# Transported aerosols regulate the pre-monsoon rainfall over North-East India: a WRF Chem modelling study

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7 Abstract. The study differentiates and quantifies the impacts of aerosols emitted locally within North-East (NE) 8 India region and those transported from outside this region to ascertain whether local or transported aerosols are 9 more impactful in influencing this region's rainfall during the pre-monsoon season (March-April-May). Due to 10 the existence of a declining pre-monsoon rainfall trend in NE India, the study also quantified the role of different 11 aerosol effects on radiative forcing (RF) and rainfall. The study has been carried out using the WRF-Chem model 12 by comparing simulation scenarios where emissions were turned on and off within and outside the NE region. 13 The impact of all emissions as a whole and Black carbon (BC) specifically was studied. Results show that aerosols 14 transported primarily from the Indo-Gangetic Plain (IGP) were responsible for 93.98 % of the PM<sub>10</sub> mass over 15 NE India's atmosphere and 64.18 % of near-surface  $PM_{10}$  concentration. Transported aerosols contributed >50 % 16 of BC, organic carbon, sulfate, nitrate, ammonium and dust aerosol concentration and hence a major contributor 17 to air pollution. Hence, the aerosol effects were much greater with transported aerosols. Indirect aerosol effect 18 was found to be the major effect and more impactful with transported aerosols that dominated both rainfall and 19 RF, and suppressed rainfall significantly than the direct and semi-direct effect. However, the increase in direct 20 radiative effects with an increase in transported BC counteracted the rainfall suppression caused by relevant 21 processes of other aerosol effects. Thus, this study shows atmospheric transport to be an important process for 22 this region as transported emissions, specifically from IGP were also found to have greater control over the 23 region's rainfall. Thus, emission control policies implemented in IGP will reduce air pollution as well as the

24 climatic impacts of aerosols over the NE India region.





#### 26 1 Introduction

27 Aerosols regulate the Earth's energy budget and hydrological cycle through scattering and absorption of solar 28 radiation and acting as sites for the formation of cloud droplets, which leads to its varied effects, viz. direct, semi-29 direct and indirect effects (Mitchell, 1971; Rosenfeld, 2012; Menon et al., 2002). The effects differ spatially 30 depending on the constituents of aerosols, their physical and chemical properties as well as the quantity. Among 31 these factors, atmospheric transport also plays an important role which extends the climatic impacts to the 32 transported region from the source region (Lee et al., 2022). The IGP is a global hotspot of diverse aerosols (Ojha 33 et al., 2020; Kumar et al., 2018) that impacts regional and global climate (Ramanathan et al., 2005; Tripathi et al., 34 2005; Sarangi et al., 2015). Air masses transport aerosols from the IGP to nearby regions, which also impact air 35 quality (Bhat et al., 2022; Ojha et al., 2012). Bonasoni et al. (2010) showed that pollutants from the IGP follow 36 the southern slope of the Himalayas as a path into the Bay of Bengal and NE India and similar observations were 37 made by Gogoi et al. (2017). The condition becomes more critical in the pre-monsoon season when the westerlies 38 directly transport air pollutants from the IGP to NE India. Among the aerosols, BC is a high climate-influencing 39 aerosol component due to its strong absorption capability (Bond et al., 2013; Nenes et al., 2002; Koch and Del 40 Genio, 2010) and IGP is the largest source region of it in India (Rana et al., 2019). Several studies (Guha et al., 41 2015; Sarkar et al., 2019; Chatterjee et al., 2010) found BC, among other aerosols measured at sites in NE India 42 to be transported from the IGP. Moreover, in the NE India region, an increase in BC emissions was observed 43 along with high BC concentrations near the surface level (Barman and Gokhale, 2019; Chaudhury et al., 2022; 44 Singh and Gokhale, 2021). Tiwari et al. (2016) observed maximum BC concentration during this season in this 45 region along with the highest surface RF. The region also observes the highest atmospheric heating and highest 46 aerosol optical depth with an increasing trend during this period (Nair et al., 2017; Dahutia et al., 2018; Dahutia 47 et al., 2019; Gogoi et al., 2017; Pathak et al., 2010; Pathak et al., 2016). The presence of high aerosol loading 48 along with high atmospheric heating is likely to have varied aerosol effects over the region and may also have an

49 important role to play with the rainfall. Mondal et al. (2018) showed a decreasing trend of pre-monsoon rainfall

- 50 in this biodiversity hotspot region. Few modelling studies (Kant et al., 2021; Kedia et al., 2016; Kedia et al., 2019)
- are available that studied the aerosol effect on rainfall over India. However, only Soni et al. (2017) and Barman
- 52 and Gokhale (2022) studied the BC effect on pre-monsoon rainfall in this region but without the inclusion of
- 53 aerosol indirect effect. Both studies found BC to increase total rainfall but Barman and Gokhale (2022) also found
- 54 semi-direct effect to be a rainfall suppression mechanism by evaporating clouds between 1 to 2 km above ground
- 55 level.

56 However, a few questions remained to be answered. How much is the contribution of transported aerosols 57 to air pollution and climatic effects compared to those emitted within NE India region? What is the role of different 58 aerosol effects on the rainfall mechanisms? Thus, this study was carried out with the following objectives (a) 59 Compare the contributions of local and transported aerosols to air pollution and different climatic effects over NE 60 India (b) Quantify the role of different aerosol effects on the climatic effects (c) Investigate the role of BC emitted 61 within NE India and transported BC in such climatic effects. Here, transported aerosols include the transported 62 primary aerosols emitted from outside NE India as well as the secondary aerosols formed from the transported 63 emissions. Same goes for local emissions. Through qualitative and quantitative comparison of the impacts of local 64 and transported aerosols, the study tries to find the source region of aerosols that has a greater impact on the 65 atmosphere over NE India during the pre-monsoon season. Since observational studies cannot distinguish between 66 the local and transported aerosols impacts, the study was carried out with numerical modelling. The effect of 67 transported aerosols on different regions of the world has been studied (Krishnamohan et al., 2021; Wang et al., 68 2020; Bagtasa et al., 2019) but none of them covered the IGP and its impact on the nearby region.

# 69 2 Methods

- 70 The study used the WRF-Chem v4.2.1 model (Grell et al., 2005). The model configuration, modelling domain,
- 71 model inputs and simulation period is similar to the one used in Barman and Gokhale (2022). Details regarding

i.

- 72 physical and chemical parametrization schemes and the emissions are provided in Table 1.
- 73 Table 1: Details of physical parametrizations, chemical parametrizations and emissions

MYNN3 (Nakanishi and Niino, 2006)
RRTMG (Iacono et al., 2008)
NOAH (Tewari et al., 2004)
Grell-Freitas (Grell and Freitas, 2014)
Morrison (Morrison et al., 2009)
ERA5 (Hersbach et al., 2020)
MOZART (Emmons et al., 2010)
MOSAIC (Zaveri et al., 2008)
CAM-Chem (Lamarque et al., 2012)
CAMS emission inventory (Granier et al., 2019)
FINN (Wiedinmyer et al., 2010)

# hysical parametrizations

Dust emissions	Online model (Zhao et al., 2010)
Biogenic emissions	MEGAN v2.04 (Guenther et al., 2006)

75	The model was run at 10 km grid size for a duration of 13 days from 7-19 April 2018, out of which a 3-
76	day period from 7-9 April 2018 was discarded as spin-up and outputs from 10-19 April 2018 were used for
77	analysis. The period represents the mid of pre-monsoon season. Also, April 2018 was Indian Ocean Dipole and
78	ENSO neutral period and hence suitable for study of aerosol effects. The model domain is shown in Fig. 1(a)
79	which extends from 10.65° N to 31.22° N and 71.68° E to 100.43° E, and the NE India is the part of India within
80	the region bounded by the blue box. The region within the box is bounded by $22^{\circ}$ N and $29^{\circ}$ N latitudes and $89^{\circ}$
81	E and 97° E longitudes. The climatic situation during the study period was also described in Barman and Gokhale
82	(2022). The near surface wind flow was from the Bay of Bengal towards NE India, which gradually changed to
83	westerly wind flow carrying aerosols from IGP towards NE India. Hence the domain was selected by keeping the
84	NE India region near the upper-right corner of the domain. Descriptions of the simulations are provided in Table
85	2.

