



# 1 Seasonal variations in composition and sources of atmospheric ultrafine particles 2 in urban Beijing based on near-continuous measurements

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17 Abstract. Understanding the composition and sources of atmospheric ultrafine particles (UFPs) is 18 essential in evaluating their exposure risks. It requires long-term measurements with high time 19 resolution, which are to date scarce. We performed near-continuous measurements of UFP composition 20 during four seasons in urban Beijing using a thermal desorption chemical ionization mass spectrometer, accompanied by real-time size distribution measurements. We found that UFPs in urban Beijing are 21 22 dominated by organic components, varying seasonally from 68 to 81%. CHO organics are the most 23 abundant in summer, while sulfur-containing organics, some nitrogen-containing organics, nitrate, and 24 chloride are the most abundant in winter. With the increase of particle diameter, the contribution of 25 CHO organics decreases, while that of sulfur-containing and nitrogen-containing organics, nitrate, and chloride increase. Source apportionment analysis of the UFP organics indicates contributions from 26 27 cooking and vehicle sources, photooxidation sources enriched in CHO organics, and aqueous/heterogeneous sources enriched in nitrogen- and sulfur-containing organics. The increased 28 29 contributions of cooking, vehicle, and photooxidation components are usually accompanied by 30 simultaneous increases in UFP number concentrations related to cooking emission, vehicle emission, 31 and new particle formation, respectively. While the increased contribution of the 32 aqueous/heterogeneous composition is usually accompanied by the growth of UFP mode diameters. The highest UFP number concentrations in winter are due to the strongest new particle formation, the 33 34 strongest local primary particle number emissions, and the slowest condensational growth of UFPs to 35 larger sizes. This study provides a comprehensive understanding of urban UFP composition and sources and offers valuable datasets for the evaluation of UFP exposure risks. 36





### 37 1. Introduction

38 Ultrafine particles (UFPs, particles with diameters smaller than or equal to 100 nm) have significant effects on human health (HEI, 2013; Ohlwein et al., 2019; WHO, 2013) and global climate (Kulmala 39 40 et al., 2004; Pierce and Adams, 2007). Their human exposure risks and climate effects are highly 41 related to their composition and size (Oberdorster et al., 2005; Pierce and Adams, 2007). To better 42 evaluate the exposure risks of UFPs and to formulate corresponding air quality guidelines, the World 43 Health Organization made several recommendations to guide measurements and regulations of UFPs 44 in 2021 (Organization, 2021). They emphasized that local understanding of UFP origins and their 45 chemical composition are scarce in most parts of the world. Current field studies of atmospheric UFP composition and their source apportionment are mostly based 46 47 on offline sampling. These measurements usually use a size-resolving impactor to collect UFPs on 48 filters for tens of hours to several days (Cabada et al., 2004; Cass et al., 2000; Ham and Kleeman, 2011;

49 Herner et al., 2005; Kleeman et al., 2009; Massling et al., 2009; Xue et al., 2019; Xue et al., 2020a;

50 Xue et al., 2020b; Zhao et al., 2017). They found that organic carbon, sulfate, and nitrate could account

51 for 50-90% of the detected compounds, and the composition could vary greatly with UFP sizes due to

52 different sources and atmospheric evolutions (Cabada et al., 2004; Herner et al., 2005; Massling et al.,

53 2009). For source apportionment, the Chemical Mass Balance analysis was often used which relies

heavily on the source profiles and the limited numbers of molecular markers (Ham and Kleeman, 2011;

55 Kleeman et al., 2009; Xue et al., 2019). The identified sources include meat cooking, gasoline, diesel,

56 motor oil, and wood burning. However, these offline explorations can not capture the high temporal

57 variability in size-resolved UFP composition and sources, nor can they distinguish primary UFPs from

58 secondary sources, e.g., new particle formation, due to the lack of tracers and composition profiles for

59 secondary sources. Simultaneous real-time measurements of UFP composition and size distributions 60 are needed to accurately identify both primary and secondary sources.

61 Using a thermal desorption chemical ionization mass spectrometer (TDCIMS), the size-resolved 62 composition of UFPs can be measured with a resolution of tens of minutes (Li et al., 2021; Smith et 63 al., 2004). Previously, it has been used in a number of sites for short-term measurements and found 64 distinct characteristics for UFPs in urban (Li et al., 2022a; Li et al., 2021; Smith et al., 2008; Smith et 65 al., 2005), rural (Lawler et al., 2020; Smith et al., 2010), and remote areas (Glicker et al., 2019; Lawler et al., 2018; Lawler et al., 2021; Lawler et al., 2014). For instance, UFPs in urban areas have more 66 67 nitrogen- and sulfur-containing organics (Smith et al., 2005; Winkler et al., 2012), while those at forest 68 sites have more CHO organics (Glicker et al., 2019; Lawler et al., 2018). With these near-continuous 69 measurements, unique sources such as fungal bursts (Lawler et al., 2020) and sea-salt nanoparticles 70 (Lawler et al., 2014) were identified and the mechanisms of new particle formation were examined (Li 71 et al., 2022a). Source apportionment analysis was performed for the high time-resolution TDCIMS 72 results in Amazon Basin to isolate anthropogenic UFPs from background UFPs (Glicker et al., 2019).





