# Pollen-based reconstructions of Holocene climate trends in the eastern Mediterranean region

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Ms for Climate of the Past

## 1 Abstract

2 There has been considerable debate about the degree to which climate has driven societal changes in the 3 eastern Mediterranean region, partly through reliance on a limited number of qualitative records of climate 4 changes and partly reflecting the need to disentangle the joint impact of changes in different aspects of 5 climate. Here, we use tolerance-weighted Weighted Averaging Partial Least Squares to derive reconstructions 6 of mean temperature of the coldest month (MTCO), mean temperature of the warmest month (MTWA), 7 growing degree days above a threshold of 0°C (GDD0) and plant-available moisture, represented by the ratio 8 of modelled actual to equilibrium evapotranspiration ( $\alpha$ ) and corrected for past CO<sub>2</sub> changes for 71 individual 9 pollen records from the Eastern Mediterranean region covering part or all of the interval from 12.3 ka to the 10 present. We use these reconstructions to create regional composites that illustrate the long-term trends in 11 each variable. We compare these composites with transient climate model simulations to explore potential 12 causes of the observed trends. We show that the glacial-Holocene transition and the early part of the Holocene 13 was characterised by conditions colder than present. Rapid increases in temperature occurred between ca 14 10.3 and 9.3 ka, considerably after the end of the Younger Dryas. Although the time series are characterised 15 by centennial-to-millennial oscillations, MTCO showed a gradual increase from 9 ka to the present, consistent 16 with the expectation that winter temperatures were forced by orbitally-induced increases in insolation during 17 the Holocene. MTWA also showed an increasing trend from 9 ka and reached a maximum of ca 1.5°C greater 18 than present at ca 4.5 and 5 ka, followed by a gradual decline towards present-day conditions. A delayed 19 response to summer insolation changes is likely a reflection of the persistence of the Laurentide and 20 Fennoscandian ice sheets; subsequent summer cooling is consistent with the expected response to insolation 21 changes. Plant-available moisture increased rapidly after 11 ka and conditions were wetter than today 22 between 10-6 ka, but thereafter  $\alpha$  declined gradually. These trends likely reflect changes in atmospheric 23 circulation and moisture advection into the region, and were probably too small to influence summer 24 temperature through land-surface feedbacks. Differences in the simulated trajectory of  $\alpha$  in different models 25 highlight the difficulties in reproducing circulation-driven moisture advection into the eastern Mediterranean.

#### 26 **1. Introduction**

27 The Eastern Mediterranean region is a critical region for examining the long-term interactions between climate 28 and past societies because of the early adoption of agriculture in the region, which has been widely associated 29 with the rapid warming at the end of the Younger Dryas (Belfer-Cohen and Goring-Morris, 2011). Societal 30 collapse and large-scale migrations have been associated with climates less favourable to agriculture during 31 the 8.2 ka event (Weninger et al., 2006) or to major changes in agricultural practices (Roffet-Salque et al., 32 2018). Subsequent periods of less favourable climate, particularly prolonged droughts, have been associated 33 with the fall of the Akkadian empire ca. 4.2 ka (Cookson et al., 2019), and the end of the Late Bronze Age and 34 the beginning of the Greek Dark Ages ca 3.2 ka (Kaniewski et al., 2013; Drake, 2012). However, the attribution 35 of changes in human society to climate changes is not universally accepted. Flohr et al. (2016), for example, 36 analysed radiocarbon-dated archaeological sites for evidence of societal changes in response to climate 37 changes in the early Holocene, particularly the 8.2 ka event, and found no evidence of large-scale site 38 abandonment or migration although there were indications of local adaptations. However, since Flohr et al. 39 (2016) did not compare the archaeological records to region-specific climate reconstructions, it is difficult to 40 assess how far local responses might reflect differences in climate between the sites. Even the societal 41 response to the early Holocene warming appears to have differed across the region (Roberts et al., 2018).

The need to understand the interactions between climate and past societies in the Eastern Mediterranean is given further impetus because human modification of the landscape has the potential to affect climate directly through changes in land-surface properties. The degree to which human modifications of the landscape had a significant impact on global climate before the pre-industrial period is debated (Ruddiman, 2003; Joos et al., 2004; Kaplan et al., 2011; Singarayer et al., 2011; Mitchell et al., 2013; Stocker et al., 2017), but these impacts were likely to be more important in regions with a long history of settlement and agricultural activities (Harrison et al., 2020).

49 Much of our current understanding of climate changes in the Eastern Mediterranean region is based on the 50 qualitative interpretation of individual records (e.g. Roberts et al., 2019). Oxygen-isotope records from 51 speleothems or lake sediments have been used to infer changes in moisture availability through the Holocene 52 (e.g. Bar-Matthews et al., 1997; Cheng et al., 2015; Dean et al., 2015; Burstyn et al., 2019) as have pollen-53 based reconstructions of changes in vegetation (e.g. Bottema, 1995; Denèfle et al., 2000; Sadori et al., 2011). 54 Pollen records can also be used to make quantitative reconstructions of seasonal temperatures, and 55 precipitation or plant-available water (Bartlein et al., 2011; Chevalier et al., 2020). Quantitative 56 reconstructions of past climates have been made for individual records from the Eastern Mediterranean 57 region (e.g. Cheddadi and Khater, 2016; Magyari et al., 2019), and syntheses of pollen-based quantitative 58 climate reconstructions have included sites from this region (Davis et al., 2003; Mauri et al., 2015; Herzschuh 59 et al., 2022). Davis et al. (2003) provided a composite curve of seasonal temperature changes, but not moisture 60 changes; both summer and winter temperatures showed very little variation (<1°C) through most of the 61 Holocene. Mauri et al. (2015) is an updated version of the Davis et al. (2003) reconstructions, with more sites 62 included but showing similarly muted temperate changes in the Eastern Mediterranean region. Herzschuh et 63 al. (2022) showed more homogenous changes in both temperature and precipitation across the Eastern 64 Mediterranean region but it is difficult to compare the two reconstructions directly because they used 65 different reconstruction techniques. None of the existing reconstructions take account of the impact of 66 changing CO<sub>2</sub> levels on vegetation which could potentially affect the reconstructions of moisture variables 67 (Prentice et al., 2022). Thus, there is a need for well-founded reconstructions of climate, particularly climate variables that are relevant for human occupation and agriculture, to be able to address questions about theinteractions between climate and society in the Eastern Mediterranean region.

