

1 **Characterizing water-soluble Brown carbon in fine parti-**
2 **cles in four typical cities in Northwest China during winter-**
3 **time: coupling-Integrating optical properties with chemical**
4 **processes**

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18

19 **Abstract**

20

21 Brown carbon (BrC) aerosol could impact atmospheric radiative forcing and play a cru-
22 cial role in atmospheric photochemistry. ~~Most previous studies have predominantly fo-~~
23 ~~cused on bulk optical properties of ambient BrC from biomass burning emitted primary~~
24 ~~or secondary BrC aerosol. Few studies have focused on fossil fuel influenced BrC aer-~~
25 ~~osol, especially coal combustion emissions.~~ In this study, fine particulate matter (PM_{2.5})
26 filter samples were collected synchronously in four capital cities of Northwest China
27 during the winter season (December 2019–January 2020): Lanzhou (LZ), Xining (XN),
28 Yinchuan (YC), and Urumqi (UR), which are represented as energy-producing and
29 heavy manufacturing cities in China. The primary aim of the study is to explore the
30 optical properties, sources, and chemical processes of water-soluble BrC (WS-BrC).
31 The average mass absorption efficiency at 365 nm (MAE₃₆₅) of WS-BrC at these four
32 cities was 1.24 ± 0.19 m²/g (XN), 1.19 ± 0.12 m²/g (LZ), 1.07 ± 0.23 m²/g (YC), and
33 0.78 ± 0.16 m²/g (UR), respectively. The properties of WS-BrC were further investi-
34 gated by an acid-base titration experiment. The results showed that the MAE₃₆₅ values
35 in all cities increased with higher pH values (2–11), while the fluorescent intensities of
36 water extracts fluctuated with pH values, being stronger under both highly acidic and
37 basic conditions. The sensitivity to pH variation was most pronounced in the WS-BrC
38 samples from YC and LZ, indicating the important contribution of acid/base functional
39 group compounds in these locations. Additionally, the study revealed significant photo-
40 enhancement (LZ) or photo-bleaching (YC and UR) phenomena of WS-BrC in differ-
41 ent cities. These results suggest that the sources and/or chemical processes of WS-BrC
42 varied among the cities.

43

44 The sources and chemical processes of WS-BrC were further explored by a combina-
45 tion of parallel factor analysis (PARAFAC) on excitation-emission matrix (EEM) spec-
46 tra of WS-BrC and positive matrix factorization analysis (PMF) on high-resolution
47 mass spectra of water-soluble organic aerosol (OA). Six PARAFAC components were

48 identified, including three humic-like substances ([two LO-HULIS](#) and [one HO-](#)
49 [HULIS](#)~~LO-HULIS, HO-HULIS1, and HO-HULIS2~~), two protein-like (PLS) or phenol-
50 like substances, and one undefined substance. Four PMF factors were identified, in-
51 cluding a water-soluble primary OA (WS-POA), a less oxidized oxygenated OA asso-
52 ciated with coal combustion-induced WSOA (LO-OOA), and two highly oxidized ox-
53 ygenated OAs resulting from photochemical oxidation and aqueous-phase oxidation
54 transformations (HO-OOA1 and HO-OOA2). WS-POA was determined to be the most
55 significant source of light absorption, accounting for 30%–60% based on multiple lin-
56 ear regression models, and it showed a significant correlation with PLS and LO-HULIS
57 components. The loss of light absorption of WS-POA was found to occur through its
58 conversion to LO-OOA and HO-OOAs through photochemical or aqueous reactions,
59 with HO-OOAs being significantly correlated with the HO-HULIS component. These
60 processes can be clearly illustrated by integrating optical properties and chemical com-
61 position using Van Krevelen diagram and EEM plot.

62

63 Keywords: Brown carbon, AAE, fluorescent chromophores, HR-AMS, PMF

64 **1. Introduction**

65

66 Brown carbon (BrC) is a certain fraction of organic aerosols that absorb lights in the
67 ultraviolet and visible (UV–Vis) ranges (Andreae and Gelencsér, 2006; Laskin et al.,
68 2015). The light absorption of BrC displays a strong wavelength-dependence which can
69 be characterized by higher value (≥ 2) of the absorption Ångström exponent (AAE)
70 (Laskin et al., 2015). The significant effects of BrC on climate and atmospheric chem-
71 istry have been characterized previously. Wang et al. (2018) estimate the global mean
72 absorption direct radiative effect (DRE) of BrC is $+0.048\text{W/m}^2$ using the GEOS-Chem
73 chemical transport model. The absorption of solar radiation due to BrC can also affect
74 the formation of ozone and radicals of $\bullet\text{OH}/\bullet\text{HO}_2$ and corresponding atmospheric chem-
75 ical reactions (Mok et al., 2016; Baylon et al., 2018).

76

77 Biomass combustion is a major global source of primary BrC, as biomass is widely
78 used for residential heating and cooking and is also produced during wildfires
79 (Washenfelder et al., 2015; Lin et al., 2017; Zeng et al., 2020). [Coal combustion is](#)
80 [another important source of primary BrC, particularly in urban areas during the winter](#)
81 [heating period \(Tan et al., 2016; Hu et al., 2020; Yuan et al., 2021; Deng et al., 2022\).](#)

82 In addition to primary sources, secondary BrC is formed through various reaction path-
83 ways ~~related to biomass burning, such as aqueous oxidation of phenolic compounds or~~
84 ~~gas phase photo-oxidation of aromatic volatile organic compounds (VOCs) (Lin et al.,~~
85 ~~2015; Vidovic et al., 2018; Liu et al., 2019; Vidovic et al., 2020).~~, such as [gas-phase](#)
86 [photo-oxidation of aromatic volatile organic compounds \(VOCs\), and nighttime aque-](#)
87 [ous-phase formation of aromatic nitration products in the atmospheric condensed phase](#)
88 [\(Lin et al., 2015; Vidovic et al., 2018; Liu et al., 2019\).](#) -The chemical compositions and
89 light absorption of BrC can vary significantly due to atmospheric aging. For instance,
90 BrC can photobleaching through photolysis reactions in the presence of $\bullet\text{OH}$ radical
91 and O_3 , or darken via the formation of nitrated organic compounds (Lin et al., 2015;

92 Zhao et al., 2015; Moise et al., 2015; Li et al., 2020a). Furthermore, atmospheric con-
93 ditions such as changes in pH, air temperature, and relative humidity can affect the light
94 absorption characteristics of BrC (Song et al., 2013; Moise et al., 2015; Phillips et al.,
95 2017; Qin et al., 2020).

96

97 The solvent extracts from filter samples have been widely used to assess the absorbing
98 properties of BrC (Zhang et al., 2017; Wu et al., 2019; Xu et al., 2020b; Zou et al.,
99 2023). Water-soluble BrC is only a portion of BrC, which accounts for approximately
100 half of the total light absorption by solvent-extractable organic aerosols in urban areas
101 (Cheng et al., 2017; Huang et al., 2018; Chen et al., 2020b), and exceeds half and even
102 up to 90% in remote areas (Zhu et al., 2018; Li et al., 2022). Various optical instruments
103 are used to determine the light absorption of BrC. These instruments include direct
104 measurement of airborne aerosol (e.g., particle soot absorption photometer, photo-
105 acoustic spectroscopy, and cavity ring down spectroscopy) (Laskin et al., 2015) or of-
106 line measurement of aerosol extracts by UV-Vis spectrometer. The aerosol extracts are
107 measured offline using a UV-Vis spectrometer to determine the light absorption of BrC
108 (Hecobian et al., 2010). Excitation-emission matrix spectroscopy (EEMs) was recently
109 used to reveal similar chemical structures and photochemical features, as well as to
110 trace the sources of BrC chromophores (Chen et al., 2016; Tang et al., 2020). A few
111 recent studies have characterized BrC compounds by combining high-resolution mass
112 spectrometry and UV-vis spectroscopy (Lin et al., 2017; Wang et al., 2019; Huang et
113 al., 2020; Ni et al., 2021), which facilitates the assessment of the chemical composition
114 of BrC chromophores at the molecular level. Recent studies have identified the major
115 water-soluble BrC species, including humic-like substances, phenols, nitroaromatics,
116 and oxygenated aromatics (Cai et al., 2020; Qin et al., 2022a; Jiang et al., 2022; Zhou
117 et al., 2022).

118

119 In China, coal is still a primary source of energy due to its extensive use in coal-fired

120 ~~power plants, industrial steam boilers, and central heating during winter. It is particu-~~
121 ~~larly prevalent in Western China, where numerous industrial bases have been estab-~~
122 ~~lished since the Development of China's Western Regions strategy in the 2000s.~~ In re-
123 cent years, cities in Northwest China have experienced more severe air pollution due to
124 rapid economic development and intensive anthropogenic activities, especially in the
125 capital cities of this region. ~~Some recent studies have identified coal combustion as a~~
126 ~~significant source of BrC in Northwest China (Tan et al., 2016; Chen et al., 2021; Zhang~~
127 ~~et al., 2021a). Compared with BrC resulting from biomass burning, the optical and~~
128 ~~chemical properties of BrC emitted from coal combustion have not been well charac-~~
129 ~~terized. Despite previous research on the chemical compositions and source apportion-~~
130 ~~ment of atmospheric aerosols (Xu et al., 2014; Xu et al., 2016; Tan et al., 2016; Xu et~~
131 ~~al., 2020a; Zhang et al., 2021a), the current understanding of the optical properties and~~
132 ~~formation mechanisms of brown carbon over Northwest China is quite limited and de-~~
133 ~~serves more attention.~~ This study aims to characterize the optical properties of water-
134 soluble BrC (WS-BrC) by collecting PM_{2.5} filter samples from four capital cities in
135 Northwest China and analyzing them using a suite of instruments. In particular, the
136 study focused on the contribution of primary and secondary sources of atmospheric
137 water-soluble chromophores and the related chemical processes. This objective could
138 be accomplished by combining data from Excitation-Emission Matrices Spectroscopy
139 (EEMs) and High-Resolution Aerosol Mass Spectrometry (HR-AMS).

140

141 **2. Methods**

142

143 2.1. Filter samples collection at the four cities

144

145 PM_{2.5} filter samples were collected synchronously from four capital cities in Northwest
146 China, namely Yinchuan (YC), Xining (XN), Urumqi (UR), and Lanzhou (LZ), from
147 5th December 2019 to 20th January 2020 (Figure 1). The sampling sites were located
148 on building rooftops and situated within the cultural and educational districts of each

149 city, away from significant pollution sources. Filter samples were collected by a mid-
150 volume PM_{2.5} sampler (Laoying Ltd., model 2030) with a flow rate of 100 L/min in YC
151 (sample IDs: 1–14), by a low-volume PM_{2.5} sampler (Wuhan Tianhong Instrument
152 Co Ltd., TH-16E) with a flow rate of 16.7 L/min in XN and UR (sample IDs: 15–28
153 and IDs: 29–42), and by a low-volume aerosol sampler (BGI, model PQ 200) with a
154 flow rate of 16.7 L/min in LZ (sample IDs: 43–56). Fine particles were collected onto
155 quartz filters with a 90-nm diameter (Whatman, UK) in YC and with a 45-nm diameter
156 (PALL Life Sciences, USA) in other cities, which had pre-baked at 550 °C for five
157 hours to eliminate carbonaceous particles. Blank filter samples were obtained from each
158 site by leaving the filters in the sampler for ten minutes without sampling. Each filter
159 was wrapped in aluminum foil and frozen at –20 °C until analysis. The daily average
160 concentration of PM_{2.5}, SO₂, NO₂, CO, O₃, and meteorological data (air temperature
161 and relative humidity) were obtained from the nearest station of the National Environ-
162 mental Monitoring Net sites (<http://www.cnemc.cn/>) for comparison. Figure 1 also il-
163 lustrates the energy consumption structure of industrial enterprises in four cities, with
164 YC, UR, and LZ being energy production cities, and XN being a heavy manufacturing
165 city (Shan et al., 2018). The energy consumption data for 2019 was obtained from the
166 Statistical Yearbook sharing platform (<https://www.yearbookchina.com/>).

