



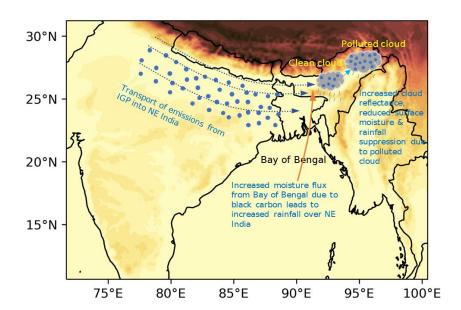
- 1 Transported aerosols regulate the pre-monsoon atmosphere over North-East India: a
- 2 WRF-Chem modelling study
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7 Abstract. The study differentiates and quantifies the impacts of aerosols emitted locally within North-East (NE) 8 India region and those transported from outside this region to ascertain whether local or transported aerosols are 9 more impactful in influencing this region's atmosphere during the pre-monsoon season (March-April-May). Due 10 to the existence of a declining pre-monsoon rainfall trend in NE India, the study also quantified the role of different 11 aerosol effects w.r.t radiative forcing (RF) and rainfall. The study has been carried out using the WRF-Chem 12 model by comparing simulation scenarios where emissions were turned on and off within and outside the NE 13 region. The impact of all emissions as a whole and Black carbon (BC) specifically was studied. Results show that 14 aerosols transported primarily from the Indo-Gangetic Plain (IGP) were responsible for 93.98 % of the PM₁₀ mass 15 over NE India's atmosphere and 64.18 % of near-surface PM₁₀ concentration. Transported aerosols contributed 16 >50 % of BC, organic carbon, sulfate, nitrate, ammonium and dust aerosol concentration and hence a major 17 contributor to air pollution. Hence, the aerosol effects were observed to be much greater with transported aerosols. 18 Indirect aerosol effect was found to be the major effect and more impactful with transported aerosols that 19 dominated both rainfall and RF, and suppressed rainfall significantly than the direct and semi-direct effect. 20 However, the increase in direct radiative effects with an increase in transported BC counteracted the rainfall 21 suppression caused by relevant processes of other aerosol effects. Thus, this study shows atmospheric transport 22 to be an important process for this region as transported emissions, specifically from IGP were also found to have 23 greater control over the region's rainfall. Thus, emission control policies implemented in IGP will reduce air

pollution as well as the climatic impacts of aerosols over the NE India region.







1 Introduction

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Aerosols regulate the Earth's energy budget and hydrological cycle through scattering and absorption of solar radiation and acting as sites for the formation of cloud droplets, which leads to its varied effects, viz. direct, semidirect and indirect effects (Mitchell, 1971; Rosenfeld, 2012; Menon et al., 2002). The effects differ spatially depending on the constituents of aerosols, their physical and chemical properties as well as the quantity. Among these factors, atmospheric transport also plays an important role which extends the climatic impacts to the transported region from the source region (Lee et al., 2022). The IGP is a global hotspot of diverse aerosols (Ojha et al., 2020; Kumar et al., 2018) that impacts regional and global climate (Ramanathan et al., 2005; Tripathi et al., 2005; Sarangi et al., 2015). Air masses transport aerosols from the IGP to nearby regions, which also impact air quality (Bhat et al., 2022; Ojha et al., 2012). Bonasoni et al. (2010) showed that pollutants from the IGP follow the southern slope of the Himalayas as a path into the Bay of Bengal and NE India and similar observations were made by Gogoi et al. (2017). The condition becomes more critical in the pre-monsoon season when the westerlies directly transport air pollutants from the IGP to NE India. Among the aerosols, BC is a high climate-influencing aerosol component due to its strong absorption capability (Bond et al., 2013; Nenes et al., 2002; Koch and Del Genio, 2010) and IGP is the largest source region of it in India (Rana et al., 2019). Several studies (Guha et al., 2015; Sarkar et al., 2019; Chatterjee et al., 2010) found BC, among other aerosols measured at sites in NE India to be transported from the IGP. Moreover, in the NE India region, an increase in BC emissions was observed along with high BC concentrations near the surface level (Barman and Gokhale, 2019; Chaudhury et al., 2022; Singh and Gokhale, 2021). Tiwari et al. (2016) observed maximum BC concentration during this season in this region along with the highest surface RF. The region also observes the highest atmospheric heating and highest aerosol optical depth with an increasing trend during this period (Nair et al., 2017; Dahutia et al., 2018; Dahutia et al., 2019; Gogoi et al., 2017; Pathak et al., 2010; Pathak et al., 2016). The presence of high aerosol loading





along with high atmospheric heating is likely to have varied aerosol effects over the region and may also have an important role to play with the rainfall. Mondal et al. (2018) showed a decreasing trend of pre-monsoon rainfall in this biodiversity hotspot region. Few modelling studies (Kant et al., 2021; Kedia et al., 2016; Kedia et al., 2019) are available that studied the aerosol effect on rainfall over India. However, only Soni et al. (2017) and Barman and Gokhale (2022) studied the BC effect on pre-monsoon rainfall in this region but without the inclusion of aerosol indirect effect. Both studies found BC to increase total rainfall but Barman and Gokhale (2022) also found semi-direct effect to be a rainfall suppression mechanism by evaporating clouds between 1 to 2 km above ground level

However, a few questions remained to be answered. How much is the contribution of transported aerosols to air pollution and climatic effects compared to those emitted within NE India region? What is the role of different aerosol effects on the rainfall mechanisms? Thus, this study was carried out with the following objectives (a) Compare the contributions of local and transported aerosols to air pollution and different climatic effects over NE India (b) Quantify the role of different aerosol effects on the climatic effects (c) Investigate the role of BC emitted within NE India and transported BC in such climatic effects. Here, transported aerosols include the transported primary aerosols emitted from outside NE India as well as the secondary aerosols formed from the transported emissions. Same goes for local emissions. Through qualitative and quantitative comparison of the impacts of local and transported aerosols, the study tries to find the source region of aerosols that has a greater impact on the atmosphere over NE India during the pre-monsoon season. Since observational studies cannot distinguish between the local and transported aerosols impacts, the study was carried out with numerical modelling. The effect of transported aerosols on different regions of the world has been studied (Krishnamohan et al., 2021; Wang et al., 2020; Bagtasa et al., 2019) but none of them covered the IGP and its impact on the nearby region.

