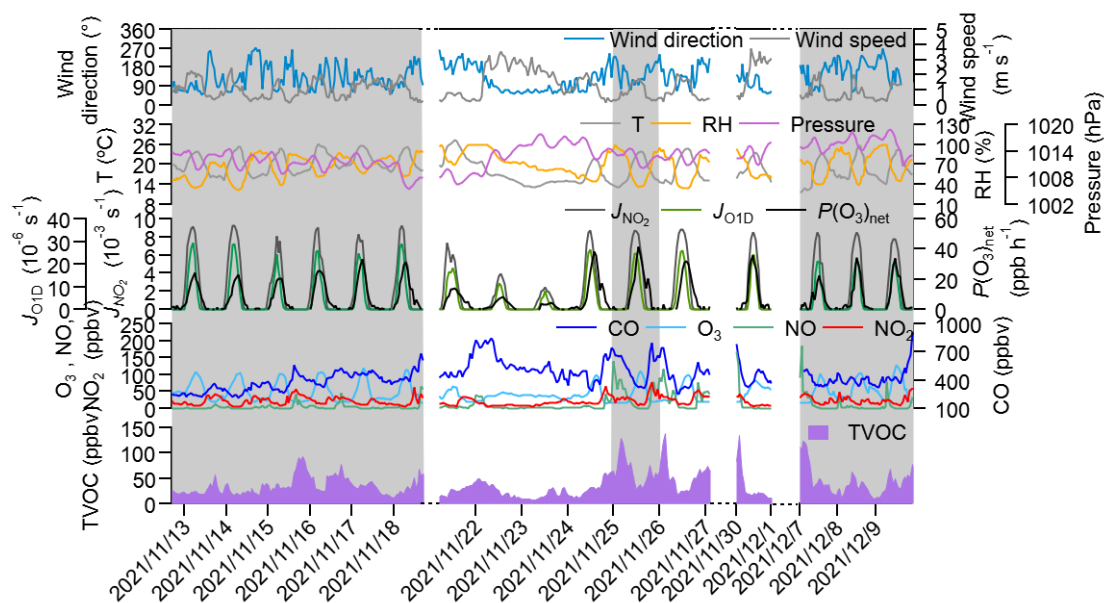
## 369 **3. Results and discussions**

### 370 **3.1 Vertical and temporal profile of ozone and its precursors**

#### 371 **3.1.1 Ozone and its precursors at 5 m ground level**

372 Figure 1 shows the time series of the major trace gases, photolysis rate constants,  
373 and meteorological parameters at 5 m ground-level during the observation period at

374 SZMGT. Over the 1-month field observation period, a total of 3 O<sub>3</sub> pollution episodes  
375 (referred to episodes hereafter) and 2 non-O<sub>3</sub> pollution episodes (referred to non-  
376 episodes hereafter) were captured. O<sub>3</sub> pollution episodes were defined as the days  
377 during which the hourly average ozone concentration at ground-level (5 m) exceed the  
378 Grade II standard (102 ppbv, GB 3095-2012, China; Ambient Air Quality Standards,  
379 2012), while the remaining days were defined as non-episodes. Episode days (marked  
380 as gray columns in Fig. 1) included November 13-18 (episode I), November 26 (episode  
381 II), and December 7-9 (episode III), while the non-episode days included November  
382 22-25 (non-episode I), November 26-27 and 30 (non-episode II). The corresponding  
383 daytime mean values (6:00-18:00 LT) during all episode days and non-episode days are  
384 shown in Table 1. During the daytime of episode days (episodes I, II, and III), the mean  
385 concentrations of O<sub>3</sub> were  $70.1 \pm 28.6$ ,  $59.5 \pm 32.4$ , and  $71.3 \pm 31.0$ , respectively. The  
386 mean *T* and RH were  $22.3 \pm 2.5$  °C and  $56.2 \pm 14.5$  % for episode I,  $20.4 \pm 3.2$  °C and  
387  $52.2 \pm 16.7$  % for episode II, and  $20.6 \pm 3.4$  °C and  $58.2 \pm 17.2$  % for episode III. During  
388 non-episode days, the mean concentrations of O<sub>3</sub> were  $45.3 \pm 16.2$  and  $63.7 \pm 21.3$  ppbv  
389 for non-episode I and II, respectively. The corresponding mean *T* and RH were  
390  $18.4 \pm 4.3$  °C and  $69.5 \pm 15.4$  % for non-episode I, and  $21.3 \pm 2.7$  °C and  $51.8 \pm 13.7$  % for  
391 non-episode II. These observations indicate that the *T* and RH during episode days were  
392 not significantly different from those during non-episode days. This phenomenon  
393 contrasts with previous studies in the PRD area, where O<sub>3</sub> pollution episodes were  
394 generally associated with high *T* and low RH (Mousavinezhad et al., 2021; Hong et al.,  
395 2022).



396

397 **Figure 1. Time series of major trace gases, photolysis rate constants, and meteorological**  
 398 **parameters at 5 m ground level during the observation period. The gray columns show the**  
 399 **typical O<sub>3</sub> episodes that occurred.**

400 **Table 1. Daytime major trace gases concentrations (units: ppbv),  $P(O_3)_{net}$  (units: ppbv h<sup>-1</sup>),**  
 401 **and meteorological parameters during different episodes and non-episodes during the**  
 402 **observation period (from 13 November to 9 December 2021) at SZMGT.**

Parameters	Mean±SD				
	Episode I	Episode II	Episode III	Non-episode I	Non-episode II
O <sub>3</sub>	70.1±28.6	59.5±32.4	71.3±31.0	45.3±16.2	63.7±21.3
TVOC	29.6±10.6	53.8±21.7	42.9±11.5	23.3±8.6	26.8±11.1
CO	344.9±85.1	408.8±85.4	397.2±42.1	508.5±117.2	383.4±74.6
NO	2.3±2.6	13.1±17.4	6.6±13.8	2.9±2.0	6.8±13.1
NO <sub>2</sub>	15.6±7.5	22.3±10.2	20.0±8.3	14.1±6.8	15.4±8.8
OF <sub>P</sub> (g m <sup>-3</sup> )	5.1E-4± 7.5E-5	1.0E-3± 2.0E-4	7.2E-4± 8.3E-5	4.1E-4± 5.6E-5	4.7E-4± 7.8E-5
$P(O_3)_{net}$ * (ppbv h <sup>-1</sup> )	14.3±10.7	21.5±14.9	14.6±11.9	5.6±4.6	18.9±13.9
T (°C)	22.3±2.5	20.4±3.2	20.6±3.4	18.4±4.3	21.3±2.7
RH (%)	56.2±14.5	52.2±16.7	58.2±17.2	69.5±15.4	51.8±13.7
Wind speed (m s <sup>-1</sup> )	1.3±0.5	1.2±0.4	1.1±0.5	1.8±0.9	2.1±0.9
wind direction (°)	115.5±48.7	128.6±35.3	144.8±57.1	115.0±57.6	115.3±36.2

403

\* All values here were calculated as the mean average values during daytime (6:00-18:00 LT).

