An Overview of the Vertical Structure of the Atmospheric Boundary Layer in the Central Arctic during MOSAiC

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Abstract. Observations collected during the Multidisciplinary drifting Observatory for the Study of Arctic Climate (MOSAiC) provide an annual cycle of the vertical thermodynamic and kinematic structure of the atmospheric boundary layer (ABL) in the central Arctic. A self-organizing map (SOM) analysis conducted using radiosonde observations shows a range in the Arctic ABL vertical structure from very shallow and stable, with a strong surface-based virtual potential temperature (θ_v) inversion, to deep and near-neutral, capped by a weak elevated θ_v inversion. The patterns identified by the SOM allowed for the derivation of criteria to categorize stability within and just above the ABL, which reveals that the Arctic ABL is stable and near-neutral with similar frequencies, and always capped by a θ_v inversion. In conjunction with observations from additional measurement platforms, including a 10 m meteorological tower, ceilometer, and microwave radiometer, the radiosonde observations provide insight into the relationships between atmospheric stability and a variety of atmospheric thermodynamic and kinematic features. A low-level jet was observed in 76% of the radiosondes, with an average height of 401 m and enhanced speeds corresponding to weaker stability within the ABL and stronger stability aloft. Clouds were observed within the 30 minutes preceding radiosonde launch 64% of the time. These were typically low clouds, corresponding to weaker stability, where high clouds or no clouds largely coincided with a stable ABL. The amount of atmospheric moisture present increased with decreasing stability.

1 Introduction

The atmospheric boundary layer (ABL) is the turbulent lowest part of the atmosphere that is directly influenced by the earth's surface (Stull, 1988; Marsik et al., 1995). Its structure dictates the transfer of energy, moisture, and momentum between the Earth's surface and the overlying atmosphere (Brooks et al., 2017). Understanding the vertical structure of the ABL is particularly important for the central Arctic, where the ABL serves as a shallow interface between a thinning and retreating sea ice surface (Stroeve and Notz, 2018; Ding et al., 2017; Serreze and Barry, 2011), and a rapidly warming atmosphere (Rantanen et al., 2022; Serreze and Francis, 2006). Shortcomings in numerical prediction tools at high latitudes (Randriamampianina et al., 2021; Docquier and Koenigk, 2021) can be partly attributed to imperfect representation of the Arctic ABL, particularly its thermodynamic and kinematic structure (de

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Boer et al., 2014; Wésslen et al., 2014; Birch et al., 2012; Tjernström et al., 2008). Thus, it is important to continue building upon what is already known about the Arctic ABL structure with new datasets when available, so that Arctic changes under continued anthropogenic warming, and effects on global climate, can better be predicted.

Previous studies have revealed that the Arctic atmosphere over sea ice is typically either stable or near-neutral (Tjernström and Graversen, 2009; Persson et al., 2002; Esau and Sorokina, 2010), while instability is rare or confined to the lowest few meters (Brooks et al. 2017; Tjernström et al., 2004; Persson et al., 2002). In the case of a near-neutral ABL, there is almost always an elevated capping inversion, typically with base height around 200-300 m, extending up to 1-2 km (Tjernström and Graversen, 2009). Surface-based and low-level inversions have been shown to contribute to Arctic amplification (Serreze and Francis, 2006; Serreze and Barry, 2011; Bintanja et al., 2011; Lesins et al., 2012; Gilson et al., 2018; Previdi et al., 2021) by dynamically decoupling the surface from the free atmosphere, so that surface heat flux perturbations cannot easily spread through the troposphere, and warming is concentrated near the surface (Lesins et al., 2012). These inversions also impact Arctic aerosol characteristics including the destruction of boundary layer ozone at the onset of polar sunrise and the transport of Arctic haze (Kahl, 1990), and contribute to the formation of fog during Arctic summer (Gilson et al., 2018).

Stable conditions are common in Arctic winter (Tjernström and Graversen, 2009) due to persistent longwave cooling in the absence of solar radiation (Brooks et al., 2017) and extended periods of clear skies or thin high clouds (Tjernström and Graversen, 2009), attributable to the lack of open water evaporation. However, intermittent instances of low stratocumulus clouds in winter can force a shallow well-mixed ABL (Morrison et al., 2012; Tjernström and Graversen, 2009; Persson et al., 2002). Such clouds are common during stormy conditions (Brooks et al., 2017; Persson et al., 2002).

Near-neutral or weakly stable conditions are common in Arctic summer (Brooks et al., 2017; Tjernström and Graversen, 2009), often capped by persistent stratiform clouds (Intrieri et al., 2002a; Tjernstrom, 2007; Curry and Ebert, 1992; Liu and Key, 2016; Shupe et al., 2011; Tjernström, 2005, Tjernström et al., 2012; Wang and Key, 2004; Zygmuntowska et al., 2012), which form as ample moisture is advected north either into the Arctic or from the broader ice-free areas across the pan-Arctic region, during the melt season (Sotiropoulou et al., 2016; Tjernström et al., 2019). The ABL is typically decoupled from the cloud layer by a shallow stable layer, such that turbulence is not exchanged between the cloud and the surface (Curry, 1986; Sedlar and Shupe, 2014; Sedlar et al., 2012; Shupe et al., 2013; Sotiropoulou et al., 2014). However, the common advection of warm moist air into the central Arctic can also result in the formation of a shallow, stable ABL (Tjernström et al., 2019; Tjernström, 2005; Cheng-Ying et al., 2011), especially towards the beginning of an advection event, or close to the ice edge (Sotiropoulou et al., 2016; Tjernström et al., 2019). Ice and snow melt in summer may also contribute to the formation of a stable ABL (Kahl, 1990; Gilson et al., 2018).

In summer, surface turbulent heat fluxes are generally quite small (Brooks et al., 2017) because the surface temperature is locked to the melting point of ice, so turbulent fluxes do not respond directly to changes in surface radiative forcing (Brooks et al. 2017; Persson 2012), and rather ABL structure is largely controlled by horizontal advection (Tjernström,

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Previous studies have concluded that the Arctic ABL is typically stably stratified, having minimal turbulence, with height less than 200 m, and often less than 50 m (Esau and Sorokina, 2010). However, the ABL over the Arctic Ocean can also be well-mixed (Esau and Sorokina, 2010; Persson et al., 2002). I

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2005), subsidence (Gilson et al., 2018), and clouds (Brooks et al., 2017). Most important are clouds containing liquid water, which have a warming influence on the surface most of the year when compared to clear-sky conditions (Brooks et al., 2017; Shupe and Intrieri, 2004). Previous cloud observations in the central Arctic revealed an annual average occurrence of 85% (dominated by low clouds), with the monthly highest and lowest occurrences in September and February respectively (Intrieri et al., 2002b).

Another common feature of the Arctic lower atmosphere is a low-level jet (LLJ), which is a local maximum in the wind speed profile below 1.5 km (Tuononen et al., 2015) that is at least 2 m s^{-1} greater than wind speed minima above and below (Stull, 1988). There are two primary forcing mechanisms for LLJs in the Arctic: baroclinicity and inertial oscillations. Baroclinicity in the Arctic most often occurs near the ice edge (Brümmer & Thiemann, 2002) or due to the passing of a transient cyclone (Jakobson et al., 2013) which creates regions of enhanced temperature contrasts (Koyama et al., 2017). Depending on the wind direction, the horizontal temperature gradient causes the geostrophic wind speed to decrease with height according to the thermal wind relationship (Stull, 1988). This, paired with diminishment of wind speeds at the surface due to friction (Stull, 1988), contributes to the formation of an LLJ at some distance above the surface, typically just above the ABL (Brümmer & Thiemann, 2002). Thus, an LLJ forced by baroclinicity is typically coupled to the surface, and can cause weakening of stability within the ABL due to enhanced shear below the jet core (Banta, 2008; Egerer et al., 2023).

Inertial oscillations in the Arctic can be induced after well-mixed conditions are replaced by increased near-surface stability, for example, after the passing of a storm (Andreas et al., 2010a; Jakobson et al., 2013). In such cases, air aloft becomes decoupled from the surface, ceasing frictional drag, which, along with the impact of the Coriolis force, allows the winds aloft to accelerate to supergeostrophic speeds (Blackadar, 1957; Stull, 1988; Jakobson et al., 2013). For both LLJs forced by baroclinicity and inertial oscillations, enhanced wind shear above the jet core may also contribute to turbulent mixing above the LLJ. A previous study conducted on LLJs in the central Arctic between 25 April to 31 August of 2007 found an LLJ frequency of 46%, a mean LLJ core speed of 7.1 m s^{-1}, and LLJ core altitude typically between 100 and 500 m, with faster LLJs having the jet core located inside the ABL (Jakobson et al., 2013). Additional observational studies in the central Arctic have reported an LLJ frequency of 60-80%, with a higher frequency of LLJs over the pack ice (72%) versus in the marginal ice zone (66%) (Tian et al., 2020; ReVelle and Nilsson, 2008). A similar study to that described in the current paper found LLJs to be present more than 40% of the time in the central Arctic, with typical height below 400 m and speed between 6 and 14 m s^{-1} (Lopez-Garcia et al., 2022). Model studies of central Arctic LLJs have documented a lower frequency, of 20-25% (Tuononen et al., 2015).

While much has already been discovered about the central Arctic lower atmospheric structure, most field campaigns have occurred during the summer (e.g., the Arctic Ocean Experiment 2001 (AOE-2001; Tjernström et al., 2005), the Arctic Summer Cloud-Ocean Study (ASCOS; Tjernström et al., 2014), and the Arctic Clouds in Summer Experiment (ACSE; Tjernström et al., 2015)) or in coastal regions (e.g., the Profiling at Oliktok Point to Enhance Year of Polar Prediction Experiments (POPEYE; de Boer et al., 2019) and the Summertime Aerosol across the North Slope of Alaska Field Campaign (Pratt et al., 2018)). The only previous campaign to cover an entire year over Arctic sea ice

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One source of ABL turbulence is

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Deleted: and LLJ depth usually between 400 and 600 m (Jakobson et al., 2013). Jakobson et al. (2013) also found the faster LLJs to have the jet core located inside the ABL. A similar analysis of LLJs during

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(the Surface Heat Budget of the Arctic Ocean (SHEBA) project; Uttal et al., 2002) occurred over 20 years ago, since which there have been widespread changes in the Arctic climate system. Additionally, there in inconsistency in the frequency of stable versus near-neutral conditions across previous literature (e.g., Esau and Sorokina (2010) claims that the central Arctic ABL is stable 70-100% of the time, while Tjernström and Graversen (2009) found stable and near-neutral conditions to occur with similar frequencies). Thus, there is much to be gained by analysis of more recent data, such as that from the Multidisciplinary drifting Observatory for the Study of Arctic Climate (MOSAiC; Shupe et al. 2020), which observed the central Arctic following one ice floe for a full year from September 2019 to October 2020. As such, this study utilizes observations from MOSAiC to analyze the lower atmosphere, focusing on vertical structure and stability, and characteristics of LLJs and clouds under varying stability regimes, to provide a summary the aforementioned conditions over a full annual cycle. A complementary paper (Jozef et al., 2023b) explores the role of kinematic (e.g., wind characteristics forced by synoptic setting) and thermodynamic (e.g., surface radiation budget forced by clouds) processes that contribute to, and are modified by, vertical structure and stability conditions, so such details are not heavily discussed in the current paper.

