

1 **Evaluating WRF-GC v2.0 predictions of boundary layer height and vertical**
2 **ozone profile during the 2021 TRACER-AQ campaign in Houston, Texas**

3 **Xueying Liu¹, Yuxuan Wang¹, Shailaja Wasti¹, Wei Li¹, Ehsan Soleimanian¹, James**
4 **Flynn¹, Travis Griggs¹, Sergio Alvarez¹, John T. Sullivan², Maurice Roots³, Laurence**
5 **Twigg⁴, Guillaume Gronoff⁵, Timothy Berkoff⁵, Paul Walter⁶, Mark Estes⁶, Johnathan W.**
6 **Hair⁵, Taylor Shingler⁵, Amy Jo Scarino⁴, Marta Fenn⁴, Laura Judd⁵**

7 ¹Department of Earth and Atmospheric Sciences, University of Houston, Houston, TX, USA

8 ²NASA Goddard Space Flight Center, Greenbelt, MD, USA

9 ³Department of Physics, University of Maryland Baltimore County, MD, USA

10 ⁴Science Systems and Applications, Inc., Hampton, VA, USA

11 ⁵NASA Langley Research Center, Hampton, VA, USA

12 ⁶School of Natural Sciences, St. Edward's University, Austin, TX, USA

13 **Correspondence:** Yuxuan Wang (ywang246@central.uh.edu)

14 **Abstract.** The Tracking Aerosol Convection Experiment Air Quality (TRACER-AQ) campaign
15 probed Houston air quality with a comprehensive suite of ground-based and airborne remote
16 sensing measurements during the intensive operating period in September 2021. Two post-frontal
17 high-ozone episodes (September 6-11 and 23-26) were recorded during the said period. In this
18 study, we evaluated the simulation of the planetary boundary layer (PBL) height and the vertical
19 ozone profile by a high-resolution (1.33 km) 3-D photochemical model, Weather Research and
20 Forecasting (WRF)-driven GEOS-Chem (WRF-GC). We evaluated the PBL heights with a
21 ceilometer at the coastal site La Porte and the airborne High Spectral Resolution Lidar-2 (HSRL-
22 2) flying over urban Houston and adjacent waters. Compared with the ceilometer at La Porte, the
23 model captures the diurnal variations of the PBL heights with a very strong temporal correlation
24 ($R > 0.7$) and $\pm 20\%$ biases. Compared with the airborne HSRL-2, the model exhibits moderate to
25 strong spatial correlation ($R=0.26-0.68$) with $\pm 20\%$ biases during noon and afternoon hours during
26 ozone episodes. For land-water differences in PBL heights, the water has shallower PBL heights
27 compared to land. The model predicts larger land-water differences than observations because the
28 model consistently underestimates PBL heights over land compared to water. We evaluated
29 vertical ozone distributions by comparing the model against vertical measurements from the
30 Tropospheric Ozone lidar (TROPOZ), the HSRL-2, and ozonesondes, as well as surface
31 measurements at La Porte from a model 49i ozone analyzer and one Continuous Ambient
32 Monitoring Stations (CAMS). The model underestimates free tropospheric ozone (2-3 km aloft)
33 by 9%-22% but overestimates near-ground ozone (< 50 m aloft) by 6%-39% during the two ozone
34 episodes. Boundary layer ozone (0.5-1 km aloft) is underestimated by 1%-11% during September
35 8-11 but overestimated by 0%-7% during September 23-26. Based on these evaluations, we
36 identified two model limitations: the single-layer PBL representation and free tropospheric ozone
37 underestimation. These limitations have implications for the predictivity of ozone's vertical
38 mixing and distribution in other models.

1 **1. Introduction**

2 The Houston metropolitan area has experienced nonattainment of the US National Ambient Air
3 Quality Standards (NAAQS) for ozone over decades (TCEQ, 2022). Ozone exceedances in
4 Houston usually occur in two peaks, a spring peak in April–May and a late summer peak in
5 August–October (Zhou et al., 2014). Such seasonal behavior is driven by diverse meteorological
6 conditions that influence ozone development. The passages of synoptic-scale cold fronts (~ 1000
7 km horizontally and ~ 5 km vertically; a timescale of days) are known to bring high background
8 ozone air from the continent into the Houston area (Lefer et al., 2010; McMillan et al., 2010;
9 Haman et al., 2014). Mesoscale sea breeze recirculation (~ 20 km horizontally and ~ 1 km
10 vertically; a timescale of hours) is found to be associated with ozone exceedances (Li et al.,
11 2020; Banta et al., 2005, 2011; Caicedo et al., 2019). Meanwhile, microscale-to-mesoscale
12 vertical mixing (< 1 km vertically; a timescale of hours) of the lower troposphere is shown to be
13 a significant factor in near-surface ozone air quality (Morris et al., 2010; Haman et al., 2014;
14 Sullivan et al., 2017; Xu et al., 2018; Caputi et al., 2019). Favored by these meteorological
15 conditions of different scales, local emissions of ozone precursors from the urban center and the
16 nearby Houston Ship Channel stay locally in the area and lead to high-ozone events. This study
17 will focus on the impact of mixing between lower free tropospheric layers on vertical ozone
18 distribution and the impact of chemistry is outside the scope of this analysis.

19 The planetary boundary layer (PBL) is the lower part (e.g., < 2 km) of the troposphere that is
20 directly influenced by the presence of the Earth’s surface and responds to surface forcings with a
21 timescale of an hour or less. A stable capping layer at the top of the PBL, where temperature
22 increases with height, is known as the capping inversion (CI) layer (e.g., ~ 2 km). With the cap
23 in place, air exchange is inhibited between the overlying free troposphere (FT) (e.g., > 2 km) and
24 the underlying PBL (e.g., < 2 km). During the daytime, there is strong turbulence production
25 throughout the PBL, generating a buoyant layer called the convective boundary layer (CBL). The
26 CBL is characterized by intense mixing in a statically unstable situation where warm air rises
27 from the ground, growing from a few hundred meters in the early morning (e.g., ~ 0.5 km)
28 towards the top of the PBL in the afternoon (e.g., ~ 2 km). As the sun sets, convectively driven
29 turbulence decays in the formerly well-mixed CBL. The remnant of the recently decayed CBL
30 will remain aloft in the less-turbulent residual layer (RL) at around 1–2 km. As the night
31 progresses, the bottom portion of the RL transforms into a stable boundary layer (SBL) (e.g., $<$
32 0.5 km) due to its contact with the ground, characterized by statically stable air with weak and
33 sporadic turbulence. The PBL is commonly considered as the CBL under certain conditions
34 during the daytime and the SBL during the nighttime (Tangborn et al., 2021).

35 The heights of the PBL (incl. CBL and SBL) and other lower tropospheric layers (e.g., RL, CI)
36 are defined mainly by temperature inversions. It is primarily a thermodynamic-based definition,
37 but various types of measurements can be used to calculate the height of the PBL. These
38 measurements include (1) thermodynamical quantities, (2) atmospheric aerosol particle
39 characteristics, (3) atmospheric gases, and (4) wind and turbulence (Kotthaus et al., 2023). The
40 first type measures thermodynamic properties (e.g., temperature, water vapor mixing ratio, etc.)
41 with microwave radiometer (MWR), infrared spectrometer (IRS), Raman lidar, radio acoustic
42 sounding system (RASS), etc (Cimini et al., 2013; Wulfmeyer et al., 2010). The second type
43 measures backscatter signals (e.g., attenuated backscatter, particle backscatter, etc.) with aerosol
44 lidars, ceilometers, etc (Caicedo et al., 2017; Knepp et al., 2017; Li et al., 2022). The third type

1 measures the mass or number concentration of gases with differential absorption lidar (DIAL)
2 (Hair et al., 2008). The fourth type measures dynamic and turbulent processes (e.g., horizontal
3 wind speed, variances of the velocity components, turbulent kinetic energy (TKE), eddy
4 dissipation rate, etc.) with Doppler wind lidar (DWL), radar wind profiler (RWP), sodar, etc
5 (Bonin et al., 2016; Bodini et al., 2018; Bonin et al., 2018; Angevine et al., 2003).

6 Mixed layer height, defined as the volume of atmosphere in which aerosols are well mixed and
7 dispersed, can be derived from the unattenuated backscatter signal of aerosols alone (e.g. the
8 High Spectral Resolution Lidar-2 (HSRL-2)) or the attenuated total backscatter signal produced
9 by aerosols and molecules combined (e.g. CHM 15k-x ceilometers). Both signals have been used
10 to derive mixed layer height for model comparisons (Scarino et al., 2014; Li et al., 2022). Mixed
11 layer height does not equal PBL height by definition; it approximates the CBL height during the
12 daytime and can represent the height of the RL or the SBL depending on retrieval algorithms
13 applied to lidar signals at night (Wang et al., 2020; Vivone et al., 2021). Mixed layer height is
14 often a good proxy for the heights of different lower tropospheric layers determined
15 thermodynamically in models during the daytime (Scarino et al., 2014) and throughout the day
16 (Kuik et al., 2016; Haman et al., 2014) and serves as an input parameter of PBL heights for
17 meteorological and photochemical models (Tangborn et al., 2021; Knote et al., 2015; Geiß et al.,
18 2017).

19 Vertical mixing between different layers of the lower troposphere, such as boundary layer
20 mixing with the FT flow at its upper interface (through entrainment processes), mixing between
21 the RL and the SBL (through surface exchange processes) and the RL mixing through the growth
22 of the CBL, etc., strongly influences surface ozone concentrations. Entrainment can occur during
23 the daytime when strong convective thermals penetrate the laminar FT above and then sink back
24 into the CBL, bringing the FT air towards the surface and thus affecting surface ozone
25 concentrations (Parrish et al., 2010; Jaffe et al., 2011). Located between the FT and the CBL, the
26 strength of the CI layer limits the upward penetration of thermals and is thus used to indicate the
27 influence of the FT air on surface ozone (Kaser et al., 2017; Morris et al., 2010; Rappenglück et
28 al., 2008). Meanwhile, surface exchange processes occur when a low-level jet exists between the
29 RL and the underlying SBL and drives the shear production of turbulence between these layers.
30 Since the RL is a known ozone reservoir with limited NO_x titration and ozone deposition, ozone-
31 rich air in the RL can be mixed down into the SBL effectively, where it is subject to dry
32 deposition to the surface, affecting surface ozone concentrations (Tucker et al., 2010; Sullivan et
33 al., 2017; Caputi et al., 2019; Bernier et al., 2019; Zhao et al., 2022; Xu et al., 2018).

34 The Tracking Aerosol Convection Experiment Air Quality (TRACER-AQ, [https://www-
35 air.larc.nasa.gov/missions/tracer-aq/](https://www-air.larc.nasa.gov/missions/tracer-aq/)) campaign, led by NASA with contributions from the Texas
36 Commission on Environmental Quality (TCEQ), probed Houston air quality with a
37 comprehensive suite of remote sensing and in situ measurements of ozone, ozone precursors, and
38 meteorology from ground-based, airborne, balloon-borne and shipborne platforms (Jensen et al.,
39 2022). The operational period occurred from July–September 2021, with intensive measurements
40 during September 2021. Combining field campaign observations with a high-resolution 3-D
41 photochemical model, the goals of this study are to (1) evaluate the PBL height prediction in the
42 model, (2) examine the vertical distribution of ozone, and (3) identify specific model limitations
43 that prevent accurate prediction of the PBL height and the vertical ozone distribution.

2. Model and Data

2.1 Observations

In this study, to evaluate PBL heights and vertical ozone distribution, continuous and high-resolution measurements (i.e., 1–10 minutes) were obtained from two observational sources including (1) the ground-based instruments at the La Porte site and (2) the airborne instrument flying over urban Houston and the Galveston Bay in September of 2021 (Fig. 1). Compared with discrete or low-resolution measurements (e.g., hourly) of PBL heights used in previous studies in Houston (Haman et al., 2014; Cuchiara et al., 2014; Rappenglück et al., 2008), the high-resolution measurements in TRACER-AQ field campaign are capable to probe into the fine PBL structure and its development as well as the associated vertical ozone profiles.

The first observational source includes multiple measurements at the La Porte site, that is (1) continuous vertical ozone profiles from the NASA Goddard Space Flight Center (GSFC) Tropospheric Ozone (TROPOZ) Differential Absorption Lidar (DIAL) (Sullivan et al., 2014), (2) continuous aerosol mixed layer height derived from atmospheric backscatter profiling with a CHM 15k-x ceilometer, (3) multiple ozonesonde launches, and (4) continuous surface ozone and meteorology measurements. The following paragraphs provide detailed introductions for the four types of measurements mentioned above.

First, the TROPOZ, as part of the ground-based Tropospheric Ozone Lidar Network (TOLNet, <https://www-air.larc.nasa.gov/missions/TOLNet/>), has been used to provide continuous, high-resolution profile measurements of vertical ozone profile during various campaigns for satellite and model evaluation (Sullivan et al., 2014, 2015, 2019, 2022; Bernier et al., 2022; Kotsakis et al., 2022; Dacic et al., 2020; Johnson et al., 2016; Dreessen et al., 2016). The TROPOZ data can be used to identify pollutant transport to understand the vertical mixing of ozone.

Second, the CHM 15k-x ceilometer measured continuous atmospheric attenuated backscatter profiles at a wavelength of 1064 nm. The signals were corrected due to the incomplete superposition of the laser and the receiver field of view by the overlapping correction function from the manufacturer (Rizza et al., 2017). The normalized range corrected signals (RCS) are shown in this paper. The sharp gradients in the collected backscatter were then used to detect up to three aerosol layers by the standard retrieval algorithm provided by the ceilometer manufacturer (Lufft, 2016). The lowest determined aerosol layer is characterized as mixed layer height. It depends on the users to determine whether the derived mixed layer height can be used as a proxy for thermodynamically-defined layers such as the CBL, the SBL, and the RL (Caicedo et al., 2017, 2020; Knepp et al., 2017; Li et al., 2021; Wang et al., 2020).

Third, ozonesondes were often launched multiple times in a day at several locations and measured vertical profiles of ozone and meteorological variables including temperature, humidity, and winds. This study uses ozone and potential temperature profiles from eight ozonesondes at La Porte launched at 10:00-15:00 CDT during ozone episodes.

Last, surface measurements at La Porte included ozone, air temperature, relative humidity, and wind speed and direction. This study uses surface ozone from a Thermo Scientific model 49i ozone analyzer operated by the GSFC and a TCEQ Continuous Ambient Monitoring Stations

1 (CAM5) site named La Porte Sylvan Beach, as well as surface meteorology from a Lufft WS-
2 501B operated by the GSFC.

3 The second observational source is the airborne High Spectral Resolution Lidar-2 (HSRL-2)
4 datasets collected over the Houston area up to three times per day, roughly at 8:00-10:00, 11:00-
5 13:00, and 14:00-16:00 local time, covering an area of approximately 50 km x 135 km. With its
6 high resolution and vertically resolved measurements, the HSRL-2 demonstrated reliable
7 performances on many previous airborne campaigns (Hair et al., 2018; Hair et al., 2008; Burton
8 et al., 2015). The HSRL-2 provides below aircraft retrievals of the spatial and vertical
9 distributions of ozone, aerosols, and mixed layer heights on ten flight days. This paper only
10 reports on (1) mixed layer height derived from gradients in the aerosol backscatter profiles
11 measured at 532 nm and (2) ozone mixing ratio along one flight track that has the nearest
12 distance to the La Porte site (Fig. 1). It is worth noting that mixed layer heights from the HSRL-2
13 and the ceilometer at La Porte are derived differently. The HSRL-2 measures unattenuated
14 aerosol backscatter profile while the ceilometer at the La Porte site measures attenuated total
15 backscatter profiles of the atmosphere (incl. aerosols and molecules).

