

1 **Examination of varying mixed-phase stratocumulus clouds in terms of their**
2 **properties, ice processes and aerosol-cloud interactions between polar and**
3 **midlatitude cases: An attempt to propose a microphysical factor to explain the**
4 **variation**

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53 Abstract

54

55 This study examines the ratio of ice crystal number concentration (ICNC) to cloud droplet
56 number concentration (CDNC), which is ICNC/CDNC, in mixed-phase stratocumulus
57 clouds. This examination is performed using a large-eddy simulation (LES) framework and
58 one of efforts toward a more general understanding of mechanisms controlling cloud
59 development, aerosol-cloud interactions and impacts of ice processes on them in mixed-
60 phase stratocumulus clouds. For the examination, this study compares a case of polar
61 mixed-phase stratocumulus clouds to that of midlatitude mixed-phase stratocumulus
62 clouds with weak precipitation. It is found that ICNC/CDNC plays a critical role in making
63 differences in cloud development with respect to the relative proportion of liquid and ice
64 mass between the cases by affecting in-cloud latent-heat processes. Note that this
65 proportion has an important implication for cloud radiative properties and thus climate. It
66 is also found that ICNC/CDNC plays a critical role in making differences in interactions
67 between clouds and aerosols and impacts of ice processes on clouds and their interactions
68 with aerosols between the cases by affecting in-cloud latent-heat processes. Findings of
69 this study suggest that ICNC/CDNC can be a simplified general factor that contributes to
70 a more general understanding and parameterizations of mixed-phase clouds, their
71 interactions with aerosols and roles of ice processes in them.

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1. Introduction

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86 Stratiform clouds (e.g., stratus and stratocumulus clouds) have significant impacts on
87 climate (Warren et al. 1986; Stephens and Greenwald 1991; Hartmann et al. 1992; Hahn
88 and Warren 2007; Wood, 2012; Dione et al., 2019; Zheng et al., 2021). Since
89 industrialization, aerosol concentrations have increased and this has had impacts on
90 stratiform clouds and climate (Twomey, 1974; Albrecht, 1989; Ackerman et al., 2004).
91 However, our level of understanding of these clouds and impacts has been low and this has
92 caused the highest uncertainty in the prediction of future climate (Ramaswamy et al., 2001;
93 Forster et al., 2007; Knippertz et al., 2011; Hannak et al., 2017). Stratiform clouds can be
94 classified into warm and mixed-phase clouds. Mixed-phase stratiform clouds involve ice
95 processes and frequently form in midlatitude and polar regions. When mixed-phase
96 stratiform clouds are associated with convective clouds, they can form even in the tropical
97 region. Most previous studies have focused on warm clouds and their interactions with
98 aerosols, whereas the mixed-phase stratiform clouds and their interactions with aerosols
99 are poorly understood mainly due to the more complex ice processes. Hence, mixed-phase
100 stratiform clouds and their interactions with aerosols account for the uncertainty more than
101 warm clouds and their interactions with aerosols (Ramaswamy et al., 2001; Forster et al.,
102 2007; Wood, 2012; IPCC, 2021; Li et al., 2022).

103 The relative proportion of liquid mass, which can be represented by liquid-water
104 content (LWC) or liquid-water path (LWP), and ice mass, which can be represented by ice-
105 water content (IWC) or ice-water path (IWP), in mixed-phase stratiform clouds plays a
106 critical role in cloud radiative properties and thus their climate feedbacks (Tsushima et
107 al., 2006; Choi et al., 2010 and 2014; Gettelman et al., 2012; Zhang et al., 2019). The
108 relative proportion is defined to be IWC (IWP) over LWC (LWP) or IWC/LWC
109 (IWP/LWP) in this study. Motivated by this and the above-mentioned uncertainty, this
110 study aims to improve our understanding of mixed-phase stratiform clouds and their
111 interactions with aerosols with the emphasis on ice processes and IWC/LWC (or
112 IWP/LWP).

113 Lee et al. (2021) have investigated mixed-phase stratocumulus clouds in a midlatitude
114 region and found that microphysical latent-heat processes are more important in the

115 development of mixed-phase stratiform clouds and their interactions with aerosols than
116 entrainment and sedimentation processes. Lee et al. (2021) have found that a microphysical
117 factor, the ratio of ice crystal number concentration (ICNC) to cloud droplet number
118 concentration (CDNC) or ICNC/CDNC, play an important role in latent processes, the
119 development of mixed-phase stratiform clouds and their interactions with aerosols. In
120 particular, Lee et al. (2021) have found that IWC/LWC or IWP/LWP is strongly affected
121 by ICNC/CDNC. This is because deposition and condensation of water vapor occur on the
122 surface of ice crystals and droplets, respectively. Thus, ice crystals and droplets act as
123 sources of deposition and condensation, respectively. Then, ice crystals and droplets act as
124 sources of IWC (or IWP) and LWC (or LWP), respectively. More ice crystals and droplets
125 provide the greater integrated surface area of ice crystals and droplets and induce more
126 deposition and condensation, respectively, for a given environmental condition (Lee et al.,
127 2009; Khain et al., 2012; Fan et al., 2018; Chua and Ming, 2020; Lee et al., 2021). The
128 higher ICNC/CDNC means more ice crystals or sources of deposition per a droplet as a
129 source of condensation in a given group of ice crystals and droplets. Thus, the higher
130 ICNC/CDNC enables more deposition per unit condensation to occur, which can raise
131 IWC/LWC or IWP/LWP.

132 Mixed-phase stratocumulus clouds in different regions are known to have different
133 IWC/LWC or IWP/LWP and aerosol-cloud interactions (e.g., Choi et al., 2010 and 2014;
134 Zhang et al., 2019). Lots of factors such as environmental conditions, which can be
135 represented by variables such as temperature, humidity and wind shear, and macrophysical
136 factors one of which is the relative locations of ice-crystal and droplet layers, can explain
137 those differences. Choi et al. (2010 and 2014) and Zhang et al. (2019) have shown that as
138 temperature lowers, IWC/LWC or IWP/LWP tends to increase and indicated that
139 temperature is a primary environmental condition to explain the differences in IWC/LWC
140 among different regions or clouds. However, Choi et al. (2010 and 2014) and Zhang et al.
141 (2019) have not discussed process-level mechanisms that govern the role of temperature in
142 those differences.

143 It is important to establish a general principle that explains the differences in
144 LWC/LWC and aerosol-cloud interactions among regions, since the general principle is
145 useful in the development of a more general or comprehensive parameterization of

146 stratocumulus clouds and their interactions with aerosols for climate models. This
147 contributes to the better prediction of future climate, considering that the absence of the
148 comprehensive parameterization has been considered one of the biggest obstacles to the
149 better prediction (Ramaswamy et al., 2001; Foster et al., 2007; Stevens and Feingold, 2009).

150 As a way of contributing to the establishment of the general principle, this study
151 attempts to take ICNC/CDNC as a general factor, which can constitute the general principle,
152 to explain the differences in IWC/LWC (or IWP/LWP) and aerosol-cloud interactions
153 among clouds. This study also attempts to elucidate how ice processes differentiate mixed-
154 phase stratiform clouds from warm clouds in terms of cloud development and its
155 interactions with aerosols, and how this differentiation varies among cases of mixed-phase
156 stratiform clouds with different ICNC/CDNC values. This attempt is valuable, considering
157 that in general, the establishment of the general principle for stratocumulus clouds and their
158 interactions with aerosols has been progressed much less than that for other types of clouds
159 such as convective clouds and their interactions with aerosols. The attempt is valuable, also
160 considering that our level of understanding of how ice processes differentiate mixed-phase
161 stratiform clouds and their interactions with aerosols from much-studied warm clouds and
162 their interactions with aerosols has been low. Here, we want to emphasize that this study
163 does not aim to gain a fully established general principle, but aims to test the factor that
164 can be useful to move ahead on our path to a more complete general principle. Hence, this
165 study should be regarded a steppingstone to the established principle, and should not be
166 considered a perfect study that get us the fully established principle. Taking into account
167 the fact that even attempts to provide general factors for the general principle have been
168 rare, the fulfilment of the aim is likely to provide us with valuable preliminary information
169 that streamlines the development of a more established general principle.

170 For the attempt, this study investigates a case of mixed-phase stratiform clouds in the
171 polar region. Via the investigation, this study aims to identify process-level mechanisms
172 that control the development of those clouds and their interactions with aerosols, and the
173 impact of ice processes on the development and interactions using a large-eddy simulation
174 (LES) framework. Then, this study compares the mechanisms in the case of polar clouds
175 to those in a case of midlatitude clouds which have been examined by Lee et al. (2021).
176 This comparison is based on Choi et al. (2010 and 2014) and Zhang et al. (2019) which

177 have shown that temperature is an important factor which explains the differences in
178 IWC/LWC among regions or clouds. Due to significant differences in latitudes, noticeable
179 differences in the temperature of air are between the polar and midlatitude cases. Hence,
180 through this comparison, this study looks at the role of temperature in those differences in
181 IWC/LWC and associated aerosol-cloud interactions. More importantly than that, as a way
182 of identifying process-level mechanisms that control the role of temperature, this study
183 tests how ICNC/CDNC as the general factor is linked to the role of temperature, using the
184 LES framework. Through this test, this study also identifies process-level mechanisms that
185 control how ICNC/CDNC affects roles of ice processes in the differentiation between
186 mixed-phase stratiform and warm clouds in terms of cloud development and its interactions
187 with aerosols, and causes the variation of the differentiation between the cases of mixed-
188 phase stratiform clouds.

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190 **2. Case, model and simulations**

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192 **2.1 LES model**

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194 LES simulations are performed by using the Advanced Research Weather Research and
195 Forecasting (ARW) model. A bin scheme, which is detailed in Khain et al. (2000) and
196 Khain et al. (2011), is adopted by the ARW for the simulation of microphysics. Size
197 distribution functions for each class of hydrometeors, which are classified into water drops,
198 ice crystals (plate, columnar and branch types), snow aggregates, graupel and hail, are
199 represented with 33 mass doubling bins, i.e., the mass of a particle m_k in the k th bin is
200 determined as $m_k = 2m_{k-1}$. Each of hydrometeors has its own terminal velocity that varies
201 with the hydrometeor mass and the sedimentation of hydrometeors is simulated using their
202 terminal velocity.

203 Size distribution functions for aerosols, which act as cloud condensation nuclei
204 (CCN) and ice-nucleating particles (INP), adopt the same mass doubling bins as for
205 hydrometeors. The evolution of aerosol size distribution and associated aerosol
206 concentrations at each grid point is controlled by aerosol sinks and sources such as aerosol
207 advection, turbulent mixing, activation and aerosol regeneration via the evaporation of

208 droplets and the sublimation of ice crystals. Aerosol regeneration follows the method
209 similar to that as described in Xue et al. (2010). It is assumed that aerosols do not fall down
210 by themselves and move around by airflow that is composed of horizontal flow, updrafts,
211 downdrafts and turbulent motions. When aerosols move with airflow, it is assumed that
212 they move with the same velocity as airflow. Taking activation as an example of the
213 evolution of aerosol size distribution, the bins of the aerosol spectra that correspond to
214 activated particles are emptied. Activated aerosol particles are included in hydrometeors
215 and move to different classes and sizes of hydrometeors through collision-coalescence. In
216 case hydrometeors with aerosol particles precipitate to the surface, those particles are
217 removed from the atmosphere.

218 The large energetic turbulent eddies are directly resolved by the LES framework, and
219 the effects of the smaller subgrid-scale turbulent motions on the resolved flow are
220 parameterized based on a most widely used method that Smagorinsky (1963) and Lilly
221 (1967) proposed. In this method, the mixing time scale is defined to be the norm of the
222 strain rate tensor (Bartosiewicz and Duponcheel, 2018). A cloud-droplet nucleation
223 parameterization based on Köhler theory represents cloud-droplet nucleation. Arbitrary
224 aerosol mixing states and aerosol size distributions can be fed to this parameterization. To
225 represent heterogeneous ice-crystal nucleation, the parameterizations by Lohmann and
226 Diehl (2006) and Möhler et al. (2006) are used. In these parameterizations, contact,
227 immersion, condensation-freezing, and deposition nucleation paths are all considered by
228 taking into account the size distribution of INP, temperature and supersaturation.
229 Homogeneous aerosol (or haze particle) and droplet freezing is
230 also considered following the theory developed by Koop et al. (2000).

231 The bin microphysics scheme is coupled to the Rapid Radiation Transfer Model
232 (RRTM; Mlawer et al., 1997). The effective sizes of hydrometeors, which are calculated
233 in the bin scheme, are fed into the RRTM as a way of considering effects of the effective
234 sizes on radiation. The surface process and resultant surface heat fluxes are simulated by
235 the interactive Noah land surface model (Chen and Dudhia, 2001).

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237 **2.2 Case and simulations**

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2.2.1 Case and standard simulations

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241 In the Svalbard area, Norway, a system of mixed-phase stratocumulus clouds existed over
242 the horizontal domain marked by a red rectangle in Figure 1 and a period between 02:00
243 and 10:00 local solar time (LST) on March 29th, 2017. These clouds are observed by the
244 Cloudnet ground observation that has been established to provide a systematic evaluation
245 of clouds in forecast and climate models. The Cloudnet observation aims to establish a
246 number of ground-based remote sensing sites, which would all be equipped with a specific
247 array of instrumentation, using active sensors such as lidar and Dopplerized mm-wave
248 radar, in order to provide vertical profiles of the main cloud variables (e.g., LWP and IWP),
249 at high spatial and temporal resolution (Hogan et al., 2006). The Cloudnet observation
250 provides data of important cloud variables such as LWP and IWP to the public and this
251 study utilize these data.