167

168 2.2. Chemical analysis

169

170 The chemical components of the samples were analyzed using multiple instruments.
171 Firstly, a piece of each filter (0.50 cm²) was analyzed for organic carbon (OC) and ele-
172 mental carbon (EC) contents using a Thermal/Optical carbon analyzer (DRI Model
173 2015A, Desert Research Institute, USA) with the IMPROVE-A method (Chow et al.,
174 2007). One-quarter of each filter from YC and half of each filter from other cities were
175 extracted in 30ml of Milli-Q water (18.2 M Ω·cm) using an ultrasonic bath for 40
176 minutes. Ice was added to the ultrasonic bath to minimize chemical reactions and evap-
177 oration loss during sonication. Water-insoluble residuals were eliminated by filtering

178 extracts via a 0.45- μm PTFE syringe filter (Pall Life Sciences, USA). The concentra-
179 tions of water-soluble inorganic ions (WSIIs) (Cl^- , NO_3^- , SO_4^{2-} , NH_4^+ , Na^+ , K^+ , Ca^{2+} ,
180 and Mg^{2+}) were analyzed using two 881 compact ion chromatography systems
181 (Metrohm, Herisau, Switzerland). The operation details can be found elsewhere (Xu et
182 al., 2015). WSOC was analyzed using a TOC analyzer (Elementar Vario TOC cube,
183 Hanau, Germany) with the method of total inorganic carbon (TIC) subtracted from total
184 carbon (TC) (Xu et al., 2015; Zhang et al., 2017).

185

186 2.3. Analysis by ultraviolet-visible absorption spectroscopy

187

188 2.3. Analysis by ultraviolet-visible absorption spectroscopy

189

190 The absorption spectra of water extracts in the 200–700 nm wavelength range were
191 obtained using a UV-visible absorption spectrophotometer (UV-2700; Shimadzu,
192 Kyoto, Japan) at 1 nm intervals with Milli-Q water as the reference. The absorption
193 spectra were corrected by subtracting the average absorbance between 690–700 nm
194 (A_{700}) from the absorbance at wavelength λ .

195

196 The light absorption coefficient at a given wavelength (Abs_λ , M/m) of water extracts is
197 calculated as follows:

$$198 \quad \text{Abs}_\lambda = (A_\lambda - A_{700}) \times \frac{V_1}{V_a \cdot l} \times \ln(10) \quad (1)$$

199 where A_λ is the absorbance at wavelength λ ; V_1 is the extract volume (30 mL), V_a is
200 the volume of air passing through the filter, and l is the optical path length, 5 cm.

201

202 The mass absorption efficiency (MAE_λ , m^2/g) of water extracts can be derived as
203 follows:

$$204 \quad \text{MAE}_\lambda = \frac{\text{Abs}_\lambda}{C_{\text{WSOC}}} \quad (2)$$

205 where C_{wsoc} represents the mass concentration of WSOC in the extract. For simplic-
206 ity, the absorption at 360–370 nm (mean 365 nm) is used to characterize the absorption
207 of BrC (Hecobian et al., 2010).

208

209 The spectral dependence of light absorption fits a power law as follows:

$$210 \quad \text{Abs}_{\lambda} = K \cdot \lambda^{-\text{AAE}} \quad (3)$$

211 where K is a constant related to light absorption, and the absorption Ångström exponent
212 (AAE) can be fitted at the range of 300–400 nm.

213

214 The imaginary part k of the particle refractive index represents the light absorption by
215 aerosols and can be calculated as follows (Liu et al., 2013):

$$216 \quad k_{\lambda} = \frac{\text{MAE}_{\lambda} \times \lambda \times \rho}{4\pi} \quad (4)$$

217 where ρ (g/cm³) is estimated as $\rho = (12 + \text{H/C} + 16 \times \text{O/C}) / (7 + 5 \times \text{H/C} + 4.15 \times \text{O/C})$
218 (Kuwata et al., 2012).

219

220 The direct radiative forcing of WS-BrC is estimated by the simple forcing efficiency
221 model (SFE). The wavelength-dependent SFE_{Abs} (W/g) of WS-BrC is calculated using
222 the following equation (Bikkina and Sarin, 2019):

$$223 \quad \frac{d\text{SFE}_{\text{Abs}}}{d\lambda} = D \frac{dS(\lambda)}{d\lambda} \tau_{\text{atm}}^2 (1 - F_C) \times 2\alpha_s \times \text{MAE}_{\lambda} \quad (5)$$

224 where $S(\lambda)$ represents the wavelength-dependent solar irradiance, obtained from ASTM
225 G173–03 reference spectra. Additionally, τ_{atm} refers to atmospheric transmission (0.79);
226 D is assigned the value of 0.5, representing the proportion of daylight hours; F_C is set
227 to 0.6, representing the cloud proportion; α_s is fixed at 0.19 for the global average, rep-
228 resenting the surface albedo (Chen and Bond, 2010).

229

230 2.4. EEM fluorescence spectra analysis

231

232 The three-dimensional excitation-emission matrix spectroscopy (EEMs) of the samples
233 were obtained using an F-7100 fluorescence spectrometer (Hitachi High-Technologies,

234 Japan). The EEMs were measured in the range of 200 to 400 nm with 5 nm intervals
235 for excitation and 250 to 550 nm with 1 nm intervals for emission. The inner filter effect
236 was reduced by diluting the extracts until their absorbance was below 0.1 at 254 nm
237 (Ohno, 2002). The original EEMs were processed following: (1) subtracting the Milli-
238 Q water spectrum to reduce background influence, (2) interpolating to eliminate the
239 interference signals of the Rayleigh scattered light, then (3) adjusting the fluorescence
240 intensity by dividing the Raman peak area of Milli-Q water at Ex = 350 nm to remove
241 instrument dependency (Lawaetz and Stedmon, 2009).

242

243 The obtained fluorescence intensities (unit of RU) were further processed using parallel
244 factor analysis (PARAFAC) to group potentially similar chemical components. This
245 process was conducted using the MATLAB 2016b software combined with the DOM-
246 Fluor and drEEM toolboxes (Murphy et al., 2013). The 6-component model was even-
247 tually chosen from 2- to 10-component PARAFAC models because the residual errors
248 decreased markedly when the number of components increased from 2 to 6 (Figure S1).
249 In addition, the 6-component model has reasonable spectra of each fluorescent compo-
250 nent.

251

252 Furthermore, the fluorescence properties of the water extracts were examined through
253 the fluorescence indices. The humification index (HIX) was calculated by the ratio of
254 the integrated fluorescence emission intensity in the region of 435–480 nm to 300–345
255 nm under the excitation wavelength of 255 nm. The biological index (BIX) was calcu-
256 lated by the ratio of the emission intensity of 380 nm to 430 nm under the excitation
257 wavelength of 310 nm (Zsolnay et al., 1999; Mcknight et al., 2001; Yang et al., 2020b).
258 The average fluorescence intensities (AFI) can be calculated in the region of 200–400
259 nm for excitation wavelengths and 250–550 nm for emission wavelengths.

260

261 The apparent fluorescence quantum yields (AQY) can be calculated as follows:

262

$$AQY_{\lambda} = \frac{\int FI(\lambda_{Ex}, \lambda_{Em}) d\lambda_{Em}}{UVA(\lambda_{Ex}) \int d\lambda_{Em}} \Big|_{Ex} \quad (6)$$

263 where FI represents the fluorescence intensity (RU) at each excitation (200–400 nm)
264 and emission (250–550 nm) wavelength.

265

266 2.5. pH titration experiment

267

268 To investigate the variation of light-absorbing and fluorescent properties of ambient
269 aerosols under the influence of pH, we selected samples with higher WSOA concentra-
270 tions at each city and recorded their UV-vis absorption and fluorescence spectra at dif-
271 ferent pH settings. The water extracts were adjusted to pH 2 using 2 M HCl and then
272 titrated with 0.1 M NaOH to different pH values until the pH reached 11, as measured
273 by a pH meter (Orion Star A111, Thermo Fisher Scientific, Waltham, MA, USA). The
274 pH meter was calibrated with buffer solutions of pH 4.01, 7.00, and 10.01 during the
275 measurement.

276

277 2.6. HR-AMS Measurements

278

279 Offline measurements by high-resolution time-of-flight aerosol mass spectrometry
280 (HR-AMS) were conducted to analyze the ion group, elemental ratio, and oxidation
281 properties of water-soluble organic aerosol (WSOA). Each sample was atomized with
282 argon (purity: 99.9999%) to eliminate interference from CO₂ in the air. The generated
283 aerosol was passed through a silica gel diffusion dryer to remove water vapors, and the
284 aerosol particles were then sampled into an HR-AMS instrument (Aerodyne Inc.,
285 Billerica, MA, USA) through an aerodynamic lens inlet. The HR-AMS was operated in
286 both V- and W-mode. Deionized water was aerosolized and analyzed in the same pro-
287 cedures between every two samples. Elemental ratios, including O/C, H/C, N/C, and
288 OM/OC, were determined based on high-resolution mass spectra (m/z up to 120) and
289 the Improved-Aiken (I-A) method (Canagaratna et al., 2015). The elemental contribu-
290 tions of C, O, H, and N reported are mass-based, and more details can be found else-
291 where (Xu et al., 2013). Positive matrix factorization (PMF) was performed on high-

292 resolution mass spectra of WSOA at four cities to identify the potential source factors
293 of WSOA, and four factors were ultimately decomposed. A detailed description of PMF
294 can be found in Zhao et al. (2022).

295

296 In addition, the mass concentration of WSOA can be calculated as follows:

$$297 \quad \text{WSOA} = \text{WSOC} \times (\text{OM/OC}) \quad (7)$$

298

299 **3. Results and discussions**

300

301 **3.1. Overview of the field observations**

302

303 Figure 2 presents an overview of the time series of meteorological conditions (air tem-
304 perature, relative humidity (RH), and precipitation), Abs₃₆₅, AFI, as well as the mass
305 concentrations of WSI_sWSI_s, WSOA, and EC in the four cities. The weather during
306 the study was generally sunny, cold, and dry (Figure 2a). For instance, the average (\pm
307 1σ) daily air temperature was -3.2 ± 3.4 °C at YC, -4.4 ± 2.2 °C at XN, -9.2 ± 3.7 °C
308 at UR, and -3.8 ± 2.5 °C at LZ, respectively, and the average ($\pm 1\sigma$) RH was $62.4 \pm$
309 15.8% at YC, $56.1 \pm 14.7\%$ at XN, and $58.1 \pm 9.7\%$ at LZ. At UR, there were relatively
310 higher RH conditions ($83.9 \pm 6.6\%$), and frequent foggy weather and two snowfall
311 events were observed mainly due to the invasion of cold and wet air mass from the
312 Arctic Ocean during winter (Yang et al., 2020a). Both the cold/wet and cold/dry weather
313 conditions in our study facilitated the study of different chemical processes.

