# Transported aerosols regulate the pre-monsoon atmosphererainfall over North-East India: a WRF-Chem modelling study

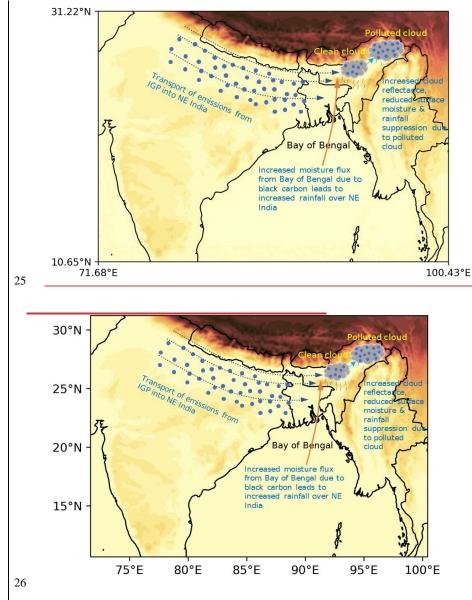
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7 Abstract. The study differentiates and quantifies the impacts of aerosols emitted locally within North-East (NE) 8 India region and those transported from outside this region to ascertain whether local or transported aerosols are 9 more impactful in influencing this region's atmosphererainfall during the pre-monsoon season (March-April-10 May). Due to the existence of a declining pre-monsoon rainfall trend in NE India, the study also quantified the 11 role of different aerosol effects w.r.ton radiative forcing (RF) and rainfall. The study has been carried out using 12 the WRF-Chem model by comparing simulation scenarios where emissions were turned on and off within and 13 outside the NE region. The impact of all emissions as a whole and Black carbon (BC) specifically was studied. 14 Results show that aerosols transported primarily from the Indo-Gangetic Plain (IGP) were responsible for 93.98 15 % of the PM10 mass over NE India's atmosphere and 64.18 % of near-surface PM10 concentration. Transported 16 aerosols contributed >50 % of BC, organic carbon, sulfate, nitrate, ammonium and dust aerosol concentration and 17 hence a major contributor to air pollution. Hence, the aerosol effects were observed to be much greater with 18 transported aerosols. Indirect aerosol effect was found to be the major effect and more impactful with transported 19 aerosols that dominated both rainfall and RF, and suppressed rainfall significantly than the direct and semi-direct 20 effect. However, the increase in direct radiative effects with an increase in transported BC counteracted the rainfall 21 suppression caused by relevant processes of other aerosol effects. Thus, this study shows atmospheric transport 22 to be an important process for this region as transported emissions, specifically from IGP were also found to have 23 greater control over the region's rainfall. Thus, emission control policies implemented in IGP will reduce air 24 pollution as well as the climatic impacts of aerosols over the NE India region.



#### 27 1 Introduction

Aerosols regulate the Earth's energy budget and hydrological cycle through scattering and absorption of solar radiation and acting as sites for the formation of cloud droplets, which leads to its varied effects, viz. direct, semidirect and indirect effects (Mitchell, 1971; Rosenfeld, 2012; Menon et al., 2002). The effects differ spatially depending on the constituents of aerosols, their physical and chemical properties as well as the quantity. Among

32 these factors, atmospheric transport also plays an important role which extends the climatic impacts to the 33 transported region from the source region (Lee et al., 2022). The IGP is a global hotspot of diverse aerosols (Ojha 34 et al., 2020; Kumar et al., 2018) that impacts regional and global climate (Ramanathan et al., 2005; Tripathi et al., 35 2005; Sarangi et al., 2015). Air masses transport aerosols from the IGP to nearby regions, which also impact air 36 quality (Bhat et al., 2022; Ojha et al., 2012). Bonasoni et al. (2010) showed that pollutants from the IGP follow 37 the southern slope of the Himalayas as a path into the Bay of Bengal and NE India and similar observations were 38 made by Gogoi et al. (2017). The condition becomes more critical in the pre-monsoon season when the westerlies 39 directly transport air pollutants from the IGP to NE India. Among the aerosols, BC is a high climate-influencing 40 aerosol component due to its strong absorption capability (Bond et al., 2013; Nenes et al., 2002; Koch and Del 41 Genio, 2010) and IGP is the largest source region of it in India (Rana et al., 2019). Several studies (Guha et al., 42 2015; Sarkar et al., 2019; Chatterjee et al., 2010) found BC, among other aerosols measured at sites in NE India 43 to be transported from the IGP. Moreover, in the NE India region, an increase in BC emissions was observed 44 along with high BC concentrations near the surface level (Barman and Gokhale, 2019; Chaudhury et al., 2022; 45 Singh and Gokhale, 2021). Tiwari et al. (2016) observed maximum BC concentration during this season in this 46 region along with the highest surface RF. The region also observes the highest atmospheric heating and highest 47 aerosol optical depth with an increasing trend during this period (Nair et al., 2017; Dahutia et al., 2018; Dahutia 48 et al., 2019; Gogoi et al., 2017; Pathak et al., 2010; Pathak et al., 2016). The presence of high aerosol loading 49 along with high atmospheric heating is likely to have varied aerosol effects over the region and may also have an important role to play with the rainfall. Mondal et al. (2018) showed a decreasing trend of pre-monsoon rainfall 50 51 in this biodiversity hotspot region. Few modelling studies (Kant et al., 2021; Kedia et al., 2016; Kedia et al., 2019) 52 are available that studied the aerosol effect on rainfall over India. However, only Soni et al. (2017) and Barman 53 and Gokhale (2022) studied the BC effect on pre-monsoon rainfall in this region but without the inclusion of 54 aerosol indirect effect. Both studies found BC to increase total rainfall but Barman and Gokhale (2022) also found semi-direct effect to be a rainfall suppression mechanism by evaporating clouds between 1 to 2 km above ground 55 56 level.

57 However, a few questions remained to be answered. How much is the contribution of transported aerosols 58 to air pollution and climatic effects compared to those emitted within NE India region? What is the role of different 59 aerosol effects on the rainfall mechanisms? Thus, this study was carried out with the following objectives (a) 60 Compare the contributions of local and transported aerosols to air pollution and different climatic effects over NE 61 India (b) Quantify the role of different aerosol effects on the climatic effects (c) Investigate the role of BC emitted 62 within NE India and transported BC in such climatic effects. Here, transported aerosols include the transported 63 primary aerosols emitted from outside NE India as well as the secondary aerosols formed from the transported 64 emissions. Same goes for local emissions. Through qualitative and quantitative comparison of the impacts of local 65 and transported aerosols, the study tries to find the source region of aerosols that has a greater impact on the 66 atmosphere over NE India during the pre-monsoon season. Since observational studies cannot distinguish between 67 the local and transported aerosols impacts, the study was carried out with numerical modelling. The effect of 68 transported aerosols on different regions of the world has been studied (Krishnamohan et al., 2021; Wang et al., 69 2020; Bagtasa et al., 2019) but none of them covered the IGP and its impact on the nearby region.

70 2 Methods

71 The study used the WRF-Chem v4.2.1 model (Grell et al., 2005). The model configuration, modelling domain,

72 model inputs and simulation period is similar to the one used in Barman and Gokhale (2022), and details regarding

73 the same is provided in that study. Details regarding physical and chemical parametrization schemes and as well

74 the emissions are provided in Table 1.

#### 75 Table 1: Details of physical parametrizations, chemical parametrizations and emissions

Physical parametrizations	
Planetary boundary layer	MYNN3 (Nakanishi and Niino, 2006)
Radiation	RRTMG (Iacono et al., 2008)
Land surface model	NOAH (Tewari et al., 2004)
Cumulus scheme	Grell-Freitas (Grell and Freitas, 2014)
Microphysics	Morrison (Morrison et al., 2009)
Meteorology initial and boundary conditions	ERA5 (Hersbach et al., 2020)
<b><u>Chemical parametrizations and emissions</u></b>	
Chemistry scheme	MOZART (Emmons et al., 2010)
Aerosol scheme	MOSAIC (Zaveri et al., 2008)
Chemistry initial and boundary conditions	CAM-Chem (Lamarque et al., 2012)
Anthropogenic emissions	CAMS emission inventory (Granier et al., 2019)
Fire emissions	FINN (Wiedinmyer et al., 2010)
Dust emissions	Online model (Zhao et al., 2010)
Biogenic emissions	MEGAN v2.04 (Guenther et al., 2006)

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The model was run<u>at 10 km grid size</u> for a duration of 13 days from 7-19 April 2018, out of which a 3-4 78 day period from 7-9 April 2018 was discarded as spin-up and outputs from 10-19\_April 2018 were used for 79 analysis. The period represents the mid of pre-monsoon season. Also, April 2018 was Indian Ocean Dipole and 80 ENSO neutral period and hence suitable for study of aerosol effects. The model domain is shown in Fig. 1(a) 81 which extends from 10.65° N to 31.22° N and 71.68° E to 100.43° E, and the NE India is the part of India within 82 the region bounded by the blue box. The region within the box is bounded by 22° N and 29° N latitudes and 89° 83 E and 97° E longitudes. The climatic situation during the study period was also described in Barman and Gokhale 84 (2022). The near surface wind flow was from the Bay of Bengal towards NE India, which gradually changed to 85 westerly wind flow carrying aerosols from IGP-towards NE India. Hence the domain was selected by keeping the 86 NE India region near the upper-right corner of the domain. Descriptions of the simulations are provided in Table 87 <u>2</u>4.

