# Development and evaluation of processes affecting simulation of diel fine particulate matter variation in the GEOS-Chem model

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**Abstract.** The capability of chemical transport models to represent fine particulate matter (PM<sub>2.5</sub>) over the course of a day is of vital importance for air quality simulation and assessment. In this work, we used the nested GEOS-Chem model at 0.25°× 0.3125° resolution to simulate the diel (24 h) variation in PM<sub>2.5</sub> mass concentrations over the United States (US) in 2016. We evaluate the simulations with in situ measurements from a national monitoring network. Our base case simulation broadly reproduces the observed morning peak, afternoon dip and evening peak of PM2.5, matching the timings of these features within 1-3 hours. However, the simulated PM2.5 diel amplitude in our base case was 105106% biased high relative to observations. We find that temporal resolution of emissions, differences in vertical representativeness between model and observations subgrid vertical gradient between surface model level center and observations, as well as biases in boundary layer mixing and aerosol nitrate are the major causes for this inconsistency. We applied an hourly anthropogenic emission inventory, and converted the PM<sub>2.5</sub> mass concentrationses from model level center to the height of surface measurements by correcting for aerodynamic resistance, adjusted the boundary layer heights in the driving meteorological fields using aircraft observations, and constrained nitrate concentrations using in situ measurements. The biases in the PM2.5 diel amplitude were was reduced to 25%-12% in the improved simulation, and the timing of diel variations were better captured. In addition, notable sensitivity of the simulated diel amplitude of PM<sub>2.5</sub> (8%) on the boundary layer height in the driving met fields were identified. Gridded hourly emissions rather than diel scaling factors applied to monthly emissions reduced biases in simulated PM<sub>2.5</sub> overnight. Resolving the subgrid vertical gradient in the surface model level aided capturing timings of PM<sub>2.5</sub> morning peak and afternoon minimum. Based on the improved model, we find that the mean observed diel variation in PM<sub>2.5</sub> for the US is driven by 1) building up of PM<sub>2.5</sub> by 10% in early morning (4:00 - 8:00 local time, LT) due to increasing anthropogenic emissions into a shallow mixed layer, 2) decreasing PM<sub>2.5</sub> by 22% from mid-morning (8:00 LT) through afternoon (15:00 LT) associated with mixed layer growth, 3) increasing PM2.5 by 30% from midafternoon (15:00 LT) though evening (22:00 LT) as emissions persist into a collapsing mixed layer, and 4) decreasing PM<sub>2.5</sub> by 10% overnight (22:00 - 4:00 LT) as emissions diminish.

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#### 1 Introduction

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Airborne fine particulate matter (PM<sub>2.5</sub>) affects human health (GBD 2019 Risk Factor Collaborators, 2020), visibility (Malm et al., 1994; Li et al., 2016) and the climate system (Pörtner et al., 2022). Accurately representing the diel PM<sub>2.5</sub> variation, its variation over the course of a day, is essential for exposure assessment, air quality modeling and relating PM<sub>2.5</sub> concentrations at a specific time of day to daily averages (van Donkelaar et al., 2010; Manning et al., 2018). Ground-level observations have revealed similar bimodal diel PM<sub>2.5</sub> variations across the world, in which the mass concentrations typically peak in morning and late evening, with minima near daybreak and late afternoon (Manning et al., 2018). How well chemical transport models (CTMs) reproduce this variation has not been fully investigated.

Previous modelling studies over major anthropogenic source regions found mixed levels of skill in resolving diel PM<sub>2.5</sub> variation. CTMs generally well capture the observed mid-morning and late evening peaks in PM<sub>2.5</sub> (Tessum et al., 2015; Bessagnet et al., 2016; Du et al., 2020). The peak in mid-morning is commonly attributed to enhanced anthropogenic emission activities and the peak in late evening ascribed to the collapse of the planetary boundary layer (Zhao et al., 2009; Rattigan et al., 2010; Tiwari et al., 2013). Biases in simulated diel PM<sub>2.5</sub> variation have also been identified and investigated. Du et al. (2020) found that over East Asia theused the WRF-Chem CTM-model (Grell et al., 2005) with the MOSAIC (Model for Simulating Aerosol Interactions and Chemistry) scheme and the CBM-Z (carbon bond mechanism) photochemical mechanism to simulate diel PM<sub>2.5</sub> variation over East Asia and found nighttime overestimation, overpredicted nighttime PM<sub>2.5</sub>, possibly due to insufficient boundary layer mixing. Simulations from multiple CTMs in the EURODELTA III intercomparison study (Bessagnet et al., 2016) found notable underestimation of PM<sub>2.5</sub> concentrations in the afternoon over Europe. Lack of unspeciated organics and incomplete chemical mechanisms for the formation of secondary organic aerosols were proposed as the driving forces.

Global anthropogenic emission inventories are generally available at monthly mean resolution (Janssens-Maenhout et al., 2015; Huang et al., 2017; McDuffie et al., 2020). These monthly inventories are often applied as is for a wide range of studies. Some national emission inventories (e.g., NEI) contain local species- and sector-specific diel variation. Such national information for a specific country has in some instances been applied to provide diel information for global inventories in some models. There is need to explore the effects of these different approaches upon the diel variation in PM<sub>2.5</sub> concentrations.

The vertical extent of the first-lowest model level in CTMs is typically tens of meters above ground, while ground-based measurements are taken at around two meters. As subgrid vertical gradients exist between model level center and surface observations, Therefore, vertical representativeness differences exist between CTMs and ground-based observations, which CTM simulation and in situ measurements represent PM2.5 at different altitudes. This so-called vertical representativeness difference can affect model evaluation. Previous modeling studies have estimated subgrid vertical gradients in HNO3 and O3 within the first model level using dry deposition velocity and aerodynamic resistance (Zhang et al., 2012; Travis and Jacob, 2019). How such differences in vertical representation affect simulated diel PM2.5 has not been investigated. Aerosol dry deposition, defined as the removal of pollutants by gravitational settling, Brownian diffusion, or by impaction and interception resulting from turbulent transfer (Beckett et al., 1998), is an important sink process which may also affect the diel variation of PM2.5.

- Aerosol dry deposition, defined as the removal of aerosols by gravitational settling, by Brownian diffusion, or by impaction and interception resulting from turbulent transfer (Beckett et al., 1998), is an important sink process. Recent investigations have examined developments to the dry deposition scheme used in CTMs. Petroff and Zhang (2010) developed a sized-resolved particle dry deposition scheme with a new surface resistance parameterization by simplification of a one-dimensional aerosol transport model. Kouznetsov and Sofiev (2012) proposed a comprehensive particle dry deposition scheme which accounts for physical properties of the air flow, surface and depositing particles. Zhang and Shao (2014) improved the modeling of particle dry deposition on rough surfaces by treating gravitational settling analytically and considering the roughness in particle diffusion and surface collection. Emerson et al. (2020) revised size-resolved particle dry deposition through constraining the surface resistances using particle flux observations. The impacts of recent updates on PM<sub>2.5</sub> mass concentrations and its diel variation remains unclear.
- Aerosol nitrate, mainly formed chemically from ammonia and nitric acid, is an important component of PM<sub>2.5</sub>.

  Previous studies reported aerosol nitrate as overestimated in models, including GEOS-Chem (Heald et al., 2012),

  PMCAMx (Fountoukis et al., 2011) and WRF-Chem (Tuccella et al., 2012). Uncertainties in the heterogeneous uptake

  coefficient of N<sub>2</sub>O<sub>5</sub> and NO<sub>2</sub>, dry deposition velocity of nitric acid, and nighttime boundary layer has been investigated
  as potential factors causing the overestimation (Miao et al., 2020; Zhai et al., 2021; Travis et al., 2022). The

  overprediction of nitrate in GEOS-Chem was found most prominent during the night (Travis et al., 2022), which can
  affect the diel variation of PM<sub>2.5</sub>.

In this work, we use the GEOS-Chem CTM, initially described by Bey et al. (2001), to investigate the diel variation in simulated PM<sub>2.5</sub>. We focus on the US in 2016. In Sect. 2, we introduce the GEOS-Chem model and the configurations of our base simulation. In Sect. 3, we describe the in situ measurements of PM<sub>2.5</sub>. The rest of the paper is organized by themes, each of which contains its own methodology, results and discussions. In Sect. 4, we evaluate and identify biases of the simulated diel PM<sub>2.5</sub> variation by in our base GEOS-Chem simulation. Multiple physical and chemical processes affecting the diel PM<sub>2.5</sub> simulation are explored in Sect. 5 by developing the model and conducting sensitivity simulations, based on which we describe the revised diel simulation with discussions in Sect. 6. Sect. 7 concludes this study.

### 2 The GEOS-Chem model and the base simulation

#### 2.1 General description

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We use the GEOS-Chem chemical transport model version 12.6.0 (www.geos-chem.org) driven by the GEOS-5 Forward Processing (GEOS-FP)to examine the factors controlling the diel PM<sub>2.5</sub> mass variations. GEOS Chem is a three-dimensional chemical transport model driven by assimilated meteorology from the NASA Global Modeling and Assimilation Office (GMAO) to examine the factors controlling the diel PM<sub>2.5</sub> mass variations. Prior a Applications of the model on to PM<sub>2.5</sub> studies include but are not limited to evaluating and improving mechanisms for affecting PM<sub>2.5</sub> formation (Zheng et al., 2015; Marais et al., 2016; Song et al., 2021; Travis et al., 2022), source attribution (Meng et al., 2019; McDuffie et al., 2021; Pai et al., 2022), assessments of the effects of horizontal transport on local air quality

(Lang et al., 2012; H.-Zhang et al., 2019; Xu et al., 2023) and exposure assessments (Kodros et al., 2016; van Donkelaar et al., 2021).