86 Table 2: Description of simulations

	Simulation name	Description of simulations	
1.	NOR-I	Baseline simulation with all aerosol effects	
2.	NOFEED-I	Same as NOR-I but with aerosol radiative effects turned off	
3.	NOCHEM	Simulation with no atmospheric chemistry and aerosol effects	
4.	No_EMISS_NE	Same as NOR-I but with emissions turned on only outside NE India	
5.	Only_EMISS_NE	Same as NOR-I but with emissions turned on only within NE India	
6.	No_EMISS_NE_4SO <sub>2</sub>	Same as No_EMISS_NE but with 4×SO <sub>2</sub> emissions	
7.	No_EMISS_NE_0.25SO <sub>2</sub>	Same as No_EMISS_NE but with 0.25×SO <sub>2</sub> emissions	
8.	No_EMISS_NE_NOFEED	Same as No_EMISS_NE but with aerosol radiative effects turned off	
9.	Only_EMISS_NE_NOFEED	Same as Only_EMISS_NE but with aerosol radiative effects turned off	
10.	No_NE_BCI	Same as NOR-I but with BC emissions turned on only outside NE India	
11.	Only_NE_BCI	Same as NOR-I but with BC emissions turned on only within NE India	
12.	4NOR-I	Same as NOR-I but with 4×BC emissions	
13.	No_BC_ABS	Same as NOR-I but with BC absorption disabled	
14.	NOR	Baseline simulation with only direct and semi-direct effect	
15.	2NOR	Same as NOR but with 2×BC emissions	
16.	No_NE_BC	Same as NOR but with BC emissions within NE India region turned off	
17.	No_NE_2×BC	Same as No_NE_BC but with 2×BC emissions outside NE India	
18.	Only_NE_BC	Same as NOR but with BC emissions turned off outside NE India	
19.	Only_NE_2×BC	Same as Only_NE_BC but with 2×BC emissions inside NE India	
20.	NOFEED	Same as NOR but with aerosol radiative effects off	

88 All the simulations were conducted with the MOZART-MOSAIC scheme, except simulation 3, which was purely 89 a meteorology simulation and did not include any atmospheric chemistry and aerosol effects. Moreover, 90 simulations 1 to 13 (except 3), were conducted with the version of MOZART-MOSAIC scheme which also 91 supports indirect aerosol effect by coupling with the Morrison microphysics scheme along with direct and semi-92 direct effect, while simulations 14 to 20 did not include indirect effect. The NOR simulation used in Barman and 93 Gokhale (2022), was also used in this study. NOR-I is also the baseline simulation run with the same baseline 94 emissions for the study period as NOR, but also includes indirect aerosol effect. No\_EMISS\_NE had all emissions 95 (biogenic, anthropogenic and dust) disabled within the region bounded by 22° N and 29° N latitudes and 89° E 96 and 97° E longitudes, shown by the blue box in Figure 1(a) while No NE BC and No NE BCI only had BC 97 emissions disabled within the same region. Only\_EMISS\_NE had all emissions disabled outside of the above 98 region along with boundary conditions for all chemical species modified to zero to nullify the transport of 99 emissions from outside the domain and similarly, Only\_NE\_BC and Only\_NE\_BCI had BC emissions disabled 100 outside the NE India region with boundary conditions for BC modified to zero. Remaining simulations can be 101 understood from Table 2 and their applications are understood from the results and discussion in Sect 3.

102As per Ghan et al. (2012) and Bauer and Menon (2012), the total aerosol effect is the algebraic sum of103direct, indirect and semi-direct effects. Similar approaches were used by Yang et al. (2011). Thus,

104 NOR-I - NOCHEM = Total aerosol effect = Direct + Semi-direct + Indirect, (1)

Both NOFEED-I and NOR-I includes indirect effect but NOFEED-I does not include aerosol radiative effects.Thus,

(2)

(3)

- 107 NOR-I NOFEED-I = Direct + Semi-direct effect,
- 108 Also, since NOFEED-I includes only indirect effect,
- 109 NOFEED-I NOCHEM = Indirect effect,
- 110 Similar approaches were used by Wang et al. (2015).

111 The NOR simulation utilised in this study was evaluated in Barman and Gokhale (2022). Moreover, 112 meteorological evaluation of NOR-I w.r.t wind direction, wind speed, temperature and humidity was carried out 113 against surface station datasets (https://mesonet.agron.iastate.edu/sites/locate.php) at Guwahati (26.10 °N, 91.58 114 °E), Kolkata (22.65 °N, 88.45 °E), Bangalore (13.20 °N, 77.70 °E), Patna (25.59 °N, 85.08 °E), Delhi (28.56 °N, 115 77.11 °E) and Mumbai (19.10 °N, 72.86 °E). Simulated rainfall was evaluated against the Indian Meteorological 116 Department (IMD) rainfall dataset of Pai et al. (2014)117 (https://www.imdpune.gov.in/Clim Pred LRF New/Grided Data Download.html). Index of agreement (IOA), 118 root mean square error (RMSE) and mean error (ME) were used as statistical parameters. As per the criteria of 119 Emery et al. (2001), the NOR-I simulation underpredicted temperature but showed good performance with wind 120 speed and wind direction but had large RMSE with wind direction, similar to the NOR simulation. Performance 121 statistics are provided in Table S1. Moreover, NOR and NOR-I simulated chemical species (BC, organic carbon, 122 dust and sulfate aerosol) compared against the MERRA2 dataset were 123 (https://disc.gsfc.nasa.gov/datasets/M2T1NXAER\_5.12.4/summary) at the above locations. Performance 124 statistics are shown in Table S2. NOR gave a much better estimation of all the chemical species at all locations.

- 125 Moreover, the predicted chemical species of nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>), sulfur dioxide (SO<sub>2</sub>), 126 PM<sub>2.5</sub> and PM<sub>10</sub> were compared against in-situ observations at Delhi (28.56 °N, 77.11 °E), Kanpur (26.57 °N, 127 80.32 °E), Patna (25.61 °N, 85.13 °E) and Siliguri (26.69 °N, 88.41 °E), obtained from Central Pollution Control 128 Board, India (https://app.cpcbccr.com/ccr/#/caaqm-dashboard-all/caaqm-landing/caaqm-data-availability). These 129 locations are located along the IGP. Performance statistics are given in Table S3. The performance statistics were 130 better with both particulate matter than gaseous species. Comparatively the performance was better with 131 MERRA2. The relatively lower performance with in-situ comparison may be due to the grid size as in-situ 132 observations are affected by local emission sources as well the deficiencies in emission inventory. However, the 133 inclusion of all aerosol effects greatly improved simulated rainfall performance with NE India regional average 134 IOA: 0.52, ME: 3.72 mm day<sup>-1</sup>, RMSE: 13.55 mm day<sup>-1</sup> compared to only considering direct + semi-direct effect 135 (IOA: 0.40, ME: 9.22 mm day<sup>-1</sup>, RMSE: 21.26 mm day<sup>-1</sup>) in Barman and Gokhale (2022). The improvement in 136 performance and decrease in ME show that indirect effect played a major role during this period in controlling
- 137 and suppressing rainfall.