252 On average, the bottom and top of the observed clouds, which are measured by radar
253 and lidar in the Cloudnet observation, are at ~ 400 m and ~ 3 km in altitude, respectively.
254 The simulation of the observed system or case, i.e., the control run, is performed three-
255 dimensionally over the red rectangle and the period between 02:00 and 10:00 LST on
256 March 29th, 2017. The horizontal domain adopts a 100-m resolution for the control run. The
257 length of the domain in the horizontal directions is 50 km. The length of the domain in the
258 vertical direction is ~ 5 km and the resolution for the vertical domain gets coarsened with
259 height from ~ 5 m just above the surface to ~ 150 m at the model top as detailed in the
260 supplement. Reanalysis data, which are produced by Met Office Unified Model (Brown et
261 al., 2012) every 6 hours on a $0.11^\circ \times 0.11^\circ$ grid, provide potential temperature, specific
262 humidity, and wind as initial and boundary conditions, which represent synoptic-scale
263 environment, for the control run. The control run employs an open lateral boundary
264 condition. Figure 2a shows the vertical distribution of the domain-averaged potential
265 temperature and humidity in those reanalysis data at the first time step. A neutral, mixed
266 layer is between the surface and 1 km in altitude as an initial condition (Figure 2a). Figure
267 2b shows the time evolution of the domain-averaged large-scale subsidence or downdraft
268 in the reanalysis data and at the model top. This large-scale subsidence is imposed on the
269 control run as a part of background wind fields and interacts with updrafts and downdrafts

270 generated by relatively small-scale processes including those associated with clouds. The
271 large-scale subsidence gradually reduces with time (Figure 2b). Figure 2c shows the time
272 evolution of the domain-averaged surface temperature in the reanalysis data. This evolution
273 of the surface temperature is mostly controlled by the sea surface temperature considering
274 that most portion of the red-rectangle domain is accounted for by the ocean (Figure 1). Due
275 to the sunrise, the surface temperature starts to increase more rapidly around 08:00 LST
276 (Figure 2c).

277 The properties of cloud condensation nuclei (CCN) such as the number concentration,
278 size distribution and composition are measured in the domain (Tunved et al., 2013; Jung et
279 al., 2018). The measurement of the CCN concentration has been carried out at the Zeppelin
280 research station in the domain, using the commercial droplet measurement technologies
281 CCN counter with one column (CCNC-100), managed by the Korea Polar Research
282 Institute, since year 2007. The CCNC-100 measures the CCN concentration at
283 supersaturations of 0.2, 0.4, 0.6, 0.8 and 1% (Jung et al., 2018). The aerosol number size
284 distribution is observed using a closed-loop differential mobility particle sizer (DMPS).
285 The DMPS charges aerosol particles and exposing them into an electric field, which causes
286 them to experience a force proportional to their electrical mobility, resulting in their
287 classification according to size (Tunved et al., 2013). Aerosol composition is measured
288 using aerosol mass spectrometry (AMS). The AMS measures the composition by
289 vaporizing and ionizing aerosol particles.

290 The measurement indicates that on average, aerosol particles are an internal mixture
291 of 70 % ammonium sulfate and 30 % organic compound. This mixture is assumed to
292 represent aerosol chemical composition over the whole domain and simulation period for
293 this study. The observed and averaged concentration of aerosols acting as CCN is ~ 200
294 cm^{-3} over the simulation period between 02:00 and 10:00 LST on March 29th, 2017. Note
295 that the average of a variable with respect to time in the rest of this paper is performed over
296 this period between 02:00 and 10:00 LST, unless otherwise stated. 200 cm^{-3} as the averaged
297 concentration of aerosols acting as CCN is interpolated into all of grid points immediately
298 above the surface at the first time step.

299 This study does not take into account aerosol effects on radiation before aerosol is
300 activated, since no significant amount of radiation absorbers is found in the mixture. Based

301 on observation, the size distribution of aerosols acting as CCN is assumed to be a tri-modal
302 log-normal distribution (Figure 3). The shape of distribution, which is a tri-modal log-
303 normal distribution, as shown in Figure 3 is applied to the size distribution of aerosols
304 acting as CCN in all parts of the domain during the whole simulation period. The assumed
305 shape in Figure 3 is obtained by performing the average on the observed size distribution
306 parameters (i.e., modal radius and standard deviation of each of nuclei, accumulation and
307 coarse modes, and the partition of aerosol number among those modes) over the simulation
308 period. Note that although these parameters or the shape of aerosol size distribution does
309 not vary, associated aerosol concentrations vary over the simulation domain and period via
310 processes as described in Section 2.1. This study takes an assumption that the interpolated
311 CCN concentrations do not vary with height in a layer between the surface and the
312 planetary boundary layer (PBL) top around 1 km in altitude at the first time step, following
313 the previous studies such as Gras (1991), Jaenicke (1993) and Seinfeld and Pandis (1998).
314 However, above the PBL top, they are assumed to decrease exponentially with height at
315 the first time step, based on those previous studies, although the shape of size distribution
316 and composition do not change with height. It is assumed that the properties of INP and
317 CCN are not different except for concentrations. The concentration of aerosols acting as
318 CCN is assumed to be 100 times higher than that acting as INP over grid points at the first
319 time step based on a general difference in concentrations between CCN and INP
320 (Pruppacher and Klett, 1978). Hence, the concentration of aerosols acting as INP at the
321 first time step is 2 cm^{-3} in the control run. This assumed concentration of aerosols acting
322 as INP is higher than usual (Seinfeld and Pandis, 1998). However, Hartmann et al. (2021)
323 observed the INP concentration that was at the same order of magnitude as assumed here
324 in the Svalbard area when strong dust events occur, meaning that the assumed INP
325 concentration is not that unrealistic.

326 To examine effects of aerosols on mixed-phase clouds, the control run is repeated by
327 increasing the concentration of aerosols by a factor of 10. In the repeated (control) run, the
328 initial concentrations of aerosols acting as CCN and INP at grid points immediately above
329 the surface are 2000 (200) and $20 (2) \text{ cm}^{-3}$, respectively. Reflecting these concentrations in
330 the simulation name, the control run is referred to as “the 200_2 run” and the repeated run
331 is referred to as “the 2000_20 run”. To isolate effects of aerosols acting as CCN (INP) on

332 mixed-phase clouds, the control run is repeated again by increasing the concentration of
333 aerosols acting as CCN (INP) only but not INP (CCN) by a factor of 10. In this repeated
334 run with the increase in the concentration of aerosols acting as CCN (INP), the initial
335 concentrations of aerosols acting as CCN and INP at grid points immediately above the
336 surface are 2000 (200) and 2 (20) cm^{-3} , respectively. Reflecting this, the repeated run is
337 referred to as “the 2000_2 (200_20) run”.

338

339 **2.2.2 Additional simulations**

340

341 To isolate impacts of ice processes on the adopted case and its interactions with aerosols,
342 the 200_2 and 2000_2 runs are repeated by removing ice processes. These repeated runs
343 are referred to as the 200_0 and 2000_0 runs. In the 200_0 and 2000_0 runs, all
344 hydrometeors (i.e., ice crystals, snow, graupel, and hail), phase transitions (e.g., deposition
345 and sublimation) and aerosols (i.e., INP) which are associated with ice processes are
346 removed. Hence, in these runs, only droplets (i.e., cloud liquid), raindrops, associated phase
347 transitions (e.g., condensation and evaporation) and aerosols acting as CCN are present,
348 regardless of temperature. Stated differently, these noise runs simulate the warm-cloud
349 counterpart of the selected mixed-phase cloud system. Via comparisons between a pair of
350 the 200_2 and 2000_2 runs and a pair of the 200_0 and 2000_0 runs, the role of ice
351 processes in the differentiation between mixed-phase and warm clouds is to be identified.
352 Along with this identification, the role of the interplay between ice crystals and droplets in
353 the development of the selected mixed-phase cloud system and its interactions with
354 aerosols is to be isolated.

355 As detailed in Sections 3.1.4 and 3.2.2 below, the test of ICNC/CDNC as a general
356 factor requires more simulations to see impacts of ICNCavg/CDCNavg on clouds and their
357 interactions with aerosols. Here, ICNCavg and CDCNavg represent the average ICNC and
358 CDNC over grid points and time steps with non-zero ICNC and CDNC, respectively.
359 ICNCavg/CDCNavg represents overall ICNC/CDNC over the domain and simulation
360 period. To respond to this requirement, the 200_0.07, 2000_0.07 and 200_0.7 runs are
361 performed and their details are given in Sections 3.1.4 and 3.2.2. In addition, all the
362 simulations above are repeated by turning off radiative processes and Section 3.3 provides

363 the details of these repeated simulations. These repeated runs are the 200_2_norad,
364 2000_20_norad, 2000_2_norad, 200_20_norad, 200_0_norad, 2000_0_norad,
365 200_0.07_norad, 2000_0.07_norad and 200_0.7_norad runs. Moreover, based on the
366 argument in Section 4.2, the 4000_45, 13_0.1, 4000_1.8 and 12_0.0035 runs are performed
367 and details of these runs are provided in Section 4.2. Some of the simulations are
368 summarized in Table 1 for better clarification with a brief description of their configuration.
369

370 **3. Results**

371

372 **3.1 The 200_2 run vs. the 200_0 run**

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374 **3.1.1 Model validation**

375

376 This study adopts the Cloudnet ground observation to evaluate the 200_2 run. Observed
377 LWP is provided by radiometer in the Cloudnet observation. The retrieval of IWP is
378 performed by using radar reflectivity and lidar backscatter in the Cloudnet observation as
379 described in Donovan et al. (2001), Donovan and Lammeren (2001), Donovan (2003) and
380 Tinel et al. (2005). In the retrieval, the lidar signal and radar reflectivity profiles are
381 combined and inverted using a combined lidar/radar equation as a function of the light
382 extinction coefficient and radar reflectivity. The combined equation is detailed in Donovan
383 and Lammeren (2001). Simulated LWP and IWP, as shown in Figure 4 and Table 2, are
384 compared to the observed LWP and retrieved IWP, respectively. The average LWP over
385 all time steps and grid columns for the period between 02:00 and 10:00 LST on March 29th,
386 2017 is 1.23 g m⁻² in the 200_2 run and 1.12 g m⁻² in Cloudnet observation. The average
387 IWP over all time steps and grid columns over the period is 31.94 g m⁻² in the 200_2 run
388 and 29.10 g m⁻² in the retrieval. Cloud-bottom height, which is averaged over grid columns
389 and time steps with non-zero cloud-bottom height over the period, is 420 and 440 m in the
390 200_2 run and Cloudnet observation, respectively. Cloud-top height, which is averaged
391 over grid columns and time steps with non-zero cloud-top height over the period, is 3.5 and
392 3.3 km in the 200_2 run and Cloudnet observation, respectively. Each of LWP, cloud-
393 bottom and -top heights shows an ~10% difference between the 200_2 run and observation.

394 IWP also shows an ~10% difference between the 200_2 run and the retrieval. Thus, the
395 200_2 run is considered performed reasonably well for these variables.

396 To provide additional information of cloud development, Figure 5 shows the time
397 evolution of the simulated and observed cloud-top and bottom heights, simulated and
398 retrieved IWP and simulated and observed LWP together with the evolution of the
399 simulated surface sensible and latent-heat fluxes; the simulated evolutions in Figure 5 are
400 from the 200_2 run. This is based on the fact that the cloud-top and bottom heights, IWP
401 and LWP are considered a good indicative of cloud development and the surface fluxes are
402 considered important parameters controlling the overall development of clouds. The cloud-
403 top height increases between 02:00 and ~05:00 LST and after ~05:00 LST, it reduces
404 gradually. The cloud-bottom height decreases between 02:00 and ~05:00 LST and after
405 ~05:00 LST, it does not change much. IWP and LWP show an overall increase between
406 02:00 and ~05:30 LST to reach its peak around 05:30 LST and then an overall decrease.
407 The surface fluxes reduce with time, although the reduction rate of the fluxes starts to
408 decrease around 08:00 LST in association with the rapid increase in the surface temperature
409 which starts around 08:00 LST as shown in Figure 2c.

410 The time- and domain-averaged IWP and IWC are ~one order of magnitude greater than
411 LWP and LWC, respectively, in the 200_2 run (Figure 4 and Table 2). For the sake of
412 simplicity, the averaged IWC over the averaged LWC is denoted by IWC/LWC, and the
413 averaged IWP over the averaged LWP is by IWP/LWP, henceforth. IWC/LWC and
414 IWP/LWP are 26.28 and 25.96, respectively, in the 200_2 run. Since IWP and LWP are
415 vertically integrated IWC and LWC over the vertical domain, respectively, the qualitative
416 nature of differences between IWC and LWC is not much different from that between IWP
417 and LWP. Hence, mentioning both a pair of IWC and LWC and that of IWP and LWP is
418 considered redundant, and mentioning either a pair of IWC and LWC or that of IWP and
419 LWP enhances the readability. Henceforth, IWC and LWC are chosen to be mentioned in
420 text, although all of IWC, LWC, IWP and LWP are displayed in Tables 2 and 3.

421 Choi et al. (2014) and Zhang et al. (2019) have obtained the supercooled cloud fraction
422 (SCF), which is basically the ratio of LWC to the sum of LWC and IWC and denoted by
423 $LWC/(LWC+IWC)$, using satellite- and ground-observed data collected over the period of
424 ~5 years and ~1 year, respectively. Choi et al. (2014) have shown that SCF is as low as

425 ~ 0.01 for the temperature range between -16 and -33 °C. Zhang et al. (2019) have also
426 shown that SCF is as low as ~ 0.03 for the same temperature range, although the occurrence
427 of SCF of ~ 0.03 or lower is rare. Note that the average air temperature immediately below
428 the cloud base and above the cloud top over the simulation period is -16 and -33 °C,
429 respectively, in the 200_2 run, and SCF in the 200_2 run is 0.04. Hence, based on Choi et
430 al. (2014) and Zhang et al. (2019), we believe that SCF in the 200_2 run is observable and
431 thus not that unrealistic, although it may not occur frequently.