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GEOS-Chem simulates detailed tropospheric aerosol-oxidant chemistry which includes the sulfate-nitrate-ammonium system (Park et al., 2004; Fountoukis and Nenes, 2007), black carbon (Wang et al., 2014), organic carbon, secondary organic aerosol (Pai et al., 2020), mineral dust (Fairlie et al., 2007) and seasalt (Jaeglé et al., 2011). The so-called "simple" scheme (Kim et al., 2015) is used for simulating secondary organic aerosol (SOA). Absorption of radiation by brown carbon is implemented following Hammer et al., (2016). We use nested simulations over the US in 2016 at 0.25° × 0.3125° over 47 vertical layers extending from the surface up to 0.1 hPa. The surface level extends from ground to about 120 meters. The GEOS 5 Forward Processing (GEOS FP) product from GMAOGEOS-FP is used as the for meteorological inputs, which includes hourly surface variables and 3-D variables at every 3 hours. A global simulation at 2° × 2.5° is used to provide the boundary conditions for the nested domain. The non-local scheme implemented by Lin and McElroy (2010) is used for boundary layer mixing.

In this work, we <u>start from first evaluating evaluate</u> the base simulation of GEOS-Chem (<u>see denoted as GC\_Base in Table 1</u>). We identify the biases of diel PM<sub>2.5</sub> <u>variation</u> in the base simulation by <u>comparing comparison</u> with in situ observations. Then <u>by\_we\_developing</u> different model components <u>affecting PM<sub>2.5</sub> concentrations</u> and conduct<del>ing</del> sensitivity simulations, <u>we\_to</u> explore the driving forces of diel PM<sub>2.5</sub> <u>variation</u> improve the simulation. Sect. 2.2 and 2.3 <u>will-introduce</u> the emission configuration and default parameterization of dry deposition in GC\_Base.

Table 1. Summary of modifications made to base GEOS-Chem simulation to investigate diel PM2.5 variation.

GEOS-Chem simulation	Temporal resolution of emissions	Vertical representativeness	Aerosol dry deposition	Boundary layer mixing	Nitrate constrained
GC_Base	NEI monthly	Lowest model level center	Default	Default	No
GC_Emis	NEI hourly	Lowest model level center	Default	Default	No
GC_Drydep	NEI hourly	Lowest model level center	Revised	Default	No
GC_2m	NEI hourly	Corrected to 2m	Revised	Default	No
GC_2m_PBLH	NEI hourly	Corrected to 2m	Revised	PBLH adjusted	No
GC_2m_PBLH_NIT	NEI hourly	Corrected to 2m	Revised	PBLH adjusted	Yes

GEOS-Chem simulation	Emission Inventory	Vertical gradient resolved	Dry deposition revised	Adjustments on PBLH	
GC_Base	NEI monthly	No	No	No	
GC_Emis	NEI hourly	No	No	No	
GC_Drydep	NEI hourly	No	Yes	No	

GC_2m	NEI hourly	<del>Yes</del>	<del>Yes</del>	No	
GC_2m_PBLH	<b>NEI hourly</b>	<del>Yes</del>	<del>Yes</del>	<del>Yes</del>	

# 2.2 Emissions configurations in GC\_Base

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To investigate the impacts of anthropogenic emissions, we use\_begin with the monthly version of the National Emission Inventory (NEI) in GC\_Base instead of the default hourly version in the standard nested GEOS-Chem model over North America, which is consistent with most regions outside of the US where anthropogenic emissions at hourly resolution are often not readily available. The base year of NEI is 2011. We scale the NEI emissions from the base year of 2011 to 2016 by using the air pollutant emissions trend data provided by the US Environmental Protection Agency (EPA) (https://www.epa.gov/air-emissions-inventories/air-pollutant-emissions-trends-data). Point sources in the NEI inventory are all vertically resolved, which mainly include large industrial facilities, power plants and airports. Nonpoint sources mainly include residential heating, transportation, commercial combustion and solvent use. We do not use the NEI 2016 inventory since that inventory is only available at monthly resolution in GEOS-Chem. For wildfires, we use GFED4 (Giglio et al., 2013) 3-hourly emissions. For dust, we use the hourly offline inventory developed by Meng et al. (2021).

# 125 2.3 Dry deposition parameterization in GC\_Base

Dry deposition of PM<sub>2.5</sub> in our base GEOS-Chem simulation generally follows the Zhang et al. (2001) scheme (hence forth Z01), which parameterizes particle dry deposition velocities ( $V_d$ ) by accounting for gravitational settling ( $V_g$ ), aerodynamic resistance ( $R_a$ ) and surface resistance ( $R_s$ ), as shown in Eq. (1):

$$V_d = V_g + \frac{1}{R_g + R_s},\tag{1}$$

Gravitational settling represents the particle settling due to gravity. Aerodynamic resistance describes the turbulent transport of scalars within the surface layer. Surface resistance, as formulated in Eq. (2), quantifies particle-surface contact in close proximity to surfaces by Brownian diffusion  $(E_b)$ , impaction  $(E_{Im})$  and interception  $(E_{In})$ .

$$R_{s} = \frac{1}{\varepsilon_{0}u_{*}(E_{h} + E_{Im} + E_{In})R_{1}},\tag{2}$$

where  $u_*$  denotes friction velocity,  $R_1$  denotes a bounce correction term and  $\varepsilon_0$  denotes an empirical coefficient. Brownian diffusion contributes to dry deposition through diffusion when particles are close to surface collectors. Impaction describes the direct collision of particles to surfaces due to inertia when particles move along the streamlines around collector surfaces. Interception represents the deposition by which particles are captured by surface collectors when their distances to the collectors are less than the radius of a single particle.

The standard GEOS-Chem dry deposition module used in our base simulation calculates dry deposition velocity  $(V'_d)$  following Eq. (3), where gravitational settling is ignored.

$$V_d' = \frac{1}{R_d + R_S},\tag{3}$$

The dry deposition of PM<sub>2.5</sub>; including includes sulfate, nitrate, ammonium, organics, black carbon, fine mode seasalt and fine mode mineral dust components are calculated. Information about particle size is important, as all terms in Eq. (1-3) are size-dependent except aerodynamic resistance  $R_a$ . The dry deposition module in the base GEOS-Chem simulation has inconsistencies with other GEOS-Chem modules that we address in Sect. 5.2. In the standard GEOS-Chem dry deposition module, fine mode mineral dust is considered in two size bins with mass-weighted mean diameters of 1.46 and 2.80  $\mu$ m. Other components are each considered in a single size bin with mass-weighted mean dry diameters for sulfate, nitrate, ammonium, organics, black carbon and fine mode seasalt of 0.5  $\mu$ m. Monodisperse size distributions are used for all size bins. The effect of hygroscopic growth on deposition is only considered for fine mode seasalt following Lewis and Schwartz (2006). We use the standard GEOS-Chem dry deposition module for our base simulation.

### 3 In situ measurements of PM<sub>2.5</sub>

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The in situ measurements from the United States Environmental Protection Agency's Air Quality System (AQS) are used to evaluate the GEOS-Chem simulations. There were 451 sites operating in 2016 across the US which provided hourly PM<sub>2.5</sub> concentrations using a Federal Equivalency Method (FEM). As depicted in Fig. 1, 66.3% of these FEM sites are equipped with the Met One BAM-1020 Mass Monitor using Beta Attenuation, 10.0% equipped with the Thermo Scientific 5014i/FH62C14-DHS Monitor using Beta Attenuation, 7.4% equipped with the Thermo Scientific TEOM 1405-DF Dichotomous Monitor using FDMS Gravimetric and 6.5% equipped with the Thermo Scientific 5030 SHARP Monitor using Beta Attenuation. These four types of FEM monitors are used for hourly analysis in this work. The other five types of FEM instruments, contributing less than 10% of all hourly measurements, are excluded to avoid risk of aliasing instrument-dependent and regionally dependent characteristics. Further detail about instrumentation is provided in supplemental Sect. S1. A small fraction (0.05%) of the FEM measurements exceeding ten times their standard deviation are suspicious indictive of strong fire contamination, and present significant modulation on the regional diel variation pattern and are excluded as outliers from the focus of this study. Also shown in Fig. 1 are the additional 737 sites using Federal Reference Method (FRM) to measure 24-hour average PM<sub>2.5</sub> concentrations which significantly improve observational coverage of the US for the evaluation of spatial distribution of GEOS-Chem simulated PM2.5. To compare with GEOS-Chem, each site is matched with the GEOS-Chem grid nearest box center. The FRM and FEM measurements used in this work are at 35±5% relative humidity (EPA, 2007; Thermo Fisher Scientific, 2013; EPA, 2021; EPA, 2023). To match the measurement RH, the GEOS-Chem PM<sub>2.5</sub> and its composition were calculated considering the corresponding hygroscopic growth following standard practice in GEOS-Chem (GEOS-Chem Aerosols Working Group, 2021).

