



1 **Acylperoxy radicals during ozonolysis of α -pinene: composition, formation mechanism,
2 and contribution to the production of highly oxygenated organic molecules**

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12



13 **Abstract**

14 Acylperoxy radicals (RO_2) are key intermediates in atmospheric oxidation of organic compounds
15 and different from the general alkyl RO_2 radicals in reactivity. However, direct probing of the
16 molecular identities and chemistry of acyl RO_2 remains quite limited. Here, we report a combined
17 experimental and kinetic modelling study of the composition and formation mechanisms of acyl
18 RO_2 , as well as their contributions to the formation of highly oxygenated organic molecules (HOMs)
19 during ozonolysis of α -pinene. We find that acyl RO_2 radicals account for 67%, 94%, and 32% of
20 the highly oxygenated C_7 , C_8 , and $\text{C}_9 \text{ RO}_2$, respectively, but only a few percent of $\text{C}_{10} \text{ RO}_2$. The
21 formation pathway of acyl RO_2 species depends on their oxygenation level. The highly oxygenated
22 acyl RO_2 (oxygen atom number ≥ 6) are mainly formed by the intramolecular aldehydic H-shift (i.e.,
23 autoxidation) of RO_2 , while the less oxygenated acyl RO_2 (oxygen atom number < 6) are basically
24 derived from the C-C bond cleavage of alkoxy (RO) radicals containing an α -ketone group or the
25 intramolecular H-shift of RO containing an aldehyde group. The acyl RO_2 -involved reactions
26 explain 50-90% of C_7 and C_8 closed-shell HOMs and 14% of C_{10} HOMs, respectively. For C_9 HOMs,
27 this contribution can be up to 30%-60%. In addition, acyl RO_2 contribute to 50%-95% of C_{14} - C_{18}
28 HOM dimer formation. Because of the generally fast reaction kinetics of acyl RO_2 , the acyl RO_2 +
29 alkyl RO_2 reactions seem to outcompete the alkyl RO_2 + alkyl RO_2 pathways, thereby affecting the
30 fate of alkyl RO_2 and HOM formation. Our study sheds lights on the detailed formation pathways
31 of the monoterpene-derived acyl RO_2 and their contributions to HOM formation, which will help to
32 understand the oxidation chemistry of monoterpenes and sources of low-volatility organic
33 compounds capable of driving particle formation and growth in the atmosphere.



34 **1. Introduction**

35 Monoterpenes ($C_{10}H_{16}$) comprise an important fraction of nonmethane hydrocarbons in the global
36 atmosphere (Guenther et al., 2012; Sindelarova et al., 2014) and make a significant contribution to
37 the secondary organic aerosol (SOA) budget (Pye et al., 2010; Iyer et al., 2021). The presence of
38 double bond and large molecular size of monoterpenes favor their oxidation reactivity towards O_3 ,
39 hydroxyl (OH), and nitrate (NO_3) radicals (Atkinson et al., 1990; Roger et al., 2004; Kurten et al.,
40 2015; Kristensen et al., 2016; Bianchi et al., 2019; Berndt, 2022), as well as the formation of low-
41 volatility products and SOA (Fry et al., 2009; Fry et al., 2014; Zhang et al., 2018; Bianchi et al.,
42 2019; Molteni et al., 2019; Shen et al., 2022). The organic peroxy radicals (RO_2) in the gas-phase
43 oxidation of monoterpenes can undergo autoxidation and form a class of highly oxygenated organic
44 compounds (HOM) (Jokinen et al., 2014; Mentel et al., 2015; Berndt et al., 2016; Zhao et al., 2018;
45 Bianchi et al., 2019; Bell et al., 2021; Berndt, 2022), which are primarily low- or extremely low-
46 volatility organic compounds (LVOCs and ELVOCs) (Ehn et al., 2014; Bianchi et al., 2019) and
47 thus play a crucial role in SOA formation and growth.

48 Significant advances have been made in recent years concerning the monoterpene RO_2 autoxidation
49 and its contribution to HOM formation (Ehn et al., 2014; Berndt et al., 2016; Zhao et al., 2018; Xu
50 et al., 2019; Lin et al., 2021; Berndt, 2022; Shen et al., 2022). It is recognized that a part of
51 monoterpene RO_2 radicals derived from the traditional ozonolysis channel (i.e., isomerization of
52 Criegee intermediates, CI) and OH addition channel can autoxidize at a rate larger than 1 s^{-1} and
53 could be an important contributor to HOM formation (Zhao et al., 2018; Xu et al., 2019; Berndt,
54 2021). Recently, new reaction channels leading to the RO_2 radicals that can undergo fast
55 autoxidation have been proposed. A quantum chemical calculation study indicated that an excited
56 CI arising from α -pinene ozonolysis could undergo ring-breaking reactions and directly lead to a
57 ring-opened RO_2 due to the excess energy, which can autoxidize at a rate of $\sim 1\text{ s}^{-1}$ and rapidly form
58 highly oxidized RO_2 with up to 8 oxygen atoms (Iyer et al., 2021). In addition, the minor hydrogen
59 abstraction channel by OH radicals has been proposed as a predominant pathway to HOM formation
60 from OH oxidation of α -pinene under atmospheric conditions (Shen et al., 2022).

61 RO_2 species can be simply divided into alkyl RO_2 and acyl RO_2 ($RC(O)OO$) according to whether
62 R is an acyl radical. There are significant differences in the reactivity of these two kinds of RO_2 .
63 Firstly, the rate constant of acyl RO_2 with NO is in general slightly higher than that of alkyl RO_2
64 (Atkinson et al., 2007; Calvert et al., 2008; Orlando and Tyndall, 2012). For example, the reaction
65 rate constants of acyl RO_2 , $CH_3C(O)O_2$, and alkyl RO_2 , $CH_3CH_2O_2$, with NO have been reported to
66 be $20 \times 10^{-12}\text{ cm}^3\text{ molecule}^{-1}\text{ s}^{-1}$ and $9.2 \times 10^{-12}\text{ cm}^3\text{ molecule}^{-1}\text{ s}^{-1}$, respectively (Atkinson et al., 2007;



67 Calvert et al., 2008; Orlando and Tyndall, 2012). Besides, acyl RO₂ can react rapidly with NO₂ and
68 form thermally unstable peroxyacetyl nitrates (RC(O)OONO₂), which have a lifetime of tens of
69 minutes at room temperature and of days and even months in winter or in the upper atmosphere with
70 lower temperatures (Atkinson et al., 2007; Orlando and Tyndall, 2012). Although alkyl RO₂ radicals
71 can also react with NO₂ and form the alkyl peroxy nitrates (ROONO₂), they are extremely unstable
72 and will decompose into RO₂ radicals and NO₂ in less than 1 s (Kirchner et al., 1997; Orlando and
73 Tyndall, 2012). Lastly, the rate constant of cross-reaction of acyl RO₂ ($1.5 \pm 0.3 \times 10^{-11} \text{ cm}^3$
74 molecule⁻¹ s⁻¹) is significantly higher than that of alkyl RO₂ ($2 \times 10^{-17} - 1 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$)
75 (Villenave and Lesclaux, 1996; Tyndall et al., 2001; Atkinson et al., 2007; Zhao et al., 2018). As a
76 result, these two kinds of RO₂ may play different roles in the autoxidation as well as HOM and
77 dimer formation.

78 The quantum calculations revealed that different functional groups in RO₂ would lead to
79 significantly different intramolecular H-shift rates (Otkjær et al., 2018). The C=O and C=C
80 substituents lead to resonance stabilized carbon radicals and could enhance the H-shift rate constants
81 by more than a factor of 400. The fast aldehydic H-shift rate contributes to a series of acyl radicals
82 (RC(O)) with the radical site at the terminal carbonyl carbon, which further produce the acyl RO₂
83 with O₂ addition. Many RO₂ formed in the oxidation of monoterpenes have the aldehyde
84 functionality, especially for α -pinene ozonolysis, in which all the primary and many later-generation
85 RO₂ contain at least one aldehyde group (Noziere et al., 2015; Berndt et al., 2018; Li et al., 2019;
86 Berndt, 2022; Zhao et al., 2022). As a result, acyl RO₂ may comprise a considerable fraction of total
87 RO₂ species and contribute significantly to the formation of low-volatility products and SOA in the
88 monoterpene oxidation system. A recent study by Zhao et al. (2022) found that the acyl RO₂-
89 involved reactions contribute to 50%-80% of oxygenated C₁₅-C₂₀ dimers (O:C ≥ 0.4) and 70% of
90 C₁₅-C₁₉ dimer esters in SOA from α -pinene ozonolysis. However, currently the direct probing of
91 the molecular identities and chemistry of monoterpene-derived acyl RO₂ radicals is rather limited.
92 The role of acyl RO₂ in HOM formation remains to be quantified.