The questions guiding this study are as follows: what was the range of lower atmospheric vertical structure and stability observed during MOSAiC, and how did this vary by season? With what frequencies and characteristics did features relating to the ABL (depth, wind shear, and turbulence), LLJs (height and speed), and clouds (height and liquid water content) occur, and how did they vary with vertical structure and stability?

To determine vertical structure and stability, and identify important thermodynamic and kinematic features in the Arctic lower atmosphere, we primarily use profile data from radiosondes launched at least four times per day throughout the MOSAiC year, supplemented with continuous observations of the near-surface meteorological state and atmospheric clouds and moisture from additional measurement platforms. A self-organizing map (SOM) analysis (which objectively identifies a user-selected number of patterns present in a training data set) was conducted with the radiosonde profiles to reveal the range of vertical structures observed during MOSAiC (differentiated by stability within the ABL and the height and strength of a capping inversion), and their relative frequencies during the MOSAiC year. The SOM results were used to develop criteria to define stability regimes characterized by stability both within and above the ABL, such that their relative frequencies and relationships to ABL, LLJ, and clouds characteristics could be analyzed. The results of such a study are firstly valuable to reveal whether current observations agree with past observations and well-known ABL meteorological processes. Additionally, through the use of new methods (i.e., the SOM analysis and detailed stability regime classification), the results also provide further constraints on the vertical structure and features of the Arctic lower atmosphere that may be helpful to improve parameterizations of the central Arctic in weather and climate models.

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The atmospheric features discussed above all interact with the surface energy budget, which impacts sea ice thick ... [2]

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2 Methods

2.1 Observational data from MOSAiC

Data used in this study were collected during MOSAiC, a year-long icebreaker-based expedition lasting from September 2019 through October 2020, in which the Research Vessel *Polarstern* (Alfred-Wegener-Institut Helmholtz-Zentrum für Polar- und Meeresforschung, 2017) was frozen into the central Arctic Ocean sea ice pack, and was set to drift passively across the central Arctic for the entire year. During the MOSAiC year, many measurements were taken to observe the atmosphere (Shupe et al. 2022), sea ice (Nicolaus et al. 2022), and ocean (Rabe et al. 2022), with the result being the most comprehensive observations of the central Arctic climate system to date. These measurements span all seasons, as well as both far from and close to the sea ice edge, as the *Polarstern* essentially followed one ice floe for its annual life cycle (only relocating to a new ice floe for the final two months of the expedition).

For this study, we primarily use profile data from the balloon-borne Vaisala RS41 radiosondes, which were launched from the helicopter deck of the *Polarstern* (~12 m above sea level) at least four times per day (every 6 hours), typically at 0500, 1100, 1700, and 2300 UTC (Maturilli et al., 2021). We use the level 2 radiosonde product (Maturilli et al., 2021) for this analysis, as the level 2 product is found to be more reliable in the lower troposphere than the level 3 product (Maturilli et al., 2022). Figure 1 shows the location of each radiosonde launch throughout the MOSAiC year. From the radiosondes, we utilize measurements of temperature, pressure, relative humidity, and wind speed and direction. The radiosondes ascend at a rate of approximately 5 m s^{-1}, sampling with a frequency of 1 Hz, which results in measurements about every 5 m throughout the ascent. Instrument specifications and uncertainties for the radiosonde variables are available at: https://www.vaisala.com/sites/default/files/documents/WEA-MET-RS41SGP-Datasheet-B211444EN.pdf (Vaisala Radiosonde RS41-SGP, 2017), and are summarized in Table 1. It is recognized that the true uncertainty in the winds is likely to be greater than that provided in the data sheet, however after determining that our results changed minimally when additional vertical averaging was applied to the winds (beyond the filtering already applied by Vaisala during their data processing), we find the original winds provided in Maturilli et al. (2021) to be sufficiently reliable for the current study.

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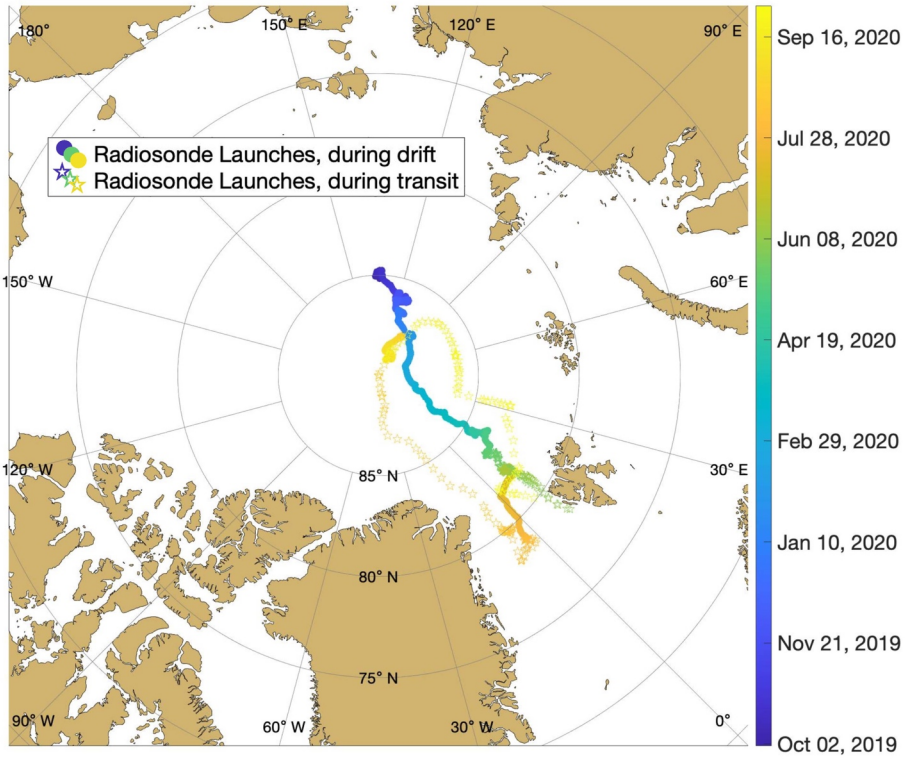

Figure 1. Map of the central Arctic showing the location of each radiosonde launch, color coded by date. Circular symbols indicate when the *Polarstern* was passively drifting, and star symbols indicate when the *Polarstern* was travelling under its own power.

In addition to the profile data provided by the radiosondes, we utilize observations from a few other measurement platforms which add to the overall description of the ABL at the time of each radiosonde launch. Atmospheric observations of wind speed at 2 m above the surface, as well as a derivation of bulk friction velocity (a theoretical wind speed that expresses the magnitude of stress exerted by wind flowing over the Earth's surface, indicating the magnitude of turbulence; u_*), come from a 10 m meteorological tower (hereafter called the met tower; Cox et al., 2023) located on the sea ice near the *Polarstern* (Cox et al., accepted), and provide information about near-surface turbulence at the time of each radiosonde launch. Bulk u_* was chosen, as opposed to the standard eddy-covariance value, as the bulk parameterization considers both wind fluctuations and latent heat fluxes (developed using guidance from eddy-covariance data collected during SHEBA; Andreas et al., 2010b) which is more comparable to u_* used in models (e.g., Fairall et al., 2003).

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Information on cloud cover comes from a Vaisala Ceilometer CL31 (ARM user facility, 2019a), which measures atmospheric backscatter and cloud base height (CBH), and allows us to determine the altitude and frequency of clouds at and before radiosonde launch. CBH derivation and uncertainty is discussed in Morris (2016). Additionally, liquid water path (LWP) comes from the MWRRET Value-added Product (ARM user facility, 2019b) which derives LWP from ARM 2-channel microwave radiometer measured brightness temperatures. LWP derivation and uncertainty are discussed in Turner et al. (2007) and Cadeddu et al. (2103) respectively. Both the ceilometer and microwave radiometer were located on the P-deck of the *Polarstern* (depicted in Fig. 3 of Shupe et al. 2022), which is approximately 20 m above sea level, and could occasionally be above a layer of shallow fog. Table 1 lists the instrument name and uncertainty for each of the observational variables used in this study.

Table 1: Instrument name and uncertainty for each variable used in this study.

Platform	Variable	Instrumentation	Uncertainty
Radiosonde	Pressure	Vaisala RS41-SGP	1.0 hPa
	Temperature		0.3 °C
	Relative humidity		4 %
	Wind speed		0.15 m s^{-1}
	Wind direction		2 °
Met tower	2 m wind speed	Metek uSonic-Cage MP sonic anemometer	0.3 m s^{-1}
	Bulk friction velocity (u_*)	Derived from Vaisala HMT337/PTU307, Metek uSonic-3 Cage MP, and ARM's Eppley Precision Infrared Radiometer (following Andreas et al. (2010b))	4.4 % (estimated random error, 10 min) and 6% (bias)
Ceilometer	Cloud base height	Vaisala CL31	5 m
Microwave radiometer	Liquid water path	ARM 2-channel microwave radiometer	15 g m^{-2}

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2.2 Deriving quantities from observational data

Before the radiosonde profiles were analyzed, measurements were corrected to account for the local "heat island" resulting from the presence of the *Polarstern.* This local source of heat resulted in the frequent occurrence of elevated temperatures near the launch point, resulting in inconsistencies in the observed temperatures in the lowermost part of the atmosphere. This phenomenon can be recognized by an artificial temperature structure indicative of a convective layer in the lowest radiosonde measurements, which we know is unlikely (Tjernström et al., 2004; Brooks et al., 2017). Thus, if this "convective layer" was present, then the lowest radiosonde measurements were visually compared to measurements from the met tower to confirm whether the radiosonde measurements were indeed incorrect (e.g., if the lowest few radiosonde measurements were notably warmer then the tower measurement at 10 m). The first credible value of the radiosonde measurements was then taken to be the point at which the tower measurements extrapolated upward would line up with the observed radiosonde measurement, or in the case of a temperature offset between the tower and radiosonde, would have approximately the same slope. All data at the altitudes below this first credible value were removed. This helps in also removing faulty wind measurements that occur as a result of flow distortion around the ship (Berry et al., 2001).

An additional disruption of the radiosonde measurements sometimes occurred because of the passage of the balloon through the ship's exhaust plume. When it was unambiguous that the radiosonde passed through the ship's plume (evident by a sharp increase and subsequent decrease in temperature, typically by ~0.5-1°C over a vertical distance of ~10-30 m, identified visually), these values were replaced by values resulting from interpolation between the closest credible values above and below the anomalous measurements, which were identified as the last point just before the increase and the first point just after the decrease in temperature values, to acquire a continuous profile of reliable temperatures. Lastly, we determined that 92% of profiles have credible measurements as low as 35 m AGL. To allow for a consistent bottom height for our analysis, we only considered profiles in which there is a good measurement at 35 m, and did not consider any data below 35 m. This altitude is a compromise between removing too much low altitude data or removing too many radiosonde profiles from analysis. After removing all profiles in which there is not trustworthy data as low as 35 m, we retain 1377 MOSAiC radiosonde profiles for analysis.