#### 88 Table\_42: Description of simulations

	Simulation name	Description of simulations
1.	NOR-I	Baseline simulation with all aerosol effects
2.	NOFEED-I	Same as NOR-I but with aerosol radiative effects turned off
3.	NOCHEM	Simulation with no atmospheric chemistry and aerosol effects
4.	No_EMISS_NE	Same as NOR-I but with emissions turned on only outside NE India

5.	Only_EMISS_NE	Same as NOR-I but with emissions turned on only within NE India
6.	No_EMISS_NE_4SO <sub>2</sub>	Same as No_EMISS_NE but with 4×SO <sub>2</sub> emissions
7.	No_EMISS_NE_0.25SO <sub>2</sub>	Same as No_EMISS_NE but with 0.25×SO <sub>2</sub> emissions
8.	No_EMISS_NE_NOFEED	Same as No_EMISS_NE but with aerosol radiative effects turned off
9.	Only_EMISS_NE_NOFEED	Same as Only_EMISS_NE but with aerosol radiative effects turned off
10.	No_NE_BCI	Same as NOR-I but with BC emissions turned on only outside NE India
11.	Only_NE_BCI	Same as NOR-I but with BC emissions turned on only within NE India
12.	4NOR-I	Same as NOR-I but with 4×BC emissions
13.	No_BC_ABS	Same as NOR-I but with BC absorption disabled
14.	NOR	Baseline simulation with only direct and semi-direct effect
15.	2NOR	Same as NOR but with 2×BC emissions
16.	No_NE_BC	Same as NOR but with BC emissions within NE India region turned off
17.	No_NE_2×BC	Same as No_NE_BC but with 2×BC emissions outside NE India
18.	Only_NE_BC	Same as NOR but with BC emissions turned off outside NE India
19.	Only_NE_2×BC	Same as Only_NE_BC but with 2×BC emissions inside NE India
20.	NOFEED	Same as NOR but with aerosol radiative effects off

90 All the simulations were conducted with the MOZART-MOSAIC chemistry-scheme, except simulation 3, which 91 was purely a meteorology simulation and did not include any atmospheric chemistry and aerosol effects. 92 Moreover, simulations 1 to 13 (except 3), were conducted with the version of MOZART-MOSAIC scheme which 93 also supports indirect aerosol effect by coupling with the Morrison microphysics scheme along with direct and 94 semi-direct effect, while simulations 14 to 20 did not include indirect effect. The NOR simulation which was used 95 in Barman and Gokhale (2022), wasis also used in this study. NOR-I is also the baseline simulation run with the 96 same baseline emissions for the study period as NOR, but also includes indirect aerosol effect. No\_EMISS\_NE 97 had all emissions (biogenic, anthropogenic and dust) disabled within the region bounded by 22° N and 29° N 98 latitudes and 89° E and 97° E longitudes, shown by the blue box in Figure 1(a) while No\_NE\_BC and No\_NE\_BCI 99 only had BC emissions disabled within the same region. Only\_EMISS\_NE had all emissions disabled outside of 100 the above region along with boundary conditions for all chemical species modified to zero to nullify the transport 101 of emissions from outside the domain and similarly, Only\_NE\_BC and Only\_NE\_BCI had BC emissions disabled 102 outside the NE India region with boundary conditions for BC modified to zero. Remaining simulations can be 103 understood from Table 2 and their applications are is better understood from the results and discussion in Sect 3. 104 As per Ghan et al. (2012) and Bauer and Menon (2012), the total aerosol effect is the algebraic sum of 4 105 direct, indirect and semi-direct effects. Similar approaches were used by Yang et al. (2011). Thus, 106 NOR-I - NOCHEM = Total aerosol effect = Direct + Semi-direct + Indirect, (1) 107 Both NOFEED-I and NOR-I includes indirect effect but NOFEED-I does not include aerosol radiative effects. 108 Thus. 109 NOR-I - NOFEED-I = Direct + Semi-direct effect, (2)

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111 NOFEED-I – NOCHEM = Indirect effect,

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3 Results and discussion

112 Similar approaches were used by Wang et al. (2015).

No\_EMISS\_NE had all emissions (biogenic, anthropogenic and dust) disabled within the NE India region bounded by 22° N and 29° N latitudes and 89° E and 97° E longitudes, shown by the blue box in Fig. 1(a). Similarly, Only\_EMISS\_NE had all emissions disabled outside of the above region along with boundary conditions for all chemical species modified to zero to nullify the transport of emissions from outside the domain. Simulations 14 to 20 were specifically used to understand the contribution of local and transported BC emissions as well the response of emission increase on radiative heating, atmospheric dynamics and moisture without the interference of indirect aerosol effect.

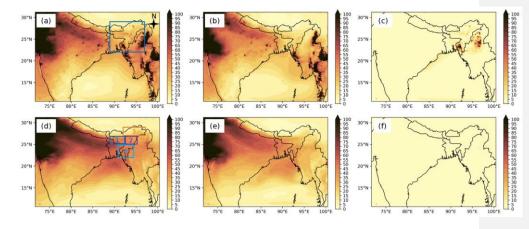
120 The NOR simulation utilised in this study was evaluated in Barman and Gokhale (2022). Moreover, 121 meteorological evaluation of NOR-I w.r.t wind direction, wind speed, temperature and humidity was carried out 122 against surface station datasets (https://mesonet.agron.iastate.edu/sites/locate.php) at Guwahati (26.10 °N, 91.58 123 °E), Kolkata (22.65 °N, 88.45 °E), Bangalore (13.20 °N, 77.70 °E), Patna (25.59 °N, 85.08 °E), Delhi (28.56 °N, 124 77.11 °E) and Mumbai (19.10 °N, 72.86 °E). Simulated rainfall was evaluated against the Indian Meteorological 125 Department (IMD) rainfall dataset of Pai al. (2014)et 126 (https://www.imdpune.gov.in/Clim\_Pred\_LRF\_New/Grided\_Data\_Download.html). Index of agreement (IOA), 127 root mean square error (RMSE) and mean error (ME) were used as statistical parameters. As per the criteria of 128 Emery et al. (2001), the NOR-I simulation underpredicted temperature but showed good performance with wind 129 speed and wind direction but had large RMSE with wind direction, similar to the NOR simulation. Performance 130 statistics are provided in Table S1. Moreover, NOR and NOR-I simulated chemical species (BC, organic carbon, 131 dust and sulfate aerosol) were compared against the MERRA2 dataset 132 (https://disc.gsfc.nasa.gov/datasets/M2T1NXAER\_5.12.4/summary) at the above locations. Performance 133 statistics are shown in Table S2. NOR gave a much better estimation of all the chemical species at all locations. 134 Moreover, the predicted chemical species of nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>), sulfur dioxide (SO<sub>2</sub>), 135 PM2.5 and PM10 were compared against in-situ observations at Delhi (28.56 °N, 77.11 °E), Kanpur (26.57 °N, 136 80.32 °E), Patna (25.61 °N, 85.13 °E) and Siliguri (26.69 °N, 88.41 °E), obtained from Central Pollution Control 137 Board, India (https://app.cpcbccr.com/ccr/#/caaqm-dashboard-all/caaqm-landing/caaqm-data-availability). These 138 locations are located along the IGP. Performance statistics are given in Table S3. The performance statistics were 139 better with both particulate matter than gaseous species. Comparatively the performance was better with 140 MERRA2. The relatively lower performance with in-situ comparison may be due to the grid size as in-situ 141 observations are affected by local emission sources as well the deficiencies in emission inventory. However, the 142 inclusion of all aerosol effects greatly improved simulated rainfall performance with NE India regional average 143 IOA: 0.52, ME: 3.72 mm day-1, RMSE: 13.55 mm day-1 compared to only considering direct + semi-direct effect 144 (IOA: 0.40, ME: 9.22 mm day-1, RMSE: 21.26 mm day-1) in Barman and Gokhale (2022). The improvement in 145 performance and decrease in ME show that indirect effect played a major role during this period in controlling 146 and suppressing rainfall.