- 73 These analyses mainly focused on short-term analysis covering several weeks. To address the UFP 74 composition and sources from a more comprehensive view, there is an urgent need for long-term and
- 75 high-time-resolution measurements in diverse environments.
- 76 The primary and secondary sources of particles in urban atmospheres usually show significant seasonal 77 characteristics. Thus, addressing the seasonal variations of UFPs, as well as their governing factors, is 78 fundamental to evaluating their long-term impacts. For fine particles in Beijing, coal combustion is 79 more abundant in winter due to domestic heating in the surrounding regions (Sun et al., 2015; Zhang 80 et al., 2013), biomass burning is more abundant in harvest seasons (Zhang et al., 2008), and dust storms are more frequent in spring (Xu et al., 2020; Zhang et al., 2013). Besides these primary sources, 81 82 previous studies on larger particles showed higher oxidation states of organic aerosols in summer due 83 to stronger photochemical processes (Hu et al., 2017; Ma et al., 2022; Sun et al., 2018). However, 84 considering the short lifetime of UFPs, seasonal variations of the composition and sources of UFPs are 85 likely different. For example, as an important source of UFPs, new particle formations in Beijing were observed to be the weakest in summer and strongest in winter due to temperature variations (Deng et 86 87 al., 2020; Li et al., 2020; Wu et al., 2007). Similar to Beijing, seasonal variations of UFP composition 88 based on high time-resolution measurements are also scarce for other atmospheric sites.
- 89 Here, we performed near-continuous measurements of UFP composition and size distributions over 90 four seasons in a typical megacity of Beijing with ~22 million people. The UFP composition, its size 91 dependence, and seasonal variability were analyzed. Several molecular markers from cooking and 92 vehicle emissions were identified. These markers were combined with the Positive Matrix 93 Factorization (PMF) analysis to address contributions from primary and secondary sources of UFPs. 94 The aerosol General Dynamic Equation (GDE) was used to quantify the emission rates of primary 95 UFPs and the formation rates of secondary UFPs. The driving factors for the seasonal variations of 96 UFP composition and number concentrations were identified.

### 97 2. Methods

#### 98 2.1 Field measurements.

99 The sampling site is on the fifth floor of a building on the west campus of Beijing University of 100 Chemical Technology (39°94'N, 116°30'E) (Liu et al., 2020). The site is a typical urban site, 101 surrounded by residential and commercial buildings. Three trafficked roads are 130~565 m away from 102 the sites. UFP composition, particle number-size distribution, trace gases, and meteorological 103 conditions were measured over four seasons between Dec. 2019 and Aug. 2021. An overall of 149 104 days' TDCIMS measurements were used for analysis, with at least three weeks' data for each season. 105 Details of the sampling periods are described in Table S1.

- 106 UFP composition was measured by the TDCIMS using the "bulk collection mode" (Li et al., 2021;
- 107 Smith et al., 2004). The TDCIMS collects pre-charged particles on a high voltage-biased Pt filament





and then vaporizes the particles for analysis by a chemical ionization high-resolution time-of-flight 108 109 mass spectrometer (CI-HTOF, Aerodyne Research Inc. and Tofwerk AG). The particle electrostatic 110 collection efficiency on the filament decreases rapidly with increasing particle size, ensuring that the collected particle mass is mainly from UFPs (Li et al., 2021). Using  $O_2^-$  as the reagent ion, sulfate, 111 112 nitrate, chloride, and most of the oxygenated organics can be measured, while black carbon, 113 hydrocarbon compounds, and bases such as ammonium and aminium cannot be detected. Every 114 sampling cycle is followed by a background cycle where no voltages are applied to the Pt filament for particle collection. The signals from the background cycle are subtracted from the sampling cycle to 115 116 exclude minor influences from the gas phase compounds. Each analysis cycle (including a sample and 117 a background cycle) is set to be 10-40 min, depending on the estimated sample mass. The detailed principles, operations, and quantifications of the TDCIMS are the same as the "bulk collection mode" 118 119 described in our previous study (Li et al., 2021).