ABL height from each radiosonde profile was determined using a bulk Richardson number (Ri_b) based approach in which the top of the ABL was identified as the first altitude in which Ri_b exceeds a critical value of 0.5 and remains above the critical value for at least 20 consecutive meters (Jozef et al., 2022). These criteria typically identify the ABL height as the bottom of the elevated virtual potential temperature (θ_v) inversion (or the bottom of the layer of enhanced θ_v inversion strength) for moderately stable to near-neutral conditions, and at the top of the most stable layer for conditions with a strong surface-based θ_v inversion. The methodology for calculating the Ri_b profile used to identify ABL height, as well as justification for the use of 0.5 as a critical value (rather than the more traditional value of 0.25) is described in Jozef et al. (2022).

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LLJs were identified from each radiosonde, where there was a maximum in the wind speed that was at least 2 m s^{-1} greater than the wind speed minima above and below (Stull, 1988). As described in Tuononen et al. (2015), only situations in which both the wind speed maximum (the LLJ core) and the minimum above the core were both below 1.5 km were identified as LLJs. When there were multiple maxima, we only considered the lowest one, and a maximum was only considered an LLJ when it was at least 2 m s^{-1} greater than the next local minimum above the LLJ or the value at 1.5 km (if no local minimum above the maximum), as in Tuononen et al. (2015). If an LLJ was found, we identified the LLJ core altitude as the altitude of the maximum in the wind speed, and the LLJ speed as the wind speed at that altitude (Jakobson et al., 2013). Further details are presented in Jozef et al. (2023a). Vertical averaging was not applied to the wind speed profiles before identification of LLJs in the current study, as there is no significant difference in LLJ frequency at the 95% confidence level when applying a 30 m running mean, and thus vertical averaging was deemed unnecessary for improvement of result accuracy. Our analysis differs from that by Lopez-Garcia et al. (2022) as they only considered LLJs in which the jet core speed was at least 25% faster than the wind speed minimum above the jet core, whereas we do not include this criterion, and thus our analysis also includes LLJs which occur in ubiquitously high wind speed environments.

Cloud and moisture characteristics associated with each radiosonde were identified using measurements within the 30 minutes preceding radiosonde launch. Thus, CBH and LWP were taken as the average within that 30 minute interval. We use this 30 minute interval, as this is a long enough time for the presence of the cloud and atmospheric moisture to impact atmospheric stability and structure close to the surface. Any other point measurements associated with each radiosonde (2 m wind speed and u_*) were calculated as the average over a period of 5 minutes before to 5 minutes after radiosonde launch, as described in Jozef et al. (2023a).

2.3 Self-organizing map analysis

The SOM analysis uses an unsupervised neural network algorithm to objectively identify a user-specified number of patterns in a training data set (Cassano et al., 2015; Kohonen, 2001). In doing so, this analysis projects high-dimensional input data onto a low-dimensional space as a grid of SOM-identified patterns (Liu and Weisburg, 2011) and provides a compact way to visualize the range of conditions present in the training data. The grid of SOM-identified patterns is referred to as a SOM, or simply a map. Atmospheric applications of SOMs have previously been used to determine ranges of synoptic patterns (Nygård et al., 2021; Cassano et al., 2015; Sheridan and Lee, 2011; Skific et al., 2009; Cassano et al., 2006; Hewitson and Crane, 2002), identify large scale circulation anomalies associated with extreme weather events (Cavazos, 2000), and classify cloud (Ambriose et al., 2000), climate zone (Malmgren and Winter, 1999), precipitation (Crane and Hewitson, 2003), and ice core data (Reusch et al., 2005), to name a few. Most similar to the current study, SOMs have previously been used to identify the range of ABL structures in Antarctica from both tower (Nigro et al., 2017; Cassano et al., 2016) and radiosonde (Dice and Cassano, 2022) data. Here, the SOM analysis is applied to radiosonde profiles of θ_v to identify vertical structure and stability in the lowest 1 km of the atmosphere over the Arctic ice pack during MOSAiC.

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A SOM is created by randomly initializing patterns from the input data space and comparing the training data to these patterns. Each sample in the input data is presented to the SOM and compared to all patterns in the initial map. The pattern to which the input data sample is most similar is known as the "winning" pattern, and this pattern, and adjacent neighboring patterns, are modified to reduce the squared difference between it and the input data sample. This process continues for all samples in the training data (Liu and Weisburg, 2011; Cassano et al., 2006) and is repeated thousands of times for the entire training data set until the squared differences between the SOM identified patterns and the training data have been minimized. Further details of how a SOM is trained are given in the papers cited above. Here we use the SOM-PAK software (http://www.cis.hut.fi/research/som-research; Kohonen et al. 1996) to train the SOM presented below.

A critical decision when using SOMs is the number of patterns to be identified by the SOM training, and this depends on the intended application and size of the training data set (Cassano et al., 2006). A greater number of patterns will produce a broader range of structures with more subtle differences between them, and fewer patterns will result in larger variability between and within the patterns. Regardless of the number of patterns identified in the SOM, the SOM provides a smoothly varying, continuous depiction of the range of conditions present in the training data. The output from the SOM training is a two-dimensional array of patterns which are representative of the range of conditions present in the training data (Cassano et al., 2006). The SOM is organized such that the patterns being most similar are located adjacently, and conversely the most different patterns are on opposite sides of the SOM (Dice and Cassano, 2022; Cassano et al., 2016; Liu and Weisburg, 2011). Each sample in the training data is mapped to the resulting SOM pattern with which it has the smallest squared difference resulting in a list of samples for each SOM-identified pattern. This list of data samples can then be used to calculate the frequency of each SOM pattern and for additional analyses. (Dice and Cassano, 2022).

In this study, a 30 pattern SOM was used to describe the range of lower atmospheric stability profiles, defined by θ_v gradient ($d\theta_v/dz$), present in 1377 MOSAiC radiosonde profiles. Before settling on the 6x5 (30 pattern) SOM, we tested SOMs with size and orientation of 5x4 (20 patterns) to 7x5 (35 patterns). When using 20 patterns, the range in strength of near-surface stability and the varying depths of a weakly stable or near-neutral layer were not fully evident. To fully understand the range of vertical structures in the Arctic, highlighting these differences is important, so the inclusion of additional SOM patterns was necessary. However, with 35 patterns, we found that no additional details were introduced beyond what was shown with 30 patterns. Thus, we determined that 30 patterns is the smallest number to sufficiently describe the range lower atmospheric stability during MOSAiC, retaining fundamental features of vertical structure (e.g., varying height and strength of the θ_v inversion). We also tested the SOM trained with the θ_v profiles rather than the gradient (in the form of the θ_v anomaly compared to 1 km, to remove seasonal temperature dependence), but found that the range in height and strength of the θ_v inversion, as well as the differentiation between a weakly stable or near-neutral layer below a θ_v inversion, were not distinguished.

The profiles of $d\theta_v/dz$ used to train the SOM were derived from radiosonde observations that were first interpolated to a consistent vertical grid of 5 m spacing between 35 m and 1 km (temperature and relative humidity were linearly

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interpolated and pressure was interpolated with the hypsometric equation). The maximum altitude of 1 km was chosen because it includes the full depth of the ABL in every case and also allows for diagnosing stability immediately above the ABL. Then, θ_v was calculated at 5 m intervals using the interpolated measurements. Finally, profiles of $d\theta_v/dz$ in K $(100\ m)^{-1}$ were calculated as the change in θ_v between adjacent datapoints, resulting in $d\theta_v/dz$ values at 37.5 m, 42.5 m, 47.5 m, and so on, with the last value being at 997.5 m. Training the SOM with $d\theta_v/dz$ profiles resulted in an array of patterns differentiated by the strength and height of the θ_v inversion. As such, observations with similar strength θ_v inversions which occurred at different heights, and observations with similar heights of the θ_v inversion but different strengths, were separated into different SOM-identified patterns.

The 30 SOM patterns of $d\theta_v/dz$, as well as the spread in observations mapping to a given SOM pattern are provided in Supplementary Fig. S1. However, a more tangible demonstration of the range of vertical structures present during MOSAiC is shown in Fig. 2 (Sect. 3.1) with the mean profiles of $d\theta_v/dz$ and θ_v anomaly for all radiosondes mapped to a given pattern. Results from the SOM analysis will focus on the frequency of occurrence of each pattern and the variability in the vertical structure depending on time of year (e.g., which SOM patterns largely occur in certain seasons). Seasonal analysis in this paper is carried out by grouping observations during September, October, and November as fall; December, January, and February as winter; March, April, and May as spring; and June, July, and August as summer. Additionally, profiles of wind speed (produced by interpolating the zonal and meridional components to the 5 m grid and then calculating total wind speed profiles) and LLJ characteristics in the context of the SOM patterns will be analyzed. Lastly, once the full range of vertical structures was revealed by the SOM, this information was used to develop a set of criteria for classifying stability of any given observation that distills the detail of the SOM to the most critical factors of stability within and above the ABL.

2.4 Stability regime analysis

Twelve stability regimes have been defined based on stability within the ABL (hereafter referred to as "near-surface" stability) as well as the strength of the capping θ_v inversion located between the top of the ABL and 1 km (hereafter referred to as stability "aloft"; Table 2). These stability regime definitions are based on the range of profiles seen in the SOM (Fig. 2) and were applied to each SOM pattern (using the average of all radiosonde profiles mapped to a given SOM pattern) as well as to individual radiosonde profiles. The stability regime definitions allow us to explore the frequency, both annually and seasonally, of different stability types and how ABL, LLJ, and cloud characteristics vary with stability. The stability regime definitions were developed alongside a similar SOM-based analysis of ABL profiles in Antarctica (Dice et al., submitted), which supports the robustness of these methods for classifying stability in polar regions.

Before identifying stability regime, we must smooth some of the noise in the original $d\theta_v/dz$ profiles. Since the stability criteria in part depend on stability within the ABL and some observations have an ABL height as low as 50 m, we first include a measurement of $d\theta_v/dz$ at 42.5 m (this determines the near-surface stability), calculated across a 15 m interval between 35 m (lowest point of the profile) and 50 m. For values at and above 50 m, $d\theta_v/dz$ is calculated across

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A SOM is an unsupervised neural network algorithm, but the user must specify the size of the resulting two-dimensional array (the number and orientation of patterns; Cassano et al., 2015) before the training begins. For this analysis, we evaluated SOMs with size and orientation of 5x4 (20 patterns) to 7x5 (35 patterns), and in the end found that a 6x5 SOM (30 patterns) best displayed the range of $d\theta_v/dz$ structures present in the 1377 MOSAiC profiles. The SOM algorithm applies an iterative process to identify the 30

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30 m intervals in steps of 5 m and attributed to the center altitude of Δz (i.e., 35-65 m, 40-70 m, 45-75 m and so on), resulting in a $d\theta_v/dz$ profile with values at 42.5 m, 50 m, 55 m, 60 m AGL, and so on.