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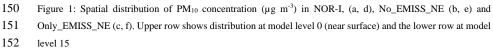
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## 148 **3.1 PM**<sub>10</sub> spatial and <u>verticalatmospheric</u> distribution

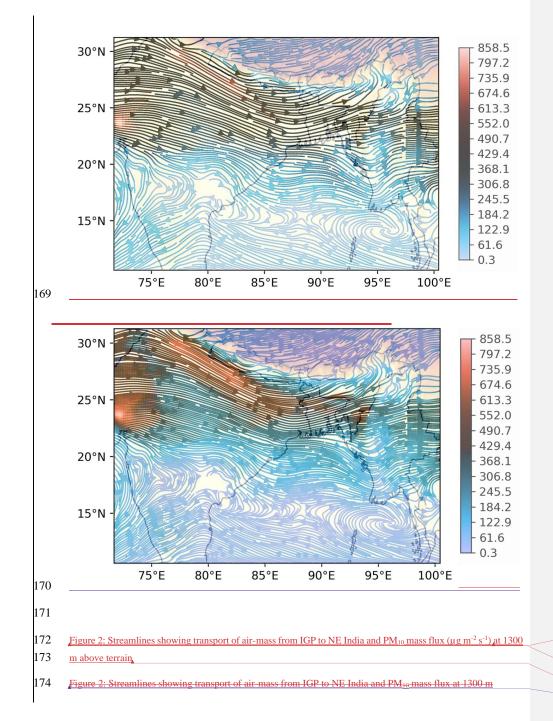






153	Figure 1 shows the time-averaged spatial distribution of PM <sub>10</sub> concentration. The NE India region was divided
154	into four regions based on the proximity from the IGP, shown in Fig. 1(d). Region 1 and region 2 fall along the
155	Brahmaputra River Valley, with region 1 being closest to IGP. Region 3 is mostly a mountainous region-and 4
156	Region 4-is the southern region closer to the Bay of Bengal. The spatial distribution of geopotential heights of
157	model level 0 and 15 are shown in Fig. S1, while region-wise (Fig. 1(d)) concentration values within NE India at
158	the two atmospheric heights are shown in Table S $\frac{34}{2}$ . PM <sub>10</sub> concentration contours shown in Fig. 1(a), 1(b), 1(d)
159	and 1(e), emanating from IGP and spreading into NE India indicated the transport of aerosols from IGP into NE
160	India. The similarity of these spatial distributions of No_EMISS_NE to the baseline scenario, NOR-I, especially
161	within NE India region inferred that most of the aerosol mass within NE India was contributed by transported
162	aerosols, while PM <sub>10</sub> emitted or formed over NE India remained mainly confined within the region as shown in
163	Fig. 1(c), possibly due to the mountainous terrain, as also described in Kundu et al. (2018). The transport of PM <sub>10</sub>
164	can also be seen from Fig. 2, in which the streamline's arrow from IGP to NE India show the transport of air-mass
165	and the colour of the streamlines show the PM10 mass flux in µg m <sup>-2</sup> s <sup>-1</sup> . The flux was higher over IGP. The
166	transport of PM10 can also be seen from Fig. 2, in which the streamlines from IGP to NE India show the transport
167	<u>of air-mass and the colour of the streamlines show the PM<sub>10</sub> mass flux in <math>\mu</math>g m<sup>2</sup>-s<sup>4</sup>. The flux is higher over IGP.</u>

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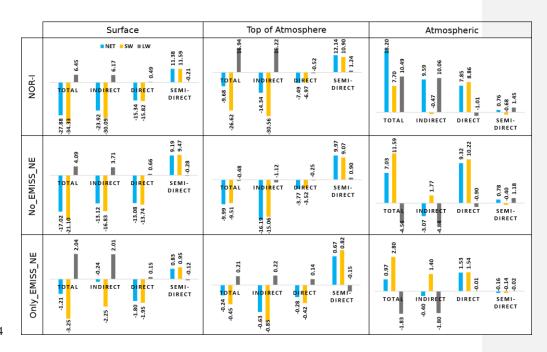
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175 Both near the surface and at higher atmosphere (level 15), No\_EMISS\_NE showed a higher regional average 176 concentration (surface: 14.46 µg m<sup>-3</sup>, higher atmosphere: 24.43 µg m<sup>-3</sup>) which was closer to the baseline scenario 177 of NOR-I (surface: 27.43 µg m<sup>-3</sup>, higher atmosphere: 34.13 µg m<sup>-3</sup>) compared to the local emission scenario of 178 Only\_EMISS\_NE (surface: 8.07 µg m<sup>-3</sup>, higher atmosphere: 0.98 µg m<sup>-3</sup>). Thus, transported aerosols contributed 179 higher PM<sub>10</sub> concentration (64.18 %) than local emission and contribution from local emissions were negligible 180 at higher atmosphere, as also seen in Fig. 1(f) and 96.14 % of it was contributed by transported aerosols. The 181 higher concentration at higher atmosphere was due to transported aerosols developing an elevated PM<sub>10</sub> profile 182 (Fig. S2) having maximum concentration near 2000 m and which shows much greater similarity with the baseline 183 scenario. The long range transport and strong convective active during this season is responsible for the elevated 184 profile (Pathak et al., (2016)). Hence, transported aerosols contributed to bulk of the aerosols over NE India 185 throughout the atmospheric column (93.98 %) indicated by the column integrated PM<sub>10</sub> mass of 313.97 g m<sup>-2</sup> 186 (No\_EMISS\_NE) and 20.08 g m<sup>-2</sup> (Only\_EMISS\_NE). NOR-I had column integrated PM<sub>10</sub> mass of 466.63 g m<sup>-</sup> 187 <sup>2</sup>. Further analysis indicated that transported aerosols accounted for >50 % of BC, organic carbon, sulfate, nitrate, 188 ammonium and dust aerosol mass over NE India's atmosphere as the column integrated mass for these species in 189 No\_EMISS\_NE were 4.55, 19.59, 51.66, 2.20, 13.74 and 207.82 g m<sup>-2</sup>, respectively, while it was 0.94, 6.51, 1.79, 190 0.12, 0.56 and 6.60 g m<sup>-2</sup>, respectively in Only\_EMISS\_NE. The spatial distribution of column integrated mass 191 of these species can be seen in Figures S3, S4, S5, S6, S7 and S8. - Regions 1, being in close proximity to IGP, as 192 seen in Fig. 1(c)), received, received maximum near surface aerosol mass (73.70 %) from transported aerosols, 193 compared to the other regions, followed by region 2 (66.86 %), 3 (60.48 %) and 4 (57.43 %). However, even 194 though No\_EMISS\_NE and Only\_EMISS\_NE is the bifurcation of NOR-I into two separate emission regions, 195 the sum of No\_EMISS\_NE and Only\_EMISS\_NE column integrated mass as well as concentrations didn't equate 196 to NOR-I values and is always less than it. This indicated formation of extra aerosol mass due to interaction of 197 emissions of the two regions.

#### 198 3.2 Aerosol effects of local and transported aerosols on radiative forcing

RF due to different aerosol effects was estimated based on the methodology described in Sect. 2. Further detailsregarding its estimation are provided in the supplementary.