2 Methods

The study used the WRF-Chem v4.2.1 model (Grell et al., 2005). The model configuration, modelling domain, model inputs and simulation period is similar to the one used in Barman and Gokhale (2022) and details regarding the same is provided in that study. The model was run for a duration of 13 days from 7-19 April 2018, out of which a 3-day period from 7-9 April 2018 was discarded as spin-up and outputs from 10-19 were used for analysis. The period represents the mid of pre-monsoon season. Also, April 2018 was Indian Ocean Dipole and ENSO neutral period and hence suitable for study of aerosol effects. The climatic situation during the study period was also described in Barman and Gokhale (2022). The near surface wind flow was from the Bay of Bengal towards NE India, which gradually changed to westerly wind flow carrying aerosols from IGP towards NE India. Descriptions of the simulations are provided in Table 1.

79 Table 1: Description of simulations

	Simulation name	Description of simulations
1.	NOR-I	Baseline simulation with all aerosol effects
2.	NOFEED-I	Same as NOR-I but with aerosol radiative effects turned off
3.	NOCHEM	Simulation with no atmospheric chemistry and aerosol effects
4.	No_EMISS_NE	Same as NOR-I but with emissions turned on only outside NE India
5.	Only_EMISS_NE	Same as NOR-I but with emissions turned on only within NE India





6.	No_EMISS_NE_4SO ₂	Same as No_EMISS_NE but with 4×SO ₂ emissions
7.	No_EMISS_NE_0.25SO ₂	Same as No_EMISS_NE but with 0.25×SO ₂ emissions
8.	No_EMISS_NE_NOFEED	Same as No_EMISS_NE but with aerosol radiative effects turned off
9.	Only_EMISS_NE_NOFEED	Same as Only_EMISS_NE but with aerosol radiative effects turned off
10.	No_NE_BCI	Same as NOR-I but with BC emissions turned on only outside NE India
11.	Only_NE_BCI	Same as NOR-I but with BC emissions turned on only within NE India
12.	4NOR-I	Same as NOR-I but with 4×BC emissions
13.	No_BC_ABS	Same as NOR-I but with BC absorption disabled
14.	NOR	Baseline simulation with only direct and semi-direct effect
15.	2NOR	Same as NOR but with 2×BC emissions
16.	No_NE_BC	Same as NOR but with BC emissions within NE India region turned off
17.	No_NE_2×BC	Same as No_NE_BC but with 2×BC emissions outside NE India
18.	Only_NE_BC	Same as NOR but with BC emissions turned off outside NE India
19.	Only_NE_2×BC	Same as Only_NE_BC but with 2×BC emissions inside NE India
20.	NOFEED	Same as NOR but with aerosol radiative effects off

- 81 All the simulations were conducted with the MOZART-MOSAIC chemistry scheme, except simulation 3, which
- 82 was purely a meteorology simulation and did not include any atmospheric chemistry and aerosol effects. As per
- 83 Ghan et al. (2012) and Bauer and Menon (2012), the total aerosol effect is the algebraic sum of direct, indirect
- and semi-direct effects. Similar approaches were used by Yang et al. (2011). Thus,
- 85 NOR-I NOCHEM = Total aerosol effect = Direct + Semi-direct + Indirect, (1)
- 86 Both NOFEED-I and NOR-I includes indirect effect but NOFEED-I does not include aerosol radiative effects.
- 87 Thus.
- 88 NOR-I NOFEED-I = Direct + Semi-direct effect, (2)
- 89 Also, since NOFEED-I includes only indirect effect,
- 90 NOFEED-I NOCHEM = Indirect effect, (3)
- 91 Similar approaches were used by Wang et al. (2015).
- 92 No_EMISS_NE had all emissions (biogenic, anthropogenic and dust) disabled within the NE India region
- 93 bounded by 22° N and 29° N latitudes and 89° E and 97° E longitudes, shown by the blue box in Fig. 1(a).
- 94 Similarly, Only_EMISS_NE had all emissions disabled outside of the above region along with boundary
- conditions for all chemical species modified to zero to nullify the transport of emissions from outside the domain.
- 96 Simulations 14 to 20 were specifically used to understand the contribution of local and transported BC emissions
- 97 as well the response of emission increase on radiative heating, atmospheric dynamics and moisture without the
- 98 interference of indirect aerosol effect.
- The NOR simulation utilised in this study was evaluated in Barman and Gokhale (2022). Moreover,
- 100 meteorological evaluation of NOR-I w.r.t wind direction, wind speed, temperature and humidity was carried out



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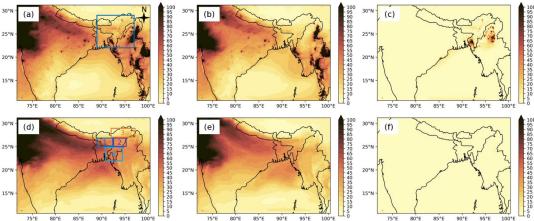
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against surface station datasets (https://mesonet.agron.iastate.edu/sites/locate.php) at Guwahati (26.10 °N, 91.58 °E), Kolkata (22.65 °N, 88.45 °E), Bangalore (13.20 °N, 77.70 °E), Patna (25.59 °N, 85.08 °E), Delhi (28.56 °N, 77.11 °E) and Mumbai (19.10 °N, 72.86 °E). Simulated rainfall was evaluated against the Indian Meteorological Department (IMD) rainfall dataset Pai (2014)(https://www.imdpune.gov.in/Clim_Pred_LRF_New/Grided_Data_Download.html). Index of agreement (IOA), root mean square error (RMSE) and mean error (ME) were used as statistical parameters. As per the criteria of Emery et al. (2001), the NOR-I simulation underpredicted temperature but showed good performance with wind speed and wind direction but had large RMSE with wind direction, similar to the NOR simulation. Performance statistics are provided in Table S1. Moreover, NOR and NOR-I simulated chemical species (BC, organic carbon, MERRA2 and sulfate aerosol) were compared against the dataset (https://disc.gsfc.nasa.gov/datasets/M2T1NXAER_5.12.4/summary) at the above locations. Performance statistics are shown in Table S2. NOR gave a much better estimation of all the chemical species at all locations. However, the inclusion of all aerosol effects greatly improved simulated rainfall performance with NE India regional average IOA: 0.52, ME: 3.72 mm day⁻¹, RMSE: 13.55 mm day⁻¹ compared to only considering direct + semi-direct effect (IOA: 0.40, ME: 9.22 mm day⁻¹, RMSE: 21.26 mm day⁻¹) in Barman and Gokhale (2022). The improvement in performance and decrease in ME show that indirect effect played a major role during this period in controlling and suppressing rainfall.