Table 2 shows the thresholds associated with each stability regime, and how they are applied. The first step for stability regime identification is to classify the near-surface stability using the $d\theta_v/dz$ value at 42.5 m, as this value is representative of stability within the ABL. The possible near-surface regimes are strongly stable (SS), moderately stable (MS), weakly stable (WS) and near-neutral (NN). To differentiate between stable cases (SS, MS, or WS) and near-neutral cases (NN), we use a threshold of 0.5 K $(100\ m)^{-1}$, where if $d\theta_v/dz$ below 50 m is less than the threshold, it is considered NN, and if it is greater than or equal to the threshold, it is stable. This threshold was chosen, as it equates to the threshold of 0.2 K over 40 m used to discern a stable versus neutral ABL in Jozef et al. (2022). Additional thresholds were derived to differentiate SS, MS, and WS. While a range of thresholds were tested, the ones listed in Table 2 were determined to best discern meaningful differences in near-surface θ_v inversion strength for both the MOSAiC data presented here as well as radiosonde profiles at several sites in Antarctica (Dice et al., submitted).

The second step for stability regime identification is only applied to cases with a near-surface regime of WS or NN and is carried out to differentiate weakly stable or near-neutral cases (both considered relatively well-mixed) that are very shallow, from those that are deeper. We make this distinction because we there are different processes that would lead to a shallow versus deep well-mixed layer. Thus, if ABL height is less than 125 m, we consider this a very shallow mixed (VSM) case. This threshold of 125 m was chosen, as there is a cluster of SOM patterns with near-surface regime of WS or NN that have ABL height less than 125 m, and a jump in height before the next cluster of SOM patterns with ABL height above 125 m.

Lastly, stability aloft is determined. This step is only applied to VSM, WS, and NN cases, as we only address stability aloft if it is more stable than the near-surface stability regime. For SS and MS cases, the profile is at its most stable near the surface, and transitions to the free atmosphere above the ABL, so stability aloft does not provide additional information. Using the maximum in the $d\theta_v/dz$ profile above the ABL, but below 1 km, the same thresholds as were applied to identify the near-surface regime are also applied to identify stability aloft, where the options are strongly stable aloft (SSA), moderately stable aloft (MSA), and weakly stable aloft (WSA). All of the resulting options for stability regime are listed in Table 2. These regimes are color coded with the colors that will be used to discern each regime for the remainder of the paper.

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Table 2: Thresholds used to differentiate between stability regime, where the various near-surface regimes are SS (strongly stable), MS (moderately stable), VSM (very shallow mixed), WS (weakly stable) and NN (near-neutral), and the various stabilities aloft are SSA (strongly stable aloft), MSA (moderately stable aloft), and WSA (weakly stable aloft).

$d\theta_v/dz$ at 42.5 m AGL	ABL Height	Max. $d\theta_v/dz$ above ABL	Stability Regime	Abbreviation
≥ 5 K (100 m)$^{-1}$	-	-	Strongly Stable	SS
≥ 1.75 K (100 m)$^{-1}$ < 5 K (100 m)$^{-1}$	-	-	Moderately Stable	MS
< 1.75 K (100 m)$^{-1}$	< 125 m	≥ 5 K (100 m)$^{-1}$	Very Shallow Mixed – Strongly Stable Aloft	VSM-SSA
		≥ 1.75 K (100 m)$^{-1}$ < 5 K (100 m)$^{-1}$	Very Shallow Mixed – Moderately Stable Aloft	VSM-MSA
		< 1.75 K (100 m)$^{-1}$	Very Shallow Mixed – Weakly Stable Aloft	VSM-WSA
≥ 0.5 K (100 m)$^{-1}$ < 1.75 K (100 m)$^{-1}$	≥ 125 m	≥ 5 K (100 m)$^{-1}$	Weakly Stable – Strongly Stable Aloft	WS-SSA
		≥ 1.75 K (100 m)$^{-1}$ < 5 K (100 m)$^{-1}$	Weakly Stable – Moderately Stable Aloft	WS-MSA
		< 1.75 K (100 m)$^{-1}$	Weakly Stable	WS
< 0.5 K (100 m)$^{-1}$		≥ 5 K (100 m)$^{-1}$	Near-Neutral – Strongly Stable Aloft	NN-SSA
		≥ 1.75 K (100 m)$^{-1}$ < 5 K (100 m)$^{-1}$	Near-Neutral – Moderately Stable Aloft	NN-MSA
		≥ 0.5 K (100 m)$^{-1}$ < 1.75 K (100 m)$^{-1}$	Near-Neutral – Weakly Stable Aloft	NN-WSA
		< 0.5 K (100 m)$^{-1}$	Near-Neutral	NN

3 Results

3.1 Range of lower atmospheric vertical structure

The annual range of stability structures in the central Arctic observed during the MOSAiC year is demonstrated in Fig. 2 through the average θ_v anomaly and $d\theta_v/dz$ profiles for observations mapped to each SOM pattern, labelled with the corresponding stability regime based on the structure of these average profiles. VSM-WSA and WS are not represented by a SOM pattern, but do occur rarely in individual profiles, and thus are still defined in Table 2 (see Sect. 3.2 onward). While NN was never observed in an individual MOSAiC profile, we include its definition in Table 2 to support the use of these criteria for observations from other campaigns.

The SOM shows the continuum of the lower atmospheric vertical structure with each pattern having a smooth transition to those adjacent, such that similar structures are situated in the same section of the SOM. The patterns with stronger stability are located on the right half of the SOM, with the θ_v inversion at or near the surface (SS and MS cases) in the upper right of the SOM, and the θ_v inversion becoming more elevated moving to the lower right of the SOM (VSM cases). The weaker stability and near-neutral patterns are located on the left half of the SOM, with decreasing stability and increasing depth of the well-mixed layer, moving from the bottom left (largely WS) to the top

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left (largely NN) of the SOM. Thus, the ABL during MOSAiC revealed by the SOM spanned from very shallow and stable, with a strong near-surface θ_v inversion, to deep and near-neutral, capped by a weak elevated θ_v inversion.

While several stability regimes are represented by more than one SOM pattern, the strength and depth of the θ_v inversion differs between patterns of the same regime. For example, for the five SOM patterns classified as SS, $d\theta_v/dz$ at 42.5 m spans from 5.4 to 12.5 K $(100\ m)^{-1}$, and the ABL height spans from 51 to 83 m, with SOM pattern 5 showing the strongest near-surface stability and shallowest ABL; for the ten SOM patterns with near-surface stability of NN, $d\theta_v/dz$ at 42.5 m spans from -0.1 to 0.4 K $(100\ m)^{-1}$, and the ABL height spans from 137 to 284 m. The maximum $d\theta_v/dz$ above the ABL defining aloft stability spans from 5.4 to 11.7 K $(100\ m)^{-1}$ for -SSA (9 patterns), from 2.1 to 4.0 K $(100\ m)^{-1}$ for -MSA (10 patterns), and from 0.8 to 1.5 K $(100\ m)^{-1}$ for -WSA (2 patterns).

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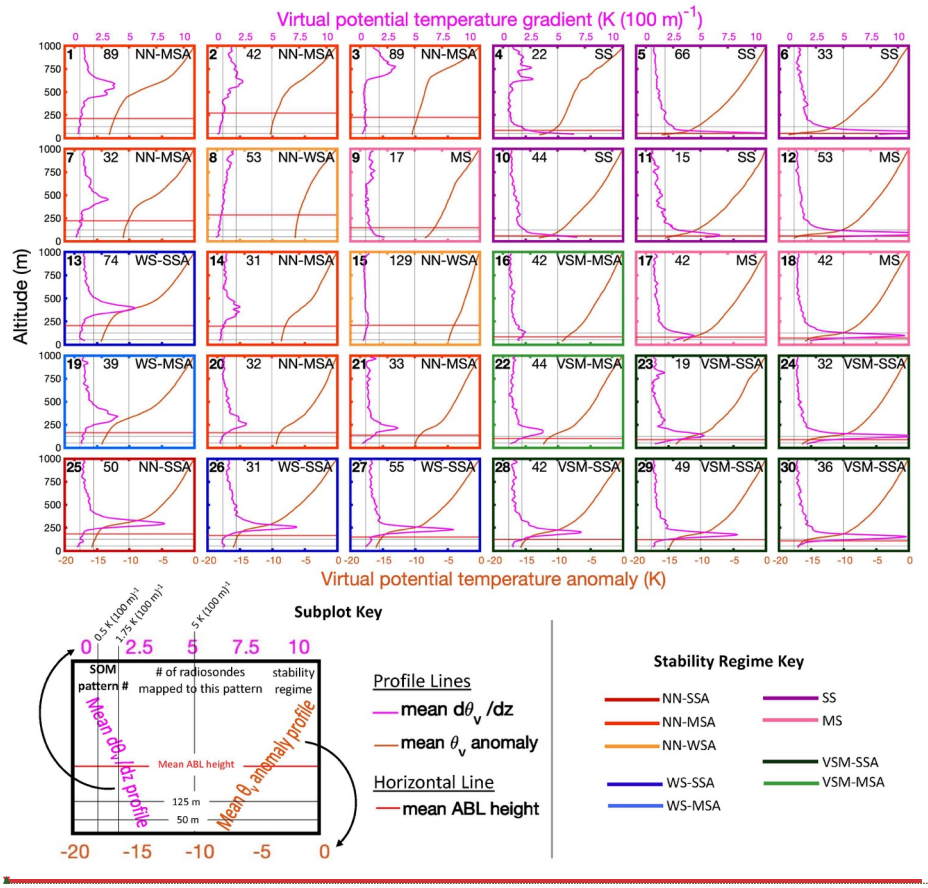

Figure 2. Mean virtual potential temperature (θ_v) anomaly profile (orange line, bottom x-axis), mean virtual potential temperature gradient profile ($d\theta_v/dz$; magenta line, top x-axis), and mean ABL height (horizontal red line) for all radiosonde profiles mapped to each SOM pattern. Horizontal black lines at 50 and 125 m AGL, and vertical black lines at 0.5, 1.75, and 5 K (100 m)$^{-1}$ used to classify stability regime are included. The bold number in the upper lefthand corner of each subplot is the number of that SOM pattern (1 through 30), the number in the upper center of each subplot is the number of radiosonde profiles which map to that pattern, and the letters in the upper righthand corner of each subplot indicates that pattern's stability regime (see "Subplot Key"). Stability regime is also indicated by the color of the border for each subplot, following the colors given in the "Stability Regime Key".

The annual distribution of SOM pattern frequency is displayed in Fig. 3a. The SOM pattern with the highest frequency (pattern 15, NN-WSA) accounts for 9.4% of MOSAiC observations. The pattern with the lowest frequency (pattern 11, SS) accounts for 1.1% of MOSAiC observations. The most common SS, MS, VSM, WS, and NN patterns were 5,

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12, 29, 13, and 15 respectively. There are nine SOM patterns depicting strong or moderate near-surface stability. Seven patterns are very shallow mixed. Four patterns have weak near-surface stability. Ten patterns depict near-neutral near-surface stability.