The particle number size distributions from 1 nm to 10 µm were measured using a home-built particle size distribution system (PSD, 3 nm–10 µm) and a diethylene glycol scanning mobility particle spectrometer (DEG-SMPS, 1–7.5 nm). The configuration and operation of the PSD are the same as described in our previous studies (Cai et al., 2017). The time resolution of the measurement is 5 min. The number and mass concentrations of atmospheric UFPs were estimated via the integration of size distribution measurements, assuming spherical particles with a density of 1.4 g cm<sup>-3</sup>.
Other parameters used in this study include the meteorological conditions measured by the

meteorology stations (AWS310, Vaisala Inc., Finland) and trace gases measured by the trace gasanalyzers (TGA, Thermo Fisher). The mixing layer height (MLH) was estimated from the vertical

profiles measured by a ceilometer (CL51, Vaisala Inc., Finland) and a three-step idealized-profilemethod was used to estimate the MLH (Eresmaa et al., 2012).

#### 131 **2.2 Source apportionment of UFP composition.**

132 The Igor-based interface SoFi (solution finder, version 6.5) and ME-2 (Canonaco et al., 2013) were 133 used for the PMF analysis to analyze the sources of organics in UFPs. The integrated thermal 134 desorption signals of organic peaks with m/z between 100 and 300 measured by the TDCIMS were 135 used as the input data matrix. The integrated thermal desorption signals from the background samples 136 were used to derive the input error matrix. The best solution in each season was chosen according to 137  $Q/Q_{exp}$ , the similarities between m/z profiles, time series, and diurnal variations of the factors. The 138 correlations between each factor and the measured key species, trace gas, and PM2.5 were calculated 139 for better identification of the factors. It should be noted that there were also many peaks with m/z140 below 100, but a large fraction of them was from thermal decomposition, and their inclusion would 141 add great complexity to the factor assignments. The signal intensity instead of the mass concentration 142 was used because sensitivity quantification of the TDCIMS was based on the calibration of limited 143 numbers of compounds which may induce unknown uncertainties when quantifying the sources. As a





144 result, the signal intensity measured by the TDCIMS is reported for reference and the relative 145 variations of detected species are studied rather than their estimated ambient concentrations.

#### 146 **2.3 Quantifying source and loss terms of UFP number concentrations.**

147 In the measured size distribution plots, there are usually abrupt increases in UFP number concentration.

148 During new particle formation (NPF) periods, the abrupt increases of UFPs are usually accompanied

149 by a burst of sub-3 nm particles and usually start from noontime. During non-NPF periods, the abrupt

150 increases of UFPs are usually accompanied by an increase in primary emission tracers (as will be

151 shown in Section 3.2). We apply the GDE to quantify the new particle formation rates (*J*) and primary

152 particle number emission rates (E) at the observation site. The calculation of J follows those described

153 in previous studies (Cai and Jiang, 2017; Cai et al., 2017). The calculation of  $E_{[i,j]}$  (m<sup>-3</sup> s<sup>-1</sup>), the particle

emission rates in the size range of  $[d_i, d_j]$ , follows Eq. 1 (Cai et al., 2018; Kontkanen et al., 2020)

155 during non-NPF periods.

156 
$$E_{[i,j]} = \frac{dN_{[i,j]}}{dt} + GR(n_j - n_i) + CoagSnk_{[i,j]} - CoagSrc_{[i,j]} - TR_{[i,j]}$$
(Eq. 1)

157 Where  $\frac{dN_{[i,j]}}{dt}$  (m<sup>-3</sup> s<sup>-1</sup>) is the variation of the particle number concentration in the size range of  $[d_i, d_i]$ 

158  $d_j$ ] during the period of dt (s<sup>-1</sup>);  $GR(n_j-n_i)$  (m<sup>-3</sup> s<sup>-1</sup>) is the net condensation growth term, GR (m s<sup>-1</sup>) is 159 the condensational growth rate of particle  $d_i$ , and  $n_i$  (m<sup>-4</sup>) is the particle number size distribution 160 function for particle  $d_i$ ;  $CoagSrc_{[i,j]}$  and  $CoagSnk_{[i,j]}$  (m<sup>-3</sup> s<sup>-1</sup>) are the coagulation source and sink terms;

161  $TR_{[i,j]}$  is the transport term. Overall, 33 size bins were included in the size range of 3-50 nm.