314

315 The mass concentrations of chemical species of PM_{2.5}, as well as their mass fractions,
316 varied dynamically during the sampling period in four cities (Figure 2c and 2d). Heavy
317 pollution (the daily average PM_{2.5} mass concentration higher than $150 \mu\text{g}\cdot\text{m}^{-3}$ $\mu\text{g}/\text{m}^3$)
318 occurred frequently in UR, followed by YC (Figure 1). These heavy pollution events,
319 such as the sample ID of 10–13 and 36–40, commonly occurred under high RH condi-

320 tions (Figures 2a and 2c), which were favorable for the secondary generation of atmos-
321 pheric particulate matter (Sun et al., 2013). Therefore, the contribution of secondary
322 inorganic ions (SNA: sulfate + nitrate + ammonium) showed an important contribution
323 to the reconstructed PM_{2.5} mass (WSOA + EC + ~~WSIs~~WSIIs) with an average of 53.0
324 ± 12.7%, 41.6 ± 11.5%, 67.3 ± 7.8%, and 41.8 ± 7.3%, respectively, in YC, XN, UR,
325 and LZ, and could be as high as 65.6–76.9% during heavy pollution period at YC and
326 UR (Figure 2d). WSOA was also a major component of PM_{2.5} accounting for 31.4 ±
327 5.5%, 40.6 ± 5.4%, 21.8 ± 4.6%, and 37.7 ± 4.1% at YC, XN, UR, and LZ, respectively.
328 The mass contribution of EC was comparable in four cities (5.8–8.9%). The higher
329 contribution of SNA at YC and UR, in contrast with the higher contribution of WSOA
330 at XN and LZ, likely suggested their different sources and chemical processes. The
331 contributions of WSOA and SNA at XN and LZ in our study were comparable with
332 those in Xi'an, another megacity in Northwest China, during wintertime (Huang et al.,
333 2014), while YC and UR showed greater similarity with cities in East China, such as
334 Beijing, Tianjin, and Jinan, where relatively wet condition during wintertime (Lei et al.,
335 2021; Zhang et al., 2021c; Dao et al., 2022).

336

337 The ratio of WSOC/OC is commonly used to predict the potential contribution of sec-
338 ondary organic aerosol to total organic aerosol (Psichoudaki and Pandis, 2013). Overall,
339 WSOC showed a strong correlation with OC ($R^2 = 0.84$) with a linear slope of 0.55 for
340 all samples from four cities (Figure S3). The slope values varied among the cities, with
341 YC and UR having higher values (0.61 and 0.59) than XN and LZ (0.54 and 0.52),
342 suggesting a potentially higher secondary OA formation in YC and UR. The WSOC/OC
343 values in our study were within the range reported in other cities during winter, such as
344 Xi'an (0.50 and 0.53) (Zhang et al., 2018; Liu et al., 2020), Beijing (0.7454) (Ni et al.,
345 2022), and Guangzhou (0.7455) (Tao et al., 2022). Significant correlations among cor-
346 relations among WSOC, OC, EC, Cl⁻, K⁺ and SNA were found in four cities, indicating
347 the primary and secondary sources of water-soluble organic compounds (Figure S2,
348 Table S3). Furthermore, the Abs₃₆₅ and AFI values varied with WSOC concentration,

349 and we observed significant correlation between them ($R^2_{\text{Abs Vs. WSOC}} = 0.87$; $R^2_{\text{AFI Vs.}}$
350 $\text{wsoc} = 0.61$). These findings suggest indicating an important contribution of WS-BrC
351 chromophores to WSOC.

352

353

354 3.2. Bulk optical properties of WS-BrC

355

356 Figure 3a presents the average MAE spectra of WSOA from various cities. XN and LZ
357 exhibited significantly higher MAE_{365} values (on average 1.22 ± 0.18 and 1.19 ± 0.12
358 m^2/g) compared to YC ($1.02 \pm 0.23 \text{ m}^2/\text{g}$) and UR ($0.78 \pm 0.16 \text{ m}^2/\text{g}$) (t-test, $P < 0.01$)
359 (Table 1). When compared with previous studies in wintertime, the MAE_{365} in XN and
360 LZ were comparable to those of Beijing ($1.21\text{--}1.26$) (Du et al., 2014; Cheng et al., 2016;
361 Li et al., 2020b), the MAE_{365} in YC were close to those of southern cities in China, such
362 as Guangzhou ($0.93 \pm 0.06 \text{ m}^2/\text{g}$), Nanjing ($1.04 \text{ m}^2/\text{g}$) (Fan et al., 2016; Chen et al.,
363 2018), the MAE_{365} in UR was similar to that of Yangzhou ($0.75 \pm 0.29 \text{ m}^2/\text{g}$) (Chen et
364 al., 2020b). In addition, the imaginary refractive index at 365 nm (k_{365}) for WS-BrC
365 showed the same trend as MAE_{365} , i.e., XN (0.034 ± 0.007) > LZ (0.031 ± 0.007) > YC
366 (0.029 ± 0.009) > UR (0.023 ± 0.007) (Table 1). Overall, the k_{365} values in this study
367 were comparable to those reported in other urban areas, such as Kanpur in central India
368 during winter (0.042) (Choudhary et al., 2021) and Jinan in northern China during
369 spring (0.035) (Wen et al., 2021); however, they were higher than those reported in the
370 Himalayan cryosphere during winter (0.009–0.026) (Choudhary et al., 2022) and in the
371 northeast margin of Qinghai-Tibetan Plateau during summer (0.022) (Xu et al., 2020b).
372 Previous studies indicated that photochemical aging decreased the k values for BrC
373 aerosols (Laskin et al., 2015; Sumlin et al., 2017).

374

375 AAE denotes the wavelength dependence of light absorption of BrC, an important op-
376 tical parameter that can be used to infer the chemical properties of BrC (Andreae and
377 Gelencsér, 2006). In this study, the AAE values at YC, XN, and UR were 6.8 ± 0.7 , 7.1

378 ± 0.4 , and 6.9 ± 0.3 , respectively. Significantly lower AAE was observed (6.4 ± 0.5) at
379 LZ (t-test, $P < 0.01$) (Table 1). Our AAE values fell within the range of values reported
380 in other cities during winter for $PM_{2.5}$ water extracts calculated in the same wavelength
381 range, such as at Nanjing (6.8) (Chen et al., 2018), Beijing (7.3 and 7.5) (Du et al., 2014;
382 Cheng et al., 2016), and Guangzhou (6.7 ± 0.1) (Fan et al., 2016). Previous studies have
383 suggested that higher AAE values may be associated with primary biomass combustion
384 emissions and/or SOA formation. For instance, Chen and Bond (2010) emphasized that
385 particles from smoldering of various wood have higher values of 7–16. Lambe et al.
386 (2013) indicated that secondary BrC generated in the laboratory also has a higher AAE
387 value (5.2–8.8). Therefore, the differences in AAE values among the four cities may
388 indicate their different sources or/and chemical compositions.

389
390 Saleh (2020) proposed an optical-based classification of BrC using the space of MAE_{405}
391 vs. AAE, which is linked with their physicochemical properties (i.e., molecular sizes
392 and solubility) and atmospheric aging (i.e., photo-enhancement or photo-bleaching).
393 Almost all samples in this study fell into the region of W-BrC ([Weakly absorptive BrC](#)),
394 which is similar to ambient samples from other studies (Zhou et al., 2021; Xu et al.,
395 2022). However, a few samples at UR fell into the region of VW-BrC ([Very weakly](#)
396 [absorptive BrC](#)). Furthermore, the WS-BrC in YC exhibited a broader range than other
397 cities, indicating multiple sources and/or processes for WS-BrC in this city. Higher
398 AAE and MAE_{405} values were found in XN, which could be associated with biomass
399 burning emissions. Additionally, WS-BrC in LZ was the closest to the region of M-BrC
400 ([Moderately absorptive BrC](#)) among the four cities, and the different positions could be
401 related to their chemical processes in each city. For instance, upon examining the
402 relationship between MAE_{365} and the O/C ratio, a positive correlation was observed
403 only in LZ, while negative relationships were observed in other cities (Figure 10). The
404 chemical processes of WS-BrC are discussed in detail in section 3.7.

405
406 [We estimated the integrated mean SFE values of WS-BrC within the 300–700 nm range](#)

407 [\(SFE₃₀₀₋₇₀₀\) due to the abrupt decrease of solar spectral energy below 300 nm and the](#)
408 [negligible absorption of solar radiation by BrC above 700 nm. SFE₃₀₀₋₇₀₀ was larger at](#)
409 [XN \(\$4.42 \pm 0.72\$ W/g\) and LZ \(\$4.35 \pm 1.01\$ W/g\) than at YC \(\$3.72 \pm 0.90\$ W/g\) and UR](#)
410 [\(\$2.97 \pm 0.6\$ W/g\) \(Table 1\), indicating their stronger light-absorbing capacity of WS-](#)
411 [BrC. The SFE values in this study were similar to those in Hong Kong during winter](#)
412 [\(4.4 W/g\) \(Zhang et al., 2020\), slightly higher than those in Jinan during spring \(3.3](#)
413 [W/g\) \(Wen et al., 2021\), but only half of those in laboratory biomass burning samples](#)
414 [\(7.7–8.3 W/g\) \(Lei et al., 2018\).](#)

415

416 3.3. Fluorescence Indices

417

418 Fluorescence indexes, such as HIX and BIX, have been used in recent years to study
419 the source and chemical processes of atmospheric organic aerosols (Lee et al., 2013; Fu
420 et al., 2015; Qin et al., 2018; Deng et al., 2022). The HIX indicates the degree of
421 humification of WSOA, and it increases significantly upon aging (Lee et al., 2013; Fan
422 et al., 2020). The BIX is broadly in contrast with HIX and is known as the freshness
423 index. A higher BIX value implies a higher contribution of freshly released organics,
424 while a lower value indicates greater degrees of aging (Lee et al., 2013; Wen et al.,
425 2021).

426

427 Table 1 shows that the HIX and BIX values were 1.85 ± 0.36 and 1.28 ± 0.14 at UR,
428 1.32 ± 0.23 and 1.48 ± 0.11 at YC, 1.29 ± 0.27 and 1.49 ± 0.15 at XN, and 1.16 ± 0.18
429 and 1.52 ± 0.11 at LZ, respectively. The highest HIX value and lowest BIX value were
430 found in UR, indicating a higher degree of aging/oxidation of WS-BrC. On the other
431 hand, the lower HIX and higher BIX values observed in LZ suggest a high contribution
432 of freshly emitted BrC. These results were consistent with the results of the MAE₃₆₅
433 discussed earlier.

434

435 The HIX exhibits a significant negative correlation with BIX across all the data ($R^2 =$

436 0.86, slope = 2.19) (Figure 4a). In Figure 4b, a comparison is presented between our
437 findings and other datasets derived from laboratory or ambient aerosols in different
438 cities throughout China. These datasets can be roughly categorized into three zones,
439 distinguished by the gray, pink, and blue dashed boxes. The freshly introduced materials
440 generated from the laboratory (gray box) were located at a much lower position than
441 those of ambient samples. Differences also existed in ambient samples, with higher
442 (lower) BIX (HIX) values from our samples (pink box) than those from Eastern China
443 (blue box) (Qin et al., 2018; Yue et al., 2019; Wen et al., 2021; Deng et al., 2022), which
444 suggested that our samples are generally less aged than those from Eastern cities,
445 although the position of UR fell within the overlapped range between these two zones.
446

447 3.4. Influence of pH on optical properties

448

449 Recent studies have shown that the optical properties of BrC vary depending on pH,
450 which is important for modeling its climate-forcing effect, as the general assumption of
451 a neutral state for aerosol in models may not be accurate (Phillips et al., 2017). We
452 investigated the effect of pH on the absorption and fluorescence spectra of WSOA for
453 our samples (Figures 5 and 6). The absorption spectra showed a significant increase in
454 absorbance with the increasing pH values (from 2 to 10), ~~with the integrated absorbance~~
455 ~~(300–450 nm) increased by 66.6%, 55.2%, 43.4%, and 25.3% relative to the pH = 2~~
456 ~~level, respectively, in YC, LZ, XN, and UR (Figure S4). The integrated absorbance~~
457 ~~(300–450 nm) increased by 66.6%, 55.2%, 43.4%, and 25.3% in YC, LZ, XN, and UR~~
458 ~~at pH 10 relative to pH 2 (Figure S4).~~ The MAE₃₆₅ increased with increasing pH values
459 (slope = 0.03–0.07), while the AAE decreased (slope = –0.15 to –0.40) (Figure S5).
460 Notably, the light absorption spectra in YC and LZ exhibited greater sensitivity to pH
461 variation, evident from their steeper slopes. Previous studies have observed variations
462 in light absorbance as a function of pH, attributing these changes to the
463 protonation/deprotonation of carboxyl/phenolic functional groups and/or alterations in
464 macromolecular conformation (Lin et al., 2017; Phillips et al., 2017; Xu et al., 2020b;

465 Qin et al., 2020; Qin et al., 2022a). The different sensitivity of WS-BrC to pH at our
466 sampling sites suggested variations in chemical compositions among them, which could
467 be further investigated through fluorescence spectra.