93 In this study, the molecular identities and formation mechanisms of acyl RO₂ radicals, as well as
94 their contributions to HOM formation in the α -pinene ozonolysis are investigated. The experiments
95 were conducted in a flow reactor with different concentrations of NO₂, which acted as an efficient
96 scavenger for the acyl RO₂. The molecular composition and abundance of the gas-phase HOMs
97 were measured by a chemical ionization-atmospheric pressure interface-time-of-flight mass
98 spectrometer (CI-APi-TOF) using nitrate as the reagent ions. In addition, kinetic modelling using
99 the Framework for 0-D Atmospheric Modeling (F0AM v4.1) employing Master Chemical



100 Mechanisms (MCM v3.3.1) updated with the latest advances of the RO₂ chemistry was performed
101 to gain insights into the reaction kinetics and mechanisms of acyl RO₂ species. We find that acyl
102 RO₂ account for a major fraction of highly oxygenated C₇ and C₈ RO₂ and play a significant role in
103 the formation of HOM monomers and dimers with small molecular size. This study will help to
104 understand the role of acyl RO₂ in the formation of low-volatility species from monoterpene
105 oxidation and reduce the uncertainties in the future atmospheric modelling of the formation and
106 impacts of aerosols.

107 **2. Method and Materials**

108 **2.1 Flow Reactor Experiments.**

109 The α -pinene ozonolysis experiments were carried out under room temperature (298 K) and dry
110 conditions (relative humidity < 5%) in a custom-built flow reactor, which has been described in detail
111 previously (Yao et al., 2019). The α -pinene vapor was generated by evaporating its pure liquid (99%,
112 Sigma-Aldrich) into a flow of zero air (10.65 L min⁻¹) added to the reactor using an automated
113 syringe pump (TYD01-01-CE, Baoding Leifu Fluid Technology Co., Ltd.). The initial
114 concentrations of α -pinene ranged from 500 ppb to 3 ppm in different experiments. Ozone was
115 generated by passing a flow of ultra-high-purity (UHP) O₂ (150 mL min⁻¹, Shanghai Maytor Special
116 Gas Co., Ltd.) through a quartz tube housing a pen-ray mercury lamp (UV-S2, UVP Inc.) and its
117 concentration (45 ppb and 180 ppb under low and high O₃ conditions, respectively) was measured
118 by an ozone analyzer (Model 49i, Thermo Fisher Scientific, USA). The NO₂, acting as an acyl RO₂
119 scavenger, was derived from its standard cylinder gas (15.6 ppm, Shanghai Weichuang Standard
120 Gas Co., Ltd.) and its initial concentration ranged from 0 to 30 ppb. To validate the formation
121 mechanisms of acyl RO₂, selected experiments with the addition of NO or cyclohexane were also
122 conducted. NO was derived by its standard cylinder gas (9.8 ppm, Shanghai Weichuang Standard
123 Gas Co., Ltd.) and its initial concentration also ranged from 0 to 30 ppb. The gas-phase cyclohexane
124 (~ 500 ppm), acting as an OH scavenger, was generated by bubbling a gentle flow of UHP N₂
125 through liquid cyclohexane (LC-MS grade, CNW). The total air flow in the flow reactor was 10.8 L
126 min⁻¹ and the residence time was 25 seconds. The relatively low O₃ concentration and short reaction
127 time in the flow reactor avoid significant production of NO₃ radicals from NO₂ and O₃ and make
128 the NO₃ oxidation contribute only 0.3%-1.2% of the total α -pinene oxidation in our experiments.
129 Therefore, the NO₃ chemistry could be neglected in this study. A summary of the experimental
130 conditions is given in Tables S1 and S2 in the Supplement.

131 The gas-phase RO₂ radicals and closed-shell products were measured by a nitrate-based CI-API-
132 TOF mass spectrometer (abbreviated as nitrate-CIMS; Aerodyne Research, Inc.), and a long time-



133 of-flight mass spectrometer with a mass resolution of ~10000 Th/Th was used here. The mass
134 calibration error is below 1.8 ppm. The sheath flow, including a 2 mL min⁻¹ UHP N₂ flow containing
135 nitric acid (HNO₃) and 22.4 L min⁻¹ zero air was guided through a PhotoIonizer X-ray (Model L9491,
136 Hamamatsu, Japan) to generate nitrate reagent ions. The total sample flow rate was 9 L min⁻¹ during
137 the experiments. The instrument was calibrated with a sulfuric acid (H₂SO₄) calibration factor and
138 a mass-dependent transmission efficiency. The mass spectra within the m/z range of 50 to 700 were
139 analyzed using the tofTools package developed by Junninen et al. (2010) based on Matlab. After
140 getting the signals of the gas-phase oxygenated organic molecules (OOMs), their concentration can
141 be calculated as follows (Jokinen et al., 2012; Bianchi et al., 2019):

$$142 \quad [\text{OOM}] = C \times \frac{I_{\text{OOM}}}{I_{\text{NO}_3^-} + I_{\text{HNO}_3\text{NO}_3^-} + I_{\text{HNO}_3\text{HNO}_3\text{NO}_3^-}} \times \frac{1}{T_i} \quad (1)$$

143 C is the calibration factor of H₂SO₄, with a value of 4.06×10^9 molecule cm⁻³ in this study; I_X is the
144 detected signal of X in the unit of counts per second (cps) and most OOMs were detected as adducts
145 with NO₃⁻; T_i is the mass-dependent transmission efficiency of the instrument determined using the
146 following equation by adding propanoic acid, pentanoic acid and heptanoic acid vapors to deplete
147 NO₃⁻ (Figure S1):

$$148 \quad T_i = 0.56 + 7.2 \times 10^4 / ((m/z - 498.84)^2 + 3.46 \times 10^4) \quad (2)$$

149 **2.2 Kinetic Model Simulations.**

150 Model simulations of RO₂ and HOM formation in selected experiments were performed to constrain
151 the reaction kinetics and mechanisms of acyl RO₂ using FOAM v4.1 (Wolfe et al., 2016), which
152 employs MCM v3.3.1 (Jenkin et al., 2015) updated with the chemistry of RO₂ autoxidation and
153 cross-reactions forming HOM monomers and dimers. Newly added species and reactions to MCM
154 v3.3.1 followed the work by Zhao et al. (2018) and Wang et al. (2021). Considering that the default
155 MCM v3.3.1 does not include highly oxygenated acyl RO₂, we added the possible formation
156 pathways of the potential acyl RO₂ measured in this study to the model based on the mechanisms
157 proposed by Zhao et al. (2022).

158 The formation and reaction branching ratios of the two α -pinene-derived CIs are updated in the
159 model according to the recent studies (Table S3) (Claflin et al., 2018; Iyer et al., 2021; Zhao et al.,
160 2021; Berndt, 2022). The formation of a ring-opened C₁₀H₁₅O₄-RO₂ species (C10H15O4RBRO2 in
161 Table S3) from α -pinene ozonolysis proposed by a recent study (Iyer et al., 2021), as well as its
162 subsequent autoxidation and bimolecular reactions, is included in the model. The autoxidation rate
163 constant of the ring-opened C₁₀H₁₅O₄-RO₂ is 1 s⁻¹, and a lower limit of its molar yield (30%) was



164 used according to the recent studies (Zhao et al., 2021; Meder et al., 2023) and our results (see
165 details in Section 3.3). We also added the hydrogen abstraction channel of α -pinene oxidation by
166 OH radicals according to a recent study (Shen et al., 2022). The branching ratio of this channel was
167 set to 9%, with the rest 91% being the traditional OH addition pathways. The detailed reaction
168 pathways and rate constants of RO₂ species in this channel followed the work by Shen et al. (2022),
169 except for RO₂ cross-reactions, the rates of which were not reported in that study. As the primary
170 RO₂ radicals (C₁₀H₁₅O₂-RO₂) formed via the hydrogen abstraction by OH radical are least-oxidized
171 with only 2 oxygen atoms, their cross-reaction rate could be relatively low (Atkinson et al., 2007;
172 Orlando and Tyndall, 2012). In the model, this rate constant was set to $1 \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$.
173 For other alkyl RO₂ radicals (including HOM-RO₂), their cross-reaction rate constant is assumed to
174 be $1 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ according to Zhao et al. (2018). The dimer formation rates for these
175 alkyl RO₂ are same as their cross-reaction rates.

176 In flow reactor experiments, the equilibrium formation of ROONO₂ would lead to the consumption
177 of alkyl RO₂ radicals. To account for the influence of this process on the RO₂ budget and HOM
178 formation, we included the reaction of RO₂ + NO₂ ⇌ ROONO₂ in the model, with forward and
179 reverse reaction rate constants of $7.5 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ and 5 s^{-1} , respectively (Orlando and
180 Tyndall, 2012). To simplify the parameterization, the forward and reverse reaction rate constants of
181 newly added highly oxygenated acyl RO₂ with NO₂ are the same as default values in MCM v3.3.1.
182 Besides, the cross-reaction rate constants of acyl RO₂ (including acyl RO₂ + acyl RO₂ and acyl RO₂
183 + alkyl RO₂) forming monomers or dimers were both set to $1 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ (Orlando
184 and Tyndall, 2012). Considering that there are large uncertainties in the dimer formation rate of RO₂,
185 a sensitivity analysis was conducted to evaluate its influence on acyl RO₂-involved HOM formation
186 by varying the rate constant from $1 \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ to $1 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ for alkyl
187 RO₂ and $1 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ to $1 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ for acyl RO₂. The results show that
188 changes in dimer formation rate constants within the above ranges have no significant influence on
189 the contribution of acyl RO₂ to HOM formation (Figure S2).

