

# Past warm Mid-Pliocene not analogous to high CO<sub>2</sub> climate conditions show a shift in when considering Northern Hemisphere winter variability towards a dominant North Pacific Oscillation

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**Abstract.** In this study, we address the question of whether the mid-Pliocene climate can act as an analog-analogue for a future warm climate with elevated CO<sub>2</sub> concentrations, specifically regarding Northern Hemisphere winter variability. We use a set of sensitivity experiments-simulations with the global coupled climate model CESM1.0.5 (CCSM4-Utr), that is a part of part of the PlioMIP2 model ensemble, to separate the response to a CO<sub>2</sub> doubling and to mid-Pliocene boundary conditions 5 other than CO<sub>2</sub>. In the CO<sub>2</sub> doubling experiment-simulation, the Aleutian low deepens, and the Pacific-North American pattern (PNA) strengthens. In response to the mid-Pliocene boundary conditions, sea-level pressure variance decreases over the North Pacific, the PNA becomes weaker, and the North Pacific Oscillation (NPO) becomes the dominant mode of variability. The mid-Pliocene simulation shows a weak North Pacific jet stream that is less variable in intensity, but has a high level of variation in jet latitude, consistent with a dominant NPO, and indicating that North Pacific atmospheric dynamics become more North 10 Atlantic-like. We show-demonstrate that the weakening of the Aleutian low, and subsequent relative dominance of the NPO over the PNA, is related to the mean surface temperature field in the mid-Pliocene shifts in tropical Pacific convection. Variability in the North Atlantic shows little variation between all simulations. The differences between the mid-Pliocene and pre-industrial surface temperature fields are likely caused by differences in orography, which includes the closure of Arctic gateways, rather than a reduced Greenland Ice Sheet. The opposite response in North Pacific winter variability to elevated CO<sub>2</sub> or mid-Pliocene 15 boundary conditions demonstrate that the mid-Pliocene climate cannot serve as a future analog-analogue in this regard.

## 1 Introduction

Our present climate is warming due to humans increasing the concentration of greenhouse gases in the atmosphere, and there is a need to make-making accurate projections of our future climate is an important necessity. Future climate is dependent on the emission pathway we choose, and determined by the response of the climate system itself to increased CO<sub>2</sub>, through feedbacks 20 and natural variations.

A feature where future climate projections fail to give a consistent response to increasing CO<sub>2</sub> levels is atmospheric variability in the Northern Hemisphere winter, specifically the Northern Annular Mode (NAM) and its regional expression, the North Atlantic Oscillation (NAO). These modes represent an oscillation of mass between the subpolar latitudes and subtropics in the Northern Hemisphere, and are the leading modes of sea-level pressure (SLP) variability in the northern extratropics (Ambrum et al., 2001; Hurrell and Deser, 2010). The NAO plays a significant role in North Atlantic and European climate, for example in extratropical storm tracks, and affects projections of temperature and precipitation on an interannual to decadal time scale (Deser et al., 2017; Hines and Hegerl, 2017). Uncertainties in climate projections over the Euro-Atlantic sector are largely related to atmospheric circulation variability (Woollings, 2010; Shepherd, 2014; Fereday et al., 2018), in particular because there is no consensus on how the NAM and NAO respond to increasing CO<sub>2</sub> concentrations (Eyring et al., 2021). This is in part due to the large amplitude of internal variability related to the NAO compared to the amplitude of global warming (Osborn, 2004), as well as a considerable model spread in climate change simulations (Eyring et al., 2021). Though climate models are considered skilful in simulating the spatial features and variance of the present-day and historical NAO (Eyring et al., 2021), CMIP5 and CMIP6 models underestimate NAO variability and North Atlantic jet stream variations on a multi-decadal to centennial time scale (Blackport and Fyfe, 2022).

The two leading modes of atmospheric winter variability over the North Pacific are the Pacific North-American (PNA) pattern and the North Pacific Oscillation (NPO) or West Pacific teleconnection (Barnston and Livezey, 1987; Linkin and Nigam, 2008). The PNA has a large influence on present-day North Pacific and North American winter climate. Linkin and Nigam (2008) state that the NPO shows a strong connection to Arctic sea ice variability, and Hurwitz et al. (2012) show a strengthened NPO in sensitivity experiments with increased North Pacific sea-surface temperatures (SSTs). Both studies indicate that the NPO might play a larger role in a future warm climate. However, Chen et al. (2018) investigate a large ensemble of CMIP5 simulations and find a stronger PNA under high CO<sub>2</sub> forcing, but no consensus on the NPO. They find that CMIP5 models reasonably simulate spatial patterns and interannual variations of the present-day and historical PNA and NPO, but lack the capability to reproduce variations on a decadal timescale.

An issue with investigating the response of climate variability to increasing CO<sub>2</sub> in the near-future is that the present-day climate system is not in equilibrium with the mean forcing. However, we can use equilibrium climate model simulations to investigate the response of natural climate variations climate response to elevated levels of atmospheric CO<sub>2</sub>. The geological past climate was in equilibrium with forcing on climatological timescales, and saw multiple periods with elevated atmospheric CO<sub>2</sub> and temperatures of which there is a reasonable amount of geological evidence and reconstructions (see also Chen et al., 2021, FAQ1.3). The most recent period with similar CO<sub>2</sub> concentrations as the present-day was the mid-Pliocene warm period, approximately 3 million years (Ma) ago (Tierney et al., 2020). It had a similar geography - compared to earlier geological warm periods - to the present-day. The main differences in the northern higher latitudes are the most notable differences include a reduction of the Greenland and West Antarctic ice sheets as well as a closure of the Bering Strait and Canadian Arctic Archipelago, a reduced Greenland Ice Sheet (GIS), and changes in vegetation. It is considered. It has been called the 'best analogue' for near-future climate, because it represents an equilibrated climate at current levels of atmospheric CO<sub>2</sub>.

(400 ppm) surface temperatures at the end of this century would be most similar to mid-Pliocene temperatures, both following the RCP4.5 and RCP8.5 scenarios, compared to other past climate periods (Burke et al., 2018).

The mid-Pliocene has been investigated using coupled climate models as part of two phases of the Pliocene Model Inter-comparison Project (PlioMIP). The most recent PlioMIP2 employs a time-slice approach in order to compare model simulations and proxy reconstructions in a detailed way. Several model-data comparisons and ensemble investigations have been done in the PlioMIP2, for example concerning general mean climate (Haywood et al., 2020), El Niño-Southern Oscillation (ENSO, Oldeman et al., 2021; Pontes et al., 2022), Atlantic Meridional Overturning Circulation (AMOC, Zhang et al., 2020; Weiffenbach et al., 2023), the global hydrological cycle (Han et al., 2021) and Arctic warming (De Nooijer et al., 2020), the Atlantic Meridional Overturning Circulation (AMOC, Zhang et al., 2020; Weiffenbach et al., 2023) and El Niño-Southern Oscillation (ENSO, Oldeman et al., 2021). Some of these studies also show very different climate responses to the forcing changes in the mid-Pliocene compared to near-future projections, for example a stronger AMOC (Weiffenbach et al., 2023) compared to a weakening of the AMOC in the projected future, as well as strongly reduced ENSO variability (Oldeman et al., 2021), which is not projected for near-future climate.

The question addressed here is: can the equilibrated mid-Pliocene climate be used to assess the response of present-day Northern Hemisphere winter atmospheric variability, such as the NAO, NAM and PNA, to increased response might be more suited to compare with long-term future climate projections than near-future projections which are still in a transient state. For example, the mid-Pliocene saw atmospheric CO<sub>2</sub> ? Earlier work by Hill et al. (2011) shows reduced NAM variability in the Pliocene, which was primarily attributed to the lowering of the Rocky Mountains. Both PlioMIP1 and concentration of 400 ppm, which is similar to the equilibrated levels of the SSP1-2.6 scenario in the year 2300 (Chen et al., 2021). Also, Haywood et al. (2020) find a PlioMIP2 employed a time-slice where the Rocky Mountain uplift had already occurred. De Nooijer et al. (2021) find an Arctic warming of 7.2 mean surface temperature increase of 3.2° C in the PlioMIP2 ensemble, compared to the pre-industrial, and a greater level of Arctic amplification compared to CMIP5 future projections. Menemenlis et al. (2021) investigate extratropical hydroclimate changes in the Arctic, which is similar to the global average warming of the RCP4.5 scenario in the year 2400 (Lyon et al., 2022). On even longer timescales, Feng et al. (2022) argue that the mid-Pliocene using a version of the CCSM4 model, and find large precipitation changes linked to dynamical shifts in atmospheric rivers. A wintertime stationary wave train in the pre-industrial largely disappears in the mid-Pliocene, resulting in fewer atmospheric rivers over the eastern North Pacific region. They use sensitivity studies to show that changes in ice sheets as well as closed gateways are responsible for these differences, and not an increased level of and vegetation are a long-term Earth system feedback to elevated atmospheric CO<sub>2</sub>. Earlier, Otto-Bliesner et al. (2017) had already shown the large sensitivity of the mid-Pliocene climate to closure of both. But some Pliocene boundary conditions will not be shared in a future climate, including the closure of the Bering Strait and the Canadian Arctic Archipelago, compared to the sensitivity to higher CO<sub>2</sub>. So, when considering the mid-Pliocene as a future analogue, we should consider the climate response or sensitivity to each boundary condition. Burton et al. (2023) use a linear fractionation method to show that CO<sub>2</sub> is the most important forcing for global surface temperatures and precipitation in the mid-Pliocene, employing a set of sensitivity studies of a selection of PlioMIP2 model contributions. Regionally, there are

90 large differences though, and However, especially at high latitudes surface temperatures are more forced by changes in respond more strongly to boundary conditions other than CO<sub>2</sub>.

95 A difficulty with assessing the variability of past winter atmospheres in models is the comparison with proxy data. There is a lack of reconstructions that are either seasonal, interannual or a proxy for the atmosphere, and the majority of reconstructions from the This agrees with earlier work by Otto-Bliesner et al. (2017) as well as Chandan and Peltier (2018), that show large sensitivity of the northern high latitude mid-Pliocene represent SSTs. De Nooijer et al. (2020) present a data-model comparison of PlioMIP2 results with annual mean Arctic temperature reconstructions, as well a limited selection of climate to closed Arctic gateways, which induce a larger surface temperature and sea-ice extent reconstructions. Tindall et al. (2022) present an analysis of seasonal temperatures between models and reconstructions, but this is limited to surface temperatures. A Pliocene Arctic sea-ice extent record covering the mid-Pliocene warm period is discussed in Behera et al. (2022), but is based on spring sea-ice cover for one site with geological temporal resolution. A reconstruction of Pliocene westerlies is presented in Abell et al. (2021), but again covers a longer geological timescale and focuses on the intensification of the Northern Hemisphere glaciation (2.73 Ma) response than the reduced Greenland ice sheet, or elevated atmospheric CO<sub>2</sub>. Lastly, Hill et al. (2011) compares the NAM in Pliocene model simulations with fossil tree-ring reconstructions that give a measure of interannual variations, but these cover the early Pliocene (4–5 Ma) and not the mid- or late Pliocene.

100 105 In this paper we investigate Northern Hemisphere Apart from studying mean climate response in a warm past climate, it is useful to study the response of climate variability. Since contemporary climate change is transient, it is hard to assess changes to modes of variability that operate on interannual and decadal timescales. Investigating climate variability in equilibrated simulations can help to separate internal variability change to the forced response from transient trends. Within the PlioMIP2, most attention to climate variability has focused on ENSO (Oldeman et al., 2021; Pontes et al., 2022), which was found to 110 be reduced in amplitude in a large majority of the models, consistent with findings from the earlier PlioMIP1 ensemble (Brierley, 2015). Earlier work by Hill et al. (2011) shows reduced Northern Annular Mode (NAM) variability in the Pliocene, which was primarily attributed to the lowering of the Rocky Mountains. Both PlioMIP1 and PlioMIP2 employed a timeslice where the Rocky Mountain uplift had already occurred.

115 120 A feature where future climate projections fail to give a consistent response to increasing CO<sub>2</sub> levels is atmospheric variability in the Northern Hemisphere winter, specifically the Arctic Oscillation (AO; also referred to as the NAM) and its regional expression, the North Atlantic Oscillation (NAO) (Eyring et al., 2021). These modes represent an oscillation of mass between the subpolar latitudes and subtropics in the Northern Hemisphere, and are the leading modes of sea-level pressure (SLP) variability in the northern extratropics (Amabaum et al., 2001; Hurrell and Deser, 2010). Apart from the NAO, a less pronounced mode of SLP variability operates in the North Atlantic, namely the East Atlantic (EA) pattern, which usually has its center of action over the British isles (Barnston and Livezey, 1987). The NAO plays a significant role in North Atlantic and European climate, for example in extratropical storm tracks, and affects projections of temperature and precipitation on an interannual to decadal time scale (Deser et al., 2017; Iles and Hegerl, 2017). Uncertainties in climate projections over the Euro-Atlantic sector are largely related to atmospheric circulation variability (Woollings, 2010; Shepherd, 2014; Fereday et al., 2018), in particular because there is no consensus on how the AO and NAO respond to increasing CO<sub>2</sub> concentrations (Eyring et al., 2021).

125 . This is in part due to the large amplitude of internal variability related to the NAO compared to the amplitude of global warming (Osborn, 2004), as well as a considerable model spread in climate change simulations (Eyring et al., 2021). Though climate models are considered skilful in simulating the spatial features and variance of the present-day and historical NAO (Eyring et al., 2021), CMIP5 and CMIP6 models underestimate NAO variability and North Atlantic jet stream variations on a multi-decadal to centennial time-scale (Blackport and Fyfe, 2022).

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135 . The PNA essentially captures most of the variability of the Aleutian low pressure system, and has a large influence on present-day North Pacific and North American winter climate. The NPO is strongly linked to latitudinal displacement of the Asian-Pacific jet and Pacific storm track variability, as well as the position and strength of the Aleutian low. Linkin and Nigam (2008) state that the NPO shows a strong connection to Arctic sea ice variability, as well as variations of the North Pacific jet stream

140 latitude. North Pacific atmospheric variability is strongly related to atmospheric Rossby wave activity (?), that can be excited through tropical convection associated with ENSO variability (?). Chen et al. (2018) investigate a large ensemble of CMIP5 simulations and find a stronger PNA under high CO<sub>2</sub> forcing, but no consensus on the NPO. They find that CMIP5 models reasonably simulate spatial patterns and interannual variations of the present-day and historical PNA and NPO, but lack the capability to reproduce variations on a decadal timescale.

This brings us to the research question addressed in this study. Can the mid-Pliocene climate be used to determine the response of Northern Hemisphere (NH) winter atmospheric variability, such as the NAO, NAM and PNA, to increased CO<sub>2</sub>? To answer this question, we will aim to answer two subquestions. 1. Is there a difference in the pre-industrial climate response to elevated CO<sub>2</sub>, and to mid-Pliocene boundary conditions other than CO<sub>2</sub>, including closed Arctic gateways and reduced ice sheets? 2. How do changes in mean winter climate relate to changes in atmospheric variability in the NH?

145 We will investigate NH winter variability in pre-industrial and mid-Pliocene simulations within one global climate model, the Community Earth System Model (CESM) version 1.0.5, that is a part of the PlioMIP2 ensemble. Since the equilibrated mid-Pliocene climate saw increased CO<sub>2</sub> levels as well as different boundary conditions such as topography, land-ice coverage and vegetation, we will use sensitivity simulations to identify the response to both boundary conditions. Starting from a pre-industrial reference simulation with present-day geography and 280 ppm atmospheric CO<sub>2</sub>, we will assess the response to a CO<sub>2</sub> doubling (560 ppm) with present-day boundary conditions, as well as the response to mid-Pliocene boundary conditions at pre-industrial CO<sub>2</sub> level (280 ppm). Both simulations represent approximately 3°C warmer worlds, but due to different forcings (Baatsen et al., 2022).