3 Results and discussion

$3.1\ PM_{10}$ spatial and atmospheric distribution



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Figure 1: Spatial distribution of PM_{10} concentration (μg m³) in NOR-I, (a, d), No_EMISS_NE (b, e) and Only_EMISS_NE (c, f). Upper row shows distribution at model level 0 (near surface) and the lower row at model level 15

Figure 1 shows the time-averaged spatial distribution of PM_{10} concentration. The spatial distribution of geopotential heights of model level 0 and 15 are shown in Fig. S1, while region-wise (Fig. 1(d)) concentration values within NE India at the two atmospheric heights are shown in Table S3. PM_{10} concentration contours shown in Fig. 1(a), 1(b), 1(d) and 1(e), emanating from IGP and spreading into NE India indicated the transport of



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aerosols from IGP into NE India. The similarity of these spatial distributions of No_EMISS_NE to the baseline scenario, NOR-I, especially within NE India region inferred that most of the aerosol mass within NE India was contributed by transported aerosols, while PM10 emitted or formed over NE India remained mainly confined within the region as shown in Fig. 1(c), possibly due to the mountainous terrain, as also described in Kundu et al. (2018). Both near the surface and at higher atmosphere (level 15), No_EMISS_NE showed a higher regional average concentration (surface: 14.46 µg m⁻³, higher atmosphere: 24.43 µg m⁻³) which was closer to the baseline scenario of NOR-I (surface: 27.43 µg m⁻³, higher atmosphere: 34.13 µg m⁻³) compared to the local emission scenario of Only_EMISS_NE (surface: 8.07 µg m⁻³, higher atmosphere: 0.98 µg m⁻³). Thus, transported aerosols contributed higher PM₁₀ concentration (64.18 %) than local emission and contribution from local emissions were negligible at higher atmosphere, as also seen in Fig. 1(f) and 96.14 % of it was contributed by transported aerosols. The higher concentration at higher atmosphere was due to transported aerosols developing an elevated PM10 profile (Fig. S2) having maximum concentration near 2000 m and which shows much greater similarity with the baseline scenario. The long range transport and strong convective active during this season is responsible for the elevated profile (Pathak et al. (2016)). Hence, transported aerosols contributed to bulk of the aerosols over NE India throughout the atmospheric column (93.98 %) indicated by the column integrated PM₁₀ mass of 313.97 g m⁻² (No_EMISS_NE) and 20.08 g m⁻² (Only_EMISS_NE). NOR-I had column integrated PM₁₀ mass of 466.63 g m⁻². Further analysis indicated that transported aerosols accounted for >50 % of BC, organic carbon, sulfate, nitrate, ammonium and dust aerosol mass over NE India's atmosphere. Regions 1, being in close proximity to IGP, as seen in Fig. 1(c), received maximum near surface aerosol mass (73.70 %) from transported aerosols, compared to the other regions, followed by region 2 (66.86 %), 3 (60.48 %) and 4 (57.43 %). However, even though No_EMISS_NE and Only_EMISS_NE is the bifurcation of NOR-I into two separate emission regions, the sum of No_EMISS_NE and Only_EMISS_NE column integrated mass as well as concentrations didn't equate to NOR-I values and is always less than it. This indicated formation of extra aerosol mass due to interaction of emissions of the two regions.

3.2 Aerosol effects of local and transported aerosols on radiative forcing

RF due to different aerosol effects was estimated based on the methodology described in Sect. 2. Further details regarding its estimation are provided in the supplementary.

The baseline scenario indicated that direct and indirect aerosol effects caused net (NET) surface and top of the atmosphere (TOA) dimming while causing atmospheric heating. This is due to the presence of aerosols that scatter and absorb solar radiation, reducing it at the surface while increasing it at the top of the atmosphere as well as causing atmospheric heating. Net direct surface, TOA and atmospheric RF were -15.34, -7.49 and 7.85 Wm⁻² and was mainly contributed by short-wave (SW) radiation. Indirect effect had the same effect on solar radiation as the direct effect and was due to the formation of numerous smaller cloud droplets which has better reflectivity to solar radiation, also known as the 1st indirect effect or Twomey effect (Twomey, 1977) and However, positive atmospheric RF (18.20 W m⁻²) causing atmospheric heating (10.06 W m⁻²) was mainly caused by long-wave (LW) radiation (16.22 W m⁻²) at the TOA contributed by indirect effect. This was due to greater cloud cover (Fig. S3) at 8 – 10 km which is not seen in the other two scenarios. The indirect effect also caused warming at the surface (6.17 W m⁻²), as its contributed to greater cloud cover (Nandan et al., 2022) causing heating of the surface through LW radiation. The total net surface RF was -27.88 W m⁻² out of which -23.92 W m⁻² or 85.80% was contributed





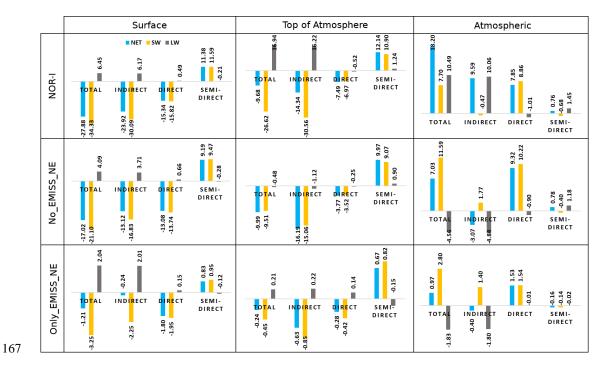


Figure 2: NE India regional average RF (W m⁻²) due to different aerosol effects at NET, SW and LW wavelengths in different emission scenarios

by indirect forcing. Indirect SW forcing (-30.08 W m⁻²) was almost twice the direct SW forcing (-15.82 W m⁻²), while semi-direct SW forcing (+11.58 W m⁻²) was ~75% of the direct forcing. Semi-direct effect showed positive surface RF due to cloud cover reduction. Thus, atmospheric heating and the subsequent evaporation of clouds compensated to a large extent the reduction in solar radiation due to aerosols. The atmospheric RF (0.76 W m⁻²) due to semi-direct effect was due to LW radiation, which may be due to increased solar radiation at the surface, which released the heat into the atmosphere in the form of LW radiation. However, this value was very small. The indirect RF contributed most to the total surface, TOA and atmospheric RF at both SW and LW wavelengths and hence was found to be the dominant aerosol effect affecting radiation over NE India.

