Pollen-based reconstructions of Holocene climate trends in the eastern Mediterranean region

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Ms for Climate of the Past

1 Abstract

2 There has been considerable debate about the degree to which climate has driven societal changes in the 3 eastern Mediterranean region, partly through reliance on a limited number of qualitative records of climate 4 changes and partly reflecting the need to disentangle the joint impact of changes in different aspects of 5 climate. Here, we use tolerance-weighted Weighted Averaging Partial Least Squares to derive reconstructions 6 of mean temperature of the coldest month (MTCO), mean temperature of the warmest month (MTWA), 7 growing degree days above a threshold of 0°C (GDD0) and plant-available moisture, represented by the ratio 8 of modelled actual to equilibrium evapotranspiration (α) and corrected for past CO₂ changes for 71 individual 9 pollen records from the Eastern Mediterranean region covering part or all of the interval from 12.3 ka to the 10 present. We use these reconstructions to create regional composites that illustrate the long-term trends in 11 each variable. We compare these composites with transient climate model simulations to explore potential 12 causes of the observed trends. We show that the glacial-Holocene transition and the early part of the Holocene 13 was characterised by conditions colder and drier than present and low plant availability moisture. Rapid 14 increases in temperature and moisture occurred between ca 10.3 and 9.3 ka, considerably after the end of the 15 Younger Dryas. Although the time series are characterised by centennial-to-millennial oscillations, MTCO 16 showed a gradual increase from 9 ka to the present, consistent with the expectation that winter temperatures 17 were forced by orbitally-induced increases in insolation during the Holocene. MTWA also showed an 18 increasing trend from 9 ka and reached a maximum of ca 1.5°C greater than present at ca 4.5 and 5 ka, 19 followed by a gradual decline towards present-day conditions. A delayed response to summer insolation 20 changes is likely a reflection of the persistence of the Laurentide and Fennoscandian ice sheets; subsequent 21 summer cooling is consistent with the expected response to insolation changes. Plant-available moisture 22 increased rapidly after between 11 and 9.3 ka and conditions were slightly wetter than today between 9-810-23 6 ka, but thereafter α declined gradually. These trends likely reflect changes in atmospheric circulation and 24 moisture advection into the region, and were probably too small to influence summer temperature through 25 land-surface feedbacks. Differences in the simulated trajectory of α in different models highlight the 26 difficulties in reproducing circulation-driven moisture advection into the eastern Mediterranean.

27 **1. Introduction**

28 The Eastern Mediterranean region is a critical region for examining the long-term interactions between climate 29 and past societies because of the early adoption of agriculture in the region, which has been widely associated 30 with the rapid warming at the end of the Younger Dryas (Belfer-Cohen and Goring-Morris, 2011). Societal 31 collapse and large-scale migrations have been associated with climates less favourable to agriculture during 32 the 8.2 ka event (Weninger et al., 2006) or to major changes in agricultural practices (Roffet-Salque et al., 33 2018). Subsequent periods of less favourable climate, particularly prolonged droughts, have been associated 34 with the fall of the Akkadian empire ca. 4.2 ka (Cookson et al., 2019), and the end of the Late Bronze Age and 35 the beginning of the Greek Dark Ages ca 3.2 ka (Kaniewski et al., 2013; Drake, 2012). However, the attribution 36 of changes in human society to climate changes is not universally accepted. Flohr et al. (2016), for example, 37 analysed radiocarbon-dated archaeological sites for evidence of societal changes in response to climate 38 changes in the early Holocene, particularly the 8.2 ka event, and found no evidence of large-scale site 39 abandonment or migration although there were indications of local adaptations. However, since Flohr et al. 40 (2016) did not compare the archaeological records to region-specific climate reconstructions, it is difficult to 41 assess how far local responses might reflect differences in climate between the sites. Even the societal 42 response to the early Holocene warming appears to have differed across the region (Roberts et al., 2018).

The need to understand the interactions between climate and past societies in the Eastern Mediterranean is given further impetus because human modification of the landscape has the potential to affect climate directly through changes in land-surface properties. The degree to which human modifications of the landscape had a significant impact on global climate before the pre-industrial period is debated (Ruddiman, 2003; Joos et al., 2004; Kaplan et al., 2011; Singarayer et al., 2011; Mitchell et al., 2013; Stocker et al., 2017), but these impacts were likely to be more important in regions with a long history of settlement and agricultural activities (Harrison et al., 2020).

50 Much of our current understanding of climate changes in the Eastern Mediterranean region is based on the 51 qualitative interpretation of individual records (e.g. Roberts et al., 2019). Oxygen-isotope records from 52 speleothems or lake sediments have been used to infer changes in moisture availability through the Holocene 53 (e.g. Bar-Matthews et al., 1997; Cheng et al., 2015; Dean et al., 2015; Burstyn et al., 2019) as have pollen-54 based reconstructions of changes in vegetation (e.g. Bottema, 1995; Denèfle et al., 2000; Sadori et al., 2011). 55 Pollen records can also be used to make quantitative reconstructions of seasonal temperatures, and precipitation or plant-available water (Bartlein et al., 2011; Chevalier et al., 2020). Quantitative 56 57 reconstructions of past climates have been made for individual records from the Eastern Mediterranean 58 region (e.g. Cheddadi and Khater, 2016; Magyari et al., 2019), and syntheses of pollen-based quantitative 59 climate reconstructions have included sites from this region (Davis et al., 2003; Mauri et al., 2015; Herzschuh 60 et al., 2022). Davis et al. (2003) provided a composite curve of seasonal temperature changes, but not moisture 61 changes; both summer and winter temperatures showed very little variation (<1°C) through most of the 62 Holocene. Mauri et al. (2015) is an updated version of the Davis et al. (2003) reconstructions, with more sites 63 included but showing similarly muted temperate changes in the Eastern Mediterranean region. Herzschuh et 64 al. (2022) showed more homogenous changes in both temperature and precipitation across the Eastern 65 Mediterranean region but it is difficult to compare the two reconstructions directly because they used 66 different reconstruction techniques. None of the existing reconstructions take account of the impact of 67 changing CO₂ levels on vegetation which could potentially affect the reconstructions of moisture variables 68 (Prentice et al., 2022). Thus, there is a need for well-founded reconstructions of climate, particularly climate 69 variables that are relevant for human occupation and agriculture, to be able to address questions about the 70 interactions between climate and society in the Eastern Mediterranean region.