150 Based on earlier research, we can hypothesize what we expect the answers to our research question will be. Menemenlis et al. (2021) investigate extratropical hydroclimate changes in the mid-Pliocene using a version of CCSM4, and find large precipitation changes linked to dynamical shifts in atmospheric rivers. A wintertime stationary wave train in the pre-industrial largely disappears in the mid-Pliocene, resulting in fewer atmospheric rivers over the eastern North Pacific region. They use sensitivity studies to show that this mean winter climate response is mainly caused by the changes in ice sheets and closed gateways, and not by an increased level of atmospheric CO<sub>2</sub>. However, they do not study variability. Oldeman et al. (2021) show that

160 ENSO variability in the PlioMIP2 ensemble is reduced, with the largest reduction in the model we employ. This is found to be related to a shift in the mean Pacific ITCZ position (Pontes et al., 2022). Since ENSO variability influences the NH atmospheric circulation, including atmospheric modes of variability such as the PNA and the NAO, and oceanic modes such as the PDO (see e.g. ?Yeh et al., 2018; Domeisen et al., 2019), we can expect changes to NH atmospheric variability when we know that ENSO variability is reduced.

165 In the following section, we explain the model and simulations used, outline our analysis methods, and compare our pre-industrial simulations to ~~results from~~ reanalysis data. In section 3, we present results of mean winter climate as well as SLP winter variability for all sensitivity simulations. We also investigate jet stream variations related to SLP variability in response to the mid-Pliocene boundary conditions. ~~The following section presents a discussion and physical interpretation, and demonstrate how the tropical Pacific mean state is linked to changes in winter variability. Section 4 presents a physical interpretation and discussion~~ of the results, as well as our answer to the question of the mid-Pliocene as a future ~~analog~~analogue. A summary and outlook concludes the paper.

## 2 Methods and data

### 2.1 Model and simulations

#### 2.1.1 Model design and configuration

175 The model used in this study is one out of seventeen ~~contributions to the models in~~ model ensemble PlioMIP2. The PlioMIP2 methodology for participating modelling groups is outlined in Haywood et al. (2016). In comparison to PlioMIP1, an enhanced set of boundary conditions is supplied (the PRISM4 reconstruction, see Dowsett et al. (2016)). ~~Next to that, the~~ ~~The~~ experimental setup is such that it represents a specific time-slice in the mid-Pliocene, the KM5c interglacial (3.205 Ma), where the orbital configuration was similar as the present day. The provided mid-Pliocene boundary conditions include mid-Pliocene 180 topography and bathymetry, coastlines, land surface properties (i.e. vegetation, soil type, and ice sheet coverage), as well as atmospheric composition. Some important aspects of the mid-Pliocene model geography compared to pre-industrial reference are the closure of the Bering Strait and the Canadian Arctic Archipelago (or Northwest Passage). This makes the Arctic Ocean isolated from the North Pacific ocean, as well as from the Labrador Sea. The ~~GIS is significantly~~ ~~Greenland Ice Sheet (GIS) is substantially~~ reduced in spatial coverage, covering only part of southeastern Greenland and being reduced in height, affecting 185 topography as well.

~~The~~ CESM is a fully coupled ocean-atmosphere-land-ice general circulation model. For specific use in paleoclimate modelling, version 1.0.5 is a suitable trade-off between model complexity and computational cost. The model version used here employs the atmosphere module CAM4, which is part of the Community Climate System Model version 4 (CCSM4), and can be considered a CMIP5 generation model. Within the PlioMIP2 ensemble our model is ~~, therefore,~~ referred to as CCSM4-Utr. 190 The atmospheric grid has a horizontal resolution of  $\sim 2^\circ$  ( $2.5^\circ \times 1.9^\circ$  or  $144 \times 96$  grid cells) and 26 vertical levels. Details on the model version, simulations, spin-up and general climate features can be found in Baatsen et al. (2022).

The PlioMIP2 model contributions vary in model complexity, resolution, implementation of the provided boundary conditions, as well as in the set of simulations performed. Each model has performed two core experiments, namely a pre-industrial reference simulation at 280 ppm CO<sub>2</sub> and a mid-Pliocene simulation at 400 ppm CO<sub>2</sub>. Following the PlioMIP2 naming convention, these simulations are referred to E<sup>280</sup> and Eoi<sup>400</sup>, respectively (the “o” and “i” referring to the implementation of Pliocene orography and ice sheets, and the number referring to the atmospheric CO<sub>2</sub> concentration). The CCSM4-Utr has performed an additional set of sensitivity ~~experiments~~simulations, employing present-day as well as mid-Pliocene geography, vegetation and ice sheets at different levels of atmospheric CO<sub>2</sub>,~~which makes it specifically suitable for this study~~.

### 2.1.2 Performance within PlioMIP2 ensemble

Within the PlioMIP2, results of the pre-industrial E<sup>280</sup> and mid-Pliocene Eoi<sup>400</sup> simulations have been compared, both to proxy reconstructions, as well as to other ensemble members. Haywood et al. (2020) show that CCSM4-Utr is one of the best performing models in the PlioMIP2 regarding data-model comparison for each site. De Nooijer et al. (2020) study Arctic warming in the PlioMIP2 ensemble, and find that CCSM4-Utr is one of the best performing models considering the data-model comparison of Arctic temperature anomalies. CCSM4-Utr winter sea-ice extent also matches well with reconstructions, although seasonal sea-ice extent reconstructions are very limited. Tindall et al. (2022) show that a significant model-data bias arises in winter months, due to a potential warm bias in the data and a potential cold bias in the models, but this holds for ~~each~~all PlioMIP2 ~~model~~models.

The CCSM4-Utr mid-Pliocene Eoi<sup>400</sup> simulations have been included in several PlioMIP2 ensemble studies. Oldeman et al. (2021) show that the amplitude of mid-Pliocene ENSO variability was reduced compared to the pre-industrial, and that CCSM4-Utr has the most reduced ENSO variability of the ensemble (67% reduction compared to 24% in the ensemble mean). Pontes et al. (2022) also study PlioMIP2 ENSO and find a relation between the reduced ENSO and a northward shift in Pacific ITCZ. They show that CCSM4-Utr exhibits the largest northward shift of the ITCZ from October to February. Zhang et al. (2020) and Weiffenbach et al. (2023) show an increased AMOC strength in the PlioMIP2 ensemble. Weiffenbach et al. (2023) furthermore explain that models with a closed Bering strait and Canadian Arctic Archipelago (including CCSM4-Utr) show reduced freshwater transport from the Arctic Ocean into the North Atlantic, causing an increase in subpolar North Atlantic salinity, which drives the stronger AMOC. In this case, CCSM4-Utr simulates a stronger AMOC in the mid-Pliocene with values close to the ensemble mean (22 Sv over 19 Sv in the pre-industrial).

### 2.1.3 Simulation specifics

The PlioMIP2 naming convention is used for our set of sensitivity simulations. The reference simulation is the pre-industrial E<sup>280</sup> with present-day boundary conditions (BCs; orography, ice sheets and vegetation) and 280 ppm atmospheric CO<sub>2</sub>. We perform a CO<sub>2</sub> doubling simulation at present-day geography (E<sup>560</sup>) to investigate the effect of radiative forcing alone. We also perform a mid-Pliocene BCs ~~experiment~~simulation at pre-industrial carbon levels (Eoi<sup>280</sup>), to investigate the response to the mid-Pliocene orography, ice sheets and vegetation, but not to CO<sub>2</sub>. Additionally, we perform a mid-Pliocene BCs simulation at

560 ppm CO<sub>2</sub> (EoI<sup>560</sup>) in order to investigate nonlinearity in the responses to the forcings. All simulations have spin-up times

225 of around 3,000 model years, and analysis of the results of the EoI<sup>400</sup> simulation is found in Baatsen et al. (2022).

For the sake of consistency, we use pre-industrial and CO<sub>2</sub> doubling simulations that employ a ‘paleoclimate’ vertical ocean diffusivity, that we also use in the mid-Pliocene simulations. The difference between this adapted E<sup>280</sup> and the E<sup>280</sup> that is used in most PlioMIP2 studies, as well as the motivation for using a different parametrisation, is discussed in Baatsen et al. (2022). The effect of this parametrisation difference was found to be very minimal on ocean surface temperatures and negligible for 230 atmospheric variables. The CO<sub>2</sub> doubling ~~experiment~~ ~~simulation~~ was also rerun with this paleo mixing parametrisation. The E<sup>280</sup> and E<sup>560</sup> simulations are spun-up from equilibrated simulations and have spin-up times of 4,000 and 2,500 model years, respectively (details on the spin up can be found in Supplementary Material Figure S1).

## 2.2 Data analysis and methodology

In order to investigate ~~Northern Hemisphere~~ ~~NH~~ winter climate and variability, we study 200 years per simulation, and we use 235 monthly mean Januaries only. Results for December-January-February (DJF) or climatological winter were found to give very similar results for the sake of this study. ~~The vertical levels of the model are on a hybrid sigma scheme, so results in the vertical have been interpolated onto pressure levels.~~

We investigate the mean state, ~~so i.e.~~ the mean of all 200 Januaries, as well as variability on top of this mean state. We consider SLP, near-surface air temperature (SAT) and ~~sea-ice extent (SIE)~~ ~~precipitation~~, as well as zonal winds and potential 240 vorticity (PV) in the higher troposphere. To identify the subtropical jet stream we investigate zonal wind ~~on the at~~ 200 hPa ~~isobar~~ (U200). We also compute the location of the dynamical tropopause, here defined as the isoline of 2 PVU (Potential Vorticity Units) at 200 hPa. We also compute the dynamical tropopause defined as the largest zonal ~~isobaric~~ PV gradient per longitude, but it gave very similar results as using the 2PVU isoline. The dynamical tropopause at 200 hPa generally follows the subtropical jet stream core (Kunz et al., 2011). ~~The vertical levels of the model are on a hybrid sigma scheme, so results in the vertical have been interpolated onto pressure levels.~~

To study winter climate variability we focus on the temporal behaviour of SLP. Before analysis, a Lowess smoothing ~~is applied to each spatial grid point~~ using a 50 year moving window ~~. We also compare with reanalysis data, where the same filtering is applied to remove any trend due to anthropogenic climate change~~ ~~is removed from anomalies at each spatial grid point (alpha of 0.25)~~. A window size of 50 years was chosen since we are mainly interested in interannual and decadal winter 250 variability. Furthermore, CMIP5 models are known to underestimate variations of multidecadal periods of the winter NAO and jet stream (Blackport and Fyfe, 2022). We use Empirical Orthogonal Function (EOF) analysis to separate the SLP variance in orthogonal modes of spatial and temporal variability. Spatial EOF patterns as well as their corresponding Principal Component (PC) time series are obtained from the Lowess filtered SLP ~~data anomalies and~~ using a spatial weighting that corresponds to the cosine of the latitude. The EOF patterns are normalised using their spatial standard deviation.

255 We perform EOF analysis over the ~~Northern Hemisphere (NHem)~~ ~~NH~~, as well as over the North Atlantic (~~NAt~~ ~~NA~~, 90°W - 30°E) and North Pacific (~~NPae~~ ~~NP~~, 120°E - 120°W) basins. The regions were chosen such that they include the centers of action of known present-day modes of climate variability, ~~specifically the NAM such as the AO~~, NAO and PNA, but also to be equal in

longitudinal width. All EOF regions, including the NHemNH, are bound in latitude between 20°N and 85°N. In the NAtl and NPaeNH, we only consider the first EOF, which we call the AO (we prefer AO over NAM since the AO is often defined as SLP 260 EOF, whereas the NAM is often defined as index of SLP anomalies). In the NA and NP regions, we consider the first and second EOF, ~~that we sort based on their level of zonality. The NAO is essentially the zonal mode in the NAtl (NAtl-z), while the PNA would be the North Pacific azonal mode (NPae-a). We chose this classification because modes such as the NAO are well defined in the~~. Based on whether the spatial pattern represents a dipole or a monopole, we categorize the EOFs according their known nomenclature in the present-day ~~climate, but~~ 265 Based on whether the spatial pattern represents a dipole or a monopole, we categorize the EOFs according their known nomenclature in the present-day ~~climate, but~~; the NAO (NA dipole) and EA (NA monopole), and the NPO (NP dipole) and PNA (NP monopole). The dipole/monopole characterization is done by considering the shape and amplitudes of the peaks of the zonally averaged EOF patterns. While these modes may have a different pattern or prominence in a past climate with different boundary conditions, compared to the present day, we decided to use known nomenclature, both to avoid confusion and to follow past studies of paleoclimate variability (e.g. the NAO in the last glacial maximum; Rivière et al., 2010). The EOFs and PCs of the NHem, NAtl-z and NPae-z AO, NAO and NPO modes are defined such that the mean EOF amplitude in the 270 region north of 75°N is positive, while the NAtl-a and NPae-a EA and PNA modes are defined such that the mean EOF amplitude in the region 50-60°N is negative. We also consider the percentage of variance explained by each EOF. We perform a variance bootstrapping method to quantify whether the variance explained per mode between two simulations has a statistically significant difference, which is defined as no overlap between the top 95% and bottom 5% (or vice versa) variance explained percentages obtained through the bootstrapping method.

275 We investigate in what way the different modes of winter variability in the whole Northern HemisphereNH and over the North Pacific and North Atlantic NP and NA basins are connected. We quantify the level of correlation by means of the Pearson correlation coefficient between the PCs of the corresponding modes. The correlation coefficient between the zonal and azonal first and second mode in either the North Pacific or North Atlantic NP or NA will always be zero, since these EOFs are orthogonal by definition. We consider the correlation to be statistically significant when  $p < 0.05$ .

280 We also perform an analysis of jet variations in the North PacificNP. For variations in the central North PacificNP jet stream, we limit ourselves to the 200 hPa level and the zonal mean between 160°E and 220°E (or 140°W). We define jet intensity as the maximum of the zonal wind velocity in this sector, and jet latitude as the latitude corresponding to this maximum. LastlyFurthermore, we investigate the jet stream in the phases of NPae-zNPO mode, where we define the PLUSNPO+ phase as the average of the tophighest 5% (or ten Januaries) NPae-zNPO PC values, and the MINNPO- phase as the average of the 285 bottomlowest 5% NPae-zNPO PC values.

290 Lastly, we investigate the relationship between ENSO variability, tropical convection and the variability of SLP and the jet stream in the NP. We define ENSO variability through the Nino3.4 index, which is a measure of sea-surface temperatures (SST) anomalies in the Nino3.4 region in the equatorial Pacific (170°W - 120°W, 5°S - 5°N). We characterize tropical convection using area-mean precipitation in the West equatorial Pacific (WEP, defined as 120°E - 180°E, 6°S - 6°N). We investigate the links between variables using correlations coefficients and regression (linear slope) between variables in time.