162 The term 
$$\frac{dN_{[i,j]}}{dt}$$
 and  $CoagSnk_{[i,j]}$  can be directly calculated from the size distribution data (Cai et al.,

163 2018). GR is calculated by the theoretical condensation of the condensable vapors, that is the sum of 164 H<sub>2</sub>SO<sub>4</sub> and condensable organic vapor concentrations. Here, we regard condensable organic vapors as 165 oxygenated organic molecules (OOMs) with saturation vapor pressure lower than 0.3  $\mu$ g m<sup>-3</sup> as in our previous studies (Li et al., 2022a; Qiao et al., 2021). Since not all the observation days were equipped 166 167 with the measurements of condensable vapors, we adopted seasonal-dependent GR derived from 168 seasonal average condensable vapor concentrations reported in our previous study, that is  $1.2 \times 10^7$ , 9.9×107, 1.2×108, and 5.0×107 cm<sup>-3</sup> for winter, spring, summer, and autumn (Qiao et al., 2021), 169 170 respectively. For particles smaller than 50 nm, CoagSrc[i,j] term can be neglected; for particles smaller 171 than 5 nm, the uncertainties will be very large for E. We only calculated  $E_{3-50}$  in this study and 172  $CoagSrc_{[i,f]}$  was thus neglected. Generally,  $TR_{[i,f]}$  term cannot be quantified using the mathematic method. As our previous study has indicated there was no significant transport term on a long-term 173 174 time scale (Kontkanen et al., 2020), we initially assumed that  $TR_{[i,j]}$  equals 0. Another assumption is that the influences from the variation in MLH are neglected. We briefly explore how the assumptions 175





- 176 of  $TR_{[i,j]}$  and MLH influence the results in the next paragraph.
- 177 On the particle size distribution plots, we notice that the abrupt appearance of particles during non-178 NPF days usually happens in the early morning (6:00-9:00) and late afternoon (17:00-20:00) (Figure 179 S1). The afternoon peak is accompanied by a decrease in MLH and an increase in particle numbers in 180 all sizes within the range of 3-50 nm, thus the abrupt increase in particle number concentration could 181 be due to the combined effects of MLH, transport, and emission. The morning peak is accompanied by the increase in MLH, which should decrease particle number concentrations, and the increase in 182 183 particle number is only observed for 3-30 nm particles but not for 30-50 nm. Thus, the increasing morning peak could only be caused by the primary emission of 3-30 nm particles. As a result, the 3-184 185 30 nm particle emission rate during 6:00-9:00 is calculated to represent the average primary particle 186 emission rates for each day. It should be noted that the emission rates during 6:00-9:00 may be 187 underestimated due to the increase of MLH, and the emission rates only represent the increasing rates 188 of primary particles at the observational site, not the direct emission rates from the sources.

### 189 3. Results and discussion

#### 190 **3.1 UFP concentration, composition, and seasonal variability**

The overall concentration of UFPs is the highest in winter and the lowest in summer. The UFP number concentrations expressed in mean  $\pm$  standard deviation are  $(1.7\pm1.2)\times10^4$ ,  $(1.5\pm1.1)\times10^4$ ,  $(1.1\pm0.7)\times10^4$ , and  $(1.5\pm0.9)\times10^4$  cm<sup>-3</sup> (Figure 1a) and the UFP mass concentrations are  $1.3\pm0.9$ ,  $1.2\pm0.9$ ,  $1.0\pm0.6$ , and  $1.2\pm0.7 \mu g m^{-3}$  (Figure 1b) for winter, spring, summer, and autumn, respectively. The seasonal variations are partly caused by the variation in MLH (Figure S2), while the other driving factors are related to the source and loss terms of UFPs and will be further discussed in Section 3.3.







Figure 1. Seasonal variations of UFP concentrations and composition in urban Beijing. (a) UFP number concentrations in the size range of 3-100 nm. (b) UFP mass concentrations integrated from size distribution measurements, assuming spherical particles with a density of 1.4 g cm<sup>-3</sup>. (c) Mass fractions of the components measured by the TDCIMS in negative ion mode.

The detected UFP composition is dominated by organics (68-81% for mass fraction), with minor 202 203 contributions from nitrate (11-22%), sulfate (4-13%), and chloride (0.1-4%) over all four seasons 204 (Figure 1c). The organic species include CHO, CHON, CHOS, and CHONS organics, contributing 30-59%, 19-29%, 3-6%, and 2-11% mass concentrations of the detected UFP compounds, respectively. 205 206 The detected particulate species are similar for all four seasons as indicated by the similarities in the 207 mass defect plots (Figure S3). The measured composition is consistent with the offline results from 208 Beijing, which showed that organics were the most abundant in UFPs (Massling et al., 2009; Zhao et al., 2017). It should be noted that the collected mass integrated from the TDCIMS signals is  $\sim$ 50% of 209 210 the total collected mass estimated from the size distributions (Figure S4). This is possibly due to the 211 uncertainties in the quantification methods or because some UFP compounds (e.g., ammonia, amines, 212 black carbon, and alkanes) cannot be ionized by O<sub>2</sub><sup>-</sup> in the TDCIMS. However, as the mass estimated 213 from the two methods are in good correlation, we assume that the TDCIMS-measured composition is 214 representative of UFP composition. As some of the particulate CHON, CHOS, and CHONS organics 215 would decompose to CHO fragments in the TDCIMS during the thermal desorption process, there may 216 be some underestimation of CHON and CHOS/CHONS organics and overestimation of CHO organics.