190 The wall losses of OH, HO₂, and RO₂ radicals, as well as closed-shell HOM monomers and dimers
191 in the flow reactor were considered using the KPS method proposed by Knopf et al. (2015) in the
192 model (Table S4), with an assumption of irreversible uptake of these species on the reactor wall. It
193 is found that the wall loss of OH, HO₂, and RO₂ radicals accounts for 0.08-0.14%, 4.7-9.1%, and 7.3-
194 25.5% of their total production, respectively, with lower values under higher reacted α -pinene
195 concentration conditions. Therefore, the wall loss process would not significantly influence α -
196 pinene oxidation and RO₂ chemistry. The wall losses of closed-shell HOM monomers and dimers

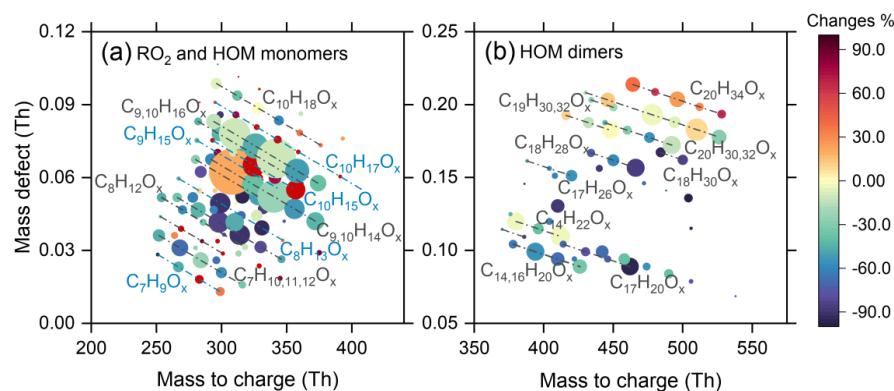


197 account for 18.4-34.7% and 14.2-33.1% of their total production, respectively. It should be noted
198 that the wall losses of typical RO₂ and HOMs have negligible impact on their responses to the
199 addition of NO₂ (Figure S3). In addition, with the consideration of the wall loss effects, the effect
200 and contribution of acyl RO₂ to the HOM formation only changed a little (0.02-0.5%). Therefore,
201 the wall losses of RO₂ and HOMs in the flow reactor would not affect the interpretation of the results
202 in this study.

203 **3. Results and Discussion**

204 **3.1 Molecular composition of acyl RO₂ from α -pinene ozonolysis**

205 The overall formation characteristics of gas-phase RO₂, closed-shell monomers, and dimers with
206 the addition of NO₂ (30 ppb) is shown in Figure 1 (Exps 8 and 14, Table S1). Since nitrate-CIMS is
207 only highly sensitive to the highly oxygenated species, we only discuss the production of HOMs
208 with oxygen atoms above 6 here. As for RO₂ and closed-shell monomers (Figure 1a), the
209 concentrations of C₇ and C₈ species decrease by more than 50% with the addition of NO₂, while for
210 C₉ and C₁₀ species, their decreases are relatively small (within 40%). In addition, we note that there
211 is an unexpected increase in some C₉ and C₁₀ RO₂, and the possible reason will be discussed in
212 detail in Section 3.3.



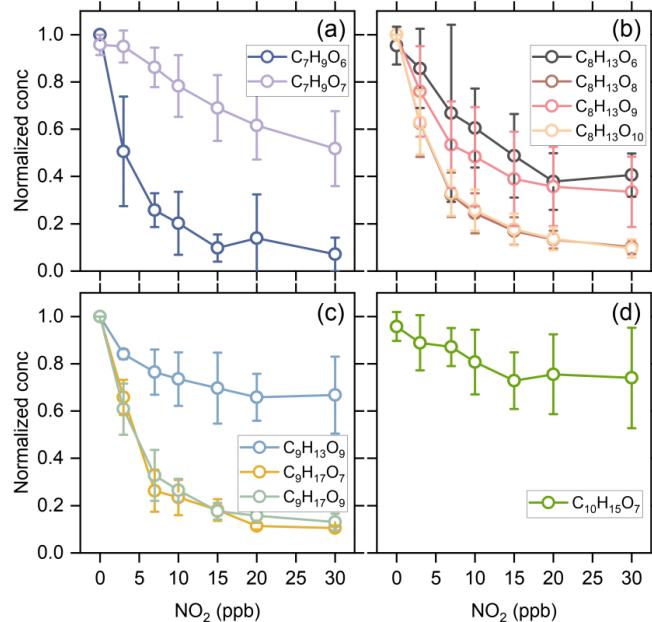
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214 Figure 1 Mass defect plots of (a) RO₂, HOM monomers, and (b) HOM dimers formed from
215 ozonolysis of α -pinene in the presence of NO₂ measured using nitrate-CIMS (Exps 8, 14). The
216 circles are colored by the relative changes in concentration of RO₂, monomers and dimers due to
217 the addition of NO₂ (30 ppb). The area of circles is linearly scaled with the cube root of the
218 concentration of HOMs formed in the absence of NO₂. The blue lines represent RO₂ radicals.

219 NO₂ could react rapidly with acyl RO₂ radicals to form RC(O)OOONO₂, which has a higher thermal-
220 stability compared to ROONO₂ and can serve as a sink for acyl RO₂ on our experimental timescales.



221 Therefore, a significant decrease in C₇ and C₈ RO₂ and HOMs upon the addition of NO₂ indicates
222 that a major fraction of C₇ and C₈ RO₂ are acyl RO₂. In contrast, the slight decrease in C₉ and C₁₀
223 HOM monomers shows that the contribution of acyl RO₂ to C₉ and C₁₀ RO₂ is relatively small.
224 However, some of the C₁₀ monomers showed a slight increase with the addition of NO₂, especially
225 for C₁₀H₁₈O_x-HOMs. The addition of NO₂ plays a twofold role in dimer formation from α -pinene
226 ozonolysis (Figure 1b). There is a significant inhibiting effect on C₁₄-C₁₈ dimers, which is due to
227 the large contribution of acyl RO₂ to the total C₇ and C₈ RO₂ that generate such dimers. However,
228 C₁₉ and C₂₀ dimers only show a slight decrease with the addition of NO₂, and some of them are even
229 enhanced. In particular, the enhancement in C₂₀H₃₄O_x is most significant, reaching 30%.

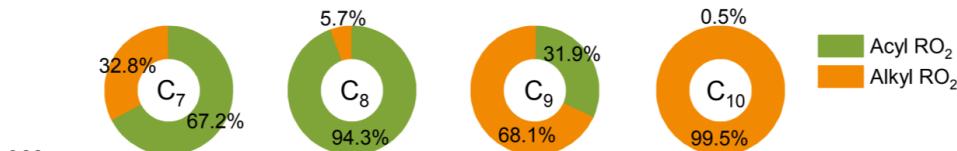
230 Kinetic model simulations show that the concentration of alkyl RO₂ decreases by 1-20% with the
231 addition of 30 ppb NO₂ under different reacted α -pinene conditions (Exps 1-28). Considering that
232 the acyl RO₂ could be rapidly consumed by NO₂, if the concentration reduction of a RO₂ species
233 significantly exceeds 20% with 30 ppb NO₂ addition, we presume it has significant contribution
234 from acyl RO₂. As a result, a total of 10 acyl RO₂ were identified according to the changes of RO₂
235 concentration as a function of initial NO₂ concentration, which include C₇H₉O₆, C₇H₉O₇, C₈H₁₃O₆,
236 C₈H₁₃O₈, C₈H₁₃O₉, C₈H₁₃O₁₀, C₉H₁₃O₉, C₉H₁₇O₇, C₉H₁₇O₉, and C₁₀H₁₅O₇. Figure 2 shows the
237 averaged normalized acyl RO₂ concentrations measured as a function of the added NO₂
238 concentration under different experimental conditions (Exps 1-28). Similarly, since nitrate-CIMS is
239 only highly sensitive to products with high oxygen content, we only observed acyl RO₂ with oxygen
240 atoms above 6. Consistent with the significant decrease in C₇ and C₈ species with the addition of
241 NO₂ in Figure 1a, C₇ and C₈ acyl RO₂ decrease by more than 50% with the increase of NO₂
242 concentration (Figures 2a, b). For C₉ acyl RO₂, the C₉H₁₇O₇-RO₂ and C₉H₁₇O₉-RO₂ also decrease
243 dramatically with increasing NO₂, and the decrease in C₉H₁₃O₉-RO₂ is relatively smaller (Figure
244 2c). In addition, C₁₀H₁₅O₇-RO₂ also shows a small decrease (Figure 2d), with a reduction of only
245 30% at 30 ppb NO₂. The relative small reduction in the abundance of some of these RO₂ radicals
246 indicates the presence of alkyl RO₂ radicals with the same chemical formulas.