## 2.3 Validation of E<sup>280</sup> with reanalysis data

We compare the pre-industrial E<sup>280</sup> simulation to 19th and 20th century reanalysis data. It is not ~~be~~ a one-on-one comparison, since our pre-industrial simulation is an equilibrium scenario, while any kind of global reanalysis dataset will be influenced by human-induced climate change trends, and will thus be transient. We use ~~assimilated monthly mean sea-level pressure date~~ 295 ~~data~~ from the NOAA/CIRES/DOE 20th Century Reanalysis version 3 (from here on abbreviated as CR20). The ~~data runs~~ ~~reanalysis covers the period~~ from 1836 to 2015 and ~~is assimilated using assimilates~~ surface pressure observations ~~on a 1.0°~~ ~~latitude x 1.0° longitude grid in combination with a forecasting model to estimate a set of atmospheric variables~~. An evaluation of the performance of the CR20v3 can be found in Slivinski et al. (2021). We ~~interpolate~~ ~~The length of the CR20 data dataset~~ and the fact that it covers a period closer to what can be considered pre-industrial motivated us to use this reanalysis data over 300 more recent reanalyses such as ERA5. The CR20 data is presented on a 1.0° latitude x 1.0° longitude grid, and we ~~interpolate~~ ~~the data~~ onto the model grid when computing differences between the E<sup>280</sup> and CR20. ~~The same Lowess filtering (as with the simulation data) is applied to remove any trend due to anthropogenic climate change.~~

A comparison of mean SLP and the related patterns of variability between our pre-industrial simulation and reanalysis is shown in Figure 1. Mean SLP (MSLP) is shown in Figure 1a. The ~~mean MSLP difference is very small (-0.1 hPa)~~ ~~root mean squared error (RMSE) of MSLP is 5.3 hPa~~. Differences with the CR20 include an overestimation of the subtropical high pressure regions, specifically over the North Atlantic and North-African ~~region~~regions, Central Asia and to a smaller extent over North America (up to +12.8 hPa). A lower MSLP is simulated in the subpolar low pressure region in the North Atlantic (up to -12.4 hPa), and the pattern extends more eastward. The North Pacific subpolar low pressure area, the Aleutian low, is well captured in spatial extent and amplitude. Figure 1b shows SLP standard deviation (SD). SLP SD is generally overestimated 310 in the E<sup>280</sup> (~~mean SLP SD difference is +1.6 hPa, RMSE of 2.3 hPa~~), especially over the North Pacific and Siberian Arctic (up to +5.5 hPa). SLP SD in the North Atlantic storm track region as well as to the east of the tip of Greenland is slightly underestimated. A higher (lower) modelled SLP variance in the North Pacific (North Atlantic) in comparison to observations is a known bias for most CMIP5 and CMIP6 models (Eyring et al., 2021).

Figures 1c-g show the spatial EOF patterns of SLP in the ~~Northern Hemisphere (NHem), North Pacific (NPac) and North Atlantic (NAtl)~~NH, NA and NP, including the percentage of variance explained for each mode. The ~~NHem mode~~NH mode, the ~~AO~~, in Figure 1c ~~represents the NAM or AO and~~ explains more variance in the E<sup>280</sup> than in the reanalysis (28.7% over 22.2%), ~~which is a statistically significant difference~~. The amplitudes of the North Atlantic centers of action are underestimated in the E<sup>280</sup>, which can be expected since the E<sup>280</sup> simulated less SLP variance in that region.

The leading mode in the ~~NPac is the azonal mode (NPac-a, NP is the PNA)~~ (Figure 1f), explaining around 42% of SLP 320 variance in both E<sup>280</sup> and CR20. ~~It represents a mode known as the Pacific-North American pattern (PNA), that essentially captures most of the variability of the Aleutian low pressure system (Barnston and Livezey, 1987).~~ The second leading mode in the ~~NPac is the zonal mode (NPac-z, NP is the NPO)~~ (Figure 1d), explaining 25% (22%) of SLP variance in the E<sup>280</sup> (CR20). ~~It is known as the North Pacific Oscillation (NPO) and is strongly linked to meridional modulation of the Asian-Pacific jet and Pacific storm track variability, as well as the position and strength of the Aleutian low (Linkin and Nigam, 2008).~~ The E<sup>280</sup>

325 simulates the spatial pattern well both modes well in terms of spatial pattern, although the amplitude of the centers of action are a bit less pronounced and the variance more spread out over the region. The percentages of variance explained are not statistically different.

The leading mode in the ~~NAtl is the zonal mode (NAtl-z, NA is the NAO~~ (Figure 1e), explaining 32% (33%) of SLP variance in the  $E^{280}$  (CR20), ~~representing the NAO~~. The amplitude of the southern node is slightly underestimated, while the northern center of action is shifted towards the east. Again, this can be expected from the differences in total SLP variance as shown in Figure 1b. The second leading mode in the ~~NAtl is the azonal mode (NAtl-a, NA is the EA~~ (Figure 1g), explaining 20% (21%) of SLP variance in  $E^{280}$  (CR20). ~~It is a mode known as the East Atlantic pattern (EA), that usually has its center of action over the British isles (Barnston and Livezey, 1987)~~. The weak but distinct eastern node with opposite sign in the CR20 disappears in the  $E^{280}$ , likely because the variance shifts more eastward in  $E^{280}$ . Furthermore, where the CR20 shows that 335 the sign of variability over Greenland is the same sign as in the center of the monopole, the  $E^{280}$  shows a clear opposite sign over Greenland. Again, also for this mode the total SLP variance in this region is underestimated. ~~The percentages of variance explained are not statistically different.~~

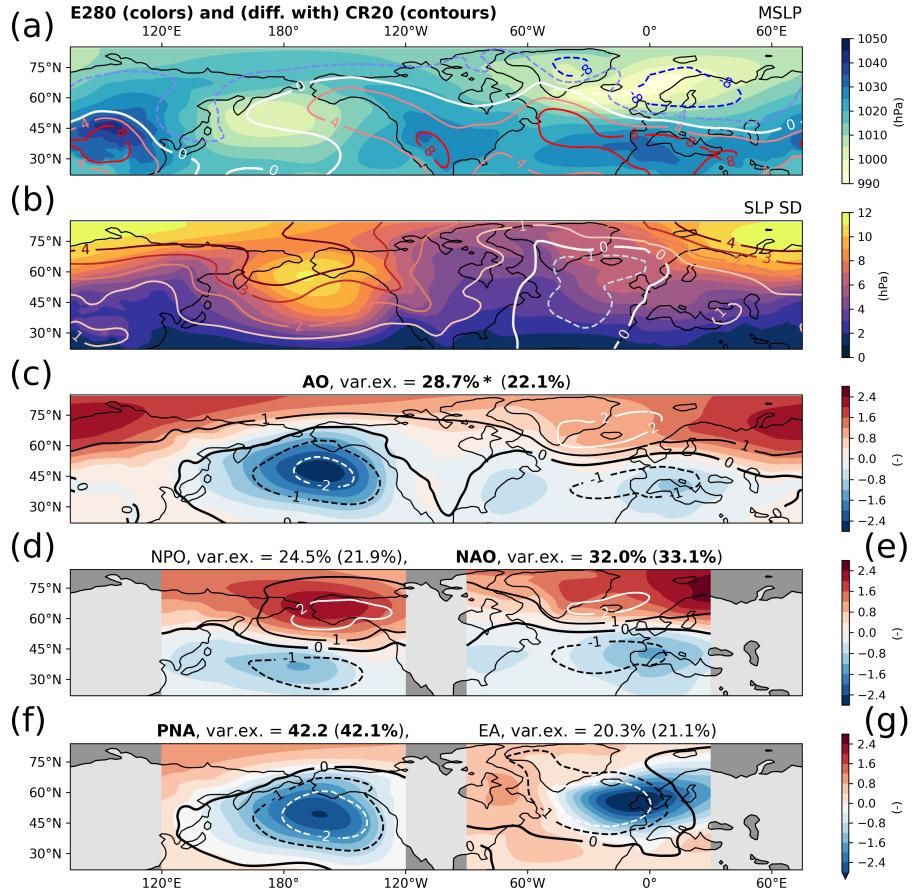
In summary, we can say that the  $E^{280}$  pre-industrial simulation reproduces the ~~MSLP and SLP January mean SLP as well as SLP~~ variability from the CR20 reanalysis quite well. The modes in the North Pacific are captured well in spatial extent, 340 amplitude as well as percentage of variance explained. The modes in the North Atlantic are well reproduced, albeit less accurate, especially regarding amplitudes. However, this is a likely cause of the fact that total SLP variance in the North Atlantic is reduced, which is a known bias in both CMIP5 and CMIP6 models (Eyring et al., 2021).

### 3 Results

#### 3.1 Mean winter climate

##### 345 3.1.1 Mean sea-level pressure and the subtropical jet stream

Figure ?? shows the January MSLP (colors) as well as mean zonal wind at 200hPa (U200, contours). Figure 2a shows the  $E^{280}$  MSLP results ~~for a slightly larger domain than in~~ (similar to Figure 1a ~~but extended southward to 20°S~~). The January MSLP difference with  $E^{560}$  and  $Eoi^{280}$  is shown in Figure ?? b and c, respectively. While the ~~SLP MSLP~~ response to  $CO_2$  doubling is overall relatively small, the response to mid-Pliocene BCs is ~~significantly substantially~~ larger, especially over the 350 North Pacific. The large increase in MSLP over the ~~North Pacific NP~~ (+16 hPa) results in a reduced ~~SLP MSLP~~ difference between the ~~North Pacific NP~~ subtropical high and subpolar low pressure areas, as well as a slight poleward shift of the latitude with the largest ~~SLP MSLP~~ gradient. ~~MSLP furthermore, furthermore,~~ decreases over the Arctic in the  $Eoi^{280}$  (up to -7 hPa). When comparing with the mid-Pliocene reference simulation  $Eoi^{400}$  (annual mean in Baatsen et al. (2022), January mean in Supplement Figure S2), we see that the MSLP response is largely caused by the mid-Pliocene boundary conditions, and not 355 by the  $CO_2$  increase. Only the MSLP decrease around Greenland and especially over the Baffin Bay and the Labrador Sea is a



**Figure 1.** Quantification of (a) January sea-level pressure mean SLP and (b) SLP standard deviation (SD) variability for pre-industrial reference ( $E^{280}$ ; colors) and difference with CR20 reanalysis ( $E^{280} - CR20$ ; contours). (a-c) Mean sea-level pressure (MSLP), (b) January SLP standard deviation EOFs; (c) Arctic Oscillation (AO) NHem; leading SLP EOF in Northern Hemisphere, (d) NPAc-a; zonal SLP EOF in North Pacific Oscillation (NPO), (e) NAtl-z; zonal SLP EOF in North Atlantic Oscillation (NAO), (f) NPAc-a; azonal SLP EOF in North Pacific-North American (PNA) and (g) NAtl-a; azonal SLP EOF in North East Atlantic. For (a)-(b) patterns, the contours represent the difference between the with  $E^{280}$  in colors and CR20, while (c)-(g) show the CR20 EOFs in contours. Percentage variance explained by each EOF shown for  $E^{280}$ , and for EOF indicated (CR20 in brackets), \* indicates a statistically significant difference between these. In bold the (variance of the) leading mode in North Pacific and Atlantic regions.

clear combination of the response to mid-Pliocene BCs and to elevated  $CO_2$ , as it can be observed both in the  $E^{560}$  and  $Eoi^{280}$  results.

January mean sea-level pressure (SLP) results. (a)  $E^{280}$ , (b)  $E^{560} \text{ min } E^{280}$ , (c)  $Eoi^{280} \text{ min } E^{280}$ .

### 3.1.2 Surface temperatures and sea-ice extent

360 Figure ??a presents January SAT and SIE for the pre-industrial reference  $E^{280}$ . Arctic cold spreads far south over the continental regions, specifically Siberia and northern Canada. SAT distributions over land and the ocean, as well as the SIE, are largely as expected from present-day and historical observations.

365 The SAT difference ( $E^{560} - E^{280}$ ) and SIE for  $E^{560}$  show that most continental areas warm slightly more compared to oceans at similar latitudes (Figure ??b). Furthermore, a clear Arctic amplification signal can be observed. This response is expected with increasing atmospheric CO<sub>2</sub> levels. Temperatures increase more than 15°C over the Iceland, Norwegian and Barents Seas, accompanied with a significant poleward retreat of the SIE. Extensive warming is furthermore found in the Labrador Sea, Bering Strait and Okhotsk Sea, all accompanied by sea-ice retreating to higher latitudes.

370 The SAT response for the  $Eoi^{280}$  is in many ways similar to the SAT response for the CO<sub>2</sub> doubling (Figure ??c). An Arctic amplification response is present even without any increase in CO<sub>2</sub> levels. Again, we see a large warming over the Greenland Sea and Okhotsk Sea, both with poleward retreating SIE. A large SAT increase and SIE retreat is present over the Baffin Bay and Labrador Sea. In the  $Eoi^{280}$  results, we see a warmer region over the western and central North Pacific and a large area of cooling over western North America and the land bridge that is now the Bering Strait. This SAT dipole is consistent with the MSLP increase over the North Pacific and decrease over western North America as seen in Figure ??e.

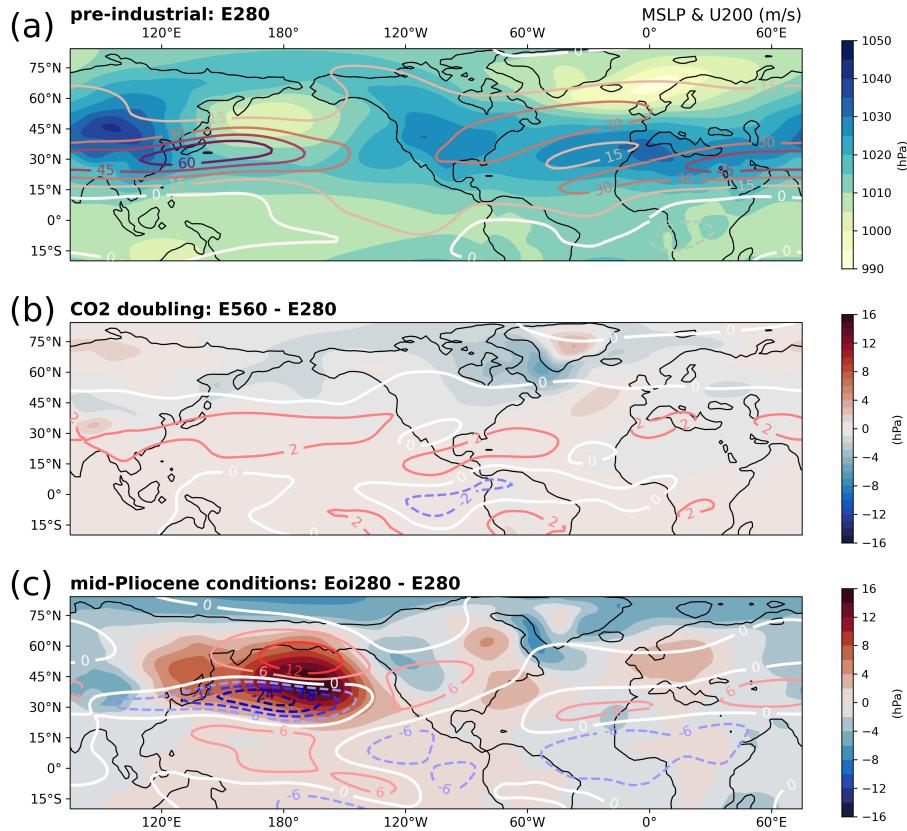
375 January mean surface air temperature (SAT, colors) and 15% sea-ice extent (SIE, contours) results. (a)  $E^{280}$  SAT and SIE (black-blue dashed line), (b)  $E^{560} - E^{280}$  SAT (colors) and  $E^{560}$  SIE (yellow-black dashed line), (c)  $Eoi^{280} - E^{280}$  SAT (colors) and  $Eoi^{280}$  SIE (yellow-black dashed line). In (b) and (c), the black-blue dashed line is the  $E^{280}$  SIE.

