Insights into Soil NO Emissions and the Contribution to Surface Ozone Formation in China

Ling Huang\textsuperscript{a,b}, Jiong Fang\textsuperscript{a}, Jiaqiang Liao\textsuperscript{a}, Yarwood Greg\textsuperscript{c}, Hui Chen\textsuperscript{a}, Yangjun Wang\textsuperscript{a}, Li Li\textsuperscript{a}\textsuperscript{*}

\textsuperscript{a}School of Environmental and Chemical Engineering, Shanghai University, Shanghai, 200444, China
\textsuperscript{b}Zhejiang Key Laboratory of Ecological and Environmental Big Data
\textsuperscript{c}Ramboll, Novato, California, 94945, USA

Corresponding author at: School of Environmental and Chemical Engineering, Shanghai University, Shanghai 200444, China.
E-mail address: lily@shu.edu.cn (L. Li).

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Abstract. Elevated ground-level ozone concentrations have emerged as a major environmental issue in China. Nitrogen oxide (NOx) is a key precursor to ozone formation. Although control strategies aimed at reducing NOx emissions from conventional combustion sources are widely recognized, soil NOx emissions (mainly as NO) due to microbial processes have received little attention. The impact of soil NO emissions on ground-level ozone concentration is yet to be evaluated. This study estimated soil NO emissions in China using the Berkeley-Dalhousie soil NOx parameterization (BDSNP) algorithm. A typical modeling approach was used to quantify the contribution of soil NO emissions to surface ozone concentration. The Brute-force method (BFM) and the Ozone Source Apportionment Technology (OSAT) implemented in the Comprehensive Air Quality Model with extensions (CAMx) were used. The total soil NO emissions in China for 2018 were estimated to be 1157.9 Gg N, with an uncertainty range of 715.7–1902.6 Gg N. Spatially, soil NO emissions are mainly concentrated in Central China, North China, Northeast China, northern Yangtze River Delta (YRD) and eastern Sichuan Basin, with distinct diurnal and monthly variations that are mainly affected by temperature and the timing of fertilizer application. Both the BFM and OSAT results indicate a substantial contribution of soil NO emissions to the maximum daily 8-hour (MDA8) ozone concentrations by 8–12.5 µg/m$^3$ on average for June 2018, with the OSAT results consistently higher than BFM. The results also showed that soil NO emissions led to a relative increase in ozone exceedance days by 10%–43.5% for selected regions. Reducing soil NO emissions resulted in a general decrease in monthly MDA8 ozone concentrations, and the magnitude of ozone reduction became more pronounced with increasing reductions. However, even with complete reductions in soil NO emissions,
approximately 450.3 million people are still exposed to unhealthy ozone levels, necessitating additional control policies. This study highlights the importance of soil NO emissions for ground-level ozone concentrations and the potential of reducing NO emissions as a future control strategy for ozone mitigation in China.

1. Introduction

With the substantial decrease in atmospheric fine particulate matter (PM$_{2.5}$) concentrations during the past decade in China (Zhai et al., 2019; Xiao et al., 2020; Maji, 2020), ground-level ozone (O$_3$) emerges as a simultaneously targeted air pollutant because high ozone concentration increases respiratory and circulatory risks (Jerrett et al., 2009; Turner et al., 2016; Malley et al., 2017) and reduces crop yields (Ainsworth et al., 2012; Feng et al., 2019; Lin et al., 2018). A continuous increase in summertime surface ozone was observed across China’s nationwide monitoring network from 2013 to 2019, followed by an unprecedented decline in 2020 (except for Sichuan Basin) (Sun et al., 2021), which is equally attributed to meteorology and anthropogenic emissions reductions (Yin et al., 2021). As a secondary air pollutant, ozone is generated by the photochemical oxidation of volatile organic compounds (VOC) in the presence of nitrogen oxides (NO$_x$ = NO + NO$_2$), both of which are considered ozone precursors. The control strategies to mitigate ozone pollution in China focused on reducing NO$_x$ emissions at an early stage and started to stress the control of VOCs emissions in recent years (e.g., the 2020 action plan on VOCs mitigation), including control of fugitive emissions, stringent emissions standards, and substituting raw materials with low VOCs content (Ecology, 2020). Ding et al. (2021) concluded that for North China Plain (NCP), a region that experienced the most severe PM$_{2.5}$ and ozone pollution in China, reductions in NO$_x$ emissions are essential regardless of VOC reduction.