Here, we provide new quantitative reconstructions of seasonal temperature and plant-available moisture for 72 71 sites from the Eastern Mediterranean region (defined by the Eastern Mediterranean-Black Sea-Caspian 73 Corridor, EMBSeCBIO, project as the region between $20^{\circ}E - 62^{\circ}E$, $29^{\circ}N - 49^{\circ}N$)($20^{\circ}E - 62^{\circ}E$, $29^{\circ}N - 49^{\circ}N$), 74 including a correction for the impact of changing CO₂ levels on plant-available moisture reconstructions. We 75 use these reconstructions to document the regional trends in climate from 12.3 ka to the present. We then 76 explore how far these trends can be explained by changes in external forcing by comparing the reconstructions 77 with transient climate model simulations.

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79 **2. Methods**

80 2.1. Modern pollen and climate data

81 The modern pollen dataset was obtained from version 1 of the SPECIAL Modern Pollen Data Set (SMPDSv1, 82 Harrison, 2019), which provides relative abundance data from 6459 terrestrial sites from Europe, the Middle 83 East and northern Eurasia, assembled from multiple public sources or provided by the original authors. The 84 SMPDS pollen records have been taxonomically standardized, filtered to remove obligate aquatics, 85 insectivorous species, introduced species, or taxa that only occur in cultivation, and to group taxa with only 86 sporadic occurrences into higher taxonomic levels (genus, sub-family or family) and consequently provides 87 relative abundance data for 247 pollen taxa (Supplementary Table 1). We used the 5840 SMPDS sites from the 88 area between 20°W to 62°E and 29°N and 75°N to construct the training data set (Supplementary Figure 1); 89 the sampling outside this box is limited and likely not representative of the diversity of the climate gradients. 90 At sites with multiple modern samples, we averaged the taxon abundances across all samples, to minimise 91 over-representation of some localities and hence specific climates, in the training dataset. We used the 195 92 pollen taxa that occurred at more than 10 sites (Supplementary Table 1) to derive climate-abundance 93 relationships.

94 We focus on reconstructing bioclimatic variables that fundamentally control plant distribution, specifically 95 related to winter temperature limits, accumulated summer warmth and plant-available moisture (Harrison et 96 al., 2010). The bioclimatic data for each modern site was obtained from Harrison et al. (2019), a dataset that 97 provides estimates of mean temperature of the coldest month (MTCO), growing degree days above a base 98 level of 0°C (GDD0), and a moisture index (MI) defined as the ratio of annual precipitation to annual potential 99 evapotranspiration at each modern pollen site, derived using a geographically-weighted regression of version 100 2.0 of the Climate Research Unit (CRU) long-term gridded climatology at 10 arc minute resolution (CRU CL 101 v2.0; New et al., 2002). MTCO and GDDO were taken directly from the data set. Since Harrison et al. (2019) do 102 not provide mean temperature of the warmest month (MTWA), we calculated this based on the relationship 103 between MTCO and GDD0 given in Wei et al. (2021). We derived an alternative moisture index, α , which is the 104 ratio between modelled actual and equilibrium evapotranspiration, from MI following Liu et al. (2020). MI and 105 α both provide good indices of plant-available moisture, but since α has a natural limit in wetter conditions it 106 is more suitable for discriminating differences in drier climates.

107 2.2. Fossil pollen data

108 The fossil pollen dataset for eastern Mediterranean region was obtained from the Eastern Mediterranean-109 Black Sea Caspian Corridor (EMBSeCBIO) database (Harrison et al., 2021), which contains information from 110 187 records from the region between 20°E and 62°E and between 29°N and 49°N29°Nand 49°N and 20°E and 111 62°E. (Note this is a more limited region than used for the modern training data set.) We discarded records (a) from marine environments or very large lakes (>500 km²), (b) with no radiocarbon dating, (c) where the age 112 of the youngest pollen sample was unknown, (d) where there is an hiatus after the youngest radiocarbon date, 113 114 (e) where more than half of the radiocarbon dates were rejected by the original authors, and (f) where more 115 than half of the ages were based on pollen correlation with other radiocarbon-dated records. However, we 116 kept records where there is an hiatus but where there are sufficient radiocarbon dates above the hiatus to 117 create an age model for the post-hiatus part of the record. We constructed new age models for all the 118 remaining sites (121) using the IntCal20 calibration curve (Reimer et al., 2020) and the 'rbacon' R package 119 (Blaauw et al., 2021) in the framework of the 'AgeR' R package (Villegas-Diaz et al., 2021). Some of these 120 records have no modern samples, where modern was defined as 0-300 yr BP, and thus could not be used to 121 calculate climate anomalies. As a result, 71 pollen records (Figure 1; Supplementary Table 2) were used for 122 the climate reconstructions. These records have a mean length of 6594 years and a mean resolution of 228 123 years. The records were taxonomically standardized for consistency with the training dataset.

124 2.3 Climate reconstructions

125 We used tolerance-weighted Weighted Averaging Partial Least Squares (fxTWA-PLS, Liu et al., 2020) regression 126 to model the relationships between taxon abundances and individual climate variables in the modern training 127 dataset and then applied these relationships to reconstruct past climate using the fossil assemblages. fxTWA-128 PLS reduces the known tendency of regression methods to compress climate reconstructions towards the middle of the sampled range by applying a sampling frequency correction to reduce the influence of uneven 129 130 sampling of climate space, and by weighting the contribution of individual taxa according to their climate 131 tolerance (Liu et al., 2020). Version 2 of fxTWA-PLS (fxTWA-PLS2, Liu et al., 2023), applied here, uses P-spline 132 smoothing to derive the frequency correction and also applies the correction both in estimating climate 133 optima and in the regression itself, producing a further improvement in model performance relative to version 134 1 as published by Liu et al. (2020).