The seasonal breakdown of SOM pattern frequency is displayed in Fig. 3b-3e (e.g., 27% of all radiosondes that map to pattern 1 occurred in the fall). Observations in the fall most heavily contribute to the SOM patterns in the center and left of the grid (patterns 2, 8, 15, 20, and 22). These are largely patterns with a well-mixed near-surface layer, and moderate to strong stability aloft. Observations in the winter most heavily contribute to the SOM patterns in the far right and the bottom of the grid (patterns 5, 6, 12, 18, and 23 to 30). These are largely patterns with a near-surface θ_v inversion, or a shallow well-mixed layer capped by a strong θ_v inversion.

Observations in the spring are more evenly distributed among all SOM patterns than any other season, as no SOM pattern contains greater than 36% of the total observations. The least common SOM patterns for spring are in the upper right of the grid (patterns 4, 6, and 18), which all have a near-surface θ_v inversion. Lastly, observations in summer most heavily contribute to two SOM patterns in the upper right of the grid (patterns 4 and 17), which are SS and MS respectively. Pattern 4 is particularly interesting, as there is strong near-surface stability and an elevated region of enhanced stability around 600 m AGL, which may be explained by unique processes occurring primarily in summer. Reported visibility and ceilometer observations suggest a possible low fog layer and additional elevated cloud layer. Two patterns on the left side of the SOM (7 and 21, both NN-MSA) are also common in summer.

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Deleted: The annual frequencies of the SOM patterns are demonstrated with Supplementary Fig. S1, which shows that annual frequencies are quite variable from one pattern to the next, with the SOM pattern with the highest frequency only accounting for 9.4% of MOSAiC observations (pattern 15). As 13 of the SOM patterns depict strong, moderate, or weak near-surface stability, this shows that the ABL in the Arctic is often stable (Esau and Sorokina, 2010). However, as 10 of the SOM patterns depict near-neutral near-surface stability (the remaining seven patterns depicted are VSM profiles), this presents a new finding that a near-neutral ABL is perhaps more prevalent than previously known, disagreeing with Esau and Sorokina (2010), which found the Arctic ABL to be stably stratified 70 – 100% of the time.

Figure 3. Grid plots following the same layout as the SOM indicating (a) the annual frequency of radiosonde profiles mapping to each pattern, and of all the cases mapped to a given pattern, the percent which occurred during (b) fall, (c) winter, (d) spring, and (e) summer, with shading corresponding to the greyscale color bars. The bold number in the upper lefthand corner of each subplot is the number of that pattern (1 through 30) and the letters in the upper righthand corner of each subplot indicates that pattern's stability regime. Stability regime is also indicated by the color of the border for each subplot, following the colors given in the "Stability Regime Key".

To understand the influence of mechanical mixing on the stability structures presented by the SOM, we visualize average wind speed profiles for each SOM pattern; additionally, we analyze the LLJ characteristics for each pattern, as the average across all individual cases in each pattern (Fig. 4). As LLJ core height and speed varies across the cases

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Deleted: Figure 4. Grid plots following the same layout as the SOM indicating the number (upper middle of each subplot) and frequency (lower middle of each subplot) of radiosonde profiles that map to each pattern during (a) fall, (b) winter, (c) spring, and (d) summer. The greyscale color bar corresponds to the percent of cases in each pattern, where darker grey signifies a higher percent of cases. The bold number in the upper lefthand corner of each subplot is the number of that pattern (1 through 30), the number in the upper center of each subplot is the number of radiosonde profiles which map to that pattern, and the letters in the upper righthand corner of each subplot indicates that pattern's stability regime. Stability regime is also indicated by the color of the border for each subplot, following the colors given in the "Stability Regime Key".¶
DH2 profiles mapped to the SOM (Supplementary Fig. S2a) show that all but four SOM patterns (8, 19, 28, and 29) were sampled by the DH2. Thus, we have DH2 data covering nearly all the ABL vertical θ_v structures observed throughout MOSAiC. Supplementary Fig. S2b-c shows the frequencies each SOM pattern observed by the DH2, as well as by the radiosondes during the time of DH2 deployment (23 March – 26 July 2020). These results reveal that, while the DH2 did sample nearly all ABL structures represented by the SOM, the frequencies of these regimes sampled by the two measurement platforms are different, and this can likely be attributed to the DH2 sampling limitations. ¶

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in each pattern, the LLJ is often smoothed out in the average wind speed profile. Interestingly, the average LLJ height was found to be similar across all SOM patterns (roughly 400 m AGL). The higher ABL heights of the weaker stability patterns (WS and NN; on the left side of the SOM) place the LLJ closer to the ABL top than for the stronger stability patterns with lower ABL heights (SS, MS and VSM; on the right side of the SOM). Additionally, the interquartile ranges (IQR) of ABL height and LLJ height overlap for all patterns on the left half of the SOM, and for many patterns, the IQR of LLJ height extends below the average ABL height. Conversely, on the right half of the SOM, the IQR of ABL height and LLJ height only overlap for pattern 23. The LLJ speeds, 2 m wind speeds, and overall wind speed profiles have greater values for the patterns on the left half of the SOM (mean LLJ speed of 12.3 m s^{-1} and mean 2 m wind speed of 5.3 m s^{-1}), compared to the right half (mean LLJ speed of 9.7 m s^{-1} and mean 2 m wind speed of 3.3 m s^{-1}). The LLJ frequency for all SOM patterns is similar, showing that an LLJ was present for 67% – 84% of all observations mapped to any given pattern, with a median LLJ frequency of 76%.

Analyzing the wind speed profiles that correspond to the vertical θ_v structure for each SOM pattern also helps to understand the subtle differences between SOM patterns. For example, at first glance, the θ_v anomaly profile for patterns 27 and 28 may look rather similar. However, per the stability regime criteria, pattern 27 is defined as WS while pattern 28 is defined as VSM. On closer inspection, we see that LLJ frequency is greater and wind speeds are faster for pattern 27 (WS) than for pattern 28 (VSM), which explains the deeper ABL in pattern 27 (likely influenced by greater mechanical mixing). Across the SOM, LLJ speed is lowest in the upper righthand corner (SS and MS cases) and increases going down (VSM cases), to the right (WS cases), and up to the top lefthand corner (NN cases) of the SOM.

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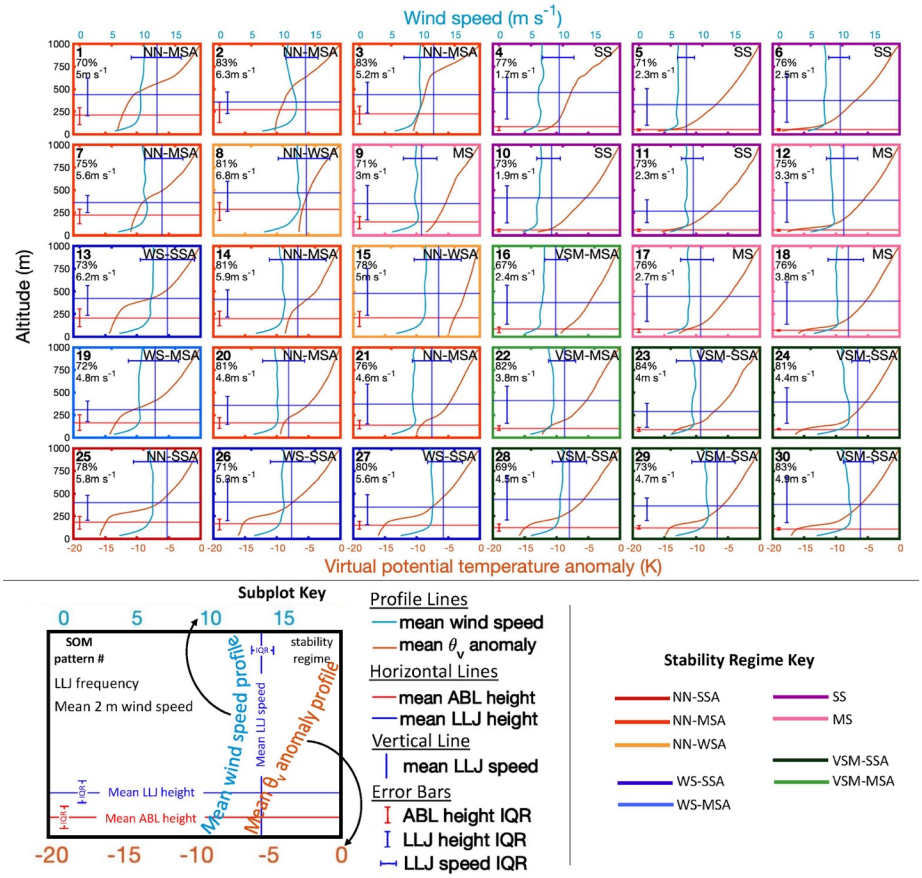

Figure 4. As in Fig. 2, but with mean virtual potential temperature (θ_v) anomaly profile (orange line, bottom x-axis) and mean wind speed profile (baby blue line, top x-axis) for all radiosonde profiles mapped to each SOM pattern. The horizontal red line in each subplot is the average ABL height, with the red error bar indicating the interquartile range (IQR). The horizontal blue line in each subplot is the average LLJ core height, with the vertically oriented error bar indicating the IQR. The vertical blue line in each subplot is the average LLJ speed, with the horizontally oriented error bar indicating the IQR. Each subplot also has written the frequency of LLJs and average 2 m wind speed for that SOM pattern, written below the pattern number.

3.2 Variability of atmospheric state as a function of stability regime

3.2.1 Atmospheric boundary layer

The analysis henceforth transitions from the SOM-based perspective in the previous section to a more simplistic grouping of radiosonde observations by stability regimes (as defined by Table 2). The annual and seasonal frequency of each stability regime is shown in Fig. 5a. For the stability regime frequencies shown in Fig. 5a and subsequent

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Deleted: <*object*>**Figure 5.** Profiles of mean virtual potential temperature (θ_v) anomaly profiles (orange line, bottom x-axis) for all radiosonde profiles mapped to each SOM pattern. Profiles of mean wind speed for all radiosonde profiles (light blue line, top x-axis). The horizontal red line in each subplot is the average ABL height, with the red error bar indicating the 25th and 75th percentiles of ABL height. The horizontal blue line in each subplot is the average LLJ core height, with the vertically oriented error bar indicating the 25th and 75th percentiles of LLJ core height. The vertical blue line in each subplot is the average LLJ speed, with the horizontally oriented error bar indicating the 25th and 75th percentiles of LLJ speed. Each subplot also has written the frequency of LLJs and average 2 m wind speed. The bold number in the upper lefthand corner of each subplot is the number of that pattern (1 through 30), the number in the upper center of each subplot is the number of radiosonde profiles which map to that pattern, and the letters in the upper righthand corner of each subplot indicates that pattern's stability regime. Stability regime is also indicated by the color of the border for each subplot, following the colors given in the "Stability Regime Key".¶

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figures, the regimes are organized from strongest to weakest near-surface stability going from left to right (where VSM is considered more stable than WS due to a shallower ABL), and within a given near-surface regime, the aloft regimes are also organized such that stability decreases from left to right.

