



New particle formation leads to enhanced cloud condensation nuclei concentrations at Antarctic Peninsula

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Abstract

Few studies have investigated the impact of new particle formation (NPF) on cloud condensation nuclei (CCN) in remote Antarctica, and none has elucidated the relationship between NPF and CCN production. To address that knowledge gap, we continuously measured the number size distribution of 2.5–300 nm particles and CCN number concentrations at King Sejong Station in the Antarctic Peninsula from January 1 to December 31, 2018. Ninety-seven new particle formation (NPF) events were detected throughout the year. The estimated median spatial scale of NPF around Antarctic peninsula was found to be approximately 155 km, indicating the large-scale of NPF events. Air back-trajectory analysis revealed that 80 cases of NPF events were associated with air masses originating over the ocean, followed by sea ice (12 cases), multiple (3 cases), and land (2 cases) regions. We present and discuss three major NPF categories: (1) marine NPF (2) sea ice NPF, and (3) multiple NPF. Our results showed that the photo-oxidation of oceanic biogenic precursors such as dimethyl sulfide (DMS) could be a key component in marine NPF events, whereas halogen compounds released from ice-covered areas could contribute to seaice NPF events. Terrestrial sources (wild life colonies, vegetation, and meltwater ponds) from Antarctica could affect aerosol production in multiple air masses. Out of 97 observed NPF events, 83 cases were characterized by the simultaneous increase in the CCN concentration by 2–270% (median 44%) in the





following 1 to 36 hours (median 8 hours) after NPF events. Overall, Antarctic NPF events were found to be a significant source of particles with different physical characteristics and related to biogenic sources in and around the Antarctic Peninsula, which subsequently grew to cloud nuclei.

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1. Introduction

Antarctic peninsula is warming more rapidly than Earth's global mean rate (Chen et al., 2009; Vaughan et al., 2003), leading to shrinking sea ice coverage and consequent sea-level rise (Pritchard et al., 2009). In the Antarctic region, ambient aerosols play a crucial role in governing radiative transfer, directly by the scattering and absorption of solar radiation and indirectly by acting as cloud condensation nuclei (CCN) (IPCC, 2013). The magnitude of the radiative forcing caused by the interactions between aerosols and CCN remains highly uncertain due to a poor understanding of pristine natural aerosols (Carslaw et al., 2013). To reduce this uncertainty, the physicochemical properties of aerosol particles (e.g., number concentrations, size distributions, chemical compositions, and hygroscopicity) have been studied at several Antarctic locations including King Sejong Station (Kim et al., 2019), Aboa (Asmi et al., 2010; Virkkula et al., 2006), Dome C (Järvinen et al., 2013), Halley (Lachlan-Cope et al., 2020; O'Dowd et al., 1997), Kohen (Weller et al., 2018), McMurdo (Giordano et al., 2018; Liu et al., 2018), Neumayer (Teinilä et al., 2014; Weller et al., 2015), Princess Elisabeth (Herenz et al., 2019) and Syowa (Hara et al., 2011; Ito, 1993), as well as from shipborne observations (Fossum et al., 2018; Humphries et al., 2015; Humphries et al., 2016). Overall, aerosol particle number concentrations follow a clear annual trend, being much higher in austral summer than in other seasons (Järvinen et al., 2013; Kerminen et al., 2018; Weller et al., 2011). For instance, Kim et al. (2017) found that summertime concentrations in the Antarctic Peninsula were ~20 times higher than in winter. This pattern can be largely explained by new particle formation (NPF) events. Precursor gases for NPF in this region can originate from the ocean, sea ice, meltwater ponds, and terrestrial animal colonies. Oceanic emissions of dimethyl sulfide (DMS) represent the largest natural sulfur source in the Antarctic atmosphere (Simó, 2001), and its photooxidation is a key process





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contributing to NPF (Giordano et al., 2017; Jang et al., 2019 and 2022). In situ (Saiz-Lopez et al., 2007) and satellite (Schönhardt et al., 2008) measurements have also shown Antarctica to be an iodine emission hotspot, particularly from the sea ice in the Weddell Sea during spring (Atkinson et al., 2012). Dall'Osto et al. (2017) reported that microbiota in sea ice were associated with atmospheric organic nitrogen formation in the Southern Ocean near Antarctica. According to Kyrö et al. (2013), the precursor vapors responsible for NPF and subsequent growth could originate from the cyanobacteria, which are abundant in Antarctic meltwater ponds. In addition, continental Antarctica is a habitat for various types of seabirds and penguins, with guano species acting as a crucial source of ammonia and organic compounds and may contribute to NPF in coastal Antarctic areas (Schmale et al., 2013; Weber et al., 1998; Zhu et al., 2011). In recent years, long-term records of aerosol size distribution have become an important aspect of investigations into the sources and dynamical processes of NPF. The majority of Antarctic field studies have focused on the annual and spatial patterns of the number size distribution of particles > 10 nm (Belosi et al., 2012; Järvinen et al., 2013; Kim et al., 2019; Kyrö et al., 2013; Lachlan-Cope et al., 2020). Although NPF events are typically characterized by a rapid increase in the number concentration of cluster from 1– 3 nm (Kulmala et al., 2004), datasets for these types of aerosol size distribution remain rare. To date, number size distribution of particles > 3 nm has been reported by Asmi et al. (2010) at Aboa during from December 29, 2006 to January 29. 2007; by Pant at al. (2011) at Maitri from January 1 to February 28, 2015; by Weller et al. (2015) at Neumayer from January 20 to March 26, 2012; by Jokinen et al. (2018) at Aboa from November 2014 to February 2015; and by Weller et al. (2018) at Kohnen during January 2015 and 2016. However, all of these measurements were made during the Antarctic summer due to restricted access and, therefore, limited information on seasonal cycles. Newly formed particles can grow into larger sizes that act as CCN, becoming relevant for cloud formation (O'Dowd, 2002; Williamson et al., 2019). In a highly pristine atmosphere such as Antarctica, where CCN concentration is extremely low (Kim et al., 2017), NPF may be a significant phenomenon controlling the CCN budget (Kyrö et al., 2013). For instance, Herenz et al. (2019) showed that an elevated CN_{2.5} (total number concentration of particles > 2.5 nm) during NPF events was accompanied by an





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increase in CCN concentrations at Princess Elisabeth during austral summer (December to February, 2013–2016). In addition, seasonal variability in CN_{2.5-10} (number concentration of particles within the 2.5 nm and 10 nm range and attributed to NPF) and CCN concentrations at King Sejong Station from March 2009 to December 2016 were investigated by Kim et al. (2019), who concluded that CCN concentrations during NPF events increased by ~11% compared to the background concentration. However, to date, only one study (Kim et al., 2019) has reported the contribution of NPF to CCN in the Antarctic Peninsula, and that study did not consider aerosol number size distribution. In this study, we continuously recorded the number size distribution of 2.5–300 nm particles and CCN number concentrations at King Sejong Station in the Antarctic Peninsula from January 1, 2018, to December 31, 2018. Our primary goals were to (1) characterize the seasonal variation and occurrence of NPF events from the perspective of aerosol physical properties (total number concentration, number size distribution, formation and growth rates, and condensation sink); (2) improve our understanding of the major sources (including open ocean, sea ice, and land) and processes influencing NPF and particle growth; and (3) estimate the contribution of atmospheric NPF to CCN activity in this pristine environment. To our knowledge, this is the first study to present direct evidence of CCN production associated with NPF and growth events in the Antarctic Peninsula, using simultaneous measurements of particle number

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2. Experimental methods

2.1. Sampling site and instrumentation

size distributions (down to 3 nm) and CCN properties for a full year.

