

# The 2022 $M_w$ 6.0 Gölyaka-Düzce earthquake: an example of a medium size earthquake in a fault zone early in its seismic cycle

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## Abstract

On November 23<sup>rd</sup> 2022, a  $M_w$  6.0 earthquake occurred in direct vicinity of the  $M_w$  7.1 Düzce earthquake that ruptured a portion of the North Anatolian Fault in 1999. The  $M_w$  6.0 event was attributed to a small portion of the Karadere Fault off the main North Anatolian Fault that did not rupture during the 1999 sequence. We analyze the spatio-temporal evolution of the  $M_w$  6.0 Gölyaka-Düzce seismic sequence at various scales and resolve the source properties of the mainshock. Modeling the decade-long evolution of background seismicity of the Karadere Fault employing an Epistemic Type Aftershock Sequence model shows that this fault was almost seismically inactive before 1999, while a progressive increase in seismic activity is observed from 2000 onwards. A newly generated high-resolution seismicity catalog from 1 month before the mainshock until six days after created using Artificial Intelligence-aided techniques shows only few events occurring within the rupture area within the previous month, no spatio-temporal localization process and a lack of immediate foreshocks preceding the rupture. The aftershock hypocenter distribution suggests the activation of both the Karadere fault which ruptured in this earthquake as well as the Düzce fault that ruptured in 1999. First results on source parameters and the duration of the first P-wave pulse from the mainshock suggest that the mainshock propagated eastwards in agreement with predictions from a bimaterial interface model. The  $M_w$  6.0 Gölyaka-Düzce event represents a good example of an earthquake rupture with damaging potential within a fault zone that is in a relatively early stage of the seismic cycle.

## Key Points

- Increased seismicity observed for Karadere fault after 1999  $M_w$  7.4 Izmit earthquake while almost seismically inactive before.
- Aftershock distribution suggests activation of both the Karadere fault rupturing in this earthquake and Düzce fault that ruptured in 1999.
- Characteristics of P-wave first pulses suggest eastward rupture propagation in agreement with predictions from bimaterial interface models.

## 1. Introduction

Large strike-slip fault zones such as the San Andreas Fault in California, USA, or the North Anatolian Fault in Türkiye, among others, host some of the largest shallow earthquakes (typically up to  $M \sim 8$ , see e.g. Wesnousky et al., 1988; Stirling et al., 1996; Martínez-Garzón et al., 2015) worldwide. Some of these hazardous faults run near urban areas, and hence they have an associated risk. This is the case of the western portion of the North Anatolian Fault, being a seismic threat for the Istanbul metropolitan area and nearby population centers. There, the return period of  $M > 7$  earthquakes rupturing the main fault trace has been estimated to be approximately 250 years

45 (Murru et al, 2016). The most recent large earthquakes along the NAFZ were the August 17th  
46 1999 MW 7.4 Izmit and the November 11th, 1999 MW 7.1 Düzce events (Fig. 1). Together they  
47 ruptured approximately 180 km and connected the Marmara segment in the west to the 1944  
48 rupture in the east (Bürgmann et al., 2002; Sengör et al., 2005; Bohnhoff et al., 2013). On Nov  
49 23<sup>rd</sup> 2022, a  $M_w$  6.0 earthquake occurred around 6 and 10 km away from the cities of Gölyaka  
50 and Düzce, respectively, and about 200 kilometers eastward of the Istanbul metropolitan area. In  
51 the following, we refer to this event as the Gölyaka-Düzce earthquake, felt especially in the  
52 province of Düzce and its districts (Eyidogan, 2022). Hypocenter locations provided by KOERI<sup>1</sup>  
53 and AFAD<sup>2</sup> reported that the Gölyaka-Düzce earthquake occurred close to the intersection of the  
54 ruptures of the 1999 MW 7.4 Izmit and MW 7.1 Düzce earthquake ruptures (Bouin et al., 2004;  
55 Konca et al., 2010, Fig. 1). Such  $M \sim 6$  earthquakes are relatively infrequent in the region  
56 (according to the ISC-GEM<sup>3</sup> catalog, similar size events could have occurred in 1926 and 1944)  
57 but they hold potential to damage key infrastructure and lead to casualties. Hence, analyzing this  
58 earthquake and its pre- and post- seismic deformation is important to illuminate the local fault  
59 architecture (e.g. Ross et al., 2020), and recover how moderate events nucleate in the region (e.g.  
60 Malin et al., 2018; Durand et al., 2020). In addition, analysis of the earthquake source properties  
61 can help to decipher any preferential directions of seismic energy release, which is essential for  
62 seismic hazard estimation.

63 The Gölyaka-Düzce earthquake was the first  $M \sim 6$  in the area after the 1999  $M > 7$  earthquakes  
64 and their aftershock sequences. As such, it represents the occurrence of an earthquake with  
65 damaging potential in a fault zone that is broadly still in the early stage of the seismic cycle, when  
66 the accumulated elastic strain is relatively low. However, at this location, the geometry of the fault  
67 zone is highly complex, with slip partitioning along two main fault traces bounding the Almacık  
68 Block and numerous secondary faults obliquely oriented (Fig 1c). Moment tensor estimation by  
69 different agencies (Table S1) consistently reported on a strike-slip mechanism with a small normal  
70 faulting component for this earthquake. The hypocenter location and fault plane appeared  
71 consistent with a portion of the Karadere Fault (Fig 1c). Different lines of evidence suggest that a  
72 at least a few km of the Karadere Fault did not rupture during the 1999 Izmit-Düzce sequence and  
73 thus remained loaded and then ruptured in the Gölyaka-Düzce earthquake (Bohnhoff et al., 2016b,  
74 Özalp and Kürçer, 2022). These include (i) the magnitude of the latest mainshock, (ii) the spatial  
75 extension of aftershocks and (iii) the previous surface mapping of local faults. First field surveys  
76 immediately after the  $M_w$  6.0 Gölyaka-Düzce earthquake found no surface rupture indicating that  
77 the slip did not extend to the surface (Özalp and Kürçer, 2022).

78 In this study, we analyze the spatio-temporal evolution of the Gölyaka-Düzce seismic sequence  
79 and the preceding seismicity in the area in detail with a focus on the source mechanisms and in  
80 context of the local seismotectonic setting. Our primary goals are to determine how the earthquake  
81 initiated, what are the ongoing deformation mechanisms in the region, and how the energy from  
82 this mainshock was radiated. Insights from this earthquake sequence are important to learn about  
83 the dynamics of potentially damaging earthquakes in complex transform fault settings, and the

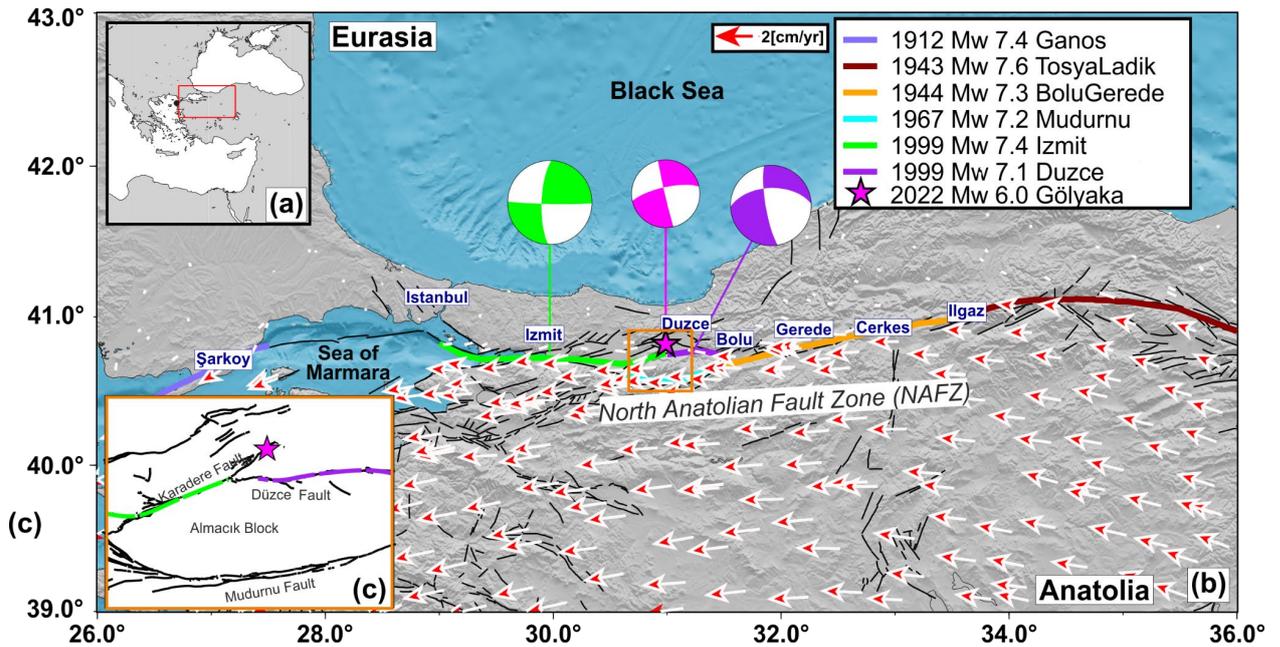
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<sup>1</sup> Kandilli Observatory and Earthquake Research Institute. <http://www.koeri.boun.edu.tr/new/en>

<sup>2</sup> Disaster and Emergency Management Presidency <https://www.afad.gov.tr/>

<sup>3</sup> ISC-GEM: International Seismological Centre <http://www.isc.ac.uk/iscgem/>

84 rupture of highly stressed faults in immediate vicinity to a major strike-slip transform with  
 85 relatively low stress conditions corresponding to the fault zone being early in its seismic cycle.



86  
 87 **Figure 1: Seismotectonic setting.** (a) Location map with red rectangle indicating the area  
 88 enlarged in (b). The small black circle represents the location of the 2014 M 6.9 Saros earthquake.  
 89 (b) Study area with the location of main population centers along the North Anatolian fault zone  
 90 (NAFZ). Colored lines denote rupture extents of historical earthquakes along NAFZ, with their  
 91 respective magnitudes and dates indicated in the legend. Arrows are an updated GPS velocity field  
 92 for Türkiye (Kurt et al., 2022), considering the Eurasia-fixed reference frame. The magenta star  
 93 indicates the Gölyaka earthquake epicenter along with its focal mechanism from KOERI, as well  
 94 as the focal mechanisms of the Izmit (green) and Düzce (purple) earthquakes in 1999 (data from  
 95 the global CMT catalog, Ekström et al., 2012; Dziewonski et al., 1981). (c) into the region struck  
 96 by Gölyaka earthquake.