135 We evaluated the fxTWA-PLS models by comparing the reconstructions against observations using pseudo-136 removed leave-out cross-validation, where one site was randomly selected as a test site and geographically 137 and climatically similar sites (pseudo sites) were removed from the training set to avoid redundancy in the 138 climate information inflating the cross-validation. We selected the last significant component (p-value ≤ 0.01) 139 and assessed model performance using the root mean square error of prediction (RMSEP). The degree of 140 compression was assessed using linear regression and local compression was assessed by loess regression 141 (locfit). Climate reconstructions were made for every sample in each fossil record using the best models and 142 sample specific errors were estimated via bootstrapping. We applied a correction factor (Prentice et al., 2022) 143 to the reconstructions of α to account for the impact of changes in atmospheric CO₂ levels on water-use 144 efficiency, specifically the increased water use efficiency under high CO₂ levels characteristic of the recent past 145 and the low CO₂ levels that would have reduced water use efficiency during the late glacial and thus could 146 have influenced the reconstructions during the earliest part of the records-which could have impacted the 147 reconstructions during the earliest part of the records. The correction was implemented using the package

148 codos: 0.0.2 (Prentice et al., 2022) with past CO₂ concentration values derived from the EPICA Dome C record
 149 (Bereiter et al., 2015).

150 **2.4. Construction of climate time series**

151 To obtain climate time series representative of the regional trends in climate, we first screened the 152 reconstructions to remove individual samples with (a) low effective diversity (< 2) as measured using Hill's N2 153 diversity measure (Hill, 1973), which could indicate low pollen counts or local contamination, and (b) sample-154 specific errors above the 0.95 quantile to remove obvious outliers. This screening resulted in the exclusion of 155 only a small number of individual samples (see Supplementary Figure 2). We then averaged the reconstructed 156 values in 300-year bins (slightly larger than the average resolution of the records, 228 years) with 50% overlap. 157 with tThe first bin centred on 150 yr BP, and subsequent bins were centred at 150 yr increments throughout 158 the record. Weand excludeding any bins with only one sample. The binned values of individual sites were 159 averaged to produce a regional composite of the anomalies for each climate variable, where the modern 160 baseline was taken as the first 300-yr bin centred on 150 yr BP. These time series were smoothed using locally 161 weighted regression (Cleveland & Devlin, 1988) with a window width of 1000 years (half-window width 500 162 years) and fixed target points in time to highlight the long-term trends. Confidence intervals (5th and 95th 163 percentiles) for each composite were generated by bootstrap resampling by site over 1000 iterations. We 164 examined the impact of the CO₂ correction on reconstructed α (Supplementary Figure 3); this had no major 165 effect on the reconstructed trends except during the earliest part of the record.

166 **2.5. Climate model simulations**

167 We compared the reconstructed climate changes with transient climate model simulations of the response to 168 external forcing, to determine the extent that the reconstructed climate changes reflect changes in known 169 forcing. We used transient simulations of the response to orbital and greenhouse gas forcing in the later 170 Holocene from four models participating in the PAleao-Constraints on Monsoon Evolution and Dynamics 171 (PACMEDY) project (Carré et al., 2021): the MPI (Max Planck Institute) Earth System Model version 1.2 (Dallmeyer et al., 2020), the AWI (Alfred Wegener Institute) Earth System Model version 2 (Sidorenko et al., 172 173 2019), and two versions of the IPSL (Institut Pierre Simon Laplace) Earth System Model. The IPSL and AWI 174 simulations were run from 6 ka to 1950 CE, the MPI simulation from 7.95 ka to 1850 CE. We used a longer 175 transient simulation covering the period from 11.5 ka made with the LOVECLIM model (Goosse et al., 2010) 176 which, in addition to orbital and greenhouse gas forcing, accounts for the waning of the Laurentide and 177 Fennoscandian ice sheets (Zhang et al., 2016). Finally, we used two transient simulations from 22 ka to present 178 made using the Community Climate System Model (CCSM3; Collins et al., 2006). Both were forced by changes 179 in orbital configuration, atmospheric greenhouse gas concentrations, continental ice sheets and meltwater 180 fluxes, but differ in the configuration of the meltwater forcing applied after the Bølling warming (14.7 ka). In 181 the first simulation (TRACE-21k-I: Liu et al., 2009), there was a sustained meltwater flux of ~0.1 Sv from the Northern Hemisphere ice sheets to the Arctic and North Atlantic until ca 6 ka, and a continuous inflow of water 182 183 from the North Pacific into the Arctic after the opening of the Bering Strait. The second simulation (TRACE-184 21k-II; He and Clark, 2022) had no meltwater flux during the Bølling warming or the Holocene but applied a 185 flux of ~ 0.17 Sv to the North Atlantic during the Younger Dryas (12.9-11.7 ka). The difference in meltwater 186 forcing results in a much stronger Atlantic Meridional Overturning Circulation during the Holocene in the 187 TRACE-21k-II simulation compared to the TRACE-21k-I simulation. Details of the model simulations are given 188 in Supplementary Table 3. The use of multiple simulations allows the identification of robust signals that are 189 not model-dependent (see e.g. Carré et al., 2021) and also the separation of the effects of different forcings. 190 The TraCE-21k-I data were adjusted to reflect the changing length of months during the Holocene, (related to

the eccentricity of Earth's orbit and the precession-determined time of year of perihelion), whereas the other
 simulations were not. However, this makes little practical difference for the selection of variables used here
 (Supplementary Figure 4).

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195 Outputs from each simulation were extracted for the EMBSeCBIO domain (20°W – 55°W, 29°N – 49°N) Outputs 196 from each simulation were extracted for land grid cells in the EMBSeCBIO domain ($20^{\circ}E - 55^{\circ}E$, $29^{\circ}N - 49^{\circ}N$; 197 this region extends slightly less far eastwards than the EMBSeCBIO region as originally defined but there are 198 no pollen sites beyond 55° E). MTCO and MTWA were extracted directly; GDD0 was obtained by deriving daily 199 temperature values from monthly data using a mean-preserving autoregressive interpolation function (Rymes 200 & Myers, 2001). Daily values of cloud cover fraction and precipitation were obtained from monthly data in the 201 same way, and used to estimate MI, i.e. the ratio of annual precipitation to annual potential 202 evapotranspiration, through the R package smpds (Villegas-Diaz & Harrison, 2022) before converting this to α 203 following Liu et al. (2020). For consistency with the reconstructed time series, climate anomalies for 30-yr bins 204 for each land grid cell within the EMBSeCBIO domain were calculated using the interval after 300 yr BP as the 205 modern baseline. Since the spatial resolution of the models varies (Supplementary Table 3), and in any case is 206 coarser than the sampling resolution of the individual pollen records precluding direct comparisons except at 207 a regional scale, we used all of the land grid cells within the EMBSeCBIO domain and did not attempt to select 208 grid cells coincident with the location of pollen data. A composite was produced by averaging the grid cell time 209 series, which was then smoothed using locally weighted regression (Cleveland & Devlin, 1988) with a window 210 width of 1000 years (i.e. a half-window width of 500 years) and fixed target points in time. Confidence intervals 211 (5th and 95th percentiles) for each composite were generated by bootstrap resampling by grid cell over 1000 212 iterations.