217 A major seasonal difference in UFP chemical composition is that the highest fractions of slowdesorbed CHO organics are observed in summer (59%), which may be related to the strongest solar 218 219 radiation and lowest NO<sub>x</sub> concentrations. On the one hand, the appearance of most CHO organic ions 220 during temperature ramping of the Pt wire during TDCIMS analysis occurs later, thus at a higher 221 temperature, compared to nitrate and chloride, while slightly earlier, or at a lower desorption 222 temperature, compared to sulfate (Figure S5). The higher temperature desorption, which we refer to as 223 "slowly-desorbed," indicates that these species must be low-volatility compounds or the corresponding 224 thermal decomposition fragments. On the other hand, the overall CHO organic mass has an afternoon 225 peak at  $\sim 14:00$ , and its diurnal variation is consistent with that for O<sub>3</sub> in summer (Figure S6), indicating 226 they might be related to photooxidation chemistry. Based on these, we hypothesize that CHO organics 227 in the UFPs are mostly from the partitioning of low-volatility compounds originating from the gas-228 phase oxidation. Thus, the higher CHO fractions in summer are due to the strongest solar radiation, 229 which benefits the gaseous photooxidation, and the lowest NO<sub>x</sub> (Figure S2), which contributes to the 230 formation of CHO organics over CHON organics (Yan et al., 2020; Ye et al., 2019).

231 Another seasonal difference is that higher fractions of fast-desorbed species are measured in winter,

232 including nitrate, chloride, and some CHON (e.g., C<sub>6</sub>H<sub>4</sub>NO<sub>3</sub><sup>-</sup>, nitrophenols) organic compounds. These

233 species are all desorbed at lower temperatures (Figure S5) and their concentrations in UFPs are





negatively correlated to ambient temperature (Figure S7), indicating their relatively higher volatility. 234 235 Thus, the higher fractions in winter are mainly governed by the temperature-dependent partitioning of these compounds. It should be noted that CHONS organic (e.g., deprotonated aminomethanesulfonic 236 237 acid CH4NSO<sub>3</sub> and deprotonated taurine C<sub>2</sub>H<sub>6</sub>NSO<sub>3</sub>) fractions also increase in winter. Previously, 238  $CH_4NSO_3^-$  and  $C_2H_6NSO_3^-$  were reported to be formed in the gas phase through the reaction between 239 SO<sub>3</sub> and amines under dry conditions (Li et al., 2018; Sarkar et al., 2019), which is favored in winter 240 Beijing where the ambient relative humidity is relatively low. The seasonal variations of these CHONS species are different from those in larger particles where S-containing organics are mainly 241 242 organosulfates from primary emissions or heterogeneous/aqueous reactions (Ma et al., 2022).

243 The composition of UFPs also varies greatly with particle size. As shown in Figure 2, the most 244 significant size-dependent variations were observed for nitrate and CHO organics. The nitrate fraction 245 increases significantly with increasing particle diameter, probably due to the Kelvin effects that prevent 246 it from partitioning to small particles, or due to an increase in aqueous/heterogeneous processes at 247 larger particle sizes. The CHO organic fraction decreases significantly with increased particle size, 248 possibly due to its low volatility that favors smaller particles compared to the high-volatility 249 compounds. Compared to CHO organics, the relative contributions of N- and S-containing organics 250 increase with particle size, possibly due to higher volatility or the aqueous/heterogeneous formation as 251 particles grow. The sulfate fraction does not change significantly with particle sizes, possibly due to 252 the opposite size-dependence of condensational growth of H<sub>2</sub>SO<sub>4</sub> and the aqueous/heterogeneous 253 formation of sulfate.



254

Figure 2. Size-dependent composition of UFPs. UFP composition mass fraction variation with the representing particle size  $d_{p,50}$ .  $d_{p,50}$  corresponds to 50% volume mean diameter of particles collected

257 on the TDCIMS filament.





### 258 **3.2 Sources of UFP organics and their seasonal variabilities**

259 As organics are the main components of UFPs, PMF source apportionments were performed for the

260 organic compounds. Five factors were identified in each season. The factor profiles and their diurnal

variations in winter are shown in Figure 3, and the results in other seasons are shown in Figure S8-10.

262 The correlations between PMF factors and key UFP components, trace gases, meteorology parameters,

and PM<sub>2.5</sub> for the four seasons are shown in Figure S11.