Quantitatively, No_EMISS_NE provided RF values (surface: -17.02 W m⁻², TOA: -9.99 W m⁻² and atmospheric RF: 7.03 W m⁻²) that were much similar and closer to the baseline scenario (surface: -27.88 W m⁻², TOA: -9.68 W m⁻² and atmospheric RF: 18.20 W m⁻²) than Only_EMISS_NE (surface: -1.21 W m⁻², TOA: -0.24 W m⁻² and atmospheric RF: 0.97 W m⁻²). Consequently, the No_EMISS_NE net indirect, direct and semi-direct surface RF values of -13.12, -13.08 and 9.19 W m⁻² were significantly larger than the corresponding Only_EMISS_NE RF values of -0.24, -1.80 and 0.83 W m⁻². A similar conclusion could be inferred at TOA also. Hence transported aerosols were primarily responsible for all the different aerosol effects on radiation over NE India as a greater amount of aerosol mass was contributed by it. Moreover, No_EMISS_NE net direct atmospheric RF (9.32 W m⁻²) was found to be even higher than the baseline scenario (7.85 W m⁻²). This indicated that the NE India region contained more scattering aerosols while transported aerosols contained more absorbing aerosols as





the difference in the direct atmospheric RF is mainly driven by changes in the TOA RF (-7.49 vs. -3.77 W m⁻²)

than surface RF (-15.34 vs. -13.08 W m⁻²). Region 1 had the highest direct and semi-direct net surface RF of -

190 20.41 W m⁻² and 19.20 W m⁻², respectively due to its close proximity to IGP.

3.3 Aerosol effects of local and transported aerosols on rainfall

Table 2: Changes in rainfall due to different aerosol effects in different scenarios (mm)

	Total aerosol effect	Direct + semi-direct	Indi r9 &
NOR-I	-275.13	-17.04	-258.09
No_EMISS_NE	-73.06	-23.95	_{-49.} 194
Only_EMISS_NE	-24.45	-8.42	-16.04 195

The quantitative changes in regional average rainfall amounts over NE India due to the different aerosol effects induced by the aerosols in different scenarios are provided in Table 2. Region-wise values can be read from Table S4. Rainfall from region 4 was not considered due to large errors being associated with it (Fig. S4). In the baseline scenario (NOR-I), the total aerosol effect caused rainfall suppression in all three regions, with a regional total of -275.13 mm, shown in Table 2. Reductions in rainfall due to the total aerosol effect was contributed by suppressions due to both direct + semi-direct and indirect effect and was observed in all the considered regions. The highest suppression was observed in region 3 (-102.60 mm), followed by region 1 (-100.60 mm). The role of direct + semi-direct effect was observed to be minimal with a total regional suppression of -17.04 mm while the indirect effect (-258.09 mm) was responsible for almost the whole of the suppression of -275.13 mm. Region 1 observed the highest suppression of -13.21 mm due to direct + semi-direct effect as this region's radiation was highest impacted by these effects.

Direct effect could suppress rainfall by reducing surface evaporation and convection through surface dimming while semi-direct by evaporation of clouds (Talukdar et al., 2019; Lohmann and Feichter, 2001; Habib et al., 2006; Bollasina et al., 2011; Koch and Del Genio, 2010b). However, the surface dimming by indirect effect (-23.92 W m⁻²) with NOR-I was much larger than the combined direct + semi-direct effect (-3.96 W m⁻²). Hence the reduction in surface moisture flux due to indirect effect (-6.45×10⁻⁶ kg m⁻² s⁻¹) was much greater than due to combined direct + semi-direct effect (-1.1×10⁻⁶ kg m⁻² s⁻¹) and much similar to the reduction due to total aerosol effect (-7.56×10⁻⁶ kg m⁻² s⁻¹). This was also observed in the case of No_EMISS_NE. The greater surface dimming of -17.02 W m⁻² in No_EMISS_NE caused a much higher negative surface moisture flux change of -3.82×10⁻⁶ kg m⁻² s⁻¹ due to total aerosol effect, mostly contributed by indirect effect (-2.79×10⁻⁶ kg m⁻² s⁻¹) compared to direct + semi-direct effect (-1.03×10⁻⁶ kg m⁻² s⁻¹). Hence, indirect effect in NOR-I and No_EMISS_NE dominated moisture reduction through reduction in surface moisture flux over most areas of NE India at both low and high-terrain regions, as seen in Fig. 3.

However, direct + semi-direct effect caused an increase of moisture in NOR-I and No_EMISS_NE over most of NE India in spite of a negative surface moisture flux not observed in Only_EMISS_NE. This indicated that direct + semi-direct caused an increase in the transport of moisture from another region, in this case from Bay of Bengal. The equivalent potential temperature (EPT) profiles in Fig. 4 compare the atmospheric stability due to



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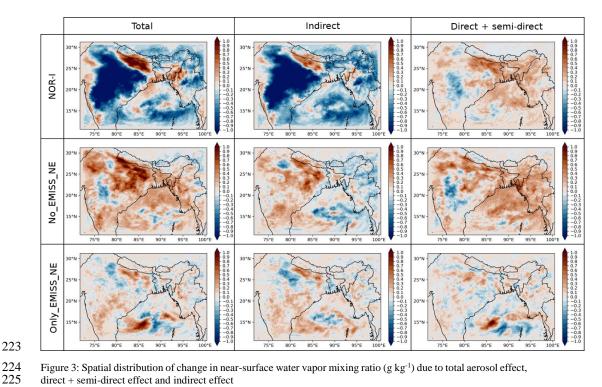


Figure 3: Spatial distribution of change in near-surface water vapor mixing ratio (g kg⁻¹) due to total aerosol effect, direct + semi-direct effect and indirect effect

different aerosol effects. The greater surface dimming due to the indirect effect in No_EMISS_NE caused not only negative surface moisture flux but also a significant increase in atmospheric stability (indicated by increasing value of indirect effect EPT profile with height), reducing convection, which possibly also contributed reduction to rainfall suppression. However, although the direct + semi-direct EPT profile showed increased atmospheric stability below 1 km, but created an overall unstable atmosphere in the lower atmosphere. This instability, primarily caused due to atmospheric heating of BC, created an unstable region over NE India which facilitated the increased transport of moisture from the Bay of Bengal (discussed later). Hence, though the direct effect reduces rainfall by reducing surface moisture flux and convection but also possibly enhances it by transporting moisture. This transported moisture possibly compensated to some extent the rainfall reduction due to a decrease in surface moisture flux, convection and cloud evaporation caused by direct and semi-direct effects. Hence, the rainfall reduction due to direct + semi-direct effect (-17.04 mm) was possibly significantly less than the indirect effect (-258.09 mm). Thus, the effect of direct and indirect effects on dynamics was distinctly different. The EPT profile of the total aerosol effect in No_EMISS_NE showed an unstable lower atmosphere, supporting moisture transport. Similar explanation could be given for moisture increase due to direct + semi-direct in NOR-I but the increase in atmospheric stability and moisture reduction due to greater surface dimming by its indirect effect was significantly larger, which created an overall stable atmosphere due to total aerosol effect in NOR-I. The EPT profiles of Only_EMISS_NE showed almost zero perturbation throughout the atmosphere and hence was unable to affect atmospheric stability and cause moisture transport. Thus, the direct + semi-direct effect in Only_EMISS_NE did not show significant moisture change in Fig. 3. Moreover, the significantly smaller surface dimming (-1.21 W m⁻²) in Only_EMISS_NE caused very small but positive change of 8.15×10⁻⁸ kg m⁻² s⁻¹ due to