468

469 The EEM spectra exhibited the highest fluorescent intensity values at pH = 2 and tended
470 to decrease with increasing pH. However, for the YC and UR samples, the fluorescent
471 intensity at pH = 10 slightly increased compared to that at pH = 7 (Figure 6). The
472 underlying mechanism behind the variations in fluorescence intensity with pH values
473 may be related to the rigid properties of fluorophores. Specifically, the formation of
474 hydrogen bonds at lower pH values could give the aggregates a stronger rigid planar
475 conformation and enhance fluorescence efficiency (Ghosh and Schnitzer, 1981; Mei et
476 al., 2009). As pH values increased, the repulsion among generated anions disrupts
477 hydrogen bonding, leading to a more open conformation. The increased conformational
478 flexibility enhanced light absorption while depressing fluorescence. This explained
479 why the light absorbance of WSOC increased under basic conditions while the
480 fluorescence intensity increased under acidic conditions. ~~In addition to being influenced~~
481 ~~by conformation, the change in fluorescence spectra of chemical complexes with pH~~
482 ~~can also result from charge transfer from special acidic/basic groups (Phillips and Smith,~~
483 ~~2015; Phillips et al., 2017; Qin et al., 2020). For the results in YC and UR, the~~
484 ~~fluorescence intensity spectra showing turning points at pH 2–4 and pH 7–10, could be~~
485 ~~related to groups of –COOH and –NH₂ and/or –OH, respectively, in Figure 6, the turning~~
486 ~~point of fluorescence intensity at pH 2–4 and pH 7–10 may be related to acidic/basic~~
487 ~~groups bound to the fluorophore cores (Cox et al., 1999; Milne et al., 2001; Phillips et~~
488 ~~al., 2017), and the position variation of the fluorescence peak (λ_{Em}) can further reveal~~
489 ~~the types of acidic/basic groups (Qin et al., 2022a; Qin et al., 2022c). At XN and LZ,~~
490 ~~the λ_{Em} redshifted at pH 2–4, indicating the deprotonation of electron-donating groups~~
491 ~~(e.g., –OH and –NH₂), while the λ_{Em} blueshifted at pH 4–7, indicating the deprotonation~~
492 ~~of electron-withdrawing groups (e.g., –COOH, –C=O, and –NO₂). At LZ, the λ_{Em}~~
493 ~~further redshifted at pH 7–10, suggesting another electron-donating group. In contrast,~~

494 [at YC and UR, the \$\lambda_{Em}\$ redshifted in pH 2–7 and then blueshifted in pH 7–10, suggesting](#)
495 [that the deprotonation of electron-donating and electron-withdrawing groups was](#)
496 [different from that of LZ.](#)

497

498 To identify the potential dominant chemical components of WS-BrC responsible for the
499 pH dependency, we analyzed several fluorescence peaks associated with specific chem-
500 ical constituents across different pH values (Chen et al., 2003; Fellman et al., 2010).
501 These peaks including peak A (Ex/Em = 225–250/356–440 nm), was classified as
502 humic-like fluorophores (Fu et al., 2015; Qin et al., 2018); peak T (Ex/Em = 270–
503 280/330–355 nm) and peak B (Ex/Em = 270–280/290–310 nm), were classified as
504 protein-like fluorophores (Chen et al., 2003; Birdwell and Engel, 2010); and peak M
505 (Ex/Em = 310–320/380–420 nm), was categorized as oxygenated organic substances
506 (Chen et al., 2003; Qin et al., 2022b). As shown in Figure 7, peak A dominated the
507 variation, contributing 78.5%, 69.1%, 74.1%, and 61.2% of the total variation of all
508 fluorescence peaks in YC, XN, UR, and LZ, respectively. In comparison, other peaks
509 showed moderate variations in the four cities, ranging from 8.3% to 12.4% for peak M,
510 11.2% to 17.9% for peak T, and 1.6% to 7.6% for peak B. The variation trend of peak
511 A was highly consistent with the trends of the average fluorescence efficiency
512 (AFI/TOC) and the average apparent quantum yield (AQY) over the entire Ex/Em range
513 at each city (Figures 7 and S7). These results suggested that the major fluorophores in
514 all the samples are humic-like compounds. Note that although the dominant
515 contribution comes from the humic-like compound (Peak A) in all the samples, the
516 chemical composition of humic-like compounds among the cities is somewhat different,
517 as indicated by the distinct AQY peak shapes of this peak (Figure S6). These differences
518 can be further elucidated using the PARAFAC model.

519

520 3.5. Fluorescent Components

521

522 Using the PARAFAC model, we were able to identify six chromophore components

523 (C1–C6) from EEMs (Figure 8 and Table S2). ~~C1 displays a primary peak (Ex/Em) at~~
524 ~~230 nm/375 nm and a secondary peak at 320 nm/375 nm, while C5 exhibits two similar~~
525 ~~peaks at 210 nm/373 nm and 280 nm/373 nm, albeit with a blue shift. These two~~
526 ~~chromophores are identified as less oxygenated humic-like substances (LO-HULIS)~~
527 ~~(Chen et al., 2016; Chen et al., 2021), with different oxidation states between them, and~~
528 ~~C5 was likely from primary sources such as coal burning and vehicle emissions, while~~
529 ~~C1 was secondary production. C1 displayed a primary peak (Ex/Em) at 230 nm/375 nm~~
530 ~~and a secondary peak at 320 nm/375 nm, while C5 exhibited two similar peaks at 210~~
531 ~~nm/373 nm and 280 nm/373 nm, albeit with a blueshift. These two chromophores were~~
532 ~~identified as less oxygenated humic-like substances (LO-HULIS), typically associated~~
533 ~~with combustion sources (Chen et al., 2016; Chen et al., 2020a; Chen et al., 2021).~~
534 ~~Therefore, we speculated that C5 is likely a primary chromophore, while a redshift of~~
535 ~~C1 suggests that C1 is a secondary product (Matos et al., 2015; Wang et al., 2020). C2~~
536 exhibited a fluorescence peak at (255 nm/364 nm) and has been observed in previous
537 studies on fossil burning aerosol but has not been defined (Tang et al., 2020; Chen et
538 al., 2020a). C3, peaking at 240 nm/300 nm (Ex) and 414 nm (Em) and was regarded as
539 a highly oxygenated humic-like chromophore (HO-HULIS), commonly considered as
540 a secondary formation (Chen et al., 2016; Yan and Kim, 2017; Cao et al., 2021). Notably,
541 Hawkins et al. (2016) and Aiona et al. (2017) discovered that the fluorescence generated
542 by the aqueous-phase reaction of aldehydes with ammonium sulfate or amines highly
543 matched the HULIS fluorescence peak (Ex < 250/~300 nm, Em > 400 nm) in WSOA
544 of ambient aerosol. C4 (Ex = 225/275 nm and Em = 338 nm) and C6 (Ex = 220 nm,
545 Em = 292 nm) both peaked at a short wavelength and were usually characterized as
546 protein-like fluorophores (PLS) (Yan and Kim, 2017; Wu et al., 2019; Chen et al., 2020a;
547 Chen et al., 2021), but could also be phenol-like substances or other aromatic
548 compounds, especially for urban ambient aerosol samples (Barsotti et al., 2016; Chen
549 et al., 2020a; Cao et al., 2021; Deng et al., 2022). The average relative contributions of
550 chromophores were dominated by HULIS chromophores (C1, C3, and C5) with a total
551 contribution of 56.5–68.4%, followed by PLS chromophores (C4 and C6) (16.5–

552 22.3%), and then the undefined chromophores (C2) (14.9–20.8%) (Figure 2e).
553 Additionally, significant differences existed in the relative content of each fluorescent
554 component among the four cities. For example, the content of C1 was higher in YC
555 (38.4% vs. 28.7–31.0% in the other three cities) (t-test, $P < 0.01$), the contents of C2
556 and C4 were higher in LZ (20.8% and 21.1%) than in other three cities (14.7–16.2%
557 and 11.2–18.6%) (t-test, $P < 0.01$), and the content of C3 was significantly higher in
558 UR than in YC, XN and LZ (28.6% vs. 18.8–19.4%) (t-test, $P < 0.01$).

559

560 3.6. Source apportionment of WSOA by PMF analysis

561

562 Four WSOA factors were identified through PMF analysis on the high-resolution mass
563 spectra of WSOA at four cities, including a water-soluble primary OA (WS-POA), two
564 highly oxidized oxygenated OA (HO-OOA1 and HO-OOA2), and a less oxidized oxy-
565 genated OA (LO-OOA) (Figure 9). The mass spectrum of WS-POA was dominated by
566 $C_xH_y^+$ (51%) fragment ions, followed by $C_xH_yO_1^+$ (24%), $C_xH_yO_2^+$ (14%), $C_xH_yN_p^+$
567 (6%), H_yO_1 (4%), and $C_xH_yO_zN_p^+$ (1%). The WS-POA had the lowest O/C (0.47) and
568 the highest H/C (1.68) among the four factors, but its O/C was much higher than those
569 of online measurement decomposed POA (< 0.1) (Xu et al., 2020a; Zhao et al., 2022). In
570 addition to oxygen-containing ions, the WS-POA exhibited a few characteristics similar
571 to those of the online measurement decomposed POA, such as relatively high m/z at 55
572 and 57 with the m/z 55-to-57 ratio being 2.67, 60 (fraction of signal = 0.39%), and 115
573 (fraction of signal = 0.21%), which could be related to cooking, biomass burning, and
574 coal combustion, respectively. These results suggested that the WS-POA factor in our
575 study represents mixed primary sources. The mass contribution of WS-POA was 26.2
576 $\pm 19.1\%$, $42.9 \pm 15.2\%$, $30.7 \pm 10.2\%$, and $48.8 \pm 9.3\%$ in YC, XN, UR, and LZ, re-
577 spectively.

578

579 The mass spectrum of LO-OOA also displayed a pronounced signal at m/z 115 (signal

580 fraction = 0.36%), and its concentration was highly correlated with specific PAH sig-
581 nals, such as $C_6H_3^+$, $C_7H_4^+$, $C_8H_5^+$, and $C_9H_5^+$ (Figure 9), indicating that LO-OOA was
582 associated with coal combustion-induced WSOA. However, LO-OOA exhibited signif-
583 icantly higher OS_c (-0.05 vs. -0.74 for LO-OOA and WS-POA, respectively), lower
584 $C_xH_y^+$ ions (33%), and higher combination of oxygenated ions (57% in total), including
585 $C_xH_yO_1^+$ (32%), $C_xH_yO_2^+$ (18%), H_yO_1 (4%), and $C_xH_yO_zN_p^+$ (2%), compared to the
586 primary factor. These implied that LO-OOA likely represented a low oxidation OOA
587 associated with coal combustion-induced WSOA. The same factor was also observed
588 in water-soluble aerosol samples from Beijing during winter (Hu et al., 2020). The mass
589 contribution of LO-OOA was $25.2 \pm 15.3\%$, $10.9 \pm 3.3\%$, $6.4 \pm 2.3\%$, and $7.3 \pm 1.6\%$
590 in YC, XN, UR, and LZ, respectively.