247

248 Figure 2 Averaged normalized concentration of the measured acyl RO₂ as a function of the added
249 NO₂ concentration under different experimental conditions (Exps 1-28).

250 Figure 3 shows the contribution of acyl and alkyl RO₂ to the highly oxidized C₇-C₁₀ RO₂. Acyl RO₂
251 contribute 67.2%, 94.3% and 31.9% to the total C₇, C₈, and C₉ RO₂ concentrations, respectively. By
252 contrast, the only C₁₀ acyl RO₂ measured in this study is C₁₀H₁₅O₇, which contributes to only 0.5%
253 of the total C₁₀ RO₂. It should be noted that there might be other C₁₀ acyl RO₂ that were not observed
254 due to the interferences from the alkyl RO₂ with the same chemical formulas, which respond
255 differently to the addition of NO₂ than acyl RO₂ do (see details in the following discussion).
256 Considering that some RO₂ formulas such as C₁₀H₁₅O₇ may have contributions from both acyl RO₂
257 and alkyl RO₂, we assumed the decrease of RO₂ concentration with the addition of NO₂ as the
258 concentration of acyl RO₂. Besides, it is obvious that the normalized concentration basically
259 decreases to the lowest value when the initial NO₂ concentration reaches 10 ppb (Figure 2),
260 indicating that most of the acyl RO₂ are depleted at this NO₂ concentration. In addition, the
261 decreasing extents of some acyl RO₂ are different for different reacted α -pinene concentrations, with
262 lower decreasing extent for higher reacted α -pinene concentrations (Figure S4). This difference
263 might be due to the promoted cross-reactions of acyl RO₂ as well as their precursor RO₂ at higher
264 α -pinene concentrations, which are competitive with the reactions leading to acyl RO₂ formation as
265 well as the acyl RO₂ + NO₂ reactions.



266
267 Figure 3 Contributions of acyl and alkyl RO₂ to the highly oxygenated C₇-C₁₀ RO₂ measured by
268 nitrate-CIMS.

269 In addition to the changes of acyl RO₂ concentration, we also show the changes of normalized alkyl
270 RO₂ concentration with the increasing initial NO₂ concentration in Figure S5. Although ROONO₂
271 formed by the reaction of alkyl RO₂ with NO₂ is thermally unstable and would decompose quickly
272 to release RO₂, it would still reach a formation/decomposition equilibrium in the system, thus
273 consuming a small amount of alkyl RO₂. However, it can be seen from Figure S5 that during 25 s
274 of reaction in the flow reactor, a large part of alkyl RO₂ has an increasing trend with the increase of
275 NO₂ concentration. We speculate that a portion of ROONO₂ could decompose back to RO₂ and NO₂
276 in the nitrate-Cl inlet where the sample gases were diluted instantly and the equilibrium of ROONO₂
277 was disturbed, resulting in the release of a large amount of RO₂.

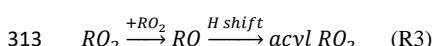
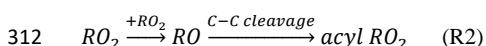
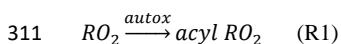
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279 To verify our speculation, the decomposition of ROONO₂ in the CI inlet was simulated based on
280 the dilution ratio (1:3.5) and residence time (200 ms) in the inlet. As shown in Figure S6, more than
281 40% of ROONO₂ decompose back to RO₂ and NO₂ in the CI inlet, which would inevitably lead to
282 an increase in RO₂ concentration. As the C₁₀H₁₅O₈NO₂ has a significant contribution from the
283 relative stable RC(O)OONO₂ arising from the ring-opened acyl C₁₀H₁₅O₈-RO₂ reported by Iyer et
284 al. (2021), its decomposition is relatively small (~21%). It should be noted that the RO₂ measured
285 here is only a part of total RO₂ and that a large amount of RO₂ has already reacted to form closed-
286 shell products as well as ROONO₂ in the flow reactor. Taking Exp 14 as an example (30 ppb NO₂),
287 the simulated concentrations of RO₂ and ROONO₂ are 1.3 ppb and 1.9 ppb, which approximately
288 accounts for 27.1% and 39.6% of the total production of RO₂, respectively. Therefore, the
289 decomposition of ROONO₂ could indeed result in an increase in the RO₂ concentration. It should
290 also be pointed out that because of the very short residence time in the CI inlet, such an increase in
291 the RO₂ concentration would not significantly impact HOM formation.

292 To confirm the reliability of our results, we examined the changes in the concentrations of RO₂ and
293 closed-shell products as a function of reacted α -pinene in the absence of NO₂ (Section S1 and Figure
294 S7), and the results are consistent with previous studies (Zhao et al., 2018). In addition, we repeated
295 Exps 15-21 on another nitrate-CIMS and a similar increase in alkyl RO₂ signals with the addition
296 of NO₂ was observed on that instrument (Figure S8).



297 **3.2 Formation mechanisms of acyl RO₂ during α -pinene ozonolysis**

298 It has been recently suggested that there are three main pathways that directly lead to the formation
299 of monoterpene-derived acyl RO₂ (Zhao et al., 2022): (i) the autoxidation of RO₂ containing
300 aldehyde groups (Reaction R1), (ii) the cleavage of C-C bond of RO containing an α -ketone group
301 (Reaction R2), and (iii) the intramolecular H-shift of RO containing an aldehyde group (Reaction
302 R3). Here, we further investigated the formation mechanisms of acyl RO₂. Figure 4 shows the
303 reaction schemes leading to the formation of example acyl RO₂ radicals. The detailed formation
304 mechanisms of acyl RO₂ measured in this study are shown in Figure S9. The formation of acyl RO₂,
305 especially those having the small molecular size (C₇-C₉), requires the production and subsequent
306 decomposition (or ring-opening process) of RO radicals. Take C₈H₁₃O₆-RO₂ as an example (Figure
307 4), two steps of RO formation and decomposition following the primary C₁₀H₁₅O₄-RO₂ lead to the
308 ring-opened C₈H₁₃O₄-RO₂ that can undergo rapid aldehydic H-shift to form the acyl RO₂. While for
309 C₈H₁₃O₉-RO₂, it directly comes from the aldehydic H-shift of C₈H₁₃O₇-RO followed by the O₂
310 addition (Figure S9).

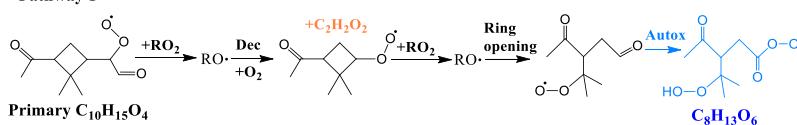


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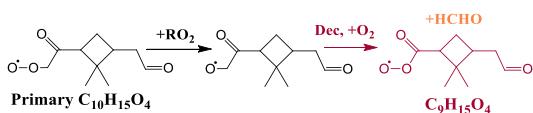


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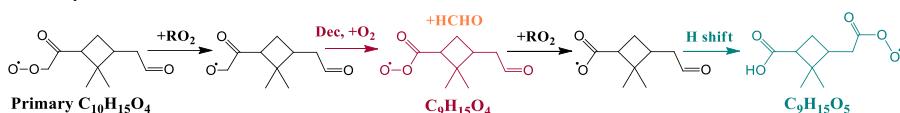
Pathway 1



Pathway 2



Pathway 3



316

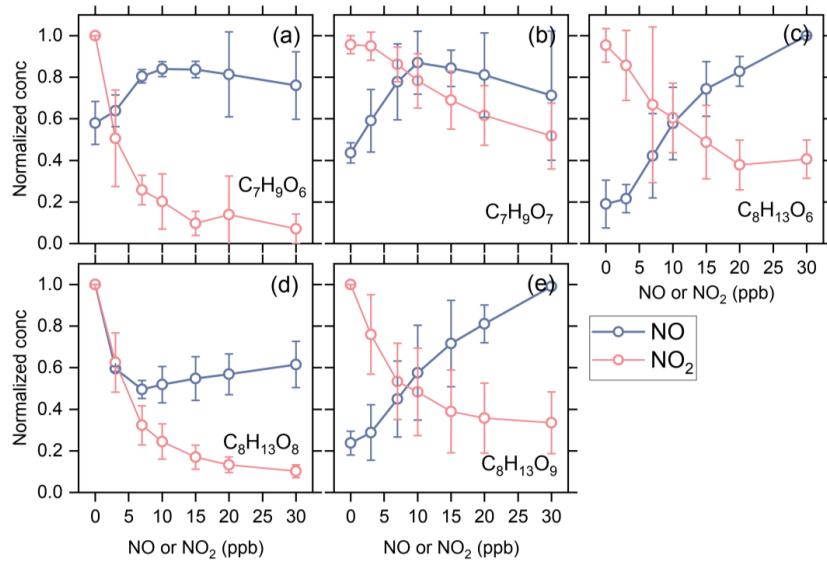
317

318 Figure 4 Three different formation pathways of acyl RO_2 during ozonolysis of α -pinene. The acyl
319 RO_2 , $C_9H_{15}O_4$ and $C_9H_{15}O_5$, formed via pathways 2 and 3, respectively, were not detected by nitrate-
320 CIMS in this study due to their relatively low oxygenation level.