213 **3. Results**

214 **3.1.** Performance of the fxTWA-PLS statistical model Model performance

The assessment of the model through cross-validation showed that it reproduces the modern climate variables reasonably well (Table 1, Supplementary Table 4). The best performance is achieved by α (R² = 0.73, RMSEP = 0.15) and MTCO (R² = 0.73, RMSEP 3.7°). The models for GDDO (R² = 0.69, RMSEP = 880) and MTWA (R² = 0.63, RMSEP = 3.22) were also acceptable. The slopes of the regressions ranged from 0.78 (MTWA) to 0.86 (MTCO), indicating that the degree of compression in the reconstructions in small (Table 1). Thus, the downcore fxTWA-PLS reconstructions of all the climate variables can be considered to be robust and reliable. a small degree of compression in the reconstructions (Table 1).

3.2. Holocene climate evolution in the region

Down-core reconstructions showed broadly coherent signals, although there was variation in both the timing
 and magnitude of climate changes across the sites, reflecting differences in latitude and elevation (Figures 2,
 3, 4). Nevertheless, the records indicated coherent regional trends over the past 12 ky.

Winter temperature showed a cooling trend between 122.3 and 11 ka, with reconstructed MTCO ca 8°C lower than present at 11 ka (Figure 5). There was a moderate increase in MTCO after 11 ka, followed by a more pronounced increase of ca 5°C between 10.3 and 9.3 ka. Winter temperatures were only ca 2°C lower than present at the end of this rapid warming phase. <u>There are relatively large uncertainties on the MTCO</u> <u>reconstructions prior to 10.3 ka, so the trends in the early part of the record are not well constrained. However,</u> the phase of rapid warming between 10.3 and 9.3 ka (and the subsequent part of the record) is well <u>constrained.</u> MTCO continued to increase gradually through the Holocene, although multi-centennial to
 millennial oscillations were superimposed on the general trend.

234 The initial trends in summer temperature were broadly similar to those in MTCO, with a cooling between 12.3 235 and 11ka and reconstructed MTWA ca 2°C lower than present at 11 ka (Figure 5). Summer temperature 236 increased thereafter, albeit with pronounced millennial oscillations, up to ca 4.5 ka when MTWA was ca 1.5°C 237 higher than present. There was a gradual decrease in summer temperature after ca 4.5 ka. The GDDO 238 reconstructions showed similar trends to MTWA, reaching maximum values around-4.5 ka when the growing 239 season was ca 150 degree days greater than today. The subsequent decline in GDD0 was somewhat flatter, 240 which presumably reflects the influence of still-increasing winter temperatures on the length of the growing 241 season.

242 The trends in α differ from the trends in temperature. Conditions were similar to present around 11.5 ka 243 (Figure 5). Between 11 and 10 ka, there was a rapid increase in α . Values of α were higher than present (>0.1) 244 between 10 to 6 ka. Subsequently, there was a gradual and continuous decrease in α until the present time. 245 The correction for the physiological impact of CO₂ levels was, as expected, largest during intervals when CO₂ 246 was lowest (i.e. prior to 11 ka) (Supplementary Figure 4). The reconstructions with and without the correction 247 are not statistically different between 10 and 5 ka, taking account the uncertainties in the reconstructions, but 248 the correction produced marginally wetter reconstructions after 5 ka, with a maximum difference of 0.08. 249 However, the gradually declining trend in moisture availability towards the present is not affected by the CO₂ 250 correction. Around 11.5 ka, the driest conditions were prevalent, with α being 0.01 lower than it is today 251 (Figure 5). Between 11 and 10 ka, there was a rapid and nearly linear rise in α. From 10 to 6 ka, α values 252 remained above 0.1, peaking around 8 ka. Subsequently, there was a gradual and continuous decrease in α 253 until the present time. The correction for the physiological impact of CO2 levels was, as expected, largest 254 during intervals when CO2 was lowest (i.est. prior 11.5 ka) (Supplementary Figure 4). This correction resulted 255 in the driest conditions at 11.5 ka, rather than at the beginning of the record. The reconstructions with and 256 without the correction are not statistically different between 10 and 5 ka, but the correction produced 257 marginally wetter reconstructions after 5 ka. However, the trend of gradual decline in moisture availability 258 towards the present is not affected by the CO₂ correction.

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3.3. Comparison with climate simulations

260 The TRACE-21k-I simulation (Figure 6) shows an initial winter warming between 12-11 ka but MTCO is still ca 261 3°C lower than present at 11 ka. There is a gradual increase in MTCO from 11_ka onwards, although with 262 centennial-scale variability and a more pronounced oscillation corresponding to the 8.2 ka event. The TRACE-263 21k-II simulation is initially slightly colder and displays a two-step warming with a peak at 8.5 ka, when MTCO 264 is ca 1.5°C lower than present. The later Holocene trend is similar to that shown in TRACE-21k-I. The LOVECLIM 265 simulation produced generally warmer conditions than either of the TRACE simulations: MTCO is ca 2.5°C 266 lower than present at 11 ka but the two-step warming is more pronounced and peak warming occurs 267 somewhat later at ca 7.5 ka when MTCO was only ca 0.25°C lower than present (Figure 7). While all three 268 models show a rapid warming comparable to the reconstructed warming between 10.3 and 9.3 ka, it is clear 269 that differences in the ice sheet and meltwater forcings affect both the magnitude and the timing of this trend. 270 The overall magnitude of the warming after 9 ka in the TRACE-21k-I simulation is consistent with the 271 reconstructions of MTCO (anomalies of 2.4°C and 2.6°C for model and data respectively). The mid--to late 272 Holocene trend is similar in the PACMEDY simulations (Figure 8) to both TRACE-21k simulations, both in sign 273 and in magnitude (ca 1° C between 6 ka and present) and both are consistent with the reconstructions (-0.9 ± 274 0.7°C). The continuous increase of MTCO is consistent with the change in winter insolation. Given the similarities between the PACMEDY simulations (which only include orbital and greenhouse gas forcing) and
 the LOVECLIM and TRACE simulations, which also include forcing associated with the relict Laurentide and
 Fennoscandian ice sheets, it seems likely that orbital forcing was the main driver of winter temperatures in
 the EMBSeCBIO region during the later Holocene.