432

433 **3.1.2 Microphysical processes, sedimentation and entrainment**

434

435 To understand process-level mechanisms that control the results, microphysical processes
436 are analyzed. As indicated by Ovchinnikov et al. (2011), in clouds with weak precipitation,
437 a high-degree correlation is found between IWC and deposition or between LWC and
438 condensation, considering that deposition and condensation are sources of IWC and LWC,
439 respectively. In the 200_2 run, the average surface precipitation rate over the simulation
440 period is ~ 0.0020 mm hr⁻¹, which can be considered weak. Hence, in this case,
441 condensation and deposition are considered proxies for LWC and IWC, respectively. Based
442 on this, to gain a process-level understanding of microphysical processes that control the
443 simulated LWC and IWC, condensation and deposition are analyzed.

444 As seen in Figure 6 and Table 2, the average deposition rate is \sim one order of magnitude
445 greater than condensation rate in the 200_2 run, leading to much greater IWC than LWC
446 in the 200_2 run. This is in contrast to the situation in the case of mixed-phase
447 stratocumulus clouds, which were located in a midlatitude region, in Lee et al. (2021). In
448 that case, the average IWC and LWC are at the same order of magnitude. For the sake of
449 brevity, the case in Lee et al. (2021) is referred to as “the midlatitude case”, while the case
450 of mixed-phase clouds, which is adopted by this study, in the Svalbard area is referred to
451 “the polar case”, henceforth. In the midlatitude case, IWC/LWC is 1.55, which is \sim one
452 order of magnitude smaller than that in the polar case.

453 Warm clouds in the 200_0 run shows that the time- and domain-averaged condensation
454 rate that is lower than the time- and the domain-averaged sum of condensation and
455 deposition rates in the 200_2 run (Figure 6 and Table 2). This leads to a situation where

456 warm clouds in the 200_0 run shows the time- and domain-averaged LWC that is lower
457 than the time- and domain-averaged water content (WC), which is the sum of IWC and
458 LWC, in mixed-phase clouds in the 200_2 run (Figure 4 and Table 2). This is despite the
459 fact that LWC in the 200_0 run is higher than LWC in the 200_2 run (Figure 4 and Table
460 2); WC represents the total cloud mass in mixed-phase clouds, while LWC alone represents
461 the total cloud mass in warm clouds.

462 It should be noted that the average rate of sedimentation of droplets over the cloud
463 base and simulation period reduces from the 200_0 run to the 200_2 run (Table 2). This is
464 mainly due to the decrease in LWC from the 200_0 run to the 200_2 run. The average rate
465 of sedimentation of ice crystals over the cloud base and simulation period increases from
466 the 200_0 run to the 200_2 run, since sedimentation of ice crystals is absent in the 200_0
467 run (Table 2). The average entrainment rate over the cloud top and simulation period
468 increases from the 200_0 run to the 200_2 run (Table 2). Here, entrainment rate is defined
469 to be the difference between the rate of increase in cloud-top height and the large-scale
470 subsidence, following Moeng et al. (1999), Jiang et al. (2002), Stevens et al. (2003a and
471 2003b) and Ackerman et al. (2004). Entrainment tends to reduce the total cloud mass more
472 in the 200_2 run than in the 200_0 run. Thus, entrainment should be opted out when it
473 comes to mechanisms leading to the increase in the total cloud mass from the 200_0 run to
474 the 200_2 run. Here, the vertical integration of each of condensation and deposition rates
475 is obtained over each cloudy column in the domain for each of the runs. For the sake of the
476 brevity, this vertical integrations of condensation and deposition rates are referred to as the
477 integrated condensation and deposition rates, respectively. Then, each of the integrated
478 condensation and deposition rates is averaged over cloudy columns and the simulation
479 period. It is found that the average rates of the droplet and ice-crystal sedimentation over
480 the cloud base and simulation period are ~four orders of magnitude smaller than the
481 average integrated condensation and deposition rates, respectively, in the 200_2 run (Table
482 2). It is also found that the average rate of the droplet sedimentation over the cloud base
483 and simulation period is ~five orders of magnitude smaller than that in the average
484 integrated condensation rate in the 200_0 run (Table 2). Changes in the average rates of
485 the droplet and ice-crystal sedimentation over the cloud base and simulation period are
486 ~four to five orders of magnitude smaller than those in the average integrated condensation

487 and deposition rates between the 200_2 and 200_0 runs (Table 2). Thus, condensation and
 488 deposition, but not the droplet and ice-crystal sedimentation, are main factors controlling
 489 cloud mass, which is represented by LWC and IWC, and the total cloud mass in the 200_2
 490 and 200_0 runs, and the variation of cloud mass and the total cloud mass between the runs
 491 as are in the midlatitude case and its warm-cloud counterpart.

492

493 **3.1.3 Hypothesis**

494

495 We hypothesized that ICNC/CDNC can be an important factor that determines above-
 496 described differences between the polar and midlatitude cases. Note that both in the polar
 497 and midlatitude cases, pockets of ice particles and those of liquid particles are mixed
 498 together instead of being separated from each other as seen in Figure 4 and Lee et al. (2021).
 499 Remember that ice crystals are more as sources of deposition per a droplet when
 500 ICNC/CDNC is higher. Thus, when ICNC/CDNC is higher and $q_v > q_{sw}$, it is more likely
 501 that more portion of water vapor is deposited onto ice crystals by stealing water vapor,
 502 which is supposed to be condensed onto droplets, from droplets in an air parcel. Here, q_v
 503 and q_{sw} represent water-vapor pressure and water-vapor saturation pressure for liquid
 504 water or droplets, respectively. When ICNC/CDNC is higher and $q_{si} < q_v < q_{sw}$, more ice
 505 crystals can absorb water vapor, including that which is produced by droplet evaporation,
 506 per a droplet; here, q_{si} represents water-vapor saturation pressure for ice water or ice
 507 crystals. Thus, with higher ICNC/CDNC, it is more likely that more portion of water vapor
 508 is deposited onto ice crystals in an air parcel as shown in Lee et al. (2021). Figure 7 shows
 509 the time series of the averaged supersaturation over grid points where deposition occurs in
 510 the presence of both droplets and ice crystals in the 200_2 run. Figure 7 indicates that on
 511 average, supersaturation occurs for both droplets and ice crystals over those grid points.
 512 Hence, on average, the above-described situation of $q_v > q_{sw}$ is applicable to deposition
 513 when droplets and ice crystals coexist in the 200_2 run.

514 ICNC_{avg}/CDNC_{avg} is 0.22 in the control run (i.e., the 200_2 run) for the polar case
 515 and 0.019 in the control run for the midlatitude case which is described in Lee et al. (2021).
 516 Henceforth, the control run for the midlatitude case is referred to as the control-midlatitude
 517 run. ICNC_{avg}/CDNC_{avg} is ~one order of magnitude higher for the polar case than for the

518 midlatitude case. This is despite the fact that the ratio of the initial number concentration
519 of aerosols acting as INP to that of acting as CCN is identical between the 200_2 and
520 control-midlatitude runs. In addition, identical model, model setup such as vertical
521 resolutions, and source of reanalysis data are used between the 200_2 and control-
522 midlatitude runs, although there are differences in environmental conditions (e.g.,
523 temperature), cloud macrophysical variables such as cloud-top height and horizontal
524 resolutions between the runs. Here, while taking these similarities and differences into
525 account, we hypothesize that the significant differences in ICNCavg/CDNCavg between
526 runs are mainly due to the fact that ice nucleation strongly depends on air temperature
527 (Prappacher and Klett, 1978). When supercooling is stronger, in general, more ice crystals
528 are nucleated for a given group of aerosols acting as INP. The average air temperature
529 immediately below the cloud base over the simulation period is -16 °C in the 200_2 run
530 and -5 °C in the control-midlatitude run. The average air temperature immediately above
531 the cloud top is -33 °C in the 200_2 run and -15 °C in the control-midlatitude run. Hence,
532 supercooling is greater and this contributes to the higher ICNCavg/CDNCavg in the polar
533 case than in the midlatitude case. The higher ICNCavg/CDNCavg is likely to induce more
534 portion of water vapor to be deposited onto ice crystals in the polar case than in the
535 midlatitude case. It is hypothesized that this in turn enables IWC/LWC in the 200_2 run to
536 be one order of magnitude greater than that in the control-midlatitude run or in the
537 midlatitude case. Much higher IWC than LWC, which results in a much higher IWC/LWC
538 in the polar case than in the midlatitude case, in the 200_2 run overcomes lower LWC in
539 the 200_2 run than that in the 200_0 run, which leads to the greater total cloud mass in the
540 200_2 run than in the 200_0 run (Figure 4 and Table 2). However, IWC whose magnitude
541 is similar to the magnitude of LWC, which results in a much lower IWC/LWC in the
542 midlatitude case than in the polar case, in the midlatitude case is not able to overcome
543 lower LWC in the midlatitude case than that in the midlatitude warm clouds, which leads
544 to the greater total cloud mass in the midlatitude warm clouds than in the midlatitude case;
545 here, the midlatitude warm clouds are generated by removing ice processes in the
546 midlatitude case. This means that associated with higher ICNC/CDNC and IWC/LWC, ice
547 processes enhance the total cloud mass for the polar case as compared to that for the polar
548 warm-cloud counterpart. However, in the midlatitude case, associated with lower

549 ICNC/CDNC and IWC/LWC, ice processes reduce the total cloud mass as compared to
550 that for the midlatitude warm-cloud counterpart.

551

552 **3.1.4 Role of ICNC/CDNC**

553

554 To test the hypothesis above about the role of ICNC/CDNC in above-described differences
555 between the polar and midlatitude cases, the 200_2 run is repeated by reducing
556 ICNCavg/CDNCavg by a factor of 10. This is done by reducing the concentration of
557 aerosols acting as INP but not CCN in a way that ICNCavg/CDNCavg is lower by a factor
558 of 10 in the repeated run than in the 200_2 run. In this way, this repeated run has
559 ICNCavg/CDNCavg at the same order of magnitude as that in the control-midlatitude run.
560 This repeated run is referred to as the 200_0.07 run. As shown in Figure 8 and Table 2, the
561 200_0.07 run shows much lower deposition rate and IWC than the 200_2 run does.
562 However, as we move from the 200_2 run to the 200_0.07 run, the time- and domain-
563 averaged condensation rate and LWC increases (Figure 8 and Table 2). This is because
564 reduction in deposition increases the amount of water vapor, which is not consumed by
565 deposition but available for condensation. Associated with this, in the 200_0.07 run, the
566 time- and domain-averaged deposition rate and IWC become similar to the average
567 condensation rate and LWC, respectively (Figure 8 and Table 2). Hence, IWC/LWC
568 reduces from 26.28 in the 200_2 run to 1.05 in the 200_0.07 run as ICNCavg/CDNCavg
569 reduces from the 200_2 run to the 200_0.07 run. Here, IWC/LWC in the 200_0.07 run is
570 similar to that in the midlatitude-control run, which demonstrate that the difference in
571 ICNC/CDNC is able to explain the difference in IWC/LWC between the polar and
572 midlatitude cases. It is notable that the reduction in deposition is dominant over the increase
573 in condensation with the decrease in ICNCavg/CDNCavg. Hence, the sum of condensation
574 and deposition rates and WC reduce from the 200_2 run to the 200_0.07 run. That the sum
575 of condensation and deposition rates and WC reduce in a way that the sum and WC in the
576 mixed-phase clouds in the 200_0.07 run are lower than condensation rate and LWC,
577 respectively, in the warm clouds in the 200_0 run is also notable (Figure 8 and Table 2).
578 This is similar to the situation in the midlatitude case and thus demonstrates that the

579 different relation between the mixed-phase and warm clouds can be associated with the
580 difference in ICNC/CDNC between the polar and midlatitude cases.

581 The rate of the sedimentation of ice crystals at the cloud base reduces as
582 ICNCavg/CDNCavg reduces between the 200_2 and 200_0.07 runs, mainly due to
583 reduction in the ice-crystal mass (Table 2). The rate of droplet sedimentation at the cloud
584 base increases as ICNCavg/CDNCavg reduces mainly due to increases in droplet mass and
585 size in association with the increases in LWC (Table 2). The entrainment rate at the cloud
586 top reduces as ICNCavg/CDNCavg reduces (Table 2). It is found that those changes in the
587 average rates of the droplet and ice-crystal sedimentation over the cloud base and
588 simulation period are ~four to five orders of magnitude smaller than those in the average
589 integrated condensation and deposition rates between the 200_2 and 200_0.07 runs (Table
590 2). The entrainment tends to reduce the total cloud mass or WC less with the reducing
591 ICNCavg/CDNCavg. Hence, changes in the entrainment counters the decrease in WC with
592 the reducing ICNCavg/CDNCavg between the 200_2 and 200_0.07 runs. Here, we see that
593 changes in the entrainment are not factors that lead to the increase in LWC, and the
594 decrease in IWC, and eventually the decrease in WC with the reducing
595 ICNCavg/CDNCavg. The analysis of the sedimentation and entrainment exclude them
596 from factors inducing above-described differences between the 200_2 and 200_0.07 runs.
597 Instead, this analysis grants confidence in the fact that deposition and condensation, which
598 are strongly dependent on ICNC/CDNC, are main factors inducing those differences.