321 To verify the formation mechanisms of acyl RO_2 , we added NO in some experiments (Exps 33-56)
322 to see how acyl RO_2 respond to the increasing NO concentration. As shown in Figure 5, the changes
323 of C_7 and C_8 acyl RO_2 show opposite trend with the increasing NO and NO_2 concentration, except
324 for $C_8H_{13}O_8$ - RO_2 . NO can react with RO_2 to form RO radicals and promote the formation of RO_2
325 that requires the involvement of RO radicals in their formation. In addition to $C_8H_{13}O_6$ - RO_2
326 discussed above, the formation of $C_7H_9O_7$ - RO_2 and $C_8H_{13}O_9$ - RO_2 needs 2 and 4 steps of the RO
327 formation following $C_{10}H_{15}O_4$ - RO_2 (Figure S9), respectively. Therefore, the increase of RO
328 concentration due to the addition of NO would promote the production of these acyl RO_2 . These
329 results prove that the RO radicals indeed play an important role in the acyl RO_2 formation. While
330 for $C_8H_{13}O_8$ - RO_2 , its concentration decreases substantially with the addition of NO up to 3 ppb,
331 similar to the trend observed with the addition of NO_2 . After reaching the minimum at 7 ppb NO,
332 the concentration of $C_8H_{13}O_8$ - RO_2 tends to increase with the further increase of NO concentration.
333 Given that $C_8H_{13}O_8$ - RO_2 is likely to directly come from the autoxidation of $C_8H_{13}O_6$ acyl RO_2 (see
334 Figure S9), the rapid consumption of $C_8H_{13}O_6$ - RO_2 by NO and NO_2 (formed by O_3 oxidation of NO)
335 may outcompete its autoxidation process, thus leading to a decrease in $C_8H_{13}O_8$ - RO_2 concentration.
336 Besides, it can be seen that the increasing extent in $C_8H_{13}O_6$ - RO_2 is also relatively small before the
337 NO concentration reaches 3 ppb (Figure 5c), indicating that the promotion effect of NO on $C_8H_{13}O_6$ -



338 RO₂ formation is not that strong at this concentration.



339

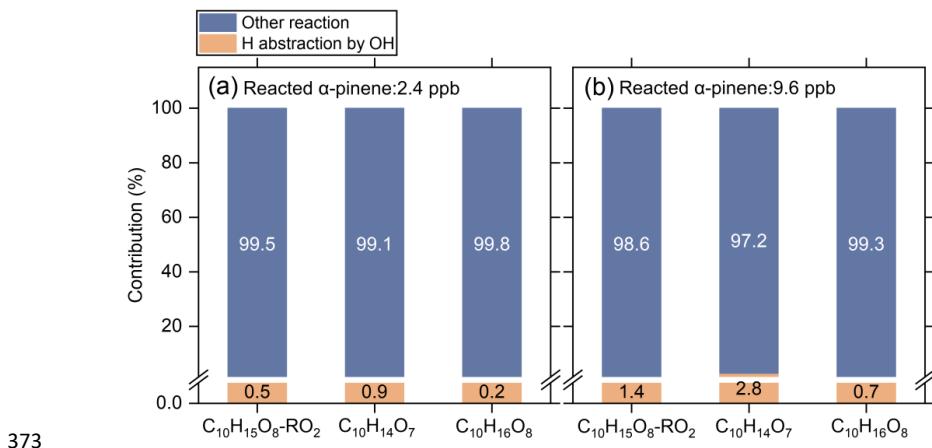
340 Figure 5 Averaged normalized concentration of typical acyl RO₂ as a function of initial NO or NO₂ addition (Exps 1-28 and 33-56).

341
342 It is interesting to note that most of the measured highly oxygenated acyl RO₂ are formed by the
343 autoxidation of aldehydic RO₂, and only the C₈H₁₃O₉-RO₂ is formed by the H-shift of the RO radical
344 (Figure S9). The concentration of acyl RO₂ from the autoxidation pathway accounts for 96% of all
345 highly oxygenated acyl RO₂ concentrations. Considering that the acyl RO₂ with small molecular
346 size are generally the ring-opened RO₂, the autoxidation rate constant of their precursor RO₂ is
347 expected to be relatively high (e.g., 1 s⁻¹) (Iyer et al., 2021). Taking a RO₂ cross-reaction rate
348 constant of 1 × 10⁻¹² cm³ molecule⁻¹ s⁻¹ (Zhao et al., 2018) and a model-predicted total RO₂
349 concentration of 1.7 ppb (Exp 8), autoxidation and cross-reactions contribute to 96.0% and 4.0% of
350 the total RO₂ reaction, respectively. Considering a 10 times larger RO₂ cross-reaction rate constant
351 (i.e., 1 × 10⁻¹¹ cm³ molecule⁻¹ s⁻¹), the contributions of RO₂ autoxidation and cross-reactions would
352 be 70.4% and 29.6%, respectively. These calculations suggest that the autoxidation of aldehydic
353 RO₂ plays a dominant role in the formation of the highly oxygenated acyl RO₂. Although the acyl
354 RO₂ with low oxygen content were not measured in this study, all acyl RO₂ containing oxygen atoms
355 less than 6 seem to be derived from the cleavage of C-C bond or H-shift of RO containing an α-
356 ketone or aldehyde in the currently known reaction mechanisms (Figures 4 and S10).

357 Recently, Shen et al. (2022) found that the hydrogen abstraction by OH radicals during α-pinene
358 oxidation plays an important role in HOM formation. In such mechanisms, the primary RO₂ reacts



359 with NO and forms RO radicals, which could undergo rapid ring-breaking reactions to form a series
360 of ring-opened $C_{10}H_{15}O_x$ - RO_2 , which contains aldehyde functionality and can easily autoxidize to
361 C_{10} acyl RO_2 . In the absence of NO, the cross-reactions of RO_2 can also produce RO radicals.
362 However, only a few C_{10} acyl RO_2 were detected in this study and they contribute less than 1% of
363 the total C_{10} RO_2 concentration. This phenomenon could be due to the fact that the primary RO_2
364 ($C_{10}H_{15}O_2$) formed by the hydrogen abstraction by OH radical are least-oxidized with only 2 oxygen
365 atoms, which are expected to have a relatively low cross-reaction rate constant (Orlando and Tyndall,
366 2012; Berndt et al., 2018). As a result, the formation of ring-opened $C_{10}H_{15}O_x$ - RO_2 via cross-
367 reactions of the primary $C_{10}H_{15}O_2$ - RO_2 may not be important. As shown in Figure 6, when the cross-
368 reaction rate constants of $C_{10}H_{15}O_2$ - RO_2 is considered to be $1 \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, the simulated
369 contribution of the H-abstraction pathway to the HOM formation is less than 3% under both low
370 (2.4 ppb) and high (9.6 ppb) reacted α -pinene conditions. It should be note that the cross-reaction
371 rate constants of the less-oxygenated RO_2 could be even lower (Orlando and Tyndall, 2012),
372 therefore the contribution of this pathway to HOM formation could be ignored when NO is absent.

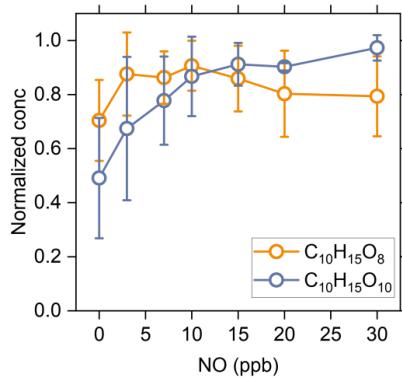


373
374 Figure 6 Contributions of the H-abstraction pathways by OH radicals (yellow) and OH addition and
375 ozonolysis pathways (blue) to the formation of typical HOMs under low (a) and high (b) reacted α -
376 pinene conditions simulated by the kinetic model. The cross-reaction rate constant was set to 1×10^{13}
377 $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ for the primary $C_{10}H_{15}O_2$ - RO_2 and $1 \times 10^{12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ for the more
378 oxygenated RO_2 .

379 In the presence of cyclohexane as an OH scavenger (Figure S11, Exp 32), the concentrations of
380 $C_{10}H_{17}O_x$ - RO_2 formed via OH addition channel and the corresponding $C_{10}H_{18}O_x$ -HOMs decrease
381 by more than 70%, while the $C_{10}H_{15}O_x$ - RO_2 and its related closed-shell products decrease by less
382 than 15%, in good agreement with the measurements in previous studies (Zhao et al., 2018). As the



383 $\text{C}_{10}\text{H}_{16}\text{O}_8$ -HOM could come from both $\text{C}_{10}\text{H}_{15}\text{O}_x\text{-RO}_2$ and $\text{C}_{10}\text{H}_{17}\text{O}_x\text{-RO}_2$, its reduction is at a
384 medium level. The significantly smaller decrease in the concentrations of $\text{C}_{10}\text{H}_{15}\text{O}_x\text{-RO}_2$ and its
385 corresponding closed-shell products as compared to those of $\text{C}_{10}\text{H}_{17}\text{O}_x\text{-RO}_2$ and the related closed-
386 shell products further illustrates that the H-abstraction by OH has a minor contribution to HOM
387 formation in the absence of NO.