279 The TRACE-21k-I simulation shows peak summer temperatures between 11-9 ka, when MTWA was ca. 3°C 280 greater than present (Figure 6). The TRACE-21K-II simulations is initially colder than the TRACE-21k-I 281 simulation and the peak in summer temperatures occurs at 9 ka, when MTWA was ca 2.5°C greater than 282 present (Figure 6). The LOVECLIM simulation is warmer than present from 11.5 ka, but peak warming is only 283 reached at 7.5 ka when MTWA is ca 2°C (Figure 7). All three simulations show a gradual decrease in summer 284 temperature through the Holocene after this initial peak. This decreasing trend is also seen in the PACMEDY 285 simulations from 6 ka (or 8 ka in the case of the MPI simulation) onwards (Figure 8) and the magnitude of the 286 change over this interval (ca 2°C from 6ka onwards) is similar to that shown by the TRACE and the LOVECLIM 287 simulations. This similarity suggests that the simulated response is a direct reflection of the change in orbital 288 forcing. However, the reconstructed changes in summer temperature do not show this gradual decline. 289 Reconstructed MTWA is ca 4°C colder than the model predictions at 9 ka. The reconstructions show a gradual 290 increase in MTWA from -9 to 4.5 ka. Changes in reconstructed temperatures at 4.5 ka are of a similar 291 magnitude to simulated temperatures at this time (ca 1°C greater than present) although the late Holocene is 292 marked by a cooling trend as seen in the simulations. Thus, while the simulated late Holocene trend is 293 consistent with orbital forcing being the main driver of summer temperatures in the EMBSeCBIO region, the 294 early to mid-Holocene trend is not. Previous modelling studies have suggested that the timing of peak warmth 295 differs in different regions of Europe and is associated with the impact of the Fennoscandian ice sheet on 296 regionals climates (Renssen et al., 2009; Blascheck and Renssen, 2013; Zhang et al., 2016). The differences in 297 the timing of peak warmth in the EMBSeCBIO region in the TRACE-21k-II and LOVECLIM simulations would be 298 consistent with this argument but suggest that the timing and magnitude are model-dependent. It is therefore 299 plausible that the reconstructed trend in MTWA at least during the early Holocene reflects the influence of 300 the relict Laurentide and Fennoscandian ice sheets in modulating the impact of increased summer insolation 301 until the mid-Holocene. Given that GDD0 is a reflection of both changes in season length, as influenced by 302 winter temperatures, and summer warming, the difference between simulated and reconstructed MTWA are 303 also seen in GDD0 trends during the early part of the Holocene (Figure 6).

304 The simulations do not show consistent patterns for the trend in α . The TRACE-21k-I simulation (Figure 6) 305 shows a gradual increase, with minor multi-centennial oscillations from 12 ka to present. (Available model 306 output variables are not sufficient to calculate α for the TRACE-21k-II or LOVECLIM simulations). One of the 307 PACMEDY simulations (IPSL-CM5) shows an increase from the mid-Holocene (Figure 8) although the simulated 308 change is an order of magnitude smaller than over the comparable period in the TRACE-21k-I simulation. The 309 AWI model shows no trend in α over this period; the remaining two models show increasing aridity from the 310 mid-Holocene to present (Figure 8). These three models are all broadly consistent with the reconstructions 311 since the reconstructed decrease in α is small. However, the differences in the sign of the trend between the 312 different models indicates that changes in moisture are not a straightforward consequence of the forcing, but 313 must reflect model-dependent changes in moisture supply via changes in atmospheric circulation. 314 Reconstructions of Holocene climates in Iberia have suggested that land-surface feedbacks associated with 315 changes in moisture availability have a strong influence on summer temperature (Liu et al., 2023). There does 316 not seem to be strong evidence for this in the EMBSeCBIO region, given the difference in the trends of α and 317 MTWA and the muted nature of the trend in α .

318

319 **4. Discussion**

320 The three temperature-related variables, MTCO, MTWA and GDD0-0 all show relatively warm conditions 321 around the late glacial/Holocene transition (ca 12 ka) followed by a cooling that was greatest between ca 11 322 and 10 ka. This pattern is also shown in regional composites (Figure 9) derived from the reconstructions by 323 Mauri et al. (2015) and Herzschuh et al. (2022). However, the magnitude of the cooling shown in the Mauri et 324 al. (2015) and Herzschuh et al. (2022) reconstructions is small compared to our reconstructions. The cool 325 interval starts somewhat later and persists until 9 ka in the Mauri et al. (2015) reconstructions, but this is partly 326 a reflection of the fact that these reconstructions were only made at 1 ka intervals and thus the transitions 327 are less well constrained than in either our reconstructions or those of Herzschuh et al. (2022). This cool 328 interval and the marked warming seen after 10.3 ka in our reconstructions, does not correspond to the 329 Younger Dryas and the subsequent warming. Although the Younger Dryas is considered to be a globally 330 synchronous event (Cheng et al., 2020) and is generally considered coeval with Greenland Stadial I (Larsson et 331 al., 2022), it does not appear to be strongly registered in the EMBSeCBIO region in any of the quantitative 332 climate reconstructions. This is consistent with earlier suggestions based on vegetation changes that the 333 Younger Dryas was not a clearly marked feature over much of this region (Bottema, 1995).