### 3.1.2 Subtropical jet stream and dynamical tropopause

380 Figure ??a (Figure 2a also) shows  $E^{280}$  zonal wind as well as the dynamical tropopause on the 200 hPa isobar. The subtropical jet stream can clearly be identified as a streak of high zonal wind roughly between 25°N and 45°N. The dynamical tropopause generally follows the jet core closely. The jet is strong and concentrated over eastern East Asia and the North Pacific Ocean. Over the North Atlantic Ocean, two separated jet streams can be seen, roughly between 20-25°N and 45-50°N. The migration of the subtropical jet towards higher latitudes over the Atlantic, compared to the Pacific, corresponds to the subtropical high and subpolar high low pressure being more extended northwards (see Figure ??a).

385 The  $E^{560}$  response in zonal wind is very minimal and limits itself to a few meters per second at most (Figure ??b). Over the Pacific, a slight increase in wind speeds is found along the center and southern side of the dynamical tropopause of the jet. Over the Euro-Atlantic sector, the response is relatively weak. The largest increase in zonal wind in the Pacific is 4.4 m/s, which is less than the mean time variation of the  $E^{280}$  zonal wind (defined as the SD in time, 5.9 m/s).

390 Generally, the jet stream response to the mid-Pliocene conditions (Figure ??c) is a lot stronger more substantial compared to the response to CO<sub>2</sub> doubling, with the largest response over the North Pacific. The subtropical jet stream weakens significantly substantially over the exit of the East Asian jet and continues to weaken over the whole of the North Pacific, with reductions of up to 25 m/s along the jet core, corresponding to 50% over the central North Pacific. Apart from the reduction in jet strength along 30°N, we also see an increase in zonal wind over the northern North Pacific, which indicates that apart from weakening, the subtropical jet shifts or expands polewards. The weakening and widening



**Figure 2.** January mean sea-level pressure (MSLP, colors) and mean zonal wind at 200 hPa (U200, contours) for (a) pre-industrial reference E<sup>280</sup>, (b) difference with CO<sub>2</sub> doubling E<sup>560</sup> minus E<sup>280</sup>, and (c) difference with mid-Pliocene conditions Eoi<sup>280</sup> minus E<sup>280</sup>. Note that the increments of the colorbar as well as the labels on the contour lines are slightly different between (b) and (c).

of the North Pacific subtropical jet is consistent with the slight reduction in SLP difference between the subtropical high and subpolar low (see Figure ??e). Our findings agree with other PlioMIP2 studies; a strong increase in NP MSLP and simultaneous weakening of the NP jet stream in winter is shown in the mid-Pliocene Eoi<sup>400</sup> simulations with CCSM4-UoT (Menemenlis et al., 2021), and a weaker NP jet stream in the annual mean is reported in Eoi<sup>400</sup> simulations with HadCM3 and CESM2 (Hunter et al., 2019; Feng et al., 2022).

### 3.1.2 Surface temperatures and precipitation

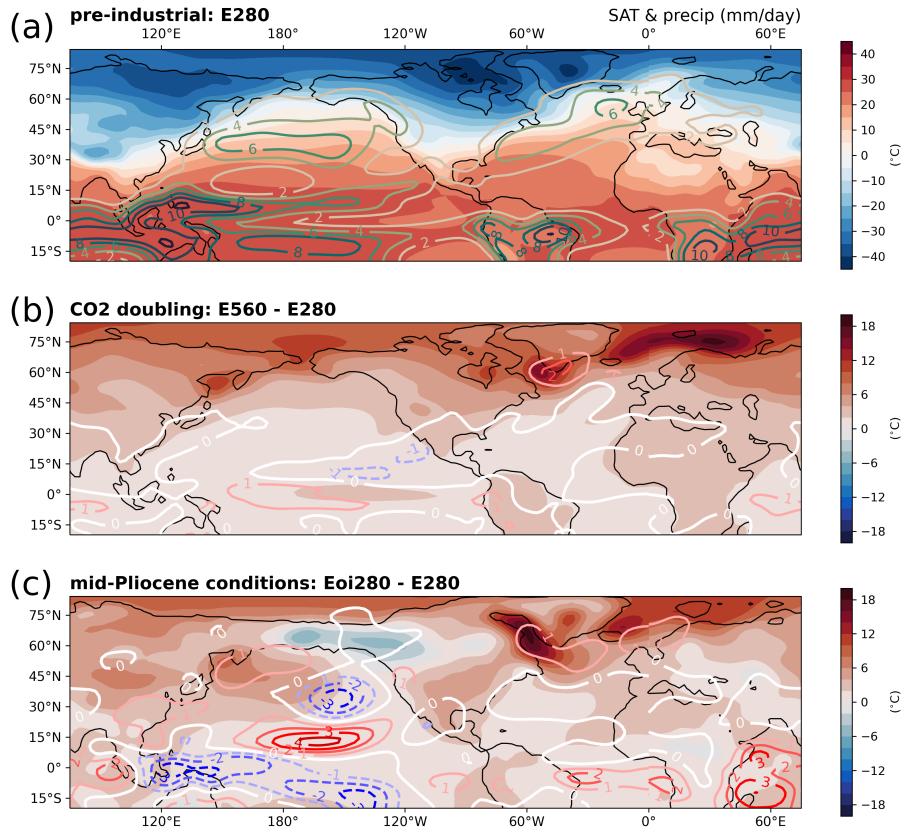
Figure 3 shows the January mean surface air temperature (SAT, colors) as well as precipitation (contours). Figure 3a presents January SAT for the pre-industrial reference E<sup>280</sup>. Arctic cold spreads far south over the continental regions, specifically Siberia and northern Canada. SAT distributions over land and the ocean are largely as expected from present-day and historical observations. The SAT difference (E<sup>560</sup> - E<sup>280</sup>, Figure 3b) show that most continental areas warm slightly more compared

to oceans at similar latitudes. Furthermore, a clear Arctic amplification signal can be observed. This response is expected with increasing atmospheric CO<sub>2</sub> levels (Serreze and Barry, 2011). Temperatures increase more than 15°C over the Iceland, Norwegian and Barents Seas. Extensive warming is furthermore found in the Labrador Sea, Bering Strait and Okhotsk Sea. The SAT response for the Eoi<sup>280</sup> is in many ways similar to the SAT response for the CO<sub>2</sub> doubling (Figure 3c). An Arctic amplification response is present even without any increase in CO<sub>2</sub> levels. Again, we see a large warming over the Greenland Sea and Okhotsk Sea, as well as over the Baffin Bay and Labrador Sea. In the Eoi<sup>280</sup> results, we see a warmer region over the 405 western and central North Pacific and a large area of cooling over western North America and the land bridge that is now the Bering Strait. This SAT dipole is consistent with the MSLP increase over the North Pacific and decrease over western North America as seen in Figure 2c.

Figure 3a also shows the January mean precipitation for the E<sup>280</sup>. The midlatitude storm tracks over the NP and NA basins are clearly visible. A band of heavy precipitation exists in the tropics, which represents the Intertropical Convergence Zone (ITCZ). 410 A double ITCZ is present over the Pacific Ocean, which is a known model bias and reported before in Baatsen et al. (2022). The precipitation response to CO<sub>2</sub> doubling (Figure 3b) is minimal; precipitation is slightly intensified over the tropics, and a concentrated precipitation increase is seen South of Greenland, which is mainly caused by a retreat of sea-ice in that area (not shown), agreeing with the local SAT increase and MSLP decrease. The precipitation response to mid-Pliocene boundary conditions (Figure 3c) is more substantial compared to the precipitation response to CO<sub>2</sub> doubling (similar to 415 the MSLP and U200 response). The Pacific ITCZ shifts northwards, although the southern branch weakens. The northward ITCZ shift is consistent with most PlioMIP2 Eoi<sup>400</sup> simulations (Han et al., 2021), and has been connected to a weakening of the amplitude of ENSO variability (Pontes et al., 2022). Furthermore, there is a substantial drying over the west-equatorial Pacific (WEP), accompanied by a large increase in precipitation over the Indian Ocean. We associate this with a westward 420 expansion of the Walker circulation and an intensification of the Indian monsoon, which was reported before in CCSM4-Utr in Baatsen et al. (2022), and seen in most other PlioMIP2 Eoi<sup>400</sup> simulations (Han et al., 2021). Precipitation also intensifies 425 over the equatorial Atlantic, which might be related to the intensification of the West African monsoon as shown in most PlioMIP2 Eoi<sup>400</sup> simulations (Berntell et al., 2021). Furthermore, we observe a drying in the NP subtropics, which mainly results in reduced storm track activity over the northeast Pacific Ocean. Lastly, precipitation increases south of Greenland and in Labrador sea, which is - similar to the CO<sub>2</sub> doubling response - related to sea-ice retreat (not shown). The dynamical 430 tropopause follows the same curve as the pre-industrial over most of the North America, Atlantic ocean and Eurasian continent. Over the Atlantic, the zonal wind decreases slightly along the dynamical tropopause, whereas it increases southward of it.

### 3.2 Sea-level pressure variability

Following the large changes in mean winter climate ~~of the~~ in the simulation under mid-Pliocene conditions ~~sensitivity experiment~~, we will now analyse the changes in atmospheric variability by means of EOF analysis of the SLP in ~~the Northern Hemisphere~~ 435 ~~(NHem), North Pacific (NPac) and North Atlantic (NAtl)~~, respectively, the NH, NP and NA sectors.



**Figure 3.** January mean zonal wind-mean surface air temperature ( $U_{200}$ SAT, colors) and mean of the dynamical tropopause-precipitation ( $2PVU$ precip, blue-black dashed line contours) at 200-hPa, for (a) pre-industrial reference  $E^{280}$  $U_{200}$  and  $2PVU$  (black-blue dashed line), (b) difference with  $CO_2$  doubling  $E^{560}$  min minus  $E^{280}$  $U_{200}$  (colors), and  $E^{560}$   $2PVU$  (yellow-black dashed line), (c) difference with mid-Pliocene conditions  $Eoi^{280}$  min minus  $E^{280}$  $U_{200}$  (colors) and  $Eoi^{280}$   $2PVU$  (yellow-black dashed line). In (b) and (c), the black-blue dashed line is the  $E^{280}$   $2PVU$ .

### 3.2.1 Variability in response to $CO_2$ doubling

Figure 4a shows the  $E^{560}$  SLP SD and SLP SD difference with  $E^{280}$  in contours. In most of the Northern Hemisphere NH, there are no notable changes in SLP SD. Only in the center-east North Pacific, there is an increase in SLP SD in the  $CO_2$  doubling simulation with regards to the pre-industrial (up to 30%).

440 The spatial pattern as well as regional amplitude of the NHem mode and the zonal and azonal modes in the NPac and NAT all of the modes of variability are very similar in the  $E^{560}$  and  $E^{280}$  (Figures 4b-f). As in the pre-industrial, the leading mode in the Pacific (Atlantic) is the azonal (zonal) PNA (NAO) mode. In the NHem EOF AQ, the amplitude of the North Pacific center of action is slightly increased, while the amplitude of the North Atlantic-Siberian center of action is reduced. The NHem mode AQ explains more of the total SLP variance in the  $CO_2$  doubling (38.9%) compared to the pre-industrial (28.7%), which is

445 ~~a statistically significant difference~~. Both results are consistent with the fact that there is simply more SLP variance over the North Pacific (variance defined as square of the SLP SD, Figure 4a).

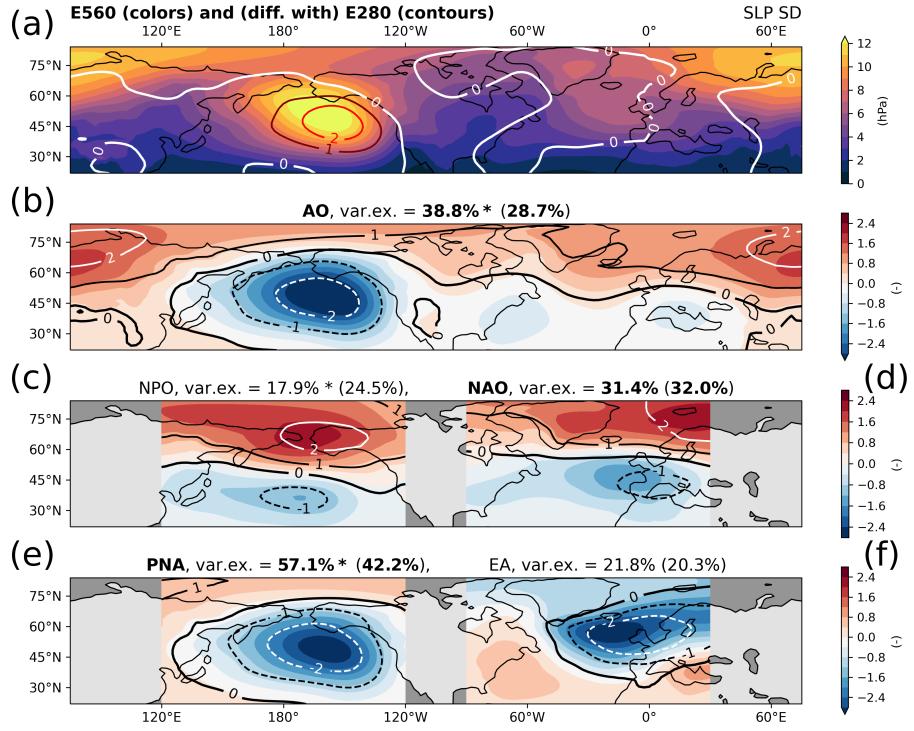
The two leading modes in the ~~NPae-NP~~ explain more SLP variance in the CO<sub>2</sub> doubling ~~experiment-simulation~~ compared to the pre-industrial (75.2% over 66.7%, Figures 4c and e). This is mainly because the leading ~~NPae-a-PNA~~ mode becomes more dominant, explaining 57.2% of the total SLP variance in this region, which is a lot more compared to the E<sup>280</sup> (42.2%  
450 ~~significant difference~~). The second leading ~~NPae-z-mode~~ mode, the ~~NPO~~, explains a bit less of the total SLP variance ~~-(also significant difference)~~. These results are consistent with Chen et al. (2018), that show a strengthening of PNA intensity under a strong future warming scenario in an ensemble of 35 CMIP5 models. The two leading modes in the ~~NAtl-NA~~ are shown in Figures 4d and f and the percentage of variance explained remains the same in the E<sup>280</sup> and E<sup>560</sup> ~~(no significant difference)~~. The dipole ~~of the leading NAtl-z-mode shape of the NAO~~ is shifted slightly polewards, and the southern node is shifted slightly 455 westward. For the ~~NAtl-a-mode~~ EA, the separation between positive and negative amplitude (or red and blue) moves over the Canadian archipelago in the E<sup>560</sup>, while it moves eastward and poleward from the southern tip of Greenland in the E<sup>280</sup>.

### 3.2.2 ~~Mid-Pliocene Variability in response to mid-Pliocene boundary conditions~~

Figure 5a shows the Eoi<sup>280</sup> SLP SD and SLP SD difference with E<sup>280</sup> in contours. In general, there is a decrease in SLP SD in the mid-Pliocene ~~experiment-simulation~~. However, there is a ~~substantive substantial~~ decrease in SLP SD over the northeastern 460 North Pacific ~~(up to -40%)~~, while there is a small increase in SLP SD over the Canadian Arctic. Furthermore, there is a decrease in SLP SD over the Scandinavian Arctic and eastern Siberia. No ~~large substantial~~ changes are observed over the Atlantic. The changes in SLP SD in the Eoi<sup>280</sup> are very similar to the SLP SD changes in the Eoi<sup>400</sup> (see Supplementary Material Figure S2).