the total aerosol effect and hence similar moisture change is observed in Fig. 3. Hence aerosols emitted solely from NE India had negligible capability in affecting moisture through different aerosol effects. Moisture reduction over NE India was much greater due to the indirect effect in No_EMISS_NE compared to Only_EMISS_NE, while moisture increase was much greater in No_EMISS_NE compared to Only_EMISS_NE due to a higher direct + semi-direct effect.

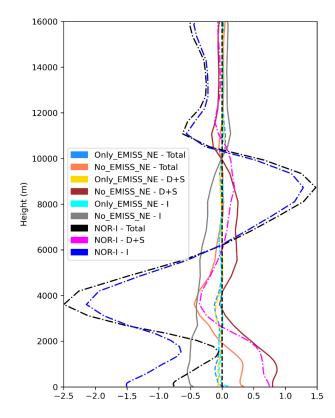


Figure 4: Perturbation of EPT (K) due to total aerosol effect (Total), direct + semi-direct (D+S) and indirect (I) aerosol effect in No_EMISS_NE (non-dashed), Only_EMISS_NE (dashed) and NOR-I (dashdot)

Moreover, the positive NE India regional average difference of column integrated cloud condensation nuclei (CCN) number (4.38×10¹⁰ m⁻²), cloud droplet number (4.42×10¹³ m⁻²) and cloudwater (27.93 g m⁻²), and estimated from No_EMISS_NE – Only_EMISS_NE indicated that transported aerosols had a greater impact through aerosol indirect effect (Zhang et al., 2010). The presence of larger aerosol amounts in the form of CCN affects the cloud lifetime by affecting the conversion from cloudwater to rainwater, thus, to rainfall, thereby suppressing rainfall, also known as the 2nd indirect effect (Shiogama et al., 2010; Cherian et al., 2017). Due to more aerosol mass over NE India (Sect. 3.1), NOR-I and No_EMISS_NE had significantly higher cloudwater compared to





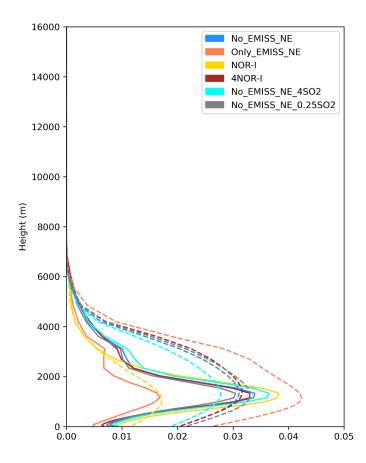


Figure 5: NE India regional average vertical profiles of cloudwater mixing ratio (non-dashed) and rainwater mixing ratio (dashed) in different scenarios (g kg^{-1})

Only_EMISS_NE, as seen in Fig. 5. Consequently, NOR-I and No_EMISS_NE had a significantly lower rainwater mixing ratio than Only_EMISS_NE. Thus, rainfall suppression due to indirect effect was highest in NOR-I, followed by No_EMISS_NE and Only_EMISS_NE. Hence, the combined effect of reduction in moisture, instability and rainfall formation contributed to the reduction in rainfall through indirect and total aerosol effects. This could be a possible key mechanism associated with the decreasing rainfall trend in the region. Reduction of moisture due to the direct effect of aerosols and evaporation of clouds by BC were found to be possible mechanisms by Barman and Gokhale (2022). However, this study shows that the contribution of direct and semi-direct effects was very small compared to the indirect effect. The indirect effect has been found to be the dominant aerosol effect in many studies (Wang et al., 2015; Liu et al., 2016) and was found to suppress monsoon rainfall over India (Manoj et al., 2012). Aerosol indirect effect is mainly dictated by the warm clouds (Christensen et al., 2016). Thus, the higher cloud cover associated with NOR-I and No_EMISS_NE in lower atmosphere which affected SW radiation more in Sect. 3.2, was due to a greater amount of cloudwater in lower atmosphere.

Moreover, No_EMISS_NE and Only_EMISS_NE simulations were evaluated against the IMD rainfall dataset and NOR-I simulation to check whether the local or transported aerosols had greater control over the





- 279 rainfall in NE India. No_EMISS_NE showed better regional average rainfall statistics than Only_EMISS_NE
- with higher IOA (0.48 vs. 0.47), lower RMSE (18.85 vs. 20.37 mm day $^{\text{-}1}$), and lower ME (6.94 vs. 8.22 mm day $^{\text{-}1}$)
- 281 1) on comparing with the IMD rainfall dataset. Also, the simulated rainfall of No_EMISS_NE showed higher
- 282 rainfall similarity with NOR-I than Only_EMISS_NE with higher IOA (0.65 vs. 0.63), lower RMSE (56.32 vs.
- 283 61.92 mm day⁻¹) and lower ME (39.30 vs. 39.81 mm day⁻¹). Hence, No_EMISS_NE showed more similarity with
- the baseline scenario as well as observed data and had greater control over the region's rainfall.

285 3.4 Role of local and transported BC

- 286 In section 3.3, the direct effect showed to increase moisture over NE India through an increase in atmospheric
- instability, caused mainly due to atmospheric heating of BC (Barman and Gokhale (2022)) Hence, to negate the
- 288 effects of the indirect effect on atmospheric dynamics, scenarios in Table 1 containing only direct and semi-direct
- 289 effects were used in this analysis. Moreover, NOR gave a much better performance with BC concentration
- 290 estimation (Table S2) than when the indirect effect was included (NOR-I). The results from No_EMISS_NE,
- 291 Only_EMISS_NE, No_NE_BCI and Only_NE_BCI scenarios were compared and related.