334 We have shown that winter temperatures increased sharply between 10.3 and 9.3 ka, but then continued to 335 increase at a more gradual rate through the Holocene. The increase of ca 7.5°C is of the same order of 336 magnitude to the increase shown in the TRACE-21K-II simulation (ca. 5°C) and in the LOVECLIM simulation 337 (3°C). This increasing trend is also seen in the Mauri et al. (2015) reconstructions of MTCO (Figure 9), although 338 the change from the early Holocene to the present is much smaller (ca 0.5–1°C) in these reconstructions than 339 in our reconstructions and Mauri et al. (2015) do not show marked cooling around 11 ka. Nevertheless, the 340 consistency between the two reconstructions and between our reconstruction and the simulated changes in 341 MTCO supports the idea that these trends are a response to orbital forcing during the Holocene. Regarding 342 summer temperatures, as measured by both MTWA and GDD0, Oour reconstructions show a gradual increase 343 in summer temperature, as measured by both MTWA and GDD0, from ca 10 to 5 ka when MTWA was ca 1°C 344 warmer than present, followed by a gradual decrease towards the present. This is not consistent with previous 345 reconstructions. Mauri et al. (2015) show an overall increasing trend from 9 ka to present. The Herzschuh et 346 al. (2022) shows a completely different pattern, with the maximum in July temperature at ca. 9 ka and an 347 oscillating but declining trend thereafter (Figure 9).

348 These differences between the three sets of reconstructions are too large to be caused by differences in the 349 age models applied. They are also unlikely to reflect differences in sampling, since the number of sites used is 350 roughly similar across all three reconstructions (71 sites versus 67 sites from Herzschuh et al., 2022 and 409 351 grid points, based on 57 sites, from Mauri et al., 2015); most sites are common to all three analyses. The 352 differences must therefore be related to the reconstruction method. Herzshuch et al. (2022) used the 353 regression-based approach, Weighted Average Partial Least Squares (WA-PLS), that is the basis for our 354 reconstruction technique, fxTWA-PLSv2. Mauri et al. (2015) used the modern analogue technique. However, 355 after taking account of differences caused by the temporal resolution, there is greater similarity between our 356 reconstructions and those of Mauri et al. (2015) than between either of these reconstructions and the 357 Herzschuh et al. (2022) reconstructions.

358 Several methodological issues could be responsible for the differences between the three sets of 359 reconstructions, and in particular the anomalous moisture trends shown by Herzschuh et al. (2022). Specifically, Herzschuh et al. (2022) used (1) a unique calibration data set for each fossil site based on modern samples within a 2000 km radius of that site, rather than relying on a single training data set; (2) a limited set of 70 dominant taxa rather than the whole pollen assemblage; and (3) included marine records from e.g. the Black Sea, which were excluded in the other reconstructions because they sample an extremely large area and thus are unrepresentative of the local climate.

365 Reconstructed MTWA shows a gradual increase through the early Holocene with maximum values of around 366 1.5°C greater than present reached at ca 4.54.5 ka. Previous modelling studies have shown the timing of 367 maximum warmth during the Holocene in Europe varied regionally and thewas delayed compared to the 368 maximum of insolation forcing and varied regionally as a consequence of reflected the impact of the 369 Fennoscandian ice sheet on surface albedo, atmospheric circulation and heat transport (Renssen et al., 2009; 370 Blascheck and Renssen, 2013; Zhang et al., 2016; Zhang et al., 2023). Two of the simulations examined here 371 show a delay in the timing of peak warmth, which occurred ca 9 ka in the TRACE-21k-II simulation and ca 7.5 372 ka in the LOVECLIM simulation. Although both sets of simulations include the relict Laurentide and 373 Fennoscandian ice sheets, neither has realistic ice sheet and meltwater forcing. In the case of the LOVECLIM 374 simulation, for example, the Fennoscandian ice sheet was gone by 10 ka whereas in reality it persisted until at 375 least 8.7 ka (Patton et al., 2017). Thus, the impact of the Fennoscandian ice sheet in delaying orbitally-induced 376 warming could-would likely have been greater than shown in this simulation. In addition to differences in the 377 way in which ice sheets and meltwater forcing are implemented in different models, models are also 378 differentially sensitive to the presence of the same prescribed ice sheet (Kapsch et al., 2022). Thus, it would 379 be useful to examine the influence of more realistic prescriptions of the relict ice sheets on the climate of the 380 EMBSecBIO region using multiple models, and preferably transient simulations at higher resolution or regional 381 climate models. Nevertheless, the way in which ice sheets and meltwater forcing are implemented varies 382 between models; models are also differentially sensitive to the presence of relict ice sheets (Kapsch et al., 383 2022). It would be useful to examine the influence of the ice sheets on the climate of the EMBSeCBIO region 384 using transient simulations at higher resolution or regional climate models. It has been suggested that 385 meltwater was routed to the Black and Caspian Seas via the Dnieper and Volga Rivers during the early phase 386 of deglaciation (e.g. Yanchilina et al., 2019; Aksu et al. 2022; Vadsaria et al., 2022) and it would also be useful 387 to investigate the impact of this on the regional climate.

388 The availability of water is a crucial factor in the viability of early agriculture (Richerson et al., 2001; Zeder, 389 2011). We have shown that α was similar to today around 11 ka, but there was a rapid increase in moisture 390 availability after ca 10.5 ka such that α values were noticeably higher than present between 10 to 6 ka, 391 followed by a gradual and continuous decrease until the present time. Changes in the late Holocene are small 392 even at centennial scale (Figure 5). The reconstructed trends in α are not captured in the simulations, which 393 show different trends during the late Holocene. Thus, it is unlikely that the gradual increase in aridity during 394 the late Holocene is a straight-forward response to orbital forcing. Changes in α in the EMBSeCBIO region are 395 likely to be primarily driven by precipitation changes, which in turn are driven by changes in atmospheric 396 circulation. Differences in the trend of moisture availability between the models imply that the nature of the 397 changes in circulation varies between models and thus the simulations do not provide a strong basis for 398 explaining the observed patterns of change in moisture availability. Earlier studies, focusing on the western 399 Mediterranean (Liu et al., 2023), Europe (Mauri et al., 2014) and central Eurasia (Bartlein et al., 2017), have 400 shown that models have difficulty in simulating the enhanced moisture transport into the Eurasian continent 401 shown by palaeoenvironmental data during the mid-Holocene and during the late Holocene. Changes in 402 precipitation can also affect land-surface feedbacks. Liu et al. (2023), for example, have argued that enhanced moisture transport into the Iberian peninsula during the mid-Holocene led to more vegetation cover and 403