The spatial patterns of the ~~NHem mode and zonal and azonal modes in the NPae and NAtl modes of SLP variability~~ are 465 similar for the Eoi<sup>280</sup> and E<sup>280</sup> (Figures 5b-f). Still, some distinct differences can be seen. The leading mode in the ~~NAtl-NA~~ is still the ~~zonal-mode~~ NAO, but the leading mode in the ~~NPae becomes the zonal-mode~~ NP becomes the ~~NPO~~, whereas it is the ~~azonal-mode~~ PNA in the E<sup>280</sup> and E<sup>560</sup>. The spatial pattern of the ~~NHem mode~~ AO over the North Pacific changes quite drastically, describing more of a dipole rather than a large single center of action. The ~~NHem~~ AO mode thus becomes more 470 zonal or annular. The percentage of SLP variance explained is similar in the Eoi<sup>280</sup> (28.3%) compared to the E<sup>280</sup> (28.7%, ~~no significant difference~~).

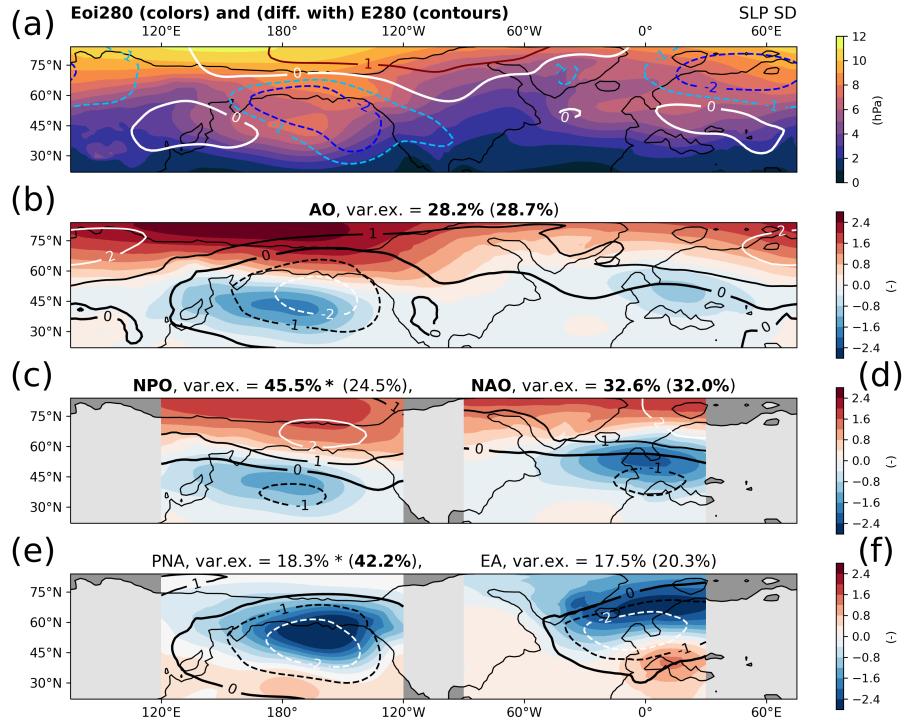
The two leading modes in the ~~NPae-NP~~ together explain slightly less of the total SLP variance in comparison to the pre-industrial (63.9% over 66.7%, Figures 5c and e). The leading mode becomes the ~~zonal-mode~~ NPO, explaining almost double the variance that it explains in the E<sup>280</sup> (45.5% over 24.5%, ~~significant difference~~). The spatial pattern is very similar, but differences are that the whole dipole is shifted slightly polewards, and that both centers of action are more spread out spatially, 475 thus representing SLP variations over a larger area. The second leading mode in the ~~NPae becomes the azonal-mode~~ NP becomes the PNA, explaining only 18.4% of the SLP variance compared to 42.2% in the pre-industrial (~~significant difference~~). The spatial extent of the monopole is slightly shifted polewards, and the region with largest amplitude extends more over the



**Figure 4.** (a) January SLP variability standard deviation (SD) in CO<sub>2</sub> doubling (E<sup>560</sup>; colors) and difference with pre-industrial (E<sup>560</sup> - E<sup>280</sup>; contours) experiments. (ab-e) E<sup>560</sup> January SLP standard deviation EOFs; (SD, colors) (b) and E<sup>560</sup> min E<sup>280</sup> SLP SD Arctic Oscillation (AO), (b) leading SLP EOF in Northern Hemisphere, (c) zonal SLP EOF in North Pacific Oscillation (NPO), (d) zonal SLP EOF in North Atlantic Oscillation (NAO), (e) azonal SLP EOF in North Pacific-North American (PNA) and (f) azonal SLP EOF in North East Atlantic (EA) patterns. Percentage variance explained by each EOF shown for E<sup>560</sup>, in brackets and for E<sup>280</sup> reference in brackets contours. **In bold** the leading mode in North Pacific and Atlantic regions each sector. Percentage variance explained by each E<sup>560</sup> EOF indicated (E<sup>280</sup> in brackets), \* indicates a statistically significant difference between these.

northwestern North American continent. The dominance of the NPac-z over the NPac-a NPO over the PNA is consistent with the change in SLP variance (or squared SLP SD) over this region (Figure 5a).

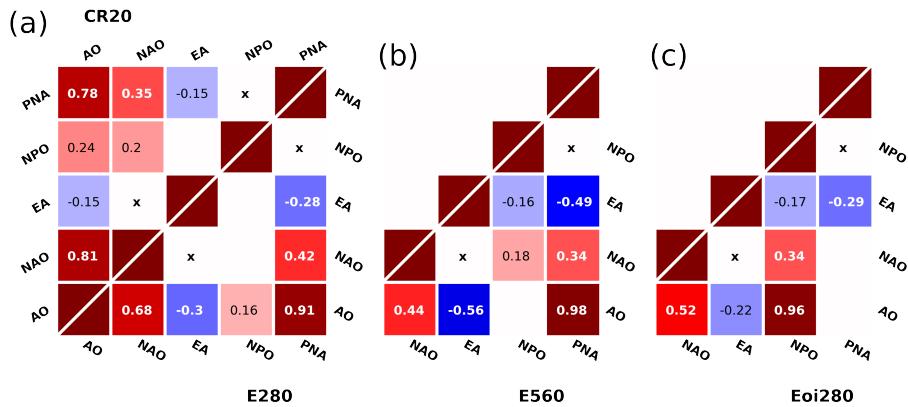
480 The leading mode is the NATl-z in the NA is the NAO (Figures 5d) and explains almost the same amount of SLP variance (32.7% over 32.2%, no significant difference). The centers of action of the dipole are shifted slightly polewards. Especially the southern node is more centred over northwestern European mainland, while the northern node is retreated polewards and weakened. The NATl-a EA pattern (Figures 5f) explains slightly less variance in the Eoi<sup>280</sup> (17.5% over 20.3%, no significant difference). The center of action is shifted polewards and spread out further towards the northeast. The southern separation 485 between negative and positive amplitude is shifted northwards over the European mainland. Over the western part of the sector, the mode resembles a dipole with a strong northern node and weak southern node.



**Figure 5.** a) January SLP variability standard deviation (SD) in mid-Pliocene conditions (Eoi<sup>280</sup>; colors) and difference with pre-industrial (Eoi<sup>280</sup> - E<sup>280</sup>; contours) experiments. (ab-e) Eoi<sup>280</sup> January SLP standard deviation EOFs; (SD, colors) and Eoi<sup>280</sup> min E<sup>280</sup> SLP SD Arctic Oscillation (lines) AO, (b) leading SLP EOF in Northern Hemisphere, (c) zonal SLP EOF in North Pacific Oscillation (NPO), (d) zonal SLP EOF in North Atlantic Oscillation (NAO), (e) azonal SLP EOF in North Pacific-North American (PNA) and (f) azonal SLP EOF in North East Atlantic (EA) patterns. Percentage variance explained by each EOF shown for E<sup>560</sup>, Eoi<sup>280</sup> in colors and for E<sup>280</sup> reference in brackets contours. In bold the leading mode in North Pacific and Atlantic regions each sector. Percentage variance explained by each Eoi<sup>280</sup> EOF indicated (E<sup>280</sup> in brackets), \* indicates a statistically significant difference between these.

### 3.2.3 Correlations between modes

Figure 6a presents the PC correlation matrix for the E<sup>280</sup> as well as the CR20 reanalysis. The correlations are very similar in both cases, as can be observed from the high level of symmetry with respect to the diagonal. Both show a strong correlation 490 between the NHem and the NAtl-z and NPac-a modes AO and NAO, and between the AO and PNA. However, in the CR20 reanalysis these two correlations are of similar magnitude, while the E<sup>280</sup> shows a much stronger correlation with the NPac-a than the NAtl-z of the AO with the PNA than with the NAO. This is consistent with the lower (higher) SLP variance over the North Atlantic (North Pacific) in the E<sup>280</sup> compared to the CR20 as shown in Figure 1b. The strongest correlation between basins is between the NPac-a and NAtl-z PNA and NAO, which can be expected since both are strongly correlated with the



**Figure 6.** Correlation coefficients between Principal Components (PCs) corresponding time series belonging to EOFs of NHem the AO, NAt zonal and azonal NAO, NPac zonal EA, NPO and azonal PNA modes of variability. For CR20 (a, top left), E<sup>280</sup> (a, bottom right), E<sup>560</sup> (b) and Eoi<sup>280</sup> (c). **Bold** font if the correlation coefficient is statistically significant at  $p < 0.005$ , **normal font** when  $p < 0.05$ , **no correlation shown** (white) when  $p > 0.05$ . **x** for orthogonal constructed modes (correlation 0 by definition).

495 **NHem. The NPac-z-AO.** The NPO does not correlate strongly with any other mode in both the E<sup>280</sup> (only a weak correlation with the AO) and CR20.

The correlation matrix for the E<sup>560</sup> shown in Figure 6b shows great similarities with the E<sup>280</sup>. However, a notable difference is the even stronger correlation between the NHem and NPac-a-AO and PNA, of 0.98, that is consistent with the increase in SLP variance explained by the NPac-a-mode-PNA in the E<sup>560</sup> (see Figure 4e). Interestingly, the NHem-mode-AO is more 500 strongly (anti)correlated with the NAtl-a than the NAtl-zEA than the NAO, which is not very obvious based on the similarities of the spatial patterns only. The NHem-mode-AO shows the strongest correlation with both zonal modes the EA (in the NA) and PNA (in the NP), and simultaneously both azonal modes in the North Pacific and North Atlantic the EA and PNA show a stronger correlation. Again, the NPac-z-NPO does not strongly correlate with another mode.

The Eoi<sup>280</sup> correlation matrix shown in Figure 6c shows some notable differences with respect to the E<sup>280</sup> and E<sup>560</sup>. The 505 correlation between the NHem and NPac-a almost completely disappears AO and PNA becomes statistically insignificant. The correlation between the NHem and NPac-z is 0.95 AO and NPO is 0.96 in the Eoi<sup>280</sup>, while being very small or insignificant in the other simulations. The NHem-mode-AO shows the strongest correlation with both zonal modes the NAO (in the NA) and NPO (in the NP), agreeing with the earlier result that it represents a more annular mode. There is not a very strong correlation between both zonal modes the NPO and NAO, however, as well between both azonal modes as between the EA and PNA. In the 510 Eoi<sup>280</sup>, the NPac-a-PNA becomes the mode that does not correlate strongly with any other mode (except a small but significant anti-correlation with the EA).

### 3.3 North Pacific variability and the jet stream in response to mid-Pliocene boundary conditions

In this section, we focus on the  $Eoi^{280}$  and  $E^{280}$  results and investigate the impact of the changes in SLP variability on the jet stream in the North Pacific.

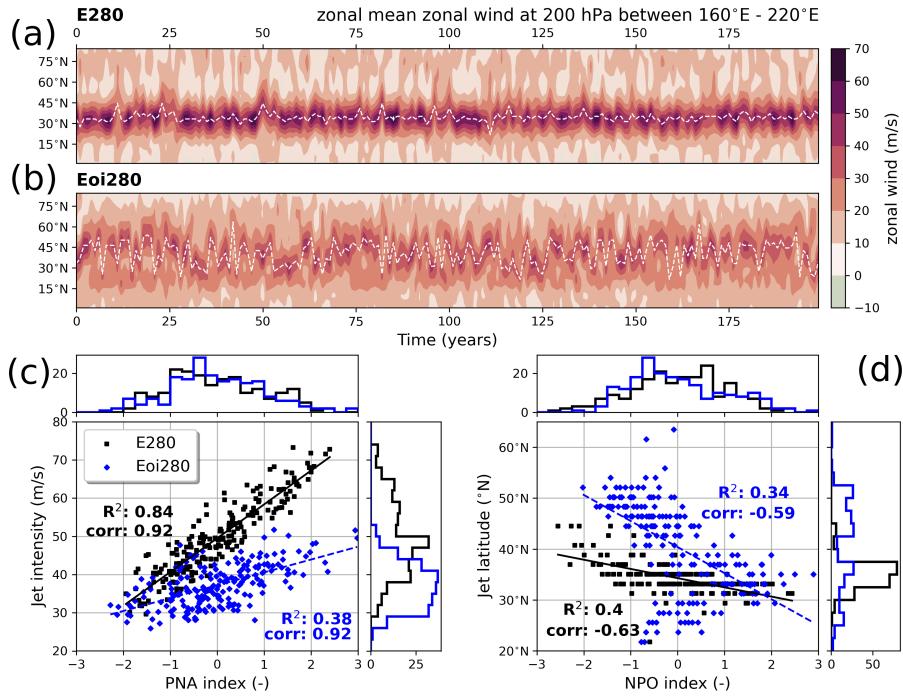
#### 515 3.3.1 SLP variability and jet variations in the central North Pacific

The temporal behaviour of the  $E^{280}$  and  $Eoi^{280}$  central North Pacific jet stream is shown in Figure 7a and b, by means of a Hovmöller diagram of the zonal mean zonal wind at 200 hPa averaged over  $160^{\circ}\text{E}$  -  $220^{\circ}\text{E}$ . The  $E^{280}$  shows a strong and focused jet with little latitudinal variations. On the other hand, the  $Eoi^{280}$  shows a generally weaker jet with a great latitudinal variation.

520 The jet intensity versus the PNA index (defined as the PC of the EOF), as well as jet latitude ,versus the PC of the NPae-a and NPae-z modes, respectively, versus NPO index are shown in Figure 7c and d, respectively. Figure 7c shows that the  $E^{280}$  jet intensity correlates strongly and significantly (corr. coeff. of 0.92) with the NPae-a mode and significantly with the PNA index. Interestingly, the  $Eoi^{280}$  jet shows the same strong and significant correlation (corr. coeff. of 0.92) and significant correlation, but with a distribution of much lower wind speeds, and much smaller  $R^2$ . This means that in both simulations, the jet intensity 525 is linked to the phase of the NPae-a mode PNA.