## 97 2. Data and Methodology applied

### 98 2.1 Background seismicity evolution

99 To put the Gökaya-Düzce seismic sequence in a regional and long-term context, we established a  
 100 consistent regional seismicity catalog between 1990 and 2022 for the two national seismic  
 101 agencies. The AFAD catalog has 31,081 events with magnitudes  $M$  [1.3 - 7.6] for such a period,  
 102 whereas the KOERI catalog reported 42,050 events  $M$  [1.4 - 7.4]. We analyzed the decade-long  
 103 evolution of the AFAD and KOERI regional seismicity catalogs through a declustering process  
 104 (Fig. 2). Both catalogs changed the reported magnitude type at the end of 2011 and beginning of  
 105 2012, from duration magnitude  $M_d$  to local  $M_L$ . For consistency we homogenized both catalogs  
 106 converting uniformly to moment magnitude  $M_W$ , following the empirical relationships proposed  
 107 for the study region by Kadirioglu & Kartal (2016):

$$108 \quad M_W = 0.7949M_d + 1.3420, \quad (1)$$

109 
$$M_W = 0.8095M_L + 1.303. \quad (2)$$

110 After both catalogs were homogenized to  $M_W$ , we estimated the magnitude of completeness  $M^C$  of  
 111 each catalog, following a probabilistic approach to fit the frequency-magnitude curve (Ogata and  
 112 Katsura, 1993; Daniel et al., 2008, Jara et al., 2017). In contrast to the maximum curvature method,  
 113 this technique fits a function to explain the magnitude-frequency distribution, including all catalog  
 114 events. The number of earthquakes is fit as a function of magnitude as follows:

115 
$$N(m) = A \times 10^{-bm} \times q(m), \quad (3)$$

116 where the  $b$ -value represents the slope of the Gutenberg-Richter law,  $A$  is a normalization constant,  
 117 and  $q(m)$  is the probability that one earthquake of magnitude  $m$  is listed in the catalog. Then, we  
 118 modeled  $q$  as (Ogata and Katsura, 1993):

119 
$$q(m) = \frac{1}{2} + \frac{1}{2} \operatorname{erf} \left( \frac{m - \hat{\mu}}{\sqrt{2}\hat{\sigma}} \right), \quad (4)$$

120 where  $\operatorname{erf}$  is the error function and  $\hat{\mu}$  and  $\hat{\sigma}$  correspond to the mean and standard deviation of the  
 121 probability distribution function, respectively. We optimized  $[A, b, \hat{\mu}, \hat{\sigma}]$  for each catalog following  
 122 a Bayesian approach to derive the parameters posterior Probability Density Function (PDF). We  
 123 used the Markov Chain Monte Carlo sampler of PyMC (Salvatier et al., 2016), to draw 500.000  
 124 samples from the posterior PDF. The inferred parameters and their associated uncertainties are in  
 125 [Table S2](#). Then, the completeness magnitude  $M^C$  is computed as follows:

126 
$$M^C = \hat{\mu} + 2\hat{\sigma}, \quad (5)$$

127 i.e., a 97.7% probability threshold, which yielded a  $M^C = 3.4$  for the AFAD catalog, whereas, for  
 128 the KOERI one, we obtained a  $M^C = 4.1$  (see insets in Fig 2, and Figs S1 and S2 for the obtained  
 129 fitting). Once  $M^C$  was estimated for both catalogs, we declustered them using an epidemic-type  
 130 aftershock sequence model (Marsan et al., 2017; Jara et al., 2017). Such approach considers the  
 131 total seismicity rates  $\lambda(x, y, t)$  as the following sum:

132 
$$\lambda(x, y, t) = \mu(x, y, t) + \nu(x, y, t), \quad (6)$$

133 where  $\nu(x, y, t)$  accounts for the aftershock productivity, and  $\mu(x, y, t)$  is the background seismicity  
 134 rate for earthquakes occurring at a given location  $(x, y)$  and time  $t$ . The aftershock rate was  
 135 estimated following the Omori-Utsu law pondered by a power spatial density, following:

136 
$$\nu_i(x, y, t) = \sum_{i \forall t_i < t} \frac{\kappa(m_i)}{(t+c-t_i)^p} \frac{(\gamma-1)L(m_i)^{\gamma-1}}{2\pi((x-x_i)^2+(y-y_i)^2+L_i^2)^{\frac{\gamma+1}{2}}}, \quad (7)$$

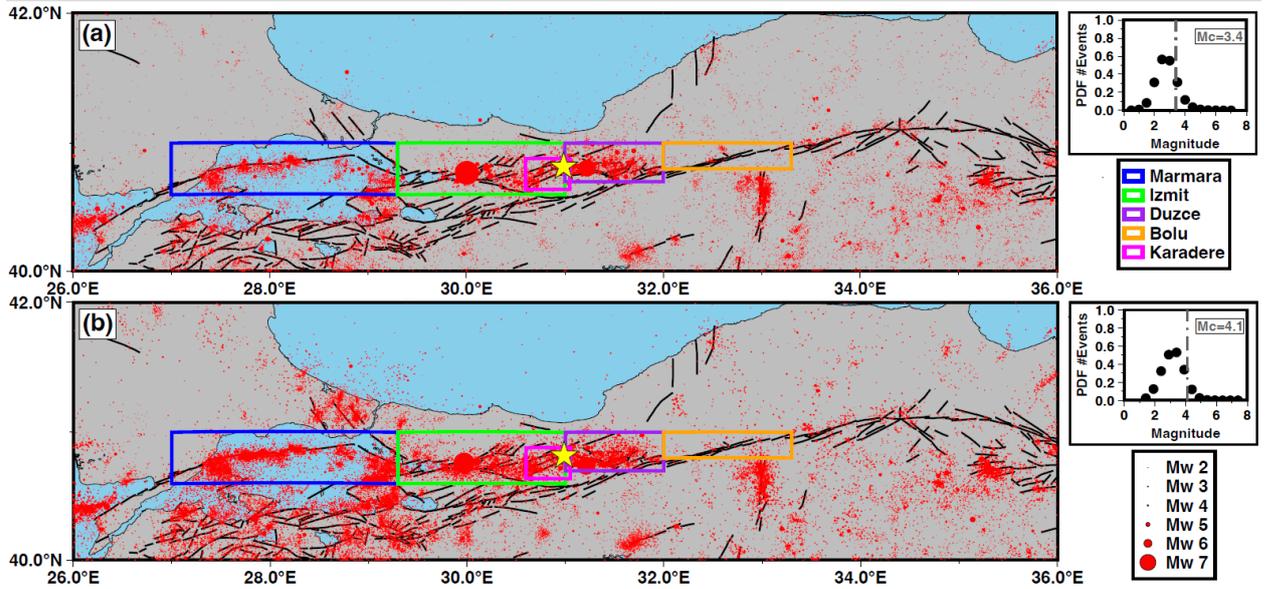
137 in which  $c$ ,  $\gamma$ , and  $p$  are constants, and  $\kappa(m)$  is the productivity law with a constant  $\alpha$  [Ogata,  
 138 1988].  $L(m) = L_0 \times 10^{0.5(m-M^C)}$  is the characteristic length in km (Utsu & Seki, 1955; van der Elst  
 139 & Shaw, 2015). Here, we imposed realistic values for parameters  $\alpha = 2$ ,  $p = 1$ ,  $c = 10^{-3}$  days,  $\gamma =$   
 140 2, and  $L_0 = 1.78$  km (Marsan et al., 2017; Jara et al., 2017; Karabulut et al., 2022). Parameters  $\kappa$   
 141 and  $\mu(x, y, t)$  were inverted. The background seismicity was computed as follows:

$$142 \quad \mu(x, y, t) = \sum_i \frac{\mu(x_i, y_i, t_i)}{\lambda(x_i, y_i, t_i)} e^{-\frac{\sqrt{(x-x_i)^2 + (y-y_i)^2}}{l}} e^{-t-t_i/\tau} \times \frac{1}{2\pi l^2 a_i}, \quad (8)$$

143 with  $l$  and  $\tau$  space and time being smoothing parameters, and  $a_i = 2\tau - \tau \left( e^{\frac{t_s-t_i}{\tau}} - e^{\frac{t_e-t_i}{\tau}} \right)$ , where  $t_s$   
 144 and  $t_e$  are the temporal beginning and end of the catalog, respectively.  $\kappa$  is inferred as:

$$145 \quad \kappa = \frac{\sum_i 1 - \frac{\mu(x_i, y_i, t_i)}{\lambda(x_i, y_i, t_i)}}{\sum_i e^{\alpha m_i (\ln(t_e + c - t_i) - \ln(c))}}. \quad (9)$$

146 Here, we used a smoothing length  $l = 100$  km and a smoothing duration  $\tau = 100$  days. Such choices  
 147 are able to preserve the potential accelerations/decelerations from catalogs (Marsan et al., 2017;  
 148 Jara et al., 2017). We declustered the catalogs using the obtained  $M^C$  for each catalog and the fault  
 149 regions in Fig 2. When doing so, we observed an apparent increase in the seismicity from the  
 150 AFAD declustered catalog around 2012 (Fig S1). Around that time, AFAD changed the reported  
 151 magnitudes from  $M_d$  to  $M_L$ . Although we converted the corresponding magnitudes to  $M_W$ , this  
 152 change in the magnitude estimation might still produce spurious acceleration/deceleration in the  
 153 background seismicity rate. We then tested higher  $M^C$  values, finding that such behavior  
 154 disappears around  $M^C = 4.1$  (Fig S1). Thus, we finally used  $M^C = 4.1$  for both catalogs (Fig 3a,  
 155 b). The final parameters utilized for each catalog are provided in Table S1.



156  
 157 **Figure 2: Regional Seismicity.** (a) AFAD catalog from 1990 to 30/11/2022. Yellow star denotes  
 158 the  $M_w$  6.0 Gölyaka-Düzce epicenter. Color boxes indicate the target regions where the seismicity  
 159 is analyzed. Right: Catalog's Probability Distribution Function (PDF), where the vertical dashed  
 160 line denotes the  $M^C$ . (b) Same as (a), but for KOERI catalog. See Figs. S3 and S4 for the spatial  
 161 distribution of seismicity inside each region.

## 162 2.2 Enhanced seismicity catalog framing the mainshock

163 To generate an optimized enhanced seismicity catalog with lowest possible magnitude detection  
 164 threshold around the  $M_w$  6.0 Gökaya-Düzce earthquake epicenter, we processed continuous

165 waveform recordings from 16 local seismic stations and 9 local accelerometer stations. We  
166 covered a time period from one month before the mainshock up to almost 6 days after it (October  
167 23<sup>rd</sup>, 2022 at 00:00h up to November 29<sup>th</sup>, 2022 at 00:00h. The employed stations belong to the  
168 AFAD and KOERI seismometer and strong motion networks.

169 We detected P- and S- wave onset times embedded in the continuous recordings applying the  
170 supervised Artificial Intelligence method Phasenet (Zhu and Beroza, 2019) trained on the  
171 seismicity database from Northern California. This method has proven to improve the detection  
172 process especially of small earthquakes (e.g. Martinez-Garzon et al., 2023). With this method,  
173 148,948 body wave onsets were detected, out of which 78,410 were detections of P-waves and  
174 70,568 were detections of S-waves.

175 The P- and S- picks were associated with seismic events using the unsupervised technique  
176 GAMMA (Zhu et al., 2022). To classify an event to be an earthquake, a minimum of 4 necessary  
177 picks (either P and/or S) was set. The picks were spatio-temporally clustered using the Density  
178 Based Spatial Clustering of Applications with Noise (DBSCAN) method. About 19% of the total  
179 amount of picks were associated with earthquakes. This way, we have obtained a catalog of  
180 detections containing 3,361 possible seismic events (Fig 3a).