404

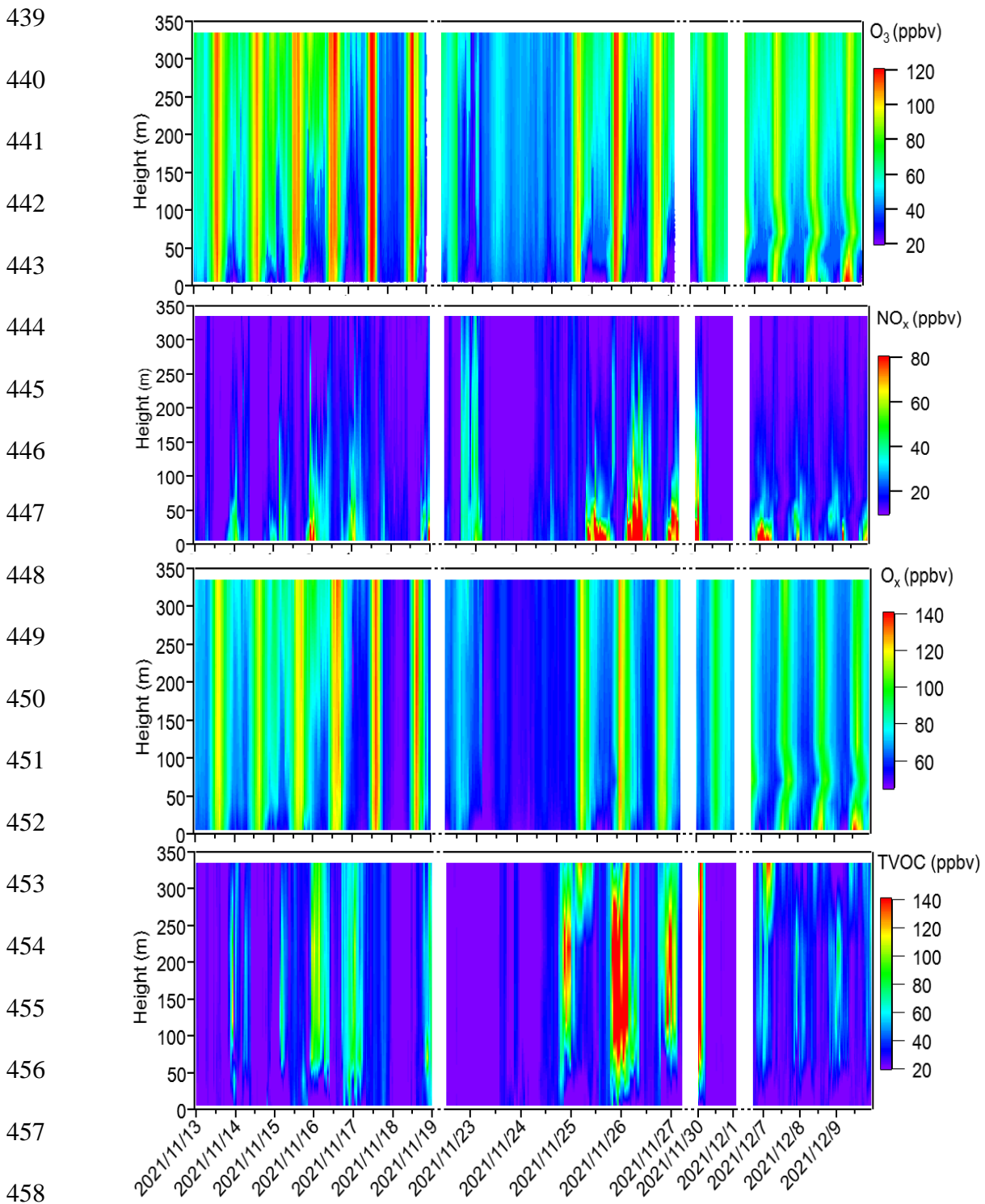
The mean concentrations of O<sub>3</sub> precursors, including CO, NO, NO<sub>2</sub>, and the total

405 VOCs measured by PTR-TOF-MS (shown as TVOC in Fig. 1 and Table 1), did not  
406 exhibit notable discrepancies between episodes and non-episodes. This suggests that  
407 their concentrations during O<sub>3</sub> pollution episodes can vary, being either higher or lower  
408 than those observed during non-episodes (as shown in Table 1). Further comparison of  
409 the daytime mean O<sub>3</sub> formation potential (OFP) and the measured  $P(O_3)_{net}$  during  
410 episodes and non-episodes showed no significant differences, ranging from 5.1E-4 to  
411 1.0E-3 g m<sup>-3</sup> and 14.3 to 21.5 ppb h<sup>-1</sup>, respectively, during non-episodes, whereas they  
412 are ranged from 4.1E-4 to 4.7E-4 g m<sup>-3</sup> and 5.6 to 18.9 ppb h<sup>-1</sup> respectively, during  
413 episodes. Although OFP was always higher during episodes than during non-episodes,  
414 the mean  $P(O_3)_{net}$  values during episodes I and III were even lower than during non-  
415 episodes II. The higher O<sub>3</sub> concentrations may be due to the more stable weather  
416 conditions during episodes I and III (with lower wind speed), which benefits the  
417 accumulation of O<sub>3</sub> formed by local photochemical O<sub>3</sub> formation. While for non-  
418 episode II, even it processes higher  $P(O_3)_{net}$ , the outflow of O<sub>3</sub> from the observation site  
419 by physical processes may be higher due to the higher wind speed. These findings  
420 indicate that the O<sub>3</sub> pollution episodes stem from either substantially elevated local  
421 photochemical O<sub>3</sub> formation (i.e., episode II), or the accumulation of O<sub>3</sub> formed by  
422 moderate local photochemical O<sub>3</sub> formation under stable weather conditions (i.e.,  
423 episodes I and II). Notably, when local photochemical reactions contribute intensely to  
424 the formation of O<sub>3</sub>, favorable weather conditions facilitating O<sub>3</sub> outflow diminish the  
425 likelihood of O<sub>3</sub> pollution occurrences (i.e., non-episode II). These results indicate that  
426 O<sub>3</sub> pollution episodes are jointly affected by the photochemical reactions and physical  
427 transport processes, which we will discuss in more detail in Sect. 3.2.1.

### 428 **3.1.2 Vertical profiles of ozone and its precursors at 5-335 m level**

429 Figure 2 shows the contour plots illustrating the vertical profiles of O<sub>3</sub>, NO<sub>x</sub>,  
430 O<sub>x</sub>(=O<sub>3</sub>+NO<sub>2</sub>), and TVOC. From Fig. 2, minimal vertical gradients were observed  
431 during daytime in the concentration of all species—O<sub>3</sub>, NO<sub>x</sub>, O<sub>x</sub>, and TVOC—due to the  
432 rapid vertical mixing effects. However, distinct vertical gradients were observed during  
433 nighttime owing to the stability of the nocturnal residual layer. Elevated concentrations  
434 of O<sub>3</sub> and O<sub>x</sub> were identified at higher altitudes, whereas higher NO<sub>x</sub> concentrations  
435 predominantly occurred at ground level. We further elucidated the vertical distribution  
436 patterns of different pollutants as well as the OFP of different VOCs groups during  
437 local daytime (6:00-18:00 LT) and nighttime (19:00-5:00 LT) for both episodes and

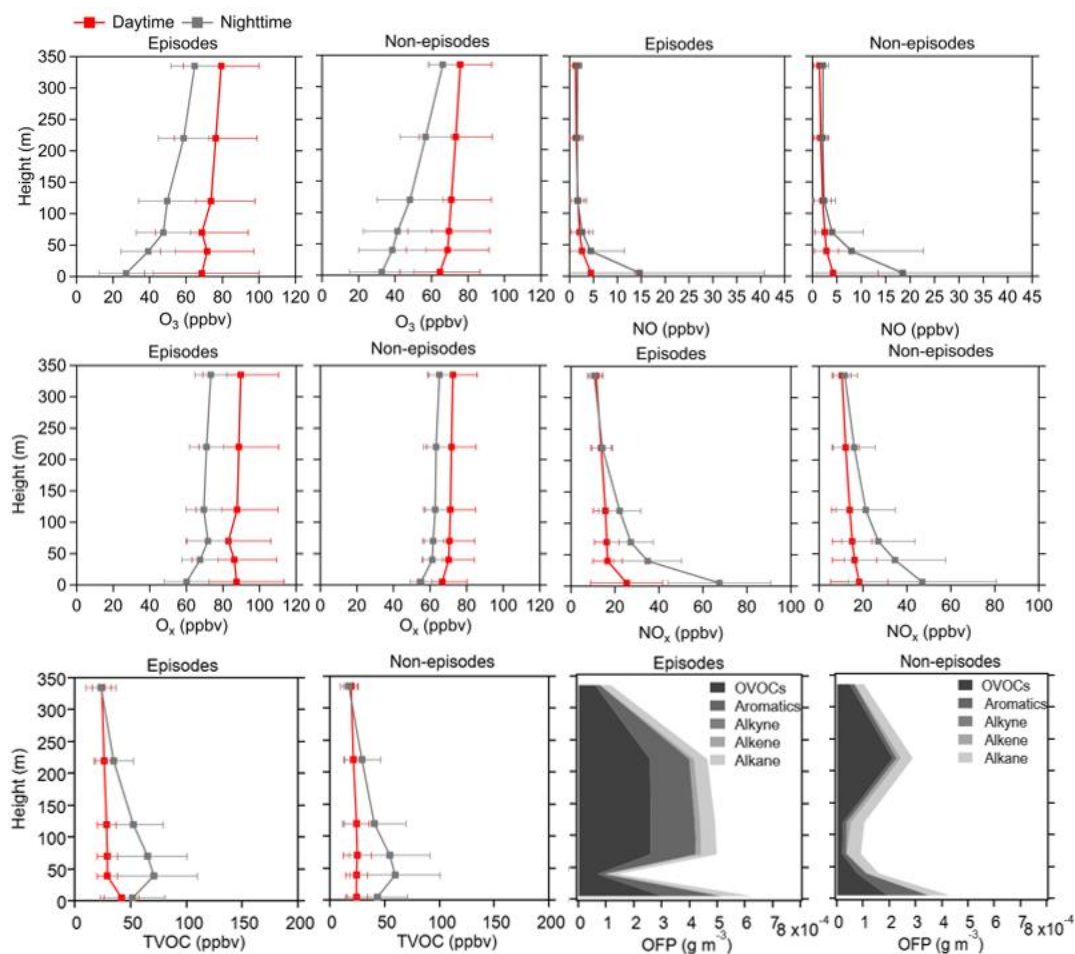
438 non-episodes, as shown in Fig. 3.