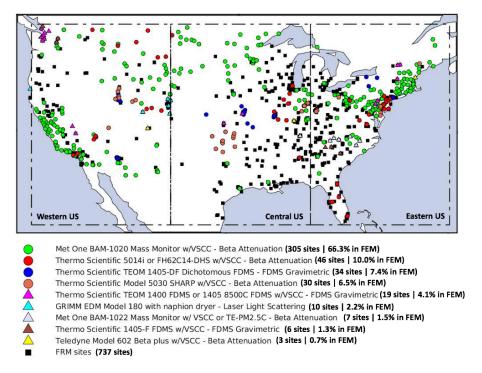


Figure 1. Spatial distribution of the US EPA PM<sub>2.5</sub> measurements. Colored markers represent Federal Equivalency Method (FEM) sites equipped with different kinds of instruments which report hourly PM<sub>2.5</sub> concentrations. Black squares represent Federal Reference Method (FRM) sites which report 24-hour average PM<sub>2.5</sub>.

### 4 Diel PM<sub>2.5</sub> variation in the base GEOS-Chem simulation and the FEM measurements

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We first examine the diel PM<sub>2.5</sub> variation in the base simulation. Fig. 2a shows annual-mean diel PM<sub>2.5</sub> variation across the US from the FEM in situ observations and the space and time co-located base GEOS-Chem simulation. The observed PM<sub>2.5</sub> shows exhibits a typical diel cycle consistent with previous work (Manning et al., 2018). CThe concentrations peak at at 8 ammid morning, diminish until late afternoon, increase in evening and remain elevated throughout the night. The base GEOS-Chem simulation broadly captures these features with their timings accurate within 1-3 hours. The simulated concentration decreases from morning to late afternoon then increases throughout the evening, consistent with the diel cycle of growth and collapse of the boundary layer. However, the simulated PM<sub>2.5</sub> is significantly overestimated at night, especially from midnight to early morning when the GEOS-Chem PM<sub>2.5</sub> increases beyond the standard deviation of the observations during which time the observations exhibit a slight decrease. The nighttime model overestimation leads to a 105106% positive bias in the PM<sub>2.5</sub> diel amplitude, defined as the difference between the maximum and the minimum of the normalized diel concentration. The Root Mean Square Deviation (RMSD) of annual diel variation in PM<sub>2.5</sub> between the base simulation and the observations is 3.262.18 μg/m³. The spatial distribution of PM<sub>2.5</sub> in the base GEOS-Chem simulation is discussed in supplemental Sect. S2.

We classify each FEM measurement and the corresponding GEOS-Chem simulation into urban and rural using the Global Rural-Urban Mapping Project (GRUMP) v1 (Balk et al., 2006) data at 30 seconds resolution. Results (Fig. S3) indicate that the observed diel variations of PM<sub>2.5</sub> in urban and rural areas across the US are highly consistent (r=0.97).

Both urban and rural sites exhibit the same bi-modal patterns with PM<sub>2.5</sub> peaks near 8:00 LT and 21:00 LT, and minima near 4:00 LT and 16:00 LT. The PM<sub>2.5</sub> dips near 4:00 LT and 16:00 LT are deeper over urban regions than over rural regions, which may reflect stronger vertical mixing from the urban heat island effect (Travis et al., 2022). The consistency of diel PM<sub>2.5</sub> variation across urban and rural locations implies a dominant role from natural processes.

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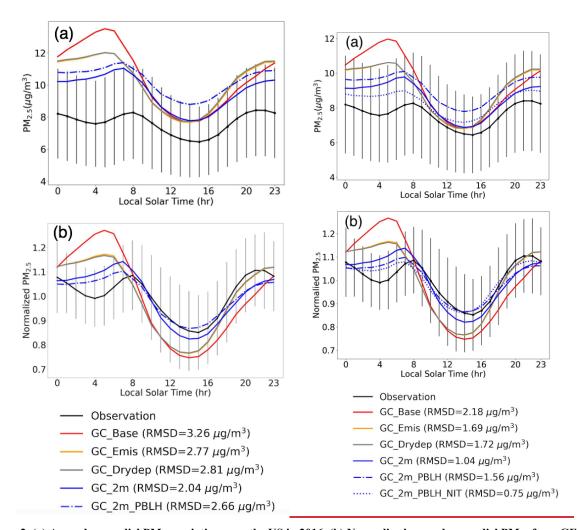


Figure 2. (a) Annual mean diel  $PM_{2.5}$  variation over the US in 2016. (b) Normalized annual mean diel  $PM_{2.5}$  from GEOSChem (GC) sensitivity simulations over the US in 2016. Vertical lines indicate the spatial standard deviations of annual-mean  $PM_{2.5}$  for the FEM measurements at each hour.

Fig. 3a shows the annual-mean diel variation of PM<sub>2.5</sub> chemical composition in the base GEOS-Chem simulation for the contiguous US. Sulfate was the least variant component throughout the day. All other components exhibit notably higher concentration at night than during the day. The pronounced PM<sub>2.5</sub> accumulation overnight in the base case simulation is driven primarily by nitrate, of which the mass concentrations increase by 34.1% overnight (0:00 LT-6:00 LT). This is consistent with the reported overestimation of nighttime nitrate in GEOS-Chem by recent studies (Miao et al., 2020; Zhai et al., 2021; Travis et al., 2022). Concentrations of ammonium and SOA, which increased by 22.2% and 14.2% overnight (0:00 LT – 6:00 LT), contributed to the overnight PM<sub>2.5</sub> accumulation to a lesser extent.

Except for dust, concentrations of all other components increase from midnight to early morning, indicating there are uniform drivers on PM<sub>2.5</sub> diel variation across composition.

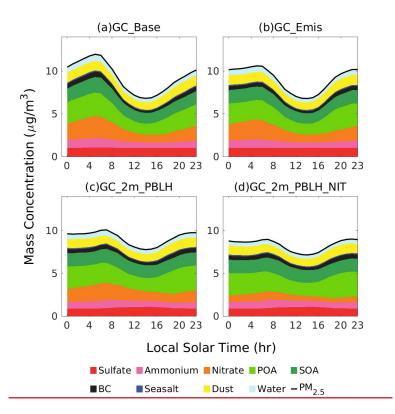


Figure 3. Annual diel profiles of PM<sub>2.5</sub> composition over the US in the GEOS-Chem simulations (Table 1). POA, SOA, BC refers to primary organic aerosol, secondary organic aerosol and black carbon respectively. All components represent dry mass. The aerosol water associated with sulfate, nitrate, ammonium, POA, SOA and seasalt is grouped into the water category.

# 5 Development of processes affecting simulation of diel PM<sub>2.5</sub>

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We develop and evaluate the processes affecting the simulation of diel PM<sub>2.5</sub> variation in GEOS-Chem with particular attention to the driving forces of the nighttime bias. We focus on the temporal resolution of emissions, aerosol dry deposition, vertical representativeness, boundary layer mixing, and dew formation, and nitrate as summarized in Table 1.

# 220 5.1 Impacts from the temporal resolution of emissions

We <u>initially</u> examine the temporal resolution of anthropogenic emissions as <u>the firsta</u> source of the nighttime PM<sub>2.5</sub> positive bias identified in Sect. 4. Fig. <u>3-4</u> shows the normalized mean diel emission profile for different species in the hourly version of the NEI inventory. Anthropogenic emissions are notably higher during the day than at night, with minima from midnight to early morning in the emission intensities for every primary species. The diel amplitude of SO<sub>2</sub> emissions is <u>the</u> weakest, driven by persistent power plant emissions. NH<sub>3</sub> emissions have the strongest diel

amplitude, driven by a temperature dependence for this predominantly agriculturally emitted species over the US (Zhang et al., 2018). Fig. S3 S4 in the supplemental depicts the normalized mean emission strengths for species in Fig. 3-4 both seasonally and regionally. The early afternoon NH<sub>3</sub> peak depicted in Fig. 3 is found most prominent over summertime—the Central US in Fig. S3 summertime, in accordance with the temperature-dependant agricultural emissions of NH<sub>3</sub>. Primary emissions of particulate organic carbon (OC) have a peak near l8:00 LT (Local Time), corresponding to more intense residential heating. The OC emissions in evening are strongest during winter as shown in Fig. S3, reflecting the seasonality of residential combustion activities (Li and Martin, 2018).

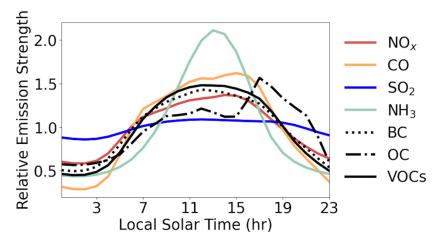


Figure 34. Normalized mean diel emission profile for different species across the US.