599

600 **3.2 Aerosol-cloud interactions**

601

602 Comparisons between the 200_2 and 2000_20 runs show that with the increasing
603 concentration of both of aerosols acting as CCN and those as INP, IWC increases but LWC
604 decreases in the polar case (Figures 9 and Table 2). These decreases in LWC are negligible
605 as compared to these increases in IWC. Hence, the increases in IWC outweigh the
606 decreases in LWC, leading to aerosol-induced increases in WC (Figures 9 and Table 2).
607 To identify roles of specific types of aerosols in these aerosol-induced changes,
608 comparisons not only between the 200_2 and 200_20 runs but also between the 200_2 and
609 2000_2 runs are performed. Comparisons between the 200_2 and 200_20 runs show that

610 the increasing concentration of aerosols acting as INP induces increases in IWC but
611 decreases in LWC (Figure 9 and Table 2). The magnitudes of these increases and decreases
612 are similar to those between the 200_2 and 2000_20 runs (Figure 9 and Table 2). However,
613 comparisons between the 200_2 and 2000_2 runs show that the increasing concentration
614 of aerosols acting as CCN induces negligible changes in either IWC or LWC. Thus, CCN-
615 induced changes in the total cloud mass are negligible, although the increasing
616 concentration of aerosols acting as CCN induces a slight decrease in IWC, and a slight
617 increase in LWC (Figure 9 and Table 2). This demonstrates that INP plays a much more
618 important role than CCN when it comes to the response of the total cloud mass to increasing
619 aerosol concentrations. However, in the midlatitude case, the increasing concentration of
620 aerosols acting as CCN generates changes in the mass as significantly as the increasing
621 concentration of aerosols acting as INP does.

622 To identify roles played by ice processes in aerosol-cloud interactions, a pair of the
623 200_0 and 2000_0 runs are analyzed and compared to the previous four standard
624 simulations (i.e., the 200_2, 200_20, 2000_2 and 2000_20 runs). The CCN-induced
625 increases in LWC in those noise runs are much greater than the CCN-induced changes in
626 WC in the 200_2 and 2000_2 runs (Figure 9 and Table 2). However, these CCN-induced
627 increases in LWC in the noise runs are smaller than the INP-induced increases in WC in
628 the 200_2 and 200_20 runs (Figure 9 and Table 2). This is different from the midlatitude
629 case where changes in the total cloud mass, whether they are induced by the increasing
630 concentration of aerosols acting as CCN or INP, in the mixed-phase clouds are much lower
631 than those CCN-induced changes in the warm clouds.

632

633 **3.2.1 Deposition, condensation, sedimentation and entrainment**

634

635 The CCN-induced increases and decreases in condensation and deposition rates are
636 negligible, respectively. This leads to the CCN-induced negligible increases and decreases
637 in LWC and IWC, respectively, between the 200_2 and 2000_2 runs (Figure 9 and Table
638 2). However, between the 200_2 and 200_20 runs, rather the significant INP-induced
639 increases are in deposition rate, leading to the significant INP-induced increases in IWC
640 (Figure 9 and Table 2). Between the 200_2 and 200_20 runs, INP-induced decreases in

641 condensation rate are negligible, leading to the negligible INP-induced decreases in LWC,
642 as compared to the INP-induced increases in deposition rate and IWC (Figure 9 and Table
643 2). With the increasing concentration of aerosols acting as INP from the 200_2 run to the
644 200_20 run, the sedimentation of ice crystals at the cloud base decreases (Table 2). This is
645 mainly due to decreases in the size of ice crystals in association with increases INP and
646 resultant increases in ICNC. In Figure 10a, we see that the number concentration of ice
647 crystals with diameters smaller and larger than ~40 micron increases and decreases,
648 respectively, as we move from the 200_2 run to the 200_20 run, which indicate a shift of
649 the sizes of ice crystals to smaller ones. From the 200_2 run to the 200_20 run, the
650 sedimentation of droplets at the cloud base decreases as shown in Table 2, mainly due to
651 decreases in LWC. Figure 10b shows that the number concentration of drops decreases
652 throughout almost all parts of the size range from the 200_2 run to the 200_20 run, which
653 indicates a negligible shift in the drop size but a reduction in LWC. It is found that changes
654 in the average rates of the droplet and ice-crystal sedimentation over the cloud base and
655 simulation period are ~three to four orders of magnitude smaller than those in the average
656 integrated condensation and deposition rates between the 200_2 and 200_20 runs (Table
657 2). From the 200_2 run to the 200_20 run, the entrainment at the cloud top increases (Table
658 2). Hence, the entrainment reduces WC less in the 200_2 run than in the 200_20 run. Here,
659 we see that changes in entrainment and the sedimentation are not factors that we have to
660 focus on to explain the changes in LWC, IWC and WC between the 200_2 and 200_20
661 runs.

662 In the warm clouds in the 200_0 and 2000_0 runs, the CCN-induced increases in
663 condensation rate occur, leading to those in LWC (Figure 9 and Table 2). However, the
664 CCN-induced increases in condensation rate in the warm clouds associated with the polar
665 case are lower than the INP-induced increases in deposition rate in the polar case (Table
666 2). This contributes to aerosol-induced smaller changes in the total cloud mass in the polar
667 warm clouds than in the polar mixed-phase clouds. The sedimentation of droplets at the
668 cloud base reduces and the entrainment at the cloud top increases from the 200_0 run to
669 2000_0 run (Table 2). The increasing concentration of aerosols acting as CCN induces
670 increases in CDNC and decreases in the droplet size, leading to the reduction in the droplet
671 sedimentation from the 200_0 run to 2000_0 run. The entrainment counters the CCN-

672 induced increases in LWC from the 200_0 run to 2000_0 run. Hence, the entrainment is
673 not a factor which induces the CCN-induced increases in LWC between the 200_0 and
674 2000_0 runs. As seen in Table 2, the changes in the sedimentation rate is ~three orders of
675 magnitude smaller than those in the integrated condensation rate between the 200_0 and
676 2000_0 runs. Hence, it is not the sedimentation but condensation that we have to look at to
677 explain changes in LWC or WC between the 200_0 and 2000_0 runs.

678

679 **3.2.2 Understanding differences between the polar and midlatitude cases**

680

681 Roughly speaking, the CCN-induced changes in LWC via CCN-induced changes in
682 autoconversion of droplets are proportional to LWC that changing CCN affect, and INP-
683 induced changes in IWC via INP-induced changes in autoconversion of ice crystals are
684 proportional to IWC that changing INPs affect (e.g., Dudhia, 1989; Murakami, 1990; Liu
685 and Daum, 2004; Morrison et al., 2005, 2009 and 2012; Lim and Hong, 2010; Mansell et
686 al. 2010; Kogan, 2013; Lee and Baik, 2017). This is for given environmental conditions
687 (e.g., temperature and humidity) and given CCN- or INP-induced changes in microphysical
688 factors such as sizes and number concentrations of droplets or ice crystals. Hence, in the
689 polar case, with a given much lower LWC than IWC, the changing concentration of
690 aerosols acting as CCN is likely to induce smaller changes in the given LWC via CCN
691 impacts on the droplet autoconversion. This is as compared to changes in the given IWC
692 which are induced by the changing concentration of aerosols acting as INP and thus
693 changing ice-crystal autoconversion.

694 The smaller changes in the given LWC are related to changes in CDNC. These changes
695 in CDNC are initiated by those in droplet autoconversion. The larger changes in the given
696 IWC are related to changes in ICNC. These changes in ICNC are initiated by those in ice-
697 crystal autoconversion. Changes in integrated droplet surface area, which are induced by
698 those in CDNC, initiate those in the given LWC. Changes in integrated ice-crystal surface
699 area, which are induced by those in ICNC, initiate those in the given IWC. Remember that
700 condensation occurs on droplet surface and thus droplets act as a source of condensation,
701 and deposition occurs on ice-crystal surface and thus ice crystals act as a source of
702 deposition. Hence, those changes in CDNC and associated integrated droplet surface area

703 can lead to changes in condensation and thus feedbacks between condensation and updrafts,
704 while those changes in ICNC and associated integrated ice-crystal surface area can lead to
705 changes in deposition and thus feedbacks between deposition and updrafts. The smaller
706 CCN-induced changes in LWC involve changes in CDNC and associated smaller changes
707 in condensation and feedbacks between condensation and updrafts in the polar case. This
708 is as compared to changes in deposition and feedbacks between deposition and updrafts
709 which are associated with the INP-induced changes in ICNC and the related larger INP-
710 induced changes in IWC in the polar case. The smaller CCN-induced changes in LWC
711 involve smaller changes in water vapor that is consumed by droplets in the polar case. The
712 larger INP-induced changes in IWC involve larger changes in water vapor that is consumed
713 by ice crystals in the polar case. This leaves the CCN-induced smaller changes in the
714 amount of water vapor available for deposition, which induce the smaller CCN-induced
715 changes in IWC in the polar case. This is as compared to the INP-induced changes in the
716 amount of water vapor which is available for condensation and associated changes in LWC
717 in the polar case.

718 The lower LWC in the polar warm clouds than IWC in the polar case contributes to the
719 INP-induced greater changes in IWC than the CCN-induced changes in LWC in the polar
720 warm clouds. The lower LWC in the polar case than that in the polar warm clouds
721 contributes to the CCN-induced greater changes in LWC in the polar warm clouds than
722 those in LWC and subsequent changes in IWC in the polar case.

723 In contrast to the situation in the polar case, in the midlatitude case, remember that a
724 given LWC is at the same order of magnitude of IWC. Hence, the CCN- induced changes
725 in LWC and subsequent changes in IWC are similar to the INP-induced changes in IWC
726 and subsequent changes in LWC. The greater LWC in the midlatitude warm cloud than
727 both of LWC and IWC in the midlatitude case contributes to the greater CCN-induced
728 changes in LWC in the midlatitude warm cloud. This is as compared to either the CCN-
729 induced changes in LWC and subsequent changes in IWC or the INP-induced changes in
730 IWC and subsequent changes in LWC in the midlatitude case.

731 To confirm above-described mechanisms in this section, which explain different
732 aerosol-cloud interactions between the polar and midlatitude cases, the 200_0.07 run is
733 repeated by increasing INP by a factor of 10 in the PBL at the first time step. This repeated

734 run is referred to as “the 200_0.7 run. Then, the 200_0.07 run is repeated again by
735 increasing CCN by a factor of 10 in the PBL at the first time step. This repeated run is
736 referred to as the 2000_0.07 run. These repeated runs are to see the response of IWC and
737 LWC to the increasing concentration of aerosols acting as INP and CCN. This is when
738 IWC and LWC are at the same order of magnitude and lower in mixed-phase clouds than
739 LWC in the warm-cloud counterpart as in the 200_0.07 run and midlatitude case.
740 Comparisons between the 200_0.07, 200_0.7 and 2000_0.07 runs show that the INP-
741 induced changes in IWC and LWC are similar to the CCN-induced changes in IWC and
742 LWC, respectively, as in the midlatitude case (Figure 9 and Table 2). These comparisons
743 also show that the CCN-induced changes in LWC in the polar warm cloud are greater
744 (Figure 9 and Table 2). This is as compared to either the CCN-induced changes in LWC
745 and subsequent changes in IWC between the 200_0.07 and 2000_0.07 runs or the INP-
746 induced changes in IWC and subsequent changes in LWC between the 200_0.07 and
747 200_0.7 runs (Figure 9 and Table 2). These comparisons demonstrate that differences in
748 ICNC/CDNC play a critical role in differences in aerosol-cloud interactions between the
749 polar and midlatitude cases, considering that differences in ICNC/CDNC between the
750 200_2 and 200_0.07 runs are at the same order of magnitude of those between the cases.

751

752 **3.3 Radiation**

753

754 Studies (e.g., Ovchinnikov et al., 2011; Possner et al., 2017; Solomon et al., 2018) have
755 focused on radiative cooling and subsequent changes in stability and dynamics as a primary
756 driver for the development of mixed-phase stratocumulus clouds and aerosol-induced
757 changes in LWC and IWC in those clouds. Motivated by these studies, to isolate the role
758 of radiative processes in cloud development and aerosol impacts on LWC and IWC, all of
759 the simulations above are repeated by turning off radiative processes. In these repeated
760 runs, radiative fluxes over the whole domain and simulation period are zero. The basic
761 summary of results from these repeated runs is given in Table 3. As seen in comparisons
762 between Tables 2 and 3, the qualitative nature of results, which are mainly about
763 differences in IWC/LWC, the relative importance of the impacts of INP on IWC and LWC
764 as compared to those impacts of CCN, and how warm and mixed-phase clouds are related

765 between the polar and midlatitude cases, in this study does not vary with whether radiative
766 processes exist or not. This demonstrates that ICNC, CDNC, deposition and condensation
767 but not radiative processes drive results in this study.

768

769 **4. Discussion**

770

771 **4.1 Examination of the role of ICNC/CDNC in IWC/LWC in 200_2,** 772 **2000_20, 2000_2, 200_20, 200_0.07, 2000_0.07 and 200_0.7 runs**

773

774 So far, comparisons between the set of the 200_2, 2000_20, 2000_2 and 200_20 runs for
775 the polar case and the other set of the 200_0.07, 2000_0.07 and 200_0.7 runs, which
776 represents the midlatitude case, have been mainly utilized to understand the role of
777 ICNC/CDNC. However, even when it comes to all the runs in both the sets, differences in
778 ICNCavg/CDNCavg and IWC/LWC are shown among them (Tables 1 and 2). For more
779 robust examination of particularly the role of ICNC/CDNC in IWC/LWC, which is
780 basically about the increase and decrease in ICNC/CDNC inducing the increase and
781 decrease in IWC/LWC, respectively, as identified from the comparison between the 200_2
782 and 200_0.07 runs in Section 3.1.4, all the runs in the sets are utilized by ordering them as
783 shown in Table 4. This ordering is done in a way that as we move from the first run in the
784 first row to the last run in the last row of Table 4, ICNCavg/CDNCavg increases. Overall,
785 with increasing ICNCavg/CDNCavg, IWC/LWC increases, although the increase in
786 IWC/LWC is highly non-linear in terms of the increase in ICNCavg/CDNCavg as seen in
787 the percentage increases, and a decrease in IWC/LWC is seen with an increase in
788 ICNCavg/CDNCavg from the 2000_20 run to the 200_2 run (Table 4); this high-degree
789 non-linearity in the increase in IWC/LWC is associated with the fact that interactions
790 between cloud microphysical, thermodynamic and dynamic processes are well known to
791 be highly non-linear. Hence, overall, findings regarding the role of ICNC/CDNC in
792 IWC/LWC from the comparison between the 200_2 and 200_0.07 runs are applicable to
793 all the runs in the sets except for the role between the 2000_20 and 200_2 runs. Here, it is
794 notable that the percentage difference in ICNCavg/CDNCavg is ~9% between the 2000_20
795 and 200_2 runs and the smallest among those differences in Table 4. The other differences

796 are larger than 80%. Hence, the percentage difference in ICNCavg/CDNCavg for a pair of
797 the 2000_20 and 200_2 runs is at least ~one order of magnitude smaller than that for the
798 other pairs of the runs in Table 4. This means that findings from the comparison between
799 the 200_2 and 200_0.07 runs are not suitable to explain the variation of IWC/LWC among
800 clouds when the variation of ICNC/CDNC is relatively insignificant. According to Table
801 4, it seems that the variation of ICNC/CDNC should be greater than a critical value above
802 which those findings are useful to account for the IWC/LWC variation among clouds.