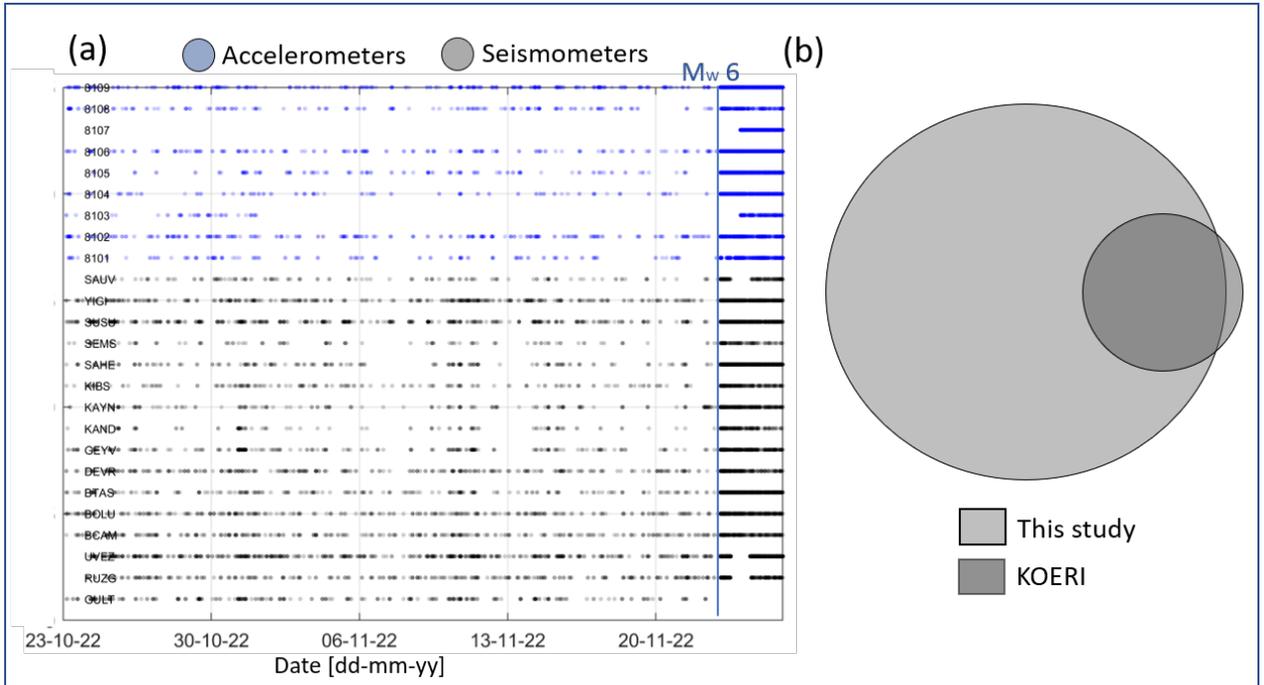
181 As a comparison, KOERI reported a total of 505 events with magnitudes  $\geq M_L$  0.5 for the same  
182 spatio-temporal region analyzed here (Fig 3b). Out of them, 440 correspond to common events  
183 from both catalogs.

184 In the next step, the waveforms from all events corresponding to the period before the  $M_w$  6.0  
185 mainshock were visually inspected. About 343 detections from the time period before the  
186 mainshock were removed as they showed signals in only one or two of the accelerometers,  
187 typically exhibiting  $t_S - t_P > 5$  s, which is larger than what is expected for a small local event.  
188 Additional 35 events were identified as regional events with locations outside the study region,  
189 and additional 11 events were identified as duplicates and removed. In the following, we refer to  
190 this catalog as the “*catalog of detections*”.

191 We calculated event locations by employing the probabilistic location software NLLoc (Lomax et  
192 al., 2000; 2009). Here, only events with a minimum of 6 P- and/or S- picks were further processed,  
193 which implicitly removes possible false signal associations with less than 6 phases from the catalog  
194 of detections. The local 1-D velocity model from Bulut et al. (2007) was employed assuming a  
195 constant  $v_p/v_s$  ratio of 1.73. The search area encompassed a 400 km x 200 km region centered  
196 around the mainshock epicenter. In the following, we refer to this refined catalog as the “*catalog*  
197 *of absolute locations*”. Further details on the refining of the catalog of absolute locations are  
198 provided in Text S1. This way, we obtained a catalog of 1,290 events with absolute locations,  
199 containing 8,927 P-wave picks and 7,822 S-wave picks for further processing (Fig. S5). In this  
200 catalog, the median errors in the x-, y- and z- directions are 2.3 km, 3.1 km and 3.4 km,  
201 respectively.

202 In the next step, a relative event relocation was performed using hypoDD (Waldhauser &  
203 Ellsworth, 2000; Waldhauser et al., 2004). We utilized both catalog differential travel times  
204 derived from the automatic Phasenet P- and S-picks and cross-correlation time differences derived  
205 from the event waveforms. To estimate the waveform cross-correlations, we employed time  
206 windows covering 1 s and 2 s centered at the P- and S-onset, respectively. The waveforms were

207 filtered with a 3<sup>rd</sup> order Butterworth bandpass filter between 2 and 10 Hz. The retrieved correlations  
 208 with a normalized cross-correlation coefficient of at least 0.7 were kept and the square of the cross  
 209 correlation coefficient was used as weight in the relocation procedure. To look at the spatio-  
 210 temporal evolution of the seismicity, we demanded a minimum of 8 catalog time differences (either  
 211 P- and/or S-phases) for each event combination resulting in a catalog of 918 relocated events. In  
 212 the following, we refer to this further refined subset as the “*relocated catalog*”. The median formal  
 213 relative relocation errors in the x-, y-, and z- directions are 11 m, 13 m, and 12 m, respectively.



214  
 215 **Figure 3: Picks and detections from the AI-aided catalog.** (a) Associated picks as a function of  
 216 time, per station. Vertical blue line marks the  $M_w$  6.0 Gölyaka-Düzce earthquake. (b) Venn  
 217 diagram showing the earthquakes included in our catalog of detections vs the events included in  
 218 the KOERI catalog for the same spatio-temporal region.

### 219 2.3 Earthquake source parameters and directivity

220 The estimation of point source parameters of the  $M_w$  6.0 mainshock was performed using the  
 221 spectral fitting method (Kwiatek et al., 2015). We used 249 high-gain seismometers of Kandilli  
 222 Observatory (KO) and the National Seismic Network of Türkiye (TU). The combination of these  
 223 networks offers sufficient azimuthal coverage and signal-to-noise ratio for the analyzed catalog.  
 224 From these networks, stations with epicentral distances of 200 - 800 km are used to derive source  
 225 parameters of the mainshock.

226 Three-component ground velocity waveforms from stations with a signal-to-noise ratio of at least  
 227 4 dB were filtered using a 2<sup>nd</sup> order 0.02 Hz high-pass Butterworth filter and then integrated in the  
 228 time domain. We utilized window lengths of 25 s from the P- and S- wave ground displacements,  
 229 allowing for additional 4 s before the P- or S- wave onsets. The selected window length of 25s in  
 230 combination with the minimum station distance of 200 km prevents contamination of the P-wave  
 231 window by S-wave energy as the S-P time difference is larger than 25 seconds assuming average  
 232 velocities and  $v_p/v_s$ -ratios along the travel path. The edges of the selected windows were smoothed  
 233 using von Hann’s taper. We utilized the three components from the far-field ground displacement

234 spectra using the Fourier transform and then combined altogether. The observed ground  
235 displacement spectra were fit to Brune's point-source model expressed as:

$$236 \quad u^{th}(f) = \frac{\langle R_c \rangle}{4\pi\rho V_c^3 R} \frac{M_0}{1 + \left(\frac{f}{f_c}\right)^2} \exp\left(\frac{-\pi f R}{V_c Q_c}\right), \quad (10)$$

237 where  $R$  is the source-receiver distance,  $M_0$  is the seismic moment,  $f_c$  is the corner frequency  
238 where  $c$  represents either the P- or S- wave,  $Q_c$  is the quality factor, and  $\langle R_c \rangle$  is the average  
239 radiation pattern correction coefficient of either P- or S- waves. Following Boore and Boatwright  
240 (1984), we applied  $R_p=0.65$  and  $R_s=0.7$  for P and S waves, respectively, that are representative  
241 constants for the regional strike-slip faults. We used  $V_p = 5680$  m/s and  $V_s = 3280$  m/s (from Bulut  
242 et al., 2007, averaged at the depth interval where the earthquakes occurred), assuming  $V_p/V_s = 1.73$   
243 and a density  $\rho = 2700$  kg/m<sup>3</sup>. We inverted for  $[M_0, f_c, Q_c]$  optimizing the cost function:

$$244 \quad \|\log_{10} u^{obs}(f) - \log_{10} u^{th}(f)\|_{L1} = \min, \quad (11)$$

245 where  $u^{th}(f)$  and  $u^{obs}$  are the theoretical and observed ground displacement amplitude spectrum  
246 for a given station and P or S wave. The starting model for  $M_0$  and  $f_c$  was taken using Snoke's  
247 integrals (Snoke, 1987) and we assumed initial values of  $Q = 400$  for both P- and S- wave trains.  
248 We utilized a grid search optimization (assuming starting model) followed by simplex algorithms  
249 (starting from the best model of a grid search). Source parameters that deviated from the average  
250 by more than three standard deviations were eliminated from the calculation. The final source  
251 parameters (i.e. seismic moment, corner frequency, quality factor) were calculated as average  
252 values from all stations.

253 In the following, we calculated the static stress drop using the formula valid for a rectangular  
254 strike-slip fault (Shearer 2009):

$$255 \quad \Delta\sigma = \frac{2}{\pi} \frac{M_0}{W^2 L}, \quad (12)$$

256 where  $W=8$  km represents the fault width, assumed from the depth extent of the aftershocks (see  
257 Section 3.2.2). The rupture length  $L$  is estimated as double the source radius while using  
258 Brune's source model constants and, for comparison, the Haskell's rectangular source assuming  
259  $V_R=0.9V_s$  (see Savage et al., 1972, Table 1 for details) using the equation

$$260 \quad L = \frac{C_C V_C}{2\pi f_c} \quad (13)$$

261 in which  $C_C$  is the geometrical correction coefficient ( $C_P = C_S = 4.7$  for Brune's model, and  $C_P =$   
262  $1.2$ ,  $C_S = 3.6$  for the Haskell's model, see Savage et al., 1972) and  $f_c$  and  $V_C$  represent the  
263 corner frequency and seismic velocity of either P- or S-waves, respectively.

264 For the earthquakes comprising the absolute locations catalog, we estimated only moment  
265 magnitudes  $M_W$  using a simplified approach following Snokes's (1987) integrals:

$$266 \quad J_S = 2 \int [u'(f)]^2 df, \quad (14)$$

$$267 \quad K_S = 2 \int [u(f)]^2 df, \quad (15)$$

268 where  $u'(f)$  and  $u(f)$  are ground velocity and displacement S-wave spectra corrected for  
269 attenuation and prepared from S-wave waveforms processed in the same way as for the mainshock.  
270 The original seismograms were filtered with 1 Hz high-pass 2<sup>nd</sup> order Butterworth filter and we

271 used a shorter 5 s window framing the first S-wave arrival to limit the influence of low-frequency  
272 noise for predominantly small earthquakes ( $M < 4$ ). The integrals in eq. (14) and (15) were corrected  
273 for the finite frequency band following di Bona and Rovelli (1991). The seismic moment has been  
274 estimated with (Snoke, 1987):

$$275 \quad M_0 = 8\pi\rho V_S^3 R \left( \frac{K_S^3}{J_S} \right)^{0.25}, \quad (16)$$

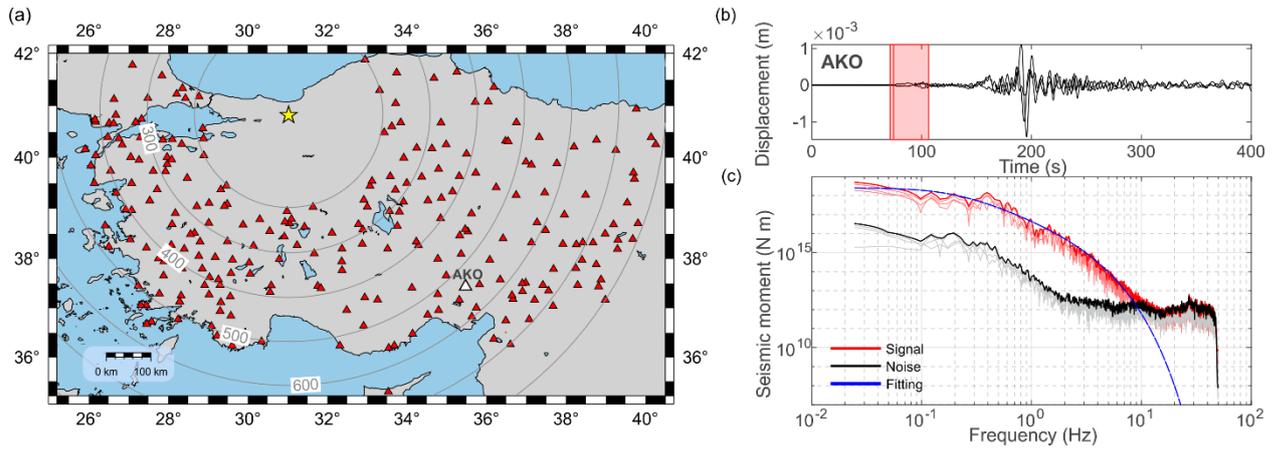
276 and the moment magnitude was calculated using the standard relation (Hanks and Kanamori,  
277 1976):

$$278 \quad M_W = \frac{(\log_{10} M_0 - 9.1)}{1.5}. \quad (17)$$

279 Similar to the mainshock, for each event the final seismic moment and moment magnitude were  
280 calculated as average values from all stations containing S-wave arrivals. Due to the limited  
281 number of S-waves with sufficient signal-to-noise ratio for the smallest earthquakes, the  
282 uncertainties were estimated using the mean absolute deviation.