16

17 **2.2 Identification of ozone episodes**

18 Ozone exceedance days used in this study were identified by the same criteria used in Li et al.
19 (2023) and Soleimanian et al. (2023), where (1) any onshore site from the TCEQ CAM5 network
20 in Houston and Galveston or (2) offshore ozone observations by boat operating in Galveston Bay
21 during the field campaign registered daily maximum 8-hour average (MDA8) ozone in
22 exceedance of the current NAAQS air quality standard of 70 ppbv (see Text S1 for details; refer
23 to Li et al. (2023) for full description of the boat observations). Three high ozone episodes in
24 September of 2021 were identified based on the above criteria: September 6-11, September 17-
25 19, and September 23-26, consisting of 13 ozone exceedance days. We excluded analysis from
26 the September 17-19 episode because it happened right after tropical cyclone Nicholas, which
27 made landfall 125 km south-southwest of Houston and hindered measurements at the ground
28 sites and aircraft due to clouds and power outages. The model meteorology was not designed to
29 capture the cyclone either. Other September days were used as a control to represent non-episode
30 days.

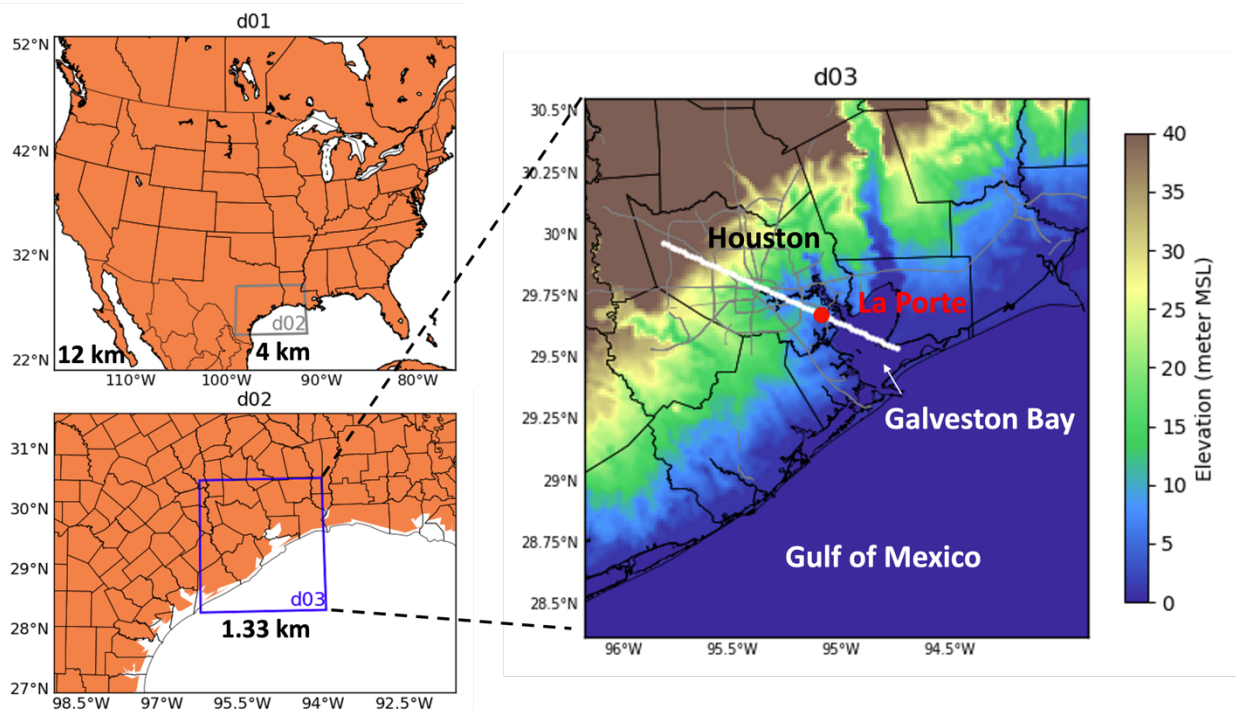
31

32 **2.3 Model**

33 **2.3.1 Model description**

34 WRF-GC v2.0 is a regional air quality model (Feng et al., 2021; Lin et al., 2020) that couples the
35 Weather Research and Forecasting (WRF) meteorological model (v3.9.1.1) with the GEOS-
36 Chem atmospheric chemistry model (v12.7.2). The WRF and GEOS-Chem versions are
37 benchmarks of WRF-GC v2.0 with the proven performance of meteorology, PBL heights, and
38 aerosol simulation in Feng et al. (2021) and Lin et al. (2020). We evaluated WRF-GC's
39 prediction of ozone during the TRACER-AQ study. We set up three domains with different
40 horizontal resolutions that cover the contiguous United States, Southeast Texas, and the
41 Houston-Galveston region, referred to as d01, d02, and d03, respectively, as shown in Figure 1.
42 The corresponding horizontal resolutions for d01–d03 are 12 km, 4 km, and 1.33 km,
43 respectively. All domains have identical vertical resolutions with 50 hybrid sigma-eta vertical
44 levels spanning from the surface to 10 hPa. Vertical resolution ranges from ~70 m (near the

1 ground) to ~700 m (aloft); the first 2 km above the ground has 10 model layers, and the first 4
2 km has 14 model layers.
3



4
5 Figure 1. WRF-GC nested domains and their horizontal resolutions. The La Porte site is labeled
6 as a red dot. The white line represents a flight track that is chosen because of its nearest distance
7 to the La Porte site.
8

9 WRF-GC uses the most updated full O_x-NO_x-VOC-halogen-aerosol chemistry from GEOS-
10 Chem. The anthropogenic emissions used are the 2019 TCEQ emission inventory for Houston
11 and southeastern Texas, the 2013 National Emission Inventory for the rest of the US, and the
12 2014 Community Emissions Data System (CEDs) for regions outside of the US. Biomass
13 burning emissions are from the 2019 Global Fire Emissions Database (GFED). Biogenic
14 emissions are from the Model of Emissions of Gases and Aerosols from Nature (MEGAN)
15 (Guenther et al., 2012). Soil NO_x (Hudman et al., 2012) and lightning NO_x (Murray et al., 2012)
16 emissions are also included.
17

18 2.3.2 Model configurations

19 Boundary and initial conditions for WRF employed three alternative meteorological analyses.
20 They were (1) the National Centers for Environmental Prediction (NCEP)-Final Analysis (FNL)
21 (<https://rda.ucar.edu/datasets/ds083.3/>), (2) the fifth generation of European Centre for Medium-
22 Range Weather Forecasts (ECMWF) atmospheric reanalysis (ERA5) data
23 (<https://rda.ucar.edu/datasets/ds633.0/>), and (3) the High-Resolution Rapid Refresh (HRRR)
24 from NOAA Amazon Web Service (<https://registry.opendata.aws/noaa-hrrr-pds>). The temporal
25 resolution for FNL, ERA5, and HRRR is 6-hourly, hourly, and hourly, respectively. The
26 horizontal resolution for FNL, ERA5, and HRRR is 0.25°, 0.25°, and 3 km, respectively. We
27 used geopotential heights and winds at 850 hPa from the ERA5 dataset to derive synoptic
28 conditions in Fig. 2.

1
2 WRF has different schemes or options to represent physics and dynamics processes. Three PBL
3 schemes were used to investigate the effect of different parameterizations of heat, moisture, and
4 momentum exchange between the surface and PBL on the simulated PBL structure and height.
5 They are the local closure Mellor-Yamada-Nakanishi-Niino (MYNN) scheme (Nakanishi and
6 Niino, 2009), the non-local closure Yonsei University (YSU) scheme (Hong et al., 2006), and the
7 hybrid local-nonlocal Asymmetric Convective Model version 2 (ACM2) scheme (Pleim, 2007).
8 Details of the PBL schemes are in Sect. 2.3.3. Two microphysics schemes were used: the
9 Morrison double moment (2M) scheme (Morrison et al., 2009) and the single-moment 6-class
10 (WSM6) scheme (Hong and Lim, 2006). Other schemes adopted in this paper were the Monin-
11 Obukhov Similarity surface layer, the Noah land surface scheme (Chen and Dudhia, 2001), the
12 Rapid Radiative Transfer Model (RRTM) longwave and shortwave radiation schemes (Iacono et
13 al., 2008), and the New Tiedtke cumulus scheme (Zhang et al., 2011; Tiedtke, 1989).
14

15 To select the best model configuration to represent meteorology during the 2021 TRACER-AQ
16 campaign, we designed eight model experiments with different physics options, boundary
17 meteorology, data assimilation, and reinitializing option, as listed in Table S2. First, [Base] is the
18 baseline configuration: MYNN for PBL, 2M for microphysics, NCEP FNL for boundary
19 conditions, no nudging for assimilation, and no reinitialization. Second, [YSU] and [ACM2]
20 experiments used the YSU and ACM2 PBL schemes, respectively, while keeping other options
21 the same as [Base]. Differences between [Base], [YSU], and [ACM2] show the effects of
22 different PBL parameterizations. Third, the [WSM6] experiment differs from [Base] by
23 replacing the 2M microphysics scheme with WSM6. Differences between [Base] and [WSM6]
24 show the effects of different microphysics schemes. Next, [ERA5] and [HRRR] were designed to
25 show the effects of different meteorological initial and boundary conditions on the WRF
26 performance by using ERA5 and HRRR instead of NCEP FNL, respectively. We examined the
27 effects of data assimilation options in [Nudged]. [Nudged] adopted observation nudging and
28 surface analysis nudging to assimilate both onshore and offshore measurements from multiple
29 platforms, including the TCEQ CAMS, boats, and the NCEP surface and upper air measurements
30 into WRF meteorology (see Text S2 for details). Differences between [Base] and [Nudged] show
31 the effects of assimilation. Last, [Reinit] used daily reinitialization where the simulation was
32 broken into many 30-hour segments with the first 6 hours of each segment (18:00-23:00
33 Coordinated Universal Time (UTC) of a previous day) as spin-up and the subsequent 24 hours
34 (0:00-23:00 UTC of the following day) used for analysis (Yahya et al., 2015; Otte et al., 2008).
35 Differences between [Base] and [Reinit] show the effects of a free-running option versus model
36 reinitialization.
37

38 The WRF model generally reproduces observed temporal variability and spatial distribution in
39 key meteorological parameters with a correlation coefficient higher than 0.5 in most cases.
40 However, the model, regardless of configuration settings, shows persistent low biases in PBL
41 heights, low biases in air temperatures, high biases in relative humidity, and high biases in wind
42 speed (see Text S3 for details). While different WRF configuration has its own advantage in
43 reducing model biases, [HRRR], [Nudged], and [Reinit] configurations stand out as the three
44 best simulations based on campaign-wide statistics (see Text S3 for details). Considering that
45 [Nudged] requires additional efforts to prepare observational datasets and [Reinit] needs to
46 automate the model running process, [HRRR] is the easiest and the most effective option to

1 reproduce meteorology for computationally expensive chemistry simulations and was thus
 2 selected to be presented in the analysis below. Meanwhile, the three simulations with different
 3 PBL schemes (i.e., [Base], [YSU], and [ACM2]) were also selected because the choice of the
 4 PBL scheme is crucial in determining PBL heights (Section 2.3.3), which is one of the major
 5 interests of this study. Therefore, we chose four simulations, that is [HRRR], [Base], [YSU], and
 6 [ACM2], in Table 1. The surface layer, land surface, longwave and shortwave radiation, and
 7 Tiedtke cumulus schemes remain unchanged in all simulations.

8
 9 Table 1. List of simulations used in this study.

Simulations	Meteorology for Boundary Condition	PBL scheme
[Base]	NCEP FNL	MYNN
[YSU]	NCEP FNL	YSU
[ACM2]	NCEP FNL	ACM2
[HRRR]	HRRR	MYNN

10
 11 **2.3.3 Determination of PBL height in different schemes**

12 Atmospheric models adopt the thermodynamic concept and rely on parameterization schemes to
 13 define the structure and the height of the PBL. The heights of the PBL are determined differently
 14 among different PBL schemes in the WRF model. The intra-scheme differences can originate
 15 from (1) the vertical profile of thermodynamic quantities simulated with different assumptions of
 16 the vertical exchange of heat, moisture, and momentum and (2) the diagnosis of the PBL height
 17 from these thermodynamic quantities. The PBL heights determined by different schemes can
 18 differ by 20-30% (Hu et al., 2010; Xie et al., 2013).

19
 20 First, the common parameterizations of vertical exchange include local and non-local closure
 21 schemes. Local closure schemes estimate the turbulent fluxes at each point in model grids from
 22 the mean atmospheric variables and their gradients at that point. In contrast, non-local closure
 23 schemes include the nonlocal upward transport by buoyant plumes, representing large-scale
 24 motions. Among the three PBL schemes used in this study, the MYNN scheme is local, the YSU
 25 is nonlocal, and the ACM2 is hybrid local-nonlocal.

26
 27 Second, the bulk Richardson number (BRN) and the turbulent kinetic energy (TKE) methods are
 28 the two common methods to determine PBL height. The BRN method diagnoses PBL height
 29 thermodynamically by potential temperature with wind speeds and is adopted in the YSU and the
 30 ACM2 schemes. The PBL heights under this condition are defined as the height of the model
 31 layer where the bulk Richardson number reaches a critical value. The two schemes have two
 32 major differences. The YSU scheme calculates the bulk Richardson number starting from the
 33 surface while the ACM2 scheme calculates it above the neutral buoyancy level (Hu et al., 2010;
 34 Hong et al., 2006; Pleim, 2007). The critical value is 0.25 for stable conditions and 0 for unstable
 35 conditions in the YSU scheme and it is 0.25 for both stable and unstable conditions in the ACM2
 36 scheme (Xie et al., 2013). Meanwhile, the TKE method diagnoses PBL height by horizontal and
 37 vertical winds and is adopted in the MYJ scheme (not used in this study). The PBL height under
 38 this condition is diagnosed when the TKE decreases to a minimum of $0.1 \text{ m}^2 \text{ s}^{-2}$. A hybrid
 39 definition that combines the BRN and the TKE methods is implemented in the MYNN scheme.
 40 The hybrid method weights the TKE method more during stable conditions when the BRN-based

1 PBL height is below ~ 0.5 km, while it weights the TKE-based definition negligible when the
2 BRN-based PBL height is above ~ 1 km.

3
4 Previous studies have demonstrated that the mentioned schemes outperform each other under
5 different conditions across regions, evaluated with various metrics (Hu et al., 2010; Xie et al.,
6 2012; Xie et al., 2013). No conclusion is reached as to which scheme is universally the best. No
7 systematic higher or lower PBL height is expected from one scheme relative to one another.

8 9 **2.4 Performance metrics for wind**

10 The mean of wind speed and direction is calculated using the vector notation approach, a
11 commonly used method in wind evaluations, as described in Yu et al. (2023). This method treats
12 wind as vectors with their u (eastward) and v (northward) wind components. First, the mean u
13 and v wind components are found by averaging all u and v wind values over a given time period.
14 Then, the resultant vector is determined by taking the square root of the sum of the squares of the
15 mean u and mean v wind components. The magnitude of the resultant vector represents the mean
16 wind speed, and the angle of the resultant vector represents the mean wind direction.

17
18 The difference between observed and modeled wind direction was calculated as below.