459 **Figure 2. Time series of vertical profiles for O<sub>3</sub>, NO<sub>x</sub>, Ox, and TVOC during the**  
460 **observation period. The contour plots are made using the measured values from six heights.**

461





462

463 **Figure 3. Average vertical profiles of O<sub>3</sub>, NO, Ox, NO<sub>x</sub>, and TVOC during both daytime**  
 464 **and nighttime, and OFP of different VOCs types during daytime at six heights (5, 40, 70, 120,**  
 465 **220, and 335 m), including episodes and non-episodes throughout the observation period. The**  
 466 **error bars indicate the standard deviation calculated from the measured values during these**  
 467 **periods.**

468 The vertical profiles of averaged concentrations of various pollutants exhibit  
 469 similar trends during both episodes and non-episodes, with O<sub>3</sub> showing an increasing  
 470 trend from 5 m above ground level to 355 m, aligning with findings from previous  
 471 studies (Zhang et al., 2019; Wang et al., 2021). Given that NO<sub>x</sub> has a significant  
 472 titration effect on O<sub>3</sub>, the lower O<sub>3</sub> concentration at ground level may be attributed to  
 473 the increase in NO<sub>x</sub> concentration (Zhang et al., 2022) and also the dry deposition near  
 474 the ground (Li et al., 2022). NO and NO<sub>x</sub> showed an opposite trend compared to O<sub>3</sub>.  
 475 These two factors jointly effected the Ox changing trend with heights, and consequently,  
 476 the gradients of Ox concentrations showed a weaker increasing trend from the 5 m  
 477 ground level to 355 m height compared to O<sub>3</sub>. This observation demonstrated a more  
 478 pronounced NO titration effect at the 5 m ground level compared to the effect at 355 m

479 height. However, the TVOC showed variable trends with increased height for daytime  
480 and nighttime during episodes and non-episodes. During daytime, TVOC initially  
481 decreased from 5 m to 40 m, and then continuously increased from 40 m to 355 m  
482 during episodes, while continuously slightly decreased from 5 m to 335 m during non-  
483 episodes. During nighttime, TVOC concentrations first increased from 5 m to 40 m and  
484 then continuously decreased from 40 m to 335 m during both episodes and non-episodes.  
485 We further plotted the OFP of different VOCs groups at various altitudes, and found  
486 that the total OFP was highest at 5 m ground level and exhibited higher levels during  
487 episodes compared to non-episode periods. Subsequently, there was a significant  
488 decrease at 40 m height during both episodes and non-episodes. However, there was a  
489 sharp increase observed at 70 m, 120 m, and 220 m during episodes, contrasting with a  
490 gradual rise during non-episode periods, which eventually reach a peak at 220 m during  
491 non-episodes. A consistent decrease of OFP from 220 m to 335 m was observed during  
492 both episodes and non-episodes. The OFP was primarily attributed to OVOCs at  
493 different altitudes throughout both episodes and non-episodes, followed by aromatics  
494 and alkane during episodes and non-episodes, respectively.

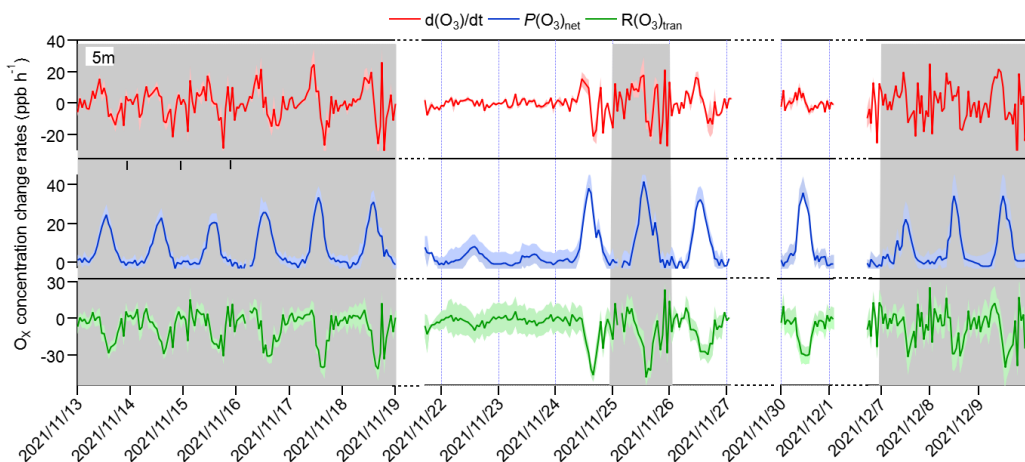
### 495 **3.2 O<sub>3</sub> pollution episodes formation mechanism at near-ground surface**

496 In this section, we first explored the possible reason for O<sub>3</sub> pollution episodes on  
497 the 5 m ground level, aiming to identify the contribution of chemical and physical  
498 processes to change in O<sub>3</sub> concentrations (Sect. 3.2.1). Subsequently, we assessed the  
499 modelling performance and investigated the potential reasons for the modelling bias in  
500 photochemical O<sub>3</sub> formation by comparing the measured  $P(O_3)_{net}$  with the modelled  
501  $P(O_3)_{net}$  (Sect. 3.2.2). To gain insights into the photochemical O<sub>3</sub> formation mechanism  
502 at different heights and understand their role in overall O<sub>3</sub> pollution, we further  
503 discussed the chemical budget of O<sub>3</sub> at different heights (Sect. 3.2.3), the vertical and  
504 temporal variability of  $P(O_3)_{net}$  and O<sub>3</sub> formation regime (Sect. 3.2.4), along with  
505 potential bias within the modelling approach (Sect. 3.2.5).

#### 506 **3.2.1 Contribution of chemical and physical processes to O<sub>3</sub> changes on** 507 **the ground level**

508 As concluded in Sect. 3.1.1, O<sub>3</sub> pollution episodes may be jointly affected by the  
509 photochemical reactions and physical transport. In order to identify the main reasons  
510 for O<sub>3</sub> pollution on the ground level, we calculated the contribution of chemical and

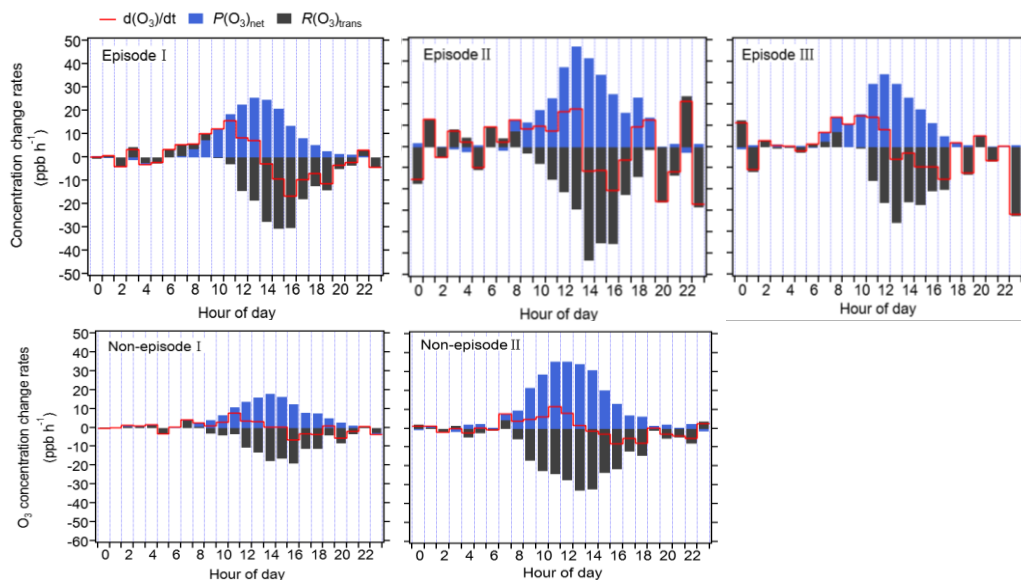
511 physical processes to O<sub>3</sub> changes at 5 m ground level separately for all 3 episodes and  
 512 2 non-episodes. Typically, as dry deposition contributes a relatively small portion and  
 513 can often be considered negligible, making vertical and horizontal transport the main  
 514 contributors to physical processes (Tan et al., 2019).