803 The high-degree non-linearity in the variation of IWC/LWC is epitomized by the 1706
804 percent increase in IWC/LWC for the 163 percent increase in ICNCavg/CDNCavg from
805 the 200_0.7 run to the 2000_2 run. This 1706 percent increase in IWC/LWC is induced by
806 increases in both the initial number concentrations of CCN and INP between the runs
807 (Table 1). In other transition from a simulation in a row to that in the next row in Table 4,
808 there are decreases in both the initial number concentrations of CCN and INP, or there is
809 either a change in the initial number condensation of CCN or INP. When either the initial
810 concentration of CCN or INP changes in the transition, less than a 100% increase in
811 IWC/LWC is shown. The decreases in both the initial number concentrations of CCN and
812 INP, which are from the 2000_20 run to the 200_2 run, result in the decrease in IWC/LWC.
813 Hence, depending on how the initial number concentrations of CCN and INP change, the
814 magnitude and sign of the change in IWC/LWC can vary substantially.

815

816 **4.2 Role of a given ICNC/CDNC in IWC/LWC for different concentrations of** 817 **aerosols acting as INP and CCN**

818

819 Simulations which are compared in Section 4.1 and shown in Table 4 have not only
820 different ICNCavg/CDNCavg but also the different number concentrations of aerosols
821 acting as CCN and INP at the first time step (Table 1). To better isolate particularly the
822 role of ICNC/CDNC in IWC/LWC, we need to show that results in Section 4.1 are valid
823 regardless of the variation of the number concentration of aerosols. For this need, we focus
824 on the 200_2 and 200_0.07 runs, since the primary understanding of the role of
825 ICNC/CDNC in IWC/LWC comes from the comparison between these runs as described
826 in Section 3.1.4. To fulfill the need, each of these runs are repeated by varying the number

827 concentration of aerosols acting as CCN and INP in a way that ICNCavg/CDNCavg does
828 not vary (Tables 1 and 5). The 4000_45 and 13_0.1 runs are the repeated 200_2 run, and
829 the 4000_1.8 and 12_0.0035 runs are the repeated 200_0.07 run (Tables 1 and 5). The set
830 of the 200_2, 4000_45 and 13_0.1 runs is referred to as the polar set, and that of the
831 200_0.07, 4000_1.8 and 12_0.0035 runs is referred to as the midlatitude set in this section.
832 Among the three runs in each of the sets, less than 4% variation of IWC/LWC is shown
833 (Table 5). This less-than-4% variation is so small that the stark contrast in IWC/LWC
834 between the 200_2 and 200_0.07 runs as discussed in Section 3.1.4 is also shown between
835 the polar and midlatitude sets (Table 5). Hence, the role of the difference in a given
836 ICNC/CDNC in the difference in IWC/LWC between the 200_2 and 200_0.07 runs as
837 described in Section 3.1.4 is considered robust to the varying concentration of aerosols.

838

839 **5. Summary and conclusions**

840

841 In this study, a case of mixed-phase stratiform clouds in a polar area, which is referred to
842 as “the polar case” is compared to that in a midlatitude area, which is referred to as “the
843 midlatitude case”. This is to gain an understanding of how different ICNC/CDNC plays a
844 role in making differences in cloud properties, aerosol-cloud interactions and impacts of
845 ice processes on them between two representative areas (i.e., polar and midlatitude areas)
846 where mixed-phase stratiform clouds form and develop. Among those cloud properties,
847 this study focuses on IWC/LWC that plays an important role in cloud radiative properties.
848 To gain the understanding efficiently, the polar case is chosen in a way to make stark
849 contrast with the midlatitude case in terms of ICNC/CDNC and IWC/LWC. Although such
850 polar cases may be uncommon, the stark contrast provides an opportunity to elucidate
851 mechanisms that control the above-mentioned role of different ICNC/CDNC.

852 Due to lower air temperature, more ice crystals are nucleated, leading to higher
853 ICNC/CDNC in the polar case than in the midlatitude case. This higher ICNC/CDNC
854 enables the more efficient deposition of water vapor onto ice crystals in the polar case. This
855 leads to much higher IWC/LWC in the polar case. The more efficient deposition of water
856 vapor onto ice crystals enables the polar mixed-phase clouds to have the greater total cloud
857 mass than the polar warm clouds. However, the less efficient deposition of water vapor

858 onto ice crystals causes the midlatitude mixed-phase clouds to have less total cloud mass
859 than the midlatitude warm clouds. With the increasing ICNC/CDNC from the midlatitude
860 case to the polar case, impacts of CCN and INP on the total cloud mass become less and
861 more important, respectively.

862 This study picks ICNC/CDNC, which is affected by air temperature and its impacts on
863 ice-crystal nucleation, as an important factor which differentiates IWC/LWC and
864 interactions among clouds, aerosols and ice processes in the polar case from those in the
865 midlatitude case. The polar case is located in the Svalbard area, which is in the Arctic,
866 hence, more specifically, the polar case can be referred to as the Arctic case. Differences
867 in ICNC/CDNC initiate differences in the microphysical properties (e.g., the integrated
868 surface area), and then, subsequently induce those in thermodynamic latent-heat processes
869 (e.g., condensation and deposition), dynamics of clouds, IWC/LWC and interactions
870 among clouds, aerosols and ice processes. However, this does not mean that no other
871 potential factors, which can explain the variation of IWC/LWC and interactions among
872 clouds, aerosols and ice processes between different clouds, exist. For example, differences
873 in environmental factors (e.g., stability and wind shear) between those different clouds can
874 have an impact on the variation. Particularly, differences in stability and wind shear can
875 initiate those in the dynamic development of turbulence. Then, this subsequently induces
876 differences in the microphysical and thermodynamic development of clouds, IWC/LWC
877 and interactions among clouds, aerosols and ice processes. Hence, factors such as stability
878 and wind shear can have different orders of procedures, which involve dynamics,
879 thermodynamics and microphysics, than ICNC/CDNC in terms of differentiation between
880 different clouds. Thus, different mechanisms controlling the differentiation can be
881 expected regarding factors such as stability and wind shear as compared to ICNC/CDNC.
882 The examination of these different mechanisms among stability, wind shear and
883 ICNC/CDNC deserves future study for more comprehensive understanding of the
884 differentiation or for an above-mentioned more fully established general principle
885 explaining the differentiation. Another point to make is that the cases in this study have
886 weak precipitation and the associated weak sedimentation of ice crystals and droplets. In
887 mixed-phase clouds with strong precipitation and the sedimentation, they can play roles as
888 important as in-cloud latent-heat processes in IWC/LWC and interactions among clouds,

889 aerosols and ice processes. In those clouds with strong precipitation, the sedimentation can
890 take part in the interplay between ICNC/CNDC and latent-heat processes by affecting
891 cloud mass and associated ICNC and CDNC significantly, and play a role in the
892 differentiation of IWC/LWC and interactions among clouds, aerosols and ice processes
893 when it comes to different cases of mixed-phase clouds. For more generalization of results
894 here as a way to the more fully established general principle, this potential role of
895 sedimentation needs to be investigated by performing more case studies involving cases
896 with strong precipitation in the future.

897 It should be emphasized that although this study mentions air temperature as a factor
898 that affects ICNC/CDNC, ICNC/CDNC can be affected by other factors such as sources of
899 aerosols acting as INP and those acting as CCN, and/or the advection of those aerosols.
900 Hence, even for cloud systems that develop with a similar air-temperature condition, for
901 example, when those systems are affected by different sources of aerosols and/or their
902 different advection, they are likely to have different ICNC/CDNC, IWC/LWC, relative
903 importance of impacts of INP on IWC and LWC as compared to those impacts of CCN,
904 and relation between warm and mixed-phase clouds. Regarding factors, which affect
905 ICNC/CDNC, such as sources and advection of aerosols together with temperature , it
906 should be noted that while this study utilizes differences in temperature among those
907 factors to identify cases exhibiting significant disparities in ICNC/CDNC, its primary
908 objective does not lie in the role of temperature differences in disparities in ICNC/CDNC,
909 but in comprehending the inherent role of ICNC/CDNC variations themselves in the
910 discrepancies observed, for example, in IWC/LWC, across diverse cloud systems.

911 Previous studies on mixed-phase stratocumulus clouds (e.g., Ovchinnikov et al., 2011;
912 Possner et al., 2017; Solomon et al., 2018) have primarily focused on investigating the
913 impacts of cloud-top radiative cooling, entrainment, and sedimentation of ice particles on
914 these clouds, as well as their interactions with aerosols. However, there are a scarcity of
915 studies that specifically examine the role of microphysical interactions, involving
916 processes such as condensation and deposition, as well as factors like cloud-particle
917 concentrations, between ice and liquid particles in mixed-phase stratocumulus clouds, and
918 their interactions with aerosols as performed in this study. Therefore, our study contributes

919 to a more comprehensive understanding of mixed-phase clouds and their intricate interplay
920 with aerosols.

921 This study suggests that a microphysical factor, which is ICNC/CDNC, can be a
922 simplified and useful tool to understand differences among different systems of
923 stratocumulus clouds in various regions in terms of IWC/LWC and the relative importance
924 of INP and CCN in aerosol-cloud interactions, and thus to contribute to the development
925 of general parameterizations of those clouds in various regions for climate models. This
926 factor can also be a useful tool for a simplified understanding of different roles of ice
927 processes when mixed-phase clouds are compared to their warm-cloud counterparts in
928 terms of the cloud development and its interactions with aerosols among those different
929 systems. It should be noted that warm clouds have been studied much more than mixed-
930 phase clouds, although mixed-phase clouds play as important roles as warm clouds in the
931 evolution of climate and its change. This study provides preliminary mechanisms which
932 differentiate mixed-phase clouds and their interactions with aerosols from their warm-
933 cloud counterparts, and control the variation of the differentiation in different regions as a
934 way of improving our understanding of mixed-phase clouds. It should be mentioned that
935 the efficient way of developing general parameterizations, which are for climate models
936 and consider all of warm, mixed-phase clouds in various regions and their interactions with
937 aerosols, can be achieved by just adding those mechanisms to pre-existing
938 parameterizations of much-studied warm clouds instead of developing brand new
939 parameterizations from the scratch.

940 This study finds that the relation between ICNC/CDNC and IWC/LWC is highly non-
941 linear. This high non-linearity is closely linked to how the number concentrations of CCN
942 and INP, and associated ICNC/CDNC change. For a specific situation where the
943 ICNC/CDNC variation is relatively small and both the number concentrations of CCN and
944 INP reduce, the increase in ICNC/CDNC can reduce IWC/LWC, although it is found that
945 as a whole, the increase in ICNC/CDNC enhances IWC/LWC. Hence, mechanisms
946 identified in this study, especially regarding the use of ICNC/CDNC as a simplified and
947 useful tool to explain differences in IWC/LWC among different cloud systems, are not
948 complete and entirely general. In addition, results in this study are from only two cases in
949 two specific locations in the midlatitude and Arctic regions and the more generalization of

950 these results in this study merits more case studies over more locations in those regions,
951 for example, in terms of above-mentioned sedimentation intensity, different factors (e.g.,
952 environmental factors) other than ICNC/CDNC, different sources and advection of
953 aerosols, the magnitude of the variation of ICNC/CDNC and the way number
954 concentrations of CCN and INP vary. Hence, findings particularly about relations between
955 ICNC/CDNC and IWC/LWC in this study should be considered preliminary ones that
956 initiate future work to streamline the development of the general parameterizations.

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981 **Code/Data source and availability**

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983 Our private computer system stores the code/data which are private and used in this study.
984 Upon approval from funding sources, the data will be opened to the public. Projects related
985 to this paper have not been finished, thus, the sources prevent the data from being open to
986 the public currently. However, if information on the data is needed, contact the
987 corresponding author Seoung Soo Lee (slee1247@umd.edu).

988

989 **Author contributions**

990 Essential initiative ideas are provided by SSL, CHJ and YJY to start this work. Simulation
991 and observation data are analyzed by SSL, CHJ and JU. YZ, JP, MGM and SKS review
992 the results and contribute to their improvement. JC provides supports to set up and run
993 additional simulations during the review.

994

995 **Competing interests**

996 The authors declare that they have no conflict of interest.

997

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1236 **FIGURE CAPTIONS**

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1238 Figure 1. A red rectangle marks the simulation domain in the Svalbard area, Norway. The
1239 light blue represents the ocean and the green the land area.

1240

1241 Figure 2. (a) The vertical distributions of the domain-averaged potential temperature and
1242 humidity at the first time step, (b) the time series of the domain-averaged large-scale
1243 subsidence or downdraft at the model top and (c) the time series of the domain-averaged
1244 surface temperature.

1245

1246 Figure 3. Aerosol size distribution at the surface. N represents aerosol number
1247 concentration per unit volume of air and D represents aerosol diameter.