#### 138 **3 Results and discussion**

# 139 **3.1 PM**<sub>10</sub> spatial and vertical distribution



140

Figure 1: Spatial distribution of PM<sub>10</sub> concentration (μg m<sup>-3</sup>) in NOR-I, (a, d), No\_EMISS\_NE (b, e) and
Only\_EMISS\_NE (c, f). Upper row shows distribution at model level 0 (near surface) and the lower row at model
level 15

144 Figure 1 shows the time-averaged spatial distribution of PM<sub>10</sub> concentration. The NE India region was divided 145 into four regions based on the proximity from the IGP, shown in Fig. 1(d). Region 1 and region 2 fall along the 146 Brahmaputra River Valley, with region 1 being closest to IGP. Region 3 is mostly a mountainous regionand 4 is 147 the southern region closer to the Bay of Bengal. The spatial distribution of geopotential heights of model level 0 148 and 15 are shown in Fig. S1, while region-wise (Fig. 1(d)) concentration values within NE India at the two 149 atmospheric heights are shown in Table S4.  $PM_{10}$  concentration contours shown in Fig. 1(a), 1(b), 1(d) and 1(e), 150 emanating from IGP and spreading into NE India indicated the transport of aerosols from IGP into NE India. The 151 similarity of these spatial distributions of No EMISS NE to the baseline scenario, NOR-I, especially within NE

- 152 India region inferred that most of the aerosol mass within NE India was contributed by transported aerosols, while
- 153 PM<sub>10</sub> emitted or formed over NE India remained mainly confined within the region as shown in Fig. 1(c), possibly
- 154 due to the mountainous terrain, as also described in Kundu et al. (2018). The transport of  $PM_{10}$  can also be seen
- 155 from Fig. 2, in which the streamline's arrow from IGP to NE India show the transport of air-mass and the colour
- 156 of the streamlines show the  $PM_{10}$  mass flux in  $\mu g m^{-2} s^{-1}$ . The flux was higher over IGP.



158Figure 2: Streamlines showing transport of air-mass from IGP to NE India and  $PM_{10}$  mass flux ( $\mu$ g m<sup>-2</sup> s<sup>-1</sup>) at 1300159m above terrain

160 Both near the surface and at higher atmosphere (level 15), No EMISS NE showed a higher regional average 161 concentration (surface: 14.46 µg m<sup>-3</sup>, higher atmosphere: 24.43 µg m<sup>-3</sup>) which was closer to the baseline scenario 162 of NOR-I (surface: 27.43 µg m<sup>-3</sup>, higher atmosphere: 34.13 µg m<sup>-3</sup>) compared to the local emission scenario of 163 Only\_EMISS\_NE (surface: 8.07 µg m<sup>-3</sup>, higher atmosphere: 0.98 µg m<sup>-3</sup>). Thus, transported aerosols contributed 164 higher PM<sub>10</sub> concentration (64.18 %) than local emission and contribution from local emissions were negligible 165 at higher atmosphere, as also seen in Fig. 1(f) and 96.14 % of it was contributed by transported aerosols. The 166 higher concentration at higher atmosphere was due to transported aerosols developing an elevated PM<sub>10</sub> profile 167 (Fig. S2) having maximum concentration near 2000 m and which shows much greater similarity with the baseline 168 scenario. The long range transport and strong convective active during this season is responsible for the elevated 169 profile (Pathak et al., 2016). Hence, transported aerosols contributed to bulk of the aerosols over NE India 170 throughout the atmospheric column (93.98 %) indicated by the column integrated  $PM_{10}$  mass of 313.97 g m<sup>-2</sup> 171 (No\_EMISS\_NE) and 20.08 g m<sup>-2</sup> (Only\_EMISS\_NE). NOR-I had column integrated PM<sub>10</sub> mass of 466.63 g m<sup>-</sup> 172 <sup>2</sup>. Further analysis indicated that transported aerosols accounted for >50 % of BC, organic carbon, sulfate, nitrate, 173 ammonium and dust aerosol mass over NE India's atmosphere as the column integrated mass for these species in 174 No EMISS NE were 4.55, 19.59, 51.66, 2.20, 13.74 and 207.82 g m<sup>-2</sup>, respectively, while it was 0.94, 6.51, 1.79,

- 175 0.12, 0.56 and 6.60 g m<sup>-2</sup>, respectively in Only\_EMISS\_NE. The spatial distribution of column integrated mass
- 176 of these species can be seen in Figures S3, S4, S5, S6, S7 and S8. Regions 1, being in close proximity to IGP, as
- 177 seen in Fig. 1(c)), received maximum near surface aerosol mass (73.70 %) from transported aerosols, compared
- 178 to the other regions, followed by region 2 (66.86 %), 3 (60.48 %) and 4 (57.43 %). However, even though
- 179 No\_EMISS\_NE and Only\_EMISS\_NE is the bifurcation of NOR-I into two separate emission regions, the sum
- 180 of No\_EMISS\_NE and Only\_EMISS\_NE column integrated mass as well as concentrations didn't equate to NOR-
- 181 I values and is always less than it. This indicated formation of extra aerosol mass due to interaction of emissions
- 182 of the two regions.

#### 183 **3.2** Aerosol effects of local and transported aerosols on radiative forcing

184 RF due to different aerosol effects was estimated based on the methodology described in Sect. 2. Further details
 185 regarding its estimation are provided in the supplementary.

186 The baseline scenario indicated that direct and indirect aerosol effects caused net (NET) surface and top 187 of the atmosphere (TOA) dimming while causing atmospheric heating, as seen in Fig. 3. This is due to the presence 188 of aerosols that scatter and absorb solar radiation, reducing it at the surface while increasing it at the top of the 189 atmosphere as well as causing atmospheric heating. Net direct surface, TOA and atmospheric RF were -15.34, -190 7.49 and 7.85 Wm<sup>-2</sup> and was mainly contributed by short-wave (SW) radiation. Indirect effect had the same effect 191 on solar radiation as the direct effect and was due to the formation of numerous smaller cloud droplets which has 192 better reflectivity to solar radiation, also known as the 1<sup>st</sup> indirect effect or Twomey effect (Twomey, 1977). 193 However, positive atmospheric RF (18.20 W m<sup>-2</sup>) causing atmospheric heating (10.06 W m<sup>-2</sup>) was mainly caused 194 by long-wave (LW) radiation (16.22 W m<sup>-2</sup>) at the TOA contributed by indirect effect. This was due to greater 195 cloud cover (Fig. S9) at 8 - 10 km which is not seen in the other two scenarios. The indirect effect also caused warming at the surface (6.17 W m<sup>-2</sup>), as its contributed to greater cloud cover (Nandan et al., 2022) and caused 196 197 heating of the surface through LW radiation. The total net surface RF was -27.88 W m<sup>-2</sup> out of which -23.92 W 198 m<sup>-2</sup> or 85.80% was contributed