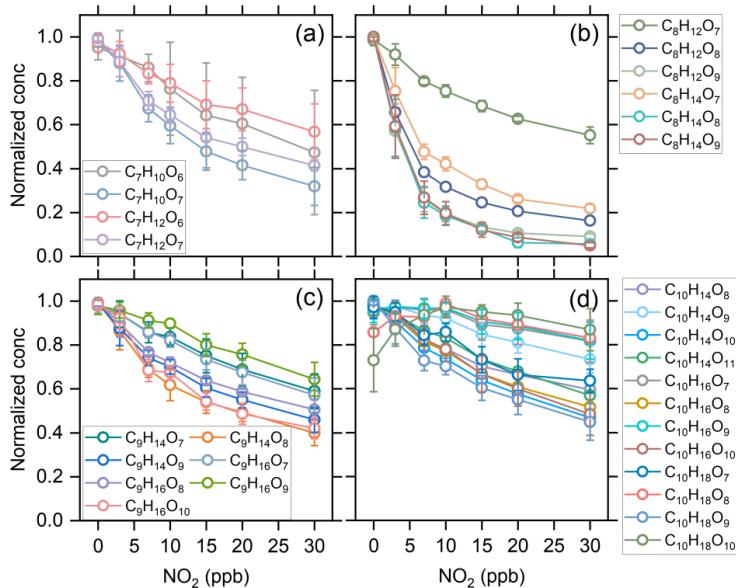


388
389 Figure 7 Averaged normalized concentration of the measured $\text{C}_{10}\text{H}_{15}\text{O}_8\text{-}$ and $\text{C}_{10}\text{H}_{15}\text{O}_{10}\text{-RO}_2$ as a
390 function of the added NO concentration (Exps 33-56).

391 Figure 7 shows the changes in measured concentration of $\text{C}_{10}\text{H}_{15}\text{O}_8\text{-RO}_2$ and $\text{C}_{10}\text{H}_{15}\text{O}_{10}\text{-RO}_2$ as a
392 function of initial NO concentration (Exps 33-56). It should be noted that due to the existence of O_3
393 in our experiments, these two RO₂ could come from both O₃ and OH reactions with α -pinene and
394 NO could be rapidly oxidized to NO₂ by O₃. The normalized concentrations of $\text{C}_{10}\text{H}_{15}\text{O}_8\text{-RO}_2$ and
395 $\text{C}_{10}\text{H}_{15}\text{O}_{10}\text{-RO}_2$ increase firstly under low NO conditions, which is similar to the change of acyl RO₂
396 as shown in Figure 5. This increase could be due to two reasons: (1) the promoted formation of
397 $\text{C}_{10}\text{H}_{15}\text{O}_8$ and $\text{C}_{10}\text{H}_{15}\text{O}_{10}$ acyl RO₂ from the H-abstraction channel by NO addition and (2) the
398 equilibrium decomposition of ROONO₂ formed by the two alkyl RO₂ from ozonolysis of α -pinene
399 in the CI inlet (see Section 3.1). As mentioned above, the ring-opened $\text{C}_{10}\text{H}_{15}\text{O}_x\text{-RO}_2$ formed from
400 the H-abstraction channel contain aldehyde functionality and can autoxidize rapidly. The F0AM
401 model simulations show that the $\text{C}_{10}\text{H}_{15}\text{O}_8$ and $\text{C}_{10}\text{H}_{15}\text{O}_{10}$ acyl RO₂ formed from the H-abstraction
402 channel contribute to 68% and 56% of the total $\text{C}_{10}\text{H}_{15}\text{O}_8\text{-RO}_2$ and $\text{C}_{10}\text{H}_{15}\text{O}_{10}\text{-RO}_2$ with the addition
403 of 10 ppb NO, respectively. Therefore, the initial increases of these two RO₂ with increasing NO
404 concentration are likely mainly due to the enhanced formation of $\text{C}_{10}\text{H}_{15}\text{O}_8$ and $\text{C}_{10}\text{H}_{15}\text{O}_{10}$ acyl RO₂.
405 When the NO concentration increases to a high level, there are more NO and NO₂ in the system,
406 which promotes the consumption of acyl RO₂. As a result, $\text{C}_{10}\text{H}_{15}\text{O}_8\text{-RO}_2$ exhibits a decreasing trend
407 and the increasing extend of $\text{C}_{10}\text{H}_{15}\text{O}_{10}\text{-RO}_2$ becomes much smaller.

408 **3.3 Contributions of acyl RO₂ to the formation of gas-phase HOMs**

409 With the addition of NO₂, the distribution of gas-phase products in the α -pinene ozonolysis changes
 410 significantly (see Figure 1), and the consumption of acyl RO₂ by NO₂ plays an important role. NO₂
 411 influences the formation of HOM monomers mainly in three ways. Firstly, NO₂ could react rapidly
 412 with acyl RO₂ and form RC(O)OONO₂, thus inhibiting the formation of HOMs with the
 413 involvement of acyl RO₂. Secondly, as mentioned above, although ROONO₂ is thermally unstable,
 414 their formation/decomposition equilibrium still consumes a small amount of alkyl RO₂, resulting in
 415 a decrease in HOM formation. Thirdly, NO₂ can consume a part of HO₂ radicals (Figure S12, thus
 416 inhibiting the RO₂ + HO₂ reaction pathway.



417

418 Figure 8 Averaged normalized concentration of the measured C₇-C₁₀ HOMs as a function of the
 419 added NO₂ concentration (Exps 1-28).

420 Figure 8 shows the normalized concentration of C₇-C₁₀ HOM monomers as a function of initial NO₂
 421 concentration. The C₇, C₈, and some of C₉ HOMs decrease significantly with increasing NO₂
 422 concentration due to the relatively large contribution of acyl RO₂ to the total C₇-C₉ RO₂. The C₇
 423 HOMs decrease by more than 50% when the NO₂ concentration reaches 30 ppb, while C₈ HOMs
 424 decrease by more than 70% and some of them even decrease by 90%. The C₉ HOMs decrease by
 425 30%-60% and the species with relatively large decrease are mostly acyl RO₂-related HOMs. For
 426 C₁₀ HOMs, although there is also an obvious decrease in their formation with the addition of NO₂,
 427 most of them have a smaller decreasing extent compared to the C₇-C₉ HOMs due to the low



428 contribution of acyl RO₂ to the C₁₀ RO₂. It is worth noting that a few C₁₀ HOMs increase initially
429 with the addition of NO₂ up to 10 ppb, suggesting that there might be some processes that promote
430 the formation of their precursor RO₂ radicals and thus offset the inhibiting effect of NO₂.

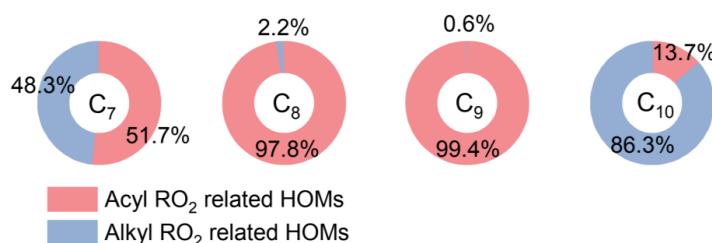
431 As mentioned above, the addition of NO₂ has the most significant influence on the formation of
432 small HOM monomers. Combined with the large contribution (67-94%) of acyl RO₂ to the total C₇
433 and C₈ RO₂ (Figure 3), it can be considered that the reduction in the formation of C₇ and C₈ HOM
434 monomers with NO₂ addition is overwhelmingly due to the consumption of acyl RO₂ by NO₂. As a
435 result, acyl RO₂ was found to have a contribution of 50-90% to C₇ and C₈ HOM monomer formation
436 during α -pinene ozonolysis. Since acyl RO₂ also have a considerable contribution (32%) to the total
437 C₉ RO₂, an upper limit (30%-60%) of its contribution to C₉ HOMs could be derived with the
438 assumption that the decrease of C₉ HOMs with the addition of NO₂ is also mainly due to the
439 consumption of C₉-acyl RO₂ by NO₂. By contrast, acyl RO₂ account for a very small fraction (0.5%)
440 of the total C₁₀ RO₂, and their contribution to C₁₀ HOMs cannot be quantified based solely on the
441 experimental measurements given that the equilibrium reaction between alkyl RO₂ and NO₂ can
442 also affect the formation of HOMs. Therefore, we used the FOAM model to simulate the contribution
443 of acyl RO₂ to C₁₀ HOM formation according to the acyl RO₂ measured in this study and displayed
444 the results in Figure 9. It should be noted that the HOMs from the acyl RO₂ and its subsequent RO₂
445 (formed from acyl RO₂ reactions) are all considered as acyl RO₂-related HOMs in the model.