The most frequent near-surface regime observed was NN (37% of profiles), followed by VSM (27% of profiles), MS (14% of profiles), and SS (13% of profiles) in decreasing order. WS was observed least frequently (9% of profiles). The total frequency of a stable ABL (combining SS, MS, and WS frequencies) was 36%, just slightly less than the frequency of a near-neutral ABL. The most frequent regime observed aloft was -SSA (66% of VSM cases, 54% of WS cases, and 60% of NN cases had strong stability aloft) followed by -MSA (31% of VSM cases, 39% of WS cases, and 35% of NN cases had moderate stability aloft). Weak stability aloft was infrequently observed (3% of VSM cases, 7% of WS cases, and 5% of NN cases had weak stability aloft). The overall most common regime was NN-SSA, followed by VSM-SSA.

In fall, the strongest stability regimes (SS and MS) were less frequent, while NN was more frequent than the annual frequency. Of all seasons, the winter stability regime frequency distribution is most different from the annual results. Winter had a higher frequency of the strongest stability regimes (SS, MS, and VSM-SSA), and the NN regime was more heavily dominated by NN-SSA. In spring, the relative frequencies of stability regime are very similar to those seen annually, the only major difference being a higher frequency of NN-SSA. Lastly, in summer, the relative frequencies of SS, MS, and VSM and NN with strong and moderate stability aloft were similar to one another.

Next, we present ABL height, change in horizontal wind speed between the surface and top of the ABL (dV/dz), and bulk u_*. The annual range of values of each of these variables for each stability regime is shown in Fig. 5b-d. Supplementary Fig. S2 indicates when there is a statistically significant difference at the 5 % significance level between the mean values of each variable between all pairs of stability regimes. The determination uses a two-tailed t-test when degrees of freedom (df) $\leq$ 100 and a two-tailed z-test when df > 100.

ABL height increases as stability decreases (Fig. 5b). A marked increase in ABL height separates the shallower SS, MS, and the VSM regimes (ABL height largely less than the mean) from the deeper WS and NN regimes (ABL height largely greater than the mean). The jump in ABL height between the VSM and WS regimes is in part a product of how we define the VSM regime (which requires an ABL height of 125 m or less). However, the magnitude of the increase in ABL height between the VSM regime (mean of 85 m) and WS regimes (mean of 221 m) demonstrates that this threshold was meaningful. Additionally, we find that ABL height increases as stability aloft decreases (e.g., the mean ABL height for WS-MSA is greater than the mean ABL height for WS-SSA).

SS and MS had the greatest (largely above average) wind shear (dV/dz) within the ABL (Fig. 5c). For the weaker stability regimes (WS and NN), winds vary less with height due to greater mixing, which is a common behavior of winds within a weakly stable or near-neutral ABL (Wallace and Hobbs, 2006). Figure 5d shows that u_* and thus turbulence increases with decreasing stability. Within the VSM, WS, and NN regimes, dV/dz and u_* decrease with weakened stability aloft. Significant differences in dV/dz and u_* between most pairs of stability regimes (Fig. S2b)

Deleted: Annually, the stability regime which occurred with the highest frequency is NN-SSA followed by VSM-SSA. In decreasing order, MS, SS, and NN-MSA, also occurred with high frequency. VSM-MSA occurred with moderate frequency, and VSM-WSA, NN-WSA, and all WS regimes were relatively infrequent. The high frequency of regimes with either moderate or strong stability near the surface, or a well-mixed ABL with strong stability aloft, suggests that the central Arctic lower atmosphere trends towards being strongly stable, but sometimes the near-surface atmosphere can become well-mixed due to the generation of turbulence.

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highlights that turbulence properties are distinct for each regime. While perhaps an intuitive statement, it is important to confirm that physically meaningful differences in stability regimes classified largely based on thermal gradient are found for mechanical processes, as well as for turbulence measured by the met tower (a separate platform than the radiosondes used to classify stability regime). This confirmation supports the validity of the stability regime criteria defined in Sect. 2.4.

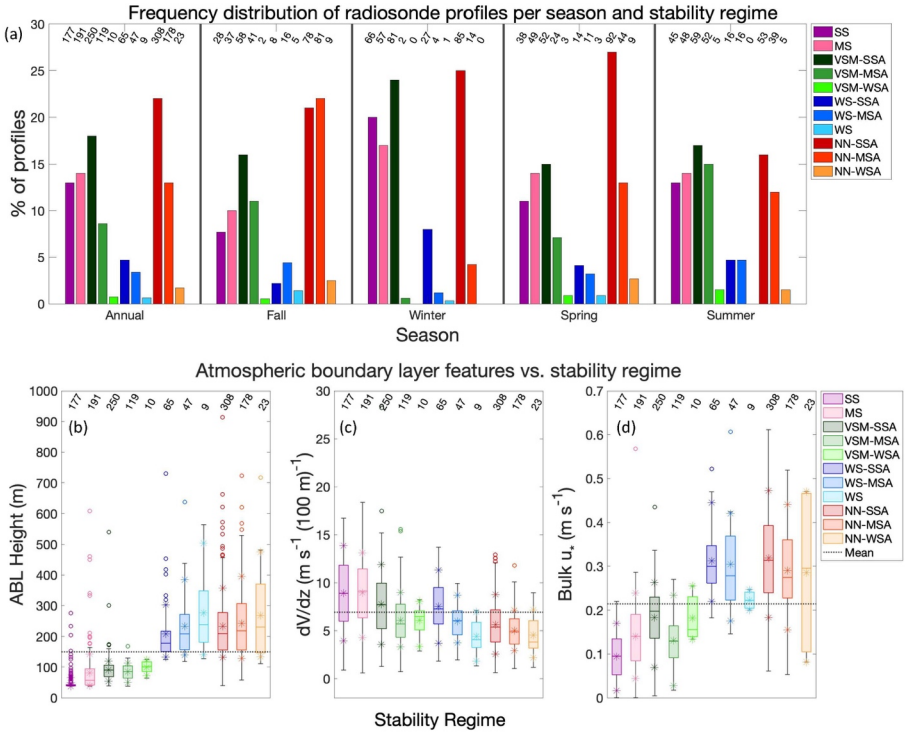

Figure 5. Top: (a) Frequency distribution showing the percent of radiosonde profiles in each stability regime, annually and seasonally. For the seasonal sections, the percent shown is with respect to the total number of radiosonde profiles in that season. The numbers along the top of the plot, above each bar, indicate the total number of radiosonde profiles of that stability regime and season. Bottom: Box and whisker plots showing the annual range of (b) ABL height, (c) dV/dz over the depth of the ABL, and (d) bulk u_* for each stability regime. The center line of each box is the median, and the outer edges of the boxes are the upper and lower quartiles. The whiskers show the range of values within 1.5 times the interquartile range from the top or bottom of the box, and outliers are shown with hollow circles. Asterisks are included at the mean, 10th percentile, and 90th percentile. Horizontal dotted black lines show the annual mean values of each variable. The number of cases in each stability regime are given along the top of the figure.

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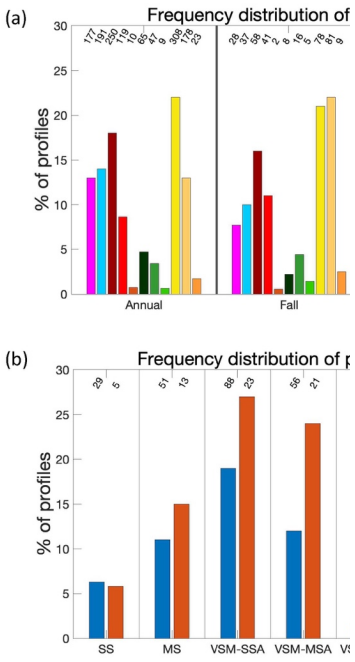

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Figure 6. (a) Frequency distribution showing the percent of radiosonde profiles in each stability regime, annually and seasonally. For the seasonal sections, the percent shown is with respect to the total number of radiosonde profiles in that season. The numbers along the top of the plot, above each bar, indicate the total number of radiosonde profiles of that stability regime and season. (b) Frequency distribution showing the percent of radiosonde (blue) and DataHaw ... [9]

3.2.2 Low-level jets

LLJs are common in the Arctic, as demonstrated by Fig. 6, which shows that annually, 76% of radiosondes observations contained an LLJ, with a similar overall frequency when separated by season. There was a similar frequency of LLJs for all stability regimes of least about 70% (the only exception is the WS regime which had an annual LLJ frequency of about 45% due to the fact that some of the WS cases had high wind speeds throughout the entire profile). Annually, the stronger stability regimes (SS, MS, and VSM) were dominated by slow (speed ≤ 10 m s^{-1}) and moderate (10 m s^{-1} < LLJ speed ≤ 20 m s^{-1}) LLJs, and the weaker stability regimes (WS and NN) were dominated by moderate and fast (LLJ speed > 20 m s^{-1}) LLJs. The seasonal LLJ frequencies per regime were largely similar to the annual frequencies. However, in winter the frequency of slow LLJs was less than the annual results, and in summer the frequency of slow LLJs was more than the annual results, for most stability regimes.

LLJs interact with the ABL, and thus the LLJ characteristics may help to explain the different stability regimes. Here we look at the vertical distance between the LLJ core height and ABL height, and LLJ speed. The annual range of values of each of these LLJ variables for each stability regime is shown in Fig. 6b-c (refer to Supplementary Fig. S3 for corresponding significance testing). We don't include a subplot for LLJ core height because there is no significant difference in LLJ core height depending on stability regime, but note that the annual mean was 401 m.

SS, MS, and the VSM regimes largely had LLJs that were situated above the ABL (Fig. 6b) with a mean distance of 290 to 329 m. The WS and NN regimes, which also had faster LLJs (Fig. 6c), largely had LLJs that were situated much closer to the ABL (mean distance of 73 to 214 m), and in the case of WS, had a median value of the LLJ core height being within the ABL. LLJ speed and stability regime have a notable relationship, supported by Fig. S2 which shows a large number of regime pairs that are significantly different from each other. The annual mean LLJ speed was 11.5 m s^{-1}, and there is a step change increase in LLJ speed from SS, MS, and the VSM regimes (speeds largely below average) to the WS and NN regimes (speeds largely above average). Figure S2 shows that there is a significant difference in LLJ speed when comparing the stronger stability regimes to the weaker stability regimes, but not much significant difference between regimes with similar stability. Within each near-surface regime that has various aloft categories (VSM, WS, and NN), LLJ speed was slower for weaker stability aloft.