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To evaluate the impacts from the temporal resolution of emissions, we conduct a sensitivity simulation GC Emis (Table 1) which replaces the monthly NEI in GC Base with the hourly NEI. Fig. 2b shows that GC Emis simulates a much weaker PM2.5 accumulation from midnight to early morning relative to GC Base, mainly due to the lower emission intensities of aerosol sources throughout the night in the NEI hourly inventory. In the evening, PM<sub>2.5</sub> in the GC Emis simulation accumulates slightly faster than in the base case, reflecting the stronger precursor emissions in daytime after applying the hourly inventory. The RMSD between GC Emis diel PM2.5 and the FEM observations decreases from 3.262.18 µg/m<sup>3</sup> in GC Base to 2.771.69 µg/m<sup>3</sup>, and the positive bias in the diel amplitude drops from 405106% to 6059%. In terms of composition (Fig. 3b), the average mass concentrations of BC and POA overnight (0:00 LT - 6:00 LT) decrease by 25.7% and 12.9%, contributing the most to the reduced overnight PM<sub>2.5</sub> accumulation. Sulfate concentrations overnight decrease by only 3.5% due to weak day-night contrast in SO<sub>2</sub> emissions. Nitrate and ammonium concentrations decrease by only 7.1% and 6.3%, reflecting the relatively minor role of primary emissions versus secondary production for these two species. In GC Emis, nitrate still accumulates notably (by 23.1%) from 0:00 LT to 6:00 LT, acting as the major contributor of the PM<sub>2.5</sub> nighttime bias. Overall, the temporal resolution of emissions explains 4344% of the modeling bias in simulated diel amplitude. Daytime PM<sub>2.5</sub> is insensitive to changes in diel emission profiles. During the night, the impacts of emissions on PM2.5 levels are more prominent, especially from midnight to early morning when the boundary layer is more stable. From this perspective, the slight overnight reduction of PM<sub>2.5</sub> in the FEM measurements is likely driven by the sharp decline in anthropogenic emissions.

The above analysis indicates the importance of using hourly emissions to simulate diel PM<sub>2.5</sub> variation. However, over most regions worldwide, only monthly emissions are available with crude diel scaling factors from specific regions as a possible proxy for hourly emissions. To assess the performance of such diel scalars in simulating diel PM<sub>2.5</sub>, we conducted three supplementary sensitivity simulations in Table S2, in which sector or species-specific diel scaling factors (Fig. S5) are applied to NEI and CEDS monthly emissions. Results (Fig. S6) show that the average PM<sub>2.5</sub> accumulation overnight (0:00 LT – 6:00 LT) among the supplementary cases is 2.6 times of that in GC Emis, leading to stronger overestimation of PM<sub>2.5</sub> overnight. To optimize the model performance in simulating diel PM<sub>2.5</sub>, hourly gridded emissions are preferred over using monthly emissions with scaling factors. Nevertheless, the diel emission profile does not fully explain the diel biases identified in Sect. 4. Other contributing factors exist.

# 5.2 Impacts from the dry deposition parameterizations

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We explore dry deposition as the second potential source for the diel-varying biases in the base GEOS-Chem simulation. First, as described in Sect. 2.3, the dry deposition scheme in the base GEOS-Chem model does not account for gravitational settling  $V_g$ , which leads to systematic underestimation in particle dry deposition velocities. To improve on this missing consideration, we strictly follow Eq. (1) of Zhang et al. (2001), updating the gravitational settling term  $V_g$  to be explicitly considered when deriving the deposition velocity (Eq. 1). Second, the parameterization of surface resistances (Eq. 2) in the base scheme was developed when few particle deposition measurements were available. Following recent observational evidence, Emerson et al. (2020) identified that the Brownian diffusion  $E_b$  in Z01, as used in the standard GEOS-Chem model, is excessive while the contribution from interception  $E_{In}$  is too weak. We update the surface resistances  $R_s$  in GEOS-Chem by applying observationally-constrained Brownian diffusion  $E_b$ , impaction  $E_{Im}$  and interception  $E_{In}$  terms following observational evidence in Emerson et al. (2020). Formulations of  $E_b$ ,  $E_{Im}$ , and  $E_{In}$  are updated following Table 2.

Table 2. Formulations for particulate gravitational setting  $(V_g)$ , Brownian diffusion  $(E_B)$ , interception  $(E_{IN})$  and impaction  $(E_{IM})$  used in the calculation of deposition velocity  $(V_d)$ .

Resistance Model	$V_{g}$	Ев	E <sub>IN</sub>	Еім
Vd_Base	-	$Sc^{-\gamma}$	$\frac{1}{2}(\frac{D_p}{A})^2$	$(\frac{St}{\alpha + St})^2$
Vd_Z01	$V_g = \frac{\rho D_p^2 gC}{18\eta}$	$Sc^{-\gamma}$	$\frac{1}{2}(\frac{D_p}{A})^2$	$(\frac{St}{\alpha + St})^2$
Vd_Revised	$V_g = \frac{\rho D_p^2 gC}{18\eta}$	$0.2Sc^{-2/3}$	$\frac{5}{2} \left(\frac{D_p}{A}\right)^{0.8}$	$\frac{2}{5} \left( \frac{St}{\alpha + St} \right)^{1.7}$

A: characteristic radius for interception in Zhang et al. (2001).

C: the Cunningham correction factor.

 $D_n$ : Particle diameter.

g: gravitational acceleration constant

Sc: the Schmidt number.

St: the Stokes number.

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 $V_a$ : gravitational settling velocity.

α: LUC-specific constant used in the impaction efficiency in Zhang et al. (2001), where LUC represents land use classification.

y: LUC-specific exponent used in the Brownian diffusion efficiency in Zhang et al. (2001), which ranges from 0.5 to 0.58.

 $\rho$ : density of particle

 $\eta$ : viscosity of air

Fig. 4a–5a shows  $V_g$  as a function of particle diameter for the base (Vd\_Base) and revised (Vd\_Revised) parameterizations, as well as according to the Z01 scheme (Vd\_Z01). Case seen in comparison of theng Vd\_Base and Vd\_Z01 curves indicates that, inclusion of  $V_g$  in the calculation of  $V_d$  for the Vd\_Z01 case substantially increases dry deposition velocities for particles larger than 2  $\mu$ m in diameter. The Vd\_Revised curve in the figure shows indicates that implementing observational constraints on the surface resistances shifts the minimum in  $V_d$  to a particle diameter of around 0.1  $\mu$ m, reflecting a weakened Brownian diffusion term and an enhanced interception term. It was shown by Emerson et al. (2020) found that the parameterized size dependent particle dry deposition velocities are more consistent with observations after implementing these observational constraints. To further evaluate the impact of particle  $V_d$  on diel PM<sub>2.5</sub>, the representation of aerosol size distributions in the dry deposition scheme of GEOS-Chem, including hygroscopic growth, must be considered.

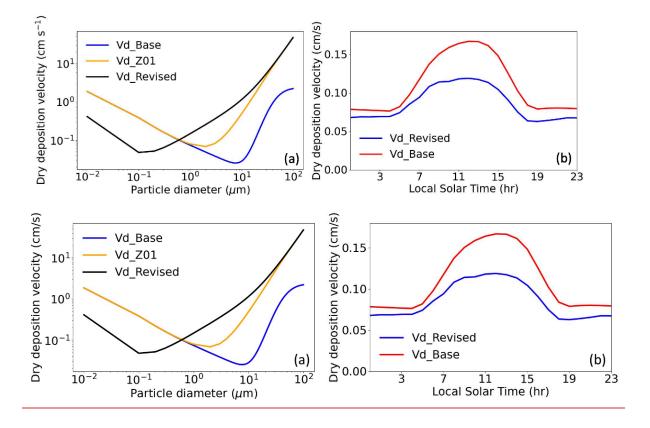


Figure 45. (a) Size-resolved particle dry deposition velocities over grassland land type from GEOS-Chem. (b) Diel mean dry deposition velocities for sulfate aerosol over the US in 2016. Vd\_Base represents the default dry deposition scheme in the base GEOS-Chem model (Eq. 3). Vd\_Z01 includes the effect of gravitational settling on Vd\_Base (Eq. 1). Vd\_Revised further implements the observational constrains on the surface resistance terms, as discussed in Sect. 5.2.

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As introduced in Sect. 2.3, the dry deposition scheme in the standard GEOS-Chem model assigns a single unreferenced mass-weighted mean diameter to different PM<sub>2.5</sub> components. We update the mass-weighted mean diameter for each aerosol species dry deposited to be consistent with the sizes in the GEOS-Chem radiation module. We implicitly consider aerosol size distributions based on mass conservation principles:

$$\int_0^\infty n(D_p) \cdot \frac{4}{3} \pi \left(\frac{D_p}{2}\right)^3 \cdot \rho \cdot V_d(D_p) dD_p = N \cdot V_d(D_p^*) \cdot \frac{4}{3} \pi \left(\frac{D_p^*}{2}\right)^3 \cdot \rho , \qquad (4)$$

where  $D_p$  denotes particle diameter,  $n(D_p)$  represents the particle number size distribution,  $\rho$  denotes the particle density,  $V_d(D_p)$  denotes the size-dependent particle dry deposition velocity, N denotes the total particle number concentration integrated across the aerosol size distribution,  $D_p^*$  denotes the mass-weighted mean dry diameter for a specific aerosol species and  $V_d(D_p^*)$  denotes the dry deposition velocity of a particle with diameter of  $D_p^*$ . The size distribution for each  $PM_{2.5}$  component was acquired from Latimer and Martin (2019). The updated mass-weighted mean dry diameter for sulfate, nitrate, ammonium and organic aerosols is 0.17  $\mu$ m, for fine mode seasalt is 0.23  $\mu$ m, and for the fine mode mineral dust in two size bins are 0.67  $\mu$ m and 2.49  $\mu$ m.

The standard GEOS-Chem dry deposition module only considers the hygroscopic growth of fine mode seasalt.