Continuous measurements of the physical properties of aerosol particles were conducted from January 2018 to December 2018 at King Sejong Station in the Antarctic Peninsula (62.22° S, 58.78° W). Full details of the sampling site and measurement setup are given in Kim et al. (2017). In brief, a cylindrical stainless inlet (0.1 m diameter and 5.2 m length; total flow rate of the sampled air was 150 L min⁻¹) was placed on the observatory roof following Global Atmosphere Watch aerosol measurement guidelines and recommendations. Two condensation particle counters (TSI model 3776 CPC and TSI





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model 3772 CPC) were used to measure the total number concentration of particles every 1 second, with 108 aerosol sample flow rates of 1.5 and 1.0 L min⁻¹, respectively. A nano-scanning mobility particle sizer 109 (nano-SMPS) consisting of a nano-differential mobility analyzer (nano-DMA) (TSI model 3085, USA) 110 and an ultrafine condensation particle counter (TSI model 3776, USA) was used to measure the number size distribution of particles from 2.5-64 nm every 3 minutes. The aerosol flow rate was 1.5 L min⁻¹ and 112 the sheath flow rate was 15 L min⁻¹ inside the nano-DMA. 113 The particle number size distribution (from 10-300 nm every 3 min) was measured with a standard-114 SMPS consisting of a long DMA (TSI model 3081, USA) and a CPC (TSI model 3772, USA). The aerosol 115 flow rate was 1.0 L min⁻¹, and the sheath flow rate was 10 L min⁻¹ inside the long DMA. To obtain the 116 number size distribution of particles from 2.5-300 nm, the nano-SMPS and standard-SMPS were merged. 117 For particle diameters 2.5–20 nm, nano-SMPS data were chosen because this was optimized to operate 118 with a smaller particle diameter. In the nano-DMA, the aerosol residence time can be reduced by 119 120 shortening the inlet transport passage (5.0 cm) and increasing the inlet flow (up to 16.5 L min⁻¹) (< 10 nm) (Chen et al., 1998). Hence, the number size distribution data from both nano-SMPS and standard-SMPS 122 were merged at a diameter of 20 nm. Furthermore, three-point median filter and five point moving average 123 were performed on merging the number size distribution data to remove nano-SMPS noise, as suggested 124 by Kulmala et al. (2012). 125 The black carbon (BC) concentration was measured using an aethalometer (AE22, Magee Scientific Co., USA) every 5 min to examine long-range polluted aerosol transport from other continents and to 126 assess the influence of local pollution from the station. The flow rate through a sharp-cut 2.5 µm cyclone (BGI, Inc., USA) was set to 5 L min⁻¹. The CCN counter (CCNC: CCN-100, Droplet Measurement 128 Technologies, USA) measured CCN number concentrations at five different supersaturations (0.2%, 0.4%, 129 0.6%, 0.8%, and 1.0%) every 30 minute. The total flow rate in the CCN counter was 0.5 L min⁻¹. The 130 sample and sheath flow rates of the CCN counter were 0.05 and 0.45 L min⁻¹, respectively. In addition, basic meteorological parameters (temperature, pressure, relative humidity (RH), wind speed, wind 132 133 direction, and solar radiation intensity) were measured using an automatic weather station (Vaisala





134 HMP45).

2.2. Data evaluation

As the observatory is located ~400 m southwest of the main station buildings, measurement data were impacted by local emissions from station activities (e.g., power generators and incineration). To obtain an unperturbed aerosol population of pristine Antarctic environment, contaminated measurements were removed manually based on wind direction, wind speed, BC concentration, and total particle number concentration. The following data elimination procedure was applied: (1) the measurements taken within wind sector of 355° and 55° were discarded as directly impacted by local pollution sources; (2) relative wind speed below 2.0 m s⁻¹, as stagnant conditions would have facilitated contaminated particle propagation to the measurement location; (3) equivalent BC mass concentrations exceeding 50 ng m⁻³, because elevated BC concentration unambiguously pointed at polluted particles; and (4) a sharp increase in the total number concentration over the entire particle diameter range in a short time scale of less than an hour, as such abrupt peaks and spikes are related to potential contamination or instrumental malfunctions. For instance, CPC and SMPS data were removed for time periods when particle number concentrations suddenly increased to more than twice the background values.

Based on a four-year (2016-2019) BC dataset, six types of Antarctic Peninsula air-pollution levels were identified (Grigas et al., 2017): (1) pristine air with BC concentrations < 15 ng m⁻³, (2) clean air with BC levels 15–50 ng m⁻³, (3) slightly polluted air with BC levels 50–100 ng m⁻³, (4) moderately polluted air with BC levels 100–300 ng m⁻³, (5) polluted air with BC levels 300–1000 ng m⁻³, and (6) extremely polluted air with BC concentrations > 1000 ng m⁻³ (Figure 1). Previously, BC data were used as indicators for local contamination in Antarctica when BC concentration level exceeded 50 ng m⁻³ (Herenz et al., 2019) or 100 ng m⁻³ (Jang et al., 2018; Kim et al., 2017; Kim et al., 2019; Weller et al., 2011; Weller et al., 2015). Several studies have also reported that BC concentrations not exceeding 15 ng m⁻³ were used to reliably exclude anthropogenically impacted air masses over the Northeast Atlantic (Grigas et al., 2017; O'Dowd et al., 2015; Ovadnevaite et al., 2014).





Of the total time period assessed, pristine air conditions represented 30% (mean value of BC: 6.00 \pm 6.35 ng m⁻³), clean for 44% (mean value of BC: 29.85 \pm 9.81 ng m⁻³), lightly polluted 19% (mean value of BC: 68.78 \pm 13.57 ng m⁻³), moderately polluted 6% (mean value of BC: 150.43 \pm 47.12 ng m⁻³), polluted 1% (mean value of BC: 498.74 \pm 173.87 ng m⁻³), and extremely polluted less than 1% (mean value of BC: 1537.41 \pm 595.47 ng m⁻³). Together, pristine and clean air conditions accounted for ~72% of the time with the remaining 28% (BC > 50 ng m⁻³) removed prior to data analysis. For comparison, mean BC measured at the Mace Head Research Station on the Irish coast from 2009–2014 (Grigas et al., 2017) ranged from 8 ng m⁻³ (pristine) to 1700 ng m⁻³ (extreme), where clean and pristine air conditions accounted for 63% of the total time.