515

516 **Figure 4. Time series of O<sub>3</sub> concentration changes ( $d(O_3)/dt$ ) and contributions from**  
 517 **local photochemical production ( $P(O_3)_{net}$ ) and physical transport ( $R(O_3)_{tran}$ ). The shaded areas**  
 518 **of  $d(O_3)/dt$ ,  $P(O_3)_{net}$ , and  $R(O_3)_{tran}$  represent one standard deviation (denoted by  $\sigma$ ) of**  
 519 **the mean  $d(O_3)/dt$ , the uncertainty of measured  $P(O_3)_{net}$ , and the propagated error of  $R(O_3)_{tran}$ ,**  
 520 **respectively.**

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529 **Figure 5. Diurnal variation of the contribution of chemical and physical transport to O<sub>3</sub>**  
 530 **changes on the ground level.**

531  $R(O_X)_{tran}$  at 5 m ground level was derived from  $\frac{dO_X}{dt}$  minus  $P(O_X)_{net}$ , according to  
 532 Eq. (5) shown Sect. 2.3.2, their hourly averages and diurnal variations are shown in

533 Figs. 4 and 5, respectively. From these figures, it is evident that the fluctuation of the  
534  $O_3$  concentration change rate ( $d(O_3)/dt$ ) at ground level is typically small and primarily  
535 dominated by the physical processes during nighttime. During nighttime,  $P(O_3)_{net}$   
536 should be zero without sun radiation. The significant  $P(O_3)_{net}$  shown in Fig. 5 may be  
537 due to the measurement uncertainty of  $P(O_3)_{net}$ , which is determined by the  
538 measurement error of  $O_X$  of CAPS- $NO_2$  monitor and the error caused by the light-  
539 enhanced loss of  $O_3$  in the reaction and reference chambers (as discussed in Sect. S4).  
540 The measurement uncertainty of  $P(O_3)_{net}$  is higher at lower  $P(O_3)_{net}$  values (as shown  
541 in Fig. 4), which was mainly determined by the instrumental error of  $O_X$  measurement  
542 and the ambient  $O_X$  concentrations during nighttime. It was estimated to be  $\sim 38\%$  and  
543 can be considered as the measurement precision. Around 6:00-7:00 LT,  $O_3$   
544 concentrations increase for all episodes and non-episodes, mainly due to physical  
545 transport during episodes I and II and non-episodes I, while photochemical reactions  
546 and physical processes are equally important for episodes III and non-episode II. This  
547 could be due to short-term strong vertical turbulence in the early morning, which leads  
548 to an expansion of the boundary layer height and makes the residual layer “leaky”,  
549 allowing vertical transport. At the same time,  $O_3$  precursors were also transported down  
550 from the residual layer, and with increasing sunlight, these  $O_3$  precursors underwent  
551 rapid photochemical reactions that competed with the physical processes between 6:00-  
552 7:00 LT, leading to a sharp increase in  $P(O_3)_{net}$  between 8:00 to 12:00 LT. The  $P(O_3)_{net}$   
553 peaked around 11:00-14:00 LT and started to decrease around 15:00, eventually  
554 approaching zero by around 19:00-20:00 LT. Between 7:00-8:00 LT,  $R(O_3)_{tran}>0$  for all  
555 episodes and non-episodes, indicating inflow of  $O_3$  from physical transport, increasing  
556 surface  $O_3$  concentration by averages of 4.7, 3.9, 2.3, 3.5, and 4.5 ppbv  $h^{-1}$  for episodes  
557 I, II, III, and non-episodes I and II, respectively. From 9:00 to 10:00 LT,  $R(O_3)_{tran}>0$   
558 only for episodes I, increasing the  $O_3$  concentration by 1.5 ppbv  $h^{-1}$ , indicating inflow  
559 of  $O_3$  from physical transport; on the contrary,  $R(O_3)_{tran}<0$  for episodes II and III, and  
560 non-episodes I and II, indicating outflow of  $O_3$  from physical transport, decreasing the  
561  $O_3$  concentration by 3.1, 0.1, 3.0, and 16.9 ppbv  $h^{-1}$ , respectively. After 10:00 LT,  
562  $R(O_3)_{tran}<0$  for all episodes and non-episodes, indicating outflow of  $O_3$  from the  
563 observation sites, possibly due to accumulated photochemically formed  $O_3$  increasing  
564 the concentration at the observation site, diffusing upward or to surrounding areas.

565 In conclusion, the observed daytime  $O_3$  concentration changes during all episodes

566 and non-episodes were influenced by both photochemical production and physical  
567 transport. In the early morning, the increase in  $O_3$  concentrations can be attributed to  
568 photochemical reactions, physical processes, and possibly reduced NO titration effects  
569 as the boundary layer height increases. Around noon,  $O_3$  concentrations stabilize,  
570 suggesting a balance between photochemical reactions and physical transport affecting  
571  $O_3$  concentration changes. In the afternoon,  $O_3$  concentrations decrease due to the  
572 transport of photochemically formed  $O_3$  from the observation site to upward directions  
573 or the surrounding areas. Our findings indicate that local photochemical reactions  
574 dominate  $O_3$  pollution. For example,  $O_3$  pollution episodes recorded during the  
575 observation period manifest under specific conditions: ① high photochemical  $O_3$   
576 production (i.e., episode II); ② moderate photochemical  $O_3$  productions coupled with  
577  $O_3$  accumulation under stable weather conditions (i.e., episodes I and III). In contrast,  
578 non-episodes observed during the observation period occur under different conditions:  
579 ① low levels of photochemical  $O_3$  production (i.e., non-episodes I); ② elevated  
580 photochemical  $O_3$  production, with  $O_3$  transport to surrounding areas under favorable  
581 diffusion conditions (i.e., non-episodes II).

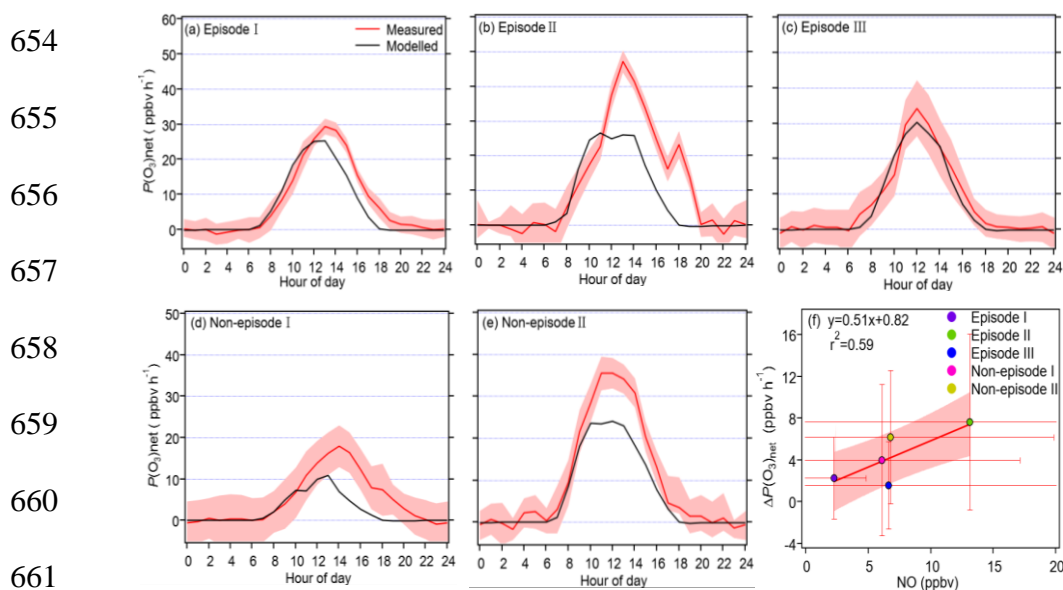
### 582 **3.2.2 The model performance**

583 In order to test the simulation ability of OBM-MCM model for  $P(O_3)_{net}$ , we  
584 compared the measured and modelled  $P(O_3)_{net}$  at 5 m ground level, as depicted in Fig.  
585 S3. The measured and modelled  $P(O_3)_{net}$  revealed close alignment during episodes I  
586 and III, yet displayed discernible variations during episode II, non-episode I, and non-  
587 episode II. Assessment metrics including IOA, NMB, and NME were computed based  
588 on the observed and modelled  $P(O_3)_{net}$  over the entire measurement period (as described  
589 in Sect. 2.3.3). The IOA ranged between 0.87 (25<sup>th</sup> percentile) and 0.90 (75<sup>th</sup> percentile)  
590 for the measured and modelled  $P(O_3)_{net}$  across the measurement period, indicating the  
591 acceptable performance of the OBM-MCM model simulation (a higher IOA value  
592 signifies a stronger agreement between simulated and observed values). Additionally,  
593 comparison of measured and modelled  $O_3$  concentrations at different heights (as shown  
594 in Fig. S4) revealed generally higher modelled values during daytime and closer  
595 alignment during nighttime at lower heights (i.e., 5 m, 40 m, and 70 m), while  
596 discrepancies were observed at higher heights (i.e., 120 m, 220 m, and 335 m). These  
597 phenomena may be primarily attributed to uncertainties in assumed physical processes  
598 in the modelling, such as vertical and horizontal transport. To achieve the best