283 Large earthquake ruptures potentially involve a propagation process along a fault plane. The  
284 rupture propagation direction could be deduced from the azimuthal variations of amplitude and  
285 frequency content of the apparent source time functions (ASTF) (Stein and Wysession, 2003)  
286 providing important information for seismic risk assessment. For a unilateral rupture, ideally this  
287 would lead to shorter ASTFs displaying larger amplitudes in the direction of rupture propagation,  
288 and longer duration and smaller amplitude ASTFs in the opposite direction. To obtain the ASTFs,  
289 we initially tested the application of Empirical Green's Function (EGF) technique and tried four  
290 EGF candidates (Table S3) to recover the directivity of the mainshock (see text S2 for all details)  
291 that led to inconclusive results.

292 We therefore tested the azimuthal variations in the duration and frequency content of the initial P-  
293 wave arrivals for seismometers located at epicentral distances of 50-100 km from the mainshock.  
294 For comparison, we additionally included integrated signals from accelerometers located at much  
295 closer distances. We only used unclipped first P-wave pulses that were rotated into the radial  
296 direction from 3-component seismograms to enhance the signal-to-noise ratio of the initial portions  
297 of the P-wave. The first P-wave pulses contain a combination of information including the source  
298 time function and effects related to wave propagation. However, comparing P-wave pulse  
299 characteristics for stations located at similar distances from the mainshock epicenter allows us to  
300 suppress propagation effects. Therefore, the initial portion of the seismogram can be taken as a  
301 proxy for the ASTFs. Variation in rise time and duration of the P pulses can then be used to infer  
302 whether the earthquake displays rupture directivity.



303

304 **Figure 4. Source parameter analysis.** (a) Station distribution employed for the source parameter  
 305 estimation (red upward triangles). These stations lie within a source-station distance between 200  
 306 km and 800 km. Yellow star shows the 2022  $M_W$  6.0 Gölyaka-Düzce mainshock. (b) Three-  
 307 component displacement waveforms for the mainshock recorded by station AKO (with epicentral  
 308 distance  $\sim 530$  km, see white triangle in (a)). Red rectangle highlights the employed P-wave  
 309 window. (c) Displacement spectrum of the P-wave signal (red) and the noise before the signal  
 310 (black). Blue thick line indicates the modeled spectrum yielding the source parameters:  $M_0 =$   
 311  $2.62 \times 10^{18}$ ,  $f_c = 0.19\text{Hz}$ ,  $Q_p = 308$ .

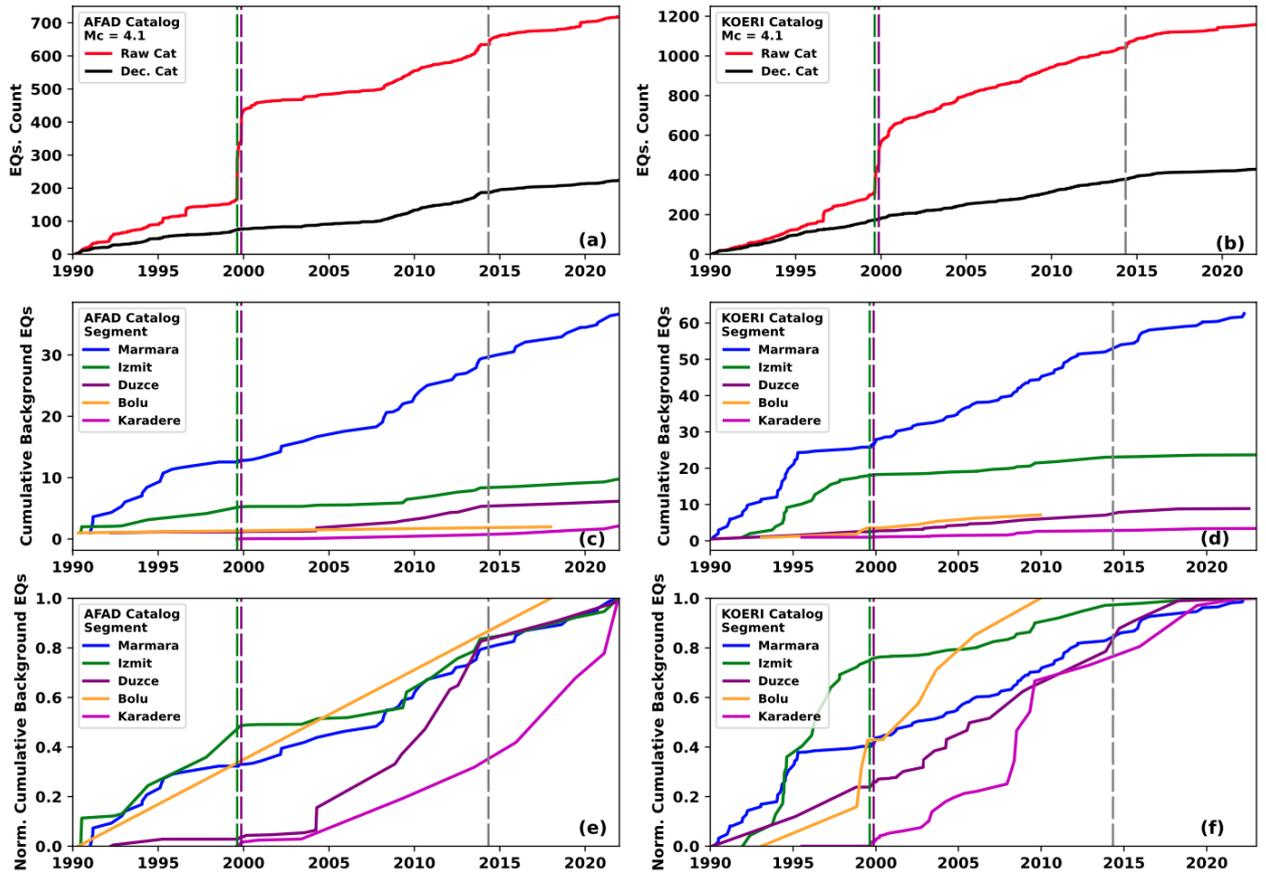
### 312 3 Results

#### 313 3.1 Long-term evolution of background seismicity in the Gölyaka-Duzce region

314 We analyzed the evolution of the background seismicity along defined segments of the NAFZ  
 315 including the Marmara, Izmit, Düzce, Bolu, and Karadere segments (Fig. 2). Both national Turkish  
 316 catalogs introduced above show that the Bolu segment displays a low background seismicity rate  
 317 when compared to e.g. Izmit or Marmara segments (Fig 5). Aseismic slip (surface creep) has been  
 318 reported to occur along this segment, occurring for at least 70 years (Ambraseis, 1970; Cakir et  
 319 al., 2005; Cetin et al., 2014; Bilham et al., 2016, Jolivet et al., 2023). This might be a possible  
 320 explanation for the low seismicity rate. The Marmara, Izmit, and Düzce segments appear to host a  
 321 constant background seismicity rate with time, especially after the 1999 Izmit and Düzce sequence  
 322 (Fig 5). Both catalogs report a deceleration of background seismicity after the 2014  $M_W$  6.9 Saros  
 323 earthquake (Bulut et al., 2018), supporting the idea that some significant deformation process not  
 324 yet understood in detail was affecting the seismicity along the NAFZ (Karabulut et al., 2022).

325 The Karadere fault hosted a comparatively low background seismicity before the 1999 Izmit and  
 326 Düzce earthquake sequence. A change in its seismic behavior is observed afterwards, when this  
 327 segment experienced an increase of the seismic activity including more than 5 events with  $M >$   
 328  $M_c = 4.1$ . The shape of the background rates is different for the AFAD and KOERI catalogs. This  
 329 difference might be due to the different number of seismic stations operated by the agencies in this  
 330 area, hence affecting the monitoring capabilities and detection thresholds. Therefore, it is likely  
 331 that the region was tectonically activated by the earthquake sequence in 1999, and progressively  
 332 loaded since then, leading to the  $M_W$  6.0 Gölyaka-Düzce earthquake 23 years later. Interestingly,

333 the region did not exhibit a lower background rate after the 2014  $M_w$  6.9 Saros earthquake,  
 334 different to the other NAFZ segments in the area.



335

336 **Figure 5: Temporal evolution of the background seismicity at different segments of the NAFZ.**  
 337 (a) Complete (black) and declustered (red) AFAD catalog using  $M^c=4.1$ . (b) Same as (a) but with  
 338 KOERI catalog. (c) Cumulative background seismicity, color-coded by region as in Fig. 2, for the  
 339 AFAD catalog. (d) same as (c) for the KOERI catalog. The vertical green, magenta and grey lines  
 340 represent the time of occurrence of the  $M_w$  7.4 Izmit,  $M_w$  7.1 Düzce, and  $M_w$  6.9 Saros  
 341 earthquakes, respectively. (e) Normalized cumulative background seismicity, color-coded by  
 342 region as in Fig. 2, for the AFAD catalog. (d) same as (c) for the KOERI catalog.

### 343 3.2 Spatio-temporal seismicity distribution before and after the 2022 Gölyaka-Düzce 344 earthquake

345 We obtained an enhanced seismicity catalog with 1,290 refined hypocenter locations as described  
 346 in Section 2.2 covering the area of longitude [30-32°E] and latitude [40-42°N] for the time period  
 347 [October 23<sup>rd</sup>, 2022 at 00:00h up to November 29<sup>th</sup>, 2022 at 00:00h] and including moment  
 348 magnitudes as low as  $M_w$  0.7. Out of them, a total of 222 and 1,032 seismic events correspond to  
 349 events preceding and following the 2022 Gölyaka -Düzce mainshock, respectively. For the same  
 350 region and time interval, the seismicity catalog provided by the KOERI agency contained 529  
 351 events, out of which 23 and 506 corresponded to events preceding and following the mainshock,  
 352 respectively (Fig 3b).