201 The baseline scenario indicated that direct and indirect aerosol effects caused net (NET) surface and top 202 of the atmosphere (TOA) dimming while causing atmospheric heating, as seen in Fig. 3. This is due to the presence 203 of aerosols that scatter and absorb solar radiation, reducing it at the surface while increasing it at the top of the 204 atmosphere as well as causing atmospheric heating. Net direct surface, TOA and atmospheric RF were -15.34, -205 7.49 and 7.85 Wm<sup>-2</sup> and was mainly contributed by short-wave (SW) radiation. Indirect effect had the same effect 206 on solar radiation as the direct effect and was due to the formation of numerous smaller cloud droplets which has 207 better reflectivity to solar radiation, also known as the 1st indirect effect or Twomey effect (Twomey, 1977), and 208 However, positive atmospheric RF (18.20 W m<sup>-2</sup>) causing atmospheric heating (10.06 W m<sup>-2</sup>) was mainly caused 209 by long-wave (LW) radiation (16.22 W m<sup>-2</sup>) at the TOA contributed by indirect effect. This was due to greater 210 cloud cover (Fig. S23) at 8 - 10 km which is not seen in the other two scenarios. The indirect effect also caused 211 warming at the surface (6.17 W m<sup>-2</sup>), as its contributed to greater cloud cover (Nandan et al., 2022) and 212 caused eausing heating of the surface through LW radiation. The total net surface RF was -27.88 W m<sup>-2</sup> out of 213 which -23.92 W m<sup>-2</sup> or 85.80% was contributed



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Figure 23: NE India regional average RF (W m<sup>-2</sup>) due to different aerosol effects at NET, SW and LW wavelengths
 in different emission scenarios

217 by indirect forcing. Indirect SW forcing (-30.08 W m<sup>-2</sup>) was almost twice the direct SW forcing (-15.82 W m<sup>-2</sup>), 218 while semi-direct SW forcing (+11.58 W m<sup>-2</sup>) was ~75% of the direct forcing. Semi-direct effect showed positive 219 surface RF due to cloud cover reduction. Thus, atmospheric heating and the subsequent evaporation of clouds 220 compensated to a large extent the reduction in solar radiation due to aerosols. The atmospheric RF (0.76 W m<sup>-2</sup>) 221 due to semi-direct effect was due to LW radiation, which may be due to increased solar radiation at the surface, 222 which released the heat into the atmosphere in the form of LW radiation. However, this value was very small. The 223 indirect RF contributed most to the total surface, TOA and atmospheric RF at both SW and LW wavelengths and 224 hence was found to be the dominant aerosol effect affecting radiation over NE India.

225 Quantitatively, No\_EMISS\_NE provided RF values (surface: -17.02 W m<sup>-2</sup>, TOA: -9.99 W m<sup>-2</sup> and 226 atmospheric RF: 7.03 W m<sup>-2</sup>) that were much similar and closer to the baseline scenario (surface: -27.88 W m<sup>-2</sup>, 227 TOA: -9.68 W m<sup>-2</sup> and atmospheric RF: 18.20 W m<sup>-2</sup>) than Only\_EMISS\_NE (surface: -1.21 W m<sup>-2</sup>, TOA: -0.24 228 W m<sup>-2</sup> and atmospheric RF: 0.97 W m<sup>-2</sup>). Consequently, the No\_EMISS\_NE net indirect, direct and semi-direct 229 surface RF values of -13.12, -13.08 and 9.19 W m<sup>-2</sup> were significantly larger than the corresponding 230 Only\_EMISS\_NE RF values of -0.24, -1.80 and 0.83 W m<sup>-2</sup>. A similar conclusion could be inferred at TOA also. 231 Hence transported aerosols were primarily responsible for all the different aerosol effects on radiation over NE 232 India as a greater amount of aerosol mass was contributed by it. Moreover, No\_EMISS\_NE net direct atmospheric 233 RF (9.32 W m<sup>-2</sup>) was found to be even higher than the baseline scenario (7.85 W m<sup>-2</sup>). This indicated that the NE 234 India region contained more scattering aerosols while transported aerosols contained more absorbing aerosols as

235 the difference in the direct atmospheric RF is mainly driven by changes in the TOA RF (-7.49 vs. -3.77 W m<sup>-2</sup>)

236 than surface RF (-15.34 vs. -13.08 W m<sup>-2</sup>). Region 1 had the highest direct and semi-direct net surface RF of -

237 20.41 W m<sup>-2</sup> and 19.20 W m<sup>-2</sup>, respectively due to its close proximity to IGP.

238 3.3 Aerosol effects of local and transported aerosols on rainfall

239 Table\_23: Changes in rainfall due to different aerosol effects in different scenarios (mm)

	Total aerosol effect	Direct + semi-direct	<b>Indirect</b>
NOR-I	-275.13	<u>-17.04</u>	-258.09
No_EMISS_NE	-73.06	-23.95	<u>-49.11</u>
Only_EMISS_NE	-24.45	<u>-8.42</u>	-16.04

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	Total aerosol effect	Direct + semi-direct	Indir 4qt
NOR-I	<del>-275.13</del>	<del>-17.04</del>	-258.09
No_EMISS_NE	<del>-73.06</del>	- <u>23.95</u>	-49.11
Only_EMISS_NE	- <u>24.45</u>	- <del>8.42</del>	- <del>16.04</del> 243

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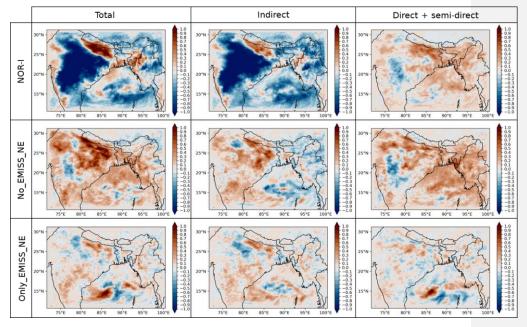
244 The quantitative changes in regional average rainfall amounts over NE India due to the different aerosol effects 245 induced by the aerosols in different scenarios are provided in Table 23. Region-wise values can be read from 246 247 Table S45. Rainfall from region 4 was not considered due to large errors being associated with it (Fig. S410). In the baseline scenario (NOR-I), the total aerosol effect caused rainfall suppression in all three regions, with a 248 regional total of -275.13 mm, shown in Table 23. Reductions in rainfall due to the total aerosol effect was 249 contributed by suppressions due to both direct + semi-direct and indirect effect and was observed in all the 250 considered regions. The highest suppression was observed in region 3 (-102.60 mm), followed by region 1 (-251 100.60 mm). The role of direct + semi-direct effect was observed to be minimal with a total regional suppression 252 of -17.04 mm while the indirect effect (-258.09 mm) was responsible for almost the whole of the suppression of 253 -275.13 mm. Region 1 observed the highest suppression of -13.21 mm due to direct + semi-direct effect as this 254 region's radiation was highest impacted by these effects.

255 Direct effect could suppress rainfall by reducing surface evaporation and convection through surface 256 dimming while semi-direct by evaporation of clouds (Talukdar et al., 2019; Lohmann and Feichter, 2001; Habib 257 et al., 2006; Bollasina et al., 2011; Koch and Del Genio, 2010b). However, the surface dimming by indirect effect 258 (-23.92 W m<sup>-2</sup>) with NOR-I was much larger than the combined direct + semi-direct effect (-3.96 W m<sup>-2</sup>). Hence 259 the reduction in surface moisture flux due to indirect effect (-6.45 $\times 10^{-6}$  kg m<sup>-2</sup> s<sup>-1</sup>) was much greater than due to 260 combined direct + semi-direct effect (-1.1×10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>) and much similar to the reduction due to total aerosol 261 effect (-7.56×10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>). This was also observed in the case of No\_EMISS\_NE. The greater surface dimming 262 of -17.02 W m<sup>-2</sup> in No\_EMISS\_NE caused a much higher negative surface moisture flux change of -3.82×10<sup>-6</sup> kg 263 m<sup>-2</sup> s<sup>-1</sup> due to total aerosol effect, mostly contributed by indirect effect (-2.79×10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>) compared to direct 264 + semi-direct effect (-1.03×10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>). Hence, indirect effect in NOR-I and No\_EMISS\_NE dominated 265 moisture reduction through reduction in surface moisture flux over most areas of NE India at both low and high-266 terrain regions, as seen in Fig. 34.