599 agreement between the modelled O<sub>3</sub> concentrations and the observed values, we applied  
600 different dilution factors (the lifetime of the species) in the modelling, varying from 6  
601 h to 24 h. We found that the simulated O<sub>3</sub> is closest to the measured O<sub>3</sub> concentrations  
602 when the lifetime of the species is set to 12 h. The modelled  $P(\text{O}_3)_{\text{net}}$  increases with the  
603 decrease of the dilution factor, but this doesn't affect the main conclusions as the  
604 influence of the dilution factor on the modelled  $P(\text{O}_3)_{\text{net}}$  is negligible due to the very  
605 short lifetime of the HO<sub>2</sub> and RO<sub>2</sub> radicals that determine the  $P(\text{O}_3)_{\text{net}}$  values (Wang et  
606 al., 2021). Therefore, a constant dilution factor of 1/43200 s<sup>-1</sup> was set throughout the  
607 observation period. Further investigations revealed an IOA range between 0.80 (25<sup>th</sup>  
608 percentile) and 0.82 (75<sup>th</sup> percentile) for measured and modelled O<sub>3</sub> concentrations at  
609 5 m ground level, which lies in between the IOA result for the modelled and observed  
610 O<sub>3</sub> concentrations in previous studies, which range between 0.68 and 0.89 (Wang et al.,  
611 2018), signifying the modelling results for O<sub>3</sub> concentrations here are acceptable. The  
612 calculated NMB and NME using the modelled and observed  $P(\text{O}_3)_{\text{net}}$  at 5 m ground  
613 level during the whole measurement period ranged from -0.42 (25<sup>th</sup> percentile) to -0.31  
614 (75<sup>th</sup> percentile) and -0.42 (25<sup>th</sup> percentile) to 0.54 (75<sup>th</sup> percentile), respectively. These  
615 analysis results indicate that the model underestimates the measured  $P(\text{O}_3)_{\text{net}}$  by a factor  
616 ranging from 1.42 (25<sup>th</sup> percentile) to 1.31 (75<sup>th</sup> percentile), calculated as  $(1+|\text{NMB}|)$ ,  
617 and the simulation results are reliable (with  $-1 < \text{NME} < 1$ ).

618 The mean diel variation of measured and modelled  $P(\text{O}_3)_{\text{net}}$  during different  
619 episodes and non-episodes are shown in Fig. 6a-e. The maximum daily  $P(\text{O}_3)_{\text{net}}$  values  
620 were 29.3, 47.2, and 34.2 ppbv h<sup>-1</sup> for episodes I, II, and III, and 17.9 and 35.5 ppbv h<sup>-1</sup>  
621 for non-episodes I and II, respectively. These values were comparable to or lower than  
622 those measured in urban areas of Houston, United States (40-50 and 100 ppbv h<sup>-1</sup> in  
623 autumn and spring, respectively) (Baier et al., 2015; Ren et al., 2013), but higher than  
624 those measured in a remote area of Japan (10.5 ppbv h<sup>-1</sup> in summer) and an urban area  
625 of Pennsylvania, United States (~ 8 ppbv h<sup>-1</sup> in summer) (Sadanaga et al., 2017; Cazorla  
626 and Brune, 2020). The averaged diel profiles of measured and simulated  $P(\text{O}_3)_{\text{net}}$   
627 exhibited large standard deviations (as depicted in Table 1), representing their day-to-  
628 day variation throughout the campaign. The measured  $P(\text{O}_3)_{\text{net}}$  were mostly higher than  
629 the modelled  $P(\text{O}_3)_{\text{net}}$ , which could be attributed to the underestimation of RO<sub>2</sub> under  
630 high NO conditions, leading to substantial disparities between calculated  $P(\text{O}_3)_{\text{net}}$   
631 derived from measured and modelled RO<sub>2</sub> concentrations, as highlighted in previous

632 studies (Whalley et al., 2018, 2021; Tan et al., 2017, 2018). The median value of  
 633 [measured  $P(O_3)_{net}$ -modelled  $P(O_3)_{net}$ ]/measured  $P(O_3)_{net}$  ranged from 22% to 45% for  
 634 different episodes and non-episodes. To delve deeper, we further investigated the  
 635 relationship between the daily disparities of measured and modelled  $P(O_3)_{net}$  ( $\Delta P(O_3)_{net}$   
 636 = measured  $P(O_3)_{net}$ -modelled  $P(O_3)_{net}$ ) and average daytime NO concentrations during  
 637 different episodes and non-episodes, as depicted in Fig. 6f. The observed elevated  
 638  $\Delta P(O_3)_{net}$  at higher NO concentrations aligns with findings from previous studies,  
 639 which suggest that multiple factors could contribute to these outcomes. For example,  
 640 the reaction of OH with unknown VOCs (Tan et al., 2017), the lack of correction for  
 641 the decomposition of  $CH_3O_2NO_2$ , the missing  $RO_2$  production from photolysis  $ClNO_2$   
 642 (Whalley et al., 2018; Tan et al., 2017), and the underestimation of OVOCs photolysis  
 643 (Wang et al., 2022) in modelling approaches may lead to the underestimation of  $RO_2$ ,  
 644 thus underestimating the modelled  $P(O_3)_{net}$ . Further analysis showed that the  
 645 underestimation of  $P(O_3)_{net}$  can lead to the  $NO_x$ -limited regime being shifted to the  
 646 VOCs-limited regime, thus underestimating the  $NO_x$ -limited regime (Wang et al., 2022,  
 647 2024). However, the derived IOA, NMB, and NME values from the modelled and  
 648 observed  $P(O_3)_{net}$  (and  $O_3$ ) at 5 m ground during different episodes and non-episodes  
 649 indicate that the model proficiently reproduces the genuine  $P(O_3)_{net}$  at the observation  
 650 site (as shown in Table S3). Consequently, these results provide confidence in exploring  
 651 the vertical and temporal variations of  $P(O_3)_{net}$  and  $O_3$  formation sensitivities utilizing  
 652 the outcomes from the modelling approach. Nonetheless, it is important to acknowledge  
 653 and discuss the potential biases caused by the modelling methodology in this study.



662 **Figure 6. (a-e) diurnal variations of the measured and modelled  $P(O_3)_{net}$  during the**  
663 **observation period, and (f) the relationship between the average daily differences of measured**  
664 **and modelled  $P(O_3)_{net}$  ( $\Delta P(O_3)_{net}$ ) and the average daytime NO concentrations during different**  
665 **episodes and non-episodes.**

### 666 **3.2.3 Vertical and temporal variability of $P(O_3)_{net}$ budget**

667 The detailed  $P(O_3)_{net}$  budget at different heights during the observation period  
668 from the modelling results are shown in Fig. 7. Across various heights and different  
669 episodes and non-episodes, the contributions of different reaction pathways to  $P(O_3)$   
670 were almost the same, with  $HO_2+NO$  as the major  $O_3$  production pathway, followed by  
671  $CH_3O_2+NO$  and other  $RO_2+NO$ , where other  $RO_2+NO$  encompasses all  $RO_2$  except  
672  $CH_3O_2$ . This result aligns with previous studies (Liu et al., 2021; Liu et al., 2022). The  
673 major  $O_3$  destruction pathway was  $OH+NO_2$  (loss of OH radicals), followed by net  
674  $RO_2+NO_2$  (form peroxyacetyl nitrate, commonly called PAN species) and  $O_3$   
675 photolysis, while other  $O_3$  destruction pathways, including  $O_3+OH$ ,  $O_3+HO_2$ ,  $C_5H_8+O_3$ ,  
676  $C_3H_6+O_3$ , and  $C_2H_4+O_3$ , together contributed negligibly to  $O_3$  destruction. These  $P(O_3)$   
677 and  $D(O_3)$  reaction pathways occurred between 6:00-18:00 LT, exhibiting strong  
678 diurnal variation characterized by a sharp increase between 6:00-11:00 LT in the  
679 morning, peaking between 11:00 and 14:00 LT, and decreasing rapidly after 14:00 LT.  
680 These phenomena were in accordance with the concentration changes of the major  
681 oxidants (i.e., OH,  $O_3$ , and  $NO_3$ ), as shown in Fig. S5, where OH radicals and  $O_3$   
682 concentrations increased significantly in the morning and reached a peak around noon,  
683 followed by sharp afternoon decreases.