Existing control strategies for NO$_x$ emissions are almost exclusively targeted at combustion sources, for example, power plants, industrial boilers, cement production, and vehicle exhausts (Sun et al., 2018; Ding et al., 2017; Diao et al., 2018). However, NO$_x$ emissions from soils (mainly as NO), as a result of microbial processes (e.g., nitrification and denitrification), could make up a substantial fraction of the total NO$_x$ emissions (Hudman et al., 2012; Lu et al., 2021), yet is often overlooked. In California, soil NO$_x$ emissions in July accounted for 40% of the state’s total NO$_x$ emissions and resulted in 23% of enhanced surface ozone concentration (Sha et al., 2021). Romer et al. (2018) estimated that nearly half of the increase in hot-day ozone concentration in a forested area of the rural southeastern United States is attributable to the temperature-induced increases in NO$_x$ emissions, mostly likely due to soil microbes.

Soil NO$_x$ emissions are affected by many factors, including nitrogen fertilizer application, soil organic carbon content, soil temperature, humidity, and pH (Pilegaard, 2013; Bouwman et al.,
The amount of nitrogen fertilizer application in China was estimated to account for one-third of the global nitrogen fertilizer application (Heffer and Prud’homme, 2016), with most of the land under high nitrogen deposition (Liu et al., 2013; Lü and Tian, 2007). Therefore, soil NO emissions in China are expected to be significant, and their impacts on ozone pollution need to be systematically evaluated. So far, only a limited number of studies have addressed this issue in China (Lu et al., 2021; Shen et al., 2023; Wang et al., 2008; Wang et al., 2022). Lu et al. (2021) concluded that soil NO significantly reduced the ozone sensitivity to anthropogenic emissions in NCP, therefore, causing a so-called “emissions control penalty”. Wang et al. (2022) reported NOx emissions from cropland contributed 5.0% of the maximum daily 8h average ozone (MDA8 O₃) and 27.7% of NO₂ concentration in NCP. These studies focused solely on NCP, a region with persistent O₃ pollution in warm seasons (Liu et al., 2020; Lu et al., 2020). The impact of soil NO emissions on ozone concentrations over other regions, for example, the northern Yangtze River Delta (YRD) and Sichuan Basin, where soil emissions are high (see Section 3.1) and ozone pollution is also severe (Shen et al., 2022; Yang et al., 2021), has not been much evaluated in details (Shen et al., 2023). In addition, the method employed in existing studies to evaluate soil NO emissions on ozone concentration is the conventional “brute-force” zero-out approach, which might be inappropriate given the strong nonlinearity of the ozone chemistry (Clappier et al., 2017; Thunis et al., 2019).

With the deepening of emissions control measures for power, industrial and on-road sectors, anthropogenic NOx emissions from combustion sources have decreased at a much faster rate (by 4.9% since 2012) than that from soil (fertilizer application decreases at a rate of 1.5% since 2015, Fig. S1). Therefore, understanding the impacts of soil NO emissions on ground-level ozone concentration, particularly considering the spatial heterogeneities over different regions of China, is of great importance for formulating future ozone mitigation strategies. In this study, soil NO emissions in China for 2018 were estimated based on a most recent soil NO parameterization scheme with updated fertilizer data as input. The spatial and temporal variations of soil NO emissions were described first. Uncertainties associated with estimation of soil NO emissions were discussed. An integrated meteorology and air quality model was applied to quantify the impact of soil NO emissions on surface ozone concentration based on two different methods. Lastly, we evaluated the changes in ozone concentration and exposed population under different emission scenarios to highlight the effectiveness of reducing soil NO emissions as potential control policy. Our results provide insights into developing effective emissions reduction strategies to mitigate the ozone pollution in China.
2. Methodology

2.1. Estimation of soil NO emissions in China

Soil NO emissions were estimated based on the Berkeley-Dalhousie Soil NOX Parameterization (BDSNP) that is implemented in the Model of Emissions of Gases and Aerosols from Nature (MEGAN) version 3.2 (https://bai.ess.uci.edu/megan/data-and-code, accessed on September 1st, 2021). The BDSNP algorithm estimates the soil NO emissions by adjusting a biome-specific NO emissions factor in response to various conditions, including the soil temperature, soil moisture, precipitation-induced pulsing, and a canopy reduction factor (Eq. 1):

\[
NO_{\text{emission flux}} = A_{\text{biome}}(N_{\text{avail}}) \times f(T) \times g(\theta) \times P(l_{dry}) \times CRF(LAI, Biome, Meteorology) \quad \text{Eq. 1}
\]

where \(A_{\text{biome}}\) is the biome-specific emission factor; \(f(T)\) and \(g(\theta)\) are the temperature and soil moisture dependence functions, respectively; \(P(l_{dry})\) represents the pulsed soil emissions due to wetting of dry soils; and \(CRF\) describes the canopy reduction factor. The biome-specific NO emissions factor \(A_{\text{biome}}\) is a function of available nitrogen in the soil, which includes fertilizer and wet/dry deposition of nitrogen species. Details concerning the BDSNP parameterizations are described elsewhere (Hudman et al., 2012).