269

However, direct + semi-direct effect caused an increase of moisture in NOR-I and No\_EMISS\_NE over most of NE India in spite of a negative surface moisture flux not observed in Only\_EMISS\_NE. This indicated that direct + semi-direct caused an increase in the transport of moisture from another region, in this case from Bay of Bengal. The equivalent potential temperature (EPT) profiles in Fig. 45 compared the atmospheric stability due to

270 271

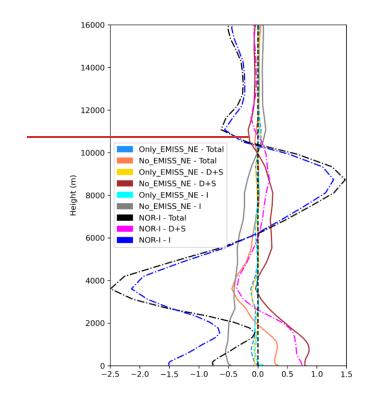


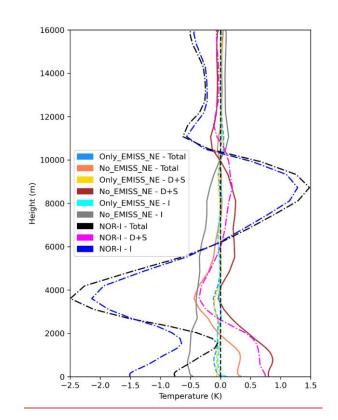
272

Figure 34: Spatial distribution of change in near-surface water vapor mixing ratio (g kg<sup>-1</sup>) due to total aerosol
 effect, direct + semi-direct effect and indirect effect

275 different aerosol effects. The greater surface dimming due to the indirect effect in No\_EMISS\_NE caused not 276 only negative surface moisture flux but also a significant increase in atmospheric stability (indicated by increasing 277 value of indirect effect EPT profile with height), reducing convection, which possibly also contributed reduction 278 to rainfall suppression. However, although the direct + semi-direct EPT profile showed increased atmospheric 279 stability below 1 km, but created an overall unstable atmosphere in the lower atmosphere. This instability, 280 primarily caused due to atmospheric heating of BC, created an unstable region over NE India which facilitated 281 the increased transport of moisture from the Bay of Bengal (discussed later). Hence, though the direct effect 282 reduces rainfall by reducing surface moisture flux and convection but also possibly enhances it by transporting 283 moisture. This transported moisture possibly compensated to some extent the rainfall reduction due to a decrease 284 in surface moisture flux, convection and cloud evaporation caused by direct and semi-direct effects. Hence, the 285 rainfall reduction due to direct + semi-direct effect (-17.04 mm) was possibly significantly less than the indirect 286 effect (-258.09 mm). Thus, the effect of direct and indirect effects on dynamics was distinctly different. The EPT 287 profile of the total aerosol effect in No\_EMISS\_NE showed an unstable lower atmosphere, supporting moisture 288 transport. Similar explanation could be given for moisture increase due to direct + semi-direct in NOR-I but the

289 increase in atmospheric stability and moisture reduction due to greater surface dimming by its indirect effect was 290 significantly larger, which created an overall stable atmosphere due to total aerosol effect in NOR-I. The EPT 291 profiles of Only\_EMISS\_NE showed almost zero perturbation throughout the atmosphere and hence was unable 292 to affect atmospheric stability and cause moisture transport. Thus, the direct + semi-direct effect in 293 Only\_EMISS\_NE did not show significant moisture change in Fig. 34. Moreover, the significantly smaller surface 294 dimming (-1.21 W m<sup>-2</sup>) in Only\_EMISS\_NE caused very small but positive change of 8.15×10<sup>-8</sup> kg m<sup>-2</sup> s<sup>-1</sup> due to 295 the total aerosol effect and hence similar moisture change is observed in Fig. <u>34</u>. Hence aerosols emitted solely 296 from NE India had negligible capability in affecting moisture through different aerosol effects. Moisture reduction 297 over NE India was much greater due to the indirect effect in No\_EMISS\_NE compared to Only\_EMISS\_NE, 298 while moisture increase was much greater in No\_EMISS\_NE compared to Only\_EMISS\_NE due to a higher 299 direct + semi-direct effect.

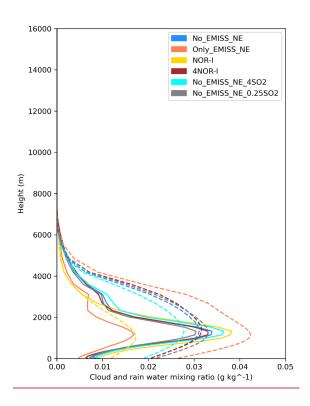


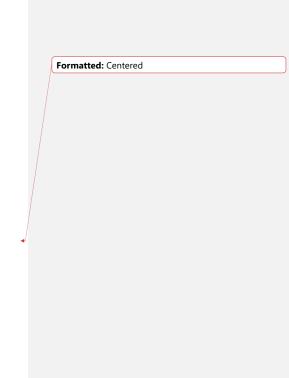


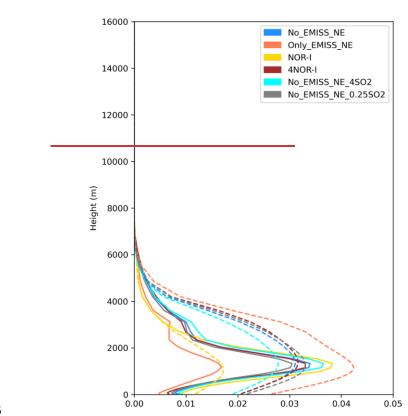
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 Figure 45: Perturbation of EPT (K) due to total aerosol effect (Total), direct + semi-direct (D+S) and indirect (I) aerosol effect

 303
 in No\_EMISS\_NE (non-dashed), Only\_EMISS\_NE (dashed) and NOR-I (dashdot)

304 Moreover, the positive NE India regional average difference of column integrated cloud condensation 305 nuclei (CCN) number (4.38×10<sup>10</sup> m<sup>-2</sup>), cloud droplet number (4.42×10<sup>13</sup> m<sup>-2</sup>) and cloudwater (27.93 g m<sup>-2</sup>), and 306 estimated from No\_EMISS\_NE - Only\_EMISS\_NE indicated that transported aerosols had a greater impact 307 through aerosol indirect effect (Zhang et al., 2010). The presence of larger aerosol amounts in the form of CCN 308 affects the cloud lifetime by affecting the conversion from cloudwater to rainwater, thus, to rainfall, thereby 309 suppressing rainfall, also known as the 2<sup>nd</sup> indirect effect (Shiogama et al., 2010; Cherian et al., 2017). The 310 presence of a large amount of CCN facilitates condensation of water vapor on numerous CCN particles, producing 311 numerous cloud droplets with smaller radii. This restricts small cloud droplets to grow in size due to reduction in 312 interaction with other cloud droplets which affect its conversion to rain droplet, and thus to rainfall. Due to more 313 aerosol mass over NE India (Sect. 3.1), NOR-I and No\_EMISS\_NE had significantly higher cloudwater compared 314 to







316

Figure 56: NE India regional average vertical profiles of cloudwater mixing ratio (non-dashed) and rainwater mixing ratio
 (dashed) in different scenarios (g kg<sup>-1</sup>)

319 Only\_EMISS\_NE, as seen in Fig. 56. Consequently, NOR-I and No\_EMISS\_NE had a significantly lower 320 rainwater mixing ratio than Only\_EMISS\_NE. Thus, rainfall suppression due to indirect effect was highest in 321 NOR-I, followed by No\_EMISS\_NE and Only\_EMISS\_NE. Hence, the combined effect of reduction in moisture, 322 instability and rainfall formation contributed to the reduction in rainfall through indirect and total aerosol effects. 323 This could be a possible key mechanism associated with the decreasing rainfall trend in the region. Reduction of 324 moisture due to the direct effect of aerosols and evaporation of clouds by BC were found to be possible 325 mechanisms by Barman and Gokhale (2022). However, this study shows that the contribution of direct and semi-326 direct effects was very small compared to the indirect effect. The indirect effect has been found to be the dominant 327 aerosol effect in many studies (Wang et al., 2015; Liu et al., 2016) and was found to suppress monsoon rainfall 328 over India (Manoj et al., 2012). Aerosol indirect effect is mainly dictated by the warm clouds (Christensen et al., 329 2016). Thus, the higher cloud cover associated with NOR-I and No\_EMISS\_NE in lower atmosphere which 330 affected SW radiation more in Sect. 3.2, was due to a greater amount of cloudwater in lower atmosphere.

Moreover, No\_EMISS\_NE and Only\_EMISS\_NE simulations were evaluated against the IMD rainfall
 dataset and NOR-I simulation to check whether the local or transported aerosols had greater control over the

333 rainfall in NE India. No\_EMISS\_NE showed better regional average rainfall statistics than Only\_EMISS\_NE

334 with higher IOA (0.48 vs. 0.47), lower RMSE (18.85 vs. 20.37 mm day<sup>-1</sup>), and lower ME (6.94 vs. 8.22 mm day<sup>-2</sup>

 $^{1}$  on comparing with the IMD rainfall dataset. Also, the simulated rainfall of No\_EMISS\_NE showed higher

rainfall similarity with NOR-I than Only\_EMISS\_NE with higher IOA (0.65 vs. 0.63), lower RMSE (56.32 vs.

337 61.92 mm day<sup>-1</sup>) and lower ME (39.30 vs. 39.81 mm day<sup>-1</sup>). Hence, No\_EMISS\_NE showed more similarity with

the baseline scenario as well as observed data and had greater control over the region's rainfall.