684 The diurnal changes in the concentrations of different reaction pathways to  $P(O_3)$   
685 and  $D(O_3)$  at 5 m ground level during different episodes and non-episodes are depicted  
686 in Fig. S6. We note that the maximum total  $P(O_3)$  resulting from diel variations at 5 m  
687 ground level for episode I, II, and III were 32.0, 34.9, and 38.3 ppbv  $h^{-1}$ , respectively.  
688 These values were consistently higher than the maximum total  $P(O_3)$  observed for non-  
689 episodes I and II, which were 15.6 and 30.7 ppbv  $h^{-1}$ , respectively. However, as  $P(O_3)_{net}$   
690 was determined by both  $P(O_3)$  and  $D(O_3)$ , the maximum total  $D(O_3)$  values resulting  
691 from diel variations during episodes I, II, III, and non-episode I, II, were 5.0, 5.7, 5.1,  
692 2.4, and 5.3 ppbv  $h^{-1}$ , respectively. Consequently, the modelled  $P(O_3)_{net}$  during episodes  
693 does not exhibiting a statistically significant difference from that during non-episodes  
694 (Mann-Whitney  $p$  value=0.12), as shown in Fig. S5, which is in agreement with the



695 measured  $P(O_3)_{net}$  (Mann-Whitney  $p$ -value=0.28), as depicted in Sect. 3.1.1.

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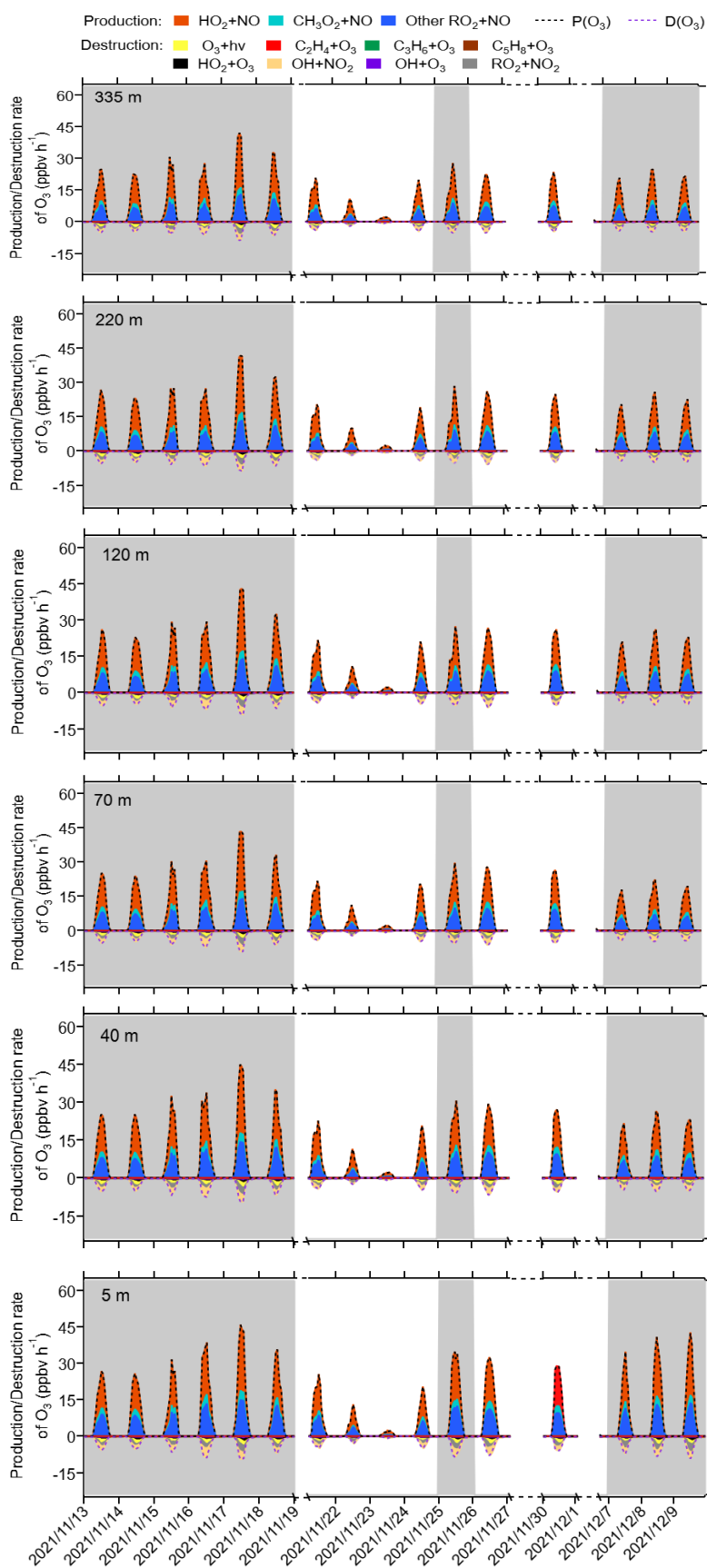
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720 **Figure 7. Time series of model-simulated O<sub>3</sub> production and destruction rates during 13**  
721 **November and 9 December 2021, at different heights at SZMGT, the gray columns show the**  
722 **typical ozone episodes that occurred.**

723 The diurnal variation of  $P(\text{O}_3)_{\text{net}}$  during different episodes and non-episodes  
724 obtained by OBM-MCM modelling at different heights are shown in Fig. 8. We saw  
725 that the  $P(\text{O}_3)_{\text{net}}$  all showed a decreasing trend with the increase of the measurement  
726 height during different episodes and non-episodes, but the variation of  $P(\text{O}_3)_{\text{net}}$  along  
727 with the measurement height differed for different episodes and non-episodes. For  
728 example, the decrement of the averaged  $P(\text{O}_3)_{\text{net}}$  during 6:00-18:00 LT from 5 m to 335  
729 m were 1.5 and 0.6 ppbv h<sup>-1</sup> for episode I and non-episode I, respectively, which was  
730 relatively smaller than that during episode II, episode III, and non-episode II, which  
731 were 5.3, 5.4, and 4.0 ppbv h<sup>-1</sup>, respectively. To explore the reason, we plotted the  
732 differences of calculated OH reactivities at 5 m and 335 m of different VOCs groups  
733 (marked as  $\Delta\text{OH}$  reactivity) as a function of the  $P(\text{O}_3)_{\text{net}}$  change at 5 m and 335 m  
734 (marked as  $\Delta P(\text{O}_3)_{\text{net}}$ ), including nonmethane hydrocarbons (NMHC), anthropogenic  
735 volatile organic compounds (AVOC), biogenic volatile organic compounds (BVOC),  
736 and oxygenated volatile organic compounds (OVOC) (as shown in Fig.8f). The VOCs  
737 species included in each category are listed in Table S2. We found that the OH  
738 reactivities of AVOC and OVOC had the highest correlation coefficients ( $R^2$ ) with the  
739  $\Delta P(\text{O}_3)_{\text{net}}$ , which are 0.85 and 0.67, respectively, indicating their predominant influence  
740 on the decrement of  $P(\text{O}_3)_{\text{net}}$  from 5 m to 335 m. However, the OH reactivity change  
741 from 5 m to 335 m of different groups was quite different. Therefore, we further  
742 explored O<sub>3</sub> formation sensitivity to its different VOCs precursors and precursor groups.