591

592 The mass spectrum of HO-OOA1 was characterized by a distinct signal at m/z 44,
593 which accounted for 20.4% of the total signal and was mainly composed of CO_2^+ (94%).
594 Additionally, HO-OOA1 exhibited a high O/C value (0.97), indicating its high oxida-
595 tion. HO-OOA1 was significantly correlated with nitrate and odd oxygen ($O_x = O_3 +$
596 NO_2), which are the products of photochemical processes (Figure S7), suggesting that
597 HO-OOA1 was photochemically produced (Herndon et al., 2008; Ye et al., 2017). The
598 mass contribution of HO-OOA1 was $29.6 \pm 18.1\%$, $37.2 \pm 10.1\%$, $13.4 \pm 10.2\%$, and
599 $38.3 \pm 8.5\%$ in YC, XN, UR, and LZ, respectively. The HO-OOA2 exhibited compara-
600 ble O/C with that of HO-OOA1 (0.99 vs. 0.97), but it had a higher N/C ratio (0.094 vs.
601 0.041) and a stronger correlation with RH and sulfate than HO-OOA1, suggesting its
602 potential for aqueous processing production (Sun et al., 2016; Wang et al., 2021). Fur-
603 thermore, HO-OOA2 exhibited a significant correlation with $CH_2O_2^+$ ($r = 0.48$, $P <$
604 0.01), a typical fragment ion for glyoxal, which could be generated from ring-breaking
605 in the aqueous-phase oxidation of polycyclic aromatic hydrocarbons (Chhabra et al.,
606 2010; Wang et al., 2021). The mass contribution of HO-OOA2 were $19.0 \pm 5.8\%$, 8.9
607 $\pm 6.2\%$, $49.5 \pm 7.2\%$, and $5.1 \pm 2.7\%$ in YC, XN, UR, and LZ, respectively. Overall,
608 the WSOA in LZ exhibited the highest contribution of POA. Conversely, the WSOA in

609 UR had the highest contribution of HO-OOA2, while the WSOA in YC demonstrated
610 the highest contribution of LO-OOA (Figure 2).

611

612 To further assess the relative light absorption contributions of WSOA from different
613 sources, the four WSOA factors mentioned above were assigned to Abs₃₆₅ using the
614 multiple linear regression model (MLR), as described in the following equation:

$$615 \text{ Abs}_{365} = a \times [\text{WS-POA}] + b \times [\text{LOOA}] + c \times [\text{HOOA-1}] + d \times [\text{HOOA-2}]$$

616 where [WS-POA], [LOOA], [HOOA-1], and [HOOA-2] are the mass concentrations of
617 WSOA factors; and a, b, c, and d represent regression coefficients (m²/g), which repre-
618 sents the MAE value for each factor.

619

620 The reconstructed Abs₃₆₅ fits well with the measured Abs₃₆₅, and the slope is close to 1
621 (Figure S8), indicating the effectiveness of the algorithm. The fitted MAE values were
622 higher for WS-POA and LO-OOA, with values of 1.34 and 1.33 (m²/g), respectively
623 (Table S4). These values were comparable to those of FF-POA ([fossil-fuel POA](#)) (1.35)
624 and LO-OOA (1.24) factors previously determined during the winter in Beijing (Wang
625 et al., 2021). The lower MAE of HO-OOA1 (1.10 m²/g) was likely attributed to photo-
626 bleaching occurring in photochemical processes (Browne et al., 2019; Chen et al.,
627 2020b; Zhang et al., 2021b). The lowest MAE value for HO-OOA2 (0.58 m²/g) sug-
628 gested a strong photobleaching effect of the aqueous-phase oxidation process (Wang et
629 al., 2021). The average relative contributions of different WSOA factors to light ab-
630 sorption are shown in Figure 9c. WS-POA was the most important WS-BrC in our study,
631 contributing 60%, 51%, 46%, and 30% of Abs₃₆₅ in LZ, XN, UR, and YC, respectively.
632 HO-OOA1 also played an important role in the absorption of WS-BrC, contributing
633 nearly 30% except in UR (only 13%). HO-OOA2 emerged as a significant contributor
634 to WS-BrC (32%) in UR due to its high-quality contribution (50%), while it was the
635 least contributing factor to Abs₃₆₅ in other three cities (only 2–11%). LO-OOA was an
636 equally important contributor to Abs₃₆₅ as WS-POA in YC (31% vs. 30%), while the
637 contribution was around 10% in other three cities.

638

639 3.7. Chemical transformations of chromophores

640

641 The light-absorption capacity of BrC is closely related to atmospheric aging processes
642 and their chemical composition (Lin et al., 2016; Jiang et al., 2022). To understand the
643 relationship between light-absorption properties and chemical processes, several in-
644 dexes, including O/C, H/C, N/C, and carbon oxidation state (OSc), were investigated
645 for the relationship with MAE₃₆₅ and AAE (Figure 10). The indexes of O/C and OSc,
646 which reflect the degree of atmospheric aging, were lower in LZ than in other cities
647 (0.58 vs. 0.64–0.77). A significant positive relationship was observed between O/C and
648 MAE₃₆₅ in LZ ($r = 0.57$), while significant negative relationships were observed in YC
649 and UR ($r = -0.70$ and -0.53). These findings suggested that the photo-enhancement
650 phenomenon occurred in LZ, while the photo-bleaching phenomenon took place in the
651 other three cities. Fitting MAE₃₆₅ vs. O/C for all data from the four cities using least-
652 squares linear regression (Figure S9), ~~the fitting trend turns when O/C is about 0.64~~
653 ~~(MAE₃₆₅ = 1.04 × O/C + 0.58, when O/C < 0.64; MAE₃₆₅ = -1.23 × O/C + 1.90, when~~
654 ~~O/C ≥ 0.64) the trend changed around an O/C ratio of 0.64: MAE₃₆₅ = 1.04 × O/C + 0.58~~
655 ~~for O/C < 0.64, and MAE₃₆₅ = -1.23 × O/C + 1.90 for O/C ≥ 0.64.~~ A similar phenome-
656 non was found by Jiang et al. (2022) in various polar solvent extracts of PM_{2.5} filters
657 from Beijing, which suggested that chemical processing is dominated by functionaliza-
658 tion for the low O/C period, while it is primarily controlled by fragmentation for the
659 high O/C period. Therefore, we inferred that the photo-enhancement phenomenon in
660 LZ is probably due to the initial aging of fresh WSOA.

661

662 The variation between MAE₃₆₅ and H/C was broadly opposite to that of O/C. A signif-
663 icant positive correlation between MAE₃₆₅ and H/C was observed in YC and UR, sug-
664 gesting a higher MAE₃₆₅ for fresh aerosols. MAE₃₆₅ values showed a significantly pos-
665 itive correlation with N/C in YC, XN, and LZ ($r = 0.57$, 0.50 , and 0.51 , respectively),
666 while a weak correlation in UR ($r = 0.11$), indicating that N-containing organic species

667 are effectively light-absorbing chromophores (Chen et al., 2018). To elucidate the po-
668 tential chemical composition and sources of N-containing species, a correlation analy-
669 sis between MAE₃₆₅ and N-containing fragment ions was conducted. Higher correlation
670 coefficients were found for the C_xH_yN_p⁺ and C_xH_yO_zN_p⁺ family ions, such as CHN⁺,
671 CH₄N⁺, C₂H₃N⁺, C₂H₆N⁺, C₃H₈N⁺, C₂HNO⁺, C₂H₂NO⁺, C₂H₅NO⁺, and C₃H₄NO⁺ (Fig-
672 ure S10). These ions may be associated with amine, imine, N-heterocyclic compounds
673 (e.g., imidazole), organonitrates, and nitroaromatic compounds, which have been
674 proven to be important BrC components in ambient aerosol (Farmer et al., 2010; Sun
675 et al., 2012; Kim et al., 2019; Kasthuriarachchi et al., 2020; Ditto et al., 2022; Jiang et
676 al., 2022).

677

678 The relationship between AAE and O/C was also investigated. In YC, AAE increased
679 significantly with rising O/C (slope = 2.62, $r = 0.55$), which could be attributed to the
680 significant chemical oxidation and the formation of O-containing functional groups
681 with weakly light-absorbing characteristics of WSOA (Sumlin et al., 2017; Zeng et al.,
682 2021), resulting in a shift in the absorption spectrum towards UV wavelengths (Zhang
683 et al., 2013; Mo et al., 2018). Nevertheless, a significant negative relationship between
684 AAE and O/C was observed in LZ (slope = -1.41, $r = -0.51$). The initial oxidation
685 occurring in LZ introduced functional groups to form auxochrome or chromophores,
686 leading to absorption enhancement and an absorption spectrum red-shift (Lin et al.,
687 2015; Zeng et al., 2021; Jiang et al., 2022). Additionally, the AAE values showed a
688 roughly decreasing trend with increasing N/C in the four cities, possibly due to the
689 abundance of N-heteroatoms leading to a red shift in the absorption spectrum (Jiang et
690 al., 2022).

691

692 Crossing-correlation among fluorescent chromophores and chemical components of
693 PM_{2.5} were performed to infer the possible sources and atmospheric chemical processes
694 of WS-BrC (Figure 11a). The results revealed that C1, C3, and C6 were tightly

695 correlated with SNA, particularly sulfate, indicating contributions from secondary pro-
696 duction sources. In contrast, C2, C4, and C5 exhibited tight correlations with primary
697 species like EC, K⁺, and Cl⁻, suggesting origins from primary emission sources.
698 Furthermore, the fluorescent chromophores were assigned to different WSOA factors
699 based on [their correlation-Pearson's correlation analysis between the relative abundance](#)
700 [of the four PMF factors and the relative content of the six PARAFAC components.](#) For
701 example, C2, C4, and C5, identified as primary chromophore components, significantly
702 correlated with WS-POA. C1, characterized as less oxygenated humic-like components,
703 significantly correlated with LO-OOA, representing secondary chromophoric compo-
704 nents with less oxidation. C3, identified as highly oxygenated humic-like components,
705 strongly correlated with HO-OOA2. Notably, there was a significant positive
706 correlation between C3 and HO-OOA1 when excluding UR data (Figure S11). Thus C3
707 was likely to represent secondary chromophoric components with a high degree of ox-
708 idation, formed through either aqueous-phase or photochemical oxidation, with
709 different contributions from these two aging pathways in different cities of our study.
710 C6 exhibited a significant correlation with HO-OOA2, and the EEM spectra of C6
711 overlapped highly with phenol chromophore (Barsotti et al., 2016; Chen et al., 2020a),
712 implying that C6 might be a phenol-like chromophore and an aqueous-phase oxidation
713 product. Recent studies have indicated the presence of benzene and its derivatives in
714 atmospheric waters such as clouds and fog (Raja et al., 2009). Benzene reacts readily
715 with hydroxyl radicals in the aqueous phase ($k_{OH} = 4.7 \times 10^5 \mu\text{M}^{-1} \text{min}^{-1}$), which is
716 much faster than its reaction to other atmospheric radicals such as ozone ($k_{O^3} = 6.1 \times$
717 $10^{-6} \mu\text{M}^{-1} \text{min}^{-1}$) and nitrate radicals ($k_{NO_3} = 4.0 \times 10^{-1} \mu\text{M}^{-1} \text{min}^{-1}$) or photolysis in the
718 gas phase (Minakata et al., 2009). Thus, the atmospheric chemistry reaction of benzenes
719 is likely initiated by •OH in the aqueous-phase, leading to the formation of phenol-like
720 chromophores (Borrás and Tortajada-Genaro, 2012; Heath et al., 2013; Faust et al.,
721 2017).