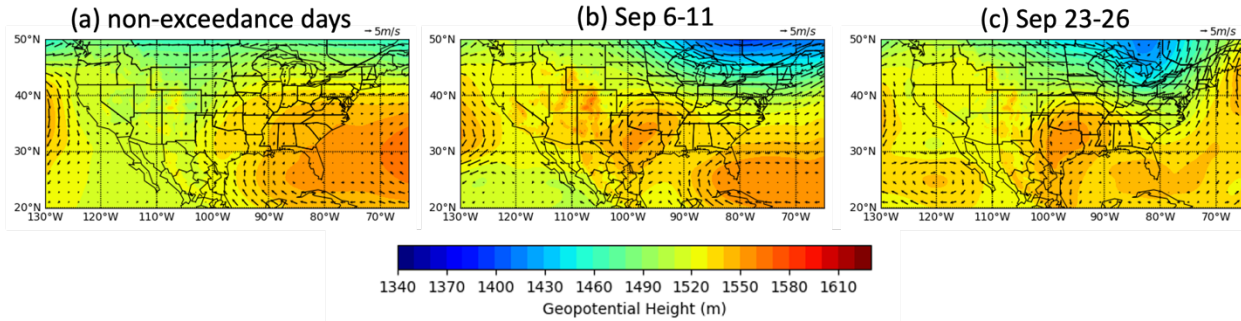
$$\Delta = \begin{cases} M - O, & \text{when } |M - O| \leq 180^\circ \\ (M - O) \left(1 - \frac{360}{|M - O|}\right), & \text{when } |M - O| > 180^\circ \end{cases}$$

19 where M is the model output, and O is the observation. The correlation between observed and
20 modeled wind direction was determined by a circular correlation coefficient as below.

$$R = \frac{\sum_{i=1}^N \sin(M_i - \bar{M}) \sin(O_i - \bar{O})}{\sqrt{\sum_{i=1}^N \sin^2(M_i - \bar{M})} \sqrt{\sum_{i=1}^N \sin^2(O_i - \bar{O})}}$$

22 23 24 25 **3. Lower tropospheric layering for ozone-episode and non-ozone-episode days**

26 The geopotential heights at 850 hPa in Figure 2 show different synoptic conditions are seen
27 between ozone-episode and non-episode days in September 2021. The non-episode days
28 experienced clean southerlies from the Gulf of Mexico (Fig. 2a), while the ozone episodes of
29 September 6-11 and 23-26 both happened after a cold frontal passage with a low pressure sitting
30 in the northeast US and a high pressure located in eastern Texas (Fig. 2b, 2c). This synoptic
31 structure puts the Houston region under northerly wind conditions, which bring colder and more
32 polluted continental air to the region, leading to relatively lower temperatures (Fig. 3a) and
33 relative humidity (Fig. 3b) than non-episode days.



1
2 Figure 2. Synoptic conditions denoted by geopotential height at 850 hPa and the associated
3 winds for (a) the non-episode days and the two ozone episodes of (b) September 6-11 and (c)
4 September 23-26, 2021.

5 Apart from differences in meteorological variables, synoptic high-pressure centers during ozone
6 episodes tend to create a clear, calm condition with light horizontal winds at night when the RL
7 and the multiple layer structure of the lower troposphere (incl. an SBL, an RL, and a CI layer)
8 are prone to form, while the RL structure tends to be disrupted due to shear effects under
9 meteorological conditions during non-episode days (Stull et al., 1988; Yi et al., 2001). We find
10 mixed layer heights derived from the ceilometer at La Porte during non-episode days and ozone-
11 episode are similar during the daytime, while the nocturnal mixed layer heights (*e.g.* 0:00–10:00
12 CDT) are greater on ozone-episode days than on non-episode days (Fig. 3e). Such differences
13 can also be seen from the direct measurements of the ceilometer, *e.g.* atmospheric backscatter
14 profiles. During ozone episodes, the high-pressure center creates favorable meteorological
15 conditions for multiple nocturnal layers to form. Among these, the RL contains much of the
16 aerosol remnant left by the daytime CBL and is therefore detected by the ceilometer during
17 ozone episodes (Fig. 3f). In contrast, no such multiple layers form under meteorological
18 conditions on non-episode days. Much of the aerosol remnant above the SBL is dissipated with
19 the disruption of RL by wind shear such that the SBL contains more aerosol than above.
20 Therefore, the ceilometer detects the SBL on non-episode days (Fig. 3f). In this study, the mixed
21 layer heights derived from the ceilometer represent the RL during ozone episodes but the SBL
22 during non-episode days.

23 Mixed layer height is often a good proxy for the heights of different lower tropospheric layers
24 determined thermodynamically in models (Scarino et al., 2014; Kuik et al., 2016; Haman et al.,
25 2014). We refer to the standard mixed layer retrievals, that is the CBL during the daytime, the
26 SBL at night during non-episode days, and the RL at night during ozone episodes, respectively
27 as observed CBL, SBL, or RL hereafter in a manner consistent with the modeled equivalents.
28

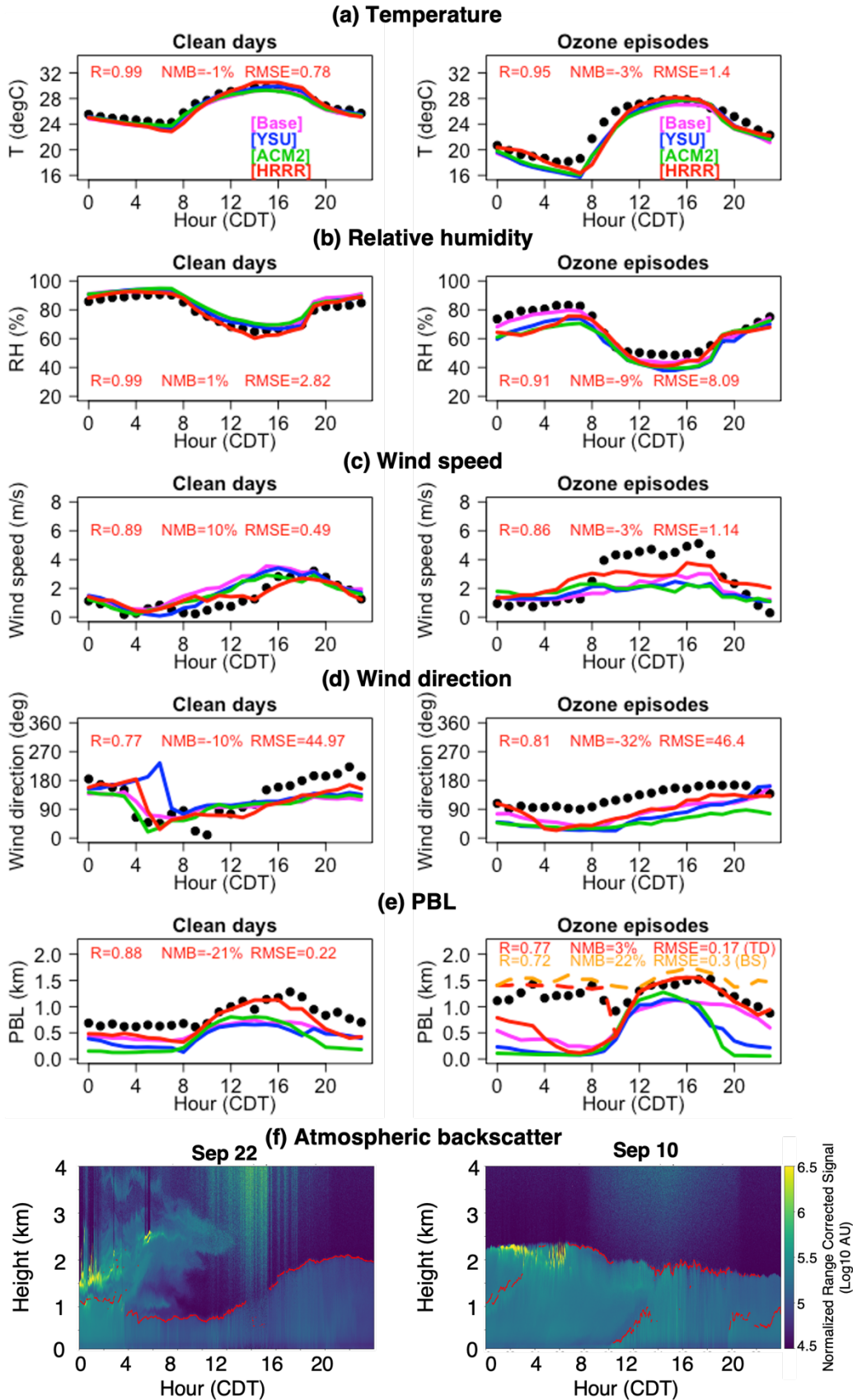
29 **4. PBL height evaluation**

30 Based on the observed differences in diurnal PBL variations between non-episode days and
31 ozone episodes in Section 3, we first assessed the observation-model differences in diurnal
32 variation of PBL heights and other meteorological variables in Section 4.1. The ground-based
33 ceilometer at the La Porte site is used to evaluate the diurnal variation due to its capability for
34 continuous measurements throughout the day. Meanwhile, the HSRL-2 instrument provides data
35 covering a significant portion of the urban Houston region and adjacent waters and is thus used
36 to evaluate spatial and temporal (daytime) variations of the PBL heights in Section 4.2.

1
2
3
4
5
6
7
8
9
10
11
12
13

4.1 Evaluation with ceilometer

Figure 3 compares the diurnal variations of the PBL height between non-episode days and ozone-episode days. During the daytime, the observations represent the CBL height for both types of days. This aligns with the standard model output for the PBL height during the daytime, which is the CBL height. At night, the observations represent the SBL height on non-episode days whereas they represent the RL height on ozone-episode days. However, the model only provides the SBL as the standard output for nighttime PBL, lacking information on other nocturnal layers such as RL. As a result, meaningful comparisons between the observed RL height and the modeled SBL height during ozone episodes become challenging. Therefore, the modeled RL needs to be extracted for a fair comparison against the observed RL during ozone episodes.



1 Figure 3. Diurnal variations in observed versus modeled surface meteorology and PBL height
2 averaged over non-episode periods (left) and ozone episodes (right) in September 2021. In (a)-
3 (e), black dots are NASA GSFC observations at the La Porte site, while lines are model
4 equivalents of different configurations of WRF-GC. In (e), dashed lines represent residual layers
5 identified by aerosol backscatter (BS) versus thermodynamically by potential temperature (TD)
6 from the [HRRR] configuration. (f) shows the ceilometer-measured atmospheric backscatter
7 profiles overlaid with mixed layer heights of a non-episode day of September 22 and an ozone-
8 episode day of September 10.

9
10 We first selected [HRRR] as the best simulation among the four simulations according to their
11 daytime performances for both types of days. On non-episode days, the model simulations show
12 the diurnal mean and standard deviation of the PBL height of 0.52 ± 0.14 km for [Base],
13 0.43 ± 0.17 km for [YSU], 0.39 ± 0.27 km for [ACM2], and 0.66 ± 0.28 km for [HRRR] in
14 comparison with the observed value of 0.83 ± 0.22 km (left panel of Fig. 3e). On ozone-episode
15 days, the model simulations show the CBL height variation of 0.96 ± 0.18 km for [Base],
16 0.60 ± 0.37 km for [YSU], 0.50 ± 0.5 km for [ACM2], and 1.25 ± 0.29 km for [HRRR] in
17 comparison with the observed value of 1.26 ± 0.24 km during the afternoon and evening hours of
18 15:00-23:00 CDT (right panel of Fig. 3e). During the same period, the model simulations show
19 the PBL decay rates of 53 m h^{-1} for [Base], 102 m h^{-1} for [YSU], 135 m h^{-1} for [ACM2], and 59
20 m h^{-1} for [HRRR] in comparison with the observed 60 m h^{-1} . These comparisons demonstrate
21 that the four model simulations generally underestimate the PBL height by 180–450 m
22 throughout the day on non-episode days and by 10–760 m during the daytime on ozone-episode
23 days. Among the four simulations, [HRRR] best captures the observed mean height and decay
24 rate during the daytime. Therefore, [HRRR] is selected to display its aerosol backscatter and
25 potential temperature profiles in Figures 4 and 5, enabling further examination of its
26 representation of the nighttime RL.

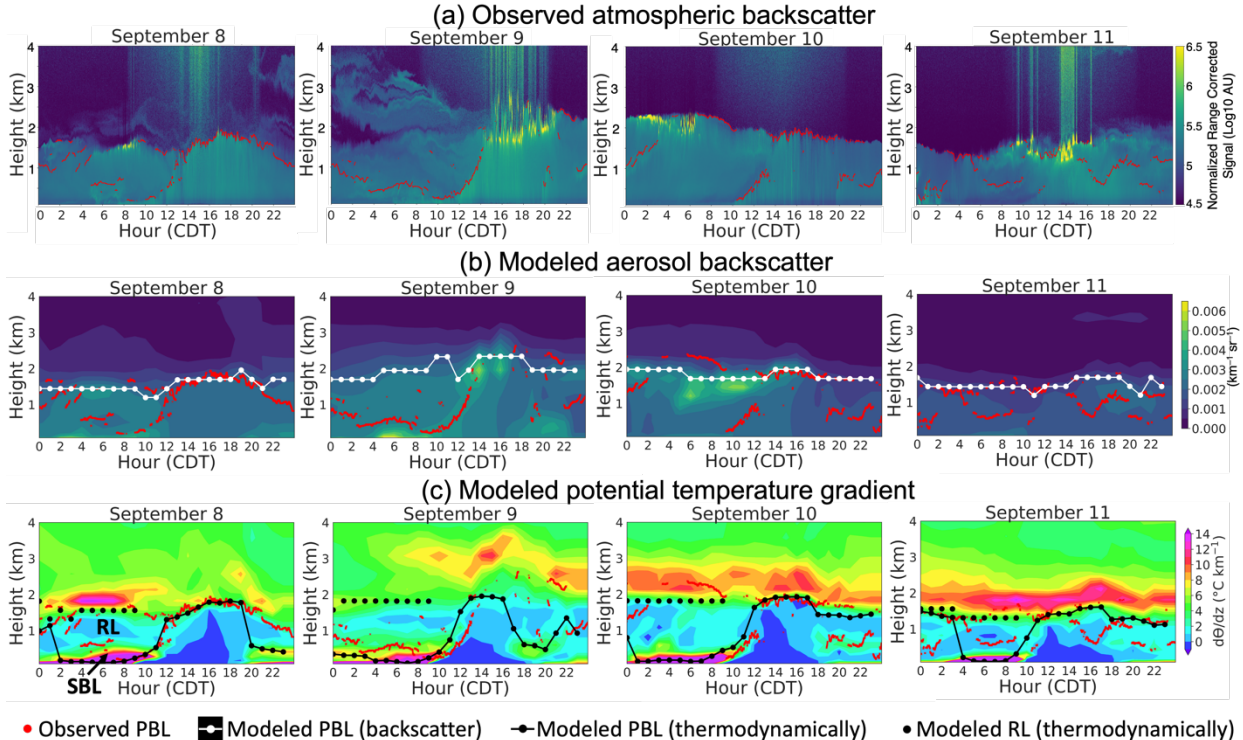
27
28 Next, the simulated aerosol backscatter and potential lapse rates of the [HRRR] simulation are
29 used to extract the modeled RL heights. The modeled aerosol backscatter shows the volume of
30 the atmosphere in which aerosol species are mixed and dispersed. Substantially stronger
31 backscatter signals are found within the first ~ 2 km than the free troposphere at 3–4 km aloft
32 (Fig. 4b, 5b). Therefore, we take the sharpest vertical gradient in the backscatter signal (i.e., the
33 largest first derivative of backscatter) to estimate the modeled mixed layer height. The extracted
34 layers have daytime variations of 1.58 ± 0.13 km and nighttime variations of 1.50 ± 0.06 km during
35 ozone episodes. Such nighttime variations are representative of the RL top in the model. It is
36 worth noting that the modeled aerosol backscatter in Figures 4b and 5b is not equivalent to the
37 ceilometer-measured atmospheric backscatter in Figures 4a and 5a which includes both aerosol
38 and molecular backscatter signals. Yet this modeled aerosol backscatter is the closest product
39 from the model and denotes relatively consistent backscatter differences above and below the
40 RL.

41
42 Apart from aerosol backscatter, the potential lapse rate is also commonly used to distinguish
43 atmospheric layers according to their instability. The potential lapse rate or potential temperature
44 gradient $\left(\frac{d\theta}{dz}\right)$ is thermodynamically defined as the changes of potential temperature (θ) with
45 height (z). Distinguished by the values of the potential lapse rate, the modeled nocturnal PBL
46 consists of a stable SBL, a neutrally stratified RL, and a CI layer during most ozone-episode days

1 (Fig.4c, 5c). The modeled top of the RL can be identified from the height where the RL (with
 2 little or low temperature increases at $0\text{--}3\text{ }^{\circ}\text{C km}^{-1}$) transitions to the CI layer (with drastic
 3 temperature increases at $8\text{--}14\text{ }^{\circ}\text{C km}^{-1}$). Therefore, we use the sharpest gradient in the potential
 4 lapse rate, which is $6.6\text{ }^{\circ}\text{C km}^{-1}$ on average, to identify the top of the modeled RL. This
 5 thermodynamically identified top of the RL exhibits a variation of $1.39\pm 0.03\text{ km}$ during ozone
 6 episodes, which is slightly lower than the $1.50\pm 0.06\text{ km}$ identified by the aerosol backscatter in
 7 the previous paragraph.