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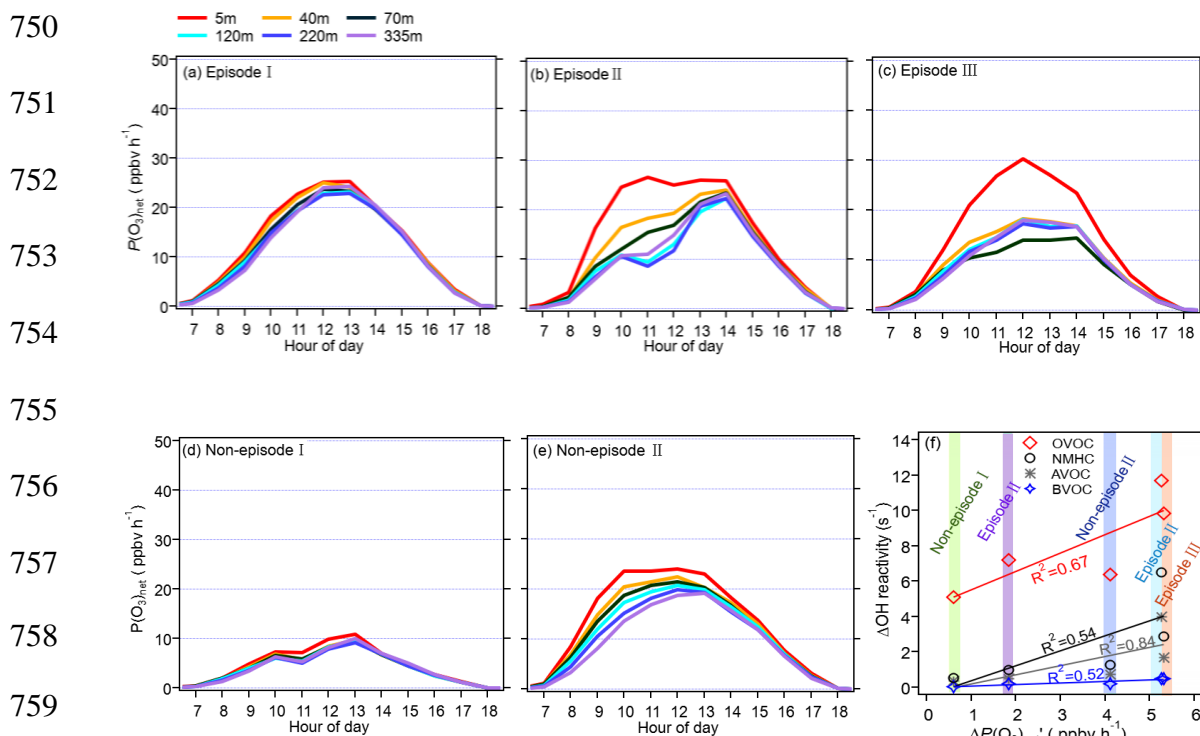
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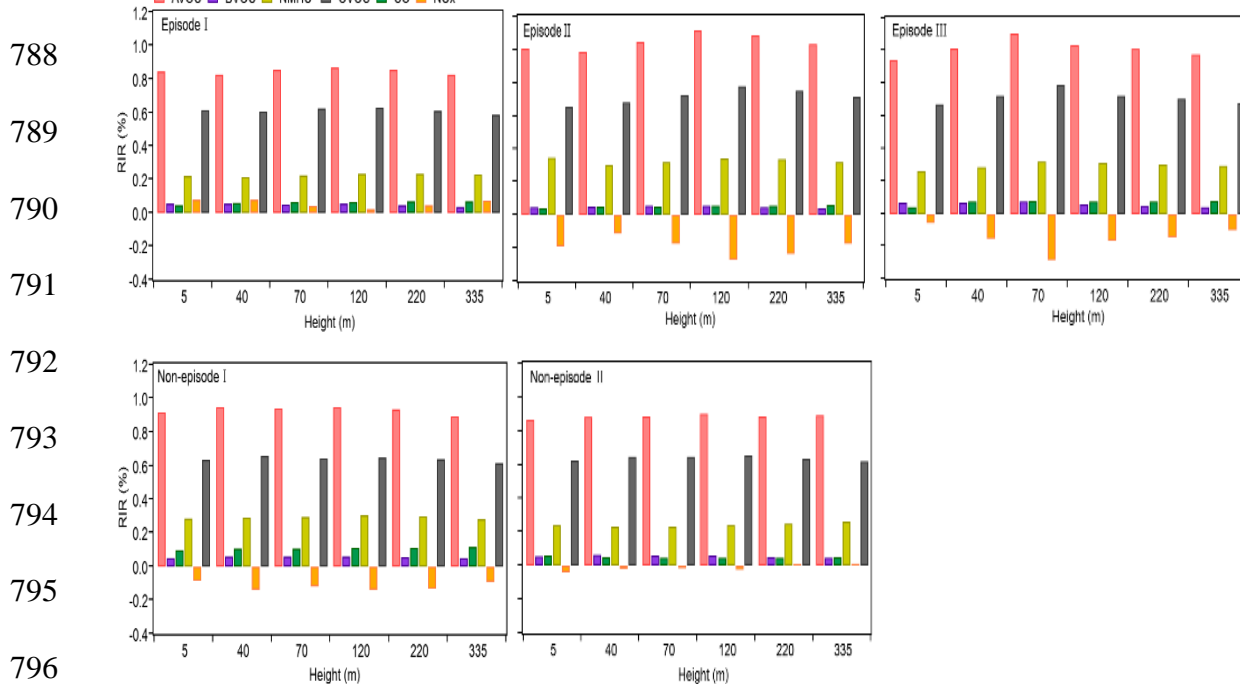
760 **Figure 8. (a-e) Diurnal variation of vertical profile of the model-simulated  $P(O_3)_{net}$**   
 761 **during different episodes and non-episodes from 13 November to 9 December 2021, and (f)**  
 762 **the relationship between the average daytime differences of modelled  $P(O_3)_{net}$  (denoted as**  
 763  **$\Delta P(O_3)_{net}$ ), and OH reactivity of different precursor groups at 5 m and 335 m (denoted as  $\Delta$ OH**  
 764 **reactivity).**

### 765 3.2.4 Vertical distributions of O<sub>3</sub> formation regime

766 To investigate the reasons behind the variable distribution of  $P(O_3)_{net}$  at varying  
 767 heights, we clarified the sensitivity of O<sub>3</sub> formation to different O<sub>3</sub> precursors or  
 768 precursor groups, including NMHC, AVOC, BVOC, OVOC, CO, and NO<sub>x</sub>, by  
 769 calculating their RIRs during different episodes and non-episodes, as shown in Fig. 9.  
 770 As illustrated in Fig. 9, the RIR values for different O<sub>3</sub>-precursors or precursor groups  
 771 don't exhibit significant variation at different heights during specific episodes or non-  
 772 episodes, indicating a similar photochemical O<sub>3</sub> formation regime. However, the O<sub>3</sub>  
 773 formation regimes differ between different episodes or non-episodes. During O<sub>3</sub>  
 774 polluted episode I, O<sub>3</sub> formation is located in a transition regime and is more sensitive  
 775 to VOCs emissions. Conversely, during O<sub>3</sub> polluted episodes II and III, and non-  
 776 episodes I and II, it is located in VOCs sensitive regime. This finding aligns with  
 777 previous studies suggesting that photochemical O<sub>3</sub> formation in the PRD region is likely  
 778 VOC-limited or mixed-limited (Hong et al., 2022; Lu et al., 2018). The results suggest  
 779 that the complexity of O<sub>3</sub> mitigation at the observation site. For example, during

780 polluted episode I, reducing both VOCs and NO<sub>x</sub> can mitigate photochemical O<sub>3</sub>  
 781 formation. However, during other O<sub>3</sub> polluted episodes and non-episodes, reducing  
 782 VOCs can effectively alleviate photochemical O<sub>3</sub> formation, while reducing NO<sub>x</sub> might  
 783 aggravate it. Nevertheless, during all episodes and non-episodes, O<sub>3</sub> formation is most  
 784 sensitive to AVOC (RIR: 0.83-1.12), followed by OVOC (RIR: 0.59-0.79) at different  
 785 heights, indicating the urgent need to reduce AVOC and OVOC emissions to mitigate  
 786 O<sub>3</sub> pollution in this area.

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797 **Figure 9. RIR values for O<sub>3</sub>-precursor or precursor groups at different heights during**  
 798 **different classified episodes.**

799 The RIR tests for different episodes and non-episodes at various hours of the local  
 800 daytime are illustrated in the Supplement (Fig. S7). The results indicate that the diurnal  
 801 changes of RIR values for different episodes and non-episodes exhibit remarkable  
 802 similarities. In the morning, the RIR values for various VOC groups, including AVOC,  
 803 BVOC, OVOC, and CO, are typically higher than those for NO<sub>x</sub>. However, they  
 804 gradually decrease throughout the day until 16:00 LT, then increase and reach a peak  
 805 at 18:00 LT. Interestingly, the RIR values at this peak are lower than those at 8:00 LT  
 806 in the morning. Conversely, the RIR values for NO<sub>x</sub> are usually around zero or below  
 807 zero during most of the day, gradually increasing around 16:00 LT and peaking at 18:00  
 808 LT. This suggests a transition in the photochemical O<sub>3</sub> formation regime throughout

809 the day, shifting from a VOC-limited regime in the morning to a transition regime and  
810 more sensitive to NO<sub>x</sub> in the afternoon around 16:00 LT. The diurnal variations of the  
811 RIRs of different O<sub>3</sub> precursors or precursor groups offer detailed insights into the  
812 dominant factors influencing the photochemical formation of O<sub>3</sub> at different times of a  
813 day.