The default N fertilizer input data provided with the BDSNP algorithm is based on the International Fertilizer Industry Association (IFA) fertilizer-use dataset for the year 2000 (Potter et al., 2010), which gives a number of 19.6 Tg N/a. In this study, we collected fertilizer data from statistical yearbooks at the provincial level. The total amount of pure nitrogen fertilizer (hereafter N fertilizer) applied in the year 2018 is 20.7 Tg N/a, which is similar (5.6% higher) to IFA value. However, besides the N fertilizer, NPK compound fertilizer (containing nitrogen (N), phosphorous (P), and potassium (K)) is being increasingly applied in China. According to the statistical yearbook, the amount of N fertilizer applied decreased from 23.5 Tg in 2010 to 20.7 Tg in 2018 (a relative reduction of 11.9%). In contrast, NPK fertilizer increased from 18.0 in 2010 to 22.7 Tg in 2018 (a relative increase of 26.1%). We assumed one-third of the NPK fertilizer is nitrogen (Liu, 2016); thus, the total amount of nitrogen applied as fertilizer is 28.2 Tg N in 2018, which is 43.9% higher than the value from Potter et al. (2010). We divided China into seven regions for emission analysis at regional scale, namely Northeast China, North China, Central China, East China, South China, Southwest China, and Northwest China, as indicated by different colors in Fig. 1 (see Table S1 for the list of provinces in each region). At the regional level, the amount of total fertilizer differs by as much as -147% to 69% from the default fertilizer.
Fig 1. Modeling domain and region definitions. Surrounding charts show the annual and summer (June-July-August, JJA) soil NO emissions and ratio of soil NO to anthropogenic NOx emissions for each region.

2.2. Model configurations

A typical modeling approach was applied to evaluate the contribution of soil NO emissions to surface ozone concentration. The Weather Research and Forecasting (WRF) model (version 4.0, https://www.mmm.ucar.edu/wrf-model-general, accessed on December 1st, 2021) and the Comprehensive Air Quality Model with Extension (CAMx, version 7.0, http://www.camx.com/, accessed on December 1st, 2021) were applied to simulate the meteorological fields and subsequent ozone concentrations. The model configuration is the same as our previous studies (Huang et al., 2021; Huang et al., 2022) and is briefly presented here. Anthropogenic emissions include the Multi-resolution Emission Inventory of China for 2017 (MEIC, http://www.meicmodel.org, accessed on December 1st, 2021) and the 2010 European Commission’s Emissions Database for Global Atmospheric Research (EDGAR, http://edgar.jrc.ec.europa.eu/index.php, accessed on December 1st, 2021) for outside China. Biogenic emissions were calculated along with the soil NO emissions using MEGAN3.2. Open biomass burning emissions are adopted from the Fire INventory from NCAR version (FINN, version 1.5, https://www.acom.ucar.edu/Data/fire/) with MOZART speciation and converted to CAMx CB05 model species. The gaseous and aerosol modules used in CAMx include the CB05 chemical mechanism (Yarwood et al., 2010) and the CF module. The aqueous-phase chemistry is based on the updated mechanism of the Regional Acid Deposition Model (RADM) (Chang et al., 1987). A base case simulation was conducted for June 2018.
when soil NO emissions reached maxima (Section 3.1) and ozone pollution was severe over eastern China (Mao et al., 2020; Jiang et al., 2022). Base case model performances have been evaluated in our previous studies (Huang et al., 2021; Huang et al., 2022). Here we evaluated simulated ozone concentrations using the Pearson correlation coefficient (R), mean bias (MB), root-mean-square error (RMSE), normalized mean bias (NMB), and normalized mean error (NME) against hourly observed ozone concentrations for 365 cities in China. The formula for each of the statistical metrics is given in Table S2. Observed hourly ozone concentrations were obtained from the China National Environmental Monitoring Center.