8
 9 The modeled PBL heights identified by the two methods above show reasonable agreement with
 10 the observed PBL heights measured by the ceilometer. Such comparison can be found in Figure
 11 3e. The thermodynamically-identified layer exhibits a slightly better agreement with the
 12 observation, exhibiting a correlation coefficient (R) of 0.77, a normalized mean bias (NMB) of
 13 3%, and a root mean square error (RMSE) of 0.17 km. In contrast, the backscatter-identified
 14 layer shows slightly lower correlation and larger biases ($R=0.72$; $\text{NMB}=22\%$; $\text{RMSE}=0.30\text{ km}$)
 15 during ozone episodes.

16



17
 18 Figure 4. Observed and modeled heights of lower tropospheric layers at the La Porte site during
 19 the ozone episode of September 8-11. The contours show (a) ceilometer-observed attenuated
 20 atmospheric backscatter signal produced by aerosols and molecules combined at 1064 nm, (b)
 21 modeled unattenuated backscatter of aerosols alone at 1000 nm, and (c) modeled potential
 22 temperature gradient. Red dots are ceilometer-observed mixed layers. White and black lines are
 23 backscatter-defined and thermodynamically-defined mixed layers from the [HRRR] model
 24 simulation.

25

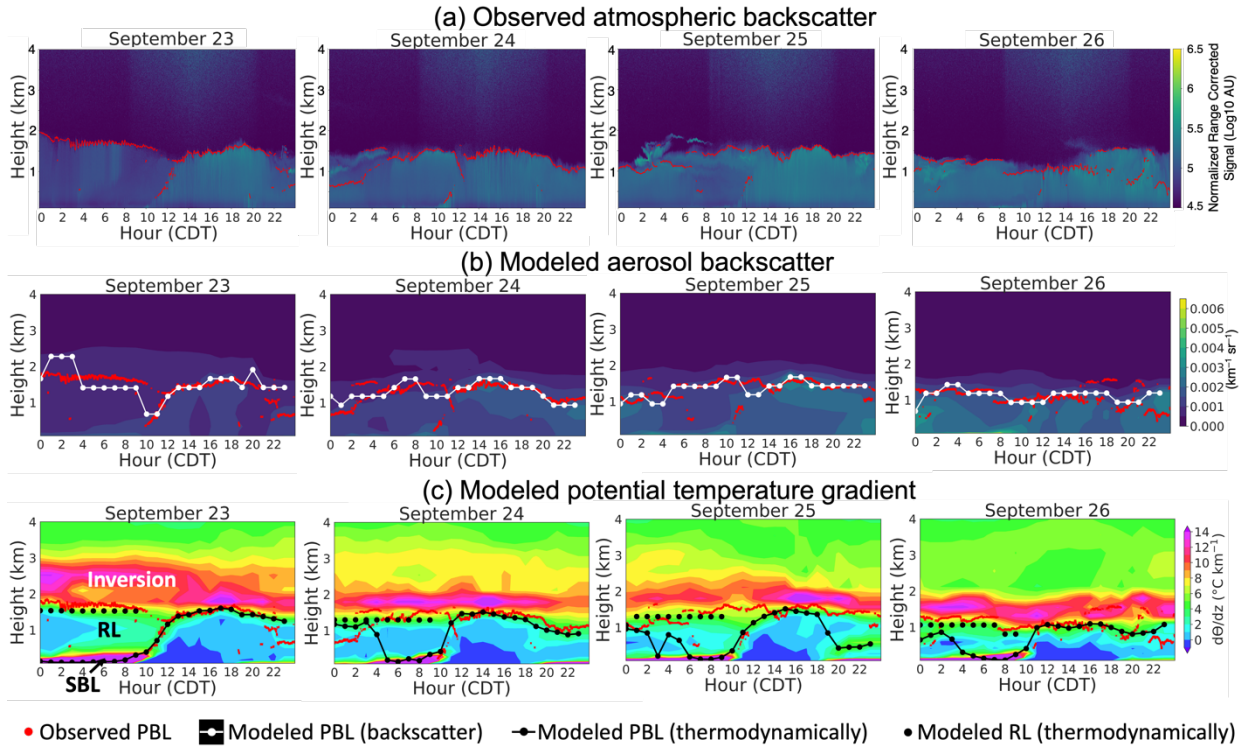


Figure 5. Same as Figure 4 but for the ozone episode of September 23-26.

4.2 Evaluation with HSRL-2

Section 4.1 above evaluated the model performance in continuous temporal variations using a ground-based ceilometer at the La Porte coastal site. In this section, our focus shifts to assessing the spatial variations of the modeled PBL heights by comparing them with the airborne HSRL-2 mixed layer heights. The HSRL-2 conducted measurements over the Houston region and the adjacent Galveston Bay, typically three times per day on eight high ozone days and two clean days in September 2021. As mentioned in the previous sections, the mixed layer can represent the PBL under various conditions. Therefore, from this point onwards, we refer to the observed mixed layer heights as observed PBL heights to maintain consistency with the modeled equivalents.

During ozone episodes, over land in the urban Houston region, the observed PBL heights gradually increase from 0.63 ± 0.25 km in the morning (8:00-10:00 CDT), to 1.27 ± 0.38 km at noon (11:00-13:00 CDT), and further to 1.69 ± 0.23 km in the afternoon (14:00-16:00 CDT). Compared to land, the higher heat capacity in water leads to slower heating and cooling, resulting in a more stable atmosphere and shallower PBL. Over Galveston Bay, the observed heights are consistently lower by around 0.13-0.26 km during the three measured time periods. Such daytime variation and land-water differences can be observed on a specific high ozone day of September 9 in Figure 6. In comparison with these observations above, the four model simulations underestimate the PBL heights to different extents (NMB from -3% to -62%; RMSE from 0.22 km to 0.81 km) in Table 2. The model exhibits notably lower performance on land in the morning than under other conditions, showing less correlation and larger biases. This is because the morning mixed layer heights on land during ozone episodes can be difficult to

1 retrieve with the influences from multiple layers (e.g., SBL and RL), and they can differ
2 substantially from the thermodynamically defined PBL from the model. Therefore, we do not
3 expect the model to capture the spatial patterns of mixed layer heights on land in the morning.
4 Excluding this special case of morning PBL on land, we found that [HRRR] exhibits higher
5 correlation ($R=0.26-0.68$) and lower biases (NMB from -3% to -21% ; RMSE from 0.22 km to
6 0.46 km) in most case during ozone episodes.

7
8 During non-episode days, the observed PBL height increases from 0.78 ± 0.14 km in the morning
9 to 1.07 ± 0.24 km at noon over land, and slightly from 0.57 ± 0.28 km in the morning to 0.65 ± 0.34
10 km at noon over water. The model captures such variations during clean days less effectively,
11 resulting in lower correlation and larger biases compared to ozone episodes (Table 2). One
12 important reason for the lower model performance during clean days compared to ozone
13 episodes is the substantial difference in the number of data points collected. There are
14 significantly fewer data points available during the two clean days compared to the eight high
15 ozone days (Table 2).

16
17 The above analysis indicates that under both high ozone and clean days, the four model
18 simulations consistently underestimate the observed PBL heights under most conditions. Next,
19 we will investigate the model performances in simulating land-water differences.

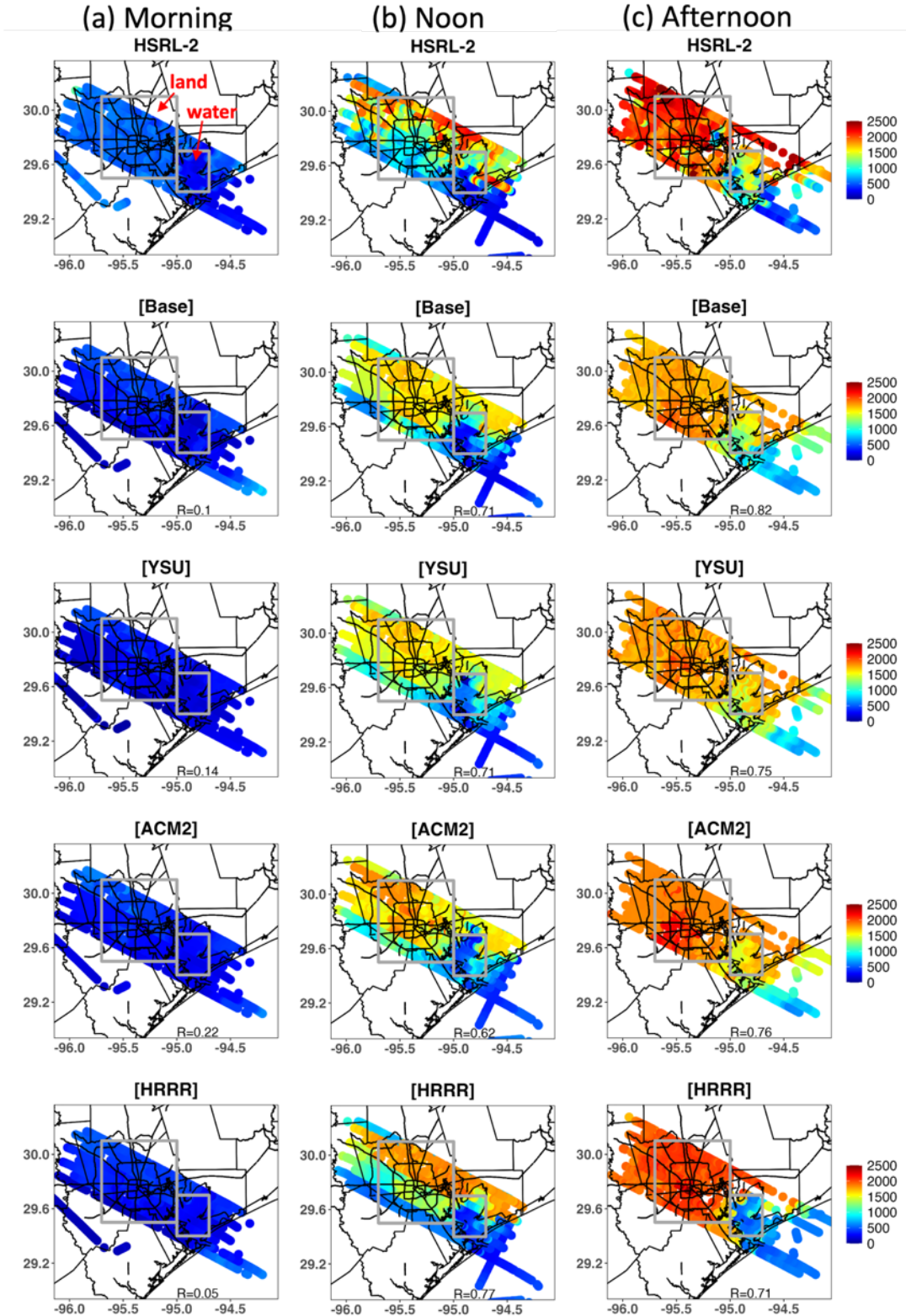
20 The observed land-water differences in PBL heights are larger in the afternoon than in the
21 morning during both ozone-episode and non-episode days. During noon and afternoon hours of
22 ozone episodes, the model exhibits better performance in capturing the heights of the PBL on
23 land than over water. This is expected as the model's physics parameterization is likely better
24 tuned and calibrated for land surfaces, where more observations are available, compared to
25 water. During the same period, the observed mean land-water differences of 0.13 km (noon) and
26 0.26 km (afternoon) are predicted to be larger in the model with values of $0.32-0.52$ km (noon)
27 and $0.44-0.56$ km (afternoon), respectively. This is because the model shows consistently smaller
28 underestimations over land than water during this period. During non-episode days, the observed
29 land-water gradients of 0.21 km (morning) and 0.42 km (noon) are simulated to be $0.14-0.22$ km
30 (morning) and $0.36-0.76$ km (noon), respectively. The [ACM2] and the [HRRR] slightly
31 outperform the other two simulations for land-water differences (Table 2).

32
33
34

1
2 Table 2. Statistics of the observed HSRL-2 mixed layer height and the modeled thermodynamic
3 PBL height during ozone-episode days (September 8-10 and 23-26) and non-episode days
4 (September 1 and 3). Morning, noon, and afternoon are defined as 8:00-10:00 CDT, 11:00-13:00
5 CDT, and 14:00-16:00 CDT. Land and water are defined by the gray boxes in Figure 6. The
6 correlation coefficient (R) and normalized mean bias (NMB) are unitless. The root mean square
7 error (RMSE) has the same unit as PBL height in km.

	Simulations	Statistics	Morning		Noon		Afternoon		
			Land	Water	Land	Water	Land	Water	
		Ozone-episode		No. of points	1884	534	2273	570	2036
[Base]	R		0.01	0.43	0.42	0.34	0.50	0.32	
	NMB		-38%	-19%	-19%	-44%	-22%	-40%	
	RMSE		0.38	0.32	0.44	0.67	0.46	0.70	
[YSU]	R		-0.02	0.29	0.47	0.21	0.51	0.12	
	NMB		-62%	-56%	-15%	-52%	-18%	-43%	
	RMSE		0.49	0.41	0.41	0.79	0.41	0.81	
[ACM2]	R		0.07	0.22	0.46	0.27	0.37	0.17	
	NMB		-47%	-38%	-6%	-41%	-16%	-32%	
	RMSE		0.43	0.38	0.38	0.68	0.48	0.67	
[HRRR]	R		0.05	0.40	0.68	0.50	0.58	0.26	
	NMB		-41%	-6%	-3%	-20%	-4%	-21%	
	RMSE		0.38	0.31	0.29	0.46	0.22	0.46	
Non-episode			No. of points	333	90	224	117		
	[Base]		R	0.17	0.05	-0.11	0.05		
		NMB	-27%	-38%	-23%	-43%			
		RMSE	0.31	0.35	0.43	0.44			
	[YSU]	R	0.19	0.06	-0.03	-0.20			
		NMB	-44%	-50%	-34%	-47%			
		RMSE	0.43	0.40	0.51	0.47			
	[ACM2]	R	0.2	-0.13	0.12	0.04			
		NMB	-30%	-42%	5%	-40%			
		RMSE	0.37	0.38	0.46	0.44			
	[HRRR]	R	0.23	-0.02	-0.02	0.21			
		NMB	-13%	-5%	22%	-16%			
		RMSE	0.24	0.30	0.38	0.36			

8



1
2 Figure 6. Spatial variabilities of the PBL heights (in meters) from the HSRL-2 and different
3 WRF-GC simulations (a) in the morning (8:00-10:00 CDT), (b) at noon (11:00-13:00 CDT), and
4 (c) in the afternoon (14:00-16:00 CDT) of September 9, 2021.
5

5. Ozone vertical mixing and distribution

Boundary layer mixing can bring air aloft towards the surface and vice versa, leading to uneven vertical distribution of ozone which accordingly affects surface ozone concentrations. This section uses independent field measurements at La Porte (incl. TROPOZ, HSRL-2, ozonesondes, a model 49i ozone analyzer, and a CAMS site named La Porte Sylvan Beach) to validate the modeled vertical ozone profiles at three layers, including the lower free troposphere (2-3 km aloft), the boundary layer (0.5-1 km aloft), and the ground level (<50 m). Since the [HRRR] simulation best represents the PBL heights in Section 4, it is used to investigate vertical ozone profiles in this section.