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The annual mean and median of ABL height observed (Fig. 7a) were 150 m and 118 m respectively, which agrees with Esau and Sorokina (2010). There is an increase in ABL height as stability decreases. A marked increase in ABL height separates the shallower SS, MS, and the VSM regimes with the deeper WS and NN regimes. This noticeable ... [10]

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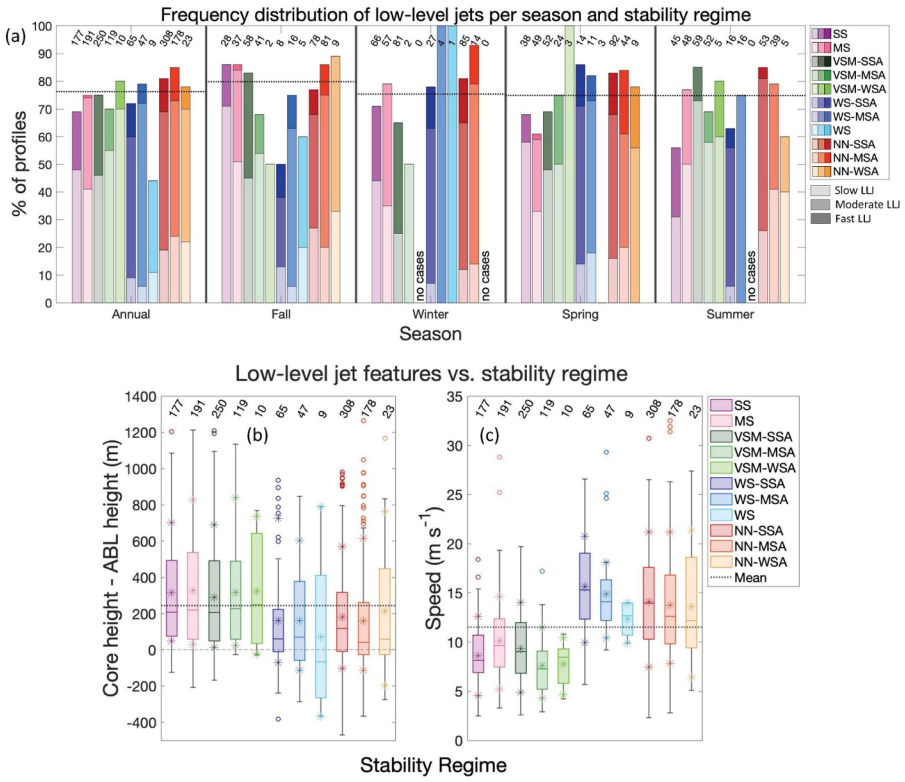
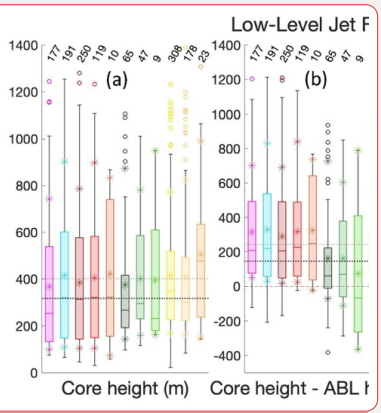


Figure 6. Top: (a) Annual and seasonal frequency distribution showing the percent of radiosonde profiles in each stability regime with an LLJ present, separated into slow (LLJ speed ≤ 10 m s^{-1}), moderate (10 m s^{-1} < LLJ speed ≤ 20 m s^{-1}), and fast (LLJ speed > 20 m s^{-1}) LLJs. The numbers along the top of the plot indicate the total number of radiosonde profiles of that stability regime and season. The horizontal dotted black lines in each section indicate the overall frequency of LLJs. Bottom: As in Fig. 5b-d, but for (b) LLJ core height minus ABL height and (c) LLJ speed.

3.2.4 Clouds

Properties of clouds and moisture can impact stability due to their radiative effect and ability to decouple below-cloud layers from the atmosphere above. Thus, cloud frequency differs by stability regime, as seen in Fig. 7a, which shows the frequency distribution of cloud presence within 30 minutes before each radiosonde launch. The figure distinguishes stability regime and season, low clouds (CBH ≤ 2 km), mid-level clouds (2 km < CBH ≤ 6 km), and high clouds (CBH > 6 km). Clouds were observed to be present for 64% of radiosonde launches, the majority of which were low clouds (78% of clouds observed were low clouds), with the highest seasonal frequency of clouds during fall (78%) and the lowest seasonal frequency of clouds during spring (52%).

Deleted: The annual frequency, height, and speed of LLJs found in this study exceed those found in Lopez-Garcia et al. (2022). This is likely because faster LLJs, which typically occur at a slightly higher altitude, may not have a jet core speed that is at least 25% faster than the wind speed ... [19]



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Annually, SS had the lowest frequency of cases in which there were clouds within 30 minutes of radiosonde launch, followed by MS, and the WS regimes. The NN regimes had the highest frequency of cases with clouds, followed by the VSM regimes, with NN-WSA (the least stable of all regimes) having the highest percent of cases with clouds present. Low clouds dominate the cloud type for all regimes, but for some regimes, mid-level and high clouds were present in some cases. SS had the most instances of high clouds, with the frequency of mid-level and high clouds generally decreasing with decreased stability. When there were clouds, VSM-WSA and NN-WSA only occurred when low clouds were present. In fall, there was a higher frequency of clouds for all regimes except SS, versus annually. In winter, VSM-MSA, WS-MSA and WS only occurred in the presence of clouds. In spring, the patterns are similar to the annual patterns, but there was a lower frequency of clouds for all regimes except VSM-WSA and NN-WSA. In summer, the primary difference is that there was a higher frequency of clouds for SS and MS regimes, and a lower frequency for the NN regimes.

The base height of the lowest cloud layer and LWP can give further insight into the influence of atmospheric moisture on stability. The annual range of values of each of these moisture variables for each stability regime is shown in Fig. 7b-c (refer to Supplementary Fig. S4 for corresponding significance testing). CBH was similar for all stability regimes, except SS, MS, and WS, which all had higher cloud bases, though only SS and MS had significantly different CBH when compared to most other regimes (Fig. S4). LWP (Fig. 7c) was lowest for SS and MS, increasing with decreasing near-surface stability. LWP also increases with decreasing stability aloft for the near-surface regimes of VSM, WS, and NN.

Deleted: This suggests that the VSM and NN regimes largely occur in the presence of clouds, so are driven by the radiative signature of clouds, whereas SS, MS, and the WS can be forced more heavily by other mechanisms.

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The annual mean and median of CBH of the lowest cloud layer were 1278 and 575 m, respectively (Fig. 10b), which agrees with the findings of Asutosh et al. (2021).

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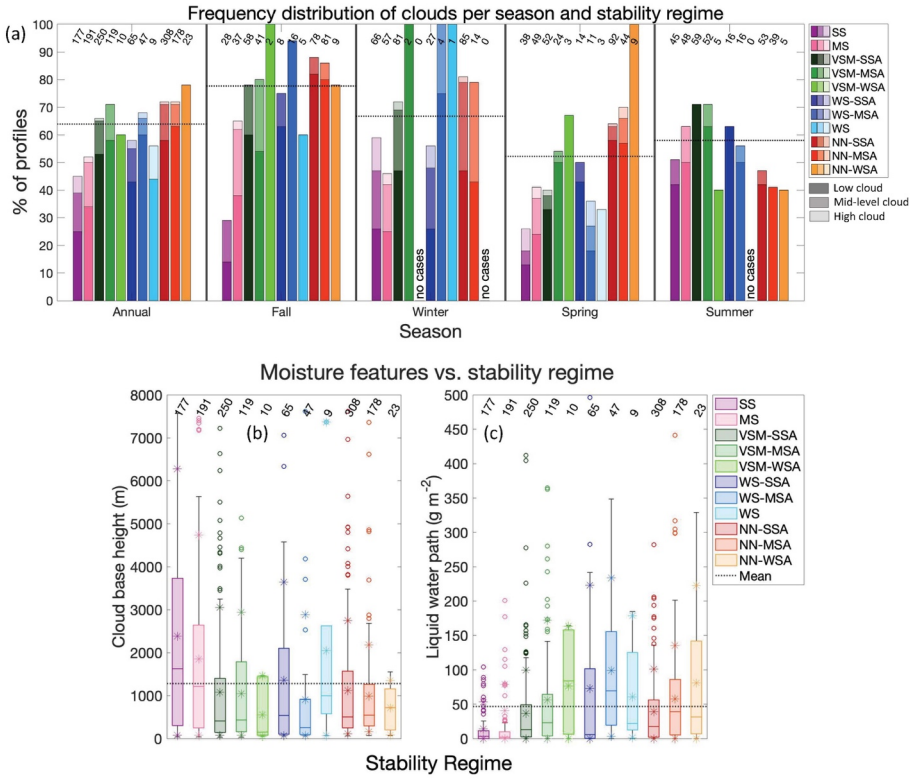
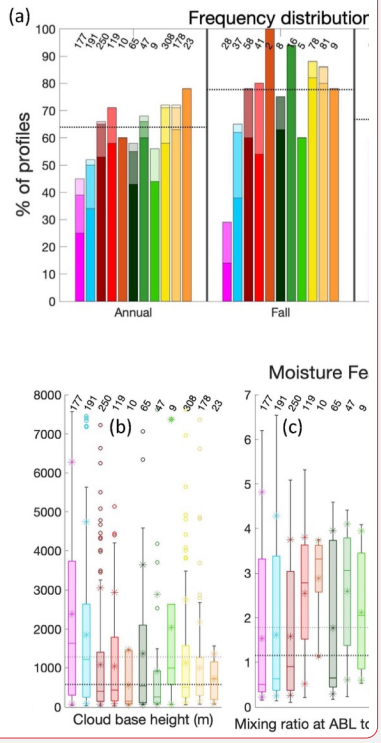


Figure 7. Top: (a) As in Fig. 6a, but for clouds present within 30 minutes before radiosonde launch, separated into low (CBH ≤ 2 km), mid-level (2 km < CBH ≤ 6 km), and high (CBH > 6 km) clouds . Bottom: As in Fig. 5b-d, but for (b) cloud base height and (c) liquid water path.

4 Discussion and conclusions

The work presented in this paper provides an overview of the vertical structure of the ABL and statistics about key thermodynamic and kinematic features of the central Arctic lower atmosphere in the context of stability regime, using data from the MOSAiC expedition. The SOM patterns (Fig. 2), frequency distribution of stability (Fig. 5a), and ABL height variability (Fig. 5b) highlight that near-surface stability during MOSAiC spanned from strongly stable with a shallow ABL to near-neutral with a deep ABL, with stable and near-neutral conditions occurring with similar frequencies. Stability aloft ranged from strongly to weakly stable. These findings are consistent with Persson et al. (2002), Tjernström and Graversen (2009), and Brooks et al. (2017). The SOM reveals that within each stability regime category defined in the current paper (Table 2) the height and strength of the θ_v inversion can still vary greatly, and as such, the SOM reveals more nuances about the range of lower atmospheric vertical structure than might be evident by



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Deleted: Figure 10. Top: (a) Annual and seasonal frequency distribution showing the percent of radiosonde profiles in each stability regime with clouds present within 30 minutes before radiosonde launch, separated into low (CBH ≤ 2 km), mid-level (2 km < CBH ≤ 6.1 km), and high (CBH > 6.1 km) clouds. For the seasonal sections, the percent shown is with respect to the total number of radiosonde profiles in that season. The numbers along the top of the plot, above each bar, indicate the total number of radiosonde profiles of that stability regime and season. The horizontal dotted black lines in each section indicate the overall frequency of clouds when considering all radiosonde observations annually, and per season. Bottom: Box and whisker plots showing the (b) first CBH, (c) mixing ratio at ABL height, (d) LWP, and (e) the PWV within 30 minutes before radiosonde launch, for each stability regime over the entire year. The center line o ... [28]

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a more simple stability regime classification. One explanation for differing depths of a well-mixed layer is whether the ABL is coupled to a stratocumulus cloud layer: a coupled cloud supports a deeper ABL that is well-mixed up to cloud base whereas a decoupled cloud is separated from a shallower ABL by a θ_v inversion below cloud base (Brooks et al., 2017). The variability of θ_v inversion height and strength for cases in the WS-MSA and NN-SSA regimes is lesser than within the other regimes, as WS-MSA and NN-SSA are each represented by only one SOM pattern, whereas the other regimes are representing by multiple SOM patterns.