Figure 3: NE India regional average RF (W m<sup>-2</sup>) due to different aerosol effects at NET, SW and LW wavelengths
 in different emission scenarios

202 by indirect forcing. Indirect SW forcing (-30.08 W m<sup>-2</sup>) was almost twice the direct SW forcing (-15.82 W m<sup>-2</sup>), 203 while semi-direct SW forcing (+11.58 W m<sup>-2</sup>) was ~75% of the direct forcing. Semi-direct effect showed positive 204 surface RF due to cloud cover reduction. Thus, atmospheric heating and the subsequent evaporation of clouds 205 compensated to a large extent the reduction in solar radiation due to aerosols. The atmospheric RF (0.76 W m<sup>-2</sup>) 206 due to semi-direct effect was due to LW radiation, which may be due to increased solar radiation at the surface, 207 which released the heat into the atmosphere in the form of LW radiation. However, this value was very small. The 208 indirect RF contributed most to the total surface, TOA and atmospheric RF at both SW and LW wavelengths and 209 hence was found to be the dominant aerosol effect affecting radiation over NE India.

210 Quantitatively, No\_EMISS\_NE provided RF values (surface: -17.02 W m<sup>-2</sup>, TOA: -9.99 W m<sup>-2</sup> and 211 atmospheric RF: 7.03 W m<sup>-2</sup>) that were much similar and closer to the baseline scenario (surface: -27.88 W m<sup>-2</sup>, 212 TOA: -9.68 W m<sup>-2</sup> and atmospheric RF: 18.20 W m<sup>-2</sup>) than Only EMISS NE (surface: -1.21 W m<sup>-2</sup>, TOA: -0.24 213 W m<sup>-2</sup> and atmospheric RF: 0.97 W m<sup>-2</sup>). Consequently, the No\_EMISS\_NE net indirect, direct and semi-direct 214 surface RF values of -13.12, -13.08 and 9.19 W m<sup>-2</sup> were significantly larger than the corresponding 215 Only EMISS NERF values of -0.24, -1.80 and 0.83 W m<sup>-2</sup>. A similar conclusion could be inferred at TOA also. 216 Hence transported aerosols were primarily responsible for all the different aerosol effects on radiation over NE 217 India as a greater amount of aerosol mass was contributed by it. Moreover, No EMISS NE net direct atmospheric 218 RF (9.32 W m<sup>-2</sup>) was found to be even higher than the baseline scenario (7.85 W m<sup>-2</sup>). This indicated that the NE 219 India region contained more scattering aerosols while transported aerosols contained more absorbing aerosols as

- 220 the difference in the direct atmospheric RF is mainly driven by changes in the TOA RF (-7.49 vs. -3.77 W m<sup>-2</sup>)
- than surface RF (-15.34 vs. -13.08 W m<sup>-2</sup>). Region 1 had the highest direct and semi-direct net surface RF of -
- 222 20.41 W m<sup>-2</sup> and 19.20 W m<sup>-2</sup>, respectively due to its close proximity to IGP.

# 223 **3.3** Aerosol effects of local and transported aerosols on rainfall

Table 3: Changes in rainfall due to different aerosol effects in different scenarios (mm)

	Total aerosol effect	Direct + semi-direct	Indirect
NOR-I	-275.13	-17.04	-258.09
No_EMISS_NE	-73.06	-23.95	-49.11
Only_EMISS_NE	-24.45	-8.42	-16.04

225

226 The quantitative changes in regional average rainfall amounts over NE India due to the different aerosol effects 227 induced by the aerosols in different scenarios are provided in Table 3. Region-wise values can be read from Table 228 S5. Rainfall from region 4 was not considered due to large errors being associated with it (Fig. S10). In the baseline 229 scenario (NOR-I), the total aerosol effect caused rainfall suppression in all three regions, with a regional total of 230 -275.13 mm, shown in Table 3. Reductions in rainfall due to the total aerosol effect was contributed by 231 suppressions due to both direct + semi-direct and indirect effect and was observed in all the considered regions. 232 The highest suppression was observed in region 3 (-102.60 mm), followed by region 1 (-100.60 mm). The role of 233 direct + semi-direct effect was observed to be minimal with a total regional suppression of -17.04 mm while the 234 indirect effect (-258.09 mm) was responsible for almost the whole of the suppression of -275.13 mm. Region 1 235 observed the highest suppression of -13.21 mm due to direct + semi-direct effect as this region's radiation was 236 highest impacted by these effects.

237 Direct effect could suppress rainfall by reducing surface evaporation and convection through surface 238 dimming while semi-direct by evaporation of clouds (Talukdar et al., 2019; Lohmann and Feichter, 2001; Habib 239 et al., 2006; Bollasina et al., 2011; Koch and Del Genio, 2010b). However, the surface dimming by indirect effect 240 (-23.92 W m<sup>-2</sup>) with NOR-I was much larger than the combined direct + semi-direct effect (-3.96 W m<sup>-2</sup>). Hence 241 the reduction in surface moisture flux due to indirect effect ( $-6.45 \times 10^{-6}$  kg m<sup>-2</sup> s<sup>-1</sup>) was much greater than due to 242 combined direct + semi-direct effect  $(-1.1 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1})$  and much similar to the reduction due to total aerosol 243 effect (-7.56 $\times$ 10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>). This was also observed in the case of No EMISS NE. The greater surface dimming 244 of -17.02 W m<sup>-2</sup> in No EMISS NE caused a much higher negative surface moisture flux change of -3.82×10<sup>-6</sup> kg 245  $m^{-2} s^{-1}$  due to total aerosol effect, mostly contributed by indirect effect (-2.79×10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>) compared to direct + semi-direct effect (-1.03×10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>). Hence, indirect effect in NOR-I and No EMISS NE dominated 246 247 moisture reduction through reduction in surface moisture flux over most areas of NE India at both low and high-248 terrain regions, as seen in Fig. 4.

However, direct + semi-direct effect caused an increase of moisture in NOR-I and No\_EMISS\_NE over most of NE India in spite of a negative surface moisture flux not observed in Only\_EMISS\_NE. This indicated that direct + semi-direct caused an increase in the transport of moisture from another region, in this case from Bay of Bengal. The equivalent potential temperature (EPT) profiles in Fig. 5 compared the atmospheric stability due to





Figure 4: Spatial distribution of change in near-surface water vapor mixing ratio (g kg<sup>-1</sup>) due to total aerosol effect, direct + semi-direct effect and indirect effect