5.1 Free tropospheric ozone entrainment

The strength of the CI layer regulates the gas exchange between the FT and the PBL. Strong convection can penetrate a weak CI layer and entrain FT air into the PBL (i.e., entrainment), while a strong CI layer acts as a lid to restrict gas exchange between the PBL and the FT. The potential temperature differences between the top and bottom of the CI layer are often used to indicate the strength of the CI layer and the extent of entrainment processes (Kaser et al., 2017; Morris et al., 2010; Rappenglück et al., 2008). We first identified the modeled CI layers at 1.5–3 km aloft during ozone episodes in Fig. 4c and Fig. 5c, and then calculate the temperature differences in the model between the top and bottom of the CI layers on each day. The corresponding daily inversion strength is 2.3 °C, 2.8 °C, 6.8 °C and 6.4 °C during September 8-11 and 13.6 °C, 7.5 °C, 7.8 °C, and 8.4 °C during September 23-26, respectively. Among these days, September 8 and 9 experienced the weakest inversions. To examine if the modeled inversion strength is representative of the observations, we evaluate the modeled potential temperature profiles with ozonesonde measurements in Fig. 7a. Results show that the model simulates the vertical profiles of potential temperature well across different days with high correlation ($R=0.99$) and low biases (NMB from 0% to -2%; RMSE from 0.92 °C to 1.30 °C).

Combining the inversion strengths (Fig. 4c; Fig. 5c) and the vertical ozone distributions from the TROPOZ lidar (Fig. 8a; Fig. 8d) helps to identify potential entrainment of the FT air into the underlying PBL on September 8 and 9 at the La Porte site. On September 8, strong convection associated with a rapid CBL growth penetrates the thin and weak inversion at 2 km aloft at around noon (Fig. 4c) and allows the ozone-rich air above to entrain into the CBL, adding to afternoon ozone buildup (Fig. 8a). Similarly, there is no CI layer present overnight from 20 CDT on September 8 to 10 CDT on September 9 (Fig. 4c) and thus long-lasting ozone entrainment into the RL (Fig. 8a). Conversely, a strong and thick inversion at 1.5-3 km decouples the FT and the underlying PBL during September 23-24 (Fig. 5c) and the ozone layer remains aloft at 2-3 km (Fig. 8d). The inversion strength presented here is one way to approach the potential entrainment, follow-up studies can probe into the detailed dynamics. It is also noteworthy that the presented vertical distribution of ozone is also largely shaped by local ozone production in the boundary layer. Since this study is focused on the vertical ozone distribution impacted by mixing between lower free tropospheric layers, the vertical ozone distribution impacted by chemistry and differentiating between the contributions from dynamics and chemistry are outside the scope of this analysis.

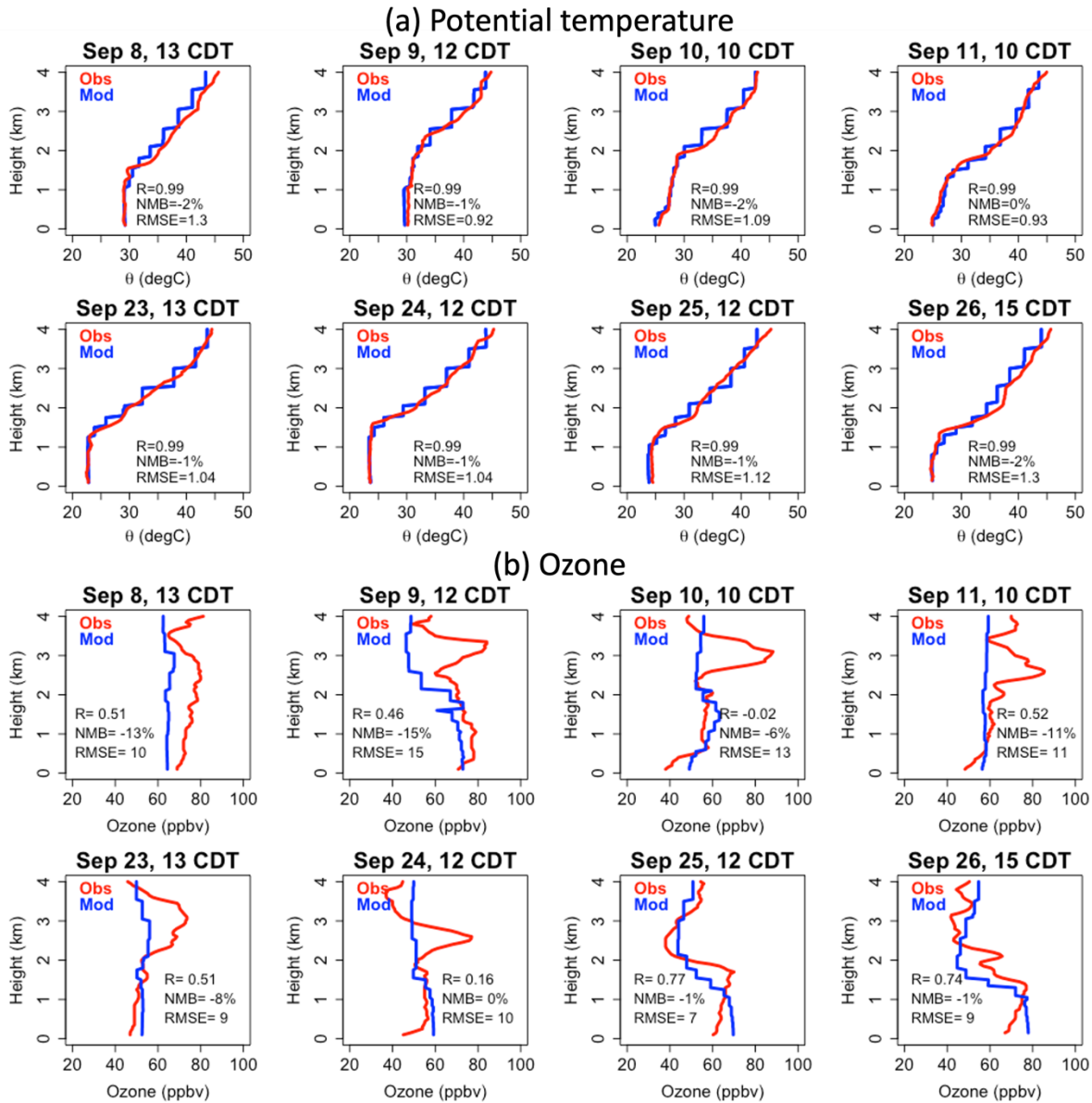
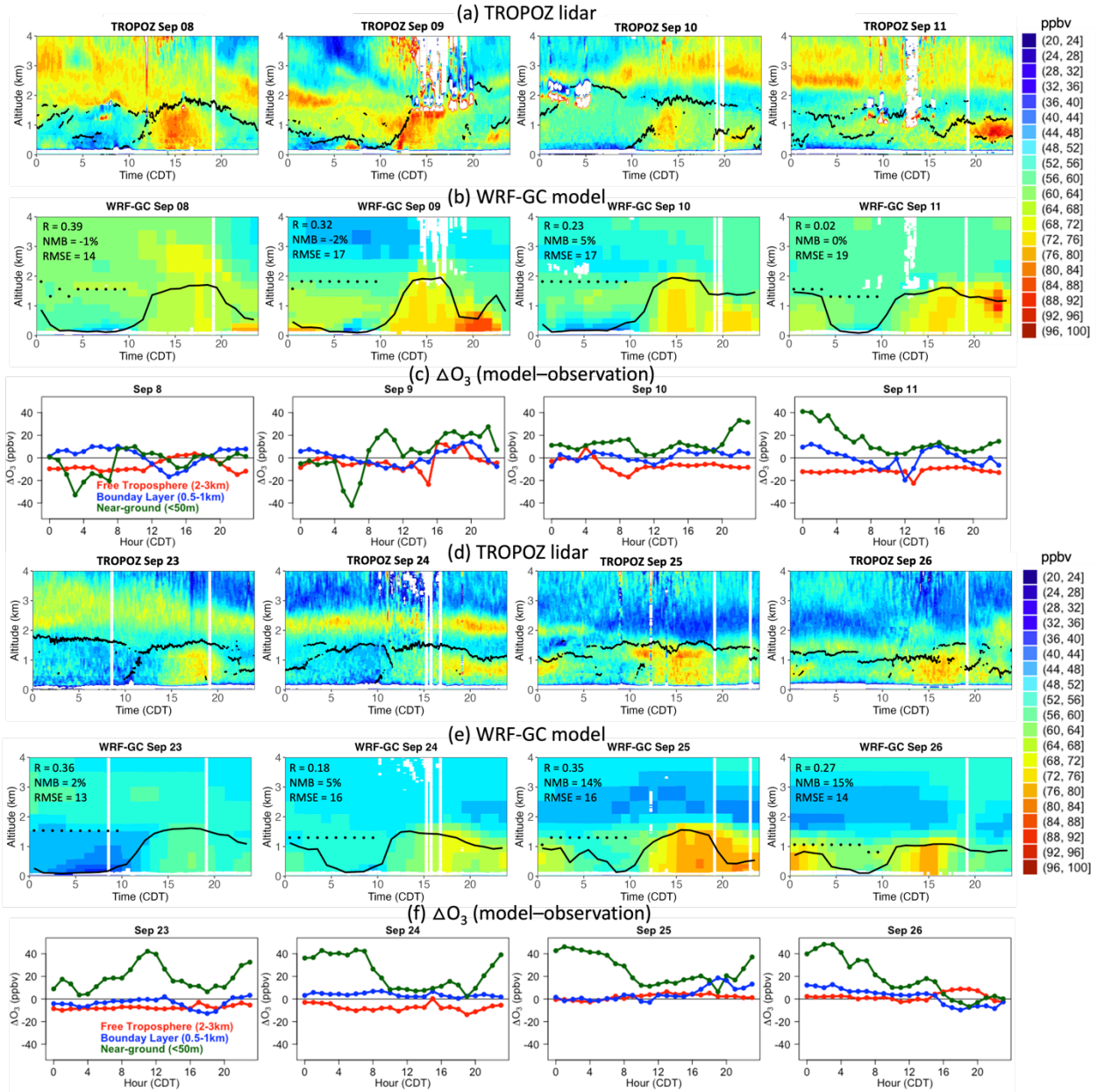
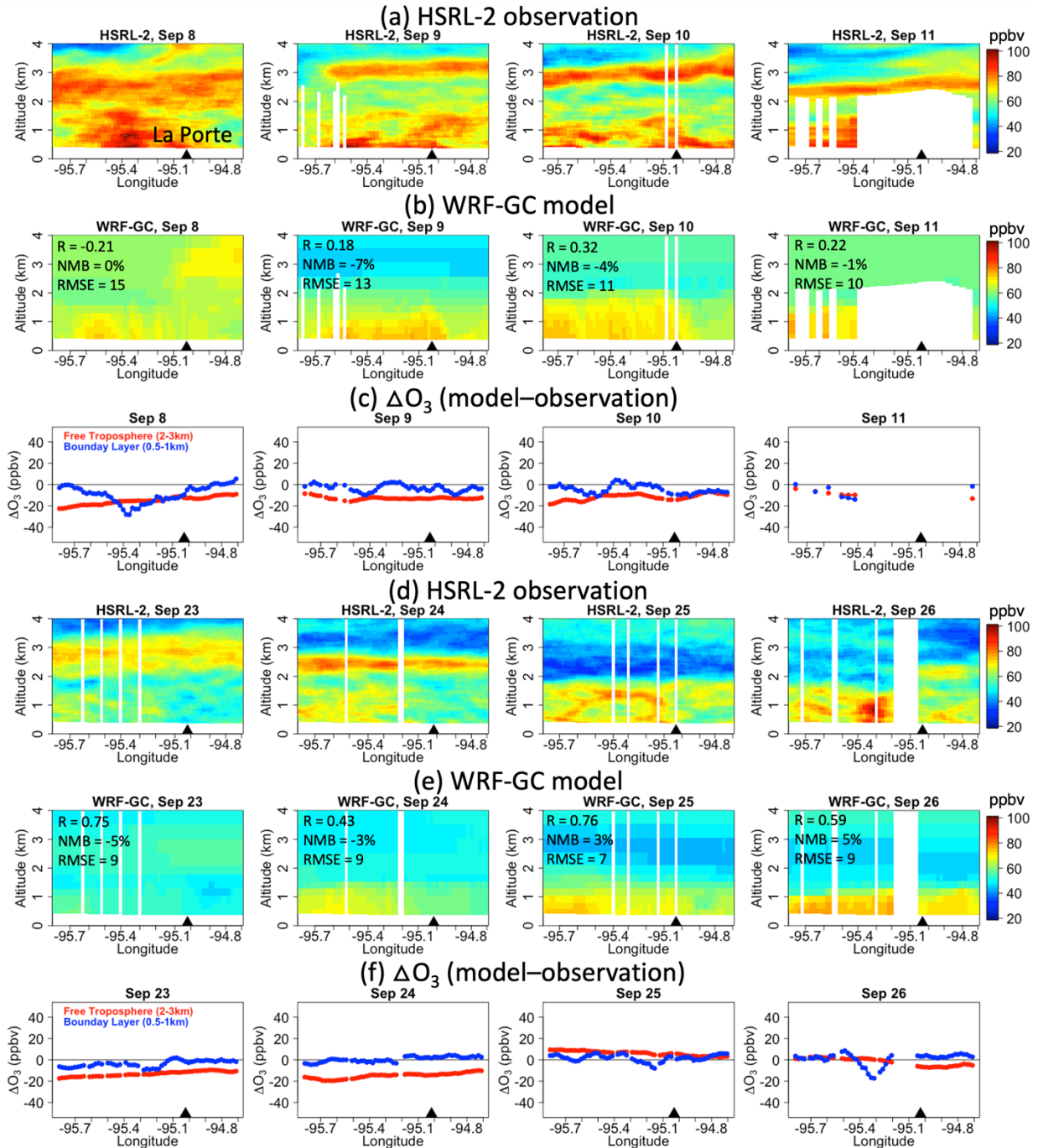


Figure 7. Vertical profiles of (a) potential temperature and (b) ozone from ozonesonde measurements and the WRF-GC [HRRR] simulation at La Porte during September 8-11 and September 23-26.

1
2
3
4
5
6
7
8
9
10



1
 2 Figure 8. Time series of the vertical ozone profile from the TROPOZ ozone lidar (a, d) and the
 3 WRF-GC [HRRR] simulation (b, e) at La Porte. Observed and modeled boundary layer heights
 4 are inserted, respectively. Dots represent the modeled residual layer identified in this study. Line
 5 plots (c, f) show ozone differences (model minus observation) at the free troposphere (2-3 km)
 6 and the boundary layer (0.5-1 km) from the TROPOZ as well as the near-ground (< 50m) from
 7 the model 49i ozone analyzer.
 8
 9



1
 2 Figure 9. Vertical ozone profiles from (a, d) the HSRL-2 and (b, e) the WRF-GC [HRRR]
 3 simulation. The profiles are taken from a flight track (Fig.1) over urban Houston and Galveston
 4 Bay at around 11:00-13:00 CDT each day. Line plots (c, f) show ozone differences (model minus
 5 observation) at the free troposphere (2-3 km) and the boundary layer (0.5-1 km).
 6
 7
 8
 9

10 **5.2 Evaluation of ozone vertical distribution**

1 Multiple field measurements at La Porte are used to evaluate the modeled vertical ozone
2 distribution at the free troposphere (2-3 km), the boundary layer (0.5-1 km), and the near-ground
3 level (< 50 m). According to data availabilities at different levels, the free troposphere and
4 boundary layer are evaluated by the TROPOZ, the HSRL-2, and ozonesondes (Table 3), while
5 the ground level is evaluated by a model 49i ozone analyzer and a CAMS site named La Porte
6 Sylvan Beach (Table 4). To cross-compare among multiple measurements, we present the
7 model-observation differences at a common site (La Porte) during a common time slot (11:00-
8 13:00 CDT). Larger ozone differences are found at the near-ground level than for the boundary
9 layer and lower free troposphere (Table 3; Table 4).