2.3. Definition of NPF and growth events

NPF events were visually identified by the particle number size distribution based on the protocol described by Dal Maso et al. (2005) and Kulmala et al. (2012). Here, these were defined when a distinct new mode of particles (initially < 25 nm), appearing in the particle number size distribution at nucleation-mode size (3–25 nm), prevailed for more than an hour. Using these criteria, the particle size distribution data showed that in some cases, there was only a short burst of nucleation-mode particles without clearly discernible particle growth, whereas in other cases, particle formation with subsequent particle growth lasted for several hours, representing a regional-scale phenomenon (Ström et al., 2009). This enabled us to determine the particle growth rate (GR), which is not possible during short bursts of nucleation-mode particles.

The particle growth and formation rates along with the condensation sink were calculated from the measured particle number size distribution. The GR was determined using the maximum concentration and mode-fitting methods (Dal Maso et al., 2005; Yli-Juuti et al., 2009). GR was calculated by a linear fit through the geometric mean diameter of the nucleation-mode particles as a function of time during NPF. The formation rate (FR) of nucleation-mode particles (J_{3-25}) was calculated by taking into account the time evolution of the particle number concentration in this size range and particle losses due to the



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coagulation sink and condensational growth out of the size range (Kulmala et al., 2012). The surface area of particles available for the condensation of gaseous molecules can be characterized by a condensation sink (CS), which determines how rapidly vapor molecules condense onto pre-existing particles (Collins et al., 2017; Dal Maso et al., 2002).

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2.4. Backward trajectory analysis and potential source regions

Air mass back trajectories were obtained using the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model to investigate their relationships with the physical characteristics of aerosol particles (Draxler and Hess, 1998). The 2 days air mass back trajectories (48 hours) were determined at hourly intervals and combined with satellite-derived geographical information to estimate the transport history of the air masses arriving at the observation site (Jang et al., 2022 and Park et al., 2021). The potential origins of the aerosols were divided into three categories based on the retention time of the 2 days back trajectories over three major domains: ocean (including the Weddell and Bellingshausen Seas), sea ice, and land (including the Antarctic Peninsula). Daily geographical information on ocean, sea ice, and land area was obtained from the Sea Ice Index (25 km resolution) provided by the National Snow and Ice Data Center (NSIDC). The sea ice zone was defined as the area with a sea ice coverage >15% (Stroeve et al., 2016). Air masses that passed over the Weddell and Bellingshausen Sea regions were categorized as originating from the ocean (i.e. > 50% retention over the ocean region). The air masses that frequently advected over the sea-ice region were categorized as originated over the sea ice (i.e. > 50% retention over the sea-ice domain). Air masses that traveled through the Antarctic Peninsula were categorized as originating from the land (i.e. > 50% retention over the land). Finally, the air masses which passed over the ocean, sea ice, and land regions simultaneously were categorized as originating from the multiple regions (i.e., 20–40 % retention over each ocean, sea ice, and land domain).

To evaluate the influence of oceanic biological characteristics on NPF properties, the phytoplankton biomass of the ocean domains was estimated by calculating their chlorophyll concentration from the Moderate Resolution Imaging Spectroradiometer on the Aqua (MODIS-Aqua) satellite at 4 km resolution





during the entire study period. Phytoplankton produces dimethylsulfoniopropionate (DMSP, a precursor of gaseous DMS) and other organic vapors all of which are potential precursors to new particle formation. Thus, the spatiotemporal distribution of sea-surface DMSP could be an indicator of contemporary DMS emissions. The total DMSP concentration on the sea-surface was estimated using the algorithm developed by Galí et al. (2015). The algorithm for the total DMSP concentration was based on the satellite-derived chlorophyll concentration and photosynthetic radiation exposure. To calculate the air mass exposures to ocean chlorophyll and DMSP (Jang et al., 2019), hourly back trajectory position was combined with satellite-derived chlorophyll concentration and total DMSP concentration, providing a good measure for quantitatively investigating the biological exposure history of sampled air over the several days before its arrival at the observation site (Park et al., 2018 and 2021).

3. Results and discussion

3.1. General features and annual cycle

We investigated the overall seasonality of particle number size distributions focusing on NPF events. In addition, local meteorological parameters (e.g., temperature, RH, wind speed, wind direction, pressure, and solar radiation) and air mass back trajectories were used to support the interpretation of the seasonal trends of the particle number size distribution and the dynamics of NPF events observed at the station.

3.1.1. Particle number concentrations and size distributions

Figure 2 and Table 1 shows a time series of the one-hour average total particle number concentration and size-segregated particle number concentrations over the entire measurement period conforming to pristine (BC < 15 ng m⁻³) and clean (BC: 15–50 ng m⁻³) conditions. The total particle number concentrations including ultrafine particles (CN_{2.5}; TSI 3776 CPC) and particles larger than 10 nm (CN₁₀; TSI 3772 TSI) ranged from 60 to 4982 cm⁻³ and 30 to 3304 cm⁻³, respectively. The annual median number concentrations of particles for the nucleation mode (N_{NUC}; 3–25 nm in diameter), Aitken mode (N_{AIT}; 25–100 nm in diameter), and accumulation mode (N_{ACC}; 100–300 nm in diameter) were 52.4 cm⁻³, 65.9 cm⁻³, and 21.7 cm⁻³, respectively. The highest median N_{NUC}, N_{AIT}, and N_{ACC} values were recorded in



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December (193.5 cm⁻³), December (227.6 cm⁻³), and January (83.8 cm⁻³), respectively (Table 1). The lowest N_{NUC}, N_{AIT}, and N_{ACC} values were recorded during austral winter in June – 12.2 cm⁻³, 12.5 cm⁻³ and 9.2 cm⁻³, respectively. Overall, clear annual and seasonal patterns of particle number concentrations in all size classes were observed: high concentrations in summer (December-February) and low concentrations in winter (June-August), similar to those observed at Marambio Station in the Antarctic Peninsula (Asmi et al, 2018), at coastal Neumayer Station (Weller et al., 2011), at Concordia Station Dome C (Järvinen et al., 2013), and at Troll Station (Fiebig et al., 2014). Furthermore, the monthly median CN_{10} value was positively correlated with the monthly median N_{NUC} (R = 0.78; not shown), implying that the summer maximum of total particle number concentrations was largely influenced by newly formed particles in the Antarctic atmosphere. The meteorological parameters after data filtering (BC < 50 ng m⁻³ indicating pristine and clean conditions) were characterized by a solar radiation range of 0-919 W m⁻² (median 10.7 W m⁻²), a temperature range of -20-6 °C (median -1.2 °C), an RH range of 52-98 % (median 88 %), a pressure range of 950-1022 hPa (median 988 hPa), a wind speed range of 0.3-21 m sec⁻¹ (median 7.4 m sec⁻¹), and wind direction range of 3-357° (median 296°) (Figure S1). To understand impacts on the particle number size distributions, we determined the relationships between the size-segregated particle number concentrations and meteorological parameters (Figure S2). CN10, N_{NUC}, N_{AIT}, and N_{ACC} were positively correlated with both solar radiation intensity and temperature. In particular, N_{NUC} had the highest correlation with solar radiation intensity (R = 0.39) of any meteorological condition, suggesting that solar radiation is one of the most important factors influencing NPF events, as it can drive photochemical reactions leading to the production and further reaction of precursor gases. In contrast, there was a weak anticorrelation between RH and N_{NUC}, supporting the view that NPF occurs preferentially at low RH (Dada et al., 2017; Hamed et al., 2011; Jeong et al., 2010; Laaksonen et al., 2008). Field observations