353 Using a goodness of fit method (Wiemer and Wyss, 2000), the magnitude of completeness of the  
 354 derived catalog within selected region is  $M_w^c = 1.5$ . Calculating the  $b$ -value for events above  $M^c$ ,

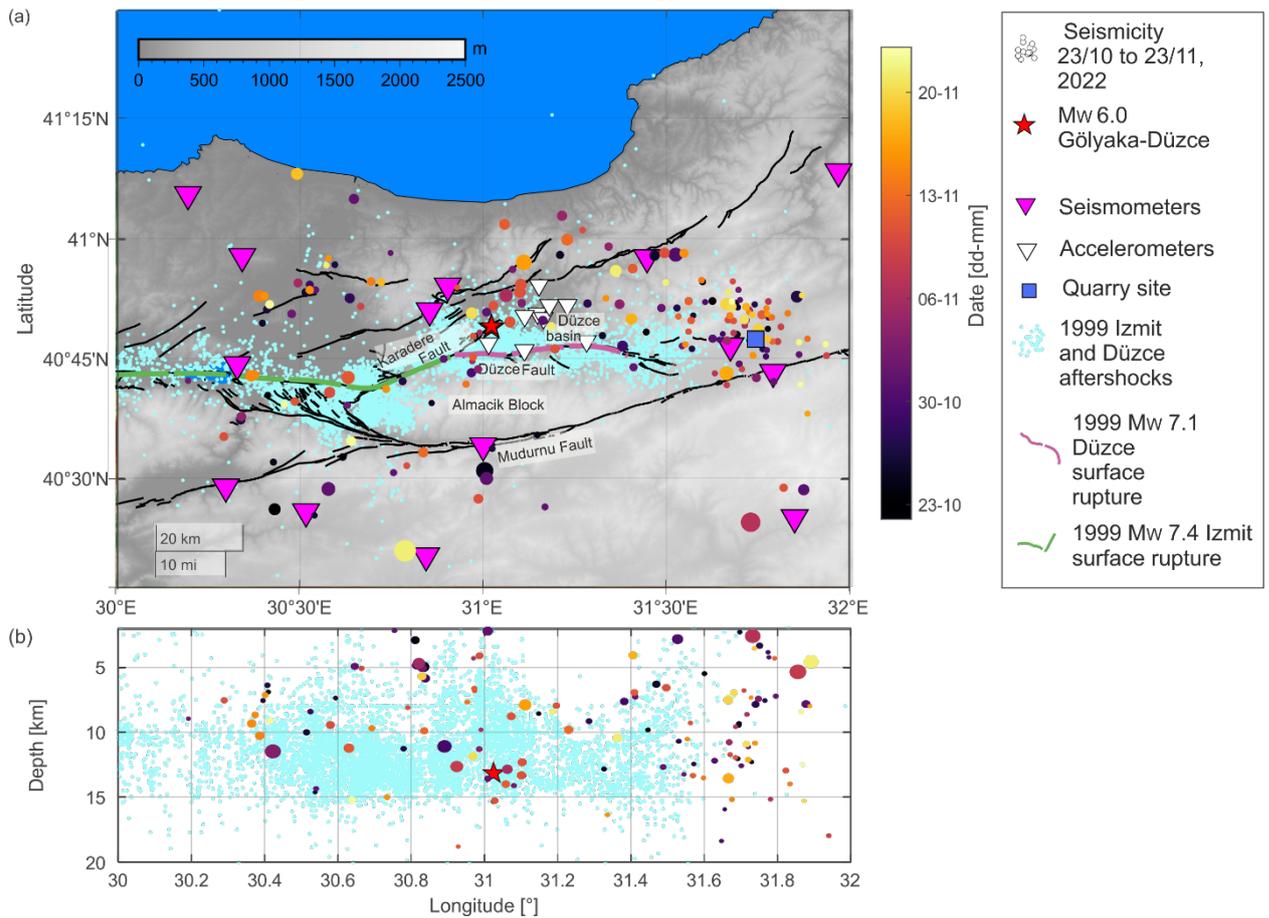
355 from both the periods before and after the mainshock, we find a value of  $b = 0.95 \pm 0.05$  (Fig. S6).  
356 This could be related to the fact that we utilized  $M_W$  while many other estimates use  $M_L$  that may  
357 lead to larger  $b$ -value (see e.g. Raub et al., 2017). Alternatively, the relatively low  $b$ -value may  
358 suggest that the fault did not yet release all its accumulated strain (e.g. Gulia & Wiemer, 2019).  
359 Given the magnitude of the mainshock and the spatial extent of the rupture we consider the latter  
360 option as rather unlikely.

### 361 **3.2.1 Seismic activity preceding the Gölyaka-Düzce earthquake**

362 The  $M_W$  6.0 Gölyaka-Düzce earthquake hypocenter is located at the northeastern portion of the  
363 Karadere fault that remained unbroken during the 1999  $M_W$  7.4 Izmit and 1999  $M_W$  7.1 Düzce  
364 events.

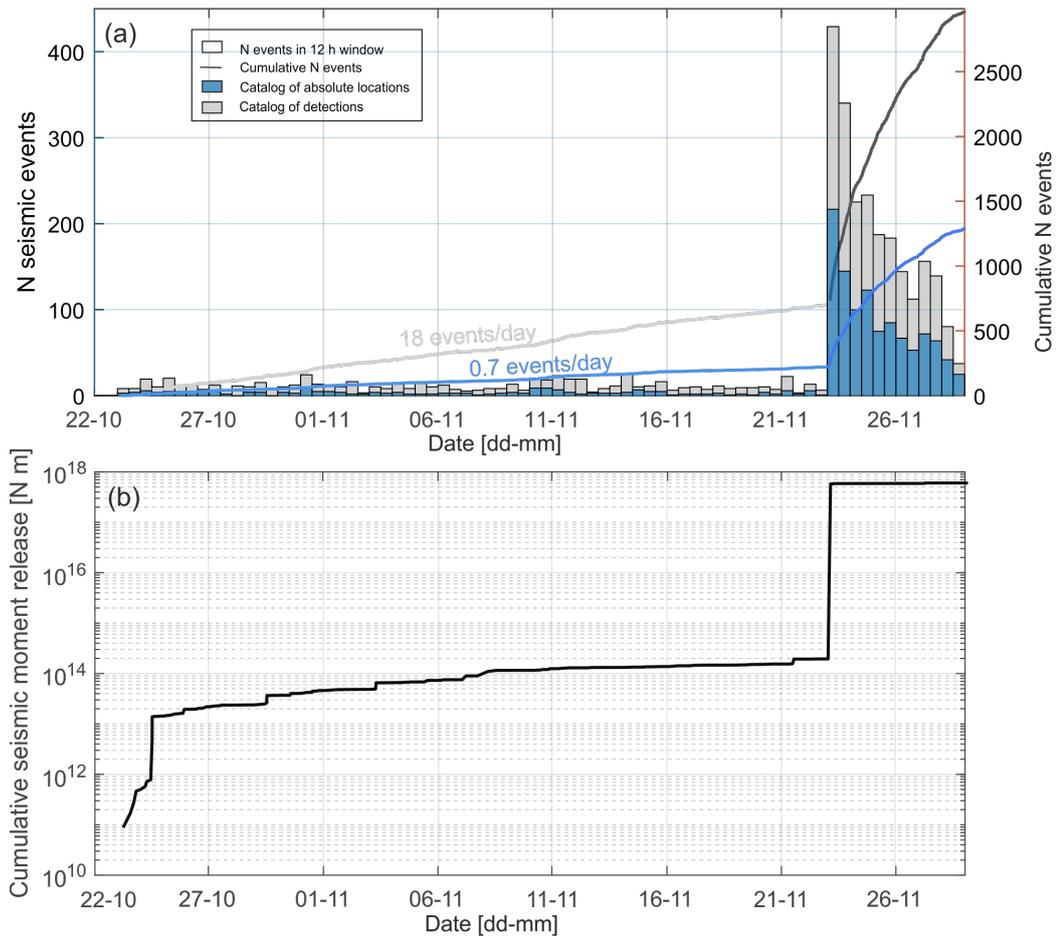
365 The area that ruptured in the  $M_W$  6.0 Gölyaka-Düzce earthquake and its surroundings only  
366 displayed a small number of seismic events during the 30 days preceding the mainshock. The  
367 catalog of absolute locations reported 222 seismic events during this time, out of which 55 could  
368 be successfully relocated. Most of the relocated seismic activity occurred away from the future  
369  $M_W$  6.0 earthquake rupture, extending up to 50 km to the East (Fig. 6). The locations of these  
370 seismic events show a good correspondence with the mapped local faults (Emre et al., 2018). A  
371 small cluster of events is visible at the eastern edge of the analyzed region, coinciding with the  
372 termination of a local fault, near a quarry area (see Fig. 6a for location). The presence of a quarry  
373 in the area suggests that some of these events could be quarry blasts. However, these events appear  
374 to be regular seismic events based on the following: (i) these detections display regular P- and S-  
375 wave trains, (ii) their hypocentral depth is deeper than 8 km, and (iii) these events occur randomly  
376 in time. Within a 25 km radius from the epicenter of the  $M_W$  6.0 Gölyaka-Düzce earthquake, 23  
377 events were included in the catalog of absolute locations. The most active time period was between  
378 Nov 6th and 11th, where a small spatially clustered seismic sequence with magnitudes up to  $M_W$   
379 2.2 occurred about 7.5 km to the North of the mainshock epicenter (Fig 6, Fig S7). The location  
380 of this cluster coincides with the deepest part of the fault activated with the aftershock sequences.

381 Both the catalog of detections and the catalog of absolute locations show that seismicity rates were  
382 time-invariant with a transient increase in seismic activity around Nov 10<sup>th</sup> reflecting the transient  
383 cluster North of the future mainshock. This increase in the seismicity rates quickly decayed back  
384 to the level before the occurrence of the cluster, remaining constant until the occurrence of the  
385 mainshock (Fig 7). The regional seismicity did not display any significant acceleration at the scale  
386 of days to hours before the mainshock.



387

388 **Figure 6: Seismicity located during the preceding month.** Seismicity distribution included in the  
 389 absolute location catalog (colored circles) during the month preceding the Gölyaka-Düzce  
 390 earthquake (red star). Symbol size is encoded with magnitude. Surface ruptures of the 1999 Mw  
 391 7.4 Izmit and Mw 7.1 Düzce earthquakes are shown with green and pink dashed lines, respectively.  
 392 For comparison, seismic activity for three different time periods around the 1999 Izmit and Düzce  
 393 mainshock is shown in cyan (from Bohnhoff et al, 2016b; Bulut et al., 2005). Fault traces are from  
 394 Emre et al., (2018).