10
11 As shown in Table 3, the model underestimates the layer of enhanced ozone at 2-3 km aloft in
12 the free troposphere by 9%-21% (TROPOZ), 15%-22% (HSRL-2), and 14%-22% (ozonesondes)
13 at La Porte at 11:00-13:00 CDT during September 8-11 and September 23-24. Unlike most of the
14 campaign's ozone exceedance days, September 25 and 26 do not have an enhanced ozone layer
15 at 2-3 km aloft but have a lower ozone layer relative to the background tropospheric values
16 instead; this low ozone layer is overestimated by 9%-12% on September 25 but underestimated
17 by 3-12% on September 26. Meanwhile, the model underestimates the boundary layer ozone at
18 0.5-1 km aloft by 6%-10% (TROPOZ), 6%-12% (HSRL-2), and 1%-11% (ozonesondes) during
19 the first ozone episode of September 8-11, but overestimate it by 0%-6% (TROPOZ), 3%-5%
20 (HSRL-2), and 5%-7% (ozonesondes) during the second episode of September 23-26. Above
21 model-observation differences are based on the common site (La Porte) and common time
22 (11:00-13:00 CDT) among different measurements, the temporal (Figures 8c and 8f) and spatial
23 (Figures 9c and 9f) variations of these differences are shown in Figures 8 (TROPOZ) and 9
24 (HSRL-2).

25
26 While free tropospheric and boundary layer ozone are important components of the vertical
27 ozone distribution due to their thickness, the thin layer of near-ground ozone affects human and
28 vegetation health the most and thus receives more attention. In Table 4, the model overestimates
29 near-ground ozone by 6%-24% (model 49i ozone analyzer) and 8%-39% (CAMS La Porte
30 Sylvan Beach) at La Porte at 11:00-13:00 CDT during the two ozone episodes. Figures 8c and 8f
31 show the temporal variations of model-observation differences from the model 49i ozone
32 analyzer. Most near-ground ozone differences occur at night, consistent with the known problem
33 of overestimating nighttime ozone common to many photochemical models (Schnell et al., 2015;
34 Travis et al., 2016; Jaffe et al., 2018). The WRF-GC model adopts a chemical module from
35 GEOS-Chem. Thus, the two share the difficulties replicating nighttime ozone due to reasons
36 such as the insufficient representation of the stratification of multiple nocturnal atmospheric
37 layers, uncertainties in gas exchanges between the residual layer and the underlying surface
38 layer, and difficulties in simulating the timing of changes in PBL dynamics (Travis and Jacob,
39 2019).

40
41 To assess vertical variations below the first 4 km, we present performance metrics in Figure 7 for
42 ozonesondes, Figure 8 for TROPOZ, and Figure 9 for HSRL-2. Different comparisons between
43 observations and the model reflect distinct aspects. For instance, comparisons with ozonesonde
44 pertain to vertical variations at a fixed location and time ($R=0.46-0.77$; NMB from -1% to -15%;
45 $RMSE=7-15$ ppbv). This emphasis on a specific aspect explains why the correlation is higher
46 compared to TROPOZ and HSRL-2, which encompass a broader range of variations.

1 Comparisons with TROPOZ relate to vertical and temporal variations at a fixed location
 2 (R=0.18-0.39; NMB from -2% to 15%; RMSE=13-17 ppbv). Comparisons with HSRL-2
 3 represent a combination of vertical, temporal, and spatial variations (R=0.18-0.76; NMB from -
 4 7% to 5%; RMSE=7-13 ppbv). The above statistics exclude one or two extreme cases in each
 5 observation. Despite the differences in correlation resulting from the diverse representations of
 6 variations, biases are similar when compared to the three different observations.
 7
 8

9 Table 3. Absolute (abs.) and percentage (pct.) ozone differences between field measurements and
 10 the model at the free troposphere and boundary layer at La Porte.

		TROPOZ (11-13 CDT)		HSRL-2 (11-13 CDT)		Ozonesonde (10-15 CDT)	
		abs. (ppbv)	pct.	abs. (ppbv)	pct.	abs. (ppbv)	pct.
Free Troposphere (2-3km aloft)	8-Sep	-7	-9%	-12	-15%	-12	-16%
	9-Sep	-8	-13%	-13	-20%	-15	-22%
	10-Sep	-8	-13%	-14	-21%	-11	-18%
	11-Sep	-16	-21%			-16	-21%
	23-Sep	-8	-13%	-11	-17%	-9	-14%
	24-Sep	-9	-15%	-14	-22%	-12	-20%
	25-Sep	5	12%	6	15%	4	9%
	26-Sep	-2	-3%	-6	-12%	-3	-6%
Boundary Layer (0.5-1km aloft)	8-Sep	-5	-7%	-8	-11%	-8	-11%
	9-Sep	-8	-10%	-5	-6%	-5	-7%
	10-Sep	-4	-6%	-9	-12%	1	2%
	11-Sep	-5	-7%			-0.4	-1%
	23-Sep	0	0%	-2	-3%	4	7%
	24-Sep	2	4%	2	4%	3	5%
	25-Sep	1	2%	2	3%	4	7%
	26-Sep	4	6%	3	5%	4	5%

11
 12

1
2 Table 4. Absolute (abs.) and percentage (pct.) ozone differences between field measurements and
3 the model at the near-ground level at La Porte.

		Model 49i (11-13 CDT)		CAMS La Porte Sylvan Beach (11-13 CDT)	
		abs. (ppbv)	pct.	abs. (ppbv)	pct.
Near-ground ($< 50\text{m}$)	8-Sep	4	7%		
	9-Sep	8	12%		
	10-Sep	4	6%	16	31%
	11-Sep	6	9%	15	29%
	23-Sep	4	9%	4	8%
	24-Sep	10	20%	15	34%
	25-Sep	13	24%	18	33%
	26-Sep	12	21%	21	39%

4
5 **6. Conclusion**

6 We used ground-based and aircraft observations collected during the TRACER-AQ campaign in
7 September 2021 to evaluate WRF-GC simulation of the PBL height and ozone in Houston,
8 including two ozone episodes characterized by MDA8 ozone exceeding 70 ppbv. The combined
9 suite of ground-based and airborne meteorological and chemical observations is critical in
10 thoroughly evaluating the spatial and temporal variations of the PBL heights and vertical ozone
11 distributions during multi-day ozone episodes, as presented in this work.

12 The modeled PBL heights are evaluated with mixed layer heights retrieved by the ground-based
13 ceilometer and the airborne HSRL-2. Compared with both observations, the four model
14 simulations of [Base], [YSU], [ACM2], and [HRRR] generally underestimate the PBL heights.
15 When compared with the ceilometer, the [HRRR] captures the diurnal variations during non-
16 episode days ($R=0.88$; $\text{NMB}=-21\%$; $\text{RMSE}=0.22$ km). Standard models do not diagnose RL
17 heights, unlike ceilometers. Therefore, we separately identified the modeled RL following the
18 practices using aerosol backscatter signals and potential temperature gradients during the ozone
19 episodes. As a result, the diurnal variation of the thermodynamically-identified layer ($R=0.77$;
20 $\text{NMB}=3\%$; $\text{RMSE}=0.17$ km) compares slightly better than that of the backscatter-identified layer
21 ($R=0.72$; $\text{NMB}=22\%$; $\text{RMSE}=0.30$ km) during ozone episodes. Meanwhile, when compared
22 with the HSRL-2, the [HRRR] exhibits higher correlation ($R=0.26-0.68$) and lower biases (NMB
23 from -3% to -21% ; $\text{RMSE}=0.22-0.46$ km) than other simulations during noon and afternoon
24 hours during ozone episodes. For land-water differences in PBL heights, the water has shallower
25 PBL heights compared to land. The model predicts larger land-water differences than
26 observations because the model consistently underestimates PBL heights over land compared to
27 water.

1 We evaluated the vertical ozone distribution with multiple field measurements, including
2 TROPOZ, HSRL-2, ozonesondes, a model 49i ozone analyzer, and a CAMS site named La Porte
3 Sylvan Beach. First, individual evaluations were conducted at three lower tropospheric layers:
4 the free troposphere (2-3 km aloft), the boundary layer (0.5-1 km aloft), and the ground level (<
5 50 m aloft). Results show that the model underestimates the high ozone layer in the free
6 troposphere by 9%-21% (TROPOZ), 15%-22% (HSRL-2), and 14%-22% (ozonesondes) on most
7 ozone-episode days. The boundary layer ozone is underestimated by 6%-10% (TROPOZ), 6%-
8 12% (HSRL-2), and 1%-11% (ozonesondes) during September 8-11, but overestimated by 0%-
9 6% (TROPOZ), 3%-5% (HSRL-2), and 5%-7% (ozonesondes) during September 23-26.
10 Meanwhile, the model overestimates near-ground ozone by 6%-24% (model 49i ozone analyzer)
11 and 8%-39% (CAMS La Porte Sylvan Beach) during the two ozone episodes. Second, we
12 assessed vertical variations of ozone below the first 4 km through comparisons with TROPOZ,
13 HSRL-2, and ozonesondes. Correlation is higher when compared to ozonesondes than TROPOZ
14 and HSRL-2, as ozonesondes emphasize a specific aspect of vertical variations at a fixed
15 location and time, while the other two encompass a broader range of variations with temporal
16 and spatial variations included. Despite the differences in correlation resulting from the diverse
17 representations of variations, biases shown by these three comparisons are similar.

18
19 Based on these evaluations, we summarized model limitations that prevent a more accurate
20 simulation of PBL heights and the vertical ozone distribution during TRACER-AQ. The first
21 limitation is the single-layer PBL representation. The WRF model only diagnoses the SBL at
22 night, despite the model simulating different physical and thermodynamic properties of multiple
23 nocturnal layers above the SBL. For example, the RL is not identified by the model as a standard
24 diagnosis; this prevents the direct comparison of the model outputs with the observed RL at
25 night. Further efforts are needed to identify and incorporate the RL into the model's standard
26 outputs. Alternative modules aimed at identifying the PBL using simulated vertical backscatter
27 gradients can also enhance the validation of PBL heights with backscatter-derived observations.
28 The second limitation is the underestimation of the layer of enhanced ozone 2-3 km aloft in the
29 free troposphere that was often present on ozone-episode days during the campaign. Given its
30 height of 2-3 km and a lifetime of around a week, the layer of enhanced ozone was likely
31 transported into Houston by synoptic flows of cold fronts from the north. The
32 underrepresentation of the synoptic layer of enhanced ozone affects model representations across
33 regions horizontally and atmospheric layers vertically, making it particularly important to model
34 vertical ozone distributions and the effects of entrainment accurately.

35
36 Our findings have implications for the predictivity of ozone's vertical mixing and distribution
37 across different modeling systems. For example, WRF is widely used in various meteorology-
38 chemistry coupling systems with different treatments of boundary layer mixing. In WRF-Chem,
39 boundary layer mixing in the chemistry part uses a mixing coefficient originating in WRF such
40 that the boundary layer mixing calculations in the meteorology and chemistry parts share the
41 same set of coefficients. In WRF-GC, the chemistry part from GEOS-Chem only takes the PBL
42 height from WRF as the maximum height for boundary layer mixing but conducts independent
43 calculations of boundary layer mixing using its own internal methods, which are not reliant on
44 WRF. Unlike online coupled WRF-Chem and WRF-GC, WRF is offline coupled to CAMx in the
45 WRF-CAMx system, and the boundary layer mixing in the chemistry part of CAMx is subject to
46 WRF output frequency instead of the native transport time step in WRF. Considering these

1 distinct treatments of boundary layer mixing in models, the single-layer PBL representation can
2 have varying impacts on the simulation of vertical mixing and, consequently, the vertical
3 distribution of ozone and other air pollutants. Thus, it is essential to understand the differences in
4 boundary layer mixing among different meteorology-chemistry coupling systems. Follow-up
5 studies to this work will address these aspects with a detailed analysis of vertical mixing
6 processes in various models.
7

8 **Code availability.** WRF-GC is a free and open-source model (Lin et al., 2020b). The two parent
9 models, WRF and GEOS-Chem, are also open source and can be obtained from their developers
10 at <https://github.com/wrf-model/WRF> (last access: 29 May 2023) and <http://www.geos-chem.org>
11 (last access: 29 May 2023), respectively.

12 **Data availability.** All observation datasets, model configuration files, model boundary
13 conditions, model input files, and scripts used in this paper are archived in Zenodo (Liu et al.,
14 2023).

15 **Author contributions.** XL and YW conceived the research idea. XL wrote the initial draft of the
16 paper and performed the analyses and model simulations. JF, TG, and SA provided the shipborne
17 data. JS, MR, and LT provided the TROPOZ and ceilometer data. PW and JS provided the
18 ozonesonde data. JH, TS, AJS, and MF provided the HSRL-2 data. All authors contributed to the
19 interpretation of the results and the preparation of the paper.
20

21 **Competing interests.** The contact author has declared that none of the authors has any
22 competing interests.

23 **Acknowledgements.** The authors acknowledge TCEQ for providing the hourly wind,
24 temperature, relative humidity, and MDA8 ozone data, and NASA Langley Atmospheric Science
25 Data Center for providing the TRACER-AQ data archive. We thank Richard Ferrare for helpful
26 suggestions on this paper.

27 **Financial support.** This research was supported by the Texas Commission on Environmental
28 Quality (TCEQ) (Grant No. 582-22-31544-019) and by a grant from the Texas Air Quality
29 Research Program (AQRP) (22-008) at The University of Texas at Austin through the Texas
30 Emission Reduction Program (TERP) and the TCEQ. The findings, opinions, and conclusions
31 are the work of the author(s) and do not necessarily represent the findings, opinions, or
32 conclusions of the AQRP or the TCEQ.

33 34 **References**

35 Angevine, W., Senff, C., and Westwater, E.: Boundary layers/Observational Techniques-
36 Remote, in: Encyclopedia of Atmospheric Sciences, edited by: Holton, J. R., Academic Press,
37 Oxford, 271– 279, <https://doi.org/10.1016/B0-12-227090-8/00089-0>, 2003.
38

- 1 Banta, R. M., Senff, C. J., Nielsen-Gammon, J., Darby, L. S., Ryerson, T. B., Alvarez, R. J.,
2 Sandberg, S. R., Williams, E. J., and Trainer, M.: A bad air day in Houston, B. Am. Meteorol.
3 Soc., 86, 657–669, doi:10.1175/bams-86-5-657, 2005.
4
- 5 Banta, R. M., Senff, C. J., Alvarez, R. J., Langford, A. O., Parrish, D. D., Trainer, M. K., Darby,
6 L. S., Hardesty, R. M., Lambeth, B., Neuman, J. A., Angevine, W. M., Nielsen-Gammon, J.,
7 Sandberg, S. P., and White, A. B.: Dependence of daily peak O₃ concentrations near Houston,
8 Texas on environmental factors: wind speed, temperature, and boundary-layer depth, Atmos.
9 Environ., 45, 162–173, doi:10.1016/j.atmosenv.2010.09.030, 2011.
- 10 Bernier, C., Wang, Y., Estes, M., Lei, R., Jia, B., Wang, S., and Sun, J.: Clustering Surface
11 Ozone Diurnal Cycles to Understand the Impact of Circulation Patterns in Houston, TX, J.
12 Geophys. Res.-Atmos, 124, 13457–13474., <https://doi.org/10.1029/2019jd031725>, 2019.
- 13 Bernier, C., Wang, Y., Gronoff, G., Berkoff, T., Knowland, K.E., Sullivan, J.T., Delgado, R.,
14 Caicedo, V. and Carroll, B.: Cluster-based characterization of multi-dimensional tropospheric
15 ozone variability in coastal regions: an analysis of lidar measurements and model results, Atmos.
16 Chem. Phys., 15313–15331, <https://doi.org/10.5194/acp-22-15313-2022>, 2022.
- 17 Bodini, N., Lundquist, J. K., and Newsom, R. K.: Estimation of turbulence dissipation rate and
18 its variability from sonic anemometer and wind Doppler lidar during the XPIA field campaign,
19 Atmos. Meas. Tech., 11, 4291–4308, <https://doi.org/10.5194/amt-11-4291-2018>, 2018.
- 20 Bonin, T. A., Newman, J. F., Klein, P. M., Chilson, P. B., and Wharton, S.: Improvement of
21 vertical velocity statistics measured by a Doppler lidar through comparison with sonic
22 anemometer observations, Atmos. Meas. Tech., 9, 5833–5852, <https://doi.org/10.5194/amt-9-5833-2016>, 2016.
23
- 24 Bonin, T. A., Carroll, B. J., Hardesty, R. M., Brewer, W. A., Hajny, K., Salmon, O. E., and
25 Shepson, P. B.: Doppler Lidar Observations of the Mixing Height in Indianapolis Using an
26 Automated Composite Fuzzy Logic Approach, J. Atmos. Ocean. Tech., 35, 473–490,
27 <https://doi.org/10.1175/JTECH-D-17-0159.1>, 2018.
- 28 Burton, S. P., Hair, J. W., Kahnert, M., Ferrare, R. A., Hostetler, C. A., Cook, A. L., Harper, D.
29 B., Berkoff, T. A., Seaman, S. T., Collins, J. E., Fenn, M. A., and Rogers, R. R.: Observations of
30 the spectral dependence of linear particle depolarization ratio of aerosols using NASA Langley
31 airborne High Spectral Resolution Lidar, Atmos. Chem. Phys., 15, 13453–13473,
32 <https://doi.org/10.5194/acp-15-13453-2015>, 2015.
- 33 Caicedo, V., Rappenglück, B., Lefer, B., Morris, G., Toledo, D., and Delgado, R.: Comparison
34 of aerosol lidar retrieval methods for boundary layer height detection using ceilometer aerosol
35 backscatter data, Atmos. Meas. Tech., 10, 1609–1622, <https://doi.org/10.5194/amt-10-1609-2017>, 2017.
36
- 37 Caicedo, V., Rappenglück, B., Cuchiara, G., Flynn, J., Ferrare, R., Scarino, A., Berkoff, T.,
38 Senff, C., Langford, A., and Lefer, B.: Bay breeze and sea breeze circulation impacts on the