Here, we provide new quantitative reconstructions of seasonal temperature and plant-available moisture for 71 Sites from the Eastern Mediterranean region (defined by the Eastern Mediterranean-Black Sea-Caspian 72 Corridor, EMBSeCBIO, project as the region between  $20^{\circ}E - 62^{\circ}E$ ,  $29^{\circ}N - 49^{\circ}N$ ), including a correction for the 73 impact of changing CO<sub>2</sub> levels on plant-available moisture reconstructions. We use these reconstructions to 74 document the regional trends in climate from 12.3 ka to the present. We then explore how far these trends 75 can be explained by changes in external forcing by comparing the reconstructions with transient climate model 76 simulations.

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## 78 **2. Methods**

## 79 **2.1.** Modern pollen and climate data

80 The modern pollen dataset was obtained from version 1 of the SPECIAL Modern Pollen Data Set (SMPDSv1, 81 Harrison, 2019), which provides relative abundance data from 6459 terrestrial sites from Europe, the Middle 82 East and northern Eurasia, assembled from multiple public sources or provided by the original authors. The 83 SMPDS pollen records have been taxonomically standardized, filtered to remove obligate aquatics, 84 insectivorous species, introduced species, or taxa that only occur in cultivation. The removal of cultivars is 85 designed to minimise the influence of anthropogenic signals on the reconstructions. We then grouped taxa 86 with only sporadic occurrences into higher taxonomic levels (genus, sub-family or family). Consequently, the 87 data set provides relative abundance data for 247 pollen taxa (Supplementary Table 1). We used the 5840 88 SMPDS sites from the area between 20°W to 62°E and 29°N and 75°N to construct the training data set 89 (Supplementary Figure 1); the sampling outside this box is limited and likely not representative of the diversity 90 of the climate gradients. At sites with multiple modern samples, we averaged the taxon abundances across all 91 samples, to minimise over-representation of some localities and hence specific climates, in the training 92 dataset. We used the 195 pollen taxa that occurred at more than 10 sites (Supplementary Table 1) to derive 93 climate-abundance relationships.

94 We focus on reconstructing bioclimatic variables that fundamentally control plant distribution, specifically 95 related to winter temperature limits, accumulated summer warmth and plant-available moisture (Harrison et 96 al., 2010). The bioclimatic data for each modern site was obtained from Harrison et al. (2019), a dataset that 97 provides estimates of mean temperature of the coldest month (MTCO), growing degree days above a base 98 level of 0°C (GDD0), and a moisture index (MI) defined as the ratio of annual precipitation to annual potential 99 evapotranspiration at each modern pollen site, derived using a geographically-weighted regression of version 100 2.0 of the Climate Research Unit (CRU) long-term gridded climatology at 10 arc minute resolution (CRU CL 101 v2.0; New et al., 2002). MTCO and GDD0 were taken directly from the data set. Since Harrison et al. (2019) do 102 not provide mean temperature of the warmest month (MTWA), we calculated this based on the relationship 103 between MTCO and GDD0 given in Wei et al. (2021). We derived an alternative moisture index,  $\alpha$ , which is the 104 ratio between modelled actual and equilibrium evapotranspiration, from MI following Liu et al. (2020). MI and 105  $\alpha$  both provide good indices of plant-available moisture, but since  $\alpha$  has a natural limit in wetter conditions it 106 is more suitable for discriminating differences in drier climates.

#### 107 2.2. Fossil pollen data

108 The fossil pollen dataset for eastern Mediterranean region was obtained from the Eastern Mediterranean-109 Black Sea Caspian Corridor (EMBSeCBIO) database (Harrison et al., 2021), which contains information from 110 187 records from the region between 20°E and 62°E and between 29°N and 49°N. (Note this is a more limited region than used for the modern training data set.) We discarded records (a) from marine environments or 111 112 very large lakes (>500 km<sup>2</sup>), (b) with no radiocarbon dating, (c) where the age of the youngest pollen sample 113 was unknown, (d) where there is an hiatus after the youngest radiocarbon date, (e) where more than half of 114 the radiocarbon dates were rejected by the original authors, and (f) where more than half of the ages were 115 based on pollen correlation with other radiocarbon-dated records. However, we kept records where there is 116 an hiatus but where there are sufficient radiocarbon dates above the hiatus to create an age model for the 117 post-hiatus part of the record. We constructed new age models for all the remaining sites (121) using the 118 IntCal20 calibration curve (Reimer et al., 2020) and the 'rbacon' R package (Blaauw et al., 2021) in the 119 framework of the 'AgeR' R package (Villegas-Diaz et al., 2021). Some of these records have no modern samples, 120 where modern was defined as 0-300 yr BP, and thus could not be used to calculate climate anomalies. As a result, 71 pollen records (Figure 1; Supplementary Table 2) were used for the climate reconstructions. These 121 122 records have a mean length of 6594 years and a mean resolution of 228 years. The records were taxonomically 123 standardized for consistency with the training dataset.

#### 124 2.3 Climate reconstructions

125 We used tolerance-weighted Weighted Averaging Partial Least Squares (fxTWA-PLS, Liu et al., 2020) regression 126 to model the relationships between taxon abundances and individual climate variables in the modern training 127 dataset and then applied these relationships to reconstruct past climate using the fossil assemblages. fxTWA-128 PLS reduces the known tendency of regression methods to compress climate reconstructions towards the 129 middle of the sampled range by applying a sampling frequency correction to reduce the influence of uneven 130 sampling of climate space, and by weighting the contribution of individual taxa according to their climate 131 tolerance (Liu et al., 2020). Version 2 of fxTWA-PLS (fxTWA-PLS2, Liu et al., 2023), applied here, uses P-spline 132 smoothing to derive the frequency correction and also applies the correction both in estimating climate optima and in the regression itself, producing a further improvement in model performance relative to version 133 134 1 as published by Liu et al. (2020).

135 We evaluated the fxTWA-PLS models by comparing the reconstructions against observations using pseudo-136 removed leave-out cross-validation, where one site was randomly selected as a test site and geographically 137 and climatically similar sites (pseudo sites) were removed from the training set to avoid redundancy in the 138 climate information inflating the cross-validation. We selected the last significant component (p-value  $\leq 0.01$ ) 139 and assessed model performance using the root mean square error of prediction (RMSEP). The degree of 140 compression was assessed using linear regression and local compression was assessed by loess regression 141 (locfit). Climate reconstructions were made for every sample in each fossil record using the best models and 142 sample specific errors were estimated via bootstrapping. We applied a correction factor (Prentice et al., 2022) 143 to the reconstructions of  $\alpha$  to account for the impact of changes in atmospheric CO<sub>2</sub> levels on water-use 144 efficiency, specifically the increased water use efficiency under high CO<sub>2</sub> levels characteristic of the recent past 145 and the low CO<sub>2</sub> levels that would have reduced water use efficiency during the late glacial and thus could 146 have influenced the reconstructions during the earliest part of the records. The correction was implemented 147 through the package codos: 0.0.2 (Prentice et al., 2022) with past CO<sub>2</sub> concentration values derived from the 148 EPICA Dome C record (Bereiter et al., 2015).