2.3. Brute-force and OSAT

In this study, two methods were used to quantify the impact of soil NO emissions on surface ozone concentration during the simulation period. The first is the conventional brute-force method (BFM), which involves comparing the simulated ozone concentration between the base case and a scenario case without soil NO emissions. The difference between these two scenarios was considered to represent the contribution of soil NO emissions to ozone. The second method applies the widely used Ozone Source Apportionment Technology (OSAT) implemented in CAMx (Yarwood et al., 1996), with soil NO emissions being tagged as an individual emission group. OSAT attributes ozone formation to NOx or VOCs based on their relative availability and apportions NOx and VOCs emissions by source group/region (Ramboll, 2021). In addition to soil NO emissions, anthropogenic and natural emissions (including biogenic VOC emissions, lightning NO emissions, and open biomass burning) were also tagged as individual emission groups.

3. Results and discussions

3.1. Soil NO emissions for 2018 in China

3.1.1. Spatial and temporal variations

National total soil NO emissions for 2018 is estimated to be 1157.9 Gg N, with an uncertainty range of 715.7~1902.6 Gg N, which will be discussed more in Section 3.1.2. On an annual scale, soil NO emissions accounted for 17.3% of the total anthropogenic NOx emissions in China for 2017 (based on MEIC inventory). This ratio varies from 12.0% to 35.3% at regional scale. Unlike the anthropogenic NOx emissions that concentrate over densely populated regions (e.g., NCP, YRD), soil NO emissions are most abundant in Central China, particularly Henan Province and nearby provinces, including Hebei and Shandong in the NCP, Jiangsu and Anhui in northern YRD (Fig. 2a). Other hotspots of soil NO emissions include Northeast China and the eastern part of the Sichuan Bain. As expected, the spatial distribution of soil NO emissions closely mirrors that of the fertilizer application (Fig. 2b). Henan (located in Central China), Shandong (NCP), and Hebei (NCP) are the top three provinces that have the
highest fertilizer application (together accounting for 24.1% of national totals in 2018) and thus highest soil NO emissions (together accounting for 35.7%).

Fig 2. Spatial distribution of (a) soil NO emissions for 2018 and (b) N and compound fertilizer applied for 2018.

In terms of the monthly variations, the total soil NO emissions show a unimodal pattern (as shown in Fig. 3a with the highest emissions occurring in the summer months of June, July, and August), except for South China and Southeast China (Fig. S2), where the peak emissions occur in April or May. Soil NO emissions during the summer months account for 28.2% (South China) to 67.6% (Northeast China) of the annual totals (Fig. 1 and Table S3). The shape of monthly soil NO emissions is influenced by temperature and the timing of fertilizer application. The BDSNP algorithm assumes that 75% of the annual fertilizer is applied over the first month of the growing season, with the remaining 25% applied evenly throughout the rest of the growing season. This assumption results in a significant amount of fertilizer being applied from April to August (Fig. 3a). In contrast, anthropogenic NOx emissions display weaker monthly variations (Zheng et al., 2021). Consequently, the ratio of soil NO emissions to anthropogenic NOx (SN/AN) is much higher during the summer months. In regions such as Central China and Northwest China, where soil NO emissions are high and anthropogenic NOx emissions are relatively low, SN/AN reaches 74.0% and 67.5% during the summer months (Fig. 1 and Table S3). In East China and North China, where anthropogenic NOx emissions are high, SN/AN ranges from 26.3% to 46.0% during the summer months. These findings are align with Chen et al. (2022), who reported that soil NO emissions made up 28% of total NOx (soil NO + anthropogenic NOx) emissions in summer and could reach 50–90% in isolated areas and suburbs. The substantial contribution of soil NO emissions during the ozone pollution season implies a potentially significant impact on surface ozone concentration. In terms of diurnal variations, soil NO emissions peak in the afternoon due to diurnal temperature fluctuations. As illustrated by Fig. 3b, the average hourly soil NO emissions over NCP for June 2018 closely follow the WRF simulated temperature changes.
The BDSNP algorithm identifies three sources of soil nitrogen: background, atmospheric nitrogen deposition, and fertilizer application, with the latter being the primary contributor. A decomposition analysis of soil NO emissions for NCP reveals that fertilizer application accounts for 83.4% of total NO soil emissions (Fig. 3b), while background and atmospheric nitrogen deposition only contribute for 11.2% and 5.4%, respectively. Thus, although soil NO emissions are generally considered a “natural” source (Galbally et al., 2008) and are not currently targeted in NOx emission mitigation strategies, human fertilizer activities render soil NO emissions an anthropogenic source.

Fig. 3 (a) Monthly fertilizer (N + compound) applied and soil NO emissions in China and (b) hourly soil NO emissions for 2018 June in NCP and domain-averaged hourly 2-m temperature simulated by WRF.