1 planetary boundary layer and air quality from an observed and modeled DISCOVER-AQ Texas
2 case study, *J. Geophys. Res.-Atmos.*, 124, 7359–7378, <https://doi.org/10.1029/2019JD030523>,
3 2019.
4
5 Caicedo, V., Delgado, R., Sakai, R., Knepp, T., Williams, D., Cavender, K., Lefer, B., and
6 Szykman, J.: An Automated Common Algorithm for Planetary Boundary Layer Retrievals Using
7 Aerosol Lidars in Support of the U.S. EPA Photochemical Assessment Monitoring Stations
8 Program, *J. Atmos. Oceanic Technol.*, 37, 1847–1864, [https://doi.org/10.1175/JTECH-D-20-](https://doi.org/10.1175/JTECH-D-20-0050.1)
9 0050.1, 2020.
10
11 Caputi, D. J., Faloona, I., Trousdell, J., Smoot, J., Falk, N., and Conley, S.: Residual layer ozone,
12 mixing, and the nocturnal jet in California’s San Joaquin Valley, *Atmos. Chem. Phys.*, 19, 4721–
13 4740, <https://doi.org/10.5194/acp-19-4721-2019>, 2019.
14
15 Chen, F. and Dudhia, J.: Coupling an advanced land surface–hydrology model with the Penn
16 State–NCAR MM5 modeling system. Part II: Preliminary model validation, *Monthly Weather*
17 *Review*, 129(4), 587–604, 2001.
18
19 Cimini, D., De Angelis, F., Dupont, J.-C., Pal, S., and Haeffelin, M.: Mixing layer height
20 retrievals by multichannel microwave radiometer observations, *Atmos. Meas. Tech.*, 6, 2941–
21 2951, <https://doi.org/10.5194/amt-6-2941-2013>, 2013.
22
23 Cuchiara, G. C., Li, X., Carvalho, J., and Rappenglück, B.: Intercomparison of planetary
24 boundary layer parameterization and its impacts on surface ozone concentration in the
25 WRF/Chem model for a case study in Houston/Texas, *Atmos. Environ.*, 96, 175– 185,
26 [doi:10.1016/j.atmosenv.2014.07.013](https://doi.org/10.1016/j.atmosenv.2014.07.013), 2014.
27
28 Dacic, N., Sullivan, J. T., Knowland, K. E., Wolfe, G. M., Oman, L. D., Berkoff, T. A., and
29 Gronoff, G. P.: Evaluation of NASA’s high-resolution global composition simulations:
30 Understanding a pollution event in the Chesapeake Bay during the summer 2017 OWLETS
31 campaign, *Atmos. Environ.*, 222, 117133, <https://doi.org/10.1016/j.atmosenv.2019.117133>,
32 2020.
33
34 Dreessen, J., Sullivan, J., and Delgado, R.: Observations and impacts of transported Canadian
35 wildfire smoke on ozone and aerosol air quality in the Maryland region on June 9–12, 2015, *J.*
36 *Air Waste Manage.*, 66, 842–862, <https://doi.org/10.1080/10962247.2016.1161674>, 2016.
37
38 Soleimanian, E., Wang, Y., Li, W., Liu, X., Griggs, T., Flynn, J., Walter, P.J. and Estes, M.J.:
39 Understanding ozone episodes during the TRACER-AQ campaign in Houston, Texas: The role
40 of transport and ozone production sensitivity to precursors, *Science of The Total Environment*,
41 2023.
42
43 Feng, X., Lin, H., Fu, T.-M., Sulprizio, M. P., Zhuang, J., Jacob, D. J., Tian, H., Ma, Y., Zhang,
44 L., Wang, X., Chen, Q., and Han, Z.: WRF-GC (v2.0): online two-way coupling of WRF
45 (v3.9.1.1) and GEOS-Chem (v12.7.2) for modeling regional atmospheric chemistry–meteorology

1 interactions, *Geosci. Model Dev.*, 14, 3741–3768, <https://doi.org/10.5194/gmd-14-3741-2021>,
2 2021.
3
4 Geiß, A., Wiegner, M., Bonn, B., Schäfer, K., Forkel, R., von Schneidmesser, E., Münkler, C.,
5 Chan, K. L., and Nothard, R.: Mixing layer height as an indicator for urban air quality?, *Atmos.*
6 *Meas. Tech.*, 10, 2969–2988, <https://doi.org/10.5194/amt10-2969-2017>, 2017.
7
8 Gronoff, G., Robinson, J., Berkoff, T., Swap, R., Farris, B., Schroeder, J., Halliday, H. S.,
9 Knepp, T., Spinei, E., Carrion, W., Adcock, E. E., Johns, Z., Allen, D., and Pippin, M.: A
10 Method for Quantifying near Range Point Source Induced O₃ Titration Events Using Co-
11 Located Li- dar and Pandora Measurements, *Atmos. Environ.*, 204, 43–52,
12 <https://doi.org/10.1016/j.atmosenv.2019.01.052>, 2019.
13
14 Gronoff, G., Berkoff, T., Knowland, K. E., Lei, L., Shook, M., Fab- bri, B., Carrion, W., and
15 Langford, A. O.: Case study of strato- spheric Intrusion above Hampton, Virginia: lidar-
16 observation and modeling analysis, *Atmos. Environ.*, 259, 1352–2310,
17 <https://doi.org/10.1016/j.atmosenv.2021.118498>, 2021.
18
19 Guenther, A. B., Jiang, X., Heald, C. L., Sakulyanontvittaya, T., Duhl, T., Emmons, L. K., and
20 Wang, X.: The Model of Emissions of Gases and Aerosols from Nature version 2.1
21 (MEGAN2.1): an extended and updated framework for modeling biogenic emissions, *Geosci.*
22 *Model Dev.*, 5, 1471–1492, doi:10.5194/gmd-5- 1471-2012, 2012.
23
24 Haman, C. L., Couzo, E., Flynn, J. H., Vizuete, W., Heffron, B., and Lefer, B. L.: Relationship
25 between boundary layer heights and growth rates with ground-level ozone in Houston, Texas, *J.*
26 *Geophys. Res.-Atmos.*, 119, 6230–6245, <https://doi.org/10.1002/2013jd020473>, 2014.
27
28 Hair, J. W., Hostetler, C. A., Cook, A. L., Harper, D. B., Ferrare, R. A., Mack, T. L., Welch, W.,
29 Izquierdo, L. R., and Hovis, F. E.: Airborne High Spectral Resolution lidar for profiling aerosol
30 optical properties, *Appl. Opt.*, 47, 6734–6752, 2008.
31
32 Hair, J., Hostetler, C., Cook, A., Harper, D., Notari, A., Fenn, M., Newchurch, M., Wang, L.,
33 Kuang, S., Knepp, T., Burton, S., Ferrare, R., Butler, C., Collins, J., and Nehrir, A.: New
34 capability for ozone dial profiling measurements in the troposphere and lower stratosphere from
35 aircraft, *EPJ Web Conf.*, 176, 01006, <https://doi.org/10.1051/epjconf/201817601006>, 2018.
36
37 Hong, S.-Y., Noh, Y., and Dudhia, J.: A new vertical diffusion package with an explicit
38 treatment of entrainment processes, *Mon. Weather Rev.*, 134, 2318–2341,
39 <https://doi.org/10.1175/MWR3199.1>, 2006.
40
41 Hong, S. Y. and Lim, J. O. J.: The WRF Single-Moment 6-Class Microphysics Scheme
42 (WSM6), *J. Korean Meteor. Soc.*, 42, 129– 151, 2006.
43
44 Hu, X. M., Nielsen-Gammon, J. W., and Zhang, F.: Evaluation of three planetary boundary layer
45 schemes in the WRF model, *J. Appl. Meteorol. Clim.*, 49, 1831–1844,
46 doi:10.1175/2010JAMC2432.1, 2010.

1
2 Hudman, R. C., Moore, N. E., Mebust, A. K., Martin, R. V., Russell, A. R., Valin, L. C., and
3 Cohen, R. C.: Steps towards a mechanistic model of global soil nitric oxide emissions:
4 implementation and space based-constraints, *Atmos. Chem. Phys.*, 12, 7779–7795,
5 <https://doi.org/10.5194/acp-12-7779-2012>, 2012.
6
7 Iacono, M. J., Delamere, J. S., Mlawer, E. J., Shephard, M. W., Clough, S. A., and Collins, W.
8 D.: Radiative forcing by longlived greenhouse gases: Calculations with the AER radiative
9 transfer models, *J. Geophys. Res.-Atmos.*, 113, D13103, <https://doi.org/10.1029/2008JD009944>,
10 2008.
11
12 Jaffe, D.: Relationship between Surface and Free Tropospheric Ozone in the Western U.S,
13 *Environ. Sci. Technol*, 45, 432–438, doi:10.1021/es1028102, 2011.
14
15 Jaffe, D. A., Cooper, O. R., Fiore, A. M., Henderson, B. H., Tonnesen, G. S., Russell, A. G.,
16 Henze, D. K., Langford, A. O., Lin, M., and Moore, T.: Scientific assessment of background
17 ozone over the U.S.: Implications for air quality management, *Elem. Sci. Anth.*, 6, p. 56,
18 <https://doi.org/10.1525/elementa.309>, 2018.
19
20 Jensen, M. P., Flynn, J. H., Judd, L. M., Kollias, P., Kuang, C., Mcfarquhar, G., Nadkarni, R.,
21 Powers, H., and Sullivan, J.: A Succession of Cloud, Precipitation, Aerosol, and Air Quality
22 Field Experiments in the Coastal Urban Environment, *B. Am. Meteorol. Soc.*, 103, 103–105,
23 2022.
24
25 Johnson, M., Kuang, S., Wang, L., and Newchurch, M.: Evaluating summer-time ozone
26 enhancement events in the southeast United States, *Atmosphere*, 7, 108,
27 <https://doi.org/10.3390/atmos7080108>, 2016.
28
29 Kaser, L., Patton, E. G., Pfister, G. G., Weinheimer, A. J., Montzka, D. D., Flocke, F.,
30 Thompson, A. M., Stauffer, R. M., and Halliday, H. S.: The effect of entrainment through
31 atmospheric boundary layer growth on observed and modeled surface ozone in the Colorado
32 Front Range, *J. Geophys. Res.-Atmos.*, 122, 6075– 6093, <https://doi.org/10.1002/2016JD026245>,
33 2017.
34
35 Knepp, T. N., Szykman, J. J., Long, R., Duvall, R. M., Krug, J., Beaver, M., Cavender, K.,
36 Kronmiller, K., Wheeler, M., Delgado, R., Hoff, R., Berkoff, T., Olson, E., Clark, R., Wolfe, D.,
37 Van Gilst, D., and Neil, D.: Assessment of mixed-layer height estimation from single-
38 wavelength ceilometer profiles, *Atmos. Meas. Tech.*, 10, 3963–
39 3983, <https://doi.org/10.5194/amt-10-3963-2017>, 2017.
40
41 Knote, C., Tuccella, P., Curci, G., Emmons, L., Orlando, J. J., Madronich, S., Baró, R., Jiménez-
42 Guerrero, P., Luecken, D., Hogrefe, C., Forkel, R., Werhahne, J., Hirtl, M., Pérez, J., José, R.,
43 Giordano, L., Brunner, D., Yahya, K., and Zhang, Y.: Influence of the choice of gas-phase
44 mechanism on predictions of key gaseous pollutants during the AQMEII phase-2
45 intercomparison, *Atmos. Environ.*, 115, 553– 568,
46 <https://doi.org/10.1016/j.atmosenv.2014.11.066>, 2015.

1
2 Kotthaus, S., Bravo-Aranda, J. A., Collaud Coen, M., Guerrero-Rascado, J. L., Costa, M. J.,
3 Cimini, D., O'Connor, E. J., Hervo, M., Alados-Arboledas, L., Jiménez-Portaz, M., Mona, L.,
4 Ruffieux, D., Illingworth, A., and Haeffelin, M.: Atmospheric boundary layer height from
5 ground-based remote sensing: a review of capabilities and limitations, *Atmos. Meas. Tech.*, 16,
6 433–479, <https://doi.org/10.5194/amt-16-433-2023>, 2023.
7
8 Kotsakis, A., Sullivan, J.T., Hanisco, T.F., Swap, R.J., Caicedo, V., Berkoff, T.A., Gronoff, G.,
9 Loughner, C.P., Ren, X., Luke, W.T. and Kelley, P.: Sensitivity of total column NO₂ at a marine
10 site within the Chesapeake Bay during OWLETS-2, *Atmos. Environ.*, 277, 119063, 2022.
11
12 Kuik, F., Lauer, A., Churkina, G., Denier van der Gon, H. A. C., Fenner, D., Mar, K. A., and
13 Butler, T. M.: Air quality modelling in the Berlin–Brandenburg region using WRF-Chem v3.7.1:
14 sensitivity to resolution of model grid and input data, *Geosci. Model Dev.*, 9, 4339–4363,
15 <https://doi.org/10.5194/gmd-9-4339-2016>, 2016.
16
17 Lefer, B., Rappenglück, B., Flynn, J., and Haman, C.: Photochemical and meteorological
18 relationships during the Texas-II Radical and Aerosol Measurement Project (TRAMP), *Atmos.*
19 *Environ.*, 44, 4005–4013, doi:10.1016/j.atmosenv.2010.03.011, 2010.
20
21 Li, W., Wang, Y., Bernier, C., and Estes, M.: Identification of Sea Breeze Recirculation and Its
22 Effects on Ozone in Houston, TX, during Discover-Aq 2013, *J. Geophys. Res.-Atmos.*, 125,
23 e2020JD033165, <https://doi.org/10.1029/2020jd033165>, 2020.
24
25 Li, W., Wang, Y., Liu, X., Soleimanian, E., Griggs, T., Flynn, J., and Walter, P.: Understanding
26 offshore high-ozone events during TRACER-AQ 2021 in Houston: Insights from WRF-CAMx
27 photochemical modeling, *EGUsphere* [preprint], <https://doi.org/10.5194/egusphere-2023-1117>,
28 2023.
29
30 Li, D., Wu, Y., Gross, B. and Moshary, F.: Capabilities of an Automatic Lidar Ceilometer to
31 Retrieve Aerosol Characteristics within the Planetary Boundary Layer, *Remote Sensing*, 13(18),
32 3626, 2021.
33
34 Lin, H., Feng, X., Fu, T.-M., Tian, H., Ma, Y., Zhang, L., Jacob, D. J., Yantosca, R. M.,
35 Sulprizio, M. P., Lundgren, E. W., Zhuang, J., Zhang, Q., Lu, X., Zhang, L., Shen, L., Guo, J.,
36 Eastham, S. D., and Keller, C. A.: WRF-GC (v1.0): online coupling of WRF (v3.9.1.1) and
37 GEOS-Chem (v12.2.1) for regional atmospheric chemistry modeling – Part 1: Description of the
38 one-way model, *Geosci. Model Dev.*, 13, 3241–3265, [https://doi.org/10.5194/gmd-13-3241-](https://doi.org/10.5194/gmd-13-3241-2020)
39 2020, 2020.
40
41 Lin, H.: jimmielin/wrf-gc-pt2-paper-code-nested: WRF-GC with nested functionality - for paper
42 submission (v3.0), Zenodo, <https://doi.org/10.5281/zenodo.4395258>, 2020b.
43
44 Liu, X.: Data for 'Evaluating WRF-GC v2.0 predictions of boundary layer and vertical ozone
45 profiles during the 2021 TRACER-AQ campaign in Houston, Texas', Zenodo,
46 <https://zenodo.org/record/7983449>, 2023.