257 different aerosol effects. The greater surface dimming due to the indirect effect in No\_EMISS\_NE caused not 258 only negative surface moisture flux but also a significant increase in atmospheric stability (indicated by increasing 259 value of indirect effect EPT profile with height), reducing convection, which possibly also contributed reduction 260 to rainfall suppression. However, although the direct + semi-direct EPT profile showed increased atmospheric 261 stability below 1 km, but created an overall unstable atmosphere in the lower atmosphere. This instability, 262 primarily caused due to atmospheric heating of BC, created an unstable region over NE India which facilitated 263 the increased transport of moisture from the Bay of Bengal (discussed later). Hence, though the direct effect 264 reduces rainfall by reducing surface moisture flux and convection but also possibly enhances it by transporting 265 moisture. This transported moisture possibly compensated to some extent the rainfall reduction due to a decrease 266 in surface moisture flux, convection and cloud evaporation caused by direct and semi-direct effects. Hence, the 267 rainfall reduction due to direct + semi-direct effect (-17.04 mm) was possibly significantly less than the indirect 268 effect (-258.09 mm). Thus, the effect of direct and indirect effects on dynamics was distinctly different. The EPT 269 profile of the total aerosol effect in No\_EMISS\_NE showed an unstable lower atmosphere, supporting moisture 270 transport. Similar explanation could be given for moisture increase due to direct + semi-direct in NOR-I but the 271 increase in atmospheric stability and moisture reduction due to greater surface dimming by its indirect effect was 272 significantly larger, which created an overall stable atmosphere due to total aerosol effect in NOR-I. The EPT 273 profiles of Only\_EMISS\_NE showed almost zero perturbation throughout the atmosphere and hence was unable 274 to affect atmospheric stability and cause moisture transport. Thus, the direct + semi-direct effect in 275 Only\_EMISS\_NE did not show significant moisture change in Fig. 4. Moreover, the significantly smaller surface 276 dimming (-1.21 W m<sup>-2</sup>) in Only\_EMISS\_NE caused very small but positive change of 8.15×10<sup>-8</sup> kg m<sup>-2</sup> s<sup>-1</sup> due to

- the total aerosol effect and hence similar moisture change is observed in Fig. 4. Hence aerosols emitted solely
- 278 from NE India had negligible capability in affecting moisture through different aerosol effects. Moisture reduction
- over NE India was much greater due to the indirect effect in No\_EMISS\_NE compared to Only\_EMISS\_NE,
- 280 while moisture increase was much greater in No\_EMISS\_NE compared to Only\_EMISS\_NE due to a higher
- 281 direct + semi-direct effect.



283Figure 5: Perturbation of EPT (K) due to total aerosol effect (Total), direct + semi-direct (D+S) and indirect (I) aerosol effect284in No\_EMISS\_NE (non-dashed), Only\_EMISS\_NE (dashed) and NOR-I (dashdot)

285 Moreover, the positive NE India regional average difference of column integrated cloud condensation 286 nuclei (CCN) number (4.38×10<sup>10</sup> m<sup>-2</sup>), cloud droplet number (4.42×10<sup>13</sup> m<sup>-2</sup>) and cloudwater (27.93 g m<sup>-2</sup>), and 287 estimated from No\_EMISS\_NE - Only\_EMISS\_NE indicated that transported aerosols had a greater impact 288 through aerosol indirect effect (Zhang et al., 2010). The presence of larger aerosol amounts in the form of CCN 289 affects the cloud lifetime by affecting the conversion from cloudwater to rainwater, thus, to rainfall, thereby 290 suppressing rainfall, also known as the 2<sup>nd</sup> indirect effect (Shiogama et al., 2010; Cherian et al., 2017). The 291 presence of a large amount of CCN facilitates condensation of water vapor on numerous CCN particles, producing 292 numerous cloud droplets with smaller radii. This restricts small cloud droplets to grow in size due to reduction in 293 interaction with other cloud droplets which affect its conversion to rain droplet, and thus to rainfall. Due to more aerosol mass over NE India (Sect. 3.1), NOR-I and No\_EMISS\_NE had significantly higher cloudwater compared

295 to



#### 296

Figure 6: NE India regional average vertical profiles of cloudwater mixing ratio (non-dashed) and rainwater mixing ratio
 (dashed) in different scenarios (g kg<sup>-1</sup>)

299 Only\_EMISS\_NE, as seen in Fig. 6. Consequently, NOR-I and No\_EMISS\_NE had a significantly lower 300 rainwater mixing ratio than Only\_EMISS\_NE. Thus, rainfall suppression due to indirect effect was highest in 301 NOR-I, followed by No EMISS NE and Only EMISS NE. Hence, the combined effect of reduction in moisture, 302 instability and rainfall formation contributed to the reduction in rainfall through indirect and total aerosol effects. 303 This could be a possible key mechanism associated with the decreasing rainfall trend in the region. Reduction of 304 moisture due to the direct effect of aerosols and evaporation of clouds by BC were found to be possible 305 mechanisms by Barman and Gokhale (2022). However, this study shows that the contribution of direct and semi-306 direct effects was very small compared to the indirect effect. The indirect effect has been found to be the dominant 307 aerosol effect in many studies (Wang et al., 2015; Liu et al., 2016) and was found to suppress monsoon rainfall 308 over India (Manoj et al., 2012). Aerosol indirect effect is mainly dictated by the warm clouds (Christensen et al., 309 2016). Thus, the higher cloud cover associated with NOR-I and No\_EMISS\_NE in lower atmosphere which 310 affected SW radiation more in Sect. 3.2, was due to a greater amount of cloudwater in lower atmosphere.

311 Moreover, No\_EMISS\_NE and Only\_EMISS\_NE simulations were evaluated against the IMD rainfall 312 dataset and NOR-I simulation to check whether the local or transported aerosols had greater control over the

- 313 rainfall in NE India. No\_EMISS\_NE showed better regional average rainfall statistics than Only\_EMISS\_NE
- 314 with higher IOA (0.48 vs. 0.47), lower RMSE (18.85 vs. 20.37 mm day<sup>-1</sup>), and lower ME (6.94 vs. 8.22 mm day<sup>-1</sup>)
- 315 <sup>1</sup>) on comparing with the IMD rainfall dataset. Also, the simulated rainfall of No\_EMISS\_NE showed higher
- rainfall similarity with NOR-I than Only\_EMISS\_NE with higher IOA (0.65 vs. 0.63), lower RMSE (56.32 vs.
- 317 61.92 mm day<sup>-1</sup>) and lower ME (39.30 vs. 39.81 mm day<sup>-1</sup>). Hence, No\_EMISS\_NE showed more similarity with
- the baseline scenario as well as observed data and had greater control over the region's rainfall.

#### 319 **3.4 Role of local and transported BC**

- In section 3.3, the direct effect showed to increase moisture over NE India through an increase in atmospheric instability, caused mainly due to atmospheric heating of BC (Barman and Gokhale (2022)) Hence, to negate the effects of the indirect effect on atmospheric dynamics, scenarios in Table 1 containing only direct and semi-direct effects were used in this analysis. Moreover, NOR gave a much better performance with BC concentration estimation (Table S2) than when the indirect effect was included (NOR-I). The results from No EMISS NE,
- 325 Only\_EMISS\_NE, No\_NE\_BCI and Only\_NE\_BCI scenarios were compared and related.