292 3.4.1 Radiative heating

- 293 The regional average vertical profiles of NOR, 2NOR, No_NE_BC, No_NE_2×BC, Only_NE_BC and
- 294 Only_NE_2×BC can be seen from Fig. S5, in which the transported BC and local BC profiles resemble the
- 295 No_EMISS_NE and Only_EMISS_NE PM10 profiles, respectively. IGP was the dominant source of transported
- 296 BC (Fig. S6). In transported BC scenarios, BC was available up to much higher atmospheric height and profiles
- showed elevated concentration at around 1500 m indicating stronger BC transport at that height. In Only_NE_BC
- and Only_NE_2×BC, BC was confined near the surface, which decreased continuously. The atmospheric heating
- rate (HR) was estimated as per Liou (1980).

$$300 \qquad HR = \frac{g}{c_n} \cdot \frac{\Delta F}{\Delta P}, \tag{4}$$

- where g is the acceleration due to gravity (9.81 m s⁻²), C_p is the specific heat capacity of air at constant pressure
- 302 (1.005 kJ K⁻¹ kg⁻¹), ΔF the atmospheric RF and ΔP is the atmospheric pressure (300 hPa) difference between
- 303 surface and 3 km altitude as most of the BC was present below this height. Moreover, in order to compare the
- 304 effectiveness of heating by local and transported BC, two parameters, heating efficiency (HE) and heating slope
- 305 (HS), were defined by equations 5 and 6.

$$HE = \frac{HR}{\text{Column sum of BC concentration within 3 km (CC)}},$$
 (5)

307 HS =
$$\frac{\Delta HR}{\Delta CC}$$
, (6)

- 308 HE has units of K day-1 µg-1 m3, thus measuring the heating contributed by per unit concentration of BC below 3
- 309 km. HE was used to assess the effect of BC vertical distribution on atmospheric heating while HS was used to
- 310 assess the response of atmospheric heating rate to BC concentration changes and has similar units as HE. CC has
- 311 units of $\mu g m^{-3}$.



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Table 3: NE India region average values of columnar BC concentration (µg m³) and atmospheric heating parameters in different scenarios

	No_NE_BC	No_NE_2×BC	Only_NE_BC	Only_NE_2×BC
HR	0.460	0.597	0.123	0.178
CC	12.458	18.391	3.905	7.563
HE	0.037	0.032	0.032	0.024
ΔΗΕ	-0.004		-0.008	
HS	0.023		0.015	

The quantitative values of the parameters are provided in Table 3. Only_NE_BC had a regional net average HR of 0.123 K day⁻¹ compared to 0.460 K day⁻¹ of No_NE_BC. This indicated a 3.73 times higher atmospheric heating rate by transported BC. An increase in local emissions from Only_NE_BC to Only_NE_2×BC caused a small increase in heating rate of 0.055 K day⁻¹ compared to the increase of 0.137 K day⁻¹ from No NE BC to No_NE_2×BC. As per the definition, HE was inversely proportional to CC and this was exactly followed in all regions across all scenarios (Fig. S7 and S8). However, HE was higher in the case of transported BC compared to local BC with values of $0.037 \text{ K day}^{-1} \mu g^{-1} \text{ m}^3$ (No_NE_BC) vs. $0.032 \text{ K day}^{-1} \mu g^{-1} \text{ m}^3$ (Only_NE_BC) and $0.032 \text{ K day}^{-1} \mu g^{-1} \text{ m}^3$ (Only_NE_BC) and $0.032 \text{ K day}^{-1} \mu g^{-1} \text{ m}^3$ K day⁻¹ μg⁻¹ m³ (No_NE_2×BC) vs. 0.024 K day⁻¹ μg⁻¹ m³ (Only_NE_2×BC), even if CC was higher in the case of transported BC. The reason might be that transported BC might have undergone a higher amount of chemical transformation due to higher atmospheric time, leading to a higher lensing effect on the BC core, resulting in enhanced absorption (Liu et al., 2015). Also, it was observed that on increasing emissions, the decrease in HE was smaller in the case of transported BC (-0.004 K day $^{-1}$ μ g $^{-1}$ m 3) than local BC (-0.008 K day $^{-1}$ μ g $^{-1}$ m 3). Hence, with the increase in BC emissions, HE decreased more when BC was more concentrated near the surface than in the atmosphere. HS indicated that atmospheric heating increased at a higher rate of 0.023 K day 1 µg 1 m3 with increasing transported BC compared to 0.015 K day 1 µg 1 m3. Thus, the increase in transported BC emissions had more impact on atmospheric heating over NE India than when present near the surface with local emissions.

3.4.2 Atmospheric stability and moisture



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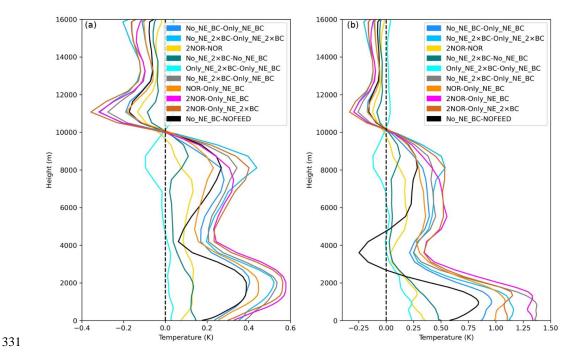


Figure 6: Regionally averaged vertical profiles showing perturbations in a) potential temperature b) equivalent potential temperature

Barman and Gokhale (2022), as well as Soni et al. (2017), showed an increased influx of moisture into the region during pre-monsoon due to BC. In order to compare and separate the effects of local and transported BC on atmospheric stability through temperature and moisture, potential temperature (PT) and EPT were estimated. PT estimates atmospheric stability based on temperature, while EPT accounts for both temperature and moisture and is a more realistic parameter. In most of the profiles in both parameters in Fig. 6(a) and 6(b), positive perturbation was observed approximately below 10 km and negative above it which indicated an increase in atmospheric instability and vice-versa for an increase in atmospheric stability. (Zhao et al., 2011). The positive perturbations below 10 km varied with height and were most profound in the profiles No_NE_BC - Only_NE_BC, No_NE_2×BC - Only_NE_2×BC and No_NE_2×BC - Only_NE_BC, each of which was estimated from the difference between a transported BC scenario and local BC scenario. These profiles showed similarity with the corresponding profiles of NOR - Only_NE_BC, 2NOR - Only_NE_2×BC and 2NOR - Only_NE_BC in both the parameters, indicating that they were closer to the normal atmospheric scenario. The positive perturbations were, however, comparatively smaller with 2NOR - NOR, No_NE_2×BC - No_NE_BC and Only_NE_2×BC -Only_NE_BC in both the parameters, each pair being the same scenario with only a difference in emission rates. This shows that BC atmospheric distribution played an important role on instability. The Only_NE_2×BC -Only_NE_BC profile not only showed a smaller increase in instability than No_NE_2×BC - No_NE_BC profile but also contributed to the smallest increase in instability in both the parameters. Thus, transported BC and an increase in transported BC emissions led to higher atmospheric instability than local BC.