722

723 By combining the information provided above into a Van Krevelen plot (H:C vs. O:C),

724 commonly used to illustrate the evolution of organic aerosols (Heald et al., 2010; Ng et
725 al., 2011; Canagaratna et al., 2015), a tight relationship between the evolution of
726 chemical processes and the light absorbance of chromophores was clearly revealed.
727 Note that in the Van Krevelen plot, each WSOA factor is colored by its fitted MAE₃₆₅,
728 with the size of each WSOA factor representing the average contribution to Abs₃₆₅, and
729 the PARAFAC components are also assigned to distinct WSOA factors based on their
730 relevance to each factor. Overall, the aging processes from fresh species (WS-POA) to
731 LO-OOA and HO-OOAs, along the direction of increasing OSc, were clearly associated
732 with a photobleaching phenomenon, as evidenced by a decrease/increase in
733 MAE₃₆₅/AAE values. The slope from WS-POA to each OOA component could be
734 used to some extent to describe this photobleaching phenomenon, i.e., -0.91 for WS-
735 POA & LO-OOA, -0.53 for WS-POA & HO-OOA1, and -0.34 for WS-POA & HO-
736 OOA2. A lower slope (closer to -1) could be related to the addition of carboxylic acid
737 functional groups, while higher slopes (such as -0.5) could be related to the addition of
738 alcohol/peroxide functional groups. Additionally, the slopes for each dataset from the
739 four cities exhibited variation: -1.01 at LZ, -0.89 at XN, -0.78 at UR, and -0.71 at YC.
740 This variation further underscores the different chemical processes and optical
741 properties in each city.

742

743 Figure 11c displays the EEM profiles of the six PARAFAC components (indicated by
744 dashed line) along with their fluorescence peak positions (Ex/Em) (represented by cir-
745 cles C1-C6), as well as their corresponding compound categories. The potential origins
746 and atmospheric chemical transformations of these chromophores are further revealed
747 by correlating the PMF results. The classification of the highly oxygenated and less
748 oxygenated species regions proposed by Chen et al. (2016) closely matches with our
749 research findings. However, our results are divided into three distinct categories: fresh
750 species, less oxidized species, and highly oxidized species, with each region circled by
751 a different shade of brown box in Figure 11c. Note that the chemical transformation of

752 the loss of primary chromophores (fresh species) and the generation of secondary chro-
753 mophores (less oxidized and highly oxidized species) can occur through either photo-
754 chemical oxidation or aqueous-phase oxidation, with different contributions from the
755 two aging pathways in different cities. Additionally, certain chromophores formed from
756 high oxidation processes exhibit short emission wavelengths, which were originally
757 classified as PLS chromophores, providing a reference for determining PLS sources
758 and processes in future studies.

759

760 **4. Conclusions**

761

762 In this study, a comprehensive comparison was conducted regarding the optical prop-
763 erties, potential sources, and chemical processes of WSOA using atmospheric aerosols
764 collected from four typical cities, namely Yinchuan (YC), Xining (XN), Urumqi (UR),
765 and Lanzhou (LZ). The main conclusions and environmental implications are obtained
766 as follows.

767

768 Firstly, the optical properties of WSOA were found to be influenced by its chemical
769 composition. The MAE₃₆₅, HIX, and BIX values of XN (1.24 m²/g, 1.29, 1.49) and LZ
770 (1.19 m²/g, 1.16, 1.52) were higher, lower, and higher than those of YC (1.07 m²/g,
771 1.32, 1.48) and UR (0.78 m²/g, 1.85, 1.28), possibly due to a higher contribution of
772 fresh WSOA in XN and LZ and a greater degree of humification and aging/oxidation
773 of WSOA in YC and UR. Secondly, the optical properties of WSOA were found to be
774 influenced by pH variation. The integrated absorbance (300–450 nm) and MAE₃₆₅ ex-
775 hibited a monotonically increasing trend with rising pH in four cities. The impact of pH
776 on EEM spectra was much more complex, involving the rigidity and planarity of mol-
777 ecule structure and the protonation/deprotonation of electron-withdrawing groups (–
778 COOH and –NO₂) and electron-donating groups (–NH₂ and –OH) connected to the
779 fluorophore nuclei. The WSOA in YC and LZ were found to be most sensitive to pH
780 variation and exhibited distinct trends, indicating that their chemical structures are rich

781 in different types of acid/base functional groups. Thirdly, changes in the optical prop-
782 erties of WSOA were observed during aging/oxidation processes. Obvious photo-
783 bleaching was observed in YC and UR, while photo-enhancement was observed in LZ,
784 reflecting the role of the initial aging (functionalization) and further oxidation (frag-
785 mentation) of fresh WSOA on the optical properties of WS-BrC based on the analysis
786 of optical properties and bulk chemical characteristics. Finally, the analysis combining
787 chromophores with WSOA factors can be used to illustrate the chemical processes and
788 optical variation by V-K plot and EEM plot, which is useful for understanding the dom-
789 inant chemical pathway at each city.

790

791 Overall, this study provides insights into the optical properties, sources, and chemical
792 transformations of WS-BrC. These insights will provide an important reference for fu-
793 ture studies to determine the sources and processes of atmospheric chromophores and
794 further help to estimate the climatic effects of atmospheric aerosols and control carbo-
795 naceous aerosol pollution.

796

797 **Data availability**

798 The data used in this study can be accessed on request from corresponding author.

799

800 **Author contributions**

801 JX designed the research and MZ, HW, LG and WL collected samples. MZ processed
802 data, plotted the figures, and wrote the manuscript when JX and XZ gave constructive
803 discussion. LZ and WZ had an active role in supporting the experimental work. All
804 authors contributed to the discussions of the results and refinement of the manuscript.

805

806 **Competing interests**

807 The authors declare that they have no conflict of interests.

808

809 **Acknowledgment**

810 This work was partially supported by the National Natural Science Foundation of China
811 (41977189) and the Key Laboratory of Cryospheric Sciences Scientific Research Foun-
812 dation (SKLCS-ZZ-2023).

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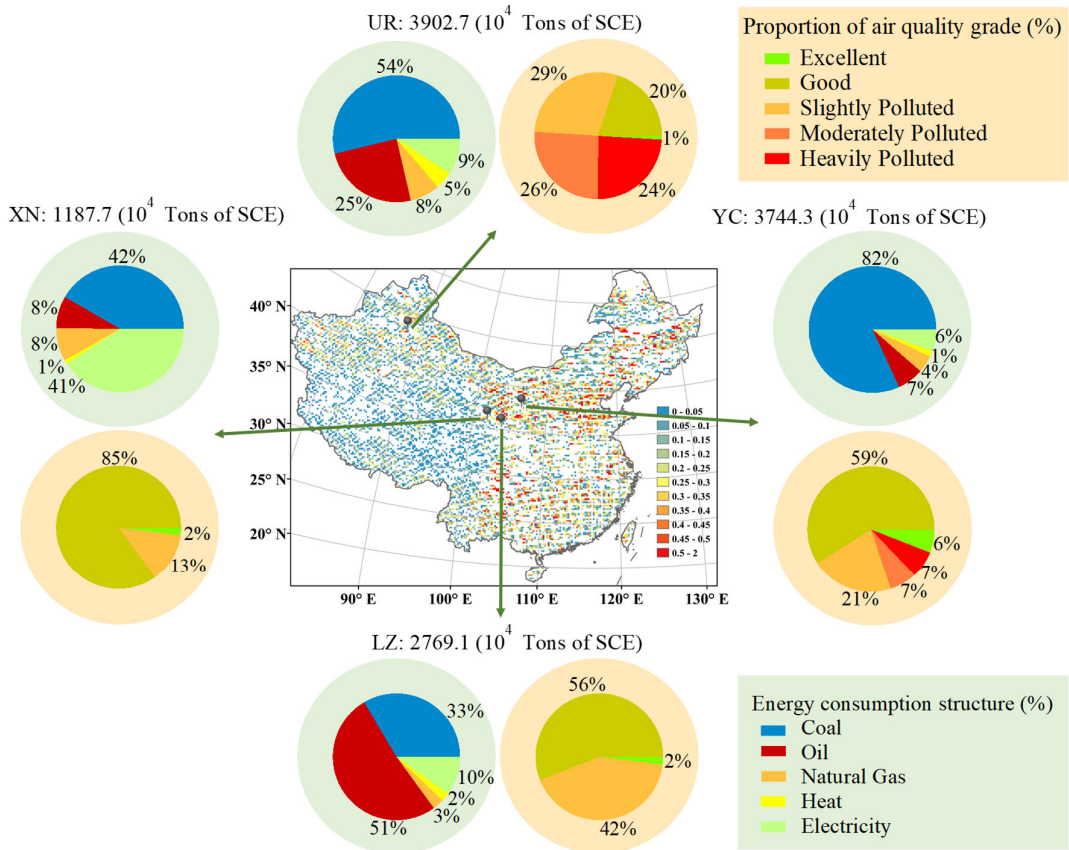
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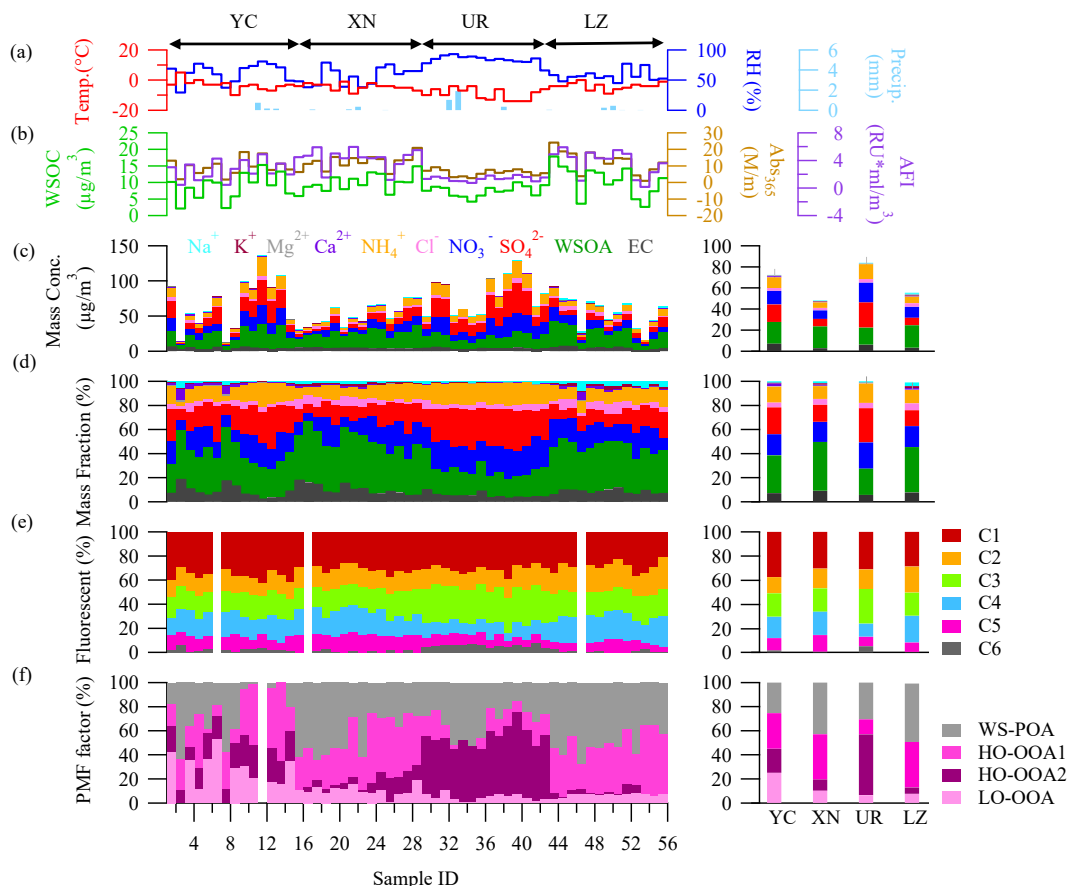
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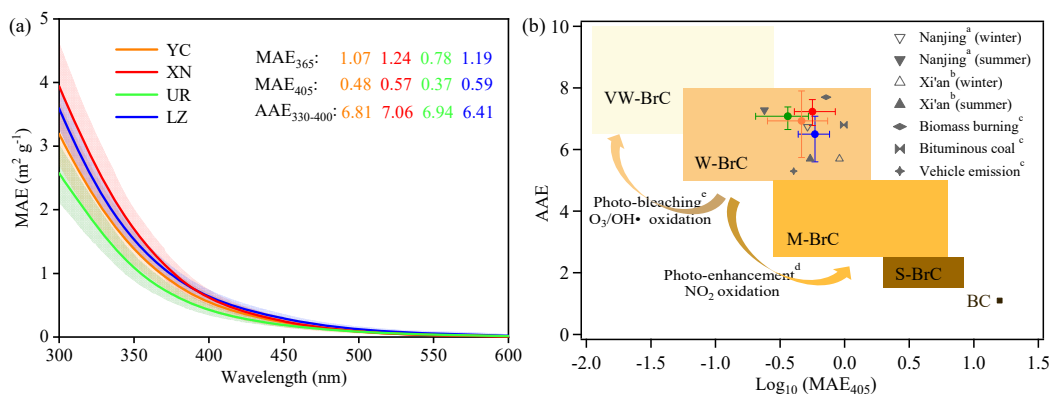
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1327
 1328 Figure 1. Location map for the sampling sites in this study, and the corresponding energy structure
 1329 in each city and air quality in each city during 2019. The locations of the four cities is also shown
 1330 the spatial variation of SO₂ concentration in China (resolution of 0.25°*0.25°), retrieved from OMI
 1331 satellite data over the whole sampling period of 2019/12-2020/1 (<http://www.satdatafresh.com/>).
 1332 Pie charts around the map show the energy consumption structure of industrial enterprises above
 1333 the designated size during 2019 (source: China Energy Statistical Yearbook) and the proportion of
 1334 urban air quality grades during the sampling period at YC, XN, UR, and LZ
 1335 (<https://www.zq12369.com/>), respectively.