446 As mentioned above, the formation of ring-opened C₁₀H₁₅O₄-RO₂ reported by Iyer et al. (2021) is
447 included in the model, and its autoxidation produces a ring-opened acyl C₁₀H₁₅O₈-RO₂. When we
448 considered the upper limit of the yield of ring-opened C₁₀H₁₅O₄-RO₂ (89%) in the model and
449 assumes that the other primary RO₂ with the cyclobutyl ring autoxidize at a very slow rate (0.01 s⁻¹),
450 the simulated acyl C₁₀H₁₅O₈-RO₂ would contribute to ~80% of the total C₁₀ RO₂. However, we
451 could not see a large decrease in the measured concentration of C₁₀H₁₅O₈-RO₂ and its related HOM
452 monomers with the addition of NO₂. Similarly, a recent study by Zhao et al. (2022) found that the
453 C₁₀H₁₅O₈-related monomers and dimers in α -pinene SOA also did not show significant decreases
454 with NO₂ addition. There might be two reasons for the discrepancy between the simulations and
455 measurements. Firstly, the yield of the ring-opened C₁₀H₁₅O₄-RO₂ might be significantly smaller
456 than 89% (Zhao et al., 2021; Meder et al., 2023). Secondly, the autoxidation rate of other primary
457 C₁₀H₁₅O₄-RO₂ with the cyclobutyl ring could be significantly larger than 0.01 s⁻¹. Therefore, we
458 updated the branching ratios and autoxidation rates of the primary RO₂ during the α -pinene
459 ozonolysis in the model according to the recent studies (Kurten et al., 2015; Claflin et al., 2018;
460 Zhao et al., 2021; Berndt, 2022) (Table S3), and a lower limit (30%) of the ring-opened C₁₀H₁₅O₄-



461 RO₂ yield reported by Iyer et al. (2021) was used here. The simulated acyl RO₂-related HOMs
462 contribute to 14% of the total C₁₀ HOMs, which is slightly smaller than the measured decrease in
463 C₁₀ HOMs with the addition of NO₂. This discrepancy could be due to two reasons. Firstly, the
464 decrease in HOMs can partly result from the consumption of alkyl RO₂ and HO₂ radicals by the
465 addition of NO₂. Secondly, as mentioned above, there might be other C₁₀ acyl RO₂ that were not
466 observed in this study due to the decomposition of the ROONO₂ from the alkyl RO₂ with the same
467 formulas.

468 The contributions of acyl RO₂ to the formation of C₇-C₉ HOMs were also simulated (Figure 9). For
469 C₇ and C₈ HOMs, the model predicts a contribution of 52%-98% from acyl RO₂, which is consistent
470 with the measurements (50%-90%). However, the simulated contribution of acyl RO₂ to C₉ HOMs
471 is over 99%, which is not consistent with the measurements (Figure 8c). Recent studies indicated
472 that the CI radicals from α -pinene ozonolysis could not form the alkyl C₉H₁₅O₃-RO₂ (C96O2 in
473 default MCM v3.3.1) (Kurten et al., 2015; Zhao et al., 2021; Berndt, 2022). As a result, this primary
474 C₉ alkyl RO₂ was not considered in the model, and most of C₉ RO₂ considered are acyl RO₂ or from
475 acyl RO₂ reactions. In view of the significantly lower measured (less than 30-60%) than simulated
476 (over 99%) contribution of acyl RO₂ to C₉ HOMs, we speculate that a small part of CI radicals
477 might be able to form the C₉H₁₅O₃-RO₂, which could further react to form highly oxygenated alkyl
478 C₉ RO₂.



479
480 Figure 9 Simulated average contribution of acyl and alkyl RO₂ to C₇-C₁₀ HOM formation from
481 ozonolysis of α -pinene under typical experimental conditions (Exps 1, 8, 15, and 22).

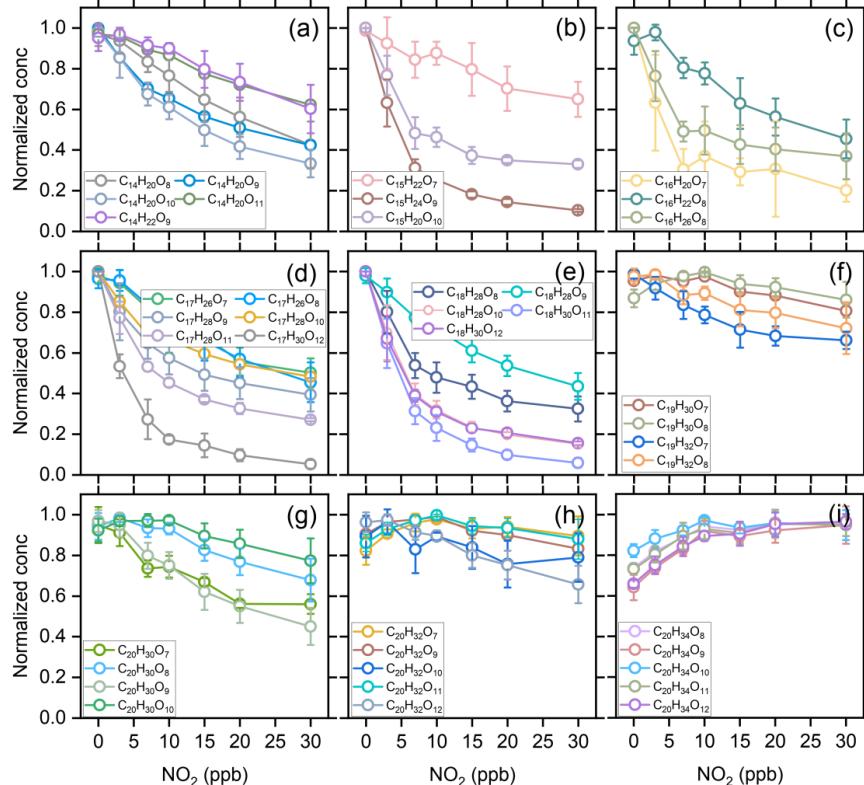
482 A sensitive analysis of the alkyl C₉H₁₅O₃-RO₂ yield was conducted to see its influence on the
483 contribution of acyl RO₂ to the total C₉ HOMs. The model simulations show that when the yield of
484 this C₉ RO₂ from one of the CIs ranges between 0.5% to 2%, the contribution of acyl RO₂ to the
485 total C₉ HOMs ranges from 27.5% to 59.8% (Figure S13), which is almost consistent with the
486 measurements. This result indicates that a small part of CIs could generate the C₉ alkyl RO₂.

487 The cross-reaction rate constant of acyl RO₂ is generally larger than that of alkyl RO₂ (Atkinson et



488 al., 2007; Orlando and Tyndall, 2012), and the fast cross-reaction may lead to an important
489 contribution to the HOM dimer production. The responses of dimer formation to increasing
490 concentration of initial NO₂ during α -pinene ozonolysis are given in Figure 10. The C₁₄-C₁₈ dimers
491 decrease by up to 50%-95% with the increase of NO₂ concentration up to 30 ppb (Figures 10a-e).
492 The rapid cross-reaction rate of acyl RO₂, as well as their dominant contribution to the small RO₂
493 species makes acyl RO₂ an important contributor to the formation of these dimers. The consumption
494 of acyl RO₂ by NO₂ greatly inhibits the bimolecular reactions involving acyl RO₂, resulting in a
495 rapid decrease in the concentration of the corresponding dimers. Considering the predominance of
496 acyl RO₂ in small RO₂ and their high reaction rate with NO₂ compared to the alkyl RO₂, it can be
497 concluded that the cross-reactions involving acyl RO₂ contribute to roughly 50%-95% of the C₁₄-
498 C₁₈ dimer formation.

499 For C₁₉ dimers, due to the relatively smaller contribution of acyl RO₂ to C₉ and C₁₀ RO₂, their
500 concentration decreases only by 10%-40%, and this reduction have contributions from both acyl
501 and alkyl RO₂. For C₂₀ dimers, their concentration changes with the addition of NO₂ can be
502 discussed according to the number of hydrogen atoms in the molecules. Firstly, the concentration
503 of C₂₀H₃₀O₇ and C₂₀H₃₀O₉ decreases by 40-60% with the addition of 30 ppb NO₂, indicating a
504 significant contribution of acyl RO₂ such as C₁₀H₁₅O₅-RO₂ (acyl RO₂ in default MCM v3.3.1) and
505 C₁₀H₁₅O₇-RO₂ in their formation, while other C₂₀H₃₀O_x dimers decrease by ~30%. The C₂₀H₃₂O_x
506 dimer series also exhibits a small reduction (less than 20%) with the addition of NO₂. However, the
507 C₂₀H₃₄O_x series shows an unexpected increase with the addition of NO₂ up to 10 ppb and almost
508 remains unchanged with the further increase of NO₂ concentration. Given that the cross-reaction
509 rate constant of acyl RO₂ can be orders of magnitude higher than that of counterpart alkyl RO₂
510 (Atkinson et al., 2007; Orlando and Tyndall, 2012), the rapid consumption of acyl RO₂ by NO₂
511 would preserve the alkyl RO₂ that tend to react with acyl RO₂ at a fast rate in the absence of NO₂,
512 which to some extent would elevate the concentration of alkyl RO₂ in the system and thus promote
513 the less competitive alkyl RO₂ + alkyl RO₂ reactions to form C₂₀H₃₄O_x dimers. The slight increase
514 of some C₁₀H₁₈O_x-HOMs with the addition of NO₂ up to 10 ppb could also be due to this reason.