264

Figure 3. Source apportionment of the UFP organic composition (m/z 100-300) measured by the TDCIMS in winter. (a) m/z profiles of the five PMF factors; (b) diurnal variations of each factor and their related terms.

Factor 1 and factor 2 are identified as cooking-related and vehicle-related sources, respectively. Factor 268 269 1 is enriched in  $C_{16}H_{31}O_2^-$ ,  $C_{18}H_{31}O_2^-$ , and  $C_{18}H_{33}O_2^-$  (assigned to deprotonated palmitic acid, linoleic 270 acid, and oleic acids, respectively). Previous studies have revealed that saturated and unsaturated fatty 271 acids are the major constituents in cooking emissions, accounting for 73-85% of the cooking organic 272 matter, among which palmitic acid and oleic acid can be treated as the unique fingerprints of 273 atmospheric cooking particles (Zhao et al., 2007b, a). Factor 1 and the tracers show clear morning, 274 noon, and evening peaks, corresponding to breakfast, lunch, and dinner times. Factor 2 is enriched in 275 C<sub>3</sub>N<sub>3</sub>O<sub>3</sub>H<sub>2</sub><sup>-</sup> (assigned to deprotonated cyanuric acid). Cyanuric acid was previously found with the 276 biggest emission in the urea-based selective catalytic reduction (SCR) technology for the reduction of 277 NOx from the exhaust of diesel-powered vehicles (Yassine et al., 2012). Factor 2 and the tracer show 278 clear morning peaks corresponding to the morning rush hours, consistent with the diurnal variation of





- 279 NO<sub>x</sub>. These two factors are also identified in the other three seasons (Figure S8-10).
- 280 Besides these two primary sources, trace amounts of a biomass-burning tracer  $C_6H_9O_5^-$  (assigned to 281 deprotonated levoglucosan) were also observed. However, its contribution to the total signal is small 282 and could not be separated into individual factors in the PMF analysis. We thus conclude that the 283 contribution of biomass burning to UFPs might be small in urban Beijing. This is understandable since 284 the burning of high-polluting fuels has been phased out in urban Beijing by the People's Government of Beijing Municipality since 2014 (Municipality, 2014). Although large particles in urban Beijing 285 286 could be influenced by biomass burning and coal combustion through transport from surrounding regions (Li et al., 2022b; Sun et al., 2015; Zhang et al., 2013; Zhang et al., 2008), UFPs could hardly 287 288 survive after long-distance transport due to their short lifetime.
- 289 Factors 3-5 are identified as secondary sources related to photooxidation formation or 290 aqueous/heterogeneous formation. In winter (Figure 3), factor 3 is enriched in slowly-desorbed, low-291 volatility CHO organics and has daytime peaks at  $\sim 12:00-18:00$ , which is consistent with the diurnal 292 variation of O<sub>3</sub>. They should come from gas-phase photooxidation followed by gas-particle 293 partitioning. Factor 4 and factor 5 are enriched in N- or S-containing organics. Their time series and 294 diurnal variability are highly correlated with sulfate, nitrate, PM2.5, and relative humidity, indicating 295 the aqueous/heterogeneous formation pathway. Similarly, in spring and autumn, factor 3 is identified 296 as a photooxidation factor that is enriched in CHO organics, and factors 4-5 are identified as 297 aqueous/heterogeneous factors that are enriched in N- or S-containing organics (Figure S8-10). 298 Differently, in summer, factor 4 is identified as a photooxidation factor.
- 299 Clear seasonal variability of the sources was observed, with the contribution of primary emission 300 factors and aqueous/heterogeneous factors higher in winter and autumn, and the contribution of 301 photooxidation factors higher in summer (Figure S11). The sum of cooking and vehicle sources 302 contributed to 10-35% of the total organic signals in the m/z range of 100-300. The fractions of these 303 primary emissions are higher in winter and autumn, possibly indicating higher emissions. Another 304 possibility is that the oxidation degradation of these primary emissions is faster in summer and spring 305 due to higher oxidants and ambient temperature. The contributions of photooxidation factors are 20-306 70% to the total organic signals in the m/z range of 100-300, with the highest in summer, and lowest 307 in winter. This is consistent with the highest CHO organic fractions in UFPs in summer in Figure 1 308 and is attributed to the strongest solar radiation. The contributions of aqueous/heterogeneous sources 309 are 15-60% to the total organic signals in the m/z range of 100-300, with the highest in winter and 310 lowest in summer.
- 311 To identify the sources for UFP numbers, we further combined the source analysis with variation in
- 312 particle size distributions. Among the identified four classes of composition sources, some are related
- 313 to the increase of UFP number concentrations, while others are related to the increase of UFP diameters.