1248

1249 Figure 4. The vertical distributions of the time- and domain-averaged IWC and LWC in
1250 the 200_2 and 200_0 runs.

1251

1252 Figure 5. The time series of (a) observed and simulated cloud-top and bottom heights, (b)
1253 retrieved and simulated IWP, and observed and simulated LWP, and (c) the simulated
1254 surface sensible and latent heat fluxes. For the time series, the simulated cloud-top height
1255 is averaged over grid points with cloud tops and the simulated cloud-bottom height is
1256 averaged over grid points with cloud bottoms, while the simulated IWP and LWP are
1257 averaged over grid points with non-zero IWP and LWP, respectively, at each time step in
1258 the 200_2 run. The simulated surface sensible and latent heat fluxes are averaged over the
1259 horizontal domain at the surface and each time step in the 200_2 run.

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1261 Figure 6. The vertical distributions of the time- and domain-averaged deposition and
1262 condensation rates in the 200_2 and 200_0 runs.

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1264 Figure 7. The time series of the average supersaturation with respect to ice and water over
1265 grid points where deposition occurs in the presence of both droplets and ice crystals in the
1266 200_2 run.

1267 Figure 8. The vertical distributions of the time- and domain-averaged IWC and LWC in
1268 the 200_2, 200_0 and 200_0.07 runs.

1269

1270 Figure 9. The vertical distributions of the time- and domain-averaged (a) IWC in the 200_2,
1271 2000_20, 200_0.07, 200_20, 2000_2, 2000_0.07, and 200_0.7 runs. (b) The vertical
1272 distributions of the time- and domain-averaged LWC in the 200_0 and 2000_0 runs as well
1273 as all the runs shown in panel (a).

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1275 Figure 10. The average size distributions of (a) ice crystals over grid points with non-zero
1276 IWC and the simulation period and (b) drops over grid points with non-zero LWC and the
1277 simulation period.

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Simulations	The number concentration of aerosols acting as CCN at the first time step in the PBL (cm ⁻³)	The number concentration of aerosols acting as INP at the first time step in the PBL (cm ⁻³)	ICNCavg/CDNCavg	Ice processes	Radiation
200 2	200	2	0.220	Present	Present
2000 20	2000	20	0.201	Present	Present
2000 2	2000	2	0.108	Present	Present
200 20	200	20	0.512	Present	Present
200 0	200	2	0.000	Absent	Present
2000 0	2000	2	0.000	Absent	Present
200 0.07	200	0.07	0.022	Present	Present
2000 0.07	2000	0.07	0.012	Present	Present
200 0.7	200	0.7	0.041	Present	Present
4000 45	4000	45	0.220	Present	Present
13 0.1	13	0.1	0.220	Present	Present
4000 1.8	4000	1.8	0.022	Present	Present
12 0.0035	12	0.0035	0.022	Present	Present

1298

1299 Table 1. Summary of simulations

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Simulations	IWC (10^{-3} g m^{-3})	LWC (10^{-3} g m^{-3})	IWP (g m^{-2})	LWP (g m^{-2})	IWC/LWC	IWP/LWP	Condensation rate		Deposition rate		Cloud-base sedimentation ($10^{-3} \text{g m}^{-2} \text{s}^{-1}$)		Entrainment (cm s^{-1})
							Over grid points (10^{-2} g m^{-3} s^{-1})	Over cloudy columns (g m^{-2} s^{-1})	Over grid points (10^{-2} g m^{-3} s^{-1})	Over cloudy columns (g m^{-2} s^{-1})	Ice- crystal	Droplet	
200 2	6.57	0.25	31.94	1.23	26.28	25.96	0.11	1.98	1.30	23.40	1.17	0.17	0.25
2000 20	7.82	0.21	40.91	1.08	37.24	37.91	0.09	1.62	1.57	28.26	0.94	0.06	0.53
2000 2	6.55	0.29	31.85	1.46	22.58	21.81	0.12	2.16	1.28	23.04	1.11	0.08	0.28
200 20	7.80	0.20	40.82	1.01	39.00	40.42	0.09	1.62	1.56	28.08	0.97	0.11	0.51
200 0	0.00	2.06	0.00	10.35	0.00	0.00	0.72	12.48	0.00	0.00	0.00	0.36	0.08
2000 0	0.00	2.25	0.00	11.29	0.00	0.00	0.76	12.80	0.00	0.00	0.00	0.14	0.10
200 0.07	0.89	0.85	4.27	4.20	1.05	1.02	0.32	5.76	0.35	6.30	0.19	0.28	0.06
2000 0.07	0.79	0.97	3.82	4.83	0.81	0.79	0.38	6.84	0.31	5.58	0.17	0.19	0.07
200 0.7	0.98	0.78	4.73	3.88	1.25	1.22	0.31	5.58	0.39	7.02	0.14	0.22	0.07

1316

1317 Table 2. The averaged IWC, LWC, IWP, LWP, condensation and deposition rates over all
1318 of grid points and the simulation period in each of simulations. IWC/LWC (IWP/LWP) is
1319 the averaged IWC (IWP) over the averaged LWC (LWP). Also, as shown are the vertically
1320 integrated condensation and deposition rates over each cloudy column which are averaged
1321 over those columns and the simulation period. The average cloud-base sedimentation rate,
1322 which is for each of ice crystals and droplets, over the cloud base and simulation period,
1323 and the average cloud-top entrainment rate over the cloud top and simulation period are
1324 shown as well.

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Simulations	IWC (10^{-3} g m^{-3})	LWC (10^{-3} g m^{-3})	IWP (g m^{-2})	LWP (g m^{-2})	IWC/LWC	IWP/LWP	Condensation rate		Deposition rate		Cloud-base sedimentation ($10^{-3} \text{g m}^{-2} \text{s}^{-1}$)		Entrainment (cm s^{-1})
							Over grid points (10^{-2} g m^{-3} s^{-1})	Over cloudy columns (g m^{-2} s^{-1})	Over grid points (10^{-2} g m^{-3} s^{-1})	Over cloudy columns (g m^{-2} s^{-1})	Ice- crystal	Droplet	
200 2 norad	6.42	0.24	31.21	1.22	26.75	25.58	0.10	1.96	1.29	23.35	1.16	0.16	0.24
2000 20 norad	7.63	0.21	40.05	1.07	36.33	37.42	0.09	1.59	1.55	29.91	0.92	0.06	0.51
2000 2 norad	6.40	0.29	31.11	1.45	22.06	21.45	0.11	2.12	1.26	22.69	1.07	0.08	0.27
200 20 norad	7.61	0.20	39.95	0.99	38.05	40.35	0.09	1.59	1.54	27.72	0.97	0.11	0.49
200 0 norad	0.00	2.03	0.00	10.20	0.00	0.00	0.72	12.31	0.00	0.00	0.00	0.34	0.08
2000 0 norad	0.00	2.21	0.00	11.12	0.00	0.00	0.75	12.63	0.00	0.00	0.00	0.13	0.10
200 0.07 norad	0.87	0.84	4.21	4.17	1.04	1.01	0.31	5.74	0.35	6.21	0.18	0.27	0.05
2000 0.07 norad	0.78	0.96	3.78	4.80	0.81	0.79	0.36	6.81	0.30	5.50	0.16	0.18	0.06
200 0.7 norad	0.97	0.76	4.70	3.85	1.25	1.22	0.30	5.55	0.38	6.91	0.13	0.21	0.06

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1340 Table 3. Same as Table 2 but for the repeated simulations with radiative processes turned

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Simulations	ICNCavg/CDNCavg	Percentage increases (+) or decrease (-) in ICNCavg/CDNCavg	IWC/LWC	Percentage increases (+) or decrease (-) in IWC/LWC
2000 0.07	0.012		0.81	
200 0.07	0.022	+83.33%	1.05	+29.6%
200 0.7	0.041	+86.36%	1.25	+19.0%
2000 2	0.108	+163.4%	22.58	+1706.4%
2000 20	0.201	+86.1%	37.24	+64.9%
200 2	0.220	+9.4%	26.28	-29.4%
200 20	0.512	+132.7%	39.00	+48.4%

1362

1363 Table 4. ICNCavg/CDNCavg and IWC/LWC in the simulations that are related to Section
1364 4.1. The Percentage increases or decreases in ICNCavg/CDNCavg and IWC/LWC as

1365 shown in the i^{th} row are $\frac{(\text{ICNCavg/CDNCavg})_i - (\text{ICNCavg/CDNCavg})_{i-1}}{(\text{ICNCavg/CDNCavg})_{i-1}} \times 100 (\%)$ and

1366 $\frac{(\text{IWC/LWC})_i - (\text{IWC/LWC})_{i-1}}{(\text{IWC/LWC})_{i-1}} \times 100 (\%)$, respectively. Here, $(\text{ICNCavg/CDNCavg})_i$ and

1367 $(\text{IWC/LWC})_i$ represent ICNCavg/CDNCavg and IWC/LWC in the i^{th} row, respectively.

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Simulations	ICNCavg/CDNCavg	IWC/LWC	Percentage increases (+) or decrease (-) in IWC/LWC
Polar case			
200_2	0.220	26.28	
4000_45	0.220	27.25	+3.7%
13_0.1	0.220	25.62	-2.5%
Representing midlatitude case			
200_0.07	0.022	1.05	
4000_1.8	0.022	1.09	+3.8%
12_0.0035	0.022	1.02	-2.9%

1385

1386 Table 5. ICNCavg/CDNCavg and IWC/LWC in the simulations that are related to Section
1387 4.2. The percentage increases or decreases in IWC/LWC in the 4000_45 run or in the

1388 13_0.1 run are $\frac{(IWC/LWC)_{4000_45 \text{ or } 13_0.1} - (IWC/LWC)_{200_2}}{(IWC/LWC)_{200_2}} \times 100 (\%)$. Here,

1389 $(IWC/LWC)_{4000_45 \text{ or } 13_0.1}$ represents IWC/LWC in the 4000_45 run or the 13_01 run, while
1390 $(IWC/LWC)_{200_2}$ represents IWC/LWC in the 200_2 run. The percentage increases or

1391 decreases in IWC/LWC in the 4000_1.8 run or the 12_0.0035 run are

1392 $\frac{(IWC/LWC)_{4000_1.8_fac10 \text{ or } 12_0.0035_fac10} - (IWC/LWC)_{200_2_fac10}}{(IWC/LWC)_{200_2_fac10}} \times 100 (\%)$. Here,

1393 $(IWC/LWC)_{4000_1.8 \text{ or } 12_0.0035}$ represents IWC/LWC in the 4000_1.8 run or the 12_0.0035
1394 run, while $(IWC/LWC)_{200_0.07}$ represents IWC/LWC in the 200_0.07 run.

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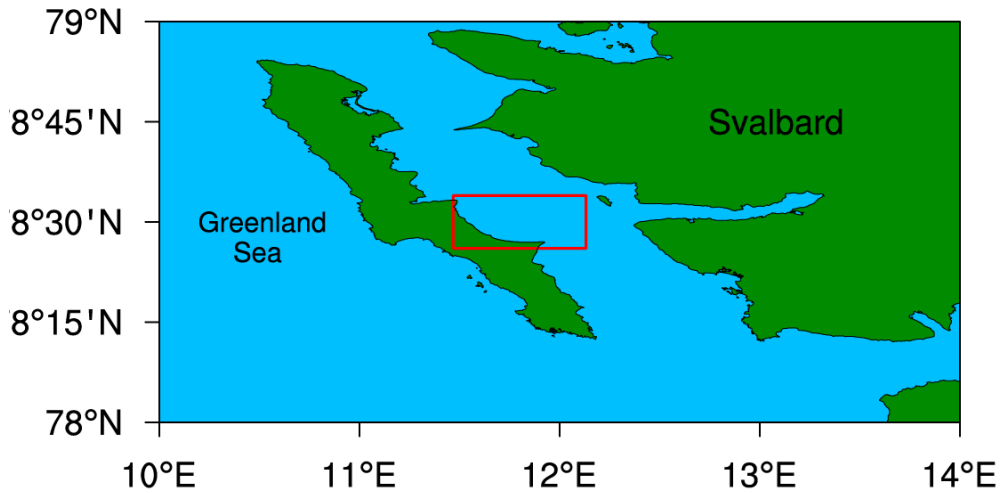
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Figure 1