## 339 3.4 Role of local and transported BC

340 In section 3.3, the direct effect showed to increase moisture over NE India through an increase in atmospheric

341 instability, caused mainly due to atmospheric heating of BC (Barman and Gokhale (2022)) Hence, to negate the

342 effects of the indirect effect on atmospheric dynamics, scenarios in Table 1 containing only direct and semi-direct

343 effects were used in this analysis. Moreover, NOR gave a much better performance with BC concentration

- 344 estimation (Table S2) than when the indirect effect was included (NOR-I). The results from No\_EMISS\_NE,
- 345 Only\_EMISS\_NE, No\_NE\_BCI and Only\_NE\_BCI scenarios were compared and related.

## 346 3.4.1 Radiative heating

The regional average vertical profiles of NOR, 2NOR, No\_NE\_BC, No\_NE\_2×BC, Only\_NE\_BC and Only\_NE\_2×BC can be seen from Fig. S<u>511</u>, in which the transported BC and local BC profiles resemble the No\_EMISS\_NE and Only\_EMISS\_NE PM<sub>10</sub> profiles, respectively. IGP was the dominant source of transported BC (Fig. S<u>612</u>). In transported BC scenarios, BC was available up to much higher atmospheric height and profiles showed elevated concentration at around 1500 m indicating stronger BC transport at that height. In Only\_NE\_BC and Only\_NE\_2×BC, BC was confined near the surface, which decreased continuously. The atmospheric heating rate (HR) was estimated as per Liou (1980).

$$354 \qquad HR = \frac{g}{c_p} \cdot \frac{\Delta F}{\Delta P},$$

(4)

(6)

where g is the acceleration due to gravity (9.81 m s<sup>-2</sup>),  $C_p$  is the specific heat capacity of air at constant pressure (1.005 kJ K<sup>-1</sup> kg<sup>-1</sup>),  $\Delta F$  the atmospheric RF and  $\Delta P$  is the atmospheric pressure (300 hPa) difference between surface and 3 km altitude as most of the BC was present below this height. Moreover, in order to compare the effectiveness of heating by local and transported BC, two parameters, heating efficiency (HE) and heating slope (HS), were defined by equations 5 and 6.

360	$HF = \frac{HR}{R}$	(5)
500	Column sum of BC concentration within 3 km	(00),

361  $HS = \frac{\Delta HR}{\Delta CC}$ ,

HE has units of K day<sup>-1</sup> µg<sup>-1</sup> m<sup>3</sup>, thus measuring the heating contributed by per unit concentration of BC below 3
km. HE was used to assess the effect of BC vertical distribution on atmospheric heating while HS was used to
assess the response of atmospheric heating rate to BC concentration changes and has similar units as HE. CC has
units of µg m<sup>-3</sup>.

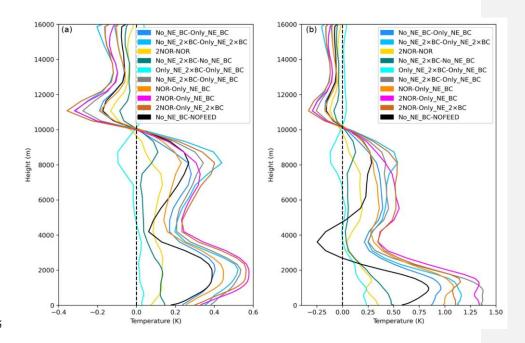
	No_NE_BC	No_NE_2×BC	Only_NE_BC	Only_NE_2×BC
HR	0.460	0.597	0.123	0.178
СС	12.458	18.391	3.905	7.563
HE	0.037	0.032	0.032	0.024
ΔHE	-(	0.004	-1	0.008
HS	C	0.023	(	0.015

β66 Table <u>43</u>: NE India region average values of columnar BC concentration (μg m<sup>-3</sup>) and atmospheric heating
 β66 parameters in different scenarios

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368 The quantitative values of the parameters are provided in Table 43. Only\_NE\_BC had a regional net average HR 369 of 0.123 K day-1 compared to 0.460 K day-1 of No\_NE\_BC. This indicated a 3.73 times higher atmospheric heating 370 rate by transported BC. An increase in local emissions from Only\_NE\_BC to Only\_NE\_2×BC caused a small 371 increase in heating rate of 0.055 K day-1 compared to the increase of 0.137 K day-1 from No\_NE\_BC to 372 No\_NE\_2×BC. As per the definition, HE was inversely proportional to CC and this was exactly followed in all 373 regions across all scenarios (Fig. S713 and S814). However, HE was higher in the case of transported BC 374 compared to local BC with values of 0.037 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (No\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day  $^{-1}$  µg  $^{-1}$  m<sup>3</sup> (Only\_NE\_BC) vs. 0.032 K day (Only NE\_BC) vs. 0.032 K day (Only 375 and 0.032 K day-1 µg-1 m3 (No\_NE\_2×BC) vs. 0.024 K day-1 µg-1 m3 (Only\_NE\_2×BC), even if CC was higher 376 in the case of transported BC. The reason might be that transported BC might have undergone a higher amount of 377 chemical transformation due to higher atmospheric time, leading to a higher lensing effect on the BC core, 378 resulting in enhanced absorption (Liu et al., 2015). Also, it was observed that on increasing emissions, the decrease 379 in HE was smaller in the case of transported BC (-0.004 K day<sup>-1</sup> µg<sup>-1</sup> m<sup>3</sup>) than local BC (-0.008 K day<sup>-1</sup> µg<sup>-1</sup> m<sup>3</sup>). 380 Hence, with the increase in BC emissions, HE decreased more when BC was more concentrated near the surface 381 than in the atmosphere. HS indicated that atmospheric heating increased at a higher rate of 0.023 K day<sup>-1</sup>  $\mu$ g<sup>-1</sup> m<sup>3</sup> 382 with increasing transported BC compared to 0.015 K day-1 µg-1 m3. Thus, the increase in transported BC emissions 383 had more impact on atmospheric heating over NE India than when present near the surface with local emissions.

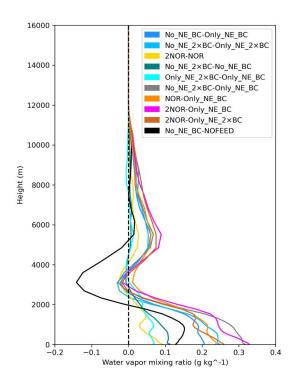
384 3.4.2 Atmospheric stability and moisture



385

Figure 67: Regionally averaged vertical profiles showing perturbations in a) potential temperature (K) b)
 equivalent potential temperature (K)

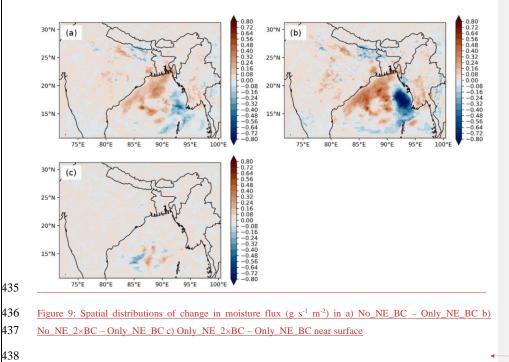
388 Barman and Gokhale (2022), as well as Soni et al. (2017), showed an increased influx of moisture into the region 389 during pre-monsoon due to BC. In order to compare and separate the effects of local and transported BC on 390 atmospheric stability through temperature and moisture, potential temperature (PT) and EPT were estimated. PT 391 estimates atmospheric stability based on temperature, while EPT accounts for both temperature and moisture and 392 is a more realistic parameter. In most of the profiles in both parameters in Fig.  $\frac{76}{6}(a)$  and  $\frac{76}{6}(b)$ , positive 393 perturbation was observed approximately below 10 km and negative above it which indicated an increase in 394 atmospheric instability and vice-versa for an increase in atmospheric stability-(Zhao et al., 2011). The positive 395 perturbations below 10 km varied with height and were most profound in the profiles No\_NE\_BC -396 Only\_NE\_BC, No\_NE\_2×BC - Only\_NE\_2×BC and No\_NE\_2×BC - Only\_NE\_BC, each of which was 397 estimated from the difference between a transported BC scenario and local BC scenario. These profiles showed 398 similarity with the corresponding profiles of NOR - Only\_NE\_BC, 2NOR - Only\_NE\_2×BC and 2NOR -399 Only\_NE\_BC in both the parameters, indicating that they were closer to the normal atmospheric scenario. The 400 positive perturbations were, however, comparatively smaller with 2NOR - NOR, No\_NE\_2×BC - No\_NE\_BC 401 and Only\_NE\_2×BC - Only\_NE\_BC in both the parameters, each pair being the same scenario with only a 402 difference in emission rates. This shows that BC atmospheric distribution played an important role on instability. 403 The Only\_NE\_2×BC - Only\_NE\_BC profile not only showed a smaller increase in instability than No\_NE\_2×BC 404 - No\_NE\_BC profile but also contributed to the smallest increase in instability in both the parameters. Thus, 405 transported BC and an increase in transported BC emissions led to higher atmospheric instability than local BC.