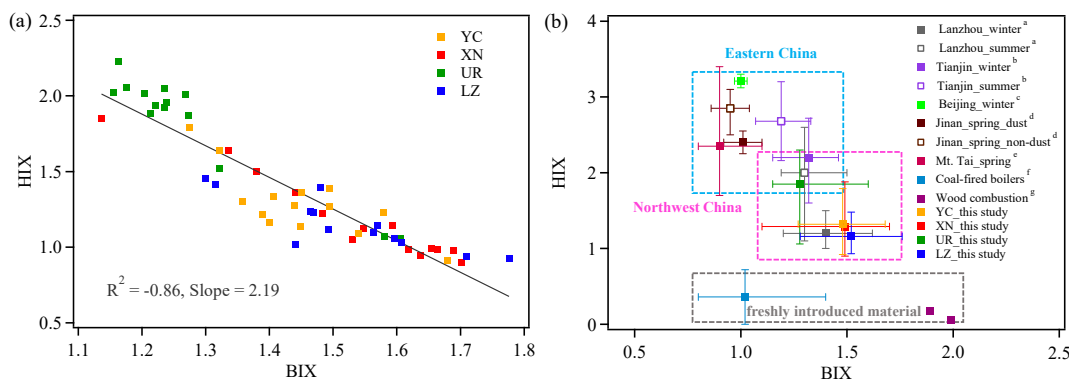


1336
 1337 Figure 2. The combo plot for the results of aerosol samples versus sample IDs in this study. (a)
 1338 Meteorological condition during the sampling including air temperature, relative humidity, and pre-
 1339 cipitation; (b) WSOA concentrations, the light-absorption (Abs), and average fluorescence intensity
 1340 (AFI) of WSOA; (c) the concentrations of total identified species (WSOA, OM, and EC); (d)
 1341 The relative abundance of total identified species; (e) The relative abundances of the identified six
 1342 fluorescent components by PARAFAC analysis; (f) Mass contributions of the factors resolved by
 1343 PMF analysis on WSOA.
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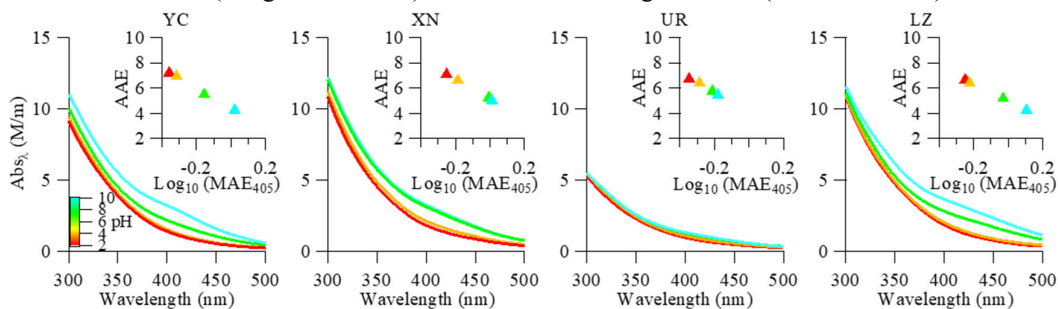


1345
 1346 Figure 3. (a) The average MAE spectrum and their standard deviations of WS-BrC in each city
 1347 represented by different colors. (b) Graphical representation of the optical-based BrC classes in the

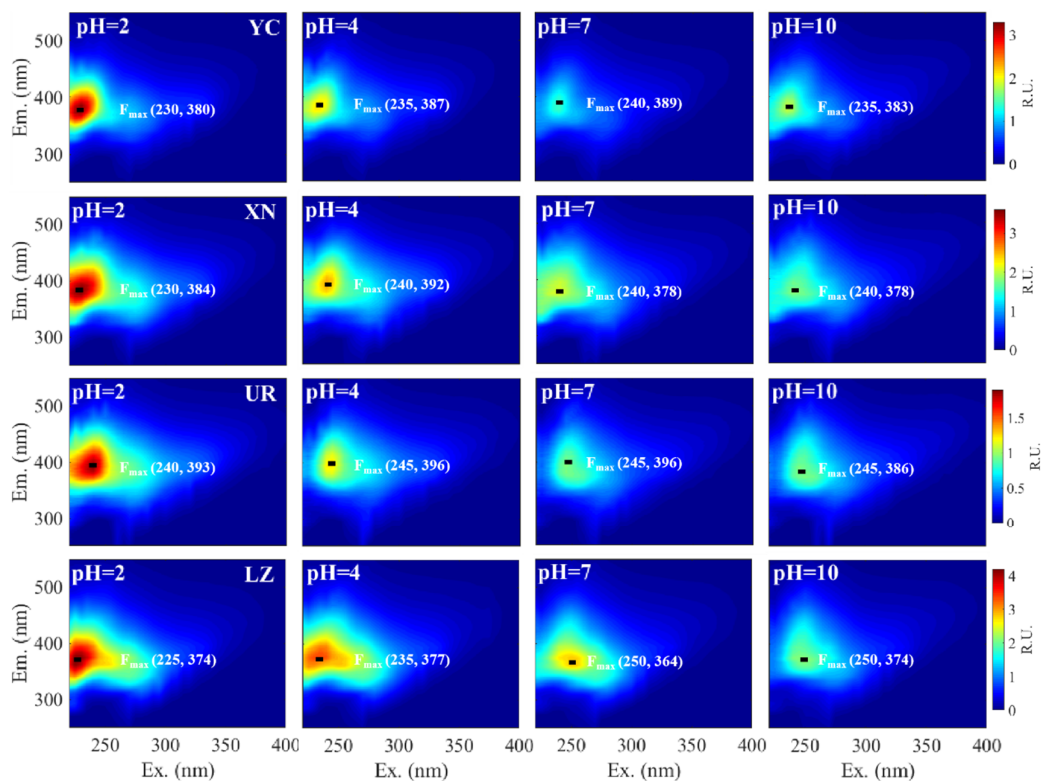
1348 $\log_{10}(\text{MAE}_{405})$ -AAE space (Saleh, 2020). The shaded areas respectively indicate very weakly (VW),
 1349 weakly (W), moderately (M), and strongly (S) absorbing BrC and absorbing BC. Grey marks indi-
 1350 cate the data from previous studies about ambient BrC aerosol, i.e., ^aChen et al. (2018) ^b(Huang et
 1351 al., 2018) ^c(Tang et al., 2020). The curve with the arrowhead displays the variation tendency of
 1352 optical properties of the lab-generated BrC aerosol and aged in the presence of NO_3 or O_3/OH rad-
 1353 icals, i.e., ^d(Li et al., 2020a) ^e(Browne et al., 2019).
 1354



1355
 1356 Figure 4. (a) Scatter plots of the humification index (HIX) as a function of the biological index (BIX)
 1357 for WSOA in four cities. (b) Comparison plot of HIX versus BIX for this study and previous litera-
 1358 tures, including WSOA from ambient aerosols in Lanzhou ^a(Qin et al., 2018), Tianjin ^b(Deng et al.,
 1359 2022), Beijing ^c(Qin et al., 2022b), Jinan ^d(Wen et al., 2021), Mt. Tai ^e(Yue et al., 2019), and from
 1360 coal-fired aerosols ^f(Yang et al., 2020b), and biomass burning aerosols ^g(Fan et al., 2019).

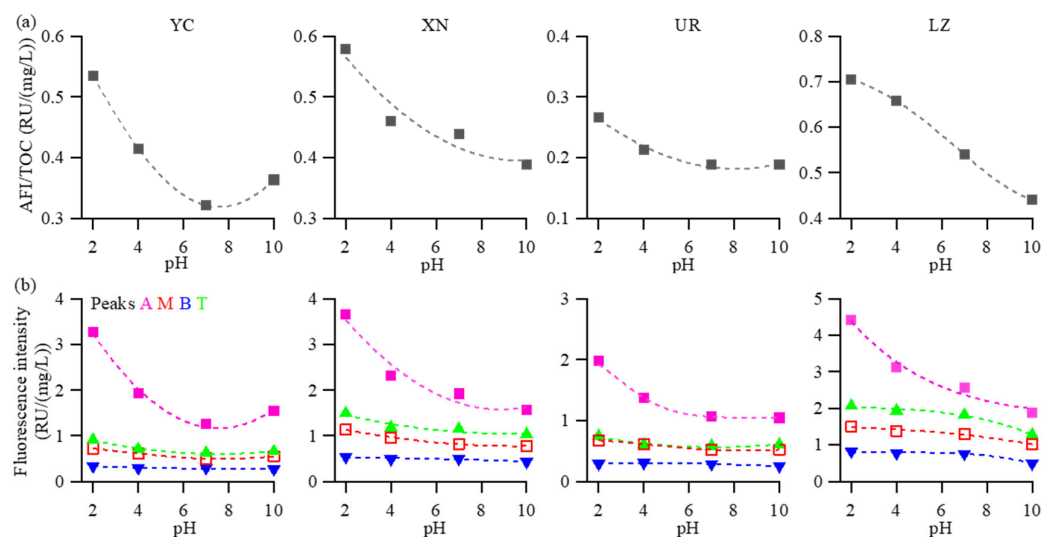


1361
 1362 Figure 5. The Influence of pH on absorbance spectra (the insert figure shows the $\log_{10}(\text{MAE}_{405})$ -
 1363 AAE values at different pH).
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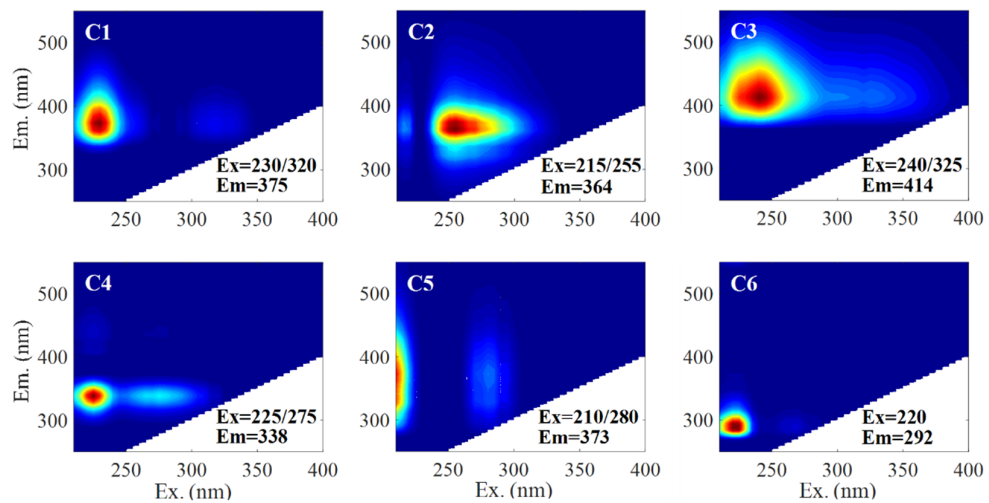
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Figure 6. EEM spectra and the fluorescence peak (Ex, Em) of WSOA at different pH values in four cities.