#### 149 **2.4. Construction of climate time series**

150 To obtain climate time series representative of the regional trends in climate, we first screened the 151 reconstructions to remove individual samples with (a) low effective diversity (< 2) as measured using Hill's N2 152 diversity measure (Hill, 1973), which could indicate low pollen counts or local contamination, and (b) sample-153 specific errors above the 0.95 quantile to remove obvious outliers. This screening resulted in the exclusion of 154 only a small number of individual samples (see Supplementary Figure 2). We then averaged the reconstructed 155 values in 300-year bins (slightly larger than the average resolution of the records, 228 years) with 50% overlap. 156 The first bin centred on 150 yr BP, and subsequent bins were centred at 150 yr increments throughout the 157 record. We excluded any bins with only one sample. The binned values of individual sites were averaged to 158 produce a regional composite of the anomalies for each climate variable, where the modern baseline was 159 taken as the first 300-yr bin centred on 150 yr BP. These time series were smoothed using locally weighted 160 regression (Cleveland & Devlin, 1988) with a window width of 1000 years (half-window width 500 years) and fixed target points in time to highlight the long-term trends. Confidence intervals (5<sup>th</sup> and 95<sup>th</sup> percentiles) for 161 each composite were generated by bootstrap resampling by site over 1000 iterations. We examined the 162 163 impact of the CO<sub>2</sub> correction on reconstructed  $\alpha$  (Supplementary Figure 3); this had no major effect on the 164 reconstructed trends except during the earliest part of the record.

#### 165 **2.5. Climate model simulations**

166 We compared the reconstructed climate changes with transient climate model simulations of the response to 167 external forcing, to determine the extent that the reconstructed climate changes reflect changes in known 168 forcing. We used transient simulations of the response to orbital and greenhouse gas forcing in the later 169 Holocene from four models participating in the PAleao-Constraints on Monsoon Evolution and Dynamics 170 (PACMEDY) project (Carré et al., 2021): the MPI (Max Planck Institute) Earth System Model version 1.2 171 (Dallmeyer et al., 2020), the AWI (Alfred Wegener Institute) Earth System Model version 2 (Sidorenko et al., 172 2019), and two versions of the IPSL (Institut Pierre Simon Laplace) Earth System Model. The IPSL and AWI 173 simulations were run from 6 ka to 1950 CE, the MPI simulation from 7.95 ka to 1850 CE. We used a longer 174 transient simulation covering the period from 11.5 ka made with the LOVECLIM model (Goosse et al., 2010) which, in addition to orbital and greenhouse gas forcing, accounts for the waning of the Laurentide and 175 176 Fennoscandian ice sheets (Zhang et al., 2016). Finally, we used two transient simulations from 22 ka to present 177 made using the Community Climate System Model (CCSM3; Collins et al., 2006). Both were forced by changes 178 in orbital configuration, atmospheric greenhouse gas concentrations, continental ice sheets and meltwater 179 fluxes, but differ in the configuration of the meltwater forcing applied after the Bølling warming (14.7 ka). In 180 the first simulation (TRACE-21k-I: Liu et al., 2009), there was a sustained meltwater flux of ~0.1 Sv from the 181 Northern Hemisphere ice sheets to the Arctic and North Atlantic until ca 6 ka, and a continuous inflow of water 182 from the North Pacific into the Arctic after the opening of the Bering Strait. The second simulation (TRACE-183 21k-II; He and Clark, 2022) had no meltwater flux during the Bølling warming or the Holocene but applied a 184 flux of ~ 0.17 Sv to the North Atlantic during the Younger Dryas (12.9-11.7 ka). The difference in meltwater 185 forcing results in a much stronger Atlantic Meridional Overturning Circulation during the Holocene in the 186 TRACE-21k-II simulation compared to the TRACE-21k-I simulation. Details of the model simulations are given 187 in Supplementary Table 3. The use of multiple simulations allows the identification of robust signals that are 188 not model-dependent (see e.g. Carré et al., 2021) and also the separation of the effects of different forcings. 189 The TraCE-21k-I data were adjusted to reflect the changing length of months during the Holocene, (related to 190 the eccentricity of Earth's orbit and the precession-determined time of year of perihelion), whereas the other simulations were not. However, this makes little practical difference for the selection of variables used here(Supplementary Figure 4).

193 Outputs from each simulation were extracted for land grid cells in the EMBSeCBIO domain (20° E – 55° E, 29° N 194 - 49° N; this region extends slightly less far eastwards than the EMBSeCBIO region as originally defined but 195 there are no pollen sites beyond 55°E). MTCO and MTWA were extracted directly; GDD0 was obtained by 196 deriving daily temperature values from monthly data using a mean-preserving autoregressive interpolation 197 function (Rymes & Myers, 2001). Daily values of cloud cover fraction and precipitation were obtained from 198 monthly data in the same way, and used to estimate MI, i.e. the ratio of annual precipitation to annual 199 potential evapotranspiration, through the R package smpds (Villegas-Diaz & Harrison, 2022) before converting 200 this to  $\alpha$  following Liu et al. (2020). For consistency with the reconstructed time series, climate anomalies for 201 30-yr bins for each land grid cell within the EMBSeCBIO domain were calculated using the interval after 300 yr 202 BP as the modern baseline. Since the spatial resolution of the models varies (Supplementary Table 3), and in 203 any case is coarser than the sampling resolution of the individual pollen records precluding direct comparisons 204 except at a regional scale, we used all of the land grid cells within the EMBSeCBIO domain and did not attempt 205 to select grid cells coincident with the location of pollen data. A composite was produced by averaging the 206 grid cell time series, which was then smoothed using locally weighted regression (Cleveland & Devlin, 1988) 207 with a window width of 1000 years (i.e. a half-window width of 500 years) and fixed target points in time. 208 Confidence intervals (5th and 95th percentiles) for each composite were generated by bootstrap resampling 209 by grid cell over 1000 iterations.

# 210 **3. Results**

# 211 **3.1.** Performance of the fxTWA-PLS statistical model

The assessment of the model through cross-validation showed that it reproduces the modern climate variables reasonably well (Table 1, Supplementary Table 4). The best performance is achieved by  $\alpha$  (R<sup>2</sup> = 0.73, RMSEP = 0.15) and MTCO (R<sup>2</sup> = 0.73, RMSEP 3.7°). The models for GDDO (R<sup>2</sup> = 0.69, RMSEP = 880) and MTWA (R<sup>2</sup> = 0.63, RMSEP = 3.22) were also acceptable. The slopes of the regressions ranged from 0.78 (MTWA) to 0.86 (MTCO), indicating that the degree of compression in the reconstructions in small (Table 1). Thus, the downcore fxTWA-PLS reconstructions of all the climate variables can be considered to be robust and reliable.