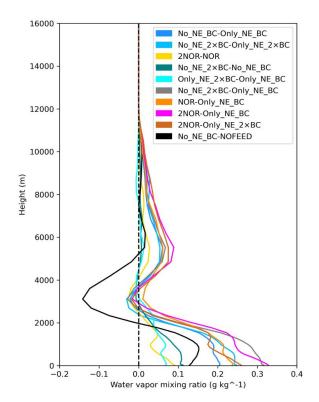


Figure 7: Regionally averaged vertical profiles showing perturbations in water vapor mixing ratio (g kg⁻¹)

Moreover, EPT profiles showed higher positive perturbations and hence higher instability compared to the corresponding PT profiles with values exceeding 1.25 K. The positive difference or additional instability between the corresponding profiles of Fig. 6(a) and 6(b) was due to moisture. The difference also indicated that moisture contributed even more to the instability than BC. The peaks for EPT existed closer to the surface due to most of the moisture also remaining near the surface, as shown in Fig. 7. However, there occurred a region of increased stability from the ground surface to the first peak of transported BC profiles at approximately 1000 m, indicated by increasing temperature with height. Thus, transported BC may also be responsible for air quality scenarios over NE India by creating a stable boundary layer. The close qualitative and quantitative similarity between No_NE_BC – NOFEED, No_NE_BC – Only_NE_BC and NOR – Only_NE_BC profiles in Fig. 6(a) showed that aerosol radiative effect due to transported BC was intricately linked with the PT profile and the positive perturbations in each of these profiles were also closely linked with BC. This was also seen in Fig. 6(b), but since it also included the effect of moisture, larger differences were seen.

BC, whether transported or emitted locally, caused a positive perturbation in moisture at least below 2 km altitude, as seen in Fig. 7. The perturbation was much larger in profiles that had a combination of transported and local BC scenarios and which had higher transported BC emissions and followed the pattern similar to PT and EPT. This links BC, instability and moisture in the region, i.e., higher transported BC caused higher instability which brought a higher amount of moisture which would possibly again cause higher instability. It was the same



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371 for scenarios that included indirect effect, as can be observed from the similarities of the No_NE_BCI -372 Only_NE_BCI (Fig. S9) and No_NE_BC - Only_NE_BC profile in Fig.7. Furthermore, the similarity of 373 No_EMISS_NE - Only_EMISS_NE profile with No_NE_BCI - Only_NE_BCI (Fig. S9) inferred that direct 374 radiative effect of transported BC was responsible for the moisture increase in Fig. 3. The higher moisture with 375 transported BC scenarios was due to higher moisture flux caused by it over Bay of Bengal compared to local BC 376 and can be verified from Fig. S10. Quantitatively, No_NE_BC (33.95 kg m⁻²) and No_NE_2×BC (34.15 kg m⁻²) 377 had higher region average precipitable water vapor than Only_NE_BC (33.49 kg m⁻²) and Only_NE_2×BC (33.64 378 kg m⁻²). Hence transported BC in Sect. 3.3 was primarily responsible for transporting moisture from the Bay of 379 Bengal by affecting the atmospheric dynamics. The mechanism is similar to the "heat pump" model by Lau et al. 380 (2006).

3.5 Rainfall response to emissions

Similar to NOR-I - NOCHEM, No_BC_ABS - NOCHEM gave the rainfall change due to total aerosol effect, but without BC absorption. The higher negative rainfall change of -275.13 mm with NOR-I - NOCHEM compared to -266.78 mm with No_BC_ABS - NOCHEM showed BC absorption to reduce rainfall. The higher reduction with NOR-I - NOCHEM was mainly due to higher rainfall reduction in region 1, where the direct and semi-direct effect was maximum. This shows BC initially suppressed rainfall even though moisture increased due to it. However, with the increase in BC emissions, rainfall increased and the rainfall suppression due to the total aerosol effect reduced substantially to -64.44 mm with 4NOR-I - NOCHEM compared to -275.13 mm with NOR-I - NOCHEM and similarly, rainfall due to direct and semi-direct with 4NOR-I - NOFEED-I showed a positive rainfall change of 193.64 mm compared to -17.04 mm with NOR-I - NOFEED. Similarly, 4NOR-I - NOR-I gave a rainfall enhancement of 225.24 mm. Aged BC also contributes as CCN (Lambe et al., 2015). The enhancement in BC emission did increase the column average CCN concentration to 2252 m⁻³ (4NOR-I) from 2024 m⁻³ (NOR-I), but the increase was largely disproportionate to the 4 times BC emission increase. However, in spite of the increase in CCN, cloudwater mixing ratio was lower in 4NOR-I than NOR-I, as seen in Fig. 5 and 4NOR-I caused significantly more rainfall formation than NOR-I, as can be seen from the rainwater mixing ratio profiles. This may be related to the suppression of CCN activation due to BC, as observed over Central India (Nair Jayachandran et al., 2020). Also BC contributes marginally to indirect effect (Kristjánsson, 2002). Thus, the increased moisture (Fig. S9) did not remain stored as cloudwater even though there was an increase in CCN, but it got converted to rainwater. This indicated that the increase in BC emissions didn't contribute to rainfall suppression through indirect aerosol effect though there was an increase in CCN concentration, but rather counteracted the suppression of rainfall due to the indirect effect of other aerosol species. The rainfall enhancement was due to an increase in moisture, contributed by the transported fraction of BC, as explained in Sect. 3.4.2. Moreover, rainfall suppression was also more due to transported aerosols, mainly contributed by indirect effect (Table 2).