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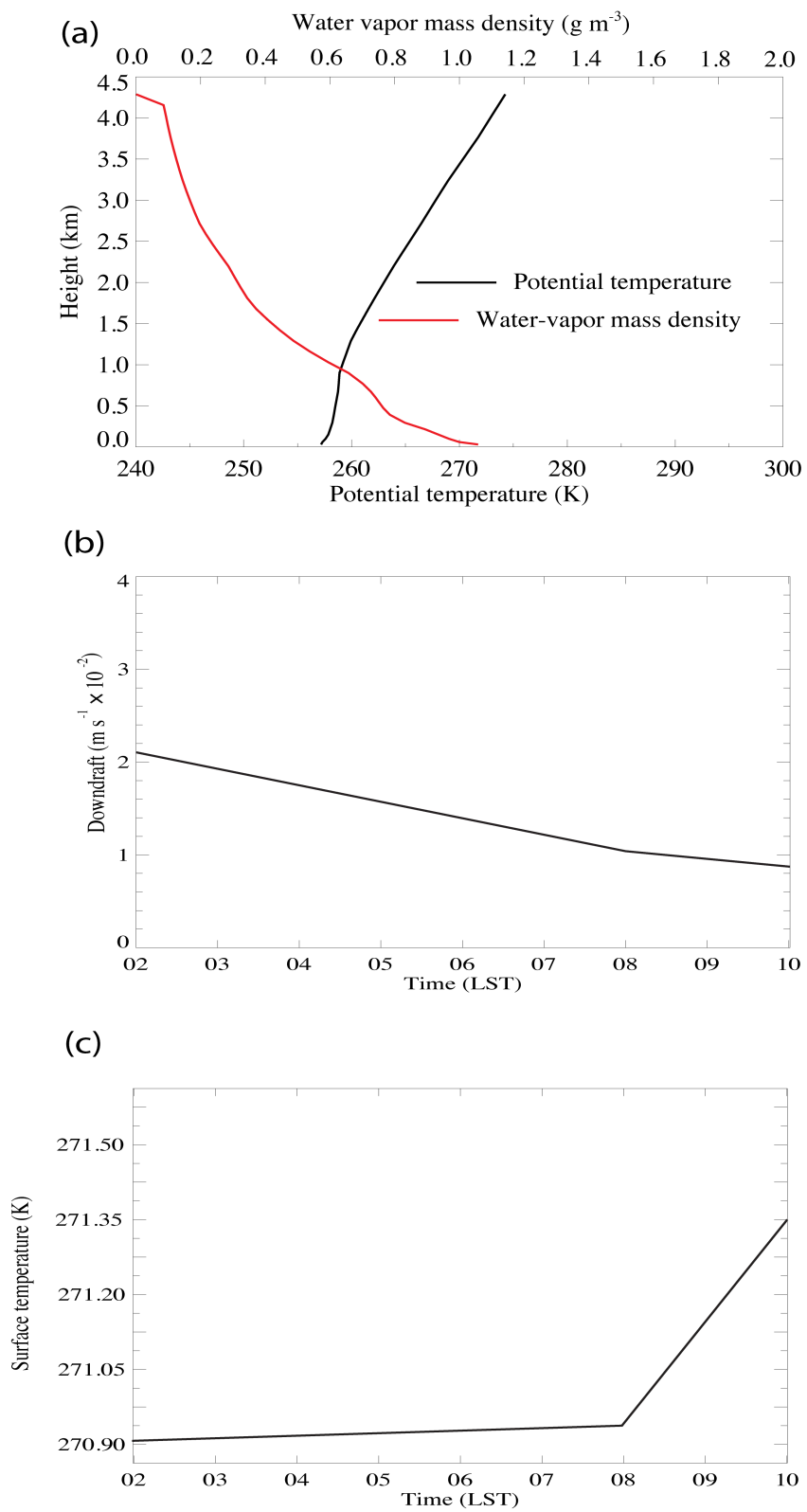
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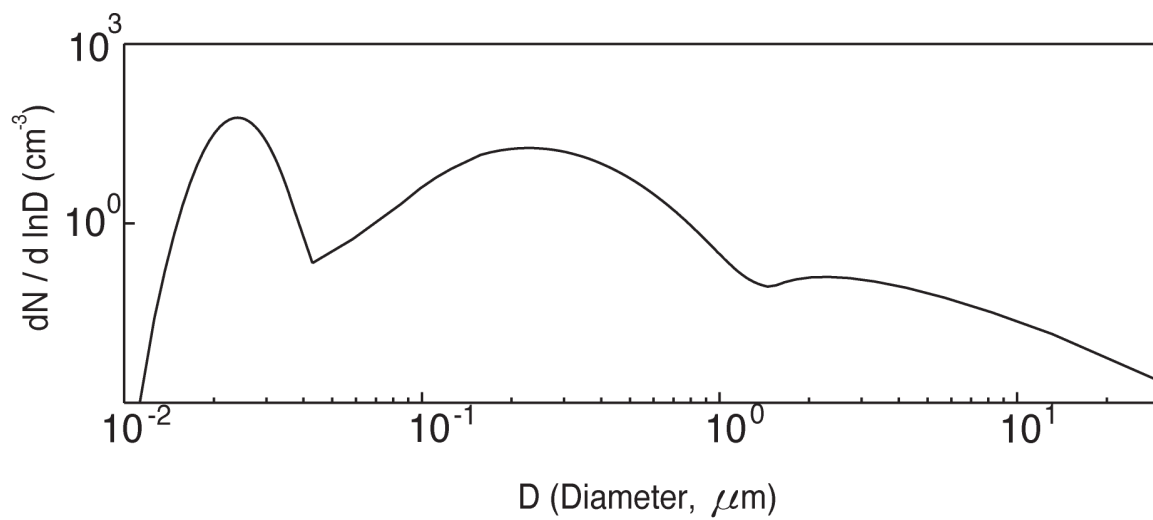
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Figure 2



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Figure 3

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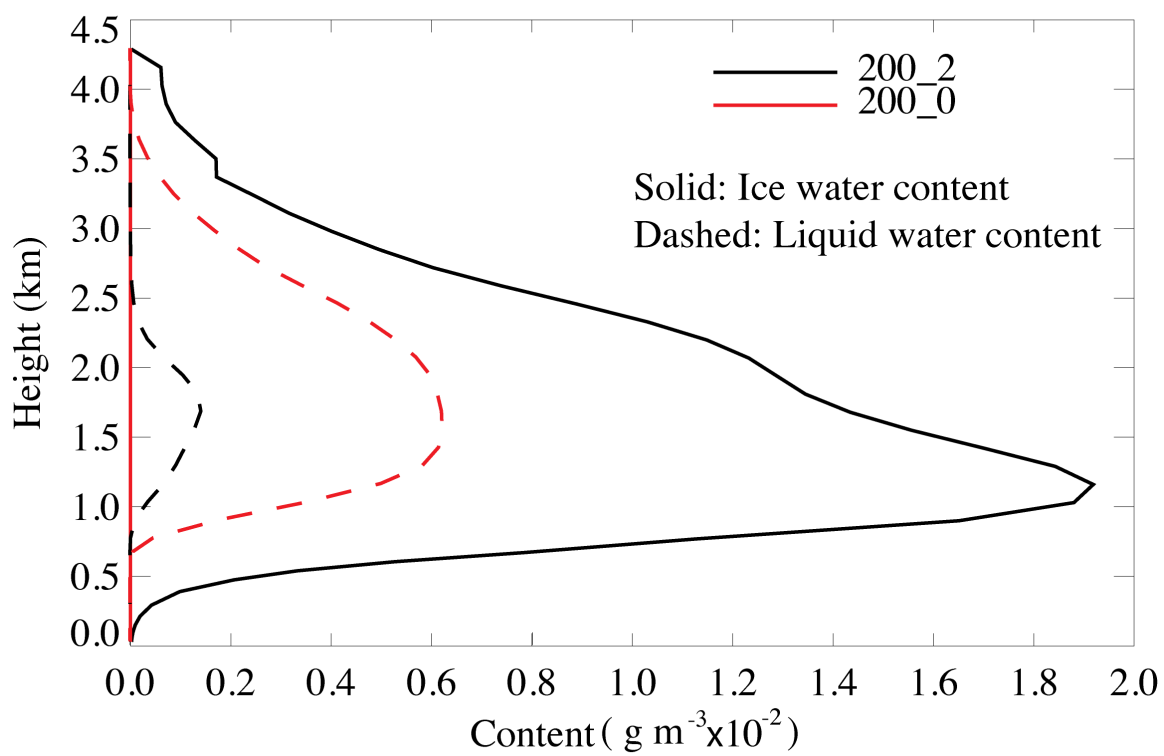
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Figure 4

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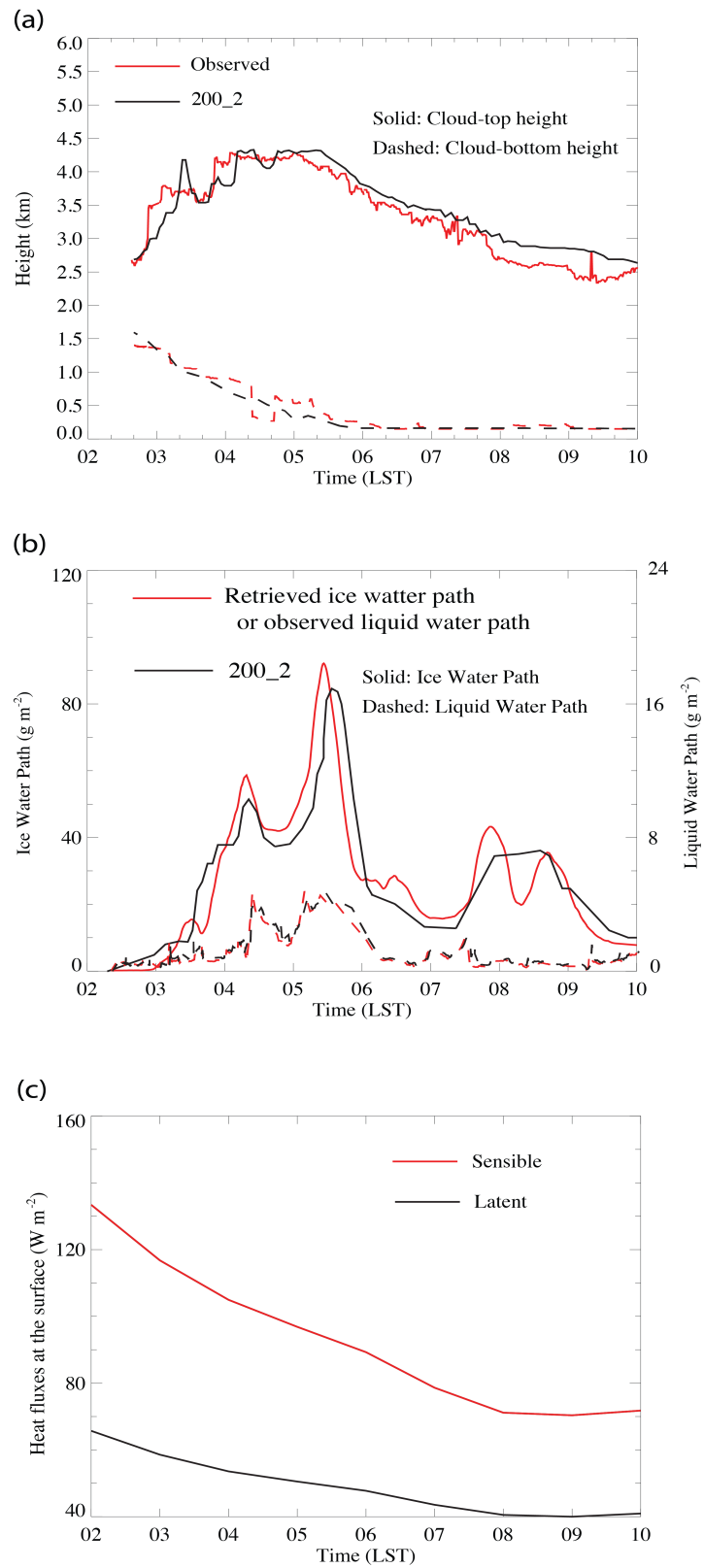
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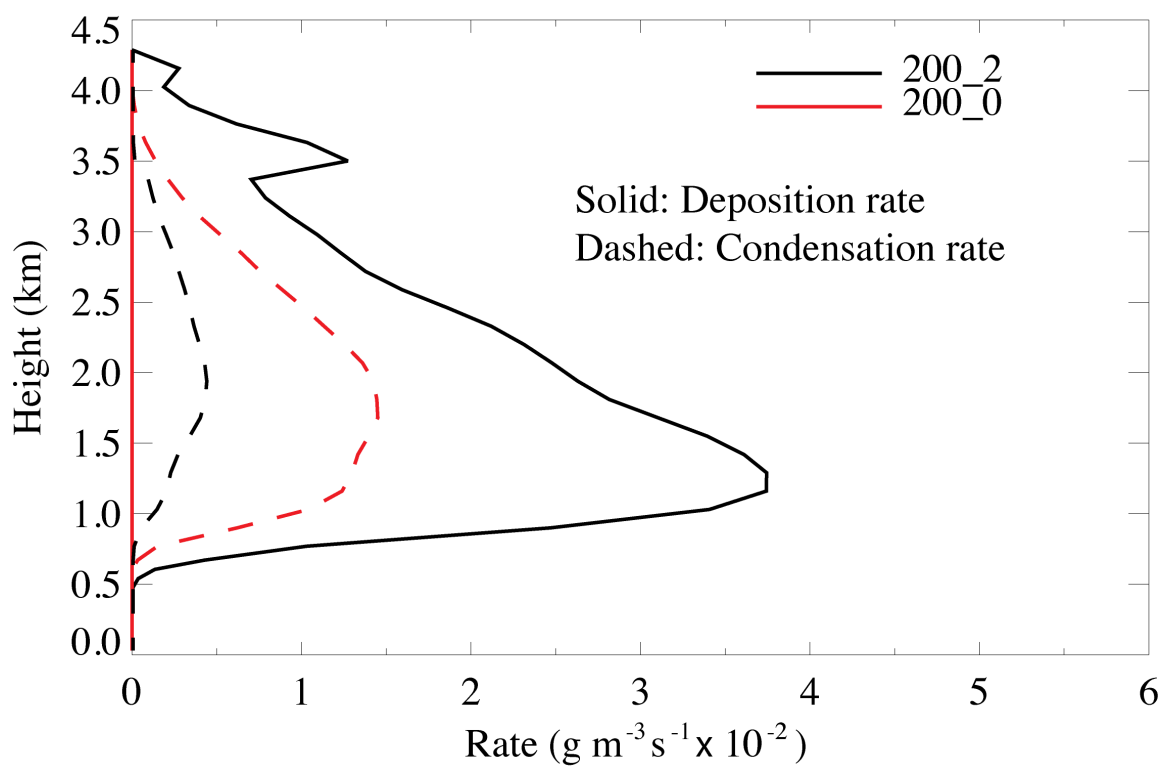
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Figure 5