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# **3.2.** Holocene climate evolution in the region

Down-core reconstructions showed broadly coherent signals, although there was variation in both the timing
 and magnitude of climate changes across the sites, reflecting differences in latitude and elevation (Figures 2,
 3, 4). Nevertheless, the records indicated coherent regional trends over the past 12 ky.

222 Winter temperature showed a cooling trend between 12 and 11 ka, with reconstructed MTCO ca 8°C lower 223 than present at 11 ka (Figure 5). There was a moderate increase in MTCO after 11 ka, followed by a more 224 pronounced increase of ca 5°C between 10.3 and 9.3 ka. Winter temperatures were only ca 2°C lower than 225 present at the end of this rapid warming phase. There are relatively large uncertainties on the MTCO 226 reconstructions prior to 10.3 ka, so the trends in the early part of the record are not well constrained. However, 227 the phase of rapid warming between 10.3 and 9.3 ka (and the subsequent part of the record) is well 228 constrained. MTCO continued to increase gradually through the Holocene, although multi-centennial to 229 millennial oscillations were superimposed on the general trend.

The initial trends in summer temperature were broadly similar to those in MTCO, with a cooling between 12.3
and 11ka and reconstructed MTWA ca 2°C lower than present at 11 ka (Figure 5). Summer temperature

increased thereafter, albeit with pronounced millennial oscillations, up to ca 4.5 ka when MTWA was *ca* 1.5°C
 higher than present. There was a gradual decrease in summer temperature after ca 4.5 ka. The GDD0
 reconstructions showed similar trends to MTWA, reaching maximum values around 4.5 ka when the growing
 season was ca 150 degree days greater than today. The subsequent decline in GDD0 was somewhat flatter,
 which presumably reflects the influence of still-increasing winter temperatures on the length of the growing
 season.

238 The trends in  $\alpha$  differ from the trends in temperature. Conditions were similar to present around 11.5 ka 239 (Figure 5). Between 11 and 10 ka, there was a rapid increase in  $\alpha$ . Values of  $\alpha$  were higher than present (>0.1) 240 between 10 to 6 ka. Subsequently, there was a gradual and continuous decrease in  $\alpha$  until the present time. 241 The correction for the physiological impact of  $CO_2$  levels was, as expected, largest during intervals when  $CO_2$ 242 was lowest (i.e. prior to 11 ka) (Supplementary Figure 4). The reconstructions with and without the correction 243 are not statistically different between 10 and 5 ka, taking account the uncertainties in the reconstructions, but 244 the correction produced marginally wetter reconstructions after 5 ka, with a maximum difference of 0.08. 245 However, the gradually declining trend in moisture availability towards the present is not affected by the CO<sub>2</sub> 246 correction.

## 247 **3.3.** Comparison with climate simulations

248 The TRACE-21k-I simulation (Figure 6) shows an initial winter warming between 12-11 ka but MTCO is still ca 249 3°C lower than present at 11 ka. There is a gradual increase in MTCO from 11 ka onwards, although with 250 centennial-scale variability and a more pronounced oscillation corresponding to the 8.2 ka event. The TRACE-21k-II simulation is initially slightly colder and displays a two-step warming with a peak at 8.5 ka, when MTCO 251 252 is ca 1.5°C lower than present. The later Holocene trend is similar to that shown in TRACE-21k-I. The LOVECLIM 253 simulation produced generally warmer conditions than either of the TRACE simulations: MTCO is ca 2.5°C 254 lower than present at 11 ka but the two-step warming is more pronounced and peak warming occurs 255 somewhat later at ca 7.5 ka when MTCO was only ca 0.25°C lower than present (Figure 7). While all three 256 models show a rapid warming comparable to the reconstructed warming between 10.3 and 9.3 ka, it is clear 257 that differences in the ice sheet and meltwater forcings affect both the magnitude and the timing of this trend. 258 The overall magnitude of the warming after 9 ka in the TRACE-21k-I simulation is consistent with the 259 reconstructions of MTCO (anomalies of 2.4°C and 2.6°C for model and data respectively). The mid-to late 260 Holocene trend is similar in the PACMEDY simulations (Figure 8) to both TRACE-21k simulations, both in sign 261 and in magnitude (ca  $1^{\circ}$ C between 6 ka and present) and both are consistent with the reconstructions (-0.9 ± 262 0.7°C). The continuous increase of MTCO is consistent with the change in winter insolation. Given the 263 similarities between the PACMEDY simulations (which only include orbital and greenhouse gas forcing) and 264 the LOVECLIM and TRACE simulations, which also include forcing associated with the relict Laurentide and 265 Fennoscandian ice sheets, it seems likely that orbital forcing was the main driver of winter temperatures in 266 the EMBSeCBIO region during the later Holocene.

267 The TRACE-21k-I simulation shows peak summer temperatures between 11-9 ka, when MTWA was ca. 3°C 268 greater than present (Figure 6). The TRACE-21K-II simulations is initially colder than the TRACE-21k-I 269 simulation and the peak in summer temperatures occurs at 9 ka, when MTWA was ca 2.5°C greater than 270 present (Figure 6). The LOVECLIM simulation is warmer than present from 11.5 ka, but peak warming is only 271 reached at 7.5 ka when MTWA is ca 2°C (Figure 7). All three simulations show a gradual decrease in summer 272 temperature through the Holocene after this initial peak. This decreasing trend is also seen in the PACMEDY 273 simulations from 6 ka (or 8 ka in the case of the MPI simulation) onwards (Figure 8) and the magnitude of the 274 change over this interval (ca 2°C from 6ka onwards) is similar to that shown by the TRACE and the LOVECLIM

275 simulations. This similarity suggests that the simulated response is a direct reflection of the change in orbital 276 forcing. However, the reconstructed changes in summer temperature do not show this gradual decline. 277 Reconstructed MTWA is ca 4°C colder than the model predictions at 9 ka. The reconstructions show a gradual 278 increase in MTWA from 9 to 4.5 ka. Changes in reconstructed temperatures at 4.5 ka are of a similar magnitude to simulated temperatures at this time (ca 1°C greater than present) although the late Holocene is marked by 279 280 a cooling trend as seen in the simulations. Thus, while the simulated late Holocene trend is consistent with 281 orbital forcing being the main driver of summer temperatures in the EMBSeCBIO region, the early to mid-282 Holocene trend is not. Previous modelling studies have suggested that the timing of peak warmth differs in 283 different regions of Europe and is associated with the impact of the Fennoscandian ice sheet on regional 284 climates (Renssen et al., 2009; Blascheck and Renssen, 2013; Zhang et al., 2016). The differences in the timing of peak warmth in the EMBSeCBIO region in the TRACE-21k-II and LOVECLIM simulations would be consistent 285 286 with this argument but suggest that the timing and magnitude are model-dependent. It is therefore plausible 287 that the reconstructed trend in MTWA at least during the early Holocene reflects the influence of the relict 288 Laurentide and Fennoscandian ice sheets in modulating the impact of increased summer insolation until the 289 mid-Holocene. Given that GDD0 is a reflection of both changes in season length, as influenced by winter 290 temperatures, and summer warming, the difference between simulated and reconstructed MTWA are also 291 seen in GDD0 trends during the early part of the Holocene (Figure 6).