407 Figure 78: Regionally averaged vertical profiles showing perturbations in water vapor mixing ratio (g kg<sup>-1</sup>)

408 Moreover, EPT profiles showed higher positive perturbations and hence higher instability compared to 409 the corresponding PT profiles with values exceeding 1.25 K. The positive difference or additional instability 410 between the corresponding profiles of Fig.  $\frac{76}{2}$ (a) and  $\frac{76}{2}$ (b) was due to moisture. The difference also indicated that 411 moisture contributed even more to the instability than BC. The peaks for EPT existed closer to the surface due to 412 most of the moisture also remaining near the surface, as shown in Fig. 87. However, there occurred a region of 413 increased stability from the ground surface to the first peak of transported BC profiles at approximately 1000 m, 414 indicated by increasing temperature with height. Thus, transported BC may also be responsible for air quality 415 scenarios over NE India by creating a stable boundary layer. The close qualitative and quantitative similarity 416 between No\_NE\_BC - NOFEED, No\_NE\_BC - Only\_NE\_BC and NOR - Only\_NE\_BC profiles in Fig. 76(a) 417 showed that aerosol radiative effect due to transported BC was intricately linked with the PT profile and the 418 positive perturbations in each of these profiles were also closely linked with BC. This was also seen in Fig. 76(b), 419 but since it also included the effect of moisture, larger differences were seen.

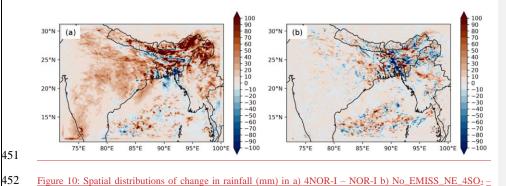
420 BC, whether transported or emitted locally, caused a positive perturbation in moisture at least below 2 421 km altitude, as seen in Fig. §7. The perturbation was much larger in profiles that had a combination of transported 422 and local BC scenarios and which had higher transported BC emissions and followed the pattern similar to PT 423 and EPT. This links BC, instability and moisture in the region, i.e., higher transported BC caused higher instability 424 which brought a higher amount of moisture which would possibly again cause higher instability. It was the same 425 for scenarios that included indirect effect, as can be observed from the similarities of the No\_NE\_BCI -426 Only\_NE\_BCI (Fig. S915) and No\_NE\_BC - Only\_NE\_BC profile in Fig.78. Furthermore, the similarity of 427 No\_EMISS\_NE - Only\_EMISS\_NE profile with No\_NE\_BCI - Only\_NE\_BCI (Fig. S159) inferred that direct 428 radiative effect of transported BC was responsible for the moisture increase in Fig. 34. The higher moisture with 429 transported BC scenarios was due to higher moisture flux caused by it over Bay of Bengal compared to local BC 430 and can be verified from Fig. S109. Quantitatively, No\_NE\_BC (33.95 kg m<sup>-2</sup>) and No\_NE\_2×BC (34.15 kg m<sup>-2</sup>) 431 <sup>2</sup>) had higher region average precipitable water vapor than Only\_NE\_BC (33.49 kg m<sup>-2</sup>) and Only\_NE\_2×BC 432 (33.64 kg m<sup>-2</sup>). Hence transported BC in Sect. 3.3 was primarily responsible for transporting moisture from the 433 Bay of Bengal by affecting the atmospheric dynamics. The mechanism is similar to the "heat pump" model by 434 Lau et al. (2006).



#### 439 **3.5 Rainfall response to emissions**

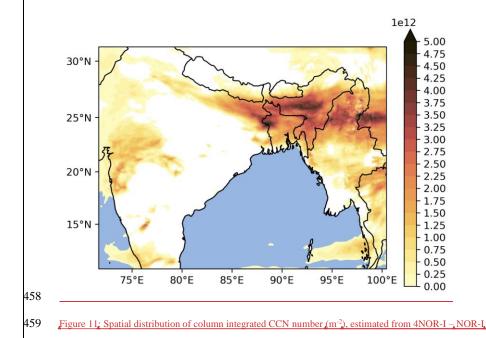
Similar to NOR-I – NOCHEM, No\_BC\_ABS – NOCHEM gave the rainfall change due to total aerosol effect, but without BC absorption. The higher negative rainfall change of -275.13 mm with NOR-I – NOCHEM compared to -266.78 mm with No\_BC\_ABS – NOCHEM showed BC absorption to reduce rainfall. The higher reduction with NOR-I – NOCHEM was mainly due to higher rainfall reduction in region 1, where the direct and semi-direct effect was maximum. This shows BC initially suppressed rainfall even though moisture increased due to it. However, with the increase in BC emissions, rainfall increased and the rainfall suppression due to the total aerosol effect reduced substantially to -64.44 mm with 4NOR-I – NOCHEM compared to -275.13 mm with NOR- Formatted: Indent: First line: 0 cm

I – NOCHEM and similarly, rainfall due to direct and semi-direct with 4NOR-I – NOFEED-I showed a positive
rainfall change of 193.64 mm compared to -17.04 mm with NOR-I – NOFEED. Similarly, 4NOR-I – NOR-I gave
a rainfall enhancement of 225.24 mm. Spatial distribution of change in rainfall is shown in Fig. 10(a) which show
rainfall change primarily occurring over NE India and along the valley.



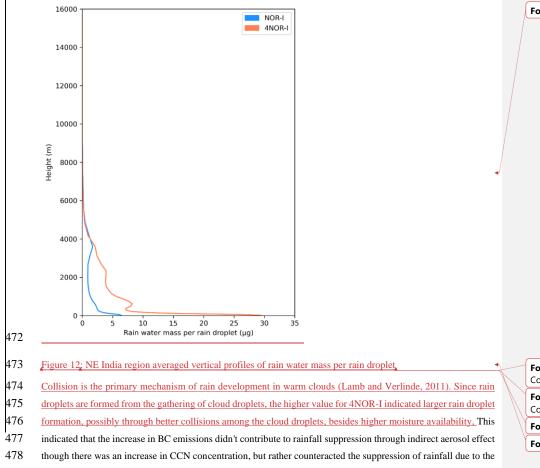
453 <u>No\_EMISS\_NE\_0.25SO2</u>

Aged BC also contributes as CCN (Lambe et al., 2015). The enhancement in BC emission did increase the column average CCN concentration to 2252 m<sup>-3</sup> (4NOR-I) from 2024 m<sup>-3</sup> (NOR-I), but the increase was largely disproportionate to the 4 times BC emission increase. <u>The enhancement over NE India can also be seen from the</u> spatial distribution of column integrated CCN in Fig. 11.



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460 Enhancement of CCN number concentration generally leads to enhancement of indirect aerosol effect (Yu et al., 461 2013) and also seen later in case of sulfate aerosol. However, in spite of the increase in CCN, cloudwater mixing 462 ratio was lower in 4NOR-I than NOR-I, as seen in Fig. 56 and 4NOR-I caused significantly more rainfall 463 formation than NOR-I, as can be seen from the rainwater mixing ratio profiles. This may be related to the 464 suppression of CCN activation due to BC, as observed over Central India (Nair Jayachandran et al., 2020). Also 465 BC contributes marginally to indirect effect (Kristjánsson, 2002). Thus, the increased moisture (Fig. S915) did 466 not remain stored as cloudwater even though there was an increase in CCN, but it got converted to rainwater. The 467 large increase in moisture, caused by the increase in atmospheric instability possibly condensed on relatively a 468 smaller number of CCN particles promoting larger cloud droplets which enhanced rainfall. Moreover, the ratio of 469 rainwater mixing ratio to rain droplet number concentration gave the amount of rain water per rain droplet, or 470 indirectly the rain droplet size. The vertical profile of this ratio is shown in Figure 12, which shows higher values 471 for 4NOR-I.



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479 indirect effect of other aerosol species. The rainfall enhancement was due to an increase in moisture, contributed

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by the transported fraction of BC, as explained in Sect. 3.4.2. Moreover, rainfall suppression was also more due
to transported aerosols, mainly contributed by indirect effect (Table 23).