have reported that during NPF events, RH was negatively related to the number concentration of freshly

formed particles (Jeong et al., 2004; Lachlan-Cope et al., 2020; Weber et al., 1997) because of the





solar radiation at high RH. Previously, some NPF events were associated with high wind speeds at various Antarctic stations, such as Neumayer (Weller et al., 2015) and Aboa (Asmi et al., 2010; Virkkula et al., 2007). These studies found an enhanced particle number concentration < 10 nm during stormy weather and suggested ion production by frictional processes in fast-moving snow and ice crystals, followed by subsequent ion-mediated nucleation during strong winds. However, in our study, wind speed was not correlated with N_{NUC} (R = -0.18), N_{AIT} (R = -0.04), or N_{ACC} (R = -0.05), as recently suggested by Liu et al. (2018). Our results indicated that wind speed did not affect NPF events. A possible explanation for this wind speed independence is that an increase in wind speed contributes to the enhanced emissions of volatile organic compounds from the surface, but it was also accompanied by cloudy conditions. In summary, the elevated N_{NUC} values (i.e., indicator of NPF events) at King Sejong Station were more likely to be accompanied by high solar radiation, high temperature, and low RH, regardless of wind speed.

3.1.2. Spatial extension of regional nucleation event

NPF events were frequently observed at King Sejong Station, as shown by the size distribution data (Figure 2f). Many previous studies have reported that key steps of the nucleation process (e.g., cluster stabilization) occur in the size range ~2 nm, in line with recent direct observations of atmospheric molecular clusters (Kerminen et al., 2018; Kulmala et al., 2013). However, during NPF events, we did not observe particle formation starting directly from the lower end of the particle size spectrum (2.5 nm), showing that the formation of freshly nucleated particles could not have actually taken place at the site. Indeed, the initial diameter of particles that arrived to the measurement site during the NPF ranged from 4 nm to 16 nm (Figure 3a). Median values of NPF event duration (Figure 3b) and growth rate (Figure 3c) were 4.0 hour and 0.83 nm hr⁻¹, respectively. We assumed that they were transported from elsewhere or produced aloft, and detected the appearance of an already grown mode. Consistent with these studies, NPF events can be a regional-scale phenomenon extending over spatial scales of tens to hundreds of kilometers in several regions, such as the remote marine boundary layer (Zheng et al., 2021), Canadian high Arctic (Eureka, Nunavut, on Ellesmere Island in the Canadian Arctic Archipelago) (Tremblay et al., 2019), and Arctic ship-based observations.





Assuming the region is characterized by homogenous meteorological conditions, we estimated the spatial scale of NPF by multiplying the time during which a distinct nucleation mode can be observed at the sampling site by the locally measured wind speed (Birmili et al., 2003; Crippa and Pryor, 2013). As shown in Figure 3d, the spatial extend of NPF event associated with substantial particle growth can be 16-815 km (median value: 155 km), indicating the large-scale NPF events. Weller et al. (2015) measured size distributions at the coastal Antarctic station Neumayer during two summer campaigns (from 20 January to 26 March 2012 and 1 February to 30 April 2014). They found that the spatial extend of NPF event was estimated to be around 170 ± 85 km, taking into account the prevailing wind velocity (around 8 ± 4 m s⁻¹) and the confined NPF duration (around 6h).

3.1.3. Characteristics of NPF events

NPF events in this study were identified based on the size distribution data measured using the standard-SMPS (Figure 2e) and nano-SMPS (Figure 2f). During the pristine and clean periods (comprising of 355 observation days and 169166 size spectra for the standard-SMPS, and of 349 observation days and 165259 size spectra for nano-SMPS), 97 events (26% of observation days) with elevated N_{NUC} were observed when taking place in pristine (BC < 15 ng m⁻³) and clean (BC: 15–50 ng m⁻³) conditions. Median value of BC concentrations during NPF events was 21.0 ng m⁻³, similar to that of whole measurement periods after data filtering (median BC value: 18.8 ng m⁻³) (section 2.2). This indicated that NPF events are independent of occasional increases of BC during clean periods. NPF events were more frequently observed in summer (~55%) than in any other season (Figure 4), with the highest frequency in January (22%) and December (22%) followed by spring (September–November, 34%) and autumn (March–May, 11%). Similar results were reported by Järvinen et al. (2013) based on observations from Dome C and Kim et al. (2019) based on observations from King Sejong Station. Although Järvinen et al. (2013) reported winter events that occurred in the absence of sunlight, we did not detect NPF events during austral winter from May through to August.

Air mass back trajectories were calculated at hourly intervals to investigate possible source regions





for the observed NPF events. Figure 2g shows the residence times of air masses over the three domains. Based on 2-days air mass transport history analysis, air masses allocated to ocean, sea-ice and land account for 76, 19 and 2%, respectively, during the study period. 97 cases were identified as NPF events, 80 of which were observed when the air mass originated over the ocean domain (Figure 4). 12 NPF events were observed in air masses originating over the sea-ice domain, while the remaining 5 events were associated with multi-regional origin (3 cases) and land origin (2 cases). Multi-regional origin indicated air masses simultaneously influenced by all three domains. Our results indicated that NPF events were more common in air masses originating over the ocean and sea ice compared to those originating from the land. Precursors released by both ocean and sea ice could play an important role in the formation of new particles in the Antarctic atmosphere.

3.2. Case studies

This section presents a detailed overview of the marine, sea ice, and multi-regional NPF events.

3.2.1. Marine NPF event

A striking series of NPF events took place over seven days (Figure 6), starting at approximately 00:00 on December 9, 2018. Events starting at midnight can likely indicate their formation few hours earlier during afternoon sunlight, because the events are observed with an already grown nucleation mode. Time series of meteorological parameters, air mass origins, oceanic biological activity (estimated by chlorophyll and DMSP exposures), particle size distribution (measured by nano-SMPS and standard-SMPS), and CCN concentrations are shown in Figure 6. During this time, the prevailing northerly winds (median 307 °) were stable at 7.7 m s⁻¹. Air temperature varied from -1.5 to 2.1 °C (median 0.5 °C) and RH varied from 75–97% (median 89 %). There were no data for solar radiation during these events. Air masses predominantly traveled over the Antarctic Ocean (46.9, 0.7, and 0.4 h over ocean, land, and sea ice, respectively) and could be categorized as originating from the Antarctic Ocean. Specifically, the air mass originated mainly from Bellingshausen Sea (Figure 5a). During this event, the median total DMSP and chlorophyll exposures in the surface sea were 18 nmol L⁻¹ and 0.25 mg m⁻³, respectively.