#### 326 **3.4.1 Radiative heating**

The regional average vertical profiles of NOR, 2NOR, No\_NE\_BC, No\_NE\_2×BC, Only\_NE\_BC and Only\_NE\_2×BC can be seen from Fig. S11, in which the transported BC and local BC profiles resemble the No\_EMISS\_NE and Only\_EMISS\_NE PM<sub>10</sub> profiles, respectively. IGP was the dominant source of transported BC (Fig. S12). In transported BC scenarios, BC was available up to much higher atmospheric height and profiles showed elevated concentration at around 1500 m indicating stronger BC transport at that height. In Only\_NE\_BC and Only\_NE\_2×BC, BC was confined near the surface, which decreased continuously. The atmospheric heating rate (HR) was estimated as per Liou (1980).

$$HR = \frac{g}{C_p} \cdot \frac{\Delta F}{\Delta P}, \qquad (4)$$

where g is the acceleration due to gravity (9.81 m s<sup>-2</sup>),  $C_p$  is the specific heat capacity of air at constant pressure (1.005 kJ K<sup>-1</sup> kg<sup>-1</sup>),  $\Delta F$  the atmospheric RF and  $\Delta P$  is the atmospheric pressure (300 hPa) difference between surface and 3 km altitude as most of the BC was present below this height. Moreover, in order to compare the effectiveness of heating by local and transported BC, two parameters, heating efficiency (HE) and heating slope (HS), were defined by equations 5 and 6.

$$340 \qquad \text{HE} = \frac{\text{HR}}{\text{Column sum of BC concentration within 3 km (CC)}},$$
(5)

$$341 \qquad \text{HS} = \frac{\Delta \text{HR}}{\Delta \text{CC}},\tag{6}$$

HE has units of K day<sup>-1</sup>  $\mu$ g<sup>-1</sup> m<sup>3</sup>, thus measuring the heating contributed by per unit concentration of BC below 3 km. HE was used to assess the effect of BC vertical distribution on atmospheric heating while HS was used to assess the response of atmospheric heating rate to BC concentration changes and has similar units as HE. CC has units of  $\mu$ g m<sup>-3</sup>.

Table 4: NE India region average values of columnar BC concentration (µg m<sup>-3</sup>) and atmospheric heating parameters in different scenarios

	No_NE_BC	No_NE_2×BC	Only_NE_BC	Only_NE_2×BC
HR	0.460	0.597	0.123	0.178
СС	12.458	18.391	3.905	7.563
HE	0.037	0.032	0.032	0.024
АНЕ	-0.004		-	0.008
HS	0.023		(	0.015

The quantitative values of the parameters are provided in Table 4. Only\_NE\_BC had a regional net average HR 348 349 of 0.123 K day<sup>-1</sup> compared to 0.460 K day<sup>-1</sup> of No NE BC. This indicated a 3.73 times higher atmospheric heating 350 rate by transported BC. An increase in local emissions from Only NE BC to Only NE 2×BC caused a small 351 increase in heating rate of 0.055 K day-1 compared to the increase of 0.137 K day-1 from No\_NE\_BC to 352 No\_NE\_2×BC. As per the definition, HE was inversely proportional to CC and this was exactly followed in all 353 regions across all scenarios (Fig. S13 and S14). However, HE was higher in the case of transported BC compared 354 to local BC with values of 0.037 K day<sup>-1</sup> µg<sup>-1</sup> m<sup>3</sup> (No\_NE\_BC) vs. 0.032 K day<sup>-1</sup> µg<sup>-1</sup> m<sup>3</sup> (Only\_NE\_BC) and 355 0.032 K day<sup>-1</sup> µg<sup>-1</sup> m<sup>3</sup> (No\_NE\_2×BC) vs. 0.024 K day<sup>-1</sup> µg<sup>-1</sup> m<sup>3</sup> (Only\_NE\_2×BC), even if CC was higher in the 356 case of transported BC. The reason might be that transported BC might have undergone a higher amount of 357 chemical transformation due to higher atmospheric time, leading to a higher lensing effect on the BC core, 358 resulting in enhanced absorption (Liu et al., 2015). Also, it was observed that on increasing emissions, the decrease in HE was smaller in the case of transported BC (-0.004 K day<sup>-1</sup>  $\mu$ g<sup>-1</sup> m<sup>3</sup>) than local BC (-0.008 K day<sup>-1</sup>  $\mu$ g<sup>-1</sup> m<sup>3</sup>). 359 360 Hence, with the increase in BC emissions, HE decreased more when BC was more concentrated near the surface 361 than in the atmosphere. HS indicated that atmospheric heating increased at a higher rate of 0.023 K day<sup>-1</sup>  $\mu$ g<sup>-1</sup> m<sup>3</sup> 362 with increasing transported BC compared to 0.015 K day<sup>-1</sup> µg<sup>-1</sup> m<sup>3</sup>. Thus, the increase in transported BC emissions 363 had more impact on atmospheric heating over NE India than when present near the surface with local emissions.

364 **3.4.2** Atmospheric stability and moisture



365

Figure 7: Regionally averaged vertical profiles showing perturbations in a) potential temperature (K) b)
 equivalent potential temperature (K)

368 Barman and Gokhale (2022), as well as Soni et al. (2017), showed an increased influx of moisture into the region 369 during pre-monsoon due to BC. In order to compare and separate the effects of local and transported BC on 370 atmospheric stability through temperature and moisture, potential temperature (PT) and EPT were estimated. PT 371 estimates atmospheric stability based on temperature, while EPT accounts for both temperature and moisture and 372 is a more realistic parameter. In most of the profiles in both parameters in Fig. 7(a) and 7(b), positive perturbation 373 was observed approximately below 10 km and negative above it which indicated an increase in atmospheric 374 instability and vice-versa for an increase in atmospheric stability (Zhao et al., 2011). The positive perturbations 375 below 10 km varied with height and were most profound in the profiles No\_NE\_BC - Only\_NE\_BC, 376 No\_NE\_2×BC - Only\_NE\_2×BC and No\_NE\_2×BC - Only\_NE\_BC, each of which was estimated from the 377 difference between a transported BC scenario and local BC scenario. These profiles showed similarity with the 378 corresponding profiles of NOR - Only\_NE\_BC, 2NOR - Only\_NE\_2×BC and 2NOR - Only\_NE\_BC in both 379 the parameters, indicating that they were closer to the normal atmospheric scenario. The positive perturbations 380 were, however, comparatively smaller with 2NOR - NOR, No\_NE\_2×BC - No\_NE\_BC and Only\_NE\_2×BC -381 Only\_NE\_BC in both the parameters, each pair being the same scenario with only a difference in emission rates. 382 This shows that BC atmospheric distribution played an important role on instability. The Only\_NE\_2×BC – 383 Only\_NE\_BC profile not only showed a smaller increase in instability than No\_NE\_2×BC – No\_NE\_BC profile 384 but also contributed to the smallest increase in instability in both the parameters. Thus, transported BC and an

385 increase in transported BC emissions led to higher atmospheric instability than local BC.



387 Figure 8: Regionally averaged vertical profiles showing perturbations in water vapor mixing ratio (g kg<sup>-1</sup>)

388 Moreover, EPT profiles showed higher positive perturbations and hence higher instability compared to 389 the corresponding PT profiles with values exceeding 1.25 K. The positive difference or additional instability 390 between the corresponding profiles of Fig. 7(a) and 7(b) was due to moisture. The difference also indicated that 391 moisture contributed even more to the instability than BC. The peaks for EPT existed closer to the surface due to 392 most of the moisture also remaining near the surface, as shown in Fig. 8. However, there occurred a region of 393 increased stability from the ground surface to the first peak of transported BC profiles at approximately 1000 m, 394 indicated by increasing temperature with height. Thus, transported BC may also be responsible for air quality 395 scenarios over NE India by creating a stable boundary layer. The close qualitative and quantitative similarity 396 between No\_NE\_BC - NOFEED, No\_NE\_BC - Only\_NE\_BC and NOR - Only\_NE\_BC profiles in Fig. 7(a) 397 showed that aerosol radiative effect due to transported BC was intricately linked with the PT profile and the 398 positive perturbations in each of these profiles were also closely linked with BC. This was also seen in Fig. 7(b), 399 but since it also included the effect of moisture, larger differences were seen.