292 The simulations do not show consistent patterns for the trend in  $\alpha$ . The TRACE-21k-I simulation (Figure 6) 293 shows a gradual increase, with minor multi-centennial oscillations from 12 ka to present. (Available model 294 output variables are not sufficient to calculate  $\alpha$  for the TRACE-21k-II or LOVECLIM simulations). One of the 295 PACMEDY simulations (IPSL-CM5) shows an increase from the mid-Holocene (Figure 8) although the simulated 296 change is an order of magnitude smaller than over the comparable period in the TRACE-21k-I simulation. The 297 AWI model shows no trend in  $\alpha$  over this period; the remaining two models show increasing aridity from the 298 mid-Holocene to present (Figure 8). These three models are all broadly consistent with the reconstructions 299 since the reconstructed decrease in  $\alpha$  is small. However, the differences in the sign of the trend between the 300 different models indicates that changes in moisture are not a straightforward consequence of the forcing, but 301 must reflect model-dependent changes in moisture supply via changes in atmospheric circulation. 302 Reconstructions of Holocene climates in Iberia have suggested that land-surface feedbacks associated with 303 changes in moisture availability have a strong influence on summer temperature (Liu et al., 2023). There does 304 not seem to be strong evidence for this in the EMBSeCBIO region, given the difference in the trends of  $\alpha$  and 305 MTWA and the muted nature of the trend in  $\alpha$ .

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# 307 **4. Discussion**

308 The three temperature-related variables, MTCO, MTWA and GDD0 all show relatively warm conditions around 309 the late glacial/Holocene transition (ca 12 ka) followed by a cooling that was greatest between ca 11 and 10 310 ka. This pattern is also shown in regional composites (Figure 9) derived from the reconstructions by Mauri et 311 al. (2015) and Herzschuh et al. (2022). However, the magnitude of the cooling shown in the Mauri et al. (2015) 312 and Herzschuh et al. (2022) reconstructions is small compared to our reconstructions. The cool interval starts somewhat later and persists until 9 ka in the Mauri et al. (2015) reconstructions, but this is partly a reflection 313 314 of the fact that these reconstructions were only made at 1 ka intervals and thus the transitions are less well 315 constrained than in either our reconstructions or those of Herzschuh et al. (2022). This cool interval and the 316 marked warming seen after 10.3 ka in our reconstructions, does not correspond to the Younger Dryas and the

subsequent warming. Although the Younger Dryas is considered to be a globally synchronous event (Cheng et
al., 2020) and is generally considered coeval with Greenland Stadial I (Larsson et al., 2022), it does not appear
to be strongly registered in the EMBSeCBIO region in any of the quantitative climate reconstructions. This is
consistent with earlier suggestions based on vegetation changes that the Younger Dryas was not a clearly
marked feature over much of this region (Bottema, 1995).

322 We have shown that winter temperatures increased sharply between 10.3 and 9.3 ka, but then continued to 323 increase at a more gradual rate through the Holocene. The increase of ca 7.5°C is of the same order of 324 magnitude to the increase shown in the TRACE-21K-II simulation (ca. 5°C) and in the LOVECLIM simulation (ca. 325 3°C). This increasing trend is also seen in the Mauri et al. (2015) reconstructions of MTCO (Figure 9), although 326 the change from the early Holocene to the present is much smaller (ca 0.5-1°C) in these reconstructions than 327 in our reconstructions and Mauri et al. (2015) do not show marked cooling around 11 ka. Nevertheless, the 328 consistency between the two reconstructions and between our reconstruction and the simulated changes in 329 MTCO supports the idea that these trends are a response to orbital forcing during the Holocene. Our 330 reconstructions show a gradual increase in summer temperature, as measured by both MTWA and GDD0, 331 from ca 10 to 5 ka when MTWA was ca 1°C warmer than present, followed by a gradual decrease towards the 332 present. This is not consistent with previous reconstructions. Mauri et al. (2015) show an overall increasing 333 trend from 9 ka to present. The Herzschuh et al. (2022) shows a completely different pattern, with the 334 maximum in July temperature at ca. 9 ka and an oscillating but declining trend thereafter (Figure 9).

335 These differences between the three sets of reconstructions are too large to be caused by differences in the 336 age models applied. They are also unlikely to reflect differences in sampling, since the number of sites used is 337 roughly similar across all three reconstructions (71 sites versus 67 sites from Herzschuh et al., 2022 and 409 338 grid points, based on 57 sites, from Mauri et al., 2015); most sites are common to all three analyses. The 339 differences must therefore be related to the reconstruction method. Herzshuch et al. (2022) used the 340 regression-based approach, Weighted Average Partial Least Squares (WA-PLS), that is the basis for our 341 reconstruction technique, fxTWA-PLSv2. Mauri et al. (2015) used the modern analogue technique. However, 342 after taking account of differences caused by the temporal resolution, there is greater similarity between our 343 reconstructions and those of Mauri et al. (2015) than between either of these reconstructions and the 344 Herzschuh et al. (2022) reconstructions.

345 Several methodological issues could be responsible for the differences between the three sets of 346 reconstructions, and in particular the anomalous moisture trends shown by Herzschuh et al. (2022). 347 Specifically, Herzschuh et al. (2022) used (1) a unique calibration data set for each fossil site based on modern 348 samples within a 2000 km radius of that site, rather than relying on a single training data set; (2) a limited set 349 of 70 dominant taxa rather than the whole pollen assemblage; and (3) included marine records from e.g. the 350 Black Sea, which were excluded in the other reconstructions because they sample an extremely large area and 351 thus are unrepresentative of the local climate. However, inclusion of records from the Black Sea in our 352 reconstructions does not have a substantial impact on either the magnitude or the trends in climate. Thus, it 353 seems likely that the differences between these two reconstructions reflects the use of a unique calibration 354 data set for each fossil site and the limited set of taxa included.