404 increased evapotranspiration and had a significant impact in reducing growing season temperatures. 405 Differences in the reconstructed trends of summer temperature and plant-available moisture through the 406 Holocene suggests that this land-surface feedback was not an important factor influencing summer 407 temperatures in the EMBSeCBIO region. Nevertheless, differences in the strength of land-surface feedbacks 408 between models could also contribute to the divergences seen in the simulations. It would be useful to 409 investigate the role of changes in atmospheric circulation for precipitation patterns during the Holocene in the 410 EMBSeCBIO region using transient simulations at higher resolution or regional climate models. We have shown 411 that conditions were markedly drier than today (α anomaly ~ -0.2) similar to today around 11 ka but that 412 moisture availability increased to levels only very slightly higher than today (α anomaly \approx 0.05–0.075) between 413 9 and 8 ka, before declining to present day levels. The initial increase in plant-available water, as indexed by 414 a, could have contributed to promoting the viability of agriculture, as suggested by Richerson et al. (2001). 415 However, subsequent changes are small even at centennial scale (Figure 5). The reconstructed trends in α are 416 not captured in the IPSL-CM6 and MPI_simulations. Although influenced by summer_temperature-driven 417 changes in evaporation, changes in α in the EMBSeCBIO region are likely to be primarily driven by precipitation 418 changes, which in turn are driven by changes in atmospheric circulation. There are indeed large simulated 419 changes in atmospheric circulation through the Holocene in e.g. the LOVECLIM simulations (Supplementary 420 Figure 5) but, as pointed our earlier, differences in the trend of moisture availability between the models imply 421 that the nature of the changes in circulation varies between models and thus does not provide a strong basis 422 for explaining the observed patterns of change in moisture availability. Furthermore, earlier studies, focusing 423 on the western Mediterranean (Liu et al., 2023), Europe (Mauri et al., 2014) and central Eurasia (Bartlein et 424 al., 2017), have shown that models have difficulty in simulating the enhanced moisture transport into the 425 Eurasian continent shown by palaeoenvironmental data during the mid-Holocene and during the late 426 Holocene. Liu et al. (2023) have argued that enhanced moisture transport into the Iberian peninsula during 427 the mid-Holocene led to more vegetation cover and increased evapotranspiration and had a significant impact 428 in reducing growing season temperatures. However, the differences in the trends of summer temperature and 429 plant-available moisture through the Holocene suggests that this land-surface feedback was not an important 430 factor influencing summer temperatures in the EMBSeCBIO region.

431 The timing of the transition to agriculture in the eastern Mediterranean is still debated (Asouti & Fuller, 2012). 432 It has been argued that climatic deterioration and population growth during the Younger Dryas triggered a 433 shift to farming (Weiss & Bradley, 2001; Bar-Yosef et al., 2017). The presence of morphologically altered 434 cereals by the end of the Pleistocene has been put forward as evidence for an early transition to agriculture 435 (Bar-Yosef et al., 2017), but it has also been pointed out that the evidence for cereal domestication before ca 436 10.5ka is poorly dated and insufficiently documented (Nesbitt, 2002) and that crops did not replace foraging 437 economies until well into the Holocene (Smith, 2001; Willcox, 2012; Zeder, 2011). The availability of water is 438 a crucial factor in the viability of early agriculture (Richerson et al., 2001; Zeder, 2011). We have shown that 439 moisture availability was higher than today during the first part of the Holocene (10-6 ka) but similar to today 440 until ca 10.5 ka. Wetter conditions during the early Holocene could have been a crucial factor in the transition 441 to agriculture, and our findings support the idea that this transition did not happen until much later than the 442 Younger Dryas or late glacial/Holocene transition. Further exploration of the role of climate in the transition 443 to agriculture would require a more comprehensive assessment of the archaeobotanical evidence. The issue 444 could also be addressed using modelling to explore how the reconstructed changes in regional moisture 445 availability and seasonal temperatures would impact crop viability (see e.g. Contreras et al., 2019).

446 We have focused on the composite picture of regional changes across the EMBSeCBIO region, in order to 447 investigate whether these changes could be explained as a consequence of known changes in forcing. The

- data set also provides information on the trends in climate at individual sites. These data could be used to
 address the question of whether population density or cultural changes reflect shifts in climate (e.g. Weninger
 et al., 2006; Drake, 2012; Kaniewski et al., 2013; Cookson et al., 2019; Weiberg et al., 2019; Palmisano et al.,
 2021). In addition, it would also be possible to use these data to explore the impact of climate changes on the
 environment, including the natural resources available for people (Harrison et al., in press2023).
- 453

454 **5. Conclusions**

455 We have reconstructed changes in seasonal temperature and in plant-available moisture from 12.3 ka to the 456 present from 71 sites from the EMBSeCBIO domain to examine changes in the regional climate of the eastern 457 Mediterranean region. We show that there are regionally coherent trends in these variables. The large 458 increase in both summer and winter temperatures during the early Holocene considerably post-dates the 459 warming observed elsewhere at the end of the Younger Dryas, supporting the idea that the impact of the 460 Younger Dryas in the EMBSeCBIO region was muted. Subsequent changes in winter temperature are 461 consistent with the expected response to insolation changes. The timing of peak summer warming occurred 462 later than expected as a consequence of insolation changes and likely, at least in part, reflects the influence 463 of the relict Laurentide and Fennoscandian ice sheets on the regional climate. Drier-than-present conditions 464 are reconstructed at ca. 11.5 kaat the beginning of the Holocene, but tThere is a rapid increase in plant-465 available moisture between 11 and 9-10 ka, which could have promoted the adoption of agriculture in the 466 regional crops. However, changes in plant available water during the middle and late Holocene are small even 467 considering centennial-scale variability.

468

469 Data availability.

- 470 Code for the reconstructions of the climatic variables:
- 471 <u>https://github.com/esmeraldacs/EMBSeCBIO_Holocene_climate</u>

472 Author Contributions

ECS, SPH, ICP designed the study; EM, SPH and ECS revised EMBSeCBIO database including the construction
of new age models; PJB, HR and YZ provided climate model output; ECS performed the analyses; SPH and ECS
wrote the first draft of the paper; all authors contributed to the final version.

476 **Competing Interests**

477 The authors declare there are no competing interests.

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769 Figure and Table Captions

Figure 1. Distribution of pollen records used in the climate reconstructions. The colour coding shows the lengthof the record.