515

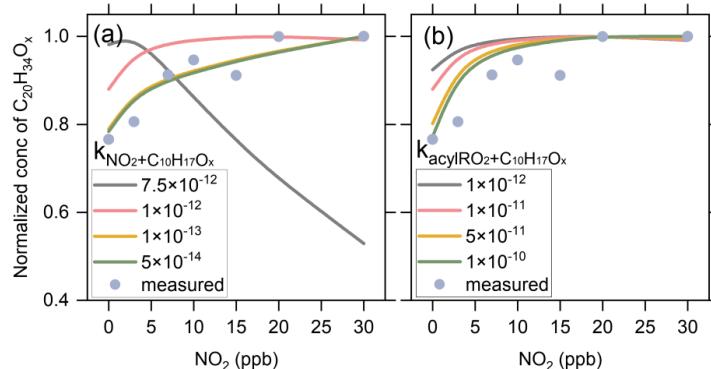
516 Figure 10 Averaged normalized concentration of the measured C₁₄-C₂₀ dimers as a function of the
517 added NO₂ concentration (Exps 1-28).

518 According to the noticeable increasing trend in C₂₀H₃₄O_x as compared to other C₂₀ dimers, we
519 speculate that acyl RO₂ react faster with C₁₀H₁₇O_x alkyl RO₂ than with C₁₀H₁₅O_x alkyl RO₂.
520 Therefore, when the acyl RO₂ is depleted, the preservation of C₁₀H₁₇O_x-RO₂ is more significant and
521 the promotion of their cross-reactions to form C₂₀H₃₄O_x is more evident. It is also possible that the
522 reaction of NO₂ with C₁₀H₁₇O_x alkyl RO₂ is less efficient compared to the reaction with C₁₀H₁₅O_x
523 alkyl RO₂, so more C₁₀H₁₇O_x than C₁₀H₁₅O_x are available for dimer formation in the presence of
524 NO₂.

525 To further prove the above two speculations, we performed sensitivity analyses for the reaction rates
526 of C₁₀H₁₇O_x-RO₂ using the F0AM model. Figures 11a show the changes in C₂₀H₃₄O_x dimers with
527 NO₂ addition at different C₁₀H₁₇O_x-RO₂ + NO₂ reaction rates under the conditions of Exps 8-14. As
528 the reaction rate varies from 5×10⁻¹⁴ to 1×10⁻¹² cm³ molecule⁻¹ s⁻¹, the increasing trend of C₂₀H₃₄O_x
529 dimers versus the added NO₂ concentration is significantly weakened and the simulations are more



530 deviated from the measurements. When the reaction rate increases to $7.5 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$,
531 the $\text{C}_{20}\text{H}_{34}\text{O}_x$ dimers decrease significantly with increasing NO_2 , which is in striking contrast to the
532 measurements. Figure 11b presents the sensitivity analysis results for the cross-reaction rate
533 constants of acyl $\text{RO}_2 + \text{C}_{10}\text{H}_{17}\text{O}_x\text{-RO}_2$. As this rate constant varies from 1×10^{-12} to $1 \times 10^{-10} \text{ cm}^3$
534 $\text{molecule}^{-1} \text{ s}^{-1}$, the increasing trend of $\text{C}_{20}\text{H}_{34}\text{O}_x$ versus the NO_2 concentration is more pronounced
535 and more consistent with the measurements. These sensitivity analyses support our speculation that
536 the $\text{C}_{10}\text{H}_{17}\text{O}_x$ alkyl RO_2 may be different from other alkyl RO_2 radicals in terms of the reaction
537 efficiency with NO_2 and acyl RO_2 species, which leads to different responses of $\text{C}_{20}\text{H}_{34}\text{O}_x$ dimers
538 to NO_2 addition compared to other C_{20} dimers. These results also suggest that the presence of acyl
539 RO_2 could affect the fate and contribution of alkyl RO_2 to HOM formation in atmospheric oxidation
540 systems given the different reactivity of acyl RO_2 from alkyl RO_2 .



541
542 Figure 11 Sensitivity analyses of the reaction rates of NO_2 with $\text{C}_{10}\text{H}_{17}\text{O}_x\text{-RO}_2$ (a), and the cross-
543 reaction rate of acyl RO_2 with $\text{C}_{10}\text{H}_{17}\text{O}_x\text{-RO}_2$ (b), considering a rate of $1 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$
544 for $\text{C}_{10}\text{H}_{17}\text{O}_x\text{-RO}_2 + \text{NO}_2$.

545 4. Conclusions

546 In this study, the molecular identities, formation mechanisms, and contributions of acyl RO_2 to the
547 formation of HOMs during ozonolysis of α -pinene are investigated using a combination of flow
548 reactor experiments and detailed kinetic model simulations. Based on the marked decrease in RO_2
549 concentration as a function of initial NO_2 concentration, a total of 10 acyl RO_2 are identified during
550 α -pinene ozonolysis. The acyl RO_2 contributes to 67%, 94% and 32% of C_7 , C_8 and C_9 highly
551 oxygenated RO_2 but only 0.5% of C_{10} highly oxygenated RO_2 , respectively. Three main pathways
552 are identified for the formation of monoterpene-derived acyl RO_2 : (i) the autoxidation of RO_2
553 containing aldehyde groups, (ii) the cleavage of C-C bond of RO containing an α -ketone group, and
554 (iii) the intramolecular H-shift of RO containing an aldehyde group. The autoxidation of aldehydic



555 RO₂ formed involving multiple RO decomposition or ring-opening steps plays a dominant role in
556 the formation of the highly oxygenated acyl RO₂ radicals (oxygen atom number ≥ 6), while the
557 less-oxygenated acyl RO₂ (oxygen atom number < 6) are mainly derived from the other two
558 pathways.

559 The acyl RO₂-involved reactions explain 50-90% of C₇ and C₈ HOM monomers and 14% of C₁₀
560 HOMs, respectively. For C₉ HOMs, this contribution can be up to 30%-60%. For the HOM dimers,
561 acyl RO₂-involved reactions contribute 50%-95% to the formation of C₁₄-C₁₈ dimers. Owing to the
562 higher cross-reaction rate constant of acyl RO₂ compared to alkyl RO₂, the acyl RO₂ + alkyl RO₂
563 reaction would outcompete the alkyl RO₂ + alkyl RO₂ reaction. Therefore, the rapid consumption
564 of acyl RO₂ by NO₂ in the experiments (as well as in polluted atmospheres) would make the alkyl
565 RO₂ that are supposed to react with acyl RO₂ retained, which to some extent elevates the
566 concentration of alkyl RO₂ in the system and thus promotes the reaction of alkyl RO₂ + alkyl RO₂
567 to form dimers such as C₂₀H₃₄O_x. The contribution of H-abstraction of α -pinene by OH radical to
568 the formation of acyl RO₂ and HOMs is found to be negligible in the absence of NO. This is because
569 the primary C₁₀H₁₅O₂-RO₂ radicals formed in such pathways are least-oxidized and thus have
570 relatively low cross-reaction efficiency to produce RO radicals, which are the key intermediates for
571 the formation of acyl RO₂ and HOMs in that channel. However, in the presence of NO, the formation
572 of highly oxygenated acyl RO₂ via the H-abstraction pathway is demonstrated, consistent with
573 previous studies (Shen et al., 2022).

574 In this study, acyl RO₂ species are identified according to a dramatic decrease in their concentration
575 with the addition of NO₂. It should be noted that the presence of NO₂ could also inhibit the formation
576 of alkyl RO₂ species involving acyl RO₂ reactions. If there are any contributions of alkyl RO₂ to
577 acyl RO₂ identified in this study, the influence of such alkyl RO₂ species on HOM formation would
578 reflect an indirect effect of acyl RO₂. However, given that the formation of most of the acyl RO₂
579 identified in this study can be reasonably explained by the proposed mechanisms and verified by
580 their responses to the addition of NO, the acyl RO₂ identified here are expected to have no significant
581 contributions from alkyl RO₂. Currently, the reaction kinetics of monoterpene-derived acyl RO₂ are
582 still poorly understood. Considering the important contribution of acyl RO₂ to HOM formation,
583 further kinetic studies are needed to get more specific rate constants for their autoxidation and cross-
584 reactions, thereby deepening our understanding of the role of acyl RO₂ in HOM and SOA formation
585 under atmospheric conditions.

586

587 *Data availability.* The data presented in this work are available upon request from the corresponding
588 author.



589

590 *Author contributions.* YZ and HZ designed the study, HZ, DH and JZ performed the experiments.
591 YZ and HZ analyzed the data, conducted model simulations, and wrote the paper. All other authors
592 contributed to discussion and writing.

593

594 *Competing interests.* The authors declare no conflict of interest.

595

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