Reconstructed MTWA shows a gradual increase through the early Holocene with maximum values of around 1.5°C greater than present reached at ca 4.5 ka. Previous modelling studies have shown the timing of maximum warmth during the Holocene in Europe was delayed compared to the maximum of insolation forcing and varied regionally as a consequence of the impact of the Fennoscandian ice sheet on surface albedo, atmospheric circulation and heat transport (Renssen et al., 2009; Blascheck and Renssen, 2013; Zhang et al., 360 2016; Zhang et al., 2023). Two of the simulations examined here show a delay in the timing of peak warmth, 361 which occurred ca 9 ka in the TRACE-21k-II simulation and ca 7.5 ka in the LOVECLIM simulation. Although 362 both sets of simulations include the relict Laurentide and Fennoscandian ice sheets, neither has realistic ice 363 sheet and meltwater forcing. In the LOVECLIM simulation, for example, the Fennoscandian ice sheet was gone by 10 ka whereas in reality it persisted until at least 8.7 ka (Patton et al., 2017). Thus, the impact of the 364 365 Fennoscandian ice sheet in delaying orbitally induced warming would likely have been greater than shown in 366 this simulation. In addition to differences in the way in which ice sheets and meltwater forcing are 367 implemented in different models, models are also differentially sensitive to the presence of the same 368 prescribed ice sheet (Kapsch et al., 2022). Thus, it would be useful to examine the influence of more realistic 369 prescriptions of the relict ice sheets on the climate of the EMBSeCBIO region using multiple models, and 370 preferably transient simulations at higher resolution or regional climate models. It has been suggested that 371 meltwater was routed to the Black and Caspian Seas via the Dnieper and Volga Rivers during the early phase 372 of deglaciation (e.g. Yanchilina et al., 2019; Aksu et al. 2022; Vadsaria et al., 2022) and it would also be useful 373 to investigate the impact of this on the regional climate.

374 We have shown that  $\alpha$  was similar to today around 11 ka, but there was a rapid increase in moisture availability 375 after ca 10.5 ka such that  $\alpha$  values were noticeably higher than present between 10 to 6 ka, followed by a 376 gradual and continuous decrease until the present time. Changes in the late Holocene are small even at 377 centennial scale (Figure 5). The reconstructed trends in  $\alpha$  are not captured in the simulations, which show 378 different trends during the late Holocene. Thus, it is unlikely that the gradual increase in aridity during the late 379 Holocene is a straight-forward response to orbital forcing. Changes in  $\alpha$  in the EMBSeCBIO region are likely to 380 be primarily driven by precipitation changes, which in turn are driven by changes in atmospheric circulation. 381 Differences in the trend of moisture availability between the models imply that the nature of the changes in 382 circulation varies between models and thus the simulations do not provide a strong basis for explaining the 383 observed patterns of change in moisture availability. Earlier studies, focusing on the western Mediterranean 384 (Liu et al., 2023), Europe (Mauri et al., 2014) and central Eurasia (Bartlein et al., 2017), have shown that models 385 have difficulty in simulating the enhanced moisture transport into the Eurasian continent shown by 386 palaeoenvironmental data during the mid-Holocene and during the late Holocene. Changes in precipitation 387 can also affect land-surface feedbacks. Liu et al. (2023), for example, have argued that enhanced moisture 388 transport into the Iberian peninsula during the mid-Holocene led to more vegetation cover and increased 389 evapotranspiration and had a significant impact in reducing growing season temperatures. Differences in the 390 reconstructed trends of summer temperature and plant-available moisture through the Holocene suggests 391 that this land-surface feedback was not an important factor influencing summer temperatures in the 392 EMBSeCBIO region. Nevertheless, differences in the strength of land-surface feedbacks between models could 393 also contribute to the divergences seen in the simulations. It would be useful to investigate the role of changes 394 in atmospheric circulation for precipitation patterns during the Holocene in the EMBSeCBIO region using 395 transient simulations at higher resolution or regional climate models.

396 The timing of the transition to agriculture in the eastern Mediterranean is still debated (Asouti & Fuller, 2012). 397 It has been argued that climatic deterioration and population growth during the Younger Dryas triggered a 398 shift to farming (Weiss & Bradley, 2001; Bar-Yosef et al., 2017). The presence of morphologically altered 399 cereals by the end of the Pleistocene has been put forward as evidence for an early transition to agriculture 400 (Bar-Yosef et al., 2017), but it has also been pointed out that the evidence for cereal domestication before ca 401 10.5ka is poorly dated and insufficiently documented (Nesbitt, 2002) and that crops did not replace foraging 402 economies until well into the Holocene (Smith, 2001; Willcox, 2012; Zeder, 2011). The availability of water is 403 a crucial factor in the viability of early agriculture (Richerson et al., 2001; Zeder, 2011). We have shown that 404 moisture availability was higher than today during the first part of the Holocene (10-6 ka) but similar to today 405 until ca 10. 5 ka. Wetter conditions during the early Holocene could have been a crucial factor in the transition 406 to agriculture, and our findings support the idea that this transition did not happen until much later than the 407 Younger Dryas or late glacial/Holocene transition. Further exploration of the role of climate in the transition 408 to agriculture would require a more comprehensive assessment of the archaeobotanical evidence. The issue 409 could also be addressed using modelling to explore how the reconstructed changes in regional moisture 400 availability and seasonal temperatures would impact crop viability (see e.g. Contreras et al., 2019).

We have focused on the composite picture of regional changes across the EMBSeCBIO region, in order to investigate whether these changes could be explained as a consequence of known changes in forcing. The data set also provides information on the trends in climate at individual sites. These data could be used to address the question of whether population density or cultural changes reflect shifts in climate (e.g. Weninger et al., 2006; Drake, 2012; Kaniewski et al., 2013; Cookson et al., 2019; Weiberg et al., 2019; Palmisano et al., 2021). In addition, it would also be possible to use these data to explore the impact of climate changes on the environment, including the natural resources available for people (Harrison et al., 2023).

418

# 419 **5.** Conclusions

420 We have reconstructed changes in seasonal temperature and in plant-available moisture from 12.3 ka to the 421 present from 71 sites from the EMBSeCBIO domain to examine changes in the regional climate of the eastern 422 Mediterranean region. We show that there are regionally coherent trends in these variables. The large 423 increase in both summer and winter temperatures during the early Holocene considerably post-dates the 424 warming observed elsewhere at the end of the Younger Dryas, supporting the idea that the impact of the 425 Younger Dryas in the EMBSeCBIO region was muted. Subsequent changes in winter temperature are 426 consistent with the expected response to insolation changes. The timing of peak summer warming occurred 427 later than expected as a consequence of insolation changes and likely, at least in part, reflects the influence 428 of the relict Laurentide and Fennoscandian ice sheets on the regional climate. There is a rapid increase in 429 plant-available moisture between 11 and 10 ka, which could have promoted the adoption of agriculture in the 430 region.