530 Figure 7d shows the relation between the jet latitude and the PC of the NPae-z mode NPO index. In both simulations, a clear anticorrelation anti-correlation between the jet latitude and the NPae-z mode NPO index is apparent. In the  $E^{280}$ , the jet is located between  $30$ - $40^{\circ}\text{N}$ . In the  $Eoi^{280}$ , the jet latitude covers a much larger range, and the histogram reveals a less unimodal distribution, with two weak peaks at  $30$ - $40^{\circ}\text{N}$  and  $45$ - $55^{\circ}\text{N}$ . The scatter plot shows that at negative NPae-z PC values in negative NPO phases, in most years the max zonal wind is found at higher latitudes, but in some Januaries the jet latitude is found between  $20$ - $30^{\circ}\text{N}$ . It suggests the existence of a state with two jets, where generally the northbound jet is stronger. The histogram of NPae-z PCs NPO index values furthermore shows a negative skew, coinciding with this split jet or more northward jet in the  $Eoi^{280}$ . The  $E^{280}$  distribution is slightly skewed towards positive NPae-z NPO values, which implies a focused jet in the subtropics. The fact that a split jet exists in the NPO- phase implies that the distribution of jet latitudes is not linear, which suggests that a linear fit might not be the best metric to capture the correlation.

535 The temporal behavior of the jet as well as the correlation between the two NPae modes and PNA and NPO, and the jet intensity and latitude suggest the following<sup>+</sup>. In the  $E^{280}$ , the jet is mainly controlled by the NPae-a mode PNA, with a high variation in jet intensity but not in latitude, while in the  $Eoi^{280}$ , the jet is mainly controlled by the NPae-z mode NPO, with a high variation in the jet latitude and a tendency towards a strong northwards and weak southward jet, with less variation in jet intensity. 540 Our findings agree with literature, where the PNA is connected to jet enhancement and displacement in the east-west direction, while the NPO is more connected to the displacement of the jet in the north-south direction (see e.g. Linkin and Nigam, 2008)  
545 <sup>+</sup> The dominance of the NPae-a (NPae-z) PNA (NPO) in the  $E^{280}$  ( $Eoi^{280}$ ) corroborates our previous findings on the percentage of SLP variance explained by both modes (see Figure 5). A similar analysis Analyses using the global zonal mean zonal wind



**Figure 7.** (a,b) Hovmöller diagrams showing zonal mean zonal wind at 200 hPa in the 160°E - 220°E mean for every January for the E<sup>280</sup> (a) and Eoi<sup>280</sup> (b). The white dashed line follows the maximum of zonal wind. (c) Scatter plot including histograms of counts for the **Principal component PNA index (PCi.e. principal component) of the NPac-a mode** versus the jet intensity (defined as max zonal wind). R<sup>2</sup> of linear fit and correlation coefficient are shown. For E<sup>280</sup> (black squares) and Eoi<sup>280</sup> (red blue diamonds). (d) Same, but for **PC of NPac-z mode NPO index** versus jet latitude (defined at latitude of max zonal wind).

and the **NHem mode is AO mode** (Figure S3), as well as with the PNA and jet latitude, and NPO with jet intensity (Figure S4),  
545 are included in Supplementary material Figure S3.

### 3.3.2 The **phases of the mid-Pliocene NPac-z-mode NPO**

The state of the **North Pacific NP** jet stream in the **NPac-z-positive (PLUS) and negative (MIN) NPO+ and NPO-** phases in the E<sup>280</sup> and Eoi<sup>280</sup> is shown in Figure 8, where the **PLUS and MIN NPO+ and NPO-** phases are defined as the ten average Januaries corresponding to the ten **top and ten bottom NPac-z PC highest and ten lowest NPO index** values, respectively. Figure 550 8 shows the zonal wind and 2PVU contour at 200 hPa on the right and the zonal mean between 160°E - 220°E on the left for both phases and the two simulations. The E<sup>280</sup> **NPac-z MIN NPO-** phase in Figure 8a shows a strong jet around 30°N that deflects southward, with a sharp 2PVU gradient along the jet core in the vertical in the central North Pacific. The E<sup>280</sup> **NPac-z PLUS NPO+** phase shown in Figure 8b shows a slightly weaker jet between 35 - 45°N, agreeing with a 2PVU gradient that is less sharp, that moves more northward over the North Pacific.

555 The  $Eoi^{280}$  ~~NPae-z-MIN-NPO-~~ phase is shown in Figure 8c and shows similar zonal wind patterns and 2PVU contours as in the  $E^{280}$ , but at lower zonal winds (max 43.0 m/s in the central North Pacific zonal mean over 48.2 m/s in the  $E^{280}$ ). The ~~NPae-z-PLUS-NPO+~~ phase in the  $Eoi^{280}$  (Figure 8d) is different from the  $E^{280}$ , clearly showing the existence of two jets over the ~~North-Pacific-NP~~, both on the 200 hPa isobar as well as in the vertical cross section. The northern jet (40.2 m/s, between 45-55°N) is stronger than the southern jet (27.2 m/s, between 15-25°N), confirming the suggestion of the existence of two jets based on the results in Figure 7d. The southern jet is located at lower pressures (or higher in the atmosphere) than the northern jet, although both jet cores follow the sharpest gradients of the 2PVU curve. The existence of this split jet over the ~~North-Pacific-NP~~ indicates a higher level of anticyclonic wave breaking on the northward side of the mean subtropical jet in comparison to the  $E^{280}$ , effectively deflecting the jet towards higher latitudes. The relationship between the NPO and Rossby wave breaking is described in more detail in Rivière (2010). The fact that in all cases the strongest jets are present where the 560 meridional PV gradient is the strongest (see Figure 8 left panels) may indicate that the atmosphere is approximately in thermal wind balance in the January monthly mean. The nearly constant 2PVU height over latitude between the two jets in the  $Eoi^{280}$  ~~NPae-z-PLUS-NPO+~~ (Figure 8d) furthermore indicates a high level of meridional mixing in the atmosphere between these two jets. The ~~SAT, SIE and SLP-SLP and SAT~~ anomalies associated with the ~~NPae-z-MIN and PLUS-NPO- and NPO+~~ phases are shown in Supplementary Material Figure S4S5.

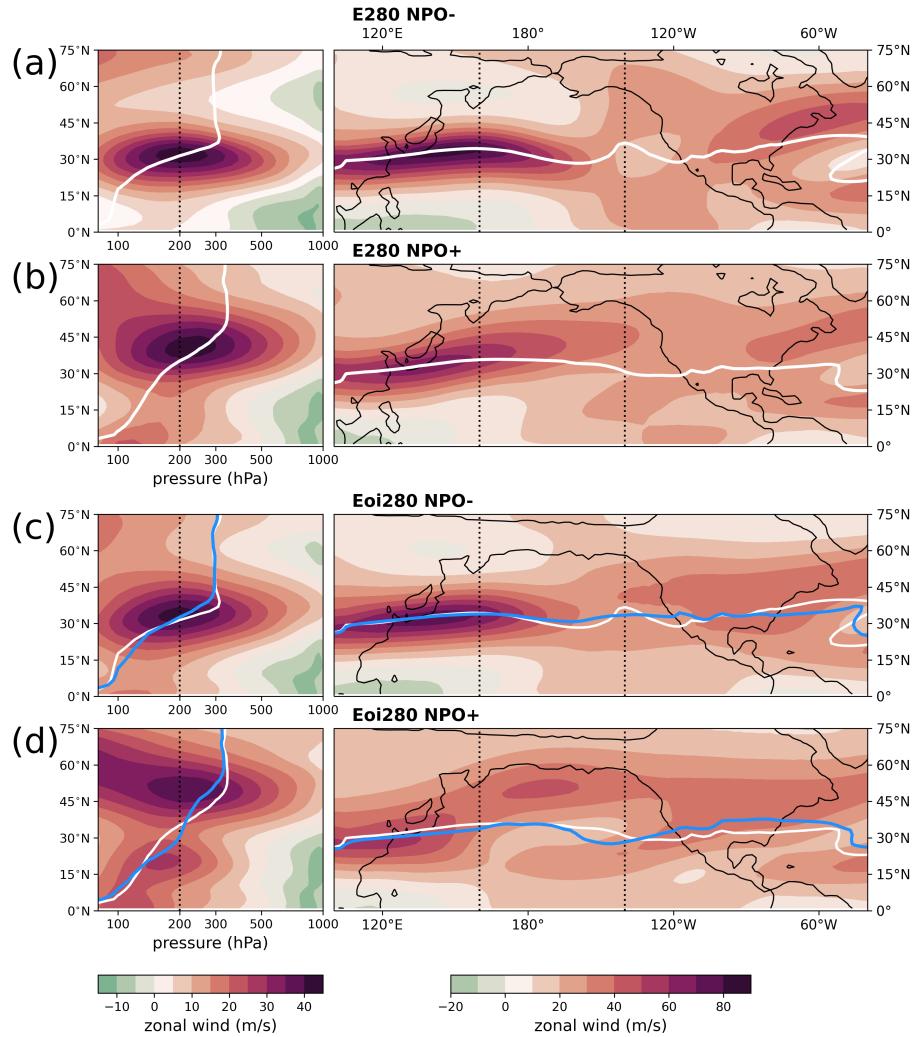
570 **4 Discussion**

**3.0.1 Tropical Pacific convection as an explanation**

**3.1 Sensitivity to mid-Pliocene boundary conditions**

In this study we have investigated In this section, we present a hypothesis that could explain the substantial reduction in NP SLP variability under mid-Pliocene Northern Hemisphere winter variability, and separated the response to either  $CO_2$  or to 575 mid-Pliocene boundary conditions other than  $CO_2$ . We find large differences between both climate forcings. In this section we want to explore which of the specific boundary condition changes in the  $Eoi^{280}$ , that include vegetation changes, closure of the Bering Strait and Canadian Arctic Archipelago (CAA), and minor topography differences (combined: “orography”), (Eoi<sup>280</sup>), and subsequent relative dominance of the NPO and more pronounced north-south displacement of the NP jet stream. The 580 dynamical explanation is as follows: in the Pacific sector, a weakening of the tropical convection signal (related to shifts in the mean state and due to reduced ENSO variability) leads to reduced upper-tropospheric Rossby wave activity in the extratropics, which ultimately leads to a jet stream that is weaker and less variable in east-west extent, which in turn explains the reduced variance of SLP at the surface. We highlight the steps of this mechanism in more detail below.

585 1a. Shifts in the tropical mean state reduce mean precipitation in the west-equatorial Pacific (WEP), specifically the westward shift of the upward branch of the Walker circulation, as well as a reduced Greenland Ice Sheet (GIS), can explain the observed response.



**Figure 8.** Zonal mean zonal wind between 160°E - 220°E (left) and zonal wind at 200 hPa (right). For the E<sup>280</sup> (a, b) and Eoi<sup>280</sup> (c, d), representing the NPae-z-MIN-NPO- phases (a, c) and PLUS-NPO+ phases (b, d). Dashed Contour line indicates the 2PVU contour, in cyan white for the E<sup>280</sup> (repeated in c, d) and yellow-blue for Eoi<sup>280</sup>. Note that the colorbar range is slightly different for the left and right panels.

With the COSMOS coupled climate model, Stepanek et al. (2020) show that in the mid-Pliocene, just closing the Arctic gateways has a similar effect on springtime SIE than increasing CO<sub>2</sub> from 280 to 400 ppm. Using CCSM4 mid-Pliocene simulations, Otto-Bliesner et al. (2017) find that there is a similar response in North Pacific SIE to closing just the Bering Strait or just the CAA. Employing sensitivity studies with climate model CCSM4-UoT, Chandan and Peltier (2018) show that annual mean sea-ice volume in the Northern Hemisphere reduces most with implementation of mid-Pliocene orography, then with increased CO<sub>2</sub>, and only then with the implementation of a reduced GIS. The annual mean SAT response to either changed orography or reduced GIS shows the largest response due to changed orography. They present

the same SIE and SAT analysis for DJF in their Supplementary material, where the overall response is comparable to the annual mean findings the northward shift of the ITCZ (Figure 3c).

- 595 1b. Reduced ENSO variability reduces WEP precipitation variability (Figure 9a, explained in more detail below). Taking precipitation as a proxy for latent heating or convection in the tropics, both 1a and 1b lead to a reduced convection signal in the WEP.
2. Reduced convection in the WEP substantially weakens Rossby wave activity in the upper troposphere in the northern extratropics, primarily over the East Asia jet exit and western North Pacific (more detail below).
- 600 3. Since the jet stream acts a wave guide for Rossby waves (e.g. ?), reduced upper-tropospheric Rossby wave activity over East Asia leads to a North Pacific jet stream that is less variable in strength (Figure 7c) and more variable in latitudinal location (Figure 7d)
- 4a. A jet stream weak in strength correlates with the negative phase of the PNA (Figure 7c), which implies a positive SLP anomaly over the North Pacific, and agrees with the MSLP difference in Figure 2c.
- 605 4b. A jet less variable in strength implies a relatively weaker PNA, while a jet more variable in latitude implies a relatively stronger NPO (according to the correlations in Figure 7c and d), which agrees with our findings in terms of SLP variability in Figure 5c and e.

610 Also using the CCSM4-UoT simulations, Menemenlis et al. (2021) find that a wintertime stationary wave pattern, present over the pre-industrial North Pacific and assessed via the eddy streamfunction, nearly disappears in the mid-Pliocene. They show that this reduced wave train response is present in the simulations with only a different orography (and thus closed gateways), as well as the simulation with only a reduced GIS, but not in simulations with just elevated CO<sub>2</sub>. They attribute the reduced wave train response to a reduced zonal SLP gradient and weakened jet stream over the North Pacific (similar findings to our Figure 9a shows a scatter plot of the precipitation in the WEP as a function of the Nino3.4 index. In all of our simulations, the correlation between these two quantities is strong (>0.72), positive and statistically significant. We 615 found that the precipitation in the WEP showed the strongest regression of the Nino3.4 index with global precipitation (see Supplementary material Figure S6). The mechanism linking ENSO variability to convection in the WEP is established before (see e.g. ?), so it is not surprising that the regression (or: linear slope) between the two is similar for all simulations. Apart from the mean precipitation signal being lower in the Eoi<sup>280</sup> (1a.), the precipitation variability is also reduced (1b.), which is caused by the reduction in ENSO variability. The SD of the Nino3.4 index (also called the ENSO amplitude) reduces substantially in 620 response to the mid-Pliocene boundary conditions (0.4°C in the Eoi<sup>280</sup> results; 1.1°C in the Eoi<sup>280</sup>), but do not explain why the changes in orography or GIS would cause these responses does not change in response to CO<sub>2</sub> doubling (1.1°C in the E<sup>560</sup>). A similar reduction is observed in the Eoi<sup>400</sup> simulation (0.4°C).