Omitting hygroscopicity for other PM<sub>2.5</sub> components may lead to biases in the simulated dry deposition velocities and thus affect the diel variation of PM<sub>2.5</sub>. Here we implement hygroscopic growth in the dry deposition parameterization for sulfate, nitrate, ammonium (SIA) and organic components (OA) of PM<sub>2.5</sub> by application of a κ-Kohler growth function to the mass-weighted mean dry diameters (Petters and Kreidenwei 2007, 2008, 2013; Latimer and Martin, 2019). DFine mode dust and black carbon are treated as hydrophobic. The κ-Kohler growth factor is calculated as:

$$320 GF = (1 + \kappa \frac{RH}{100 - RH}), (5)$$

The hygroscopicity parameters  $\kappa$  for SIA are is set as 0.61 and for OA are is set as 0.1 respectively (Latimer and Martin, 2019). Efflorescence transitions are considered for the SIA components (Latimer and Martin, 2019). For fine mode seasalt, we continue to use the growth function from Lewis and Schwartz (2006).

Taking the sulfate component in PM<sub>2.5</sub> as an example, Fig. 4b-<u>5b</u> presents the combined impacts of all the updates above on the diel dry deposition velocities. Implementation of gravitational settling and hygroscopic growth tends to increase the sulfate dry deposition velocity, compensating for the lower revised aerosol dry deposition velocities, mainly due to the revised scheme using a smaller mass-weighted mean dry diameter. The reductions of deposition velocity in the revised case are more prominent during daytime, when the size-dependent surface resistances dominate the dry deposition processes. In the revised profile, from midnight to early morning (0:00 LT am \_\_ 6:00 LT am), the dry deposition velocities are 10.4% higher than those in the evening (18:00 LT pm-0:00 LT am), reflecting the stronger

aerosol hygroscopic growth due to higher relative humidity. We evaluate the impacts on simulated diel PM<sub>2.5</sub> masses in GEOS-Chem as GC\_Drydep simulation (Table 1) which adds all the deposition updates to GC\_Emis. Fig. 2b shows that the diel PM<sub>2.5</sub> masses simulated by GC\_Drydep and GC\_Emis are almost identical. The insensitivity of diel variation of PM<sub>2.5</sub> to dry deposition updates implies that the diel PM<sub>2.5</sub> biases identified in Sect. 4 are unlikely to be caused by the uncertainty of the GEOS-Chem dry deposition module.

### 5.3 Impacts from the vertical representativeness differences between model and observations

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The third possible contributor to the PM<sub>2.5</sub> nighttime biases that we consider is the vertical representativeness difference between the model and observations. Given the vertical extent of the lowest model level (120 m), simulated concentrations represent an average over a greater vertical extent depth than the typical height of FEM measurements typically taken at around about 2 meters. This difference in vertical representation may be especially problematic for model-measurement comparison during periods of diabatic stability resulting in strong near-surface concentration gradients. Vertical concentration gradients within 120 m of the surface have been widely observed for aerosol species in previous field campaigns (Sievering et al., 1994; Prabhakar et al., 2017; Franchin et al., 2018). Sievering et al. (1994) measured the vertical profiles of aerosols over the Bayerischer Wald National Park in Germany using filter pack sampling, reporting 2 m concentrations lower than at 51 m for nitrate (51%), ammonium (81%) and sulfate (81%). In the Utah Winter Fine Particulate Study, the PM<sub>2.5</sub> concentrations measured by three ground sites at Logan, Cache, Salt Lake Valley and the Utah Valley were around 70% of those at around 50 meters measured by aircraft (Franchin et al., 2018). Thus, the PM<sub>2.5</sub> simulated by GEOS-Chem is intrinsically different from the FEM in situ measurements because of the mismatch of vertical sampling location.

To evaluate the impact of these vertical representativeness differences, we developed the GC\_2m simulation (Table 1), in which PM<sub>2.5</sub> from the lowest model level of the GC\_Drydep simulation is adjusted to the height of the FEM measurements (2 meters above ground). The conversion process quantifies the vertical concentration gradient of secondary PM<sub>2.5</sub> components by using the resistance-in-series formulation for dry deposition following previous studies (Zhang et al., 2012; Travis and Jacob, 2019). The mathematical formula is described in Eq. 6,

$$S55 C(z_{2M}) = [1 - R_a(z_{2M}, z_{GBC})V_d(z_{GBC})]C(z_{GBC}), (6)$$

where  $C(z_{2M})$  and  $C(z_{GBC})$  represent the concentrations at measurement height of 2 meters and the grid-box-center of the GEOS-Chem surface layer (around 60 meters) respectively,  $R_a(z_{2M}, z_{GBC})$  represents the aerodynamic resistances between the measurement height and the grid-box-center,  $V_d(z_{GBC})$  represents the dry deposition velocity.  $R_a(z_{2M}, z_{GBC})$  is calculated using the Monin-Obukhov similarity theory:

$$R_a(z_{2M}, z_{GBC}) = \int_{z_{GBC}}^{z_{2M}} \frac{\Phi(\zeta)}{ku^*\zeta} d\zeta , \qquad (7)$$

where  $\zeta = z/L$ . L denotes the Monin-Obukhov length which is determined by surface momentum fluxes and sensible heat.  $\Phi$  represents a function of stability described by Businger et al. (1971). k represents the von Karman constant and  $u^*$  represents the friction velocity. The method requires a boundary condition of zero concentration at ground. Thus, it is only applied to secondary PM<sub>2.5</sub> components, not primary components with surface emission fluxes. The

correction method described by Eq. 6 and Eq. 7 does not account for the impacts of relative humidity (RH) and temperature (T) differences between the lowest model level and 2m on thermodynamic partitioning of sulfate-nitrate-ammonium (SNA) aerosol. Nevertheless, by conducting simulations of the Extended AIM Aerosol Thermodynamics Model (Wexler and Clegg, 2002) using GEOS-FP relative humidity (RH), Temperature (T) and GC 2m SNA composition at 2m and the lowest model level, we found the impacts are overall insignificant. Higher RH at 2m leads to SNA aerosol transition from solid to aqueous form and only slightly increases the ratio (<5%) of the partitioned aerosol phase in the SNA system, which usually occurs overnight.

Fig. 2b shows the normalized annual eurves-diel PM<sub>2.5</sub> variation of GC 2m across the US. Comparison of GC-Drydep and GC 2m indicates that the vertical correction effectively suppresses the excessive PM<sub>2.5</sub> levels from midnight to early morning and sustains the daytime concentration variation due to boundary layer mixing. The bias of in diel amplitude of the corrected GC 2m PM<sub>2.5</sub> is reduced to 2526% against the FEM observations. In terms of absolute concentrations, the average reduction from GC Drydep to GC 2m is 1.20-01 µg/m<sup>3</sup> during 18:00 LT6 pm-6:00 LTam (nighttime), while that for 6:00 LT am 18:00 6LTpm (daytime) is 0.12-11 µg/m<sup>3</sup>. This day-night contrast is consistent with a previous DISCOVER-AQ field study (Prabhakar et al., 2017), in which the vertical gradient of nitrate aerosols measured by aircraft was significantly greater in a stable surface layer than in a turbulent surface layer. At night, under a stable boundary layer, surface resistances are suppressed due to weaker particle impaction and interception. Aerodynamic resistances then become relatively stronger with the resulting correction in Eq. 6 yielding a greater reduction of PM<sub>2.5</sub> concentrations. During the day, as boundary layer mixing strengthens, surface resistances dominate over the aerodynamic resistances and the correction in Eq. 6 is weaker. Resolving the vertical representativeness differences enables the GEOS-Chem simulation to better capture the timings of the observed overall PM<sub>2.5</sub> morning peak and afternoon minimum across the US. In the GC Drydep simulation, the PM<sub>2.5</sub> morning peak and afternoon minimum are is three hours and one hour earlier than the FEM observations respectively. After the vertical correction, in the GC\_2m simulation, the morning peak appears only one hour ahead of the observations, and the timing of the afternoon minimum matches the observations without bias.

# 5.4 Impacts from boundary layer height

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Planetary boundary layer height (PBLH) is investigated as the next possible source of the biases identified in Sect. 4. PBLH is closely related to boundary layer mixing, which significantly affects diel PM<sub>2.5</sub> (Du et al., 2020). Wuncertainties exists in PBLH datasets estimated from different meteorological sources and using different algorithms. In this section, to investigate the sensitivity of simulated diel PM<sub>2.5</sub> in GEOS-Chem on PBLH, we adjust the GEOS-FP planetary boundary layer height (PBLH) which used for driving GEOS-Chem by using the PBLH derived from the Aircraft Meteorological Data Reports (AMDAR) at 54 sites (Fig. S4S7) across the US (Zhang et al., 2020) as reference. The AMDAR PBLH is defined as the lowest level at which the bulk Richardson number exceeds a critical value of 0.5 (Zhang et al., 2020). The vertically resolved bulk Richardson number is calculated from vertical profiles of temperature, humidity and wind speed in the AMDAR dataset.