3.1.2. Uncertainties associated with soil NO emission estimation

Although the BDSNP algorithm is considered more sophisticated than the old YL95 algorithm, soil NO emissions are still subjected to large uncertainties. The first uncertainty comes from the amount of fertilizer application, which has been identified as the dominant contributor to soil NO emissions, as mentioned above. According to the global dataset (Potter et al., 2010), the amount of fertilizer applied is 19.6 Tg, which is comparable to the sum of nitrogen fertilizer for 2018 (20.7 Tg) obtained from provincial statistical yearbooks. However, compound fertilizer, usually with a nitrogen, phosphorus, and potassium ratio of 15:15:15, has been used more in China. Since 2016, the amount of nitrogen fertilizer has been decreasing annually at an average rate of 4.6%, while the amount of compound fertilizer has been increasing since 2010 at an average rate of 3.3%. The ratio of compound fertilizer to nitrogen fertilizer has increased from 76.4% in 2010 to 109.8% in 2018. Consequently, soil NO emissions may be largely underestimated if the compound fertilizer is not taken into account. Our calculation shows that if only nitrogen fertilizer is considered, the estimated total soil NO emissions are 805.2 Gg N a⁻¹ for 2018, which is comparable to the value (770 Gg N a⁻¹ averaged during 2008-2017) reported by Lu et al. (2021), but 30.5% lower than that based on both nitrogen fertilizer and compound fertilizer. Regionally, this underestimation
ranges from 11.1%–41.5%, with a larger underestimation in Central China and East China (Fig. S3).

Another major uncertainty in estimating soil NO emissions is the temperature dependence factor $f(T)$ in Eq. 1. According to the BDSNP scheme, soil NO emissions increase exponentially with temperature between 0 and 30°C and reach a maximum when the temperature exceeds 30°C. The default temperature dependence coefficient (i.e., $k$ in Eq. S1) follows the value used in the YL95 scheme, which is 0.103±0.04. However, as shown by Table 3 in Yienger and Levy (1995), this value is the weighted average of values reported for different land types, which shows a wide range from 0.040 to 0.189. Even for the same crop type (e.g., corn), the value of $k$ could be quite different (0.130 vs. 0.066). We conducted a sensitivity analysis to examine the impact of varying the $k$ value on estimated soil NO emissions. When the $k$ value decreases or increases by 20%, the estimated total soil NO emissions change from 715.7 to 1902.6 Gg N/a, representing a relative difference of -38.2–64.3% deviation from the default value (1157.9 Gg N/a). Using the default $k$ value would result in a large overestimation of simulated NO$_2$ concentrations over NCP and YRD and underestimation over Northeast China (Fig. S4). According to the total sown areas of farm crops reported in the provincial statistical yearbook, the primary crops grown in these regions are wheat and corn, which have a relatively low $k$ value (0.066–0.073). Therefore, we adjusted $k$ for NCP (reduced by 20%), YRD (reduced by 10%), and Northeast China (increased by 10%). CAMx simulation results show that this adjustment would not significantly affect the simulated MDA8 O$_3$ concentration but could reduce the NO$_2$ gap between observation and simulation (Fig. S4-S5). Therefore, we applied this adjustment to soil NO emissions in the following CAMx simulations.

The soil NO emissions estimated in this study were also compared with values reported by existing studies based on either field measurement or model estimation (Table S4). Previous studies report a wide range of soil NO emissions from 480 to 1375 Gg N and soil NO flux ranging from 10 to 47.5 ng N m$^{-2}$ s$^{-1}$. The soil NO emissions estimated in our study are 1157.9 Gg N with the default $k$ value and 951.9 Gg N with region-adjusted $k$ value, which falls within the upper range of previously reported values. The averaged soil NO flux over NCP in June 2018 estimated in our study is 35.4 ng N m$^{-2}$ s$^{-1}$, which is within the range reported by previous studies (12.9–40.0 ng N m$^{-2}$ s$^{-1}$).

3.2. Contribution of soil NO emissions to ground-level ozone

3.2.1. Base case model evaluation

Fig. 4 shows the monthly averaged MDA8 ozone concentration simulated for June 2018 with observed values presented on top. Overall the model well captured the spatial distribution of MDA8 with a spatial correlation $R = 0.89$. Over the 365 cities in China, the simulated
monthly averaged MDA8 ozone concentration is 146.7 ± 36.1 μg/m³, which is slightly higher than the observed value of 129.6 ± 37.6 μg/m³ (NMB = 13.2%). Regionally, model shows better performance in Northeast China (MB = 2.4 μg/m³, NMB = 1.9%) and NCP (MB = 13.3 μg/m³, NMB = 7.7%). Over-prediction is observed for Sichuan Basin and YRD (Table S5).