The increase in UFP numbers is usually accompanied by the enhanced contribution of cooking- or 314 315 vehicle-related components or new particle formation events. An example is shown in Figure 4, a 316 relatively clean day with little interference from background aerosols. There are three periods where UFP bursts were observed. During period 1, i.e., 6:00-9:00, a mode with a peak diameter at ~20 nm 317 318 appeared with a rapid increase in the vehicle tracer,  $C_3N_3O_3H_2$ . Compared to that before period 1, the 319 contribution of the vehicle-related factor increased from 7% to 25%. During period 2, i.e., 12:00-15:00, 320 new particle formation happens with a burst of particles at a peak diameter of 5-10 nm. Compared to that before period 2, the contribution of the photooxidation-related factor increased from 64% to 92%. 321 322 This is consistent with our previous studies that slowly-desorbed CHO organics were the most 323 abundant compounds during NPF periods (Li et al., 2022a). During period 3, i.e., 18:00-22:00, a mode 324 with peak diameter at  $\sim 30$  nm bursts, with a rapid increase in the cooking tracer, C<sub>18</sub>H<sub>31</sub>O<sub>2</sub><sup>-</sup>. C<sub>18</sub>H<sub>31</sub>O<sub>2</sub><sup>-</sup> 325 also has two minor peaks in the morning and noon time, consistent with the cooking activities. 326 Compared to that before period 3, the contribution of the cooking-related factor increased from 18% 327 to 67%. Thus, we conclude that the increase in UFP numbers in the three periods is mainly attributed 328 to the increase in vehicle emissions, new particle formations, and cooking emissions, respectively.



329

Figure 4. The particle number size distributions (a) and UFP composition variability (b-c) on April
 16<sup>th</sup>, 2020. The three periods with the abrupt appearance of UFP particles on this day are identified as
 vehicle-related (period 1), NPF-related (period 2), and cooking-related (period 3) according to
 TDCIMS composition measurements.

The morning, noon, and evening peaks in UFP numbers were widely observed during the observation

335 days in all four seasons. During non-NPF days, the UFP number concentration peak mainly appears in

- the morning and evening time, corresponding to the primary emissions, and we choose the morning
- 337 periods to calculate the daily-averaged E. During NPF days, the UFP number peak mainly appears in





the noon time, and the daily-averaged J was calculated during these periods. These further indicate that cooking emissions, vehicle emissions, and new particle formation are the main sources of UFP number concentrations.

341 Different from these three factors, the increased contribution of the aqueous/heterogeneous factor is 342 not accompanied by the increase of UFP number concentrations but by the increase of UFP mode 343 diameters. The contribution of aqueous/heterogeneous factor to sub-50 nm particles is only ~20%. For

state example, in the day presented in Figure 4, aqueous/heterogeneous factor accounted less than ~10% for

345 the three bursts of UFP number concentration. However, it starts to dominate the organic composition

346 when UFP particles grow above 50 nm (Figure S12), indicating an important role of

347 aqueous/heterogeneous processes in the growth of particles larger than 50 nm in diameter.

### 348 **3.3 Driving factors for the seasonal variability of UFPs.**

As we have identified the main sources for UFP number concentrations in Section 3.2, we can further address the reasons for the significant seasonal differences in UFP number concentrations as has been shown in Figure 1, according to the variations in their sources and losses. The source terms mainly include new particle formation rates (here represented by  $J_3$ ) and primary emission rates (here represented by  $E_{3-50}$ ); the loss terms are presented by condensational growth rates ( $GR_{3-50}$ ) and coagulation sinks. Here, we apply the condensation sink (CS) to evaluate the strength of coagulation loss.

356 The main sources of the UFP number concentration,  $J_3$ , and  $E_{3-50}$ , are both the highest in winter and the lowest in summer (Figure 5a-b), which are presumably caused by temperature effects. The 357 358 temperature effect on  $J_3$  is mainly due to the temperature-dependent cluster evaporation rates as reported in our previous study (Deng et al., 2020). This seasonal dependence of atmospheric UFPs 359 360 attributed to vehicle emissions and its underlining reasons have not been revealed before. On the one 361 hand, the low ambient temperature will largely increase the vehicle emission factors for particle 362 numbers and gaseous hydrocarbons (Suarez-Bertoa and Astorga, 2018; Wen et al., 2021). On the other 363 hand, a large fraction of the nanoparticles from vehicle emissions has been proposed to be formed by 364 nucleation of the emitted hydrocarbon vapors or their oxidation products (Rönkkö and Timonen, 2019). The high ambient temperatures in summer may suppress the formation of these vehicle-related 365 particles, just like it suppresses  $J_3$  during ambient NPF. 366







367

368 Figure 5. Seasonal variations of the main source and loss terms of UFP number concentration. (a) New

369 particle formation rates for 3 nm particles  $(J_3)$ ; (b) daily average primary particle emission rates for 3-

50 nm particles ( $E_{3-50}$ ) during 6:00-9:00; (c) condensation sink (CS); (d) growth rates for 3-50 nm

371 particles (*GR*<sub>3-50</sub>) during new particle formation events using the mode fitting method.