Between 00:00 and 20:00 on December 9, N_{NUC} increased from 196 to 688 cm⁻³. At the same time, CCN concentrations at 0.4 % supersaturation gradually increased from 138 (00:00 on December 0) to 326 cm⁻³ (12:00 on December 11), an increase of 135%. In addition, elevated N_{NUC} occurred at 00:00 on December 13, ranging from 118–522 cm⁻³. CCN number concentration at 0.4% supersaturation began to increase at this time (95 cm⁻³) and reached its maximum at 18:00 (503 cm⁻³), with a concentration increase of 430%.

3.2.2. Sea-ice NPF event

Two NPF events with subsequent particle growth were detected from around 18:00 on January 2, 2018, to around 00:00 on January 3, 2018 (Figure 7). Air temperature and RH during the event were - 0.2 °C and 81%, respectively, while solar radiation decreased from 211 to 0.0 W m⁻². Winds were mild and stable (2.0–4.5 m sec⁻¹), with a prevailing northwesterly (220–330°) direction and air masses predominantly coming from sea ice. The average retention times of the 2 d back trajectories traveling over ocean, sea ice, and land were 13.1, 30.3, and 4.6 h, respectively, indicating sea-ice-influenced air masses (Figure 5b). Both total DMSP and chlorophyll exposure values suddenly increased from 15:00 to 17:00 before the NPF event, while during it, they dropped drastically before somewhat stabilizing, with median exposures of 11.8 nmol L⁻¹ and 0.2 mg m⁻³, respectively.

During the event, CN_{2.5} and CN₁₀ increased to 3982 and 1534 cm⁻³, respectively. In addition, the median N_{NUC}, N_{AIT}, and N_{ACC} values were 1148, 286, and 165 cm⁻³, respectively. Furthermore, elevated CCN concentrations were observed during NPF and growth events. Before the NPF event, CCN concentration at 0.4 % supersaturation was 273.2 cm⁻³ at 17:00 on February 2, then slowly increased to 380 cm⁻³, until 02:00 on February 3. During the NPF event, the CCN concentration increased by 39%.

3.2.3. Multiple NPF event

An intensive NPF event occurred from November 16 to November 17, 2018 (Figure 8). Air temperature during the event ranged from -2.5 to -0.1 °C (median -1.3 °C). RH ranged from 70–95%





(median 79%), slightly lower than that for the marine and sea-ice NPF events described above. During the NPF event observed from 20:00 on November 16 to 02:00 on November 17, solar radiation decreased from 30 to 0 W m⁻². This suggested that the NPF event occurred upwind of the measurement site, especially due to observed grown mode. Wind speed ranged from 4.3–9.5 m s⁻¹ with a constant direction from the southwest (median 239°). Air mass back trajectories showed multiple origins before reaching the station, passing over ocean (25.7 h, 53% of residence time), sea ice (12.4 h, 26% of residence time), and land (10.0 h, 21% of residence time) (Figure 5c). During the event, the median total DMSP and chlorophyll exposures in the sea surface were 6.0 nmol L⁻¹ and 0.2 mg m⁻³, respectively.

At the start of the event (17:00 on November 16), N_{NUC}, N_{AIT}, and N_{ACC} were 687, 83, and 13 cm⁻³, respectively. The particle number concentration of the nucleation mode sharply increased to 1609 cm⁻³ at the NPF time, and its peak concentration occurred 7 h after the start of the event (00:00 on November 17), indicating spatial extent of the formation region. The peak concentration of Aitken mode particles successively appeared 14 h after the start of the event (07:00 on November 17) and 30 h respectively for accumulation mode particles (23:00 on November 17). The values in the Aitken and accumulation-mode ranges were 91 and 447 cm⁻³, respectively. We also observed a gradual increase in CCN concentration for 23 h. CCN concentration at 0.4 % supersaturation increased from 78 (17:00 on November 16) to 272 cm⁻³ (23:00 on November 17). This NPF event may have been a source of CCN, which enhanced CCN concentrations by 248%.

3.3. Influence of air mass origin on the NPF event

3.3.1. Parameters related to NPF

Our results show that NPF and its growth events had largely different features depending on air mass origin (Figure S3). Although only 3 cases of multi-regional NPF events occurred during the pristine and clean periods (not included in Figure 9), the most intense NPF event was observed with multi-regional source region. Here, we compared N_{NUC}, FR GR, and CS, for the ocean and sea ice air masses (Figure 9)





a-d). The FR, GR, and CS values agreed well with those reported in previous studies at other Antarctic sites (Järv et al., 2013; Kim et al., 2019; Kyrö et al., 2013; Weller et al., 2015). The median N_{NUC} and FR values for the ocean air mass (N_{NUC} : 220 cm⁻³ and FR: 1.2 × 10⁻² cm⁻³ s⁻¹) were 1.5 and 2.6 times lower than those of sea ice air mass (N_{NUC} : 343 cm⁻³ and FR: 3.6 × 10⁻² cm⁻³ s⁻¹), respectively. This implies that marine NPF events are frequent, but weak in terms of N_{NUC} and FR values. Unlike N_{NUC} and FR, there were no marked differences between the median values of GR and CS by air mass category. The median particle GR values for ocean, sea ice, and multiple air masses were 0.8, 0.7, and 0.9 nm h⁻¹, respectively.

To examine the effects of oceanic biological activity on NPF properties, we examined solar radiation intensity, chlorophyll exposure, and DMSP exposure for the three air mass (or source region) categories (Figure 9 e-g). There was no difference in the former, while the latter two were highest in air masses originating from the ocean. The median chlorophyll exposure in ocean-influenced air masses (0.2 mg m⁻³) was roughly twice that of the sea-ice-influenced air mass (0.1 mg m⁻³). Total DMSP exposure for the ocean-influenced air mass was ~2.8 times that of the sea-ice air mass.

3.3.2. Potential sources facilitating new particle formation

Chlorophyll exposure and DMSP exposure were highest during marine NPF events, suggesting a large chance to carry biologically-derived organic compounds from the open ocean areas to the observation site. DMSP, a metabolite of oceanic phytoplankton, is partly converted into gaseous DMS through enzymatic cleavage (Simó, 2001), which is the largest natural sulfur source in the atmosphere (Barnes et al., 2006). Hence, the photooxidation of biogenic DMS in the Antarctic atmosphere (i.e., secondary DMS-derived aerosols) could be a major contributor to NPF and its growth when the air mass originates from the ocean. Jang at al. (2019) reported that NPF events were more frequent in air masses originating from the Bellingshausen Sea than the Weddell Sea during the biologically productive austral summer, and it is likely that the taxonomic composition of phytoplankton can be related to the formation of new particles in the Antarctic Ocean. Biogenic DMS was found to be a precursor of NPF in coastal



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Antarctica (Yu and Luo, 2010).