814 Through the sensitivity study, NO<sub>x</sub> is not found to be the limiting factor affecting  
815  $P(O_3)_{net}$ , therefore, reactions involving NO<sub>x</sub> in the RO<sub>x</sub> radicals cycle, such as  
816  $RO_2+NO \rightarrow HO_2$  and  $HO_2+NO \rightarrow OH$ , should occurred efficiently. Conversely,  
817 reactions not involving NO<sub>x</sub>, such as  $OH+VOCs \rightarrow RO_2$ , should be the limiting steps of  
818 the RO<sub>x</sub> radicals cycling. Given that photochemical O<sub>3</sub> formation is most sensitive to  
819 AVOC, OVOC and NMHC groups, priority should be given to reducing AVOC and  
820 OVOC to mitigate O<sub>3</sub> pollution in the PRD area of China.

#### 821 **4 Conclusions**

822 We carried out a field observation campaign in an urban area in Pearl River Delta  
823 (PRD) in China, focusing on investigating the vertical temporal variability of near-  
824 surface ozone production mechanisms by using a newly built vertical observation  
825 system and the observation-based model coupled to the Master Chemical Mechanism  
826 (OBM-MCM) v3.3.1. In total, three O<sub>3</sub> pollution episodes and two non-episodes  
827 occurred during the observation period. To assess the modelling performance for O<sub>3</sub>  
828 production rates and sensitivity, as well as to investigate the potential reasons for O<sub>3</sub>  
829 pollution episodes at 5 m ground level, a net photochemical ozone production rate  
830 (NPOPR,  $P(O_3)_{net}$ ) detection system based on the current dual-channel reaction  
831 chamber technique was employed to directly measure  $P(O_3)_{net}$  at 5 m ground-level.

832 The vertical profiles of averaged concentrations of various pollutants exhibit  
833 similar trends during both episodes and non-episodes. The O<sub>3</sub>, NO<sub>x</sub>, and Ox  
834 concentrations show minimal vertical gradient during the daytime due to rapid vertical  
835 mixing effects, but distinct vertical gradients emerge during nighttime owing to the  
836 stability of the nocturnal residual layer. Higher concentrations of O<sub>3</sub> and Ox were  
837 observed at higher heights, while elevated NO and NO<sub>x</sub> concentrations were mainly  
838 detected at ground level. Given that NO has a significant titration effect on ozone, the  
839 lower O<sub>3</sub> concentration at ground level may be attributed to the increase in NO<sub>x</sub>  
840 concentration due to a more pronounced NO titration effect, besides the dry deposition

841 near the ground. However, the TVOC and their OFP exhibited variable trends with  
842 increased height during both daytime and nighttime, observed in episodes and non-  
843 episodes. Total OFP was highest at the 5 m ground level and exhibited higher levels  
844 during episodes compared to non-episode periods. The OFP was primarily attributed to  
845 OVOCs at different altitudes throughout both episodes and non-episodes.

846 The mean concentrations of O<sub>3</sub> precursors, including CO, NO, NO<sub>2</sub>, and TVOC,  
847 did not show statistically significant differences between episodes and non-episodes.  
848 By considering the observed O<sub>3</sub> concentrations change and the measured  $P(O_3)_{net}$  at 5  
849 m ground level, we found that the O<sub>3</sub> pollution episodes were influenced by both  
850 photochemical production and physical transport, with local photochemical reactions  
851 playing a key role. O<sub>3</sub> pollution episodes recorded during the observation period  
852 occurred under specific conditions: ① high photochemical O<sub>3</sub> productions; ② moderate  
853 photochemical O<sub>3</sub> productions coupled with O<sub>3</sub> accumulation under stable weather  
854 conditions. The index of agreement (IOA) ranged from 0.87 (25<sup>th</sup> percentile) to 0.90  
855 (75<sup>th</sup> percentile) for the measured and modelled  $P(O_3)_{net}$  across the measurement period,  
856 indicating the rationality to investigate the vertical and temporal variability of O<sub>3</sub>  
857 formation mechanisms using modelling results. However, the measured  $P(O_3)_{net}$   
858 generally exceeded the modelled  $P(O_3)_{net}$ , the differences between measured and  
859 modelled  $P(O_3)_{net}$  ( $\Delta P(O_3)_{net}$ ) were found to be correlated with NO concentrations. Base  
860 on previous studies, this phenomenon could potentially be attributed to the  
861 underestimation of RO<sub>2</sub> at high NO conditions, arising from inadequate knowledge  
862 concerning photochemical reaction mechanisms. Therefore, the potential biases caused  
863 by the modelling methodology were acknowledged and discussed.

864 From the modelling results, the contribution of different reaction pathways to  $P(O_3)$   
865 was almost the same at varying heights during both episodes and non-episodes, with  
866 HO<sub>2</sub>+NO as the major O<sub>3</sub> production pathway, followed by other RO<sub>2</sub>+NO (comprising  
867 all RO<sub>2</sub> except CH<sub>3</sub>O<sub>2</sub>) and CH<sub>3</sub>O<sub>2</sub>+NO. The major O<sub>3</sub> destruction pathway was  
868 OH+NO<sub>2</sub> (loss of OH radicals), followed by net RO<sub>2</sub>+NO<sub>2</sub> (forming peroxyacetyl  
869 nitrate) and O<sub>3</sub> photolysis. However, other O<sub>3</sub> destruction pathways, including O<sub>3</sub>+OH,  
870 O<sub>3</sub>+HO<sub>2</sub>, C<sub>5</sub>H<sub>8</sub>+O<sub>3</sub>, C<sub>3</sub>H<sub>6</sub>+O<sub>3</sub>, and C<sub>2</sub>H<sub>4</sub>+O<sub>3</sub>, collectively contributed negligibly to O<sub>3</sub>  
871 destruction. Nevertheless,  $P(O_3)_{net}$  showed a decreasing trend with the increase of  
872 height during different episodes and non-episodes, which was found mainly attributed  
873 to the decline in O<sub>3</sub> precursor concentrations, specifically anthropogenic organic

874 compounds (AVOC) and oxygenated volatile organic compounds (OVOC) groups. We  
875 observed that modelling biases were correlated with NO concentrations and VOCs  
876 categories, impacting  $P(\text{O}_3)_{\text{net}}$  through the regulation of the  $\text{RO}_2$  radicals' budget. The  
877 median value of the estimated error of the modelled  $P(\text{O}_3)_{\text{net}}$  ranged from 22-45 %  
878 during different episodes and non-episodes. Therefore, the variation of  $P(\text{O}_3)_{\text{net}}$  along  
879 with the measurement height might be even larger than our initial assessment.

880 Similar photochemical  $\text{O}_3$  formation regimes were observed at different heights  
881 during specific episodes or non-episodes, yet they varied between different episodes or  
882 non-episodes.  $\text{O}_3$  formation was predominantly located at a transition regime and more  
883 sensitive to VOCs emissions during  $\text{O}_3$ -polluted episode I, whereas it shifted to a  
884 VOCs-sensitive regime during  $\text{O}_3$ -polluted episodes II and III, as well as non-episodes  
885 I and II. Further analysis revealed a daytime shift in the photochemical  $\text{O}_3$  formation  
886 regime, transitioning from a VOC-limited regime in the morning to a transition regime  
887 more sensitive to  $\text{NO}_x$  round 16:00 LT in the afternoon. However, the underestimation  
888 of  $\text{RO}_2$  radicals in the modelling, especially at lower heights with higher NO  
889 concentrations, could result in an overestimate of the VOCs-limited regime. This study  
890 highlights the need for more precise analysis using direct measurement techniques in  
891 future studies. Nonetheless, throughout all episodes and non-episodes,  $\text{O}_3$  formation is  
892 most sensitive to AVOC, followed by OVOC at various heights, emphasizing the urgent  
893 need to reduce emissions of these compounds to mitigate  $\text{O}_3$  pollution in this area.

894 This is the first measurement report of the vertical-temporal of  $\text{O}_3$  formation  
895 mechanisms near the ground surface. Together with the deliberation of the possible bias  
896 on the vertical-temporal profile of  $\text{O}_3$  formation rate and sensitivity using modelling  
897 studies, this research provides critical foundational insights. The findings provide us in-  
898 depth understanding of near-ground vertical variability of  $\text{O}_3$  formation mechanisms,  
899 which benefit us to formulate ozone control strategies in the PRD area of China.

900 *Data availability.* Data related to this article are available online at  
901 <https://zenodo.org/records/10473104>.

902 *Author contributions.* BY, JZ, XBL, and MS designed the experiment, YXH and  
903 JZ performed the  $P(\text{O}_3)_{\text{net}}$  measurement, BY and XBL built the vertical observation  
904 system based on SZMGT. JZ, CZ, AL, BY, JPZ, YXH, YW, XBL, XJH, XS, YC, SY,  
905 SY, YW, JPQ collected and analysed the data. JZ wrote the manuscript, all authors

906 revised the manuscript.

907 *Competing interests.* The authors declare that they have no known competing  
908 interests.

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