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Figure 6

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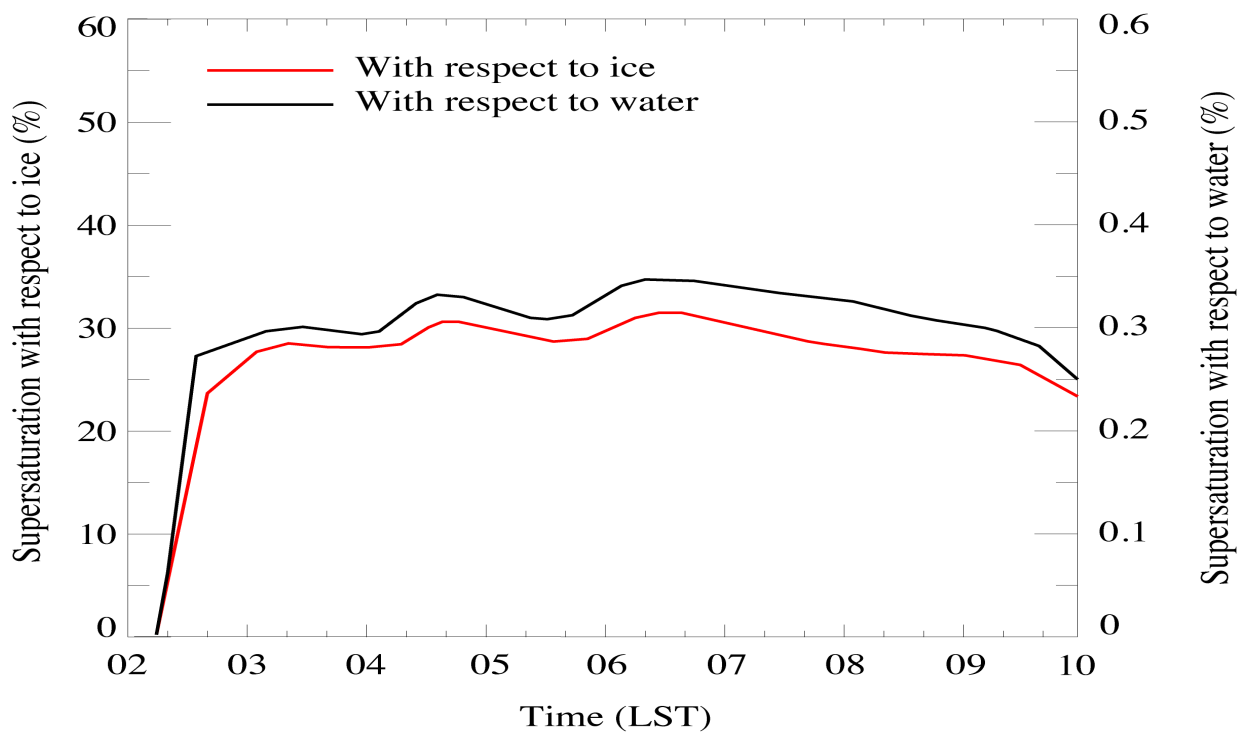
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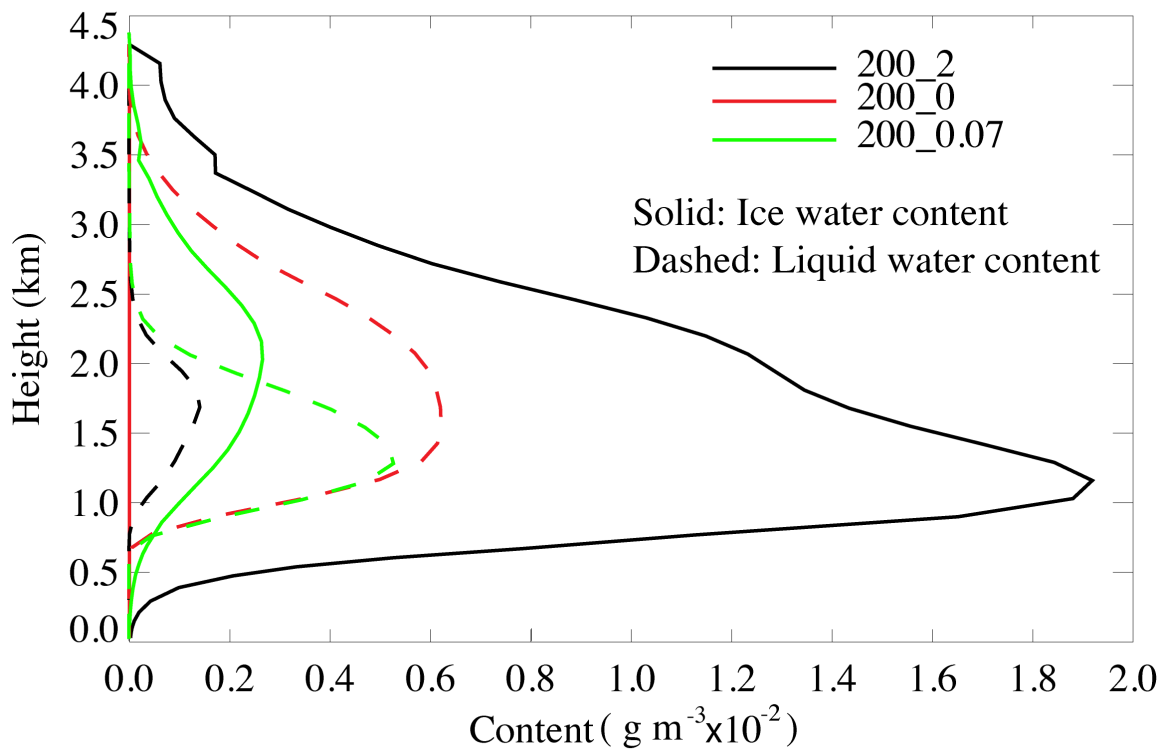


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Figure 7

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Figure 8

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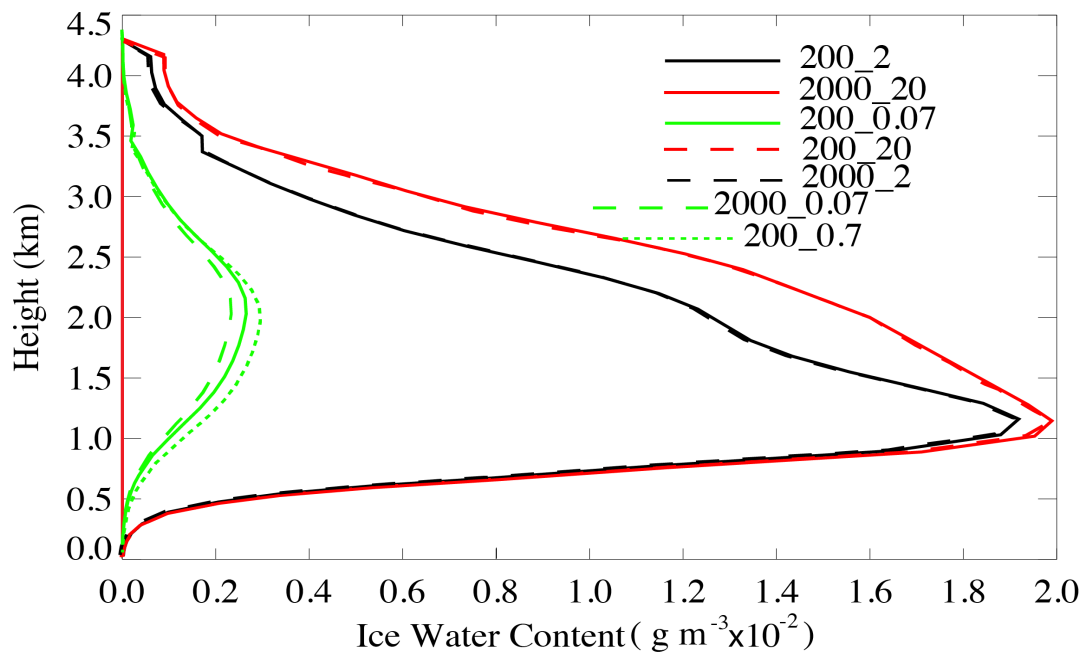
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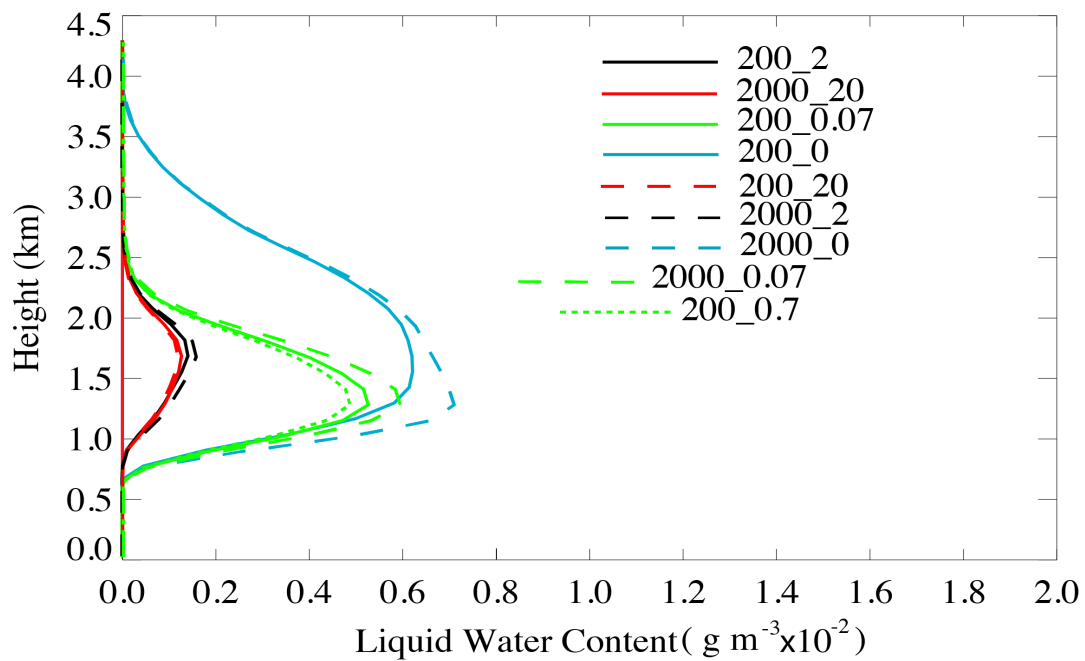
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(a)



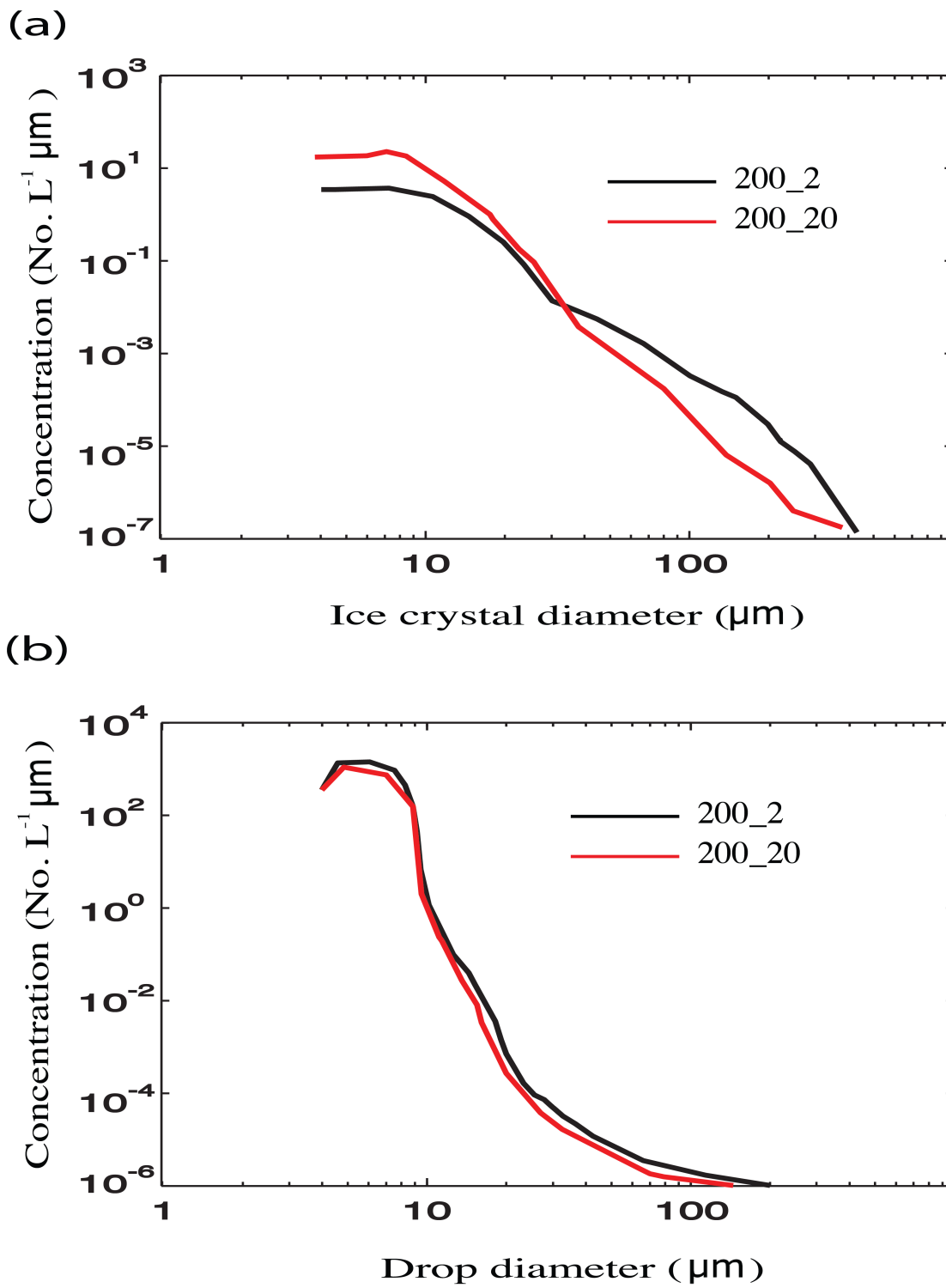
(b)



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Figure 9



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Figure 10