Although sea-ice algae bloom underneath the sea-ice cannot be captured by satellite-estimates of biological activity, the air mass exposure to chlorophyll and DMSP for sea-ice NPF events were 2.0 and 2.8 times lower than those of marine NPF events. This could be explained by volatile iodine compounds released from ice-covered areas in Antarctica (Jokinen et al; 2018; Saiz-Lopez et al., 2007); however, iodine compounds were not measured during our study period. Previously, iodine compounds were found in large concentrations in and above the sea ice of the Weddell Sea in Antarctica during spring and summer (Atkinson et al., 2012). Roscoe et al. (2015) also confirmed that iodine compounds may contribute to the secondary production of a significant number of particles measured at Halley and Neumayer on the Antarctic coast. In our study, sea-ice NPF events occurred frequently in January (middle of austral summer) and September (early austral spring) (Figure 4). We compared the JR, GR, and CS values for the sea-ice NPF cases observed between January and September (Figure S4) because of their notable differences in ice coverage. In Antarctica, the minimum ice coverage is observed in February and the maximum in September (Parkinson and Cavalieri, 2012). Our results showed that JR, GR, and CS values were much higher in January than in September, indicating different NPF processes. The January events occurred under low ice-coverage conditions, similar to previous studies from polar areas such as Svalbard (Dall'Osto et al., 2017) and Greenland (Dall'Osto et al., 2018). Both studies showed that NPF events are related to biogenic precursors released by open water and melting sea-ice regions, particularly during the summer. In contrast, the September events occurred under high ice-coverage conditions. The monthly median values of solar radiation showed that solar radiation intensity was very low from May to August and then started to increase from September (Table 1). During the September events, median solar radiation intensity was found to be 63 Wm⁻². It is therefore possible that elevated sea-ice concentrations under sufficient solar radiation around Antarctica lead to an increase in the concentration of halogen species, resulting in the production of newly formed particles. Solar photooxidation of frozen iodine-

containing solution has been shown to accelerate gas-phase iodine concentrations (Kim et al., 2016).



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The most intensive NPF event was observed in multiple air masses, although the oceanic biological activity was lower than that in the oceanic air mass. This indicated that terrestrial sources from continental Antarctica, in addition to both DMS (mainly from the ocean) and iodine (mainly from sea ice), may have contributed to NPF. First, previous studies have reported that precursors emitted from seabird colonies are linked to NPF (Schmale et al., 2013; Weber et al., 1998). The Chottaebawi area in the southwestern part of King George Island (around 2 km away from our observation site) is an important penguin colony in the Antarctic region (Lee et al., 2009), while the cape area near King Sejong Station is abundantly populated by flying seabirds such as skua. Given the proximity and abundance of seabird colonies at King Sejong Station, seabird colony emissions are the likely sources of NPF. Second, biogenic emissions from vegetation in the Antarctic Peninsula, mainly composed of relatively small and sparse patches of lichens and mosses (Miranda et al., 2020), could be associated with NPF and growth. Kim et al. (2006) studied plant communities on the Barton Peninsula around King Sejong Station in the maritime Antarctic and concluded that ~47% of the investigated area was covered by vegetation although generally sparse. Finally, biogenic precursors from meltwater ponds in continental Antarctica have also been suggested (Kyrö et al., 2013) as a possible source of aerosol production (Weller et al., 2018). Overall, our data suggest that complex interconnected ecosystems across ocean, sea ice, and land can lead to an enhancement in Antarctic NPF.

3.3.3. NPF as a source of CCN

For a given SS of 0.4%, the median CCN number concentrations were rather similar 184, 144, and 178 cm^{-3} for ocean, sea ice, and multiple air masses, respectively (Figure 9h). Of the 83 NPF events, CCN concentrations increased by 2–268% (median 44%) following 1 to 36 hours (median 8 hours) after NPF events. The median increase in CCN concentrations was 44 %, 34 %, and 107 % for ocean, sea ice, and multiple air masses, respectively. NPF can be an important source of CCN in Antarctica, and the highest CCN enhancement was observed when air masses passed through multiple regions, followed by ocean and sea-ice regions. Moreover, we newly calculated CCN increase rate, which defined as the change rates of representative CCN concentrations (CCN₁(t₁) and CCN₂(t₂)) with the highest CCN concentrations at





certain times (t₁ and t₂), when elevated CCN concentration was observed during the NPF. The CCN rate varied from 1.4 to 76.7 cm⁻³ hr⁻¹, with a median value of 10.2 cm⁻³ hr⁻¹. Our results provide the first direct evidence of CCN production resulting from an NPF event in the Antarctic atmosphere, based on simultaneous measurements of particle number size distribution (e.g., diameter ranges of 2.5–300 nm) and CCN number concentrations in real time throughout the year.

We also compared CCN activity and critical diameter for the three selected periods (Figure 9i and j). The median values of CCN activity, i.e. the ratio of the number concentration of particles that activated to become CCN at a given supersaturation to the total number concentration or particles larger than 10 nm (CN₁₀), were similar (about 5%) in three different air masses. The critical diameter (D_c), the diameter at which the integration of aerosol size distribution from the largest particle diameter to the lowest one matches the measured CCN concentration, was determined using the measured aerosol size distribution, CN₁₀, and CCN concentrations (Furutani et al., 2018). The median D_c value at 0.4% supersaturation was estimated to be 41 nm, 32 nm, and 37 nm for ocean, sea ice, and multiple air masses, respectively. These results agreed well with those reported in previous studies that determined D_c at the Finnish Antarctic Research Station, Aboa (Kyrö et al., 2013), a clean subarctic background site (Komppula et al., 2005), and over remote Southern Ocean around Antarctica (Fossum et al., 2018; Fossum et al., 2020). For instance, Kyrö et al. (2013) found the smallest D_c at 48 nm. The median D_c , as suggested by Komppula et al. (2005), varied from 50–128 nm (average 80 nm). The D_c value for maritime polar and marine modified continental Antarctic air masses were 71 and 59 nm, respectively (Fossum et al., 2020).

4. Conclusions

We measured the number size distribution of 2.5–300 nm particles and CCN number concentrations at King Sejong Station in the Antarctic Peninsula continuously from January 1 to December 31, 2018. The annual median values of N_{NUC}, N_{AIT}, and N_{ACC} were 52.4 cm⁻³, 65.9 cm⁻³, and 21.7 cm⁻³, respectively. Overall, clear annual and seasonal patterns of particle number concentrations in all size classes were observed (high concentrations in summer and low concentrations in winter). Furthermore, the monthly





 CN_{10} value was positively correlated with the monthly N_{NUC} , implying that summer maximum particle concentrations could be largely influenced by newly formed particles in the Antarctic atmosphere. Among meteorological parameters, the elevated N_{NUC} values (i.e., indicators of NPF events) were more likely to be accompanied by high solar radiation, high temperature, and low RH, regardless of wind speed.