The most frequent stability regimes were those with strong or moderate stability either near the surface (SS and MS) or aloft (VSM-SSA, VSM-MSA, NN-SSA, and NN-MSA). Thus, we conclude that the central Arctic atmosphere over sea ice is inclined to include a stable layer somewhere below 1 km AGL; sometimes this stable layer is within the ABL and sometimes it caps a well-mixed ABL, with the latter scenario occurring with higher frequency, consistent with Tjernström and Graversen (2009). Weak stability near the surface is the rarest condition (demonstrated by few WS SOM pattern and low frequencies of the WS regimes), and thus may represent a transition state between the stronger (SS, MS, and VSM) and weaker (NN) stability regimes.

Seasonal differences in SOM pattern (Fig. 3) and stability regime frequency distribution (Fig. 5a) highlight the varying environment in the central Arctic throughout the annual cycle. In fall, thinner sea ice results in more upward heat transfer from the ocean to the atmosphere and a higher frequency of low-level liquid-bearing clouds (Intrieri et al., 2002b). This weakens ABL stability, explaining why a higher frequency of NN and lower frequency of SS and MS cases were observed in fall. In winter, the lack of solar radiation and long periods of clear skies allow for persistent longwave cooling of the surface, explaining the higher frequency of SS, MS, and VSM cases observed then. In summer, warm moist air advection can contribute to either a stable or well-mixed ABL depending on location and timing within the advection event, which may explain why summer had similar frequencies of stronger stability (SS, MS, and VSM) and weaker stability (NN) cases. In spring, conditions characteristic of either winter or summer may occur, which is consistent with the spring stability regime distribution being most similar to the annual distribution, and no SOM patterns being particularly dominant in spring.

Average wind speed and LLJ characteristics for each SOM pattern (Fig. 4), wind shear and u_* within the ABL (Fig. c-d), LLJ frequency distribution (Fig. 6a), and LLJ height and speed (Fig. 6b-c) highlight the prevalence of LLJs in the Arctic, and reveal important relationships between mechanical mixing and atmospheric stability. Slower wind speeds (even in the case of an LLJ) and lower u_* values correspond to stronger near-surface stability, while faster overall wind speeds (and thus faster LLJ speeds) and greater u_* values correspond to a weakly stable or near-neutral ABL. The magnitudes of these kinematic features are notably distinct between SS, MS, and the VSM regimes and the WS and NN regimes, highlighting the importance of mechanically generated turbulence at differentiating the two groupings. This agrees with previous findings that faster wind speeds work to weaken stability in the ABL through mechanical generation of turbulence (Banta, 2008). Despite slower wind speeds and lesser u_* for stronger near-surface stability, wind shear (dV/dz) over the depth of the ABL increases with increasing stability, revealing that in strong

stability cases, static stability suppresses mechanically generated turbulence, promoting continued ABL stability despite high amounts of wind shear.

While LLJ speed and u_* increase with decreasing near-surface stability, the opposite relationship is seen for stability aloft: LLJ speed and u_* values are greatest when stability aloft is greatest. These results suggest that when the atmosphere is inclined to be strongly stable (e.g., in the absence of clouds during winter), more mechanically generated turbulence is required to fully mix out the near-surface layer than if the atmosphere is inclined to be weakly stable (e.g., in the presence of clouds).

Results regarding LLJ height, specifically its relationship to ABL height, support the notion that both baroclinicity and inertial oscillations contribute to LLJ formation in the Arctic. For the SS, MS, and the VSM regimes (represented by patterns on the right half of the SOM), the LLJ core was situated a greater distance above the ABL than for the WS and NN regimes (represented by patterns on the left half of the SOM). This greater distance suggests decoupling between the relatively stable ABL and the LLJ, which is consistent with inertial oscillations as an LLJ formation mechanism. The smaller distance between the ABL and LLJ core for the weaker stability regimes suggests greater coupling between the well-mixed ABL and the LLJ, so inertial oscillations are unlikely to be the formation mechanism, and rather baroclinicity is the more probable cause. The results show that such LLJs have faster speeds, in agreement with Jakobson et al. (2013). The similarity in LLJ core height despite varying stability occurs because of these two different formation mechanisms. Thus, an LLJ can be both a cause and an effect of stability. For a well-mixed or weakly stable ABL, LLJs contribute to the creation of the mechanical turbulence that mixes the ABL. For more strongly stable ABLs, an LLJ can be an effect of the strong stability if the above atmosphere becomes decoupled from the surface.

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The frequency of LLJs found in the current study is consistent with results of Tian et al. (2020) and ReVelle and Nilsson (2008). The average LLJ speed and LLJ frequency in this study exceed that found in Jakobson et al. (2013), likely due to the difference in sampling period between the two studies. The average LLJ frequency, height, and speed also exceed those found in Lopez-Garcia et al. (2022). This is likely because very fast LLJs may not have a jet core speed that is at least 25% faster than the wind speed minimum above the LLJ core, and such cases were not considered in Lopez-Garcia et al. (2022). However, such LLJs can still be important because even if the wind speeds are fast throughout the entire profile up to 1.5 km (for example, during a storm), the slightly greater speed of the LLJ beyond that of the ubiquitously high winds throughout the column supports the production of increased turbulence in the ABL compared to without an LLJ. Lastly, the LLJ frequency found in the current study exceeds that presented in Tuononen et al. (2015), as the much lower vertical resolution of the ASR-Interim data used in Tuononen et al. (2015) would miss shallow LLJ cases.

Frequency distribution of cloud presence (Fig. 7a), CBH (Fig. 7b), and LWP (Fig. 7c) highlight the occurrence of clouds in the central Arctic, and the relationships between cloud height, atmospheric moisture, and stability. The annual occurrence of clouds during MOSAiC was less than the annual average occurrence presented in Intrieri et al.,

(2002b). However, results of the current study agree with previous findings that clouds observed in the Arctic are typically low-level clouds. Low clouds, correlated with greater LWP, were observed with greater frequency for cases with weaker stability both within the ABL and aloft, highlighting the ability of low clouds and enhanced moisture content to support turbulent mixing both near the surface through enhanced downwelling longwave radiation, and below cloud base though cloud top radiative cooling. In such cases, a well-mixed ABL can be coupled to the cloud layer and extend through the depth of the cloud to cloud top, though a shallow stable layer may decouple a well-mixed ABL from a low cloud. Conversely, mid-level and high clouds were observed with greater frequency for cases with stronger stability, highlighting that in such cases, the cloud is likely to be decoupled from the surface, allowing the strong stability to persist.

In winter, VSM-MSA, WS-MSA and WS only occurred in the presence of clouds. The latter two also had LLJs 100% of the time, which suggests that these regimes only occurred in high wind, cloudy situations, indicative of a storm during winter. However, this may be coincidental, as the sample size of these regimes is small. In summer, cloudy conditions occurred more frequently with stronger stability and less frequently with weaker stability compared to the other seasons. These results support the notion that warm, moist air advection in summer can contribute to strong stability (Tjernström et al., 2019; Tjernström, 2005).

One limitation of this study is that stability regimes are based on radiosonde profiles starting at 35 m, since measurements below this are often unreliable, so differences in stability below this height are neglected (and potentially important). A complementary paper (Jozef et al., 2023b) delves deeper into the impact of atmospheric radiative and mechanical forcings on ABL stability, and how these relationships vary by season, with a focus on the peculiarities of summer processes, through additional analysis of the synoptic setting, surface radiation budget, near-surface mixing ratio, and fog observations. Therefore, such results are not addressed in this work. Future work will be conducted to determine how well the observed results are represented by weather and climate models. Thus, we hope that these findings serve to help inform the improvement of parameterizations of the central Arctic in weather and climate models.

Data availability

The level 2 radiosonde data used in this study are available at the PANGAEA Data Publisher at https://doi.org/10.1594/PANGAEA.928656 (Maturilli et al., 2021). Meteorological tower data are available at the National Science Foundation Arctic Data Center at https://doi.org/10.18739/A2PV6B83F (Cox et al., 2023) as described in Cox et al. (accepted). Ceilometer and microwave radiometer data are available at the Department of Energy Atmospheric Radiation Measurement Data Center at http://dx.doi.org/10.5439/1181954 (ARM user facility, 2019a) and http://dx.doi.org/10.5439/1027369 (ARM user facility, 2019b) respectively, as described in Shupe et al. (2021).

Author contributions

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When grouping the radiosonde profiles by stability regime, NN-SSA was the most frequent regime, and the least common regimes were those with weak stability aloft (VSM-WSA, WS, and NN-WSA; Fig. 6a). The prominence of a near-neutral atmosphere (37% of profiles had near-surface stability of NN) over the sea ice contradicts previous findings which state that the Arctic ABL is almost always stable (Esau and Sorokina, 2010). The high frequency of regimes with either strong or moderate stability near the surface (13% of profiles were SS and 14% were MS), or a well-mixed ABL with strong stability aloft (18% of profiles were VSM-SSA, 5% were WS-SSA, and 22% were NN-SSA), suggests that the central Arctic lower atmosphere is inclined to be strongly stable somewhere in the lowest 1 km, but the height of this strongly stable layer can become elevated, separated from the surface by a well-mixed layer, when turbulence is generated. We also found that the DH2 was largely able to capture the relative frequencies of the various stability regimes, aside from overrepresenting the amount of purely NN cases, and underrepresenting the amount of NN cases with enhanced stability aloft (Fig. 6b).¶
The average ABL height during MOSAiC was 150 m, and ABL height increases with decreasing stability (Fig. 7a). While strong wind shear was often present in the ABL, in many cases, the strong static stability dominated keeping the ABL stable (Fig. 7b-c). When the atmosphere aloft is very stable, there must be higher amounts of turbulence to mix out the near-surface layer, than if there is weaker stability ... [29]

Deleted: The DataHawk2 data are available at the National Science Foundation Arctic Data Center at https://doi.org/10.18739/A2KH0F08V (Jozef et al., 2021) as described in de Boer et al. (2022).

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SD provided the radiosonde data; CC provided the meteorological tower data; GJ, JC, MD and GdB conceptualized the analysis presented in this paper; GJ analyzed the data; GJ wrote the manuscript; JC, MD, GdB, SD and CC reviewed and edited the manuscript.

Competing interests

The authors declare that they have no conflict of interest.

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