![Map showing ozone concentration in China](image)

**Fig 4.** Comparison of simulated and observed values of MDA8 ozone in China in June 2018.

### 3.2.2. Impacts on regional ozone

To assess the contribution of soil NO emissions to surface ozone, both the brute-force method (BFM) and the OSAT method were applied, and the results are shown in Fig. 5. Generally, the two methods show consistent ozone contribution from soil NO emissions but with different magnitudes. The BFM method shows widespread ozone enhancement due to soil NO emissions with a spatial pattern that aligns with the distribution of soil NO emissions. Substantial ozone enhancement is found over Central China, Sichuan Basin, northern YRD, and eastern Northeast China. Maximum ozone enhancement (ΔMDA8) due to soil NO emissions is 26.4 μg/m³ with a domain-average value of 8.0 μg/m³. For selected key regions, the ozone concentration ranges from low to high: PRD (3.8 ± 1.1 μg/m³), YRD (8.7 ± 4.7 μg/m³), Sichuan Basin (9.1 ± 0.9 μg/m³), Northeast (9.3 ± 3.0 μg/m³), and NCP (13.9 ± 4.4 μg/m³), respectively. A similar spatial pattern is observed with the OSAT results, but the magnitudes are much higher. Maximum ozone concentration by soil NO emissions reaches 40.4 μg/m³ according to OSAT results, which is 53.0% higher than the brute force method.

The corresponding ozone contribution for each selected region is 6.7 ± 1.2 μg/m³ (PRD), 13.5 ± 7.4 μg/m³ (Sichuan Basin), 14.5 ± 4.9 μg/m³ (Northeast China), 16.2 ± 7.8 μg/m³ (YRD) and 25.7 ± 5.3 μg/m³ (NCP). The scatter plots between BFM and OSAT results show good correlations (Fig. 6, R² = 0.78–0.97), with OSAT results higher by 10%–61%. For YRD, Sichuan Basin, and Northeast, the difference between the OSAT method and BFM increases...
with the absolute ozone concentration (Fig. S7), while NCP shows the opposite trend. The
difference between the two methods reflects the nonlinear ozone response to NOx emissions.
In addition to soil NO contribution, OSAT also gives ozone contributions from other source
groups, including anthropogenic emissions within China, boundary contribution, natural
emissions (e.g., biogenic emissions, open biomass burning, lightning NOx), and emissions
outside China. The spatial distribution for each source category is presented in Fig. S8, and
the relative contribution for each selected region is shown in Fig. S9. Overall, boundary
transport (54.0%) and anthropogenic emissions (25.9%) contribute most to MDA8 ozone for
June 2018. Boundary contribution is high over the western and northern parts of China, while
the contribution from anthropogenic emissions is substantial over eastern China, where
anthropogenic emissions are extensive. On a national scale, soil NO emissions exhibit a
relative ozone contribution of 9.5%, and regionally this value ranges from 6% in PRD to 14%
in NCP.

![Ozone contribution from soil NO emissions based on (a) brute force method and (b) OSAT method.](image)

We further evaluated the impact of soil NO emissions on the number of ozone exceedances
days (i.e., days with MDA8 O3 higher than 160 μg/m³) during June 2018 based on the relative
response factor (RRF) method and results from the brute force method. The total number of
ozone exceedances days during June 2018 for the five selected regions ranged from 50 days
in PRD to 985 days in NCP (Table 1). The number of ozone exceedance days per city ranged
from 3.1 days in Sichuan Basin to 18.2 days in NCP, suggesting the severe ozone pollution in
June 2018 over NCP. RRF was first calculated for each city as the ratio of simulated ozone
concentration between the base case and the case with soil NO emissions excluded and
applied to the observed ozone concentrations to obtain adjusted ozone concentrations without
soil NO emissions. Soil NO emissions are estimated to lead to 121 ozone exceedance days in
NCP, followed by 84 days in the Northeast and 70 days in YRD, corresponding to a percent
change of 12.3%, 32.8%, and 10.5%, respectively. In Sichuan Basin, where soil NO emissions
are also substantial, soil NO emissions contribute 30 ozone exceedances days, which accounts for 43.5% of the total ozone exceedances days. These results suggest the substantial contribution of soil NO emissions to the number of ozone pollution days over regions with high soil NO emissions.