Considering results from those model studies, a larger response in annual and seasonal SAT and sea-ice due to changes in orography instead of changes in GIS would suggest that mainly the closed gateways are responsible for the changes in winter

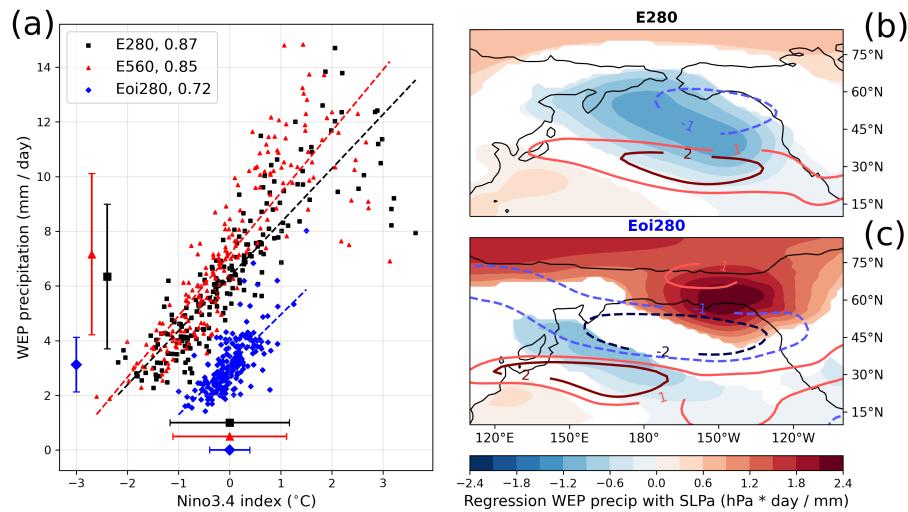
625 variability that we see in our own results. However, The influence of ENSO variability on North Pacific atmospheric variability (specifically the PNA) has been described before (see e.g. ?Yeh et al., 2018; Domeisen et al., 2019). In short, the mechanism in the sensitivity experiments with CCSM4-UoT indicate that both changes to orography as well as GIS have a similar effect on the wintertime dynamics over the North Pacific. All together, it makes it hard to draw a definite conclusion on which specific boundary conditions changes in the mid-Pliocene are responsible for the large differences between our present-day climate as follows: tropical Pacific SST anomalies lead to anomalous convection, and with that force anomalies in the Hadley circulation (?); this anomalous vertical motion leads to upper-tropospheric divergence in the tropics, and convergence in the subtropics, which in turn results in vorticity anomalies (?); this upper-level vorticity is a source of Rossby wave activity over the North Pacific (?Nie et al., 2019). In summary, Rossby waves connect the tropical heating signal to the atmospheric variability in the higher latitudes, and thus connect ENSO variability to NP SLP variability. This connection is shown in Figure 9b, which shows 635 the regression (linear slope) between the WEP precipitation and the SLP (colors) and U200 (contours) anomalies (summarizing 2. - 4b.). The results show that the  $E^{280}$  simulation is able to capture the connection between tropical convection and North Pacific SLP and jet stream variations. This mechanism breaks down in the  $Eoi^{280}$  (Figure 9c). The regression pattern of WEP precipitation with SLP shows more of a dipole (reminiscent of the NPO pattern), and the regression pattern of WEP precipitation with the jet variability moves such that it represents more latitudinal variation in the jet stream. Note that while 640 the regression has similar, or stronger, values in the  $Eoi^{280}$  and compared to  $E^{280}$  results, the WEP precipitation values are substantially lower, thus leading to lower values for SLP and U200 variations as well. More extensive analysis on the connection between tropical convection and Rossby wave activity in our simulations can be found in the Supplementary material section 5, Figures S7 - S9.

## 4 Discussion

### 645 4.1 Physical and dynamical interpretation

#### 4.1.1 Changes in variability and changes in the winter mean state

Under  $CO_2$  doubling, our simulations show a strengthened NPac-a mode PNA, consistent with a general increase in SLP SD over the North Pacific. We also see a clear Arctic amplification response in the SAT, together with a large retreat of the winter SIE towards the pole. Simultaneously, there is a small increase in mean SLP and slight increase in STJ intensity over the North 650 Pacific. The results are This is consistent with a study by Chen et al. (2018), that show a strengthening of PNA (or NPac-a) intensity under a strong future warming scenario in an ensemble of 35 CMIP5 models. They link it to a strengthening of the Aleutian low. A recent study by Simon et al. (2022) shows that winter sea-ice loss is associated with a deepening of the subpolar SLP low as well as a strengthening of the STJ, and connect that to a large positive PNA signal, all of which is consistent with our findings tropical Pacific precipitation response, caused by enhanced central equatorial Pacific SST variability. Our  $E^{560}$  simulation does show a slight enhancement of tropical Pacific precipitation (see Figure 3b and 9a). Furthermore, we find a



**Figure 9.** (a) Scatter plot of Nino3.4 index versus average precipitation in the West equatorial Pacific (WEP precip) for  $E^{280}$  (black squares),  $E^{560}$  (red triangles) and  $Eoi^{280}$  (blue diamonds). Including linear regression, correlation coefficient in the caption, and mean and standard deviation values indicated range along the axes. (b, c) Regression (linear slope) between WEP precipitation and SLP anomalies (colors), and WEP precipitation and zonal wind at 200 hPa (contours), for  $E^{280}$  (b) and  $Eoi^{280}$  (c). Regression only shown when correlation is significant ( $p < 0.05$ ).

slight intensification of the NP subtropical jet stream (Figure 2b), which according to the relationship described in Figure 7c is related to an enhanced PNA.

As a response to mid-Pliocene boundary conditions, we find a relative strengthening of the **NPae-z mode NPO** and simultaneous weakening of the **NPae-a mode PNA**, with a general decrease of SLP SD over the North Pacific. The **NPae-z mode NPO** becomes dominant even on a hemispheric scale where it correlates strongly and significantly with the **NHem mode AO**. The North Pacific **STJ jet** becomes weaker (consistent with other PlioMIP2 studies; Hunter et al. (2019), Menemenlis et al. (2021) and Feng et al. (2022)) and more variable in latitude, which is correlated to **NPae-z NPO** variability. The North Pacific winter climate is oscillating between states either with a relatively strong **STJjet (NPO-)**, or with a split jet that has a strong northward jet and weak southward jet (**NPO+**). In the winter mean, there is a strong increase in MSLP over the North Pacific, weakening the Aleutian low (consistent with Menemenlis et al., 2021). **Simultaneously, there is a loss of winter sea ice connected to a warming in the northwest North Pacific, while a cooling is prominent over land that is now northwestern North America** In the previous section, we have described a hypothesis by which changes in the tropical Pacific could explain the changes in North Pacific atmospheric variability due to mid-Pliocene boundary conditions.

Menemenlis et al. (2021) find a similar SAT response in their mid-Pliocene simulations as our  $Eoi^{280}$  response in Figure ??c and interpret it as a cool phase of the PDO, that is indeed connected to a weakened Aleutian low. However, we interpret the weakening of the Aleutian low in our simulation as a weakening of the dominance of the NPae-a mode (or PNA) in the pre-industrial, which simultaneously allows the NPae-z (or NPO) to exert more influence on the North Pacific winter climate.

As Linkin and Nigam (2008) explain, the NPO can be considered a basin-analogue to the NAO in the NAtl. In To summarize: in both simulations, the present-day climate, the amplitude of the NAO is large and the NAtl jet relatively weak, while the NPO amplitude is small and the NPac jet relatively strong. In our changes in NH atmospheric variability are mainly related to changes in the tropical Pacific mean state. In response to CO<sub>2</sub> doubling, the changes in this mean state are small, as are changes to the NP variability. In response to mid-Pliocene simulations, the NPac jet weakens up to 50% and the NPO becomes the dominant mode of variability in the NPac. This implies that like the NAO in the present day NAtl—SLP anomalies related to NPO variability become successful in modulating the NPac jet. This is what we see in Figure 7d. The split jet condition over the NPac, related to the NPO PLUS phase in the mid-Pliocene simulation (Figure 8d), is also reminiscent of the dynamic behaviour of the jet in the present-day NAtl, corroborating the NAO analog even further.

In order to explain the large effect on the North Pacific winter variability (relative to North Atlantic) induced by mid-Pliocene boundary conditions, we identify three major aspects boundary conditions, the changes in the tropical mean state are substantial, leading to large changes in the variability of the jet stream and the SLP patterns. The changes in the NA mean state, as well as in NA variability, are small.

#### **Surface temperatures:**

##### **4.1.2 The role of mean surface temperature response**

Qualitatively, the SAT response to increased CO<sub>2</sub> and to the mid-Pliocene BCs is similar. However, Baatsen et al. (2022) show that for the CCSM4-Utr, the majority of the high-latitude EoI<sup>280</sup> warming is due to a reduced planetary albedo, due to both reduced ice sheet and reduced sea-ice cover, while in the E<sup>560</sup> warming is mostly due to increased greenhouse gas concentrations (consistent with Chandan and Peltier (2018) and Burton et al. (2023)) (consistent with other PlioMIP2 studies; Chandan and Peltier, 2018). Furthermore, the warming response to CO<sub>2</sub> is mostly zonally uniform with distinct Arctic amplification, while the response to mid-Pliocene BCs is more regional, especially over the North Pacific, where SAT increases over the western part and decreases over the eastern part. This typical warming pattern, referred to as

Menemenlis et al. (2021) find a similar SAT response in their mid-Pliocene simulations with CCSM4-UoT as our EoI<sup>280</sup> response in Figure 3c and interpret it as a cool phase of the PDO, which is related to a PDO cool phase in Menemenlis et al. (2021), is associated with a weakened Aleutian low and weakened STJ. According to Chen et al. (2018), a weakened Aleutian low means a weaker PNA, which is consistent with our findings. Hurwitz et al. (2012), employing sensitivity simulations, find that SST anomalies in the North Pacific reminiscent of our EoI. While the connection between the PDO and Aleutian low is clear from literature (see e.g. Hurwitz et al., 2012; Simon et al., 2022), assessing the links with (multi)decadal variability is outside of the scope of this work, and Pacific (multi)decadal variability in the PlioMIP2 ensemble will be investigated in future research. We interpret the weakening of the Aleutian low in our EoI<sup>280</sup> warming pattern weaken the Aleutian low and in turn strengthen the NPO, which agrees with our findings. Most of the high-latitude warming in the EoI<sup>280</sup> is coming from orography changes rather than the reduced GIS (Chandan and Peltier, 2018). Hence, a surface warming pattern mainly caused by the closure of the Arctic gateways weakens the Aleutian low and allows the dominance of the NPO.

**Atmospheric heat transport:** Mid-Pliocene simulations show a negative meridional heat transport anomaly in the mid- and high latitudes of the Northern Hemisphere, that is not caused by increased  $\text{CO}_2$  (Chandan and Peltier, 2018; Baatsen et al., 2022; Burton et al., 2022). Chandan and Peltier (2018) show that this is largely caused by a significant reduction in Atmospheric Heat Transport (AHT) between 40–70°N, that is mainly the result of changes in orography and not changes to the GIS (nor of increased  $\text{CO}_2$ ). Reduced AHT in the NHem is consistent with simulation as a weakening of the STJ, which strengthens the NPO (as described above). However, as we see in Figure ??, the zonal wind response is not the same for each latitude, and the STJ weakens greatly over the NPac, and shows little change over the NAtl. Reduced NHem annual mean AHT furthermore does not have to imply the same change in the winter season. Still, reduced AHT due to the changes in orography causes a weakened STJ, which favors the NPO.

**Sea-ice changes:** We observe that the loss of winter sea ice is similar for the  $\text{Eoi}^{280}$  as  $\text{E}^{560}$  (Figure ??), where the North Pacific sea-ice loss in the mid-Pliocene is likely a response to the closed Arctic gateways (Otto-Bliesner et al., 2017; Chandan and Peltier, 2018). When considering present day sea-ice variations, the NPO strongly influences the marginal SIE dominance of the PNA in the pre-industrial, which simultaneously allows the NPO to exert more influence on the North Pacific winter climate. As Linkin and Nigam (2008) explain, the NPO can be considered a Pacific analogue to the NAO in the NA. In the present-day climate, the amplitude of the NAO is large and the NA jet relatively weak, while the NPO amplitude is small and the NP jet relatively strong. In our mid-Pliocene simulations, the NP jet weakens up to 50% and the NPO becomes the dominant mode of variability in the Arctic (Linkin and Nigam, 2008) as well as in the Barents Sea (Xu and Fan, 2020). We find this influence of the NPac-z on the marginal sea-ice zones in the Okhotsk, Bering and Barents Sea also in our  $\text{E}^{280}$  and  $\text{Eoi}^{280}$  simulation (see Figure S4). However, as SIE variability is largely driven by local atmospheric variability (Linkin and Nigam, 2008), it is more likely that changes in the NPac-z cause changes in Arctic SIE variability, instead of the other way around.

Finally, we address the nonlinearity and nonadditivity of the responses to changes in orography, GIS and  $\text{CO}_2$ . Garfinkel et al. (2020) use idealised models to find that the stationary wave response due to land-sea contrast (by means of surface albedo differences), due to heat fluxes in the ocean as well as due to topography, are nonlinear and non-additive. The nonadditivity is specifically important over the North Pacific, where they find that the response to these three forcings applied together is opposite from the sum of the responses of the three forcings applied in isolation. Our NP. This implies that - like the NAO in the present day NA - SLP anomalies related to NPO variability strongly relate to the latitudinal displacement of the NP jet. This is what we see in Figure 7d. The split jet condition over the NP, related to the NPO+ phase in the mid-Pliocene simulations see surface albedo changes due to changes in sea-ice cover and a reduced GIS, changes to heat fluxes in the ocean due to Arctic gateways being closed, and topography changes. Disentangling the relative effect of the mid-Pliocene BCs and increased  $\text{CO}_2$ , as we have done in this study, will therefore be difficult simulation (Figure 8d), is also reminiscent of the dynamic behaviour of the jet in the present-day NA, corroborating the NAO analogue even further.

## 4.2 A climate variability point of view

How do the observed mid-Pliocene changes in North Pacific winter variability link to other modes of variability? Apart from the dominant Pacific teleconnection between canonical ENSO, PDO and PNA, the NPO has been linked to the North Pacific Meridional Mode (NPMM, Chiang and Vimont, 2004; Linkin and Nigam, 2008), as well as to the central Pacific El Niño-ENSO,

740 also known as El Niño Modoki or warm pool El Niño (Furtado et al., 2012; Ding et al., 2022). In the  $Eoi^{280}$ , we find reduced SLP variance in last section of the Results, we show that the North Pacific, with a relative weakening of the PNA and relative strengthening of the NPO. Furthermore, we SLP variability relates to ENSO variability, but not specifically to which ENSO flavour, nor how other modes of variability could be involved. We know that in the  $Eoi^{400}$  simulation with CCSM4-Utr the canonical El Niño-ENSO variability reduces (Oldeman et al., 2021), as well as the variability of the PDO (Baatsen et al., 745 2022, referred to as Pacific Multidecadal Variability or PMV). The reduced canonical El Niño-ENSO and PDO variability could explain be connected to the reduced PNA variability in the North Pacific winter. Oldeman et al. (2021) also show a slight relative increase in the number of central Pacific El Niño-ENSO events over canonical El Niño-ENSO events in the CCSM4-Utr  $Eoi^{400}$ , consistent with the relative strengthening of the NPO. However, the mid-Pliocene NPMM is shown to be a lot substantially weaker in CCSM4-Utr compared to the pre-industrial by Pontes et al. (2022, extended data). Recently though, 750 Stuecker (2018) showed that the PNA and PDO are also connected to the NPMM and central Pacific El Niño-ENSO, especially on low frequencies. Our results consistently show a total reduction in the Pacific oceanic and atmospheric variability in the CCSM4-Utr mid-Pliocene simulations, with a clear reduction of the dominant teleconnection (being PNA, PDO and canonical Niño-ENSO) and a relative increase of the prominence of the second leading teleconnection (being NPO, NPMM and central Pacific El Niño-ENSO).

755 **4.3 The Sensitivity to the mid-Pliocene as future climate analog? boundary conditions and CO<sub>2</sub>**

In the Introduction, we this study we have investigated mid-Pliocene NH winter variability, and separated the response to either CO<sub>2</sub> or to mid-Pliocene boundary conditions other than CO<sub>2</sub>. We find large differences between both climate forcings. In this section we want to discuss how sensitive the responses found are to the combination of mid-Pliocene boundary conditions and elevated CO<sub>2</sub>. Furthermore, we explore which of the specific boundary condition changes in the  $Eoi^{280}$  could explain the 760 observed response.