395

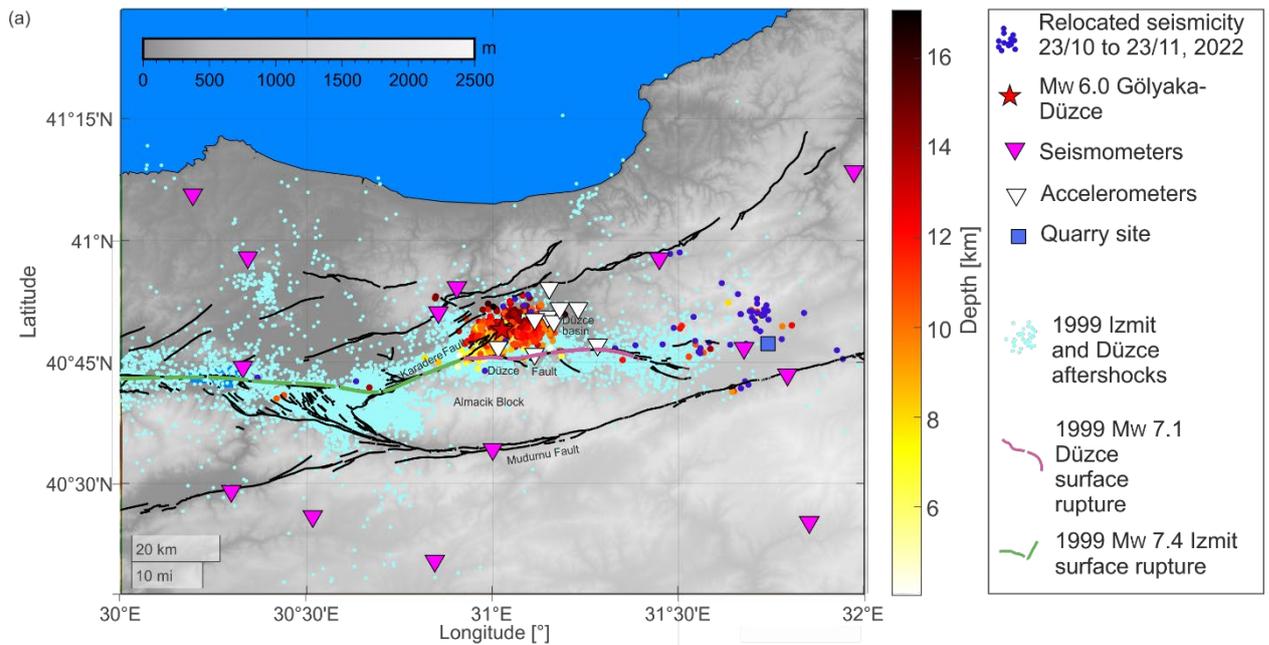
396 **Figure 7: Temporal evolution of seismic activity and seismic moment.** (a) Bars: Histogram of  
 397 seismicity rates, where every bar represents a time period of 12h. Grey and blue colors represent  
 398 the seismicity included in the catalog of detections and absolute locations, respectively. Lines:  
 399 Cumulative number of seismic events as a function of time. Lighter and darker colors represent  
 400 the time periods before and after the mainshock (b) Evolution of cumulative seismic moment  
 401 release from the catalog of absolute locations.

### 402 3.2.2 The aftershock sequence following Gölyaka-Düzce earthquake

403 After the  $M_w$  6.0 Gölyaka-Düzce earthquake, vigorous seismic activity struck the region during  
 404 the following days. Compared to the scattered seismicity in a much larger region, most of this  
 405 early aftershock activity occurs within an area extending 15 km to the East and West as well as 8  
 406 km to the North and South of the mainshock epicenter, respectively (Figs. 8, 9). Generally,  
 407 aftershocks typically occur around the mainshock rupture area, and they may also activate nearby-  
 408 faults due to stress changes induced by the mainshock. In first order approximation the relocated  
 409 aftershock activity delineates a planar structure trending SW-NE that is dipping towards the NNW,  
 410 consistent with the geometry of the Karadere fault (Fig. 9). The plane best fitting to the seismicity  
 411 (contained within 1 km distance) has a strike of  $\varphi = 257^\circ$  and a shallow dip of approximately  $\delta =$   
 412  $45^\circ$  (Fig. 9). The strike of this plane is thus in good agreement with the moment tensor solutions  
 413 for the  $M_w$  6.0 mainshock (Table S2). However, the dip of our plane is shallower than the  $\delta^{fm} =$   
 414  $72^\circ - 82^\circ$  reported by the moment tensor solutions (Fig. 9c). The depth of the seismicity along the  
 415 strike of the fault segment is not uniform, with the southwestern portion of the fault displaying  
 416 generally shallower seismicity from 5 to 13 km depth, and the northeastern portion of the activated

417 fault between 9 and 16 km depth (Fig 9b). Along strike, the hypocentral location of the mainshock  
 418 coincides with this depth change, suggesting the presence of a fault jog or a heterogeneity that  
 419 could have promoted a stress concentration.

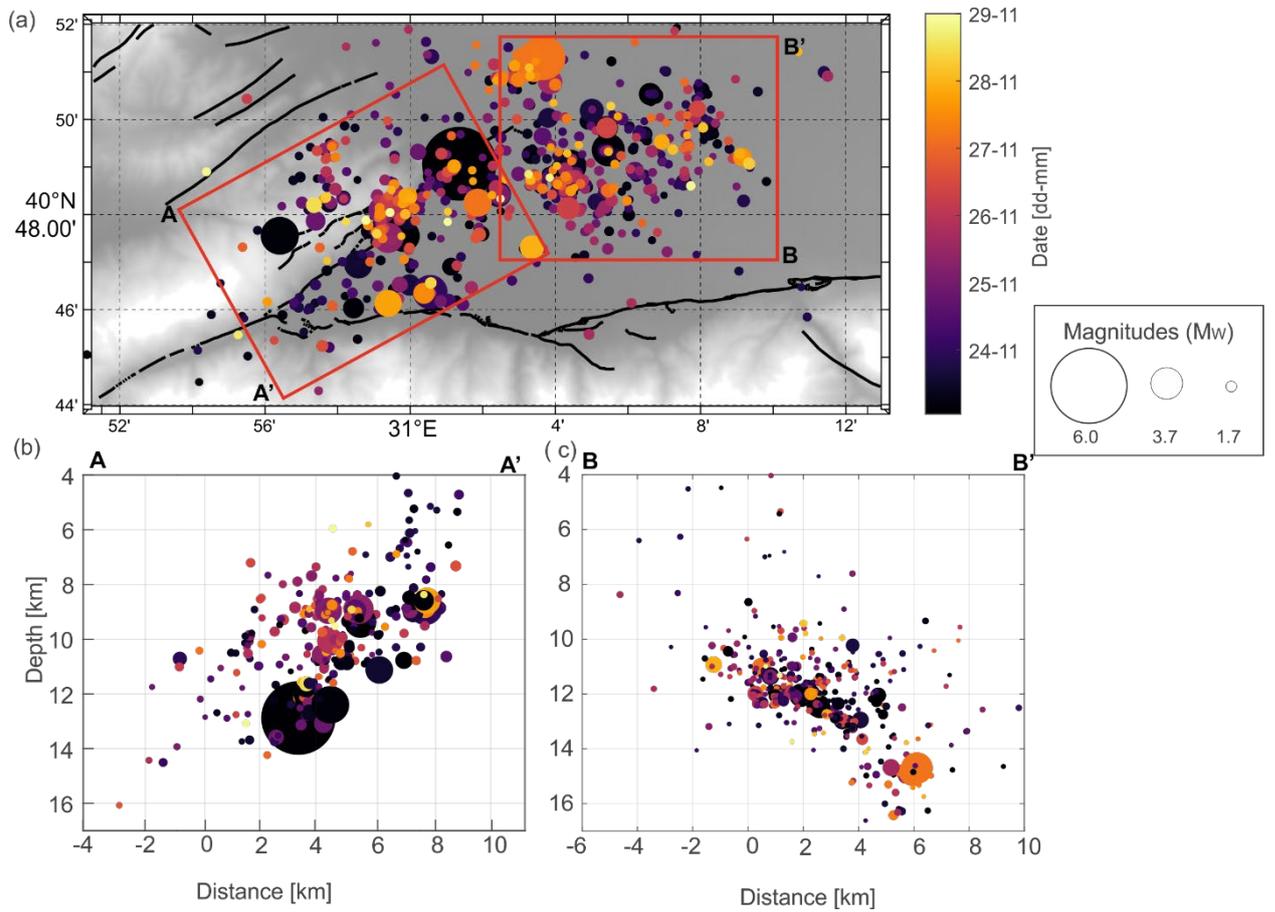
420 The mainshock triggered an aftershock sequence that within the first six days can be fitted with an  
 421 Omori law of the shape  $N(t) = kt^{-p}$ , with  $p = 0.90$  and  $k = 2.5$  (see Fig S8). Typical values  
 422 observed for the  $p$ -value representing the decay rate oscillate around 1.0, suggesting that the  
 423 aftershock decay associated with this sequence is fairly standard, including 3-4  $M_w > 4$   
 424 earthquakes occurring within the analyzed time window.



425

426 **Figure 8: Seismicity distribution after the Gölyaka-Düzce earthquake** (colored dots). Cyan dots  
 427 in the background reflect 1999 Izmit and Düzce aftershocks. For comparison, seismic activity for  
 428 three different time periods around the 1999 Izmit and Düzce mainshock is shown (from Bohnhoff  
 429 et al, 2016b, Bulut et al., 2005).

430



431

432 **Figure 9: Zoom on the spatio-temporal distribution of the seismicity during 6 days following the**  
 433 **Gölyaka-Düzce earthquake.** (a) Map view. Depth profiles along (b) A- A' (approximately  
 434 perpendicular to the Karadere fault strike), and (c) B-B' (approximately perpendicular to the  
 435 strike of the Düzce fault). Symbol size and color are encoded with magnitude and date,  
 436 respectively.

### 437 3.3 Source parameters and directivity of the Gölyaka-Düzce mainshock

438 Earthquake source parameters for the 2022  $M_w$  6.0 Gölyaka-Düzce mainshock are provided in  
 439 [Table 1](#), with the average values and multiplicative error factors calculated in log10 domain  
 440 (García-García et al., 2004). The averaged seismic moment is  $8.80 \times 10^{17}$ , leading to a moment  
 441 magnitude of  $M_w$  5.9, equal to the moment magnitude given by AFAD. The average corner  
 442 frequency  $f_c$  values obtained for P and S-waves are 0.23 Hz and 0.24 Hz, respectively, with a ratio  
 443 of  $\frac{f_{cP}}{f_{cS}} = 0.96$ . The obtained ratio of corner frequencies from P- and S- waves is lower than the  $\frac{V_P}{V_S} =$   
 444 1.73, which holds for a stationary source and can be decreased due to the rupture propagation  
 445 effects (Sato and Hirasawa, 1973; Kwiatek and Ben-Zion 2013). In general, the  $f_{cP} > f_{cS}$  arises  
 446 for roughly equidimensional source models ( $L=W$ ). While for long and thin faults, lower  $\frac{f_{cP}}{f_{cS}}$  ratios  
 447 are to be expected; for example,  $\frac{f_{cP}}{f_{cS}} = 0.77$  assuming rupture velocity  $V_R = 0.9V_S$  (Savage et al.,  
 448 1972); nearly equal  $f_{cP}$  and  $f_{cS}$  are given in a dislocation model with a unilateral rupture  
 449 propagation (Haskell, 1964, Molnar et al., 1973). The small  $f_{cP}/f_{cS}$  ratio might imply that the fault  
 450 width  $W$  could be overestimated from the aftershock distribution and could be smaller than 8 km,  
 451 which is also supported by the narrower fault width estimated from the early aftershock  
 452 distribution (Fig. 9).