### Table 1. Number of ozone exceedances over selected regions during June 2018.

<table>
<thead>
<tr>
<th>Region</th>
<th>Number of ozone exceedance days (% of total days)</th>
<th>Δozone exceedances days when soil NO emissions are removed</th>
<th>% of total ozone exceedances days</th>
</tr>
</thead>
<tbody>
<tr>
<td>NCP (54)</td>
<td>985 (60.8%)</td>
<td>-121</td>
<td>-12.3%</td>
</tr>
<tr>
<td>YRD (55)</td>
<td>666 (41.1%)</td>
<td>-70</td>
<td>-10.5%</td>
</tr>
<tr>
<td>PRD (9)</td>
<td>50 (18.5%)</td>
<td>-6</td>
<td>-12.0%</td>
</tr>
<tr>
<td>Sichuan Basin (22)</td>
<td>69 (10.5%)</td>
<td>-30</td>
<td>-43.5%</td>
</tr>
<tr>
<td>Northeast (37)</td>
<td>256 (23.1%)</td>
<td>-84</td>
<td>-32.8%</td>
</tr>
</tbody>
</table>

3.3. Ozone responses to reductions in soil NO emissions

Current NOx emission control policies primarily target combustion sources, such as power plants (Du et al., 2021) and on-road vehicles (Park et al., 2021). Nitrification inhibitors, such as dicyandiamide (DCD, C2H4N4), have been found to be effective in reducing nitrogen loss, thereby reducing NO emissions from soil (Abalos et al., 2014). Studies have shown that using 5% DCD with nitrogen fertilizer can reduce NO emissions by up to 70% (Xue et al., 2022). In light of this, it is important to evaluate the impact of reduced soil NO emissions on ozone concentration. To address this question, four sensitivity simulations were carried out for June 2018, with soil NO emissions reduced by 25%, 50%, 75%, and 100% relative to the base case. As shown by Fig. 6, reducing soil NO emissions led to a general decrease in monthly MDA8 ozone concentration (ΔMDA8), with the magnitude of ΔMDA8 becoming more significant with the reduction ratio. With a 25% reduction in soil NO emissions, there was a widespread small decrease in monthly average MDA8 ozone concentration (ΔMDA8: -1.5±0.9 µg/m³), except over NCP where ozone showed a slight increase (up to 1.3 µg/m³) in Shandong and Henan province. When soil NO emissions were cut by 50%, ΔMDA8 showed a ubiquitous decrease across entire China with an average ΔMDA8 of -5.5 µg/m³. When soil NO emissions were removed entirely, the maximum ΔMDA8 could exceed 25 µg/m³ over central China, part of the Sichuan Basin, Northeast China, and Northeast China. Regions with strong ozone responses generally aligned with regions that also had high soil NO emissions. However, it should be noted that the ozone response to soil NO reductions not only depends on the magnitude of soil NO emissions but is also affected by (1) the local ozone formation regime that is further determined by the relative abundance of NOx and VOCs, and (2) changes in transport of upwind ozone.
Fig 6. Spatial distribution of ΔMDA8 under (a) 25%, (b) 50%, (c) 75%, and (d) 100% reductions of soil NO emissions in June 2018.