Firstly, we want to address the non-additivity of the responses to changes in boundary conditions, or elevated CO<sub>2</sub>. To investigate this, we briefly study the results of an additional  $Eoi^{560}$  simulation (described in Baatsen et al. (2022)), which represents a simulation with mid-Pliocene boundary conditions and a doubled pre-industrial CO<sub>2</sub> level. With this simulation, we can assess the response to mid-Pliocene boundary conditions at elevated CO<sub>2</sub> conditions ( $Eoi^{560} - E^{280}$ ), as well as the 765 response to a CO<sub>2</sub> doubling in mid-Pliocene conditions ( $Eoi^{560} - Eoi^{280}$ ). The results in terms of MSLP difference and SLP SD difference are included in the Supplement material Figures S10 and S11. The MSLP difference (Figure S10) is very consistent in response to a CO<sub>2</sub> doubling (i.e. no substantial change) as well as in response to mid-Pliocene conditions (i.e. a substantial increase in NP MSLP), for all simulations. The SLP SD difference across the set of simulations (Figure S11) is similar, but not entirely the same. The NP SLP SD increase for the CO<sub>2</sub> doubling with present-day geography ( $E^{560} - E^{280}$ ) 770 is not seen in the CO<sub>2</sub> at mid-Pliocene conditions (i.e. no substantial change;  $Eoi^{560} - Eoi^{280}$ ). The NP SLP SD decrease for the mid-Pliocene conditions at low CO<sub>2</sub> ( $Eoi^{280} - E^{280}$  is also seen at higher CO<sub>2</sub> ( $Eoi^{560} - E^{560}$ ), but slightly intensified. Finally, the response to the main mid-Pliocene simulation in PlioMIP2 ( $Eoi^{400} - E^{280}$ ) seems to be an added combination of the responses to the mid-Pliocene BCs and elevated CO<sub>2</sub>. The reduction of NP SLP SD is seen, but slightly less than in response

775 to the  $E^{280}$ , which would be the scaled effect of the response to the  $E^{560}$ . Research by Garfinkel et al. (2020) shows that the stationary wave response to various boundary conditions is not always linear and additive, however. A more in-depth analysis on the nonlinearity and nonadditivity of the winter variability response is out of the scope of this work.

780 Secondly, we want to hypothesize which specific change in the boundary conditions of the mid-Pliocene simulation could be mainly responsible for the observed responses. As we have not performed these sensitivity studies with our own model, we will turn to results from studies performed with other PlioMIP2 models. Some modelling groups performed simulations with either just reduced *land ice cover* (including the reduced GIS), or just changes to the *orography*, which includes the closure of gateways (including the Bering Strait and Canadian Arctic Archipelago), vegetation changes, and minor topography and bathymetry changes. Stepanek et al. (2020) performed these sensitivity studies with climate model COSMOS and find that the annual mean climate response (in terms of surface temperatures and precipitation) is generally more sensitivity to the changes in orography, compared to changes in ice sheets. Specifically in terms of tropical Pacific precipitation, the response to orography is substantial (albeit not the same as for our  $E^{280}$  simulation), while the response to reduced ice sheets is insignificant (compared to the  $E^{280}$ ). Employing sensitivity studies with climate model CCSM4-UoT, Chandan and Peltier (2018) show that the northern higher latitudes climate (in terms of annual mean as well as winter mean surface temperatures and sea-ice cover) is also more sensitive to changes in orography, than changes in ice sheets. Also using the CCSM4-UoT simulations, Menemenlis et al. (2021) find that a wintertime stationary wave pattern present over the pre-industrial North Pacific nearly 785 disappears in the mid-Pliocene. They show that this reduced wave train response is present in the simulations with changed orography, as well as the simulation with reduced ice sheets (in almost equal amplitude), but not in simulations with just elevated CO<sub>2</sub>. Regarding which specific orography change could cause most of the response, Otto-Bliesner et al. (2017) uses CCSM4 simulations and finds that there is a similar response in North Pacific Arctic climate to closing just the Bering Strait or just the Canadian Arctic Archipelago. To summarize, studies with other climate models indicate a large sensitivity of the 790 climate response to changes in orography (including the closed gateways), over the changes in ice sheet cover. However, these results do not automatically translate to the winter variability response, and neither to our specific model used here.

#### 4.4 A reflection on model performance

800 When comparing our  $E^{280}$  pre-industrial reference simulation with the CR20 reanalysis (see Figure 1), we find some large biases with regards to the MSLP and total SLP SD. The MSLP gradient in the NA is greatly overestimated. However, the NAO and EA are well reproduced, and the main differences within the set of simulations are not in the NA. In terms of SLP SD, there is an overestimation of the variance over the NP, and an underestimation over the NA, which is a known model bias (Eyring et al., 2021). This affects the AO mode, as well as the differences between the CR20 and  $E^{280}$  in terms of correlations between the different modes (Figure 6). While the SLP SD in the NP is overestimated, the NPO and PNA are well reproduced both in terms of spatial pattern and in variance explained by each mode. We are therefore confident in our results regarding 805 shifts in NPO and PNA in our set of simulations.

The choice to evaluate only one model was based on the fact that CCSM4-Utr has a unique set of sensitivity simulations that most other PlioMIP2 modelling groups have not performed. However, a limitation is that the results are biased towards the

810 performance and sensitivities of CCSM4-Utr. While most PlioMIP2 modelling groups have not performed the Eoi<sup>280</sup> and E<sup>560</sup> simulations, we can still try to extrapolate the dynamical explanation based on results with the Eoi<sup>400</sup> simulation that has been performed by all modelling groups. Most PlioMIP2 models show 1) a northward shift of the ITCZ (Pontes et al., 2022), 2) a westward expansion of the Walker circulation (Han et al., 2021), and 3) a reduction of the ENSO variability (Oldeman et al., 2021) in the mid-Pliocene Eoi<sup>400</sup>. Considering our dynamical hypothesis linking shifts in Pacific convection to the changes in SLP variability, this could indicate that we can expect similar changes as found in CCSM4-Utr across the PlioMIP2 ensemble. However, for all of these three features, the CCSM4-Utr Eoi<sup>400</sup> simulation is the model with the largest change in that direction, 815 which would imply that CCSM4-Utr might be an end-member of the spectrum. An assessment of the changes in NP variability and their relation with ENSO changes in the full PlioMIP2 is planned for future research.

820 Haywood et al. (2020) assess climate sensitivity across the PlioMIP2 ensemble, and report that CCSM4-Utr has a relatively low equilibrium climate sensitivity (ECS; 3.2 compared to 3.7 in the ensemble mean). However, it has a relatively high Earth system sensitivity (ESS; 9.1 compared to 6.2 in the ensemble mean). ECS and ESS are both measures of the climate's sensitivity to a CO<sub>2</sub> doubling, but ESS takes into account long term feedbacks such as adjustment to changes in ice sheets and orography, whereas ECS just considers CO<sub>2</sub> sensitivity. CCSM4-Utr's high ESS, but low ECS, indicates a large sensitivity to the mid-Pliocene boundary conditions other than elevated CO<sub>2</sub>. This would indicate that while the response in the Eoi<sup>280</sup> simulation might largely dictate the response in the Eoi<sup>400</sup> for CCSM4-Utr, this does not have to be the case for many of the other PlioMIP2 models.

## 825 4.5 The mid-Pliocene as future climate analogue?

In the introduction, we posed the question of whether the mid-Pliocene can be considered as an analog for future changes in atmospheric winter variability in the Northern Hemisphere. NH. In terms of temperature and precipitation, Burke et al. (2018) show that end of this century climate would be most analogous to the mid-Pliocene, both following the RCP4.5 and RCP8.5 scenarios, surface temperatures at the end of this century would be most analogous to the mid-Pliocene. We 830 compared to other past warm climates. We also find that the winter SAT and SIE response to elevated CO<sub>2</sub> or to mid-Pliocene boundary conditions other than CO<sub>2</sub> are similar. This is consistent with Burton et al. (2023) that state that CO<sub>2</sub> is the dominant forcing in surface temperatures in the mid-Pliocene. However, we find that the response in winter SLP variability is almost opposite to both forcings, especially over the North Pacific. This is in a way 'consistent' with studies on similar for the mid-Pliocene ENSO (Pontes et al., 2022) and AMOC (Weiffenbach et al., 2023) and AMOC, where the changes are also 835 opposite to end-of-the century projections. Feng et al. (2022) argue that the (Pontes et al., 2022; Weiffenbach et al., 2023). This could already disqualify the mid-Pliocene changes in ice sheets and vegetation are in some way a as a future analog for these climate features, but one could argue that some mid-Pliocene boundary conditions are actually long-term Earth system feedbacks to elevated atmospheric CO<sub>2</sub>, such as the changes in ice sheets and vegetation (Feng et al., 2022). However, following the sensitivity study results in Chandan and Peltier (2018), it seems that the biggest influence on mid-Pliocene 840 higher latitude climate are changes in orography, that is which includes the closed Arctic gateways, rather than the reduced GIS Greenland Ice Sheet, or elevated CO<sub>2</sub>. The Bering Strait is not expected to close in the coming centuries or millennia, so this

disqualifies the mid-Pliocene as analogue for a future warm climate. However, the analysis in this paper is restricted explicitly to the ~~Northern Hemisphere~~ ~~NH~~ winter. Other seasons and regions in the ~~world~~ ~~mid-Pliocene climate~~ may behave differently, and potentially more analogous to what is expected for increased ~~future~~ CO<sub>2</sub> levels. ~~Furthermore, other models might be less sensitive to the mid-Pliocene boundary conditions, and could be better candidates to assess future climate analogy.~~

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## 5 Summary and conclusions

In this study, we address the question whether the mid-Pliocene climate ~~can act as an analog for a future warm climate regarding Northern Hemisphere winter variability~~ ~~be used to investigate the response of NH winter atmospheric variability, such as the NAO, NAM and PNA, to increased CO<sub>2</sub>. To answer that question, we want to know 1) whether there is a difference in the response to elevated CO<sub>2</sub>, and to mid-Pliocene boundary conditions other than CO<sub>2</sub>, including closed Arctic gateways and reduced ice sheets, and 2) how changes in mean winter climate relate to changes in atmospheric variability in the NH.~~ We use a version of the CESM1.0.5, that is a part of PlioMIP2, and we show that the pre-industrial reference simulation is good in reproducing patterns of SLP variability, when compared to the CR20 reanalysis. We use a set of sensitivity simulations to separate the response to a CO<sub>2</sub> doubling and the response to mid-Pliocene boundary conditions other than CO<sub>2</sub>.

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~~We Considering subquestion 1), we~~ find that, although winter surface temperatures ~~and sea-ice loss~~ respond in a similar way to CO<sub>2</sub> or to mid-Pliocene boundary conditions, the ~~response responses~~ in mean SLP~~and jet stream~~, ~~jet stream and precipitation~~ show distinct differences. In the CO<sub>2</sub> doubling ~~experiment simulation~~, there is little response in mean SLP, while the mid-Pliocene shows a large increase in mean SLP over the North Pacific, together with a weakened jet stream. Regarding SLP variability, we find an increase in North Pacific SLP variance and a strengthening of the Pacific-North American pattern (PNA) in response to CO<sub>2</sub> doubling, consistent with literature, and no notable changes over the North Atlantic. An opposite response is seen in the mid-Pliocene boundary conditions simulation, where SLP variance decreases over the North Pacific, the PNA becomes weaker, and the North Pacific Oscillation (NPO) becomes the dominant mode of variability. We find a strong correlation between the PNA and North Pacific jet intensity on the one hand, and the NPO and jet latitude on the other hand, both in pre-industrial and mid-Pliocene climate. ~~The~~

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~~Considering subquestion 2), we find that the~~ pre-industrial climate, characterised by a strong PNA, shows a strong ~~mean~~ jet with variations in jet strength but not in latitude. The mid-Pliocene ~~experiment boundary conditions simulation~~ shows a weak ~~mean~~ jet that is less variable in intensity, but has a high level of variation in jet latitude, consistent with a dominant NPO.

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~~We suggest We hypothesize that the weakening of the Aleutian low, and subsequent relative dominance of the NPO over the PNA, is related to North Pacific jet stream is ultimately related to shifts in the tropical Pacific precipitation signal, both in the mean and in its variability. Both a reduced ENSO variability, as well as shifts in the typical surface temperature response in the mean ITCZ position and upward branch of the Walker circulation, weaken the tropical Pacific precipitation response, which in turn leads to a weaker North Pacific jet stream.~~

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~~The large sensitivity of the mid-Pliocene . Although we do not have simulations that isolate the closed gateways and reduced Greenland Ice Sheet, based on other studies we suggest that this warming imprint is mostly an effect of the changes in~~

875 orography, which includes the closure of the Bering Strait, rather than reduced Greenland Ice Sheet. Even though the SAT response to ~~CO~~ climate to changes in boundary conditions other than elevated CO<sub>2</sub> doubling looks qualitatively similar, the warming is more uniform over the North Pacific, and concentrates much more over the higher latitudes, whereas the leads us to conclude that the mid-Pliocene warming is concentrated over the western North Pacific and shows a cool anomaly over the northeastern North Pacific climate might not be a good candidate to determine the response of NH winter atmospheric  
880 variability to increased CO<sub>2</sub>. The opposite response in North Pacific winter variability to elevated CO<sub>2</sub> as opposed to mid-Pliocene boundary conditions weakens the notion of the mid-Pliocene climate as a future ~~analog~~analogue, especially since the closure of the Arctic gateways is not a long-term Earth system feedback to elevated CO<sub>2</sub>.

Even though we consider the CESM1.0.5 a suitable model for this study, we ~~might wonder whether every think that it might be an end-member in the~~ PlioMIP2 ~~model shows the same ensemble in terms of its winter variability~~ response. In future research we plan to extend the investigation to the rest of the PlioMIP2 ensemble. In addition, the specific mechanisms by which the different mid-Pliocene boundary condition cause the changes in SLP variability and jet variations ~~are not entirely clear~~ can be investigated in more detail. The specific response to these individual forcings, and the possible non-linear interaction between them, is an important open issue, which if resolved can help us understand how the winter climate responds to changing forcings in a warmer future.

890 *Code availability.* The Python scripts (Jupyter Notebooks) used for data analysis are freely accessible through Zenodo (<https://doi.org/10.5281/zenodo.782023>) Oldeman (2023))

*Data availability.* Output from CCSM4-Utr simulations is available upon request. Please contact Michiel Baatsen (m.l.j.baatsen@uu.nl) for access. NOAA/CIRES/DOE 20th Century Reanalysis (V3) data is provided by the NOAA PSL, Boulder, Colorado, USA, from their website at <https://psl.noaa.gov> (last access: 28 February 2023). An evaluation of the performance of the CR20v3 can be found in Slivinski et al.  
895 (2021). Support for the Twentieth Century Reanalysis Project version 3 dataset is provided by the U.S. Department of Energy, Office of Science Biological and Environmental Research (BER), by the National Oceanic and Atmospheric Administration Climate Program Office, and by the NOAA Earth System Research Laboratory Physical Sciences Laboratory.

*Author contributions.* All authors contributed to the design of this work. AMO performed the analyses and wrote the manuscript.

*Competing interests.* The authors declare no competing interests.

900 *Acknowledgements.* The work was carried out under the program of the Netherlands Earth System Science Centre (NESSC), financially supported by the Ministry of Education, Culture and Science (OCW grant number 024.002.001). Simulations were performed at the SURFsara Dutch national computing facilities and were sponsored by NWO-EW (Netherlands Organisation for Scientific Research, Exact Sciences) (project nos. 17189 and 2020.022). The authors would like to thank Michael Kliphuis for setting up and managing the CCSM4-Utr model simulations, and Ezekiel Djeribi Stevens for their performed analysis and interpretation on the CCSM4-Utr NAO. The authors express their  
905 gratitude to two anonymous reviewers and the co-editor for providing useful feedback on an earlier version of the manuscript.

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