NPF events were identified based on size distribution data measured using two SMPSs. During the pristine and clean periods, 97 events (26% of observation days) with elevated N_{NUC} were observed. NPF events occurred more frequently in summer than in any other season. Based on air mass back-trajectory analysis, we distinguished three different types of NPF events: marine (80 cases), sea ice (12 cases), and multiple (3 cases). Marine NPF events were frequent and weak (N_{NUC} , 220 cm⁻³; FR = 1.2 × 10⁻² cm⁻³ s⁻¹; GR = 0.8 nm hr⁻¹) and occurred when the air mass exposure to oceanic phytoplankton was high (chlorophyll, 0.2 mg m⁻³; DMSP, 18 nmol L⁻¹). The photooxidation of biogenic DMS in the Antarctic atmosphere could be a major contributor to marine NPF events. In contrast, sea-ice NPF events (N_{NUC} , 343 cm⁻³; FR, 3.6 × 10⁻² cm⁻³ s⁻¹; GR, 0.7 nm h⁻¹) were observed when the air mass exposure to oceanic phytoplankton was relatively low (chlorophyll, 0.1 mg m⁻³; DMSP, 7 nmol L⁻¹), which may be due to volatile iodine compounds released from ice-covered areas. Strong NPF events (N_{NUC} , 516 cm⁻³; FR, 3.2 × 10⁻² cm⁻³ s⁻¹; GR, 0.9 nm hr⁻¹) were associated with multiple air masses, indicating complex interconnected ecosystems leading to an enhancement in Antarctic NPF.

To investigate the connection between newly formed particles and CCN production, we compared CCN properties for the three air mass categories. The median CCN number concentrations at a given SS of 0.4% were 184, 144, and 178 cm⁻³ for ocean, sea ice, and multiple air masses, respectively. Of the 83 events, an increase in CCN concentrations after the NPF events was detected, ranging from 2–268 % (median 44 %). The median increase in CCN concentrations was 44 %, 34 %, and 107 % for ocean, sea ice, and multiple air masses, respectively. NPF events led to increased CCN concentrations at King Sejong Station. The median value of D_c at a supersaturation of 0.4% was estimated to be 41 nm, 32 nm, and 37 nm for ocean, sea ice, and multiple air masses, respectively. This study is the first to report CCN production resulting from NPF events in the Antarctic atmosphere in the Antarctic Peninsula. However,





- 523 further detailed measurements of the chemical properties of aerosol particles and precursors during NPF
- 524 events are required to better understand the contribution of these compounds to the formation and growth
- 525 of aerosol particles and to explore their impacts on CCN formation in the remote Antarctic environment.

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Data availability

- 528 The data analyzed in this publication will be readily provided upon request to the corresponding author
- 529 (yjyoon@kopri.re.kr).

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531 **Author contributions**

- 532 JP and YJY designed the study. JP, HK, YG, EJ, K-TP, SP, and YJY analyzed data. JP wrote the
- 533 manuscript. CHJ, DC, and CO'D all commented on and discussed the manuscript.

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535 Competing interests

The authors declare that they have no conflict of interest.

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Table 1. Total particle number concentration > 10 nm (CN₁₀), particle number concentrations of the nucleation mode (N_{NUC}), Aitken mode (N_{AIT}), accumulation mode (N_{ACC}), CCN number concentration at supersaturation of 0.4% (CCN_{0.4%}), and metrological parameters solar radiation, temperature, RH, pressure, wind speed, and wind direction for 2018.

	CN ₁₀ (cm ⁻³)	N _{NUC} ^a (cm ⁻³)	N _{AIT} ^a (cm ⁻³)	N _{ACC} ^a (cm ⁻³)	CCN _{0.4%} (cm ⁻³)	Solar radiation (W m ⁻²)	Temp.	RH (%)	Pressure (hPa)	Wind Speed (m sec ⁻¹)	Wind direction (°)
January	506.2	101.1	188.7	83.8	235.2	129.2	1.1	88.6	986.0	5.78	315.8
February	594.3	111.3	200.0	69.9	229.8	103.5	1.8	90.8	987.2	7.72	319.9
March	357.3	86.0	112.4	42.1	138.7	58.0	1.1	88.4	981.8	8.21	342.3
April	184.1	49.9	39.1	17.5	58.6	26.2	-0.7	87.1	988.2	7.88	350.0
May	106.7	25.1	23.8	14.2	51.1	7.3	-2.3	81.8	990.1	7.34	277.7
June	75.9	12.2	12.5	9.2	35.4	3.4	-4.1	88.4	995.9	7.21	339.8
July	84.3	28.2	16.8	11.6	39.1	5.5	-2.9	86.5	992.2	9.08	300.8
August	109.8	39.3	19.6	14.8	52.1	21.8	-3.3	85.9	986.2	8.57	327.8
September	266.4	123.8	51.3	20.9	79.3	65.6	-3.6	86.5	992.6	9.52	313.2
October	287.0	88.9	62.0	26.9	105.3	122.1	-2.1	84.6	994.4	6.50	290.8
November	498.2	79.3	136.8	46.1	150.3	143.3	-0.6	89.3	980.0	7.59	307.9
December	511.9	193.5	227.6	67.7	189.1	136.5	0.4	87.2	980.4	6.72	302.7

 $^{^{}a}N_{NUC}$, N_{AIT} , and N_{ACC} represent the particle number concentrations in the nucleation mode (3–25 nm), Aitken mode (25–100 nm), and accumulation mode (100–300 nm).





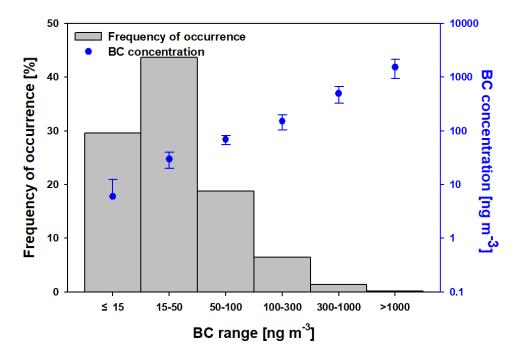


Figure 1. Frequency of occurrence of BC mass concentration for six types of Antarctic Peninsula air-pollution levels classified from four-year BC data.



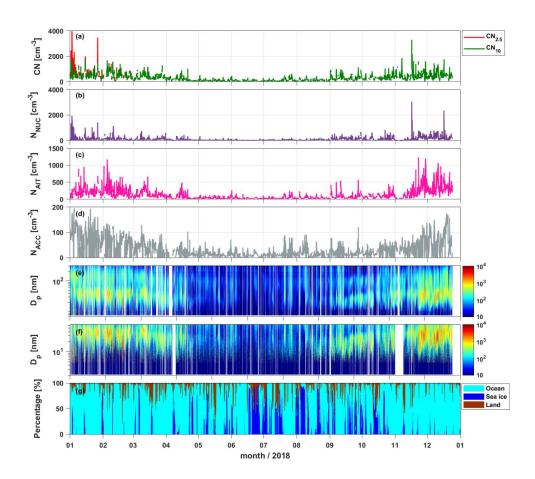


Figure 2. Time series of 1 h averages for (a) total aerosol ($CN_{2.5}$ and CN_{10}), (b) nucleation mode (N_{NUC} ; 3–25 nm), (c) Aitken mode (N_{AIT} ; 25–100 nm), and (d) accumulation mode (N_{ACC} ; 100–300 nm); contour plots of the size distributions measured using (e) standard and (f) nano-SMPS; and (g) residence time of air masses passing over ocean, sea ice, and land. $CN_{2.5}$ and CN_{10} represent total number concentration of particles > 2.5 (measured using TSI 3776 CPC) and 10 nm (measured using TSI 3772 CPC), respectively.