372 The lowest GR of UFP occurs in winter (Figure 5d), which further contributes to high wintertime UFP 373 number concentrations. CS and  $GR_{3-50}$  have opposite trends, with CS being the highest in winter while 374  $GR_{3-50}$  being the highest in summer (Figure 5c-d). The highest  $GR_{3-50}$  in summer is due to the highest 375 condensable vapor concentrations in summer caused by strong solar radiation and high temperature 376 favoring the formation of condensable OOMs (Li et al., 2022a; Qiao et al., 2021). The theoretical condensational GR by OOMs and H<sub>2</sub>SO<sub>4</sub> for 20 nm particles are 1.1, 3.0, 4.0, and 1.8 nm  $\cdot$ h<sup>-1</sup> in winter, 377 378 spring, summer, and autumn, respectively, and they are close to GR derived during NPF events using 379 the mode-fitting method as shown in Figure 5d. Under the estimated GR, the time needed for sub-3 380 nm to grow above 50 and 100 nm is the shortest in summer (9 and 19 h, respectively), and the longest 381 in winter (24 and 49 h, respectively). Thus, the lower GR in winter also contributes to the highest UFP 382 number concentrations in winter.

#### 383 4. Conclusions

In this study, we explored the UFP composition and sources in typical polluted urban environments based on near-continuous measurements of UFP composition and size distributions in Beijing over four seasons. We observed that UFP composition varied with seasons and particle diameter, indicating their different sources. Specifically, photooxidation processes generate more CHO organics, leading to higher CHO fractions in summer. While aqueous/heterogeneous processes generate more N- and Scontaining organics, leading to higher N- and S-containing organic fractions in above-50 nm particles





than sub-50 nm particles. Combining the PMF analysis for UFP organics and the size distribution 390 391 analysis, we found that vehicle and cooking emissions are two of the most important primary sources 392 of UFP number concentrations in urban Beijing, while new particle formation is the most important 393 secondary source of UFP number concentrations and would increase the contribution of CHO organics 394 to UFP composition. The aqueous/heterogeneous sources would not increase UFP number 395 concentration but would increase UFP mode diameters and mass concentrations. For the seasonal 396 variations, we found that UFP number concentrations are the highest in winter. This is mainly due to the highest primary particle emissions, the highest new particle formation rates, and the lowest particle 397 398 growth rates in winter. Further controlling of UFPs in urban Beijing needs to focus on vehicle 399 emissions, and the gas precursors related to secondary sources of UFPs.

400 The observed distinct seasonal variabilities of UFP composition and their size dependence emphasize 401 the importance of long-term and high-time-resolution measurements of both UFP composition and size 402 distributions. This could provide valuable datasets for the evaluation of UFP's long-term exposure risks. 403 The high time-resolution measurements combined with PMF analysis can also help identify the 404 secondary UFP sources, which contribute the major fraction of organic signals but could not be 405 identified from previous offline UFP measurements. Further addressing the UFP composition and 406 sources on the regional scale still requires measurements at sites with different distances from the 407 emission sources due to the short lifetime of UFPs.

408

#### 409 Data availability.

410 Data are available upon request from the corresponding authors.

#### 411 Supplement.

412 The contents of the supporting information include the diurnal variations of  $E_{3-50}$  during non-NPF days 413 over four seasons (Figure S1); the diurnal variations of MLH, UVB, T, RH, O<sub>3</sub>, NO<sub>x</sub>, and PM<sub>2.5</sub> in the 414 four seasons (Figure S2); details of the measured UFP composition during four seasons (Figure S3); 415 seasonal variation of the UFP mass estimated from TDCIMS and PSD (Figure S4); the averaged thermal desorption profiles of different UFP composition (Figure S5); the diurnal variation of CHO 416 417 organics in the four seasons (Figure S6); temperature dependence of some fast-desorbed UFP composition (Figure S7); the spectra of five PMF-factors during spring, summer, and autumn (Figure 418 419 S8-10); summary of PMF factors during the four seasons (Figure S11); the contribution of different 420 factors as a function of particle sizes (Figure S12); summary of sampling periods (Table S1).

# 421 Author contributions.

422 XL, JJ, and JS designed the study. XL, YC, YYL, RC, YRL, and CD participated in data collection





- 423 and performed the data analysis. XL prepared the manuscript with contributions from all co-authors.
- 424 All authors approved the final version of the manuscript.

## 425 Competing interests.

426 The authors declare that they have no conflict of interest.

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- 431

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