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Figure 7. (a) The AFI/TOC and (b) maximum peak intensity of major fluorescence peaks as a function of pH values.

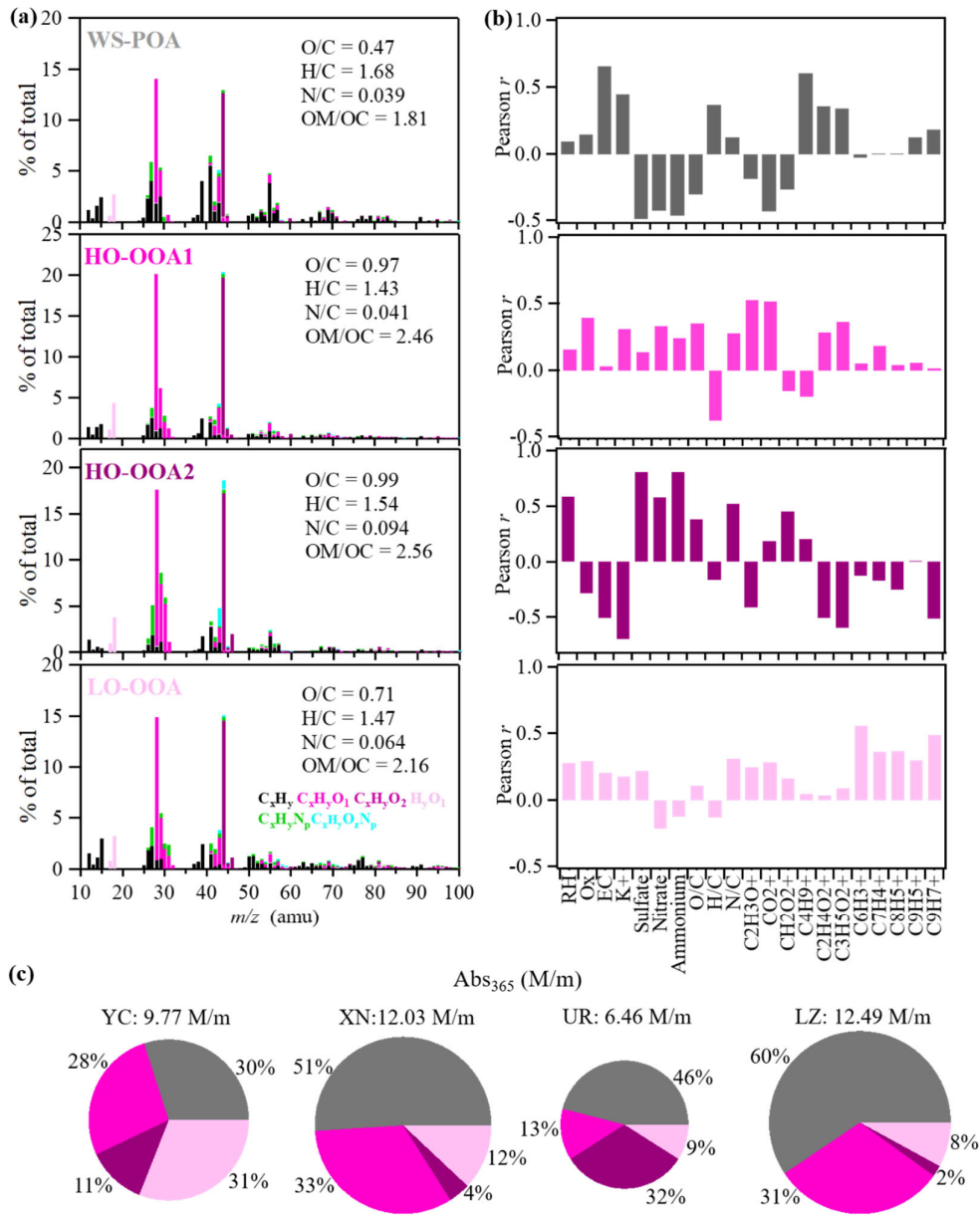


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Figure 8. The EEM components identified by the PARAFAC model for the WSOA.



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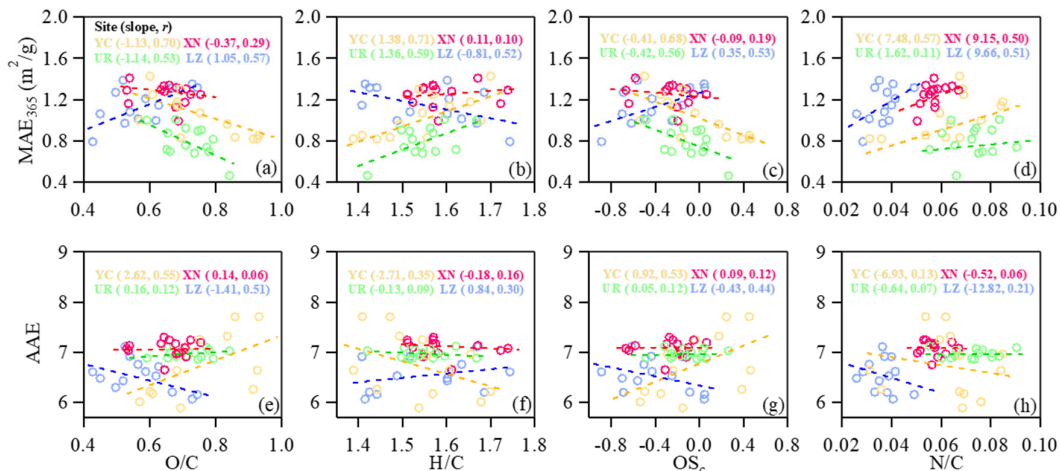
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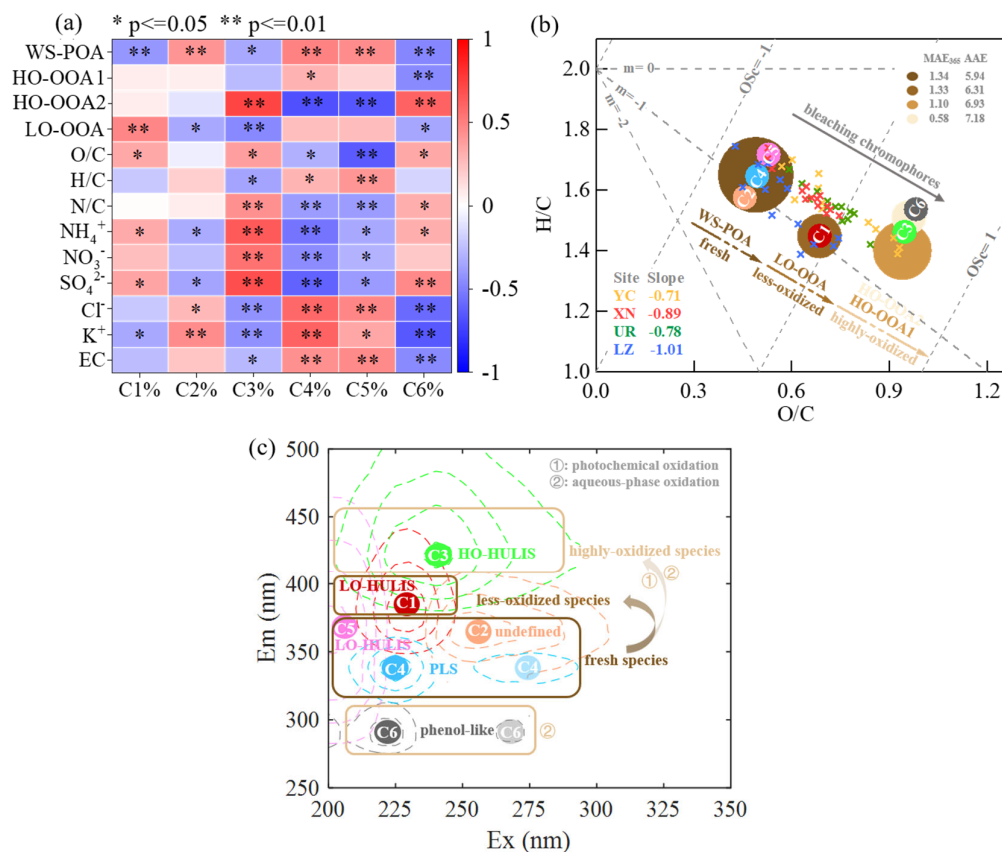
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Figure 9. (a) The mass spectra of PMF factors (WS-POA, HO-OOA1, HO-OOA2, LO-OOA), (b) correlations between PMF factors and various tracers, and (c) average contributions of WSOA factors to light absorption at 365 nm.



1380

1381 Figure 10. Scatter plots of MAE₃₆₅ (a–d) and AAE (e–h) vs. (a, e) O/C, (b, f) H/C, (c, g) OS_c, and
 1382 (d, h) N/C in four cities. The slope and correlation coefficient *r* by fitting the scatter of each group
 1383 are shown, and p-test is significant at the 0.05 level when *r* reached 0.49.
 1384



1385

1386 Figure 11. The diagram illustrates the aging from fresh species to less oxidized and/or highly-oxi-
 1387 dized species and corresponding variation on optical properties. (a) Heatmap of the correlation analysis
 1388 between the PARAFAC components and PMF factors, with highly significant correlations ($p <$
 1389 0.01) are marked by** and significant correlations ($0.01 < p < 0.05$) marked by*.
 1390 (b) The Van Krevelen plot (H:C vs. O:C) for ambient WSOA samples and different WSOA factors in this study,

1391 with the slopes of the fitted line for ambient WSOA samples from each city noted in the lower left.
 1392 The fitted MAE₃₆₅ and AAE values for each WSOA factor are noted in the upper right, and each
 1393 WSOA factor is colored by its MAE₃₆₅ value, with fading color indicating bleaching chromophores.
 1394 The size of the color block represents the average contribution of each WSOA factor to Abs₃₆₅.
 1395 Based on the correlation coefficients between PARAFAC components and PMF factors, C2, C4,
 1396 and C5 chromophores were assigned to WS-POA, C1 chromophore assigned to LO-OOA, and C3
 1397 and C6 chromophore assigned to HO-OOA (HO-OOA1 and HO-OOA2). (c) The Ex-Em plot for
 1398 fluorescence peak positions (Ex/Em) and the corresponding compounds of these six fluorophores.
 1399

1400 Tables

1401 Table 1. Light-absorbing properties of BrC in PM_{2.5} water extract in four cities.

Light absorption property	YC	XN	UR	LZ
AAE	6.81 ± 0.69	7.06 ± 0.44	6.94 ± 0.25	6.41 ± 0.51
Abs ₃₆₅ (M/m)	9.77 ± 4.74	12.03 ± 3.16	6.46 ± 1.60	12.49 ± 4.94
MAE ₃₆₅ (m ² /g)	1.02 ± 0.23	1.22 ± 0.18	0.78 ± 0.16	1.19 ± 0.12
<i>k</i> ₃₆₅	<u>0.041 ± 0.007</u>	<u>0.049 ± 0.005</u>	<u>0.034 ± 0.006</u>	<u>0.046 ± 0.008</u>
SFE ₃₀₀₋₇₀₀ (W/g)	<u>3.72 ± 0.90</u>	<u>4.42 ± 0.72</u>	<u>2.97 ± 0.67</u>	<u>4.35 ± 1.01</u>

1402