482 Also, among the non-absorbing aerosols, sulfate aerosol is an important contributor to CCN and indirect 483 effect (Kristjánsson, 2002). Its concentration was found to be the highest among non-absorbing aerosols and most 484 of its mass over NE India was found to be transported (Sect. 3.1). Concentration profiles can be seen from Fig. 485 S146. Hence, the response of rainfall over NE India was checked by increasing (No\_EMISS\_NE\_4SO<sub>2</sub>) and 486 decreasing (No\_EMISS\_NE\_0.25SO2) SO2 emissions outside NE India and compared against the baseline 487 transported scenario (No\_EMISS\_NE) since sulfate is mainly formed within the atmosphere by oxidation of  $SO_2$ 488 (Wang et al., 2021). Similar to the increase in BC emissions, No\_EMISS\_NE\_4SO<sub>2</sub> caused an increase in column 489 average CCN concentration to 3524 m<sup>-3</sup> compared to 1753 m<sup>-3</sup> in No\_EMISS\_NE, while 490 No\_EMISS\_NE\_0.25SO<sub>2</sub> showed a decrease (1390 m<sup>-3</sup>). However, contrary to the BC, an increase in SO<sub>2</sub> 491 emissions with No\_EMISS\_NE\_4SO2 caused an increase in cloudwater mixing ratio compared to 492 No\_EMISS\_NE, as seen in Fig. 56, while its decrease also caused a decrease. Thus, No\_EMISS\_NE\_4SO2 and 493 No\_EMISS\_NE\_0.25SO2 had lower and higher rainwater mixing ratio, respectively, compared to 494 No\_EMISS\_NE. Consequently, No\_EMISS\_NE\_4SO2 had higher rainfall suppression and gave lesser rainfall (-495 22.23 mm) compared to No\_EMISS\_NE\_0.25SO<sub>2</sub>. Spatial distribution is shown in Fig. 10(b) which show mainly 496 negative change over the region. Thus, an increase in non-absorbing aerosol caused rainfall suppression through 497 indirect effect. The indirect effect was observed to be the dominant aerosol effect for suppressing rainfall. 498 However, with an increase in BC, suppression of rainfall due to direct and semi-direct effects through surface 499 processes (surface moisture flux, convection) and cloud evaporation as well as due to indirect aerosol effect 500 (atmospheric stability, surface moisture flux and cloud to rainwater conversion) becomes comparatively weaker 501 mechanisms than the direct effect of radiative heating by BC, enhancing rainfall through the transport of moisture. 502 However, the increase in transported SO<sub>2</sub> emissions also caused further suppression of rainfall. Hence, an increase 503 in transported aerosols of an absorbing aerosol (BC) and a non-absorbing aerosol (sulfate), both being a 504 contributor to CCN, showed different responses exerted different impacts to indirect effect parameters and thus 505 to rainfall and hence most likely controls the enhancement and suppression of pre-monsoon rainfall over NE India, 506 thus counteracting each other. However, since decreasing rainfall trend has been observed, the impacts of the 507 indirect aerosol effect could be dominant. Here, the response of only one non-absorbing aerosol (sulfate) was 508 checked and possibly has contributions from other similar species also, -Other non-absorbing aerosol species like 509 nitrate also contribute to indirect aerosol effect (Wang et al., 2010;) (Zaveri et al., 2021) which may contribute to 510 rainfall suppression as sulfate.

511 Moreover, the percentage of the simulation time different aerosol effects and BC emissions increased 512 (inc) or suppressed (dec) rainfall under different rainfall intensities (low: 0-5, medium: 5-10, high: >10 mm day-513 <sup>1</sup>; defined as per (Raju et al., 2015)) and the rainfall amount under those intensities was estimated. Regional 514 average values are provided in Table S56 and S67. All aerosol effects caused a higher decrease across all rainfall 515 intensities, except the indirect effect, which indicated a higher increase in low-intensity rainfall (6.52 mm vs. -516 6.48 mm; 21.44 % vs. 20.58 %). High-intensity rain was primarily responsible for rainfall changes across all the 517 scenarios and effects. The indirect effect decreased high-intensity rainfall duration (18.85 vs. 12.38 %) and amount 518 (-399.41 mm vs. 141.62 mm) and was primarily responsible for the rainfall suppression in total aerosol effect (-

519 411.34 mm). The total aerosol effect with enhanced BC emissions (4NOR-I - NOCHEM) showed a significantly 520 higher increase (275.47 mm vs. 137.16 mm) as well as a significantly lower decrease (-337.23 vs. -411.34) in 521 high-intensity rainfall compared to total aerosol effect with baseline BC emissions (NOR-I - NOCHEM). Similar 522 results in time and rainfall amount between BC increase and direct + semi-direct effect with BC increase scenarios 523 inferred that enhanced radiative effects due to BC increase were mainly responsible for higher high-intensity 524 rainfall duration and rainfall amount, while the indirect aerosol effect was mainly involved in its suppression, 525 possibly due to the increased atmospheric stability associated with it. Barman and Gokhale (2022) also showed 526 similar results with BC emissions increase, but this study verifies the role of direct radiative effects of BC in it. 527 Thus, BC increased rainfall over NE India but in the form of high-intensity rainfall. Hence, relative fractions of 528 BC and the other aerosols contributing to indirect effect possibly decide the amount of rainfall and its intensity 529 over the region. However, indirect effect also caused high-intensity rainfall but with lesser amount than its 530 suppression and may be involved in catastrophic flood events at local scales (Wang et al., 2022).

#### 531 4 Conclusions

532 Transported aerosols, primarily from IGP, were found to be responsible for the bulk of the aerosol mass (93.98 533 %) over NE India while contributing 64.18 % of near-surface PM<sub>10</sub> concentration, thus primarily responsible for 534 air pollution as climatic impacts over the region during pre-monsoon season. The climatic impacts, both w.r.t. RF 535 as well as rainfall, were dominated by the indirect aerosol effect. The impacts of the indirect aerosol effects of 536 transported aerosols were much higher in affecting radiation (-13.12 W m<sup>-2</sup> vs. -0.24 W m<sup>-2</sup> at the surface, 7.30 W 537 m<sup>-2</sup> vs. 0.97 W m<sup>-2</sup> in the atmosphere) as well as suppressing rainfall (-49.11 mm vs. -16.04 mm) compared to 538 local emissions. The greater surface dimming by transported aerosols caused a higher negative change in surface 539 moisture flux (-3.82×10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup> vs. 8.15×10<sup>-8</sup> kg m<sup>-2</sup> s<sup>-1</sup>) as well as higher aerosol mass reduced cloudwater to 540 rainwater conversion, both of which contributed to higher rainfall suppression. Transported aerosols caused 541  $4.42 \times 10^{13}$  m<sup>-2</sup> higher cloud droplets than local emissions. The atmospheric instability due to the direct + semi-542 direct effect and indirect effect of transported aerosols were found to be contradictory and caused an increase and 543 decrease, respectively. The direct effect of transported aerosols, though also caused negative surface moisture flux 544 over NE India (-1.03×10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>), however, increased moisture over NE India, increasing moisture flux over 545 the Bay of Bengal. Further analysis showed that transported BC was more efficient in atmospheric heating over 546 NE India and together with the higher transported BC mass, an increase in its emissions caused higher atmospheric 547 instability over the region, which brought more moisture from the Bay of Bengal. The increased moisture further 548 contributed to higher instability. Hence, the rainfall suppression caused through the different atmospheric 549 processes by direct, semi-direct and indirect effects was reduced and nullified with the increase in BC emissions, 550 but the rainfall increase was mainly in the form of high-intensity rainfall. The increase in BC did not show a 551 positive change in cloudwater, though it contributed to CCN. The direct effect of BC thus overpowered the other 552 rainfall-suppressing processes. Indirect aerosol effect and radiative heating were the main rainfall-controlling 553 factors. Hence, changes in emissions of aerosols or chemical species contributing to these processes will possibly 554 contribute to rainfall suppression and enhancement over NE India. Moreover, rainfall simulated with transported 555 aerosols were found to be more similar to the IMD observation datasets as well as the baseline emission scenario, 556 indicating its possible greater influence in the real-world scenario.

- 557 The study shows that the atmospheric transport of emissions from IGP to NE India has a significant
- 558 impact on NE India's rainfallatmosphere during pre-monsoon and the impacts are even greater than the emissions

559 within the NE India region.

- 560 Data availability. Model outputs are available upon request.
- Author contributions. NB conceptualization, methodology, model simulation, visualisation, manuscript 561 562 writing, SG - conceptualization, methodology and supervision, manuscript review and editing.
- 563 Competing interests. The authors declare that they have no conflict of interest.
- 564 Disclaimer. The views expressed in this paper are those of the authors.
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