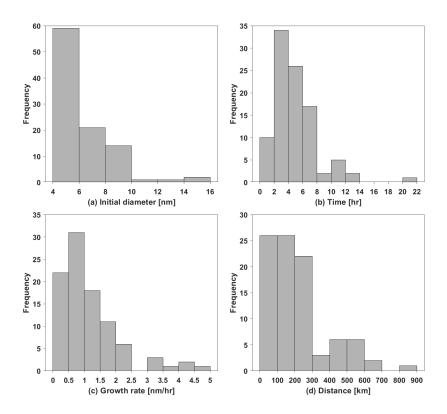


Figure 3. Frequency of (a) initial diameter of particles, (b) duration time, (c) growth rate, and (d) extension for the NPF event. Two NPF cases were excluded when the wind speed was higher than 10 ms⁻¹.





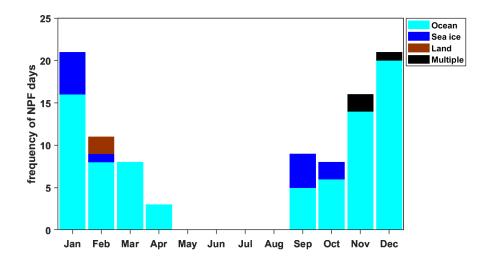


Figure 4. Seasonal variations in the number of NPF days by air mass origin.





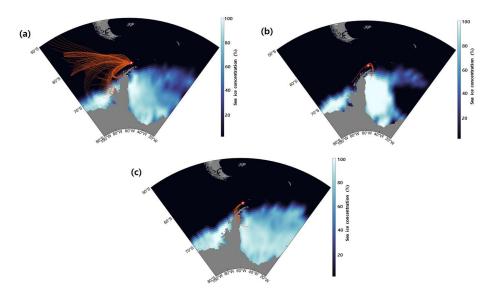


Figure 5. Air mass back trajectories for arrival at 50 m for the four case study NPF events: (a) marine, (b) sea ice, and (c) multiple.





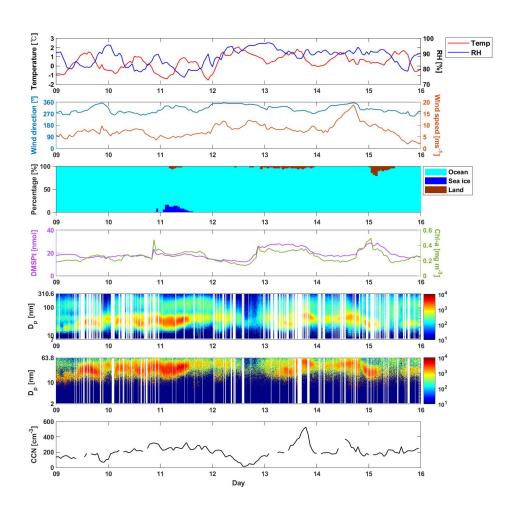


Figure 6. Marine NPF event observed from December 9–15, 2018. From top to bottom, the parameters are as follows: meteorological variables, the residence time of air masses that passed over the ocean, sea ice and land areas, number size distribution with the standard-SMPS and nano-SMPS, and CCN number concentration.



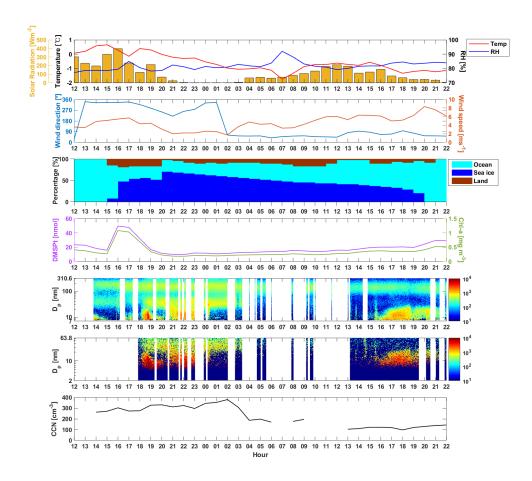


Figure 7. Sea ice NPF event observed from January 2–3, 2018. From top to bottom, the parameters are as follows: meteorological variables, the residence time of air masses that passed over the ocean, sea ice and land areas, number size distribution with the standard-SMPS and nano-SMPS, and CCN number concentration.



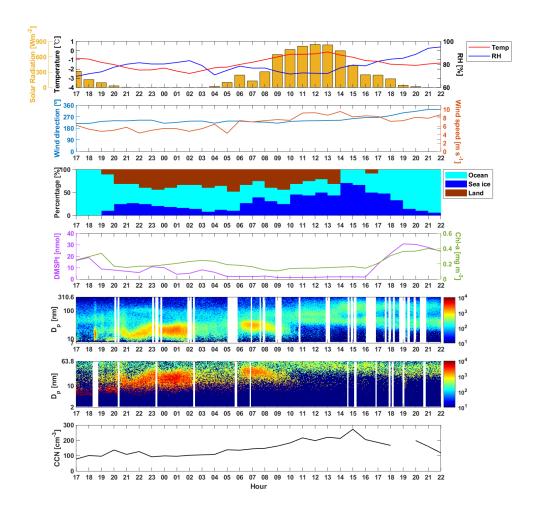


Figure 8. Multiple NPF event observed from November 16–17, 2018. From top to bottom, the parameters are as follows: meteorological variables, the residence time of air masses that passed over the ocean, sea ice and land areas, number size distribution with the standard-SMPS and nano-SMPS, and CCN number concentration.



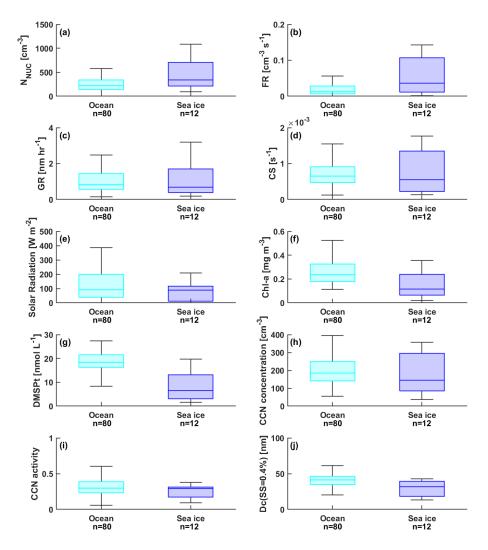


Figure 9. Box plots for (a) number concentration of nucleation-mode particles (N_{NUC}), (b) formation rate for, (c) growth rate (GR), (d) condensation sink (CS), (e) solar radiation, (f) chlorophyll exposure, (g) DMSP exposure, (h) CCN number concentration, (i) CCN activity, and (j) critical diameter (D_c) for ocean, sea ice, and multiple air masses. Upper/lower box limits and solid lines indicate the 75th/25th percentiles and median, respectively.