Fig. 5-6 shows the seasonal variation in PBLH. The observed PBLH from AMDAR shows similar diel variation across all seasons, which stays low from midnight to early morning, increases to a maximum in mid-afternoon, then decreases

throughout rest of the day. In terms of absolute amplitude, the AMDAR PBLH are higher during spring and summer, mainly due to strong near-surface wind speed and intense solar radiation (Guo et al., 2016). The GEOS-FP reanalysis generally captures the diel variation of the AMDAR PBLH over all seasons, albeit with overestimates during daytime (7:00-19:00 LT), which is consistent as previous comparison studies (Millet et al., 2015; Zhu et al., 2016). The daytime overestimation in GEOS-FP PBLH is most likely due to excessive surface heating in the dataset. As reported in Millet et al., (2015), the daytime temperature at 2 meters in GEOS-FP was notably higher than that observed by ceilometer and the diel pattern of the bias in GEOS-FP temperature at 2 meters well matched that in PBLH. The average daytime AMDAR PBLH reaches a maximum in spring, slightly higher than that in summer, likely reflecting greater surface wind speed in spring than in summer according to the AMDAR observations, leading to greater turbulence and vertical mixing, and higher PBLH. GEOS-FP PBLH exhibits much higher values in summer than in spring. This inconsistency might be caused by stronger overestimation of GEOS-FP PBLH in summer introduced by excessive surface heating in the GEOS-FP dataset (Millet et al., 2015). The GEOS-FP PBLH is highest in summer and lowest in winter, which is inconsistent with the AMDAR PBLH maximum in spring.

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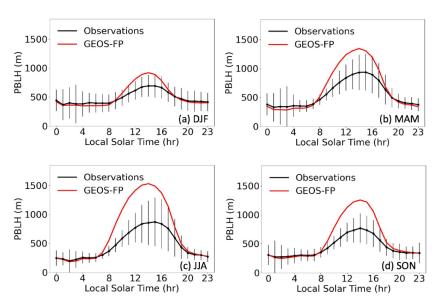


Figure 56. Seasonal diel variation of AMDAR (observation-based) and GEOS-FP PBLH. Vertical bars indicate the spatial standard deviations of AMDAR PBLH.

To quantify the impacts of the uncertainty in PBLH on modeled diel PM<sub>2.5</sub>, we develop the GC\_2m\_PBLH simulation (Table 1) in which the GEOS-FP PBLH used in the GC\_2m simulation is adjusted by the AMDAR observations. Specifically, we matched the hourly AMDAR and GEOS-FP PBLH over the US spatially and temporally, then derived US-averaged (0:00 LT — 23:00 LT-h) adjustment factors for different seasons following Eq. 8:

$$AF_{i,j} = \frac{\overline{PBLH_{AMDAR_{i,j}}}}{\overline{PBLH_{GEOS-FP_{i,j}}}},$$
(8)

where  $AF_{i,j}$  represents the PBLH adjustment factor for season i and hour j,  $\overline{PBLH_{AMDAR}}_{i,j}$  represents the US-averaged AMDAR PBLH for season  $\overline{PBLH_{GEOS-FP}}_{i,j}$  represents the US-averaged GEOS-FP PBLH for season i, hour j. Implementing this adjustment scales the GEOS-FP PBLH to the same seasonal diel value as the AMDAR PBLH over the US. Applying these adjustment factors to the GEOS-FP PBLH, as shown in blue and dashed in Fig. 2b, reduces the absolute biases in simulated PM<sub>2.5</sub> diel amplitude against the FEM observations by 8% 8%. Owing to the sparsity of the AMDAR network and uncertainties in both PBLH sources, we only emphasize the importance of boundary layer height in simulating diel PM<sub>2.5</sub> mass variations in GEOS Chem, without including the PBLH adjustment in the final revised simulation.

### 5.5 Impacts from dew formation

We also examined the possibility of dew formation as a potential process affecting the diel variation in PM<sub>2.5</sub>. It was reported that the condensation process during the formation of dew involves removal of airborne particles from the atmosphere (Polkowska et al., 2008; Muskała et al., 2015). We considered whether the observed PM<sub>2.5</sub> decrease from midnight to early morning (Fig. 2) might be partly ascribed to this mechanism, and thus contribute to the overestimated nighttime PM<sub>2.5</sub>. However, based on two lines of reasoning, we conclude here that dew formation is unlikely to significantly affect the diel PM<sub>2.5</sub> mass variations over the US. First, we examined co-located hourly RH and PM<sub>2.5</sub> mass concentrations at 37 sites in 2016 across the US. Fig. 6-7 shows four examples. We found no evidence of correlation of low PM<sub>2.5</sub> masses and high nighttime RH values (r=0.16/0.18/0.13/0.15 for Johnson, Kansas/Jackson, Missouri/Summit, Ohio/Jefferson, Kentucky). Second, the decreases of PM<sub>2.5</sub> overnight are found sharpest in the Western US where the average relative humidity (RH) is lowest among all subregions, which indicates that dew formation at high RH condition is unlikely an important driving factor.

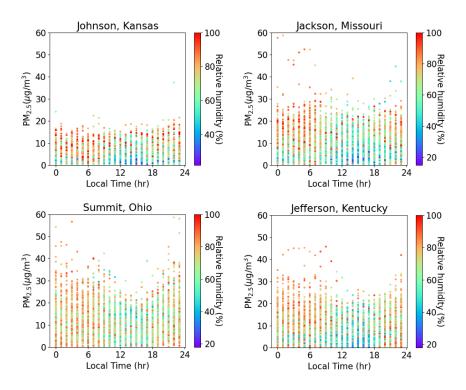


Figure 67. Co-located relative humidity (RH) and PM<sub>2.5</sub> mass concentrations at four example sites. Each point represents the measured hourly PM<sub>2.5</sub> concentration at the measured hourly RH for each site. The RH measurements are provided by the NOAA Local Climatological Data (LCD) program. The PM<sub>2.5</sub> mass concentrations are provided by the EPA FEM sites.

### 5.6 Impacts from nitrate aerosols

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In Fig. 3b, hourly emissions reduce nighttime concentrations of nitrate and organics, primarily reflecting diminished nighttime emissions of NH<sub>3</sub>, NO<sub>x</sub>, and organic carbon. Accounting for vertical representativeness further reduces nighttime concentrations of nitrate (Fig. 3c), leading to reduced positive biases of 24h-averaged nitrate mass against in situ observations (Fig. S8). Nevertheless, positive nitrate biases remain in the GC 2m PBLH simulation (Fig. S8), which has been a long-standing issue in GEOS-Chem (Heald et al., 2012; Zhu et al., 2013). According to recent works (Miao et al., 2020; Zhai et al., 2021; Travis et al., 2022), uncertainties in aerosol uptake coefficient for N2O5 and NO2, underestimated dry deposition of HNO3 and overly shallow nighttime mixing layer are possible contributors. But none of these fully resolve the diel biases of nitrates in GEOS-Chem, indicating the biases are likely caused by misrepresentation in both chemistry and meteorology in the model. Following analyses by Travis et al. (2022) over Seoul, Korea, we conducted sensitivity simulations (Sect. S3) and found N<sub>2</sub>O<sub>5</sub> hydrolysis dominates the nighttime nitrate production (Fig. S9 and Fig. S10) in our simulations over the US, which is consistent with a previous work (Alexander et al., 2020). As shown in Fig. S9, turning off N<sub>2</sub>O<sub>5</sub> hydrolysis largely reduces the PM<sub>2.5</sub> biases from midnight to early morning and yields diel PM2.5 variation highly consistent with observations. The bias in simulated nitrate mass concentrations is also reduced by turning off N2O5 hydrolysis (Fig S8). The results indicate the N2O5 hydrolysis overnight might be excessive in the model. It is also possible that the performance of the simulation without N<sub>2</sub>O<sub>5</sub> hydrolysis on aerosols is an indicator of multiple chemical and physical processes affecting nitrate as explored by Miao et al. (2020), Zhai et al. (2021) and Travis et al. (2022). While the full origins of the GEOS-Chem nitrate bias remain unknown, we examine the effects on PM<sub>2.5</sub> of constraining nitrate concentrations by developing the GC 2m PBLH NIT simulation, in which the modeled nitrate concentrations are halved from GC 2m PBLH to better represent the US average of in situ observations (Fig. S8). The bias of the diel amplitude of PM<sub>2.5</sub> in GC 2m PBLH NIT against FEM observations is reduced to -12% (Fig. 2). The total aerosol water concentration decreases by 12.7% in GC 2m PBLH NIT from GC 2m PBLH as nitrate is reduced. These results motivate further investigation of the nitrate bias in GEOS-Chem.

### 6 Discussion of diel PM<sub>2.5</sub> variation in the final revised GEOS-Chem simulation (GC 2m PBLH NIT)

Overall updating the temporal resolution of emissions, dry deposition parameterizations, boundary layer height, and resolving the vertical representative differences between model and observations and constraining nitrate notably improves the diel variation of PM<sub>2.5</sub> in GC\_2m\_PBLH\_NIT relative to GC\_Base for both urban and rural regions (Fig. S3) in a similar way. In the annual diel comparison averaged across the US (Fig. 2), the bias in the PM<sub>2.5</sub> diel amplitude in GC\_2m\_PBLH\_NIT (-1225%) is significantly reduced relative to GC\_Base (105106%). The average observed PM<sub>2.5</sub> morning peak and afternoon minimum are at 8:00 LT and 15:00 LT respectively. GC\_Base simulates them with

biases of -3 and -1 hours while GC\_2m\_PBLH\_NIT reduces-agrees with observed timing within 1 hourthese to -1 and 0 hours. In addition to the average comparison across the country, we further explore the performances over all FEM sites. Fig. 7-8 shows histograms of the timing of the morning peak, of the afternoon minimum, and of the diel amplitude. At most FEM sites, GC\_Base tends to overestimate the PM<sub>2.5</sub> diel amplitude and simulates the PM<sub>2.5</sub> diel features too early. By correcting for the vertical representativeness differences, and using emissions with hourly temporal resolution, adjusting the GEOS-FP boundary layer heights, and constraining nitrate concentrations, these biases are largely addressed in GC\_2m\_PBLH\_NIT with the distribution in the histogram better matching observations. The RMSD of diel PM<sub>2.5</sub> between GC\_2m\_PBLH\_NIT and the FEM observations decreases from 3.262.18 to 0.75 2.04 µg/m³. By—With reduceding the 24-hour averaged PM<sub>2.5</sub> concentration, GC\_2m\_PBLH\_NIT also improves the comparison agreements of annual-mean PM<sub>2.5</sub> against the FEM/FRM measurements across the US (supplemental Sect. S2).