1 Lufft. Manual ceilometer CHM 15k Nimbus. Revision R07, 2016.
2
3 McMillan, W. W., Pierce, R. B., Sparling, L. C., Osterman, G., McCann, K., Fischer, M. L.,
4 Rappenglück, B., Newsom, R., Turner, D., Kittaka, C., Evans, K., Biraud, S., Lefer, B.,
5 Andrews, A., and Oltmans, S.: An observational and modeling strategy to investigate the impact
6 of remote sources on local air quality: A Houston, Texas case study from the Second Texas Air
7 Quality Study (TexAQS II), *J. Geophys. Res.*, 115, D01301, doi:10.1029/2009JD011973, 2010.
8
9 Morrison, H., Thompson, G., and Tatarskii, V.: Impact of cloud microphysics on the
10 development of trailing stratiform precipitation in a simulated squall line: comparison of one-
11 and two-moment schemes, *Mon. Weather Rev.*, 137, 991–1007, 2009.
12
13 Morris, G. A., Ford, B., Rappenglück, B., Thompson, A. M., Mefferd, A., Ngan, F., and Lefer,
14 B.: An evaluation of the interaction of morning residual layer and afternoon mixed layer ozone in
15 Houston using ozonesonde data, *Atmos. Environ.*, 44, 4024–4034, 2010.
16
17 Murray, L. T., Jacob, D. J., Logan, J. A., Hudman, R. C., and Koshak, W. J.: Optimized regional
18 and interannual variability of lightning in a global chemical transport model constrained by
19 LIS/OTD satellite data, *J. Geophys. Res.*, 117, D20307, <https://doi.org/10.1029/2012jd017934>,
20 2012.
21
22 Nakanishi, M. and Niino, H.: Development of an improved turbulence closure model for the
23 atmospheric boundary layer, *J. Meteorol. Soc. Jpn.*, 87, 895–912,
24 <https://doi.org/10.2151/jmsj.87.895>, 2009.
25
26 Otte, T. L.: The impact of nudging in the meteorological model for retrospective air quality
27 simulations. Part I: Evaluation against national observation networks, *J. Appl. Meteor. Climatol.*,
28 47, 1853–1867, 2008.
29
30 Parrish, D. D., Aikin, K. C., Oltmans, S. J., Johnson, B. J., Ives, M., and Sweeny, C.: Impact of
31 transported background ozone inflow on summertime air quality in a California ozone
32 exceedance area, *Atmos. Chem. Phys.*, 10, 10093–10109, doi:10.5194/acp10-10093-2010, 2010.
33
34 Pleim, J. E.: A combined local and nonlocal closure model for the atmospheric boundary layer,
35 Part I: model description and testing, *J. Appl. Meteor. Clim.*, 46, 1383–1395, 2007a.
36
37 Pleim, J. E.: A combined local and nonlocal closure model for the atmospheric boundary layer,
38 Part II: application and evaluation in a mesoscale meteorological model, *J. Appl. Meteor. Clim.*,
39 46, 1396–1409, 2007b.
40
41 Rappenglück, B., Perna, R., Zhong, S., and Morris, G. A.: An analysis of the vertical structure of
42 the atmosphere and the upper-level meteorology and their impact on surface ozone levels in
43 Houston, Texas, *J. Geophys. Res.-Atmos.*, 113, D17315, doi:10.1029/2007JD009745, 2008.
44
45 Rizza, U., Barnaba, F., Miglietta, M. M., Mangia, C., Di Liberto, L., Dionisi, D., Costabile, F.,
46 Grasso, F., and Gobbi, G. P.: WRF-Chem model simulations of a dust outbreak over the central

1 Mediterranean and comparison with multi-sensor desert dust observations, *Atmos. Chem. Phys.*,
2 17, 93–115, <https://doi.org/10.5194/acp-17-93-2017>, 2017.

3

4 Scarino, A. J., Obland, M. D., Fast, J. D., Burton, S. P., Ferrare, R. A., Hostetler, C. A., Berg, L.
5 K., Lefer, B., Haman, C., Hair, J. W., Rogers, R. R., Butler, C., Cook, A. L., and Harper, D. B.:
6 Comparison of mixed layer heights from airborne high spectral resolution lidar, ground-based
7 measurements, and the WRFChem model during CalNex and CARES, *Atmos. Chem. Phys.*, 14,
8 5547–5560, [doi:10.5194/acp-14-5547-2014](https://doi.org/10.5194/acp-14-5547-2014), 2014.

9

10 Schnell, J. L., Prather, M. J., Josse, B., Naik, V., Horowitz, L. W., Cameron-Smith, P.,
11 Bergmann, D., Zeng, G., Plummer, D. A., Sudo, K., Nagashima, T., Shindell, D. T., Faluvegi,
12 G., and Strode, S. A.: Use of North American and European air quality networks to evaluate
13 global chemistry–climate modeling of surface ozone, *Atmos. Chem. Phys.*, 15, 10581–10596,
14 [doi:10.5194/acp-15-10581-2015](https://doi.org/10.5194/acp-15-10581-2015), 2015.

15

16 Soleimanian, E., Wang, Y., Li, W., Liu, X., Wasti, S., Griggs, T., Flynn J., Walter P., and Estes
17 M.: Understanding ozone episodes during TRACER-AQ campaign in Houston, Texas: the role
18 of transport and ozone production sensitivity to precursors, *Science of the Total Environment*,
19 <https://doi.org/10.1016/j.scitotenv.2023.165881>, 2023.

20

21 Stull, R.: *An introduction to Atmospheric Boundary Layer Meteorology*, Kluwer Academic
22 Publishers, Dordrecht, The Netherlands, 1988.

23

24 Sullivan, J. T., McGee, T. J., Sumnicht, G. K., Twigg, L. W., and Hoff, R. M.: A mobile
25 differential absorption lidar to measure sub-hourly fluctuation of tropospheric ozone profiles in
26 the Baltimore–Washington, D.C. region, *Atmos. Meas. Tech.*, 7, 3529–
27 3548, <https://doi.org/10.5194/amt-7-3529-2014>, 2014.

28

29 Sullivan, J. T., McGee, T. J., Thompson, A. M., Pierce, R. B., Sumnicht, G. K., Twigg, L. W.,
30 Eloranta, E., and Hoff, R. M.: Characterizing the lifetime and occurrence of stratospheric-
31 tropospheric exchange events in the rocky mountain region using high-resolution ozone
32 measurements, *J. Geophys. Res.-Atmos.*, 120, 12410–12424, 2015.

33

34 Sullivan, J. T., Rabenhorst, S. D., Dreessen, J., McGee, T. J., Delgado, R., Twigg, L., and
35 Sumnicht, G.: Lidar observations revealing transport of O₃ in the presence of a nocturnal low-
36 level jet: Regional implications for “next-day” pollution, *Atmos. Environ.*, 158, 160–171, 2017.

37

38 Sullivan, J. T., Berkoff, T., Gronoff, G., Knepp, T., Pippin, M., Allen, D., Twigg, L., Swap, R.,
39 Tzortziou, M., Thompson, A. M., and Stauffer, R. M.: The ozone water–land environmental
40 transition study: An innovative strategy for understanding Chesapeake Bay pollution events, *B.
41 Am. Meteorol. Soc.*, 100, 291–306, 2019.

42

43 Sullivan, J.T., Apituley, A., Mettig, N., Kreher, K., Knowland, K.E., Allaart, M., Piters, A., Van
44 Roozendaal, M., Veeffkind, P., Ziemke, J.R. and Kramarova, N.: Tropospheric and stratospheric
45 ozone profiles during the 2019 TROpomi vaLidation eXperiment (TROLIX-19), *Atmos. Chem.
46 Phys.*, 22(17), 11137-11153, 2022.

1
2 Tangborn, A., Demoz, B., Carroll, B. J., Santanello, J., and Anderson, J. L.: Assimilation of lidar
3 planetary boundary layer height observations, *Atmos. Meas. Tech.*, 14, 1099–1110,
4 <https://doi.org/10.5194/amt-14-1099-2021>, 2021.
5
6 Tiedtke, M.: A Comprehensive Mass Flux Scheme for Cumulus Parameterization in Large-Scale
7 Models, *Monthly Weather Review*, 117, 1779–1800, 1989.
8
9 Tucker, S. C., Banta, R. M., Langford, A. O., Senff, C. J., Brewer, W. A., Williams, E. J.,
10 Lerner, B. M., Osthoff, H., and Hardesty, R. M.: Relationships of coastal nocturnal boundary
11 layer winds and turbulence to Houston ozone concentrations during TexAQS 2006, *J. Geophys.*
12 *Res.*, 115, D10304, doi:10.1029/2009JD013169, 2010.
13
14 TCEQ: Texas Commission on Environmental Quality (2022). Houston-Galveston-Brazoria:
15 Current attainment status, available at: <https://www.tceq.texas.gov/airquality/sip/hgb/hgb-status>
16 (last access: 12 Jan 2023)
17
18 Travis, K. R. and Jacob, D. J.: Systematic bias in evaluating chemical transport models with
19 maximum daily 8 h average (MDA8) surface ozone for air quality applications: a case study with
20 GEOS-Chem v9.02, *Geosci. Model Dev.*, 12, 3641–3648, [https://doi.org/10.5194/gmd-12-3641-](https://doi.org/10.5194/gmd-12-3641-2019)
21 [2019](https://doi.org/10.5194/gmd-12-3641-2019), 2019.
22
23 Travis, K. R., Jacob, D. J., Fisher, J. A., Kim, P. S., Marais, E. A., Zhu, L., Yu, K., Miller, C. C.,
24 Yantosca, R. M., Sulprizio, M. P., Thompson, A. M., Wennberg, P. O., Crouse, J. D., St. Clair,
25 J. M., Cohen, R. C., Laughner, J. L., Dibb, J. E., Hall, S. R., Ullmann, K., Wolfe, G. M., Pollack,
26 I. B., Peischl, J., Neuman, J. A., and Zhou, X.: Why do models overestimate surface ozone in the
27 Southeast United States?, *Atmos. Chem. Phys.*, 16, 13561–13577, [https://doi.org/10.5194/acp-](https://doi.org/10.5194/acp-16-13561-2016)
28 [16-13561-2016](https://doi.org/10.5194/acp-16-13561-2016), 2016.
29
30 Vivone, G., D’Amico, G., Summa, D., Lolli, S., Amodeo, A., Bortoli, D., and Pappalardo, G.:
31 Atmospheric boundary layer height estimation from aerosol lidar: A new approach based on
32 morphological image processing techniques, *Atmos. Chem. Phys.*, 21, 4249–4265,
33 <https://doi.org/10.5194/ACP-21-4249-2021>, 2021.
34
35 Wang, H., Li, Z., Lv, Y., Zhang, Y., Xu, H., Guo, J., and Goloub, P.: Determination and
36 climatology of the diurnal cycle of the atmospheric mixing layer height over Beijing 2013–2018:
37 lidar measurements and implications for air pollution, *Atmos. Chem. Phys.*, 20, 8839–8854,
38 <https://doi.org/10.5194/acp-20-8839-2020>, 2020.
39
40 Wulfmeyer, V., Pal, S., Turner, D. D., and Wagner, E.: Can Water Vapour Raman Lidar Resolve
41 Profiles of Turbulent Variables in the Convective Boundary Layer?, *Bound.-Lay. Meteorol.*, 136,
42 253–284, <https://doi.org/10.1007/s10546-010-9494-z>, 2010.
43
44 Xie, B., Hunt, J.C.R., Carruthers, D.J., Fung, J.C.H. and Barlow, J.F.: Structure of the planetary
45 boundary layer over Southeast England: Modeling and measurements, *J. Geophys. Res.-*
46 *Atmos.*, 118(14), 7799-7818, 2013.

1
2 Xu, Z., Huang, X., Nie, W., Shen, Y., Zheng, L., Xie, Y., Wang, T., Ding, K., Liu, L., Zhou, D.,
3 Qi, X., and Ding, A.: Impact of Biomass Burning and Vertical Mixing of Residual-Layer Aged
4 Plumes on Ozone in the Yangtze River Delta, China: A Tethered-Balloon Measurement and
5 Modeling Study of a Multiday Ozone Episode, *J. Geophys. Res.-Atmos.*, 123, 11786–711803,
6 <https://doi.org/10.1029/2018jd028994>, 2018.
7
8 Yahya, K., Wang, K., Gudoshava, M., Glotfelty, T., and Zhang, Y.: Application of WRF/Chem
9 over North America under the AQMEII Phase 2: Part I. Comprehensive evaluation of 2006
10 simulation, *Atmos. Environ.*, 115, 733–755, <https://doi.org/10.1016/j.atmosenv.2014.08.063>,
11 2015.
12
13 Yi, C., Davis, K. J., Berger, B. W., and Bakwin P. S.: Long-term observations of the dynamics of
14 the continental planetary boundary layer, *J. Atmos. Sci.*, 58, 1288–1299, 2001.
15
16 Yu, E., Bai, R., Chen, X., and Shao, L.: Impact of physical parameterizations on wind simulation
17 with WRF V3.9.1.1 under stable conditions at planetary boundary layer gray-zone resolution: a
18 case study over the coastal regions of North China, *Geosci. Model Dev.*, 15, 8111–8134,
19 <https://doi.org/10.5194/gmd-15-8111-2022>, 2022.
20
21 Zhang, C., Wang, Y., and Hamilton, K.: Improved representation of boundary layer clouds over
22 the southeast Pacific in ARW-WRF using a modified Tiedtke cumulus parameterization scheme,
23 *Mon. Weather Rev.*, 139, 3489–3513, <https://doi.org/10.1175/MWR-D-10-05091.1>, 2011.
24
25 Zhang, Y., Li, D., Lin, Z., Santanello Jr., J. A., and Gao, Z.: Development and evaluation of a
26 long-term data record of planetary boundary layer profiles from aircraft meteorological reports,
27 *J. Geophys. Res.-Atmos.*, 124, 2008–2030, 2019.
28
29 Zhang, Y., Sun, K., Gao, Z., Pan, Z., Shook, M. A., and Li, D.: Diurnal climatology of planetary
30 boundary layer height over the contiguous United States derived from AMDAR and reanalysis
31 data, *J. Geophys. Res.-Atmos.*, 125, e2020JD032803, <https://doi.org/10.1029/2020JD032803>,
32 2020.
33
34 Zhao, D., Xin, J., Wang, W., Jia, D., Wang, Z., Xiao, H., Liu, C., Zhou, J., Tong, L., Ma, Y. and
35 Wen, T.: Effects of the sea-land breeze on coastal ozone pollution in the Yangtze River Delta,
36 China, *Science of The Total Environment*, 807, 150306, 2022.
37
38 Zhou, W., Cohan, D. S., and Henderson, B. H.: Slower ozone production in Houston, Texas
39 following emission reductions: evidence from Texas Air Quality Studies in 2000 and 2006,
40 *Atmos. Chem. Phys.*, 14, 2777–2788, doi:10.5194/acp-14-2777- 2014, 2014.
41