BC, whether transported or emitted locally, caused a positive perturbation in moisture at least below 2 km altitude, as seen in Fig. 8. The perturbation was much larger in profiles that had a combination of transported and local BC scenarios and which had higher transported BC emissions and followed the pattern similar to PT and EPT. This links BC, instability and moisture in the region, i.e., higher transported BC caused higher instability which brought a higher amount of moisture which would possibly again cause higher instability. It was the same 405 for scenarios that included indirect effect, as can be observed from the similarities of the No\_NE\_BCI -406 Only NE BCI (Fig. S15) and No NE BC - Only NE BC profile in Fig.8. Furthermore, the similarity of 407 No\_EMISS\_NE - Only\_EMISS\_NE profile with No\_NE\_BCI - Only\_NE\_BCI (Fig. S15) inferred that direct 408 radiative effect of transported BC was responsible for the moisture increase in Fig. 4. The higher moisture with 409 transported BC scenarios was due to higher moisture flux caused by it over Bay of Bengal compared to local BC 410 and can be verified from Fig. 9. Quantitatively, No\_NE\_BC (33.95 kg m<sup>-2</sup>) and No\_NE\_2×BC (34.15 kg m<sup>-2</sup>) had 411 higher region average precipitable water vapor than Only\_NE\_BC (33.49 kg m<sup>-2</sup>) and Only\_NE\_2×BC (33.64 kg 412 m<sup>-2</sup>). Hence transported BC in Sect. 3.3 was primarily responsible for transporting moisture from the Bay of 413 Bengal by affecting the atmospheric dynamics. The mechanism is similar to the "heat pump" model by Lau et al.

414 (2006).



415

416 Figure 9: Spatial distributions of change in moisture flux (g s<sup>-1</sup> m<sup>-2</sup>) in a) No\_NE\_BC – Only\_NE\_BC b)
417 No\_NE\_2×BC – Only\_NE\_BC c) Only\_NE\_2×BC – Only\_NE\_BC near surface

#### 418 **3.5 Rainfall response to emissions**

419 Similar to NOR-I - NOCHEM, No\_BC\_ABS - NOCHEM gave the rainfall change due to total aerosol effect, 420 but without BC absorption. The higher negative rainfall change of -275.13 mm with NOR-I - NOCHEM 421 compared to -266.78 mm with No\_BC\_ABS - NOCHEM showed BC absorption to reduce rainfall. The higher 422 reduction with NOR-I – NOCHEM was mainly due to higher rainfall reduction in region 1, where the direct and 423 semi-direct effect was maximum. This shows BC initially suppressed rainfall even though moisture increased due 424 to it. However, with the increase in BC emissions, rainfall increased and the rainfall suppression due to the total 425 aerosol effect reduced substantially to -64.44 mm with 4NOR-I - NOCHEM compared to -275.13 mm with NOR-426 I - NOCHEM and similarly, rainfall due to direct and semi-direct with 4NOR-I - NOFEED-I showed a positive 427 rainfall change of 193.64 mm compared to -17.04 mm with NOR-I – NOFEED. Similarly, 4NOR-I – NOR-I gave 428 a rainfall enhancement of 225.24 mm. Spatial distribution of change in rainfall is shown in Fig. 10(a) which show

429 rainfall change primarily occurring over NE India and along the valley.



Figure 10: Spatial distributions of change in rainfall (mm) in a) 4NOR-I – NOR-I b) No\_EMISS\_NE\_4SO<sub>2</sub> –
No\_EMISS\_NE\_0.25SO<sub>2</sub>

Aged BC also contributes as CCN (Lambe et al., 2015). The enhancement in BC emission did increase the column average CCN concentration to 2252 m<sup>-3</sup> (4NOR-I) from 2024 m<sup>-3</sup> (NOR-I), but the increase was largely disproportionate to the 4 times BC emission increase. The enhancement over NE India can also be seen from the spatial distribution of column integrated CCN in Fig. 11.



437

438 Figure 11: Spatial distribution of column integrated CCN number (m<sup>-2</sup>), estimated from 4NOR-I – NOR-I

Enhancement of CCN number concentration generally leads to enhancement of indirect aerosol effect (Yu et al.,
2013) and also seen later in case of sulfate aerosol. However, in spite of the increase in CCN, cloudwater mixing

ratio was lower in 4NOR-I than NOR-I, as seen in Fig. 6 and 4NOR-I caused significantly more rainfall formation

- 442 than NOR-I, as can be seen from the rainwater mixing ratio profiles. This may be related to the suppression of
- 443 CCN activation due to BC, as observed over Central India (Nair Jayachandran et al., 2020). Also BC contributes
- 444 marginally to indirect effect (Kristjánsson, 2002). Thus, the increased moisture (Fig. S15) did not remain stored
- 445 as cloudwater even though there was an increase in CCN, but it got converted to rainwater. The large increase in
- 446 moisture, caused by the increase in atmospheric instability possibly condensed on relatively a smaller number of
- 447 CCN particles promoting larger cloud droplets which enhanced rainfall. Moreover, the ratio of rainwater mixing
- 448 ratio to rain droplet number concentration gave the amount of rain water per rain droplet, or indirectly the rain
- 449 droplet size. The vertical profile of this ratio is shown in Figure 12, which shows higher values for 4NOR-I.



450

451 Figure 12: NE India region averaged vertical profiles of rain water mass per rain droplet

452 Collision is the primary mechanism of rain development in warm clouds (Lamb and Verlinde, 2011). Since rain 453 droplets are formed from the gathering of cloud droplets, the higher value for 4NOR-I indicated larger rain droplet 454 formation, possibly through better collisions among the cloud droplets, besides higher moisture availability. This 455 indicated that the increase in BC emissions didn't contribute to rainfall suppression through indirect aerosol effect 456 though there was an increase in CCN concentration, but rather counteracted the suppression of rainfall due to the 457 indirect effect of other aerosol species. The rainfall enhancement was due to an increase in moisture, contributed 458 by the transported fraction of BC, as explained in Sect. 3.4.2. Moreover, rainfall suppression was also more due 459 to transported aerosols, mainly contributed by indirect effect (Table 3). Also, among the non-absorbing aerosols, 460 sulfate aerosol is an important contributor to CCN and indirect effect (Kristjánsson, 2002). Its concentration was 461 found to be the highest among non-absorbing aerosols and most of its mass over NE India was found to be