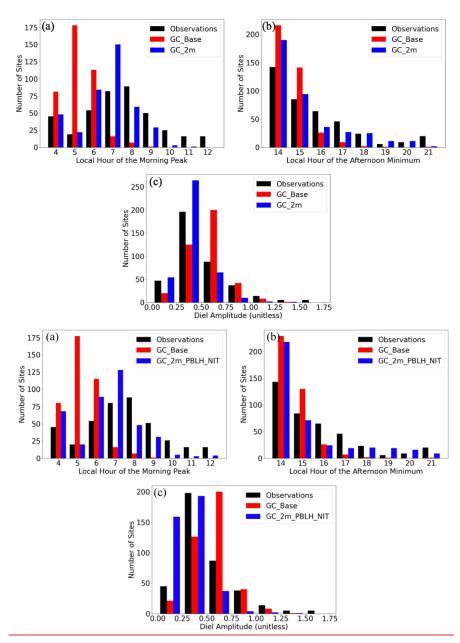
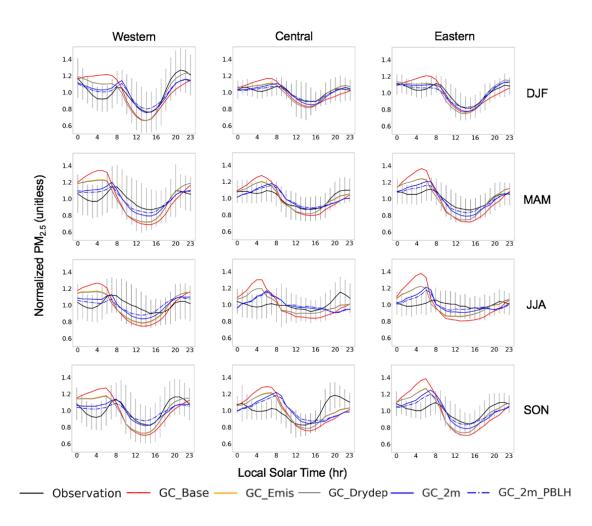


Figure 78. Distribution of simulated and observed PM<sub>2.5</sub> features over the FEM sites. (a) Timing of morning peak. (b) Timing of afternoon minimum. (c) Diel Amplitude.

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Fig. 8-9 shows the diel variation of PM<sub>2.5</sub> in different seasons and subregions. The observed diel PM<sub>2.5</sub> variations are generally similar to the annual results across the country, suggesting consistent mechanisms controlling the local cycles. The observed PM<sub>2.5</sub> diel amplitude is smallest during summer, as the observed concentrations decrease more slowly from mid-morning to late afternoon than in other seasons. The GC\_2m\_PBLH\_NIT simulation generally reproduces this summer minimum in diel amplitude, improving on GC\_Base which simulates the minimum amplitude in winter, by reducing excess PM<sub>2.5</sub> at night, by reducing PM<sub>2.5</sub> precursor emissions and by accounting for vertical representativeness differences at night, by adjusting boundary layer height using aircraft observations and by

constraining nitrate. Stronger photochemical production of PM<sub>2.5</sub> during daytime in summer than other seasons, also counteracts the ventilation by boundary layer mixing. The RMSD between GC\_2m\_PBLH\_NIT and observed diel PM<sub>2.5</sub> improves on GC\_Base for most seasons and subregions (Table S1).



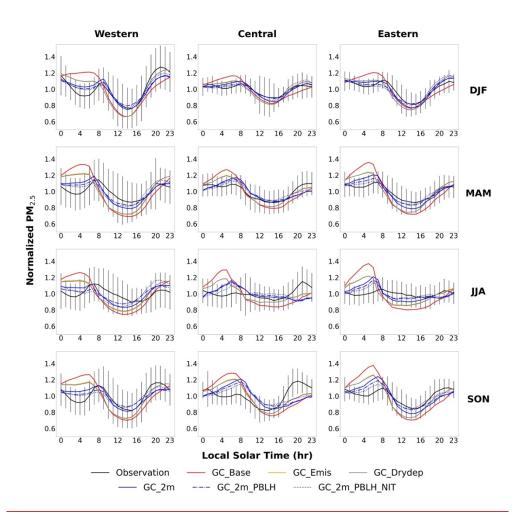


Figure 89. Seasonal and regional diel profiles of GEOS-Chem PM<sub>2.5</sub> from different simulation designs (Table 1). Vertical lines indicate the spatial standard deviations of seasonal mean PM<sub>2.5</sub> for the FEM measurements at each hour in a certain subregion.

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Fig. 9 shows the annual mean diel variation in PM<sub>2.5</sub> chemical composition for the contiguous US. The pronounced nighttime PM<sub>2.5</sub> peak in the base case simulation is driven primarily by nitrate and to a lesser extent by organics. Hourly emissions reduce nighttime concentrations of both species, primarily reflecting diminished nighttime emissions of NH<sub>3</sub>, NO<sub>2</sub>, and organic carbon. Accounting for vertical representativeness further reduces nighttime concentrations of nitrate. The overestimation of nitrate aerosols has been a longstanding issue in GEOS Chem (Heald et al., 2012; Zhu et al., 2013; Zhai et al., 2021). We find that development of processes to improve the diel variation of PM<sub>2.5</sub> also contributes to reducing the overestimation of simulated nitrate versus observations (Fig. S5).

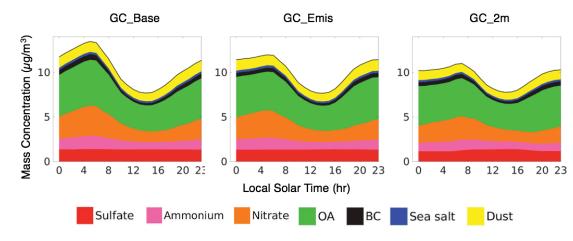


Figure 9. Annual diel profiles of PM2.5 composition over the US in the GEOS-Chem simulations (Table 1).

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Overall, we find that the driving forces of the typical diel PM<sub>2.5</sub> mass variation over the US reflects a complex interplay of PBL dynamics, emissions and photochemistry. The initial concentration peak in mid-morning occurs as combustion activities are emitted into a shallow mixed layer. Subsequent ventilation by vertical mixing dominates as the boundary layer develops, leading to a decrease of PM<sub>2.5</sub> until late afternoon despite enhanced photochemical production. The subsequent collapse at the mixed layer during sunset confines PM<sub>2.5</sub> emissions to the surface layer with a relative higher but diminishing concentration throughout the night as low nocturnal emissions foster a concentration minimum or flatness between midnight and early morning (Fig. 89). To further reveal the underlying driving forces, we focus on several example sites on which GC 2m PBLH NIT well reproduces the observed overnight PM<sub>2.5</sub> variation. Fig. 10 shows four example sites where the PM<sub>2.5</sub> concentrations overnight in the GC Base simulation are substantially overestimated. By accounting for the hourly variation in anthropogenic emissions, in GC Emis, the simulation starts to successfully reproduce the PM2.5 decrease or flatness overnight. By further correcting for the vertical representativeness differences, adjusting boundary layer height and constraining nitrate, in GC 2m, GC 2m PBLH and GC 2m PBLH NIT, the simulations more closely represents the FEM measurements. These sensitivity simulations reinforce that the internal driving forces of the PM2.5 minimum or flatness from midnight to early morning reflects a combination of the decrease of anthropogenic emissions by weaker anthropogenic activities, while resolving the vertical representativeness differences between model and observations.

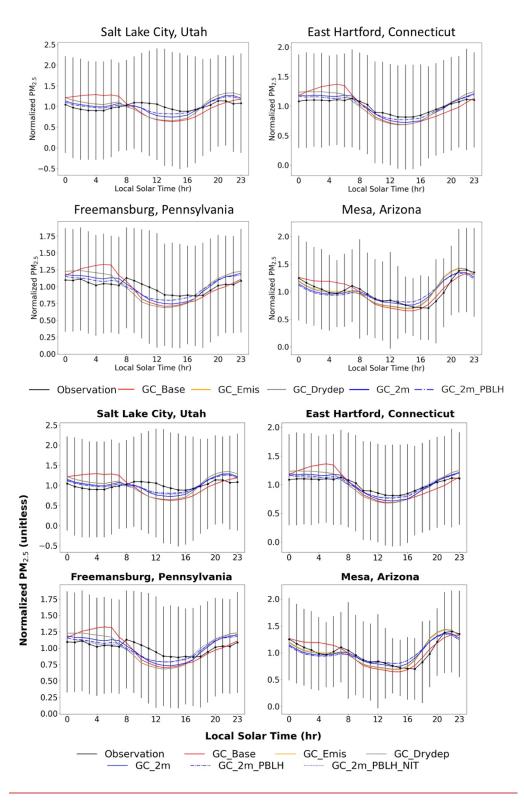


Figure 10. Diel PM<sub>2.5</sub> mass variation in the GEOS-Chem simulations (Table 1) and the in situ measurements over four example FEM sites.