431

#### 432 Data availability.

- 433 Code for the reconstructions of the climatic variables:
- 434 <u>https://github.com/esmeraldacs/EMBSeCBIO\_Holocene\_climate</u>

#### 435 Author Contributions

ECS, SPH, ICP designed the study; EM, SPH and ECS revised EMBSeCBIO database including the construction
of new age models; PJB, HR and YZ provided climate model output; ECS performed the analyses; SPH and ECS
wrote the first draft of the paper; all authors contributed to the final version.

- 439 Competing Interests
- 440 The authors declare there are no competing interests.
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# 732 Figure and Table Captions

Figure 1. Distribution of pollen records used in the climate reconstructions. The colour coding shows the lengthof the record.

Figure 2. Time series of reconstructed anomalies of mean temperature of the coldest month (MTCO) for individual records. Entities are arranged by latitude (N-S). Information about the numbered individual sites can be found in Supplementary Table 1.

Figure 3. Time series of reconstructed anomalies of mean temperature of the warmest month (MTWA) for individual records. Entities are arranged by latitude (N-S). Information about the numbered individual sites can be found in Supplementary Table 1.

Figure 4. Time series of reconstructed anomalies of plant available moisture, expressed as the ratio between potential and actual evapotranspiration ( $\alpha$ ), at individual sites. A correction to account for the direct physiological impacts of CO<sub>2</sub> on plant growth has been applied to the reconstructed  $\alpha$ . Entities are arranged by latitude (N-S). Information about the numbered individual sites can be found in Supplementary Table 1.

- Figure 5. Composite changes in reconstructed mean temperature of the coldest month (MTCO), mean temperature of the warmest month (MTWA), growing degree days above a base level of 0°C (GDDO), and plant available moisture expressed as the ratio between potential and actual evapotranspiration ( $\alpha$ ). A correction to account for the direct physiological impacts of CO<sub>2</sub> on plant growth has been applied to the reconstructions of  $\alpha$ . The dark blue line is a loess smoothed curve through the reconstruction with a window half width of 500 years; the green shading shows the uncertainties based on 1000 bootstrap resampling of the records. The bottom panel shows the number of records used to create the composite through time.
- 752 Figure 6. Simulated regional changes in mean temperature of the coldest month (MTCO), mean temperature 753 of the warmest month (MTWA), growing degree days above a base level of 0°C (GDD0), and plant available 754 moisture expressed as the ratio between potential and actual evapotranspiration ( $\alpha$ ) in the EMBSeCBIO 755 domain from the TRACE-21K-I (green) and TRACE-21K-II (red) transient simulations. It is not possible to 756 calculate changes in  $\alpha$  for the TRACE-21K-II simulation from the available data. Loess smoothed curves were 757 drawn using a window half width of 500 years, and the envelope was obtained through 1000 bootstrap 758 resampling of the sequences. The top panel shows the changes in summer and winter insolation (Wm<sup>-2</sup>) at 40° 759 N.
- Figure 7. Simulated regional changes in mean temperature of the coldest month (MTCO), mean temperature of the warmest month (MTWA), and growing degree days above a base level of 0°C (GDDO) in the EMBSeCBIO domain from the LOVECLIM transient simulation. It is not possible to calculate changes in  $\alpha$  for the LOVECLIM simulation from the available data. Loess smoothed curves were drawn using a window half width of 500 years, and the envelope was obtained through 1000 bootstrap resampling of the sequences.
- Figure 8. Simulated regional changes in mean temperature of the coldest month (MTCO), mean temperature
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772 Figure 9. Comparison of regional composites of reconstructed seasonal temperatures from this study with 773 those derived from Mauri et al. (2015) and Herzschuh et al. (2022). Mauri et al. (2015 provide mean 774 temperature of the coldest month (MTCO) and mean temperature of the warmest month (MTWA) 775 reconstructions, which can be directly compared with our reconstructions. Herzschuh et al. (2022) only 776 provide reconstructions of July temperature. Our reconstructions are shown in blue, reconstructions based on 777 the Mauri et al. (2015) data set are shown in green, and reconstructions based on the Herzschuh et al. 778 reconstruction are shown in orange. The solid line is a loess smoothed curve through the reconstruction with 779 a window half width of 500 years; the shading shows the uncertainties based on 1000 bootstrap resampling 780 of the records.

781 Table 1. Leave-out cross-validation fitness of fxTWA-PLSv2 for mean temperature of the coldest month 782 (MTCO), mean temperature of the warmest month (MTWA), growing degree days above base level 0°C (GDD0) 783 and plant-available moisture ( $\alpha$ ) with p-spline smoothed fx estimation, using bins of 0.02, 0.02 and 0.002, 784 showing results for the selected component for each variable. RMSEP is the root-mean-square error of 785 prediction. p assesses whether using the current number of components is significantly different from using 786 one component less. The degree of overall compression is assessed by linear regression of the cross-validated 787 reconstructions onto the climate variable, where b1 and b1.se are the slope and the standard error of the 788 slope, respectively. The overall compression is reduced as the slope approaches 1. Full details for all the 789 components are given in Supplementary Table 4.

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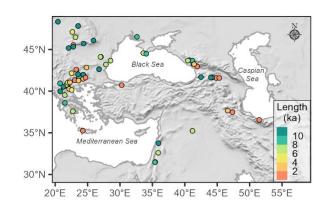
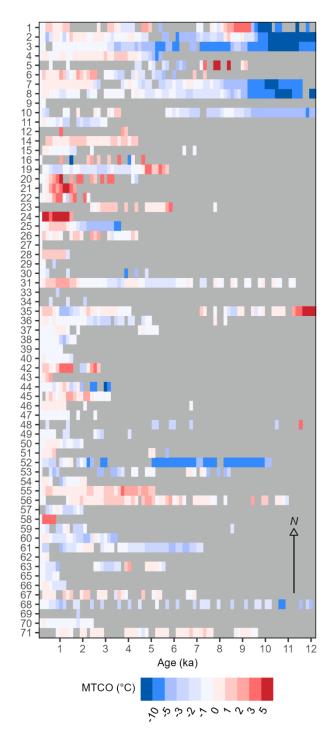
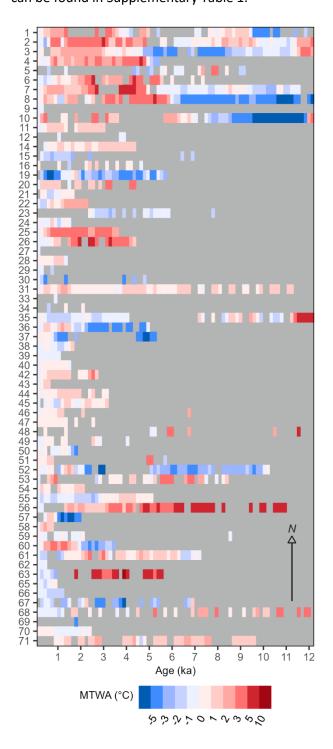