462 transported (Sect. 3.1). Concentration profiles can be seen from Fig. S16. Hence, the response of rainfall over NE 463 India was checked by increasing (No EMISS NE 4SO<sub>2</sub>) and decreasing (No EMISS NE 0.25SO<sub>2</sub>) SO<sub>2</sub> 464 emissions outside NE India and compared against the baseline transported scenario (No\_EMISS\_NE) since sulfate 465 is mainly formed within the atmosphere by oxidation of SO<sub>2</sub> (Wang et al., 2021). Similar to the increase in BC 466 emissions, No\_EMISS\_NE\_4SO<sub>2</sub> caused an increase in column average CCN concentration to 3524 m<sup>-3</sup> compared 467 to 1753 m<sup>-3</sup> in No\_EMISS\_NE, while No\_EMISS\_NE\_0.25SO<sub>2</sub> showed a decrease (1390 m<sup>-3</sup>). However, contrary 468 to the BC, an increase in SO<sub>2</sub> emissions with No\_EMISS\_NE\_4SO<sub>2</sub> caused an increase in cloudwater mixing ratio 469 compared to No\_EMISS\_NE, as seen in Fig. 6, while its decrease also caused a decrease. Thus, 470 No EMISS NE 4SO<sub>2</sub> and No EMISS NE 0.25SO<sub>2</sub> had lower and higher rainwater mixing ratio, respectively, 471 compared to No\_EMISS\_NE. Consequently, No\_EMISS\_NE\_4SO<sub>2</sub> had higher rainfall suppression and gave 472 lesser rainfall (-22.23 mm) compared to No\_EMISS\_NE\_0.25SO<sub>2</sub>. Spatial distribution is shown in Fig. 10(b) 473 which show mainly negative change over the region. Thus, an increase in non-absorbing aerosol caused rainfall 474 suppression through indirect effect. The indirect effect was observed to be the dominant aerosol effect for 475 suppressing rainfall. However, with an increase in BC, suppression of rainfall due to direct and semi-direct effects 476 through surface processes (surface moisture flux, convection) and cloud evaporation as well as due to indirect 477 aerosol effect (atmospheric stability, surface moisture flux and cloud to rainwater conversion) becomes 478 comparatively weaker mechanisms than the direct effect of radiative heating by BC, enhancing rainfall through 479 the transport of moisture. However, the increase in transported SO<sub>2</sub> emissions also caused further suppression of 480 rainfall. Hence, an increase in transported aerosols of an absorbing aerosol (BC) and a non-absorbing aerosol 481 (sulfate), both being a contributor to CCN, exerted different impacts to indirect effect parameters and thus to 482 rainfall and hence most likely controls the enhancement and suppression of pre-monsoon rainfall over NE India, 483 thus counteracting each other. However, since decreasing rainfall trend has been observed, the impacts of the 484 indirect aerosol effect could be dominant. Here, the response of only one non-absorbing aerosol (sulfate) was 485 checked and possibly has contributions from other similar species also. Other non-absorbing aerosol species like 486 nitrate also contribute to indirect aerosol effect (Wang et al., 2010; Zaveri et al., 2021) which may contribute to 487 rainfall suppression as sulfate.

488 Moreover, the percentage of the simulation time different aerosol effects and BC emissions increased 489 (inc) or suppressed (dec) rainfall under different rainfall intensities (low: 0-5, medium: 5-10, high: > 10 mm day-490 <sup>1</sup>; defined as per (Raju et al., 2015)) and the rainfall amount under those intensities was estimated. Regional 491 average values are provided in Table S6 and S7. All aerosol effects caused a higher decrease across all rainfall 492 intensities, except the indirect effect, which indicated a higher increase in low-intensity rainfall (6.52 mm vs. -493 6.48 mm; 21.44 % vs. 20.58 %). High-intensity rain was primarily responsible for rainfall changes across all the 494 scenarios and effects. The indirect effect decreased high-intensity rainfall duration (18.85 vs. 12.38 %) and amount 495 (-399.41 mm vs. 141.62 mm) and was primarily responsible for the rainfall suppression in total aerosol effect (-496 411.34 mm). The total aerosol effect with enhanced BC emissions (4NOR-I – NOCHEM) showed a significantly 497 higher increase (275.47 mm vs. 137.16 mm) as well as a significantly lower decrease (-337.23 vs. -411.34) in 498 high-intensity rainfall compared to total aerosol effect with baseline BC emissions (NOR-I – NOCHEM). Similar 499 results in time and rainfall amount between BC increase and direct + semi-direct effect with BC increase scenarios 500 inferred that enhanced radiative effects due to BC increase were mainly responsible for higher high-intensity rainfall duration and rainfall amount, while the indirect aerosol effect was mainly involved in its suppression, 501

- 502 possibly due to the increased atmospheric stability associated with it. Barman and Gokhale (2022) also showed
- 503 similar results with BC emissions increase, but this study verifies the role of direct radiative effects of BC in it.
- 504 Thus, BC increased rainfall over NE India but in the form of high-intensity rainfall. Hence, relative fractions of
- 505 BC and the other aerosols contributing to indirect effect possibly decide the amount of rainfall and its intensity
- 506 over the region. However, indirect effect also caused high-intensity rainfall but with lesser amount than its
- 507 suppression and may be involved in catastrophic flood events at local scales (Wang et al., 2022).

#### 508 4 Conclusions

- 509 Transported aerosols, primarily from IGP, were found to be responsible for the bulk of the aerosol mass (93.98 510 %) over NE India while contributing 64.18 % of near-surface  $PM_{10}$  concentration, thus primarily responsible for 511 air pollution as climatic impacts over the region during pre-monsoon season. The climatic impacts, both w.r.t. RF 512 as well as rainfall, were dominated by the indirect aerosol effect. The impacts of the indirect aerosol effects of 513 transported aerosols were much higher in affecting radiation (-13.12 W m<sup>-2</sup> vs. -0.24 W m<sup>-2</sup> at the surface, 7.30 W 514 m<sup>-2</sup> vs. 0.97 W m<sup>-2</sup> in the atmosphere) as well as suppressing rainfall (-49.11 mm vs. -16.04 mm) compared to 515 local emissions. The greater surface dimming by transported aerosols caused a higher negative change in surface 516 moisture flux  $(-3.82 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1} \text{ vs}, 8.15 \times 10^{-8} \text{ kg m}^{-2} \text{ s}^{-1})$  as well as higher aerosol mass reduced cloudwater to 517 rainwater conversion, both of which contributed to higher rainfall suppression. Transported aerosols caused 518  $4.42 \times 10^{13}$  m<sup>-2</sup> higher cloud droplets than local emissions. The atmospheric instability due to the direct + semi-519 direct effect and indirect effect of transported aerosols were found to be contradictory and caused an increase and 520 decrease, respectively. The direct effect of transported aerosols, though also caused negative surface moisture flux 521 over NE India (-1.03×10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>), however, increased moisture over NE India, increasing moisture flux over 522 the Bay of Bengal. Further analysis showed that transported BC was more efficient in atmospheric heating over 523 NE India and together with the higher transported BC mass, an increase in its emissions caused higher atmospheric 524 instability over the region, which brought more moisture from the Bay of Bengal. The increased moisture further 525 contributed to higher instability. Hence, the rainfall suppression caused through the different atmospheric 526 processes by direct, semi-direct and indirect effects was reduced and nullified with the increase in BC emissions, 527 but the rainfall increase was mainly in the form of high-intensity rainfall. The increase in BC did not show a 528 positive change in cloudwater, though it contributed to CCN. The direct effect of BC thus overpowered the other 529 rainfall-suppressing processes. Indirect aerosol effect and radiative heating were the main rainfall-controlling 530 factors. Hence, changes in emissions of aerosols or chemical species contributing to these processes will possibly 531 contribute to rainfall suppression and enhancement over NE India. Moreover, rainfall simulated with transported 532 aerosols were found to be more similar to the IMD observation datasets as well as the baseline emission scenario, 533 indicating its possible greater influence in the real-world scenario. 534 The study shows that the atmospheric transport of emissions from IGP to NE India has a significant 535 impact on NE India's rainfall during pre-monsoon and the impacts are even greater than the emissions within the
- 536 NE India region.

537 **Data availability.** Model outputs are available upon request.

538 **Author contributions.** NB - conceptualization, methodology, model simulation, visualisation, manuscript 539 writing, SG - conceptualization, methodology and supervision, manuscript review and editing.

540 **Competing interests.** The authors declare that they have no conflict of interest.

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