Despite the pronounced improvement in simulating the PM<sub>2.5</sub> diel variation, positive biases remain in early morning in most regions and seasons (Fig. 98). The regional and seasonal variation in PM<sub>2.5</sub> chemical composition offers insight (Fig. S6S11). Nitrate appears to be an important contributor to the bias, which is likely not fully understood as discussed in Sect. 5.6. reflecting insufficient vertical and horizontal resolution in our simulations to fully resolve nocturnal stratification and horizontal source separation (Zakoura and Pandis, 2018; Boys 2022) are possible contributors. The remaining evening bias in the Spring, Summer, and Winter in the central US could reflect the possible underestimation of residential emissions in NEI (Trojanowski et al., 2022). Fig. S3-S4 shows that the OC emissions as a relevant indicator of residential combustion are the weakest in the evenings for spring, summer, winter in Central US.

In summary, emissions, vertical representativeness differences between model and observations, boundary layer mixing, and nitrate are found to be the top three-four contributing factors of the diel biases in GEOS-Chem PM2.5. Dry deposition and scavenging by the formation of dew are relatively unimportant. The vertical correction for the representativeness differences by using the resistance-in-series method is critical for improving the simulation of the PM<sub>2.5</sub> diel amplitude as well as capturing the timings of the observed PM<sub>2.5</sub> morning peak and afternoon minimum, indicating the significance of vertical resolution of GEOS-Chem for simulating diel PM<sub>2.5</sub> variation. Reducing the daytime positive biases in GEOS-FP PBLH and improvements of the diel representation of residential combustion may be useful to further improve the diel PM<sub>2.5</sub> in GEOS-Chem. In addition to the above impacting factors, we emphasize the necessity of running conducting the simulations at fine spatial resolution to resolve processes affecting diel variation of PM<sub>2.5</sub> concentrations. Comparison of the  $\frac{GC-2m}{Table-1}$  GEOS-Chem simulations at  $0.25^{\circ} \times 0.3125^{\circ}$ and  $2^{\circ} \times 2.5^{\circ}$  against the FEM observations (Fig.  $\frac{87812}{1}$ ) reveals that higher spatial resolution better enables the model to reproduce the PM<sub>2.5</sub> diel amplitude and the timings of the PM<sub>2.5</sub> morning peak and afternoon minimum observed diel PM<sub>2.5</sub> variation through reducing the excessive PM<sub>2.5</sub> accumulation during nighttime (18:00 LT- 6:00 LT). At the coarse spatial resolution, the simulated PM<sub>2.5</sub> mass concentrations increase by 36.3% from 18:00 LT to 6:00 LT, greater than the observed 5.8% increase. At the finer spatial resolution, that nighttime increase of PM<sub>2.5</sub> mass concentrations reduces to 20.3%. the observed variations are poorly represented in the simulation even if all model updates are applied. The recent advances to the High-Performance implementation of the GEOS-Chem model (GCHP) Model with stretched grid capabilities (Bindle et al., 2021; Martin et al., 2022) enables higher spatial resolution than  $0.25^{\circ} \times 0.3125^{\circ}$ , which could offer improved representation of resolution-dependent processes in future analyses.

#### 7 Conclusions

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In this work, we used the GEOS-Chem model in its nested configuration to interpret the observed diel variation in PM<sub>2.5</sub> concentration for the contiguous United States. We <u>first</u>-identified and addressed several biases of the base GEOS-Chem simulation of the diel variation of PM<sub>2.5</sub> mass concentrations. 1) The simulated PM<sub>2.5</sub> accumulation overnight was excessive in the base simulation, which disagreed with the observed concentration decrease or flatness from midnight to early morning, leading to a significantly overestimated PM<sub>2.5</sub> diel amplitude in the model. 2) TSecond,

the simulated timings of the PM<sub>2.5</sub> morning peak and afternoon minima were notably earlier relative to the in situ observations, especially for the morning peak (3 hours earlier).

To reveal the contributing factors to the diel PM<sub>2.5</sub> biases in the base simulation, we conduct sensitivity simulations in which we 1) increased the temporal resolution of anthropogenic emissions from monthly to hourly, 2) updated the dry deposition scheme, 3) resolved the vertical representativeness differences between the model and the observations, 4) corrected for the diel biases in the boundary layer heights of the model—, and—5) explored the impacts from dew formation and 6) examined the role of aerosol nitrate.

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We found that several developments aided representation of the PM<sub>2.5</sub> diel variation in the GEOS-Chem model. Hourly representation of emissions decreased normalized PM<sub>2.5</sub> concentrations at night with increases during the day. Accounting for vertical representativeness differences between the GEOS-Chem surface layer of 120m and the measurement height of 2m further decreases PM2.5 at night, leading to better representation of the timing of the morning peak (~7am) and afternoon minimum. Developments to the dry deposition scheme aided mechanistic representation of gravitational settling and its hygroscopic dependence, albeit with negligible effects on PM2.5 diel variation. Reduction of simulated PBLH to represent aircraft observations also aids agreement with observed PM2.5 diel variation. These improvements also partially addressed a longstanding issue of a positive bias in simulated nitrate concentrations but additional constraints from nitrate observations were necessary to represent diel PM<sub>2.5</sub> variation. The slight PM<sub>2.5</sub> decrease/flatness overnight is more likely caused by diminished emissions, rather than enhanced dry deposition (Zhao et al., 2009) or dew events (Sect. 5.5). Hourly anthropogenic emissions are important for GEOS-Chem to accurately simulate diel PM2.5 variation. Using monthly emissions combined with sector or species-specific diel scaling factors instead can lead to higher PM<sub>2.5</sub> positive biases overnight. Resolving the vertical representativeness differences introduced by subgrid vertical gradient of PM2.5 in the surface model level contributed to capturing timings of PM<sub>2.5</sub> diel variation. Overall, the mean diel variation in PM<sub>2.5</sub> for the US is attributed to 1) growth in PM<sub>2.5</sub> concentrations by 10% from early morning (4:00 LT) to mid-morning (8:00 LT) driven by increasing emissions into a shallow mixed layer, 2) subsequent decline in PM<sub>2.5</sub> concentrations by 22% from mid-morning (8:00 LT) to late afternoon (15:00 LT) during growth of the mixed layer, 3) rapid increase in PM<sub>2.5</sub> by 30% from late afternoon (15:00 LT) to evening (22:00 LT) as emissions persist into a collapsing mixed layer, and 4) subsequent weak decline in PM<sub>2.5</sub> concentrations by 10% as emissions diminish overnight (22:00 LT - 4:00 LT). Overall, the diel variation in PM<sub>2.5</sub> is attributed to 1) growth in PM2.5 concentrations from midnight to mid morning driven by increasing emissions into a shallow mixed layer, 2) subsequent decline in PM2.5 concentrations from mid-morning to late afternoon during growth of the mixed layer, 3) rapid increase in PM<sub>2.5</sub> from late afternoon to evening as emissions persist into a collapsing mixed layer, and 4) subsequent weak decline in PM<sub>2.5</sub> concentrations as emissions diminish overnight. Despite the advances in representing and understanding PM<sub>2.5</sub> diel variation, minor biases remain. A more mechanistic representation of nitrate is needed. The importance of vertical resolution in representing PM<sub>2.5</sub> diel variation identifies an advantage to be offered by a forthcoming GEOS-6FP dataset with a planned doubled number of vertical levels in the PBL compared to GEOS-FP (NASA, 2012). increased resolution in the PBL. Recent advances in the horizontal resolution of GEOS-Chem (Bindle et al., 2021; Martin et al., 2022) should also enable simulations with finer spatial resolution to further improve the diel performances.

610 615 620 625 630 Code/Data availability. The hourly FEM and 24-hour average FRM PM2.5 in situ measurements are available at 635 https://aqs.epa.gov/aqsweb/airdata/download files.html. The hourly RH measurements at four example sites in Fig. 6 available at https://www.ncei.noaa.gov/maps/lcd/. The AMDAR PBLH data is available at https://zenodo.org/record/3934378#.YiExLZZOk2y. Author contributions. YL and RVM designed the study. YL performed the model simulations and the data analysis. CL and AVD contributed to the diel analysis of PM2.5. BLB contributed to the model developments of aerosol dry

Competing interests. None of the authors has any competing interests.

simulations. JRP contributed to the investigation on the impacts of PBLH on diel PM<sub>2.5</sub>.

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Financial support. This work was supported by NASA Grants 80NSSC21K0508 and 80NSSC21K0429.

deposition and the correction on PM<sub>2.5</sub> vertical representativeness. JM contributed to preparing emission data for the

Acknowledgements. Thanks to Ethan W. Emerson and Delphine K. Farmer for constructive comments about the 645 science of aerosol dry deposition. We thank Barron H. Henderson for making available sectoral diel scaling factors for the CEDS inventory. 650 655 660 665 670 675 References Alexander, B., Sherwen, T., Holmes, C. D., Fisher, J. A., Chen, Q., Evans, M. J., & Kasibhatla, P. (2020). Global inorganic nitrate production mechanisms: comparison of a global model with nitrate isotope

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