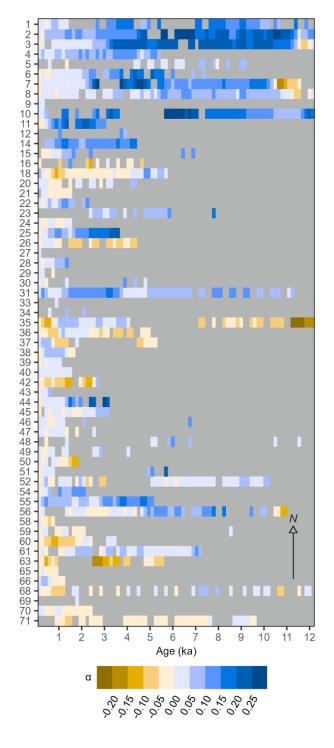
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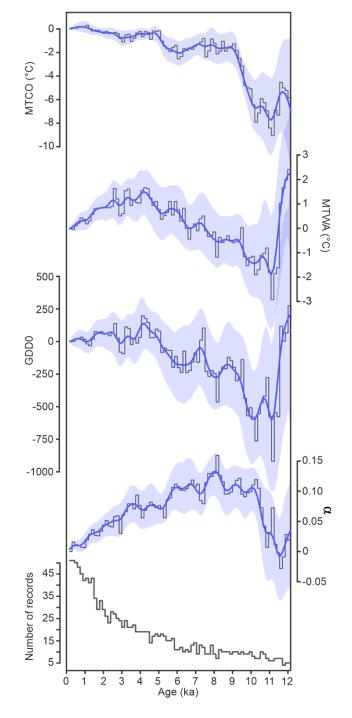


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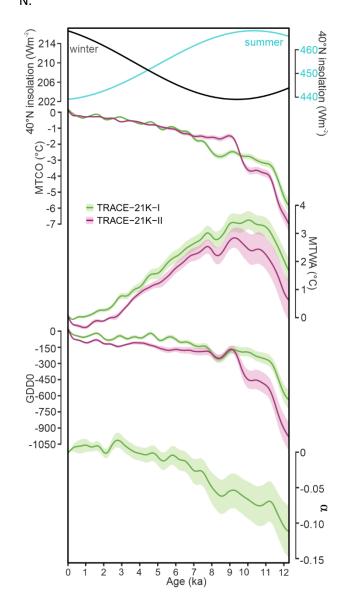
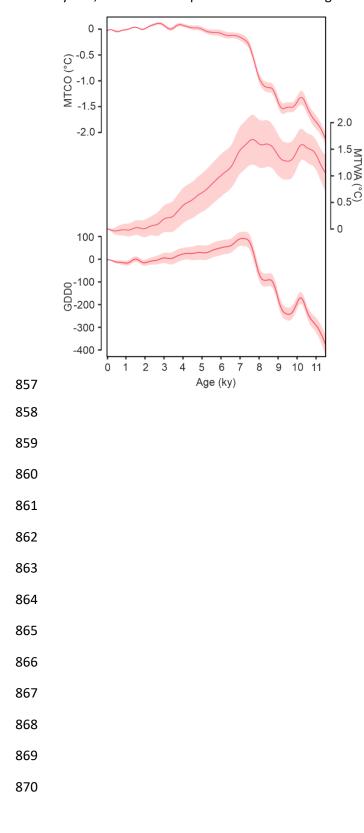


Figure 7. Simulated regional changes in mean temperature of the coldest month (MTCO), mean temperature of the warmest month (MTWA), and growing degree days above a base level of 0°C (GDD0) in the EMBSeCBIO

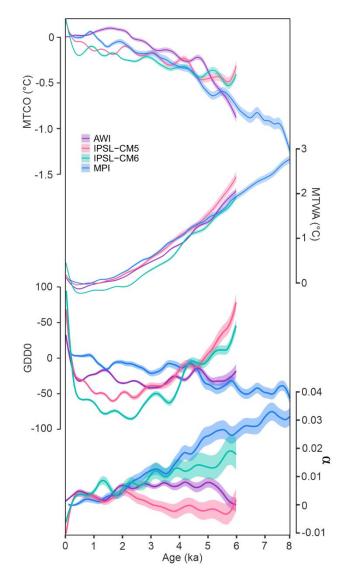
domain from the LOVECLIM transient simulation. It is not possible to calculate changes in α for the LOVECLIM

simulation from the available data. Loess smoothed curves were drawn using a window half width of 500

856 years, and the envelope was obtained through 1000 bootstrap resampling of the sequences.

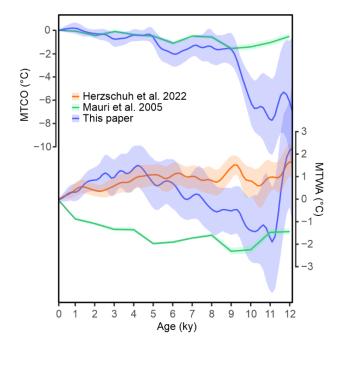


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886 Figure 9. Comparison of regional composites of reconstructed seasonal temperatures from this study with 887 those derived from Mauri et al. (2015) and Herzschuh et al. (2022). Mauri et al. (2015 provide mean 888 temperature of the coldest month (MTCO) and mean temperature of the warmest month (MTWA) 889 reconstructions, which can be directly compared with our reconstructions. Herzschuh et al. (2022) only 890 provide reconstructions of July temperature. Our reconstructions are shown in blue, reconstructions based on 891 the Mauri et al. (2015) data set are shown in green, and reconstructions based on the Herzschuh et al. 892 reconstruction are shown in orange. The solid line is a loess smoothed curve through the reconstruction with 893 a window half width of 500 years; the shading shows the uncertainties based on 1000 bootstrap resampling 894 of the records.



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		Selected						
	Variable	component	R2	Average bias	RMSEP	р	b1	b1.se
	мтсо	4	0.73	-0.22	3.67	0.001	0.86	0.01
	MTWA	2	0.63	-0.10	3.22	0.001	0.78	0.01
	GDD0	2	0.69	56.46	880.33	0.001	0.79	0.01
	α	2	0.73	-0.01	0.15	0.001	0.80	0.01
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