Fig. 7a provides further details on the domain-averaged ΔMDA8 under different reduction scenarios for the five key regions. As expected, the ozone response in each region increased as the reduction in the soil NO emissions increased. NCP exhibited the strongest ozone responses to changes in soil NO emissions, with ΔMDA8 increasing from -0.7±0.8 μg/m³ with 25% reductions to -13.9±4.4 μg/m³ when all soil NO emissions were removed. YRD, Sichuan Basin, and Northeast China exhibit similar ozone responses when soil NO emissions are reduced. Under the 25% scenario, ΔMDA8 ranged from -4.7 to 1.3 μg/m³ for these three regions; with 100% soil NO reductions, ΔMDA8 ranged from -21.4 to -0.9 μg/m³. ΔMDA8 in PRD was relatively small. Even with a 100% reduction, the average ΔMDA8 in PRD was less than 5 μg/m³, which is associated with the small soil NO emissions in PRD. It is interesting to note that all regions except NCP exhibited an approximate linear ozone response to changes in soil NO emission reductions. NCP showed more significant ozone reductions as the reduction ratio increased, suggesting that NCP would gain more benefits with more aggressive reductions in soil NO emissions compared to other regions.
We evaluated the impact of different soil NO emission reduction scenarios on the area and population exposed to varying ozone levels. The results, presented in Fig. 7b and 7c, revealed a decrease in coverage and exposed population under high ozone concentrations as soil NO emissions decrease. The data presented in the plots are for grid cells with monthly MDA8 ozone concentrations exceeding 160 $\mu$g/m$^3$. In the Base scenario, the estimated coverage of MDA8 ozone exceeding 160 $\mu$g/m$^3$ was 1.84×10$^6$ km$^2$, equivalent to 19.2% of the national land area. The population exposed to ozone concentrations exceeding 160 $\mu$g/m$^3$ amounts to 566.6 million, representing 43.4% of the entire population. The areas with extremely high ozone concentrations (MDA8 > 200 $\mu$g/m$^3$) account for 1.9% of the national land area, with a corresponding exposed population of 10.9%, indicating that densely populated areas experience higher ozone concentrations. When soil NO emissions are halved, there is a 15.2% reduction in the coverage of non-attainment areas and an 8.0% reduction in the total exposed population. If soil NO emissions are eliminated, the total area coverage and population exposed to MDA8 ozone concentrations exceeding 160 $\mu$g/m$^3$ would be 1.27×10$^6$ km$^2$ and 450.3 million, respectively, representing 13.2% and 34.5% of the total. Compared to the Base scenario, a 100% theoretical reduction in soil NO emissions leads to a 31.3% and 20.5%
reduction in the exposed area and population under high ozone concentration, respectively, indicating substantial health benefits gained when soil NO emissions are mitigated.

Fig. S10-S11 displays similar area and population plots for selected key regions. The overall trends for each sub-region are consistent. With 100% reductions in soil NO emissions, the area with high ozone concentration decreased by 17.8%, 22.3%, 65.4%, and 100% for NCP, YRD, Sichuan Basin, and Northeast. The corresponding values for the exposed population are 91.4%, 60.3%, 9.8%, and 0.0%. While the relative change is more significant in Sichuan Basin and Northeast China, NCP and YRD gain more health benefits due to the significantly higher total population for these two regions. However, it is worth noting that even with the complete elimination of soil NO emissions, a total of 450.3 million people are still exposed to ozone levels exceeding the national standard, necessitating additional control policies, such as synergistic control of anthropogenic VOC emissions (Chen et al., 2022; Ding et al., 2021).

4. Conclusions

Soil NO emissions are non-negligible NOx sources, particularly during summer. The importance of soil NO emissions to ground-level ozone concentration in China is much less evaluated than combustion NOx emissions. In this study, the total national soil NO emissions were estimated to be 1157.9 Gg N in 2018, with a spatial distribution closely following that of fertilizer application. High soil NO emissions were mainly concentrated over Henan, Shandong, and Hebei provinces, which differs from anthropogenic NOx emissions. Distinct diurnal and seasonal variations in soil NO emissions were simulated, mainly driven by the changes in temperature as well as the timing of fertilizer application. Uncertainty analysis reveals a range of 715.7~1902.6 Gg N of soil NO emissions that warrant further constraints from observations.

Using two methods (BFM and OSAT), we evaluated the contribution of soil NO emissions to ground-level ozone concentration for June 2018. Both methods suggest a substantial contribution of soil NO emissions to MDA8 ozone concentrations by 8~12.5 µg/m³ on average for June 2018, with the OSAT results consistently higher than BFM. Soil NO emissions were shown to lead to a relative increase of ozone exceedances days by 10.0%~43.5% for selected regions. Reducing soil NO emissions could generally reduce the ground-level ozone concentrations and populations exposed to unhealthy ozone levels (MDA8 > 160 µg/m³), especially over NCP and YRD. With a 50% reduction in soil NO emissions, the coverage of non-attainment areas and the population exposed to unhealthy ozone levels decreased by 15.2% and 8.0%, respectively. However, even with the complete removal of soil NO emissions, approximately 450.3 million populations are still exposed to unhealthy ozone levels, necessitating additional control policies, such as synergistic control of anthropogenic VOC emissions.
Data availability. Data will be made available on request.

Author contributions. Ling Huang: Conceptualization, Formal analysis, Writing – original draft. Jiong Fang: Data curation, Formal analysis, Visualization. Jiaqiang Liao: Data curation, Formal analysis, Visualization. Yarwood Greg: Writing – review & editing. Chong Han: Writing – review & editing. Du Bo: Resources. Hui Chen: Writing – review & editing. Yangjun Wang: Writing – review & editing. Li Li: Conceptualization, Supervision, Funding acquisition.

Competing interests. The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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