Figure 2. Time series of reconstructed anomalies of mean temperature of the coldest month (MTCO) for individual records. Entities are arranged by latitude (N-S). Information about the numbered individual sites can be found in Supplementary Table 1.

Figure 3. Time series of reconstructed anomalies of mean temperature of the warmest month (MTWA) for individual records. Entities are arranged by latitude (N-S). Information about the numbered individual sites can be found in Supplementary Table 1.

Figure 4. Time series of reconstructed anomalies of plant available moisture, expressed as the ratio between potential and actual evapotranspiration (α), at individual sites. A correction to account for the direct physiological impacts of CO₂ on plant growth has been applied to the reconstructed α . Entities are arranged by latitude (N-S). Information about the numbered individual sites can be found in Supplementary Table 1.

782 Figure 5. Composite changes in reconstructed mean temperature of the coldest month (MTCO), mean 783 temperature of the warmest month (MTWA), growing degree days above a base level of 0°C (GDDQ₀), and 784 plant available moisture expressed as the ratio between potential and actual evapotranspiration (α). A 785 correction to account for the direct physiological impacts of CO_2 on plant growth has been applied to the 786 reconstructions of α . The green linedark blue line is a loess smoothed curve through the reconstruction with 787 a window half width of 500 years; the green shading shows the uncertainties based on 1000 bootstrap 788 resampling of the records. The bottom panel shows the number of records used to create the composite 789 through time.

790 Figure 6. Simulated regional changes in mean temperature of the coldest month (MTCO), mean temperature 791 of the warmest month (MTWA), growing degree days above a base level of 0°C (GDD0), and plant available 792 moisture expressed as the ratio between potential and actual evapotranspiration (α) in the EMBSeCBIO 793 domain from the TRACE-21K-I (green) and TRACE-21K-II (red) transient simulations. It is not possible to 794 calculate changes in α for the TRACE-21K-II simulation from the available data. Loess smoothed curves were 795 drawn using a window half width of 500 years, and the envelope was obtained through 1000 bootstrap 796 resampling of the sequences. The top panel shows the changes in summer and winter insolation (Wm⁻²) at 40° 797 N.

Figure 7. Simulated regional changes in mean temperature of the coldest month (MTCO), mean temperature of the warmest month (MTWA), and growing degree days above a base level of 0°C (GDDO-) in the EMBSeCBIO domain from the LOVECLIM transient simulation. It is not possible to calculate changes in α for the LOVECLIM simulation from the available data. Loess smoothed curves were drawn using a window half width of 500 years, and the envelope was obtained through 1000 bootstrap resampling of the sequences.

Figure 8. Simulated regional changes in mean temperature of the coldest month (MTCO), mean temperature of the warmest month (MTWA), and growing degree days above a base level of 0°C (GDDO-) in the EMBSeCBIO domain from the four PACMEDY simulations. The models are: Max Plank Institute Earth System Model (MPI), Alfred Wagener Institute Earth System Model simulations (AWI), Institute Pierre Simon Laplace Climate Model
 TR5AS simulation (IPSL-CM5) and Institute Pierre Simon Laplace Climate Model TR6A V simulation (IPSL-CM6).
 Loess smoothed curves were drawn using a window half width of 500 years and the envelope was obtained
 through 1000 bootstrap resampling of the sequences.

810 Figure 9. Comparison of regional composites of reconstructed seasonal temperatures from this study with 811 those derived from Mauri et al. (2015) and Herzschuh et al. (2022). Mauri et al. (2015 provide mean 812 temperature of the coldest month (MTCO) and mean temperature of the warmest month (MTWA) 813 reconstructions, which can be directly compared with our reconstructions. Herzschuh et al. (2022) only 814 provide reconstructions of July temperature. Our reconstructions are shown in blue, reconstructions based on 815 the Mauri et al. (2015) data set are shown in green, and reconstructions based on the Herzschuh et al. 816 reconstruction are shown in orange. The solid line is a loess smoothed curve through the reconstruction with 817 a window half width of 500 years; the shading shows the uncertainties based on 1000 bootstrap resampling 818 of the records.

819 Table 1. Leave-out cross-validation fitness of fxTWA-PLSv2 for mean temperature of the coldest month 820 (MTCO), mean temperature of the warmest month (MTWA), growing degree days above base level 0°C (GDD0) 821 and plant-available moisture (α) with p-spline smoothed fx estimation, using bins of 0.02, 0.02 and 0.002, 822 showing results for the selected component for each variable. RMSEP is the root-mean-square error of 823 prediction. p assesses whether using the current number of components is significantly different from using 824 one component less. The degree of overall compression is assessed by linear regression of the cross-validated 825 reconstructions onto the climate variable, where b1 and b1.se are the slope and the standard error of the 826 slope, respectively. The overall compression is reduced as the slope approaches 1. Full details for all the 827 components are given in Supplementary Table 4.

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Figure 5. Composite changes in reconstructed mean temperature of the coldest month (MTCO), mean

temperature of the warmest month (MTWA), growing degree days above a base level of 0°C (GDD<u>0</u>, and

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868 correction to account for the direct physiological impacts of CO_2 on plant growth has been applied to the 869 reconstructions of α . The green linedark blue line is a loess smoothed curve through the reconstruction with

a window half width of 500 years; the blue shading shows the uncertainties based on 1000 bootstrap

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872 through time.





Figure 6. Simulated regional changes in mean temperature of the coldest month (MTCO), mean temperature of the warmest month (MTWA), growing degree days above a base level of 0°C (GDD0), and plant available moisture expressed as the ratio between potential and actual evapotranspiration (α) in the EMBSeCBIO domain from the TRACE-21K-I (green) and TRACE-21K-II (red) transient simulations. It is not possible to calculate changes in α for the TRACE-21K-II simulation from the available data. Loess smoothed curves were drawn using a window half width of 500 years, and the envelope was obtained through 1000 bootstrap

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	Variable	Selected component	R2	Average bias	RMSEP	p	b1	b1.se
	мтсо	4	0.73	-0.22	3.67	0.001	0.86	0.01
	MTWA	2	0.63	-0.10	3.22	0.001	0.78	0.01
	GDD0	2	0.69	56.46	880.33	0.001	0.79	0.01
960	α	2	0.73	-0.01	0.15	0.001	0.80	0.01
961								