Also, among the non-absorbing aerosols, sulfate aerosol is an important contributor to CCN and indirect effect (Kristjánsson, 2002). Its concentration was found to be the highest among non-absorbing aerosols and most of its mass over NE India was found to be transported (Sect. 3.1). Concentration profiles can be seen from Fig. S11. Hence, the response of rainfall over NE India was checked by increasing (No_EMISS_NE_4SO₂) and decreasing (No_EMISS_NE_0.25SO₂) SO₂ emissions outside NE India and compared against the baseline transported scenario (No_EMISS_NE) since sulfate is mainly formed within the atmosphere by oxidation of SO₂



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(Wang et al., 2021). Similar to the increase in BC emissions, No_EMISS_NE_4SO₂ caused an increase in column average CCN concentration to 3524 m⁻³ compared to 1753 m⁻³ in No_EMISS_NE, while No_EMISS_NE_0.25SO2 showed a decrease (1390 m⁻³). However, contrary to the BC, an increase in SO2 emissions with No_EMISS_NE_4SO2 caused an increase in cloudwater mixing ratio compared to No_EMISS_NE, as seen in Fig. 5, while its decrease also caused a decrease. Thus, No_EMISS_NE_4SO2 and No_EMISS_NE_0.25SO₂ had lower and higher rainwater mixing ratio, respectively, compared to No_EMISS_NE. Consequently, No_EMISS_NE_4SO2 had higher rainfall suppression and gave lesser rainfall (-22.23 mm) compared to No_EMISS_NE_0.25SO2. Thus, an increase in non-absorbing aerosol caused rainfall suppression through indirect effect. The indirect effect was observed to be the dominant aerosol effect for suppressing rainfall. However, with an increase in BC, suppression of rainfall due to direct and semi-direct effects through surface processes (surface moisture flux, convection) and cloud evaporation as well as due to indirect aerosol effect (atmospheric stability, surface moisture flux and cloud to rainwater conversion) becomes comparatively weaker mechanisms than the direct effect of radiative heating by BC, enhancing rainfall through the transport of moisture. However, the increase in transported SO₂ emissions also caused further suppression of rainfall. Hence, an increase in transported aerosols of an absorbing aerosol (BC) and a non-absorbing aerosol (sulfate), both being a contributor to CCN, showed different responses to indirect effect parameters and thus to rainfall and hence most likely controls the enhancement and suppression of pre-monsoon rainfall over NE India, thus counteracting each other. However, since decreasing rainfall trend has been observed, the impacts of the indirect aerosol effect could be dominant. Here, the response of only one non-absorbing aerosol (sulfate) was checked and possibly has contributions from other similar species also.

Moreover, the percentage of the simulation time different aerosol effects and BC emissions increased (inc) or suppressed (dec) rainfall under different rainfall intensities (low: 0-5, medium: 5-10, high: > 10 mm day 1; defined as per (Raju et al., 2015)) and the rainfall amount under those intensities was estimated. Regional average values are provided in Table S5 and S6. All aerosol effects caused a higher decrease across all rainfall intensities, except the indirect effect, which indicated a higher increase in low-intensity rainfall (6.52 mm vs. -6.48 mm; 21.44 % vs. 20.58 %). High-intensity rain was primarily responsible for rainfall changes across all the scenarios and effects. The indirect effect decreased high-intensity rainfall duration (18.85 vs. 12.38 %) and amount (-399.41 mm vs. 141.62 mm) and was primarily responsible for the rainfall suppression in total aerosol effect (-411.34 mm). The total aerosol effect with enhanced BC emissions (4NOR-I - NOCHEM) showed a significantly higher increase (275.47 mm vs. 137.16 mm) as well as a significantly lower decrease (-337.23 vs. -411.34) in high-intensity rainfall compared to total aerosol effect with baseline BC emissions (NOR-I - NOCHEM). Similar results in time and rainfall amount between BC increase and direct + semi-direct effect with BC increase scenarios inferred that enhanced radiative effects due to BC increase were mainly responsible for higher high-intensity rainfall duration and rainfall amount, while the indirect aerosol effect was mainly involved in its suppression, possibly due to the increased atmospheric stability associated with it. Barman and Gokhale (2022) also showed similar results with BC emissions increase, but this study verifies the role of direct radiative effects of BC in it. Thus, BC increased rainfall over NE India but in the form of high-intensity rainfall. Hence, relative fractions of BC and the other aerosols contributing to indirect effect possibly decide the amount of rainfall and its intensity over the region. However, indirect effect also caused high-intensity rainfall but with lesser amount than its suppression and may be involved in catastrophic flood events at local scales (Wang et al., 2022).



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4 Conclusions

Transported aerosols, primarily from IGP, were found to be responsible for the bulk of the aerosol mass (93.98 %) over NE India while contributing 64.18 % of near-surface PM₁₀ concentration, thus primarily responsible for air pollution as climatic impacts over the region during pre-monsoon season. The climatic impacts, both w.r.t. RF as well as rainfall, were dominated by the indirect aerosol effect. The impacts of the indirect aerosol effects of transported aerosols were much higher in affecting radiation (-13.12 W m⁻² vs. -0.24 W m⁻² at the surface, 7.30 W m⁻² vs. 0.97 W m⁻² in the atmosphere) as well as suppressing rainfall (-49.11 mm vs. -16.04 mm) compared to local emissions. The greater surface dimming by transported aerosols caused a higher negative change in surface moisture flux (-3.82×10⁻⁶ kg m⁻² s⁻¹ vs. 8.15×10⁻⁸ kg m⁻² s⁻¹) as well as higher aerosol mass reduced cloudwater to rainwater conversion, both of which contributed to higher rainfall suppression. Transported aerosols caused 4.42×10¹³ m⁻² higher cloud droplets than local emissions. The atmospheric instability due to the direct + semidirect effect and indirect effect of transported aerosols were found to be contradictory and caused an increase and decrease, respectively. The direct effect of transported aerosols, though also caused negative surface moisture flux over NE India (-1.03×10⁻⁶ kg m⁻² s⁻¹), however, increased moisture over NE India, increasing moisture flux over the Bay of Bengal. Further analysis showed that transported BC was more efficient in atmospheric heating over NE India and together with the higher transported BC mass, an increase in its emissions caused higher atmospheric instability over the region, which brought more moisture from the Bay of Bengal. The increased moisture further contributed to higher instability. Hence, the rainfall suppression caused through the different atmospheric processes by direct, semi-direct and indirect effects was reduced and nullified with the increase in BC emissions, but the rainfall increase was mainly in the form of high-intensity rainfall. The increase in BC did not show a positive change in cloudwater, though it contributed to CCN. The direct effect of BC thus overpowered the other rainfall-suppressing processes. Indirect aerosol effect and radiative heating were the main rainfall-controlling factors. Hence, changes in emissions of aerosols or chemical species contributing to these processes will possibly contribute to rainfall suppression and enhancement over NE India. Moreover, rainfall simulated with transported aerosols were found to be more similar to the IMD observation datasets as well as the baseline emission scenario, indicating its possible greater influence in the real-world scenario.

The study shows that the atmospheric transport of emissions from IGP to NE India has a significant impact on NE India's atmosphere during pre-monsoon and the impacts are even greater than the emissions within the NE India region.

- Data availability. Model outputs are available upon request.
- 480 Author contributions. NB conceptualization, methodology, model simulation, visualisation, manuscript
- writing, SG conceptualization, methodology and supervision, manuscript review and editing.
- 482 **Competing interests.** The authors declare that they have no conflict of interest.
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