453

454 Attenuation greatly affects the amplitude and frequencies included in the seismograms.  
 455 Commonly, S waves tend to have larger attenuation than P waves. The ratio between the P and S  
 456 quality factors is:

$$457 \quad \frac{Q_P}{Q_S} = \frac{3V_P^2}{4V_S^2}. \quad (18)$$

458 For a Poisson solid,  $V_P = \sqrt{3}V_S$ , resulting in  $\frac{Q_P}{Q_S}=2.25$ . Our observations provide a considerably  
 459 lower  $\frac{Q_P}{Q_S}=1.2$ . Such lower ratios are not uncommon and have been interpreted as the attenuating  
 460 effect of pore fluids (Olsen et al., 2003; Hauksson and Shearer, 2006; Kwiatak et al., 2013, 2015).  
 461

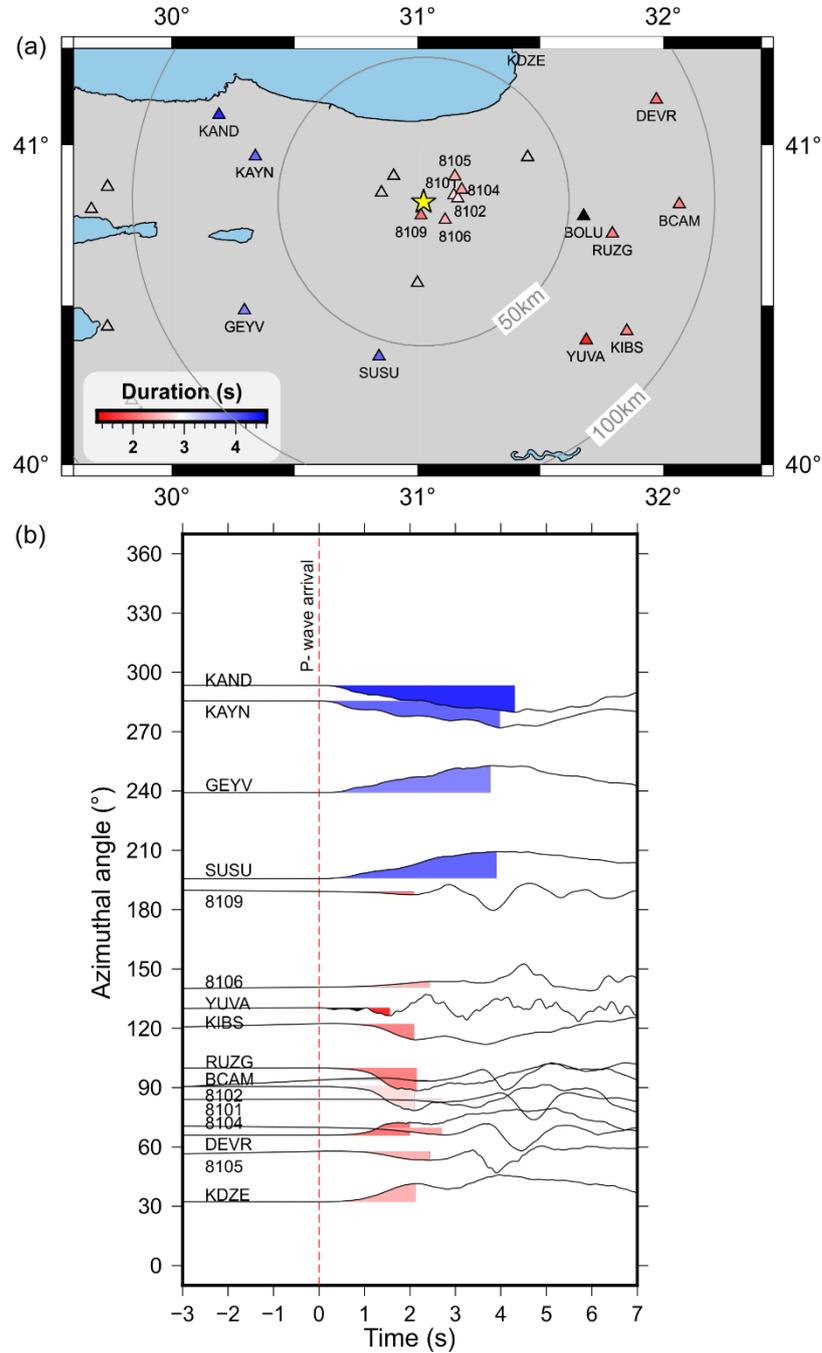
462 Utilizing the average source size and seismic moment from both P- and S-waves, the static stress  
 463 drop of the mainshock is estimated as 0.61 MPa and 1.48 MPa while using a Brune model (Eq 12),  
 464 and a Haskell model, respectively (Table 1). The estimated rupture length varies around 14 km  
 465 and 6 km for Brune and Haskell model, which yields a rectangular source with a small  $L/W$  ratio.  
 466 A relatively small aspect ratio was also observed for the  $M_w$  1999 7.1 in direct vicinity of this area  
 467 (Bürgmann et al., 2002).

468 Fig. 10 shows P-wave arrivals highlighting the initial portion of the ground displacement record  
 469  $\Delta t$ . Longer  $\Delta t$  rise times and durations of first P-wave displacement pulses are observed for  
 470 western stations with azimuth angles of  $196^\circ$ - $293^\circ$  (i.e., stations SUSU, GEYV, KAYN and  
 471 KAND). At the same time, eastern stations at comparable distances and azimuth angles ranging  
 472  $32^\circ$ - $130^\circ$  display shorter rise times and visibly higher frequency content (see discussion in Douglas  
 473 et al., 1988, Fig. 10b), especially for station RUZG and BCAM near  $90^\circ$  azimuth. These  
 474 observations suggest eastwards rupture propagation while assuming a unilateral rupture.  
 475 However, in the case of a more complicated rupture process, the shorter rise time could also be  
 476 promoted by a closer large-local slip asperity in the eastern direction. We also estimated the  
 477 azimuthal variations on the  $f_c$  for the stations between 200 km and 800 km from the mainshock.  
 478 (Fig S9). Larger  $f_c$  values are observed at approximately  $100^\circ$ , hence being roughly consistent  
 479 with the eastward rupture propagation. However, we note that scattered large  $f_c$  values were also  
 480 observed at other azimuths.

481 **Table 1. Source parameters for the 2022  $M_w$  6.0 Gölyaka-Düzce earthquake.**  $f_c$ : Corner  
 482 frequency.  $M_0$ : Seismic moment.  $L$ : Source rupture length.  $Q$ : Quality factor.  $\Delta\sigma$ : Stress drop.

483

	Average value	Multiplicative error factor
$M_0$ (N m)	$8.80 \times 10^{17}$	2.60
$f_{cP}$ (Hz)	0.23	1.51
$f_{cS}$ (Hz)	0.24	1.52
$Q_P$	571	1.52
$Q_S$	476	1.28
$L_{Brune}$ (km)	14.26	1.66
$L_{Haskell}$ (km)	5.90	1.63
$\Delta\sigma_{Brune}$ (MPa)	0.61	2.60
$\Delta\sigma_{Haskell}$ (MPa)	1.48	2.93



485

486 **Figure 10: First-peak duration recorded at seismic stations between 50 km and 100 km from**  
 487 **the mainshock epicenter.** (a) Station distribution near the epicenter (yellow star). Colored  
 488 triangles highlight the stations used in (b). (b) Normalized displacement recordings on radial  
 489 components. The waveforms are aligned relative to the P-wave arrival (0 s) in the time axis and  
 490 are ordered according to the azimuthal angles relative to the mainshock. The time duration of the  
 491 colored segments is shown color-coded for in the station symbols in (a).

492 **4 Discussion**

493 The various spatio-temporal scales covered by the different methodologies applied in this study  
 494 provide insights into the processes leading to and involved in the rupture of the  $M_w$  6.0 Gölyaka-  
 495 Düzce earthquake. In the following, we discuss the most important patterns that emerged from the

496 obtained results, as well as their relation with the rheology of the region, the development of  
497 previous large earthquakes (i.e. the  $M > 7$  Izmit and Düzce earthquakes), and its stage in the  
498 seismic cycle.

499

#### 500 **4.1 The 1999 $M > 7$ Izmit and Düzce earthquakes promoted the seismic activation of the** 501 **Karadere fault**

502 The Karadere fault connects the Akyazi and Düzce basins, which are both pull-apart structures in  
503 response to the regional transtensional tectonic setting (Pucci et al., 2006; Ickrath et al., 2015;  
504 Bohnhoff et al., 2016b). The spatio-temporal evolution of seismicity along different portions of  
505 the broader Marmara region since 1990 shows that the Karadere fault was primarily quiet until the  
506 occurrence of the 1999  $M > 7$  Izmit and Düzce events (Fig 5). Most of the Karadere fault was  
507 activated during the 1999 August 17<sup>th</sup>,  $M_W$  7.4 Izmit earthquake while its northeastern portion  
508 likely hosted fewer aftershocks (Bohnhoff et al., 2016b). The 1999 Izmit rupture was then extended  
509 further eastwards 87 days later with the 1999 November 11<sup>th</sup>  $M_W$  7.1 Düzce earthquake onto the  
510 east-west trending Düzce fault splaying off the Karadere fault, and also dipping towards the North  
511 with a dip of around  $55^\circ$  (Bürgmann et al., 2002).

512 The November 23<sup>th</sup> 2022  $M_W$  6.0 Gölyaka-Düzce rupture likely occurred on the northeastern  
513 portion of the Karadere fault that remained inactive in 1999, marking the western flank of the  
514 Düzce Basin as a topographic depression north of the Düzce fault as a releasing bend. The fact  
515 that the Izmit rupture stopped on the Karadere fault and redirected onto the Düzce fault indicates  
516 that the northeastern Karadere fault acted as a barrier in 1999. This is supported by the observation  
517 of a lower seismic velocity contrast in the Karadere fault with respect to the fault regions west of  
518 it (e.g. the Mudurnu fault, see Najdamahdi et al., 2016). Nevertheless, our results show increased  
519 background seismic activity from 1999 onwards in the Karadere segment, with a visible increase  
520 in 2004-2005. One hypothesis is that the stress redistribution from the 1999 Izmit and Düzce  
521 earthquake sequences brought the Karadere segment closer to failure by stress transfer, leading to  
522 a progressive activation of this segment over the years. That way, after 23 years of additional  
523 continuous tectonic loading, it was finally activated with a  $M_W$  6 event within a region of the fault  
524 zone that it still is in a relatively early phase of the seismic cycle. Some support for this scenario  
525 comes from a reported change in stress regime together with a rotation of the  $S_{hmin}$  orientation in  
526 the Karadere segment before and after the 1999 Izmit and Düzce sequences (Ickrath et al., 2015).  
527 Before the earthquakes, a predominantly normal faulting stress regime was observed, while strike-  
528 slip regime was observed after the Düzce earthquake. As the magnitude of  $S_V$  at a certain depth is  
529 mostly given by the weight of the overburden, it is expected to remain approximately constant  
530 during the earthquake cycle. This suggest that the horizontal shear stresses on the fault increased  
531 after the 1999 sequence. We additionally note that the average recurrence period of  $M > 7$   
532 earthquakes in area is around 250 years (Murru et al., 2016). Therefore, the recurrence time of a  
533  $M > 6$  earthquake should be about 25 years, which roughly fits with the occurrence of the last  $M$   
534  $> 7$  earthquakes 23 years before the Gölyaka-Düzce event.

535 The observed changes in the background seismicity rates could also be related to a change in the  
536 seismic coupling of the region (e.g. Marsan et al., 2017; Jara et al., 2017). In particular, the  
537 occurrence of the 1999  $M > 7$  Izmit and Düzce earthquakes and their post seismic deformation  
538 could have resulted in promoting the occurrence of aseismic slip at depth, hence leading to a  
539 progressive decoupling of the fault. The build-up of stresses from the occurrence of enhanced

540 aseismic slip can increase the background seismicity rates over the region with distributed  
541 deformation over a large area. Indeed, an additional proposed mechanism for the 1999 Düzce  
542 rupture was viscoelastic post-seismic relaxation at depth affecting a broad area from the 1999 Izmit  
543 rupture (e.g. Bürgmann et al., 2002; Ergintav et al., 2009). A detailed study on the microseismicity  
544 from this area also suggested that this possibility could account for the larger seismicity rates at  
545 depths (Beaucé et al, 2022).

#### 546 **4.2 How did the mainshock start?**

547  
548 Our catalog of absolute locations revealed at least 23 seismic events with epicentral location less  
549 than 25 km from the  $M_w$  6.0 Gölyaka-Düzce during the month before its occurrence. Out of them,  
550 only two are located in the north eastern segment of the Karadere Fault, as the main fault segment  
551 that ruptured in the Gölyaka-Düzce event. The spatio-temporal evolution of these events does not  
552 suggest clustering, but rather a scattered activation of the area (Fig S7).

553  
554 Likewise, the foreshocks do not generally resemble a spatial or temporal localization of the  
555 seismicity prior to the mainshock. This is of relevance since a number of moderate to large  
556 earthquakes in this region displayed systematic foreshock activity (Bouchon et al., 2011; Ellsworth  
557 and Bulut, 2018; Malin et al., 2018; Durand et al., 2020). A similarly spatio-temporally scattered  
558 precursory activity pattern as for the mainshock was also found for the 1999  $M_w$  7.1 Düzce  
559 earthquake, where the largest event in the region of the earthquake rupture in the preceding 65 h  
560 was a  $M$  2.6 event (Wu et al., 2013). Additional small events detected around the future Düzce  
561 1999 rupture did not show any clear signatures of acceleration. The few seismic events preceding  
562 the 2022  $M_w$  6.0 event, together with their lack of spatio-temporal localization suggest the  
563 existence of relatively homogenous local stress conditions along this fault segment, or  
564 alternatively, homogeneous fault strength, that would allow a progressive fault loading without  
565 rupturing small heterogeneities in the medium reflecting foreshock activity. In this respect,  
566 laboratory rock deformation experiments have shown that seismic precursors are more frequent  
567 on rough fault surfaces, while seismic foreshocks are much less frequent on polished fault surfaces  
568 (Dresen et al., 2020). This is consistent with the linear and relatively simple geometry of the eastern  
569 portion of the Karadere segment. In fact, the decade-long seismicity along the Karadere fault  
570 shows that it is notoriously more localized within the fault trace than in other fault areas (see e.g.  
571 Wu et al., 2013).

572  
573 The fault area that was activated in the 1999  $M > 7$  Izmit and Düzce earthquakes is documented  
574 to continue displaying post-seismic deformation almost 20 years after (Ergintav et al., 2009, Aslan  
575 et al., 2019), mainly related to afterslip and viscoelastic relaxation. In this respect, one possibility  
576 is that the initiation of the mainshock was also promoted by the occurrence of distributed aseismic  
577 slip in the region at depth over a broad area (e.g. Beaucé et al., 2022; Karabulut et al., 2022). This  
578 is supported by the observation of a small number of seismic events around November 11<sup>th</sup>, at the  
579 bottom of the Düzce fault, near the place where the 1999 Düzce earthquake nucleated (Fig 6).

580  
581 Another hypothesis is that a regional or local stress perturbation could have destabilized the  
582 northeastern Karadere fault that was close to failure. Some examples for such a potential stress  
583 perturbation may include tidal effects or seasonal effects such as the effect of precipitation (e.g.  
584 Hainzl et al., 2013) or barometric pressure changes (Martínez-Garzón et al., 2023). Regarding  
585 seasonal or semi-periodic stress perturbations, it is worth to mention that the  $M_w$  6.0 Gölyaka  
586 earthquake, a  $M_w$  4.9 event in 2021 as the largest and most recent event in this area, and the 1999  
587  $M_w$  7.1 occurred within the second half of November. Further statistical analysis is not conducted  
588 in the frame of this study, but may give further indication on whether earthquakes in this region  
589 show any significant temporal pattern.

590

### 591 **4.3 Fault segments potentially activated during the mainshock and aftershock sequence**

592

593 Based on the estimated rupture length from the mainshock source parameters, the event activated  
594 a ~12 km long segment of the Karadere fault, terminating just east of the Düzce Basin (Fig 9).  
595 Although we tested the application of EGF methods to recover the directivity more accurately, the  
596 analysis did not yield clear results (see Text S1 for details). The reasons for this are not clear. It  
597 may be that the events used for the EGF deconvolution did not fulfill all necessary criteria (e.g.  
598 occurring on the same location, similar focal mechanism and at least a unit of magnitude  
599 difference). Alternatively, it could be that the mainshock rupture did not activate a single fault  
600 segment, resulting in some complexity obscuring the directivity pattern.

601 The rupture complexity is also somewhat consistent with the spatial distribution of aftershock  
602 seismicity, which shows a heterogeneous event distribution, possibly also illuminating fault  
603 structures that were not directly involved in the mainshock rupture. On the western section, the  
604 spatial distribution of aftershock seismicity is oriented SE, and part of the distribution suggests the  
605 activation of a NW-dipping fault plane of the mainshock in accordance with fault-plane solutions  
606 of the event (Table S2), as well as with the size of the mainshock rupture estimated from source  
607 parameters.

608

609 However, the eastern part of the aftershock distribution is also compatible with the fault geometry  
610 of the main Düzce fault activated in 1999. Indeed, the main cluster of events is located at  
611 approximately 10 km distance from the mapped surface trace of the Düzce fault. As the deepest  
612 aftershock seismicity is located at about 15 km depth, the distribution is also consistent with a fault  
613 dipping at about 55°, as we previously reported in Section 3.2.2 (Fig 9). Indeed, this dip is more  
614 consistent with the fault geometry reported for the Düzce fault (Bürgmann et al., 2002) than with  
615 the dip of the Karadere fault extracted from the focal mechanism of the  $M_w$  6.0 Gölyaka-Düzce  
616 earthquake.

617 Therefore, we suggest that the aftershock distribution that we obtained is likely reflecting the  
618 activation of both faults, the Karadere segment displaying a steeper dip towards the northwest, as  
619 observed from the focal mechanisms, and the main Düzce fault at depth dipping more gently  
620 (around 55°) towards the north.

### 621 **4.4 A proxy for rupture directivity suggests a larger radiation of energy towards the East**

622 Higher frequency P-wave pulses with shorter rise times were identified in the eastward seismic  
623 stations from the rupture, suggesting that the mainshock propagated towards the East. A  
624 statistically-preferred rupture propagation towards the East was also resolved in the Karadere fault  
625 segment below 5 km depth based on the analysis of fault-zone head waves (FZHW) and fault-zone  
626 reflected waves (FZRW) (Najdahmadi et al., 2016). At depth, the authors identified the faster side  
627 being the elevated crustal Almacik block to the SW. Together with models of bimaterial ruptures,  
628 these results suggest that earthquakes on the Karadere segment nucleating at > 5 km depth have a  
629 physically explainable preferred propagation direction to the east. However, at shallower depth the  
630 fault core was detected to host even slower material between both blocks to either side  
631 (Najdahmadi et al., 2016). This led the authors to conclude on a narrow wedge-shaped structure  
632 of the fault rather than a simple first-order impedance contrast of the fault. A preferred rupture  
633 propagation towards the East was also resolved in the Mudurnu Fault segment (about 70 km West  
634 of the mainshock epicenter, see Fig. 6) from detection of fault zone head waves (Bulut et al., 2012).  
635 From the moveout of the fault zone head waves, a velocity contrast of about 6% was estimated,  
636 with a slower seismic velocity for the northern side of the fault. An eastward propagation of the  
637 rupture was also reported for the 1999  $M_w$  7.1 Düzce earthquake rupture from the joint analysis  
638 of geodetic, seismic and strong motion data (Konca et al., 2010). We conclude that based on our

639 observations of an eastward-directed rupture during the  $M_w$  6.0 Gölyaka-Düzce earthquake, the  
640 observations of the fault-zone head waves in the region and existence of bimaterial faults in the  
641 area should be considered an important ingredient for refined seismic risk studies in the area,  
642 especially for the Istanbul metropolitan region further to the West. However, this only applies if  
643 earthquakes are located along the bimaterial interface. For earthquakes located on secondary splay  
644 faults or in the rock volume, their rupture directivities may be related to other factors. A future  
645 possible analysis of the source parameters from the smaller events of the sequence may reveal  
646 whether the eastward directivity is a persistent feature in the region.

## 647 **5 Conclusions**

648 We investigated the source parameters of the 2022  $M_w$  6.0 Gölyaka earthquake in NW Türkiye,  
649 as well as the evolution of the seismicity framing this mainshock at various spatial and temporal  
650 scales. This earthquake mainly ruptured the Karadere fault, a small fault segment located in direct  
651 vicinity of the 1999  $M_w$  7.1 Düzce earthquake. Hence, this case is an example of a medium size  
652 earthquake which ruptured a critically stressed fault embedded in a fault zone that is overall in a  
653 relatively early stage of the seismic cycle. Our primary goal was to determine how the earthquake  
654 initiated, what are the ongoing deformation mechanisms in the region, and how the energy from  
655 this mainshock was radiated. The main conclusions extracted from our analysis are the following:

- 656 (1) The decade-long evolution of background seismicity in the Karadere segment shows that the  
657 segment was mostly silent before the 1999  $M > 7$  Izmit and Düzce earthquakes. From the year  
658 2000 to present, the segment has been comparatively more seismically active, supporting the  
659 hypothesis of a progressive approach to critical stress level of the fault segment.  
660
- 661 (2) The high-resolution seismicity catalogs derived in this study report on 23 locatable events  
662 during the previous month within a 25 km radius from the 2022 Gölyaka-Düzce earthquake.  
663 Only few of them occurred close to the future earthquake rupture, suggesting relatively  
664 homogenous fault stress conditions and no signatures of foreshock localization were observed.  
665
- 666 (3) The early aftershocks of the sequence (i.e. first six days) suggested activation of the Karadere  
667 fault segment dipping steeply towards the NW as reported by the moment tensor, and the Düzce  
668 fault in the southern part dipping shallower directly towards the North. This suggests that the  
669 mainshock rupture, located along the Karadere fault, was able to trigger abundant aftershocks  
670 in the neighboring fault segment.  
671
- 672 (4) Analysis of mainshock rupture directivity patterns including an attempt to employ empirical  
673 Green's functions analysis did not yield clear results. However, shorter rise time and higher  
674 frequency content of the P-wave pulses is observed at seismic stations located East of the  
675 mainshock hypocenter. If the mainshock rupture did indeed show promoted directivity towards  
676 the East, the observation is consistent with predictions from models of bimaterial interfaces and  
677 observations from fault zone head waves at this fault.  
678

## 679 **Author contribution**

680 PMG and DB generated the enhanced seismicity catalog, JJ analyzed the long-term seismicity rate  
681 variations, XC estimated the stress drop, EGF and directivity estimations using methodologies

682 developed previously by GK, all authors discussed the results in terms of the seismo-tectonic  
683 setting, PMG drafted the manuscript and all co-authors contributed to its finalization.

684

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690 proposal “Earthquake source characterization and directivity effects near Istanbul: Implications  
691 for seismic hazard”.

## 692 **Open Research**

693 Seismicity catalogs generated in this study with Artificial intelligence are being prepared for public  
694 release through the repository of the GFZ Data Services (link in preparation). While this is being  
695 prepared, we provide the three catalogs developed here within the submission files.

696 Seismicity catalogs from AFAD and KOERI agencies are available under the landing websites  
697 <https://tdvms.afad.gov.tr/> (last accessed 29/08/2023) and  
698 <http://www.koeri.boun.edu.tr/sismo/2/earthquake-catalog/> (last accessed 29/08/2023),  
699 respectively. The here generated AFAD and KOERI catalogs correspond to the time period from  
700 October 23<sup>rd</sup>, 2022 at 00:00h up to November 29<sup>th</sup>, 2022 at 00:00h. Longitude and latitude ranges  
701 of 30°-32°, and 40°-41°, respectively.

702

## 703 **Competing interests**

704 The authors declare no conflict of interests.

705

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